

1 Genetic drift and purifying selection shape within-host influenza A virus  
2 populations during natural swine infections  
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16

17 **Abstract**

18 Patterns of within-host influenza A virus (IAV) diversity and evolution have been described in natural  
19 human infections, but these patterns remain poorly characterized in non-human hosts. Elucidating these  
20 dynamics is important to better understand IAV biology and the evolutionary processes that govern  
21 spillover into humans. Here, we sampled an IAV outbreak in pigs during a week-long county fair to  
22 characterize viral diversity and evolution in this important reservoir host. Nasal wipes were collected on  
23 a daily basis from all pigs present at the fair, yielding up to 421 samples per day. Subtyping of PCR-positive  
24 samples revealed the co-circulation of H1N1 and H3N2 subtype IAVs. PCR-positive samples with robust Ct  
25 values were deep-sequenced, yielding 506 sequenced samples from a total of 253 pigs. Based on higher-  
26 depth re-sequenced data from a subset of these initially sequenced samples (260 samples from 168 pigs),  
27 we characterized patterns of within-host IAV genetic diversity and evolution. We find that IAV genetic  
28 diversity in single-subtype infected pigs is low, with the majority of intra-host single nucleotide variants  
29 (iSNVs) present at frequencies of <10%. The ratio of the number of nonsynonymous to the number of  
30 synonymous iSNVs is significantly lower than under the neutral expectation, indicating that purifying  
31 selection shapes patterns of within-host viral diversity in swine. The dynamic turnover of iSNVs and their  
32 pronounced frequency changes further indicate that genetic drift also plays an important role in shaping  
33 IAV populations within pigs. Taken together, our results highlight similarities in patterns of IAV genetic  
34 diversity and evolution between humans and swine, including the role of stochastic processes in shaping  
35 within-host IAV dynamics.

36

37 **Introduction**

38 Pigs host a rich and epidemiologically important reservoir of influenza A virus (IAV) genetic diversity [1,2].  
39 The swine IAV gene pool is strongly shaped by frequent spill-over between pigs and humans [3–5] and  
40 occasional transmission of avian IAV to pigs [6]. There are three main subtypes circulating within swine  
41 populations: H1N1, H1N2, and H3N2 [7]. Each subtype contains multiple clades that are antigenically  
42 distinct, including those that evolved following reverse zoonosis of human seasonal IAV [8]. The  
43 evolutionary dynamics of these IAV lineages are an ongoing concern for the swine industry because  
44 infections substantially impact animal welfare and productivity. They also pose a significant risk for global  
45 public health, as was laid bare with the 2009 H1N1 pandemic. This pandemic was caused by a reassortant  
46 swine virus carrying gene segments derived from multiple swine, human, and avian IAV lineages [9,10].  
47 Since that event, hundreds of self-limiting swine-to-human zoonoses have been documented in the USA,  
48 a large proportion of which occur at agricultural fairs [11,12]. These recurring transmission events  
49 highlight the importance of the swine-human interface as a source of IAV pandemics and, in turn, give a  
50 strong motivation for research into the viral evolutionary processes playing out in pigs.

51 Our understanding of IAV diversity in pigs at a population level has improved substantially since 2009.  
52 Increased investment in swine IAV surveillance and expanded use of genome sequencing has provided  
53 novel insight into how swine IAV circulates and evolves over time and between geographic locations  
54 [1,7,13–15]. However, the processes shaping viral evolution within individual pigs has, to date, garnered  
55 less attention. Because novel IAV variants and lineages circulating at a population level must originate  
56 within individuals hosts, knowledge of the drivers of viral evolution at the within-host scale is essential to  
57 better understand viral evolution across all scales of biological organization.

58 Many features of the within-host evolutionary dynamics of natural IAV infections in humans have been  
59 examined [16–20], together revealing that transmission bottlenecks are small, that within-host levels of  
60 genetic diversity are low, and that genetic drift and purifying selection are the primary drivers of within-  
61 host viral evolution. However, whether within-host IAV evolution occurs in a similar fashion in non-human  
62 hosts, in particular swine, remains unclear. Features of natural swine hosts and their management in  
63 agricultural settings could give rise to important differences. For example, pigs raised for pork typically  
64 reach only six months of age before harvest, reducing the potential for repeated IAV infection and  
65 therefore potentially limiting selection imposed by memory immune responses. Animal feeding  
66 operations may further allow for closer contact between hosts, which could result in less stringent  
67 transmission bottlenecks and therewith the potential for higher levels of IAV genetic diversity to be  
68 transmitted. Infected pigs might therefore harbor more viral genetic and phenotypic variation for  
69 selection to act upon.

70 Here we aim to uncover the dominant evolutionary processes shaping IAV populations within naturally  
71 infected swine through in-depth analysis of viral samples taken during the course of an IAV outbreak at a  
72 week-long agricultural fair. Dense longitudinal sampling at this fair resulted in the identification of 367  
73 (out of 422 sampled) pigs that were infected with IAV over the course of the fair. Samples with robust Ct  
74 values were deep-sequenced, yielding a total of 506 sequenced samples. To ensure our conclusions were  
75 robust to the presence of spurious nucleotide variants, we selected a subset of these samples to be re-  
76 sequenced to a much greater depth. We characterized patterns of IAV genetic diversity in these samples  
77 and investigated the dynamics of minority variants in the subset of pigs with sequenced longitudinal  
78 samples. Based on these analyses, we evaluated the contributions of selection and genetic drift in driving  
79 the within-host evolutionary dynamics of IAV in pigs.

## 80 **Results**

### 81 **Two IAV subtypes co-circulated at the fair**

82 The agricultural fair took place in 2014 and involved the showing of a total of 423 exhibition swine. Nasal  
83 wipes were collected from all pigs present at the fair on day 1 through day 6. All 2159 nasal wipes were  
84 screened for IAV using a real-time reverse transcription-polymerase chain reaction (rRT-PCR) assay  
85 targeting the M segment. On day 1 of the fair, few pigs were found to be PCR-positive for IAV (33 of 419  
86 samples; **Table S1**). Over the next five days, PCR positivity rates increased from 7.9% (day 1) to 88.0% (day  
87 6; 139 of 158 samples) (**Figure 1A**). A subset of the positive samples were then tested using an IAV  
88 subtype-specific assay, which revealed that both H3N2 and H1N1 viruses were circulating at the fair (**Table**  
89 **S1**). Samples with an M segment rRT-PCR cycle threshold (Ct) value of  $\leq 31.0$  were selected for next  
90 generation sequencing, resulting in sequenced samples from a total of 253 pigs (**Figure 1B; Table S2**).  
91 These sequenced samples had a read depth across the genome of approximately 4000 reads per  
92 nucleotide (**Figure S1**).

93 To characterize diversity of IAV genotypes present, we inferred consensus sequences for each sample for  
94 each of the eight IAV gene segments. Haplotype networks reconstructed from these consensus sequences  
95 indicated that two distinct lineages co-circulated at the fair (**Figure 2**), consistent with the subtyping  
96 results. We labeled these two lineages I and II, each comprising a set of eight gene segments that were  
97 grouped together based on the associations that were evident in the data. By comparing the outcomes of  
98 the subtype-specific tests against the assigned lineages of the consensus sequences, we were able to  
99 conclude that the hemagglutinin (HA) and neuraminidase (NA) gene segments of lineage I belonged to

100 IAV subtype H1N1 and that the HA and NA gene segments of lineage II belonged to IAV subtype H3N2.  
101 Each of the two lineages comprised a single dominant consensus sequence and many consensus  
102 'singletons'. This indicates that the outbreak at the fair likely originated from a very small number of pigs  
103 (possibly only 2) that arrived at the fair already infected.

104 Despite the majority of samples having consensus sequences that belonged either exclusively to lineage I  
105 or to lineage II, a small number of samples had consensus sequences with at least one gene segment  
106 belonging to a different lineage than the others (e.g., vial 14SW5957). These putatively reassortant  
107 samples indicate that at least some of the infected pigs at the fair were likely to have been  
108 heterosubtypically coinfecte. To identify samples that were heterosubtypically coinfecte, we looked at  
109 viral diversity below the consensus level. For this purpose, we selected representative consensus  
110 sequences of each segment from both lineages to serve as references for read mapping. We first assessed  
111 whether there was evidence for HA coinfection or NA coinfection. Because the consensus sequences of  
112 the HA and of the NA segments of lineages I and II are sufficiently distinct at the nucleotide level to support  
113 unambiguous mapping, we detected co-occurrence of these segments by mapping the samples' reads to  
114 H1, N1, H3, and N2 reference genomes. Samples with reads mapping to only one HA and one NA subtype  
115 were classified as singly infected at each of these gene segments (**Figure 3A; Table S2**). Samples that had  
116 an appreciable number of reads mapping to both H3 and H1 were classified as coinfecte on the HA gene  
117 segment. Similarly, samples that had an appreciable number of reads mapping to both N2 and N1 were  
118 classified as coinfecte on the NA gene segment.

