

1 **Title**

2 Water content, transition temperature and fragility influence protection and anhydrobiotic
3 capacity

4 **Authors**

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9 **Abstract**

10 Water is essential for metabolism and all life processes. Despite this, many organisms distributed
11 across the kingdoms of life survive near-complete desiccation or anhydribiosis (Greek for “life
12 without water”). Increased intracellular viscosity, leading to the formation of a vitrified state is
13 necessary, but not sufficient, for survival while dry. What properties of a vitrified system make it
14 desiccation-tolerant or -sensitive are unknown. We have analyzed 18 different *in vitro* vitrified
15 systems, composed of one of three protective disaccharides (trehalose, sucrose, or maltose) and
16 varying amounts of glycerol, quantifying their enzyme-protective capacity and their material
17 properties in a dry state. We find that protection conferred by mixtures containing maltose
18 correlates strongly with increased water content, increased glass-transition temperature, and
19 reduced glass former fragility, while the protection of glasses formed with sucrose correlates with
20 increased glass transition temperature and the protection conferred by trehalose glasses correlates
21 with reduced glass former fragility. Thus, *in vitro* different vitrified sugars confer protection
22 through distinct material properties. Extending on this, we have examined the material properties
23 of a dry desiccation tolerant and intolerant life stage from three different organisms. In all cases,
24 the dried desiccation tolerant life stage of an organism had an increased glass transition
25 temperature relative to its dried desiccation intolerant life stage, and this trend is also seen in all
26 three organisms when considering reduced glass former fragility. These results suggest that while
27 drying of different protective sugars *in vitro* results in vitrified systems with distinct material
28 properties that correlate with their enzyme-protective capacity, in nature organismal desiccation
29 tolerance relies on a combination of these properties. This study advances our understanding of
30 how protective and non-protective glasses differ in terms of material properties that promote
31 anhydribiosis. This knowledge presents avenues to develop novel stabilization technologies for
32 pharmaceuticals that currently rely on the cold-chain.
33

34 **1.1 Statement of significance**

35 For the past three decades the anhydribiosis field has lived with a paradox, while vitrification is
36 necessary for survival in the dry state, it is not sufficient. Understanding what property(s)
37 distinguishes a desiccation tolerant from an intolerant vitrified system and how anhydrobiotic
38 organisms survive drying is one of the enduring mysteries of organismal physiology. Here we
39 show *in vitro* the enzyme-protective capacity of different vitrifying sugars can be correlated with
40 distinct material properties. However, *in vivo*, diverse desiccation tolerant organisms appear to
41 combine these material properties to promote their survival in a dry state.
42

43 **2.1 Keywords**

44 Vitrification, glass fragility, glass transition temperature, desiccation tolerance, anhydrobiosis,
45 maltose, sucrose, trehalose, water retention.

46 **3.1 Highlights**

47

- 48 The enzyme-protective capacities of different glass forming sugars correlate with distinct
material properties.
- 49 Material properties of dried anhydrobiotic organisms differ dramatically when examined
50 in desiccation tolerant and intolerant life stages.
- 51 Organismal desiccation tolerance is concomitant with changes in glassy properties
52 including increased glass transition temperature and reduced glass former fragility.

53 **4.1 Introduction**

54 Water is required for metabolism and so is often considered essential for life. However, a number
55 of organisms, spread across every biological kingdom, are capable of surviving near-complete
56 water loss through a process known as anhydrobiosis (Greek for “life without water”) [1]. As
57 organisms dry, they face a number of physical and chemical changes to their cellular environment
58 [1,2]. As water is lost, cellular constituents are concentrated, molecular crowding increases, pH
59 and ionic concentrations change, and osmotic pressure increases [2]. These physiochemical
60 changes lead to detrimental perturbations such as protein unfolding, aggregation, and membrane
61 leakage [2]. Importantly, drying is not an all-or-nothing process and these changes as well as the
62 perturbation they induce, occur along a continuum, with some perturbations occurring earlier as
63 an organism is dehydrating while others manifest later, once more substantial amounts of water
64 have been lost [1–4]. How organisms survive desiccation is one of the enduring mysteries of
65 organismal physiology.

66 Historically, anhydrobiosis has been thought to be mediated, at least in part, through the
67 concentration of cellular constituents until these constituents solidify into a vitrified material (a
68 glass). In this hypothesis, known as the ‘vitrification hypothesis,’ glasses slow physical and
69 biochemical change, making them natural promoters of desiccation tolerance. Within the
70 anhydrobiosis field, vitrification is considered a necessary process for desiccation tolerance [5–
71 8].

72 However, a major shortcoming of the vitrification hypothesis has been known for decades,
73 namely the observation that essentially every biological, or sufficiently heterogeneous, system will
74 vitrify when dried, regardless of whether it is desiccation-tolerant or -sensitive [1,5–7]. This
75 observation implies that while vitrification is necessary, it is not sufficient for desiccation tolerance
76 and that there must be some property, or properties, that distinguishes a protective from a non-
77 protective vitrified state [5,9]. The properties distinguishing a desiccation-protective glass from a
78 non-protective glass are not currently fully understood.

79 Previous studies have identified that small additions of glycerol changes the enzyme-
80 protective capacity of trehalose [10]. However, the material properties of these mixtures and how
81 they correspond with changes in the level of protection have not been investigated. To address this
82 gap in knowledge, we test the hypothesis that the enzyme-protective capacity of disaccharide-
83 glycerol mixtures during desiccation correlates with their material properties. These properties
84 include water content, glass transition temperature, and glass former fragility.

85 Water content is a property of vitrified materials that has been implicated in survival during
86 extreme desiccation [4,11,12]. Water content refers to the mass percent of water in a desiccated
87 sample. This can be measured by taking the starting mass of a desiccated sample and dividing by
88 the mass of the sample after heating to a temperature sufficient to evaporate residual water. While
89 hydrated, water molecules within a cell are able to solvate and then stabilize sensitive intracellular
90 components. By retaining more water, it has been proposed that a vitrified material could prevent
91 damage to sensitive intracellular components by maintaining hydration shells around them [3,4].
92 Additionally, residual water is implicated in several other proposed mechanisms of desiccation
93 tolerance such as water entrapment [13–17], preferential exclusion [16,18], and the anchorage
94 hypothesis [19–22].

95 Glass transition temperature (T_g) is the temperature at which a vitrified solid transitions
96 from a glassy to a rubbery state [23–25]. Increases or decreases to the T_g of a vitrified material
97 occur through the inclusion of an additive [26]. Increasing the glass transition temperature of a
98 vitrified material has been observed to increase the shelf-life of sensitive proteins in a dry state
99 [27] and is implicated in being essential for survival during desiccation at low relative humidity
100 [3]. At the organismal level, it has been demonstrated that many anhydrobiotic organisms survive
101 heating up to, but not beyond, their T_g [6,28]. This suggests that anhydrobiotic organisms rely on
102 being in a vitrified state and the production of small molecules which increase T_g may be an
103 effective strategy for increasing desiccation tolerance, or at least for increasing thermal tolerance
104 while desiccated.

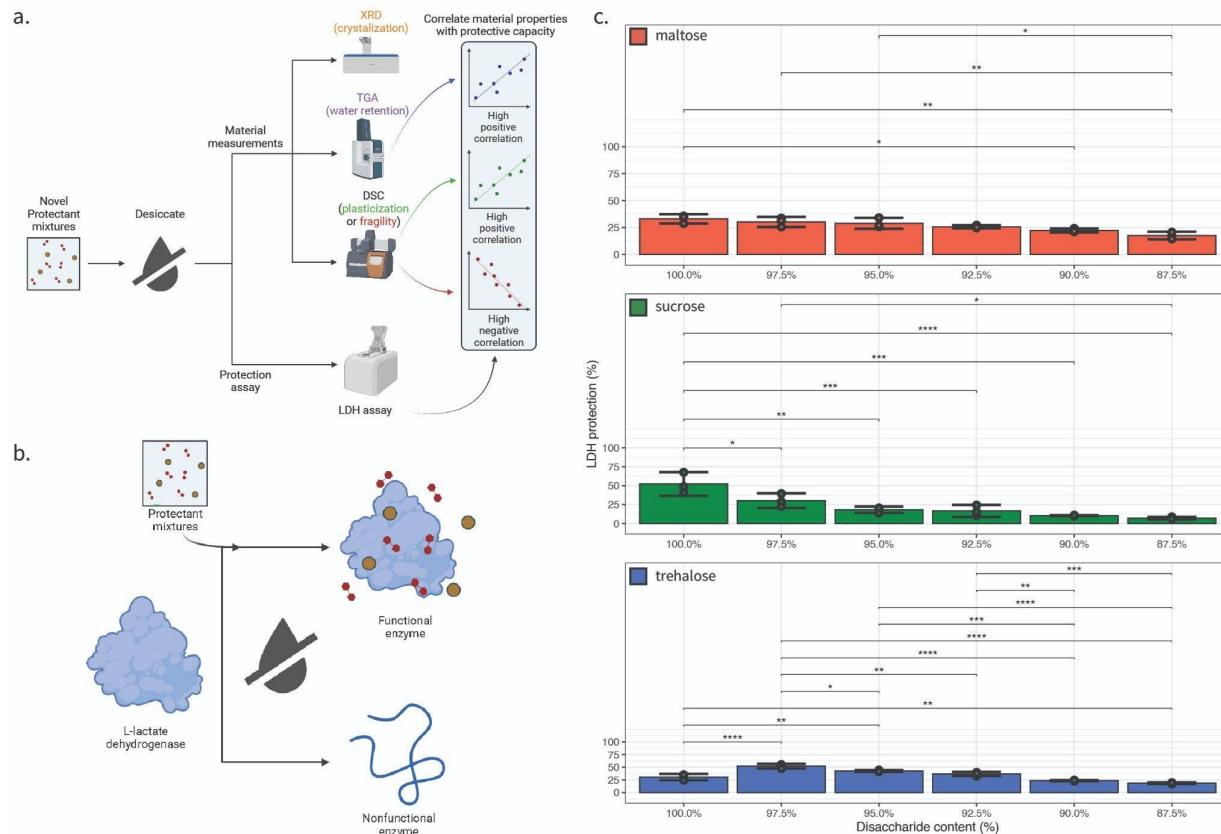
105 Finally, glass former fragility has been implicated as a key property of vitrified materials
106 that promotes desiccation tolerance [9]. This property distinguishes strong glass forming materials,
107 whose viscosity increases steadily well before the liquid-to-solid transition, from fragile glass
108 forming materials, whose viscosity increases slowly at first but then rises abruptly at the onset of
109 vitrification [9,23,29]. It should be noted that in this context, glass fragility/strength does not refer
110 to the brittleness of a vitrified material, but rather to how the viscosity of the material changes as
111 it approaches the point of vitrification. It is hypothesized that strong glass forming materials confer
112 more protection during desiccation than their more fragile counterparts [4,9,23,29]. This
113 hypothesis relies on the logic that a fragile glass forming material will not produce a sufficiently
114 viscous state to slow down or prevent perturbation such as protein unfolding and aggregation until
115 it is too late. Conversely, a strong glass forming material will increase in viscosity and provide
116 protection along the continuum of drying.

117 To empirically test which, if any, of these three material properties correlate with
118 desiccation tolerance, we first used a panel of simple reductive systems, each composed of two
119 mediators of desiccation tolerance - a disaccharide including maltose [30,31], sucrose [1,5,32], or
120 trehalose [6,7,28,33–35] and the polyol glycerol [36–38]. Comparing the measured material
121 properties (water content, T_g , and glass former fragility) of our disaccharide-glycerol glasses with
122 their *in vitro* enzyme-protective capacities (Fig. 1a), we find that there is not a strict pattern in
123 terms of the correlation of material properties to protection that all disaccharides follow. Instead,
124 it appears that each disaccharide has a particular material property that is best correlated with its
125 enzyme-protective capacity.

126 We extend this analysis into three organismal systems, each of which has a well-
127 characterized desiccation tolerant and intolerant life stage(s). We find that while the enzyme-
128 protective capacity of our *in vitro* systems tend to correlate with a single material property, our *in*
129 *vivo* studies showed both increased glass transition temperature and reduced glass former fragility

130 are hallmarks of desiccation tolerance. This suggests that anhydrobionts modulate multiple
131 material properties to promote organismal desiccation tolerance.

132 The extension of our mechanistic understanding of the principles underlying desiccation-
133 tolerance provides insights into how organisms can cope with changing, often extreme,
134 environments. These findings also help to address the decades-long paradox that some glasses are
135 protective, and others not, by providing insights into material properties that promote the protective
136 capacity of different vitrifying mixtures. Our work identifies increased glass transition temperature
137 and glass former fragility as major considerations in the development of technologies for the dry
138 preservation of pharmaceuticals and the engineering of crops that are better able to withstand
139 climate change and extreme weather.



140
141 Figure 1: Vitrified disaccharide-glycerol mixtures display variable enzyme-protective properties.
142 a) Schematic overview of workflow to produce correlation values between material properties and
143 enzyme protection effect. b) Schematic of LDH assay. c) Lactate dehydrogenase (LDH) enzyme
144 protection values for disaccharide-glycerol mixtures organized by disaccharide. Statistics
145 calculated using a one-way ANOVA and Tukey post-hoc test: p-value ≤ 0.05 (*) p-value ≤ 0.01
146 (**), p-value ≤ 0.001 (***), pairwise relationships not shown are not significant, error bars
147 represent 95% CI.

148 5.1 Materials and Methods

149 5.2 Disaccharide-glycerol mixtures

150 D-Maltose monohydrate was sourced from Caisson Labs (M004-500GM). D-Sucrose was sourced
151 from Sigma-Aldrich (S0389-500G). D-Trehalose dihydrate was sourced from VWR (VWRB3599-
152 1KG). Glycerol was sourced from Biobasic (GB0232). Mixtures of each disaccharide and glycerol

153 were made in 25 mM Tris at pH 7.0. Individual masses of each component were formulated
154 (weight by weight) to additively produce mixtures of 10 g/L.

155

156 5.3 Sample Desiccation

157 Samples were desiccated using a speedvac (Savant SpeedVac SC110 with a Thermo OFP400
158 vacuum pump) for 16 hours. Prior to desiccation, 1 mL aliquot samples were dispensed into
159 individual plastic weigh-boats (for aqueous samples) and at least 200 mg of organism samples
160 were similarly loaded into individual plastic weigh-boats. The greater surface area of the weigh-
161 boat, as opposed to Eppendorf tubes, allows for even desiccation of the entire sample, which
162 reduces noise on the DSC. After the 16-hour desiccation, DSC samples were transferred to pre-
163 massed pairs of DSC aluminum hermetic pan and aluminum hermetic lids (TA 900793.901 and
164 901684.901, respectively) while TGA samples were transferred to pre-tared platinum crucibles
165 (TA 957207.904), and XRD samples were kept in the desiccation weigh-boats. DSC sample
166 masses were determined after the sample was sealed within the pan and lid.

