

## 1 Autoencoder Model for Translating Omics Signatures

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## 12 Abstract

13 The development of effective therapeutics and vaccines for human diseases requires a systematic  
14 understanding of human biology. While animal and in vitro culture models have successfully elucidated  
15 the molecular mechanisms of diseases in many studies, they yet fail to adequately recapitulate human  
16 biology as evidenced by the predominant likelihood of failure in clinical trials. To address this broadly  
17 important problem, we developed AutoTransOP, a neural network autoencoder framework to map  
18 omics profiles from designated species or cellular contexts into a global latent space, from which  
19 germane information can be mapped between different contexts. This approach performs as well or  
20 better than extant machine learning methods and can identify animal/culture-specific molecular  
21 features predictive of other contexts, without requiring homology matching. For an especially  
22 challenging test case, we successfully apply our framework to a set of inter-species vaccine serology  
23 studies, where no 1-1 mapping between human and non-human primate features exists.

24

## 25 Introduction

26 Animal and cellular models are essential tools for studying the underlying biology of human diseases,  
27 but these insights are not always clinically translatable, resulting in the failure of numerous therapeutics  
28 in clinical trials<sup>1,2</sup>. A common approach is to choose orthologous biomolecules, including genes, proteins,  
29 and cellular pathways, to perform direct functional comparisons across species. However, functional  
30 divergence and the absence of orthologous biomarkers can hinder these direct comparisons between  
31 species<sup>3–5</sup>. Furthermore, within the same species, the transcriptional response to chemical stimuli can  
32 be cell type-specific due to distinct genetic profiles, creating an additional barrier to understanding the  
33 mechanism of action of therapeutics<sup>6–9</sup>. Consequently, computational systems-based approaches are  
34 needed to gain a better understanding of the relationship between biological models and translate  
35 information gained from different model systems.

36 Advancements in sequencing technologies have enabled the generation of large-scale datasets from  
37 both animal and human species, facilitating more powerful analyses and comparisons of molecular  
38 features between different biological systems<sup>2,3,10–13</sup>. This has led to the development of numerous new  
39 statistical and machine learning models<sup>3,13–17</sup> for identifying similarities between species and  
40 experimental models. Notably, most existing approaches focus on direct correlations between  
41 analogous biomarkers or processes across species despite known species and model system differences.

42 In an attempt to address this challenge, Brubaker et al. proposed a technique called “Translatable  
43 Components Regression”<sup>18</sup> (TransCompR), which maps human data into the principal component space  
44 of data from another species to identify translatable animal features that can predict human disease  
45 processes and phenotypes. Although this approach has been successfully applied to gain insights into  
46 some inflammatory pathologies<sup>18,19</sup>, it depends on homologs or comparable molecular features between  
47 species. While TransCompR is well suited to identify omics signatures in one species that is most  
48 germane for understanding phenotype characteristics in another, it is not centrally designed to integrate

49 signatures across species. Moreover, this approach is by design only capable of deciphering linear  
50 relationships, thus potentially excluding non-linear biological relationships.

51 With the advent of deep learning, particularly autoencoders, there is great potential to develop  
52 approaches that can approximate the non-linear relationships underlying different biological systems  
53 and species. Autoencoders are artificial neural networks (ANNs) that can embed raw input data into a  
54 lower dimensional space from which the original data can be reconstructed<sup>20</sup>. Autoencoders have been  
55 used in several tasks in biology including analyzing high dimensional data<sup>21,22</sup>, denoising single-cell RNA  
56 sequencing data<sup>23–25</sup>, deciphering the hierarchical structure of transcriptomic profiles<sup>26,27</sup>, and predicting  
57 gene expression caused by various stimuli<sup>28–30</sup>. One such model, DeepCellState<sup>31</sup>, focused on translating  
58 cellular states, can predict the transcriptional profile of a cell type after drug treatment based on the  
59 behavior of another cell type. However, similar to TransCompR, this approach depends on a 1-1  
60 mapping of molecular features between cells to capture a global cell representation. Another recently  
61 proposed framework, is the compositional perturbation autoencoder (CPA)<sup>32</sup>. It can construct a basal  
62 latent space devoid of covariate and perturbation-specific signals, capturing only the basal cell state in  
63 single-cell RNA sequence data. CPA can be used to generate *in-silico* transcriptional profiles at the single-  
64 cell level for different perturbations, cells, and species, although it still requires mapping of orthologous  
65 genes. To overcome such limitations, an approach similar to those used in language translation  
66 autoencoder-based models, which create a global language representation<sup>33,34</sup>, may be useful and could  
67 aid biological inter-systems translation when 1-1 mappings between features do not exist.

68 In this study, we incorporate elements of the CPA approach with ideas from language translation  
69 models<sup>33,34</sup> to develop an ANN framework hence referred to as AutoTransOP, Autoencoders for  
70 Translating Omics Profiles, which utilizes separate autoencoders for each biological system, enabling the  
71 mapping of samples into a global cross-model space, while providing feature importance estimates for  
72 various phenotype-prediction tasks. The basic model is trained to simultaneously minimize the  
73 reconstruction error of the input and the distance between samples coming from the same condition in

74 the global latent space. Our framework is benchmarked, using the latest version of the L1000 dataset<sup>12</sup>,  
75 against the established approaches of TransCompR<sup>18</sup>, FIT<sup>15</sup> and the ANN approach of DeepCellState<sup>31</sup>,  
76 which all require 1-1 feature mapping. We demonstrate that our approach outperforms FIT and  
77 DeepCellState, while there is no difference when comparing with TransCompR in cellular models.  
78 Additionally, we present several variations of the model and we illustrate the adaptability of our  
79 framework by applying it to data of varying omics type and sample size to answer different biological  
80 questions of interest. Furthermore, we demonstrate its biological interpretability, an aspect that deep  
81 learning models often struggle to attain, by using an integrated gradients approach<sup>35</sup>. To analyze the  
82 performance of the model in inter-species translation we performed mouse<sup>36</sup> to human<sup>37</sup> translation of  
83 single-cell transcriptomics of lung fibrosis, as well as non-human primate<sup>38</sup> to human translation<sup>39</sup> of  
84 smaller-scale serology datasets to predict HIV vaccine efficacy in humans. The latter serves as a novel  
85 case study of cross-species translation where no 1-1 mapping between features exists. After building the  
86 model, we identified serological features in non-human primates that are predictive of protection  
87 against HIV in humans, without analogous features necessarily being present in human data. These  
88 findings demonstrate that features derived from this approach can be predictive of the phenotypic  
89 profile of another biological model without requiring them to be homologs, allowing us to maximize the  
90 amount of information we can capture from different model systems to advance our understanding of  
91 complex human disease biology.

## 92 Results

### 93 A flexible framework for omics translation

94 We developed a flexible artificial neural network framework (see methods) for omics translation across  
95 biological models. It consists of separate ANN encoders and decoders for each biological system, e.g. cell  
96 line or species, that share a global latent space (Figure 1a), eliminating the need for a 1-1 mapping  
97 between the features between systems. We implement two main variations of the global latent space  
98 intending to remove the system-specific effect of perturbations. The first variation, which is also the

99 main model variation of this framework (model variation 1), consists of a single global latent space that  
100 is created by maximizing the similarity of embeddings derived from the same condition/perturbation in  
101 a different species or cell line. The second variation (model variation 2) is based on the recently  
102 published compositional perturbation autoencoder (CPA)<sup>32</sup>, where there are two separate latent spaces:  
103 1) a global/basal latent space and 2) a composed latent space. The global latent space expands on the  
104 first variation with an additional discriminator that attempts to remove the cell-line or species effect by  
105 penalizing models where the classifier can detect from which encoder the latent representation  
106 originates<sup>32</sup>. In the composed latent space, a cell/species classifier is simultaneously trained to ensure  
107 there is a cell/species effect, which is either added through a trainable covariate vector<sup>32</sup> or added  
108 through two intermediate ANNs, allowing for non-linearity. We utilize integrated gradients<sup>35</sup> to estimate  
109 feature importance for various predictive tasks. Lastly, we also introduce a variation (model variation 3),  
110 with one single global latent space, where a classifier is simultaneously trained on the global latent  
111 space (see methods). This is a contradictory learning task where the framework attempts to  
112 simultaneously remove the cell line or species effect globally but also hides cell or species information in  
113 a few of the latent variables.

114 Benchmarking reconstruction and translation of gene expression profiles between two cell-lines  
115 First, we compared our ANN framework with state-of-the-art techniques in the context of translating  
116 homologous genes between in-vitro models within the same species. We use the L1000 transcriptomics  
117 dataset<sup>12</sup> to benchmark different approaches to translate the effects of perturbations between different  
118 human cell lines. The two main variations of our approach, as well as the variation where a classifier is  
119 simultaneously trained on the global latent space, are compared with three previously published  
120 approaches (DeepCellState<sup>31</sup>, FIT<sup>15</sup>, TransCompR<sup>18</sup>). As a baseline, the models are also compared to  
121 “direct translation”, i.e. directly using the gene expression profile in one cell line as a prediction for the  
122 effect in another cell line. We evaluate the models both on the task of translating the gene expression  
123 profile between cell lines, as well as the task of accurately reconstructing the gene expression for the

124 same cell line. We evaluate them using several different metrics: i) Pearson's correlation between  
125 predicted gene expression and actual gene expression, ii) the per sample Spearman's rank correlation,  
126 and iii) the accuracy in correctly predicting the sign of drug-induced gene expression.

127 When utilizing the 978 landmark genes measured in the L1000, all of our framework's variations provide  
128 a statistically significant increase in performance compared to the direct translation across all metrics  
129 (Figure 1b, Supplementary Tables 1-4 with p-values). When translating from the HT29 cell line to A375,  
130 our main model variation outperformed FIT<sup>15</sup> and the basic DeepCellState<sup>31</sup> (DCS) methods. When  
131 translating in the reverse direction, from A375 to HT29, our framework also outperforms the different  
132 modifications of DCS (Figure 1b). It can be noted that the 2<sup>nd</sup> modification of DCS that enforces  
133 similarity in the latent space like our model, also outperforms the basic DCS, which may support the  
134 importance of enforcing similarity in the global latent space via some distance metric. For reconstruction  
135 of the input within a single cell line, the basic DCS approach outperforms the other approaches, at the  
136 expense of its translation performance. On this metric, our approach performs well and comparably  
137 with the other methods (Figure 1b). The alternative variations of our framework also perform  
138 comparably well.

139 When using the L1000 dataset with computationally imputed expression of 10,086 genes, the  
140 performance of all approaches drops, though still better than the baseline. There is generally no  
141 statistically significant difference between our approach and the other state-of-the-art approaches  
142 (Figure 1c). Interestingly, our approach performs better than direct translation also in the case of using  
143 different genes as input for each cell line, e.g. using only the 978 landmark genes for the A375 cell line  
144 and all the 10,086 genes for HT29 (Figure 1f). The performance is comparable to that using the same  
145 genes for both cell lines, indicating the potential to later extend the method in cases where no 1-1  
146 mapping exists.

