

1    **Title page:**

2    **Learning functional conservation between pig and human to decipher evolutionary  
3    mechanisms underlying gene expression and complex trait**

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## 22 Abstract

23 The assessment of genomic conservation between human and pig at the functional level can  
24 help understand and improve the potential of pig as a human biomedical model. To address this,  
25 we developed a **Deep** learning-based approach to learn the **Genomic Conservation** at the  
26 **Functional** level (DeepGCF) between species by integrating 386 and 374 epigenome and  
27 transcriptome profiles from human and pig, respectively. DeepGCF demonstrated a better  
28 prediction performance compared to the previous functional conservation prediction method. In  
29 addition, we showed that the resulting DeepGCF score captures the functional conservation by  
30 examining DeepGCF on chromatin states, sequence ontologies, and regulatory variants. Regions  
31 with higher DeepGCF score play a more important role in regulatory activities and show  
32 heritability enrichment in human complex traits and diseases. Our DeepGCF approach shows a  
33 promising application on the comparison of cross-species functional conservation, and the model  
34 framework can be easily adapted to other species. By expanding the model to integrate the  
35 functional profiles of multiple species, including human, mouse, pig, cattle, and other livestock  
36 animals in the future, the functional conservation information will provide additional insight into  
37 the genetic and evolutionary mechanisms behind complex traits and diseases.

38

39 **Main text**

40 **Introduction**

41 Comparative genome not only reveals evolutionary changes at the DNA sequence level<sup>1</sup>, but  
42 also helps with the translation of genetic and biological findings across species<sup>2</sup>. Compared to  
43 lab organisms like mice, pig is more similar to human in anatomy, physiology, and genome<sup>3</sup>,  
44 thus is widely used as a biomedical model for human medicine and genetic diseases, such as  
45 drug tests<sup>4</sup>, xenotransplantation<sup>5</sup>, Alzheimer's disease<sup>6</sup>, breast cancer<sup>7</sup>, and diabetes<sup>8</sup>. To fully  
46 recognize the substantial potential of pig as a human biomedical model, it is essential to conduct  
47 an extensive comparison of pig and human physiology at the molecular level for assessing to  
48 what degree that the genetic and biological findings in pig can be extrapolated to human. Several  
49 methods have been proposed to infer the conservation at the DNA sequence level, such as  
50 Genomic Evolutionary Rate Profiling (GERP)<sup>9</sup> and Phylogenetic *P*-values (PhyloP)<sup>10</sup>. However,  
51 the conservation at DNA sequence level is not equivalent to the conservation at functional  
52 level<sup>11-13</sup>.

53 The ongoing global efforts on functional annotation of genomes in both humans and  
54 livestock, such as the Encyclopedia of DNA Elements<sup>14</sup>, Roadmap Epigenomics projects<sup>15</sup>, the  
55 Functional Annotation of Animal Genomes (FAANG)<sup>16</sup>, and Farm animal Genotype-Tissue  
56 Expression (FarmGTEx) projects<sup>17</sup>, provide an unprecedented opportunity to quantify the  
57 genome conservation across species at the functional level. Previous studies often rely on a  
58 single functional profile in one tissue/cell type, such as gene expression<sup>18</sup> or epigenome<sup>19,20</sup>, to  
59 infer the functional conservation of orthologous regions between human and pig. However,  
60 integrative analysis of multi-omics is essential for unravelling how biological information  
61 encoded in the genome is conserved or diverged across species, as the functional consequence of

62 genomic variants is often modulated at multiple levels of gene regulation across tissues/cells.  
63 Artificial neural networks have been applied in the prediction and integration of multi-omics  
64 data, such as histone marks, transcription factors, and gene expression, to investigate  
65 transcriptional and biochemical impact of DNA sequences and their conservation across  
66 species<sup>21,22</sup>. For instance, Kwon and Ernst<sup>22</sup> developed a neural network model, LECIF, to study  
67 human-mouse functional conservation based on multi-omics data from Roadmap and ENCODE  
68 databases.

69 In this study, to systematically evaluate the functional conservation between human and pig,  
70 we developed a **Deep** learning-based approach to learn the **Genomic Conservation** at the  
71 **Functional** level (DeepGCF) between species. Unlike LECIF using functional genomics data as  
72 input, DeepGCF uses both DNA sequences and functional genomics data as input. It thus enables  
73 us to predict the impact of sequence mutations on the functional conservation between species.  
74 By integrating 386 and 374 epigenome and transcriptome profiles, representing 28 and 21 tissues  
75 from human and pig, respectively, DeepGCF captures the conservation of epigenetic features and  
76 genes across tissues between human and pig. By further examining expression/splicing  
77 quantitative trait loci (e/sQTL) from 54 and 35 tissues in human GTEx<sup>23</sup> and PigGTEx<sup>24</sup>,  
78 respectively, and genome-wide association studies (GWAS) of 80 complex traits/diseases in  
79 human, DeepGCF provides novel insights into the evolutionary mechanisms underlying both  
80 molecular phenotype and complex trait variation. The DeepGCF model can be easily expanded  
81 to multiple species for extensively understanding the genome evolution at functional genomics  
82 level when large-scale functional annotation data is available for many other species in the near  
83 feature.

84

85 **Results**

86 **Overview of the DeepGCF model**

87 In general, the training of DeepGCF model consists of two steps (**Fig. 1**). The first step is to  
88 transform the binary functional features to continuous values by training a deep convolutional  
89 network implemented in DeepSEA<sup>25</sup>. Binary functional feature is a common data type in the  
90 functional genomics field, which represents whether a genomic base overlapped with functional  
91 annotations such as peaks or chromatin states derived from ATAC-Seq and ChIP-Seq. By taking  
92 both DNA sequences and binary functional features as inputs, DeepSEA predicts the  
93 probabilities of each functional feature at a single-nucleotide resolution. In this study, we  
94 collected 309 and 294 genome-wide binary functional annotations from human and pig,  
95 respectively (**Supplementary data 1–4**). These represented the chromatin accessibility measured  
96 by Assay for Transposase-Accessible Chromatin (ATAC-seq), histone modifications measured  
97 by Chromatin Immunoprecipitation sequencing (ChIP-seq) and chromatin states from 26 and 21  
98 tissues in human and pig, respectively. We trained the DeepSEA models and predicted the  
99 functional effect of each nucleotide in human and pig separately, which were subsequently used  
100 as inputs in the DeepGCF for predicting the functional conservation score between these two  
101 species. The performance of DeepSEA was evaluated using an independent validation set and  
102 showed a strong predictive power in both species (**Supplementary Fig. 1**).

103 The second step of DeepGCF is to predict the functional conservation score of orthologous  
104 regions between human and pig using a supervised deep learning approach, similar to LECIF<sup>22</sup>.  
105 We divided the whole-genome alignment between human and pig into non-overlapping 50-bp  
106 regions within each alignment block, resulting in 38,961,848 paired alignments (i.e., orthologous  
107 regions). We then selected the first base to represent the functional annotation of the 50-bp

108 region, because bases within such a narrow region are likely to have similar functions and the  
109 computational burden is greatly lightened by doing so<sup>22</sup>. Apart from the predicted functional  
110 effects from DeepSEA, we also included the gene expression values from 77 and 80 RNA-seq  
111 datasets as functional annotations, representing 11 and 19 tissues in human and pig, respectively  
112 (**Supplementary data 5 and 6**). To train the DeepSEA model, we randomly shifted the human-  
113 pig orthologous regions to obtain the same number of non-orthologous pairs. Functional  
114 conservation is lack of ground truth, thus as an approximation, we presume that the orthologous  
115 regions (coded as 1) are more likely to be functionally conserved than non-orthologous regions  
116 (coded as 0). We then trained a pseudo-Siamese neural network model<sup>26</sup> using both functional  
117 effects predicted from DeepSEA and gene expression as inputs (**Fig. 1a**). We weighted non-  
118 orthologous regions 50 times more than orthologous ones when training to highlight regions with  
119 strong evidence of functional conservation<sup>22</sup>. The output, DeepGCF score, is a value between 0  
120 and 1 quantifying the functional conservation of the paired human-pig region. Furthermore, since  
121 the DeepGCF predicts the functional conservation based on the DNA sequence, it allows us to  
122 conduct an *in silico* mutagenesis analysis to assess the impact of orthologous variants on the  
123 functional conservation between species through investigating the changes of DeepGCF score  
124 caused by a mutation (**Fig. 1b**).  
125

## 126 **The evaluation of DeepGCF model**

127 The performance of DeepGCF was evaluated by predicting whether the paired human-pig  
128 regions of an independent testing set are orthologous or not. Compared to LECIF, which had the  
129 areas under receiver operating characteristic curve (AUROC) and precision-recall curve  
130 (AUPRC) of 0.80 and 0.79, respectively, DeepGCF showed a better predictive ability with

131 AUROC and AUPRC of 0.89 and 0.87, respectively (**Figs. 2a, b**). Of note, we normalized the  
132 gene expression values with a natural logarithm transformation, which showed a better predictive  
133 ability than that without a transformation (**Supplementary Fig. 2**). Among all the 38,961,848  
134 orthologous regions between human and pig, only a small percentage (1.2%) exhibited a  
135 DeepGCF score greater than 0.8, while more than half with a score less than 0.1 (**Fig. 2c**),  
136 consistent with previous findings between human and mice<sup>22</sup>. This result suggests that most of  
137 orthologous regions were not functionally conserved between species.

