

Efficient Gene Editing and Overexpression of Gametophyte Transformation in a Model Fern

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24 Abstract

25 The clustered regularly interspaced short palindromic repeats (CRISPR)/CRISPR-
 26 related nuclease (Cas) system allows precise and easy editing of genes in many plant
 27 species. However, this system has not yet been applied to any fern species due to the
 28 complex characteristics of fern genomes, genetics and physiology. Here, we
 29 established, for the first time, a protocol for gametophyte-based screening single-
 30 guide RNAs (sgRNAs) with high efficiency for CRISPR/Cas-mediated gene editing
 31 in a model fern species, *Ceratopteris richardii*. We utilized the *C. richardii* *Actin*
 32 promoter to drive sgRNA expression and enhanced CaMV 35S promoter to drive the
 33 expression of *Streptococcus pyogenes* Cas9 in this CRISPR-mediated editing system,
 34 which was employed to successfully edit a few genes (e.g., *nucleotidase/phosphatase*
 35 *1*, *CrSAL1*; *Cryptochrome 4*, *CRY4*) and *CrPDS*, encoding a phytoene desaturase
 36 protein that resulted in an albino phenotype in *C. richardii*. Knockout of *CrSAL1*
 37 resulted in significantly reduced stomatal conductance (g_s), leaf transpiration rate (E),
 38 stomatal/pore length, and abscisic acid (ABA)-induced reactive oxygen species (ROS)
 39 accumulation in guard cells. Moreover, *CrSAL1* overexpressing plants showed
 40 significantly increased net photosynthetic rate (A), g_s , E and intrinsic water use
 41 efficiency ($iWUE$) as well as most of the stomatal traits and ROS production in guard
 42 cells compared to those in the wild-type (WT) plants. Taken together, the optimized
 43 CRISPR/Cas9 system provides a useful tool for functional genomics in a model fern
 44 species, allowing the exploration of fern gene functions for evolutionary biology,
 45 herbal medicine discovery and agricultural applications.

46
 47 **Keywords:** CRISPR/Cas, genetic transformation, overexpression, gene function,
 48 *Ceratopteris richardii*

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50 Introduction

51 First appearing in the fossil record around 360 million years ago (MYA), true ferns
52 form the second largest vascular plant lineage after angiosperms with more than
53 10,500 species (<https://www.worldfloraonline.org/>). These numerous species have
54 been instrumental in shaping plant biodiversity and many ecosystems on Earth,
55 resulting in a breadth of adaptations and innovations that are fascinating for research
56 in genomics, evolution, ecology, molecular biology, and physiology (Cai et al., 2021;
57 Marchant et al., 2022). Compared to other vascular plants, distinct genes (e.g.,
58 *phenolic acid decarboxylases*, *aerolysin-like*, and *12-oxophytodienoic acid*) might
59 protect ferns from biotic (Pennisi, 2023; Wei et al., 2023) and abiotic stresses (Yan et
60 al., 2019). Many fern species are used in traditional medicine for treating fevers,
61 relaxing muscles, and relieving pain due to the active chemical compounds they
62 produce (Cao et al., 2017; Kumar et al., 2023; Pohthmi and Sharma, 2023).

63 CRISPR/Cas has been widely used in plant molecular research due to its
64 simplicity, versatility, and efficiency for gene editing (Xie et al., 2015; Endo et al.,
65 2019; Wang et al., 2020; Cardi et al., 2023). The cellular repair of CRISPR/Cas-
66 mediated double-strand breaks by non-homologous end joining using sgRNA and Cas
67 nuclease can lead to the modification of genes (Wang et al., 2018; Wang et al., 2020).
68 The ability to reprogram CRISPR/Cas with engineered sgRNA to target any gene of
69 interest allows plant scientists to develop new plant varieties with desired traits and
70 reducing the regulatory complication of genetically modified organism (GMO) (He et
71 al., 2022; Cardi et al., 2023; Pacesa et al., 2024). For instance, CRISPR/Cas-mediated
72 inactivation significantly enhanced grain weight in rice (*Oryza sativa*) by targeting
73 *OsGW5* (Liu et al., 2017) and *OsMADS1* (*MADS-BOX TRANSCRIPTION FACTOR 1*)
74 (Wang et al., 2024), production of low-gluten wheat (*Triticum aestivum*) through
75 editing the α -gliadin gene array (Sánchez-León et al., 2018), and powdery mildew
76 resistance of tomato (*Solanum lycopersicum*) (Nekrasov et al., 2017). In the past
77 decade, CRISPR/Cas technology has been successfully utilized to modify more than

130 green plant species based on a recent review (Cardi et al., 2023), including 110 angiosperms (mostly agricultural and horticultural crops with significant economic values) (Kis et al., 2019; Wang et al., 2023), and 7 gymnosperms (Ren et al., 2021; Ye et al., 2023), 3 mosses (Tansley et al., 2023; Tavernier et al., 2023; Yuan et al., 2023), and 12 algae (Belshaw et al., 2023; Patel et al., 2023; Zhang et al., 2023) without any species of ferns or lycophytes.

Ceratopteris richardii is a fast-growing, small, tropical homosporous fern that has been used for decades as the model fern species (Marchant et al., 2019). Genetic transformation has been performed in *C. richardii* for functional genomics (Plackett et al., 2014; Plackett et al., 2015) such as discovering the roles of genes in sex determination (Youngstrom et al., 2019), genome structure, developmental biology (Plackett et al., 2018; Geng et al., 2022), hybridization and reproductive barriers (Youngstrom et al., 2022; Withers et al., 2023), and apogamy (Bui et al., 2017). In addition, the molecular function of some *C. richardii* genes have been studied through RNA interference (RNAi) (Plackett et al., 2018; Withers et al., 2023) and overexpression methods (Youngstrom et al., 2022). While the genetic transformation of fern gametophytes as the explant usually has a low success rate, it should be noted that the majority of these methods were developed and optimized according to the well-established protocols targeting to angiosperm flowers, immature embryos, and calli (Bui et al., 2015; Bui et al., 2017). Efficient gene editing protocol for fern species has not been developed, but an efficient and fast verification system in *C. richardii* will facilitate the analysis of gene function in ferns (Frangedakis et al., 2023).

Nucleotidase/phosphatase SAL1, also known as FIERY1 (FRY1) (Ishiga et al., 2017), has dual enzymatic activity of nucleotidase and inositol phosphatase, which functions largely in responses to abiotic stresses through inositol signaling and nucleotide metabolism (Jia et al., 2019). Transient silencing of *SAL1* and loss-of-function mutants led to enhanced drought tolerance in *T. aestivum* (Manmathan et al., 2013; Abdallah et al., 2022) and *Arabidopsis thaliana* (Wilson et al., 2009; Estavillo

et al., 2011), while *OsSAL1* overexpression plants were severely impaired in drought tolerance of rice (Liu et al., 2023). Additionally, *GhSAL1* improved cold tolerance via inositol 1,4,5-triphosphate- Ca^{2+} signaling pathway in cotton (*Gossypium hirsutum*) (Shen et al., 2023). Our previous study showed that *C. richardii* SAL1 (CrSAL1) and its byproduct 3'-phosphoadenosine-5'-phosphate (PAP) function as chloroplast stress signals and participated in the abscisic acid (ABA) signaling pathway for drought response and stomatal regulation (Zhao et al., 2019), but *CrSAL1* was not functionally verified through genetic engineering in *C. richardii*.

Here, we established an efficient gene-editing platform for *C. richardii* transformation using gametophytes. We improved targeting and editing efficiency of sgRNAs for an optimized *Agrobacterium*-mediated CRISPR/Cas9 system via the successful editing of *CrSAL1* (*Ceric.25G052000.1*), *CrPDS* (*Ceric.08G066500.1*), *CrCRY4* (*Ceric.03G029200.1*), and *CrYSL* (*Ceric.20G086500.1*) in *C. richardii*. Knockout and overexpression of *CrSAL1* resulted in distinctive phenotypes in gas exchange parameters and stomatal traits in the transgenic plants compared to those in the WT. Our study suggests that the CRISPR/Cas system and the potentially expanded toolkit for gene editing in ferns will facilitate more rapid gene discovery and functional validation for evolutionary biology, herbal medicine, and agricultural applications.

Results

Selection of fern species and developmental stages for transformation

Several reference genome of ferns have been assembled in recent years, including *Azolla filiculoides* (0.75 Gb, $n = 22$), *Salvinia cucullata* (0.26 Gb, $n = 9$) (Li et al., 2018), *Alsophila spinulosa* (6.27 Gb, $n = 69$) (Huang et al., 2022), *Adiantum capillus-veneris* (4.83 Gb, $n = 30$) (Fang et al., 2022), *Ceratopteris richardii* (7.46 Gb, $n = 39$) (Marchant et al., 2022), and *Marsilea vestita* (1.0 Gb, $n = 20$) (Rahmatpour et al.,

2023) (Table 1). These high-quality genome sequences enable future research into the functional genomics and applications of ferns (Chen, 2022; Kinoshita and Wolf, 2022; Frangedakis et al., 2023). In the available transformation methods, particle bombardment and *Agrobacterium*-mediated stable transformation have been successfully applied to *C. richardii* (Plackett et al., 2014; Bui et al., 2015) and *Pteris vittata* (Muthukumar et al., 2013). These robust transformation methods have paved the way for developing of gene editing in ferns. While *Pteris vittata* lacks the necessary genomic information for extensive genetic manipulation (Petlewski and Li, 2019), the recent publication of the *C. richardii* genome led us to select *C. richardii* as the most suitable fern species for establishing a gene editing protocol.

