

1      **Identification of Evolutionarily Conserved VSX2 Enhancers in Retinal Development**

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3      Victoria Honnell<sup>1,2</sup>, Shannon Sweeney<sup>1</sup>, Jackie Norrie<sup>1</sup>, Cody Ramirez<sup>1</sup>, Beisi Xu<sup>3</sup>,  
4      Brett Teubner<sup>1</sup>, Ah Young Lee<sup>4</sup>, Claire Bell<sup>4</sup>, and Michael A. Dyer<sup>1</sup>

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6      <sup>1</sup>Department of Developmental Neurobiology at St. Jude Children's Research Hospital,  
7      Memphis, Tennessee 38105, USA

8      <sup>2</sup>Graduate School of Biomedical Sciences at St. Jude Children's Research Hospital, Memphis,  
9      Tennessee 38105, USA

10     <sup>3</sup>Center for Applied Bioinformatics at St. Jude Children's Research Hospital, Memphis,  
11     Tennessee 38105, USA

12     <sup>4</sup>Wilmer Eye Institute, Johns Hopkins University School of Medicine, Baltimore, MD, 21231,  
13     USA

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22     Correspondence and requests for materials should be addressed to:

23     Michael A. Dyer

24     Department of Developmental Neurobiology, MS 324

25     St. Jude Children's Research Hospital 262 Danny Thomas Place, Memphis, TN 38105-3678,  
26     USA Phone: (901) 595-2257

27     FAX: (901) 595-3143

28     E-mail: [michael.dyer@stjude.org](mailto:michael.dyer@stjude.org)

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31     Key words: retina, super-enhancer, organoid, retinal development

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## Summary Statement

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34       Herein, we describe how conserved modules within a single super-enhancer can regulate  
35       *VSX2* gene expression across species in both mice and human retinal organoids.

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## Abstract

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39       Super-enhancers (SEs) are expansive regions of genomic DNA that regulate the  
40       expression of genes involved in cell identity and cell fate. Recently, we found that distinct  
41       modules within a murine SE regulate gene expression of master regulatory transcription factor  
42       *Vsx2* in a developmental stage- and cell-type specific manner. *Vsx2* is expressed in retinal  
43       progenitor cells as well as differentiated bipolar neurons and Müller glia. Mutations in *VSX2* in  
44       humans and mice lead to microphthalmia due to a defect in retinal progenitor cell proliferation.  
45       Deletion of a single module within the *Vsx2* SE leads to microphthalmia. Deletion of a separate  
46       module within the SE leads to a complete loss of bipolar neurons, yet the remainder of the retina  
47       develops normally. Furthermore, the *Vsx2* SE is evolutionarily conserved in vertebrates,  
48       suggesting that these modules are important for retinal development across species. In the  
49       present study, we examine the ability of these modules to drive retinal development between  
50       species. By inserting the human build of one *Vsx2* SE module into a mouse with microphthalmia,  
51       eye size was rescued. To understand the implications of these SE modules in a model of human  
52       development, we generated human retinal organoids. Deleting one module results in small  
53       organoids, recapitulating the small-eyed phenotype of mice with microphthalmia, while deletion  
54       of the other module leads to a complete loss of ON cone bipolar neurons. This prototypical SE  
55       serves as a model for uncoupling developmental stage- and cell-type specific effects of  
56       neurogenic transcription factors with complex expression patterns. Moreover, by elucidating the  
57       gene regulatory mechanisms, we can begin to examine how dysregulation of these mechanisms  
58       contributes to phenotypic diversity and disease.

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## Introduction

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64 For proper retinal development to occur, thousands of genes must be turned on and  
65 turned off in a precise spatiotemporal order (Livesey and Cepko, 2001). Many genes encoding  
66 master regulatory transcription factors are expressed in retinal progenitor cells during early  
67 stages of development and in a subset of differentiated cells at late stages of development  
68 (Haubst et al., 2004, (Castro et al., 2011, (Nishida et al., 2003). For example, *Sox2* is expressed  
69 in retinal progenitor cells and persists in differentiated Müller glia in the adult retina (Graham et  
70 al., 2003). Likewise, *Pax6* is expressed in retinal progenitor cells at early stages of development  
71 and persists in amacrine cells and Müller glia (Collinson et al., 2003, (Marquardt et al., 2001).  
72 While these complex cell type-specific gene expression patterns have been well-characterized  
73 during retinogenesis, the underlying molecular mechanisms by which these genes are regulated  
74 is not well understood.

75 Prior studies have computationally identified hundreds of putative super-enhancers across  
76 multiple stages of retinal development (Aldiri et al., 2017, (Marchal et al., 2022). A major  
77 challenge in the field is correctly identifying enhancers and their target genes in a developmental  
78 stage and cell-type specific manner. We recently identified a super-enhancer (SE) upstream of  
79 the *Vsx2* gene that is necessary and sufficient for the complex expression pattern of *Vsx2* during  
80 development (Honnel et al., 2022). *Vsx2* is expressed in retinal progenitor cells and maintained  
81 in differentiated bipolar neurons and Müller glia (Liu et al., 1994, (Rowan and Cepko, 2004,  
82 (Vitorino et al., 2009, (Buenaventura et al., 2018). *Vsx2* gene knockout mice (*OrJ*) are born with  
83 microphthalmia, a very small eye, due to a defect in retinal progenitor cell proliferation  
84 (Burmeister et al., 1996, (Ferda Percin et al., 2000, (Livne-Bar et al., 2006, (Truslove, 1962).  
85 Thus, determining the precise role of *Vsx2* in differentiated bipolar neurons and Müller glia in  
86 this model is difficult because normal retinal development does not occur.