147 Performance in using predicted gene expression to infer transcription factor activity

148 While the performance was worse in predicting the full set of 10,086 imputed genes, we reasoned that  
149 these imputed transcriptomic profiles may still be useful as input into different aggregation methods,  
150 e.g. to infer the activity of transcription factors (TFs). When we inferred transcription factor activity (see  
151 methods), model performance increased relative to using all 10,086 genes and was comparable to that  
152 in the case of the landmark genes (Figure 1b and 1d). Our model was not as successful at predicting  
153 gene set enrichment (Supplementary Method 1, Supplementary Figure 3). Autoencoders have been  
154 previously shown to be capable of capturing regulatory relationships between genes<sup>26,31</sup> but, to our  
155 knowledge, not gene set enrichment, which might explain why we observed increased performance only  
156 when inferring TF activity.

157 Creating cell-line-specific regions in the latent space enables robust cell classification

158 It is important to evaluate whether the cell line or species effect is successfully added to the composed  
159 latent space and whether the framework can retrieve it. To establish the ability of the model to capture  
160 cell-line-specific information we evaluated the performance in classifying the cell line when using all  
161 10,086 genes (Figure 1e) and the landmark genes (Supplementary Table 11) of the L1000 dataset. The  
162 performance of ANN classifiers trained directly on the L1000 gene expression data serves as the  
163 baseline. Classifiers built with pre-trained embeddings, from DCS or our framework with one global  
164 latent space, are expected to have lower performance than the baseline as these approaches generate  
165 embeddings aiming to filter the cell-line effect as much as possible. Our framework seems to be better  
166 at “forgetting” the cell line of origin in the global space than DCS, thus generating more global  
167 embeddings (Figure 1e). Interestingly, when simultaneously training a classifier in the global latent space  
168 we can outperform the baseline while the cell-line effect is still partially filtered in the higher dimensions  
169 (Supplementary Figure 4). The CPA-based model in the composed latent space classifies cell lines with  
170 100% accuracy, even though the similarity of input gene expression data between training and  
171 validation sets, as well as the latent space embedding similarity, is generally low (Supplementary Figure

172 5). The CPA-based framework can create very well-separated cell-line-specific regions (Supplementary  
173 Figure 6) in the composed latent space, indicating the framework's ability to shape the latent spaces  
174 with robust cell-line-specific regions and explaining the observed accuracy.

175 [Analysis of the framework's dependence on different aspects of the data](#)

176 We further investigated how the performance of the framework was influenced by different factors,  
177 focusing on the CPA-like approach. The framework has similar behavior and performance across cell-line  
178 pairs (Figure 2a). For all cell lines, ~600 total training samples are sufficient to train a high-performance  
179 model. Some cell-line pairs perform slightly worse, as the original correlation between the same  
180 perturbations in the cell-line pair correlates with the model's performance (Figure 2b). Interestingly, the  
181 amount of paired conditions, meaning similar perturbations across biological systems, required to  
182 successfully facilitate translation can be as low as ~10-15% of the samples being paired (Figure 2c).

183 Finally, it seems the model is not affected by a moderate imbalance in the number of conditions coming  
184 from each cell line (Figure 2d). Similar trends are observed when using 10,086 genes (Supplementary  
185 Figure 7).

186 [Evaluation of latent space embeddings](#)

187 A global latent space is expected to have several properties to be suitable for translation. We evaluate  
188 the embeddings produced from our framework based on three criteria (Figure 3a-3c): i) different cell  
189 lines should not occupy different subspaces, so embeddings of pairs coming from the same cell line  
190 should not be more similar to each other than embeddings from random pairs, ii) pairs of embeddings  
191 coming from the same condition, regardless of cell line, should be similar, and iii) biological replicates  
192 should give similar embeddings, so pairs of embeddings from biological duplicates should be similar to  
193 each other. We evaluated these criteria using the cosine distance in latent space. No cell-line effect is  
194 observed in the global latent space, both for training and validation embeddings (Figure 3a,  
195 Supplementary Figure 8). Embeddings coming from the same condition are closer to each other than

196 embeddings coming from random pairs (Figure 3b), while biological duplicates are even closer (Figure  
197 3c), validating that indeed we have successfully constructed a stimuli-specific global latent space. Similar  
198 patterns can be observed in the global latent space when using the CPA-based approach (Figure 3d), but  
199 with a cell-line effect visible in the composed latent space, as expected with this method. We use  
200 Cohen's d to quantify the difference between the distributions of cosine distances across all folds in 10-  
201 fold cross-validation (Figure 3e), proving that indeed there is a much higher cell-line effect in the latent  
202 space than the effect in the global latent space.

203 [Interpreting the biological information captured in the parameters](#)

204 Deep learning models are often criticized for their lack of interpretability, so we investigate the  
205 biological information captured by some of the model's parameters. Since only the cell-line effect is  
206 minimized in the global latent space of the CPA-like framework, the trainable covariate (covariates such  
207 as species, cell type etc.) vectors should only add a cell-specific effect. Intuitively, the global latent  
208 embeddings are expected to capture a "zero"/basal cell state corresponding to expression of untreated  
209 cells (controls), and thus the trained covariate which is added to that global representation should be  
210 similar to the composed latent space vectors which now captures the cell line effect. To investigate this  
211 we used control samples from the L1000 dataset not seen by the model during training, as well as  
212 samples coming from untreated cell lines from the Cancer Cell Line Encyclopedia<sup>40</sup> (CCLE), using only the  
213 genes included in the L1000 landmark genes. Additionally, for this investigation two models were  
214 trained completely separately: the original benchmark model of A375/HT29 cell lines and another model  
215 using the PC3 prostate cancer cell line and the HA1E normal epithelial cell line. The latter pair was  
216 chosen because of high model performance (Figure 2a) and because these two cell lines are significantly  
217 different in terms of biology. Each trained covariate, even though the models were trained separately, is  
218 observed to be closer to its respective cell-line control signatures, both when using PCA for  
219 dimensionality reduction (Figure 3f), where clearly defined cell-line specific regions are observed, as well

220 as when using t-SNE (Figure 3g). This demonstrates that some parts of the model are biologically  
221 interpretable and capture specific information.

222 Identification of features that are important for translation and cell classification

223 The framework can be used to identify latent variables and genes that can be of biological importance.

224 As a case study, we selected the model of the PC3 and HA1E cell lines with a classifier trained  
225 simultaneously to classify the cell lines from which the samples were derived (contradictory learning  
226 tasks). To identify the importance of genes according to the model for a variety of tasks with respect to  
227 their output, an integrated gradient-based approach<sup>35</sup> was utilized (Methods) that attributes an  
228 importance score to each variable of interest. Since the same genes are used for both cell lines, it can be  
229 interesting to identify which are important for the model to translate a gene expression profile from one  
230 cell line to another cell line. Interestingly, the model attributes more importance to many genes other  
231 than the gene of interest when translating to across cell lines for the same condition (Figure 4a). In the  
232 case of the landmark genes, that phenomenon is slightly less prominent (Figure 4b). This is particularly  
233 interesting since one of the selected cell lines is cancerous and the other is non-cancerous, suggesting  
234 that the model may avert the fallacy of using the same gene as a proxy for its gene expression across  
235 disparate biological systems. Additionally, the model does not just attribute importance to genes that  
236 are highly expressed, based on Spearman's correlation between the absolute importance scores and the  
237 absolute gene expression (Figure 4c).

238 The simultaneously trained classifier can also be used to identify subsets of latent variables in the global  
239 latent space that are important for classifying samples by cell type. Although the cell line effect is  
240 partially filtered and embeddings coming from the same condition are globally close to each other  
241 (Supplementary Figure 4), there are still 11 latent variables that allow the classification of cell line  
242 (Figure 4d) using a k-means-based approach (see Methods). These latent variables can separate the  
243 samples based on cell line (Figure 4e), even though globally the cell line-specific effect in the latent  
244 space is still filtered out. Genes considered important by the encoders to control these latent variables

245 should be either cell line-specific genes or a subset of genes that can easily distinguish between cell  
246 lines. The importance scores of the genes for each cell line-specific encoder do not correlate at all and  
247 are different between the two cell lines (Figure 4f). It is possible to even train a very simple generalized  
248 linear model to classify cell lines based on gene expression, only using a subset of these important  
249 genes, achieving high performance with only few genes from each cell line (Figure 4g).

250 [Performance in inter-species translation for lung fibrosis](#)

251 Animal models don't recapitulate human biology perfectly, so computational modeling can be used to  
252 improve the translation between humans and animal models. We evaluate the ability of the framework  
253 to perform inter-species translation. We utilize the raw gene counts coming from single-cell RNA-  
254 sequencing of a mouse<sup>36</sup> and human<sup>37</sup> lung fibrosis dataset. Similar to the original CPA study<sup>32</sup>, the  
255 decoders predict a mean and a variance for every gene, derived from a negative binomial distribution.  
256 Furthermore, both a trainable species vector and another trainable cell type vector are added to the  
257 global space, in attempt to minimize both species and cell type effects. We evaluate the performance in  
258 the reconstruction of gene expression profiles and the ability to translate between mouse and human  
259 under 10-fold cross-validation in terms of  $R^2$  of the predicted per gene means and variances, where we  
260 would expect to observe a similar distribution in a successful translation, and thus mean and variance.  
261 Our framework outperforms the other approaches in terms of  $R^2$  of the means both in reconstruction  
262 and translation (Figure 5a). When predicting the within-gene variance, there is not always a statistically  
263 significant improvement, as all approaches have generally low performance (Figure 5b), which suggests  
264 that the models fail to capture variation in gene expression. We do not find any significant difference in  
265 performance between using all genes or just homologs (Figure 5a-5b). It is worth noting that based only  
266 on the human lung fibrosis dataset, three of the top ten genes contributing to the top principal  
267 components do not have homologs in mice (Supplementary Figure 11), meaning that irrespective of  
268 performance, a method considering only homologs would exclude important genes for lung fibrosis.

269 We also evaluate the ability of each approach to classify fibrosis, species, and cell type and to classify  
270 correctly a signature as a different species when that is translated in the composed latent space, by  
271 adding a different species effect. In our framework, utilization of all genes outperforms the homolog  
272 genes approaches in predicting fibrosis and species-translation, though the performance of all  
273 approaches is high (Figure 5c). Similar to what was observed for the L1000 dataset, species and cell type  
274 are perfectly predicted in our framework. Additionally, both the species and cell-type effects are filtered  
275 (Figure 5e-5f, Supplementary Figures 9-10) in the global latent space compared to the composed latent  
276 space, meaning the model succeeds in removing the cell type and species effect in the global latent  
277 space and then retrieving it again in the composed latent space.

278 [Generalization in other disease datasets](#)

279 Models that are trained on a specific data set can often perform worse on external test sets, and it is,  
280 therefore, useful to investigate to which extent the model can predict disease, species, and cell types in  
281 other datasets, as well as different tissue and disease datasets. For this, we use an independent dataset  
282 on mouse lung fibrosis<sup>41</sup> and a dataset on human liver cirrhosis<sup>42</sup>. In the mouse dataset, even though  
283 different genes were measured than those in our model, the performance is still decent in disease  
284 classification (Figure 5d). For the human dataset, which is an extreme case of fibrosis in a different  
285 organ, the model has markedly lower performance although better than chance (Figure 5d).  
286 Interestingly, in both cases, the model can still perfectly identify cell types and species (Figure 5d), once  
287 again displaying the model's ability to capture the general characteristics of the system.