119 To classify a sample as coinfecte based on one of the six internal gene segments (PB2, PB1, PA, NP, M,  
120 and NS), a different approach was needed because the internal segments of lineages I and II share 94-98%  
121 average nucleotide identity. As such, most internal gene segment reads would map to the reference  
122 genomes for both lineage I and lineage II, and coinfection could therefore be concluded erroneously. To  
123 assess coinfection at these internal gene segments, we identified the set of single nucleotide  
124 polymorphisms (SNPs) that differentiated lineage I from lineage II in each of the six internal gene segments  
125 (**Table S3**). Each of these differentiating SNPs (dSNPs) was characteristic of and unique to one of the two  
126 lineages. For each sample, we counted the number of lineage I and the number of lineage II dSNPs that  
127 were supported by the mapped reads (**Figure 3B**). Based on these results, we classified a given internal  
128 gene segment as either singly infected with lineage I, singly infected with lineage II, or coinfecte (**Table**  
129 **S2**). Once every gene segment of a sample was classified as either singly infected or coinfecte, we  
130 summarized these results at the sample level (**Figure 3C**, 'All'). The majority of samples contained only  
131 lineage II gene segments (subtyped as H3N2). Samples containing only lineage I gene segments (subtyped  
132 as H1N1) were also apparent. We further found a non-negligible number of coinfecte samples ( $n = 70$ ;  
133 **Table S2**), and a small number of reassortant samples ( $n = 9$ ; **Table S2**).

134 To improve our ability to call variants in our downstream analyses, we selected 384 samples to re-  
135 sequence at even greater depth using two NovaSeq 6000 lanes (**Table S1, Table S2**). Re-sequencing of  
136 these samples resulted in a read depth of approximately 20,000 reads per nucleotide, substantially higher  
137 than the read depth in our initial sequencing runs (**Figure S1**).

### 138 **Within-host IAV diversity is low and largely synonymous in singly infected samples**

139 To evaluate viral evolutionary dynamics without the complications introduced by co-infection, we decided  
140 to focus on within-host IAV diversity in pigs with samples that contained only lineage I or lineage II gene  
141 segments throughout their duration of infection. Samples classified as 'unknown' were presumed to

142 derive from the same lineage as prior or later samples from the same pig and therefore did not affect  
143 categorization of pigs as persistently infected with lineage I or II. However, samples from pigs with any  
144 positive evidence of coinfection or reassortment were excluded. Among the re-sequenced samples, 260  
145 from 168 pigs that met these criteria. We called intra-host single nucleotide variants (iSNVs) in these 260  
146 samples using a variant calling threshold of 3% at sites that exceeded 500x coverage. The majority of these  
147 samples contained only low levels of IAV diversity, with 90% of the samples containing fewer than 15  
148 iSNVs (**Figure 4A**). Most identified iSNVs were present at low frequencies of <10% (**Figure 4B**), paralleling  
149 findings in human IAV infections [19,20]. Overall, for both lineage I and lineage II samples, nonsynonymous  
150 iSNVs were present at lower frequencies than synonymous iSNVs, consistent with patterns one would  
151 expect in the presence of purifying selection. We further found that the ratio of the number of  
152 nonsynonymous to synonymous iSNVs generally fell below the neutral expectation, given by the ratio of  
153 nonsynonymous to synonymous sites (**Figure 4C**). This was the case for both lineages and across genes,  
154 and again points towards the role of purifying selection in shaping IAV diversity within swine. In two  
155 instances (lineage I M gene and lineage I NS gene) the calculated NS/S ratio fell above the neutral  
156 expectation but in these cases, the 95% confidence intervals were exceptionally broad, with lower bounds  
157 that fell below the neutral expectation.

158 The presence of spurious iSNVs in our dataset would result in NS/S ratios that are closer to the neutral  
159 expectation than might otherwise be the case. Because of this, we plotted the number of iSNVs called in  
160 a sample against the Ct value of the sample (**Figure S2**). A positive association between Ct value and the  
161 number of iSNVs could indicate that PCR amplification during sequencing may have generated spurious  
162 iSNVs. Despite our conservative variant calling threshold and our high coverage requirement, we did  
163 observe a positive association between Ct value and the number of iSNVs, indicating that some of the  
164 iSNVs that we detected are likely spurious. As such, the observation that nonsynonymous to synonymous  
165 ratios generally fall below the neutral expectation despite the likely presence of spurious iSNVs reinforces  
166 our conclusion that purifying selection is active. Finally, the presence of spurious iSNVs in our dataset  
167 would contribute to the number of iSNVs observed, and as such, levels of IAV diversity are likely lower  
168 than those shown in **Figure 4A**.

#### 169 **A strong role for genetic drift in shaping within-host IAV evolution**

170 To further characterize the evolutionary processes acting on swine IAV populations within singly infected  
171 pigs, we analyzed patterns of viral diversity in pigs with two or more longitudinal samples available. **Figure**  
172 **S3** shows iSNV dynamics, by segment, for all singly infected pigs with at least two resequenced time points  
173 ( $n = 82$  pigs). To summarize these dynamics, we plotted the number of iSNVs in a sample against the  
174 timepoint during the pig's infection at which the sample was taken (**Figure 5A**), as given by the number of  
175 days following the pig's first PCR positive sample. We did not find a temporal pattern in iSNV richness  
176 over the course of infection, mirroring the finding in human IAV infections, where the number of iSNVs  
177 does not appear to change over the course of infection [19].

178 To further quantify patterns of within-host viral evolution, we calculated, as in [19], changes in iSNV  
179 frequencies from one sequenced sample to the next sequenced sample. We plotted these changes as a  
180 function of the time between sample collection, stratifying by whether the iSNV was a nonsynonymous  
181 or a synonymous variant (**Figure 5B**). The apparent similarity in pattern between the nonsynonymous and  
182 synonymous iSNV frequency changes points towards the role of genetic drift playing a large role in within-  
183 pig IAV evolution. Considering all iSNVs together, we further found that a large proportion of iSNVs are

184 only observed once in a pair of samples sequenced one day apart (**Figure 5B**). Specifically, in the subset  
185 of cases where there was sufficient depth and sequence quality in the later time-point to make an iSNV  
186 theoretically detectable, we calculated that 34% of iSNVs identified on the first day were not observed on  
187 the following day. Similarly, 30% of iSNVs identified in the second sample of a pair were not observed in  
188 the sample taken one day earlier. In comparison, using the data provided in [19] with an analogous  
189 variant-calling threshold of 3%, we calculated the percentage of iSNV loss and gain in human IAV infections  
190 to be 24% and 50%, respectively, between samples taken a day apart. In both swine and humans, the  
191 frequencies of iSNVs that persisted between samples taken one day apart often times changed  
192 dramatically (**Figure 5B**), with >50% of iSNVs that persisted from one day to the next exhibiting greater  
193 than a 12% change in frequency (**Figure 5C**). Further, the frequency change patterns in our swine data are  
194 remarkably similar to those calculated from the human IAV data provided in [19], suggesting that the  
195 strength of genetic drift acting on IAV populations is similar in pigs and humans.

196 **Discussion**

197 Transmission of IAV among swine occurs commonly at agricultural fairs, where animals often raised on  
198 small farms from geographically disparate locations come together for a week long public event. Here,  
199 dense sampling of one such outbreak yielded a valuable set of IAV-positive samples representing both  
200 H1N1 and H3N2 subtype viruses and including time series that allow longitudinal evaluation of within-  
201 host viral dynamics. Several of the features of IAV populations in swine that we observed parallel those  
202 previously described in humans. Most notably, in both hosts, relatively few iSNVs are detected within a  
203 given individual and their frequency is typically low, indicating that mutation does not yield high diversity  
204 over the course of an acute infection [16,17,19,21]. Detected variants are furthermore often transient:  
205 similar to the patterns seen here in pigs, in humans, many iSNVs are not maintained above the limit of  
206 detection between longitudinal samples [19]. When variants are detected across adjacent samples, their  
207 frequencies can change dramatically, indicative of high levels of genetic drift. Purifying selection also  
208 appears to play a role in swine infections, as they do in human infections [17,19,20]. Interestingly, a recent  
209 study focused in young children revealed caveats to this trend in the case of infection with (antigenically  
210 novel) pandemic H1N1 virus [21]. In children infected with seasonal H3N2 viruses, the overall NS/S ratio  
211 fell below the neutral expectation (as seen in adults), but rates of nonsynonymous evolution increased  
212 over time after symptom onset. For children infected with pandemic H1N1 viruses, NS/S ratios instead fell  
213 above the neutral expectation, indicative of positive selection. IAV populations in pigs thus more closely  
214 mirror those in humans likely to have pre-existing immunity rather than those in children infected with  
215 pandemic H1N1 IAV. This might be because many swine included in our study are likely to have been  
216 vaccinated against influenza or because they may have had prior exposure to IAV.

217 While the dynamics of IAV populations in naturally infected humans have been examined in detail, studies  
218 to date in non-human hosts largely focus on experimental infections. Nonetheless, commonalities are  
219 apparent. In horses, dogs, pigs and quail infected experimentally with IAV strains that circulate naturally  
220 in these species, within-host nucleotide diversity was similarly low to that seen here in naturally infected  
221 pigs and in humans [22–25]. Rapid turnover of iSNVs has also been reported for multiple hosts, suggesting  
222 that the role of genetic drift in shaping within-host IAV populations is common to diverse host species  
223 [23–25]. Although the use of differing experimental and analytical approaches impedes direct comparison  
224 of NS/S ratios across hosts, available evidence in most non-human hosts examined suggests purifying  
225 selection is present as in adult humans and, as we found here, pigs.