87 We found that, in mice, the developmental stage-specific and cell-type specific  
88 expression pattern of *Vsx2* is achieved by distinct enhancer modules within its adjacent SE  
89 (Honnel et al., 2022). One module (Region 0) is responsible for retinal progenitor cell  
90 proliferation. Deletion of this region leads to microphthalmia. A separate module (Region 3)  
91 regulates bipolar cell genesis. Deletion of this region leads to complete loss of a single cell type,  
92 the bipolar neurons. Bipolar neurons are present in the Region 0 deletion retina and the eye is of

93 a normal size in the Region 3 deletion retina demonstrating that the *Vsx2* gene is regulated by a  
94 modular SE. Thus, by knocking out the enhancers *cis* to the *Vsx2* gene, we are able to control  
95 *Vsx2* gene expression without perturbing the gene itself.

96 Considering that these sequences are evolutionarily conserved across vertebrates, we  
97 hypothesize that they are necessary for normal human retinal development and interchangeable  
98 between species. In the present study, we discovered that the human VSX2 enhancer modules are  
99 sufficient for driving reporter expression in the mouse retina. We then assessed the ability of the  
100 R0 human enhancer to function between species by replacing the mouse enhancer with the  
101 human enhancer *in vivo*. Using the Region 0 deletion mouse, which has microphthalmia, we  
102 knocked-in the human Region 0 enhancer and found that eye size and vision were rescued. To  
103 understand how these enhancers may function in a model of human retinal development, we  
104 generated Region 0 and Region 3 knockout retinal organoids from human embryonic stem cells  
105 (hESCs). These data suggests that the *Vsx2* enhancer elements are functionally interchangeable  
106 between humans and mice, and that both enhancers are necessary for normal human retinal  
107 organoid development. This work further explores enhancer mechanisms regulating *Vsx2* gene  
108 expression and could offer insights for previously unknown enhancer candidates contributing to  
109 microphthalmia and blindness in humans.

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## Results

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### 120 The *Vsx2* SE enhancer regions are evolutionarily conserved

121 Using integrated epigenetic analyses, we previously identified a 41kb SE upstream of the  
122 *Vsx2* gene in mice (Norrie et al., 2019). Within the *Vsx2* super-enhancer are four evolutionarily  
123 conserved regions, R0-37, R1-28, R2-22, and R3-17 located 37, 28, 22, and 17 kilobases from the  
124 *Vsx2* mouse promoter, respectively (Honnell et al., 2022) (Fig. S1A). To determine whether these  
125 regions are sufficient for driving reporter expression in mice, we subcloned them into a pP reporter  
126 plasmid driven by a minimal promoter, as previously described (Kim et al., 2008). Mouse Regions  
127 0-3 were individually co-electroporated into mouse retina at P0 *in vivo* with a constitutive H3.3  
128 Scarlet reporter plasmid, labeling all electroporated cells. We scored the proportion of GFP+,  
129 Scarlet+/Scarlet+ cells for each cell type for each reporter plasmid. R0-37 is sufficient for driving  
130 reporter expression in Müller glia and R3-17 is sufficient for driving reporter expression in bipolar  
131 neurons (Fig. S1B). Since the *Vsx2* SE extends into the neighboring *Lin52* gene, we also examined  
132 evolutionarily conserved regions within this gene. However, no statistically significant reporter  
133 expression was observed (Fig. S1C). Considering that conserved Regions 0-3 are several kilobases  
134 in size, we refined them to sequences conserved only in distantly related species. Upon refining  
135 Region 3, we found that Region 3-d exhibited robust transgene expression in the bipolar neurons  
136 compared to Region 3-c (Fig. S1D). Notably, Region 3-d contains a 164 bp element that was  
137 previously found to drive reporter expression in rat bipolar neurons by the Cepko laboratory (Kim  
138 et al., 2008). Lastly, we combined each of the refined regions to create a “mini-enhancer” and  
139 found that it recapitulates the cell-type specific expression inherent to its individual elements (Fig.  
140 S1E).

141 Considering that there is strong evolutionary conservation of these genomic sequences  
142 across vertebrates, we then examined the ability of these regions to drive reporter expression  
143 between species. To determine if the human genomic sequences of Regions 0-3 (namely hR0-36,  
144 hR1-25, hR2-20, and hR3-16) are sufficient for driving reporter expression in mice, we subcloned  
145 them into the reporter plasmid described above, and electroporated them into mouse retina (Fig.  
146 1A-B). When electroporated into a mouse, hR0-36 is sufficient for driving reporter expression in  
147 Müller glia and hR3-16 is sufficient for driving reporter expression in bipolar neurons (Fig. 1C-

148 D). This is consistent with the results observed from electroporation of the ortholog mouse  
149 enhancer sequences (Fig. 1E). Taken together, these results suggest that the VSX2 SE contains  
150 evolutionarily conserved enhancer elements that are sufficient for driving cell-type specific  
151 reporter expression between species.

152 **The Vsx2 SE Region 0 is functional between species**

153 Mutations in VSX2 lead to microphthalmia in humans and mice (*OrJ*) due to a defect in  
154 retinal progenitor cell proliferation (Burmeister et al., 1996, (Livne-Bar et al., 2006, (Ferda  
155 Percin et al., 2000, (Truslove, 1962). Our previous work found that microphthalmia also occurs  
156 in Region 0 deletion mice (R0-37<sup>Δ/Δ</sup>) (Honnell et al., 2022) (Fig. 2A-C). While the *OrJ* and R0-  
157 37<sup>Δ/Δ</sup> mice are blind, their ability to photoentrain has not been explored (Honnell et al., 2022).  
158 We examined the ability of *OrJ* and R0-37<sup>Δ/Δ</sup> mice to photoentrain by assessing running-wheel  
159 activity accompanied by light schedule shifts over 36 days. Despite having photoreceptors,  
160 melanopsin, and an optic nerve, the *OrJ* and R0-37<sup>Δ/Δ</sup> mice do not photoentrain (Fig. S2 A-E).

