

1                   **ZW sex chromosome structure in *Amborella trichopoda***

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## ABSTRACT

29 Sex chromosomes have evolved hundreds of times, and their recent origins in flowering plants  
30 can shed light on the early consequences of suppressed recombination. *Amborella trichopoda*,  
31 the sole species on a lineage that is sister to all other extant flowering plants, is dioecious with a  
32 young ZW sex determination system. Here we present a haplotype-resolved genome assembly,  
33 including highly-contiguous assemblies of the Z and W chromosomes. We identify a ~3-  
34 Megabase sex-determination region (SDR) captured in two strata that includes a ~300-Kilobase  
35 inversion that is enriched with repetitive sequence and contains a homolog of the *Arabidopsis*  
36 METHYLTHIOADENOSINE NUCLEOSIDASE (*MTN1-2*) genes, which are known to be  
37 involved in fertility. However, the remainder of the SDR does not show patterns typically found  
38 in non-recombining SDRs, like repeat accumulation and gene loss. These findings are consistent  
39 with the hypothesis that dioecy is recently derived in *Amborella* and the sex chromosome pair  
40 has not significantly degenerated.

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42 **KEY WORDS:** dioecy, ZW chromosomes, phased genome assembly, degeneration

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## MAIN

44 The evolution of separate sexes, or dioecy, is a rare trait in angiosperms, having been identified  
45 in just 5-10% of species <sup>1</sup>. At the same time, dioecy has evolved hundreds of independent times  
46 across the flowering plant tree of life <sup>2</sup>. This makes flowering plants ideal for examining the  
47 evolution of sex chromosomes over both deep and shallow time scales. Comparative  
48 investigations of sex chromosomes rely on high-quality genome assemblies <sup>2</sup>, and while the  
49 availability of genomes for dioecious species has increased, there are only a few where the  
50 structure of the sex chromosome pair has been well characterized. While divergence between X  
51 and Y sex chromosomes has been described in a growing number of angiosperm species <sup>2,3</sup>,  
52 investigations of possibly less common ZW systems can shed new light on the dynamics and  
53 consequences of sex chromosome evolution.

54 Since its discovery as the sister lineage to all other living angiosperms, *Amborella*  
55 *trichopoda* (hereafter, *Amborella*) <sup>4-7</sup> has served as a pivotal taxon for investigating the origin  
56 and early diversification of flowering plants <sup>8,9</sup>. *Amborella* is an understory shrub or small tree  
57 endemic to New Caledonia and the sole extant species in the Amborellales. The flowers of  
58 *Amborella* are actinomorphic and have a perianth of undifferentiated tepals, which are  
59 characteristics shared with the reconstructed ancestral flower (Fig. 1) <sup>9</sup>. Importantly, however,  
60 *Amborella* is dioecious <sup>10</sup> with ZW sex chromosomes that evolved after the lineage diverged  
61 from other flowering plants <sup>11</sup>. This implies that dioecy in *Amborella* is derived from a  
62 hermaphroditic mating system and that the ancestral angiosperm had perfect flowers, in  
63 agreement with ancestral state reconstructions <sup>9</sup>. Significant progress has been made in several  
64 angiosperm species to identify the genes involved in the evolution of dioecy <sup>12-17</sup>, but the  
65 molecular basis in *Amborella* remains unknown. Here we present a haplotype-resolved assembly  
66 of the *Amborella* genome and compare highly contiguous Z and W sex chromosome assemblies  
67 to address outstanding questions about their structure and gene content, including putative sex-  
68 determining genes.

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70

## RESULTS

### 71 Improved genome assembly and annotation of *Amborella*

72 The *Amborella* reference genome has been a central anchor for comparative investigations of  
73 gene family and gene structure evolution across angiosperms. Despite its demonstrated utility,  
74 the 2013 *Amborella* genome used primarily short sequencing reads, which cannot fully resolve  
75 repetitive regions <sup>18</sup>. The repeat-derived gaps were filled in a more recent long-read assembly <sup>11</sup> ,  
76 but both biological haplotypes were collapsed into a single sequence representation. Despite the  
77 higher contiguity, the 2022 genome offers limited information regarding sex determination  
78 regions (SDRs) because in this assembly the Z and W chromosomes are a chimeric mix  
79 represented as a single chromosome <sup>11</sup>.

80 To build a haplotype-resolved genome assembly for *Amborella* cv. Santa Cruz 75, we  
81 used a combination of PacBio HiFi (mean coverage = 58.81x per haplotype; mean read length =  
82 22,900 bp) and Phase Genomics Hi-C (coverage = 42.31x; Table S1) sequencing technologies.

83 The final haplotype 1 (HAP1) and 2 (HAP2) assemblies include 708.1 Mb in 59 contigs (contig  
84  $N_{50} = 36.3$  Mb;  $L_{50} = 7$ ) and 700.5 Mb in 45 contigs (contig  $N_{50} = 44.5$  Mb;  $L_{50} = 7$ ),  
85 respectively; 99.69% and 99.87% of the assembled sequence is contained in the 13 largest  
86 scaffolds for HAP1 and HAP2, respectively, corresponding to the expected chromosome number  
87 <sup>19</sup> (Fig. S1). We found the  $k$ -mer completeness <sup>20</sup> of HAP1 was 95.4% (QV 63) and HAP2 was  
88 95.3% (QV 55), and the combined assemblies exhibit 98.8% completeness (QV 57). Consistent  
89 with earlier assemblies, we annotated repeats and found they represent ~56% of the sequence for  
90 both haplotypes (Fig. 1; Table S2) <sup>18</sup>. To annotate gene models, we used a combination of  
91 RNAseq and Iso-Seq (~757 million 2x150 read pairs, ~825K full-length transcripts). We  
92 annotated 21,800 gene models in HAP1 and 21,721 in HAP2, with Embryophyte BUSCOs of  
93 98.6% and 98.8%, respectively, an increase from 85.5% in the 2013 release <sup>18</sup>. Overall, the new  
94 assemblies represent a great improvement in the *Amborella* genome reference, resolving most of  
95 the previous gaps (Fig. S2, Table S2).

96 *Amborella*'s ancient divergence ~140 MYA <sup>21</sup> from all other living angiosperms provides  
97 an opportunity to examine conserved features that were likely present in the ancestral genome of  
98 all flowering plants. For example, the repeat-dense pericentromeric region and gene-dense  
99 chromosome arms of *Amborella* (Fig. 1) mirror those of most angiosperm genomes in stark  
100 contrast to the more uniform gene and repeat density of most conifers, ferns, and mosses <sup>22–24</sup>.  
101 The pericentromeric regions are enriched in Long Terminal Repeats (LTRs), specifically *Ty3* and  
102 *Ty1* elements, as is often seen in other monocentric angiosperms <sup>25,26</sup>. Interestingly, unlike many  
103 previously examined sex chromosomes, the *Amborella* Z/W do not stand out as notable  
104 exceptions in terms of gene or repeat density (Fig. 1).