Unlike seed plants, homosporous ferns, including *C. richardii*, possess morphologically and developmentally distinct free-living haploid gametophytes and diploid sporophytes (Figure 1A). The germination of a haploid spore to produce a photosynthetic thallus initiates the gametophytic generation. Hormonal sex determination of *C. richardii* differentiates individual gametophytes into distinct male or hermaphrodite sexes (Conway and Di Stilio, 2020). Archegonia (female gametangia) and antheridia (male gametangia) develop to produce motile sperm and eggs, respectively (Figure 1A). Only one archegonium is fertilized, resulting in a single diploid zygote per gametophyte. This first step in the diploid sporophyte generation is crucial for genetic transformation (Muthukumar et al., 2013; Bui et al., 2015; Bui et al., 2017). Extrapolating from the successful transformation of the liverwort *Marchantia polymorpha* (Ishizaki et al., 2008) and *C. richardii* (Bui et al., 2015) gametophytes via *Agrobacteria*, we developed an *Agrobacterium*-mediated gametophyte system for gene knockout in *C. richardii*. The life cycle of *C. richardii* is completed with the production of haploid spores (Figure 1A).

Identification and cloning of U6 promoter and Actin promoter from *C. richardii*

The core competent for CRISPR/Cas9 system contains the expression cassettes of sgRNA and the SpCas9 nuclease. Guide RNAs for genome editing have been

161 produced using a range of Pol III promoters (Xie et al., 2015; Kor et al., 2022). We
162 found seven *U6 small nuclear ribonucleoprotein* genes (*Ceric.17G074700*,
163 *Ceric.33G040100*, *Ceric.09G088700*, *Ceric.02G026900*, *Ceric.1Z290000*,
164 *Ceric.03G070800*, *Ceric.03G071600*) in the *C. richardii* genome ([https://phytozome-](https://phytozome-next.jgi.doe.gov/info/Crichardii_v2_1)
165 [next.jgi.doe.gov/info/Crichardii_v2_1](https://phytozome-next.jgi.doe.gov/info/Crichardii_v2_1)), which showed high expression in
166 gametophyte, leaf, stem, and root (Supplemental Figure S1A). However, the
167 promoters of these *C. richardii* genes do not contain the upstream sequence element
168 (USE) and TATA elements, which are the typical structural properties of the Pol III
169 promoters (Kor et al., 2022). Therefore, we used the sequences of the *A. thaliana* U6-
170 26 snRNA (X52528, AT3G13857) and the *T. aestivum* U6 gene (X52528,
171 ENSRNA050022746-T1) (Poovaiah et al., 2021) sequences to compare with the
172 upstream U6 promoter regions in *C. richardii*. We identified three promoters
173 including CrU6-1 (*Ceric.13G012200*), CrU6-2 (*Ceric.13G012300*), and CrU6-3
174 (*Ceric.1Z176900*), which possess the USE and TATA elements (Supplemental Figure
175 S1B). However, these genes were not highly expressed in root, stem, leaf, or
176 gametophyte of *C. richardii* (Supplemental Figure S1A).

177 Previous studies showed that a single Pol II promoter (either constitutive or
178 inducible) can also achieve effective gene editing (Hassan et al., 2021; Cardi et al.,
179 2023) in *O. sativa* (Tang et al., 2016; Ren et al., 2019), *T. aestivum* (Luo et al., 2021),
180 *Hordeum vulgare*, *S. lycopersicum*, *Medicago truncatula* (Čermák et al., 2017), and
181 the diatom *Phaeodactylum tricornutum* (Taparia et al., 2022). The *Actin* promoter
182 isolated from *P. vittata* was able to function efficiently in both *P. vittata* and
183 *Ceratopteris thalictroides* (Muthukumar et al., 2013). A 916 bp fragment, located at
184 the upstream of the *CrActin* was isolated and considered as the putative promoter
185 (Supplemental Figure S2A), which was instead of the OsU3 promoter in pRGEB32
186 (Xie et al., 2015) to drive the expression cassettes of sgRNA (Luo et al., 2021). The
187 Cas9 protein also reported to be driven by the enhanced CaMV 35S promoter (Li et
188 al., 2013; Awasthi et al., 2021; Cui et al., 2021). Therefore, the native maize ubiquitin

189 promoter (ZmUbi) promoter in the original construct pRGEB32 was replaced by the
190 enhanced 35S promoter (Supplemental Figure S2B), which was designated as
191 pRGEB32-CrActin.

192 ***An efficient Agrobacterium-mediated transformation of C. richardii using***
193 ***hygromycin selection***

194 To get positive transformants with gene editing or overexpression, the transformation
195 protocol of *C. richardii* was optimized through adjusting the time for enzyme
196 treatment, co-incubation and the concentrations with hygromycin for positive
197 selection (Table 2). Subsequently, *CrSAL1* was selected to establish the
198 *Agrobacterium*-mediated transformation of *C. richardii*. SAL1-PAP retrograde
199 signaling is involved stomatal opening and closure through ROS, Ca²⁺, and nitric
200 oxide (NO) pathways and ion channel (Pornsiriwong et al., 2017; Zhao et al., 2019)
201 (Figure 1B). Here, we found that key component of the SAL1-PAP retrograde
202 signaling pathway such as *CrSAL1*, *CrCAT1*, ion channels (*CrKAT1*, *CrALMT1*,
203 *CrCNGC*) and protein kinases (*CrCIPK11*, *CrCIPK23*) displayed high levels of
204 expression in most of the tissues, particularly leaves (Figure 1C).

205 The pRGEB32-CrActin (Figure 2A), and pCAMBIA1300 (Figure 2B) were
206 employed for gene editing and overexpression *C. richardii*, respectively. The
207 transformation construct used for stable overexpression transformation was
208 pCAMBIA1300-2×35S, which carries the *hygromycin phosphotransferase (HPT)*
209 gene for selection of positive transgenic plants. After 72 h of co-incubation with
210 *Agrobacteria*, transformed gametophytes were selected on MS media supplemented
211 with 100 mg/L cefotaxime and 5 mg/L hygromycin to kill the *Agrobacteria* and select
212 the transformants, respectively (Figure 3).

213 We found that the gametophytes are unable to reproduce and survive for long
214 periods under the suggested MS media with 20 mg/L hygromycin. In order to increase
215 the regeneration and survival rate of the transformed gametophytes, we assayed a
216 range of hygromycin concentrations and selected 5 mg/L (Supplemental Figure S3A,

217 S3B), resulting in more regenerated gametophytes with normal morphology and
 218 reproduction (Figure 3). The sporophytes were then transferred to MS media
 219 supplemented with 100 mg/L cefotaxime and 20 mg/L hygromycin for another 30
 220 days. The highest regeneration rate for stable transformation was achieved by 2 h
 221 treatment with 1.5% (w/v) cellulase before *Agrobacterium* co-incubation. We
 222 observed that sporophyte survival rate was slightly increased by *Agrobacterium* co-
 223 incubation time with 1.5% cellulase for 1 h (Table 2). Therefore, a combination of
 224 digestion with 1.5% cellulase and selection with 100 mg/L cefotaxime and 5/20 mg/L
 225 hygromycin was employed in our experiments. Interestingly, regeneration rarely
 226 occurs in a 1:1 stoichiometry, and a cluster of diverse regenerated gametophytes were
 227 developed from a gametophyte inoculated with *Agrobacterium* (Figure 3B). The
 228 regenerated sporophytes were then transplanted to pots containing a premium potting
 229 mix for further analysis.

230 ***Molecular analysis of transgenic C. richardii plants***

231 Nearly 10% of treated gametophytes survived on MS media supplemented with 20
 232 mg/L hygromycin (Figure 3B). We obtain 87 *CrSAL1* overexpressed plants survived
 233 under hygromycin selection, but half of the plants failed to develop normally and
 234 complete the life cycle (Supplemental Figure S4A). Positive transgenic plants were
 235 screened by PCR with a 456-bp PCR product using the DNA as template and
 236 hygromycin primers targeting to the hygromycin gene (Supplemental Figure S4B). In
 237 total, we obtained and verified 15 transgenic *C. richardii* individuals with relatively
 238 higher expression of *CrSAL1* (Supplemental Figure S4). The transformation
 239 efficiency was calculated according to the number of successfully developed
 240 transgenic sporophytes divided by the total gametophytes used in transformation and
 241 multiplied by 100 (Bui et al., 2017), resulting in an efficiency ranging from 3.3% to
 242 11.68% across those tested genes (Table 2).

