

1 **Homeostatic Regulation of Seizure Susceptibility and Cognitive Function by Derlin-
2 1 through Maintenance of Adult Neurogenesis**

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1 **Abstract**

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3 Dysfunction of organelle is closely associated with neurological diseases involving disruption of adult
4 neurogenesis. However, the role of the endoplasmic reticulum (ER)-related molecules in this process
5 remains largely unexplored. Here we show that Derlin-1, an ER quality controller, maintains adult
6 neurogenesis in a spatiotemporal manner. Deletion of Derlin-1 in the mouse central nervous system
7 induces ectopic localization of newborn neurons and impairs neural stem cells (NSCs) transition from
8 active to quiescent states, resulting in early depletion of hippocampal NSCs. As a result, Derlin-1-
9 deficient mice exhibit phenotypes of increased seizure susceptibility and impaired cognitive function.
10 Reduced expression of signal transducer and activator of transcription 5b (Stat5b) was found to be
11 responsible for the impairment of adult neurogenesis in Derlin-1-deficient NSCs. Remarkably, the
12 inhibition of histone deacetylase activity ameliorated seizure susceptibility and cognitive dysfunction
13 in Derlin-1-deficient mice by increasing Stat5b expression and restoring abnormal neurogenesis.
14 Overall, our findings demonstrate that Derlin-1, as its characteristic function, plays an essential role
15 in the homeostasis of adult neurogenesis via Stat5b expression, thus regulating seizure susceptibility
16 and cognitive function.

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19 *Keywords:* Endoplasmic reticulum; Derlin-1; Hippocampus; Neural stem cell; Adult neurogenesis;
20 Seizure; Cognitive function; Stat5b; 4-phenylbutyric acid; Histone deacetylase

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1 The adult mammalian brain retains neural stem/precursor cells (NS/PCs) in restricted brain regions
2 such as the subventricular zone (SVZ) of the lateral ventricle and the subgranular zone (SGZ) of the
3 hippocampal dentate gyrus (DG), and these NS/PCs continuously generate neurons throughout the life
4 of the individual (Eriksson et al. 1998; Goncalves et al. 2016). Persistent generation of neurons in the
5 adult brain is commonly referred to as adult neurogenesis. Particularly in the DG, it plays an important
6 role in learning and memory formation. Adult neurogenesis is disrupted in several neurological
7 diseases associated with memory impairment (e.g., seizures, depression, schizophrenia, and
8 Alzheimer's disease) (Snyder et al. 2011; Kang et al. 2016; Terreros-Roncal et al. 2021). Radial neural
9 stem cells (NSCs), the source of functional neurons, are reversibly regulated to be either quiescent or
10 proliferative (activated) by the interplay of neurogenic niche-derived signaling pathways, and this
11 regulation of NSCs is essential for persistent neurogenesis throughout life (Bond et al. 2015; Urban et
12 al. 2019). Furthermore, during the process of adult neurogenesis, the correct migration of newborn
13 neurons in the adult DG is critical for physiological hippocampal function, and the mislocalization of
14 these cells often leads to neurological dysfunction probably due to abnormal neuronal circuit formation
15 (Scharfman and Pierce 2012; Lybrand et al. 2021). Recent studies have focused on the role of
16 organelles such as mitochondria and lysosomes, as well as developmental signaling, transcriptional,
17 and epigenetic pathways, in the regulation of neurogenesis (Murao et al. 2016; Beckervordersandforth
18 et al. 2017; Kobayashi et al. 2019; Petrelli et al. 2023). However, the underlying mechanisms of the
19 regulation of adult neurogenesis by organelles are not yet fully understood. The endoplasmic reticulum
20 (ER) is a crucial organelle involved in the regulation of lipid and glucose metabolism, Ca^{2+} signaling,
21 and proteostasis and has a strictly regulated quality control system in which ER-resident stress sensors
22 recognize the accumulation of unfolded proteins and trigger the unfolded protein response (UPR). The
23 UPR mediates the proper folding or degradation of unfolded proteins and attenuates translation to
24 inhibit the further accumulation of proteins in the ER. Previous studies have shown that impaired ER
25 quality contributes to the onset and exacerbation of several neurological diseases featuring learning
26 and memory deficits (Hetz and Saxena 2017; Ghemrawi and Khair 2020). Therefore, ER function and
27 adult neurogenesis are thought to be closely related to the mechanisms of cognitive function and
28 neurological diseases, whereas the physiological mechanisms of these relationships remain to be
29 elucidated.

30 An ER membrane protein, Derlin-1, mediates ER-associated degradation (ERAD) and ER
31 stress-induced pre-emptive quality control (ERpQC), and is essential for ER quality control in general
32 (Lilley and Ploegh 2004; Kadowaki et al. 2015; Kadowaki et al. 2018). We have previously shown
33 that the interaction of Derlin-1 with amyotrophic lateral sclerosis (ALS)-associated superoxide
34 dismutase 1 (SOD1) mutants leads to a pathological UPR and motor neuron dysfunction (Nishitoh et
35 al. 2008). Furthermore, loss of Derlin-1 in the central nervous system (CNS) induces brain atrophy
36 and motor dysfunction by impairing neuronal cholesterol biosynthesis, which is regulated on the ER

1 membrane (Sugiyama et al. 2021). Chemical chaperones such as 4-phenylbutyric acid (4-PBA) can
2 rescue the above phenotypes in Derlin-1-deficient mice, indicating that Derlin-1-mediated ER quality
3 control is essential for brain development and function (Sugiyama et al. 2022). Derlin-1 is expressed
4 in adult hippocampal NSCs, and its expression fluctuates across the stages of adult NSCs (Shin et al.
5 2015). Considering these findings, we hypothesize that Derlin-1 in NSCs may be necessary for the
6 regulation of adult neurogenesis and related behaviors.

7 Here, we show that Derlin-1 is responsible for the regulation of adult neurogenesis in a
8 spatiotemporal manner, i.e., the transition of NSCs from active to quiescent states and the localization
9 and survival of newborn neurons, and that NSCs are depleted early in mice with CNS-specific Derlin-
10 1 deficiency. Furthermore, the loss of *Derlin-1* (*Derl1*) in mice increases seizure susceptibility and
11 impairs cognitive function. Signal transducer and activator of transcription 5b (Stat5b) was identified
12 as a regulator of adult neurogenesis downstream of Derlin-1. Surprisingly, 4-PBA rescues the
13 phenotype of Derlin-1-deficient mice via its inhibitory action on histone deacetylase (HDAC), not its
14 chaperone activity. Overall, our work demonstrates that the Derlin-1-Stat5b axis is essential for the
15 homeostasis of adult neurogenesis and consequently plays an important role in regulating seizure
16 susceptibility and cognitive function.

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18

19 **Results**

20

21 **Abnormal proliferation of NSCs due to Derlin-1 deficiency**

22 Impaired ER function contributes to the pathogenesis of several neurological diseases characterized
23 by cognitive dysfunction, and Derlin-1 expression in the mouse hippocampus varies across the
24 stages of adult NSCs (Shin et al. 2015; Hetz and Saxena 2017; Ghemrawi and Khair 2020). To
25 understand the role of Derlin-1 in adult neurogenesis, we analyzed mice with CNS-specific Derlin-1
26 deficiency (*Derl1*^{NesCre} mice) generated by mating *Derl1*^{fl/fl} (*Derl1*^{ff}) mice harboring a floxed *Derl1*
27 gene with transgenic mice expressing Cre recombinase under the control of the *nestin* promoter
28 [*Tg(Nes-Cre)1Kag* mice] (Isaka et al. 1999; Sugiyama et al. 2021). Derlin-1 protein was barely
29 detectable in the hippocampal region and DG of *Derl1*^{NesCre} mice (Supplemental Fig. S1A). In the DG
30 of *Derl1*^{NesCre} mice, we confirmed the induction of ER stress using DNA microarrays and gene set
31 enrichment analysis (GSEA) focused on the term “Response to ER stress” (Supplemental Fig. S1B),
32 consistent with our previous findings that ER stress is induced in the cerebellum of this mouse line
33 (Sugiyama et al. 2021). In the mouse DG and granular cell layer (GCL), structures are fully formed at
34 approximately two weeks of age, and the number of neural progenitor cells in the molecular layer
35 decreases as DG development proceeds (Noguchi et al. 2016). We examined whether Derlin-1
36 deficiency affects hippocampal development by observing the GCL morphology and the number and

1 localization of Tbr2- and Ki67-positive cells; we found no change in the DG during development
2 (Supplemental Fig. S1C – G). Next, we injected 8-week-old *Derl1^{fl/fl}* or *Derl1^{NesCre}* mice with
3 bromodeoxyuridine (BrdU) once a day for 7 days to examine the effects of Derlin-1 deficiency on
4 adult hippocampal neurogenesis (Fig. 1A). The numbers of BrdU-positive proliferating cells and
5 BrdU- and DCX-double-positive newborn neurons were increased in *Derl1^{NesCre}* mice (Fig. 1B–D),
6 suggesting that Derlin-1-deficient NSCs excessively proliferate within a time frame of one week in
7 the adult hippocampus.

8 The Derlin family consists of Derlin-1, 2, and 3. Derlin-1 and 2 are ubiquitously expressed,
9 including in the brain, while Derlin-3 is not, and both Derlin-1 and Derlin-2 play important roles in
10 brain development and function by maintaining ER quality (Nishitoh et al. 2008; Dougan et al. 2011;
11 Sugiyama et al. 2022). We generated *Derl2^{NesCre}* mice and injected BrdU once a day for 7 days in 8-
12 week-old *Derl2^{NesCre}* and control (*Derl2^{fl/fl}*) mice (Supplemental Fig. S1H). The expression of ER
13 stress–responsive genes was significantly increased in the DG of *Derl2^{NesCre}* mice (Fig. 1I), but
14 surprisingly, there was no significant difference in the number of BrdU-positive cells or BrdU- and
15 DCX-double-positive cells (Supplemental Fig. S1J–L). These results suggest that the disturbance of
16 adult neurogenesis in *Derl1^{NesCre}* mice is independent of ER stress. We further examined whether
17 Derlin-1 expression in neurons is involved in adult neurogenesis. *Derl1^{CaMKIIαCre}* mice, in which *Derl1*
18 is specifically deleted in neurons, showed no changes in cell proliferation and DCX-positive neuron
19 production (Supplemental Fig. S1H,M–O). We next focused on the number and location of newborn
20 neurons in *Derl1^{NesCre}* mice, as the number and localization of newborn neurons are important in the
21 developmental process of adult neurogenesis. In the DG of *Derl1^{NesCre}* mice, the numbers of both
22 DCX-positive immature neurons (Fig. 1E,F) and mature neurons positive for the granular cell marker
23 Prox1 (Fig. 1H,I) located in the hilus were increased. In contrast, the number of DCX-positive cells in
24 the SGZ was not changed (Fig. 1G). Collectively, loss of *Derl1* induces ectopic neurogenesis. To
25 examine whether the abnormally generated *Derl1^{NesCre}* neurons mature, *Derl1^{NesCre}* and control mice
26 were analyzed 3 weeks after 7 days of BrdU injection (Fig. 1J). BrdU- and NeuN-double-positive
27 mature neurons were not increased (Fig. 1K,L) and the survival ratio of newborn neurons was
28 significantly decreased in the DG of *Derl1^{NesCre}* mice (Fig. 1M), suggesting that Derlin-1-deficient
29 NSCs differentiate into immature neurons but do not become mature neurons. To identify the
30 abnormality in the stage of adult neurogenesis in *Derl1^{NesCre}* mice, we investigated the lineage
31 progression index of each developmental stage using cellular markers of specific developmental
32 stages; we calculated this index by dividing the number of cells of a defined developmental stage by
33 the number of cells of the preceding developmental stage (Fig. 1N,O). Intriguingly, we discovered that
34 the lineage progression index of activated NSCs, but not intermediate progenitor cells or immature
35 neurons, was specifically increased during the developmental stage of adult neurogenesis in
36 *Derl1^{NesCre}* mice (Fig. 1O). The percentage of activated NSCs among total NSCs was increased by

1 more than 10% in *Derl1*^{NesCre} mice (Fig. 1O, inset). These results suggest that Derlin-1 primarily
2 regulates the quiescent and active states of NSCs and is also involved in the localization and survival
3 of newborn neurons.