105

## 106 **Identification of the phased *Amborella* sex chromosomes**

107 Sex chromosomes have unique inheritance patterns relative to autosomes. In a ZW system, the  
108 non-recombining SDR of the W chromosome is only inherited by females, while the remaining  
109 pseudoautosomal region (PAR) recombines freely and is expected to show a similar lack of  
110 divergence between the sexes as the autosomes. Identification of the boundary between the SDR  
111 and PAR of sex chromosomes is nontrivial, and PAR/SDR boundaries have been shown to vary  
112 among populations in some species <sup>27,28</sup>. Standard approaches for boundary identification  
113 employ combinations of methodologies like sex-biased read coverage and population genomic  
114 analyses <sup>29</sup>.

115 To delimit the PAR/SDR boundary we performed a  $k$ -mer analysis <sup>12,30</sup> to identify  
116 sequences that are unique to the *Amborella* SDR (henceforth, W-mers), using four different  
117 sampling strategies (Supplemental Methods). We found the W-mers densely clustered to Chr09  
118 at ~44.32-47.26 Mb of HAP1 (Fig. 1-2, S3-6), supporting its identity as the W chromosome.  
119 This location is consistent with previous analyses <sup>11</sup>, although we find assessing W-mers to a  
120 haplotype-resolved assembly narrows the estimated size of the SDR from ~4 Mb to 2.94 Mb  
121 (Fig. 2, S7). Importantly, the W-mers show consistent coverage on Chr09 in HAP1, with low and  
122 sporadic coverage along any other chromosome or unincorporated scaffold in the assembly (Fig.

123 S3-6; Table S3). In contrast to the chimeric Z/W in the previous assembly, the resulting sex  
124 chromosome assemblies are nearly complete with only four unresolved gaps in the SDR (zero  
125 gaps in the homologous region on the Z; HZR) and are fully phased (Fig. S7).

126 A key characteristic of sex chromosomes is suppressed recombination of the SDR, and in  
127 many species, structural variants have been identified as the causal mechanism. To examine this  
128 in *Amborella*, we first used genome alignments to identify the HZR. The HZR is located on  
129 Chr09 of HAP2 at 44.52-47.12 (~2.60 Mb; Fig. S8), suggesting the SDR is only 340 Kb larger  
130 than the HZR, which is consistent with the observed cytological homomorphy of the ZW pair<sup>19</sup>.  
131 In the SDR, we found evidence for a ~292-Kb inversion located ~20 Kb within the beginning of  
132 the boundary and containing the majority of the W-specific sequence (Figs. 1B, S9). We could  
133 not, however, find evidence for inversions or other large structural variants surrounding the  
134 remaining portion of the SDR. Instead, the Z and W chromosomes are highly syntenic with one  
135 another, similar to the autosomes (Figs. 1, S9). We investigated other potential mechanisms for  
136 suppressed recombination, such as proximity to centromeres, where the existing low  
137 recombination has been shown to facilitate SDR evolution in some species<sup>31</sup>. In *Amborella*, the  
138 SDR is not located near the centromere; rather, it is approximately 1.82 Mb away from the *Ty3*-  
139 retrotransposon-rich pericentromeric region (Fig. 2). In the absence of obvious structural variants  
140 encompassing the SDR, it suggests that *Amborella* has a non-canonical mechanism to enforce  
141 non-recombination between the Z and the W.

142

### 143 **The *Amborella* sex chromosomes are evolutionarily young**

144 *Amborella*'s sex chromosomes have previously been shown to have evolved after the lineage  
145 split from other living flowering plants<sup>11</sup>. With our phased Z/W pairs, we can better determine  
146 Z- and W-linked genes, providing a more confident estimate of the age of the SDR, and examine  
147 gene gain events. A classic signature of multiple recombination suppression events is a stepwise  
148 pattern of synonymous substitutions (Ks), where genes captured into the SDR in the same event  
149 are expected to have similar levels of Ks (i.e., strata) and the oldest captures have the highest Ks  
150 values<sup>32</sup>. Understanding this timing of gene gain is essential to understanding the genetic  
151 mechanism for sex determination, because the candidate sex-determining genes are likely to  
152 have ceased recombining first (barring turnovers<sup>29</sup>).

153 To examine gene gain in the *Amborella* SDR, we calculated Ks of one-to-one orthologs  
154 on the W and Z chromosomes (i.e., gametologs). We compared the Ks values of 45 identifiable  
155 gametologs to 1,397 one-to-one orthologs in the PARs. We found that Ks varies across the SDR-  
156 HZR portion of the sex chromosomes (0.002-0.20; mean Ks=0.0298, SD=0.032) and is  
157 significantly higher than Ks in the PARs (mean Ks=0.004, SD=0.019; Kruskal-Wallis  
158 p<0.00001; Fig. S10), consistent with the expectation that the SDR is diverging from the HZR  
159 on the Z chromosome. Interestingly, the gametolog pair with the highest Ks within the SDR is a  
160 homolog of *Arabidopsis* METHYLTHIOADENOSINE NUCLEOSIDASE *MTN1*-2, a gene  
161 involved in fertility, suggesting it resides in the oldest portion of the SDR; notably, the location  
162 of the W-linked *MTN1*-2 homolog is within the SDR inversion.

163 We found evidence for two strata of gene capture into the SDR (Fig. 3). The Ks values  
164 show two distinct steps, with the higher Ks values in the region corresponding to the inversion.  
165 Defining the precise boundary of strata without obvious structural variants can be a challenge.  
166 To delineate stratum one (S1) from two (S2), we assessed W-mer density and the average  
167 nucleotide differences between sampled females and males (Nei's dXY). We found the drop in  
168 W-mers and dXY in sliding windows coincides with a drop in dXY when run on only the gene  
169 models (Fig. 3). Using this line as our boundary between strata, we found dXY of genes to be  
170 significantly different (Mann Whitney U,  $p < 3e-7$ ), higher in S1 (mean = 0.0167, n=62) than S2  
171 (mean = 0.0081, n=35; entire Chr09 = 0.0038; n=1908). We also found Ks to be significantly  
172 different between the strata (S1 mean Ks=0.037, SD=0.037; S2 mean Ks=0.021, SD=0.023;  
173 Mann-Whitney U,  $p=0.0014$ ) as was the extent of nonsynonymous changes in proteins (Ka;  
174 Mann-Whitney U,  $p=0.008$ ; Fig. 3), supporting inference of two strata. Using Ks, we also  
175 estimated the age of the SDR in *Amborella*. Following the previously applied approach<sup>11</sup>, we  
176 found S1 to be ~4.97 MYA while S2 is nearly half as old at ~2.41 MYA. These analyses indicate  
177 that the *Amborella* sex chromosomes are evolutionarily young, similar to several well-  
178 characterized XY systems<sup>3</sup>, and further suggest that the sex chromosomes evolved well after the  
179 lineage split from the rest of angiosperms.  
180

### 181 **The *Amborella* W shows little degeneration**

182 The recent origin of the *Amborella* sex chromosomes provides an opportunity to examine the  
183 early stages of their evolution. The lack of recombination in an SDR reduces the efficacy of  
184 natural selection and drives the accumulation of slightly deleterious mutations<sup>33,34</sup>. Two parallel  
185 signatures of deleterious mutations seen across independent evolutions of sex chromosomes is  
186 the accumulation of repeats and the loss of genes<sup>35-38</sup>. However, the tempo of this process of  
187 degeneration is not well understood.