Screening of knockout lines of *CrPDS* and *CrSAL* mediated by CRISPR/Cas9

After successful establishment of the *Agrobacterium*-mediated stable transformation method for overexpression gene of interest in *C. richardii* using gametophytes as the explant, the pipeline was employed to generate the gene editing lines with CRISPR/Cas9 system (Supplemental Figure S5) in *C. richardii* – the first of any fern species. Loss-of-function of *Phytoene desaturase* (*PDS*) leads to photobleaching phenotypes in varied plant species (Awasthi et al., 2021), which was widely employed as a visible marker in developing the protocol for knocking out of genes of interest (Ma et al., 2019). To introduce mutations into the *CrPDS*, two independent 20 bp sequences with NGG in their 3'-regions targeting were synthesized and inserted into the gRNA expression cassette of pRGEB32-CrActin vector. We obtained 18 *CrSAL* and *CrPDS* CRISPR/Cas9 plants through screening with hygromycin (Supplemental Figure S5). The positively transformed plants showed the expected photobleached leaf phenotype (Figure 4A). Sequence analysis determined that the editing efficiency of the *CrPDS* and *CrSAL* target site in the transgenic plants was ranged from 20% to 25%, although the transformation efficiency of gene editing ranged from 3.33% to 4.72%. Both of replacement and deletion could be found in the mutant lines (Figure 4B, 4D). These results suggest that the pRGEB32-CrActin we generated in this study could be employed for editing genes of interest in *C. richardii* (Table 2).

Physiological evaluation of *SAL* overexpression and knockout *C. richardii* plants

The subcellular localization of GFP fusion construct in the tobacco epidermis showed that GFP alone was found in the nuclei, cytoplasm, and membranes. However, we found GFP fluorescence of CrSAL1 overlaps with the chloroplast fluorescence, implying that the CrSAL1 protein is localized at the chloroplast and potentially in the cytosol (Figure 5C). The results indicate that CrSAL1 may function in chloroplast retrograde signaling and stomatal regulation similar to those seen in *A. thaliana* (Xiong et al., 2001; Estavillo et al., 2011).

We overexpressed *CrSAL* in *C. richardii* and obtained 15 individuals with

271 relatively higher expression of *CrSAL1*, but only four individuals (Line 1, 13, 21 and
272 24) completed the life cycle (Figure 5A). Overexpression *CrSAL1-OE-1* (Line 1) in
273 *C. richardii* significantly increased the net CO₂ assimilation (*A*), leaf transpiration rate
274 (*E*), and stomatal conductance (*g_s*) under high light intensity compared to the WT
275 across light intensity from 0 to 1500 μmol m⁻² s⁻¹. Interestingly, the *crsall-2*
276 CRISPR/Cas9 knockout mutants displayed significantly lower *g_s*, *E*, vapor pressure
277 deficit (*VPD*), and leaf temperature (*T_{leaf}*) compared to the WT (Figure 5A).

278 Stomata are essential for plants to respond to environmental conditions
279 (Hetherington and Woodward, 2003; Chen et al., 2017; Jiang et al., 2024). In the
280 control conditions, the *CrSAL1-OE-1* transgenic plants exhibited larger length, area,
281 and perimeter of both stomata and stomatal pores compared to the WT plants (Figure
282 5B). Moreover, stomatal length, stomatal perimeter, and stomatal area in the *CrSAL1-*
283 *OE-1* lines were significantly increased, on average, by 25.3%, 15.8%, and 30.4%,
284 respectively. The mean pore length, pore perimeter, and pore area of *CrSAL1-OE-1*
285 were increased by 33.3%, 32.4%, and 55.0%, respectively. In contrast, *crsall-2*
286 knockout mutants showed a slight decrease in the length and perimeter of stomata and
287 stomatal pore compared to the WT (Figure 5B).

288 *CrSAL1-OE-1* plants also exhibited high ROS levels in guard cells under the
289 control conditions. The total ROS level of *crsall-2* plants was significantly lower than
290 that of WT in the control conditions (Figure 6A), similar to the results of previous
291 studies analyzing mutants of *SAL1* gene such as *altered ascorbate peroxidase 2*
292 (*APX2 expression 8 (alx8)* and *onset of leaf death 101 (old101)* in *A. thaliana*
293 (Estavillo et al., 2011; Shirzadian-Khorramabad et al., 2022). *SAL1* was reported to
294 be important for ABA signaling in response to environmental conditions
295 (Pornsiriwong et al., 2017; Zhao et al., 2019). Thus, we also performed the stomatal
296 assay with ABA treatment in the WT and transgenic plants. Interestingly, *crsall-2*
297 mutant displayed ABA-sensitive stomatal phenotype (Figure 6C, 6D), which is
298 consistent with the previous study that *sall-8* (Pornsiriwong et al., 2017) and *fry1*

(Xiong et al., 2001) were more sensitive to ABA in *A. thaliana*, implying the potentially conserved molecular function of *SALI* in stomatal regulation in different plants. Furthermore, ABA treatment increased the ROS level of guard cell in WT, *crsal1-2*, *CrSALI-OE-1* plants (Figure 6B), leading to stomatal closure. In summary, we demonstrated for the first time on the gene editing in *C. richardii* by editing four important genes and analyzed the function of *CrSALI*.

Discussion

First gene editing for gene functional verification in a fern

CRISPR/Cas genome editing has been applied to a variety of plant species to enhance disease resistance and abiotic stress tolerance (Deng et al., 2022). In the past ten years, there were 9,000 publications on topics relevant to plant CRISPR on Web of Science (<https://www.webofscience.com/>). However, there have been no studies on the use of CRISPR/Cas9 in ferns (Frangedakis et al., 2023). In this study, we established an efficient gene editing method for the transformation of *C. richardii*.

We successfully overexpressed *CrSALI* and other genes in *C. richardii* gametophytes by adjusting the hygromycin concentration (Bui et al., 2015), OD value of *Agrobacterium*, age of gametophytes, and enzyme treatment time of gametophytes and co-cultivation with *Agrobacterium* (Table 2, Figure 3). This optimized protocol enabled us to establish stable *Agrobacterium*-mediated CRISPR/Cas9 transformation in *C. richardii*. Due to the low expression of *CrU6* genes (Supplemental Figure S1A) and the low efficiency of ZmUbi in *C. richardii*, the OsU3 and ZmUbi promoter of pRGEB32 plasmid were replaced by the promoter of *CrActin* and enhanced 35S, respectively. This system can edit genes with high efficiency in *C. richardii* based on the success with *CrSALI*, *CrPDS* and other genes. In most of the CRISPR/Cas9 constructs, the RNA polymerase III-type 3 - U3 or U6 promoters are employed for expression of sgRNA in monocots, eudicots, gymnosperms, and bryophytes (Kor et

al., 2022). Although we did not use CrU6 promoters due its low expression, we hypothesize that they can have potential applications in the genome editing of ferns. The ZmUbi had been successfully used for generating the RNAi plants of *C. richardii* (Plackett et al., 2018), but it requires further investigation on whether it can be used for fern gene editing or not.

In the future, direct transformation of gametophytes for gene functions in apogamy (Bui et al., 2018) may provide a clue to the evolution of asexual reproduction in land plants, permitting comparison of fern apogamy to somatic embryogenesis and apomixis in angiosperms (Kinosian and Wolf, 2022). Therefore, once the current gene editing method of *C. richardii* is applicable to many other fern species, we can study key biological aspects such as the role of duplicate genes as well as physiological features and the evolution of stress tolerance in ferns at the molecular level using gene editing. Despite the great potential, several issues still limit the efficiency of CRISPR/Cas9 as a tool for mitigating plant stresses (Deng et al., 2022). For instance, the inactivation of some genes through gene editing often results in disease resistance, but is also associated with pleiotropic effects such as inhibition of plant growth, phenotypic abnormalities and increased susceptibility to abiotic stress and other pathogens (Ma et al., 2018). Abiotic stress tolerance usually depends on complex mechanisms controlled by multiple genes (Adem et al., 2020; Shabala et al., 2020; Tripathi et al., 2020; Wang et al., 2023), implicating the need to develop multiplex CRISPR-based approaches for ferns.

Advantages of using gametophytes in the transformation of ferns

Bryophytes, ferns and lycophytes rely on free-living gametophytes for reproduction (Fouracre and Harrison, 2022). Unlike mosses and liverworts whose dominant generation is the gametophyte (Frangedakis et al., 2023), the dominant generation in ferns is the sporophyte. The spores of ferns are shed by the sporophytes and develop into free-living gametophytes (Bui et al., 2018). This life cycle of ferns provides an opportunity to use gametophytes as targets for transgenesis (Kinosian and Wolf,

2022). This is in stark contrast to the transformation protocol for angiosperm species, where the immature embryo, callus, flowers and protoplasts are usually used for efficient stable transformation (Altpeter et al., 2016). The advantages of using gametophytes are relatively simple and reproducible using large quantity of spores (Bui et al., 2018), which are fast to germinate, easy to manage, and quick to grow on solid medium compared to laborious embryo separation and callus induction needed for genetic transformation of many angiosperms (Ishizaki et al., 2016).

RNAi was made possible through direct uptake of dsRNA into germinating spores of *C. richardii* (Stout et al., 2003) and *Marsilea vestita* (Klink and Wolniak, 2001). Particle bombardment of DNA constructs into gametophytes has also been demonstrated in *C. richardii* (Rutherford et al., 2004) and *Adiantum capillus-veneris* (Kawai-Toyooka et al., 2004) (Table 1). Transgenesis in ferns was demonstrated in *C. thalictroides* and *P. vittata* with five-day-old germinating spores and 15-day-old gametophytes by *Agrobacterium*-mediated transformation and particle bombardment transformation, respectively (Muthukumar et al., 2013).

