

# Efficient Gene Editing and Overexpression of Gametophyte Transformation in a Model Fern

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Wei Jiang<sup>1,2</sup>, Fenglin Deng<sup>1</sup>, Mohammad Babla<sup>2</sup>, Chen Chen<sup>2</sup>, Dongmei Yang<sup>2</sup>, Tao Tong<sup>1</sup>, Yuan Qin<sup>1</sup>, Guang Chen<sup>3</sup>, D. Blaine Marchant<sup>4</sup>, Pamela S. Soltis<sup>5</sup>, Douglas E. Soltis<sup>5</sup>, Fanrong Zeng<sup>1,\*</sup>, Zhong-Hua Chen<sup>2,6,\*</sup>

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<sup>8</sup> <sup>1</sup>MARA Key Laboratory of Sustainable Crop Production in the Middle Reaches of the  
<sup>9</sup> Yangtze River, College of Agriculture, Yangtze University, Jingzhou 434025, China

10 <sup>2</sup>School of Science, Western Sydney University, Penrith, NSW 2751, Australia

11 <sup>3</sup>Central Laboratory, Zhejiang Academy of Agricultural Science, Hangzhou 310021,  
12 China

13 <sup>4</sup>Biology Department, University of Missouri at St. Louis, MO 63121, USA

14 <sup>5</sup>Department of Biology, University of Florida, Gainesville, FL 32611, USA

15 <sup>6</sup>Hawkesbury Institute for the Environment, Western Sydney University, Penrith,  
16 NSW 2751, Australia

17

18 \*Authors for Correspondence

19 Professor Zhong-Hua Chen

20 Tel: +61 245701749, Email: Z.Chen@westernsydney.edu.au

21 ORCID ID: 0000-0002-7531-320X

22 Professor Fanrong Zeng

23 Email: fanrong.zeng@yangtzeu.edu.cn

24 **Abstract**

25 The clustered regularly interspaced short palindromic repeats (CRISPR)/CRISPR-  
26 related nuclease (Cas) system allows precise and easy editing of genes in many plant  
27 species. However, this system has not yet been applied to any fern species due to the  
28 complex characteristics of fern genomes, genetics and physiology. Here, we  
29 established, for the first time, a protocol for gametophyte-based screening single-  
30 guide RNAs (sgRNAs) with high efficiency for CRISPR/Cas-mediated gene editing  
31 in a model fern species, *Ceratopteris richardii*. We utilized the *C. richardii* *Actin*  
32 promoter to drive sgRNA expression and enhanced CaMV 35S promoter to drive the  
33 expression of *Streptococcus pyogenes* Cas9 in this CRISPR-mediated editing system,  
34 which was employed to successfully edit a few genes (e.g., *nucleotidase/phosphatase*  
35 *1*, *CrSAL1*; *Cryptochrome 4*, *CRY4*) and *CrPDS*, encoding a phytoene desaturase  
36 protein that resulted in an albino phenotype in *C. richardii*. Knockout of *CrSAL1*  
37 resulted in significantly reduced stomatal conductance ( $g_s$ ), leaf transpiration rate ( $E$ ),  
38 stomatal/pore length, and abscisic acid (ABA)-induced reactive oxygen species (ROS)  
39 accumulation in guard cells. Moreover, *CrSAL1* overexpressing plants showed  
40 significantly increased net photosynthetic rate ( $A$ ),  $g_s$ ,  $E$  and intrinsic water use  
41 efficiency (*iWUE*) as well as most of the stomatal traits and ROS production in guard  
42 cells compared to those in the wild-type (WT) plants. Taken together, the optimized  
43 CRISPR/Cas9 system provides a useful tool for functional genomics in a model fern  
44 species, allowing the exploration of fern gene functions for evolutionary biology,  
45 herbal medicine discovery and agricultural applications.

46

47 **Keywords:** CRISPR/Cas, genetic transformation, overexpression, gene function,  
48 *Ceratopteris richardii*

49

50 **Introduction**

51 First appearing in the fossil record around 360 million years ago (MYA), true ferns  
52 form the second largest vascular plant lineage after angiosperms with more than  
53 10,500 species (<https://www.worldfloraonline.org/>). These numerous species have  
54 been instrumental in shaping plant biodiversity and many ecosystems on Earth,  
55 resulting in a breadth of adaptations and innovations that are fascinating for research  
56 in genomics, evolution, ecology, molecular biology, and physiology (Cai et al., 2021;  
57 Marchant et al., 2022). Compared to other vascular plants, distinct genes (e.g.,  
58 *phenolic acid decarboxylases, aerolysin-like, and 12-oxophytodienoic acid*) might  
59 protect ferns from biotic (Pennisi, 2023; Wei et al., 2023) and abiotic stresses (Yan et  
60 al., 2019). Many fern species are used in traditional medicine for treating fevers,  
61 relaxing muscles, and relieving pain due to the active chemical compounds they  
62 produce (Cao et al., 2017; Kumar et al., 2023; Pohthmi and Sharma, 2023).

63 CRISPR/Cas has been widely used in plant molecular research due to its  
64 simplicity, versatility, and efficiency for gene editing (Xie et al., 2015; Endo et al.,  
65 2019; Wang et al., 2020; Cardi et al., 2023). The cellular repair of CRISPR/Cas-  
66 mediated double-strand breaks by non-homologous end joining using sgRNA and Cas  
67 nuclease can lead to the modification of genes (Wang et al., 2018; Wang et al., 2020).  
68 The ability to reprogram CRISPR/Cas with engineered sgRNA to target any gene of  
69 interest allows plant scientists to develop new plant varieties with desired traits and  
70 reducing the regulatory complication of genetically modified organism (GMO) (He et  
71 al., 2022; Cardi et al., 2023; Pacesa et al., 2024). For instance, CRISPR/Cas-mediated  
72 inactivation significantly enhanced grain weight in rice (*Oryza sativa*) by targeting  
73 *OsGW5* (Liu et al., 2017) and *OsMADS1* (*MADS-BOX TRANSCRIPTION FACTOR 1*)  
74 (Wang et al., 2024), production of low-gluten wheat (*Triticum aestivum*) through  
75 editing the  $\alpha$ -gliadin gene array (Sánchez-León et al., 2018), and powdery mildew  
76 resistance of tomato (*Solanum lycopersicum*) (Nekrasov et al., 2017). In the past  
77 decade, CRISPR/Cas technology has been successfully utilized to modify more than

78 130 green plant species based on a recent review (Cardi et al., 2023), including 110  
79 angiosperms (mostly agricultural and horticultural crops with significant economic  
80 values) (Kis et al., 2019; Wang et al., 2023), and 7 gymnosperms (Ren et al., 2021; Ye  
81 et al., 2023), 3 mosses (Tansley et al., 2023; Tavernier et al., 2023; Yuan et al., 2023),  
82 and 12 algae (Belshaw et al., 2023; Patel et al., 2023; Zhang et al., 2023) without any  
83 species of ferns or lycophytes.

84 *Ceratopteris richardii* is a fast-growing, small, tropical homosporous fern that  
85 has been used for decades as the model fern species (Marchant et al., 2019). Genetic  
86 transformation has been performed in *C. richardii* for functional genomics (Plackett et  
87 al., 2014; Plackett et al., 2015) such as discovering the roles of genes in sex  
88 determination (Youngstrom et al., 2019), genome structure, developmental biology  
89 (Plackett et al., 2018; Geng et al., 2022), hybridization and reproductive barriers  
90 (Youngstrom et al., 2022; Withers et al., 2023), and apogamy (Bui et al., 2017). In  
91 addition, the molecular function of some *C. richardii* genes have been studied through  
92 RNA interference (RNAi) (Plackett et al., 2018; Withers et al., 2023) and  
93 overexpression methods (Youngstrom et al., 2022). While the genetic transformation  
94 of fern gametophytes as the explant usually has a low success rate, it should be noted  
95 that the majority of these methods were developed and optimized according to the  
96 well-established protocols targeting to angiosperm flowers, immature embryos, and  
97 calli (Bui et al., 2015; Bui et al., 2017). Efficient gene editing protocol for fern species  
98 has not been developed, but an efficient and fast verification system in *C. richardii*  
99 will facilitate the analysis of gene function in ferns (Frangedakis et al., 2023).

100 Nucleotidase/phosphatase SAL1, also known as FIERY1 (FRY1) (Ishiga et al.,  
101 2017), has dual enzymatic activity of nucleotidase and inositol phosphatase, which  
102 functions largely in responses to abiotic stresses through inositol signaling and  
103 nucleotide metabolism (Jia et al., 2019). Transient silencing of *SAL1* and loss-of-  
104 function mutants led to enhanced drought tolerance in *T. aestivum* (Manmathan et al.,  
105 2013; Abdallah et al., 2022) and *Arabidopsis thaliana* (Wilson et al., 2009; Estavillo

106 et al., 2011), while *OsSAL1* overexpression plants were severely impaired in drought  
107 tolerance of rice (Liu et al., 2023). Additionally, *GhSAL1* improved cold tolerance via  
108 inositol 1,4,5-triphosphate- $\text{Ca}^{2+}$  signaling pathway in cotton (*Gossypium hirsutum*)  
109 (Shen et al., 2023). Our previous study showed that *C. richardii* SAL1 (CrSAL1) and  
110 its byproduct 3'-phosphoadenosine-5'-phosphate (PAP) function as chloroplast stress  
111 signals and participated in the abscisic acid (ABA) signaling pathway for drought  
112 response and stomatal regulation (Zhao et al., 2019), but *CrSAL1* was not functionally  
113 verified through genetic engineering in *C. richardii*.

114 Here, we established an efficient gene-editing platform for *C. richardii*  
115 transformation using gametophytes. We improved targeting and editing efficiency of  
116 sgRNAs for an optimized *Agrobacterium*-mediated CRISPR/Cas9 system via the  
117 successful editing of *CrSAL1* (*Ceric.25G052000.1*), *CrPDS* (*Ceric.08G066500.1*),  
118 *CrCRY4* (*Ceric.03G029200.1*), and *CrYSL* (*Ceric.20G086500.1*) in *C. richardii*.  
119 Knockout and overexpression of *CrSAL1* resulted in distinctive phenotypes in gas  
120 exchange parameters and stomatal traits in the transgenic plants compared to those in  
121 the WT. Our study suggests that the CRISPR/Cas system and the potentially  
122 expanded toolkit for gene editing in ferns will facilitate more rapid gene discovery  
123 and functional validation for evolutionary biology, herbal medicine, and agricultural  
124 applications.

125

## 126 **Results**

### 127 ***Selection of fern species and developmental stages for transformation***

128 Several reference genome of ferns have been assembled in recent years, including  
129 *Azolla filiculoides* (0.75 Gb,  $n = 22$ ), *Salvinia cucullata* (0.26 Gb,  $n = 9$ ) (Li et al.,  
130 2018), *Alsophila spinulosa* (6.27 Gb,  $n = 69$ ) (Huang et al., 2022), *Adiantum capillus-*  
131 *veneris* (4.83 Gb,  $n = 30$ ) (Fang et al., 2022), *Ceratopteris richardii* (7.46 Gb,  $n = 39$ )  
132 (Marchant et al., 2022), and *Marsilea vestita* (1.0 Gb,  $n = 20$ ) (Rahmatpour et al.,

133 2023) (Table 1). These high-quality genome sequences enable future research into the  
134 functional genomics and applications of ferns (Chen, 2022; Kinoshita and Wolf, 2022;  
135 Frangedakis et al., 2023). In the available transformation methods, particle  
136 bombardment and *Agrobacterium*-mediated stable transformation have been  
137 successfully applied to *C. richardii* (Plackett et al., 2014; Bui et al., 2015) and *Pteris*  
138 *vittata* (Muthukumar et al., 2013). These robust transformation methods have paved  
139 the way for developing of gene editing in ferns. While *Pteris vittata* lacks the  
140 necessary genomic information for extensive genetic manipulation (Petlewski and Li,  
141 2019), the recent publication of the *C. richardii* genome led us to select *C. richardii* as  
142 the most suitable fern species for establishing a gene editing protocol.