188 In the SDR of *Amborella*, curiously we overall do not find the expected patterns of repeat  
189 expansions found in other SDRs. At 51.66% repeat elements, the SDR is lower than the genome  
190 average (56%) and 0.05% lower than the HZR. The only observed enrichment in repeats is  
191 within the inversion, where we find more Ty3 LTRs (4.32% increase relative to the HZR; Fig. 2).  
192 Otherwise, only a slight distinction between the SDR and its HZR is evident: the SDR exhibits a  
193 marginal increase ranging between 0.01-0.13% in the density of some superfamily elements (Fig.  
194 2; Table S4). We examined the distribution of the divergence values for intact LTRs as a proxy  
195 for their age<sup>39</sup> but found no patterns of distinctly younger or older LTRs within the W or Z (Fig.  
196 S11). Moreover, to assess genome-wide repeat expansion across the major Transposable Element  
197 (TE) superfamilies<sup>40</sup>, we used repeat landscapes, which showed a comparable pattern within the  
198 Z/W (Fig. 3, S12). These observations support previous characterization of TE insertions in the  
199 *Amborella* genome as being quite old with little proliferation over the last 5 MYA<sup>18</sup>. It has been  
200 proposed that a loss of active transposases or silencing may be playing a role in reducing TE  
201 activity across the *Amborella* genome<sup>18</sup> including the SDR.

202 Gene loss in an SDR has been hypothesized to contribute to the evolution of  
203 heteromorphy seen in many sex chromosome pairs <sup>41,42</sup>. In *Amborella*, of the 97 annotated  
204 models in the SDR and 84 in the HZR, 37 were W-specific and 24 Z-specific. To examine  
205 whether these models were missing from the other haplotype for technical or biological reasons,  
206 we also used dXY and presence-absence variation (PAV) between the sexes to evaluate gene  
207 content. For most of the W-specific models, males showed presence, and dXY within females  
208 was comparable to that of identifiable gametologs (mean dXY = 0.0136; Table S5). Only seven  
209 models showed absence in coverage in males (dXY = 0 in females), suggesting conservatively  
210 that these represent W-specific genes, four of which are in the SDR inversion. Similarly, we  
211 identified only six Z-specific gene models. These analyses suggest that the Z and W have similar  
212 numbers of haplotype-specific genes and that the SDR has experienced similar levels of gene  
213 loss as the HZR.

214 Together, these results provide little evidence that degenerative processes, associated  
215 with cessation of recombination, have occurred in the *Amborella* SDR. This region is younger  
216 than that of *Rumex* (5-10 MYA <sup>43</sup>) and *Silene* (10 MYA <sup>44</sup>), which both show signatures of  
217 degeneration <sup>38,45</sup>. However, in *Spinacia oleracea*, a younger SDR (2-3 MYA) does show signs  
218 of degeneration <sup>46,47</sup>. The tempo of degeneration is apparently slower in *Amborella* and there has  
219 not been sufficient time for gene loss or an accumulation of repeats as a consequence of the loss  
220 of recombination.

221

## 222 Candidate sex-determining genes in *Amborella*

223 ZW sex chromosomes have been less well-characterized in plants than in animals; thus,  
224 *Amborella* can provide unique insights regarding the genetic mechanisms associated with their  
225 evolution. The two-gene model for sex chromosome evolution associated with a transition from  
226 hermaphroditism to dioecy posits that distinct genes with antagonistic impacts on female and  
227 male function experience strong selection for tight linkage (i.e., loss of recombination)<sup>48</sup>. Under  
228 this model, evolution of a ZW sex chromosome pair requires a dominant mutation causing male  
229 sterility arising on a proto-W chromosome, followed by a recessive loss-of-female-function  
230 mutation on the proto-Z (assuming a gynodioecious intermediate) <sup>48</sup>. Identification of these sex-  
231 determining genes relies on an understanding of when sterility arises in the carpel and stamen  
232 developmental pathways. In *Amborella*, ontogenetic differences between female and male  
233 flowers are seen early in development. Whereas male flowers produce an average of 12 stamens  
234 spiraling into the center of the flower, female flowers typically initiate a few staminodes just  
235 inside the tepals, but carpel initiation replaces stamenoid initiation as organ development  
236 proceeds towards the center of the flower <sup>49</sup> (Fig. 1).

237 To identify candidate sex-determining genes, we examined differential expression  
238 between female and male flower buds during stage 5/6 of flower development, when carpels,  
239 stamens, and microsporangia develop <sup>11,49,50</sup>. We found 1,777 significantly differentially  
240 expressed genes at an adjusted p-value greater than 0.05. Of these, 34 are in the SDR, several of  
241 which are well-known flower development genes, including homologs of *MTN1-2*, *WUSCHEL*

242 (*WUS*), *LONELY GUY (LOG)*, *MONOPTEROS/Auxin Response Factor 5 (MP/ARF5)*, and *small*  
243 *auxin up-regulated RNA (SAUR)* gene families (Fig. S13; Table S6-7). We found *ambMTN* and  
244 *ambLOG* had higher transcript abundance in females, while *ambWUS*, *ambMP*, and *ambSAUR*  
245 had greater expression in males. To further examine the sex-specific expression of SDR genes,  
246 we used the EvoRepro database (<https://evorepro.sbs.ntu.edu.sg/>), which has transcriptome data  
247 for 16 different tissue types for *Amborella*<sup>51</sup>. We contrasted female and male buds and flowers  
248 and found three genes with male-biased transcript abundance: *ambWUS* and a *DUF827* gene in  
249 buds and *ambLOG* in flowers, the latter differing in which sex has higher abundance from the  
250 analyses using stage 5/6 flowers. Given the known functions of these genes in *Arabidopsis*  
251 flower development, they are strong candidates for investigation of sex determination in  
252 *Amborella*.