288 [An inter-species model from serology data for predicting protection against HIV](#)

289 As a final case study, we developed a model for cross-species translation of serology data, where there  
290 are no 1-1 mapping of features, to predict vaccine-induced protection from HIV in humans. Previous  
291 failed HIV vaccine trials have suggested that neutralizing antibody titers, the primary outcome for most  
292 vaccine trials, do not consistently correlate with vaccine efficacy. Moreover, recent research suggests

293 that deeper characterization of the antibody response, including antibody subtype prevalence and Fc-  
294 receptor binding affinity, may be necessary to predict the quality of the vaccine response. Notably, the  
295 primary challenge in comparing pre-clinical animal models and human clinical trial data in this context is  
296 that antibodies and Fc-receptors with similar names across species can be structurally and functionally  
297 distinct and orthologous features might not exist. Our ANN approach has the potential to advance our  
298 understanding of which preclinical features might best predict efficacy of a HIV vaccine. Here we utilize  
299 serology data from non-human primate (NHP) and human datasets <sup>38,39</sup> following vaccination against  
300 SHIV and HIV, respectively. In this case, we added a non-linear species effect using two small  
301 intermediate fully-connected neural networks with non-linear activation functions (Figure 6a). In line  
302 with other models constructed using this framework, the model was trained so that protected  
303 individuals are close in the global latent space regardless of species. While two separate classifiers try to  
304 predict vaccination status and protection in the global space (Figure 6a), a third classifier predicts  
305 species in the composed latent space. For the human serology features, the model has high  
306 performance when reconstructing each feature (Figure 6b,  $r = 0.89 \pm 0.01$ ). In NHPs, while some features  
307 are not predicted well and there is a big variation in performance between folds, the overall  
308 performance is still good (Figure 6c,  $r = 0.71 \pm 0.04$ ). Finally, the performance across all classification tasks  
309 is exceptionally high (Figure 6d) including 100% accuracy in species classification and translation, which  
310 is evaluated by how well the species classifier predicts species label when translating a signature to  
311 another species in the latent space.

312 Using the model, we aimed to identify features from both species that are predictive of human  
313 protection. For this, we performed the integrated gradient approach in parallel to likelihood ratio tests  
314 (LRT) on each latent variable (see methods). Latent variables are denoted as important in predicting  
315 human protection only if there is an agreement between the likelihood ratio test results and the  
316 integrated gradients (Supplementary Figure 17). The human features identified indeed have a  
317 statistically significant difference between protected and non-protected individuals (Figure 6e). Finally,  
318 we identified NHP features that have a high gradient score when translating to human signatures,

319 meaning these NHP features are predictive of human features linked with viral protection (Figure 6f).  
320 These features are not necessarily associated with NHP protection (Supplementary Figure 18) but they  
321 could be predictive of human protection. Notably, while the top human features identified are generally  
322 related to IgG titers, the top NHP features are mostly related to the Fcg-2A and Fcg-3A receptors.  
323 Further analysis of feature importance could potentially identify a set of serological biomarkers in NHPs  
324 that is highly predictive of human HIV vaccine efficacy.

325 **Discussion**

326 Here we develop AutoTransOP, an ANN framework that facilitates the translation of omics profiles  
327 between different biological systems. The framework builds upon ideas of the CPA approach<sup>32</sup> and other  
328 species and cellular translation methods<sup>13,15,18,31</sup>, together with ideas from language translation  
329 models<sup>33</sup>. The explicit goal is to align omics signatures between systems, rather than identifying what  
330 information inherent in the signature of one system is most germane for understanding phenotype  
331 characteristics in the other, which has been the objective in many previous studies<sup>16-19</sup>. The framework  
332 performs as well as (or even better than) other state-of-the-art translation techniques, when using  
333 homolog features between systems, and performs similarly also without a 1-1 mapping between  
334 features. Notably, the framework constructs a truly global latent space with stimuli-specific regions, for  
335 which classifiers can be jointly trained to make predictions for various tasks such as the diagnosis of  
336 diseases.

337 Most current approaches to translating between systems require homolog features and utilize linear  
338 transformations to facilitate translation<sup>13-18</sup>, and are thus restricted to represent linear inter-species  
339 relationships. Also, the non-linear ANN-based approach DeepCellState<sup>31</sup> requires homology of the  
340 molecular features used to describe the biological systems. In contrast, our framework can represent  
341 non-linear relationships between different biological systems, without requiring any kind of homology,  
342 and achieves high performance using only a small percentage of paired conditions. This enabled us to  
343 train a translation model on serology datasets for which a 1-1 mapping of the features between the two

344 biological models did not exist. Through interpretation of this model, relationships between very  
345 different molecular profiles that correlate with specific phenotypes can be identified, e.g. protection  
346 against infection.

347 Interpretability of deep learning models in biology remains a challenge. These models have been  
348 criticized for providing a poor understanding of which biological relationships they capture<sup>43,44</sup>. On this  
349 front, we demonstrate in our framework how integrated gradient approaches<sup>35</sup> can be used to estimate  
350 the importance of features used by different parts of the framework for various tasks, enabling some  
351 biological interpretation of the model. Based on this, we could propose serological features predictive of  
352 human protection against HIV, including non-human primate-specific features that can be observed in  
353 preclinical stages of vaccine development. Finally, elements of the framework can be used to interpret  
354 and successfully retrieve the effects of species or cell types, filtered from the global latent space. This  
355 can explain the ability of the framework to predict cell types and species with high performance also in  
356 independent disease datasets, derived from different organs/tissues. However, there are still limitations  
357 in the generalization of the models to external datasets. In particular, the performance on such  
358 datasets drops significantly as samples from different pathologies and tissues are considered. Even  
359 within the same disease, the inclusion of different features can lead to reduced performance in  
360 predicting disease diagnosis.

361 Despite our framework being trained successfully on datasets with relatively small sample sizes, the  
362 model still contains many parameters, especially when using a larger number of features, which  
363 inevitably leads to overfitting. Some of these shortcomings could likely be alleviated by applying our  
364 framework to larger datasets, such as ARCHS4<sup>10</sup>, which contains hundreds of thousands of publicly  
365 available RNA-sequencing data from humans and mice. Training with more data and more diverse  
366 unique conditions may enable higher generalization and higher granularity in modeling different  
367 biological covariates. Additionally, with the advent of Natural Language Processing (NLP) models<sup>45</sup> and  
368 attention-based models<sup>46</sup>, our encoder modules could potentially be modified with NLP-like

369 representations. Recently, Geneformer<sup>47</sup>, an attention-based model, was pre-trained on a corpus of 30  
370 million single-cell transcriptomic profiles and was proven to be context-aware of the system it encodes.  
371 Although it still requires some level of homology, it paves the way to utilize NLP approaches for transfer  
372 learning in biology, and ultimately translation.  
373 The flexibility of our framework allows the modeling of many different biological systems. This could  
374 lead to the computational optimization of biological systems and assays aiming to model human  
375 pathology. Using our framework, we can both explore potential transcriptional modifications to design  
376 better disease models and identify features predictive of human biology without requiring homology  
377 between systems, ultimately reducing resources spent during experimental modeling and potentially  
378 expediting the translation of in-vitro and pre-clinical findings to human therapeutic advancement.

## 379 Methods

### 380 Pre-processing of *in-vitro* transcriptomics benchmark dataset

381 The L1000 CMap resource<sup>12</sup> contains bulk gene expression data from drug perturbations across different  
382 cell lines and provides a benchmark dataset with diverse conditions and a large sample size (for a total  
383 of 720,216 samples of drug perturbations of varying quality). Additionally, several equivalent  
384 perturbations across different biological systems are available (406 *paired conditions* for the case of  
385 A375 and HT29 cell lines after filtering and pre-processing, explained below) to evaluate the  
386 performance in translating omics profiles. We selected high-quality drug perturbations from the latest  
387 version of the L1000 dataset (accessed via [clue.io](https://clue.io)). The level 5 z-score transformed and pre-processed  
388 differential gene expression data of 978 landmark genes, measured with the L1000 assay, and  
389 additionally, 9,196 computationally inferred genes in the CMap resource that were marked as well-  
390 inferred, were considered in the subsequent analysis. We consider perturbations as high-quality if they  
391 consist of signatures with more than three replicates, where at least half of them passed the standard  
392 quality control protocols in the assay, as provided in the dataset, and were not identified as statistical

393 outliers (as considered by the L1000). Additionally, where multiple-signature perturbagens, i.e. technical  
394 duplicates, only the signature with the highest transcriptional activity score (TAS) across these technical  
395 duplicates was retained in the dataset, these signatures are labeled 'exemplars' by the CLUE platform  
396 and are specifically designated for further analysis by the platform<sup>48</sup>. The TAS metric is provided along  
397 with the L1000 dataset and quantifies signal strength and reproducibility. Finally, the ability to  
398 distinguish between random signatures and true biological duplicates, meaning the same perturbagen  
399 tested on the same cell line for the same duration and dosage, was evaluated for different parts of the  
400 dataset, split using varying TAS thresholds (Supplementary Figure 19) and samples with a TAS $\geq$ 0.3 were  
401 retained. After filtering and 13,699 samples remained, with 1107 conditions available in total for the  
402 HT29 cell line and 1213 for the A375 cell line. In the case of control signatures, we followed the same  
403 procedure but without filtering based on TAS.

404 [Pre-processing single-cell RNA sequencing interspecies datasets](#)

405 For the human and mouse single-cell RNA-sequencing datasets, we first re-annotated manually each  
406 annotated cell into one of the four classes: i) immune cells, ii) mesenchymal cells, iii) epithelial cells, iv)  
407 endothelial cells, and iv) stem cells. These high-level labels were later used to remove cell effect from  
408 the global latent space and were also used in the subsequent cell-type classification. Finally, while the  
409 raw gene counts are used for reconstruction from the decoder in the loss function, the encoders take as  
410 input the log-transformed counts ( $x_{input} = \log_{10}(count + 1)$ ), which acts as an activation function in  
411 the first layer of the encoder.

412 [Pre-processing of the serology datasets](#)

413 For all serology data, we aimed to construct a model using only antibody and receptor measurements.  
414 The human data were retrieved from Chung, Kumar, Arnold et al.<sup>39</sup> upon request, the avidity molecular  
415 features were dropped and the data were z-scored per feature. The non-human primates' data were  
416 retrieved from Barouch, Tomaka, Wegmann, et al.<sup>38</sup> upon request, the samples taken in week 28 were

417 used, and antibody-dependent cellular function features and mass spectrometry data were dropped.

418 The data were log-transformed ( $x = \log_{10}(MFI + 1)$ ), the median per feature from controls is

419 subtracted from each feature to standardize the data. Finally, the data are z-scored per feature.

420 [The general framework and the training procedure](#)

421 In this implementation, the framework always models pairs of systems for translation, species, or cell

422 lines. Each is modeled with separate encoders and decoders for each of the species or cell lines in the

423 pair attempting translation, while inside a latent module, the global latent space is shaped (Figure 1a).