A tractable particle bombardment transgenesis system using sporophytes has been established in *C. thalictroides* and *C. richardii* (Plackett et al., 2014; Plackett et al., 2015). Callus tissues were induced from young sporophytes, and then bombarded with a GUS reporter and hygromycin selection (Plackett et al., 2014). This method requires callus induction similar to transformation protocols of angiosperms followed by sporophyte regeneration. Here, we optimized the enzyme treatment time, OD value of *Agrobacterium*, the suitable concentrations of hygromycin selection, and planting density in Petri dishes, achieving a higher transformation efficiency close to 10% in overexpression of *CrSAL1* (Table 1, Figure 3). The high transformation efficiency will benefit better understand the function of important genes in the biology, evolutionary, and future agricultural and medicinal applications of ferns.

Conserved evolution and functional divergence of SAL genes family

Plant *SALs* have been extensively reported to be involved in phytohormones (Ishiga

et al., 2017) (e.g., ABA, salicylic acid, jasmonic acid, and auxin) and stresses (Jia et al., 2019) such as *Fusarium graminearum* (Yu et al., 2015), salt (Chen et al., 2011), drought (Abdallah et al., 2022), cold (Shen et al., 2023), high light (Estavillo et al., 2011), oxidative stress (Chan et al., 2016), and cadmium (Xi et al., 2016). Due to its distinct effects on different cellular processes, the underlying molecular mechanisms of *SAL1* in stress responses appears to be complex (Jia et al., 2019). In *A. thaliana*, there are four SALs (AT5G63980, AT5G64000, AT5G63990, AT5G09290) and two homologs [inositol monophosphatase, AT5G54390 (Arabidopsis Halotolerance 2-like, AHL) and AT4G05090] (Shin et al., 2019). AtSAL1 plays a negative role in stress response pathways that are predominantly ABA-dependent and ABA-independent (Wilson et al., 2009).

The *C. richardii* genome contains one inositol-1,4-bisphosphate 1-phosphatase CrHAL2/CrSAL2 (Ceric.01G129600) and PAP-specific phosphatase CrHAL2-like (Ceric.11G097700), which shows 32% and 44% identity to 3'(2'), 5'-bisphosphate nucleotidase CrSAL1 (Ceric.25G052000), respectively. In this study, *crsall-2* mutant displayed ABA-sensitive stomatal phenotype, which is in accordance with *fry1* (Xiong et al., 2001) and *sall-8* (Pornsiriwong et al., 2017) that were more sensitive to ABA in *A. thaliana* compared to the WT. In addition, overexpressed *CrSAL1-1* plants exhibited reduced response to ABA-induced stomatal closure, which is in agreement with previous report that ectopic expression of soybean *GmSAL1* in *A. thaliana* decreased the ABA-induced stomatal closure (Ku et al., 2013). *A. thaliana alx8* also showed low *A* and *g_s* (Rossel et al., 2005) and *A. thaliana old101* mutants of maintained lower ROS levels (Shirzadian-Khorramabad et al., 2022). Interestingly, *crsall-2* showed significantly lower ROS production in the guard cell and decreased photosynthetic parameters (e.g. *A*, *g_s*, *VPD*, *T_{leaf}*) (Figures 5 and 6), indicating the functional similarity of *SAL1*s in the two species.

Our previous study showed that SAL1 and its chloroplast transit peptides were conserved in chlorophyte algae and land plants (Zhao et al., 2019). 197 *SAL* genes in

53 *Chlorophyta* and *Embryophyta* species were identified (Supplemental Figure S6) through PLAZA platform (Van Bel et al., 2022) with 27% and 17% of block and tandem within this gene family. The *SAL* gene family was greatly expanded in monocots (e.g., *T. aestivum*, *Phyllostachys edulis*) and eudicots (e.g., *Glycine max*, *Brassica napus*), but not in bryophytes and ferns. Gene expression profiles of *SALs* showed that some genes are specifically expressed in the reproductive organs, leaf, and root (Proost and Mutwil, 2018). Interestingly, *AtSAL1* showed high expression in many organs such as root, stem, leaf, flower, seed, reproductive organs, and meristem (Table S2). *AtSAL2* was preferentially expressed in the leaf, while *AtSAL4* displayed specific expression in root, implying their different roles in these tissues. Drought induced the expression of *Zm00001e039578_P001* (*GRMZM2G152757*, *SAL1*) in maize (Kim et al., 2021), which was also involved in photoperiod at vegetative-tasseling stage (Wang et al., 2017) and osmotic stress (Yu et al., 2018). Interestingly, red fluorescence of RFP-SAL (Pp3c3_21240V3.1) was observed in the cytosol of moss *Physcomitrella patens* cells (Cross et al., 2017), implying the diverse biological functions of *SALs*. Expression analyzes demonstrated that some *SAL* genes function in leaf and root of gymnosperms and lycophytes and others are important for the reproductive organs of angiosperms, illustrating that neofunctionalization of *SAL* genes might coincide with the emergence of expansion in angiosperms. However, the study of *SALs* mainly focused on the *A. thaliana* (Jia et al., 2019). Thus, investigations of the molecular mechanisms of *SALs* through gene editing are important for enhancing abiotic stress tolerance in crops and addressing key evolutionary biology questions in important early divergent plant lineages such as ferns.

435 **Materials and Methods**

436 ***Plant materials and growth conditions***

437 The *C. richardii* genotype Hn-n with a fully sequenced and assembled genome
 438 (Marchant et al., 2022) was used in our study. Plants were grown in a GEN 1000
 439 (CONVIRON, Manitoba, Canada) at 16 h of light/8 h of dark, 28°C, 80% relative
 440 humidity, and fluence of 100 $\mu\text{mol m}^{-2} \text{s}^{-1}$. Gametophytes were grown with 1.5%
 441 (w/v) of 1× Murashige and Skoog (MS) in agar medium at pH of 5.9 (Plackett et al.,
 442 2015). Spores were sterilized by incubating for 5 min in sodium hypochlorite solution
 443 [1% (v/v) chlorine], which was subsequently removed by three sequential rinses with
 444 sterile distilled water at 23°C. Spores were then imbibed in distilled water and
 445 incubated for 3 days in darkness before sowing (Plackett et al., 2014; Withers et al.,
 446 2023). The spores were imbibed in 1 mL sterile water in the Petri dish, which was
 447 sealed with foil and incubated at 28°C for 7 days and germinated. One-month-old
 448 gametophytes can be used for the transformation of *Agrobacterium*.

449 ***Agrobacterium tumefaciens-mediated transformation of gametophytes***

450 Stable genetic transformation of *C. richardii* plants was performed as described
 451 previously with modification (Bui et al., 2015; Bui et al., 2017). More details can be
 452 found in Supplementary Materials and Methods. Overexpression and CRISPR/cas9
 453 constructs were generated utilizing the assembly technology (Bai et al., 2020).
 454 Briefly, the PCR products of full-length coding sequences (CDS) were cloned into the
 455 vector pJET1.2/blunt using CloneJET PCR Cloning Kit (Thermo Fisher Scientific,
 456 Waltham, MA USA) (Awasthi et al., 2021), and then transformed into DH5 α
 457 competent cells (Life Technologies, Waltham, MA USA). Plasmid purification was
 458 performed with a GeneJET Plasmid Miniprep Kit (Thermo Fisher Scientific,
 459 Waltham, MA USA) (Lorenzo et al., 2023) and the resulting plasmid DNAs were
 460 validated by sequencing. The correct sequence was introduced into the destination
 461 vectors pCAMBIA1300-2× 35S [enhanced cauliflower mosaic virus (CaMV) 35S

promoter] at the restriction enzyme sites *Bam*HI and *Pst*I (New England BioLabs, Ipswich, MA, USA). The sgRNAs were designed through CRISPR-P 2.0 (<http://crispr.hzau.edu.cn/cgi-bin/CRISPR2/SCORE>) (Liu et al., 2017). To generate CRISPR/Cas9 plasmid, fragments containing tRNA-sgRNA1 fusion and gRNA-tRNA-sgRNA2 fusion were obtained through pGTR as a template (Xie et al., 2015; Wang et al., 2018). The PCR products were then cloned into pRGEB32-CrActin vector at the restriction enzyme site *Bsa*I (Fu et al., 2022; Kuang et al., 2022). All constructs were introduced into the *Agrobacterium tumefaciens* strain GV3101.

After *Agrobacterium* infection, gametophytes were grown in MS media with 5 mg/L of hygromycin and 100 mg/L of cefuroxime for 30 days. Then, the sporophytes were transferred to new MS media containing 20 mg/L of hygromycin and 100 mg/L of cefuroxime for 30 days. T1 sporophytes grown without hygromycin selection and transgenic individuals were subsequently identified by hygromycin selection on MS media (Plackett et al., 2015). Sporophytes were then transplanted to pots containing a premium potting mix (Scotts Osmocote, Bella Vista, Australia) with the cover to keep high humidity. The plants were watered and fertilized fortnightly with a nutrient solution at the 0.5 g/L (Thrive Soluble Fertilizer, Yates, Padstow NSW, Australia).

qPCR analysis of transgenic plants

For expression analysis of *CrSAL1*, total RNA was extracted from infertile leaves through RNeasy Plant Mini Kit (QIAGEN) (Cai et al., 2017; Cai et al., 2021). The cDNA synthesis was performed by QuantiTect Reverse Transcription Kit (QIAGEN) and the synthesized cDNA was diluted five times before Quantitative real-time PCR (qPCR) experiments. The qPCR was conducted for three biological replicates using a QuantiNova SYBR Green PCR Kit (QIAGEN) on a LightCycler 96 Real-Time PCR System (CFX Connect) (Jiang et al., 2020). Expression levels were normalized against the *CrACTIN* reference gene (Plackett et al., 2018). The relative expression levels of genes were performed from cycle threshold values by $2^{-\Delta\Delta Ct}$ procedure (Feng et al., 2020; Jiang et al., 2022). All primers were designed using Primer Premier 6.0

(PREMIER Biosoft, San Francisco, CA, USA) or SnapGene Viewer (GSL Biotech LLC, Boston, MA, USA) in this study (Supplemental Table S1).

