

1 **5-HT Neurons Integrate GABA and Dopamine Inputs to Regulate Meal Initiation**

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24 **KEY WORDS:** 5-HT, Meal regulation, Feeding Behavior, Dopamine, DRD2, GABA

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26

27 **ABSTRACT**

28 Obesity is a growing global health epidemic with limited effective therapeutics. Serotonin (5-HT)
29 is one major neurotransmitter which remains an excellent target for new weight-loss therapies, but
30 there remains a gap in knowledge on the mechanisms involved in 5-HT produced in the dorsal
31 Raphe nucleus (DRN) and its involvement in meal initiation. Using a closed-loop optogenetic
32 feeding paradigm, we showed that the $5\text{-HT}^{\text{DRN}} \rightarrow$ arcuate nucleus (ARH) circuit plays an important
33 role in regulating meal initiation. Incorporating electrophysiology and ChannelRhodopsin-2-Assisted
34 Circuit Mapping, we demonstrated that 5-HT^{DRN} neurons receive inhibitory input partially from
35 GABAergic neurons in the DRN, and the 5-HT response to GABAergic inputs can be enhanced
36 by hunger. Additionally, deletion of the GABA_A receptor subunit in 5-HT neurons inhibits meal
37 initiation with no effect on the satiation process. Finally, we identified the instrumental role of
38 dopaminergic inputs via dopamine receptor D2 in 5-HT^{DRN} neurons in enhancing the response to
39 GABA-induced feeding. Thus, our results indicate that 5-HT^{DRN} neurons are inhibited by
40 synergistic inhibitory actions of GABA and dopamine, which allows for the initiation of a meal.

41

42 **INTRODUCTION**

43 Obesity is currently one of the most significant problems in medicine and is a contributing risk
44 factor for type II diabetes, hypertension, stroke, and coronary heart disease ^{1, 2}. The worldwide
45 obesity epidemic continues to expand at an alarming rate while effective treatment developing at
46 a much slower rate. The available therapeutics, like the popular GLP-1 agonists, are promising yet
47 they still have some unwanted side effects, largely due to insufficient understanding of how the
48 brain manages body weight and feeding.

49

50 Brain-derived serotonin, also known as 5-hydroxytryptamine (5-HT), is primarily synthesized by
51 neurons in the dorsal Raphe nucleus (DRN) in the midbrain. 5-HT neurons in the DRN (5-HT^{DRN})
52 project to numerous brain regions, including the arcuate of the hypothalamus (ARH)³. The central
53 5-HT system has become an attractive target for anti-obesity therapies. For example, d-
54 fenfluramine (d-Fen), a pharmacological agent that increases brain 5-HT content⁴, was among the
55 most effective anti-obesity drugs when it was available, although this therapeutic was withdrawn
56 from the market due to adverse cardiac effects⁵. In addition, a selective 5-HT 2C receptor agonist
57 (lorcaserin) was approved by the FDA as an anti-obesity medicine in 2012 but was also withdrawn
58 due to an increased cancer risk^{6, 7}. Nevertheless, the 5-HT system remains a promising target for
59 anti-obesity therapeutics; however, the exact mechanism for central 5-HT actions on feeding and
60 body weight regulation remains elusive. A more thorough understanding of the neurocircuitry and
61 molecular pathways associated with 5-HT neurons has the potential to lead to the discovery of
62 superior therapeutic targets for obesity.

63

64 Previous studies found that both dopamine (DA) neurons residing in the ventral tegmental area
65 (DA^{VTA} neurons) and GABA neurons in the lateral hypothalamus (GABA^{LH} neurons) are involved
66 in hedonic feeding regulation ⁸⁻¹⁰ and promote feeding ¹¹⁻¹⁴. We previously found that DA neurons
67 in the mouse VTA bidirectionally regulate the activity of 5-HT^{DRN} neurons, with weaker
68 stimulation causing DRD2-dependent inhibition and overeating, and stronger stimulation causing
69 DRD1-dependent activation and anorexia ¹⁵. Further, in a separate study, we found that hunger-
70 driven feeding gradually activates ARH-projecting 5-HT^{DRN} neurons via reducing their
71 responsiveness to inhibitory GABAergic inputs¹⁰. In the current study, we first used the closed-
72 loop optogenetic approach to activate or inhibit the 5-HT^{DRN}→ARH circuit when fasted or fed
73 mice approached food and examined whether this circuit regulates meal initiation. We then
74 combined ChannelRhodopsin-2-Assisted Circuit Mapping (CRACM) and slice electrophysiology
75 to examine how 5-HT^{DRN} neurons respond to GABAergic inputs at fed or fasted conditions. We
76 further used genetic mouse models with the GABA_A receptor or DA receptors deleted in 5-HT
77 neurons to reveal how 5-HT^{DRN} neurons integrate GABAergic and DAergic signals to regulate
78 feeding behavior.

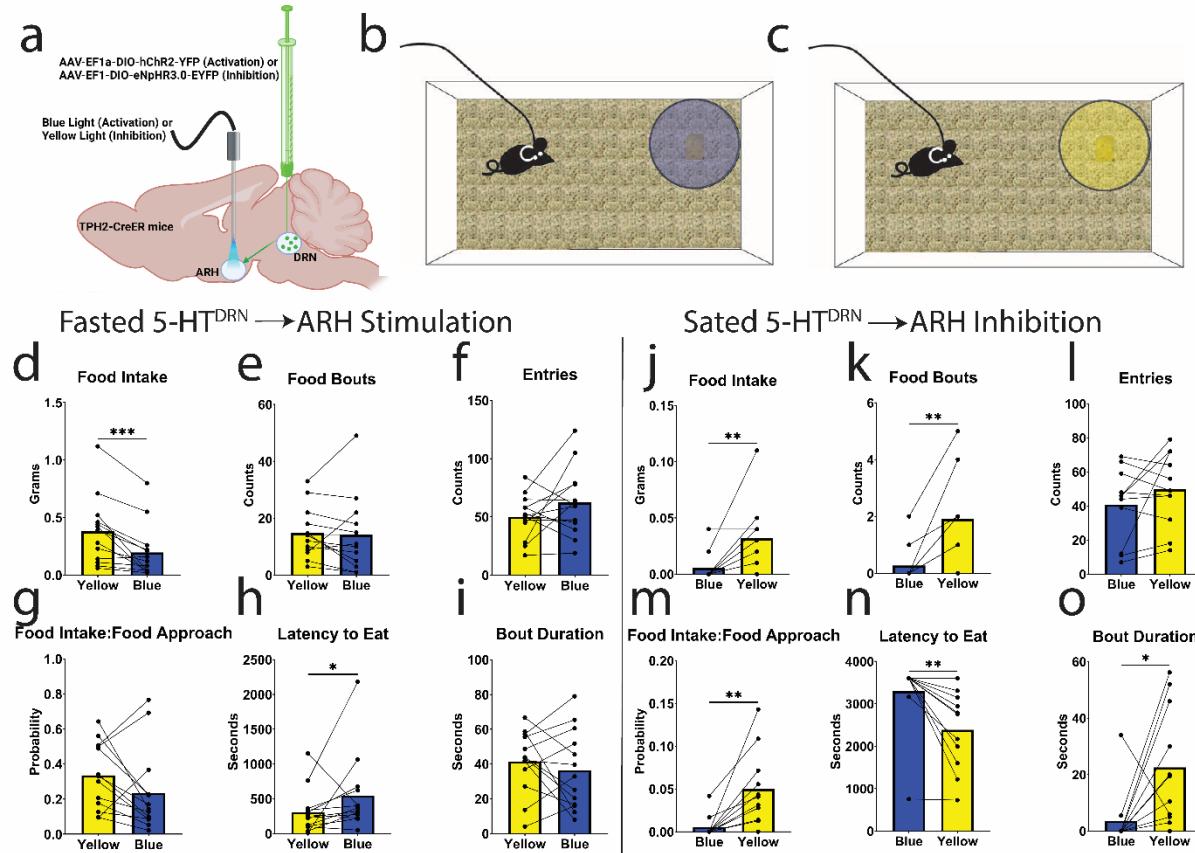
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80 **RESULTS**