226 The low viral diversity and predominance of genetic drift observed here in swine and generally in IAV  
227 infected hosts has important implications for viral evolutionary potential. As genetic diversity is a  
228 prerequisite for evolution, low diversity within hosts is indicative of relatively low adaptive potential. In  
229 addition, populations subjected to high levels of genetic drift are likely to adapt more slowly, as chance  
230 events can lead to the spread of deleterious mutations and loss of beneficial mutations. Stochastic effects  
231 therefore offer relevant explanations for the rare detection of antigenic variants within individual hosts,  
232 including those with prior immunity [16,19]. Notably, patterns of IAV evolution within acutely infected  
233 hosts stand in contrast to those observed at the level of host populations. In the global human population,  
234 IAV evolution is characterized by recurring selective sweeps of antigenically novel variant viruses [26–28].  
235 Antigenic evolution is also apparent at a population level in swine [8]. However, a greater level of IAV  
236 diversity is maintained in swine populations compared to humans, with multiple antigenically distinct  
237 lineages co-circulating in a given geographical area [8]. This pattern is consistent with lower fitness  
238 advantages of new antigenic variants in swine populations relative to those in human populations, which  
239 could be explained by lower levels of host immunity in swine populations due to their shorter lifespans.  
240 Positive selection of antigenic variants being readily observed at the population-level, but not at the  
241 within-host level, argue for either only a small subset of infected individuals driving viral adaptation at the  
242 population-level or selection occurring at the between-host rather than at the within-host scale [29].

243 Our study has limitations that are important to consider in interpreting the data. Viral samples were  
244 collected with nasal wipes and the extent to which they are representative of the full viral population  
245 within an animal is unclear. Recent work showing strong spatial structure of IAV populations within  
246 mammals suggests that diversity present in the lower respiratory tract would not be efficiently sampled  
247 by this method [30,31]. In addition, although H1N1 and H3N2 subtype IAVs co-circulated in the sampled  
248 swine population, we excluded heterosubtypically coinfecting animals from our analyses, thereby  
249 excluding viral diversity that would be generated through heterosubtypic coinfection and subsequent  
250 reassortment. This exclusion was made with the goal of focusing our analyses on a cohesive set of  
251 biological processes but, in the field, coinfection is likely to be an important source of IAV genetic diversity  
252 in swine. Conversely, our analysis likely includes some homosubtypically coinfecting animals that were not  
253 defined as such; if present, such coinfecting samples might inflate within-host genetic diversity. This  
254 potential concern is however mitigated by the observation that the number of detected iSNVs in our  
255 samples was low overall. Finally, all efforts to examine viral population diversity are subject to limitations  
256 on our ability to detect minor variants below a certain threshold. Subject to these limitations, our data  
257 indicate that IAV populations infecting farmed swine are characterized by limited genetic diversity and  
258 largely shaped by purifying selection and genetic drift similar to IAV populations within human hosts.

## 259 **Materials and Methods**

### 260 **Ethics statement**

261 Sampling of swine was reviewed and approved by The Ohio State University Institutional Animal Care and  
262 Use Committee under protocol number 2009A0134.

### 263 **Sample collection**

264 Nasal wipes [32] were collected upon arrival at the agricultural fair during the swine exhibition weigh-in  
265 procedure, and then subsequently every night of the fair at 24-hour intervals as previously described in  
266 detail [33]. In brief, individual pig identification tags were recorded with samples, which were preserved

267 on dry ice in the field after collection and for transportation to laboratory and long-term storage at -80°C.  
268 IAV infection was assessed using rRT-PCR targeting the M segment: National Veterinary Services  
269 Laboratory PCR primer protocol (no. SOP-BPA-9034.04) with SuperScript One-Step RT-PCR (Invitrogen).  
270 Samples were defined as IAV positive using a Ct cutoff of <45.0. Virus isolation on MDCK cells was  
271 attempted on a subset of samples and HA/NA subtypes of the viral isolates were evaluated using  
272 subtyping rRT-PCR (VetMAX™-Gold SIV Subtyping Kit; Life Technologies, Austin, TX USA).

273 **RNA extraction and sequencing**

274

275 **Initial Sequencing.** All samples from days 1 (after the initial weigh-in procedure) through 6 of the fair that  
276 had Ct values of ≤31.0, based on M gene rRT-PCR, were initially sequenced. We refer to sequence data  
277 from this initial round of sequencing as Run 1. Influenza viral RNA extraction was performed using a  
278 custom QIAamp 96 DNA QIAcube treated kit (Qiagen) with a high-throughput automated liquid handler-  
279 QIAcube HT (Qiagen). Amplicons for sequencing libraries were generated through multi-segment RT-PCR  
280 (MRT-PCR) [34]. The resulting amplicons were then quantified using Quant-iT dsDNA High Sensitivity Assay  
281 (Invitrogen) and assessed by QIAxcel Advanced System (Qiagen) for size confirmation and presence of  
282 amplicon segments. The Nextera XT Sample Prep kit (Illumina) and Nextera XT Index kit v2 (Illumina) were  
283 used to produce paired-end DNA libraries using half-volume reactions. The amplicon libraries were  
284 purified using 0.8x AMPure XP beads (Beckman Coulter Inc.) on a Zephyr Compact Liquid handling  
285 workstation (Perkin Elmer). Purified libraries were then normalized and pooled using High sensitivity  
286 Quant-iT dsDNA to assess concentration and mean library size assessed by the QIAxcel. Six pM of the  
287 pooled libraries, including 5% PhiX, was loaded into a MiSeq v2 300 cycle kit (2x150bp) and MiSeq  
288 (Illumina) sequencer.

289

290 **Resequencing.** We selected samples for resequencing using the following priorities: (1) For every singly  
291 infected pig with only a single sequenced sample, we included this sample for resequencing. (2) For every  
292 singly infected pig with 2 or more longitudinal samples, we selected the earliest sample and the latest  
293 sample for resequencing. This resulted in 110 pairs of longitudinal samples taken 1 day apart, 48 pairs of  
294 samples taken 2 days apart, and 27 pairs of samples taken 3 or more days apart. (3) If any sample chosen  
295 in (2) had low sequence coverage in the first run and a high-Ct matrix rRT-PCR value, that sample was  
296 replaced with an alternative sample from the same pig when possible. We refer to resequenced samples  
297 as Run 2 samples. RNA was re-extracted from frozen samples and libraries were prepared as described  
298 above, then 225pM of pooled library were loaded in the Illumina NovaSeq 6000 SP reagent kit v1.5 300  
299 cycle (2x150bp) paired-end sequencing kits.

300

301 **Short read processing and alignment**

302

303 Raw reads were processed using bbtools to remove sequencing adapters and trim low-quality bases [35].  
304 We then used IRMA (Iterative Refinement Meta-Assembler) [36] to generate consensus sequence for each  
305 sample. These consensus sequences indicated that there were two IAV subtypes co-circulating at the fair:  
306 one from a swine H1N1 lineage and one from a swine H3N2 lineage (referred to as lineages I and II in this  
307 manuscript, respectively). For any given segment, the within-lineage variation in consensus sequence was  
308 low (average pairwise distance was within one nucleotide difference). Accordingly, the major haplotype  
309 of each segment from each lineage was selected to be used as a reference sequence (16 total reference  
310 sequences: 8 from lineage I and 8 from lineage II). Reads from each sample were split according to  
311 segment and subtype to which they shared the greatest nucleotide similarity. To do this, all reads were

312 aligned to the 16 reference sequences using BLAT [37]. Each subtype and segment specific bin of short  
313 reads was then mapped onto their respective reference sequence using bbmap with the following  
314 settings: 90% minimum percent identity, toss ambiguously mapped reads, and global alignment mode.  
315 The coverage for all samples, by segment, is shown in Figure S4.

316 **Reconstruction of haplotype networks and identification of differentiating SNPs (dSNPs)**

317 Using the Run 1 sequencing data, consensus sequences were generated for all segments in each sample  
318 by identifying the major allele at each site with  $\geq 10x$  coverage. Sites with  $< 10x$  coverage were recorded  
319 as gaps. Since the internal gene segments (PB2, PB1, PA, NP, M and NS) share on average 93-97%  
320 nucleotide identity between lineages, reads were mapped separately to lineage I and lineage II references,  
321 but total coverage and allele frequencies were calculated using alignments to both references. This  
322 method was adopted because, although the similarity of internal segments was sufficiently high to permit  
323 mapping of all reads to the same reference, this practice made it difficult to evaluate the significance of  
324 low-frequency variants. Low quality samples and potentially coinfecting samples were excluded from  
325 alignments by removing all samples that contained  $\geq 1\%$  polymorphic sites. Using these alignments  
326 containing only sequences with high coverage from samples unlikely to be coinfecting, we inferred  
327 haplotype networks using a custom python script (GitHub: <https://github.com/Lowen-Lab/swineIAV>).  
328 SNPs that differentiate lineage I from lineage II viruses (dSNPs) were identified as those SNPs that  
329 distinguish the dominant lineage I and lineage II haplotypes. The number of detected dSNPs for all samples  
330 is shown in **Figure S4**.

331 **Classifying sequenced segments and samples into lineage designations**

332 Because lineage I and II HA and NA segments share little nucleotide similarity, short reads map reliably to  
333 only one reference or the other. Mapping coverage is therefore a reliable method of distinguishing H1  
334 from H3, and N1 from N2. Accordingly, for HA and NA segments, positive evidence of lineage I or II  
335 genotypes required that reads map to at least 10% of all sites in a segment with 50x coverage or higher in  
336 Run 1 and 500x coverage in Run 2.