143 Unlike seed plants, homosporous ferns, including *C. richardii*, possess  
144 morphologically and developmentally distinct free-living haploid gametophytes and  
145 diploid sporophytes (Figure 1A). The germination of a haploid spore to produce a  
146 photosynthetic thallus initiates the gametophytic generation. Hormonal sex  
147 determination of *C. richardii* differentiates individual gametophytes into distinct male  
148 or hermaphrodite sexes (Conway and Di Stilio, 2020). Archegonia (female  
149 gametangia) and antheridia (male gametangia) develop to produce motile sperm and  
150 eggs, respectively (Figure 1A). Only one archegonium is fertilized, resulting in a  
151 single diploid zygote per gametophyte. This first step in the diploid sporophyte  
152 generation is crucial for genetic transformation (Muthukumar et al., 2013; Bui et al.,  
153 2015; Bui et al., 2017). Extrapolating from the successful transformation of the  
154 liverwort *Marchantia polymorpha* (Ishizaki et al., 2008) and *C. richardii* (Bui et al.,  
155 2015) gametophytes via *Agrobacterium*, we developed an *Agrobacterium*-mediated  
156 gametophyte system for gene knockout in *C. richardii*. The life cycle of *C. richardii*  
157 is completed with the production of haploid spores (Figure 1A).

158 ***Identification and cloning of U6 promoter and Actin promoter from C. richardii***

159 The core competent for CRISPR/Cas9 system contains the expression cassettes of  
160 sgRNA and the SpCas9 nuclease. Guide RNAs for genome editing have been

161 produced using a range of Pol III promoters (Xie et al., 2015; Kor et al., 2022). We  
162 found seven *U6 small nuclear ribonucleoprotein* genes (*Ceric.17G074700*,  
163 *Ceric.33G040100*, *Ceric.09G088700*, *Ceric.02G026900*, *Ceric.1Z290000*,  
164 *Ceric.03G070800*, *Ceric.03G071600*) in the *C. richardii* genome ([https://phytozome-  
165 next.jgi.doe.gov/info/Crichardii\\_v2\\_1](https://phytozome-next.jgi.doe.gov/info/Crichardii_v2_1)), which showed high expression in  
166 gametophyte, leaf, stem, and root (Supplemental Figure S1A). However, the  
167 promoters of these *C. richardii* genes do not contain the upstream sequence element  
168 (USE) and TATA elements, which are the typical structural properties of the Pol III  
169 promoters (Kor et al., 2022). Therefore, we used the sequences of the *A. thaliana* U6-  
170 26 snRNA (X52528, AT3G13857) and the *T. aestivum* U6 gene (X52528,  
171 ENSRNA050022746-T1) (Poovaiah et al., 2021) sequences to compare with the  
172 upstream U6 promoter regions in *C. richardii*. We identified three promoters  
173 including CrU6-1 (*Ceric.13G012200*), CrU6-2 (*Ceric.13G012300*), and CrU6-3  
174 (*Ceric.1Z176900*), which possess the USE and TATA elements (Supplemental Figure  
175 S1B). However, these genes were not highly expressed in root, stem, leaf, or  
176 gametophyte of *C. richardii* (Supplemental Figure S1A).

177 Previous studies showed that a single Pol II promoter (either constitutive or  
178 inducible) can also achieve effective gene editing (Hassan et al., 2021; Cardi et al.,  
179 2023) in *O. sativa* (Tang et al., 2016; Ren et al., 2019), *T. aestivum* (Luo et al., 2021),  
180 *Hordeum vulgare*, *S. lycopersicum*, *Medicago truncatula* (Čermák et al., 2017), and  
181 the diatom *Phaeodactylum tricornutum* (Taparia et al., 2022). The *Actin* promoter  
182 isolated from *P. vittata* was able to function efficiently in both *P. vittata* and  
183 *Ceratopteris thalictroides* (Muthukumar et al., 2013). A 916 bp fragment, located at  
184 the upstream of the *CrActin* was isolated and considered as the putative promoter  
185 (Supplemental Figure S2A), which was instead of the OsU3 promoter in pRGE32  
186 (Xie et al., 2015) to drive the expression cassettes of sgRNA (Luo et al., 2021). The  
187 Cas9 protein also reported to be driven by the enhanced CaMV 35S promoter (Li et  
188 al., 2013; Awasthi et al., 2021; Cui et al., 2021). Therefore, the native maize ubiquitin

189 promoter (ZmUbi) promoter in the original construct pRGEB32 was replaced by the  
190 enhanced 35S promoter (Supplemental Figure S2B), which was designated as  
191 pRGEB32-CrActin.

192 ***An efficient Agrobacterium-mediated transformation of C. richardii using***  
193 ***hygromycin selection***

194 To get positive transformants with gene editing or overexpression, the transformation  
195 protocol of *C. richardii* was optimized through adjusting the time for enzyme  
196 treatment, co-incubation and the concentrations with hygromycin for positive  
197 selection (Table 2). Subsequently, *CrSAL1* was selected to establish the  
198 *Agrobacterium*-mediated transformation of *C. richardii*. SAL1-PAP retrograde  
199 signaling is involved stomatal opening and closure through ROS, Ca<sup>2+</sup>, and nitric  
200 oxide (NO) pathways and ion channel (Pornsiriwong et al., 2017; Zhao et al., 2019)  
201 (Figure 1B). Here, we found that key component of the SAL1-PAP retrograde  
202 signaling pathway such as *CrSAL1*, *CrCAT1*, ion channels (*CrKAT1*, *CrALMT1*,  
203 *CrCNGC*) and protein kinases (*CrCIPK11*, *CrCIPK23*) displayed high levels of  
204 expression in most of the tissues, particularly leaves (Figure 1C).

205 The pRGEB32-CrActin (Figure 2A), and pCAMBIA1300 (Figure 2B) were  
206 employed for gene editing and overexpression *C. richardii*, respectively. The  
207 transformation construct used for stable overexpression transformation was  
208 pCAMBIA1300-2×35S, which carries the *hygromycin phosphotransferase* (*HPT*)  
209 gene for selection of positive transgenic plants. After 72 h of co-incubation with  
210 *Agrobacterium*, transformed gametophytes were selected on MS media supplemented  
211 with 100 mg/L cefotaxime and 5 mg/L hygromycin to kill the *Agrobacterium* and select  
212 the transformants, respectively (Figure 3).

213 We found that the gametophytes are unable to reproduce and survive for long  
214 periods under the suggested MS media with 20 mg/L hygromycin. In order to increase  
215 the regeneration and survival rate of the transformed gametophytes, we assayed a  
216 range of hygromycin concentrations and selected 5 mg/L (Supplemental Figure S3A,

217 S3B), resulting in more regenerated gametophytes with normal morphology and  
218 reproduction (Figure 3). The sporophytes were then transferred to MS media  
219 supplemented with 100 mg/L cefotaxime and 20 mg/L hygromycin for another 30  
220 days. The highest regeneration rate for stable transformation was achieved by 2 h  
221 treatment with 1.5% (w/v) cellulase before *Agrobacterium* co-incubation. We  
222 observed that sporophyte survival rate was slightly increased by *Agrobacterium* co-  
223 incubation time with 1.5% cellulase for 1 h (Table 2). Therefore, a combination of  
224 digestion with 1.5% cellulase and selection with 100 mg/L cefotaxime and 5/20 mg/L  
225 hygromycin was employed in our experiments. Interestingly, regeneration rarely  
226 occurs in a 1:1 stoichiometry, and a cluster of diverse regenerated gametophytes were  
227 developed from a gametophyte inoculated with *Agrobacterium* (Figure 3B). The  
228 regenerated sporophytes were then transplanted to pots containing a premium potting  
229 mix for further analysis.

230 ***Molecular analysis of transgenic C. richardii plants***

231 Nearly 10% of treated gametophytes survived on MS media supplemented with 20  
232 mg/L hygromycin (Figure 3B). We obtain 87 *CrSAL1* overexpressed plants survived  
233 under hygromycin selection, but half of the plants failed to develop normally and  
234 complete the life cycle (Supplemental Figure S4A). Positive transgenic plants were  
235 screened by PCR with a 456-bp PCR product using the DNA as template and  
236 hygromycin primers targeting to the hygromycin gene (Supplemental Figure S4B). In  
237 total, we obtained and verified 15 transgenic *C. richardii* individuals with relatively  
238 higher expression of *CrSAL1* (Supplemental Figure S4). The transformation  
239 efficiency was calculated according to the number of successfully developed  
240 transgenic sporophytes divided by the total gametophytes used in transformation and  
241 multiplied by 100 (Bui et al., 2017), resulting in an efficiency ranging from 3.3% to  
242 11.68% across those tested genes (Table 2).

243 ***Screening of knockout lines of CrPDS and CrSAL mediated by CRISPR/Cas9***

244 After successful establishment of the *Agrobacterium*-mediated stable transformation  
245 method for overexpression gene of interest in *C. richardii* using gametophytes as the  
246 explant, the pipeline was employed to generate the gene editing lines with  
247 CRISPR/Cas9 system (Supplemental Figure S5) in *C. richardii* – the first of any fern  
248 species. Loss-of-function of *Phytoene desaturase* (*PDS*) leads to photobleaching  
249 phenotypes in varied plant species (Awasthi et al., 2021), which was widely employed  
250 as a visible marker in developing the protocol for knocking out of genes of interest  
251 (Ma et al., 2019). To introduce mutations into the *CrPDS*, two independent 20 bp  
252 sequences with NGG in their 3'-regions targeting were synthesized and inserted into  
253 the gRNA expression cassette of pRGEB32-CrActin vector. We obtained 18 *CrSAL1*  
254 and *CrPDS* CRISPR/Cas9 plants through screening with hygromycin (Supplemental  
255 Figure S5). The positively transformed plants showed the expected photobleached  
256 leaf phenotype (Figure 4A). Sequence analysis determined that the editing efficiency  
257 of the *CrPDS* and *CrSAL1* target site in the transgenic plants was ranged from 20% to  
258 25%, although the transformation efficiency of gene editing ranged from 3.33% to  
259 4.72%. Both of replacement and deletion could be found in the mutant lines (Figure  
260 4B, 4D). These results suggest that the pRGEB32-CrActin we generated in this study  
261 could be employed for editing genes of interest in *C. richardii* (Table 2).

262 ***Physiological evaluation of SAL1 overexpression and knockout C. richardii plants***

263 The subcellular localization of GFP fusion construct in the tobacco epidermis showed  
264 that GFP alone was found in the nuclei, cytoplasm, and membranes. However, we  
265 found GFP fluorescence of CrSAL1 overlaps with the chloroplast fluorescence,  
266 implying that the CrSAL1 protein is localized at the chloroplast and potentially in the  
267 cytosol (Figure 5C). The results indicate that CrSAL1 may function in chloroplast  
268 retrograde signaling and stomatal regulation similar to those seen in *A. thaliana*  
269 (Xiong et al., 2001; Estavillo et al., 2011).

270 We overexpressed *CrSAL1* in *C. richardii* and obtained 15 individuals with

271 relatively higher expression of *CrSAL1*, but only four individuals (Line 1, 13, 21 and  
272 24) completed the life cycle (Figure 5A). Overexpression *CrSAL1- OE-1* (Line 1) in  
273 *C. richardii* significantly increased the net CO<sub>2</sub> assimilation (*A*), leaf transpiration rate  
274 (*E*), and stomatal conductance (*g<sub>s</sub>*) under high light intensity compared to the WT  
275 across light intensity from 0 to 1500  $\mu\text{mol m}^{-2} \text{ s}^{-1}$ . Interestingly, the *crsall-2*  
276 CRISPR/Cas9 knockout mutants displayed significantly lower *g<sub>s</sub>*, *E*, vapor pressure  
277 deficit (*VPD*), and leaf temperature (*T<sub>leaf</sub>*) compared to the WT (Figure 5A).