161 Considering that R0-37 is necessary for normal eye size, we next examined how this  
162 enhancer region may promote RPC proliferation during early periods of retinal development. By  
163 integrating HiChIP and ChIP-seq. data for mark H3K27ac, we found a significant chromatin  
164 interaction between R0-37 and the *Vsx2* promoter in E14.5 wildtype retina (Fig. 2A).

165 To examine functional capabilities of the Human R0 enhancer between species, we  
166 knocked-in the Human R0 enhancer, hR0-36, into the R0-37 deletion mouse using CRISPR-  
167 Cas9, thereby replacing the mouse enhancer sequence with the human ortholog (Fig. 2B). The  
168 Human Region 0 insertion mouse (R0<sup>Hu/Δ</sup>) displays a normal eye size as well as all three nuclei  
169 layers as determined by morphological analysis (Fig. 2D). The R0<sup>Hu/Δ</sup> retina also contains a  
170 normal distribution of rod photoreceptors, cones, bipolar and amacrine neurons, and retinal  
171 ganglion cells as observed by immunofluorescence (Fig. 2E). Furthermore, these mice have  
172 normal visual acuity as determined by optometry (Fig. 2F). These datasets suggest that hR0-36 is  
173 able to rescue mouse eye size and restore visual acuity, and is thus functional across murine  
174 retinal development.

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176 **Vsx2 SE Region 0 is necessary for normal human retinal organoid development**

177 To understand the effect of the *Vsx2* SE in a model of human retinal development, we  
178 generated human retinal organoids following an established protocol with three defined  
179 developmental stages (Capowski et al., 2019) (Fig 3A). Using CRISPR-Cas9, we deleted hR0-36  
180 ( $R0-36^{\Delta/\Delta}$ ), hR3-16 ( $R3-16^{\Delta/\Delta}$ ), and generated frameshift mutations in exon 2 for a VSX2 null  
181 ( $VSX2^{-/-}$ ) in human embryonic stem cells (hESCs) (Fig. 3B). Two sublines were created and  
182 analyzed for each deletion. No differences in sublines were observed by RNA seq, qRT-PCR, or  
183 morphological analysis by IHC (Supplemental Dataset 1). The parent cell line contains a dual  
184 reporter that labels cells expressing *VSX2* and *CRX* (H9 *VSX2*-GFP/*CRX*-TdTomato) (Fig. 3C).  
185 Retinal organoids were collected for analysis across the three developmental stages (Capowski et  
186 al., 2019). At Stage 1, all retinal organoid lines express *CRX*-Tomato, marking photoreceptors.  
187 Wildtype and R3-16 $^{\Delta/\Delta}$  retinal organoids expressed *VSX2*-GFP, marking retinal progenitor cells.  
188 GFP was not visible by fluorescent light microscope in  $VSX2^{-/-}$  and  $hR0-36^{\Delta/\Delta}$  retinal organoids  
189 (data not shown).

190 By the end of Stage 2, the majority of retinal progenitor cells are expected to have fully  
191 differentiated, and all neuronal cell types are present (Capowski et al., 2019). We observed that  
192  $VSX2^{-/-}$  and  $hR0-36^{\Delta/\Delta}$  retinal organoids are significantly smaller compared to WT retinal  
193 organoids, as assessed by measurements of 123 traced retinal organoids from photographs (Fig.  
194 3D-E). To determine if there is any perturbation in retinal progenitor cell proliferation as a result  
195 of the deletions, we performed EdU labeling during Stage 2 on day 90 . Retinal organoids  
196 received EdU and 1 hour later they were harvested, stained for EdU and DAPI, and scored.  
197 There is no significant difference in size or proportion of proliferating cells between WT and  
198  $R3^{\Delta/\Delta}$  retinal organoids. However, there is a significant reduction in the proportion of EdU+ cells  
199 in the outer layer of  $VSX2^{-/-}$  and  $R0^{\Delta/\Delta}$  retinal organoids compared to WT retinal organoids (Fig.  
200 3F-G). To determine whether discrepancies in retinal organoid size was due to apoptotic retinal  
201 neurons, we performed immunostaining for activated Caspase 3 and scored the proportion of  
202 immunopositive cells. There was no significant difference in the proportion of outer layer  
203 apoptotic cells between retinal organoid lines (Fig. 3H-I). Taken together, our data suggests that  
204 the hR0-36 enhancer is necessary for retinal progenitor cell proliferation and that the  $R0-36^{\Delta/\Delta}$   
205 human retinal organoids recapitulate the small-eye phenotype observed in mice with  
206 microphthalmia.

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208 **R0<sup>Δ/Δ</sup> human retinal organoids have aberrant gene expression across developmental stages**

209 During early development at Stage 1, there are no visible differences between R0-36<sup>Δ/Δ</sup>  
210 and WT retinal organoids (Fig. S3 A-B). To examine potential differences in gene expression,  
211 we harvested multiple biological replicates for each organoid line across the three developmental  
212 stages for bulk RNA-seq and qRT-PCR. At Stage 1 and Stage 2, principal component analysis  
213 (PCA) plots display R0-36<sup>Δ/Δ</sup> clustered with VSX2<sup>-/-</sup> retinal organoids, and R3-16<sup>Δ/Δ</sup> clustered  
214 with WT organoids, suggestive of similarity in gene expression profiles (Fig. 4A-B). We then  
215 compared R0-36<sup>Δ/Δ</sup> to Vsx2<sup>-/-</sup> retinal organoids, and found that the transcriptomic data is  
216 positively correlated across stages (Fig. 4C-D).