337 Internal segments (PB2, PB1, PA, NP, M and NS) were classified into lineages based on the alleles present  
338 at the dSNPs sites. The base quality and mapping quality thresholds to count a putative dSNP allele were  
339 set empirically based on the distribution of quality and mapping statistics observed in our sequence data  
340 and using our read alignment protocol. Specifically, only sites with  $\geq 50x$  coverage (or 500x for re-  
341 sequenced samples), an average mapping score of the major allele  $\geq 43$ , and average mismatch and indel  
342 counts of reads containing the major allele  $\leq 1.5$  and  $\leq 0.5$ , respectively. At those sites, only those alleles  
343 present at  $\geq 3\%$  frequency, with an average phred score of  $\geq 37$ , average mapping quality score of  $\geq 40$ , and  
344 average location of that allele in each mapped read  $\geq 30$  bases from the nearest end of a read were  
345 included. A sample's gene segment was classified as supporting infection with a lineage I gene segment if  
346 more than 10% of the total dSNP sites for a segment contained the alleles defining lineage I. Similarly, a  
347 sample's gene segment was classified as supporting infection with a lineage II gene segment if more than  
348 10% of the total dSNP sites for a segment contained the alleles defining lineage II. If a sample's gene  
349 segment supported infection with both a lineage I and a lineage II gene segment, it was classified as  
350 coinfecting. Gene segments that were not classified as either lineage I or lineage II were classified as  
351 "unknown". As such, gene segments with low sequencing coverage were generally classified as  
352 "unknown".

353 At the sample level, a sample was classified as coinfecte<sup>d</sup> if at least one of the eight segments was  
354 classified as coinfecte<sup>d</sup>. Of the remaining samples, those with five or more segments classified as lineage  
355 I or lineage II were classified as lineage I or II, respectively, at the sample level. Samples with five or more  
356 segments classified as “unknown” were classified as “unknown”. Samples with some segments classified  
357 as lineage I and others classified as lineage II were classified as reassortant at the sample level, but only if  
358 the number of “unknown” segments was less than five. We manually inspected the classification of all  
359 samples to verify the accuracy and consistency of the genotyping process.

360 **Identification of within host single nucleotide variants (iSNVs)**

361 Run 2 data were used for calling iSNVs. Similar to the identification of dSNPs, the cutoffs for including  
362 iSNVs were set empirically. First, sites were evaluated based on their total coverage and the average  
363 quality and mapping statistics of reads containing the major allele. In particular, only sites with  $\geq 500\times$   
364 coverage, an average mapping score of the major allele  $\geq 43$ , and average mismatch and indel counts of  
365 reads containing the major allele  $\leq 1.5$  and  $\leq 0.5$ , respectively, were included. These major allele focused  
366 site exclusion criteria were introduced out of necessity and functioned primarily to exclude false positives  
367 driven by mapping errors, such as at the borders of defective viral genomes (DVGs). For minor variants at  
368 these sites to be included in subsequent analyses, they were required to be present at  $\geq 3\%$  frequency,  
369 have an average phred score of  $\geq 37$ , and the reads that contained the minor allele at any given site also  
370 had to have sufficient mapping quality to justify inclusion. Specifically, reads containing the minor allele  
371 needed an average mapping quality score of  $\geq 40$ , the average location of the minor allele needed to be  
372  $\geq 30$  bases from the nearest end of a read, and the reads overall needed to have  $\leq 2.0$  and  $\leq 1.0$  average  
373 mismatch and indel counts, respectively, relative to the reference sequence.

374

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385 Control and Prevention or the Agency for Toxic Substances and Disease Registry.

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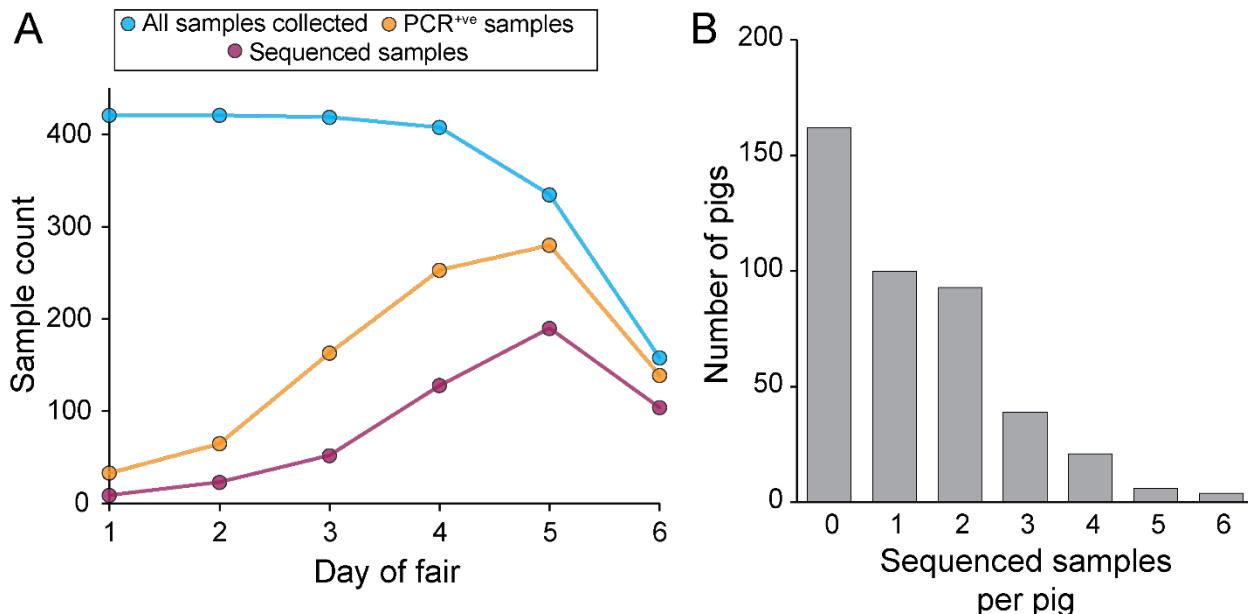
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391 **Figures**

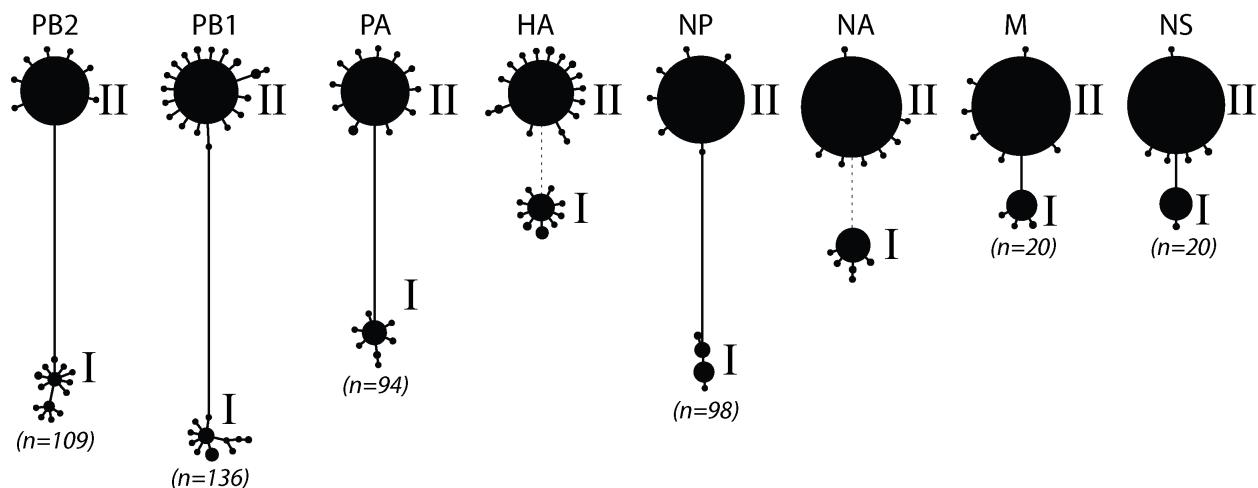
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393

394 **Figure 1. Overview of sampling and sequencing of pigs at the county fair. (A)** Sampling, testing, and  
395 sequencing effort over the course of the fair. The decrease in the number of samples collected on days 5  
396 and 6 stems from departure of a subset of pigs prior to the fair's conclusion – all pigs still present were  
397 sampled. **(B)** Distribution of the number of sequenced samples per pig. Pigs with no sequenced samples  
398 either never tested positive for IAV or tested positive at some point but positive samples all had Ct values  
399 exceeding 31.0.