278 Stomata are essential for plants to respond to environmental conditions  
279 (Hetherington and Woodward, 2003; Chen et al., 2017; Jiang et al., 2024). In the  
280 control conditions, the *CrSAL1-OE-1* transgenic plants exhibited larger length, area,  
281 and perimeter of both stomata and stomatal pores compared to the WT plants (Figure  
282 5B). Moreover, stomatal length, stomatal perimeter, and stomatal area in the *CrSAL1-*  
283 *OE-1* lines were significantly increased, on average, by 25.3%, 15.8%, and 30.4%,  
284 respectively. The mean pore length, pore perimeter, and pore area of *CrSAL1-OE-1*  
285 were increased by 33.3%, 32.4%, and 55.0%, respectively. In contrast, *crsall-2*  
286 knockout mutants showed a slight decrease in the length and perimeter of stomata and  
287 stomatal pore compared to the WT (Figure 5B).

288 *CrSAL1-OE-1* plants also exhibited high ROS levels in guard cells under the  
289 control conditions. The total ROS level of *crsall-2* plants was significantly lower than  
290 that of WT in the control conditions (Figure 6A), similar to the results of previous  
291 studies analyzing mutants of *SAL1* gene such as *altered ascorbate peroxidase 2*  
292 (*APX2*) *expression 8* (*alx8*) and *onset of leaf death 101* (*old101*) in *A. thaliana*  
293 (Estavillo et al., 2011; Shirzadian-Khorramabad et al., 2022). *SAL1* was reported to  
294 be important for ABA signaling in response to environmental conditions  
295 (Pornsiriwong et al., 2017; Zhao et al., 2019). Thus, we also performed the stomatal  
296 assay with ABA treatment in the WT and transgenic plants. Interestingly, *crsall-2*  
297 mutant displayed ABA-sensitive stomatal phenotype (Figure 6C, 6D), which is  
298 consistent with the previous study that *sall-8* (Pornsiriwong et al., 2017) and *fry1*

299 (Xiong et al., 2001) were more sensitive to ABA in *A. thaliana*, implying the  
300 potentially conserved molecular function of *SAL1* in stomatal regulation in different  
301 plants. Furthermore, ABA treatment increased the ROS level of guard cell in WT,  
302 *crsall-2*, *CrSAL1-OE-1* plants (Figure 6B), leading to stomatal closure. In summary,  
303 we demonstrated for the first time on the gene editing in *C. richardii* by editing four  
304 important genes and analyzed the function of *CrSAL1*.

305

## 306 Discussion

### 307 *First gene editing for gene functional verification in a fern*

308 CRISPR/Cas genome editing has been applied to a variety of plant species to enhance  
309 disease resistance and abiotic stress tolerance (Deng et al., 2022). In the past ten  
310 years, there were 9,000 publications on topics relevant to plant CRISPR on Web of  
311 Science (<https://www.webofscience.com/>). However, there have been no studies on  
312 the use of CRISPR/Cas9 in ferns (Frangedakis et al., 2023). In this study, we  
313 established an efficient gene editing method for the transformation of *C. richardii*.

314 We successfully overexpressed *CrSAL1* and other genes in *C. richardii*  
315 gametophytes by adjusting the hygromycin concentration (Bui et al., 2015), OD value  
316 of *Agrobacterium*, age of gametophytes, and enzyme treatment time of gametophytes  
317 and co-cultivation with *Agrobacterium* (Table 2, Figure 3). This optimized protocol  
318 enabled us to establish stable *Agrobacterium*-mediated CRISPR/Cas9 transformation  
319 in *C. richardii*. Due to the low expression of *CrU6* genes (Supplemental Figure S1A)  
320 and the low efficiency of *ZmUbi* in *C. richardii*, the *OsU3* and *ZmUbi* promoter of  
321 pRGEB32 plasmid were replaced by the promoter of *CrActin* and enhanced 35S,  
322 respectively. This system can edit genes with high efficiency in *C. richardii* based on  
323 the success with *CrSAL1*, *CrPDS* and other genes. In most of the CRISPR/Cas9  
324 constructs, the RNA polymerase III-type 3 - U3 or U6 promoters are employed for  
325 expression of sgRNA in monocots, eudicots, gymnosperms, and bryophytes (Kor et

326 al., 2022). Although we did not use CrU6 promoters due its low expression, we  
327 hypothesize that they can have potential applications in the genome editing of ferns.  
328 The ZmUbi had been successfully used for generating the RNAi plants of *C. richardii*  
329 (Plackett et al., 2018), but it requires further investigation on whether it can be used  
330 for fern gene editing or not.

331 In the future, direct transformation of gametophytes for gene functions in  
332 apogamy (Bui et al., 2018) may provide a clue to the evolution of asexual  
333 reproduction in land plants, permitting comparison of fern apogamy to somatic  
334 embryogenesis and apomixis in angiosperms (Kinosian and Wolf, 2022). Therefore,  
335 once the current gene editing method of *C. richardii* is applicable to many other fern  
336 species, we can study key biological aspects such as the role of duplicate genes as  
337 well as physiological features and the evolution of stress tolerance in ferns at the  
338 molecular level using gene editing. Despite the great potential, several issues still  
339 limit the efficiency of CRISPR/Cas9 as a tool for mitigating plant stresses (Deng et  
340 al., 2022). For instance, the inactivation of some genes through gene editing often  
341 results in disease resistance, but is also associated with pleiotropic effects such as  
342 inhibition of plant growth, phenotypic abnormalities and increased susceptibility to  
343 abiotic stress and other pathogens (Ma et al., 2018). Abiotic stress tolerance usually  
344 depends on complex mechanisms controlled by multiple genes (Adem et al., 2020;  
345 Shabala et al., 2020; Tripathi et al., 2020; Wang et al., 2023), implicating the need to  
346 develop multiplex CRISPR-based approaches for ferns.

347 ***Advantages of using gametophytes in the transformation of ferns***

348 Bryophytes, ferns and lycophytes rely on free-living gametophytes for reproduction  
349 (Fouracre and Harrison, 2022). Unlike mosses and liverworts whose dominant  
350 generation is the gametophyte (Fragedakis et al., 2023), the dominant generation in  
351 ferns is the sporophyte. The spores of ferns are shed by the sporophytes and develop  
352 into free-living gametophytes (Bui et al., 2018). This life cycle of ferns provides an  
353 opportunity to use gametophytes as targets for transgenesis (Kinosian and Wolf,

354 2022). This is in stark contrast to the transformation protocol for angiosperm species,  
355 where the immature embryo, callus, flowers and protoplasts are usually used for  
356 efficient stable transformation (Altpeter et al., 2016). The advantages of using  
357 gametophytes are relatively simple and reproducible using large quantity of spores  
358 (Bui et al., 2018), which are fast to germinate, easy to manage, and quick to grow on  
359 solid medium compared to laborious embryo separation and callus induction needed  
360 for genetic transformation of many angiosperms (Ishizaki et al., 2016).

361 RNAi was made possible through direct uptake of dsRNA into germinating  
362 spores of *C. richardii* (Stout et al., 2003) and *Marsilea vestita* (Klink and Wolniak,  
363 2001). Particle bombardment of DNA constructs into gametophytes has also been  
364 demonstrated in *C. richardii* (Rutherford et al., 2004) and *Adiantum capillus-veneris*  
365 (Kawai-Toyooka et al., 2004) (Table 1). Transgenesis in ferns was demonstrated in *C.*  
366 *thalictroides* and *P. vittata* with five-day-old germinating spores and 15-day-old  
367 gametophytes by *Agrobacterium*-mediated transformation and particle bombardment  
368 transformation, respectively (Muthukumar et al., 2013).

369 A tractable particle bombardment transgenesis system using sporophytes has  
370 been established in *C. thalictroides* and *C. richardii* (Plackett et al., 2014; Plackett et  
371 al., 2015). Callus tissues were induced from young sporophytes, and then bombarded  
372 with a GUS reporter and hygromycin selection (Plackett et al., 2014). This method  
373 requires callus induction similar to transformation protocols of angiosperms followed  
374 by sporophyte regeneration. Here, we optimized the enzyme treatment time, OD value  
375 of *Agrobacterium*, the suitable concentrations of hygromycin selection, and planting  
376 density in Petri dishes, achieving a higher transformation efficiency close to 10% in  
377 overexpression of *CrSAL1* (Table 1, Figure 3). The high transformation efficiency will  
378 benefit better understand the function of important genes in the biology, evolutionary,  
379 and future agricultural and medicinal applications of ferns.

380 ***Conserved evolution and functional divergence of SAL genes family***

381 Plant *SAL1s* have been extensively reported to be involved in phytohormones (Ishiga

382 et al., 2017) (e.g., ABA, salicylic acid, jasmonic acid, and auxin) and stresses (Jia et  
383 al., 2019) such as *Fusarium graminearum* (Yu et al., 2015), salt (Chen et al., 2011),  
384 drought (Abdallah et al., 2022), cold (Shen et al., 2023), high light (Estavillo et al.,  
385 2011), oxidative stress (Chan et al., 2016), and cadmium (Xi et al., 2016). Due to its  
386 distinct effects on different cellular processes, the underlying molecular mechanisms  
387 of *SAL1* in stress responses appears to be complex (Jia et al., 2019). In *A. thaliana*,  
388 there are four SALs (AT5G63980, AT5G64000, AT5G63990, AT5G09290) and two  
389 homologs [inositol monophosphatase, AT5G54390 (Arabidopsis Halotolerance 2-like,  
390 AHL) and AT4G05090] (Shin et al., 2019). AtSAL1 plays a negative role in stress  
391 response pathways that are predominantly ABA-dependent and ABA-independent  
392 (Wilson et al., 2009).

393 The *C. richardii* genome contains one inositol-1,4-bisphosphate 1-phosphatase  
394 CrHAL2/CrSAL2 (Ceric.01G129600) and PAP-specific phosphatase CrHAL2-like  
395 (Ceric.11G097700), which shows 32% and 44% identity to 3'(2'), 5'-bisphosphate  
396 nucleotidase CrSAL1 (Ceric.25G052000), respectively. In this study, *crsall-2* mutant  
397 displayed ABA-sensitive stomatal phenotype, which is in accordance with *fry1*  
398 (Xiong et al., 2001) and *sall-8* (Pornsiriwong et al., 2017) that were more sensitive to  
399 ABA in *A. thaliana* compared to the WT. In addition, overexpressed *CrSAL1-1* plants  
400 exhibited reduced response to ABA-induced stomatal closure, which is in agreement  
401 with previous report that ectopic expression of soybean *GmSAL1* in *A. thaliana*  
402 decreased the ABA-induced stomatal closure (Ku et al., 2013). *A. thaliana alx8* also  
403 showed low *A* and *gs* (Rossel et al., 2005) and *A. thaliana old101* mutants of  
404 maintained lower ROS levels (Shirzadian-Khorramabad et al., 2022). Interestingly,  
405 *crsall-2* showed significantly lower ROS production in the guard cell and decreased  
406 photosynthetic parameters (e.g. *A*, *gs*, *VPD*, *T<sub>leaf</sub>*) (Figures 5 and 6), indicating the  
407 functional similarity of *SAL1s* in the two species.