253 While functional analyses are not currently possible in *Amborella*, comparisons to other  
254 species implicate the function of candidate genes that may be playing roles in *Amborella* sex  
255 determination. *WUS* is a homeobox transcription factor that is required for the maintenance of  
256 the floral meristem and has been shown to influence gynoecium and anther development<sup>52,53</sup>. In  
257 *Arabidopsis*, knockouts have sepals, petals, a single stamen, and no carpel<sup>54</sup>. *WUS* has also been  
258 implicated in sex determination or shown sex-specific expression in several species that have  
259 unisexual flowers. In monoecious castor bean (*Ricinus*), *WUS* expression was only found in the  
260 shoot apical meristem of male flowers<sup>55</sup>, and in cucumbers (*Cucumis*), *WUS* expression is three  
261 times greater in the carpel primordia of male flowers than females<sup>56</sup>. In *Silene*, gynoecium  
262 suppression is controlled by the *WUSCHEL-CLAVATA* feedback loop<sup>16</sup>. Interestingly, we do not  
263 see male-biased expression of the *CLV3* ortholog in *Amborella*, but we do see female-biased  
264 transcript abundance of the *Amborella CLE40* ortholog. In *Arabidopsis*, *WUS* promotes *CLV3*  
265 expression in the central zone of the inflorescence meristem while suppressing *CLE40*  
266 expression in the peripheral zone<sup>57</sup>. It is possible that the smaller floral meristem seen in female  
267 development relative to male floral meristems is due to reduced *ambWUS* expression driving  
268 increased *ambCLE40* expression and encroachment of peripheral zone cells into the central zone  
269 of the floral meristem. The role of *WUS* in maintaining meristematic zonation, coupled with its  
270 position in S1 in the SDR, makes *ambWUS* a strong candidate for playing some role in  
271 gynoecium suppression. Another strong candidate is *ambLOG*. *LOG* mutants were originally  
272 characterized in rice as producing floral phenotypes with a single stamen and no carpels<sup>58</sup>; in  
273 date palms (*Phoenix*), a *LOG*-like gene was identified as a candidate Y-chromosome-linked  
274 female suppression gene<sup>13</sup>. In *Amborella*, *ambLOG* showed greater expression in females in the  
275 stage 5/6 data but was male-biased based when considering all 16 tissues in the EvoRepro  
276 dataset. This switch in sex bias, and the fact *ambLOG* is located in the younger stratum of SDR  
277 (S2), suggest that differential *ambWUS* (and *ambCLE40*) expression may have been a first step  
278 in the divergence of male and female flower development. Like *ambLOG*, the *ambMP* and  
279 *ambSAUR* genes were captured in S2, and their functions in *Arabidopsis* suggest other roles in  
280 sex-specific development. *MP* has been shown to be involved with apical patterning of the  
281 embryo axis<sup>59,60</sup>. *SAURs* are a large gene family and in general play a role in cell elongation<sup>61</sup>,

282 including in pollen tube growth <sup>62</sup>, stamen filament elongation <sup>63</sup>, and pistil growth <sup>64</sup>. Without  
283 functional validation in *Amborella*, we cannot rule out the possibility of any of these genes,  
284 though based on the data available, *ambWUS* may be the strongest candidate for spurring  
285 divergence in male and female flower development.

286 The significant difference in gene expression of *ambMTN* is especially interesting given  
287 that it is the gene model with the highest Ks value that is located in the SDR inversion. *MTN1-2*  
288 genes encode 5'-methylthioadenosine (MTA) nucleosidase <sup>65</sup>, and double mutant *mtn1-1mtn2-1*  
289 flowers in *Arabidopsis* have indehiscent anthers and malformed pollen grains <sup>66</sup>. Double mutants  
290 also affected carpels and ovules, although the structures were aberrant but not necessarily non-  
291 functional, and 10% looked like wild type <sup>66</sup>. The observed anther phenotype in *Arabidopsis* is  
292 consistent with the staminode development in female flowers in *Amborella*, and together these  
293 lines of evidence suggest that *ambMTN* may be the male-sterility gene. Based on our analyses,  
294 we hypothesize that the W-linked *ambMTN* was the initial male-sterility mutation, creating the  
295 proto-W, followed by a loss-of-function mutation on the W-*ambWUS* and a Z-copy shift to  
296 dosage dependant gynoecium suppression. In sum, we hypothesize that *Amborella* follows the  
297 two-gene model for sex chromosome evolution and dioecy. The genes we have identified here  
298 make ideal candidates for further functional genomic investigation and validation.

299

300

## DISCUSSION

301 Recent advances in sequencing technologies and assembly algorithms have enabled the  
302 construction of telomere-to-telomere genome assemblies for humans, including the X and Y sex  
303 chromosomes <sup>67,68</sup>. The sex chromosomes in humans and other animals are often highly  
304 heteromorphic and can be the most challenging chromosomes to sequence and assemble <sup>69</sup>.  
305 Moreover, given their antiquity, it is not possible to reconstruct events dating back to the origin  
306 and early evolution of mammalian sex chromosomes. Plants, however, have repeatedly evolved  
307 sex chromosomes derived from different ancestral autosomes, with different sex-determining  
308 mutations <sup>2,3</sup> and with various mechanisms to impede recombination between the sex  
309 chromosomes pair. Here we show that we can fully phase structurally similar sex chromosomes  
310 within a heterogametic individual. Our analyses highlight the utility of phased sex chromosomes,  
311 and diversity sequencing, to develop models of sex chromosome evolution when experimental  
312 investigation of gene function is currently intractable. This research lays the foundation for  
313 examining sex chromosome evolution in all angiosperms, starting with the sister species to all  
314 living flowering plants, *Amborella*.

315 **Acknowledgements**

316 The work (proposal no. 10.46936/10.25585/60001405) conducted by the U.S. Department of  
317 Energy (DOE) Joint Genome Institute (<https://ror.org/04xm1d337>), a DOE Office of Science  
318 User Facility, is supported under contract no. DE-AC02-05CH11231. Additional support for  
319 analysis was provided by the United States Department of Agriculture National Institute of Food  
320 and Agriculture Postdoctoral Fellowship no. 2022-67012-38987 (S.B.C.), National Science  
321 Foundation (NSF) IOS-PGRP CAREER no. 2239530 (A.H.), and National Science Foundation  
322 GRFP (L.A.). We thank the Atlanta Botanical Garden for providing *Amborella* material used in  
323 this study and Adam Bewick for the images of *Amborella* flowers.

324

325 **Author contributions**

326 Concept and research design: S.B.C., J.S., J.L.-M., A.H.  
327 Sample collection, data collection, sequencing: A.S., T.J., K.B., P.P.L., J.M., E.B.K., D.E.S.,  
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332 D.E.S., P.S.S., J.L.-M., A.H.