81 **The 5-HT^{DRN}→ARH circuit regulates meal initiation**

82 It has been demonstrated that the 5-HT^{DRN}→ARH circuit regulates feeding^{10, 16-21}, but it remains
83 unclear whether this circuit regulates meal initiation or termination. Therefore, we used a closed-
84 loop optogenetic approach to determine if the 5-HT^{DRN}→ARH circuit regulates meal initiation. To
85 stimulate this circuit, we stereotactically injected a Cre-dependent AAV vector carrying ChR2 into
86 the DRN of TPH2-CreER mice and implanted an optic fiber for light delivery above the ARH (Fig.
87 1a and Fig. S1). Mice were fasted for 24 h and then provided with a food pellet in the home cage.
88 Importantly, blue light photostimulation (or yellow light as control) was initiated when mice
89 entered to the “food approach zone” (within 5 cm of the food pellet) and ceased when mice left
90 the zone (Fig. 1b-c). We found that food intake was significantly reduced and the latency to eat
91 was significantly increased with stimulation of the 5-HT^{DRN}→ARH circuit upon food approaching,
92 compared to yellow-light control (Fig. 1d and h). There were no significant differences in food
93 bouts, entries to the food approach zone, probability of food intake upon approach, or food bout
94 duration (Fig. 1e-g and i). In a separate cohort of TPH2-CreER mice, we stereotactically injected
95 a Cre-dependent AAV vector carrying eNpHR3.0 into the DRN and implanted an optic fiber above
96 the ARH to allow for photoinhibition of the 5-HT^{DRN}→ARH circuit (Fig. 1a). These mice were
97 tested in a sated state (being fed ad libitum) with the similar closed-loop protocol: yellow light
98 photoinhibition (or blue light as control) was initiated when mice entered to the “food approach
99 zone” and ceased when mice left the zone (Fig. 1b-c). Interestingly, photoinhibition of the 5-
100 HT^{DRN}→ARH circuit caused significant increases in food intake, food bouts, probability of food
101 intake upon approach, and bout duration compared to blue light control (Fig. 1j, k, m, and o). We
102 also found a significant decrease in the latency to eat (Fig. 1n) but no significant difference in the

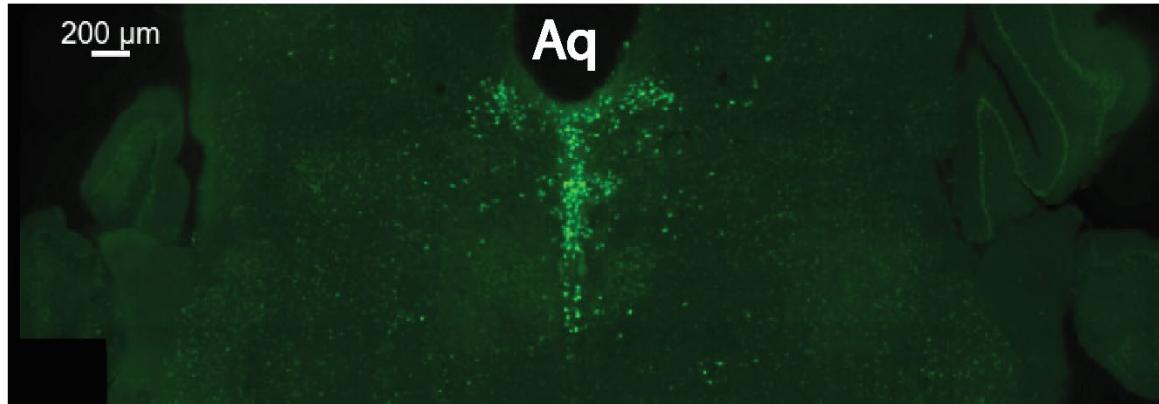
103 entries to the food zone (Fig. 11). Taken together, these data indicate that the 5-HT^{DRN}→ARH
104 circuit plays an important role in meal initiation and food intake.



105
106 **Fig. 1: The 5-HT^{DRN}→ARH circuit regulates meal initiation.** (a) Schematic of viral injection
107 and optic fiber placement. (b) Schematic of blue light stimulation during food approaching. (c)
108 Schematic of yellow light inhibition during food approaching. Results in fasted mice with blue
109 light stimulation of the 5-HT^{DRN}→ARH circuit during food approaching (left): (d) food intake, (e)
110 food bouts, (f) entries to the food approach zone, (g) probability of food intake during food
111 approaching, (h) latency to eat, (i) bout duration. Results in sated mice with yellow light inhibition
112 of the 5-HT^{DRN}→ARH circuit during food approach (right): (j) food intake, (k) food bouts, (l)
113 entries to the food approach zone, (m) probability of food intake during food approaching, (n)
114 latency to eat, (o) bout duration. Data were analyzed with a paired nonparametric Wilcoxon Test.

115 Data are presented as mean \pm SEM, n=11-13 male mice. p < 0.05 *, p < 0.01 **, p < 0.001 ***, p
116 < 0.0001 ****.

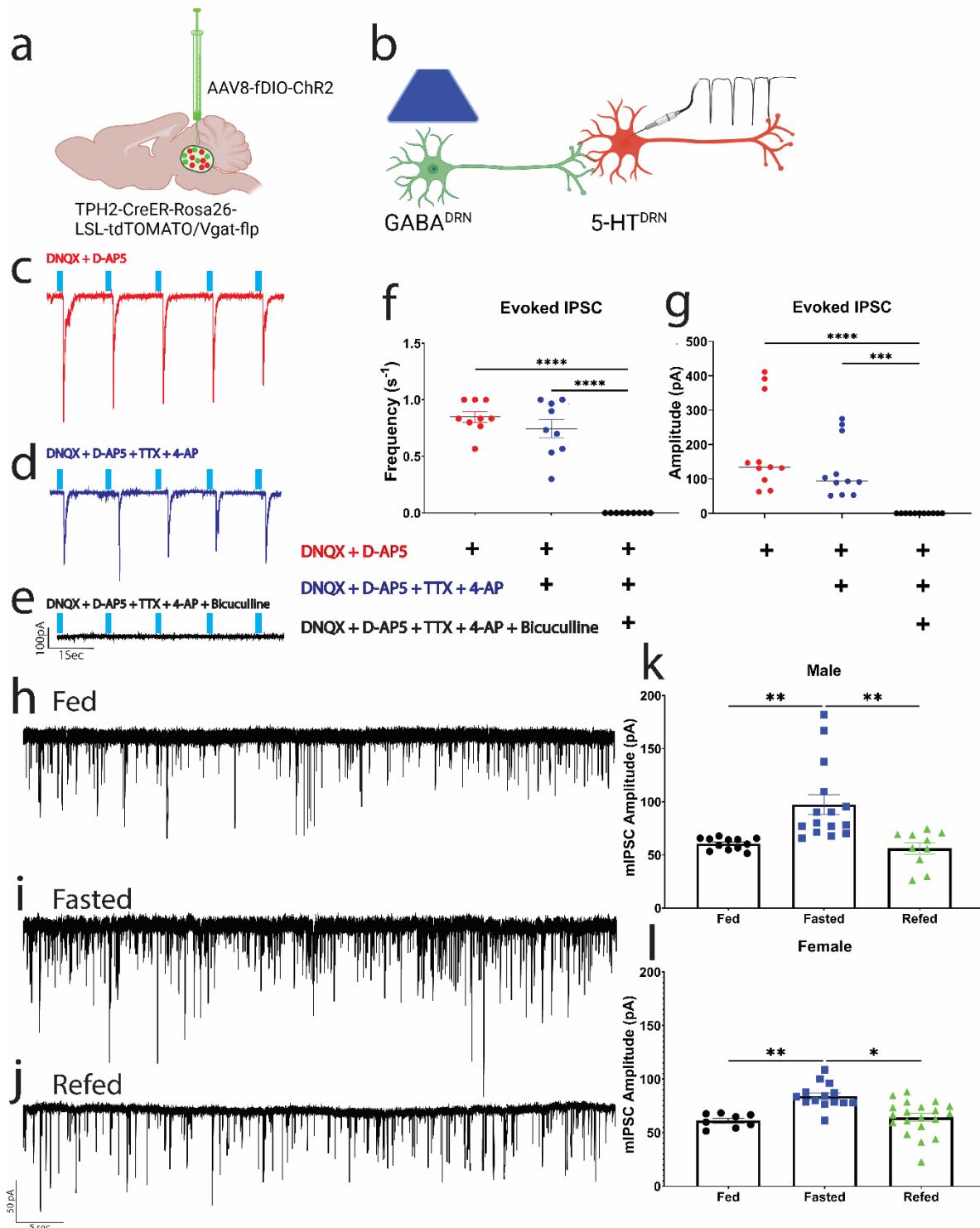
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117
118 **Fig. S1: Validation of virus and fiber placement.** (a) Representative image of virus placement
119 in the DRN. Scale bar = 200 μ m. 3V, third ventricle; Aq, aqueduct; DRN, dorsal Raphe nucleus.