4

5 **Enhanced seizure susceptibility due to Derlin-1 deficiency**

6 Ectopic localization of neurons in the hilus of the DG is frequently observed in patients with temporal
7 lobe epilepsy, the most common form of epilepsy in adults, and in animal models of this disease
8 (Parent et al. 2006; Hester and Danzer 2013; Cho et al. 2015; Matsuda et al. 2015). These ectopic
9 neurons are more excitable than neurons in the GCL (Zhan et al. 2010; Cameron et al. 2011). Having
10 observed that the number of Prox1-positive neurons in the hilus is increased in *Derl1*^{NesCre} mice
11 compared to control mice, we investigated the seizure susceptibility of *Derl1*^{NesCre} mice. Kainic acid
12 (KA), an agonist for a subtype of ionotropic glutamate receptor, was administered to 2-month-old
13 *Derl1*^{NesCre} and *Derl1*^{ff} control mice, and the seizure phenotype was observed for 1 h. *Derl1*^{NesCre} mice
14 showed higher seizure scores than control mice (Fig. 2A,B). These data suggest that Derlin-1
15 contributes to the appropriate localization of newly generated neurons, which is important in reducing
16 seizure susceptibility.

17

18 **Requirement of Derlin-1 for maintenance of NSC numbers and cognitive function in the aged
19 mouse brain**

20 To examine whether the increase in active NSCs in *Derl1*^{NesCre} mice affects the maintenance of
21 neurogenesis throughout life, we quantified the number of NSCs in middle-aged (9-month-old) mice.
22 In *Derl1*^{NesCre} mice, the number of NSCs in the DG was markedly decreased compared to that in
23 control mice (Fig. 2C,D). The number of DCX-positive immature neurons was also decreased in
24 *Derl1*^{NesCre} mice (Fig. 2E,F). On the basis of these results together, it is conceivable that Derlin-1 is
25 required to maintain the NSC pool in the aged mouse brain and to ensure adequate neurogenesis
26 successively throughout life. Adult hippocampal neurogenesis is vital for cognitive function, and the
27 novel location recognition test, using spatial discrimination ability as an index, is known to reflect
28 hippocampus-dependent cognitive function (Goodman et al. 2010; Goncalves et al. 2016). Four-
29 month-old *Derl1*^{NesCre} mice showed a reduced preference for the displaced object (DO) in the testing
30 phase (Fig. 2G,H), suggesting that they were unable to identify changes in the locations of objects. In
31 contrast, *Derl2*^{NesCre} mice with unchanged adult neurogenesis spent more time with the DO in the
32 testing phase, similar to control mice (Supplemental Fig. S2). These results suggest that hippocampus-
33 dependent cognitive function is impaired in *Derl1*^{NesCre} mice due to disrupted adult neurogenesis.

34

35 **Requirement of Derlin-1 for the transition of NSCs from active to quiescent states**

36 To elucidate the mechanism by which the ratio of activated NSCs increases in the DG of *Derl1*^{NesCre}

1 mice (Fig. 1O), we employed cultured adult rat hippocampal NSCs. Adult rat hippocampal NSCs have
2 been reported to remain in a highly proliferative state when treated with basic fibroblast growth factor
3 (bFGF), and treatment with diazepam or BMP4 artificially induces NSCs into a quiescent state (Mira
4 et al. 2010; Mukherjee et al. 2016; Doi et al. 2021). We used these culture conditions to examine the
5 effect of Derlin-1 deficiency on the transition of NSCs from active to quiescent states. Adult rat
6 hippocampal NSCs transfected with anti-Derl1 siRNA were cultured for 2 days in proliferation
7 medium, then for another 2 days in proliferation medium or diazepam- or BMP4-containing
8 quiescence medium, and analyzed after 30 min of 5-ethynyl-2-deoxyuridine (EdU) treatment (Fig.
9 3A). The percentage of EdU-positive proliferating NSCs in *Derl1* knockdown (siDerl1) NSCs was
10 unchanged under proliferating conditions compared to control (siControl) NSCs (Fig. 3C). By contrast,
11 the percentage of EdU-positive proliferating siDerl1 NSCs was increased in quiescent conditions (Fig.
12 3B,C). In the adult hippocampal DG, activated NSCs are known to return to a quiescent state at a
13 certain rate (Harris et al. 2021). Therefore, our results from *in vitro* experiments suggest that the
14 increased percentage of activated NSCs among total NSCs in *Derl1*^{NesCre} mice may be due to a defect
15 in the Derlin-1-mediated transition of NSCs from active to quiescent states. To investigate the
16 possibility that secreted factors from Derlin-1-deficient NSCs inhibit the transition from active to
17 quiescent states, the culture medium of activated wild-type NSCs was replaced with 50% volume of
18 culture medium from siControl NSCs or siDerl1 NSCs and 50% volume of new quiescence medium
19 (Supplemental Fig. S3A). The proliferating cell ratio was unchanged (Supplemental Fig. S3B,C),
20 suggesting that Derlin-1 deficiency would not result in the secretion of factors that dominantly inhibit
21 the transition from active to quiescent states. We next examined whether factors secreted by wild-type
22 NSCs during quiescent state induction were sufficient to improve inhibition of the transition from
23 active to quiescent states in Derlin-1-deficient NSCs. (Supplemental Fig. S3C). The percentage of
24 proliferating NSCs in siDerl1 NSCs remained higher than that in siControl NSCs, even when 50%
25 volume of culture medium from wild-type NSCs was used (Supplemental Fig. S3D). These data
26 suggest that Derlin-1 regulates the transition of NSCs from active to quiescent states primarily through
27 a cell-autonomous manner.

28

29

30 **Requirement of Stat5b expression for maintenance of NSCs**

31 To understand the mechanism by which Derlin-1 deficiency impairs the transition of NSCs from active
32 to quiescent states, RNA sequencing (RNA-seq) was performed on adult rat hippocampal NSCs
33 induced to enter the quiescent state (Supplemental Fig. S4A). In siDerl1 NSCs, the expression levels
34 of 184 genes were significantly increased (>1.5-fold), and those of 180 genes were significantly
35 decreased (<0.8-fold) compared to siControl NSCs (Supplemental Table S1). Although Derlin-1
36 deficiency increased the expression of ER stress-related genes in the DG (Supplemental Fig. S1B),

1 significant enrichment of ER stress-related genes among downstream targets of Derlin-1 was not
2 observed in adult rat hippocampal NSCs (Supplemental Fig. S4B). Therefore, it is conceivable that
3 the abnormal transition of siDerl1 NSCs from active to quiescent states may not be triggered by ER
4 stress itself but rather by changes in unconventional genes regulated by Derlin-1. Since it is well
5 known that many transcription factors regulate the expression of genes involved in stem cell states,
6 the group of genes whose expression is altered by Derlin-1 deficiency was searched in the bracket of
7 transcription factors (Supplemental Table S1) (Sarkar and Hochedlinger 2013; Takashima and Suzuki
8 2013). The expression levels of 6 transcription factors were increased in siDerl1 NSCs, while those of
9 9 transcription factors were decreased (Fig. 4C). Among these transcription factors, Stat5b has been
10 reported to be involved in the maintenance of tissue stem cell quiescence (Wang et al. 2009; Wang et
11 al. 2019; Kollmann et al. 2021). The expression of *Stat5b* was decreased in siDerl1 NSCs in both the
12 proliferative and quiescent states (Fig. 4A,B). Additionally, the expression of Stat5b protein was
13 confirmed to be lower in siDerl1 NSCs than in siControl NSCs (Fig. 4C). These findings suggest that
14 the expression of Stat5b is transcriptionally regulated downstream of Derlin-1. We hypothesized that
15 decreased expression of Stat5b may be responsible for the disruption of homeostasis in siDerl1 NSCs.
16 To test this hypothesis, adult rat hippocampal NSCs transfected with an siRNA against *Stat5b* were
17 cultured for 2 days in proliferation medium and for 2 days in quiescence medium containing BMP4
18 (Fig. 4A). Intriguingly, we found that Stat5b deficiency increased the percentage of EdU- or Ki67-
19 positive proliferating adult rat hippocampal NSCs (Fig. 4D–F). This increase in the percentage of
20 EdU-positive proliferating cells after induction of quiescence was consistent with the results from
21 siDerl1 NSCs (Fig. 3B,C). To examine whether the expression of Stat5b is sufficient to restore the
22 impaired transition of siDerl1 NSCs, NSCs infected with a control lentivirus encoding Venus (a GFP
23 variant) or a lentivirus encoding Venus-tagged Stat5b were induced to enter the quiescent state (Fig.
24 4G). We found that exogenously expressed Stat5b restored the percentage of proliferating siDerl1
25 NSCs to that of proliferating siControl NSCs (Fig. 4H,I), suggesting that Stat5b expressed downstream
26 of Derlin-1 regulates the transition of NSCs from active to quiescent states.

27 Stat5b is a member of the Stat family of proteins, which are phosphorylated by receptor-
28 bound Janus kinase (JAK) in response to cytokines and growth factors to form homodimers or
29 heterodimers that translocate into the nucleus to act as transcriptional activators (Able et al. 2017). To
30 examine whether the transcriptional activity of Stat5b is required for the restoration of the impaired
31 transition of siDerl1 NSCs from active to quiescent states, NSCs were infected with a virus encoding
32 mutant Stat5b (Y699F), in which the tyrosine phosphorylation sites required for activation were
33 replaced with phenylalanine. We found that exogenously expressed Stat5b (Y699F) also reduced the
34 abnormal proliferation of siDerl1 NSCs (Supplemental Fig. S4D). Moreover, downstream target genes
35 of Stat5b were not enriched among the downstream targets of Derlin-1 in adult rat hippocampal NSCs
36 (Fig. 4E), suggesting that the transcriptional activity of Stat5b may not be required for the maintenance

1 of NSCs. We next examined whether exogenous expression of Stat5b suppresses the abnormal
2 proliferation of Derlin-1-deficient NSCs *in vivo*. The DG of the hippocampus in 9-week-old
3 *Derl1*^{NesCre} mouse brains was infected by intracerebral injection with a lentivirus encoding control
4 Venus on one side and a lentivirus encoding Stat5b on the other side, and the mice were analyzed by
5 immunostaining after 2 weeks (Fig. 4J). Compared with the control virus-infected DG, the DG
6 infected with the Stat5b-encoding virus had a reduced number of Ki67-positive proliferating cells, as
7 well as a reduced percentage of proliferating NS/PCs among virus-infected HA-positive NS/PCs (Fig.
8 4K–M). On the basis of these results together, it is suggested that the reduced expression of Stat5b is
9 responsible for the abnormal proliferation of NSCs in *Derl1*^{NesCre} mice.