408 Our previous study showed that SAL1 and its chloroplast transit peptides were  
409 conserved in chlorophyte algae and land plants (Zhao et al., 2019). 197 *SAL* genes in

410 53 *Chlorophyta* and *Embryophyta* species were identified (Supplemental Figure S6)  
411 through PLAZA platform (Van Bel et al., 2022) with 27% and 17% of block and  
412 tandem within this gene family. The *SAL* gene family was greatly expanded in  
413 monocots (e.g., *T. aestivum*, *Phyllostachys edulis*) and eudicots (e.g., *Glycine max*,  
414 *Brassica napus*), but not in bryophytes and ferns. Gene expression profiles of *SALs*  
415 showed that some genes are specifically expressed in the reproductive organs, leaf,  
416 and root (Proost and Mutwil, 2018). Interestingly, *AtSAL1* showed high expression in  
417 many organs such as root, stem, leaf, flower, seed, reproductive organs, and meristem  
418 (Table S2). *AtSAL2* was preferentially expressed in the leaf, while *AtSAL4* displayed  
419 specific expression in root, implying their different roles in these tissues. Drought  
420 induced the expression of *Zm00001e039578\_P001* (*GRMZM2G152757*, *SAL1*) in  
421 maize (Kim et al., 2021), which was also involved in photoperiod at vegetative-  
422 tasseling stage (Wang et al., 2017) and osmotic stress (Yu et al., 2018). Interestingly,  
423 red fluorescence of RFP-SAL (Pp3c3\_21240V3.1) was observed in the cytosol of  
424 moss *Physcomitrella patens* cells (Cross et al., 2017), implying the diverse biological  
425 functions of SALs. Expression analyzes demonstrated that some *SAL* genes function  
426 in leaf and root of gymnosperms and lycophytes and others are important for the  
427 reproductive organs of angiosperms, illustrating that neofunctionalization of *SAL*  
428 genes might coincide with the emergence of expansion in angiosperms. However, the  
429 study of SALs mainly focused on the *A. thaliana* (Jia et al., 2019). Thus,  
430 investigations of the molecular mechanisms of SALs through gene editing are  
431 important for enhancing abiotic stress tolerance in crops and addressing key  
432 evolutionary biology questions in important early divergent plant lineages such as  
433 ferns.

434

435 **Materials and Methods**

436 ***Plant materials and growth conditions***

437 The *C. richardii* genotype Hn-n with a fully sequenced and assembled genome  
438 (Marchant et al., 2022) was used in our study. Plants were grown in a GEN 1000  
439 (CONVIRON, Manitoba, Canada) at 16 h of light/8 h of dark, 28°C, 80% relative  
440 humidity, and fluence of 100  $\mu\text{mol m}^{-2} \text{ s}^{-1}$ . Gametophytes were grown with 1.5%  
441 (w/v) of 1× Murashige and Skoog (MS) in agar medium at pH of 5.9 (Plackett et al.,  
442 2015). Spores were sterilized by incubating for 5 min in sodium hypochlorite solution  
443 [1% (v/v) chlorine], which was subsequently removed by three sequential rinses with  
444 sterile distilled water at 23°C. Spores were then imbibed in distilled water and  
445 incubated for 3 days in darkness before sowing (Plackett et al., 2014; Withers et al.,  
446 2023). The spores were imbibed in 1 mL sterile water in the Petri dish, which was  
447 sealed with foil and incubated at 28°C for 7 days and germinated. One-month-old  
448 gametophytes can be used for the transformation of *Agrobacterium*.

449 ***Agrobacterium tumefaciens-mediated transformation of gametophytes***

450 Stable genetic transformation of *C. richardii* plants was performed as described  
451 previously with modification (Bui et al., 2015; Bui et al., 2017). More details can be  
452 found in Supplementary Materials and Methods. Overexpression and CRISPR/cas9  
453 constructs were generated utilizing the assembly technology (Bai et al., 2020).  
454 Briefly, the PCR products of full-length coding sequences (CDS) were cloned into the  
455 vector pJET1.2/blunt using CloneJET PCR Cloning Kit (Thermo Fisher Scientific,  
456 Waltham, MA USA) (Awasthi et al., 2021), and then transformed into DH5 $\alpha$   
457 competent cells (Life Technologies, Waltham, MA USA). Plasmid purification was  
458 performed with a GeneJET Plasmid Miniprep Kit (Thermo Fisher Scientific,  
459 Waltham, MA USA) (Lorenzo et al., 2023) and the resulting plasmid DNAs were  
460 validated by sequencing. The correct sequence was introduced into the destination  
461 vectors pCAMBIA1300-2 $\times$  35S [enhanced cauliflower mosaic virus (CaMV) 35S

462 promoter] at the restriction enzyme sites *BamHI* and *PstI* (New England BioLabs,  
463 Ipswich, MA, USA). The sgRNAs were designed through CRISPR-P 2.0  
464 (<http://crispr.hzau.edu.cn/cgi-bin/CRISPR2/SCORE>) (Liu et al., 2017). To generate  
465 CRISPR/Cas9 plasmid, fragments containing tRNA-sgRNA1 fusion and gRNA-  
466 tRNA-sgRNA2 fusion were obtained through pGTR as a template (Xie et al., 2015;  
467 Wang et al., 2018). The PCR products were then cloned into pRGEB32-CrActin  
468 vector at the restriction enzyme site *BsaI* (Fu et al., 2022; Kuang et al., 2022). All  
469 constructs were introduced into the *Agrobacterium tumefaciens* strain GV3101.

470 After *Agrobacterium* infection, gametophytes were grown in MS media with 5  
471 mg/L of hygromycin and 100 mg/L of cefuroxime for 30 days. Then, the sporophytes  
472 were transferred to new MS media containing 20 mg/L of hygromycin and 100 mg/L  
473 of cefuroxime for 30 days. T1 sporophytes grown without hygromycin selection and  
474 transgenic individuals were subsequently identified by hygromycin selection on MS  
475 media (Plackett et al., 2015). Sporophytes were then transplanted to pots containing a  
476 premium potting mix (Scotts Osmocote, Bella Vista, Australia) with the cover to keep  
477 high humidity. The plants were watered and fertilized fortnightly with a nutrient  
478 solution at the 0.5 g/L (Thrive Soluble Fertilizer, Yates, Padstow NSW, Australia).

479 ***qPCR analysis of transgenic plants***

480 For expression analysis of *CrSAL1*, total RNA was extracted from infertile leaves  
481 through RNeasy Plant Mini Kit (QIAGEN) (Cai et al., 2017; Cai et al., 2021). The  
482 cDNA synthesis was performed by QuantiTect Reverse Transcription Kit (QIAGEN)  
483 and the synthesized cDNA was diluted five times before Quantitative real-time PCR  
484 (qPCR) experiments. The qPCR was conducted for three biological replicates using a  
485 QuantiNova SYBR Green PCR Kit (QIAGEN) on a LightCycler 96 Real-Time PCR  
486 System (CFX Connect) (Jiang et al., 2020). Expression levels were normalized  
487 against the *CrACTIN* reference gene (Plackett et al., 2018). The relative expression  
488 levels of genes were performed from cycle threshold values by  $2^{-\Delta\Delta C_t}$  procedure (Feng  
489 et al., 2020; Jiang et al., 2022). All primers were designed using Primer Premier 6.0

490 (PREMIER Biosoft, San Francisco, CA, USA) or SnapGene Viewer (GSL Biotech  
491 LLC, Boston, MA, USA) in this study (Supplemental Table S1).

492 ***Subcellular localization***

493 Subcellular localization of CrSAL1 was performed according to the previous study  
494 (Feng et al., 2020). The coding regions of CrSAL1 were amplified and cloned into  
495 pCAMBIA1300-GFP (Fu et al., 2022) by the restriction enzyme site *KpnI* and *XbaI*.  
496 The resulting plasmids were transferred into *A. tumefaciens* strain GV3101. *A.*  
497 *tumefaciens* harboring the vector was grown overnight in Luria broth (LB) medium  
498 containing 25 mg/L of Rifampin and 50 mg/L of Kanamycin (Jiang et al., 2022). After  
499 centrifugation, *A. tumefaciens* was resuspended through the infiltration buffer [10 mM  
500 2-(N-morpholino) ethanesulfonic acid (MES)-KOH (pH 5.7), 10 mM MgCl<sub>2</sub>, 100 μM  
501 acetosyringone (AS)] to achieve OD<sub>600</sub> = 0.8. The suspension was infiltrated into the  
502 abaxial air spaces of 4-week-old *Nicotiana benthamiana* leaves using a 1-mL syringe  
503 without a needle to transiently express (Feng et al., 2020). Green fluorescent protein  
504 (GFP) fluorescence was detected through using a confocal microscopy (SP5, Leica  
505 Microsystems GmbH, Wetzlar, Germany) (Deng et al., 2021).

506 ***Measurement of reactive oxygen species (ROS)***

507 The production of ROS in guard cells of *CrSAL1* transgenic and WT plants was  
508 measured using a fluorescent indicator 2',7'-dichlorodihydrofluorescein diacetate  
509 (CM-H<sub>2</sub>DCFDA, Life Technologies, Waltham, MA USA) (Cai et al., 2017). epidermal  
510 peels were incubated with an opening buffer [10 mM KCl and 5 mM MES at pH 6.1  
511 with Ca(OH)<sub>2</sub>] for 30 mins for stomatal assays epidermal peels The samples were  
512 then loading with 20 μM CM-H<sub>2</sub>DCFDA for 30 min in the dark, followed by a 5 min  
513 rinse with a measuring buffer [50 mM KCl and 10 mM MES at pH 6.1 with NaOH] to  
514 remove excess dye (Cai et al., 2021). The epidermal peels were then incubated in the  
515 measuring buffer for confocal microscopy imaging with excitation at 488 nm and  
516 emission at 510–540 nm (SP5, Leica Microsystems GmbH, Wetzlar, Germany).

517 ***Gas exchange measurements***

518 Gas exchange measurements were measured on the *C. richardii* fully expanded  
519 infertile leaf by LI-6400 infrared gas analyzer (LI-COR, USA) (Liu et al., 2017; Qiu  
520 et al., 2023). The parameters are net CO<sub>2</sub> assimilation (*A*), stomatal conductance (*g<sub>s</sub>*),  
521 leaf transpiration rate (*E*), vapor pressure deficit (*VPD*), and leaf temperature (*T<sub>leaf</sub>*).  
522 The intrinsic water use efficiency (*iWUE*) calculation is the ratio of *A* to *g<sub>s</sub>*. Leaf  
523 chamber conditions were maintained at a flow rate of 500 mol s<sup>-1</sup>, 70% relative  
524 humidity and 400 ppm reference CO<sub>2</sub>. Irradiance levels were set at 0, 20, 50, 100,  
525 200, 300, 500, 800, 1000, and 1500 μmol m<sup>-2</sup> s<sup>-1</sup> for light response curve  
526 measurement.

527 ***Stomatal assay***

528 Stomatal assay was determined from the abaxial surface of the fully expanded and  
529 mature leaves as described in our previous work (O'Carrigan et al., 2014; Liu et al.,  
530 2017; Plackett et al., 2021). For these measurements, fully expanded infertile leaves  
531 were removed from the chamber and placed in Petri dishes on tissue paper soaked in  
532 opening buffer. The lower leaf epidermis was quickly peeled off and placed it on  
533 slides with the opening buffer. Stomatal morphology was calculated from the leaf  
534 epidermis through a light microscopy and imaging system (Nikon, Tokyo, Japan).  
535 Treatment was applied as ABA (50 μM) measured for another 60 min. The pictures  
536 were imported into the ImageJ software for the analysis of multiple parameters.  
537 Stomatal area (total stomatal area), stomatal perimeter (total length of the stomatal  
538 outer border), stomatal length (top to bottom of the stomatal), stomatal width (left to  
539 right of the stomatal), pore area (total pore area), pore perimeter (total length of the  
540 pore outer border), pore length (top to bottom of the pore), and pore width (left to  
541 right of the pore) were recorded (Pan et al., 2022). There were 20–30 stomata with  
542 three biological replicates for each treatment and genotype.