120
121 **Responses of 5-HT^{DRN} neurons to GABAergic inputs are regulated by hunger**
122 Since GABAergic neurons within the DRN have local projections and also regulate feeding
123 behavior ²², we next examined if 5-HT^{DRN} neurons receive local GABAergic inputs, using the
124 CRACM approach. Briefly, we stereotactically injected a Flpo-dependent ChR2 into the DRN of
125 TPH2-CreER/Rosa26-LSL-tdTOMATO/Vgat-Flp mice to express ChR2 in Vgat-expressing
126 neurons in the DRN (GABA^{DRN} neurons, Fig. 2a). In these mice, a tamoxifen injection (0.2 mg/g,
127 intraperitoneal) induced Cre recombinase activity in TPH2-expressing (5-HT) neurons and
128 therefore labeled these 5-HT neurons with tdTOMATO. We then prepared brain slices containing
129 the DRN and recorded evoked Inhibitory Post Synaptic Currents (eIPSC) in 5-HT^{DRN} neurons
130 while stimulating GABA^{DRN} neurons with blue light (Fig. 2b). These recordings were performed
131 in the presence of 30 μ M DNQX and 30 μ M D-AP5 to isolate inhibitory inputs, and we detected

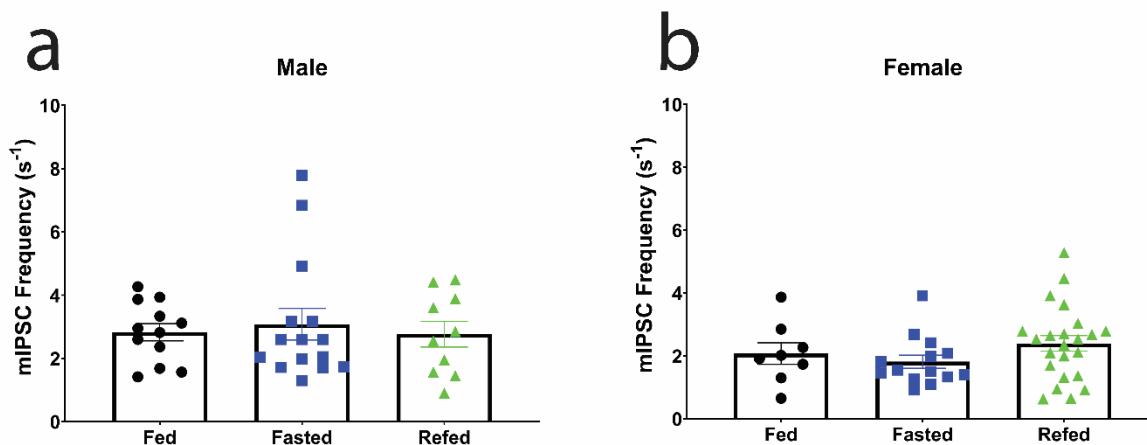
132 time-locked IPSCs evoked with blue light stimulation of GABA^{DRN} neurons (Fig. 2c), indicating
133 that the recorded 5-HT^{DRN} neurons receive inhibitory inputs from local GABA^{DRN} neurons. Then,
134 we eliminated action potential propagation via Na²⁺ and K⁺ channels with the addition of 1 μM
135 TTX and 100 μM of 4-AP, and under this condition, the eIPSCs remained without changes in
136 frequency or amplitude (Fig. 2d and f-g), supporting monosynaptic neurotransmission. Finally, 50
137 μM of Bicuculline was added which eliminated eIPSCs (Fig. 2e-g), confirming that this input was
138 indeed GABAergic. To further examine how GABAergic inputs to 5-HT^{DRN} neurons are regulated
139 by hunger status, we recorded miniature IPSC (mIPSC) in 5-HT^{DRN} neurons from male and female
140 mice fed ad libitum, fasted for 24 h, or fasted for 24 h followed by refeeding for 2 h (Fig. 2h-l).
141 We found that the amplitude of mIPSCs in 5-HT^{DRN} neurons were significantly increased by 24 h
142 fasting compared to fed mice, which was normalized by the 2-h refeeding (Fig. 2k-l). Notably, no
143 significant differences were observed in mIPSC frequency at these conditions (Fig. S2). Together,
144 these results indicate that 5-HT^{DRN} neurons receive inhibitory inputs partially from the local
145 GABAergic neurons, and their responses to GABAergic inputs can be enhanced by hunger and
146 reduced by feeding. Considering that mIPSC amplitude but not frequency was regulated, we
147 suggest that these regulations are largely mediated by post-synaptic mechanisms.



148

149 **Fig. 2: GABA inhibition of 5-HT^{DRN} neurons is regulated by hunger.** (a) Schematic
 150 representation of viral approach. (b) Schematic of optogenetic electrophysiology recording. (c-e)

151 Representative evoked inhibitory post-synaptic current (eIPSC) traces. (f) Quantification of eIPSC
152 frequency from c-e. (g) Quantification of eIPSC amplitude from c-e. (h-j) Representative miniature
153 inhibitory post-synaptic current (mIPSC) traces. (k-l) mIPSC amplitude quantification of 5-HT^{DRN}
154 neurons from male (k) and female (l) mice in a fed, 24 h fasted and 24 h fasted followed by 2 h
155 refeed (refed) state. Data were analyzed with a two-way ANOVA with post-hoc multiple
156 comparisons. Data are presented as mean \pm SEM. For f-g, n=9 cells from 4 male mice. For h-l,
157 n=8-21 cells from 3-5 male and female mice. $p < 0.05$ *, $p < 0.01$ **, $p < 0.001$ ***, $p < 0.0001$
158 ****.



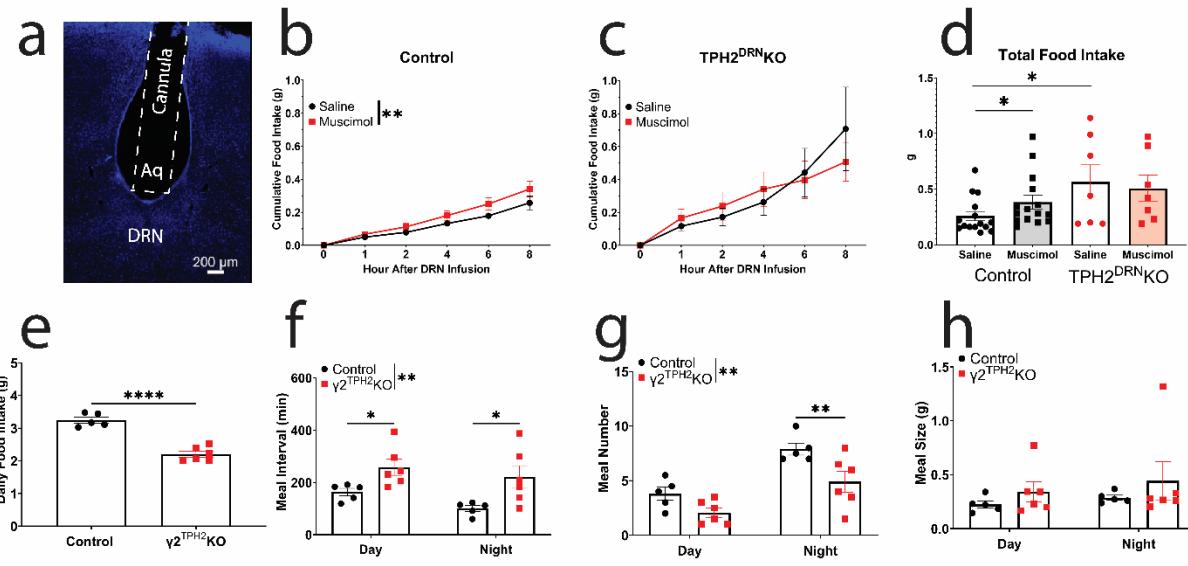
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160 **Fig. S2: mIPSC frequency in 5-HT^{DRN} neurons.** mIPSC frequency in males (a) and females (b)
161 in a fed, 24 h fasted and 24 h fasted followed by 2 h refeed (refed) state. Data were analyzed with
162 a two-way ANOVA with post-hoc multiple comparisons. Data are presented as mean \pm SEM, n=8-
163 21 cells from 3-5 male and female mice.