10

11 **Restoration of impaired transition of Derlin-1-deficient NSCs from active to quiescent states by 12 4-PBA**

13 We have previously reported that continuous treatment of *Derl1*^{NesCre} mice with 4-PBA improved
14 motor impairment due to brain atrophy (Sugiyama et al. 2021). 4-PBA acts not only as a chemical
15 chaperone but also as an HDAC inhibitor, and other HDAC inhibitors, such as valproic acid (VPA),
16 counteract neurological diseases including spinal cord injury and hearing loss in mouse models by
17 promoting neuronal differentiation (Abematsu et al. 2010; Kusaczuk et al. 2015; Wakizono et al. 2021).
18 Based on these findings, to verify the possibility that 4-PBA may be effective in rescuing the
19 abnormality of Derlin-1-deficient NSCs, NSCs were pretreated with 4-PBA one day before induction
20 to a quiescence state, and the percentage of proliferating NSCs was quantified 3 days later (Fig. 5A).
21 The percentage of proliferating siDerl1 NSCs was significantly reduced by 4-PBA treatment (Fig.
22 5B,C). We examined the effect of 4-PBA treatment on *Stat5b* expression in NSCs (Fig. 5D). The
23 expression of *Stat5b* was increased in siControl and siDerl1 NSCs by 4-PBA treatment, and the
24 reduced *Stat5b* expression in siDerl1 NSCs recovered to the same level found in the vehicle-treated
25 siControl NSCs (Fig. 5E). We next examined whether this induction of *Stat5b* expression depends on
26 chaperone activity or HDAC inhibition activity by using other chemical chaperones,
27 tauroursodeoxycholic acid (TUDCA) and trehalose, and the representative HDAC inhibitor VPA
28 (Supplemental Fig. S5A). Treatment with TUDCA and trehalose did not affect *Stat5b* expression in
29 either siControl or siDerl1 NSCs (Supplemental Fig. S5B,C). In contrast, *Stat5b* expression was
30 significantly increased by VPA treatment but not by valpromide (VPM), a VPA analog with no
31 inhibitory effect on HDAC (Supplemental Fig. S5D,E). Consistent with the results for *Stat5b*
32 expression, the percentage of proliferating siDerl1 NSCs were reduced by treatment with VPA but not
33 TUDCA (Supplemental Fig. S5F–H). These results suggest that the HDAC inhibitor activity of 4-PBA
34 contributes to increased *Stat5b* expression and thus may rescue the impaired transition of Derlin-1-
35 deficient NSCs from active to quiescent states.

36

1 **Amelioration of abnormal adult neurogenesis and associated pathological phenotypes by 4-PBA
2 treatment in Derlin-1-deficient mice**

3 4-PBA can easily cross the blood–brain barrier and has been confirmed to exert a therapeutic effect in
4 mouse models of neurological diseases such as Alzheimer’s disease and ALS (Ryu et al. 2005; Wiley
5 et al. 2011). We have reported that 4-PBA administration improved brain atrophy and motor
6 dysfunction in *Derl1*^{NesCre} mice (Sugiyama et al. 2022). Therefore, we administered 4-PBA
7 intraperitoneally to *Derl1*^{ff} and *Derl1*^{NesCre} mice for 14 days and examined its effect on adult
8 neurogenesis *in vivo* (Fig. 6A). Two weeks of 4-PBA administration ameliorated the abnormally
9 increased proliferation of NSCs and the ectopic localization of immature neurons in *Derl1*^{NesCre} mice
10 (Fig. 6B,C). When mice intraperitoneally injected with 4-PBA were subjected to the KA-induced
11 seizure susceptibility test (Fig. 6D), 4-PBA treatment alleviated the elevated seizure score in
12 *Derl1*^{NesCre} mice (Fig. 6E).

13 We next assessed the effect of 4-PBA on NSC depletion and cognitive dysfunction in aged
14 *Derl1*^{NesCre} mice. Because long-term intraperitoneal injection stresses the mice, 4-PBA was
15 administered to *Derl1*^{ff} and *Derl1*^{NesCre} mice in their ad libitum water supply from 4 weeks to 16-20
16 weeks of age (Fig. 6F). The number of NSCs in the DG of 4-PBA-treated *Derl1*^{NesCre} mice recovered
17 to the same level as that in 4-PBA-treated *Derl1*^{ff} mice, suggesting that the aging-dependent depletion
18 of Derlin-1-deficient NSCs is ameliorated by 4-PBA treatment (Fig. 6G). To examine the effect of 4-
19 PBA treatment on the impaired hippocampus-dependent cognitive function of *Derl1*^{NesCre} mice, we
20 performed the novel location recognition test and found that the preference for the DO in the testing
21 phase was restored in 4-PBA-treated *Derl1*^{NesCre} mice, as observed in *Derl1*^{ff} mice (Fig. 6H). Our
22 results indicate that the impairment of adult neurogenesis caused by Derlin-1 deficiency and the
23 associated pathological phenotypes, i.e., increased seizure susceptibility and cognitive dysfunction,
24 can be ameliorated by the administration of 4-PBA.

25

26

27 **Discussion**

28

29 In the present study, we show that Derlin-1 is required for the proper proliferation of NSCs and
30 localization of newborn neurons in the DG through the expression of Stat5b and for brain functions
31 associated with adult hippocampal neurogenesis, including seizure suppression and cognitive function.
32 Furthermore, 4-PBA was found to be effective at rescuing the detrimental phenotypes of Derlin-1-
33 deficient mice via HDAC inhibition.

34

35 Maintaining a delicate balance between the quiescent and active states of NSCs is crucial in
36 the adult mammalian brain to prevent depletion and ensure a continuous generation of an adequate
number of neurons throughout life (Bond et al. 2015; Shin et al. 2015). Our finding that decreased

1 Stat5b expression increases the number of activated NSCs is consistent with previous reports that
2 Stat5b is required for the maintenance of quiescence in tissue stem cells such as hematopoietic stem
3 cells and hair follicle stem cells (Wang et al. 2009; Wang et al. 2019). A recent study reported that
4 signaling mediated by Stat5 family proteins in the brain is crucial for modulating learning and memory
5 formation but is not associated with adult neurogenesis (Furigo et al. 2018). To clarify the difference
6 between this report and our findings, detailed analysis is required. In addition to decreased expression
7 of Stat5b, other mechanisms driven by Derlin-1 deficiency may also be participated in adult
8 neurogenesis. The tyrosine phosphorylation involved in the transcriptional activation of Stat5b was
9 not required to rescue the abnormal proliferation of Derlin-1-deficient NSCs, and downstream target
10 genes of Stat5b were not enriched among the downstream target genes of Derlin-1. Thus, it is
11 conceivable that the transcriptional activity of Stat5b may not be required to maintain the quiescent
12 state of NSCs. Previous studies have shown that Stat5b, in addition to its role as a transcription factor,
13 is localized to the ER in smooth muscle cells and human pulmonary arterial endothelial cells and is
14 important for maintaining ER structure and mitochondrial function as a nongenomic effect (Lee et al.
15 2012; Lee et al. 2013; Sehgal 2013). Additionally, Stat5-family proteins without tyrosine
16 phosphorylation are localized in the nucleus and are known to be involved in cytokine-induced
17 megakaryocyte differentiation (Park et al. 2016). Although it is possible that Stat5b may act as a
18 phosphorylation-independent transcription factor or a transcriptional activity-independent factor in
19 the maintenance of NSCs, the Derlin-1-Stat5b axis is a novel and indispensable pathway in adult
20 neurogenesis. Another important issue that remains to be clarified is how Derlin-1 transcriptionally
21 regulates Stat5b expression. Derlin-1 is a well-known ER membrane protein that is indispensable for
22 ER quality control and has no transcriptional activity. The most likely possibility is that the UPR
23 caused by Derlin-1 deficiency inhibits the expression of *Stat5b* mRNA in the activated NSCs.
24 Although ER stress was also induced in the brains of Derlin-2-deficient mice (Supplemental Fig. S1I)
25 (Sugiyama et al. 2021), abnormal proliferation of NSCs was not observed in Derlin-2-deficient mice
26 (Fig. S1J and K). The possible involvement of ER stress cannot be ruled out, but it is strongly
27 suggested that Derlin-1-specific downstream targets may contribute to the transcriptional regulation
28 of *Stat5b*. Further studies are important to clarify how Stat5b expression is regulated by Derlin-1; such
29 studies will provide novel insight into the mechanism of adult neurogenesis.

30 Derlin-1 deficiency induces the ectopic localization of newborn neurons in the hilus, which
31 is due to abnormal migration. Among the factors involved in cell migration, the expression of CXC
32 motif chemokine receptor 4 (Cxcr4), which is indispensable in NS/PCs, has been implicated in the
33 appropriate localization of newborn neurons in the adult DG (Schultheiss et al. 2013; Sakai et al. 2018).
34 Although *Cxcr4* was not found in differentially expressed genes in siDerl1 NSCs in our RNA-seq
35 analysis (Supplemental Table S1), it may be possible that the expression of Cxcr4 protein on the
36 plasma membrane surface is suppressed by Derlin-1 deficiency. It is also possible that abnormally

1 proliferated NSCs or newborn neurons might be physically extruded from the DG to the hilus or that
2 Stat5b directly or indirectly regulates the location of adult neurogenesis. The mechanism by which the
3 survival of NS/PCs, which proliferate in the adult DG, is ultimately reduced in Derlin-1-deficient mice
4 is also unknown. Although further research is needed to elucidate these unresolved mechanisms, our
5 results indicate that Derlin-1 regulates adult neurogenesis in a spatiotemporal manner.

6 ER stress is thought to be involved in the pathogenesis of neurological diseases, including
7 ALS and spinocerebellar ataxia (Nishitoh et al. 2002; Nishitoh et al. 2008; Ghemrawi and Khair 2020).
8 Chemical chaperone therapy is currently being developed as a treatment for several diseases, including
9 some neurological diseases, with the aim of reducing ER stress. For example, clinical trials are
10 currently being conducted on ALS patients using sodium phenylbutyrate (a salt of 4-PBA) and
11 TUDCA, which have shown effects such as delayed disease progression and prolonged survival
12 (Paganoni et al. 2020; Paganoni et al. 2022). However, it is questionable whether 4-PBA improves the
13 pathology of neurological disease through its chaperone activity alone. Other chemical chaperones,
14 TUDCA and trehalose, had no effect on *Stat5b* expression, while VPA increased its expression,
15 suggesting that HDAC inhibition by 4-PBA may function to restore *Stat5b* expression in Derlin-1-
16 deficient NSCs. Since both 4-PBA and VPA are short-chain fatty acid group HDAC inhibitors that
17 mainly inhibit class I HDACs (HDAC1, 2, 3 and 8) (de Ruijter et al. 2003), it is possible that activation
18 of class I HDACs in NSCs may suppress *Stat5b* expression. VPA is known to inhibit NS/PCs
19 proliferation by inducing cyclin-dependent kinase inhibitors p21 through its HDAC inhibitor activity
20 (Jessberger et al. 2007). In this study, we discovered a novel function of the HDAC inhibitor 4-PBA
21 in regulating adult neurogenesis by inducing specific genes, including *Stat5b*. Although further studies
22 are needed to elucidate the precise molecular mechanisms by which HDAC inhibitors ameliorate
23 abnormal adult neurogenesis in Derlin-1-deficient mice, this study demonstrates that the
24 administration of HDAC inhibitors such as 4-PBA and VPA may be applicable in research aiming to
25 clarify the pathological mechanisms of diseases caused by the disruption of adult neurogenesis.