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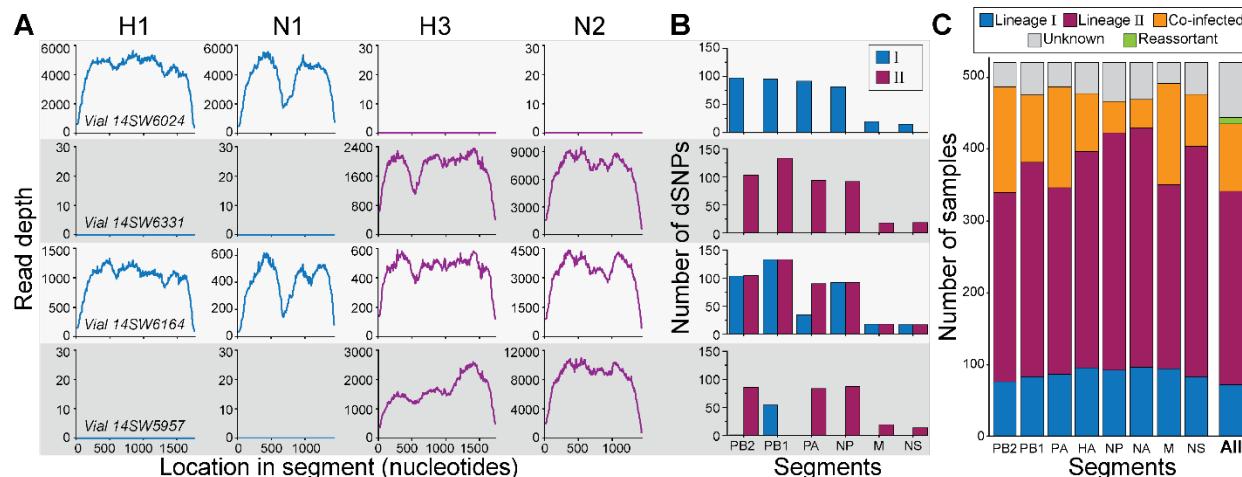
401

402 **Figure 2. Haplotype networks of IAV segments support co-circulation of two distinct lineages at the**  
403 **county fair.** Consensus sequences from all successfully sequenced samples were inferred and used to  
404 construct haplotype networks. Each circle represents a unique haplotype (excluding differences caused  
405 by ambiguous bases), with circle size of a haplotype being proportional to the number of consensus

406 sequences that belong to it. Numbers in parentheses refer to the number of differentiating single  
407 nucleotide polymorphisms (dSNPs) that distinguish the dominant haplotypes from each lineage in each  
408 internal gene segment. Because of the high genetic divergence between the HA of lineage I and the HA of  
409 lineage II, and between the NA of lineage I and the NA of lineage II, dotted lines rather than solid lines are  
410 shown between the lineages.

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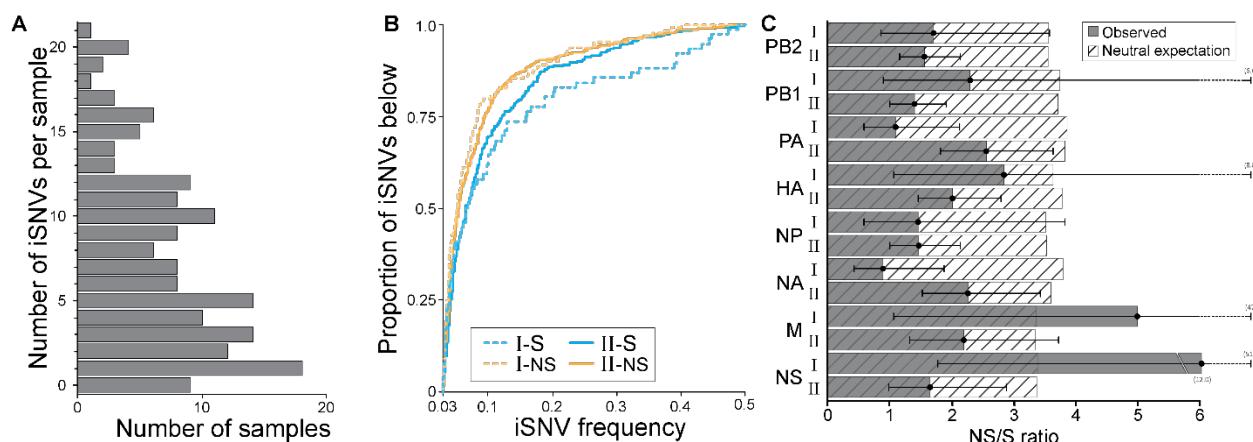
413

414 **Figure 3. Classification of gene segments and samples into lineages.** (A) Mapping of sample reads on to  
415 reference H1, N1, H3, and N2 gene segments for four selected samples. Based on this mapping, a sample's  
416 HA gene segment was classified as belonging to either lineage I (with reads mapped to H1; vial#14SW6024), lineage II (with reads mapped to H3; vial#14SW6331 and vial#14SW5957), or coinfecting  
417 (with reads mapped to both H1 and H3; vial#14SW6164). Similarly, a sample's NA gene segment was  
418 classified as belonging to either lineage I (with reads mapped to N1; vial#14SW6024), lineage II (with reads  
419 mapped to N2; vial#14SW6331 and vial#14SW5957), or coinfecting (with reads mapped to both N1 and  
420 N2; vial#14SW6164). (B) Detection of dSNPs characteristic of lineages I and II for each of the six internal  
421 gene segments of the four selected samples. (C) The proportion of samples classified as lineage I, II, or  
422 coinfecting, by gene segment. Segments were labeled as unknown when there was insufficient coverage  
423 across the focal gene segment to determine nucleotide identities at the dSNP sites or to robustly map to  
424 the HA and NA gene segments. Samples ('All') were classified as belonging to lineage I, lineage II,  
425 coinfecting, or reassortant based on their constituent segments. A sample was defined as a lineage I or II  
426 sample if at least five of its gene segments were successfully classified and found to be either singly  
427 infected lineage I or singly infected lineage II, with no evidence of coinfection. A sample was considered a  
428 reassortant if at least five segments were successfully classified and a combination of lineage I singly  
429 infected and lineage II singly infected segments were present in the same sample. A sample was  
430 considered coinfecting if at least one of its gene segments was classified as coinfecting. In panels (A) and  
431 (B), each row corresponds to a different sample classification. Vial#14SW6024 corresponds to a sample  
432 with a lineage I virus, vial#14SW6331 corresponds to a sample with a lineage II virus, vial#14SW6164  
433 corresponds to a coinfecting sample, and vial#14SW5957 corresponds to a reassortant virus.

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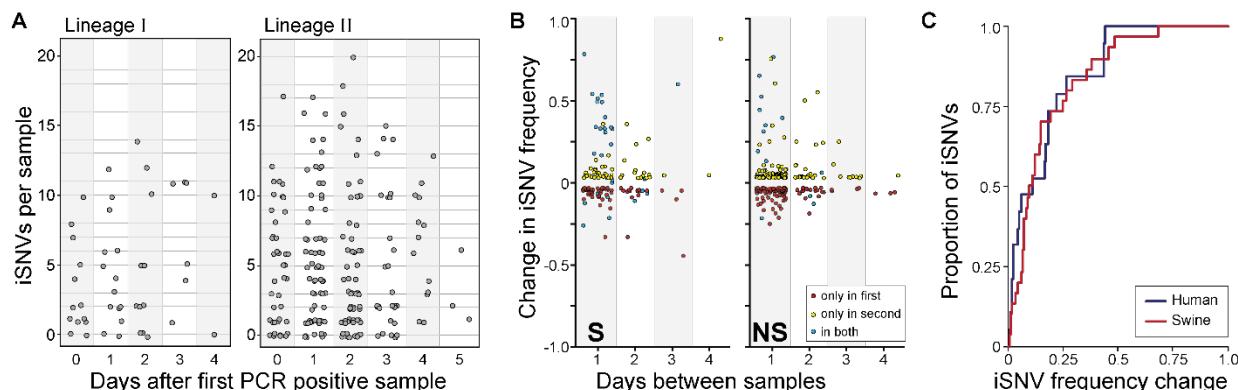
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439 **Figure 4. Singly infected samples harbor relatively low levels of genetic diversity and show evidence of**  
440 **purifying selection. (A)** Distribution of the number of iSNVs identified per sample, across samples. **(B)** The  
441 proportion of detected iSNVs that fall below a given frequency, as specified on the x-axis. Approximately  
442 70% iSNVs are detected at frequencies below 10%. Results are shown by sample lineage (I or II) and  
443 separately for nonsynonymous and synonymous iSNVs. **(C)** The ratio of the number of nonsynonymous to  
444 synonymous iSNVs, by gene segment and lineage. These ratios are shown alongside the neutral  
445 expectation, given by the ratio of nonsynonymous to synonymous sites. Black whiskers show the 95%  
446 confidence interval of the ratio.

447



448

449 **Figure 5. Longitudinal dynamics of iSNVs in singly infected swine. (A)** The number of iSNVs detected in  
450 each sample according to the number of days since that animal had its first PCR positive sample, stratified  
451 by IAV lineage. **(B)** Changes in the frequency of synonymous (left), and non-synonymous (right) iSNVs  
452 according to the number of days between samples. iSNVs that were detected at both time points are  
453 shown in blue. **(C)** Cumulative distribution showing the proportion of iSNVs that persist between one day  
454 and the next with a frequency change that is less than or equal to the frequency change shown on the x-  
455 axis. Red line shows results from the swine data; the blue line shows results from humans IAV infections,  
456 as calculated from the data provided in [19]. For both datasets, we called iSNVs as present if found at  
457 frequencies of  $\geq 3\%$  and  $\leq 97\%$ .

458 **Supplementary information captions**

459

460 **Supplementary Tables**

461 **Table S1.** Nasal wipes collected over the week-long county fair. Columns include vial numbers, pig  
462 identification numbers, sampling day, Ct value from the rRT-PCR assay targeting the M segment, infection  
463 status based on this assay, and subtype-specific assay results, when performed. A sample was classified  
464 as PCR positive for IAV if the Ct value of the qRT-PCR assay targeting the M segment was  $\leq 45.0$ . Sequence  
465 identification numbers of samples that were sequenced are also provided. These unique sequence IDs  
466 correspond with those deposited to the NCBI SRA ([pending CDC review, insert bioproject number]).