164
165 **GABAergic actions in 5-HT^{DRN} neurons regulate meal initiation**
166 It has been reported that GABA signals within the DRN can initiate feeding in sated mice²². Since
167 we observed that GABA^{DRN} neurons provide monosynaptic inhibitory inputs to 5-HT^{DRN} neurons,

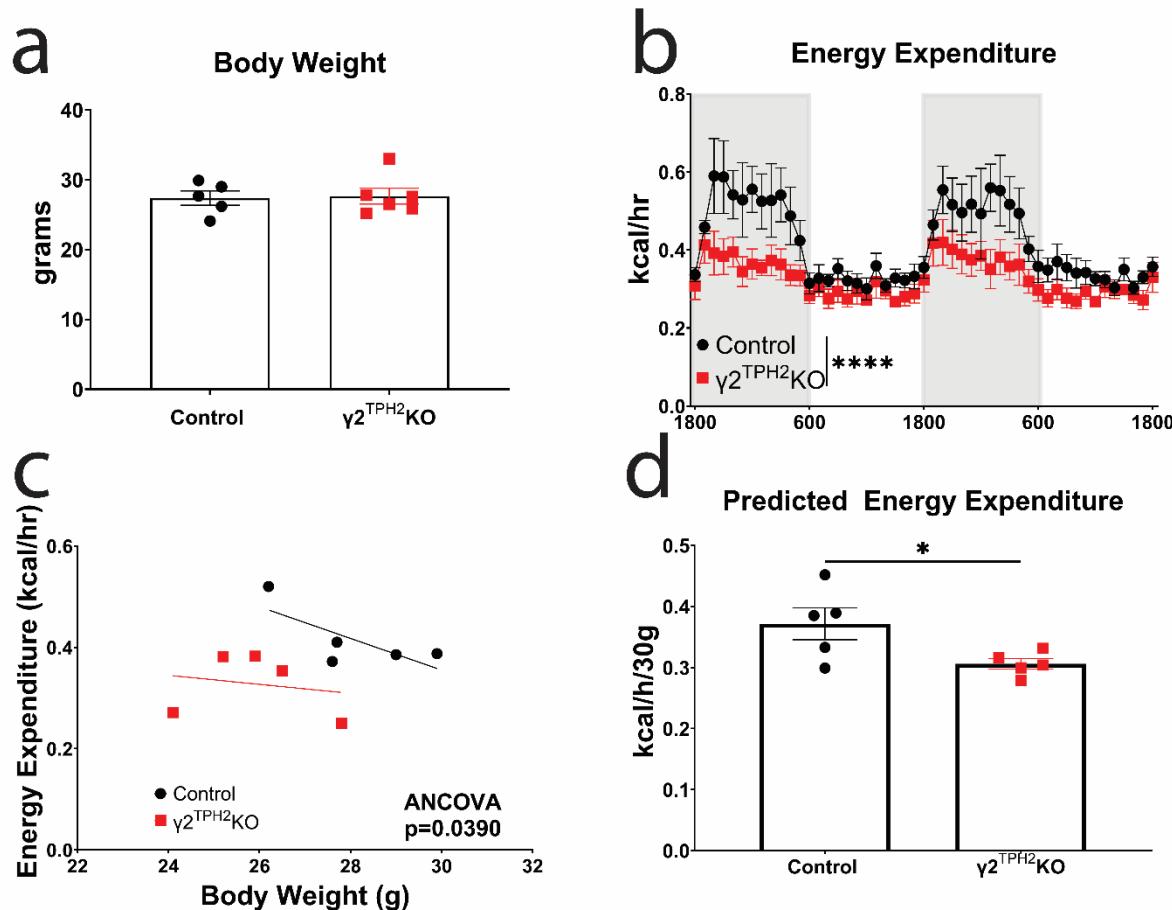
168 we next examined whether DRN GABA-induced feeding involves 5-HT signals. To this end, we
169 surgically implanted a cannula above the DRN to allow for direct delivery of a GABA agonist,
170 Muscimol (Fig. 3a). After recovery from the surgery, 0.5 μ l of Muscimol was infused at a
171 concentration of 0.5 μ g/ μ l into the DRN of sated mice, and the same volume of sterile saline was
172 used as a control. We found that in control mice, Muscimol significantly increased food intake
173 compared to saline (Fig. 3b and d). We then performed the same DRN Muscimol/saline infusion
174 in mice lacking TPH2 (the rate limiting enzyme for 5-HT synthesis) in the DRN (TPH2^{DRN}KO
175 mice). Interestingly, Muscimol had no effect on food intake in TPH2^{DRN}KO mice (Fig. 3c and d).
176 Notably, saline-treated TPH2^{DRN}KO mice consumed significantly more food compared to saline-
177 treated controls (Fig. 3d), consistent with the notion that 5-HT synthesized by DRN neurons is
178 anorexigenic ¹⁶. These data indicate the DRN GABA signals within the DRN can trigger food
179 intake and this effect involves 5-HT signals.

180
181 Subsequently, we examined the physiological relevance of GABAergic inputs to 5-HT^{DRN} neurons
182 in feeding regulation. To this end, we generated mice lacking γ 2 (a pore-forming subunit of
183 GABA_A receptor) in 5-HT neurons (γ 2^{TPH2}KO mice). We found that γ 2^{TPH2}KO mice had decreased
184 daily food intake (Fig. 3e). Further detailed meal pattern analysis revealed that loss of γ 2 from 5-
185 HT neurons significantly increased meal interval (Fig. 3f), and decreased meal number at night
186 (Fig. 3g). The increased meal interval and decreased meal number suggest that reduced
187 GABAergic signals in 5-HT neurons inhibits meal initiation. Notably, there was no significant
188 change in meal size (Fig. 3h), suggesting that GABAergic signals in 5-HT neurons are not involved
189 in the satiation process. In addition, we found that body weight of γ 2^{TPH2}KO mice was comparable

190 to control littermates (Fig. S3a), likely due to the observed reduction in energy expenditure in these
191 mice (Fig. S3b-d).



192
193 **Fig. 3: GABAergic actions in 5-HT^{DRN} neurons regulate meal initiation.** (a) Representative
194 image of cannula placement above the DRN. (b) Cumulative food intake results in control mice
195 after saline or Muscimol DRN infusion. (c) Cumulative food intake results in TPH2^{DRNKO} mice
196 after saline or Muscimol DRN infusion. (d) Cumulative food intake results in control and
197 TPH2^{DRNKO} mice after saline or Muscimol DRN infusion. (e) Average daily food intake, (f) meal
198 interval, (g) meal number, and (h) meal size in $\gamma 2^{TPH2KO}$ mice and control littermates. Data were
199 analyzed with a two-way ANOVA with post-hoc multiple comparisons, except (d) which was
200 analyzed by two-sided unpaired t-test. Data are presented as mean \pm SEM, (b) n=15 male mice,
201 (c) n=8 male mice, (d-g) n=5-6 male mice. p < 0.05 *, p < 0.01 **, p < 0.001 ***, p < 0.0001 ****.



203

204 **Fig. S3: Body weight and energy expenditure in $\gamma 2^{TPH2}KO$.** $\gamma 2^{TPH2}KO$ and control littermate (a)
205 body weight, (b) hourly energy expenditure, (c) energy expenditure plotted against body weight
206 as a covariate, and (d) predicted energy expenditure for a 30 g mouse. Data were analyzed by (a
207 & d) t-test, (b) two-way ANOVA with post-hoc multiple comparisons, and (c) ANCOVA. Data
208 are presented as mean \pm SEM, n=5 male mice. $p < 0.05$ *, $p < 0.01$ **, $p < 0.001$ ***, $p < 0.0001$
209 ****.