26 In summary, the Derlin-1-Stat5b axis is essential for maintaining adult neurogenesis
27 throughout life. Maintenance of adult neurogenesis via Derlin-1 function is essential for controlling
28 seizure susceptibility and maintaining cognitive function, and pathologies caused by its disruption are
29 ameliorated by HDAC inhibition. Our discovery paves the way for the elucidation of mechanisms and
30 the possible treatment of neurological diseases caused by abnormal adult neurogenesis, depending on
31 further research.

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36

1 **Materials and methods**

2

3 *Animals*

4 All mice used in this experiment were raised under specific-pathogen-free conditions, housed under a
5 12-h/12-h light/dark cycle, and fed ad libitum. Details regarding *Derl1^{ff}* mice, *Derl2^{ff}* mice, and mice
6 expressing Cre recombinase driven by the *nestin* or *CaMKIIa* promoter have been described in
7 previous reports (Isaka et al. 1999; Dougan et al. 2011; Karpati et al. 2019; Sugiyama et al. 2021).
8 These mice were intercrossed to generate *Derl1^{NesCre}* mice, *Derl1^{CaMKIIaCre}* mice and *Derl2^{NesCre}* mice.
9 Both male and female mice were used. All mouse experiments were approved by the Animal Research
10 Committee of the University of Miyazaki following institutional guidelines. The experiments were
11 conducted according to institutional guidelines. All efforts were made to minimize animal suffering
12 and reduce the number of animals used.

13

14 *Cell lines*

15 Human embryonic kidney (HEK) 293T cells were obtained from the American Type Culture
16 Collection (ATCC). HEK293T cells were grown in DMEM (08459-64, Nacalai Tesque) supplemented
17 with 10% FBS and penicillin–streptomycin solution (09367-34, Nacalai Tesque). Adult hippocampal
18 NSCs were isolated and cloned from Fisher 344 rats and characterized in previous reports (Palmer et
19 al. 1997; Mira et al. 2010). Adult hippocampal NSCs were cultured in DMEM/F12 supplemented with
20 N2, penicillin–streptomycin solution, and bFGF (20 ng/mL) (100-18B, PeproTech) (proliferation
21 medium) or bFGF (10 ng/mL) and diazepam (100 µM) (045-18901, Wako) or BMP4 (50 ng/mL)
22 (5020-BP, R&D Systems) (quiescence medium) on coated culture dishes with poly-L-ornithine (P-
23 3655, Sigma–Aldrich) and laminin (354232, Corning). All cells were maintained under a 5% CO₂
24 atmosphere at 37°C.

25

26 *siRNA transfection*

27 siRNA transfection was performed using Lipofectamine RNAiMAX reagent (56532, Invitrogen). The
28 following siRNAs were used for knockdown of adult rat-derived hippocampal NSCs: Stealth RNAi™
29 siRNA Derl1-MSS289837 (Invitrogen), Stealth RNAi™ siRNA Stat5b-RSS332572 (Invitrogen).
30 Stealth RNAi™ siRNA Negative Control Med GC Duplex (452001, Invitrogen) was used as the control.

31

32 *BrdU administration*

33 To label proliferating cells, BrdU (B5002, Sigma–Aldrich) dissolved in saline (0.9% NaCl) was
34 injected (50 mg/kg) intraperitoneally into 8- or 9-week-old mice once a day for 7 days. The mice were
35 sacrificed 1 day or 3 weeks after the last BrdU injection.

36

1 *Tissue preparation for immunofluorescence*

2 Mice were deeply anesthetized by intraperitoneal injection of a 4 mg/kg midazolam/0.3 mg/kg
3 medetomidine/5 mg/kg butorphanol mixture and transcardially perfused with phosphate-buffered
4 saline (PBS) followed by 4% paraformaldehyde (PFA) in PBS. Brains were dissected and postfixed
5 overnight in 4% PFA at 4°C. Fixed brains were incubated in 15% sucrose solution at 4°C overnight,
6 followed by 30% solution at 4°C overnight. Brains were then cut into two pieces along the midline,
7 and each half was embedded in an optimal cutting temperature compound (4583, Tissue Tek; Sakura
8 Finetek) and stored at -80°C. Embedded frozen brains were serially sectioned in the coronal plane at
9 40-μm thickness using a freezing microtome (CM3050S, Leica Microsystems). Every sixth section
10 was sequentially transferred to 6-well plates of PBS for subsequent immunohistochemical staining.

11

12 *Immunohistochemistry*

13 The brain sections were washed with PBS and incubated in blocking buffer (PBS containing 3% FBS
14 and 0.1% Triton X-100) for 1 h at room temperature (RT), followed by overnight incubation at 4°C
15 with the primary antibody diluted in blocking buffer. Sections were washed three times with PBS and
16 incubated for 2 h at RT with a secondary antibody diluted in blocking buffer. After a third wash with
17 PBS, the sections were mounted on glass slides with Immu-Mount (9990402, Thermo Scientific). For
18 the staining of BrdU, sections were incubated with 2 N HCl at 37°C for 15 min and washed with PBS
19 3 times before being blocked. Immunofluorescence images were acquired using a confocal laser
20 microscope (TSC-SP8, Leica Microsystems) and processed using Adobe Photoshop Elements 2021
21 (Adobe). Nuclei were counterstained using bisbenzimide H33258 fluorochrome trihydrochloride
22 solution (Hoechst; 19173-41, Nacalai Tesque). Antibodies are listed in the Supplemental Table S2.

23

24 *Cell counting in brain sections*

25 Quantifying the number of respective marker-positive cells in the DG was performed using every sixth
26 hemisphere section. The number of marker-positive cells was counted and multiplied by 6 to estimate
27 the total number of DGs. A cell was determined to be located in the hilus if the soma of the cell was
28 clearly located on the hilus side relative to the continuous line drawn between the SGZ and the hilus.

29

30 *In vitro cell proliferation assay*

31 To label proliferating NSCs, 10 mg/mL EdU from a Click-iT EdU Alexa Fluor 555 Imaging Kit
32 (C10338, Invitrogen) was added to the culture medium 30 min before fixation. EdU staining was
33 performed following the kit manufacturer's instructions, followed by immunocytochemistry (below).

34

35 *Immunocytochemistry*

36 Adult hippocampal NSCs were fixed with 4% PFA in PBS for 20 min, washed three times in PBS after

1 EdU staining, permeabilized, blocked with blocking buffer (PBS containing 3% FBS and 0.1% Triton
2 X-100) for 30 min at RT, and incubated for 1.5 h at RT with the indicated primary antibody diluted in
3 blocking buffer. Cells were washed three times with PBS and incubated for 1.5 h at RT with the
4 secondary antibody diluted in blocking buffer. After a third wash with PBS, cells were mounted with
5 Immu-Mount (Thermo Scientific) on glass slides. Nuclei were counterstained using Hoechst (1:500;
6 Nacalai Tesque). Immunofluorescence images were obtained using a confocal laser microscope (Leica
7 Microsystems) and processed using Adobe Photoshop Elements 2021. The antibodies are listed in the
8 Supplemental Table S2.

9

10 *Immunoblotting*

11 The adult hippocampal NSCs were lysed in lysis buffer (20 mM Tris-HCl pH 7.5, 150 mM NaCl, 5
12 mM EGTA, and 1% Triton X-100) supplemented with 5 µg/mL leupeptin (43449-62, Nacalai Tesque).
13 These whole-cell lysates were resolved by sodium dodecyl sulfate–polyacrylamide gel electrophoresis
14 (SDS–PAGE) and blotted onto polyvinylidene fluoride (PVDF) membranes. After blocking with 5%
15 skim milk in TBS-T (50 mM Tris-HCl pH 8.0, 150 mM NaCl, and 0.05% Tween-20), the membranes
16 were probed with the indicated antibodies, and immunolabeling was detected using an enhanced
17 chemiluminescence (ECL) system. The antibodies are listed in the Supplemental Table S2.

18

19 *Lentivirus production*

20 Lentiviruses were produced by co-transfecting HEK293T cells with the lentivirus constructs pRRL-
21 Venus-HA, pRRL-Stat5b-Venus, pRRL-Stat5b-HA or pRRL-Stat5b (Y699F)-Venus, and lentivirus
22 packaging vector constructs pMD2.G (12259, Addgene) and psPAX2 (12260, Addgene) using
23 Polyethylenimine (PEI)-Max (24765-1, Polysciences). The culture medium was changed at 16–24 h
24 after transfection. The supernatants were collected at 24 and 48 h after a medium change and
25 centrifuged at 6,000 x g overnight at 4°C. After discarding the supernatant, the virus solution was
26 resuspended in 1 mL of new medium per 10 cm dish (*in vitro* conditions), and then the virus solution
27 was concentrated using the Lenti-X Concentrator (631231, Clontech) and suspended in PBS (*in*
28 *vivo* conditions).

29

30 *In vitro lentiviral infection*

31 The virus solutions were introduced into adult hippocampal NSCs by adding these supernatants to the
32 culture 24 h after passaging. At 48 h after infection, the medium was replaced with quiescence medium.
33 The cells were cultured for another 48 h and then fixed for an EdU-labeled cell proliferation assay.

34

35 *In vivo lentiviral infection*

36 Nine-week-old *Derl1*^{NesCre} mice were anesthetized by intraperitoneal injection of a 4 mg/kg

1 midazolam/0.3 mg/kg medetomidine/5 mg/kg butorphanol mixture. The virus suspension was injected
2 stereotactically into the bilateral DG using the following coordinates relative to bregma: caudal, -2.0
3 mm; lateral, \pm 1.5 mm; ventral, -2.3 mm. In each DG, 1.5 μ L of lentivirus was injected over 1 min
4 using a 5 μ L Hamilton syringe. Two weeks after the lentiviral injection, the brains were fixed for
5 immunohistochemistry. Mice lacking HA-tag-positive cells in the DG were excluded from the study.
6

7 *Quantitative real-time PCR analysis*

8 Total RNA was isolated from adult hippocampal NSCs using RNAiso Plus (9109, Takara Bio) and
9 reverse transcribed using RevaTra Ace qPCR RT Master Mix with gDNA Remover (FSQ-301,
10 TOYOBO) according to the manufacturer's instructions. Quantitative PCR was performed using
11 SYBR Green PCR Master Mix (KK4602, Kapa Biosystems) and a StepOnePlus Real-Time PCR
12 System (Applied Biosystems). Expression levels were normalized to the expression of β -actin mRNA
13 and calculated relative to the control. The following primers were used for quantitative PCR: β -actin
14 primer forward 5'-TCCTCCCTGGAGAAGAGCTAT-3', β -actin primer reverse 5'-
15 TCCTGCTTGCTGATCCACAT-3', Stat5b primer forward 5'-GGGCATCACCAATTGCTTGGAG-3',
16 Stat5b primer reverse 5'-CCGGATAGAGAAGTCTCTGTGG-3'

17

18 *DNA microarray analysis, RNA-seq*

19 Standard procedures were used for DNA microarray and RNA-seq analyses; specific details are
20 documented in the Supplemental Material. Data generated in this study have been deposited in GEO
21 under accession number GSE226345 and GSE229251.

22

23 *Seizure behavioral assays*

24 Seizures were induced in 8- to 12-week-old *Derl1^{ff}* and *Derl1^{NesCre}* mice by intraperitoneal injections
25 of 20 mg/kg KA (BML-EA123, Enzo Life Sciences) dissolved in distilled H₂O. The behavior of the
26 mice was observed for 1 h after the injection, and a seizure score was recorded manually every 5 min.
27 The seizure score was modified into five stages from the previously described criteria (Racine 1972).
28 Briefly, the following seizure scale was used: normal exploratory activity (0), staring and reduced
29 locomotion (1), immobility with fast breathing/scratching behavior (2), repetitive head and limb
30 movements (3), sustained rearing with forelimb clonus (4), and full body extension (full tonic
31 extension) and death (5).