138 To provide suggestions for researchers who are interested in running the DeepGCF model in  
139 other species with limited functional annotation data available, we explored different features  
140 that may influence the performance of DeepGCF, including sample size and diversity of  
141 functional annotations regarding array and tissue/cell type. When training the model, we  
142 downsampled both human and pig functional profiles. We found that using ~50% (Human: 192;  
143 Pig: 187) and ~10% (Human: 52; Pig: 47) of the functional profiles resulted in similar AUROC  
144 (50%: 0.88; 10%: 0.85) and AUPRC (50%: 0.87; 10%: 0.83) values compared to using all the  
145 profiles, but using only ~1% (Human: 4; Pig: 4) of the profiles showed substantially lower  
146 AUROC (0.69) and AUPRC (0.68) values (**Fig. 2d**). When leaving one type of functional  
147 profiles out, the predictive ability of DeepGCF did not change too much (**Fig. 2e**).  
148

#### 149 **Relationship between DNA sequence conservation and functional conservation**

150 To fully explore whether DNA sequence conservation indicates functional conservation, we  
151 first examined PhyloP scores, which are commonly used to measure the DNA sequence  
152 conservation across species<sup>10</sup>. We observed a U-shaped relationship between PhyloP and  
153 DeepGCF scores (**Fig. 3a**), demonstrating that both fast-evolving and slow-evolving sequences

154 exhibited a higher functional conservation between species, compared to evolutionary neutral or  
155 near-neutral sequences. This agrees with previous findings on comparing individual epigenetic  
156 marks and DNA sequence conservation<sup>19,27</sup>. Furthermore, we defined three types of orthologous  
157 regions according to their PhyloP and DeepGCF scores to represent the two tails and the bottom  
158 of the U curve: 1) regions with both high DeepGCF ( $> 95^{\text{th}}$  percentile) and PhyloP ( $> 95^{\text{th}}$   
159 percentile): high D & high P ( $n = 260,281$ ), 2) those with high DeepGCF ( $> 95^{\text{th}}$  percentile) but  
160 low PhyloP ( $< 5^{\text{th}}$  percentile): high D & low P ( $n = 152,557$ ), and 3) those with low DeepGCF ( $<$   
161  $5^{\text{th}}$ ) and medium PhyloP (between  $47.5^{\text{th}}$  and  $52.5^{\text{th}}$ ): low D & med P ( $n = 95,231$ ). By examining  
162 sequence classes, which are predicted regulatory activities of DNA sequences in human genome  
163 by a deep learning model, Sei, trained on a compendium of 21,907 epigenome profiles<sup>28</sup>, and  
164 Gene Ontology (GO) terms, we found that, compared to the whole genome, high D & high P  
165 regions were more enriched in promoter, CTCF, and transcription but depleted in enhancer  
166 (Binomial test  $P < 0.0001$ ; **Fig. 3b**). Compared to other regions, high D & high P regions showed  
167 a higher enrichment in transcription (Binomial test  $P < 0.0001$ ; **Fig. 3b**), and were significantly  
168 associated with several RNA-related regulation processes (**Supplementary Data 7**). This  
169 indicates the similarities in transcriptional networks between pig and human<sup>18,29</sup>. High D & low  
170 P regions were significantly enriched in Polycomb (Binomial test  $P < 0.0001$ ; **Fig. 3b**), in  
171 consistency with the fact that some core subunits of Polycomb protein complexes with similar  
172 biological functions have shown a weak evolutionary conservation on DNA sequence across  
173 species<sup>30</sup>. The low D & med P regions had similar sequence class compositions as the whole  
174 genome background except promoter, which was enriched but to a less extent than high D &  
175 high P and high D & low P (Binomial test  $P < 0.0001$ ; **Fig. 3b**), and were enriched in fewer GO  
176 terms than regions with high DeepGCF (**Supplementary Data 7–9**). In addition, we examined

177 six different sequence ontologies and found that 5' UTR is the most functionally conserved  
178 element, followed by start codon, 3' UTR, stop codon, exon, and finally intron. This is consistent  
179 between both human and pig (**Fig. 3c**).

180 To investigate the impact of orthologous variants on the functional conservation between  
181 species, we examined 35,575,835 human SNPs that are located in orthologous regions between  
182 human and pig, which were obtained from the 1,000 Genome Project<sup>31</sup>. We used the DeepGCF  
183 model trained based on only predicted probabilities of binary features from DeepSEA (i.e.,  
184 leaving RNA-seq out), as the DeepSEA model does not predict for continuous functional  
185 features. The new score predicted from DeepGCF without RNA-seq data had a relatively well  
186 agreement with the original DeepGCF score with a Pearson's correlation coefficient (PCC) of  
187 0.74 (**Supplementary Fig. 3**). To measure the effect of each human SNP on functional  
188 conservation, we recomputed the probabilities of binary features for the corresponding  
189 orthologous human region due to the SNP mutation and kept the pig probabilities the same, and  
190 used the new probabilities to calculate the updated DeepGCF score. The effect on functional  
191 conservation is measured by  $\Delta\text{DeepGCF} = \text{DeepGCF after SNP mutation} - \text{original DeepGCF}$ . By  
192 classifying all the orthologous variants into eight categories<sup>28</sup>, we found that most of the variants  
193 had a limited effect on the functional conservation (**Fig. 3d**). We further grouped them into 40  
194 sequence classes<sup>28</sup>, and in general, we found that variants in functional features with larger  
195 DeepGCF scores showed the stronger effects on the functional conservation between species  
196 (**Fig. 3e**). Promoter and CTCF were more sensitive to variants than other sequence classes. Of  
197 note, the average DeepGCF score of CTCF is lower than that of promoter, but it is much more  
198 sensitive to genetic mutations regarding the functional conservation, indicating that the genetic  
199 disruption of CTCF binding sites (chromatin conformation) may cause strong impacts on

200 functional genome evolution between species by altering the genome topology and consequently  
201 the gene expression<sup>32,33</sup>.

202

### 203 **DeepGCF captures the evolutionary characteristics of regulatory elements**

204 To investigate the functional conservation of distinct regulatory elements between pig and  
205 human, we first examined the DeepGCF score of 15 chromatin states predicted from 14 pig  
206 tissues and 12 human tissues using ChromHMM<sup>19</sup>. We found that strongly active promoters  
207 showed the highest DeepGCF scores (i.e., the strongest functional conservation), followed by  
208 poised transcription start site (TSS), chromatin states proximal to TSS, enhancers, and finally  
209 repressed Polycomb (**Fig. 4a**). This was consistent between human and pig, which agrees with  
210 the conservation properties of regulatory elements reported in the previous studies<sup>19,34</sup>. As  
211 chromatin states that play important roles in determining the cellular functions may vary among  
212 different tissues, we identified strongly active promoters and enhancers that were specific in each  
213 of 12 human tissues and 14 pig tissues. Compared to promoters and enhancers shared across all  
214 the tissues, tissue-specific ones showed significantly lower DeepGCF scores in both species  
215 (Mann–Whitney U test  $P < 2.2\text{e-}16$ ), indicative of their faster evolutionary rate (**Fig. 4b**). Among  
216 eight common tissues between human and pig, we found that adipose had the strongest  
217 functionally conserved promoters in both human and pig, followed by spleen, lung, cortex, liver,  
218 and finally stomach (**Supplementary Fig. 4a**). This result suggests pigs could be a good model  
219 animal for studying human obesity and metabolic traits<sup>19</sup>. However, the tissue-conservation  
220 patterns of enhancers were different from those of promoters and were not consistent between  
221 species (**Supplementary Fig. 4b**).

222 We further investigated the DeepGCF score on human promoters and enhancers annotated by  
223 Sei<sup>28</sup>. We linked a promoter to its potential target gene and then ranked genes with the DeepGCF  
224 scores of their promoters (from largest to smallest). We found that top 5% of genes were  
225 significantly enriched in basic biological processes, such as anatomical structure development  
226 and organ morphogenesis, whereas bottom 5% of genes were significantly enriched in  
227 biosynthetic and metabolic process (**Supplementary data 10 and 11**). In addition, we ranked  
228 enhancers according to their own DeepGCF scores and investigated the function of top 5% and  
229 bottom 5% enhancers. Unlike promoters, top 5% of enhancers exhibited the most significant  
230 enrichment in metabolic processes, while bottom 5% of enhancers were significantly enriched in  
231 organ growth and development (**Supplementary data 12 and 13**). In general, we found that  
232 promoters and enhancers with a higher DeepGCF score were enriched in much more biological  
233 processes compared to those with a lower DeepGCF score (**Fig. 4c, d**), which indicates that  
234 functionally conserved regions between species tend to be the hotspot of regulatory activities.