167

168 5.4 Single crystal X-ray diffractometry

169 Powder diffraction patterns for the samples were measured at 20 °C on a Bruker SMART APEX
170 II CCD area detector system equipped with a graphite monochromator and a Mo K fine-focus
171 sealed tube operated at 1.2 kW power. The dried samples were rolled into a ball of approximate
172 diameter 0.5 mm, mounted on a goniometer head using a glass fiber, and centered using the
173 APEX2 software. The detector was placed at 6.12 cm during the data collection. Four frames of
174 data were collected at four different sets of angles with a scan width of 0.2 ° and an exposure time
175 of 3 min per frame. The frames were integrated using the APEX2 program. The measured powder
176 diffraction images were integrated, and the data were plotted in the 5 to 50 ° 2θ. All analysis was
177 performed using the APEX3 Software Suite V2017.3-0, Bruker AXS Inc.: Madison, WI, 2017.

178

179 5.5 Lactate dehydrogenase (LDH) enzyme protection assay

180 LDH assays were performed using a combination of methodologies described in Goyal *et al.*, 2005
181 and Boothby *et al.*, 2017. Briefly, stock solutions of 25 mM Tris HCl (pH 7.0), 100 mM sodium
182 phosphate (pH 6.0), and 2 mM pyruvate prepared in bulk and stored at room temperature prior to
183 assay. In addition, 10 mM NADH was also prepared prior to the assay and then stored at 4 °C. L-
184 Lactate Dehydrogenase (LDH) was sourced from Sigma (SKU #10127230001) and is supplied in
185 ammonium sulfate at a pH of approximately 7. Prior to assay, LDH was diluted to a working
186 concentration of 1 g/L. Experimental disaccharide-glycerol mixtures were formulated with LDH
187 at a 1:10 (LDH:disaccharide-glycerol) ratio. Enough solution was prepared for three test excipient
188 replicates and three control replicates each with a total volume of 50 µL. Each experimental and
189 control mixture were aliquoted into a 1.5 mL microcentrifuge tube. The test mixtures were then
190 vacuum desiccated for 16 hours with controls kept refrigerated at 4 °C. After vacuum desiccation,
191 control and test excipient mixtures were brought to 250 µL total volume. Absorbance readings at
192 340 nm wavelength were taken every two seconds for 60 seconds with a quartz cuvette on a
193 Thermo Scientific NanoDrop One^c (Thermo Scientific 840274200) spectrophotometer. A 100mM
194 sodium phosphate and 2mM pyruvate solution was used as a blank. For control samples and
195 experimental samples, 10 µL of control mixture or 10 µL of experimental sample mixture were
196 combined with 10 µL of NADH and 980 µL of the 100mM sodium phosphate, 2mM pyruvate
197 solution and then were measured.

198

199 This same procedure was used to measure the A340 of the experimental and control mixtures.
200 A340 was plotted as a function of time and the slope of the linear portion of this plot calculated.
201 A ratio of experimental over control slope, multiplied by one hundred, was taken to produce the
202 percent protection of the experimental mixture. All raw LDH protection data is available in
203 Supplemental File 8 while calculation of percent protection is available in Supplemental File 1.
204

205 5.6 Thermogravimetric analysis

206 Samples were run on a TA TGA5500 instrument in 100 μ L platinum crucibles (TA 952018.906).
207 Crucibles were tared prior to each run and prior to sample loading. Crucibles were loaded with
208 between 5 mg and 10 mg of sample mixture. Each sample was heated from 30 °C to 220 °C at a
209 10 °C per minute ramp. All TGA data and thermograms are available in Supplemental File 2 and
210 Supplemental File 3.

211 Determination of water loss was conducted using TA's Trios software (TA Instruments TRIOS
212 version #5.0.0.44608). Thermograms were used to calculate starting masses of samples and the
213 mass of samples at the plateau that occurs after ~100 °C but before the thermal denaturation at
214 ~200 °C. The Trios software "Smart Analysis" tool was used to identify the inflection point
215 between these two mass loss events.
216

217 5.7 Differential scanning calorimetry

218 Samples were run on a TA DSC2500 instrument with Trios software (TA Instruments TRIOS
219 version #5.0.0.44608). Analysis of DSC output was performed using Trios software. The heating
220 run consisted of being equilibrated at -10 °C and then heated using a 10 °C per minute ramp to 220
221 °C. All raw DSC measurements are available in Supplemental File 4 and Supplemental File 5.
222

223 5.8 Fragility (m-index) calculation

224 Trios software (TA Instruments TRIOS version #5.0.0.44608) provided by TA Instruments was
225 used to perform analysis of the DSC data. Calculations of glass former fragility (m-index) were
226 performed based on equations 10 and 14 proposed by Crowley and Zografi [39]. On a thermogram
227 with a completed heating ramp to 220 °C, the degradation peak, melt peak, and glass transition
228 were identified. The Trios software built-in Onset and Endset analysis was used to determine the
229 Glass transition onset and offset (endset). The software-identified glass transition onset and offset
230 was used to calculate the m-index using Crowley and Zografi's equations 10 where m is the
231 alternative fragility parameter, ΔE_{T_g} is the activation enthalpy of structural relaxation at T_g , R is
232 the gas constant, T_g is the experimental glass transition temperature onset, and 14 where ΔE_η is the
233 activation enthalpy for viscosity, R is the gas constant, T_g is the experimental glass transition onset
234 temperature, T_g^{off} is the experimental glass transition offset temperature, and constant is an
235 empirical constant of 5 [39]. A mean of each set of replicates was obtained. All fragility
236 calculations are available in Supplemental File 6.
237

$$238 \quad \text{Eq. 1} \quad m = (\Delta E_{T_g}) / ((\ln 10) R T_g)$$

$$239 \quad \text{Eq. 2} \quad \text{constant} = (\Delta E_\eta / R) (1 / T_g - 1 / T_g^{off})$$

240 5.9 Selected organisms for in vivo assays

241 *Artemia franciscana*, *Caenorhabditis elegans*, and *Saccharomyces cerevisiae* were all selected
242 based on availability of access to both desiccation sensitive and desiccation tolerant life stages.
243

245
246 *Artemia franciscana* adults (#1) and cysts (#11) were acquired from the Northeast Brine Shrimp,
247 LLC. *A. franciscana* adults were separated from culture media by tube-top filtration using a
248 pluriSelect 200 μ m pluriStrainer (#43-50200-03) prior to desiccation.
249

250 *Caenorhabditis elegans* non-dauered *daf-2* strains were cultured in S Medium [40] at 16 °C and
251 fed with *E. coli* OP50. Dauered pre-conditioned *daf-2* strain worms were cultured identically to
252 non-dauered worms but when the initial aliquot of *E. coli* OP50 were consumed an additional five
253 days of incubation at 25 °C was given to starve the culture and induce dauer arrest. Dauer worms
254 were then placed on non-spotted agar plates in a 25 °C and 95% relative humidity atmosphere for
255 3 additional days to accomplish pre-conditioning. Both non-dauer and pre-conditioned dauer *C.*
256 *elegans* sample sets were separated from media and residual OP50 food stock by tube-top filtration
257 using a pluriSelect 200 μ m pluriStrainer (#43-50200-03) prior to desiccation.
258

259 *Saccharomyces cerevisiae* strain BY4742 were cultured aerobically in YPD media [41] at 30 °C.
260 After nine hours samples were taken representing the logarithmic growth stage yeast. The
261 remaining culture was allowed to grow for an additional five days to ensure confluent growth in
262 the stationary phase. Both logarithmic and stationary phase samples were pelleted by
263 centrifugation, media was decanted, and a water wash of cell pellets was performed prior to
264 desiccation.
265

266 5.10 Statistical methods:

267 One-way ANOVA and Tukey post-hoc test was used for all pairwise comparisons. A p-value of
268 less than 0.05 being one level of statistical significance (*), less than 0.01 being two levels of
269 statistical significance (**), and less than 0.001 being three levels of statistical significance
270 (***)**. All error values represent 95% confidence intervals.**

271 Student's T-Test was used to compare statistical differences between desiccation tolerant
272 and desiccation sensitive life stages. A p-value of less than 0.05 being one level of statistical
273 significance (*). All error values represent 95% confidence intervals.

274 **6.1 Key resources table**

275

Reagent or resource	Source	Identifier
D-Maltose monohydrate	Caisson	M004-500GM
D-Sucrose	Sigma-Aldrich	S0389-500G
D-Trehalose dihydrate	VWR	VWRB3599-1KG
Glycerol	Biobasic	GB0232
Tris HCl	US Biological	1185-53-1
Sodium pyruvate	TCI	P0582
NADH, Disodium Salt	Millipore Sigma	481913

L-Lactate Dehydrogenase	Sigma	SKU #10127230001
100 μ L platinum crucibles	TA instruments	TA 952018.906
DSC aluminum hermetic pan	TA instruments	TA 900793.901
DSC aluminum hermetic lids	TA instruments	TA 901684.901
200 μ m pluriStrainer	pluriSelect	#43-50200-03
<i>A. franciscana</i> adults	Northeast Brine Shrimp LLC	#1
<i>A. franciscana</i> cysts	Northeast Brine Shrimp LLC	#11
<i>C. elegans</i> daf-2	Caenorhabditis Genetics Center	CB1370
<i>E. coli</i> OP50	Caenorhabditis Genetics Center	OP50
<i>S. cerevisiae</i> BY4742	ATCC	201389

276

277 **7.1 Results**

278 **7.2 Disaccharide-glycerol mixtures vitrify when dried.**

279 To begin to address which material properties correlate with enzyme-protective capacity in a
280 vitreous state, we generated 18 different glass forming mixtures composed of one of three
281 disaccharides (maltose, sucrose, or trehalose) and varying amounts of glycerol [10,42]. Previous
282 studies have identified that small additions of glycerol changes the enzyme-protective capacity of
283 trehalose. However, the material properties of these mixtures and how they correlate with changes
284 in the level of protection have not been investigated. Furthermore, it is not known to what extent
285 additions of glycerol will influence the material and enzyme-protective properties of other
286 disaccharides or how these two properties are linked [10].

287 Maltose is a reducing disaccharide consisting of two glucose molecules joined by an α
288 (1 \rightarrow 4) bond [43]. Sucrose is a non-reducing disaccharide formed by the glycosidic linkage
289 between C1 of a glucose molecule to the C2 of a fructose molecule [44]. Trehalose is a non-
290 reducing disaccharide formed through the (1-1) glycosidic linkage of two glucose molecules [45].
291 While trehalose and sucrose are better recognized mediators of desiccation tolerance, all three of
292 these disaccharides are known to accumulate in a number of anhydrobiotic organisms during
293 drying and in many cases they are known to be essential for surviving desiccation [6,28,30,31,33–
294 35]. Maltose, though rarely seen as a desiccation-protectant in nature, is accumulated in some
295 resurrection plants [6,28,30,31,33–35]. Furthermore, maltose has been shown to confer, short- but
296 not long-term, desiccation tolerance in yeast, likely due to its propensity to be reduced [34].
297 Glycerol is a polyol that has been implicated in the tolerance of several stresses ranging from
298 drying to freezing [36,38].

299 Mixtures containing 100%, 97.5%, 95%, 92.5%, 90%, and 87.5% of a single disaccharide
300 (maltose, sucrose, or trehalose), combined with glycerol (weight % by weight % with glycerol)
301 were created. Mixtures were dried overnight in a vacuum desiccator for 16 hours to produce
302 glasses.

303 To ensure that these mixtures vitrified, rather than crystallized, each sample's powder
304 diffraction pattern was observed by powder X-ray diffraction (XRD) using Mo K α radiation. The
305 integrated plots of our 18 vitrifying samples contain a nearly identical broad absorption, and do
306 not reveal any sharp peaks (Fig. S1a and S1b). The absence of sharp peaks indicates that the
307 samples are glassy with no crystallinity [46,47]. Powder diffraction data was also measured for a
308 desiccated sample of D-(+)-glucose, which is known to crystalize when dried. In contrast to the
309 XRD patterns measured for the disaccharide-glycerol samples, the diffraction pattern for glucose
310 exhibited a large number of distinct, closely spaced peaks due to the crystalline nature of this
311 sample (Fig. S1a and S1b). These results indicate that maltose, sucrose, or trehalose by themselves
312 or in conjunction with varying degrees of glycerol vitrify when dried under the drying regime used
313 here (see Methods).

314

315 *7.3 Disaccharide-glycerol mixtures have varying levels of enzyme-protection during desiccation.*

316 To address the question of which property(s) of a vitrified solid correlate with enzyme-protective
317 capacity during desiccation, we assessed the ability of our 18 disaccharide-glycerol mixtures to
318 protect the enzyme lactate dehydrogenase (LDH) during desiccation [7,48,49] (Fig. 1b). Previous
319 reports have shown that LDH is sensitive to desiccation and that drying, and rehydration of this
320 enzyme results in ~95-99% loss in functionality [49] (Fig. 1b). Taking the ratio of the enzymatic
321 activity of rehydrated *versus* control LDH, we observed that the protection of desiccated LDH
322 varied significantly between different disaccharide-glycerol mixtures (Fig. 1c).

323 For maltose-glycerol mixtures, the highest level of protection was observed for our 100%
324 maltose sample, while the lowest level of protection was conferred by the 87.5% maltose sample.
325 No significant difference in protection was observed until the percentage of maltose in mixtures
326 reached 92.5%, after which protection steadily decreased (Fig. 1c, top panel).

327 Similar to maltose-glycerol mixtures, sucrose-glycerol mixtures showed the highest level
328 of protection at 100% sucrose, while the lowest level of protection was conferred by the 87.5%
329 sucrose sample. However, unlike maltose samples, sucrose mixtures rapidly lost enzyme-
330 protective capacity with statistically significant decreases in protection being observed upon the
331 first (2.5%) addition of glycerol (Fig. 1b, middle panel).