467

468 **Table S2.** Table of sequenced samples. The first 8 columns of the table include the vial number, pig ID,  
469 sampling day, Ct value from the rRT-PCR assay targeting the M segment, and subtype-specific assay results  
470 (when available). The next 10 columns of the table include the unique sequence ID from Run 1, the  
471 classification of each gene segment into a lineage based on the deep sequencing data, and the  
472 classification of the sample into a lineage based on all viral gene segments. The last 10 columns include  
473 analogous information and results based on Run 2, when applicable.

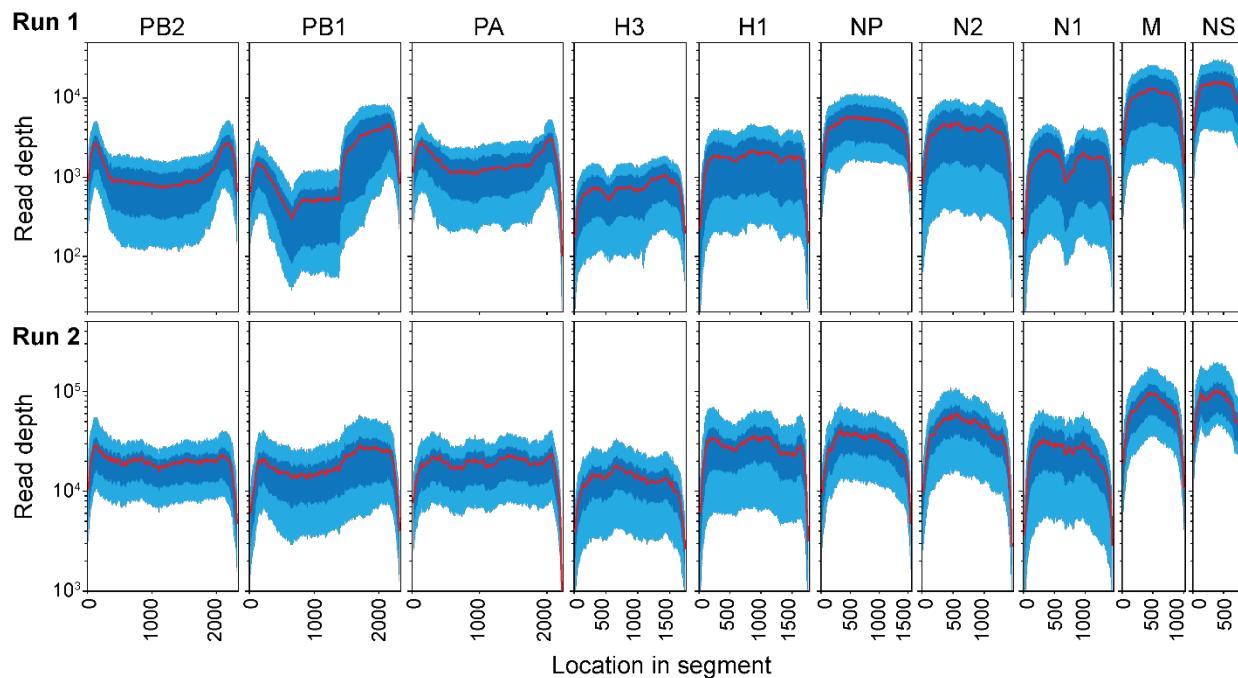
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475 **Table S3.** List of differentiating SNPs (dSNPs) for the six internal gene segments. Each tab lists the gene  
476 segment, the dSNP nucleotide site, and the nucleotides present at the corresponding dSNP sites for  
477 lineage I and lineage II viruses.

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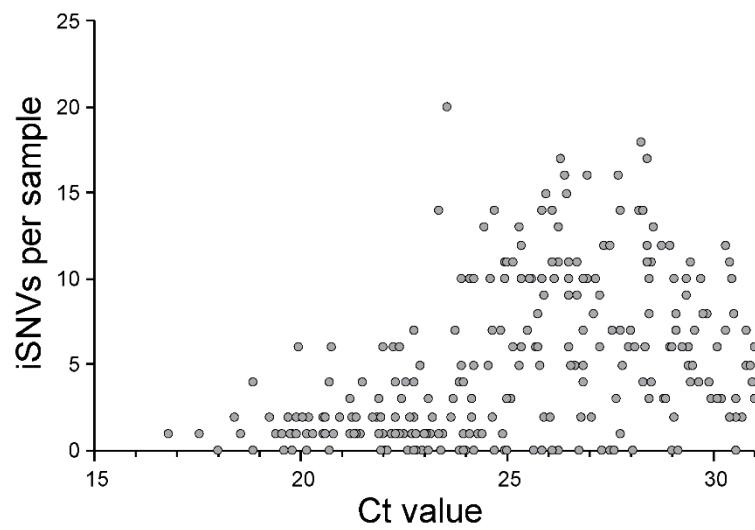
480 **Supplementary Figures**



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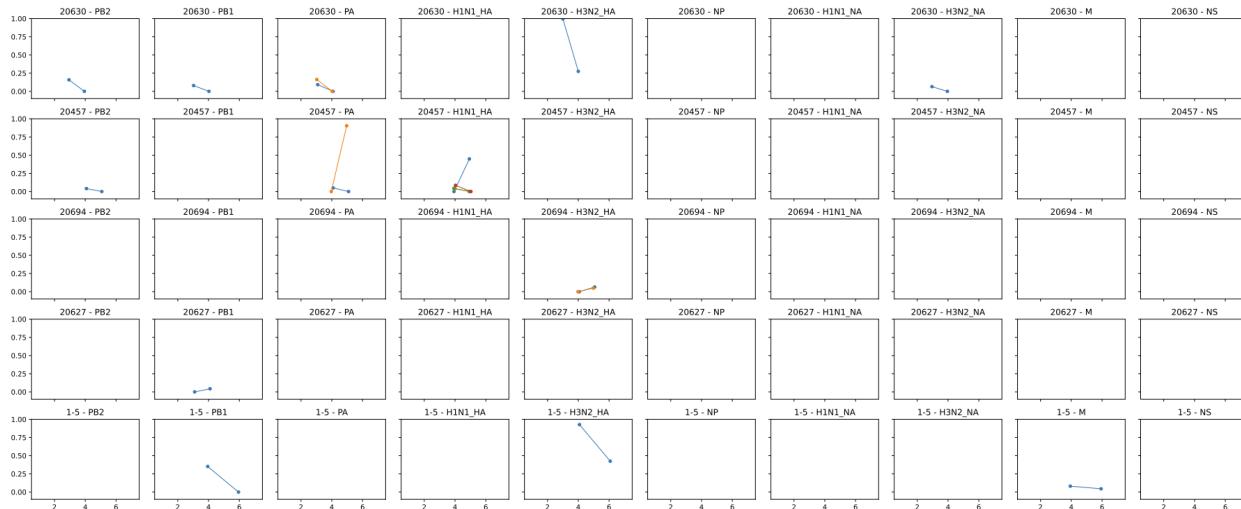
482 **Figure S1. Coverage for sequenced samples.** The x-axis shows the location along the IAV genome. The y-axis shows read depth. Coverage for Run 1 and Run 2 samples are shown separately. A sliding window of 483 200 nucleotide sites was used to calculate mean read depth for each sample at each site. The plotted 484 ranges show median (red), 25<sup>th</sup> (dark blue), and 75<sup>th</sup> (light blue) percentiles of read depth across all 485 samples sequenced in Runs 1 and 2. 486

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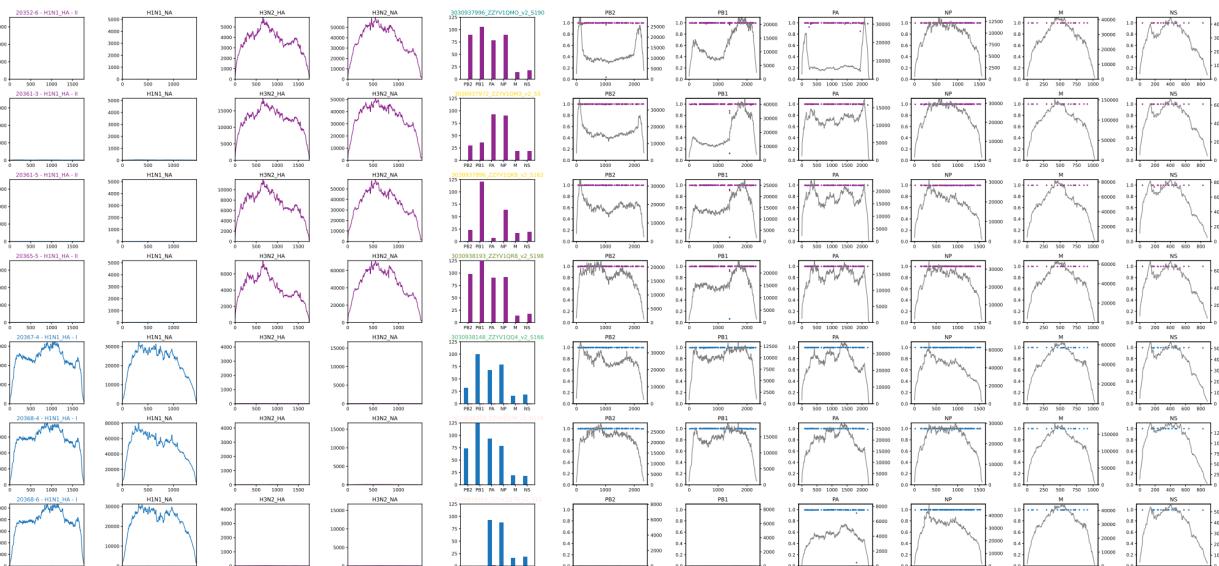
489 **Figure S2. Scatterplot showing the relationship between the rRT-PCR Ct value of a sample and the 490 number of iSNVs detected in the sample.** A positive relationship is evident, with higher Ct samples more 491 likely to have a larger number of iSNVs detected.