424 Both the encoders and the decoders are multi-layered neural networks, with each layer consisting of,

425 sequentially: a fully-connected layer, a batch normalization layer<sup>49</sup>, an ELU activation function<sup>50</sup>, and a

426 dropout layer<sup>51</sup>. The final output layer of the encoder and the decoder consists of only one fully

427 connected layer without a trainable bias term.

428 For the construction of the global latent space several metrics are optimized: the distance ( $L_{distance}$ )

429 between embeddings of profiles coming from different systems undergoing the same perturbation is

430 minimize and their cosine similarity ( $L_{cosine}$ ) and mutual information ( $L_{MI}$ , see details below) is

431 maximized; and the divergence of the distribution of the latent variables from a random uniform

432 distribution is minimized ( $L_{prior}$ ). Both cosine similarity and Euclidean distance losses were added to

433 enforce the strongest possible filtering of species and cell type effect, while the cosine similarity also

434 enforces normalization of the latent embeddings. This is achieved using two different ANN

435 discriminators, as previously proposed in the MINE<sup>52</sup>, Deep InfoMax<sup>53</sup> and InfoGraph<sup>54</sup> studies, where

436 the Jensen-Shannon Mutual Information between embeddings coming from the same perturbation is

437 estimated and the extra prior loss is calculated and added in the final loss, according to the following

438 equations with the implementation taken from the deepSNEEM model<sup>55</sup>.

439 •  $L_{prior} = \frac{1}{N} \sum_{i=1}^N \left[ \log(Discr_2(v_i)) + \log(1 - Discr_2(z_{g_i})) \right]$ , where  $v_i$  is a randomly  
440 sampled embedding from a prior random uniform distribution ranging from 0 to 1 and  $z_{g_i}$  is a  
441 global latent space embedding. N is the number of samples in a batch during training.

442 •  $L_{MI} = -(MI^+ - MI^-)$ ,  $MI = \frac{1}{N_1 + N_2} \sum_{i=1}^{N_1} \sum_{j=1}^{N_2} Discr_1(z_{g_i}, z_{g_j})$ , where  $MI^+$  and  $MI^-$  are  
443 respectively the mutual information between pairs derived from the same conditions and pairs  
444 coming from different conditions, averaged for every possible pair in a batch during training.  
445  $z_{g_i}, z_{g_j}$  are global latent space embeddings, whose mutual information is estimated by the  
446  $Discr_1$  discriminator.

447  $Discr_1$  is the discriminator estimating the mutual information between two embeddings from the global  
448 latent space. It takes as input two global latent space embeddings and passes them through the same 3  
449 fully-connected layers, each of them followed by a ReLU activation function<sup>50</sup> and one fully-connected  
450 skip connection. Then the product of the result of this non-linear transformation of the two embeddings  
451 is used to approximate a lower bound of their Mutual Information, as proposed originally in MINE<sup>52</sup> and  
452 Deep InfoMax<sup>53</sup>.  $Discr_2$  is the second discriminator which takes as input an embedding vector and  
453 calculates the probability a point in this embedding space is sampled from a specific distribution. This  
454 way  $L_{prior}$  forces each feature of the learned embeddings to be sampled from a distribution close to the  
455 random uniform distribution ranging from 0 to 1. It has three similar fully-connected layers and the final  
456 scalar output is passed through a sigmoid activation function<sup>50</sup>. These regularization loss terms  
457 ( $L_{distance}$ ,  $L_{cosine}$ ,  $L_{MI}$ ) are calculated and averaged across every pair of global embeddings ( $z_{g_i}, z_{g_j}$ )  
458 that are coming from the same condition. The  $L_{prior}$  is calculated for every sample in the dataset,  
459 meaning every global latent embedding and averaged across samples. For the case of the L1000 dataset,  
460 we consider similar perturbations those that are coming from experiments of the same drug, tested on  
461 the same cell line, with the same dose and time duration. For the lung fibrosis dataset, similar profiles  
462 are considered those coming from samples that have the same diagnosis (fibrosis or not). For the

463 serology datasets, we train the framework so that embeddings coming from protected individuals  
464 against HIV are close to each other regardless of species (and even vaccination status)

465 The basic task of this autoencoder framework is reconstruction, which is achieved by minimizing some  
466 kind of reconstruction loss ( $L_{recon}$ ). In the case of z-scored profiles from bulk data, this is done by  
467 minimizing the mean sum of squared errors between the input of the encoders and the output of the  
468 decoders. The sum of squares error is averaged across samples. For only the case of single-cell RNA-  
469 sequencing data, based on the implementation proposed in the CPA manuscript<sup>32</sup> (found here  
470 <https://github.com/facebookresearch/CPA>), the negative binomial negative log-likelihood is used to  
471 optimize the reconstruction, by assuming that the data are derived from a negative-binomial  
472 distribution characterized by a mean and variance that are both predicted. The negative binomial  
473 negative log-likelihood loss is calculated for every sample and the average across all samples in the  
474 batch is minimized.

475 Classifiers are used for different classification tasks. These consist of multiple fully connected layers and  
476 a final SoftMax activation function before the output. The average entropy loss across samples for every  
477 classification task in the latent space is minimized:  $entropy_i =$   
478  $\frac{1}{N} \sum_{j=1}^N CrossEntropy(Classifier_i(z_j), label_j)$ , where  $entropy_i$  is the average cross entropy between  
479 every  $j^{th}$  prediction of a classifier taking a latent vector as input and the true label for that sample.

480 L2-regularization of the weights and bias of the encoders ( $L2_{encoder,i}$ ), decoders ( $L2_{decoder,i}$ ), and  
481 classifiers ( $L2_{classifier,i}$ ) is also enforced by minimizing the sum of squares for the aforementioned  
482 trainable parameters.

483 Taken together, for the basic variation the following loss function is optimized

484  $Loss_{basic} = \lambda_{recon} * L_{recon} + \lambda_{distance} * L_{distance} + \lambda_{MI} * L_{MI} + \lambda_{prior} * L_{prior} + \sum_{i=1}^2 (\lambda_{enc,i} *$   
485  $L2_{encoder,i}) + \sum_{i=1}^2 (\lambda_{dec,i} * L2_{decoder,i}) + \sum_{i=1}^M (\lambda_{L2class,i} * L2_{classifier,i}) + \sum_{i=1}^M (\lambda_{class,i} *$   
486  $entropy_i) - \lambda_{cosine} * L_{cosine}$ , where M is the number of classifiers and thus individual classification

487 tasks, and the rest of the terms, together with how they are calculated, have already been described in  
488 the previous paragraphs of this section. For values for each of the  $\lambda$  used in the loss function see  
489 Supplementary Table 5.

#### 490 Variation of the global latent space with a simultaneously and competitively trained classifier

491 For the variation of the global latent space with a simultaneously and competitively trained classifier the  
492 aim is to embed some species or cell line information in some of the latent variables. A simple classifier  
493 for correctly predicting the cell line label is trained simultaneously on the global latent space with the  
494 rest of the framework and an entropy loss is added to the original description of the framework. The  
495 construction of a global latent space and the training of the classifier are competing tasks, where the  
496 framework is trained to achieve a stable trade-off.

#### 497 CPA-based variation of the framework

498 For the CPA-based framework, the global latent space is expanded by augmenting the loss function with  
499 some additional terms.

500 An adverse classifier of species and cell types is added. As described in the original CPA manuscript<sup>32</sup>,  
501 during training we iterate between training the classifier (updating only its parameters) on the global  
502 latent space, and training the rest of the framework with the addition of a penalty ( $\text{entropy}_{\text{adverse}}$ ) if  
503 the classifier correctly classifies species and cell types. To improve the robustness of the discriminator it  
504 is initially pre-trained only with encoders and discriminators, without other classifiers and the decoders,  
505 so that it can already distinguish cell types and species in the global space.

506 Furthermore, species and cell type effects are added to the latent space via trainable vectors. In the  
507 newly composed latent space, from which the decoders are sampling embeddings, classifiers are jointly  
508 trained to correctly classify cell types, and species (or even disease diagnosis). Additionally, the trainable  
509 vectors are regularized by the L2 norm ( $L2_{\text{trained}_{\text{effect}}}$ ). All the above can be summarized in this new  
510 loss function:

511 
$$Loss = Loss_{basic} - \lambda_{adverse} * entropy_{adverse} + \lambda_{trained\_effect} * L2_{trained\_effect}$$

512 [Framework for the serology datasets](#)

513 In the serology dataset we utilize the CPA-based framework but instead of adding the species effect with  
514 trainable vectors, small artificial neural networks are utilized consisting of two fully-connected layers.

515 L2-regularization terms for these small ANNs are added to the training loss function.

516 Additionally, it is aimed to later identify features predictive of protection or vaccination status regardless  
517 of species. For this purpose, we train two classifiers predicting vaccination and protection status in the  
518 global latent space. We care more about protection and thus, as described previously, we aim to create  
519 similar embeddings and minimize their distance in the global latent space just by looking at protection  
520 status.

521 [Framework's basic hyperparameters](#)

522 Here we present the basic parameters used to train the model. No thorough hyperparameter tuning was  
523 performed, and values were selected based on empirical values and tuned so that there is convergence  
524 in the training loss and the training reconstruction performance (Pearson's r and/or R<sup>2</sup>). Additionally,  
525 these values were also tuned so that the performance in training (not validation) is sufficiently high,  
526 meaning that the model is at least able to fit the given data. This empirical tuning was done only based  
527 on the 1<sup>st</sup> training set in 10-fold cross-validation.

528 The latent space dimension was chosen to be as small as possible until the model's performance  
529 dropped in both training and validation of only the 1<sup>st</sup> fold. Based on this latent dimension and the  
530 original input dimension of the data the sizes of hidden layers of the encoders were chosen to be in-  
531 between, gradually reducing the input dimension to that of the latent space. The actual size and number  
532 were constrained by practical memory limits. The decoders had the same number and sizes of hidden  
533 layers as those of the encoders, but now they increase the size of the embeddings from the latent  
534 dimension to the original input dimension.

hyperparameter	L1000: 978 genes	L1000: 10,086 genes	Lung fibrosis	Serology
<i>latent dimension</i>	292	1025	512	32
<i>hidden encoder layers dimensions</i>	[640,384]	[4096,2048,1024,512]	[4096,2048]	[64]
<i>hidden decoder layers dimensions</i>	[384,640]	[512,1024,2048, 4096]	[2048, 4096]	[64]
<i>cell type classifier hidden layer dimensions</i>	[256,128,64]	[512,256,128]	[256,128,64,32]	-
species classifier hidden layer dimensions	-	-	[256,128,64,32]	[32,16,8]
fibrosis classifier hidden layer dimensions	-	-	[256,128,64,32]	-
serology phenotype classifiers classifier hidden layer dimensions	-	-	-	[32,16,8]
adverse classifiers hidden layers dimensions	[256,128,64]	[512,256,128]	[512,256,128,64]	[32,16,8]
intermediate ANN translators hidden layers dimensions	-	-	-	[32]
<i>total batch size</i>	512	512	1024	2000
<i>number of epochs</i>	1000	1000	200	50
<i>learning rate</i>	0.001	0.001	0.001	0.001

535

536 [Evaluation procedure and metrics](#)

537 The model performance was evaluated using 10-fold cross-validation. One fold of the data was hidden

538 during training and used to evaluate performance in unseen data, and 90% of the data from each system

539 (species or cell line in the case of L1000) were. For the L1000 dataset, for evaluating the translation of

540 the whole omics profile, we made sure that for the case of paired conditions, the perturbation in both

541 cell lines was hidden during training.