32

33 *Novel location recognition test*

34 *Derl1^{ff}* and *Derl1^{NesCre}* mice were placed in a white plastic chamber (45 \times 45 \times 43 [H] cm) that
35 contained two identical objects in adjacent corners; the mice were allowed to explore the objects freely
36 for 3 min and then taken back to their home cage for 3 min, completing one training session. After

1 three repetitions of the training session, one of the objects was moved to the opposite side of the corner
2 of the chamber and allowed to freely explore the familiar and displaced objects for 15 min (Testing
3 session). All sessions were recorded with an overhead video, and exploration behavior was defined as
4 activities such as sniffing and rearing against the object. The time spent exploring each object during
5 the training and test sessions was scored manually. The exploration ratio for objects in novel locations
6 (displaced objects) was calculated using the formula $t_{\text{displaced}} / (t_{\text{displaced}} + t_{\text{familiar}})$, as described
7 previously (Mumby et al. 2002). The chamber and objects were cleaned with 70% ethanol before the
8 next mouse was tested.

9

10 **4-PBA administration**

11 Intraperitoneal injections of 200 mg/kg 4-PBA (820986, MERCK or P21005, Sigma–Aldrich) were
12 performed daily from 8-9 to 10-11 weeks of age for immunohistochemistry and seizure behavioral
13 assays. To examine the depletion of NSCs and cognitive function, 10 mg/mL of 4-PBA (Sigma–
14 Aldrich) solution was administered in the ad libitum water supply from 4 weeks to 16-20 weeks of
15 age.

16

17 **Statistical analysis**

18 All data are presented as the means \pm standard errors. Student's t test was performed to compare two
19 group means. One-way ANOVA followed by post hoc tests compared three or more group means. Data
20 from the 1 h trial of seizure behavior were analyzed by two-way repeated-measures ANOVA, and post
21 hoc analysis was performed using Bonferroni's multiple comparison test. Statistical analyses were
22 performed using EZR software version 1.30 (Kanda 2013) or GraphPad Prism 9 (GraphPad Software).
23 A $P < 0.05$ (two-tailed) was considered significant for all tests.

24

25

26 **Competing interests**

27 The authors declare no competing interests.

28

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11

12

13

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7

1 **Figure 1. Loss of *Derl1* perturbs adult hippocampal neurogenesis**

2 (A) Experimental scheme for investigating the proliferation of NS/PCs and neurogenesis in *Derl1*^{ff}
3 and *Derl1*^{NesCre} mice.

4 (B) Representative immunofluorescence images of DG staining for BrdU (red), DCX (green), and
5 Hoechst (gray; insets). Scale bars: 100 μ m.

6 (C,D) Quantification of the numbers of BrdU-positive (BrdU+) proliferating cells (C) and BrdU+
7 DCX+ newborn immature neurons (D) in the DG of *Derl1*^{ff} and *Derl1*^{NesCre} mice (n = 3 mice).

8 (E) Representative immunofluorescence images of DG staining for DCX (cyan) and Hoechst (gray;
9 insets). The areas outlined by a white rectangle of the *Derl1*^{NesCre} panel are enlarged to the right.
10 The yellow arrowhead indicates an ectopic DCX+ immature neuron in the hilus, and dashed white
11 lines indicate the boundaries between the GCL and the hilus. Scale bars, 100 μ m (left) and 20 μ m
12 (right).

13 (F,G) Quantification of the number of DCX+ cells in the hilus (F) and SGZ (G) of *Derl1*^{ff} and
14 *Derl1*^{NesCre} mice (n = 3 mice).

15 (H) Representative immunofluorescence images of the DG with Prox1 (red) and Hoechst staining
16 (gray; insets). The areas outlined by a white rectangle are enlarged to the right. The white
17 arrowheads indicate Prox1+ ectopic neurons in the hilus, and the dashed white lines indicate the
18 boundaries between the GCL and the hilus. Scale bars, 100 μ m (left) and 20 μ m (right).

19 (I) Quantification of the number of Prox1+ cells in the hilus of *Derl1*^{ff} and *Derl1*^{NesCre} mice (n = 3
20 mice).

21 (J) Experimental scheme for assessing neurogenesis in the DG of *Derl1*^{ff} and *Derl1*^{NesCre} mice.

22 (K) Representative immunofluorescence images of the DG with BrdU (red), NeuN (green), and
23 Hoechst staining (gray; insets). Scale bars: 100 μ m.

24 (L) Quantification of the number of BrdU+ NeuN+ newborn mature neurons in the DG of *Derl1*^{ff} and
25 *Derl1*^{NesCre} mice (n = 6; *Derl1*^{ff} mice, n = 8; *Derl1*^{NesCre} mice).

26 (M) The percentage of BrdU+ cells surviving between 1 and 3 weeks in the DG of *Derl1*^{ff} and
27 *Derl1*^{NesCre} mice. The survival ratio was obtained by dividing the total number of BrdU+ cells at 3
28 weeks (day 27 overall) by the total number at 1 day (day 7 overall) after the last BrdU injection (n
29 = 3 mice).

30 (N) Schematic diagram of the developmental stage of adult neurogenesis (top panel) and specific
31 marker proteins for each stage (middle panel). Representative immunofluorescence images of
32 Nestin+ MCM2- (qNSC), Nestin+ MCM2+ (aNSC), Tbr2+ (IPC), and DCX+ (immature neuron)
33 staining (bottom panel).

34 (O) Comparison of the lineage progression index between *Derl1*^{ff} and *Derl1*^{NesCre} mice. The lineage
35 progression index is calculated by dividing the number of cells at a defined developmental stage
36 by the number of cells at the preceding developmental stage (aNSCs normalized to total NSCs,

1 IPCs normalized to aNSCs, immature neurons normalized to IPCs) (n = 3 mice). The inset shows
2 the proportion of the number of aNSCs to total NSCs (n = 3 mice).

3 Bar graphs are presented as the mean \pm SEM. *P < 0.05, **P < 0.01, and ***P < 0.001 by Student's t
4 test. n.s., not significant.

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6

1 **Figure 2. Derlin-1 deficiency increases seizure susceptibility and impairs cognitive function**

2 (A) Time plot showing the mean seizure score over 1 h after KA treatment in *Derl1^{ff}* and *Derl1^{NesCre}*
3 mice (n = 12; *Derl1^{ff}* mice, n = 10; *Derl1^{NesCre}* mice).

4 (B) Bar graph showing averaged seizure scores in *Derl1^{ff}* and *Derl1^{NesCre}* mice.

5 (C) Representative immunofluorescence images of the DG with GFAP (green), Sox2 (red), and
6 Hoechst staining (gray; insets). Scale bars: 100 μ m.

7 (D) Quantification of the number of Sox2+ radial GFAP+ NSCs in the SGZ of 9-month-old *Derl1^{ff}*
8 and *Derl1^{NesCre}* mice (n = 3 mice).

9 (E) Representative immunofluorescence images of the DG stained for DCX (cyan) in 9-month-old
10 *Derl1^{ff}* and *Derl1^{NesCre}* mice. Scale bars: 100 μ m.

11 (F) Quantification of the number of DCX+ immature neurons in the DG of 9-month-old *Derl1^{ff}* and
12 *Derl1^{NesCre}* mice (n = 4 mice).

13 (G) Schematic diagram of the experimental protocol for the novel location recognition test.

14 (H) Percentage of time spent with the displaced object (DO) during the training and testing phases in
15 4-month-old *Derl1^{ff}* and *Derl1^{NesCre}* mice (n = 10; *Derl1^{ff}* mice, n = 6; *Derl1^{NesCre}* mice).

16 Bar graphs are presented as the mean \pm SEM. *P < 0.05, **P < 0.01, and ***P < 0.001 by two-way
17 repeated-measures ANOVA (A) or Student's t test (B, D, F and H). n.s., not significant.

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19

1 **Figure 3. Derlin-1 is required for the transition of NSCs from active to quiescent states**

2 (A) Experimental scheme to induce the transition of control and *Derl1* knockdown NSCs from active
3 to quiescent states.

4 (B) Representative images of EdU (red) and Hoechst (blue) staining in control (siControl) and *Derl1*
5 knockdown (siDerl1) NSCs after induction of quiescence with diazepam or BMP4 for 2 days.
6 NSCs were fixed 30 min after the addition of EdU. Scale bars: 50 μ m.

7 (C) Quantification of the percentage of EdU+ proliferating NSCs among total Hoechst+ cells in
8 siControl and siDerl1 NSCs with proliferative conditions or induction of quiescence with diazepam
9 or BMP4 for 2 days (n = 6; proliferation medium and diazepam condition, n = 8; BMP4 condition).

10 Bar graphs are presented as the mean \pm SEM. *P < 0.05 and ***P < 0.001 by Student's t test. n.s., not
11 significant.

12

13

1 **Figure 4. Stat5b is required for the transition of NSCs from active to quiescent states**

2 (A) Experimental scheme for evaluating the relevance of Stat5b underlying the impairment of NSC
3 transition to quiescence by *Derl1* knockdown.

4 (B) Expression of *Stat5b* in siControl and siDerl1 NSCs under proliferation and quiescent conditions.
5 Gene expression levels were estimated by qPCR and normalized to that of β -actin (n = 3).

6 (C) Representative immunoblots (IB) of siControl, siDerl1 and siStat5b NSCs after induction of
7 quiescence. Whole-cell lysates were analyzed by IB with Stat5b and actin antibodies.

8 (D) Representative images of EdU (red), Ki67 (green), and Hoechst (blue) staining in siControl and
9 siStat5b NSCs after induction of quiescence with BMP4 for 2 days. NSCs were fixed 30 min after
10 the addition of EdU. Scale bars: 50 μ m.

11 (E,F) Quantification of the percentages of EdU+ (E) and Ki67+ (F) proliferating NSCs among total
12 Hoechst+ cells in siControl and siStat5b NSCs after induction of quiescence with BMP4 for 2 days
13 (n = 3).

14 (G) Experimental scheme for investigating the requirement of Stat5b for impairment of NSC transition
15 to quiescence by *Derl1* knockdown.

16 (H) Representative images of GFP (green), EdU (red), and Hoechst (gray; insets) staining in siControl
17 and siDerl1 quiescence-conditioned NSCs with or without exogenous Stat5b expression. NSCs
18 were fixed 30 min after the addition of EdU. Scale bars: 50 μ m.

19 (I) Quantification of the percentage of EdU+ proliferating NSCs among total GFP+ cells in siControl
20 and siDerl1 NSCs with or without exogenous Stat5b expression (n = 4).

21 (J) Experimental scheme for assessing the effect of Stat5b expression in the DG on NSC proliferation
22 in *Derl1*^{NesCre} mice.

23 (K) Representative immunofluorescence images of the DG with HA (red), Sox2 (cyan), Ki67 (green),
24 and Hoechst staining (gray; insets). Scale bars: 25 μ m.

25 (L) Quantification of the number of Ki67+ proliferating cells in the DG of *Derl1*^{NesCre} mice with or
26 without exogenous Stat5b expression (n = 3 mice).

27 (M) Quantification of the percentage of Ki67+ Sox2+ HA+ proliferating NS/PCs among total Sox2+
28 HA+ cells in the DG of *Derl1*^{NesCre} mice with or without exogenous Stat5b expression (n = 3 mice).

29 Bar graphs are presented as the mean \pm SEM. *P < 0.05, **P < 0.01, and ***P < 0.001 by Student's t
30 test (B, E, F, L and M) or one-way ANOVA followed by Tukey's test (I).