492

493 **Figure S3. iSNV dynamics within singly infected pigs with two or more sequenced samples.** Each row  
494 shows iSNV dynamics for a single pig. Columns correspond to the six internal gene segments of IAV, and  
495 both lineage I and II HA and NA gene segments. Blank graphs indicate no iSNVs were detected in the reads  
496 mapping to each reference sequence. The x-axis specifies the sample day and the y-axis specifies iSNV  
497 frequency. (*Figure above is representative only, see attached PDF for full length figure*).

498



499

500 **Figure S4. Coverage and the number of detected dSNPs for all samples, by segment.** Each row is a  
501 separate sample. The pig ID, sample day, and sample classification are shown in the panel titles of the fifth  
502 column. The first four columns show mapping coverage against H1, N1, H3, and N2 references,  
503 respectively. The fifth column shows the number of dSNPs detected for each lineage in each of the six  
504 internal segments. The remaining six columns show coverage and dSNP frequency by location in the  
505 internal segments in the following order: PB2, PB1, PA, NP, M, NS. (*Figure above is representative only,*  
506 *see attached PDF for full length figure*).

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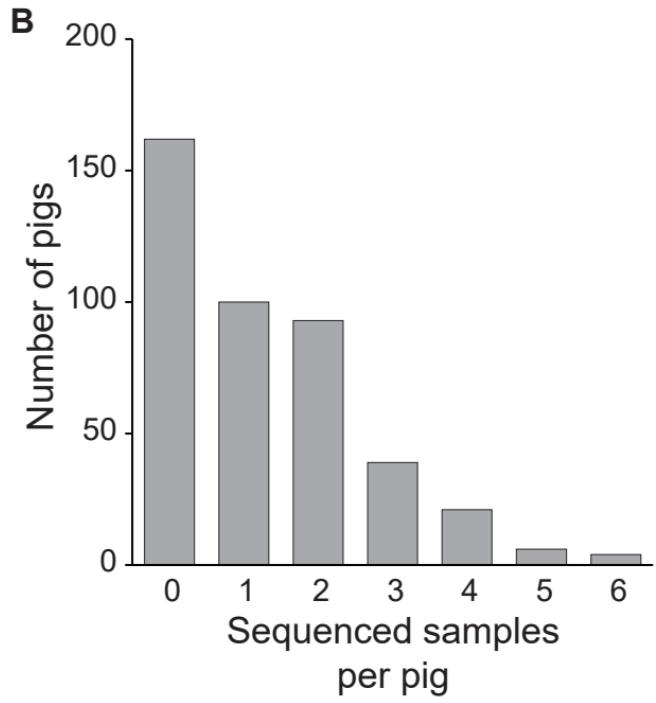
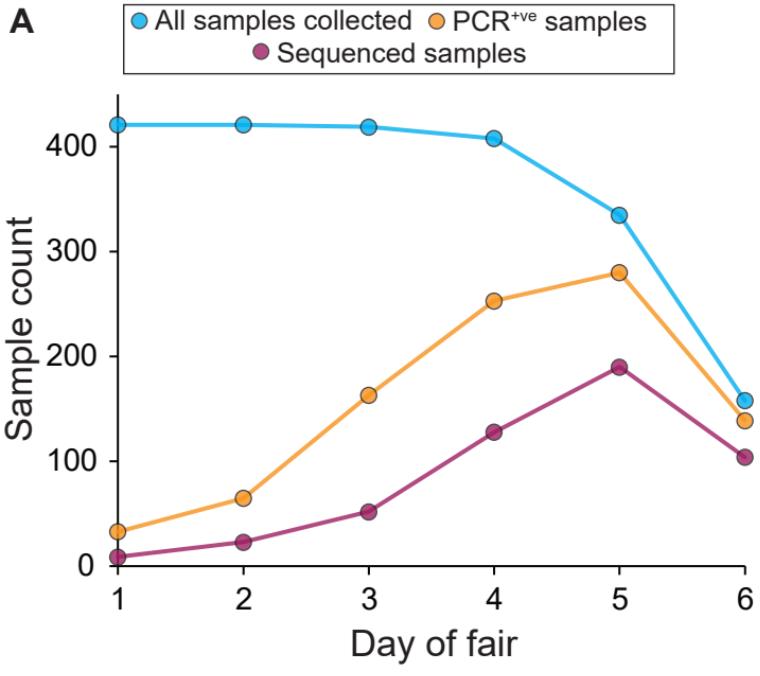
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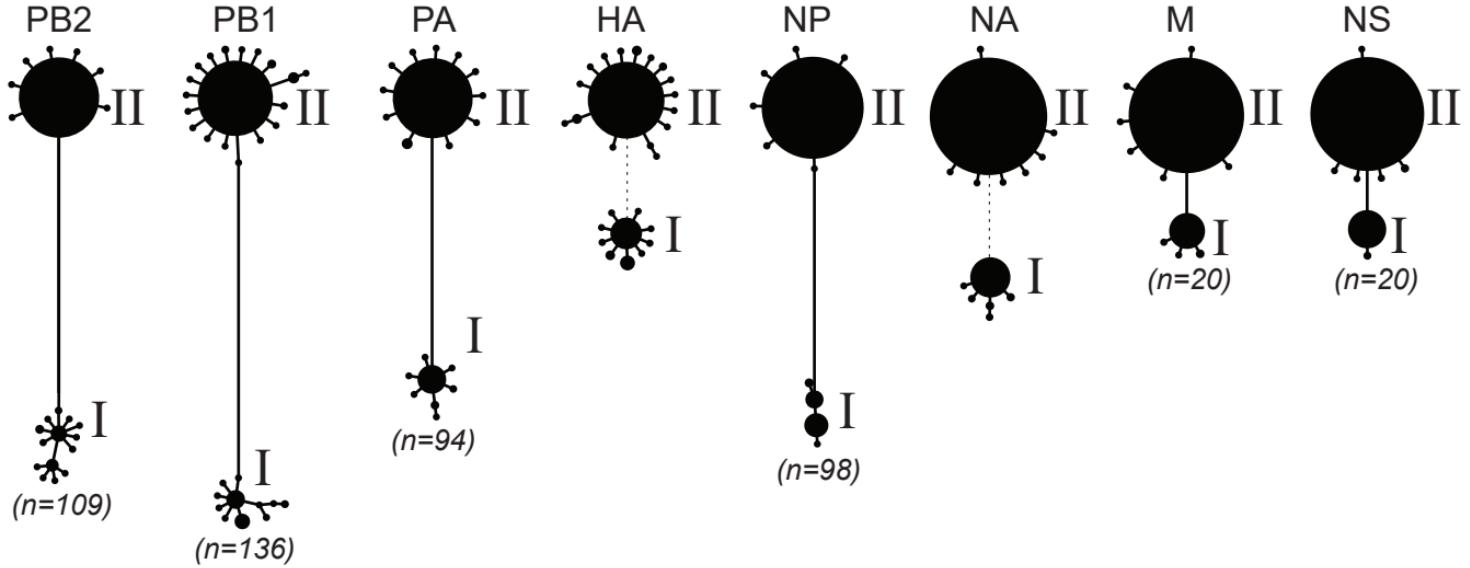
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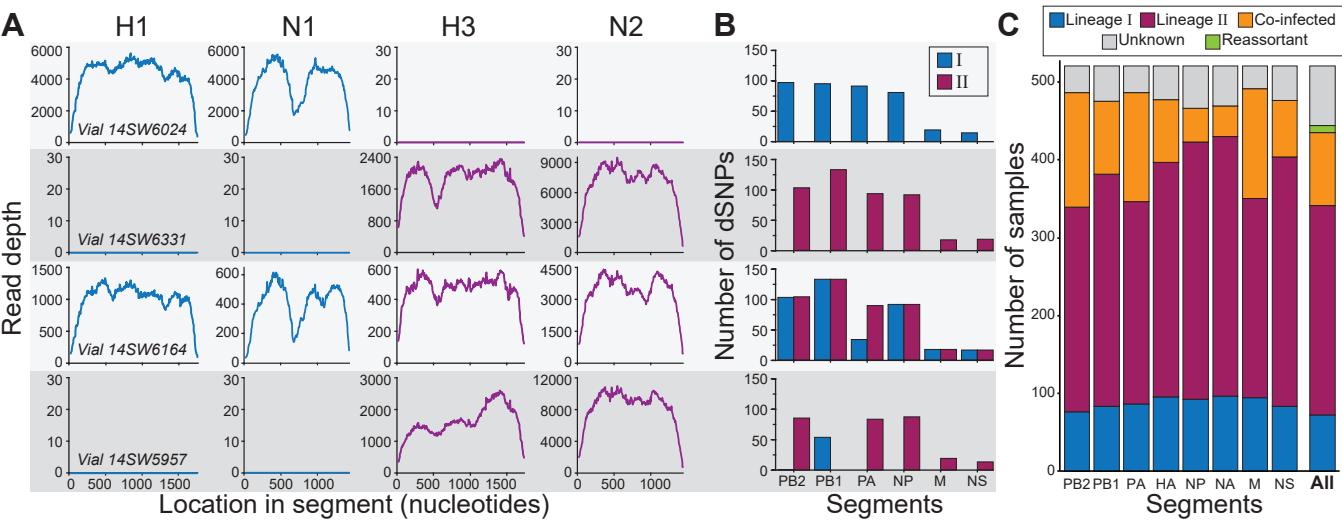
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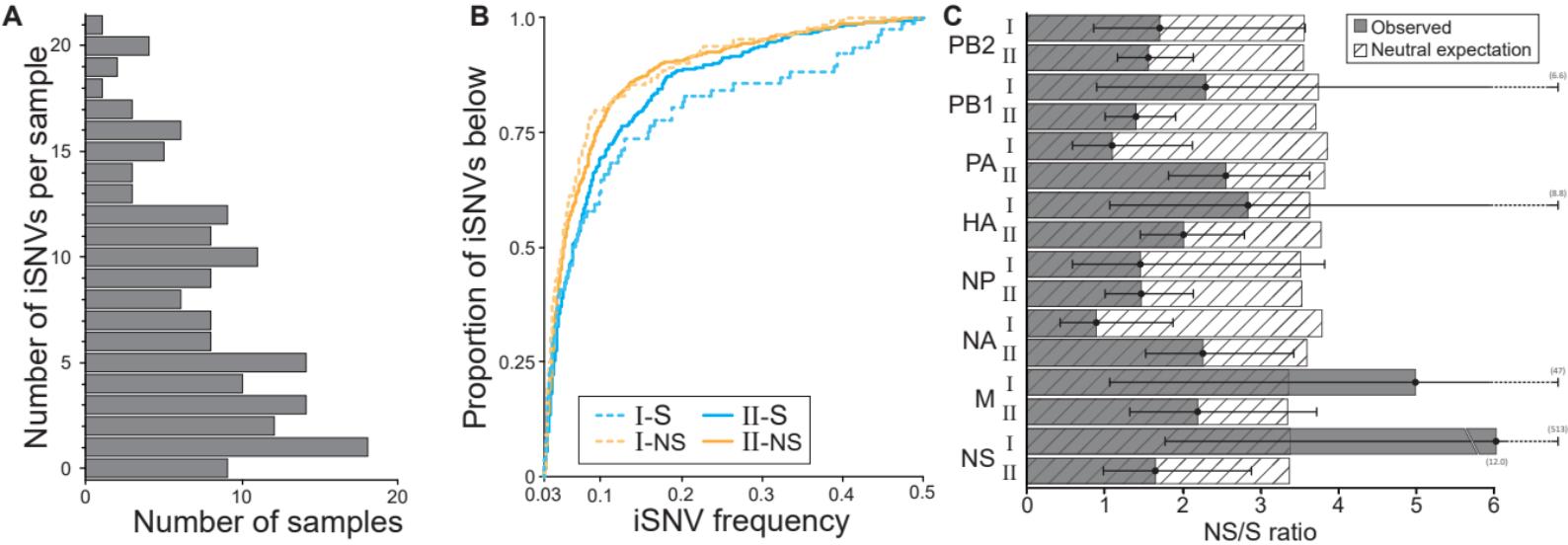
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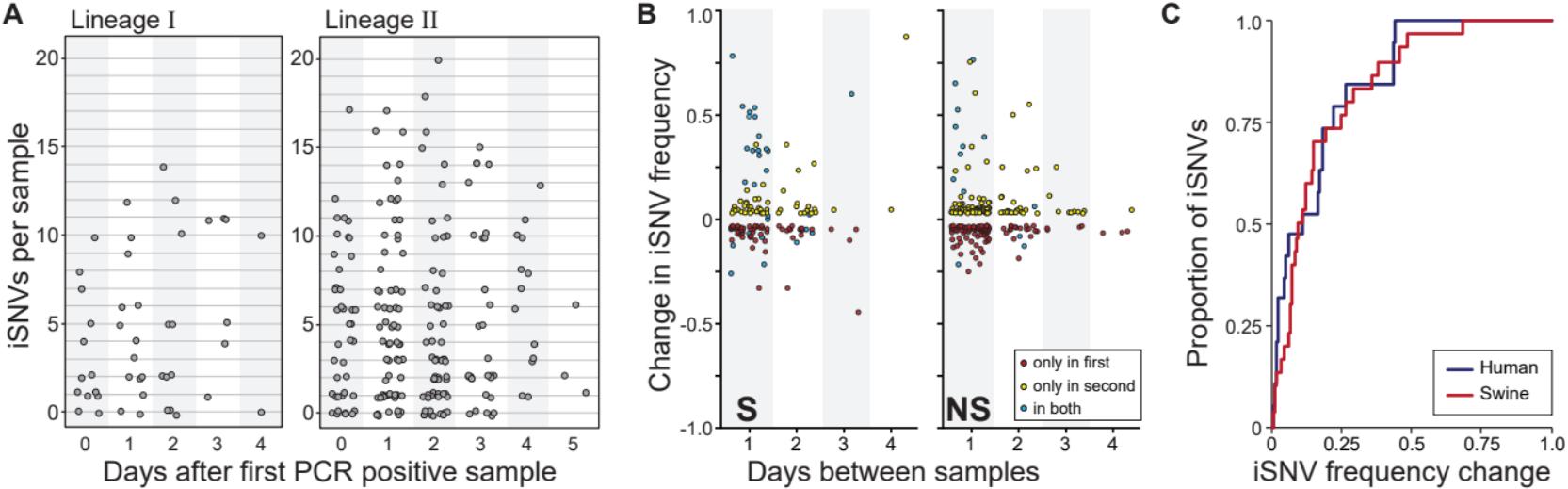
**Figure 2. Haplotype networks of IAV segments support co-circulation of two distinct lineages at the county fair.** Consensus sequences from all successfully sequenced samples were inferred and used to construct haplotype networks. Each circle represents a unique haplotype (excluding differences caused by ambiguous bases), with circle size of a haplotype being proportional to the number of consensus sequences that belong to it. Numbers in parentheses refer to the number of differentiating single nucleotide polymorphisms (dSNPs) that distinguish the dominant haplotypes from each lineage in each segment. Because of the high genetic divergence between the HA of lineage I and the HA of lineage II, and between the NA of lineage I and the NA of lineage II, dotted lines rather than solid lines are shown between the lineages.