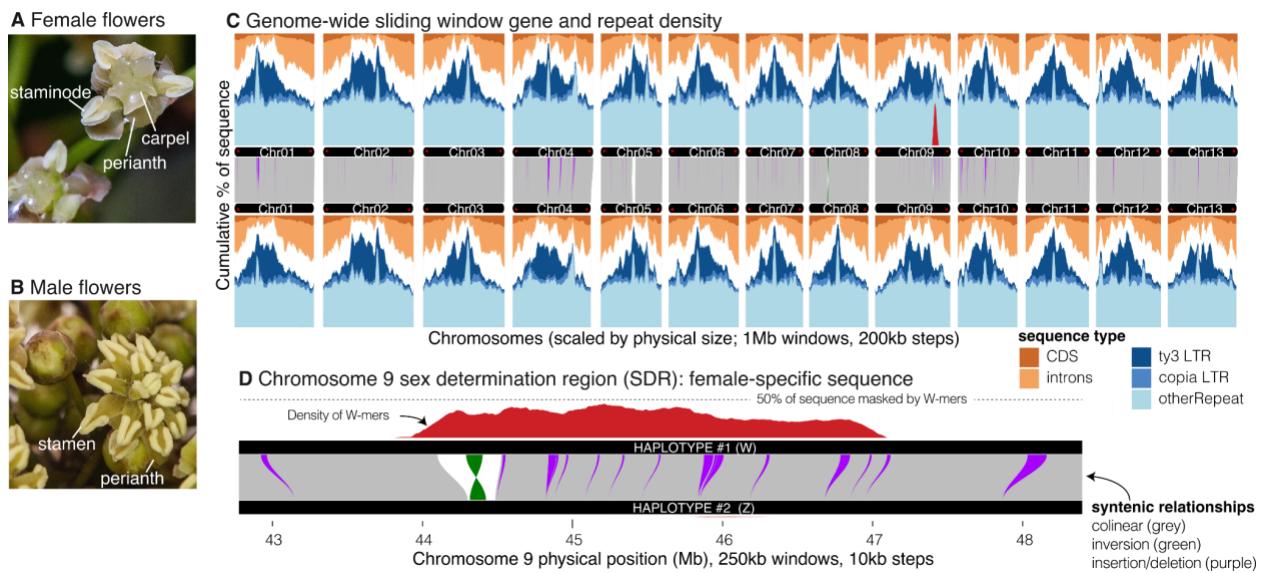
333

334 **Data availability**

335 The genome assemblies and annotations (v.2.1) are available on Phytozome ([https://phytozome-  
336 next.jgi.doe.gov/](https://phytozome-next.jgi.doe.gov/)) and have been deposited on NCBI under XXXX. Sequencing libraries are  
337 publicly available on NCBI under BioProject PRJNA1100625. Individual accession numbers are  
338 provided in Supplementary Table S8-9.

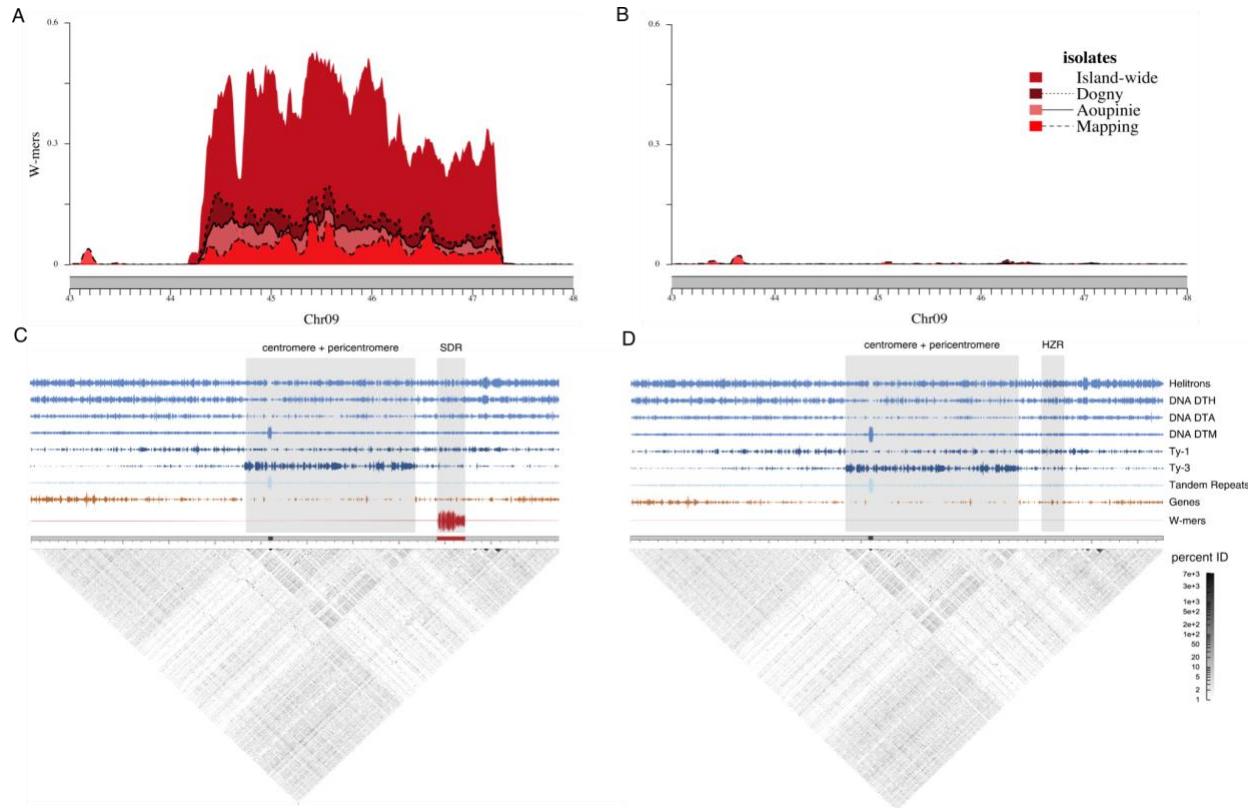
339

## FIGURES



340

341 **Fig. 1. Amborella and its genome structure.** A-B) Female and male *Amborella* flowers. The  
342 *Amborella* genome (C) and chromosome 9 (D) is typical of flowering plants: gene-rich  
343 chromosome arms and repeat-dense, large pericentromeric region. Gene positions were extracted  
344 from the protein-coding gene annotations, repeats from EDTA, and exact matches of 536,985  
345 female-specific *k*-mers (W-mers). Syntenic mapping was calculated by AnchorWave and  
346 processed by SyRI, only plotting inversions and insertions and deletions > 10 kb. Visualization  
347 of synteny was accomplished with GENESPACE and sliding windows with gscTools. Panel B  
348 highlights the sex determination region of chromosome 9 with female-specific *k*-mers (W-mers).  
349 All chromosomes in haplotype 1 and all but four in haplotype 2 have both left and right  
350 telomeres in the assembly (flagged with red \*), defined as a region of >= 150 bps made up of >=  
351 90% plant telomere *k*-mers (CCCGAAA, CCCTAAA, RC) separated by no more than 100 bp.  
352