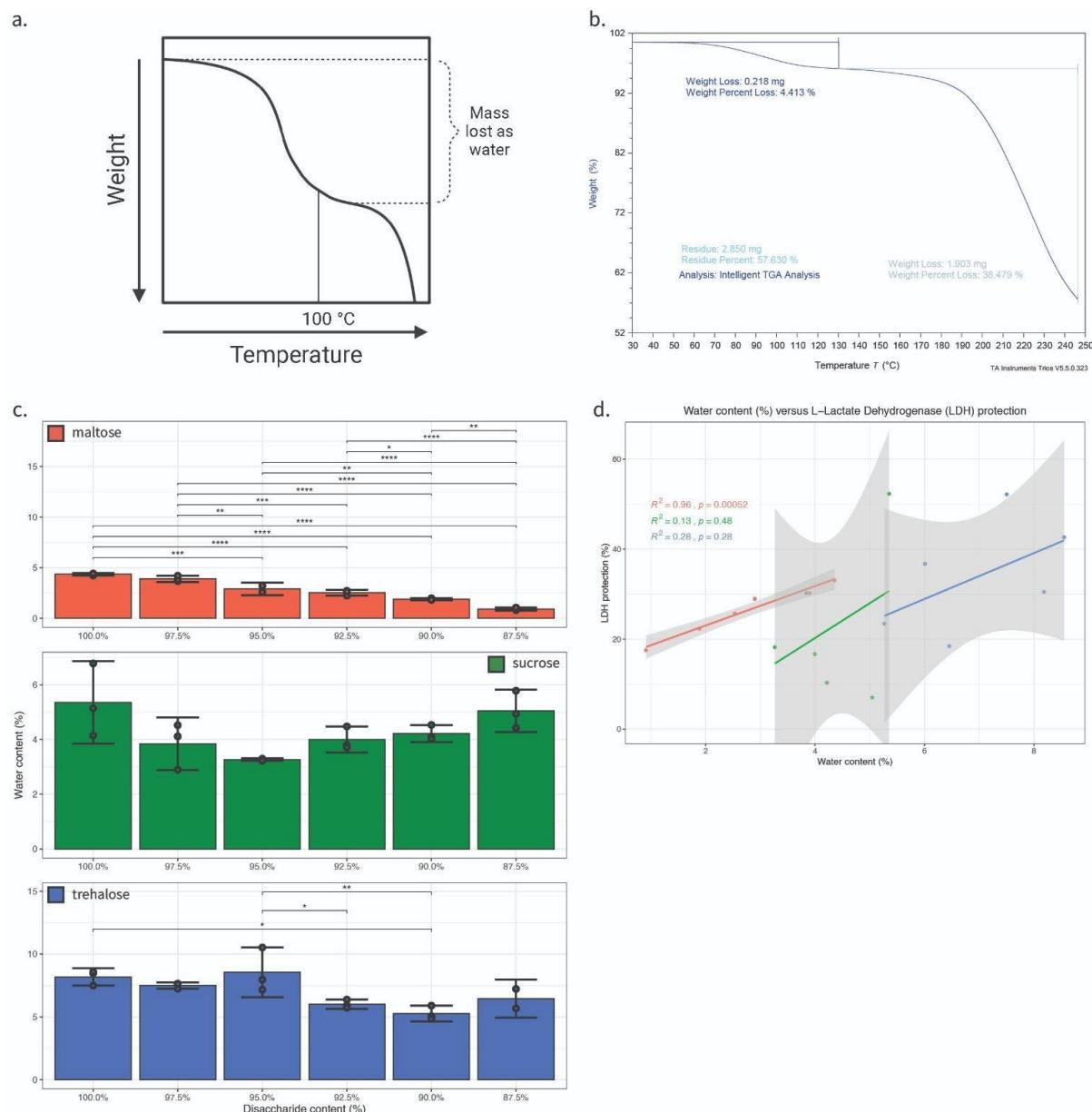
332 Trehalose-glycerol mixtures differed from both maltose and sucrose mixtures in that the
333 highest level of protection was achieved at 97.5% (Fig. 1c, bottom panel). Additionally, while
334 mixing of maltose or sucrose with glycerol resulted in decreases in protection, additions of glycerol
335 to trehalose were found to have a nonmonotonic relationship with protection (Fig. 1c, bottom
336 panel).

337 These results demonstrate that different disaccharide and glycerol mixtures provide
338 varying levels of protection to LDH during desiccation and rehydration, with trehalose responding
339 in a non-monotonic fashion, maltose decreasing in enzyme-protective capacity in a linear fashion,
340 and protection conferred by sucrose decreasing exponentially as a function of glycerol content.

341

342 **7.4 Water content correlates with the enzyme-protective capacity of maltose-glycerol, but not**
343 **trehalose-glycerol or sucrose-glycerol, mixtures.**

344 To begin to assess which properties of a vitrified system correlate with protection, we first assessed
345 whether or not water content could account for these differences. To assess whether the differences
346 in protection observed in our vitrified samples correspond to the amount of water they retain, we
347 tested each of our mixtures using thermogravimetric analysis (TGA) (Fig. 2a and 2b). Water
348 contents of our 18 dry mixtures ranged from 10.52 to 0.81% (Fig. 2c). Pure dry trehalose contained
349 approximately 8% water content, while sucrose and maltose contained less and approximately the
350 same water content (5-6%) (Fig. 2c). In maltose-glycerol mixtures the amount of retained water
351 decreased with each addition of glycerol (Fig. 2c). In contrast, water content did not vary
352 significantly in any sucrose-glycerol mixture. The trehalose-glycerol mixtures only demonstrated
353 statistically significant decreases in water content after the addition of 5% glycerol (Fig. 2c).
354



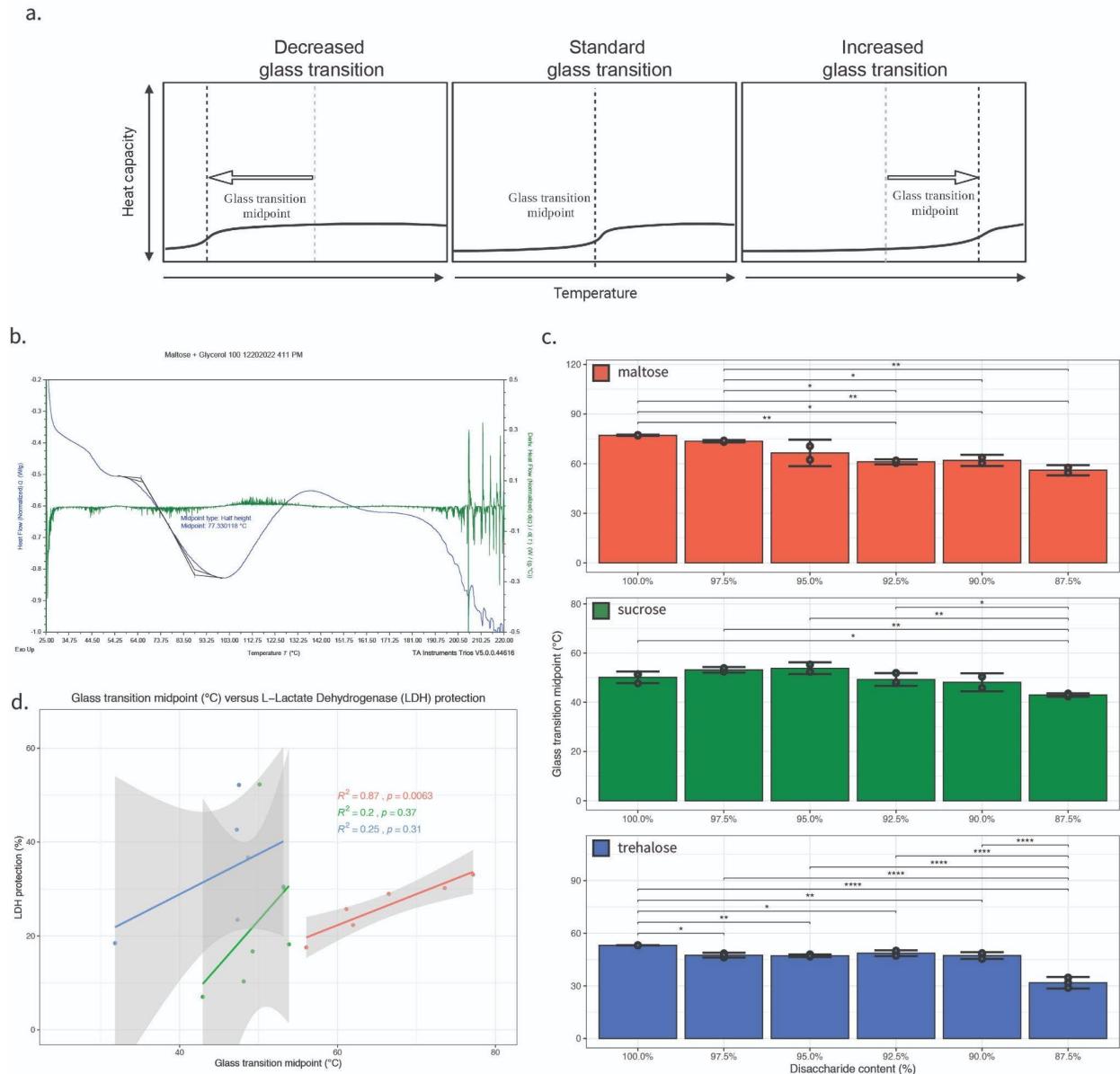
356 Figure 2: Water content correlates with the enzyme-protective capacity of maltose-glycerol but
357 not sucrose- or trehalose-glycerol glasses. a) Schematic representation of an idealized TGA
358 thermogram highlighting region of mass lost as water. b) Example TGA thermogram of a 100%
359 trehalose-glycerol mixture. c) Water content values for disaccharide-glycerol mixtures organized
360 by disaccharide. d) Correlation plot of water content versus protection for all disaccharide-glycerol
361 mixtures (red = maltose, green = sucrose, blue = trehalose). Statistics calculated using a one-way
362 ANOVA and Tukey post-hoc test: p-value ≤ 0.05 (*) p-value ≤ 0.01 (**), p-value ≤ 0.001 (***),
363 pairwise relationships not shown are not significant, error bars represent 95% CI.

364 After observing distinct water retentive behaviors in dry disaccharide-glycerol mixtures,
365 we assessed the relationship between water content and protection. For each sugar, there was a
366 positive trend between enzyme-protection and increasing water content, however the correlation
367 between these properties varied significantly between sugars. For maltose mixtures, this
368 correlative analysis produced an R^2 value of 0.96 (p-value = 0.00052) (Fig. 2d and S5a), an R^2
369 value of 0.13 (p-value = 0.48) (for sucrose mixtures (Fig. 2d and S5b), and R^2 value of 0.28 (p-
370 value = 0.28) for trehalose mixtures (Fig. 2d and S5c). These results indicate that for maltose-
371 glycerol systems the amount of retained water is a good indicator of the enzyme-protective
372 capacity in the dry state. However, the amount of water in mixtures made of dry trehalose or
373 sucrose and glycerol is a poor indicator of enzyme-protective capacity (Fig. 2d).

374 It should be acknowledged that precise determinations of water content using TGA can be
375 complicated by an overlap between the offset of water evaporation and the onset of deterioration
376 of a material. We found that for maltose and sucrose samples there was no such overlap, however
377 in some cases trehalose samples showed an overlap requiring more refined methods of analysis.
378 For example, when measuring the water content of some trehalose-glycerol mixtures, the step
379 transitions calculated were numerous and ambiguously bordered the step transitions attributed to
380 deterioration of a sample (Fig. S7b). In these cases, we made use of the derivative weight loss to
381 differentiate between water loss and sample deterioration (Fig. S7a and S7b). This may result in
382 less accurate determinations of water content for very complex thermograms. However, the
383 behavior of most samples, especially the maltose- and sucrose-glycerol mixtures, were much more
384 straightforward and allowed for simple differentiation of the water-loss and deterioration processes
385 (Fig. S7a). Adding validity to our determination of water contents, even in complex samples, was
386 the alignment of glass transition temperatures and water contents from previously published work
387 [14].

388
389 7.5 An increase in the glass transition temperature correlates with enzyme protection conferred
390 by maltose-glycerol and to a lesser extend sucrose-glycerol and trehalose-glycerol mixtures in the
391 dry state.

392 After observing that water retention is a poor indicator of the enzyme-protective capacity of
393 trehalose- and sucrose-glycerol glasses, we wondered if glass transition temperature (T_g) might be
394 a property that correlates with the stabilizing effects of these sugars. Previous work has established
395 that even small amounts of additives, such as glycerol, can lead to decreased or increased T_g of a
396 vitrifying material (Fig. 3a and 3b) [50–55]. With this in mind, we were curious if different
397 additions of glycerol to our disaccharides would serve to increase or decrease the T_g resulting
398 glasses, and whether or not these changes in T_g correlates with the enzyme-protective capacity of
399 our mixtures.



400
401 Figure 3: Anti-plasticization of maltose- and sucrose-, but not trehalose-glycerol glasses correlate
402 with enzyme protection. a) Schematic representation of an idealized DSC thermogram illustrating
403 a decrease or increase in glass transition midpoint. b) Example DSC thermogram of a 100%
404 trehalose-glycerol mixture. c) Glass transition midpoint values for disaccharide-glycerol mixtures
405 organized by disaccharide. d) Correlation plot of glass transition midpoint versus protection for all
406 disaccharide-glycerol mixtures (red = maltose, green = sucrose, blue = trehalose). Statistics
407 calculated using a one-way ANOVA and Tukey post-hoc test: p-value ≤ 0.05 (*) p-value ≤ 0.01
408 (**), p-value ≤ 0.001 (***) pairwise relationships not shown are not significant, error bars
409 represent 95% CI.

410 The glass transition temperature onset and offset, the temperatures that the material starts
411 and stops undergoing a change from a glassy state to a rubbery state, were assessed using
412 differential scanning calorimetry (DSC), and the glass transition midpoint temperature calculated
413 by taking the mean of the onset and offset temperature (Supplemental File 6). Figures 3c, S3a, and
414 S3b show the average T_g onset, offset, and midpoint for each of our mixtures. Here one can see

415 that when considering the glass transition midpoint even small additions of glycerol, starting at
416 2.5%, act to decrease the T_g for trehalose, while decreasing the T_g of maltose began at 7.5% glycerol
417 and a decrease in sucrose is only observed with an even larger (12.5%) addition of glycerol (Fig.
418 3c). For all disaccharide-glycerol mixtures, glass transition midpoint temperatures are positively
419 correlated with enzyme protective capacity, but none of the mixtures are significantly correlated
420 (Fig. 3d).

421 However, when considering the onset or offset glass transition temperature for each set of
422 disaccharide-glycerol mixtures, we saw different behaviors appear. For example, when observing
423 changes to the glass transition onset temperature for the maltose-glycerol mixtures, even after the
424 addition of 12.5% glycerol there was no significant change (Fig. S3a). As opposed to maltose,
425 sucrose saw a significant decrease in glass transition onset temperature after the addition of 12.5%
426 glycerol (Fig. S3a). Finally, the trehalose-glycerol mixtures show statistically significant changes
427 in the glass transition onset temperature beginning with the first addition of glycerol (Fig. S3a).
428 The trehalose-glycerol mixtures also showed a statistically significant decrease after the addition
429 of 12.5% glycerol (Fig. S3a).

430 When observing the offset of glass transition temperature for each of the disaccharide-
431 glycerol mixtures, we observed that the maltose-glycerol and sucrose-glycerol mixtures
432 demonstrated similar behavior to the midpoint observations. Specifically, starting at 5% for
433 maltose and 12.5% for sucrose, glycerol acts to decrease the glass transition offset temperature
434 (Fig. S3c). On the other hand, trehalose does not show a statistically significant decrease in the
435 glass transition offset until after the addition of 10% glycerol (Fig. S3c).

436 Next, we evaluated the relationship between enzyme-protective capacity and the onset,
437 offset, and midpoint T_g for each mixture (Fig. 3d, S4, S5d, S5e, and S5f). This correlative analysis
438 produced positive correlations with R^2 values ranging from 0.87 to 0.81 for maltose (Fig. 3d, S4,
439 S5d), positive correlations with R^2 values ranging from 0.38 to 0.1 for sucrose (Fig. 3d, S4, S5e),
440 and positive correlations for trehalose the R^2 values ranged from 0.36 to 0.1 (Fig. 3d, S4, and S5f).
441 We observe that the enzyme-protective capacity of the maltose-glycerol mixtures are influenced,
442 but not significantly, by variation in their T_g and that the enzyme-protective capacity of the sucrose-
443 and trehalose-glycerol mixtures are moderately influenced by variation in their T_g (Fig. 3d).