31

32

1 **Figure 5. 4-PBA induces *Stat5b* expression in NSCs and restores the impaired transition of**
2 **Derlin-1-deficient NSCs from active to quiescent states**

3 (A) Experimental scheme for evaluating the effect of 4-PBA on the impairment of NSC transition to
4 quiescence by *Derl1* knockdown.

5 (B) Representative images of Hoechst (gray) and EdU (red) staining in siControl and siDerl1 NSCs
6 treated with or without 4-PBA (1 mM). NSCs were fixed 30 min after the addition of EdU. Scale
7 bars: 50 μ m.

8 (C) Quantification of the percentage of EdU+ proliferating NSCs among total Hoechst+ cells in 4-
9 PBA-treated siControl and siDerl1 NSCs induced to enter the quiescent state by the administration
10 of BMP4 for 2 days (n = 3; Vehicle, n = 4; 4-PBA).

11 (D) Experimental scheme for assessing the expression of *Stat5b* in siControl and siDerl1 NSCs treated
12 with or without 4-PBA.

13 (E) Expression of *Stat5b* in siControl and siDerl1 NSCs with or without 4-PBA (1 mM) treatment.
14 Gene expression levels were estimated by qPCR and normalized to that of β -actin (n = 5; Vehicle,
15 n = 4; 4-PBA).

16 Bar graphs are presented as the mean \pm SEM. *P < 0.05, **P < 0.01, and ***P < 0.001 by one-way
17 ANOVA followed by Tukey's test. n.s., not significant.

18
19

1 **Figure 6. 4-PBA improves the increased seizure susceptibility and cognitive dysfunction in**
2 ***Derl1*^{NesCre} mice**

3 (A) Experimental scheme for investigating the proliferation of NS/PCs in *Derl1*^{ff} and *Derl1*^{NesCre} mice
4 with or without 4-PBA treatment. *Derl1*^{ff} and *Derl1*^{NesCre} mice treated with vehicle or 4-PBA daily
5 for 2 weeks were simultaneously injected with BrdU daily for 7 days during the latter and fixed 1
6 day after the last BrdU injection.

7 (B) Representative immunofluorescence images of the DG with BrdU (red) and Hoechst staining
8 (gray; insets) (left) and quantification of the number of BrdU+ proliferating cells (right) in the DG
9 of *Derl1*^{ff} and *Derl1*^{NesCre} mice treated with or without 4-PBA (n = 3; + Vehicle mice, n = 4; *Derl1*^{ff}
10 + 4-PBA mice, n = 6; *Derl1*^{NesCre} + 4-PBA mice). Scale bars: 100 μ m.

11 (C) Representative immunofluorescence images of the DG with DCX (cyan) and Hoechst staining
12 (gray; insets). The areas outlined by a white rectangle in the *Derl1*^{NesCre} + vehicle panel are enlarged
13 to the right. The yellow arrowhead indicates DCX+ ectopic immature neurons in the hilus, and
14 dashed white lines indicate the boundaries between the GCL and hilus. Scale bars, 100 μ m (left
15 images) and 20 μ m (right image) (left). Quantification of the number of DCX-positive cells in the
16 hilus in *Derl1*^{ff} and *Derl1*^{NesCre} mice treated with or without 4-PBA (right) (n = 6; *Derl1*^{ff} + Vehicle
17 mice, n = 5; *Derl1*^{NesCre} + Vehicle mice and *Derl1*^{NesCre} + 4-PBA mice, n = 4; *Derl1*^{NesCre} + Vehicle
18 mice).

19 (D) Experimental scheme for investigating seizure susceptibility in *Derl1*^{ff} and *Derl1*^{NesCre} mice
20 treated with or without 4-PBA.

21 (E) Time plot showing the mean seizure score over 1 h after KA treatment (left) and a bar graph
22 showing the averaged seizure score (right) in *Derl1*^{ff} and *Derl1*^{NesCre} mice treated with or without
23 4-PBA (n = 14; *Derl1*^{ff} + Vehicle mice, n = 10; *Derl1*^{NesCre} + Vehicle mice, n = 12; *Derl1*^{ff} + 4-
24 PBA mice and n = 7; *Derl1*^{NesCre} + 4-PBA mice).

25 (F) Experimental scheme for assessing the effect of 4-PBA on the depletion of NSCs and cognitive
26 function in *Derl1*^{ff} and *Derl1*^{NesCre} mice. 4-PBA solutions were administered from 4 weeks to 16-
27 20 weeks (4 months) of age through the water supply, which was available ad libitum.

28 (G) Representative immunofluorescence images of the DG with GFAP (green), Sox2 (red), and
29 Hoechst staining (gray; insets) and quantification of the number of Sox2+ radial GFAP+ NSCs in
30 the SGZ of 4-month-old *Derl1*^{ff} and *Derl1*^{NesCre} mice treated with or without 4-PBA (n = 5; +
31 Vehicle mice, n = 7; + 4-PBA mice). Scale bars: 100 μ m.

32 (H) Percentage of time spent with the displaced object (DO) during the training and testing phases in
33 4-month-old *Derl1*^{ff} and *Derl1*^{NesCre} mice treated with or without 4-PBA (n = 3; + Vehicle mice, n
34 = 5; + 4-PBA mice).

35 Bar graphs are presented as the mean \pm SEM. *P < 0.05, **P < 0.01, and ***P < 0.001 by one-way
36 ANOVA followed by Tukey's test (B, C and G), two-way repeated-measures ANOVA [E (left)] or

1 Student's t test [E (right) and H]. n.s., not significant.

Figure 1

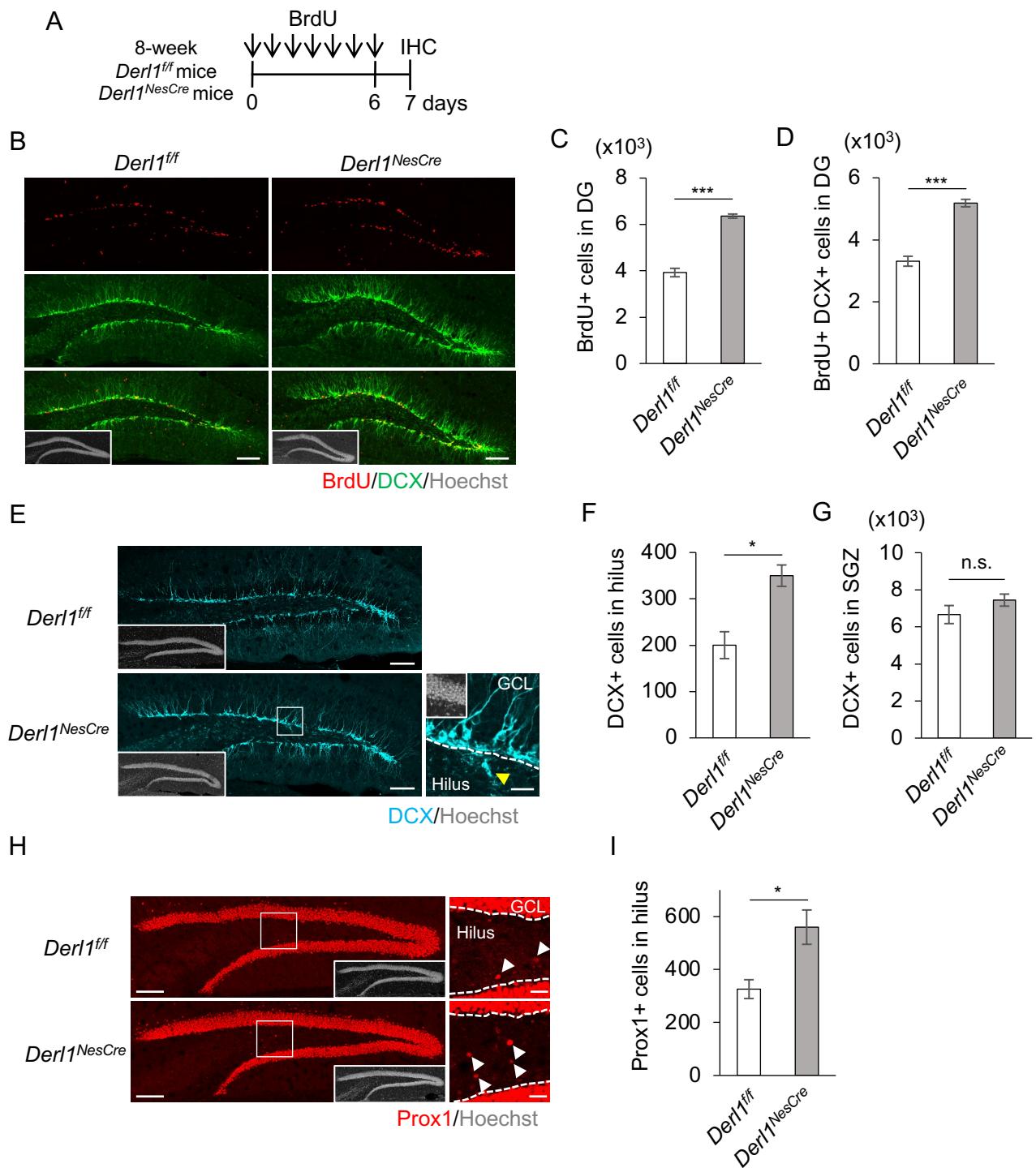
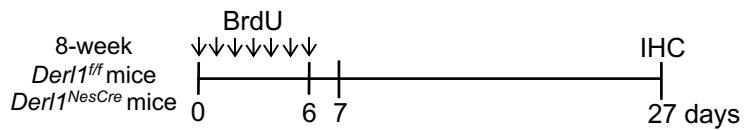
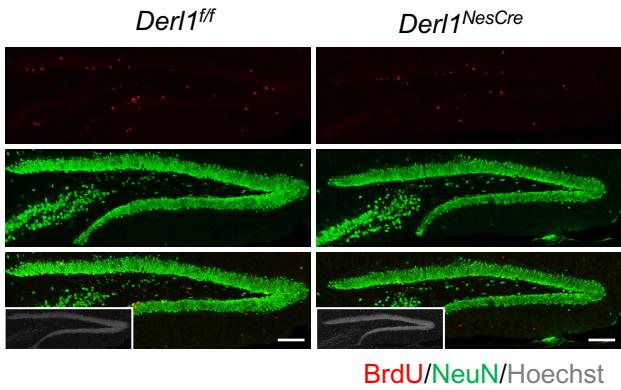