Subcellular localization

Subcellular localization of CrSAL1 was performed according to the previous study (Feng et al., 2020). The coding regions of CrSAL1 were amplified and cloned into pCAMBIA1300-GFP (Fu et al., 2022) by the restriction enzyme site *KpnI* and *XbaI*. The resulting plasmids were transferred into *A. tumefaciens* strain GV3101. *A. tumefaciens* harboring the vector was grown overnight in Luria broth (LB) medium containing 25 mg/L of Rifampin and 50 mg/L of Kanamycin (Jiang et al., 2022). After centrifugation, *A. tumefaciens* was resuspended through the infiltration buffer [10 mM 2-(N-morpholino) ethanesulfonic acid (MES)-KOH (pH 5.7), 10 mM MgCl₂, 100 μM acetosyringone (AS)] to achieve OD₆₀₀ = 0.8. The suspension was infiltrated into the abaxial air spaces of 4-week-old *Nicotiana benthamiana* leaves using a 1-mL syringe without a needle to transiently express (Feng et al., 2020). Green fluorescent protein (GFP) fluorescence was detected through using a confocal microscopy (SP5, Leica Microsystems GmbH, Wetzlar, Germany) (Deng et al., 2021).

Measurement of reactive oxygen species (ROS)

The production of ROS in guard cells of *CrSAL1* transgenic and WT plants was measured using a fluorescent indicator 2',7'-dichlorodihydrofluorescein diacetate (CM-H₂DCFDA, Life Technologies, Waltham, MA USA) (Cai et al., 2017). epidermal peels were incubated with an opening buffer [10 mM KCl and 5 mM MES at pH 6.1 with Ca(OH)₂] for 30 mins for stomatal assays epidermal peels The samples were then loading with 20 μM CM-H₂DCFDA for 30 min in the dark, followed by a 5 min rinse with a measuring buffer [50 mM KCl and 10 mM MES at pH 6.1 with NaOH] to remove excess dye (Cai et al., 2021). The epidermal peels were then incubated in the measuring buffer for confocal microscopy imaging with excitation at 488 nm and emission at 510–540 nm (SP5, Leica Microsystems GmbH, Wetzlar, Germany).

517 ***Gas exchange measurements***

518 Gas exchange measurements were measured on the *C. richardii* fully expanded
519 infertile leaf by LI-6400 infrared gas analyzer (LI-COR, USA) (Liu et al., 2017; Qiu
520 et al., 2023). The parameters are net CO₂ assimilation (*A*), stomatal conductance (*g_s*),
521 leaf transpiration rate (*E*), vapor pressure deficit (*VPD*), and leaf temperature (*T_{leaf}*).
522 The intrinsic water use efficiency (*iWUE*) calculation is the ratio of *A* to *g_s*. Leaf
523 chamber conditions were maintained at a flow rate of 500 mol s⁻¹, 70% relative
524 humidity and 400 ppm reference CO₂. Irradiance levels were set at 0, 20, 50, 100,
525 200, 300, 500, 800, 1000, and 1500 μmol m⁻² s⁻¹ for light response curve
526 measurement.

527 ***Stomatal assay***

528 Stomatal assay was determined from the abaxial surface of the fully expanded and
529 mature leaves as described in our previous work (O'Carrigan et al., 2014; Liu et al.,
530 2017; Plackett et al., 2021). For these measurements, fully expanded infertile leaves
531 were removed from the chamber and placed in Petri dishes on tissue paper soaked in
532 opening buffer. The lower leaf epidermis was quickly peeled off and placed it on
533 slides with the opening buffer. Stomatal morphology was calculated from the leaf
534 epidermis through a light microscopy and imaging system (Nikon, Tokyo, Japan).
535 Treatment was applied as ABA (50 μM) measured for another 60 min. The pictures
536 were imported into the ImageJ software for the analysis of multiple parameters.
537 Stomatal area (total stomatal area), stomatal perimeter (total length of the stomatal
538 outer border), stomatal length (top to bottom of the stomatal), stomatal width (left to
539 right of the stomatal), pore area (total pore area), pore perimeter (total length of the
540 pore outer border), pore length (top to bottom of the pore), and pore width (left to
541 right of the pore) were recorded (Pan et al., 2022). There were 20–30 stomata with
542 three biological replicates for each treatment and genotype.

543 ***Statistical analysis***

544 Data were shown as means with standard errors of three biological replicates. The
545 SPSS 26.0 software (IBM, USA) was employed to perform the analysis of variance
546 (ANOVA) and means were compared by Duncan's multiple range tests.
547

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561 **Author contributions**

562 Z-HC planned and designed the research. WJ performed the experiments with
563 research and technical support from MB. WJ and Z-HC analyzed the data and
564 prepared the Figures and Tables. WJ, MB, and Z-HC analyzed the results and wrote
565 the manuscript with support from CC, DY, TT, FD, and FZ. WJ, MB, DBM, PS, DS,
566 FD, FZ and Z-HC conducted the editing of the manuscript. All authors read and
567 approved the manuscript.

568 Conflict of interest

569 The authors declare no conflict of interests.

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921

922 Figure legends

923 **Figure 1.** The lifecycle, proposed model and gene expression of SAL1-PAP
 924 retrograde signaling in a model fern species *Ceratopteris richardii*. The lifecycle of *C.*
 925 *richardii* (A). Images are not to scale. After meiosis produces haploid spores, the
 926 haploid gametophyte (n) starts generation. Spores germinate into either male
 927 gametophytes or hermaphrodite that produce gametes (sperm and egg) through
 928 mitosis. After fertilization, the diploid sporophyte (2n) generation begins as a zygote
 929 that generates into an embryo with its first root and leaf, initially dependent on the
 930 gametophyte. In the vegetative stage, the independent sporophyte produces sterile
 931 leaves (trophophyll), followed by increasingly dissected and lobed fronds. In the
 932 reproductive stage, the fertile leaves (sporophyll) of the sporophyte develop sporangia
 933 through meiosis on their undersides, closing the cycle. Model of SAL1-PAP
 934 retrograde signaling in plant (B). Expression of key genes associated with SAL1
 935 pathway in diverse tissues such as immature gametophyte, mature gametophyte,
 936 young sporophyte, expanding leaf, development leaf, fertile leaf, sterile leaf, stem,
 937 root, sori. SAL1, 3'(2'),5'-bisphosphate nucleotidase 1; PAP, 3'-phosphoadenosine 5'-
 938 phosphate; XRN, exoribonuclease; GORK, guard cell outward rectifying K⁺ channel;
 939 SLAC1, S-type anion channel 1; ALMT1, aluminum-activated malate transporter 1;
 940 CIPK, CBL-interacting serine/threonine-protein kinase; CPK, calcium-dependent
 941 protein kinase; CNGC, cyclic nucleotide-gated ion channel; GAIA,
 942 GAMETOPHYTES ABA INSENSITIVE ON A_{CE}1; CAT, catalase peroxidase;
 943 PAPST, sulfate donor 3'-phosphoadenosine 5'-phosphosulfate transporter; NOA,
 944 oxide-associated1; NIA, nitrate reductase; XRN, exoribonuclease.

945
 946 **Figure 2.** Plasmid information of CRISPR/Cas9 and overexpression for genetic
 947 transformation in *C. richardii*. CRISPR/Cas9 (A) and overexpression (B). A 916 bp
 948 fragment, located at the upstream of the *CrActin* was isolated and considered as the
 949 putative promoter, which was instead of the OsU3 promoter in pRGEB32 to drive the

expression cassettes of sgRNA. The native maize ubiquitin promoter (ZmUbi) promoter in the original construct pRGE32 was replaced by the enhanced 35S promoter, which was designated as pRGE32-CrActin. The pRGE32-CrActin and pCAMBIA1300 were employed for gene editing and overexpression *C. richardii*, respectively.

Figure 3. The workflow of gene editing (A) and gene overexpression (B) in *C. richardii*. Gene editing (A) and gene overexpression (B), bars = 5 cm. The sgRNAs were designed through CRISPR-P 2.0 (<http://crispr.hzau.edu.cn/cgi-bin/CRISPR2/SCORE>). Overexpression and CRISPR/cas9 constructs were generated utilizing the assembly technology. After *Agrobacterium* infection, gametophytes were grown at MS media with 5 mg/L of hygromycin and 100 mg/L of cefuroxime for 30 days. Then, the sporophytes were transferred to MS media supplemented with 100 mg/L cefotaxime and 20 mg/L hygromycin for another 30 days. The regeneration sporophytes were then transplanted to pots containing a premium potting mix for PCR and qPCR analysis.