235

### 236 **DeepGCF provide insight into the functional conservation of regulatory variants**

237 To explore the functional conservation of regulatory variants, we systematically examined  
238 expression QTLs (eQTLs) and splicing QTLs (sQTLs) falling in the orthologous regions in 54  
239 human tissues and 35 pig tissues, respectively. In general, DeepGCF scores of eQTLs and sQTLs  
240 were significantly (Mann–Whitney U test  $P < 2.2\text{e-}16$ ) higher than the genome background  
241 across all the tissues in both human and pig (**Fig. 5a; Supplementary Figs. 5 and 6**), which  
242 suggests that regulatory variants are functionally conserved between species<sup>35,36</sup>. Of note, sQTLs  
243 showed a higher DeepGCF score than eQTLs in both species (Mann–Whitney U test  $P < 1\text{e-}8$ ),  
244 probably due to their larger impacts on the transcriptome function (underlying a stronger

245 purifying selection). This is consistent with previous findings that sQTLs were more likely to be  
246 enriched in 5'UTR than eQTLs (GTEx, 2020), and 5' UTR is the most functionally conserved  
247 genomic features (**Fig. 2c**). We further observed that eGenes associated with eQTLs having a  
248 larger absolute effect on the gene expression had a lower DeepGCF score in both species (**Fig.**  
249 **5b**), which suggests that orthologous regions with smaller regulatory effects are more likely to be  
250 functionally conserved between species, probably due to the stronger purifying selection  
251 underlying them<sup>37</sup>. Moreover, regulatory variants influencing more tissues showed higher  
252 DeepGCF scores (i.e., more functionally conserved), consistent in human and pig (**Fig. 5c, d**). In  
253 addition, the tissue-sharing pattern of orthologous eGenes (PCC = 0.38, *P* value < 2.2e-16) and  
254 sGenes (PCC = 0.45, *P* value < 2.2e-16) were positively correlated between human and pig.  
255 Altogether, these results indicate that regulatory variants controlling transcriptome function in  
256 more tissues tend to be more functionally conserved between species.

257 We then investigated the DeepGCF scores of 105,461 pathological and likely pathological  
258 SNPs obtained from the ClinVar database<sup>38</sup>. A total 98.6% of these SNPs were in the human-pig  
259 orthologous regions, consistent with a previous finding that reported more than 98% of  
260 pathological variants of Mendelian diseases located in human-mouse orthologous regions<sup>39</sup>.  
261 Compared to random orthologous regions, these pathological SNPs were significantly more  
262 functionally conserved (Mann–Whitney U test *P* < 2.2e-16; **Fig. 6a**). Like orthologous SNP, we  
263 classified the ClinVar SNP into eight sequence class categories<sup>28</sup> and conducted an *in silico*  
264 mutagenesis analysis to predict their impact on the functional conservation. Overall, the average  
265 magnitude of variant effect (measured by  $|\Delta\text{DeepGCF}|$ ) for pathological and likely pathological  
266 mutations is 1.5 times larger than that for random orthologous SNPs (0.0088 versus 0.0058,  
267 Mann–Whitney U test *P* < 2.2e-16). In most of cases, the DeepGCF score did not change much

268 after genetic mutations, but the variance of  $\Delta$ DeepGCF showed a bell-shaped curve regarding the  
269 original DeepGCF score, indicating that SNPs with a medium-high DeepGCF (50<sup>th</sup> to 80<sup>th</sup>  
270 percentile) were more sensitive to pathological mutations than those with lower or higher  
271 DeepGCF (**Fig. 6b**). This suggests that the most functionally conserved regions (> 90<sup>th</sup>  
272 percentile) are more tolerable of mutations than less conserved ones (50<sup>th</sup> to 80<sup>th</sup> percentile).  
273 Most of the ClinVar SNPs were classified as transcription (51.2%), followed by enhancer  
274 (16.4%), Polycomb (14.8%), promoter (8.8%), transcription factor (3.3%), and CTCF (2.2%);  
275 **Fig. 6c**). Among the ClinVar SNPs with top 5% of  $|\Delta$ DeepGCF| (> 0.03), there were more SNPs  
276 relevant to a decreased DeepGCF (54.4%) than an increased one (45.6%). Moreover, 9 out of 10  
277 ClinVar SNPs with the largest effect on DeepGCF were relevant to a decreased DeepGCF (**Fig.**  
278 **6c**). In summary, pathological and likely pathological SNPs are located in functionally more  
279 conserved regions, and their impact on functional conservation tends to be related to a decreased  
280 functional conservation between human and pig.

281

## 282 **Application of DeepGCF on gene mapping and prediction for human complex traits**

283 To investigate whether DeepGCF scores could advance our understanding of the evolutionary  
284 basis of complex traits/diseases in human, we conducted a heritability partitioning analysis used  
285 the functionally conserved genomic regions (top 5% DeepGCF scores) as a functional  
286 annotation, along with 97 existing annotations from the baseline model of LDSC<sup>40,41</sup>, to analyze  
287 the GWAS summary statistics from 80 human complex traits/diseases (**Supplementary Data**  
288 **14**). We found that regions with higher DeepGCF scores explained more heritability of complex  
289 traits/diseases (**Fig. 7a**). The heritability of eight complex traits was significantly enriched in  
290 functionally conserved regions, with the most enrichment found for coxarthrosis (enrichment =

291 3.5, FDR = 0.032), followed by varicose veins, height, hypertension, primary hypertension,  
292 waist-hip ratio, weight, and BMI (**Supplementary Data 15; Fig. 7b**). Furthermore, we took  
293 these eight traits as examples to explore whether DeepCGF can help us with fine-mapping of  
294 causal variants. By using functionally conserved regions (top 5% of DeepCGF) as a biological  
295 prior in the PolyFun + SuSiE model<sup>42</sup>, we detected 33, 22, and 17 additional putative causal  
296 variants (PIP > 0.95 and  $P < 5e-8$ ) compared to the SuSiE model only without any priors in  
297 height, BMI and weight, respectively (**Fig. 7c**, Supplementary Data 16). We further incorporated  
298 DeepCGF in SBayesRC<sup>43</sup> model to conduct polygenic score prediction for 20 human complex  
299 traits (**Supplementary Data 17**). On average, the relative prediction accuracy increased by  
300 0.56% (**Fig. 7d; Supplementary Data 18**), and the largest increase was observed on waist-hip  
301 ratio (3.5%), followed by body weight (1.7%). Altogether, our results showed that DeepGCF  
302 provide additional insights into the genetic and evolutionary basis of complex phenotypes.

303

304 **Discussion**

305 In this study, we developed a two-step neural network approach, DeepGCF, to evaluate the  
306 genomic conservation at the functional level between human and pig. DeepGCF shares a similar  
307 model structure as LECIF<sup>22</sup> in the evaluation of functional conservation by comparing the  
308 epigenome and gene expression profiles of orthologous regions between two species. But instead  
309 of using binary epigenome profiles as the direct inputs, DeepGCF first predicts their functional  
310 effects (i.e., the continuous probability score of each epigenome binary feature) using  
311 DeepSEA<sup>25</sup>, and then use them as the input to predict the functional conservation between  
312 species. Compared to the LECIF approach, DeepSEA showed a better performance in the  
313 ortholog prediction, probably due to a higher resolution of the model input. Similar to LECIF, we

314 found that the performance of DeepGCF was not sensitive to the number of functional features,  
315 indicating that DeepGCF could be applied on other species where functional features are not  
316 abundant.

317 We demonstrated that functional conservation is different from sequence conservation. The  
318 relationship between DeepGCF and PhyloP scores confirms the U shape relationship between  
319 functional and sequence conservation. By examining DeepGCF on chromatin states, sequence  
320 ontologies, and regulatory variants, we verified that DeepGCF captures the functional  
321 conservation of genome, and regions with higher DeepGCF play a more important role in  
322 regulatory activities. We thereby expected DeepGCF to be useful in explaining complex traits  
323 and diseases. The heritability enrichment and polygenic prediction accuracy brought by  
324 functionally conserved regions were limited, this may because we only considered functional  
325 conservation between human and pig compared to sequence conservation which were obtained  
326 based on over 100 species<sup>44</sup>. With the increasing amount of epigenome and gene expression data  
327 in other species in the near future, we could identify the core functionally conserved regions by  
328 expanding the DeepGCF model structure to integrate functional profiles from multiple species.  
329 Another limitation is that the functional conservation of the same sequence segment in different  
330 tissues and cell types should be conceptually different, which could not be distinguished by the  
331 current DeepGCF score. One ideal way to obtain tissue- and cell-type- specific DeepGCF scores  
332 is to train a different model on each tissue and cell type using the respective data. However, the  
333 current volume of functional profiles, particularly in pig, does not support the development of  
334 tissue- and cell-type- specific DeepGCF models.