542 The classification tasks were evaluated by total accuracy and F1-score (or micro F1 for multiple

543 categories):

544 
$$\text{Accuracy} = \frac{\sum_{i=1}^K \text{TP}_i + \sum_{i=1}^K \text{TN}_i}{\sum_{i=1}^K \text{TP}_i + \sum_{i=1}^K \text{TN}_i + \sum_{i=1}^K \text{FP}_i + \sum_{i=1}^K \text{FN}_i},$$

545 
$$\text{F1}_{\text{micro}} = \frac{\sum_{i=1}^K \text{TP}_i}{\sum_{i=1}^K \text{TP}_i + \frac{1}{2} * (\sum_{i=1}^K \text{FP}_i + \sum_{i=1}^K \text{FN}_i)},$$

546 where K is the total number of classes in multi-class classification, TP and FP symbolize true and false  
547 positives, and TN and FN symbolize true and false negatives. For the case of multiple classes, we define  
548 as positives the samples belonging to that specific class while everything else is a negative sample. Using  
549 this definition of positives and negatives we further calculate the TP, FP, TN and FN per class. In the case  
550 of cell-type classification in lung fibrosis K=5.

551 For the cell line classification in L1000, species classification both in lung fibrosis and the serology  
552 datasets, and vaccination and protection status in the serology dataset we use the F1 score and accuracy

553 for binary classification (Accuracy =  $\frac{\text{TP}+\text{TN}}{\text{TP}+\text{TN}+\text{FP}+\text{FN}}$  ,  $\text{F1} = \frac{\text{TP}}{\text{TP}+\frac{1}{2}(\text{FP}+\text{FN})}$ ).

554 To evaluate the validity of the predictions ( $\hat{y}$ ) of whole signatures in translation and reconstruction,  
555 compared to the ground truth ( $y$ ), we utilized:

556 i. the global Pearson's correlation  $r(\hat{y}, y) = \frac{\sum(y_i - \bar{y})(\hat{y}_i - \bar{\hat{y}})}{\sqrt{\sum(y_i - \bar{y})^2 \sum(\hat{y}_i - \bar{\hat{y}})^2}}$ , where  $\hat{y}$  and  $y$  are flattened and the

557  $i^{\text{th}}$  element is the  $i^{\text{th}}$  point in these flattened vectors.

558 ii. the average per sample Spearman's correlation  $r_s = \frac{\sum_{i=1}^N r(\text{Rank}(\hat{y}), \text{Rank}(y))_i}{N}$ , where N is the  
559 number of samples and Rank() means ranking the gene based on their differential gene  
560 expression and using these ranks to calculate Spearman's correlation.

561 iii. the average per sample sign accuracy =  $\frac{\text{TP}+\text{TN}+\text{TrueZeros}}{\text{total predictions}}$ , where TP signifies the genes that  
562 have a positive sign regulation both in the actual data and predictions, TN signifies the genes in

563 the sample that have a negative sign regulation both in the actual data and predictions, and  
564 TrueZeros are the genes that have an absolute expression  $\leq 10^{-6}$  both in the actual data and  
565 predictions (a small tolerance rather than strictly zero was chosen for numerical reasons).  
566 For the single-cell RNA-sequencing data where we predict the per gene mean and variance we calculate  
567 the coefficient of determination ( $R^2$ ) per gene mean and variance, similar to the original CPA  
568 manuscript<sup>32</sup>. In general,  $R^2$  is calculated as:  $R^2 = 1 - \frac{RSS}{TSS}$ , where  $RSS = \sum(\hat{y}_i - y_i)^2$  and  $TSS =$   
569  $\sum(y_i - \bar{y})^2$

## 570 Separation of latent space embeddings

571 To evaluate the similarity of embeddings for different signatures, and whether there is separation  
572 based on cell, species, or conditions in the latent space, we utilize cosine distance, ranging from 0 (the  
573 same) to 2 (completely) different:  $\text{cosine distance} = 1 - \text{cosine similarity} = 1 - \frac{\sum_{i=1}^d z_{1,i} z_{2,i}}{\sqrt{\sum_{i=1}^d z_{1,i}^2} \sqrt{\sum_{i=1}^d z_{2,i}^2}}$ ,  
574 where  $z_1$  and  $z_2$  are two latent space vectors to be compared and  $d$  is the total number of elements in  
575 the vector, i.e. the latent dimension.

576 To estimate if there is a cell, species, or condition effect, and compare it between the composed and  
577 global latent space we utilize Cohen's  $d$  to estimate the effect size between the distributions of cosine  
578 distances, derived from random pairs of embeddings and pairs coming from the same cell, species, or  
579 condition. The effect size is thus calculated using the mean and standard deviations of two cosine  
580 distance (cos) distributions as:  $d = \frac{\bar{\cos}_1 - \bar{\cos}_2}{\sqrt{\frac{(n_1-1)s_1^2 + (n_2-1)s_2^2}{n_1+n_2-2}}}$ , where  $n_1, n_2$  is the number of samples of each of  
581 the two distance distributions,  $\bar{\cos}_1, \bar{\cos}_2$  are the means of the cosine distance distributions and  $s_1, s_2$  are  
582 the standard deviations of the cosine distance distributions. A Cohen's  $d$  around 0.8 is a large effect size  
583 (around 2 is considered a huge effect size) while around 0.5 is a medium effect size, and around 0.2 and  
584 below is considered small or very small<sup>56,57</sup>.

585 Feature importance using integrated gradients

586 To estimate the importance of features we utilize integrated gradients<sup>35</sup> from the Captum library<sup>58</sup>.

587 
$$InterGrad_i(x) = (x_i - x'_i) \int_{a=0}^1 \frac{dF(x' + a(x-x'))}{dx_i} da, x' = baseline = 0$$

588 The importance scores are calculated based on the gradient with respect to the input of the model, and  
589 thus the higher the absolute integrated gradient the higher the importance of that input feature to  
590 control the output. A negative score means the variable has a negative effect pushing the prediction to  
591 the other class, while a positive score has a positive effect.

592 For example, if we want to identify important latent variables to classify a sample as one coming from a  
593 particular cell line we calculate the integrated gradient of every latent variable to make the classification  
594 and take the average across all samples. Similarly, if for example, we are aiming to calculate the  
595 importance of genes to control latent variables in the global latent space we can calculate the integrated  
596 gradient score of every gene for every variable in every sample, and then take the average across  
597 samples.

598 K-means-based separation of important latent variables

599 Latent variables can be separated into important and unimportant ones using k-means, inspired by an  
600 approach that was used to identify important connections between latent components and genes in  
601 microbial organisms by using the weights derived from independent component analysis<sup>59,60</sup>. We  
602 assume that only 2 main clusters of latent variables exist, one containing important variables and one  
603 containing unimportant ones. On this front, the latent variables are clustered based on their absolute  
604 gradient scores into 3 clusters, where 3<sup>rd</sup> cluster is assumed to be a very small cluster of outliers. The  
605 midpoint between the variable with the highest score in the unimportant cluster and the variable with  
606 the lowest score in the important cluster is used as a threshold to distinguish between significantly

607 important and unimportant latent variables. As a sanity check the important variables are also

608 compared with the top-ranked variables based on their score.

609 [Likelihood ratio tests for the identification of important latent variables](#)

610 To identify which latent embeddings correlate with viral protection after accounting for vaccination

611 status and species, a likelihood ratio test (LRT) was performed on each individual latent variable. Here,

612 the likelihood (L) of the alternative model ( $H_A$ ): *latent variable<sub>i</sub> embeddings* ~ *protection* +

613 *vaccination* + *species* was compared to the likelihood of the nested model, or null hypothesis,

614 ( $H_0$ ): *latent variable<sub>i</sub> embeddings* ~ *vaccination* + *species* in  $LRT = -2 \ln \left( \frac{L(H_0)}{L(H_A)} \right)$ . We rejected

615  $H_0$  for *latent variable<sub>i</sub>* when the FDR-adjusted p-value of the chi-square test was less than 0.05,

616 concluding that the model including protection has a statistically significant better fit than the model

617 without protection. In the volcano plots, the  $-\log(pvalue)$  is plotted against the t-value for the

618 protection term in the alternative model. This method assumes that the relationship between the latent

619 variable embeddings and protection is linear. R package *lmtest*<sup>61</sup> (version 0.9.40) was used to perform

620 these statistical tests. Finally, the intersection of these latent variables with significant latent variables

621 (average percentage importance score across folds  $\geq 10\%$ ), based on their gradient score from the

622 trained protection classifier, is used for the final identification of robust latent variables associated with

623 viral protection. We keep latent variables that the sign of correlation with protection agrees in both

624 approaches.

625 [Identification of protection-associated serological features](#)

626 The importance of the serological features is calculated as previously described with the integrated

627 gradient score of every feature for every latent variable that was identified to be statistically significant

628 for predicting viral protection of humans, averaged across samples coming from the respective species.

629 Serological features with high scores (and at least  $\geq 20\%$ ) can control latent variables in the global latent

630 space associated with human viral protection, and thus they are predictive of human protection. For

631 human features, we also validate that the univariate differences between protected and unprotected  
632 individuals are indeed significant, by using a non-parametric Wilcoxon test, with Bonferroni correction  
633 for multiple hypothesis testing.

634 Finally, we calculate the integrated gradient score for translating each non-human primate serological  
635 profile to a human profile. The non-human features with high scores the human ones associated with  
636 protection, can be considered serological non-human features predictive of human viral protection.

637 [Inference of transcription factor activity](#)

638 To infer the transcription factor activity, we utilized the VIPER algorithm<sup>62</sup> together with the Dorothea  
639 Regulon<sup>63</sup>. The VIPER algorithm calculates the enrichment of gene expression signatures of regulons,  
640 that are based on transcription regulatory networks. This way the activity of a transcription factor (TF) is  
641 inferred based on the expression of downstream genes known to be regulated by this specific TF. The  
642 Dorothea regulon contains known regulatory interactions, annotated based on the confidence that this  
643 interaction exists. Here interactions are restricted to confidence levels A and B.

644 [Hardware and software specifications](#)

645 All models were expressed in and trained using the PyTorch framework<sup>64</sup> (version 1.12) in Python  
646 (version 3.8.8). When using the 978 landmark genes and for the serology case study, the models were  
647 trained in an NVIDIA GeForce RTX 3060 Laptop GPU with 6 GB of memory. The larger models (using  
648 10,086 genes and the single-cell lung fibrosis data) were trained on the MIT Satori GPU cluster using  
649 NVIDIA V100 32GB memory GPU cards. Pre-processing and statistical analysis of the results were done  
650 in the R programming language (version 4.1.2). Visualization of results was done mainly using *ggplot2*<sup>65</sup>.  
651 More information about the versions of each library used can be found in the GitHub provided in the  
652 Data and code availability section.