Figure 4. Phenotype and sequences of the editing types of *CrPDS* and *CrSAL1* transgenic plants. Phenotypes of WT and transgenic plants (*CrPDS*) (A), bars= 1 cm. Sanger sequencing of the editing types in *CrPDS* transgenic plants (B). Phenotypes of *crsall* plants. Sanger sequencing of the editing types in *CrSAL1* transgenic plants (D).

Figure 5. Photosynthesis and stomatal traits of *CrSAL1* gene editing and overexpression lines. Phenotype and gas exchange parameters of WT, *SAL1-OE*, and *crsall* plants (A), bars= 5 cm. Net CO₂ assimilation (*A*), leaf transpiration rate (*E*), stomatal conductance (*g_s*), intrinsic water use efficiency (*iWUE*), vapor pressure deficit (*VPD*), and leaf temperature (*T_{leaf}*) of transgenic and WT plants (n=6). Stomatal parameters of transgenic and WT plants (B), bar= 20 μm. Subcellular

978 localization patterns of CrSAL1 in tobacco leaves (C), bars= 20 μ m. Values are means
979 of three biological replicates \pm SE with 20–30 stomata. Asterisks indicate significant
980 differences compared with the WT plants (* P < 0.05).

981

982 **Figure 6.** Effects of gene editing and overexpression *CrSAL1* on reactive oxygen
983 species (ROS) and ABA response of fern plants. Confocal images and fluorescent
984 probe intensity of ROS in guard cells of the *SALI* CRISPR/Cas9 and overexpression
985 plants (A), bar= 20 μ m. ABA induced stomatal close in transgenic and WT plants (C),
986 bar=20 μ m. ROS intensity (B) and stomatal traits (D) and of *C. richardii* in control
987 and 50 μ M ABA treatment for 60 min. Values are means of three biological replicates
988 \pm SE with 20–30 stomata. Asterisks indicate significant differences compared with the
989 WT plants (* P < 0.05, ** P < 0.01).

990

991 **Supplementary data**

992 The following materials are available in the online version of this article.

993

994 **Supplemental Figure S1.** Expression and alignment of sequences of U6 small
995 nuclear RNA genes in diverse tissues of *C. richardii*.

996

997 **Supplemental Figure S2.** The sequences of the Actin/U6 promoter from *C. richardii*
998 and 2 \times CaMV 35S promoter.

999

1000 **Supplemental Figure S3.** Growth of gametophytes and sporophytes for testing
1001 hygromycin sensitivity and germination in *C. richardii*.

1002

1003 **Supplemental Figure S4.** Genotyping and phenotyping of *CrSAL1* overexpression *C.*
1004 *richardii* plants.

1005

1006 **Supplemental Figure S5.** Phenotype of *CrSAL1* (A) and *CrPDS* CRIPSR/Cas9
1007 plants of *C. richardii* on the hygromycin selection medium.

1008

1009 **Supplemental Figure S6.** Tandem and block gene duplicate of *SAL* genes family in
1010 *Chlorophyta* and *Embryophyta*.

1011

1012 **Table S1.** List of primer sequences used in this study.

1013

1014 **Table S2.** Expression patterns of plant SALs in different tissues and evolutionarily
1015 important lineages of plants.

1016

1017 **Table 1.** Overview of overexpression, RNAi, CRISPR/Cas9 in fern species.

1018

Species	Genome size	Chromosome number	Transformation methods				
			RNAi	DNAi	Agrobacterium- mediated	Particle bombartment	CRISPR/Cas9
<i>Ceratopteris richardii</i>	7.46 Gb, Marchant et al. 2022	n=39	Stout et al. 2003	Rutherford et al. 2004	Muthukumar et al. 2013; Bui et al. 2015	Plackett et al. 2014, 2015	This study
<i>Azolla filiculoides</i>	0.75 Gb, Li et al. 2018	n=22	-	-	-	-	-
<i>Salvinia cucullata</i>	0.26 Gb, Li et al. 2018	n=9	-	-	-	-	-
<i>Marsilea vestita</i>	1.0 Gb, Rahmatpour et al. 2023	n=20	Klinkand Wolniak 2000	-	-	-	-
<i>Adiantum capillus-veneris</i>	4.83 Gb, Fang et al. 2022	n=30	-	Kawai-Tooyoka et al. 2004	-	Kawai et al. 2003	-
<i>Alsophila spinulosa</i>	6.27 Gb, Huang et al. 2022	n=69	-	-	-	-	-
<i>Pteris vittata</i>	-	-	-	-	Muthukumar et al. 2013	-	-

1019

Table 2. Factors affecting the efficiency of genetic transformation of the fern species *C. richardii*.

Construct	Total number of gametophytes	OD value	Enzyme treatment time	Co-incubated <i>Agrobacterium</i> time	Hygromycin selection	Transformants	Transformation efficiency	Tested T0 seedling	Mutated T0 seedling number	Ratio
Untransformed	215	0.4	15 min	15 min	10 mg/L	0	0%	-	-	-
<i>SAL1-OE</i>	893	0.4	15 min	15 min	10 mg/L	8	0.90%	-	-	-
<i>SAL1-OE</i>	537	1.2	15 min	15 min	10 mg/L	6	1.12%	-	-	-
<i>SAL1-OE</i>	768	0.8	2 h	1 h	5, then 20 mg/L	73	9.51%	-	-	-
<i>CRY4-OE</i>	194	0.8	2 h	1 h	5, then 20 mg/L	23	11.68%	-	-	-
<i>YSL-OE</i>	351	0.8	2 h	1 h	5, then 20 mg/L	26	7.41%	-	-	-
<i>GRF-OE</i>	159	0.8	2 h	1 h	5, then 20 mg/L	14	8.81%	-	-	-
<i>SAL1-KO</i>	210	0.8	2 h	1 h	5, then 20 mg/L	8	3.33%	8	2	25.00%
<i>PDS-KO</i>	212	0.8	2 h	1 h	5, then 20 mg/L	10	4.72%	10	2	20.00%
<i>CRY4-KO</i>	526	0.8	2 h	1 h	5, then 20 mg/L	28	5.32%	-	-	-
<i>YSL-KO</i>	468	0.8	2 h	1 h	5, then 20 mg/L	21	4.49%	-	-	-

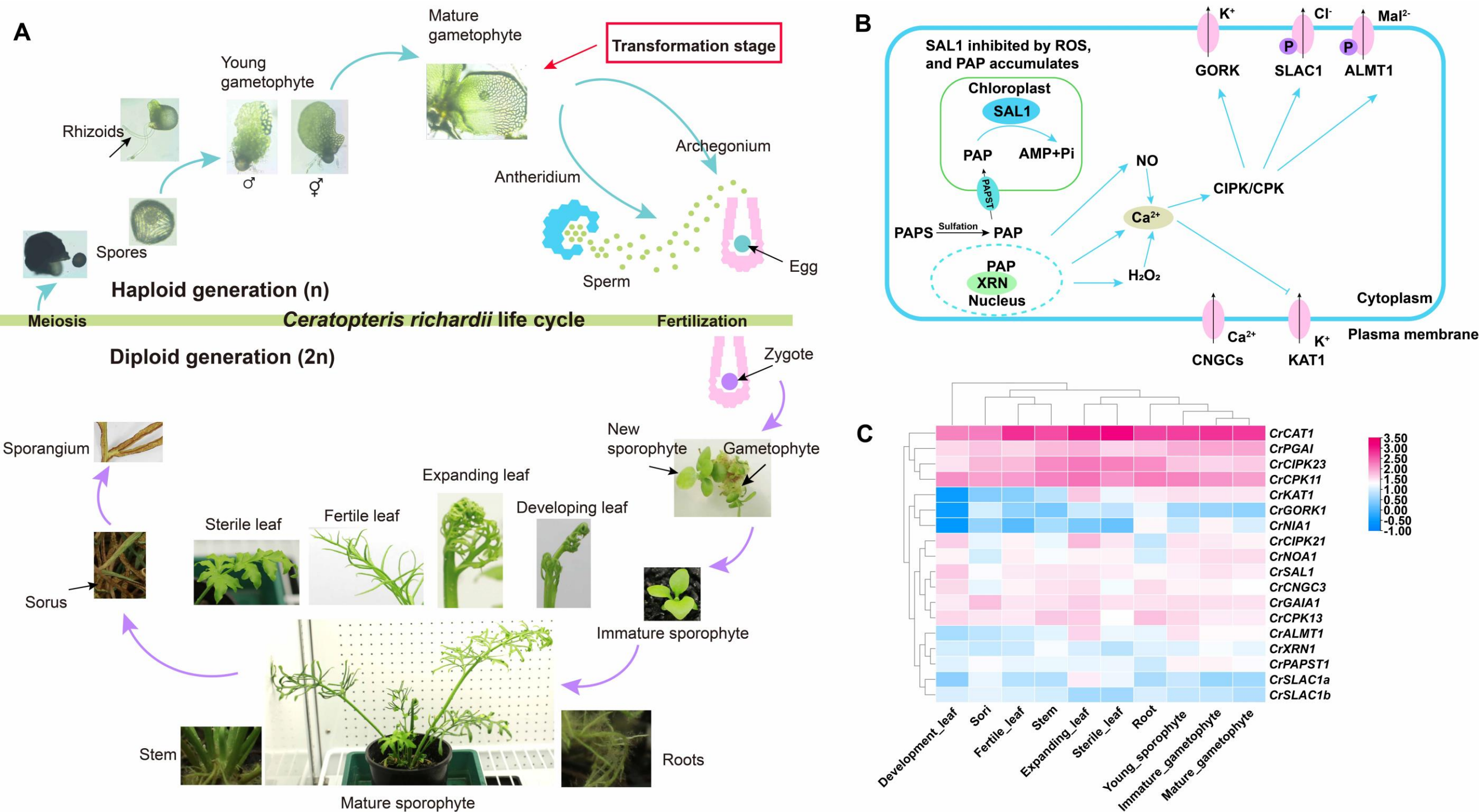


Figure 1. The lifecycle, proposed model and gene expression of SAL1-PAP retrograde signaling in a model fern species *Ceratopteris richardii*.