543 ***Statistical analysis***

544 Data were shown as means with standard errors of three biological replicates. The  
545 SPSS 26.0 software (IBM, USA) was employed to perform the analysis of variance  
546 (ANOVA) and means were compared by Duncan's multiple range tests.

547

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561 **Author contributions**

562 Z-HC planned and designed the research. WJ performed the experiments with  
563 research and technical support from MB. WJ and Z-HC analyzed the data and  
564 prepared the Figures and Tables. WJ, MB, and Z-HC analyzed the results and wrote  
565 the manuscript with support from CC, DY, TT, FD, and FZ. WJ, MB, DBM, PS, DS,  
566 FD, FZ and Z-HC conducted the editing of the manuscript. All authors read and  
567 approved the manuscript.

568 **Conflict of interest**

569 The authors declare no conflict of interests.

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921

922 **Figure legends**

923 **Figure 1.** The lifecycle, proposed model and gene expression of SAL1-PAP  
924 retrograde signaling in a model fern species *Ceratopteris richardii*. The lifecycle of *C.*  
925 *richardii* (A). Images are not to scale. After meiosis produces haploid spores, the  
926 haploid gametophyte (n) starts generation. Spores germinate into either male  
927 gametophytes or hermaphrodite that produce gametes (sperm and egg) through  
928 mitosis. After fertilization, the diploid sporophyte (2n) generation begins as a zygote  
929 that generates into an embryo with its first root and leaf, initially dependent on the  
930 gametophyte. In the vegetative stage, the independent sporophyte produces sterile  
931 leaves (trophophyll), followed by increasingly dissected and lobed fronds. In the  
932 reproductive stage, the fertile leaves (sporophyll) of the sporophyte develop sporangia  
933 through meiosis on their undersides, closing the cycle. Model of SAL1-PAP  
934 retrograde signaling in plant (B). Expression of key genes associated with SAL1  
935 pathway in diverse tissues such as immature gametophyte, mature gametophyte,  
936 young sporophyte, expanding leaf, development leaf, fertile leaf, sterile leaf, stem,  
937 root, sori. SAL1, 3'(2'),5'-bisphosphate nucleotidase 1; PAP, 3'-phosphoadenosine 5'-  
938 phosphate; XRN, exoribonuclease; GORK, guard cell outward rectifying K<sup>+</sup> channel;  
939 SLAC1, S-type anion channel 1; ALMT1, aluminum-activated malate transporter 1;  
940 CIPK, CBL-interacting serine/threonine-protein kinase; CPK, calcium-dependent  
941 protein kinase; CNGC, cyclic nucleotide-gated ion channel; GAIA,  
942 GAMETOPHYTE ABA INSENSITIVE ON A<sub>CE1</sub>; CAT, catalase peroxidase;  
943 PAPST, sulfate donor 3'-phosphoadenosine 5'-phosphosulfate transporter; NOA,  
944 oxide-associated1; NIA, nitrate reductase; XRN, exoribonuclease.

945

946 **Figure 2.** Plasmid information of CRISPR/Cas9 and overexpression for genetic  
947 transformation in *C. richardii*. CRISPR/Cas9 (A) and overexpression (B). A 916 bp  
948 fragment, located at the upstream of the *CrActin* was isolated and considered as the  
949 putative promoter, which was instead of the OsU3 promoter in pRGEB32 to drive the

950 expression cassettes of sgRNA. The native maize ubiquitin promoter (ZmUbi)  
951 promoter in the original construct pRGEB32 was replaced by the enhanced 35S  
952 promoter, which was designated as pRGEB32-CrActin. The pRGEB32-CrActin and  
953 pCAMBIA1300 were employed for gene editing and overexpression *C. richardii*,  
954 respectively.

955

956 **Figure 3.** The workflow of gene editing (A) and gene overexpression (B) in *C.*  
957 *richardii*. Gene editing (A) and gene overexpression (B), bars = 5 cm. The sgRNAs  
958 were designed through CRISPR-P 2.0 ([http://crispr.hzau.edu.cn/cgi-  
959 bin/CRISPR2/SCORE](http://crispr.hzau.edu.cn/cgi-bin/CRISPR2/SCORE)). Overexpression and CRISPR/cas9 constructs were generated  
960 utilizing the assembly technology. After *Agrobacterium* infection, gametophytes were  
961 grown at MS media with 5 mg/L of hygromycin and 100 mg/L of cefuroxime for 30  
962 days. Then, the sporophytes were transferred to MS media supplemented with 100  
963 mg/L cefotaxime and 20 mg/L hygromycin for another 30 days. The regeneration  
964 sporophytes were then transplanted to pots containing a premium potting mix for PCR  
965 and qPCR analysis.

966

967 **Figure 4.** Phenotype and sequences of the editing types of *CrPDS* and *CrSAL1*  
968 transgenic plants. Phenotypes of WT and transgenic plants (*CrPDS*) (A), bars= 1 cm.  
969 Sanger sequencing of the editing types in *CrPDS* transgenic plants (B). Phenotypes of  
970 *crsall* plants. Sanger sequencing of the editing types in *CrSAL1* transgenic plants (D).

971

972 **Figure 5.** Photosynthesis and stomatal traits of *CrSAL1* gene editing and  
973 overexpression lines. Phenotype and gas exchange parameters of WT, *SAL1-OE*, and  
974 *crsall* plants (A), bars= 5 cm. Net CO<sub>2</sub> assimilation (A), leaf transpiration rate (E),  
975 stomatal conductance (g<sub>s</sub>), intrinsic water use efficiency (iWUE), vapor pressure  
976 deficit (VPD), and leaf temperature (T<sub>leaf</sub>) of transgenic and WT plants (n=6).  
977 Stomatal parameters of transgenic and WT plants (B), bar= 20 μm. Subcellular

978 localization patterns of CrSAL1 in tobacco leaves (C), bars= 20  $\mu$ m. Values are means  
979 of three biological replicates  $\pm$  SE with 20–30 stomata. Asterisks indicate significant  
980 differences compared with the WT plants (\* $P < 0.05$ ).

981

982 **Figure 6.** Effects of gene editing and overexpression *CrSAL1* on reactive oxygen  
983 species (ROS) and ABA response of fern plants. Confocal images and fluorescent  
984 probe intensity of ROS in guard cells of the *SAL1* CRISPR/Cas9 and overexpression  
985 plants (A), bar= 20  $\mu$ m. ABA induced stomatal close in transgenic and WT plants (C),  
986 bar=20  $\mu$ m. ROS intensity (B) and stomatal traits (D) and of *C. richardii* in control  
987 and 50  $\mu$ M ABA treatment for 60 min. Values are means of three biological replicates  
988  $\pm$  SE with 20–30 stomata. Asterisks indicate significant differences compared with the  
989 WT plants (\* $P < 0.05$ , \*\* $P < 0.01$ ).

990

## 991 **Supplementary data**

992 The following materials are available in the online version of this article.

993

994 **Supplemental Figure S1.** Expression and alignment of sequences of U6 small  
995 nuclear RNA genes in diverse tissues of *C. richardii*.

996

997 **Supplemental Figure S2.** The sequences of the Actin/U6 promoter from *C. richardii*  
998 and 2 $\times$  CaMV 35S promoter.

999

1000 **Supplemental Figure S3.** Growth of gametophytes and sporophytes for testing  
1001 hygromycin sensitivity and germination in *C. richardii*.

1002

1003 **Supplemental Figure S4.** Genotyping and phenotyping of *CrSAL1* overexpression *C.*  
1004 *richardii* plants.

1005

1006 **Supplemental Figure S5.** Phenotype of *CrSAL1* (A) and *CrPDS* CRIPSR/Cas9  
1007 plants of *C. richardii* on the hygromycin selection medium.

1008

1009 **Supplemental Figure S6.** Tandem and block gene duplicate of *SAL* genes family in  
1010 *Chlorophyta* and *Embryophyta*.

1011

1012 **Table S1.** List of primer sequences used in this study.

1013

1014 **Table S2.** Expression patterns of plant SALs in different tissues and evolutionarily  
1015 important lineages of plants.

1016

1017 **Table 1.** Overview of overexpression, RNAi, CRISPR/Cas9 in fern species.

1018

Species	Genome size	Chromosome number	Transformation methods				
			RNAi	DNAi	Agrobacterium-mediated	Particle bombardment	CRISPR/Cas9
<i>Ceratopteris richardii</i>	7.46 Gb, Marchant et al. 2022	n=39	Stout et al. 2003	Rutherford et al. 2004	Muthukumar et al. 2013; Bui et al. 2015	Plackett et al. 2014, 2015	This study
<i>Azolla filiculoides</i>	0.75 Gb, Li et al. 2018	n=22	-	-	-	-	-
<i>Salvinia cucullata</i>	0.26 Gb, Li et al. 2018	n=9	-	-	-	-	-
	1.0 Gb, Rahmatpour et al. 2023	n=20	Klinkand Wolniak 2000	-	-	-	-
<i>Marsilea vestita</i>							
<i>Adiantum capillus-veneris</i>	4.83 Gb, Fang et al. 2022	n=30	-	Kawai-Tooyoka et al. 2004	-	Kawai et al. 2003	-
<i>Alsophila spinulosa</i>	6.27 Gb, Huang et al. 2022	n=69	-	-	-	-	-
<i>Pteris vittata</i>	-	-	-	-	Muthukumar et al. 2013	-	-