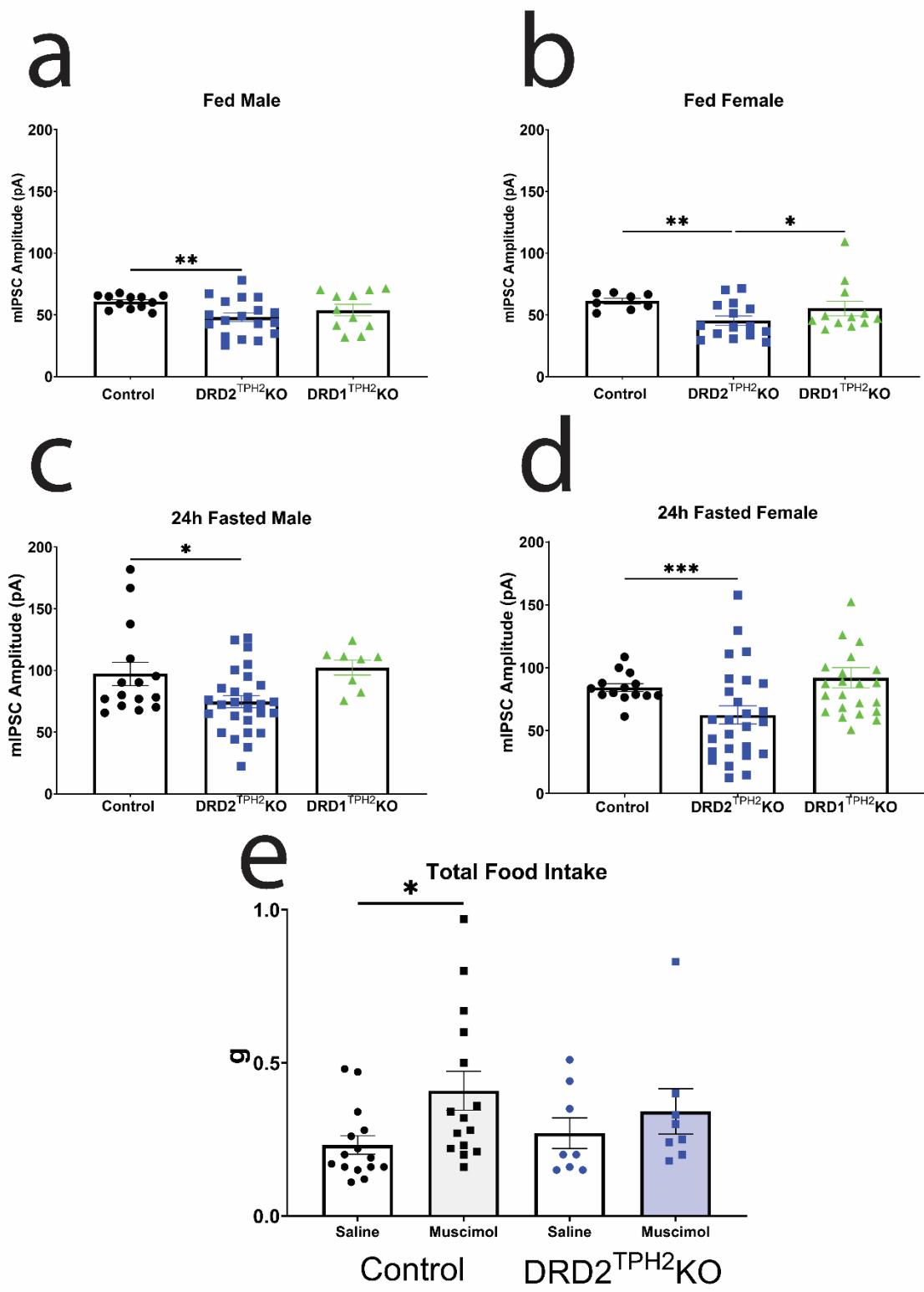
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211 **DRD2 enhances 5-HT^{DRN} neuronal responses to GABAergic inputs**

212 We have previously reported that 5-HT^{DRN} neurons receive DAergic inputs via DA receptors
213 DRD1 and DRD2 signaling¹⁵. Thus, we further explored whether these DA receptors affect how

214 5-HT^{DRN} neurons respond to GABAergic inputs. Briefly, we performed mIPSC recordings in
215 control, DRD2^{TPH2KO}, and DRD1^{TPH2KO} male and female mice that were fed ad libitum or fasted
216 for 24 h. In the fed state, the amplitude of mIPSCs in DRD2^{TPH2KO} mice (both males and females)
217 was significantly decreased compared to control mice, while the mIPSC amplitude in
218 DRD1^{TPH2KO} mice was comparable to that of control mice (Fig. 4a-b). Similarly, we detected
219 significantly reduced mIPSC amplitude in 5-HT^{DRN} neurons from DRD2^{TPH2KO} mice after a 24 h
220 fasting, but the mIPSC amplitude in DRD1^{TPH2KO} mice was comparable to that of control mice
221 (Fig. 4c-d). In the fed condition, the mIPSC frequency in 5-HT^{DRN} neurons was significantly
222 reduced in DRD1^{TPH2KO} female mice, but not in DRD2^{TPH2KO} female mice, compared to control
223 mice, whereas in all other conditions tested, the mIPSC frequency remained comparable among
224 the groups (Fig. S4). These data suggest that DRD2 signals within 5-HT^{DRN} neurons are required
225 to enhance their responses to GABAergic inputs, while DRD1 signals have little to no effect.
226 Finally, we examined feeding responses to DRN infusions of Muscimol in DRD2^{TPH2KO} mice.
227 While infusions of Muscimol into the DRN significantly increased food intake in control mice,
228 these orexigenic effects were blunted in DRD2^{TPH2KO} mice (Fig. 4e). Taken together, these data
229 indicate that DRD2 signals in 5-HT^{DRN} neurons are required for these neurons to fully respond to
230 GABA inhibitory inputs and therefore contribute to the GABA-induced feeding.

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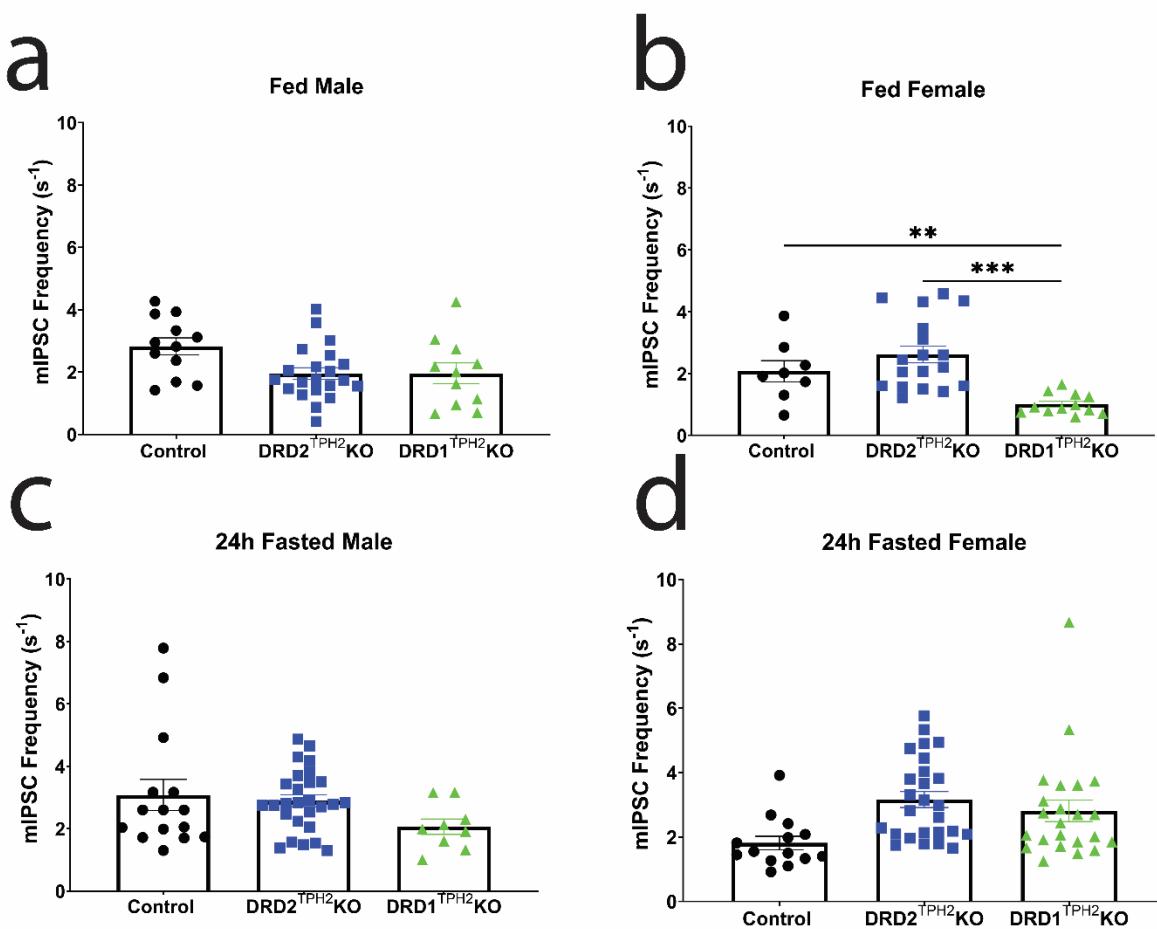
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233 **Fig. 4. DRD2 enhances 5-HT^{DRN} neuronal responses to GABAergic inputs. mIPSC amplitude**

234 in control, DRD2^{TPH2KO}, and DRD1^{TPH2KO} (a) fed males and (b) fed females. (c) 24 h fasted

235 males and (d) females. (e) Total food intake in control and $DRD2^{TPH2KO}$ male mice after saline
236 or Muscimol DRN infusion. Control mIPSC amplitude is the same as presented in Fig. 2k-l.
237 Control Muscimol response is the same as presented in Fig. 3b. Data were analyzed with a two-
238 way ANOVA with post-hoc multiple comparisons. Data are presented as mean \pm SEM, (a-d) n=8-
239 21 cells from 3-5 male and female mice, (e) n=8-15 male mice. $p < 0.05$ *, $p < 0.01$ **, $p < 0.001$
240 ***, $p < 0.0001$ ****.