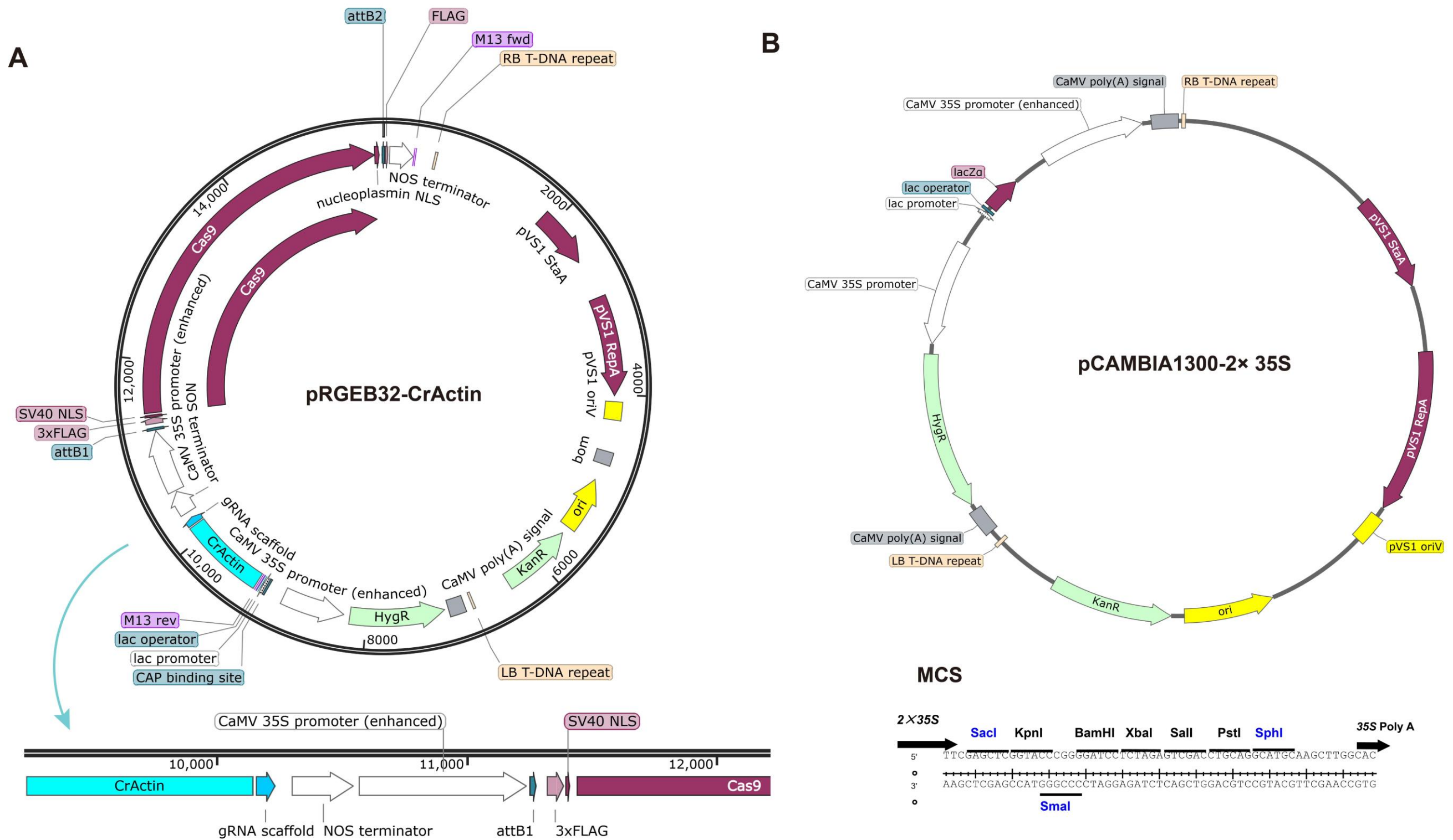
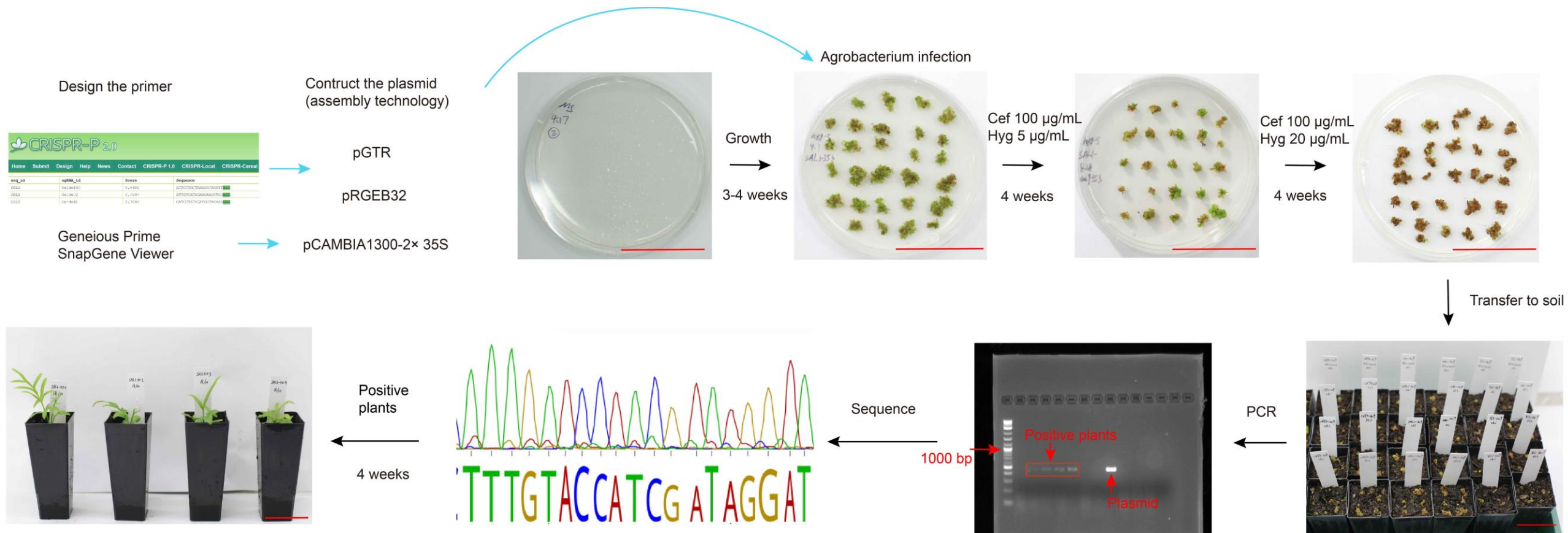


Figure 2. Plasmid information of CRISPR/Cas9 and overexpression for genetic transformation in *C. richardii*.

A

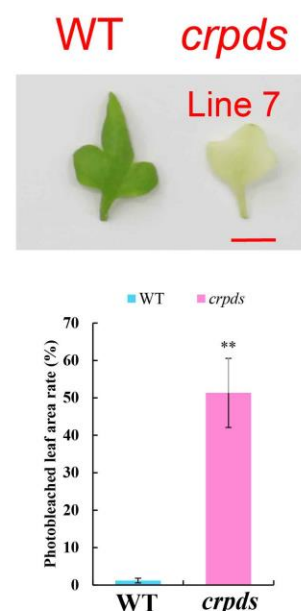
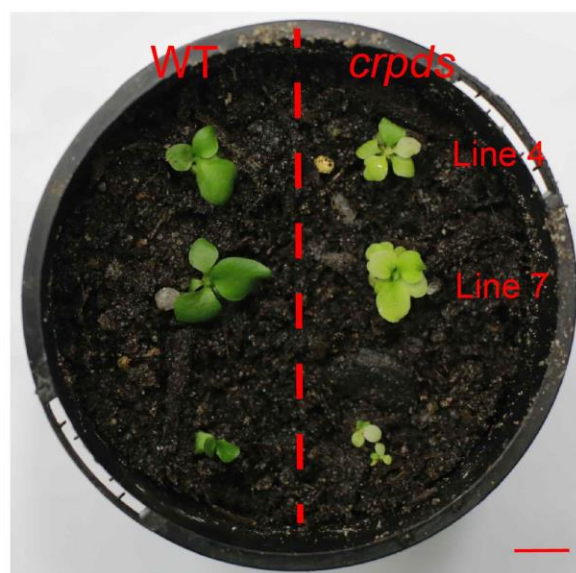


B



Figure 3. The workflow of gene editing and gene overexpression in *C. richardii*.