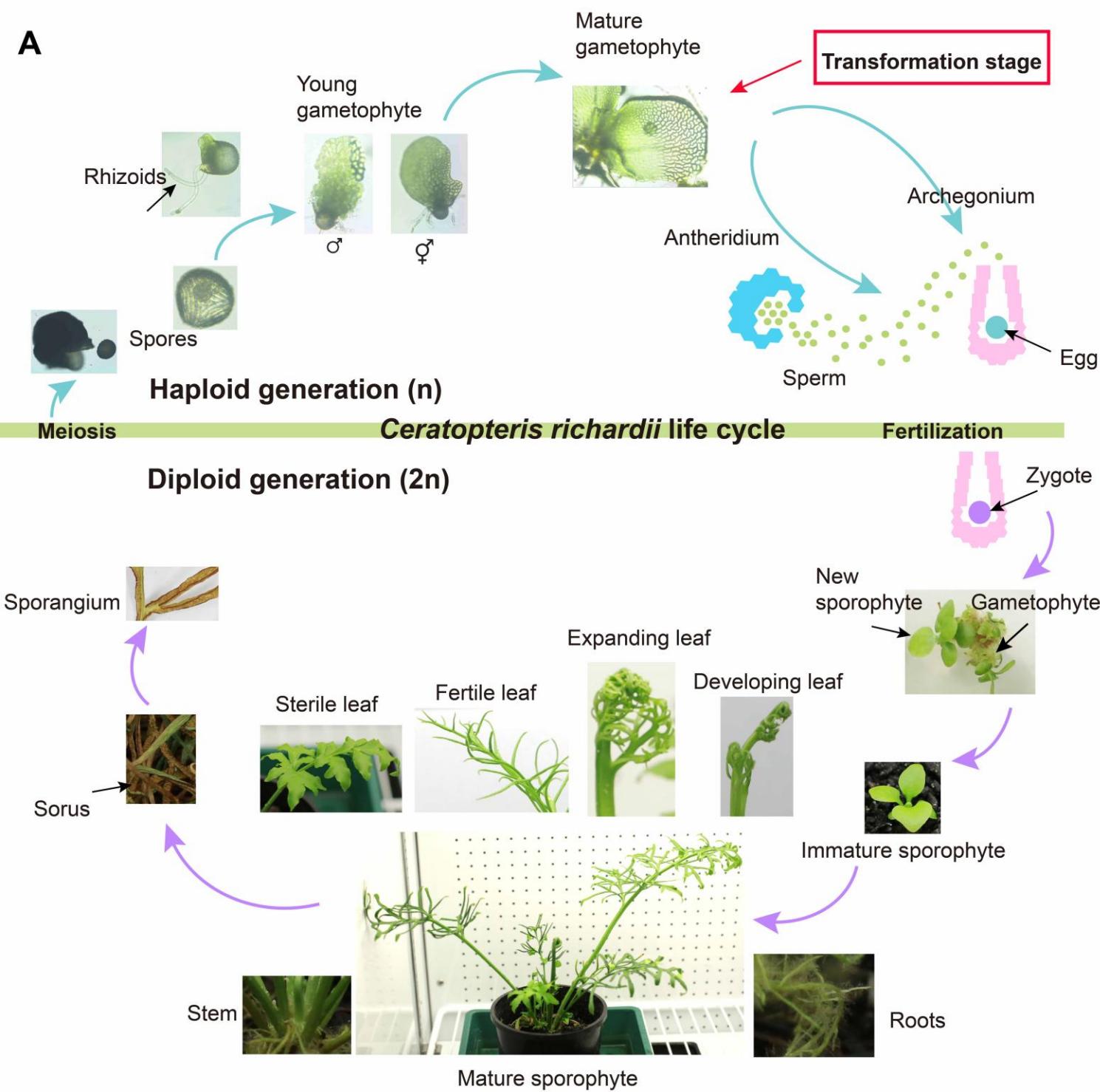
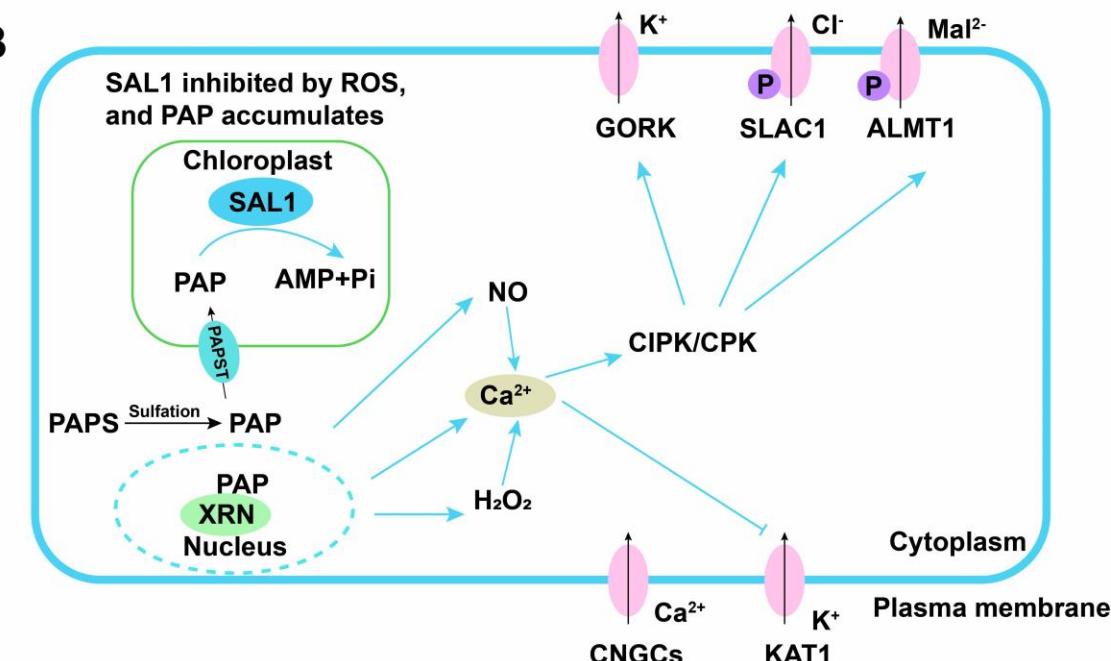
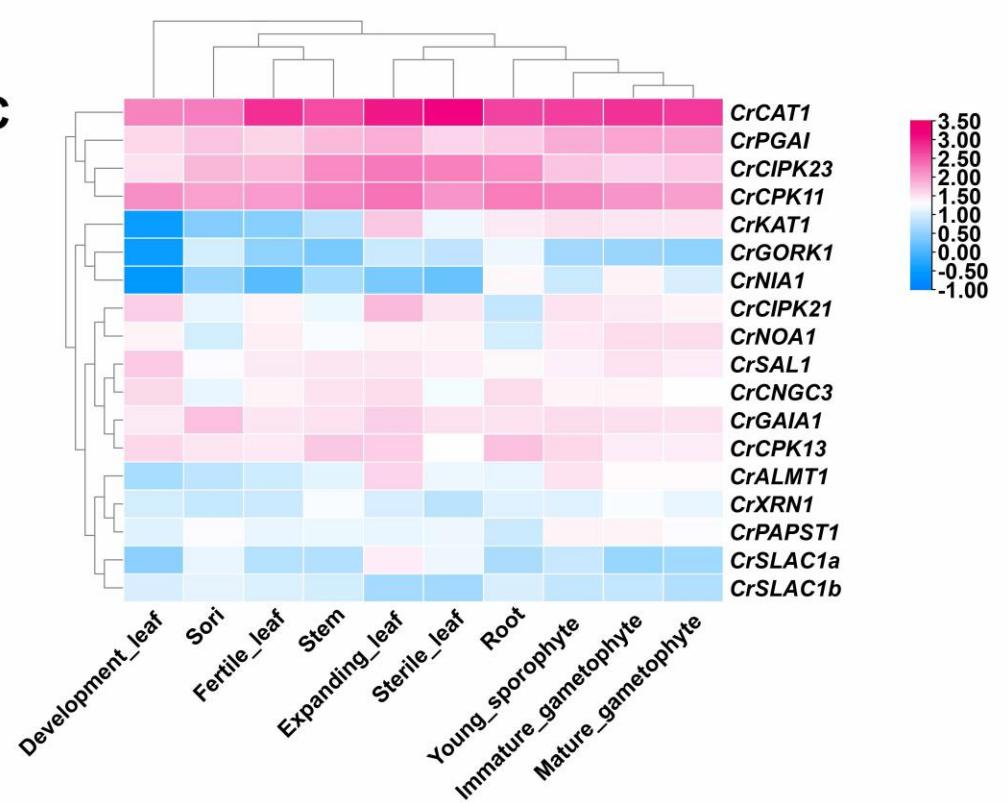
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1020 **Table 2.** Factors affecting the efficiency of genetic transformation of the fern species *C. richardii*.

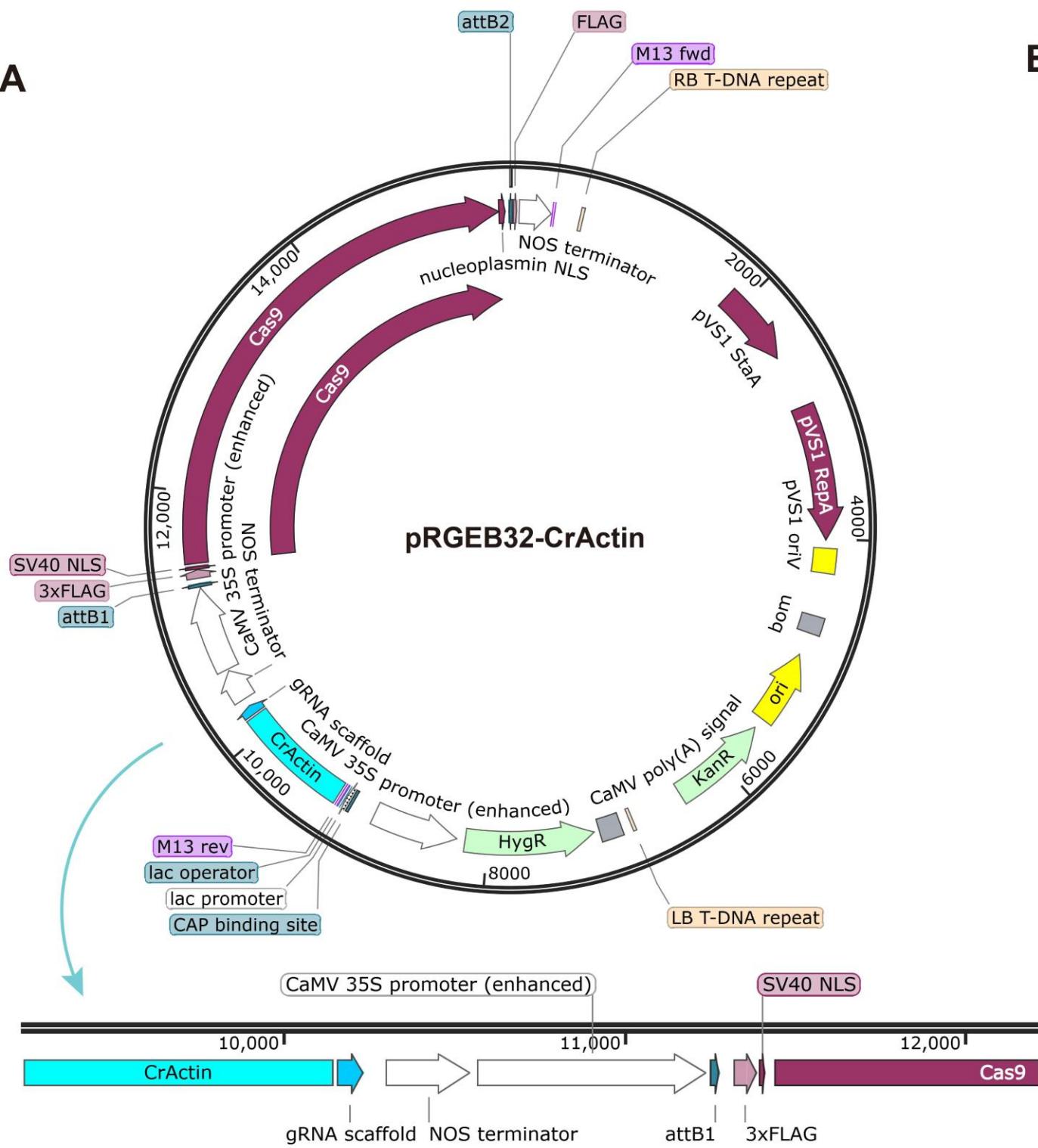
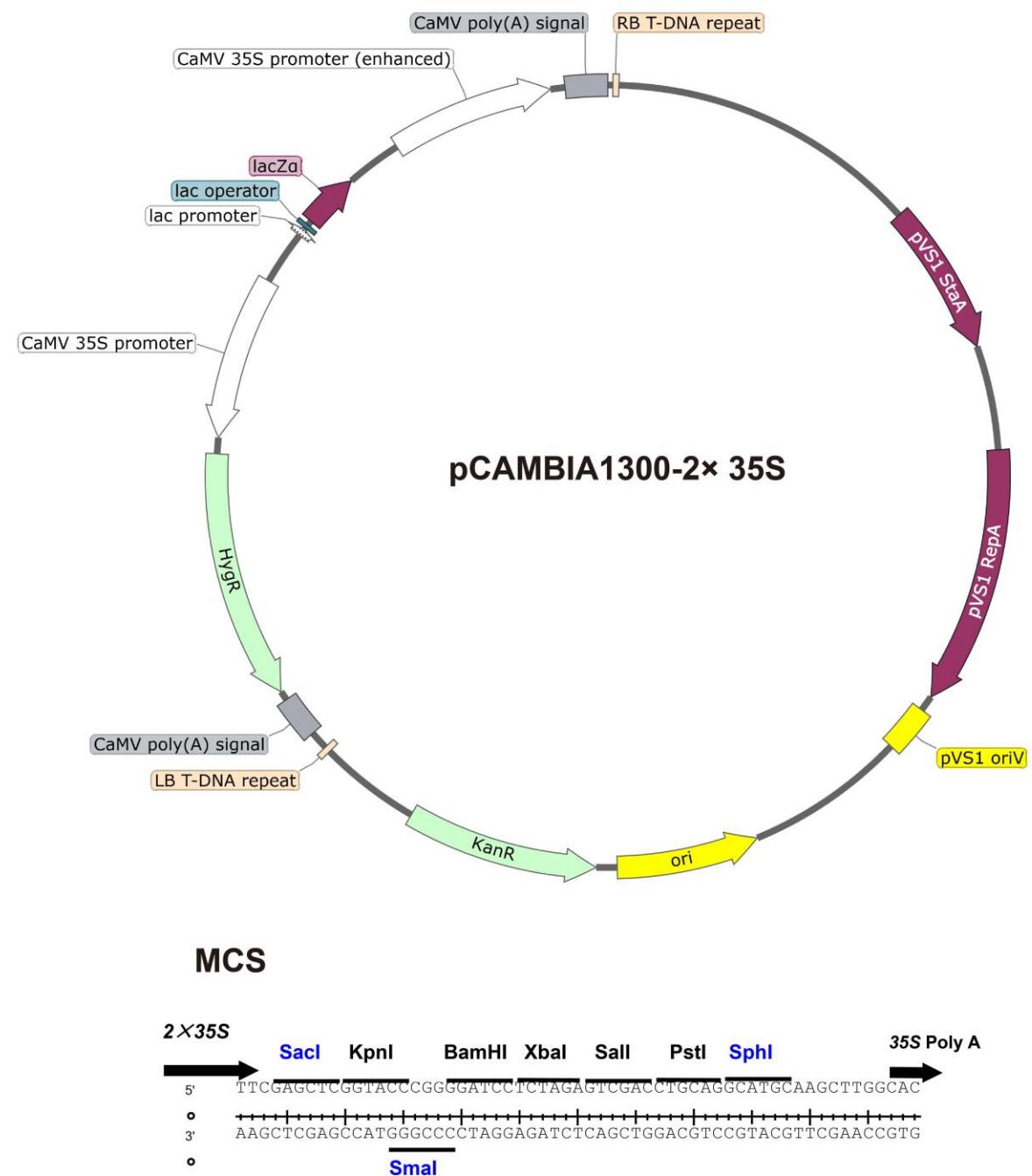
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Construct	Total number of gametophytes	OD value	Enzyme treatment time	Co-incubated <i>Agrobacterium</i> time	Hygromycin selection	Transformants	Transformation efficiency	Tested T0 seedling	Mutated T0 seedling number	Ratio
Untransformed	215	0.4	15 min	15 min	10 mg/L	0	0%	-	-	-
<i>SAL1-OE</i>	893	0.4	15 min	15 min	10 mg/L	8	0.90%	-	-	-
<i>SAL1-OE</i>	537	1.2	15 min	15 min	10 mg/L	6	1.12%	-	-	-
<i>SAL1-OE</i>	768	0.8	2 h	1 h	5, then 20 mg/L	73	9.51%	-	-	-
<i>CRY4-OE</i>	194	0.8	2 h	1 h	5, then 20 mg/L	23	11.68%	-	-	-
<i>YSL-OE</i>	351	0.8	2 h	1 h	5, then 20 mg/L	26	7.41%	-	-	-
<i>GRF-OE</i>	159	0.8	2 h	1 h	5, then 20 mg/L	14	8.81%	-	-	-
<i>SAL1-KO</i>	210	0.8	2 h	1 h	5, then 20 mg/L	8	3.33%	8	2	25.00%
<i>PDS-KO</i>	212	0.8	2 h	1 h	5, then 20 mg/L	10	4.72%	10	2	20.00%
<i>CRY4-KO</i>	526	0.8	2 h	1 h	5, then 20 mg/L	28	5.32%	-	-	-
<i>YSL-KO</i>	468	0.8	2 h	1 h	5, then 20 mg/L	21	4.49%	-	-	-