335 Despite the limitations, the DeepGCF approach shows a promising application on the  
336 comparison of cross-species functional conservation. The model framework can be easily

337 adapted to other species. Our future work will focus on expanding the model to the comparison  
338 of multiple species, including human, mouse, pig, cattle, and other livestock animals. The  
339 functional conservation information among different species will provide additional insight into  
340 the genetic and evolutionary mechanisms behind complex traits and diseases, analogous to the  
341 sequence conservation among vertebrate animals provided by such as PhyloP score.

342

### 343 **Methods**

344 **Genome alignment.** We used the chained and netted alignments of human (GRCh38) and pig  
345 (susScr11) genome assemblies from the UCSC genome browser<sup>45</sup>. The assemblies were aligned  
346 by the lastz alignment program<sup>46</sup> using human as the reference.

347 **Model inputs.** We divided the whole-genome alignment between human and pig into non-  
348 overlapping 50-bp regions within each alignment block, resulting in 38,961,848 orthologous  
349 pairs. If an alignment block ended shorter than a 50-bp window, the window was truncated to the  
350 end of the block, which resulted in some regions smaller than 50 bp. For each orthologous pair,  
351 we collected the corresponding functional features, including chromatin accessibility measured  
352 by Assay for Transposase-Accessible Chromatin (ATAC-seq), histone modifications measured  
353 by Chromatin Immunoprecipitation sequencing (ChIP-seq), chromatin state annotations  
354 (ChromHMM), and gene expression measured by RNA-seq for human and pig from public  
355 resources, including ENCODE<sup>14</sup> and public literatures<sup>19,20</sup>. We only collected the functional data  
356 at the tissue level for human, and merged those of the same data type from the same tissue, so  
357 that the total number of human features were close to pig. For human, there were 604 ChIP-seq  
358 and ATAC-seq files merged into 129 features, 12 ChromHMM files of 15 chromatin states (12 ×  
359 15 = 180 features), and 77 RNA-seq features, which resulted in 386 functional annotations. For

360 pig, there were 287 ChIP-seq and ATAC-seq files merged into 84 features, 14 ChromHMM files  
361 of 15 chromatin states ( $14 \times 15 = 210$  features), and 80 RNA-seq features, which resulted in 374  
362 functional annotations. Details of features from each data type are reported in Supplementary  
363 Data 1–6.

364 **Prediction of binary functional features based on DeepSEA.** We trained two DeepSEA  
365 models to predict the binary functional features, including ATAC-seq, ChIP-seq and chromatin  
366 state annotations, of human and pig using the PyTorch-based package, Selene<sup>47</sup>. We used the  
367 peak calls of ATAC-seq and ChIP-seq, and one-hot encoded chromatin state annotations as the  
368 training input. We then trained the model based on a sequence region of 1,000 bp, and the feature  
369 must take up 50% of the center bin (200 bp) for it to be considered a feature annotated to that  
370 sequence. All the hyperparameters were set as default (Supplementary Data 19). We created a  
371 validation set using the data from chromosomes 6 and 7 for early stopping during training, a test  
372 set using the data from chromosomes 8 and 9 for the generation of the receiver operating  
373 characteristic (ROC) and precision-recall (PR) curves, and a training set using the rest data. We  
374 then predicted the probability of each binary feature using the trained model for the first base of  
375 all the paired regions that were at most 50 bp.

376 **Data subsets for training and evaluation.** We divided the entire data into the training,  
377 validation, and prediction sets based on the chromosome number. To predict the DeepGCF score  
378 of human regions from even and X chromosomes (prediction set), and the corresponding paired  
379 pig regions, we trained a DeepGCF model based on paired regions from a subset of odd  
380 chromosomes of human and pig. We created a validation set also from another subset of odd  
381 chromosomes (not overlapping with the training set) for the hyper-parameter tuning and early  
382 stopping during training. We used a subset of the test set to generate the ROC and PR curves. To

383 predict the DeepGCF score of human regions from odd chromosomes and the corresponding  
384 paired pig regions, we created training and validation set similarly as above, except from even  
385 chromosomes. We excluded Y and mitochondrial chromosomes in this study. Detailed division  
386 of each set is shown in Supplementary Data 20.

387 **DeepGCF training.** Before training the DeepGCF model, we first randomly paired up the  
388 human-pig orthologous regions to get the same number of non-orthologous pairs in the training  
389 set. We then trained the DeepGCF model with a pseudo-Siamese architecture as the LECIF  
390 model<sup>22</sup>. In our pseudo-Siamese neural network, for each orthologous/non-orthologous pair, two  
391 input vectors containing the human and pig binary features (probabilities between 0 and 1)  
392 predicted from DeepSEA and normalized RNAseq data (also between 0 and 1) were connected  
393 to the human and pig subnetworks, respectively (Fig. 1). We performed a natural logarithm  
394 transformation on RNAseq data given the large range before normalizing. The two subnetworks  
395 were then fully connected to a final subnetwork, which generated the output prediction. We  
396 weighted non-orthologous pairs 50 times more than orthologous ones during the training process.

397 We conducted a random grid search for hyper-parameters, including number of layers in each  
398 subnetwork and the final subnetwork, number of neurons in each layer, learning rate, batch size,  
399 and dropout rate. We generated 100 combinations of hyper-parameters randomly selected from  
400 the candidate parameter pool (Supplementary Data 21), using each combination to train a  
401 DeepGCF model based on the same random subset of 1 million aligned and 1 million unaligned  
402 human-pig pairs from the training set. We then selected the combination of hyper-parameters  
403 that maximized the AUROC on the validation set to train the final model based on the whole  
404 training set. We stopped training if there was no improvement in AUROC over three epochs on

405 the validation set for both hyper-parameter search and training, otherwise the training stopped  
406 when reaching the maximum number of epochs, which was set to be 100.

407 **Human-pig orthologous SNPs.** In total 73,257,633 human biallelic SNPs (GRCh38) were  
408 obtained from 1,000 Genome Project<sup>31</sup>. Their positions were lifted to corresponding orthologous  
409 positions in the pig genomes (SusScr11) using the UCSC liftover utility with chain files available  
410 from the UCSC website<sup>45</sup>, which resulted in 35,575,835 orthologous SNPs.

411 **Function enrichment.** To explore the Gene Ontology terms of genomic regions (e.g.,  
412 enhancers), we used the GREAT tool<sup>48</sup> with default parameters and a cut-off of  $FDR < 0.05$  for  
413 both the binomial and the hypergeometric distribution-based tests.

414 **Tissue specific chromatin state.** For each chromatin state, we first used the merge function of  
415 BEDtools<sup>49</sup> to merge any regulatory regions between two tissues overlapping by at least 1 bp  
416 across all tissues. Then for strongly active enhancer and promoter in each tissue, if a region is  
417 active in only one tissue and does not overlap with any active regions in other tissues, we define  
418 the region as tissue specific regulatory element. If a region is active in all tissues (i.e., overlaps  
419 across all tissues), we define the region as “all common” regulatory element.

420 **Tissue-sharing of e/sGene.** To explore how e/sGenes are shared across all tissues, we performed  
421 the meta-analysis of e/sGenes using MashR (v0.2.57)<sup>50</sup>. We used the slope and the standard error  
422 of slope of top e/sQTL of genes (missing slopes were set to be 0 with standard error of 1) across  
423 49 tissues from GTEx (v8)<sup>23</sup> for human and 34 tissues from PigGTEx databases<sup>24</sup> for pig as the  
424 input. We then obtained the estimate of effect size and the corresponding significance (local false  
425 sign rate, LFSR) from the mash function. An e/sGene was considered active in a tissue if LFSR  
426  $< 0.05$ .

427 **DeepGCF score for genes.** We obtained the gene boundaries of human and pig genes from  
428 Ensembl release 107 (GRCh38 for human and Sscrofa11 for pig), and extended them by 35 kb  
429 upstream and 10 kb downstream to include probable cis-regulatory regions<sup>51</sup>. We then compute  
430 the DeepGCF score for genes based on the average score of all orthologous regions overlapping  
431 with the gene and the extended regions. For human genes linked to promoter sequence class, we  
432 identified a promoter's potential target gene if the distance between the promoter and the TSS of  
433 a gene is less than 2 kb, yielding a total of 12,044 promoter-gene pairs.

434 **Heritability partitioning analysis.** We collected the GWAS summary statistics of 80 human  
435 complex traits from the UK Biobank and public literatures (Supplementary Data 14). We ran the  
436 LD-score regression software ldsc (v1.0.1)<sup>41</sup> to partition the heritability based on two sets of  
437 annotations: 1) one binary annotation of functionally conserved regions (top 5% of DeepGCF)  
438 and 2) five binary annotations dividing the top 5% DeepGCF into 5 equal-width bins based on  
439 percentiles. Both sets of annotations were analyzed with a baseline including 97 annotations<sup>40</sup>.  
440 Heritability enrichment was calculated as the proportion of trait heritability contributed by SNPs  
441 in the annotation over the proportion of SNPs in that annotation.