653 [Data and code availability](#)

654 The study did not produce new experimental data. All analyzed data that were used to train our models  
655 and produce all tables and figures, as well as all the code to generate those data, figures and tables are  
656 available at <https://github.com/NickMeim/OmicTranslationBenchmark> .

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662 [Author contributions](#)

663 NM and DAL conceived the study together with input from AN who run a pilot simulation. TNH and SM  
664 provided feedback on the methods and implementation of the approach. NM implemented the code  
665 and executed the simulations, preprocessed the data, trained the final models and analyzed their  
666 results. KP performed downstream analysis for the serology case study and interpreted the results. KP  
667 also wrote part of the respective results section. DYZ preprocessed and retrieved the data for the single-  
668 cell lung fibrosis case study and helped with result interpretation. NM wrote the manuscript and  
669 generated the figures. KP, DYZ, AN, TNH, SM and DAL edited the manuscript.

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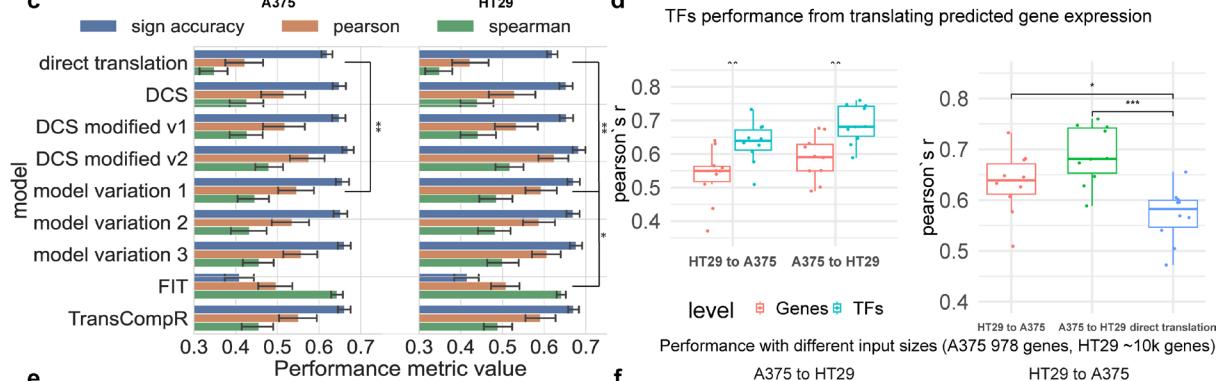
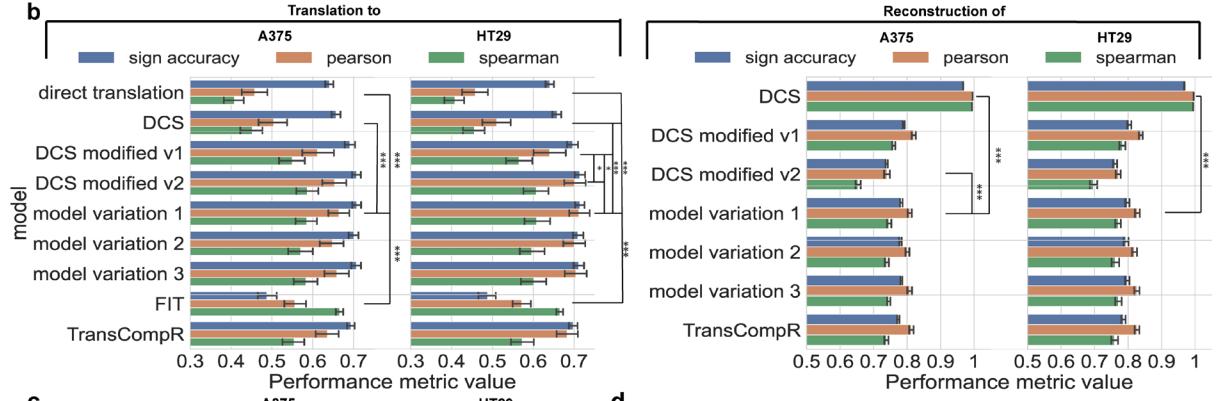
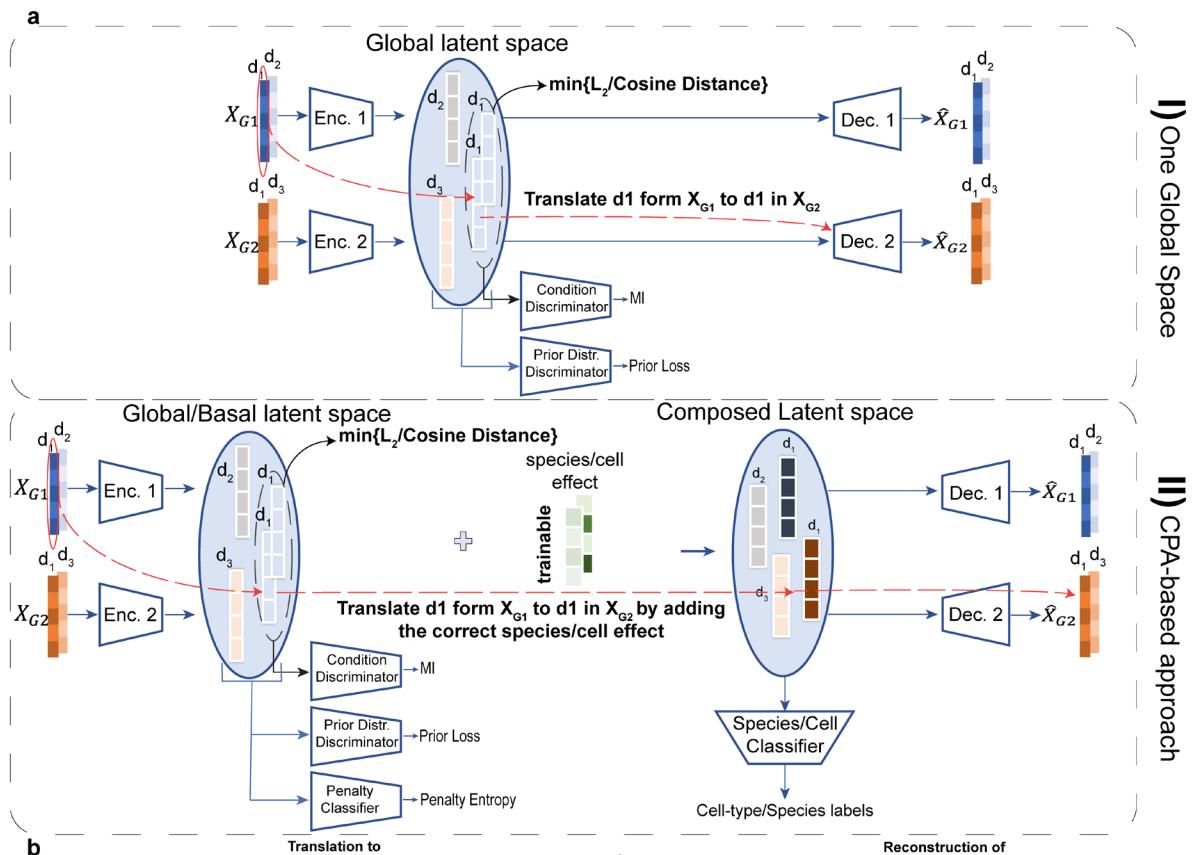
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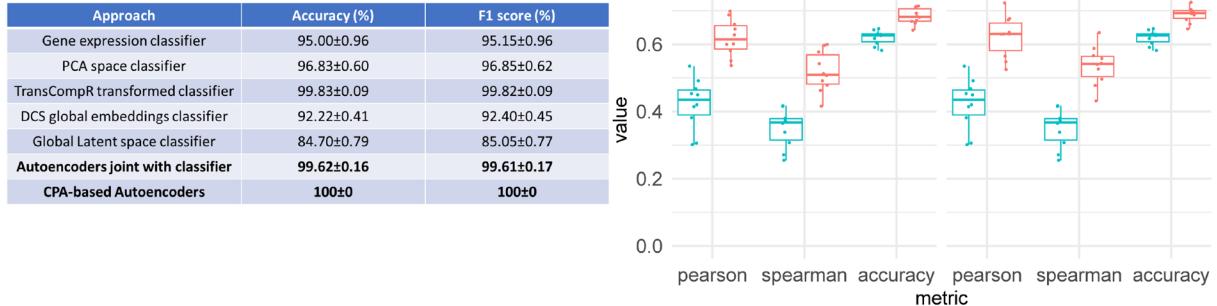
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## 818 Figures