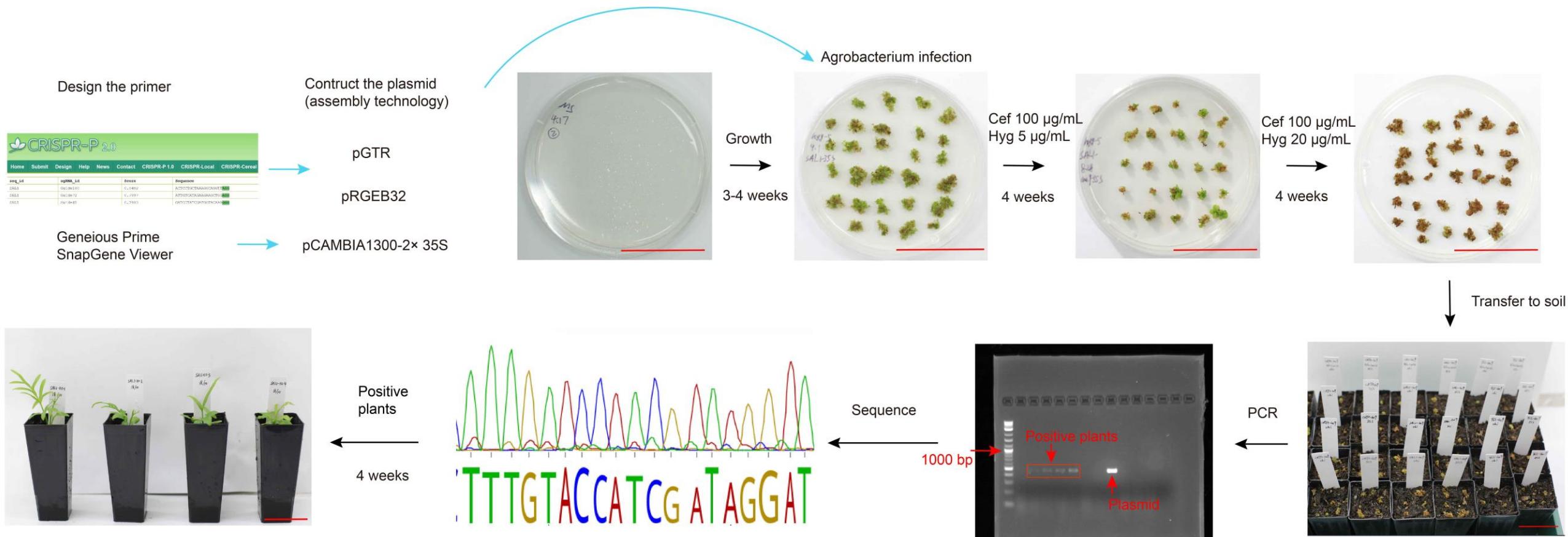
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**A****B****C**

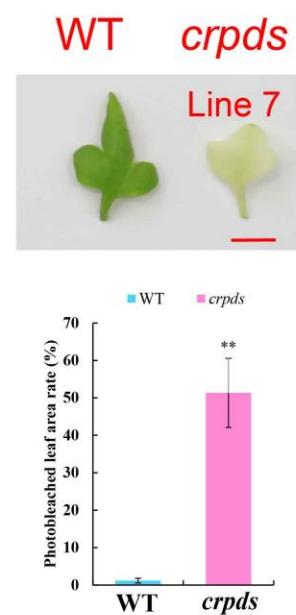
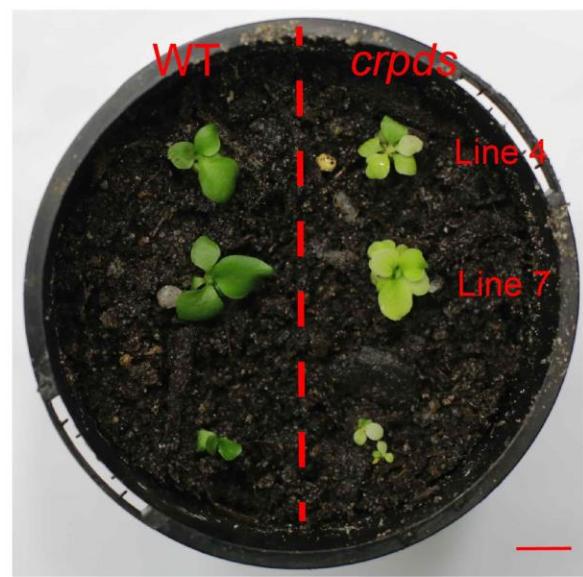
**Figure 1. The lifecycle, proposed model and gene expression of SAL1-PAP retrograde signaling in a model fern species *Ceratopteris richardii*.**