442 **Fine-mapping analysis.** We first used PolyFun<sup>42</sup> to compute SNP prior causal probabilities  
443 based on the annotation of functional conservation (top 5% DeepGCF). These prior causal  
444 probabilities were then used as priors in SuSiE<sup>52</sup> for the fine-mapping analysis. To compare fine-  
445 mapping using functional conservation as prior with not using it, we also performed a fine-  
446 mapping analysis using SuSiE alone, which only took LD information into account. A SNP is  
447 identified to be putative causal if the posterior causal probability (PIP) is greater than 0.95 and  
448 the *P*-value in GWAS is smaller than 5e-8.

449 **Polygenic prediction.** We incorporated functional conservation as a prior in polygenic  
450 prediction using the software SBayesRC<sup>43</sup>. The GWAS summary statistics of 20 complex traits  
451 from UK Biobank (Supplementary Data 17) were analyzed using ~7 million common SNPs with  
452 and without one annotation of functional conservation (top 5% DeepGCF). To compare the  
453 prediction accuracy, we partitioned the total sample into ten equal-sized disjoint subsamples. For  
454 each fold, we retained one subsample as the validation set and other remaining nine subsamples  
455 as the training set. We calculated the polygenic score (PGS) using genotypes from an  
456 independent validation set in each fold and obtained the prediction  $R^2$  from linear regression of  
457 true phenotype on the PGS. We then calculated the relative prediction accuracy by  $(R_0^2 - R_D^2) / R_0^2$ , where  $R_0^2$  is the prediction  $R^2$  without any priors, and  $R_D^2$  is the prediction  $R^2$  using  
458 functional conservation as a prior.  
459

460

## 461 **Data availability**

462 The DeepGCF score for human-pig orthologous regions are publicly available for download  
463 without restrictions from <https://github.com/liangend/DeepGCF>. All epigenomic and gene  
464 expression data used for model training can be found in Supplementary data 1–6. Orthologous  
465 SNPs between human and pig are from the 1,000 Genome Project  
466 ([http://ftp.1000genomes.ebi.ac.uk/vol1/ftp/data\\_collections/1000\\_genomes\\_project/release/2018\\_1203\\_biallelic\\_SNV](http://ftp.1000genomes.ebi.ac.uk/vol1/ftp/data_collections/1000_genomes_project/release/2018_1203_biallelic_SNV)). GWAS summary statistics used for LDSC analysis are from UK Biobank  
467 (<http://www.ukbiobank.ac.uk>), with details showing in Supplementary data 14. Summary  
468 statistics and genotype used for polygenic score prediction from UK Biobank  
469 (<http://www.ukbiobank.ac.uk>) are available through formal application.  
470

471

472 **Code availability**

473 The code of DeepGCF is available at <https://github.com/liangend/DeepGCF>.

474

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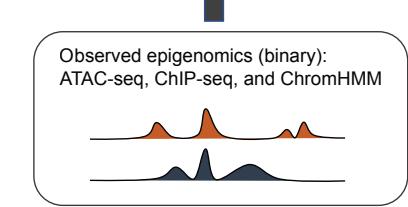
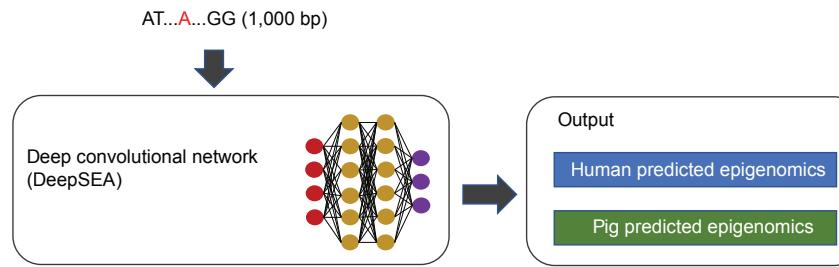
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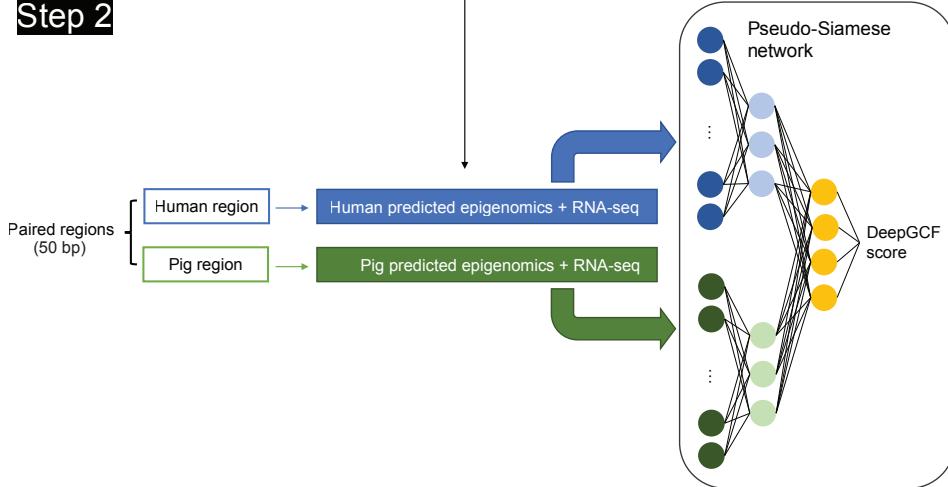
593 **Figures and legends**

**a**

**Step 1**



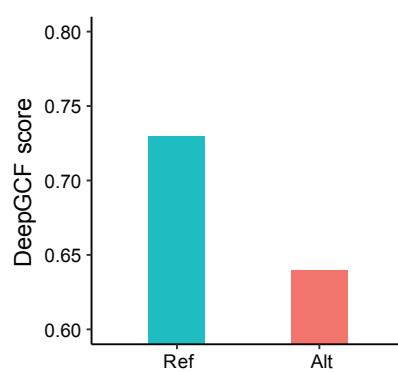
**Step 2**



**b**

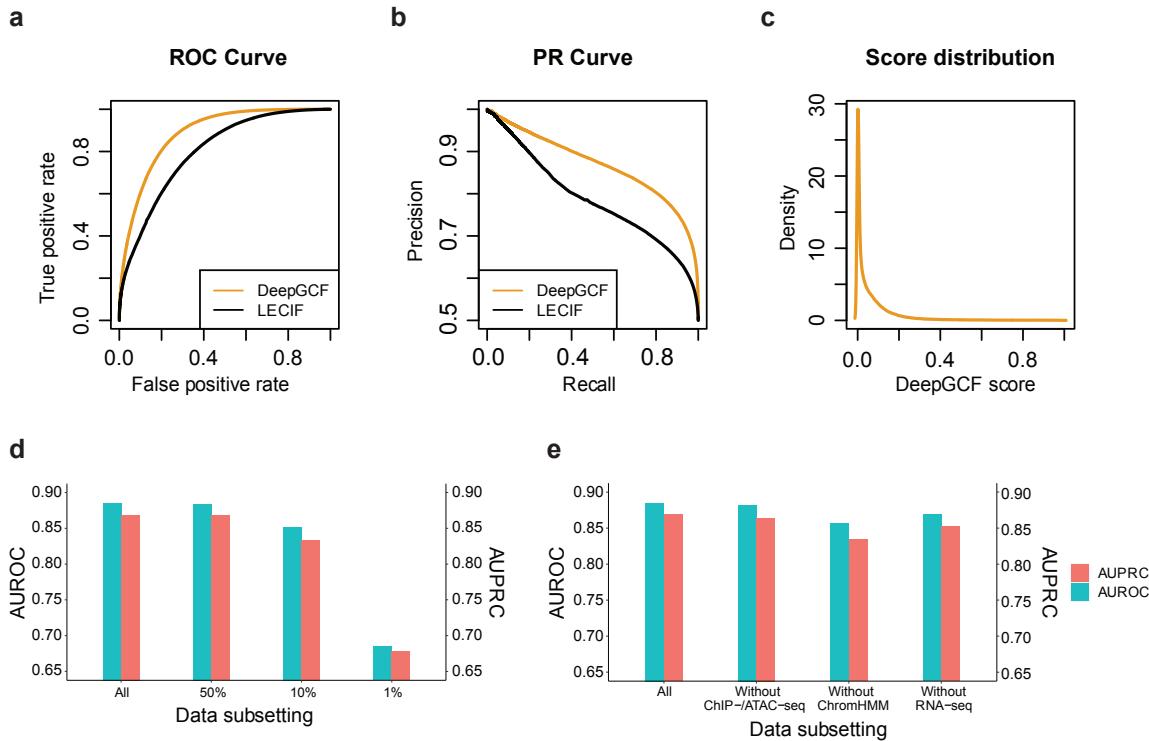
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Alt allele: ...ATCC**G**GGACC...

Prediction



595 **Fig. 1 Overview of the DeepGCF model.** **a** The learning procedure of DeepGCF model consists  
596 of two steps. The first step is to train DeepSEA models in human and pig separately to transform  
597 the binary functional features (e.g., peaks called from ATAC-seq and ChIP-seq, and chromatin  
598 states predicted from ChromHMM) to continuous values by predicting the functional effects of  
599 single nucleotides through centering the target nucleotide at a genomic region of 1,000 bp. The  
600 second step is to train a pseudo-Siamese network for predicting whether the paired human-pig  
601 regions are orthologous or not using two corresponding vectors of functional effects predicted  
602 from DeepSEA and normalized gene expression as inputs. The output, DeepGCF score, is a  
603 value between 0 and 1 quantifying the functional conservation of the paired human-pig region. **b**  
604 The DeepGCF model can be applied to predict the effect of genome variants on the functional  
605 conservation, quantified by changes in DeepGCF scores.