444 Finally, we examined the relationship between the enzyme-protective effect of our sugar
445 mixtures and the difference between the temperature at which LDH is protected ($T_{exp} = 22\text{ }^\circ\text{C}$) their
446 T_g . We reasoned that for a mixture with a T_g close to T_{exp} protection might be lowered due to the
447 mixture undergoing a relaxation.

448 Consistent with this reasoning, for all disaccharide-glycerol mixtures enzyme-protection
449 and T_{exp} - T_g had a negative correlation (S6). However, only the maltose mixtures approached, but
450 was not, a significant correlation between enzyme-protection and T_{exp} - T_g (S6). This indicates that
451 at the temperature used in this study, relaxation of dry sugar mixtures due to a similarity in T_{exp}
452 and T_g is not a significant influence on enzyme-protection.

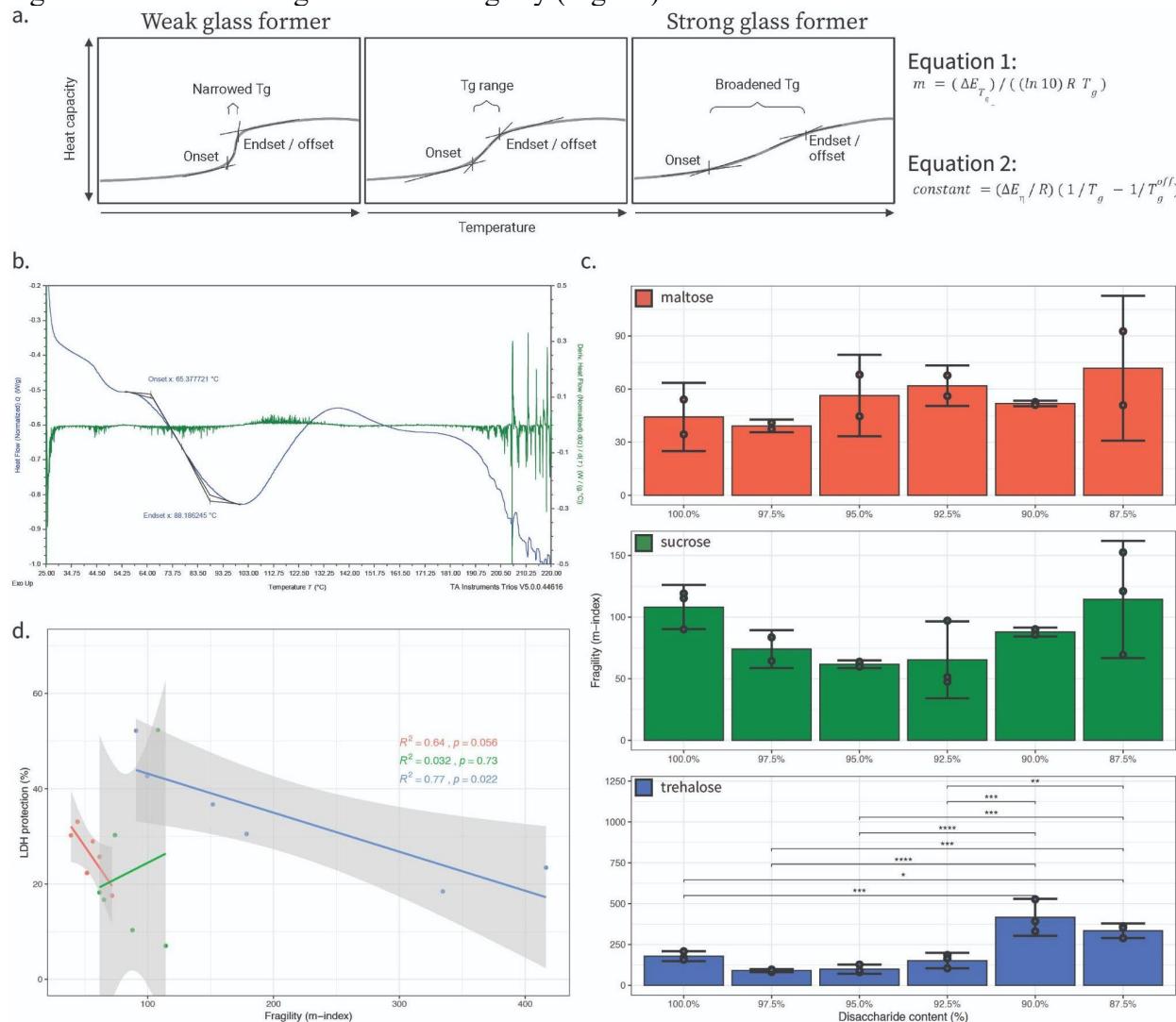
453 Our results demonstrate that generally, protection positively correlates with increasing T_g .
454 However, this correlation is clearly stronger for some sugar-glasses (e.g., maltose) compared to
455 others (e.g., sucrose).

456

457 7.6 Vitrified maltose-glycerol and trehalose-glycerol mixtures demonstrate a reduction in glass 458 former fragility that is correlated with enzyme-protection.

459 Next, we empirically determined the glass former fragility (m-index; [25,39] of our disaccharide-
460 glycerol mixtures (Fig. 4a). Moynihan *et. al.* described the use of thermal methods to explore the

461 relationship between the width of a glass transition and the activation enthalpy for viscosity [56].
 462 Crowley and Zografi later applied the assumption that the activation enthalpy for viscosity is
 463 equivalent to the activation enthalpy of structural relaxation at T_g [39]. Utilizing this method glass
 464 former fragility (m-index) was calculated from DSC thermogram outputs (Fig. 4a and 4b) [39].
 465 The fragility of glass forming solutions composed from pure disaccharides was lowest for maltose,
 466 followed by sucrose, and finally trehalose (Fig. 4c). For maltose samples, glass former fragility
 467 steadily increased with each addition of glycerol, but did not vary significantly (Fig. 4c). However,
 468 for both sucrose and trehalose small additions of glycerol (up to 5 and 2.5%, respectively) decreased
 469 glass former fragility (Fig. 4c). However, greater additions of glycerol to both trehalose
 470 and sucrose increased glass former fragility (Fig. 4c). For sucrose, variations in glass former
 471 fragility were not significant. On the other hand, after the addition of 10% glycerol, trehalose saw
 472 significant increases in glass former fragility (Fig. 4c).



473
 474 Figure 4: Reduced glass forming fragility of maltose- and trehalose-, but not sucrose-glycerol
 475 glasses correlates with enzyme protection. a) Schematic representation of idealized DSC
 476 thermograms illustrating a narrowing or broadening of the glass transition and the two equations
 477 used to calculate m-index. Equation 1 where m is the alternative fragility parameter, ΔE_{T_g} is the
 478 activation enthalpy of structural relaxation at T_g , R is the gas constant, T_g is the experimental glass

479 transition temperature onset, and 2 where ΔE_η is the activation enthalpy for viscosity, R is the gas
480 constant, T_g is the experimental glass transition onset temperature, T_g^{off} is the experimental glass
481 transition offset temperature, and constant is an empirical constant of 5. Equation 1 and 2 in our
482 manuscript are derived from equations 10 and 14, respectively from [39]. Please note that Equation
483 14 is equivalent to equation 7 from Moynihan *et. al.* [57] b.) Example thermogram of 100%
484 trehalose with glass transition onset, glass transition offset, and 1st derivative with respect to
485 temperature (green) shown. c) Glass former fragility (m-index) values for disaccharide-glycerol
486 mixtures organized by disaccharide. d) Correlation plot of glass former fragility (m-index) versus
487 protection for all disaccharide-glycerol mixtures (red = maltose, green = sucrose, blue = trehalose).
488 Statistics calculated using a one-way ANOVA and Tukey post-hoc test: p-value ≤ 0.05 (*) p-value
489 ≤ 0.01 (**), p-value ≤ 0.001 (***), pairwise relationships not shown are not significant, error bars
490 represent 95% CI.

491 Next, we assessed the relationship between the fragility of our glass forming mixtures and
492 protection of LDH. For maltose and trehalose, the trend between enzyme-protection and glass
493 former fragility was negative, while for sucrose this trend was slightly positive. As observed
494 previously, the strength of these trends varied dramatically between sugars, as this correlative
495 analysis produced an R^2 value of 0.64 for maltose (Fig. 4d and S5g), an R^2 value of 0.032 for
496 sucrose (Fig. 4d and S5h), and R^2 value of 0.77 for trehalose (Fig. 4d and S5i). This indicates that
497 for maltose and trehalose, observed variation in glass former fragility can explain some of the
498 enzyme-protective capacity of the desiccated mixtures (Fig. 4d).

499 It was observed that the glass forming fragility of 100% sucrose was potentially behaving
500 differently from mixtures containing glycerol additions. It was supposed that this datapoint might
501 be ‘dragging’ the relationship between the glass former fragility of our glass forming mixtures and
502 protection of LDH upward into a positive correlation. A correlation was calculated excluding the
503 pure (100%) disaccharide samples from the mixtures (97.5-87.5%). This exclusion of the pure
504 disaccharide samples greatly improved the correlation value of the sucrose mixtures, from an R^2
505 value of 0.032 to an R^2 value of 0.41 (Fig. S8c and S8d). Interestingly, the correlation for the
506 trehalose-glycerol was also improved by excluding the 100% disaccharide samples, from an R^2
507 value of 0.77 to an R^2 value of 0.83 (Fig. S8e and S8f). However, the correlation for the maltose-
508 glycerol mixtures was made worse, from an R^2 value of 0.64 to an R^2 value of 0.57 (Fig. S8a and
509 S8b). These results suggest that while pure disaccharides may behave in substantively different
510 ways than disaccharide-glycerol mixtures, this is not always the case.

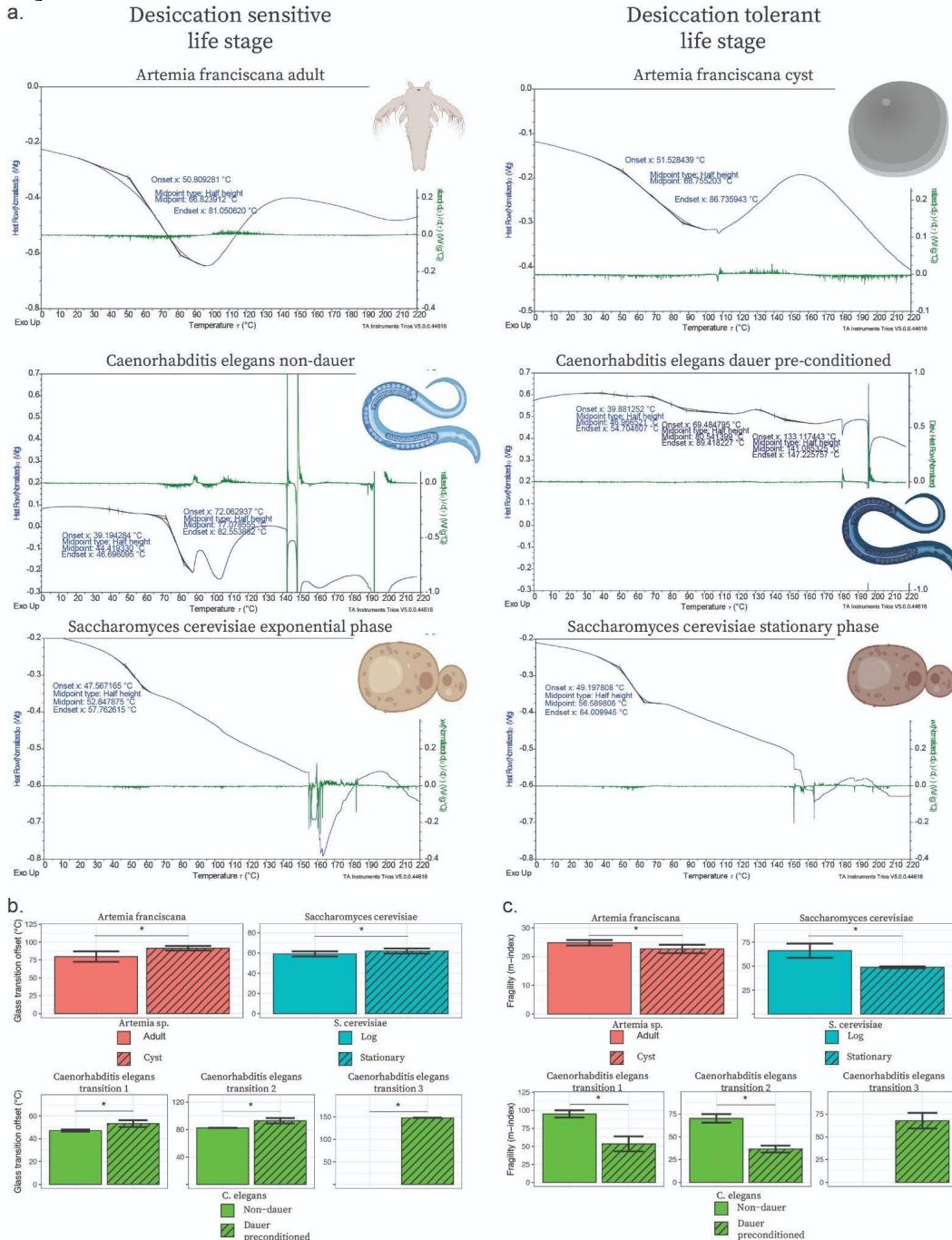
511

512 7.7 Increased T_g and decreased glass former fragility are characteristics of anhydrobiotic life- 513 stages.

514 We wondered if the correlation between material properties, such as minimal glass former fragility
515 or anti-plasticization, and enzyme-protection that we observed *in vitro* appeared to carry over to
516 organismal systems. Here we considered reduced glass former fragility and anti-plasticization, but
517 not water retention, since water content has previously been observed to influence glass-like
518 properties [26,58-60]. This influence is observed in our samples, where for example in maltose-
519 glycerol mixtures, we see a very strong correlation ($R^2 = 0.989$) between water content and
520 increased T_g (Fig. S2a).

521 We measured the T_g and m-indexes of three desiccation tolerant organisms when in a
522 desiccation sensitive life stage or a desiccation tolerant life stage. The three desiccation tolerant
523 organisms we selected for this study were: the brine shrimp *Artemia franciscana*, the nematode
524 worm *Caenorhabditis elegans*, and yeast *Saccharomyces cerevisiae* (Fig. 5a). Each of the selected

525 organisms is known to accumulate protective disaccharides and polyols during drying [34,36,61–
 526 63].



527
 528 Figure 5: *In vivo* glass transition temperature is increased, and glass former fragility reduced in
 529 desiccation-tolerant *versus* sensitive life stages of diverse anhydrobiotic organisms. a) Selected
 530 organisms for *in vivo* assays with example DSC thermogram outputs. b) Glass transition offset
 531 values for selected desiccation tolerant organisms organized by organism and life stage. c) Glass
 532 former fragility (m-index) values for selected desiccation tolerant organisms organized by
 533 organism and life stage. Statistics calculated using T-test: p-value ≤ 0.05 (*), pairwise
 534 relationships not shown are not significant, error bars represent 95% CI.