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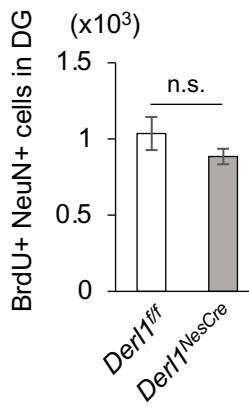
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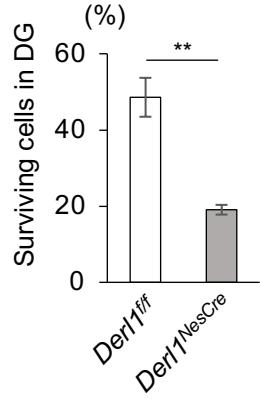
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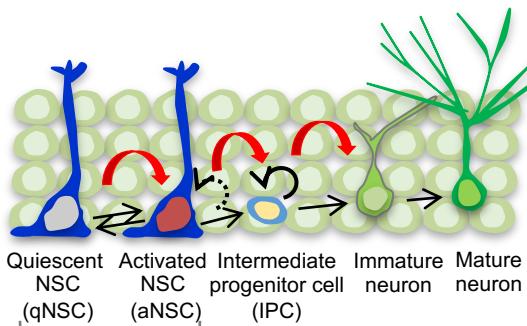
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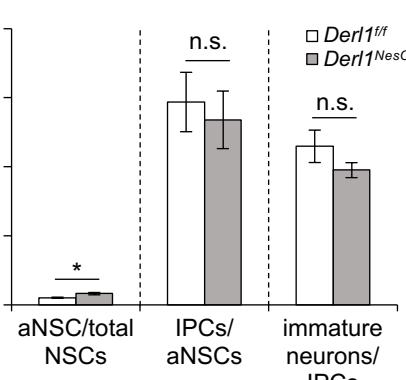
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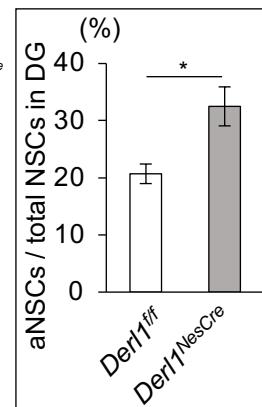
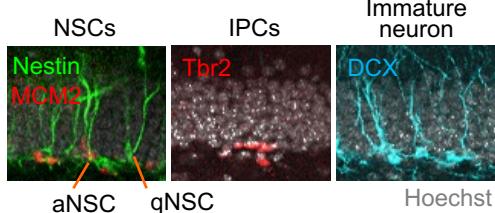
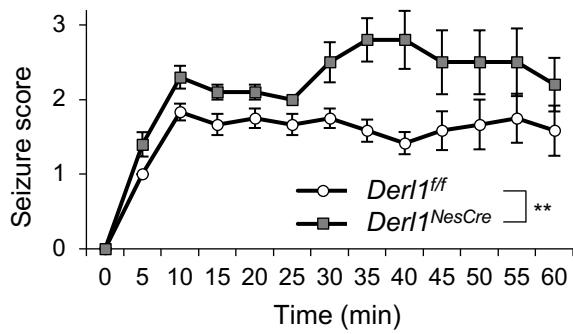
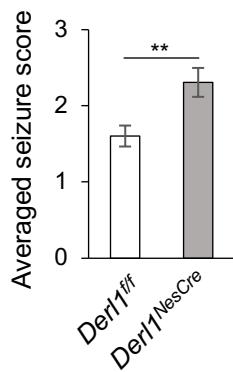


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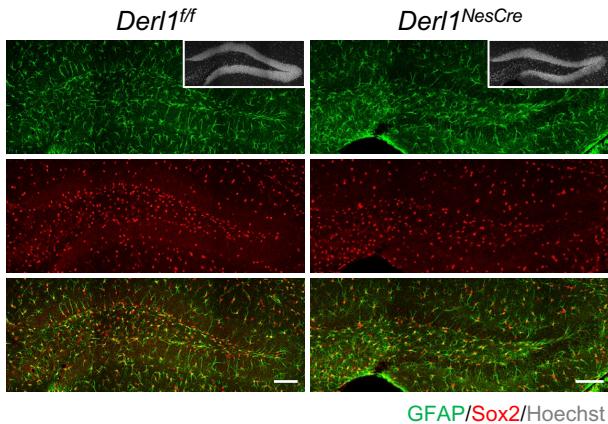
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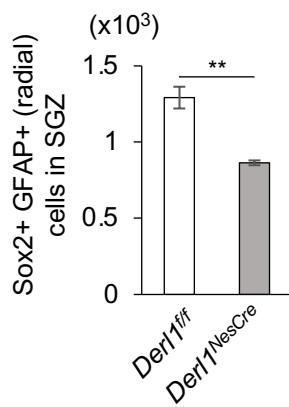
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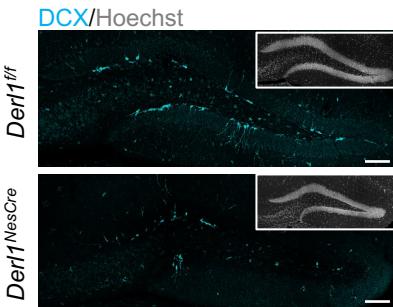
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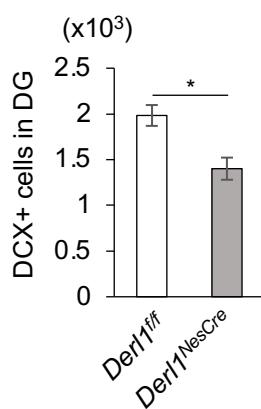
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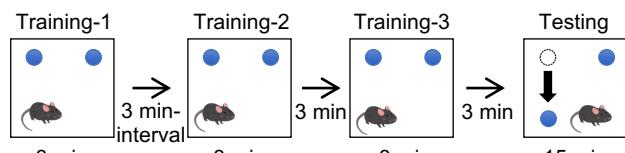
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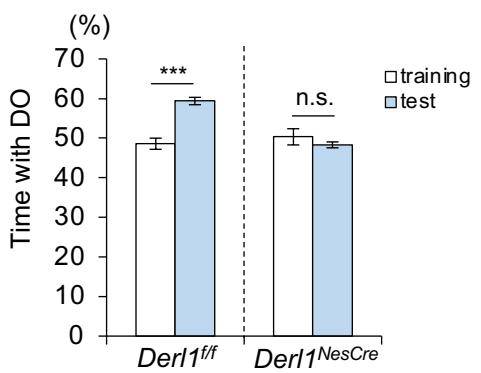


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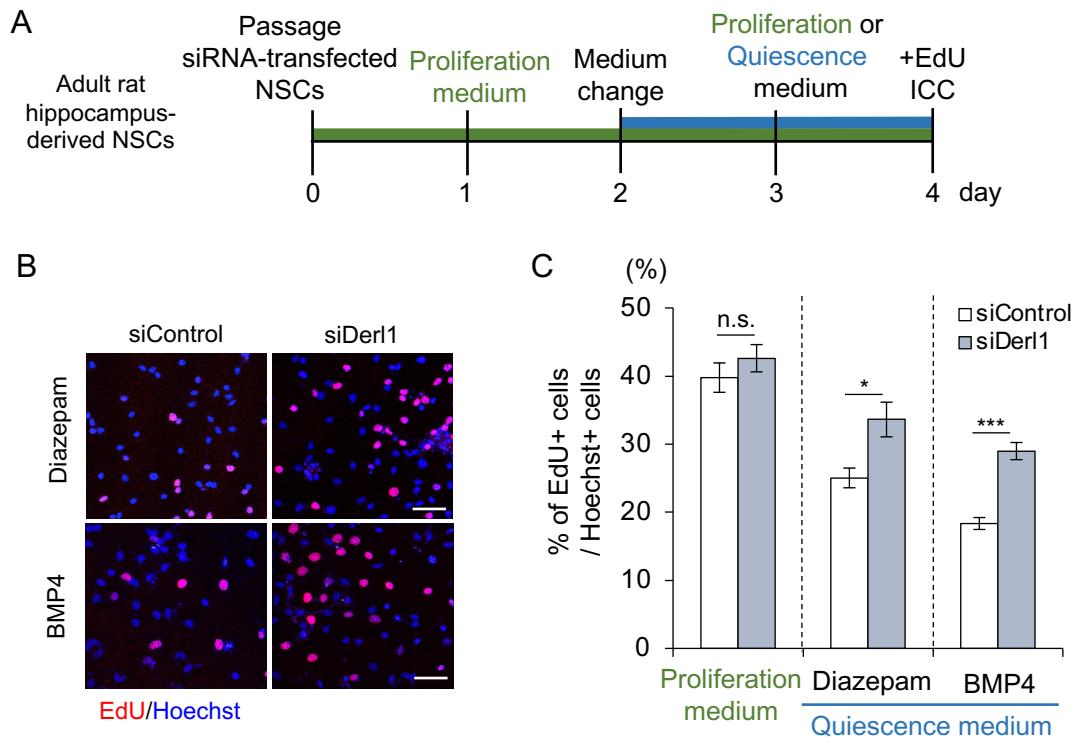