**A****B**

**Figure 2. Plasmid information of CRISPR/Cas9 and overexpression for genetic transformation in *C. richardii*.**

**A****B**

**Figure 3. The workflow of gene editing and gene overexpression in *C. richardii*.**

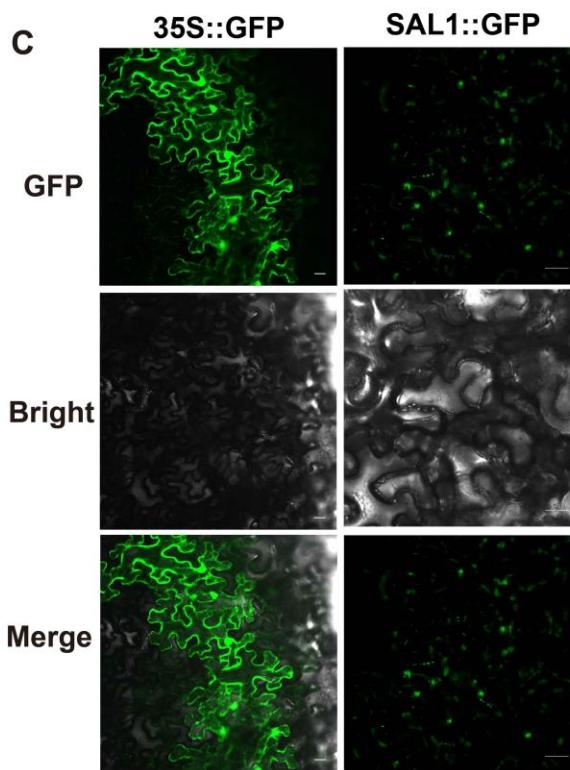
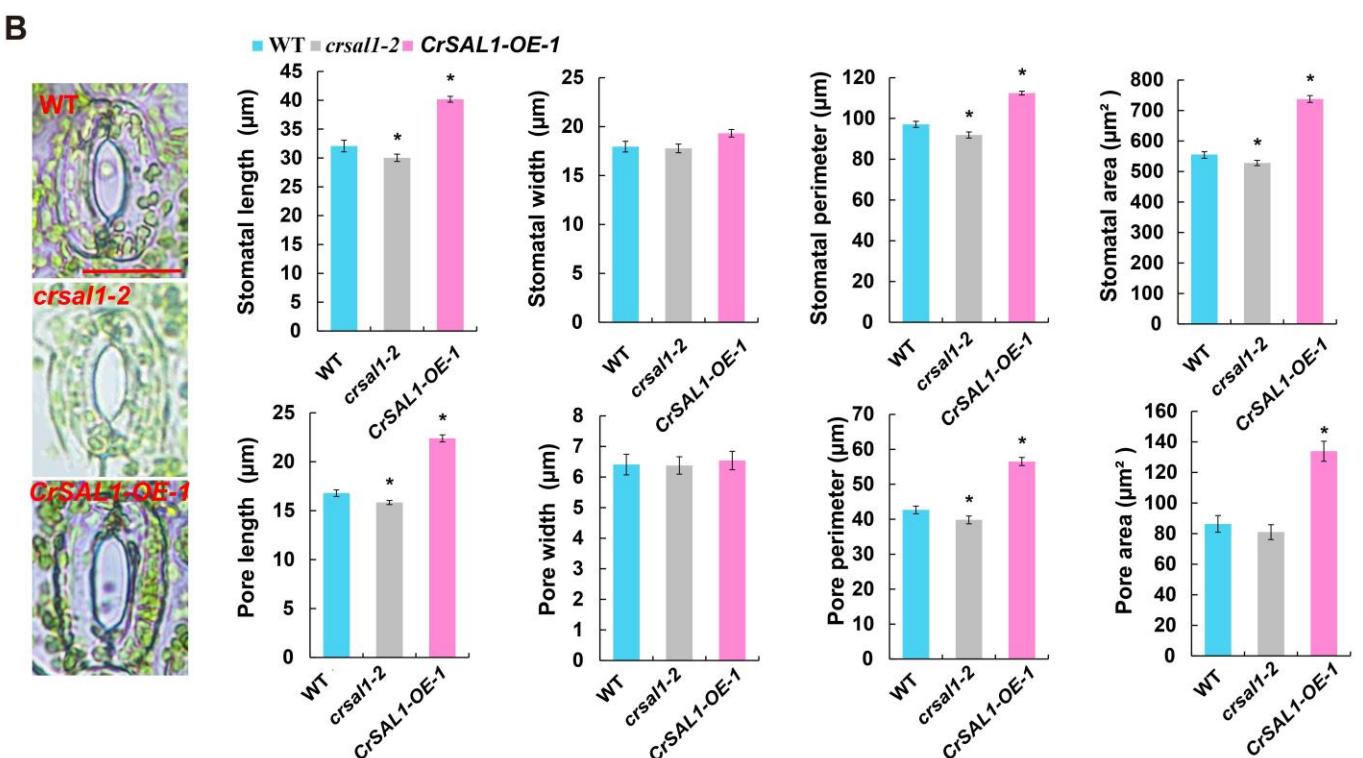
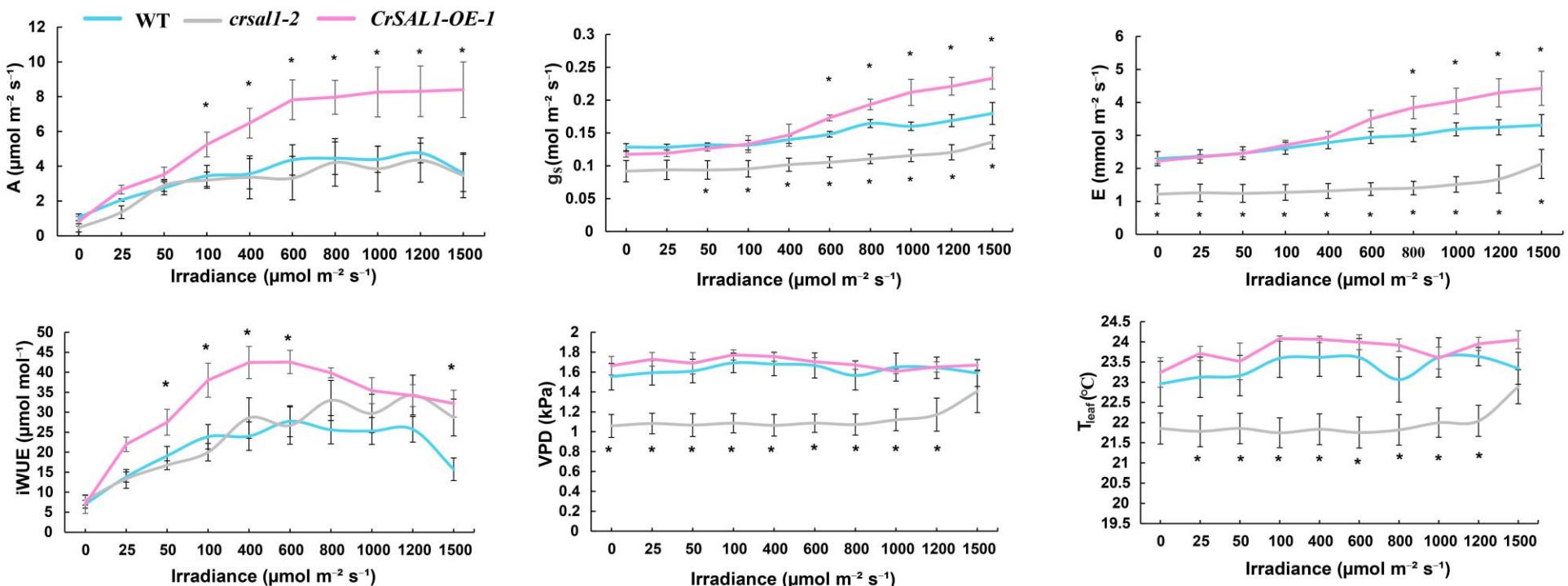


	PDS-target 1	PAM
WT	GACAATGCTGCTGCTTCAATATAATT <u>GGGAGTTTC</u>	
L4: T>A	GACAATGCTGCTGCTTCAATA <u>AAATTGGGAGTTTC</u>	
L7: -1	GACAATGCTGCTGCTTCAATA <u>AAAAT-GGGAGTTTC</u>	

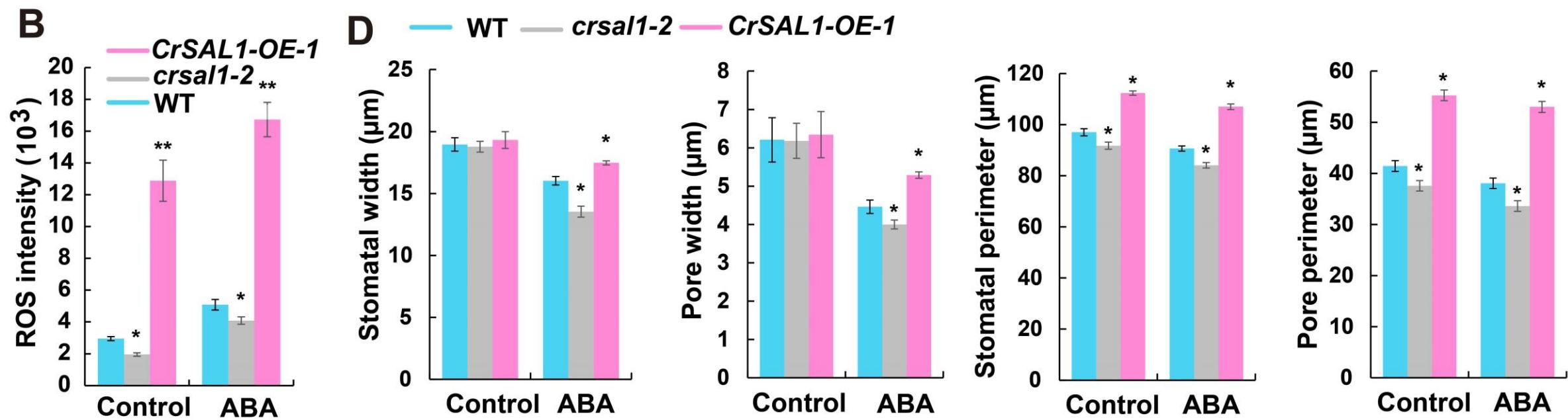
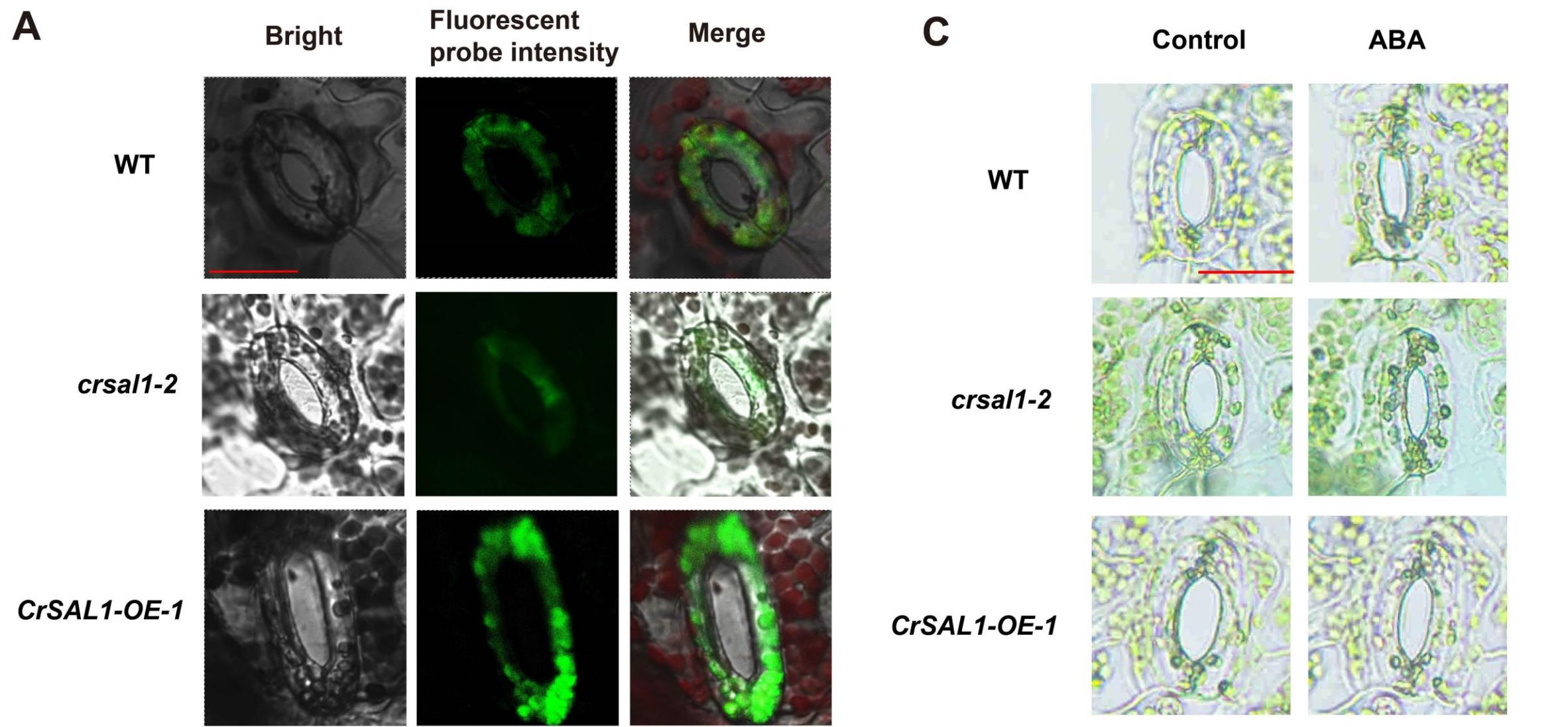


	SAL1-target 1	PAM
WT	GT <del>TTT</del> GGATCCTATCGATGGTACAAAGGGATTCT	<u>TTT</u>
L1: A>T T>A, A/C>T	GT <del>TTT</del> GGATCCT <del>T</del> ACGATGGT <del>TTA</del> ATGGGATTCT	
	SAL1-target 2	PAM
WT	GCAGTTGCTCTAGCCCTTCTAGATGAGGGTGAAGT	<u>TTT</u>
L7: T>C	GCAGTTGCTCTAGCCCT <del>C</del> TAGATGAGGGTGAAGT	
L2: -1	GCAGTTGCTCTAGCCCTTC-AGATGAGGGTGAAGT	

**Figure 4. Phenotype and sequences of the editing types of *CrPDS* and *CrSAL1* transgenic plants.**



**Figure 5. Photosynthesis and stomatal traits of *CrSAL1* gene editing and overexpression lines.**



**Figure 6. Effects of gene editing and overexpression of *CrSAL1* on ROS and ABA-response of fern plants.**

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