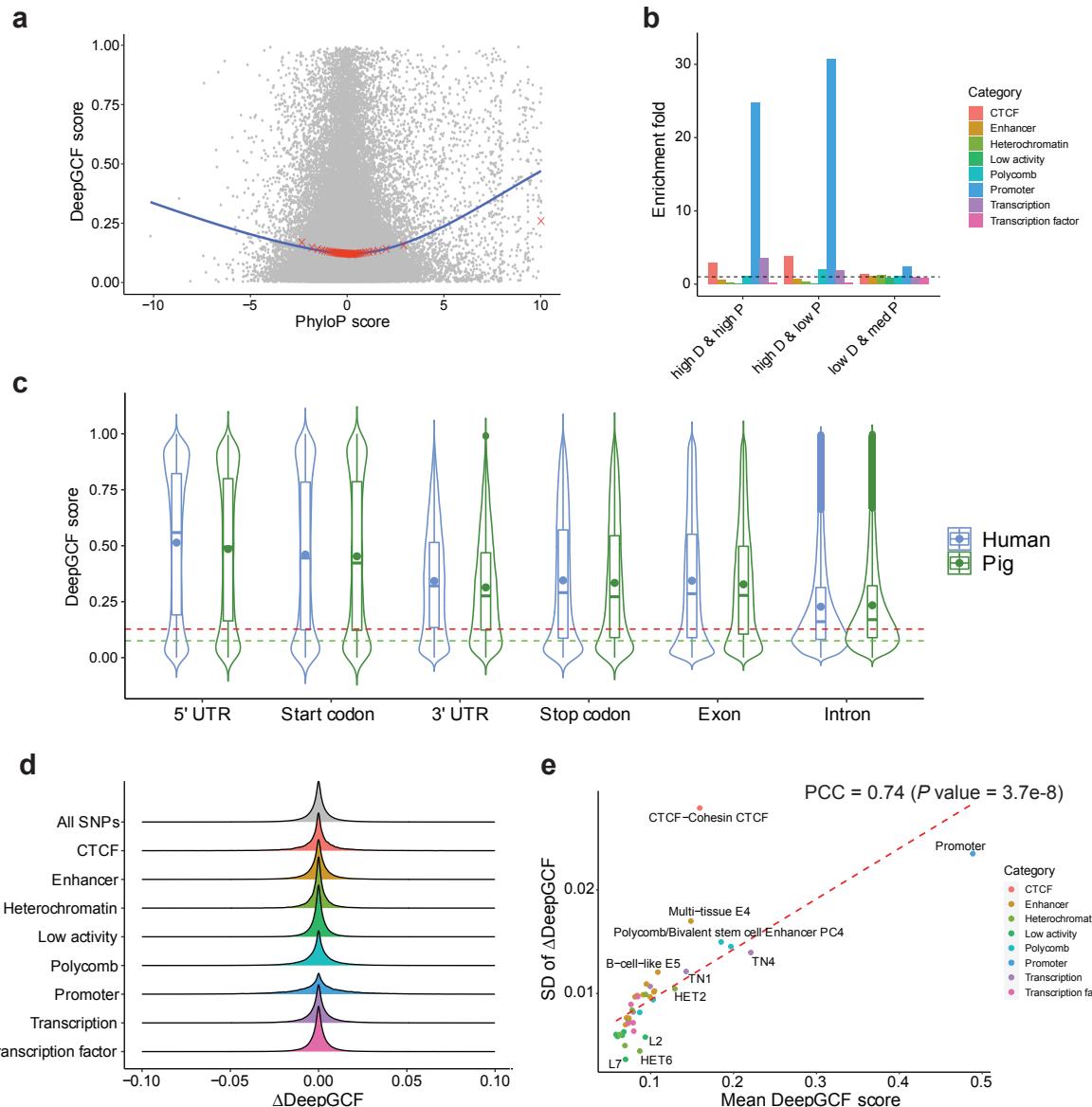
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607

608 **Fig. 2 The performance of DeepGCF under different scenarios** **a** Receiver operating  
609 characteristic (ROC) curves comparing the performance of DeepGCF (this study) and LECIF<sup>22</sup>  
610 methods. The ROC curve of each method is generated by predicting whether 200,000 pairs  
611 randomly selected from the testing set, which included equal number of orthologous and non-  
612 orthologous pairs (e.g., randomly mismatched genomics regions), were orthologous or not. **b**  
613 Precision-recall (PR) curves generated by similar procedures as the ROC curves. **c** DeepGCF  
614 score distribution of all 38,961,848 human-pig orthologues pairs. **d** The areas under receiver  
615 operating characteristic curve (AUROC) and precision-recall curve (AUPRC) of DeepGCF using  
616 all (Human: 386; Pig: 374), ~50% (Human: 192; Pig: 187), ~10% (Human: 52; Pig: 47), and  
617 ~1% (Human: 4; Pig: 4) of human and pig functional features. The subsets of the human and pig  
618 features were randomly selected ~50%, ~10%, ~1% from each of ChIP-/ ATAC-seq,  
619 ChromHMM, and RNAseq profiles. **e** The AUROC and AUPRC of DeepGCF using all

620 functional features (Human: 386; Pig: 374), features without ChIP-/ATAC-seq (Human: 129;  
621 Pig: 84), without ChromHMM (Human: 180; Pig: 210) and without RNA-seq (Human: 77; Pig:  
622 80).  
623

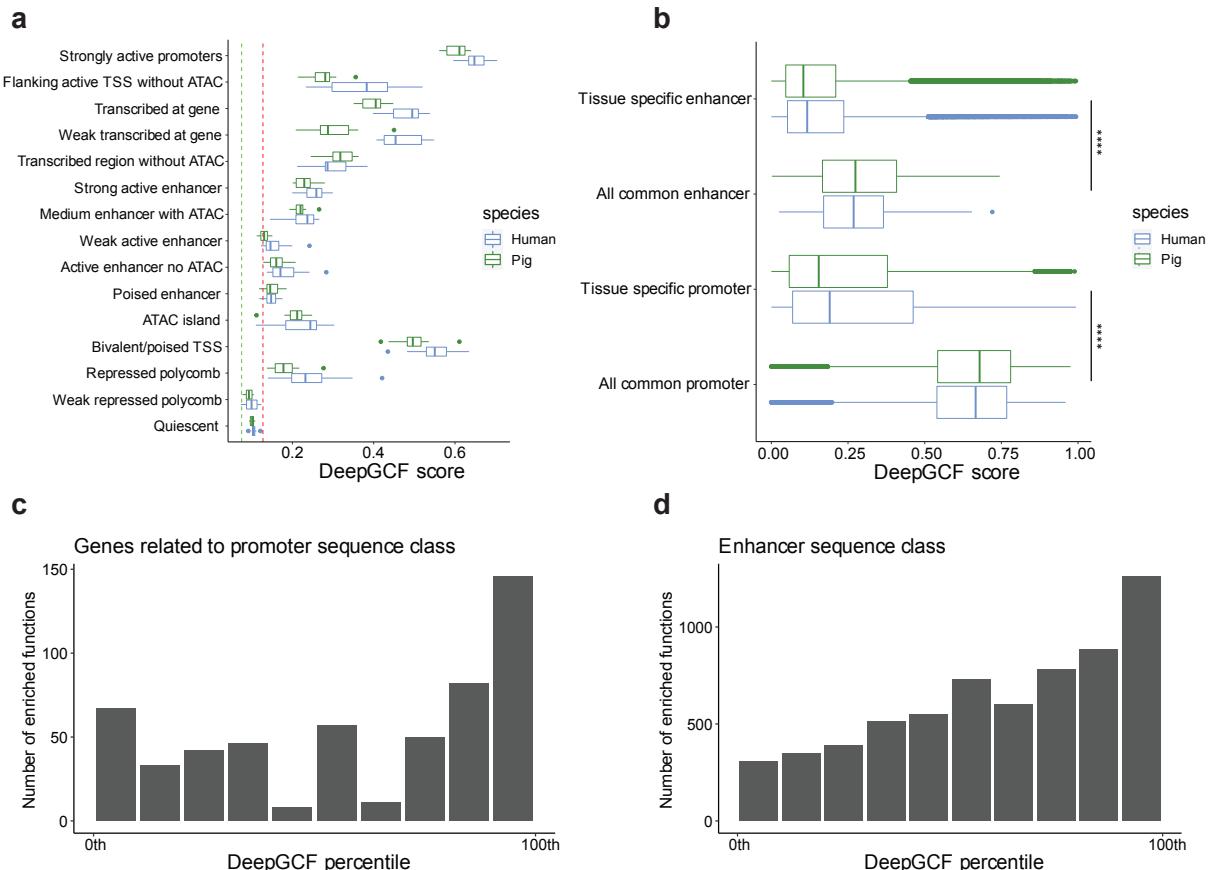


624

625 **Fig. 3 Comparison of functional and sequence conservations. a** Relationship between  
 626 DeepGCF score and PhyloP score of 20,000 randomly selected human regions. PhyloP score is  
 627 based on multiple alignments of 99 vertebrate genomes to the human genome<sup>10</sup>. The blue line is  
 628 the fitted loess regression and red crosses represents 50 equally-divided percentiles of PhyloP  
 629 score corresponding to the average of DeepGCF score. **b** Enrichment fold of 8 sequence class  
 630 categories<sup>28</sup> for regions with high DeepGCF ( $> 95^{\text{th}}$  percentile) and high PhyloP ( $> 95^{\text{th}}$   
 631 percentile; high D & high P;  $n = 260,281$ ), regions with high DeepGCF but low PhyloP ( $< 5^{\text{th}}$