217 Differential gene expression of R0-36<sup>Δ/Δ</sup> and WT retinal organoids was then examined  
218 across both stages. At Stage 1, R0-36<sup>Δ/Δ</sup> retinal organoids display downregulated *VSX2* and  
219 upregulated *Microphthalmia-associated Transcription Factor (MITF)*, a target of VSX2-  
220 mediated gene repression (Horsford et al., 2005, (Rowan et al., 2004, (Bharti et al., 2008, (Zou  
221 and Levine, 2012)(Fig. 4E). This data aligns with a previous study that found that human retinal  
222 organoids derived from a patient with *VSX2*-mediated microphthalmia also exhibit upregulation  
223 in *MITF* compared to a non-affected sibling (Phillips et al., 2014). Additional upregulated genes  
224 include targets of *MITF*, namely *DCT* and *TYR* (*Kawakami and Fisher, 2017*) (Fig. 4E).  
225 Considering that the R0-36<sup>Δ/Δ</sup> retinal organoids are smaller in size, display a defect in  
226 proliferation, and mirror the gene expression profile observed in VSX2<sup>-/-</sup> organoids known to  
227 recapitulate microphthalmia in humans, we then asked whether cell cycle genes are also affected.  
228 Using four R0-36<sup>Δ/Δ</sup> and four WT biological replicates, we examined genes involved in cell cycle  
229 and proliferation. Overall, we observed a reduction in the average FPKM values of cell cycle  
230 genes in R0-36<sup>Δ/Δ</sup> retinal organoids compared to WT (Fig. 4F).

231 By Stage 2, R0-36<sup>Δ/Δ</sup> retinal organoids exhibit downregulated photoreceptor genes  
232 including cone genes *ARR3*, *RD3*, *RCVRN*, and *CRX* (Fig. 4F). *MITF* and its targets, *DCT* and  
233 *TYR*, remained upregulated (Fig. 4G). Using three R0-36<sup>Δ/Δ</sup> and three WT biological replicates,  
234 we then examined the gene expression of differentiated retinal cell types. For all cell retinal types  
235 except Müller glia, there is a reduction in the average FPKM value in R0-36<sup>Δ/Δ</sup> organoids (Fig.  
236 4H).

237 During Stage 3, human retinal organoids complete maturation by forming photoreceptor  
238 outer segments (Phillips et al., 2014). To examine cell types present in mature retinal organoids,

239 we performed single cell RNA sequencing (scRNA-seq.) on two biological replicate R0-36<sup>Δ/Δ</sup>  
240 organoids, two R3-36<sup>Δ/Δ</sup> organoids, and three WT organoids. Preliminary data suggests that R0-  
241 36<sup>Δ/Δ</sup> retinal organoids contain an unusually high proportion of Müller glial cells (Fig. 4I).  
242 Taken together, these data suggest that R0-36<sup>Δ/Δ</sup> organoids recapitulate microphthalmia, display  
243 a defect in proliferation, and do not produce retinal cell types in normal proportions, indicating a  
244 defect in RPC differentiation.

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#### 246 **There is a loss of ON cone bipolar neurons in the R3-16<sup>Δ/Δ</sup> human retinal organoids**

247 Considering that our previous work found a complete loss of bipolar neurons in the *Vsx2*  
248 R3<sup>Δ/Δ</sup> mouse, and that this enhancer displays strong evolutionary conservation, we next wanted to  
249 assess how deletion of hR3-16 affects bipolar neurons in a model of human development  
250 (Honnell et al., 2022). The presence of all neural retinal cell types in R3-16<sup>Δ/Δ</sup> retinal organoids  
251 was first assessed by immunohistochemistry, RNA-seq, and qRT-PCR. Interestingly, each retinal  
252 cell type is present, however aberrant bipolar neuron morphology and laminar position was  
253 observed in organoids stained by G0α (Fig. 5A). Furthermore, these retinal organoids express  
254 bipolar neuron genes *GRM6*, *PRKCA*, and *VSX2* as determined by qRT-PCR and bulk RNA-seq  
255 (Fig. 5B, Supplemental Dataset 1). These data initially suggest that bipolar neurons are present in  
256 R3-16<sup>Δ/Δ</sup> organoids. However, considering that there was abnormal G0α staining, we decided to  
257 further investigate this phenotype by scRNA-seq.

258 Recent studies have molecularly classified bipolar neurons to identify 15 subtypes which  
259 broadly fall into the categories of ON cone bipolar, OFF cone bipolar, or rod bipolar (RB)  
260 (Shekhar et al., 2016). To examine the presence of bipolar neuron subtypes in R3-16<sup>Δ/Δ</sup> human  
261 retinal organoids, we used scRNA-seq with two R3-16<sup>Δ/Δ</sup> and three WT biological replicates.  
262 Bipolar neurons from all five samples were isolated by computational analyses (Fig. 5C). The  
263 bipolar neurons were further categorized by established ON, OFF, or RB molecular markers  
264 (Haverkamp et al., 2003, (Shekhar et al., 2016). Interestingly, R3-16<sup>Δ/Δ</sup> bipolar neurons do not  
265 express the ON cone bipolar neuron markers *ISL1*, *VSX2*, and *PCP2*, and express *GRM6* at low  
266 levels compared to bipolar neurons of WT samples (Fig. 5D-G). However, both samples express  
267 RB marker, *CABP5*, and OFF cone bipolar neuron marker, *GRIK1* (Fig. 5H-1). These data  
268 suggests that deletion of hR3-16 in human retinal organoids leads to a loss of ON cone bipolar  
269 neurons. We further explored the possibility that this enhancer deletion is specific to a subtype(s)

270 of ON cone bipolar neurons by examining distinct markers of known subtypes, however further  
271 orthogonal approaches and analyses are required (Fig. 5J-K).