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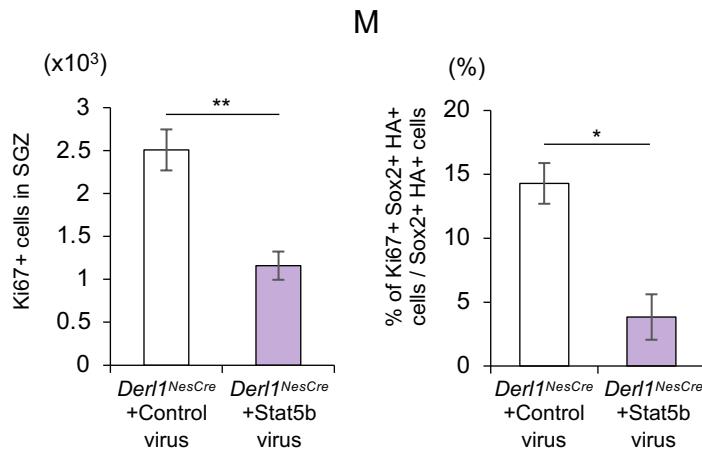
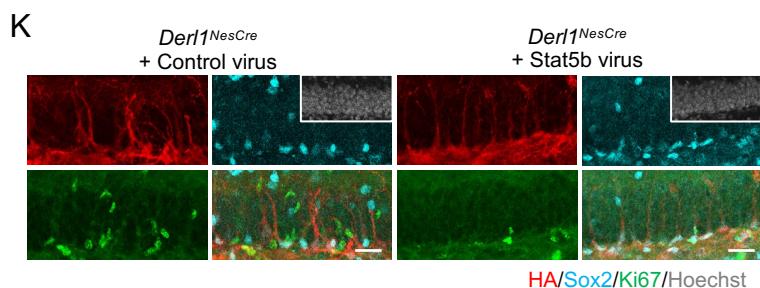
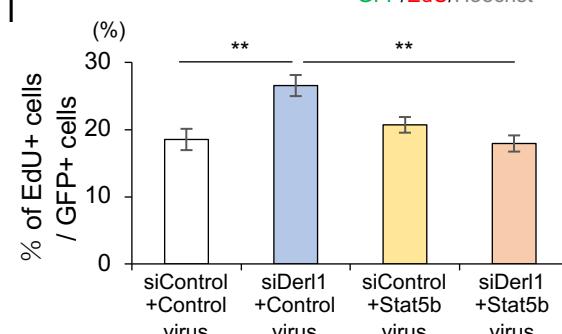
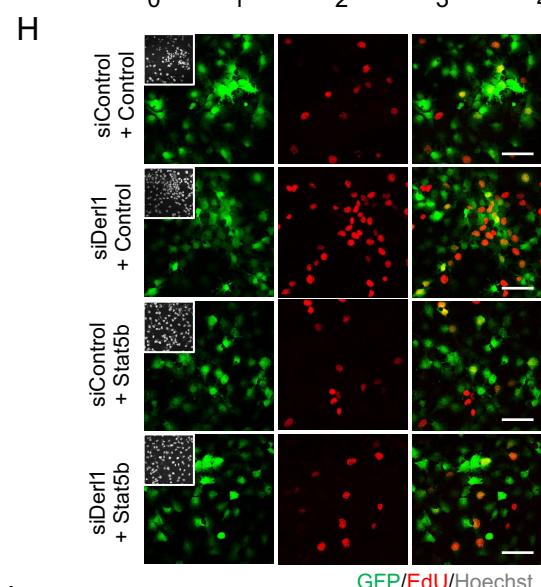
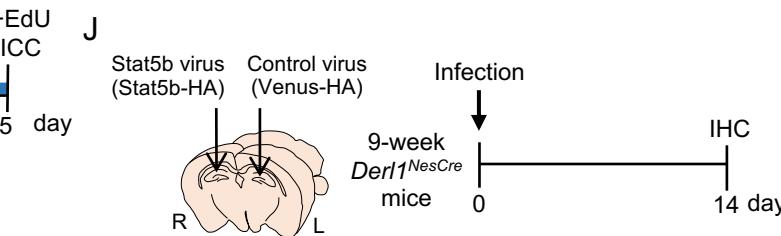
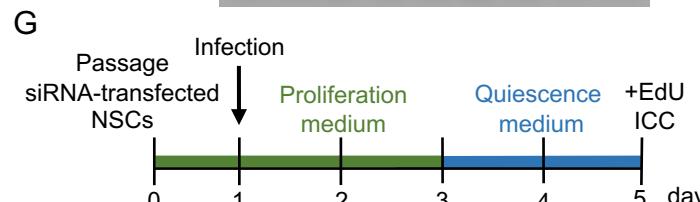
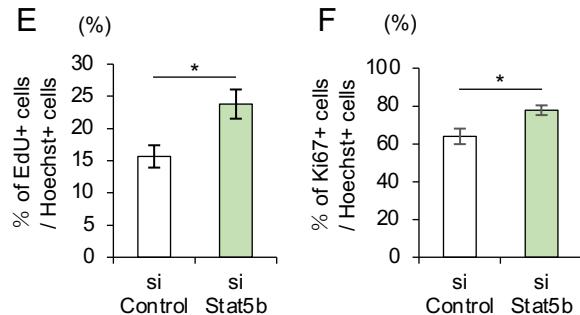
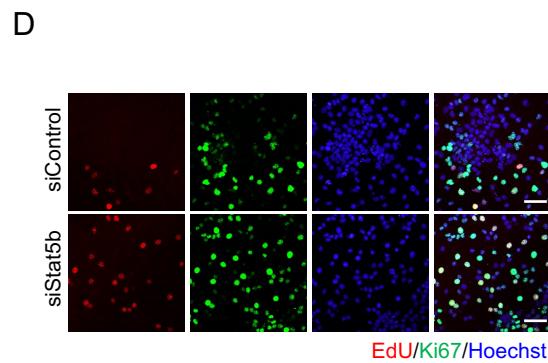
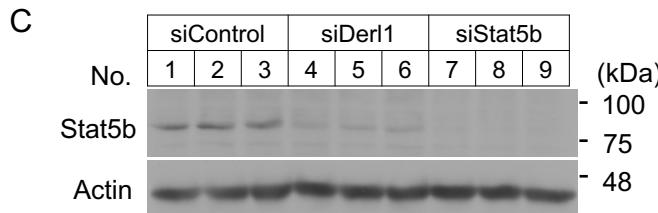
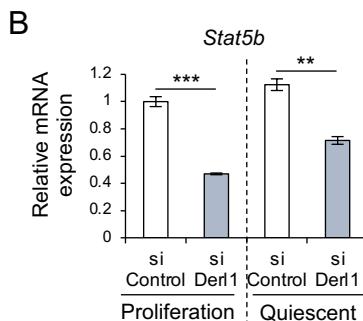
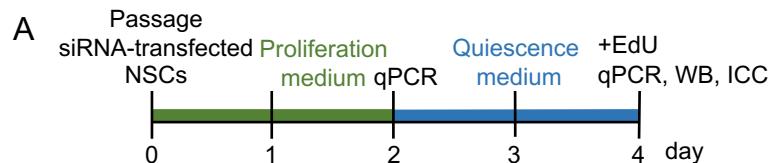
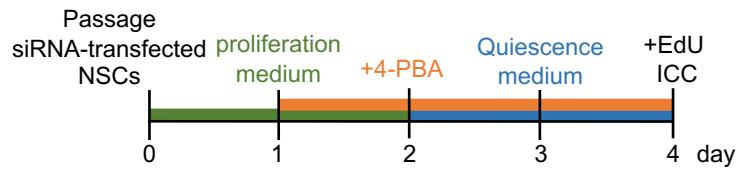
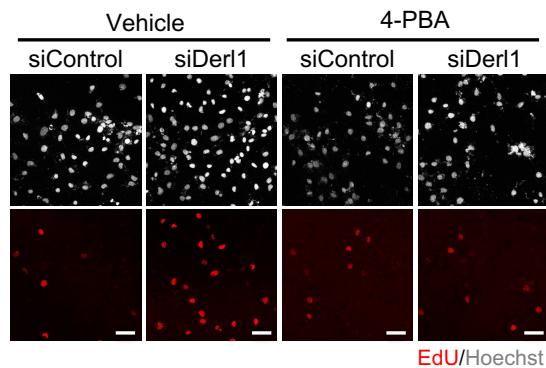


Figure 5

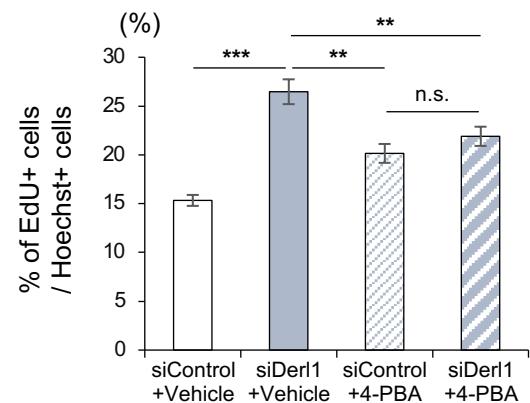
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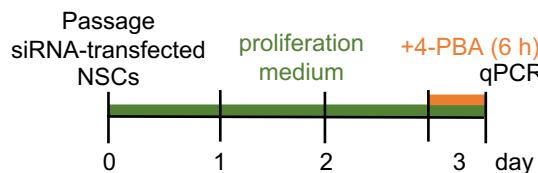
B



C



D



E

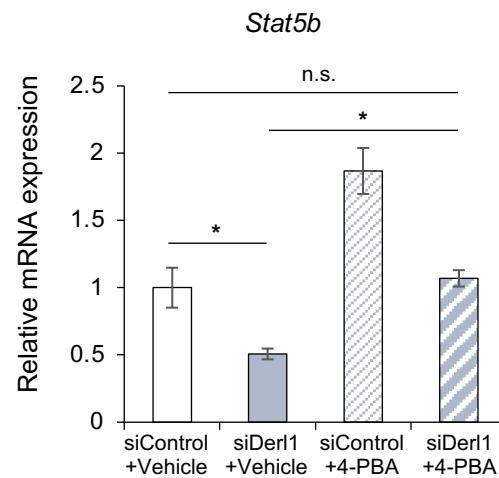


Figure 6

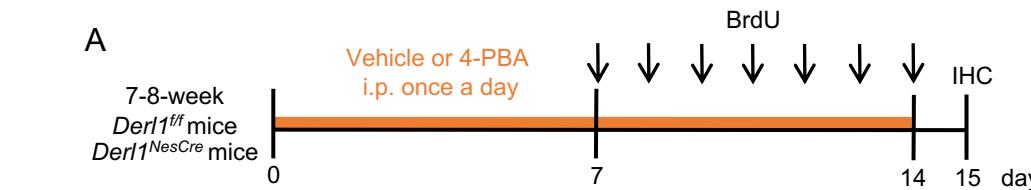
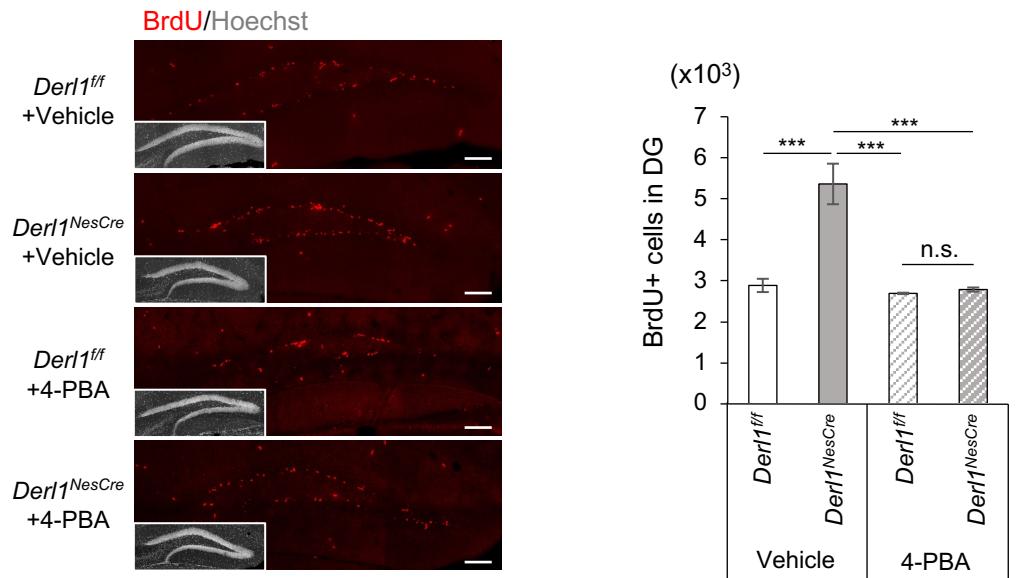
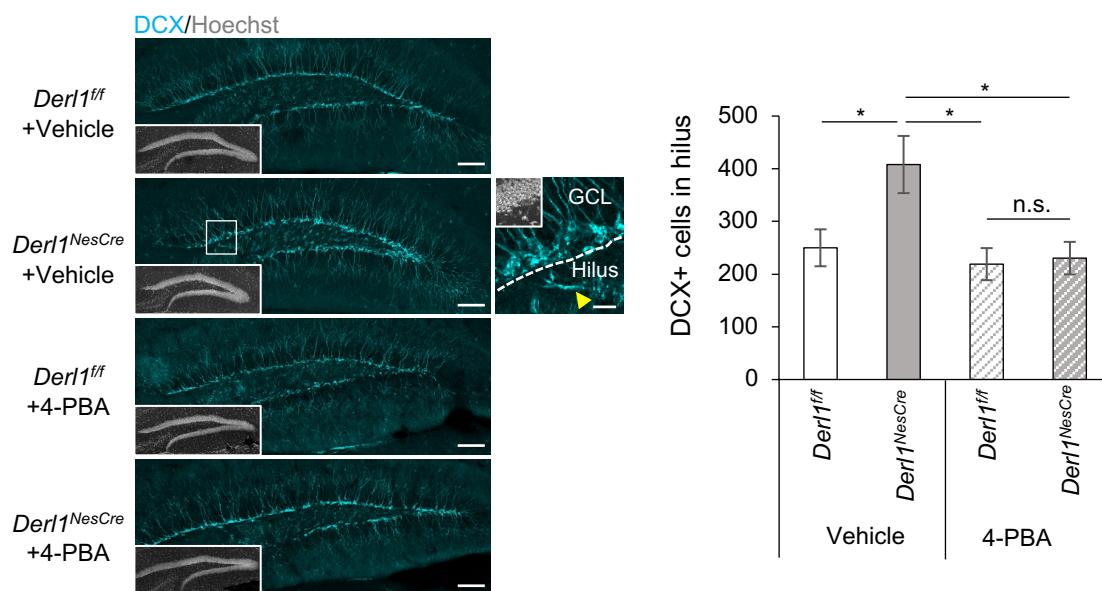
**B****C**

Figure 6 (continued)