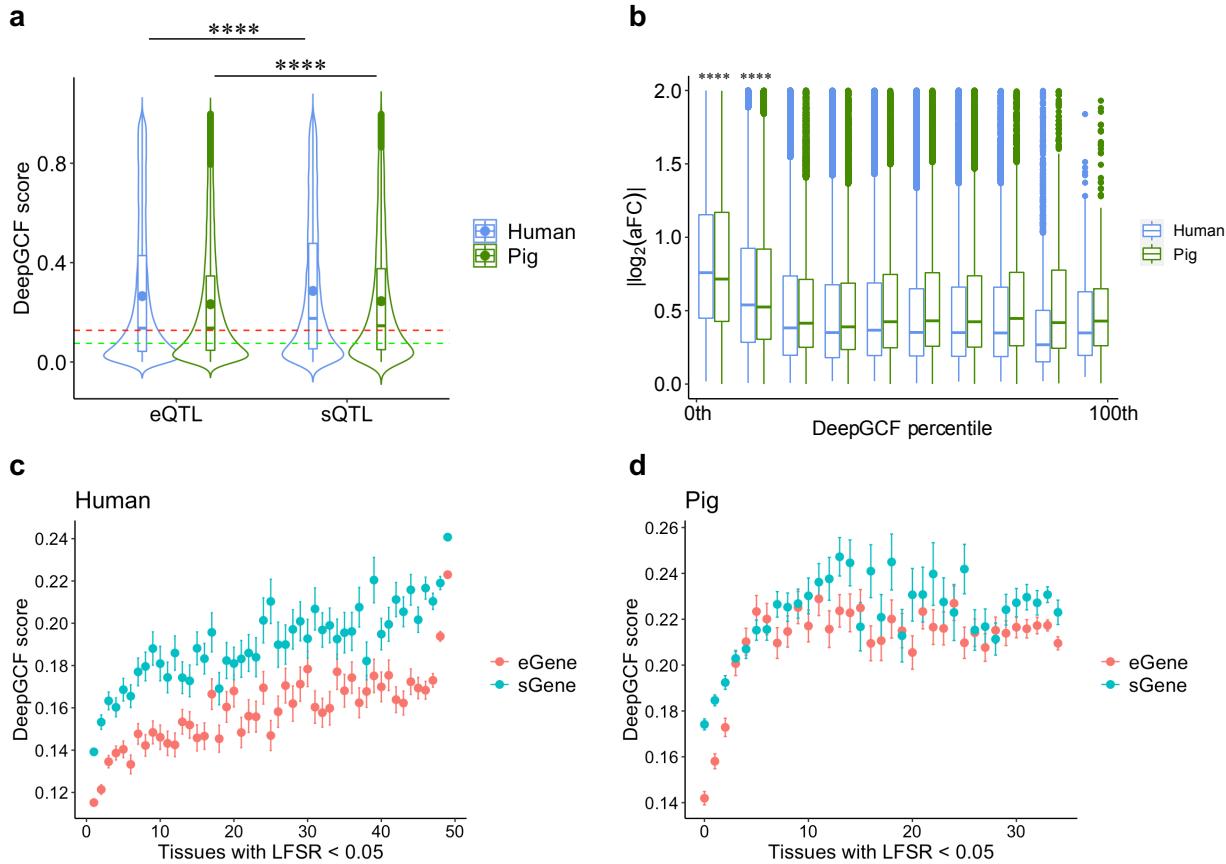
632 percentile; high D & low P;  $n = 152,557$ ), and regions with low DeepGCF ( $< 5^{\text{th}}$  percentile) and  
633 medium PhyloP (between  $47.5^{\text{th}}$  and  $52.5^{\text{th}}$  percentile; low D & med P;  $n = 77,848$ ). Enrichment  
634 is equal to the proportion of a sequence class category for a type of orthologous regions divided  
635 by that for the whole genome. The dashed line (set at 1) represents no enrichment. **c** DeepGCF  
636 score distribution of the different sequence ontologies. The red and green dashed lines represent  
637 the mean and the median DeepGCF score of the whole genome. The dots inside each box  
638 represent the mean DeepGCF score. **d**  $\Delta$ DeepGCF (DeepGCF after mutation – original DeepGCF)  
639 caused by 1,000,000 randomly selected orthologous variants, which are classified into 8 sequence class  
640 categories<sup>28</sup>. The red dashed line represents the fitted regression line. **e** The effect of orthologous  
641 variants ( $n = 35,575,835$ ) on DeepGCF score of regions in 40 sequence classes<sup>28</sup>, which are  
642 classified into 8 categories. The effect was measured by  $\Delta$ DeepGCF for variants in each  
643 sequence class. The SD of  $\Delta$ DeepGCF for each sequence class quantifies the overall sensitivity  
644 of the sequence class to variant effect.