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## Discussion

303        Since their introduction a decade ago, super-enhancers have been of interest to  
304 developmental biologists because of their ability drive expression of genes encoding master  
305 regulatory transcription factors controlling cell identity and cell fate. Super-enhancers have been  
306 found to be important for normal developmental processes, and have been implicated as drivers  
307 of disease due to enrichment of disease-associated genetic variation (Hnisz et al., 2013). We  
308 believe that the importance of super-enhancer function across development is underscored by  
309 their characteristic evolutionary conservation in vertebrates (Zhang et al., 2022, (Khan et al.,  
310 2018, (Pérez-Rico et al., 2017). That is, genomic sequences within SEs have remained largely  
311 consistent over time, perhaps because they are critical for proper organogenesis and thus  
312 continued survival. Indeed, in the case of *Vsx2* we have identified distinct conserved modules  
313 within a SE that are necessary for normal retinal development in both mice and human-derived  
314 retinal organoids. Remarkably, inserting the human Region 0 module into a mouse with  
315 microphthalmia rescues eye size and vision, suggesting that this sequence is functionally  
316 interchangeable between species. To our knowledge, this is the first example of a functional  
317 interspecies enhancer rescue *in vivo*.

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### 319 **Identification of *Vsx2* SE modules**

320        Four *Vsx2* SE regions of interest were initially identified by inspecting evolutionarily  
321 conserved sequences on the UCSC genome browser (Kent et al., 2002, (Raney et al., 2013).  
322 Boundaries were determined by visual examination and used for all plasmid and CRISPR-Cas9  
323 knockout designs. Previous studies have identified enhancers fundamental in development by  
324 inserting conserved human sequences into mouse and examining their ability to drive reporter  
325 expression (Pennacchio et al., 2006). We used this approach with the *Vsx2* SE regions of interest  
326 to examine the ability of the human ortholog to drive reporter expression in mouse retinal cell  
327 types. Region 0 and Region 3 had cell-type specific reporter expression and the results were  
328 consistent regardless of genome build. To understand the degree of similarity between genome  
329 builds, we aligned and compared the mouse sequences to the human orthologs using the National  
330 Library of Medicine Nucleotide BLAST tool (Altschul et al., 1990, (Morgulis et al., 2008). For  
331 Region 0, BLAST identified one highly similar (89%) sequence spanning 584 bp. For Region 3,

332 BLAST identified two sequences with that are 91% and 89% similar. Upon further analysis,  
333 these sequences are Region 3-c and Region 3-d, respectively. However, as described above,  
334 Region 3-d electroprorated samples displayed more GFP+ bipolar neurons in comparison to  
335 Region 3-c (Fig S1C). Our previous ChIP-seq and scATAC data characterized Region 3 is an  
336 enhancer, however, these methods do not have the resolution to identify adjacent enhancer  
337 candidates. These results nod to the limitations of relying solely on integrated epigenetic  
338 analyses to identify enhancer elements. Furthermore, while highly conserved sequences are  
339 suggestive of regulatory regions, functional analyses are still necessary.

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### 341 **Vsx2 enhancer involvement in environmental adaptation**

342 Super-enhancers are implicated in driving normal development as well as disease (Hnisz  
343 et al., 2013, (Whyte et al., 2013, (Parker et al., 2013). However, their contribution to phenotypic  
344 diversity among species is still being explored. A previous study by the Clark Lab examined the  
345 evolutionary rate of subterranean mammalian enhancers implicated in eye development (Partha  
346 et al., 2017). This was guided by the premise that despite not being related, many subterranean  
347 mammals have poor eyesight, thus unique pressures of the underground environment may  
348 promote convergent evolution. They identified 17 eye-specific enhancers in the mole that  
349 mutated at significantly accelerated rates. Strikingly, upon mining the data, two of these  
350 enhancers are Region 0 and Region 3. We propose that the *Vsx2* SE may be a driver of  
351 phenotypic diversity in evolving species. There are other species with unusual eyes, such as the  
352 “owl” monkey (*Aotus*) which in contrast to the mole or shrew, has extremely large eyes (Dyer et  
353 al., 2009). The development of large eyes is believed to aid foraging at night, however the  
354 molecular mechanisms conferring this trait are unknown. Future studies examining evolutionary  
355 rate of super-enhancers in this species may resolve the origin of this phenotype.

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### 357 **Vsx2 SE function in human retinal organoids**

358 Retinal organoids serve as a powerful tool for modeling human retinal development and  
359 disease (Foltz and Clegg, 2019, (Kruczak and Swaroop, 2020, (Wahle et al., 2023, (Ludwig et  
360 al., 2023, (Saha et al., 2022, (Phillips et al., 2014). To understand the role of the *Vsx2* SE  
361 modules in human retinal organoids, we individually deleted Region 0 or Region 3 and assessed  
362 their growth and development over three established developmental stages (Capowski et al.,

363 2019). One observed strength of this model is that we were able to generate hundreds of retinal  
364 organoids for each deletion line. Furthermore, the double reporter line served as an indicator of  
365 achieving a retinal lineage. However, we did observe some variation in organoid structure across  
366 biological replicates. Notably, some organoids contained a dense cellular core, while others had a  
367 hollow core. This was a limitation when scoring the proportion of apoptotic cells and instead,  
368 we scored only the outer layer which exhibited structural consistency. In this case, we cannot  
369 make definitive conclusions about the differences in overall cell death between retinal organoid  
370 lines. Instead, our interpretation is that there is no difference in cell death in the outer layer,  
371 which contains photoreceptors, bipolar neurons, amacrine cells, and horizontal cells.