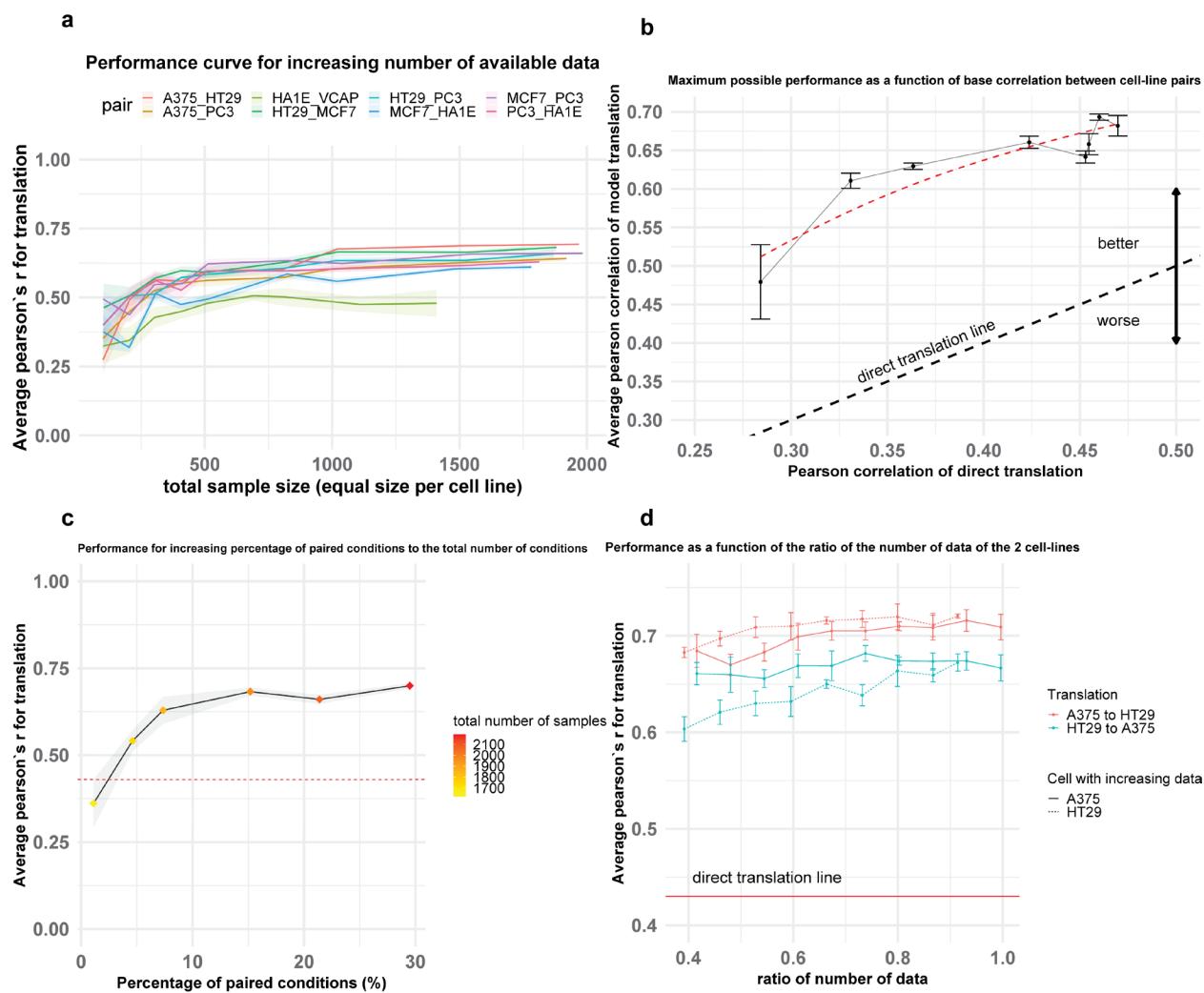
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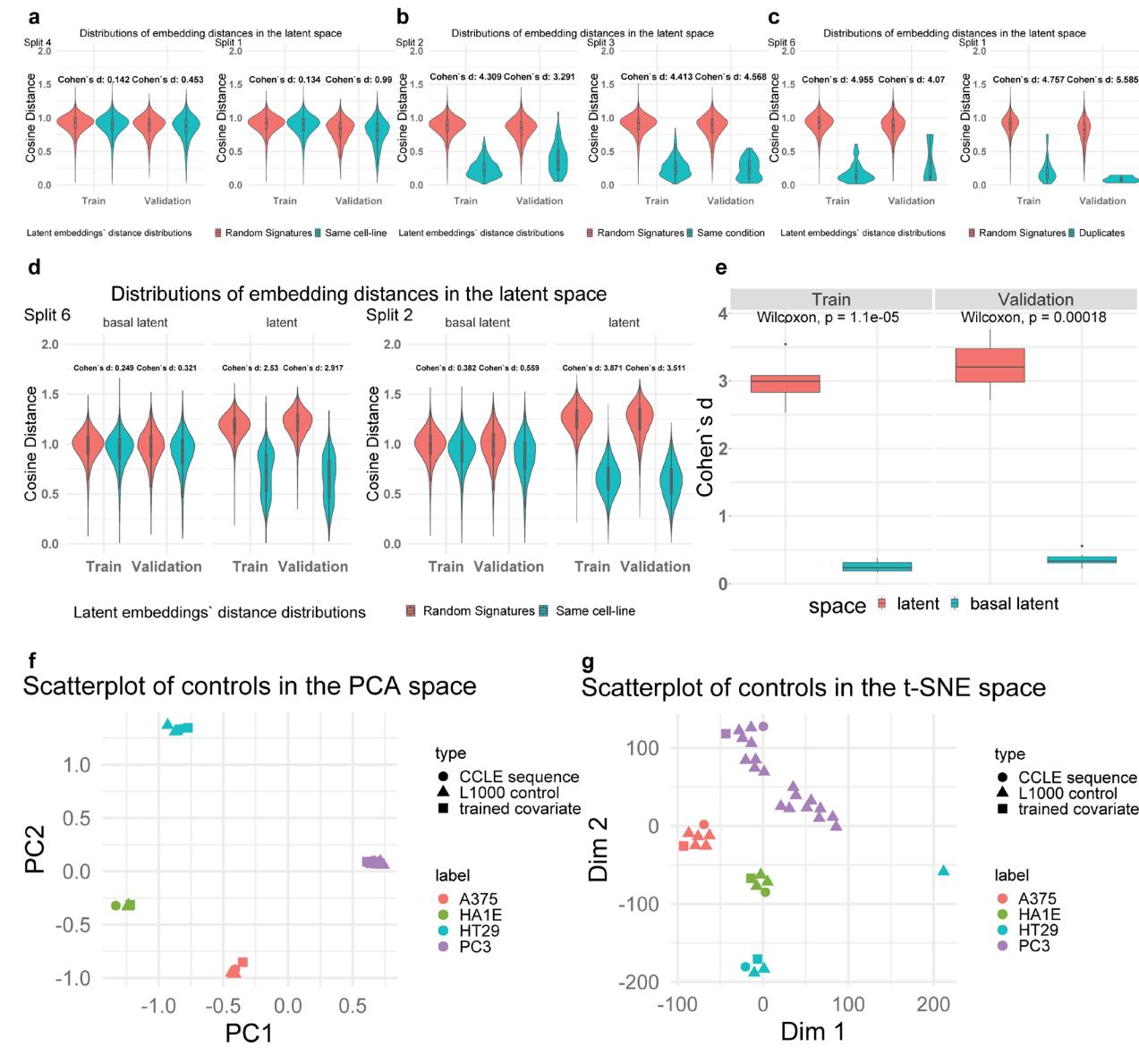
Performance with different input sizes (A375 978 genes, HT29 ~10k genes)



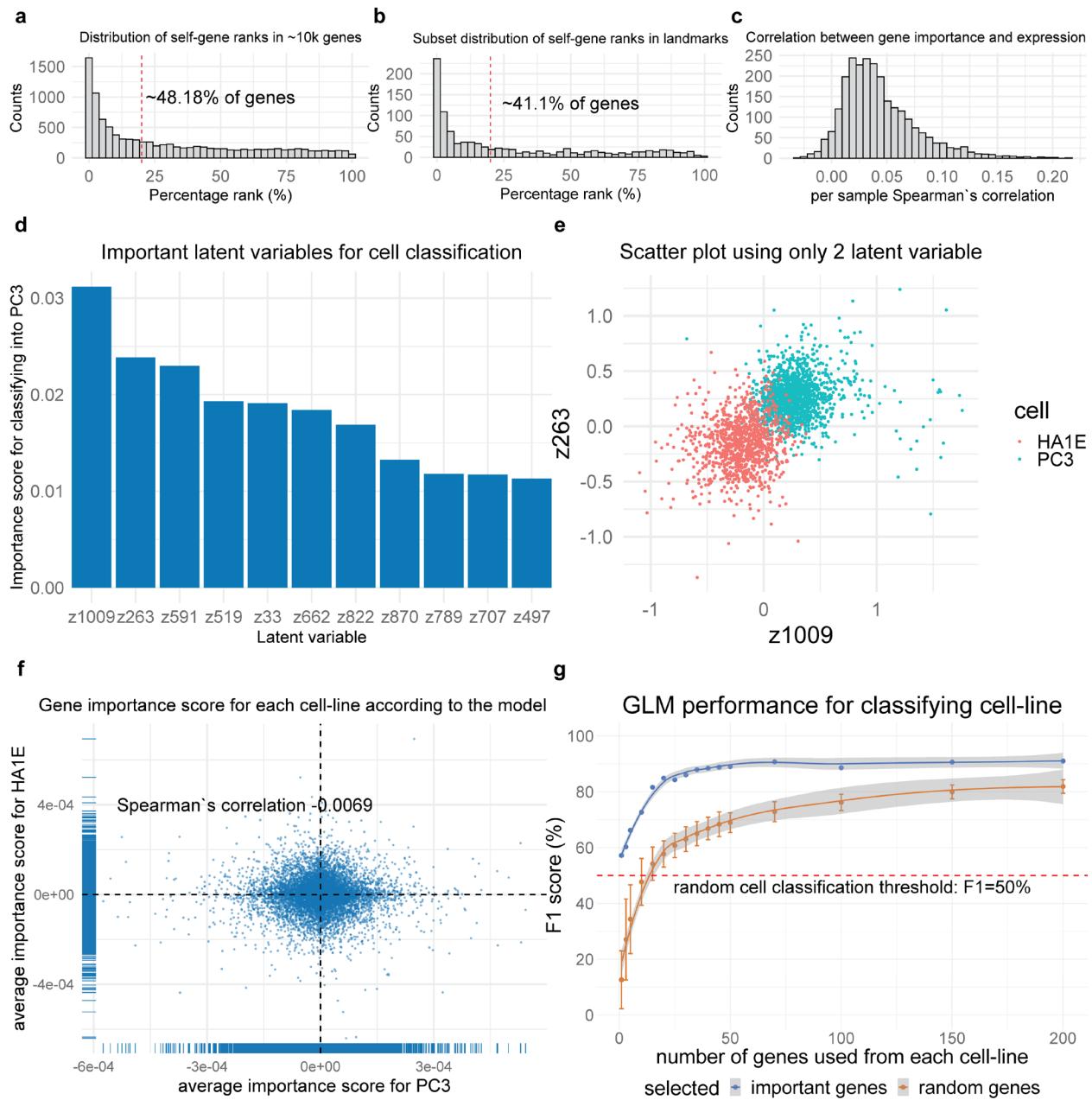
821 **Figure 1: Model architecture and basic performance metrics. a)** Framework architecture main  
 822 variations: I) **model variation 1:** One global space is constructed by mapping omic profiles in a space  
 823 where the distance between embeddings coming from the same perturbation is minimized. II) **model**  
 824 **variation 2:** CPA-based architecture where the latent space is separated into two, one global devoid of  
 825 species/cell effect and a composed latent space. **b)** Model performance in reconstructing and translating  
 826 gene expression profiles between the two cell lines with the most common perturbations in the L1000  
 827 dataset, A375 and HT29, by using only the 978 measured landmark genes. The **model variation 3** is the  
 828 one with a classifier simultaneously trained in one global latent space. For DCS modified v1-v2 see  
 829 Supplementary Methods 2.2-2.3. It is worth noting that DCS modified v2 has a distance term and a  
 830 direct translation term in its training loss. **c)** Model performance in reconstructing and translating gene  
 831 expression profiles between A375 and HT29 by using all 10,086 genes that are either measured or  
 832 belong to those that are well-inferred computationally. **d)** Performance in inferring transcription factors  
 833 activity by using the translated/predicted gene expression. **e)** Performance in correctly classifying cell  
 834 lines in different cases. **f)** Performance by using different inputs in the L1000.



835  
 836 **Figure 2: Analysis of framework's performance and dependence on the data** **a)** Performance in the  
 837 translation task of the CPA-based approach across different cell-line pairs and different sizes of training  
 838 data. **b)** Model performance in translation as a function of the initial similarity of 2 cell lines. **c)** Model  
 839 performance in translation for different percentages of paired conditions. **d)** Model performance in  
 840 translation for low-to-medium cell-line imbalance in the conditions of the training samples.