645

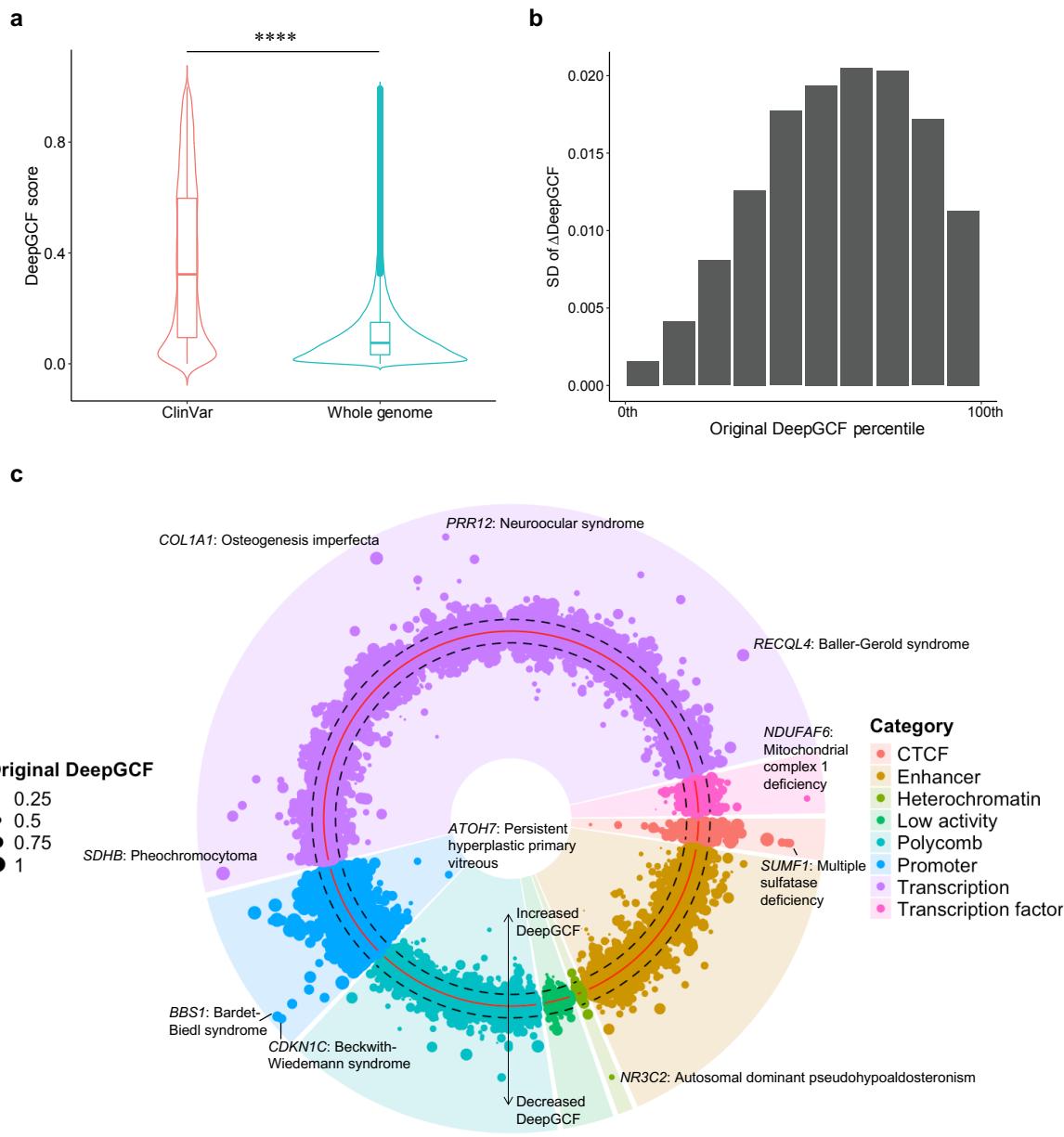


646

647 **Fig. 4 DeepGCF score of genomic regions overlapping with different regulatory elements. a**  
 648 Distribution of average DeepGCF scores across human tissues ( $n = 12$ ) and pig tissues ( $n = 14$ )  
 649 for each chromatin state. The red and green dashed lines represent the mean and the median  
 650 DeepGCF score of the whole genome. **b** DeepGCF scores of genomic regions overlapping with  
 651 tissue-specific strongly active promoter and enhancer for human and pig<sup>19</sup>. “All common”  
 652 represents promoters/enhancers shared across all tissues. \*\*\*\* denotes Mann–Whitney U test  $P <$   
 653 2.2e-16. **c** Number of significantly enriched gene ontology terms for human of genes related to  
 654 promoters annotated by sequence class<sup>28</sup>. The genes were binned by DeepGCF into ten equal-  
 655 width bins, and the functional enrichment analysis was conducted on each bin. **d** Similar to **c**,  
 656 except showing the results of enhancers annotated by sequence class<sup>28</sup>.



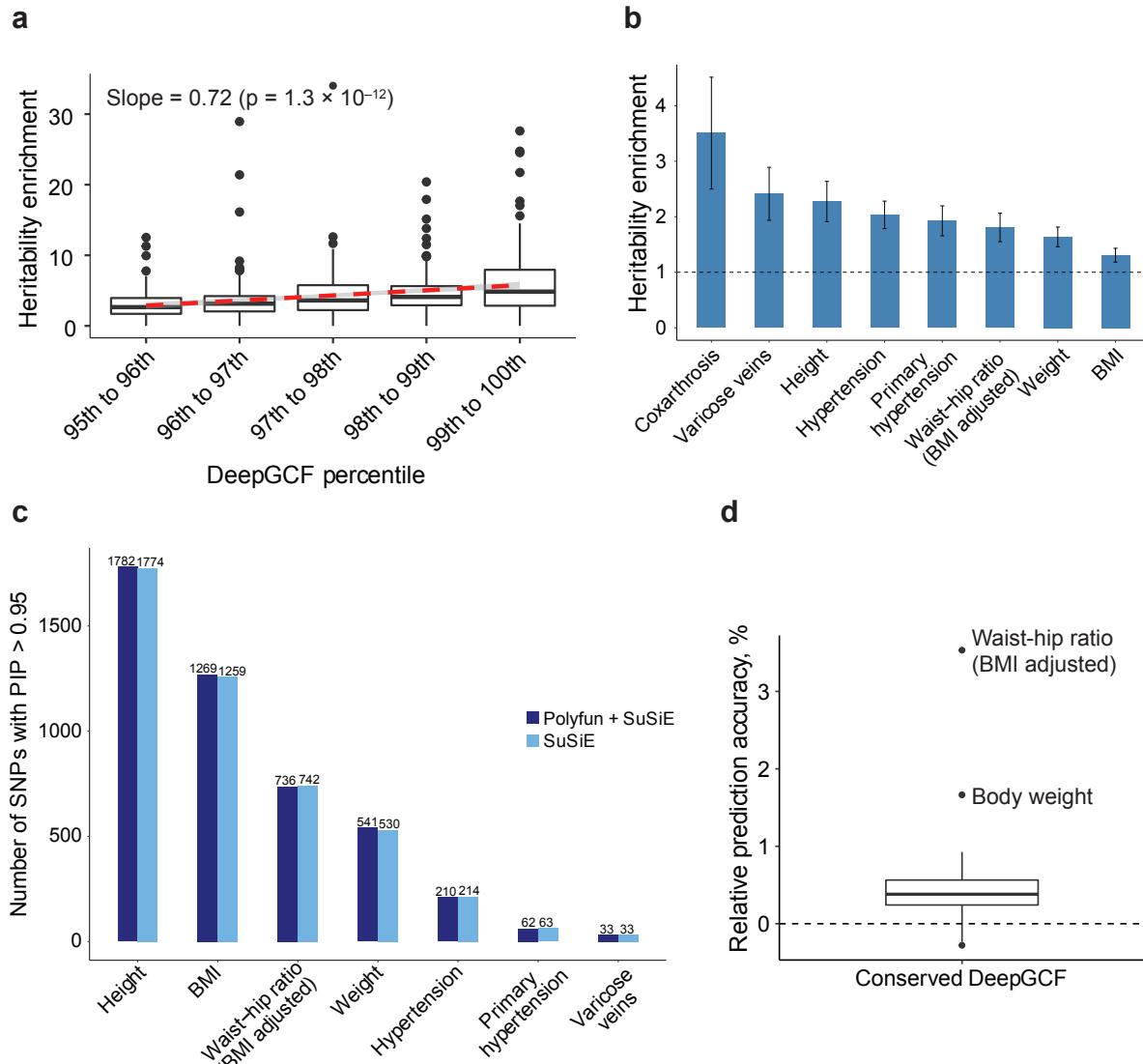
658 **Fig. 5 Relationship of DeepGCF score to genetic variants. a** The distribution of DeepGCF  
659 score of eQTLs and sQTLs. The red and green dashed lines represent the mean and the median  
660 DeepGCF score of the whole genome. The dots inside each box represent the mean DeepGCF  
661 score. \*\*\*\* denotes  $P$  value  $< 1e-8$  based on a two-sided Mann–Whitney U test. **b** Relationship  
662 between the absolute value of eQTL effect size ( $|\log_2(\text{aFC})|$ ) and DeepGCF score for eGenes.  
663 The genes were binned by DeepGCF into ten equal-width bins for human and pig, respectively.  
664 \*\*\*\* denotes the group is different from all other groups with  $P$  value  $< 1e-8$  based on a Tukey  
665 multiple comparison. **c** DeepGCF scores of tissue-sharing e/sGenes from human at local false  
666 sign rate (LFSR)  $< 5\%$  obtained by MashR<sup>50</sup>. **d** Similar to **c**, except showing the results of pig.  
667



668

669 **Fig. 6 Relationship of conservation score to pathogenic variants. a** The distribution of  
 670 DeepGCF scores in pathogenic and likely pathogenic SNPs ( $n = 104,033$ ) obtained from  
 671 ClinVar<sup>38</sup>, compared to the DeepGCF distribution across the whole genome. \*\*\*\* denotes Mann–  
 672 Whitney U test  $P < 2.2\text{e-}16$ . **b** SD of  $\Delta$ DeepGCF (DeepGCF after mutation – original DeepGCF)  
 673 caused by ClinVar SNPs. The SNPs were binned by their original DeepGCF into ten equal-width  
 674 bins. **c** ClinVar SNPs classified by sequence class<sup>28</sup>. A polar coordinate system was used, where

675 the radial coordinate indicates the SNP effect on DeepGCF. The red solid circle represents zero  
676 DeepGCF change, and two dashed circles represent  $\pm 0.03$  of DeepGCF encompassing 95% of  
677 SNPs. Each dot represents a SNP and SNPs inside the red circle were predicted to have positive  
678 effects (increased DeepGCF), while SNPs outside the red circle were predicted to have negative  
679 effects (decreased DeepGCF). Dot size indicates the original DeepGCF. Within each sequence  
680 class, SNPs were ordered by chromosomal coordinates. Top 10 SNPs with large impact on  
681 DeepGCF associated disease and gene names were annotated.



682  
683 **Fig. 7 Application of DeepGCF on complex traits/diseases in human. a** Heritability  
684 enrichment calculated by LDSC for 80 human traits using functionally conserved regions (top  
685 5% DeepGCF). The regions were divided into 5 equal equal-width bins and the heritability  
686 enrichment of all traits was calculated for each bin. The dashed red line is the fitted regression  
687 line between heritability enrichment and DeepGCF percentile, and the grey area is the 95%  
688 confidence interval. **b** Significant heritability enrichment explained by functionally conserved  
689 regions in 8 human traits. **c** The number of putative SNPs (PIP > 0.95 and  $P < 5e-8$ ) identified  
690 by PolyFun + SuSiE using functionally conserved regions as a prior and SuSiE without priors for

691 7 human traits. **d** The relative prediction accuracy of PRS for 20 human complex traits using  
692 functionally conserved regions as a prior in SBayesRC<sup>43</sup>. Relative prediction accuracy is equal to  
693 (prediction accuracy using the prior – prediction accuracy without priors) / prediction accuracy  
694 without priors. A relative prediction accuracy  $> 0$  (dashed line) indicates an accuracy higher than  
695 without priors.