372 Interestingly, deleting hR3-16 in human retinal organoids does not eliminate bipolar  
373 neurons as previously observed in the mouse, but instead may eliminate a sub type of bipolar  
374 cell, ON cone bipolar neurons (ON BCs). Initially, we overlooked this observation because  
375 neither IHC markers used, Vsx2 and G0α, are specific to ON BCs. However, scRNA-seq  
376 analyses later revealed a distinct absence of this cell type. Rigorous analyses are ongoing to  
377 further examine and validate this phenotype, as well as assess the subtypes of ON BCs affected.  
378 This result suggests that development of rod and OFF bipolar neurons is evolutionarily  
379 conserved, but the mechanisms by which ON BCs develop in humans is unclear.

380 To our knowledge, mutations in these regions have not been examined in individuals with  
381 microphthalmia or blindness. Considering that SEs harbor an increase in disease-associated risk  
382 variants, and that these regions are known to mutate at accelerated rates in low vision species, we  
383 propose that Region 0 and Region 3 could be novel drivers of microphthalmia or blindness in  
384 humans.

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## Materials and Methods

392 **Mouse Strains**

393 All animal procedures and protocols were approved by the St. Jude Laboratory Animal  
394 Care and Use Committee under protocol number 393-100500. All studies conform to federal and  
395 local regulatory standards. Mice were housed on ventilated racks on a standard 12 hour light-  
396 dark cycle. Wild-type C57BL/6J mice were purchased from the Jackson Laboratory (Bar Harbor,  
397 ME, #000664). For timed pregnancy, individual male mice were housed with 3 females in a  
398 single cage. Plugged/pregnant females (identified by visual examination), were isolated and  
399 embryos or pups were harvested at the appropriate time. Both males and females were combined  
400 for this study. Conserved regions within the *Vsx2* SE were identified by examination of  
401 evolutionary conservation in the UCSC mm10 genome build. Human Region 0 Enhancer mouse  
402 models were created using CRISPR-Cas9 technology.

403 **RNA isolation**

404 RNA was extracted from individual TRIzol (Life Technologies) preparations via a  
405 phenol-chloroform extraction. Samples were first dissociated by pipetting retina and TRIzol  
406 vigorously. A 1:4 volume of chloroform (Sigma) was then added to each sample and incubated  
407 at room temperature for 3 min followed centrifugation at 12,000 3 g at 4C for 15 min. The  
408 aqueous layer was then transferred to a siliconized Eppendorf tube followed by the addition of  
409 2.0 mL glycogen (Roche) and 500 mL isopropanol (Fisher Scientific). Samples were incubated at  
410 room temperature for 10 min followed by centrifugation at 12,000 3 g at 4C for 15 min. Samples  
411 were then washed twice with ice-cold 80% EtOH (Fisher) to remove salts, resuspended in DEPC  
412 H2O, and the concentration was determined with a NanoDrop (Thermoscientific).

413 Libraries were prepared from 500 ng total RNA with the TruSeq Stranded Total RNA  
414 Library Prep Kit according to the manufacturer's directions (Illumina). Paired-end 100-cycle  
415 sequencing was performed on HiSeq 2000 or HiSeq 2500 sequencers according to the  
416 manufacturer's directions (Illumina).

417 **qRT-PCR**

418 cDNA was made from 200 ng of RNA from H9, JHDR, 1B6, 1C9, 5D2, 8H6, 8E10, 5B2,  
419 1C2, 4F9 organoid lines (Applied Biosystems 4387406). cDNA was loaded onto a Custom

420 TaqMan Array Card (Applied Biosystems 4342249) run on a QuantStudio 7 Flex  
421 (ThermoScientific) system. “Undetermined” values were set to a Ct of 40 as the limit of  
422 detection of the assay.

423 **RNA-seq**

424 RNA was quantified using the Quant-iT RiboGreen assay (Life Technologies) and quality  
425 checked by 2100 Bioanalyzer RNA 6000 Nano assay (Agilent,) 4200 TapeStation High  
426 Sensitivity RNA ScreenTape assay (Agilent,) or LabChip RNA Pico Sensitivity assay  
427 (PerkinElmer) prior to library generation. Libraries were prepared from total RNA with the  
428 TruSeq Stranded Total RNA Library Prep Kit according to the manufacturer’s instructions  
429 (Illumina, PN 20020599). Libraries were analyzed for insert size distribution on a 2100  
430 BioAnalyzer High Sensitivity kit (Agilent Technologies,) 4200 TapeStation D1000 ScreenTape  
431 assay (Agilent Technologies,) or Caliper LabChip GX DNA High Sensitivity Reagent Kit  
432 (PerkinElmer.) Libraries were quantified using the Quant-iT PicoGreen ds DNA assay (Life  
433 Technologies) or low pass sequencing with a MiSeq nano kit (Illumina) Paired end 100 cycle  
434 sequencing was performed on a NovaSeq 6000 (Illumina). For PCA analysis, only protein-  
435 coding genes with the annotation level ([https://www.gencodegenes.org/pages/data\\_format.html](https://www.gencodegenes.org/pages/data_format.html))  
436 in 1 (verified loci) and 2 (manually annotated loci) were included in the analysis. With input of  
437 read counts for all samples, counts per million mapped reads (CPMs) were obtained by using the  
438 function *cpm* in the edgeR package. Genes were removed when the corresponding CPMs for all  
439 samples were smaller than the CPM whose corresponding raw read count is 10. Then, the top  
440 3000 most variable genes were selected by ranking the mean absolute deviation (MAD) of the  
441 log<sub>2</sub>-transformed CPMs in descending order. Based on these most variable genes, the PCA  
442 analysis was performed on the log<sub>2</sub>-transformed CPMs by using the *prcomp* function available in  
443 the standard R language. The top two principal components (PCs) were used to draw the PCA  
444 figures.