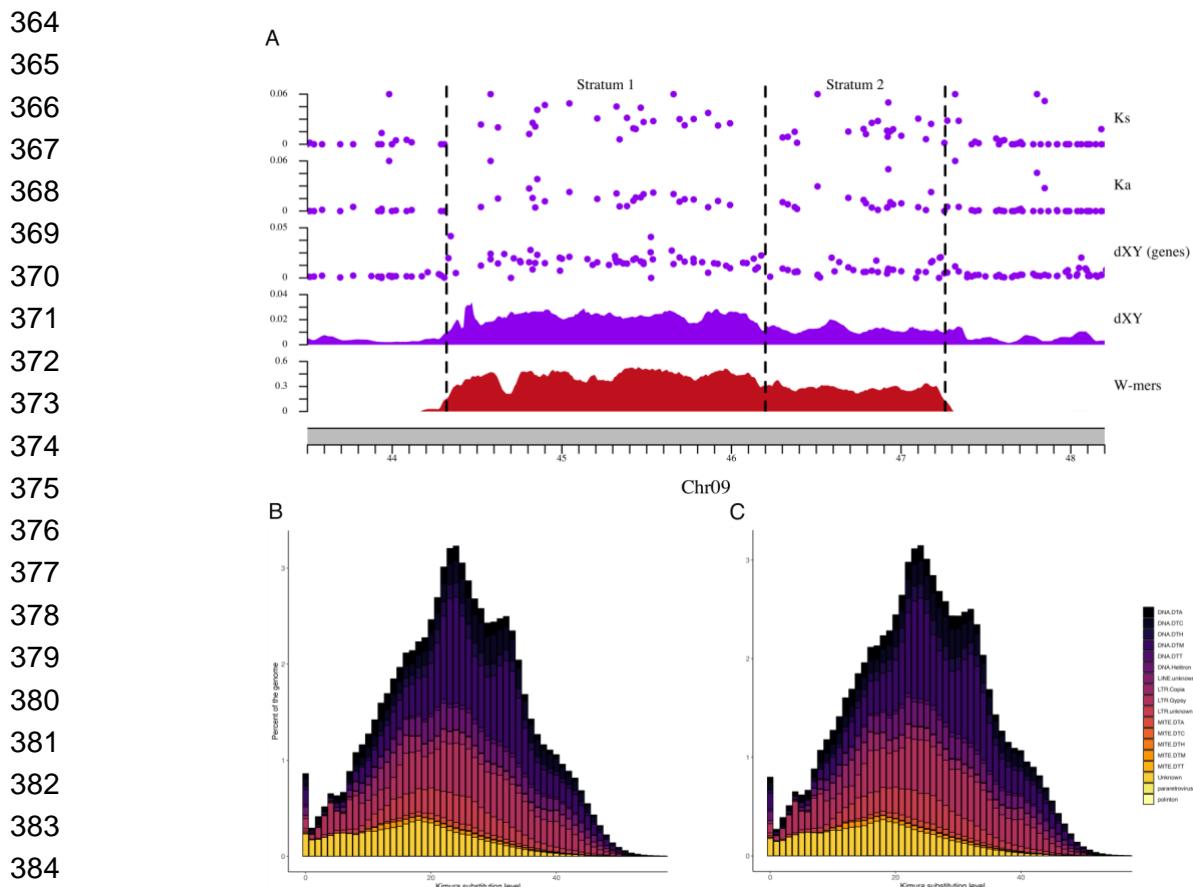


353

354

355

356 **Fig 2. Sex chromosome location in *Amborella*.** A) W-mer coverage in the sex-determining  
357 region (SDR) and (B) homologous region of the Z (HZR) using four different sampling strategies  
358 for isolates. SDR (C) and HZR (D) location and their proximity to the Chr09 centromere. Ty3  
359 elements (dark blue) are often enriched in the pericentromeric regions of plants and correspond  
360 to the low-complexity block of tandem repeat arrays (gray) that also contain the high-complexity  
361 centromeric block, indicated by the satellite monomer density (light blue). Gene density (orange)  
362 also predictably decreases near the pericentromeric region. The SDR (red) is notably outside of  
363 the putative pericentromeric region and distant from the centromere.



**Fig. 3. Molecular evolution of the *Amborella* sex chromosomes.** A) Evidence for two strata. Points above 0.06 were excluded. B-C) The repeat landscapes of the *Amborella* haplotypes indicate similar patterns of expansion and minimal evidence of recent TE proliferation. Relative time is determined by the Kimura substitution level with lower values closer to 0 representing more recent events and higher values approaching 40 representing older events.

391

## MATERIALS AND METHODS

### 392 DNA/RNA extraction, library prep, and sequencing.

393 We sequenced *Amborella trichopoda* (var. Santa Cruz 75) using a whole genome shotgun  
394 sequencing strategy and standard sequencing protocols. High molecular weight DNA was  
395 extracted from young tissue using the protocol of Doyle and Doyle<sup>70</sup> with minor modifications.  
396 Flash-frozen young leaves were ground to a fine powder in a frozen mortar with liquid nitrogen  
397 followed by very gentle extraction in a 2% CTAB buffer (that included proteinase K, PVP-40  
398 and beta-mercaptoethanol) for 30 minutes to 1 hour at 50 °C. After centrifugation, the  
399 supernatant was gently extracted twice with 24:1 Chloroform : Isoamyl alcohol. The upper phase  
400 transferred to a new tube and added 1/10th volume of 3 M Sodium acetate, gently mixed, and  
401 DNA precipitated with iso-propanol. DNA precipitate was collected by centrifugation, washed  
402 with 70% ethanol, air dried for 5-10 minutes and dissolved thoroughly in an elution buffer at  
403 room temperature followed by RNase treatment. DNA purity was measured with a Nanodrop,  
404 DNA concentration measured with Qubit HS kit (Invitrogen, Waltham, MA) and DNA size was  
405 validated by CHEF-DR II system (Bio-Rad Laboratories, Hercules, CA). The PacBio HiFi  
406 libraries were sequenced at the HudsonAlpha Institute for Biotechnology in Huntsville,  
407 Alabama. The PacBio HiFi library was constructed using DNA that was sheared using a  
408 Diagenode Megaruptor 3 instrument. Libraries were constructed using SMRTbell Template Prep  
409 Kit 2.0 and tightly sized on a SAGE ELF instrument (1-18kb) to a final library average insert  
410 size of 24k. Sequencing was completed using the SEQUEL II platform. For the PacBio  
411 sequencing, a total raw sequence yield of 83.3 Gb, with a total coverage of 58.81x per haplotype  
412 (Table S10).