241



242

243 **Fig. S4. mIPSC frequency.** mIPSC frequency in control, $DRD2^{TPH2KO}$, and $DRD1^{TPH2KO}$ (a)
244 fed males and (b) fed females. (c) 24 h fasted males and (d) females. Control mIPSC data are the

245 same that are presented in Fig. S2. Data were analyzed with a two-way ANOVA with post-hoc
246 multiple comparisons. Data are presented as mean \pm SEM, n= 8-21 cells from 3-5 male and female
247 mice. $p < 0.05$ *, $p < 0.01$ **, $p < 0.001$ ***, $p < 0.0001$ ****.

248

249 **DISCUSSION**

250 Using a closed-loop optogenetic feeding paradigm, we showed that the 5-HT^{DRN} → ARH circuit
251 plays an important role in regulating meal initiation and food intake. We further used the CRACM
252 approach coupled with electrophysiology to demonstrate that 5-HT^{DRN} neurons receive inhibitory
253 inputs partially from GABAergic neurons in the DRN, and the 5-HT^{DRN} neuronal response to this
254 GABAergic input can be enhanced by hunger. Further, we found that a direct DRN infusion of the
255 GABA_A receptor agonist (Muscimol) can enhance feeding in sated mice, and this effect involves
256 5-HT signals. Additionally, deletion of the GABA_A receptor subunit in 5-HT neurons inhibits meal
257 initiation but has no effect on the satiation process. Finally, we identified the instrumental role of
258 DAergic input via DRD2 in 5-HT^{DRN} neurons in enhancing their response to GABAergic inputs
259 and therefore GABA-induced feeding.

260

261 The sources of GABAergic inputs to 5-HT^{DRN} neurons have been established as coming from
262 several regions of the brain including the LH²³, VTA²⁴, substantia nigra, amygdala, and preoptic
263 area²⁵. Further, our work corroborates findings which have established that local GABA^{DRN}
264 neurons also contribute to the inhibition of 5-HT^{DRN} neurons^{26, 27}. Inputs from these distinct
265 sources have been found to contribute directly to feeding^{10-12, 28}, including our own work, while
266 others contribute to different behaviors like social defeat²⁶, reward²⁷, and wakefulness²⁹. These
267 GABAergic signals are dynamic during the hunger-satiation transition. For example, in a fed
268 condition, responses to GABAergic signals are decreased, leading to increased 5-HT^{DRN} neuron
269 activity, and subsequently inhibit meal initiation. In a fasted condition, 5-HT^{DRN} neuronal
270 responses to GABAergic signals are increased, leading to inhibition of these 5-HT^{DRN} neurons,
271 which subsequently allow for meal initiation¹⁰. Importantly, our current work further suggests a

272 post-synaptic (but not pre-synaptic) mechanism for the GABA dynamics in 5-HT neurons, given
273 the changes in mIPSC amplitude but not frequency. In addition to the multiple sources of
274 GABAergic inputs to 5-HT^{DRN} neurons, there are also other neurotransmitters which may mediate
275 the actions of GABA in this context, including glutamate ²² as well as DA ^{14, 15}.

276

277 We have previously shown that 5-HT^{DRN} neurons also receive DAergic inputs, primarily from the
278 VTA ¹⁵. Interestingly, tonic firing (<10 s⁻¹ in frequency) of DA^{VTA} neurons inhibits 5-HT^{DRN}
279 neurons via the DRD2, leading to overeating; on the other hand, phasic bursting (>10 s⁻¹ in
280 frequency) of DA^{VTA} neurons activates 5-HT^{DRN} neurons via the DRD1, resulting in anorexia-like
281 behavior in mice ^{15, 30}. Notably, the phasic bursting of DA^{VTA} neurons can be triggered by
282 pathological conditions, e.g. the activity-based anorexia, but is rarely seen in mice under normal
283 feeding conditions ¹⁵. Consistently, we observed that the dynamic changes in GABAergic signals
284 in 5-HT^{DRN} neurons at fed vs. fasted conditions were only affected by the DRD2 deletion while
285 the DRD1 deletion only had a minor effect, presumably because DA^{VTA} neurons predominantly
286 exhibit a tonic firing pattern at this physiological condition. Similar to local GABA inhibition of
287 5-HT^{DRN} neurons, there are also local DA neurons located in the DRN, which have been reported
288 to regulate social interactions ³¹. Whether these DA neurons within the DRN, or other DA neurons
289 in the brain, can also contribute to the dynamic 5-HT^{DRN} neuronal activity during feeding remains
290 to be explored.

291

292 Our results show that the lack of DRD2 in 5-HT neurons reduces mIPSC amplitude, indicating
293 that DRD2 signaling can influence how 5-HT^{DRN} neurons respond to GABAergic inputs. However,
294 the molecular mechanisms for this integration between DAergic and GABAergic signals remain

295 unclear. It was reported that the GABA_A receptor can form a complex with DA receptor D5
296 (DRD5) via the direct binding of the DRD5 carboxy-terminal domain with the second intracellular
297 loop of the GABA_A $\gamma 2$ subunit ³². This physical association enables mutually functional
298 interactions between these receptors ³². We speculate that the GABA_A $\gamma 2$ receptor subunit and
299 DRD2 may also interact with each other, as one potential mechanism underlying the observed
300 DRD2 influence on GABAergic signaling in 5-HT^{DRN} neurons. Of course, we cannot exclude other
301 possibilities that DRD2 signaling may affect expression of GABA_A receptors or their intracellular
302 trafficking ³³. Additional investigations are warranted to explore these mechanisms.