**Figure 3. Classification of gene segments and samples into lineages.** (A) Mapping of sample reads onto reference H1, N1, H3, and N2 gene segments for four selected samples. Based on this mapping, a sample's HA gene segment was classified as belonging to either lineage I (with reads mapped to H1; vial#14SW6024), lineage II (with reads mapped to H3; vial#14SW6331 and vial#14SW5957), or coinfecting (with reads mapped to both H1 and H3; vial#14SW6164). Similarly, a sample's NA gene segment was classified as belonging to either lineage I (with reads mapped to N1; vial#14SW6024), lineage II (with reads mapped to N2; vial#14SW6331 and vial#14SW5957), or coinfecting (with reads mapped to both N1 and N2; vial#14SW6164). (B) Detection of dSNPs characteristic of lineages I and II for each of the six internal gene segments of the four selected samples. (C) The proportion of samples classified as lineage I, II, or coinfecting, by gene segment. Segments were labeled as unknown when there was insufficient coverage across the focal gene segment to determine nucleotide identities at the dSNP sites or to robustly map to the HA and NA gene segments. Samples ('All') were classified as belonging to lineage I, lineage II, coinfecting, or reassortant based on their constituent segments. A sample was defined as a lineage I or II sample if at least five of its gene segments were successfully classified and found to be either singly infected lineage I or singly infected lineage II, with no evidence of coinfection. A sample was considered a reassortant if at least five segments were successfully classified and a combination of lineage I singly infected and lineage II singly infected segments were present in the same sample. A sample was considered coinfecting if at least one of its gene segments was classified as coinfecting. In panels (A) and (B), each row corresponds to a different sample classification. Vial#14SW6024 corresponds to a sample with a lineage I virus, vial#14SW6331 corresponds to a sample with a lineage II virus, vial#14SW6164 corresponds to a coinfecting sample, and vial#14SW5957 corresponds to a reassortant virus.



**Figure 4. Singly infected samples harbor relatively low levels of genetic diversity and show evidence of purifying selection. (A)** Distribution of the number of iSNVs identified per sample, across samples. **(B)** The proportion of detected iSNVs that fall below a given frequency, as specified on the x-axis. Approximately 70% iSNVs are detected at frequencies below 10%. Results are shown by sample lineage (I or II) and separately for nonsynonymous and synonymous iSNVs. **(C)** The ratio of the number of nonsynonymous to synonymous iSNVs, by gene segment and lineage. These ratios are shown alongside the neutral expectation, given by the ratio of nonsynonymous to synonymous sites. Black whiskers show the 95% confidence interval of the ratio.



**Figure 5. Longitudinal dynamics of iSNVs in singly infected swine. (A)** The number of iSNVs detected in each sample according to the number of days since that animal had its first PCR positive sample, stratified by IAV lineage. **(B)** Changes in the frequency of synonymous (left), and non-synonymous (right) iSNVs according to the number of days between samples. iSNVs that were detected at both time points are shown in blue. **(C)** Cumulative distribution showing the proportion of iSNVs that persist between one day and the next with a frequency change that is less than or equal to the frequency change shown on the x-axis. Red line shows results from the swine data; the blue line shows results from humans IAV infections, as calculated from the data provided in [19]. For both datasets, we called iSNVs as present if found at frequencies of  $\geq 3\%$  and  $\leq 97\%$ .