413 The Illumina Hi-C reads for Santa Cruz 75 were sequenced at Phase Genomics with a  
414 single 2x80 Dovetail Hi-C library (169.27x; Table S1). The Illumina PCR-free library was  
415 extracted using a Qiagen DNeasy kit (Qiagen, Hilden, Germany) and was sequenced at the  
416 HudsonAlpha Institute for Biotechnology in Huntsville, Alabama. Illumina reads were  
417 sequenced using the Illumina NovaSeq 6000 platform using a 400 bp insert TruSeq PCRfree  
418 fragment library (49.62x). Prior to assembly, Illumina fragment reads were screened for phix  
419 contamination. Reads composed of >95% simple sequence and those <50 bp after trimming for  
420 adapter and quality (q<20) were removed. The final read set consists of 158,007,088 reads for a  
421 total of 49.62x of high-quality Illumina bases.

422 To annotate gene models, we generated RNAseq and Iso-Seq data on several stages of  
423 leaf, flower, and fruit for Santa Cruz 75 and two male isolates, ABG 2006-2975 and ABG 2008-  
424 1967 (Table S8). Total RNA were extracted using a Qiagen RNeasy kit. The PacBio Iso-Seq  
425 libraries were constructed using a PacBio Iso-Seq Express 2.0 kit. Libraries were either sized  
426 (0.66x bead ratio) or unsized (1.2x bead ratio) to give final libraries with average transcript sizes  
427 of 2kb or 3kb respectively. Libraries were sequenced using polymerase V2.1 on a PacBio Sequel  
428 II Platform. The RNAseq libraries were constructed using an Illumina TruSeq Stranded mRNA  
429 Library Prep Kit using standard protocols. Libraries were sequenced using a NovaSeq 6000  
430 Instrument PE150 to 40 million reads per library.

431 To identify the sex chromosomes, we additionally whole-genome sequenced 52  
432 *Amborella* isolates (Table S9). DNA extractions were performed using a standard CTAB  
433 protocol. Illumina sequencing was performed on NovaSeq and HiSeq platforms at RAPiD  
434 Genomics in Gainesville, Florida using a 2x150 paired end library. The voucher specimens are  
435 deposited at the New Caledonia Herbarium in Nouméa (Herbarium code: NOU) and Indiana  
436 University (IND). Existing data used to support this manuscript are found in Table S9.  
437

#### 438 **Genome assembly**

439 The version 2.0 HAP1 and HAP2 assemblies were generated by assembling the 3,605,703  
440 PacBio CCS reads (58.81x per haplotype) using the HiFiAsm+HIC assembler<sup>71</sup> and  
441 subsequently polished using RACON<sup>72</sup>. This produced initial assemblies of both haplotypes.  
442 The HAP1 assembly consisted of 1,522 scaffolds (1,522 contigs), with a contig N50 of 25.5 Mb,  
443 and a total genome size of 800.6 Mb (Table S11). The HAP2 assembly consisted of 1,043  
444 scaffolds (1,043 contigs), with a contig N50 of 43.0 Mb, and a total genome size of 773.5 Mb  
445 (Table S11).

446 Hi-C Illumina reads from *Amborella trichopoda* (var. Santa Cruz 75), were separately  
447 aligned to the HAP1 and HAP2 contig sets with Juicer<sup>73</sup>, and chromosome scale scaffolding was  
448 performed with 3D-DNA<sup>74</sup>. No misjoins were identified in either the HAP1 or HAP2  
449 assemblies. The contigs were then oriented, ordered, and joined together into 13 chromosomes  
450 per haplotype using the HiC data. A total of 31 joins was applied to the HAP1 assembly, and 20  
451 joins for the HAP2 assembly. Each chromosome join is padded with 10,000 Ns. Contigs  
452 terminating in significant telomeric sequence were identified using the (TTTAGGG)<sub>n</sub> repeat, and  
453 care was taken to make sure that they were properly oriented in the production assembly. The  
454 remaining scaffolds were screened against bacterial proteins, organelle sequences, GenBank nr  
455 and removed if found to be a contaminant. After forming the chromosomes, it was observed that  
456 some small (<20Kb) redundant sequences were present on adjacent contig ends within  
457 chromosomes. To resolve this issue, adjacent contig ends were aligned to one another using  
458 BLAT<sup>75</sup>, and duplicate sequences were collapsed to close the gap between them. A total of 5  
459 adjacent contig pairs were collapsed in the HAP1 assembly and 4 in the HAP2 assembly.

460 Finally, homozygous SNPs and INDELs were corrected in the HAP1 and HAP2 releases  
461 using ~49x of Illumina reads (2x150, 400bp insert) by aligning the reads using BWA-MEM<sup>76</sup>  
462 and identifying homozygous SNPs and INDELs with the GATK's UnifiedGenotyper tool<sup>77</sup>. A  
463 total of 465 homozygous SNPs and 15,763 homozygous INDELs were corrected in the HAP1  
464 release, while a total of 473 homozygous SNPs and 17,208 homozygous INDELs were corrected  
465 in the HAP2 release. The final version 2.0 HAP1 release contained 707.9 Mb of sequence,  
466 consisting of 59 contigs with a contig N50 of 36.3 Mb and a total of 99.69% of assembled bases  
467 in chromosomes. The final version 2.0 HAP2 release contained 700.3 Mb of sequence,  
468 consisting of 45 contigs with a contig N50 of 44.5 Mb and a total of 99.87% of assembled bases  
469 in chromosomes.  
470