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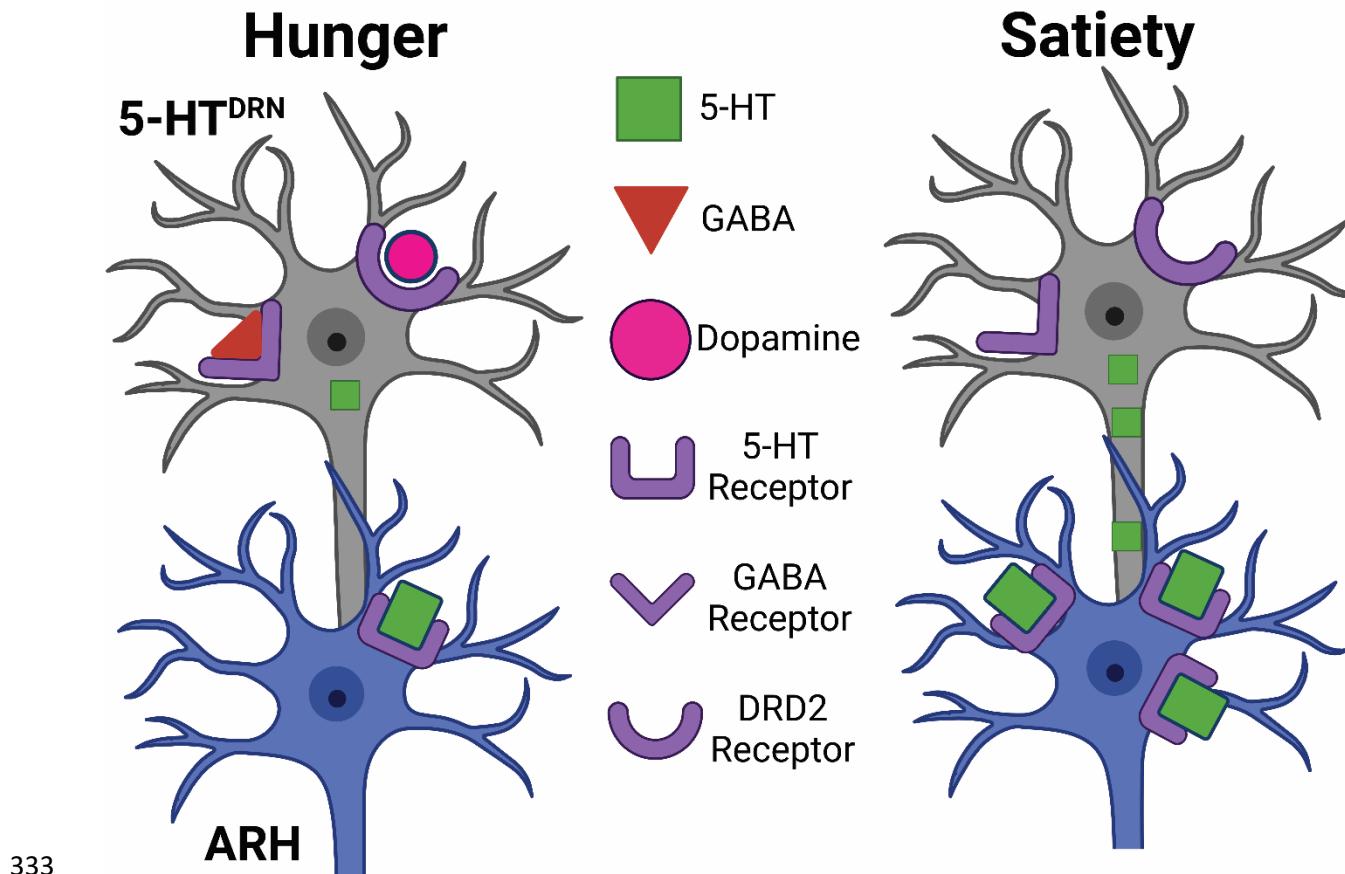
304 Further, our data suggest that ARH-projecting 5-HT^{DRN} neurons regulate meal initiation. For
305 example, in fasted mice that naturally engage frequent eating bouts, activation of the 5-
306 HT^{DRN} \rightarrow ARH circuit, only when mice approach the food, can significantly delay the initiation of
307 an eating bout, and reduce total food intake. On the other hand, in sated mice that barely eat,
308 inhibition of this circuit is sufficient to trigger eating with reduced latency and increased bouts. In
309 addition, the loss of GABA_A receptor subunit in 5-HT neurons leads to increased meal interval and
310 decreased meal number, without changing the meal size, indicating reduced meal initiation in these
311 mice. The downstream projection from 5-HT^{DRN} \rightarrow ARH neurons involve Agouti-Related Protein
312 (AgRP) neurons in the ARH ^{10, 28, 34}. This projection to AgRP^{ARH} neurons is critical as AgRP^{ARH}
313 neurons have been found to be a key regulator of meal initiation and food intake ^{28, 34}. In the other
314 direction, the upstream GABAergic input to 5-HT^{DRN} neurons (from the LH ¹¹ and locally) plays
315 a clear role in meal initiation, as evidenced by the disrupted meal pattern observed in $\gamma 2^{TPH2KO}$
316 mice.

317

318 Limitations in our studies include the unexplained changes in mIPSC frequency in fed female
319 DRD1^{TPH2KO} mice but not observed in any other groups. This could be due to differences in
320 estrous cycle at the time of sacrifice. Our data indicate a primarily post-synaptic mechanism due
321 to the consistent change in mIPSC amplitude. However, we could not fully rule out the possibility
322 that a pre-synaptic mechanism could also contribute to this proposed circuit. An additional
323 limitation of our study is that some studies (electrophysiology) were repeated in females with
324 similar outcome as males, but not all studies are performed in both sexes. Additional experiments
325 including females needs to be done, taking the estrous cycle into account, to determine if there are
326 any sex differences involved in this circuit.

327
328 In summary, our data support a model that in a state of hunger, 5-HT neurons in the DRN are
329 inhibited by synergistic actions of GABA and DA (via the DRD2), which allows the initiation of
330 a meal. As animals feed and satiety is reached, the inhibitory signals in 5-HT^{DRN} neurons are
331 reduced, leading to increased 5-HT release to inhibit feeding via projections to the ARH (Fig. 5).

332



340 **METHODS**

341 **Mice**

342 Care of all animals and procedures were approved by Baylor College of Medicine Institutional
343 Animal Care and Use Committees. Mice were housed in a temperature-controlled environment at
344 22–24 °C, using a 12-h light, 12-h dark cycle. The mice were fed with regular chow (Pico Lab,
345 LabDiet, 5V5R). Water was provided ad libitum.

346

347 Multiple lines of transgenic mice were used in the current study, as summarized in **Table 1**. TPH2-
348 CreER mice were purchased from Jackson Laboratory (016584) that express tamoxifen-inducible
349 Cre recombinase selectively in 5-HT neurons, as we validated previously^{15, 35}. Furthermore, we
350 crossed TPH2-CreER allele onto $\gamma 2^{fl/fl}$ mice³⁶ (Jackson Laboratory, #019083) a model we
351 previously validated¹⁰. In addition, we crossed Drd1 $^{fl/fl}$ mice (Jackson Laboratory, 025700)³⁷ and
352 TPH2-CreER mice to generate Drd1 $^{fl/fl}$ /TPH2-CreER mice (DRD1^{TPH2KO}) and their littermate
353 controls (Drd1 $^{fl/fl}$). Similarly, we crossed Drd2 $^{fl/fl}$ mice (Jackson Laboratory, 020631)³⁸ and TPH2-
354 CreER mice to generate Drd2 $^{fl/fl}$ /TPH2-CreER mice (DRD2^{TPH2KO}) and their littermate controls
355 (Drd2 $^{fl/fl}$). DRD1^{TPH2KO} and DRD2^{TPH2KO} have been used and validated previously by our lab¹⁵.
356 All mice received tamoxifen injections (0.2 mg/g, intraperitoneal) at 8 weeks of age to induce Cre
357 activity. All the breeders have been backcrossed to C57Bl6j background for >12 generations.

358

359 For studies including female mice, estrous phase was recorded at time of sacrifice. Data were
360 analyzed between females by estrous phases; since no significant differences were observed
361 among different estrous phases, female data were pooled regardless of the estrous phases.

362

363 **Closed-Loop Optogenetics**

364 Two weeks after tamoxifen induction, mice were stereotactically injected with either AAV-EF1a-
365 DIO-hChR2 (H123R)-YFP (4x1012 GC per ml) or AAV-EF1-DIO-eNpHR3.0-EYFP (4x1012 GC
366 per ml) into the DRN with coordinates AP: -4.65, ML: 0, DV: -3.4 from the bregma (250 nl) and
367 AP: -4.65, ML: 0, DV:-3.1 from the bregma (250 nl). In the same surgery, an optic fiber (RWD
368 Fiber Optic Cannula with 1.25mm Ceramic Ferrule, 200um core, 0.39NA, 6mm long) was
369 implanted above the ARH with coordinates AP: -1.7, ML: -.30 DV: -5.9 from the bregma. After 4
370 weeks of recovery, mice were acclimated to optogenetics fibers, testing room, and glass petri dish
371 four times for 10 minutes each prior to experimentation. For stimulation studies, mice were fasted
372 for 24 h prior to testing; for inhibition studies, mice were tested in a fed state. All mice were moved
373 to a new home cage on the morning of the experiment. Mice were allowed to acclimate to testing
374 room for a minimum of 1 h prior to testing. All mice were tested 1 week apart, and the order of
375 light exposure (blue and yellow light) and testing order was randomized each week.

376

377 Mouse bedding was removed, and a glass petri dish (10 cm) was placed in the corner of the home
378 cage. A single piece of weighed chow was placed in the center of the petri dish and bedding was
379 placed inside the dish, surrounding the chow. The optogenetic fiber was coupled to the implanted
380 fiber and mice were allowed to freely roam their home cage for 1 h. Optogenetic blue light (473 nm,
381 10 ms per pulse, 20 s⁻¹ frequency) or yellow light (589 nm, 10 ms per pulse, 20 s⁻¹ frequency) was
382 provided once both front paws entered the food zone (inside the petri dish) and remained on until
383 the front paws exited the food zone. The chow was weighed again at the end of the hour. Fasted
384 mice were immediately provided access to food upon the end of the experiment.