A



B

	PDS-target 1	PAM
WT	GACAATGCTGCTGCTTCAATATAATT	GGGAGTTTC
L4: T>A	GACAATGCTGCTGCTTCAATA	AAATTGGGAGTTTC
L7: -1	GACAATGCTGCTGCTTCAATAAAAT	-GGGAGTTTC

C



D

	SAL1-target 1	PAM
WT	GTTTTGGATCCTATCGATGGTACAAAGGG	GATTCT
L1: A>T T>A, A/C>T	GTTTTGGATCCT	TACGATGGT
		TTAATGGGATTCT
	SAL1-target 2	PAM
WT	GCAGTTGCTCTAGCCCTTCTAGATGAGGGGTGAAGT	
L7: T>C	GCAGTTGCTCTAGCCCT	CCTAGATGAGGGGTGAAGT
L2: -1	GCAGTTGCTCTAGCCCTTC	-AGATGAGGGGTGAAGT

Figure 4. Phenotype and sequences of the editing types of *CrPDS* and *CrSAL1* transgenic plants.

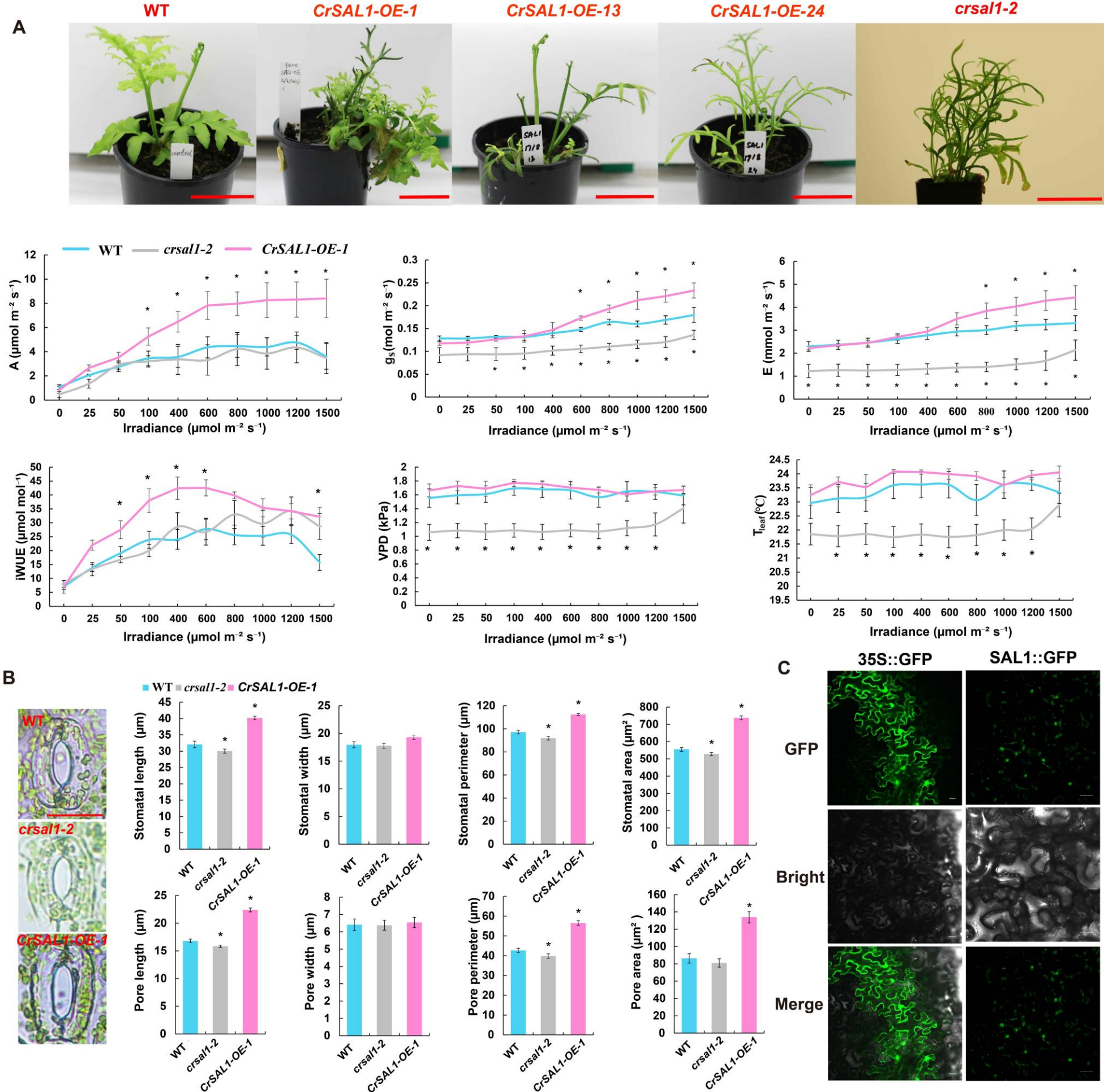


Figure 5. Photosynthesis and stomatal traits of *CrSAL1* gene editing and overexpression lines.

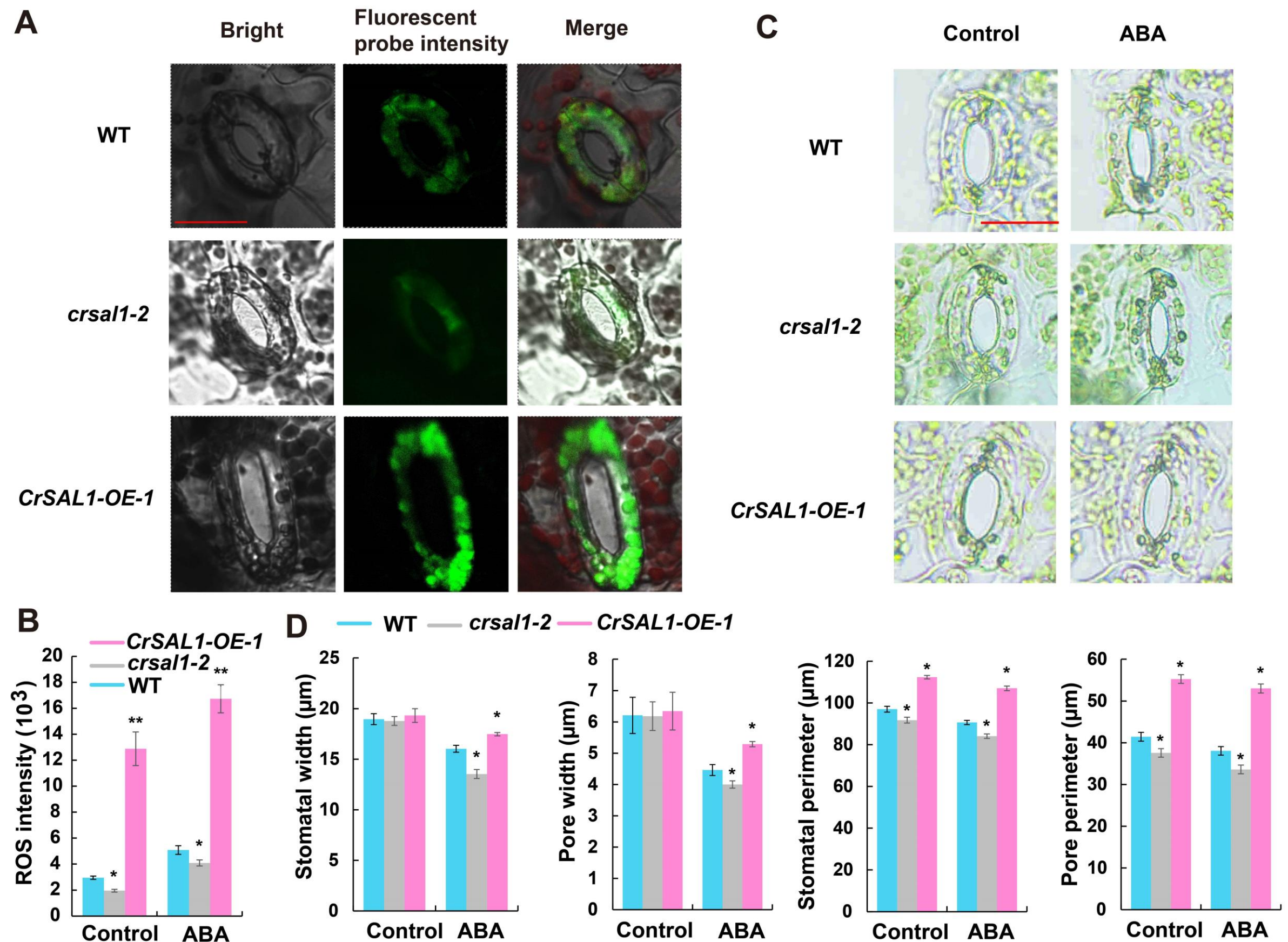


Figure 6. Effects of gene editing and overexpression of *CrSAL1* on ROS and ABA-response of fern plants.

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