471 **Genome annotation**

472 Transcript assemblies were made from ~757M pairs of 2x150 stranded paired-end Illumina  
473 RNAseq reads using PERTRAN, which conducts genome-guided transcriptome short read  
474 assembly via GSNAp<sup>78</sup> and builds splice alignment graphs after alignment validation,  
475 realignment and correction. To obtain 825K putative full-length transcripts, about 20M PacBio  
476 Iso-Seq CCSs were corrected and collapsed by a genome-guided correction pipeline, which  
477 aligns CCS reads to the genome with GMAP<sup>78</sup> with intron correction for small indels in splice  
478 junctions, if any, and clusters alignments when all introns are the same or 95% overlap for single  
479 exon. Subsequently 563,694 transcript assemblies were constructed using PASA<sup>79</sup> from ESTs  
480 and RNAseq transcript assemblies described above. Loci were determined by transcript assembly  
481 alignments and/or EXONERATE alignments of proteins from *Arabidopsis thaliana*, *Glycine*  
482 *max*, *Sorghum bicolor*, *Oryza sativa*, *Lactuca sativa*, *Helianthus annuus*, *Cynara cardunculus*,  
483 *Selaginella moellendorffii*, *Physcomitrella patens*, *Nymphaea colorata*, *Solanum lycopersicum*,  
484 and *Vitis vinifera*, and Swiss-Prot eukaryote proteomes to the repeat-soft-masked *Amborella*  
485 *trichopoda* HAP1 genome using RepeatMasker<sup>80</sup> with up to 2K BP extension on both ends  
486 unless extending into another locus on the same strand. Gene models were predicted by  
487 homology-based predictors, FGENESH+<sup>81</sup>, FGENESH\_EST (similar to FGENESH+, but using  
488 EST to compute splice site and intron input instead of protein/translated ORF), EXONERATE<sup>82</sup>,  
489 PASA assembly ORFs (in-house homology-constrained ORF finder), and AUGUSTUS<sup>83</sup>  
490 trained by the high-confidence PASA assembly ORFs and with intron hints from short-read  
491 alignments. The best scored predictions for each locus were selected using multiple positive  
492 factors, including EST and protein support, and one negative factor: overlap with repeats. The  
493 selected gene predictions were improved by PASA and the optimal set was selected using several  
494 curated gene quality metrics<sup>84</sup>. We assessed the gene annotations using compleasm v0.2.6<sup>85</sup>  
495 using the Embryophyta database.

496 We further annotated repeats using EDTA v2.0.0<sup>86</sup> using the sensitive mode that runs  
497 RepeatModeler<sup>87</sup>. To identify tandem repeats, we used Tandem Repeats Finder<sup>88</sup> (parameters 2  
498 7 7 80 10 50 500 -f -d -m -h). We ran StainedGlass v0.5<sup>89</sup> to visualize the massive tandem repeat  
499 arrays for chromosomes in both haplotypes. To build the repeat landscapes for assessing recent  
500 expansion events, we followed the methods outlined in EDTA Github Issue #92: Draw Repeat  
501 Landscapes, utilizing a library generated from an independent annotation on the combined  
502 haplotypes with EDTA v2.0.1.

503

504 **Comparisons between assembly haplotypes**

505 To plot comparisons between the two haplotypes, including genes and repeats, we used  
506 GENESPACE v.1.3.1<sup>90</sup>. To generate synteny between the two haplotypes, we first performed  
507 genome alignments. Haplotype 1 and haplotype 2 were aligned using AnchorWave<sup>91</sup> using the  
508 'genoAli' method and '-IV' parameter to allow for inversions. Alignment was performed using  
509 only "chromosome" sequence for each haplotype. The alignment was converted to SAM format

510 using the 'maf-convert' tool provided in 'last' <sup>92</sup> and used for calling variants with SyRI <sup>93</sup>. The  
511 output from SyRI was used to make chromosome-level synteny and SV plots using plotsr <sup>94</sup>.  
512

### 513 **Identification of the sex chromosome non-recombining region**

514 We used whole-genome sequencing data to identify the sex-determining region (SDR) of the W.  
515 All paired-end Illumina data had adapters removed and were quality filtered using  
516 TRIMOMATIC v0.39 <sup>95</sup> with leading and trailing values of 3, sliding window of 30, jump of  
517 10, and a minimum remaining read length of 40. We next found all canonical 21-mers in each  
518 isolate using Jellyfish v2.3.0 <sup>96</sup> and used the bash *comm* command to find all *k*-mers shared in all  
519 female isolates and not found in any male isolate (W-mers). We mapped the W-mers to both  
520 haplotype assemblies using BWA-MEM v0.7.17 <sup>76</sup>, with parameters '-k 21' '-T 21' '-a' '-c 10'.  
521 W-mer mapping was visualized by first calculating coverage in 100,000-bp sliding windows  
522 (10,000 bp jump) using BEDTools v2.28.0 <sup>97</sup> and plotted using karyoplotR v1.26.0 <sup>98</sup>.  
523

### 524 **Structural variation**

525 To identify structural variants between the haplotypes, we mapped PacBio reads using minimap2  
526 v2.24 <sup>99</sup> in HiFi mode, added the MD tag using samtools v1.10 *calmd*, and called structural  
527 variants using Sniffles v2.0.7 <sup>100</sup>. We also performed whole genome alignments using minimap2  
528 v2.24 <sup>99</sup> and visualized the dotplot using pafR v0.0.2 <sup>101</sup>.  
529

### 530 **Gene homology and protein evolution**

531 To identify one-to-one orthologs on the ZW to examine protein evolution, we ran OrthoFinder  
532 v.2.5.2 <sup>102,103</sup> using only the *Amborella* haplotypes. We calculated synonymous (Ks) and  
533 nonsynonymous (Ka) changes in codons using Ka/Ks Calculator v2.0 <sup>104</sup>.  
534

### 535 **Nucleotide differences between the sexes**

536 BWA v0.7.17 <sup>76</sup> was used to map reads and bcftools v1.9 *mpileup* and *call* <sup>105</sup> functions were  
537 used to call variants using the Island-wide sampling (nine male and six female plants; Table S9).  
538 We filtered the vcf file using 'QUAL>20 & DP>5 & MQ>30', minor allele frequency of 0.05,  
539 and dropped sites with > 25% missing data. To calculate Nei's nucleotide diversity between the  
540 sexes (dXY) we used pixy v1.2.7.beta1 <sup>106</sup>. dXY was calculated using 100,00bp windows with a  
541 10,000bp jump, and on the gene models only separately.  
542

### 543 **Presence-absence variation**

544 Presence-absence variation (PAV) was identified following the methods of Hu et al. <sup>107</sup> mapping  
545 reads from the Island-wide sampling (eight male and six female plants; the Atlanta Botanical  
546 Gardens isolate was removed due to low resequencing depth; Table S9) to our new reference  
547 genome and annotation. Briefly, reads for the samples were aligned to each haplotype using  
548 BWA v0.7.17 <sup>76</sup>. Sorted BAM files were converted to bedgraph format using bedtools v2.30.0 <sup>97</sup>.  
549 Genes were called absent if the horizontal coverage of exons was <5% and the average depth

550 was <2x. A test for equality in the proportion of PAV rate across chromosomes was performed in  
551 R using the `prop.test()` function.

552

### 553 **Gene expression analyses**

554 To examine gene expression and identify candidate sex-determining genes, we used existing  
555 RNAseq data from 10 females and 10 males <sup>11</sup>. From the reads, we first filtered using  
556 TRIMOMATIC (same parameters as above). Filtered reads were mapped to the haplotype 1  
557 genome assembly using STAR v2.7.9a <sup>108</sup> and expression estimated for the annotated gene  
558 models using StringTie v2.1.7 (-e, -G) <sup>109</sup>. We performed differential gene expression analyses  
559 using DESeq2 v1.32.0 <sup>110</sup>, with the contrast being between the sexes.

560

561

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