535 For *A. franciscana*, there was a significant increase in T_g offset between the adult
536 (desiccation-sensitive life stage) and cyst (desiccation-tolerant life stage) (Fig. 5b). For *S.*
537 *cerevisiae*, we saw a statistical increase between the exponential phase (desiccation sensitive life
538 stage) and stationary phase (desiccation tolerant life stage) when measuring T_g offset (Fig. 5b).
539 And finally, for *C. elegans*, we observed complex thermograms containing more than one obvious
540 glass transition. Specifically, we observed two glass transitions on the thermograms of non-dauer
541 worms and three glass transitions on the thermograms of dauer pre-conditioned worms. The two
542 glass transitions from non-dauer worms were paired with the first two glass transitions from the
543 dauer pre-conditioned worms based on similarities in glass transition temperature. The trend of
544 desiccation tolerant life stages possessing a significantly higher T_g offset in comparison to the
545 desiccation sensitive life stage continues across all three ranges of potential glass transitions for
546 the *C. elegans* non-dauer and dauer pre-conditioned worms (Fig. 5b).

547 Thus, for all three of the desiccation tolerant organisms tested we observe significantly
548 increased T_g in the anhydrobiotic state, indicating that increased T_g is a hallmark of some
549 desiccation-tolerant organismal systems.

550 Next, we evaluated our three selected organisms' life stages for changes in m-index (glass
551 former fragility). For *A. franciscana*, we saw significant decrease between the adult (desiccation
552 sensitive life stage) and cyst (desiccation tolerant life stage) when measuring m-index (Fig. 5c).
553 For *S. cerevisiae*, we also saw a significant decrease between the exponential phase (desiccation-
554 sensitive life stage) and stationary phase (desiccation-tolerant life stage) when measuring m-index
555 (Fig. 5c). For *C. elegans*, we saw a significant decrease in glass former fragility between the non-
556 dauer (desiccation sensitive life stage) and dauer pre-conditioned (desiccation tolerant life stage)
557 across all three glass transitions.

558 Taken together, these results indicate that while *in vitro* simple mixtures of protectants vary
559 widely in what properties correlate with protection in the vitrified state, *in vivo* both increased
560 glass transition temperature and decreased glass former fragility are good indicators of survival in
561 the dry state.

562 8.1 Discussion and conclusions

563 Since its conception, the vitrification hypothesis has provided a compelling possible explanation
564 as to how anhydrobiotic organisms preserve their cells and cellular components during desiccation
565 [1,5–7]. However, while vitrification is considered necessary for desiccation tolerance it is not
566 sufficient [5,9]. This implies that there is some property of a glassy material that makes it more,
567 or less, protective.

568 Here we have quantified the enzyme-protective capacity and material properties of 18
569 different vitrified systems, each composed of one of three different disaccharides (maltose,
570 sucrose, and trehalose) formulated with varying amounts of glycerol (0-12.5%). We find that both
571 enzyme-protective capacity and material properties of disaccharide-glycerol mixtures are
572 modulated differently depending on the disaccharide used. Consistent with this, water retention
573 (maltose), increased T_g (sucrose), and reduced glass fragility (trehalose) each correlated best with
574 protection for a particular disaccharide. Interestingly, reduced glass former fragility and increased
575 glass transition (anti-plasticization) is observed in desiccation-tolerant life stages of diverse
576 organisms. Thus, individual protective properties observed for reductive enzyme systems appear
577 to be used in combination *in vivo*.

578

579 8.2 Water retention, mechanisms of protection involving water, and water's effects on glass
580 transition temperature and glass former fragility.

581 Our results demonstrate that different disaccharide-glycerol mixtures contain different quantities
582 of water. Furthermore, the relationship between water content and protection also varies between
583 disaccharide-glycerol mixtures. Maltose-glycerol mixtures display a significant positive
584 correlation between water content and enzyme protection, while the sucrose-glycerol and
585 trehalose-glycerol mixtures show only mildly positive correlations ($R^2 = 0.13$ and 0.28 ,
586 respectively).

587 The water content of a glassy material could affect enzyme-protection through several
588 mechanisms. Loss of water during dehydration can lead to a loss of important, stabilizing hydrogen
589 bonds, which help to maintain protein folding. While theories, such as the water replacement
590 hypothesis, which propose mechanisms by which this loss of this hydrogen bond next work can
591 be dealt with, others such as the water entrapment [13–17], preferential exclusion/hydration
592 hypothesis [16,18], or anchorage hypothesis [19–22] offer up mechanisms by which residual water
593 can be utilized to provide protection even at low levels.

594 The water entrapment hypothesis posits that a protectant which has a strong affinity for
595 water but is preferentially excluded from client molecules could help to coordinate small amounts
596 of residual water into proximity with desiccation-sensitive material (e.g., proteins, membrane,
597 etc.). The effect would be to entrap and increase the local concentration of water around these
598 desiccation-sensitive molecules which could help to maintain the hydrogen bond network needed
599 for integrity. Conversely, the preferential exclusion hypothesis posits that a protectant which
600 preferentially interacts with itself, to the exclusion of both water and client molecules, could in
601 effect act as a space filling molecule. In this capacity, the protectant would reduce the overall
602 accessible volume within the cell increasing the effective concentration of water and forcing water
603 molecules into proximity with desiccation-sensitive molecules. Finally, the anchorage hypothesis
604 posits that client molecules interact with the water-protectant matrix, and this interaction reduces
605 the likelihood of protein unfolded since unfolding would have to lead to a recording of the water-
606 protectant matrix.

607 Beyond water serving directly in the stabilization of biomolecules via the formation of a
608 hydrogen bond network, water can also serve as an important plasticizing agent of biological and
609 hydrophilic materials. This means that increasing water content in a vitrified material typically
610 leads to a decrease in T_g , which is also considered to reduce protection. However, while this is
611 generally true, there have been reports of *bona fide* anti-plasticization effects of water [59]. Here
612 we observe that water content of maltose-, sucrose-, and trehalose-glycerol glasses has varying
613 degrees of a strong plasticizing effect on the glass transition. The only negative correlation between
614 water content and decreased T_g was observed for the sucrose-glycerol mixtures ($R^2 = 0.44$, Fig.
615 S2c). In trehalose-glycerol mixtures, there was essentially no correlation between water content
616 and decreased T_g ($R^2 = 0.088$, Fig. S2e). Finally, in maltose-glycerol mixtures, rather than seeing
617 water correlate with decreased T_g , surprisingly we observe a strong correlation between water
618 content and increased T_g ($R^2 = 0.94$, Fig. S2a). This again showcases how each of the disaccharides,
619 when in a desiccated disaccharide-glycerol mixture, displays disparate changes in material
620 properties.

621 When instead considering the relationship between water content and glass former
622 fragility, we again observe differing results by disaccharide-glycerol mixture. Here we see that the
623 desiccated maltose-glycerol mixtures have a strong negatively correlated relationship ($R^2 = 0.74$,

624 Fig. S2b), sucrose has a strong positively correlated relationship ($R^2 = 0.88$, Fig. S2d), and
625 trehalose has a weak positively correlated relationship ($R^2 = 0.29$, Fig. S2f).

626 These results might lead one to believe that at the water contents examined here (< 11%)
627 water retention might be a potential predictor of enzyme protection capacity. However, water
628 content also seems to influence other material properties of the vitrified system in a non-
629 stereotyped fashion. For example, increasing water content in maltose-glycerol mixtures strongly
630 correlates with reduced glass former fragility, while in sucrose and trehalose-glycerol mixtures
631 increasing water content increases glass former fragility. Thus, we conclude that water content
632 itself is not a good predictor of desiccation tolerance nor of other properties of a vitrified system.
633

634 *8.3 The enzyme-protective capacity of sucrose-glycerol mixtures was most influenced by increases
635 and decreases in glass transition temperature.*

636 Glass transition temperature (T_g) is the temperature at which a hard glassy material will begin to
637 transition into a rubbery solid [64]. Figure 3a is a schematic illustration of how increases or
638 decreases in the T_g are captured and visualized on a thermogram. The relationship of increases or
639 decreases in T_g with protection varied between different disaccharide-glycerol mixtures but was
640 most important for the sucrose-glycerol mixtures. While we observed that only maltose-glycerol
641 mixtures had a strong relationship between increased T_g and protection (Fig. 3d), in the sucrose-
642 glycerol mixtures we see that addition of glycerol results in an increase in the T_g when added up
643 to 5%, but then further addition of glycerol causes a significant decrease in the T_g . Furthermore,
644 the influence of glycerol on the T_g of sucrose-glycerol mixtures correlates weakly with protection
645 but is the highest correlation with respect to enzyme-protection (Fig. 2d, 3d, and 4d). Finally, in
646 the trehalose-glycerol mixtures we see at first a significant decrease coinciding with the first
647 addition of glycerol (2.5%) and then no significant variation in the glass transition midpoint until
648 the addition of significantly more (12.25%) glycerol where it decreases the T_g , and the small
649 changes in T_g that are observed show no correlation with protection.

650 Thus, while increases in T_g are predictive of the protection conferred by maltose-glycerol
651 mixtures, and to a lesser extent sucrose-glycerol, this predictive capacity does not extend to all
652 vitrified systems.

653
654 *8.4 The difference between the experimental temperature of enzyme protection and glass
655 transition temperature did not correlate with protection.*

656 The closer a glassy protectant is to its T_g , the more molecular motion should be introduced, which
657 could result in a loss of protective capacity. However, we observed that the difference in the
658 experimental temperature at which LDH assays were conducted and the $T_{exp}-T_g$ of a protective
659 glass did not correlate significantly with enzyme-protective capacity (S6). This does not mean that
660 as a protective glass approaches its T_g that it does not lose enzyme-protective capacity, since it is
661 possible that the experimental temperatures used here were still sufficiently lower than T_g to
662 negatively impact enzyme-protection. Further studies where the experimental temperature is
663 brought much closer to T_g could be insightful in this regard.

664
665 *8.5 The relationship between T_g and protection varies dramatically depending on whether onset,
666 midpoint, or offset glass transition temperatures are considered.*

667 When referring to the glass transition or the material properties based on the glass transition, the
668 standard measure is to use the glass transition midpoint. This value is inherently influenced by
669 both the glass transition onset and offset temperatures (Fig. 3c, S3a, and S3b) [65]. The glass

670 transition offset temperature is representative of the point at which the ‘glassy’ nature of a vitreous
 671 system is finally overcome (Fig. S3b). By contrast the glass transition onset is only representative
 672 of the start of the transition of a ‘glassy’ state to a rubber-like solid (Fig. S3a).

673 In evaluating the relationship between T_g and protection, we observed a dramatic variation
 674 in this correlation depending on whether we used the onset, midpoint, or offset glass transition
 675 temperature (Fig. S4). Here we have reported midpoint T_g as is convention, but also have included
 676 correlations between protection and onset/offset T_g (Fig. S4).

677

678 8.6 Disaccharide-glycerol mixtures with similar concentrations produce fragilities of differing
 679 glass former fragility (m-index)

680 The fragility of different glass former mixtures varied by disaccharide. Only maltose and trehalose
 681 showed evidence of a relationship between glass former fragility and enzyme protection capacity.
 682 Interestingly, when comparing different disaccharide-glycerol systems that provide similar
 683 enzyme-protective capacity, those mixtures did not necessarily produce glasses with similar glass
 684 former fragility (m-index) measurements. For example, when comparing the 97.5% maltose,
 685 97.5% sucrose, and 92.5% trehalose disaccharide-glycerol mixtures that provide approximately
 686 30% enzyme protection during desiccation (30.21%, 30.26, and 36.72% respectively), those
 687 mixtures produced had a glass former fragility (m-index) of 39.15, 74.01, and 151.81 respectively
 688 (Table 1). In addition, when comparing the most enzyme-protective mixtures for each
 689 disaccharide-glycerol mixture, 100% maltose, 100% sucrose and 97.5% trehalose, only trehalose
 690 produced the lowest glass former fragility (m-index) measurement. This indicates that each
 691 disaccharide-glycerol system produced glass former fragility patterns that are only comparable
 692 within that system and not between different disaccharide-glycerol systems. Again, just as with
 693 water retention and shifts in the T_g , we see that the addition of glycerol induces different degrees
 694 of glass former fragility and that this property is a good indicator of protection for some sugar
 695 glasses, but not for others.

696

Disaccharide	Disaccharide content (% weight)	Glycerol content (% weight)	LDH protection (%)	Water content (%)	Tg onset	Tg offset	Tg midpoint	Calculated m-index
Maltose	100.0	0.0	33.08 +/- 4.29	4.35 +/- 0.12	70.80 +/- 2.06	122.63 +/- 5.12	96.72 +/- 3.59	16.59 +/- 1.07
	97.5	2.5	30.21 +/- 4.77	3.90 +/- 0.31	66.86 +/- 1.89	114.73 +/- 0.73	90.79 +/- 1.31	17.60 +/- 0.64
	95.0	5.0	28.95 +/- 5.08	2.90 +/- 0.62	60.22 +/- 10.59	106.84 +/- 2.36	83.53 +/- 6.48	17.85 +/- 4.57
	92.5	7.5	25.73 +/- 1.45	2.53 +/- 0.29	58.74 +/- 8.92	101.39 +/- 8.03	80.06 +/- 8.47	19.08 +/- 1.13
	90.0	10.0	22.32 +/- 1.84	1.89 +/- 0.09	57.69 +/- 0.68	95.87 +/- 3.30	76.78 +/- 1.99	21.01 +/- 1.76
	87.5	12.5	17.56 +/- 3.51	0.91 +/- 0.15	50.46 +/- 1.01	82.15 +/- 0.41	66.30 +/- 0.71	24.34 +/- 0.68
Sucrose	100.0	0.0	52.28 +/- 15.69	5.35 +/- 1.50	46.80 +/- 2.09	53.46 +/- 2.79	50.13 +/- 2.38	108.17 +/- 17.91
	97.5	2.5	30.26 +/- 11.99	3.84 +/- 1.17	48.21 +/- 0.10	58.10 +/- 2.69	53.16 +/- 1.39	74.01 +/- 18.77
	95.0	5.0	18.22 +/- 5.16	3.27 +/- 0.06	47.99 +/- 3.22	59.70 +/- 2.59	53.84 +/- 2.91	61.75 +/- 3.84
	92.5	7.5	16.70 +/- 7.99	3.99 +/- 0.47	43.19 +/- 1.97	55.30 +/- 4.59	49.25 +/- 2.58	65.30 +/- 31.21
	90.0	10.0	10.33 +/- 0.85	4.22 +/- 0.38	44.10 +/- 4.61	52.14 +/- 4.31	48.12 +/- 4.46	87.90 +/- 4.38
	87.5	12.5	7.03 +/- 1.68	5.05 +/- 0.77	39.56 +/- 1.89	46.32 +/- 1.65	42.94 +/- 0.67	114.33 +/- 47.52
Trehalose	100.0	0.0	30.52 +/- 6.24	5.78 +/- 1.13	98.21 +/- 1.25	107.30 +/- 0.39	102.75 +/- 0.65	92.00 +/- 14.32
	97.5	2.5	52.17 +/- 4.66	5.99 +/- 1.46	93.24 +/- 1.09	104.84 +/- 0.61	99.04 +/- 0.54	71.31 +/- 8.91
	95.0	5.0	42.65 +/- 1.67	6.98 +/- 1.46	95.53 +/- 0.36	104.33 +/- 0.63	99.93 +/- 0.40	93.41 +/- 6.96
	92.5	7.5	36.72 +/- 4.34	5.31 +/- 1.13	95.67 +/- 0.24	102.41 +/- 0.48	99.04 +/- 0.24	121.62 +/- 10.96
	90.0	10.0	23.44 +/- 1.05	4.79 +/- 0.05	95.96 +/- 0.39	103.19 +/- 1.30	99.58 +/- 0.84	114.08 +/- 14.16
	87.5	12.5	18.46 +/- 1.57	5.95 +/- 0.54	95.97 +/- 0.11	101.30 +/- 0.56	98.63 +/- 0.23	153.68 +/- 19.09

697

698 Table 1: *In vitro* measurement results organized by disaccharide-glycerol content and by material
 699 property, error values represent 95% CI.