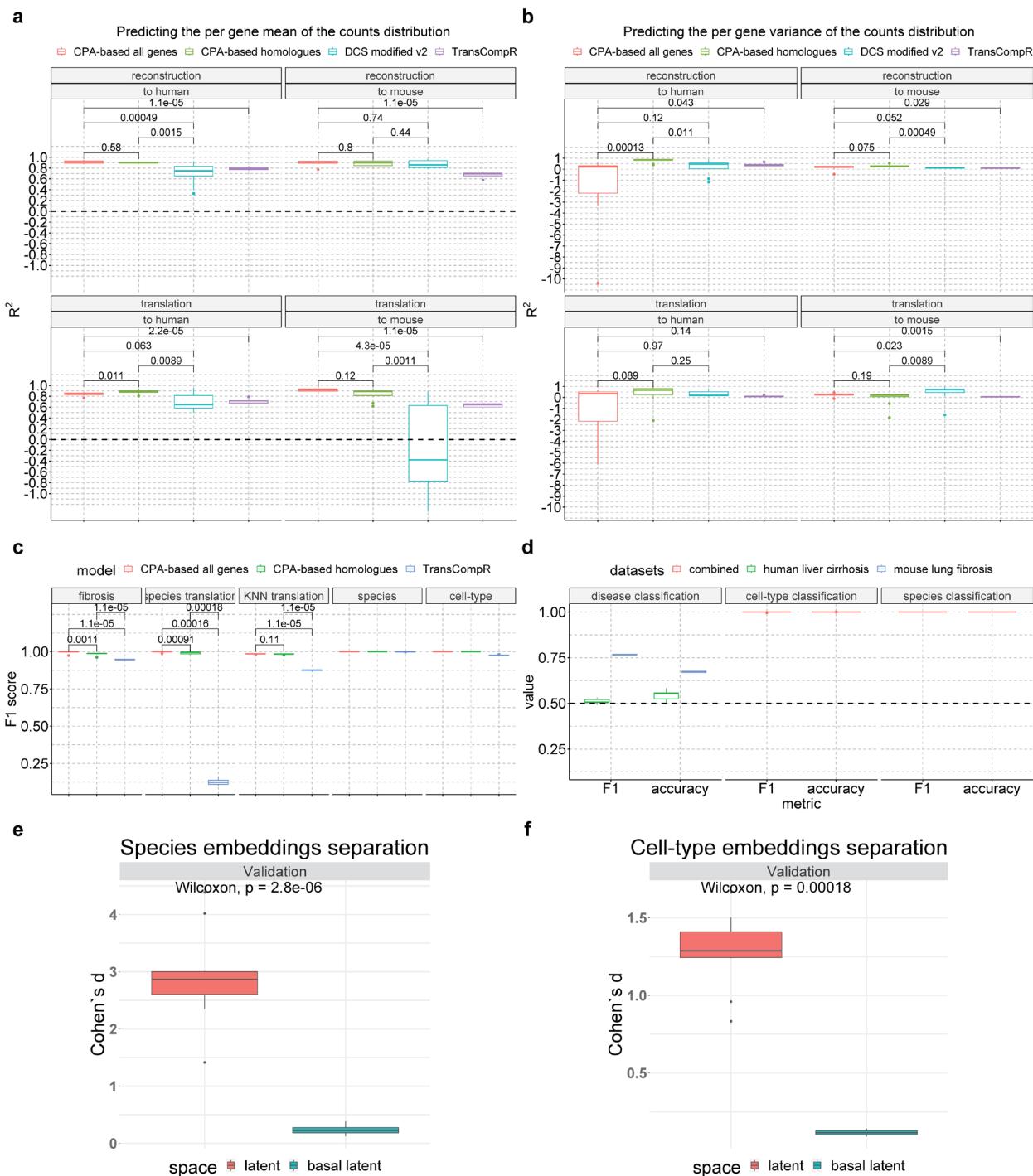


841 **Figure 3: Properties of the latent space and model parameters interpretation.** The two splits in 10-fold  
 842 cross-validation present each time here are the ones where the maximum and minimum difference  
 843 between the two distributions is observed. For every other split, the difference is between these two  
 844 extreme cases. Additionally, panels a-c come from the first variation of the model with one global latent  
 845 space while the rest come from the CPA-based approach **a)** Cosine distance between embeddings  
 846 coming from random pairs of signatures and pairs coming from the same cell line. **b)** Cosine distance  
 847 between embeddings coming from random pairs of signatures and pairs coming from the same  
 848 condition tested on a different cell line **c)** Cosine distance between embeddings coming from random  
 849 pairs of signatures and pairs being biological duplicates **d)** Distance between embeddings coming from  
 850 random pairs of signatures and pairs coming from the same cell-line in the global and then the  
 851 composed latent space in the CPA-based approach. **e)** Cohen's d between distributions of cosine  
 852 distances between random pairs of embeddings and embeddings coming from the same cell  
 853 distribution. **f-g)** 2D-Visualization of L1000 control conditions, untreated cell lines from the CCLE dataset,  
 854 and the trainable vectors of the CPA-based framework containing the cell line basal effect added to  
 855 perturbations.



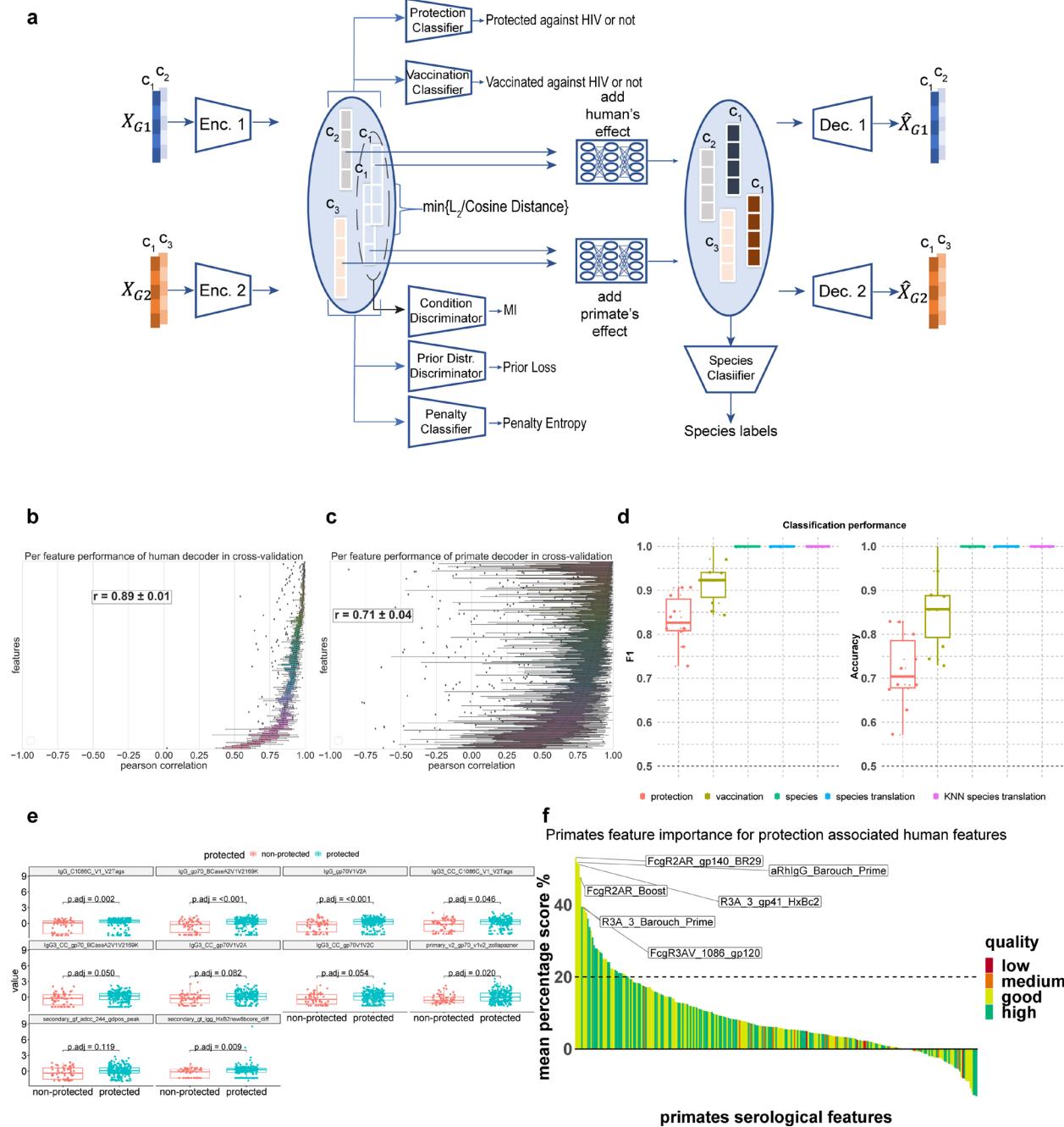
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858 **Figure 4: Feature importance investigation** **a-b)** Distribution of percentage rank in terms of the  
 859 importance of a gene to translate its expression to itself, using the 10,086 genes and the 978 landmark  
 860 genes respectively in the L1000 dataset. **c)** Per sample Spearman's correlation between absolute gene  
 861 importance scores and absolute gene expression. **d)** Important latent variable to classify a sample as PC3  
 862 or HA1E in the global latent space, when a classifier is simultaneously trained. **e)** Separation of cell lines  
 863 based on the top 2 most important latent variables according to the classifier. **f)** Average importance  
 864 scores of genes from PC3 to control cell-specific latent variables versus the importance scores from  
 865 HA1E, according to the individual encoders. **g)** Generalized Linear model classification performance by  
 866 using increasingly more important genes.



867

868 **Figure 5: Evaluation of the framework in inter-species translation in fibrosis** **a-b)** Performance ( $R^2$ ) in  
 869 predicting the per gene mean and variance of single-cell RNA-sequencing data for the tasks of  
 870 reconstruction and species translation in the human-mouse lung fibrosis datasets. The comparison is  
 871 done between the CPA-based framework using all genes or homologs and TransCompR **c)** Classification  
 872 performance comparison in different tasks. **d)** Classification performance of the CPA-based framework  
 873 using all genes in external disease datasets. **e)** Embeddings separation based on species in the global  
 874 latent space versus the composed latent space. The effect size  $d$  is calculated as Cohen's  $d$ . **f)**  
 875 Embeddings separation based on the cell type in the global latent space versus the composed latent  
 876 space. The effect size  $d$  is calculated as Cohen's  $d$ .



877

878 **Figure 6: Inter-species translation of serology data** **a)** Framework architecture for inter-species  
 879 translation in the serology dataset. Instead of adding the species effect with a trained vector, it is adding  
 880 completely non-linear with 2 small intermediate neural networks. **b)** Per feature Pearson correlation  
 881 across in 10-fold cross-validation for human's features. **c)** Per feature Pearson correlation across in 10-  
 882 fold cross-validation for non-human primates' features. **d)** Classifiers' performance in various tasks. **e)**  
 883 Univariate differences between protected and non-protected individuals, for human serological features  
 884 related to viral protection, as found from the integrated gradient and LRT approach. **f)** Non-human  
 885 primate features predictive of human protection, by using importance score for translating them into  
 886 human features. Their quality is assigned based on the average reconstruction Pearson's  $r$  of these  
 887 features in 10-fold cross-validation: i) low quality =  $0 < r < 0.25$ , ii) medium quality =  $0.25 \leq r < 0.5$ , iii) good  
 888 quality =  $0.5 \leq r < 0.75$ , iv) high quality =  $r \geq 0.75$