445 **Vision Testing**

446 The OptoMotry system from CerebralMechanics was used to measure the optomotor  
447 response of the CRISPR gene-edited mice. Briefly, a rotating cylinder covered with a vertical  
448 sine wave grating was calculated and drawn in virtual three-dimensional (3-D) space on four  
449 computer monitors facing to form a square. CRISPR gene-edited mice standing unrestrained on a

450 platform in the center of the square tracked the grating with reflexive head and neck movements.  
451 The spatial frequency of the grating was clamped at the viewing position by repeatedly  
452 recentering the cylinder on the head. Acuity was quantified by increasing the spatial frequency of  
453 the grating until an optomotor response could not be elicited. Contrast sensitivity was measured  
454 at spatial frequencies between 0.1 and 0.45 cyc/deg. The tester was blinded to genotype until  
455 after testing was complete. Bar plot displays mean with SEM.

#### 456 **GFP Reporter Assay**

457 0.5 uL of plasmid mixture (2 ug/uL of enhancer plasmid and 0.5 ug/uL of a pCig2-H3.3-  
458 scarlet plasmid, a normalization control generously gifted to us from the Solecki Lab,  
459 resuspended in HBSS (Corning, 21-022-CV)) was co-electroporated into the sub retinal space of  
460 C57/BL6 mice at P0. Mouse retinae were harvested at P21 for GFP amplification  
461 immunostaining. Experiments were performed in biological triplicates for each enhancer  
462 plasmid. One 40X confocal image from three retina were scored for each construct. GFP+,  
463 Scarlet+ cell types were counted and divided by Scarlet+ (electroporation control) cells to  
464 calculate the percentage of GFP+ cells for each cell type. Cells were assigned to specific cell  
465 types based on their location and morphology. Bar plot displays mean and SD for each cell type  
466 for each construct.

#### 467 **Organoid EdU Labeling and Scoring**

468 EdU labeling was performed per manufacturer's instructions (Click-iT EdU imaging kit,  
469 Invitrogen, catalog C10340), and DNA was stained with 0.2  $\mu$ g/ml DAPI (Sigma-Aldrich).  
470 Briefly, retinal organoids in individual wells containing 1 mL of 3D-RDM were given 1 uL of  
471 EdU 1-hour prior to fixing and cryoembedding. Organoids were then fixed by 4% PFA  
472 overnight, embedded by O.C.T. Compound (Scigen 4583), and cryosectioned at 10 um. Sections  
473 were fixed by 4% PFA, washed with 3% BSA-PBS, incubated with 0.5% Triton X-100 in PBS  
474 (Sigma T9284), and washed again. Cryosections were imaged using a Zeiss LSM 700 confocal  
475 microscope using a 40X lens. Three images containing at least two biological replicates were  
476 scored for each cell line. Bar plots display the mean and SD of manual scoring.

#### 477 **Organoid Caspase-3 Scoring**

478 For each genotype or human retinal organoids, 3 images from at least 2 biological  
479 replicates were collected. The fields were selected randomly using the DAPI channel in order to  
480 minimize bias in the Caspase channel. Images were collected and then total nuclei and Caspase+  
481 nuclei were scored. Nuclear fragments were not scored. The number of Caspase + nuclei across  
482 the 3 images on a given section were combined and the total number of nuclei were combined  
483 and the ratio and percentage were calculated. The data for the two sections were averaged and  
484 the standard deviation was calculated. The individual datapoints from independent sections were  
485 plotted along with mean and SD.

#### 486 **Imaging**

487 Images were taken with the Zeiss LSM 700 confocal microscope using the 40X lens.  
488 Brightness and contrast were modified for images presented in the figures for the IF studies. Raw  
489 original data are available for all datasets and probes.

#### 490 **Statistics and Reproducibility**

491 Mice of both sexes were randomly selected for analyses. Investigators were blinded to  
492 cell line when handling human retinal organoids. Investigators were blinded when scoring  
493 images. No statistical method was used to predetermine sample size. There were no instances in  
494 which repeat experiments yielded conflicting results, suggesting reproducibility of our  
495 experiments. GraphPad Prism 8 software was used to calculate statistical measures. No data were  
496 excluded from the analyses.

#### 497 **Note: Roles of Manuscript Authors**

498 V.H. and M.A.D. conceived and designed the study, V.H., S.S., B.T. and M.A.D. collected the  
499 data, V.H., J.L.N., and C.R. performed computational analysis, A.L. and C.B. created the hESC  
500 double reporter cell line, V.H. and M.A.D., analyzed and interpreted the data., V.H. and M.A.D.  
501 drafted the manuscript.

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510 **Competing Interests**

511 No competing interests declared.

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518 **Data Availability**

519 The raw sequencing data generated in this study will be publicly available in the GEO database  
520 upon publishing. All other relevant data supporting the key findings of this study can be found  
521 within the article and its Supplementary Information files or from the corresponding author upon  
522 reasonable request.

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705 **Figure Legends**  
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707 **Figure 1| The VSX2- SE contains evolutionarily conserved enhancer elements that are**  
708 **sufficient for driving reporter expression between species.** **A** Drawing of the original *Vsx2* SE  
709 identified by H3K27Ac ChIP-seq (black bar) and the original deletions with coordinates in  
710 mm10. Evolutionarily conserved sequences across vertebrates are displayed below each Region  
711 deletion (black segments). **B** Drawing of plasmids used for reporter assays for in vivo square  
712 wave electroporation at P0 in mice and harvested at P21. A minimal promoter ( $P_{MIN}$ ) that is not  
713 sufficient for high-level expression is upstream of GFP and a strong constitutive promoter ( $P_{CMV}$ )  
714 is used for the Scarlet reporter. **C** Micrographs of GFP (green) and Scarlet (red) expression at  
715 P21 from square wave electroporation of P0 mice. Arrows indicate Müller glia for the R0  
716 fragment and bipolar neurons for the R3 fragment. Scale bar: 25  $\mu$ M. **D** Bar plot showing mean  
717 and standard deviation of three biological replicates for each human reporter construct. The  
718 negative control is the minimal promoter without a subcloned Region and has very little  
719 expression **E** Bar plot showing mean and standard deviation of three biological replicates for  
720 each mouse reporter construct. The positive control has a previously identified bipolar-specific  
721 element. Abbreviations: ONL, outer nuclear layer; INL, inner nuclear layer; GCL, ganglion cell  
722 layer.