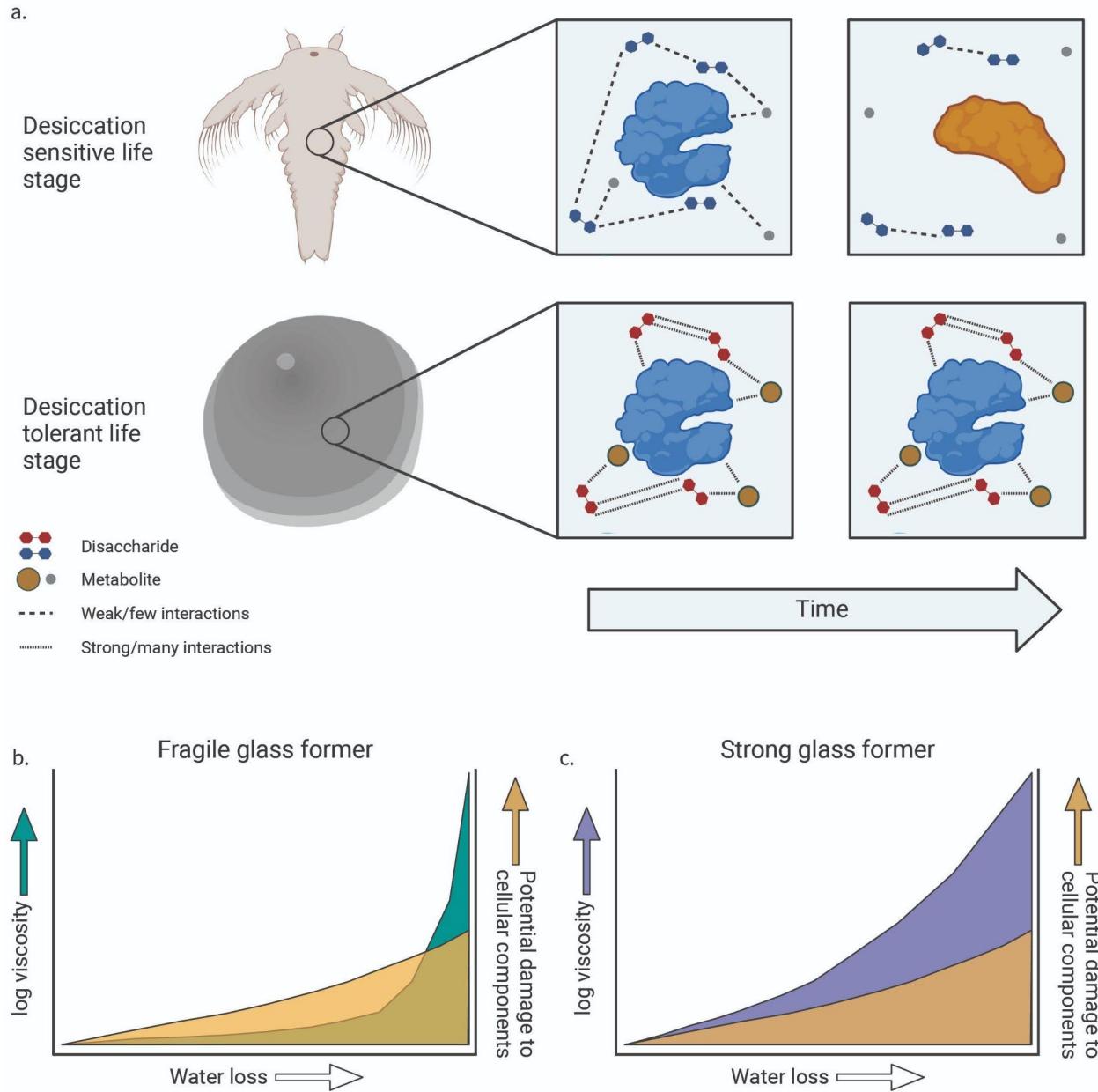
700

701 8.7 Both change in T_g and glass former fragility are hallmarks of organismal desiccation tolerance

702 The results from our *in vitro* experiments, while novel on their own, beg the question of whether
 703 these findings apply to whole desiccation tolerant organisms. Examining three different organisms
 704 in both a desiccation-tolerant and -sensitive life stage we observe that both increased T_g and
 705 reduced m-index (glass former fragility) are hallmarks of successful anhydrobiosis. Specifically,
 706 when considering changes in T_g , we see that for each organism, *A. franciscana*, *C. elegans*, and *S.*
 707 *cerevisiae*, there is a statistically significant increase in T_g when comparing the desiccation-

708 sensitive life stage to the desiccation-tolerant life stage. When considering the impact of glass
709 former fragility, we yet again see that for each organism, *A. franciscana*, *C. elegans*, and *S.*
710 *cerevisiae*, there is a statistically significant decrease in glass former fragility when comparing the
711 desiccation-tolerant life stage to the desiccation-sensitive life stage.

712 Figure 6 provides an overview of what we envision is occurring to enable changes in the
713 T_g (Fig. 6a) and glass former fragility (Fig. 6b and 6c) to be protective during desiccation. In Figure
714 6a we depict a glass with a decreased T_g as one with relatively few and/or weak bonds, which do
715 not effectively slow down molecular motions leading to the destabilization and/or aggregation of
716 embedded clients over time. In contrast, a glass with an increased T_g is one with increased and/or
717 stronger bonds leading to reduction in molecular motion and an increase in stability of a client
718 over time. This is in line with previous suggestions that inducing a super viscous (glassy) state is
719 stabilizing because to unfold a protein would need to displace the embedding media [5,14]. In this
720 light, increased T_g would be protective as materials will become more fluid as the temperature they
721 are stored at approaches T_g . Figure 6b illustrates what we envision is happening when a glass
722 forming mixture forms a weak glass. As water is lost, the weak glass forming ability of the mixture
723 does not become viscous (green) soon enough to prevent drying induced damage (tan).
724 Specifically, a weak glass former material will only begin gaining sufficient viscosity to confer
725 protection after significant water loss. Conversely, Figure 6c illustrates how a strong glass forming
726 mixture starts to gain viscosity (purple) much earlier in the desiccation process compared to
727 weaker glass forming materials. This steady increase in viscosity, allows for the slowing
728 detrimental perturbations (tan) that manifest early during drying. This model implies to some
729 degree that it is the drying process, in addition to being in a dry state, that must be protected against.



730
731 Figure 6: Model of protection conferred by increased glass transition temperature and reduced
732 glass former fragility. a) Schematic representation of the potential mechanism of protection
733 conferred by increasing glass transition temperature. b) Schematic representation of the potential
734 mechanism underlying how damage is accrued in fragile glass former mixtures. c) Schematic of
735 the potential mechanism underlying how damage is prevented in strong glass former systems.

736 While previous studies have examined the glass former fragility of seeds in relationship to
737 their desiccation tolerance, to our knowledge this is the first study examining changes in T_g and
738 glass former fragility in animal and fungal systems [3,9,66–69]. These comparative organismal
739 studies show a stark contrast to our *in vitro* data, in that rather than a single material property
740 correlating with protection, it appears that in living anhydrobiotic systems both increased T_g and
741 reduced glass former fragility are generally increased. This may be due the nature of the *in vitro*

742 systems being simple, or less complex, in their interactions while the *in vivo* studies are by their
743 nature much more complex, both in their material makeup and interactions.

744 These results hint at the fact that living systems likely make use of multiple mediators of
745 desiccation tolerance to produce protective glasses. Indeed, an emerging paradigm in the
746 anhydrobiosis field is that beyond disaccharides, other molecules, such as intrinsically disordered
747 proteins play vital roles in preserving biological function in the solid state.

748 Our study advances our understanding of what properties of a vitrified system promote
749 desiccation tolerance and the phenomenon of anhydrobiosis both *in vitro* and *in vivo*. A deeper
750 understanding of natural desiccation tolerance promises to provide avenues for pursuing real world
751 applications such as biobanking of seeds and tissues, stabilization of pharmaceuticals, and the
752 generation of stress tolerant crops.

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764
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773 **10.1 Author contributions**

774 **John F. Ramirez:** Conceptualization, Methodology, Investigation, Formal analysis, Data
775 curation, Writing - Original Draft, Writing - Review and Editing, Visualization. **U.G.V.S.S.**
776 **Kumara:** Methodology, Investigation, Data Curation, Writing - Review and Editing. **Navamoney**
777 **Arulsamy:** Investigation, Writing - Original Draft, Writing - Review and Editing. **Thomas C.**
778 **Boothby:** Conceptualization, Methodology, Writing - Original Draft, Writing - Review and
779 Editing, Supervision, Visualization, Project administration, Funding acquisition.

780 **11.1 Data availability statement**

781 All raw data used in this paper is supplied in supplemental files.

782 **12.1 Additional information**

783 The authors declare no competing interests.

784

785 **13.1 Supplemental figures:**

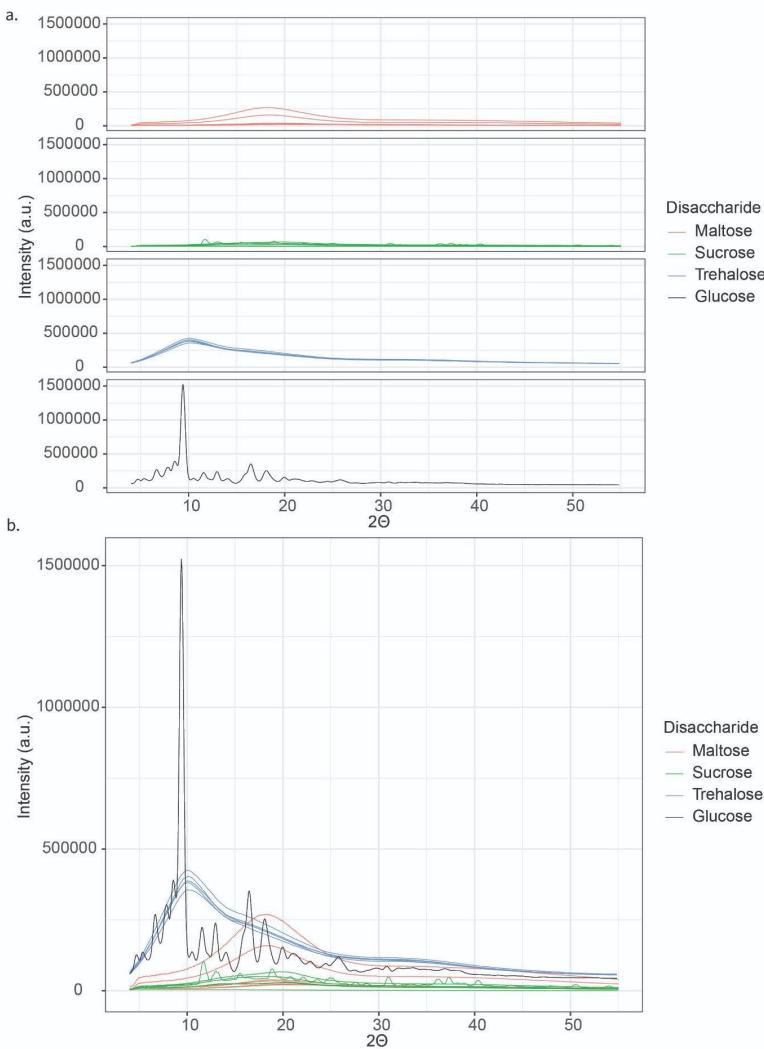


Figure S1: In vitro disaccharide-glycerol mixtures produce non-crystalline amorphous (“glassy”) solids when desiccated. a) XRD intensity (a.u.) values for disaccharide-glycerol mixtures organized by disaccharide. b) XRD intensity (a.u.) values for disaccharide-glycerol mixtures organized by disaccharide content.

786

787 Figure S1: *In vitro* disaccharide-glycerol mixtures produce non-crystalline amorphous (“glassy”) solids when desiccated. a) XRD intensity (a.u.) values for disaccharide-glycerol mixtures organized by disaccharide. b) XRD intensity (a.u.) values for disaccharide-glycerol mixtures organized by disaccharide content.