385

386 Videos of the mouse behavior was manually analyzed by three investigators. Analysis included
387 food intake, calculated by the weight of food pellet at start minus the weight of food pellet at the
388 end of the experiment. Food bout time was defined as a period of continuous eating lasting longer
389 than one bite or one second. Number of entries to the food approach zone was recorded. The
390 probability of food intake to food approach was calculated by food bouts divided by entries to the
391 food approach zone. The latency to first bite was defined as the time from the start of the
392 experiment for the mouse to take first bite of food. The bout duration was calculated as the average
393 period spent in an eating bout.

394

395 All mice were perfused to validate the accuracy of the viral injection as well as optic fiber
396 placement. Mice with inaccurate viral or fiber placement were excluded from the dataset. The
397 order of blue and yellow light stimulation was randomized to avoid potential sequence effects.

398

399 **Electrophysiology**

400 Mice were sacrificed when fed ad libitum, after a 24 h fasting, or after a 24 h fasting followed by
401 a 2 h refeeding. The estrous cycle was checked in female mice prior to sacrifice. Mice were deeply
402 anesthetized with isoflurane and transcranial perfused with a modified ice-cold sucrose-based
403 cutting solution (pH 7.3) containing 10 mM NaCl, 25 mM NaHCO₃, 195 mM sucrose, 5 mM
404 glucose, 2.5 mM KCl, 1.25 mM NaH₂PO₄, 2 mM Na-pyruvate, 0.5 mM CaCl₂, and 7 mM MgCl₂,
405 bubbled continuously with 95% O₂ and 5% CO₂. The mice were then decapitated, and the entire
406 brain was removed and immediately submerged in the ice-cold cutting solution. Coronal brain
407 slices (220 mm) containing the DRN were cut with a Microm HM 650 V vibratome (Thermo
408 Scientific) in oxygenated cutting solution. Slices were then incubated in oxygenated artificial CSF

409 (aCSF; 126 mM NaCl, 2.5 mM KCl, 2.4 mM CaCl₂, 1.2 mM NaH₂PO₄, 1.2 mM MgCl₂, 11.1
410 mM glucose, and 21.4 mM NaHCO₃, balanced with 95% O₂/5% CO₂, pH7.4) to recover ~30 min
411 at 32°C and subsequently for 1hr at room temperature before recording. Slices were transferred to
412 a recording chamber and allowed to equilibrate for at least 10 min before recording. The slices
413 were superfused at 34°C in oxygenated aCSF at a flow rate of 1.8-2 ml/min. tdTOMATO-labeled
414 TPH2 neurons were visualized using epifluorescence and IR-DIC imaging on an upright
415 microscope (Eclipse FN-1, Nikon) equipped with a movable stage (MP-285, Sutter Instrument).
416 Patch pipettes with resistances of 3-5 MΩ were filled with intracellular solution (pH 7.3)
417 containing 140 mM CsCl, 10 mM HEPES, 5 mM MgCl₂, 1 mM BAPTA, 5 mM (Mg)ATP, and
418 0.3 mM (Na)₂GTP (pH 7.30 adjusted with NaOH; 295 mOsm/kg). Recordings were made using a
419 MultiClamp 700B amplifier (Axon Instrument), sampled using Digidata 1440A and analyzed
420 offline with pClamp 10.3 software (Axon Instruments). Series resistance was monitored during
421 the recording, and the values were generally <10 MΩ and were not compensated. The liquid
422 junction potential was +12.5 mV and was corrected after the experiment. Data were excluded if
423 the series resistance increased dramatically during the experiment. Currents were amplified,
424 filtered at 1000 s⁻¹, and digitized at 20000 s⁻¹. Voltage clamp was utilized to record current with a
425 holding potential of -70 mV in the presence of 1 μM TTX, 30 μM D-AP5 and 30 μM DNQX to
426 isolate inhibitory currents. mIPSC frequency and amplitude were analyzed in 60 second bins
427 during a period of stable recording. For CRACM studies IPSCs were evoked by blue light (473 nm,
428 10 ms per pulse, 1 s⁻¹ frequency). 30 μM DNQX and 30 μM D-AP5 were first perfused to isolate
429 inhibitory input. 1 μM TTX and 100 μM of 4-AP were added to eliminate action potential
430 propagation via Na²⁺ and K⁺ channels. Finally, 50 μM of Bicuculline was added to eliminate
431 GABAergic signaling.

432

433 **Muscimol Studies**

434 Eight-week-old mice were stereotactically implanted with a guide cannula above the DRN with
435 coordinates: AP: 4.65, ML: 0, DV:-3.0 from the bregma. The opening of the cannula projected
436 2 mm ventral to the surface of the skull. All mice were allowed to recover for 2 weeks before DRN
437 infusion of either sterile saline or the GABA_A receptor agonist (Muscimol). Muscimol and saline
438 infusions were done in the same mice in different trials. Muscimol was made according to
439 manufacturer's instructions and 0.5 μ l total volume was infused at concentration of 0.5 mg/ml
440 based on previous publications ²². Infusions were randomized and separated by a minimum of one
441 week. All mice were perfused to validate the accuracy of the cannula placement. Mice with
442 inaccurate placement were excluded from the dataset.

443

444 **Metabolic Phenotyping**

445 Body weight and food intake were collected weekly starting two weeks after tamoxifen injection.
446 Mice and their controls were singly housed 1 week before tamoxifen injection, at 8 weeks of age;
447 mice were fed ad libitum with a regular chow diet (5V5R-Advanced Protocol PicoLab Select
448 Rodent 50 IF/6F) from weaning to 18 weeks of age. Energy expenditure measurements were
449 performed in temperature-controlled (23 °C) cabinets containing 16 TSE PhenoMaster metabolic
450 cages, to which mice were acclimated for a minimum of 2 days. Data collected from days 3–5 were
451 used for analyses and energy expenditure was analyzed using the online CalR tool (A Web-based
452 Analysis Tool for Indirect Calorimetry Experiments ³⁹).

453

454 **Statistics**

455 All data were tested for normality using a Shapiro-Wilk test and outliers were identified using a
456 Grubb's test. If data was normally distributed, comparisons were made with a parametric t-test. If
457 data were not normally distributed, comparisons were made with a non-parametric t-test. For
458 comparisons among groups, a Two-way ANOVA was used with post-hoc multiple comparisons.
459 The minimal sample size was predetermined by the nature of experiments. The data are presented
460 as mean \pm SEM and/or individual data points. Statistical analyses were performed using GraphPad
461 Prism to evaluate normal distribution and variations within and among groups. Methods of
462 statistical analyses were chosen based on the design of each experiment and are indicated in figure
463 legends. $p < 0.05$ was considered statistically significant.

464

465

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470

471 **AUTHOR CONTRIBUTIONS:**

472 KC and YX conceived the project, experimental design and writing the manuscript. KC performed
473 the procedures, data acquisition and analyses. HW and FS assisted in the optogenetics video
474 analysis and muscimol data acquisition. HW also assisted with virus validation. YL, MY, YD, QL,
475 XF, MW, YS, OZG, YY, LT, Hesong Liu, Hailan L, NY, JCB, JH, MEB, SVJ, and YY contributed
476 to the generation of study mice and data discussion. QT, BRA, CW and YH were involved in study
477 design and data discussion.

478

479 **DECLARATION OF INTERESTS:**

480 The authors declare no competing interests.

481

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626 **Table 1. List of Resources**

REAGENT/RESOURCE	SOURCE	IDENTIFIER
Chemicals		
Tamoxifen	Sigma	T5648
TTX	Tocris	1078/1
DNQX	Tocris	2312
D-AP5	Tocris	0106
Bicuculline	Tocris	0130
4-Aminopyridine (4-AP)	Tocris	0940
Quinpirole	Tocris	1061
Muscimol	Sigma	M1523
Mouse Models		
TPH2-CreER	Jackson Laboratory	016584
Drd1fl/fl	Jackson Laboratory	025700
Drd2fl/fl	Jackson Laboratory	020631
TPH2fl/fl	Jackson Laboratory	027590
Viruses		
AAV-EF1a-DIO-hChR2 (H123R)-YFP	Addgene	58880
AAV-EF1a-DIO-eNpHR3.0-EYFP	Addgene	26966

627