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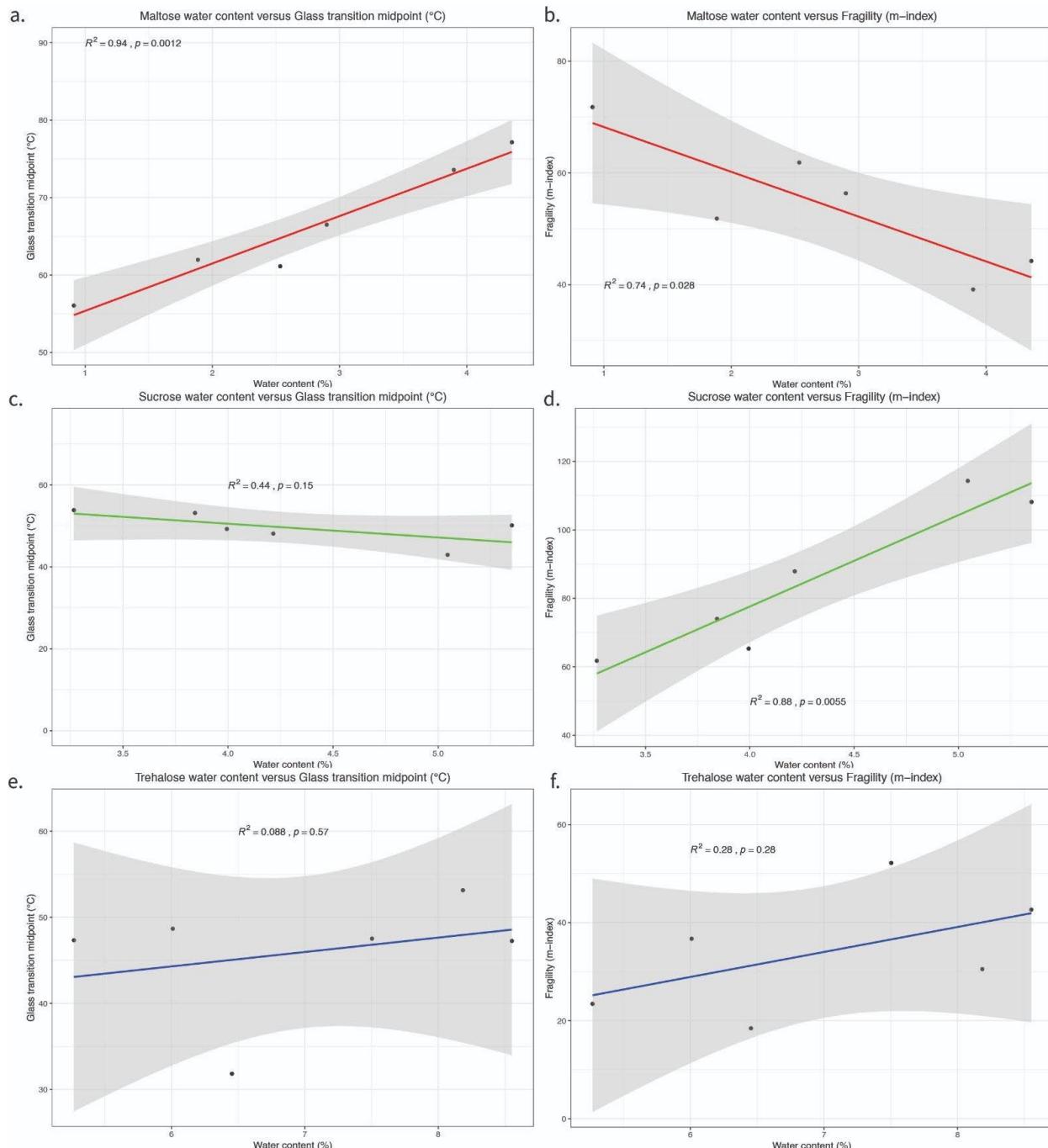


Figure S2: Water content appears to correlate with other material properties for some disaccharide-glycerol mixtures. a) Correlation plot of water content versus glass transition midpoint for maltose-glycerol mixtures. b) Correlation plot of water content versus fragility (m-index) for maltose-glycerol mixtures. c) Correlation plot of water content versus glass transition midpoint for sucrose-glycerol mixtures. d) Correlation plot of water content versus fragility (m-index) for sucrose-glycerol mixtures. e) Correlation plot of water content versus glass transition midpoint for trehalose-glycerol mixtures. f) Correlation plot of water content versus fragility (m-index) for trehalose-glycerol mixtures, error bars represent 95% CI.

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Figure S2: Water content appears to correlate with other material properties for some disaccharide-glycerol mixtures. a) Correlation plot of water content versus glass transition midpoint for maltose-glycerol mixtures. b) Correlation plot of water content versus glass former fragility (m-index) for

796 maltose-glycerol mixtures. c) Correlation plot of water content versus glass transition midpoint for
797 sucrose-glycerol mixtures. d) Correlation plot of water content versus glass former fragility (m-
798 index) for sucrose-glycerol mixtures. e) Correlation plot of water content versus glass transition
799 midpoint for trehalose-glycerol mixtures. f) Correlation plot of water content versus glass former
800 fragility (m-index) for trehalose-glycerol mixtures, error bars represent 95% CI.
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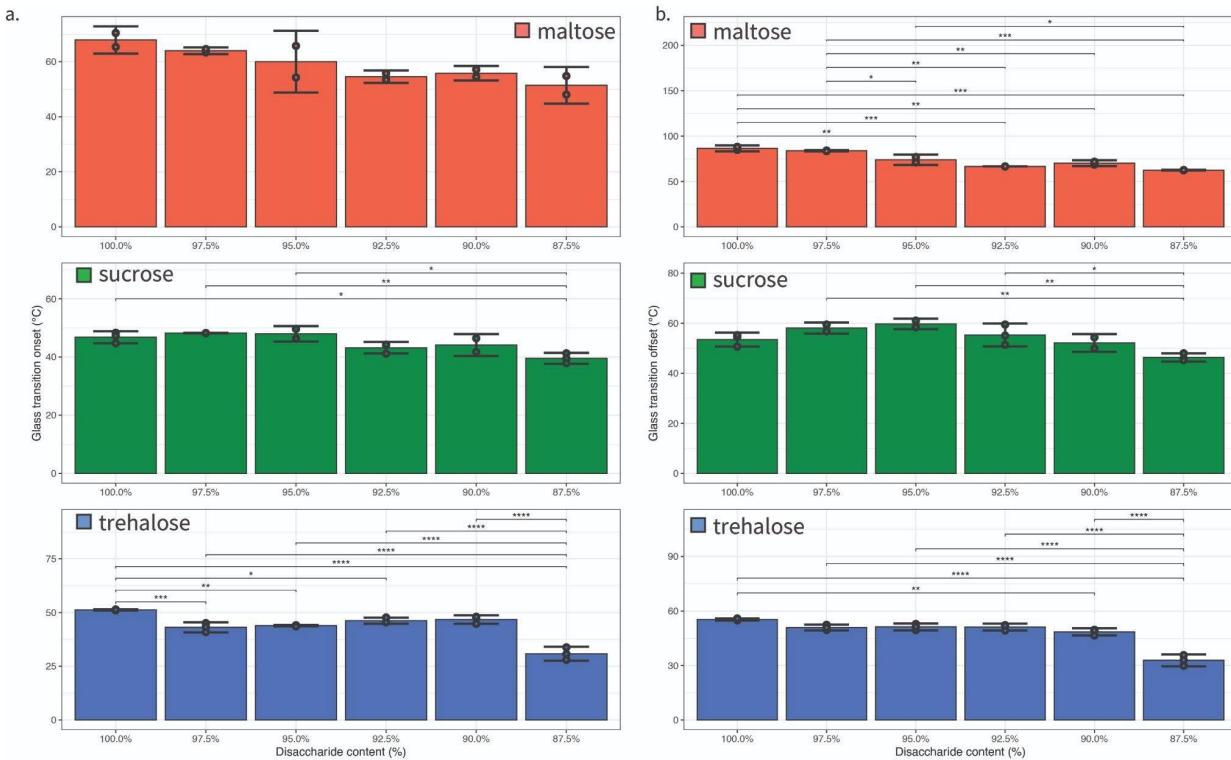


Figure S3: Glass transition onset and offset vary by disaccharide-glycerol mixtures differently than the glass transition midpoint. a) Glass transition onset values for disaccharide-glycerol mixtures organized by disaccharide. b) Glass transition offset values for disaccharide-glycerol mixtures organized by disaccharide. Statistics calculated using a one-way ANOVA and Tukey posthoc test: p-value ≤ 0.05 (*) p-value ≤ 0.01 (**), p-value ≤ 0.001 (***), pairwise relationships not shown are not significant, error bars represent 95% CI.

803
804 Figure S3: Glass transition onset and offset vary by disaccharide-glycerol mixtures differently than
805 the glass transition midpoint. a) Glass transition onset values for disaccharide-glycerol mixtures
806 organized by disaccharide. b) Glass transition offset values for disaccharide-glycerol mixtures
807 organized by disaccharide. Statistics calculated using a one-way ANOVA and Tukey post-hoc test:
808 p-value ≤ 0.05 (*) p-value ≤ 0.01 (**), p-value ≤ 0.001 (***), pairwise relationships not shown are
809 not significant, error bars represent 95% CI.
810

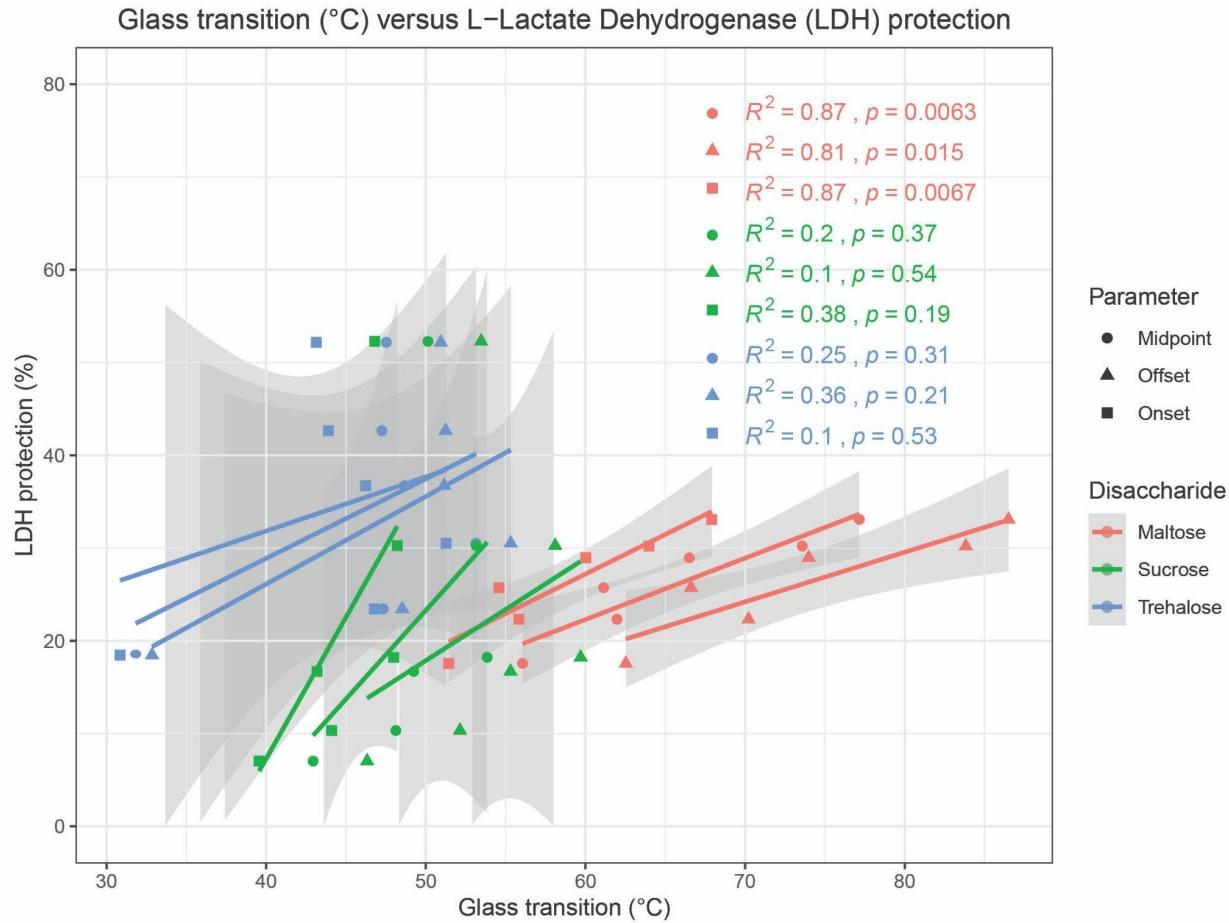


Figure S4: Glass transition onset, midpoint, and offset are better correlated with protection for different disaccharide-glycerol mixtures. Correlation plot of glass transition onset, midpoint, and offset versus protection for maltose-, sucrose-, and trehalose-glycerol mixtures, error bars represent 95% CI.

811
812

813 Figure S4: Glass transition onset, midpoint, and offset are better correlated with protection for
814 different disaccharide-glycerol mixtures. Correlation plot of glass transition onset, midpoint, and
815 offset versus protection for maltose-, sucrose-, and trehalose-glycerol mixtures, error bars
816 represent 95% CI.

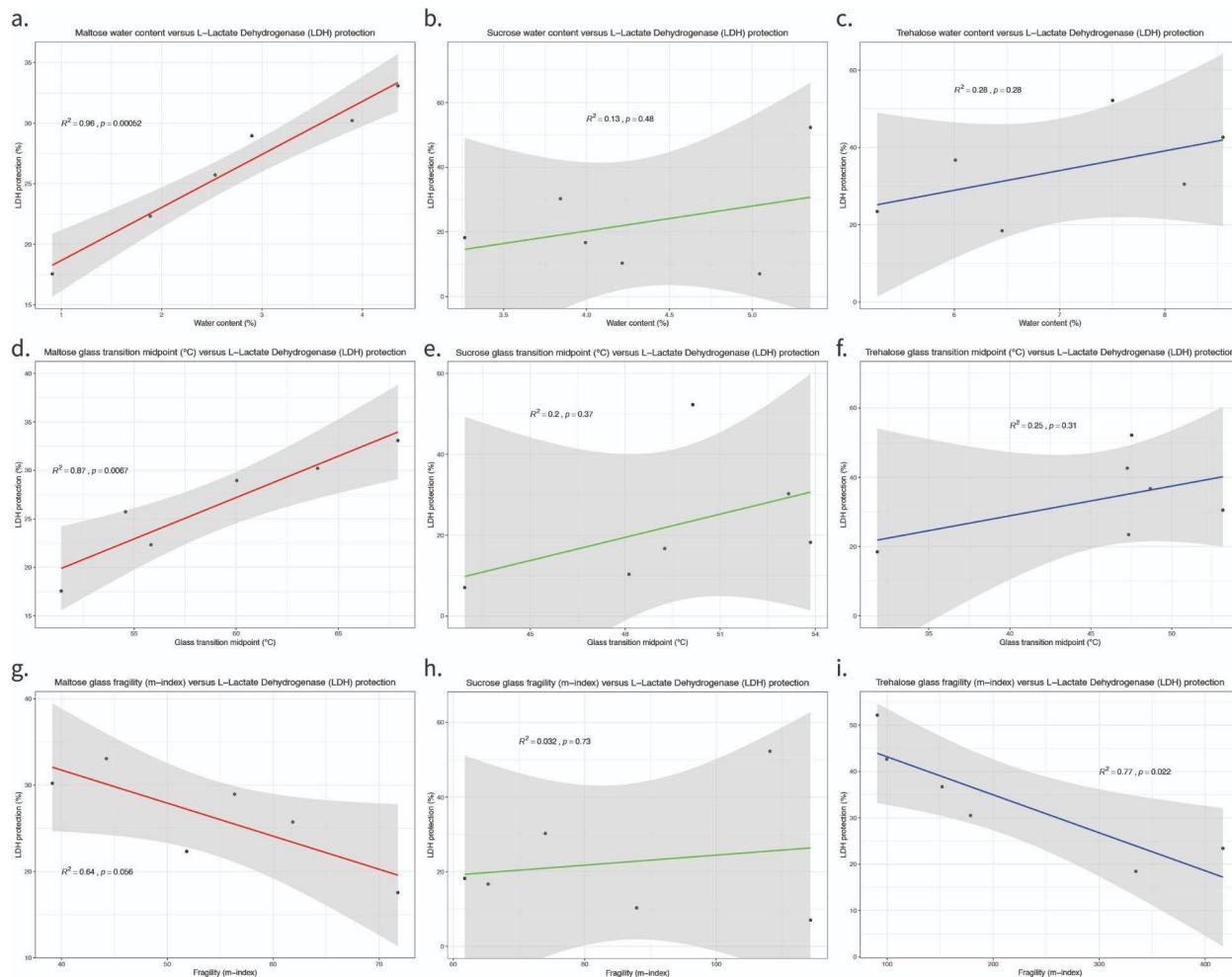


Figure S5: Material property measurements appear to correlate with protection differently for different disaccharides. a) Correlation plot of water content versus LDH protection for maltose-glycerol mixtures. b) Correlation plot of water content versus LDH protection for sucrose-glycerol mixtures. c) Correlation plot of water content versus LDH protection for trehalose-glycerol mixtures. d) Correlation plot of glass transition midpoint temperature versus LDH protection for maltose-glycerol mixtures. e) Correlation plot of glass transition midpoint temperature versus LDH protection for sucrose-glycerol mixtures. f) Correlation plot of glass transition midpoint temperature versus LDH protection for trehalose-glycerol mixtures. g) Correlation plot of fragility (m-index) versus LDH protection for maltose-glycerol mixtures. h) Correlation plot of fragility (m-index) versus LDH protection for sucrose-glycerol mixtures. i) Correlation plot of fragility (m-index) versus LDH protection for trehalose-glycerol mixtures, error bars represent 95% CI.

817
818

819 Figure S5: Material property measurements appear to correlate with protection differently for
820 different disaccharides. a) Correlation plot of water content versus LDH protection for maltose-
821 glycerol mixtures. b) Correlation plot of water content versus LDH protection for sucrose-glycerol
822 mixtures. c) Correlation plot of water content versus LDH protection for trehalose-glycerol
823 mixtures. d) Correlation plot of glass transition midpoint temperature versus LDH protection for
824 maltose-glycerol mixtures. e) Correlation plot of glass transition midpoint temperature versus
825 LDH protection for sucrose-glycerol mixtures. f) Correlation plot of glass transition midpoint
826 temperature versus LDH protection for trehalose-glycerol mixtures. g) Correlation plot of glass
827 former fragility (m-index) versus LDH protection for maltose-glycerol mixtures. h) Correlation
828 plot of glass former fragility (m-index) versus LDH protection for sucrose-glycerol mixtures. i)

829 Correlation plot of glass former fragility (m-index) versus LDH protection for trehalose-glycerol
830 mixtures, error bars represent 95% CI.
831

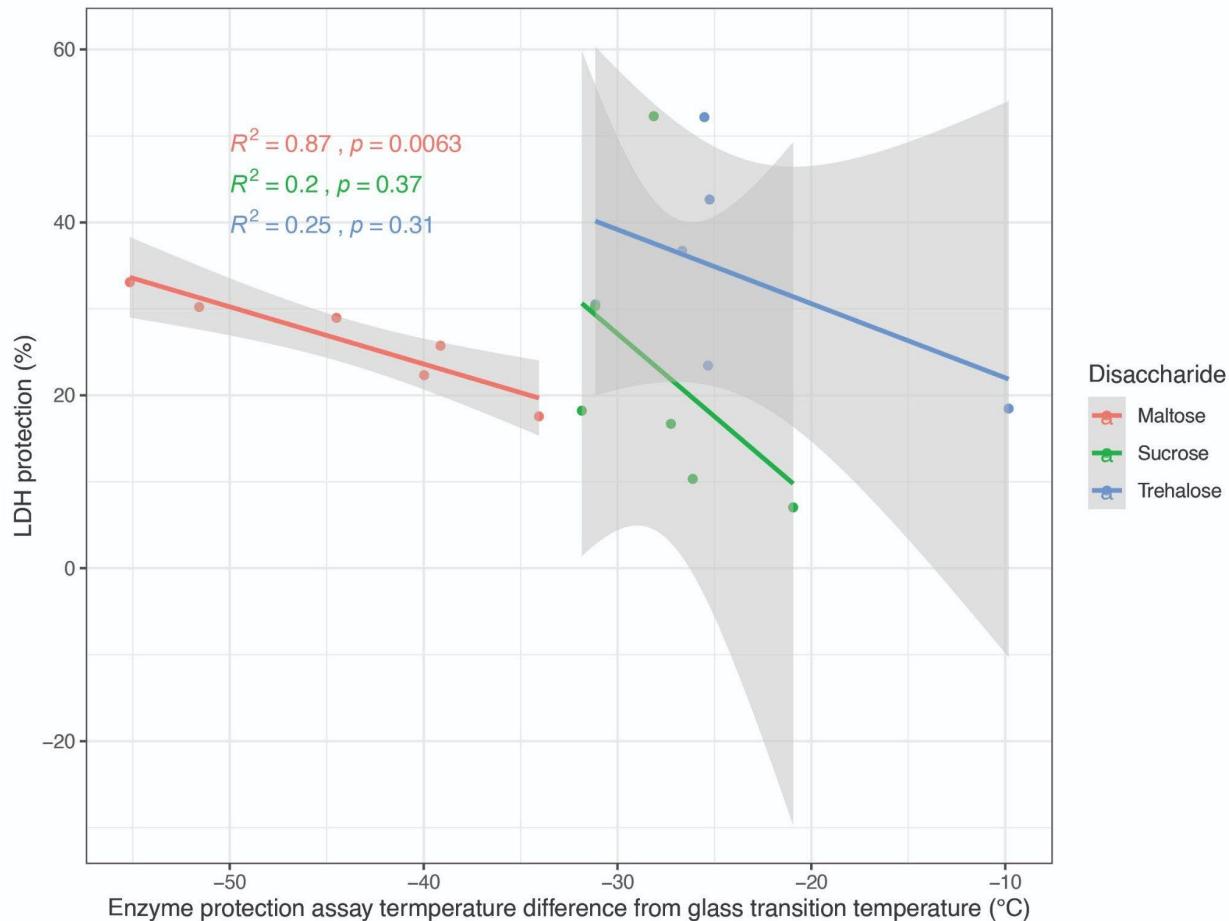
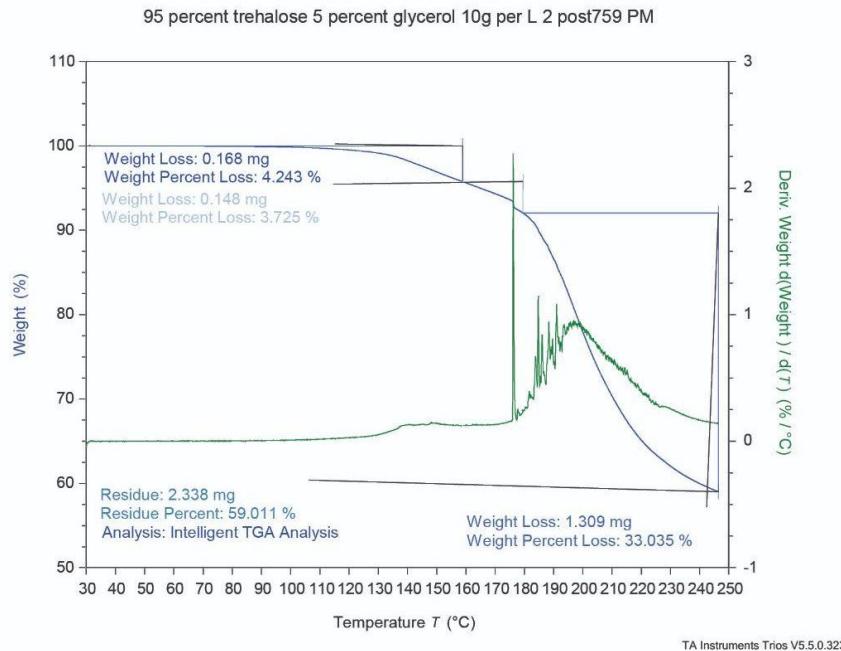


Figure S6: The difference between glass transition temperature and the temperature at which the enzyme protection assay was performed was only significant for maltose-glycerol mixtures. a) Correlation plot of $T_{exp}-T_g$ (22 °C) versus protection for maltose-, sucrose-, and trehalose-glycerol mixtures, error bars represent 95% CI.

832
833 Figure S6: The difference between glass transition temperature and the temperature at which the
834 enzyme protection assay was performed was only significant for maltose-glycerol mixtures.
835 Correlation plot of $T_{exp}-T_g$ (22 °C) versus protection for maltose-, sucrose-, and trehalose-glycerol
836 mixtures, error bars represent 95% CI.

a.



b.

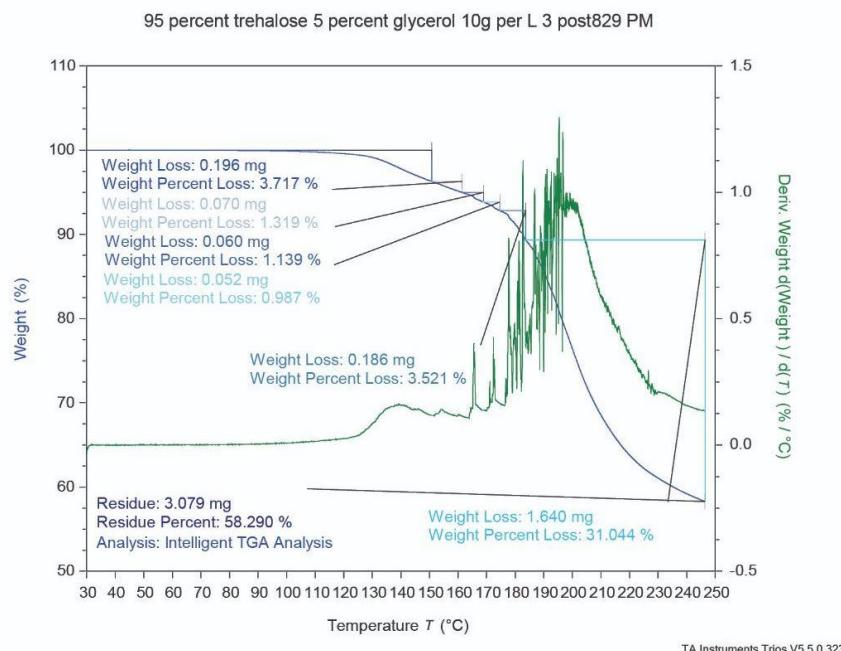


Figure S7: Comparison of simple versus complex interpretation of thermogravimetric analysis results. Step transitions identified by TRIOS Intelligent TGA Analysis. a) Sample TGA thermogram of 95% maltose sample demonstrating easily interpreted results. b) Sample TGA thermogram of 95% maltose sample demonstrating unclear or complex results.

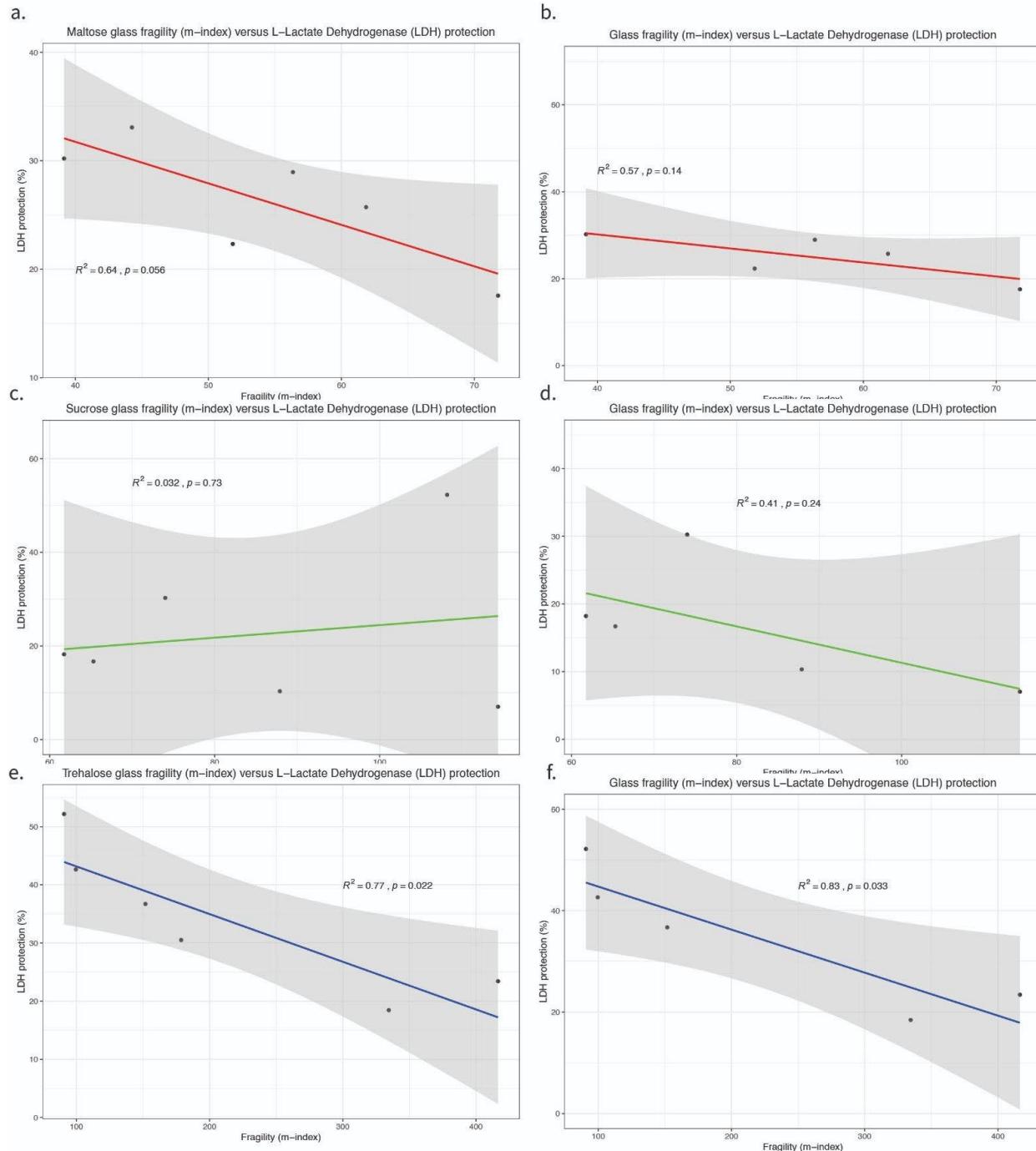


Figure S8: The material properties of pure (100%) disaccharide samples might be different from the material properties of samples with the addition of glycerol. a) Correlation plot of fragility (m-index) versus enzyme protective capacity for maltose-glycerol mixtures. b) Correlation plot of fragility (m-index) versus enzyme protective capacity for maltose-glycerol mixtures without the 100% disaccharide samples. c) Correlation plot of fragility (m-index) versus enzyme protective capacity for sucrose-glycerol mixtures. d) Correlation plot of fragility (m-index) versus enzyme protective capacity for sucrose-glycerol mixtures without the 100% disaccharide samples. e) Correlation plot of fragility (m-index) versus enzyme protective capacity for trehalose-glycerol mixtures. f) Correlation plot of fragility (m-index) versus enzyme protective capacity for trehalose-glycerol mixtures without the 100% disaccharide samples, error bars represent 95% CI.

840 **14.1 Supplemental files:**

841 File S1: Processed LDH, TGA, and DSC data

842 File S2: Raw TGA data

843 File S3: TGA thermograms

844 File S4: Raw DSC data

845 File S5: DSC thermograms

846 File S6: R scripts used in this study

847 File S7: XRD data

848 File S8: Raw LDH data

849 **15.1 References**

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