723 **Figure 2| Human enhancer rescues eye size and vision in the R0 deletion mouse.** **A** Drawing  
724 of the *Vsx2* SE (black bar) containing evolutionarily conserved Regions 0-3 in mm10. There is a  
725 significant chromatin interaction between R0-37 and the *Vsx2* promoter (red arc) as determined  
726 by integration of HiChIP and ChIP-seq. H3K27ac data. Heat map displaying chromatin  
727 interactions within the *Vsx2* SE of E14.5 retina determined by HiChIP (below). **B** The three  
728 mouse lines examined and their respective mutations. **C** Photograph of eyes and micrograph of  
729 retina for *orJ* and  $R0-37^{\Delta/\Delta}$  adult mice as a reference for microphthalmia. **D** Photograph of eyes  
730 and micrograph of retina for  $R0^{\text{Hu}/\Delta}$  mice. **E** Images of retinal cell types at 40X. **F** Bar plot of  
731 mean with standard deviation of photopic vision (cycles/degree).  $n= 3$  biologically independent  
732 mice for each mouse strain. Scale bars: 25  $\mu$ M.

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734 **Figure 3| Region 0 of the VSX2-SE is necessary for normal human retinal organoid**  
735 **development.** **A** Timeline of retinal organoid generation. Stage 1 organoids contain retinal

736 progenitor cells (RPC), retinal ganglion cells (RGC), and photoreceptors (PR). Stage 2 organoids  
737 contain amacrine cells (AC), horizontal cells (HC), and bipolar cells (BC). Stage 3 organoids  
738 contain Müler glia (MG) and developed PR outer segments. **B** Drawing of the VSX2 SE (black  
739 bar) containing evolutionarily conserved Regions 0-3 in genome build hg19. hR0-36 (R0<sup>Δ/Δ</sup>) and  
740 hR0-36 (R3<sup>Δ/Δ</sup>) were deleted using CRISPR-Cas9 in hESCs (location in bold). A VSX2 knock-  
741 out cell line was generated by creating frameshift mutations in the gene. **C** Stage 1 photographs  
742 of wildtype organoids taken on a widefield microscope. GFP expression is observed in WT and  
743 R3<sup>Δ/Δ</sup> organoids. TdTomato is expressed across organoid lines. Scale bar: 0.5 mm. **D** Stage 2  
744 photographs of retinal organoid lines. The core has darkened for most lines, indicative of Stage  
745 2. **E** Scatter dot plot of organoid area in mm<sup>2</sup>. Each dot represents a single retinal organoid.  
746 VSX2<sup>-/-</sup> and R0<sup>Δ/Δ</sup> retinal organoids are significantly smaller than wildtype retinal organoids  
747 (unpaired two-tailed t-test, \*\*\*\* p < 0.0001). There is no significant difference observed between  
748 R3<sup>Δ/Δ</sup> and WT retinal organoid area (unpaired two-tailed t-test, p = 0.7811). **F** Micrograph of  
749 retinal organoids from each line showing EdU labeling (magenta) and DAPI (blue). **G** Bar plot  
750 showing the mean and standard deviation of the proportion of EdU+ cells scored from  
751 micrographs of retinal organoid sections. VSX2<sup>-/-</sup> and R0-36<sup>Δ/Δ</sup> retinal organoids display a  
752 significant reduction in proliferation compared wildtype retinal organoids (unpaired two-tailed t-  
753 test, \*\* p < 0.008). **H** Micrograph of DAPI (blue) and activated caspase three (magenta)  
754 immunostaining of Stage 2 sections from a WT retinal organoid. Scale bar: 10 uM. **I** A bar plot  
755 showing the scoring for the proportion of caspase three across retinal organoid lines (n.s., not  
756 significant). Mean and standard deviation are shown.

757 **Figure 4| Region 0 is necessary for normal human retinal organoid development. A,B** Stage  
758 1 and 2 principal component analysis (PCA) of bulk RNA-seq for each retinal organoid line. R3-  
759 16<sup>Δ/Δ</sup> and WT retinal organoids cluster together on the right. R0-36<sup>Δ/Δ</sup> and VSX2<sup>-/-</sup> retinal  
760 organoids cluster to the left. **C, D** Scatterplot displaying genes from RNA-seq. Stage 1 retinal  
761 organoids have a correlation coefficient of 0.954. Stage 2 retinal organoids have a correlation  
762 coefficient of 0.976. Two biological replicates from each line were used. **E, G** Volcano plot  
763 showing differentially expressed genes of R0-16<sup>Δ/Δ</sup> vs WT Stage 1 or Stage 2 retinal organoids.  
764 Downregulated genes are shown in blue. Upregulated genes are shown in red. Two biological  
765 replicates were used for each organoid line, except for Stage 2 WT which consists of three

766 biological replicates. **F, H** Bar plot of the average FPKM at Stage 1 and Stage 2 for cell cycle or  
767 retinal differentiation genes, respectively. **I** UMAPs displaying cell types and their proportions of  
768 Stage 3 human retinal organoids for all samples (left). Displayed are three WT (middle left), two  
769 R0-36<sup>Δ/Δ</sup> (middle right), and two R3-16<sup>Δ/Δ</sup> (right) samples.

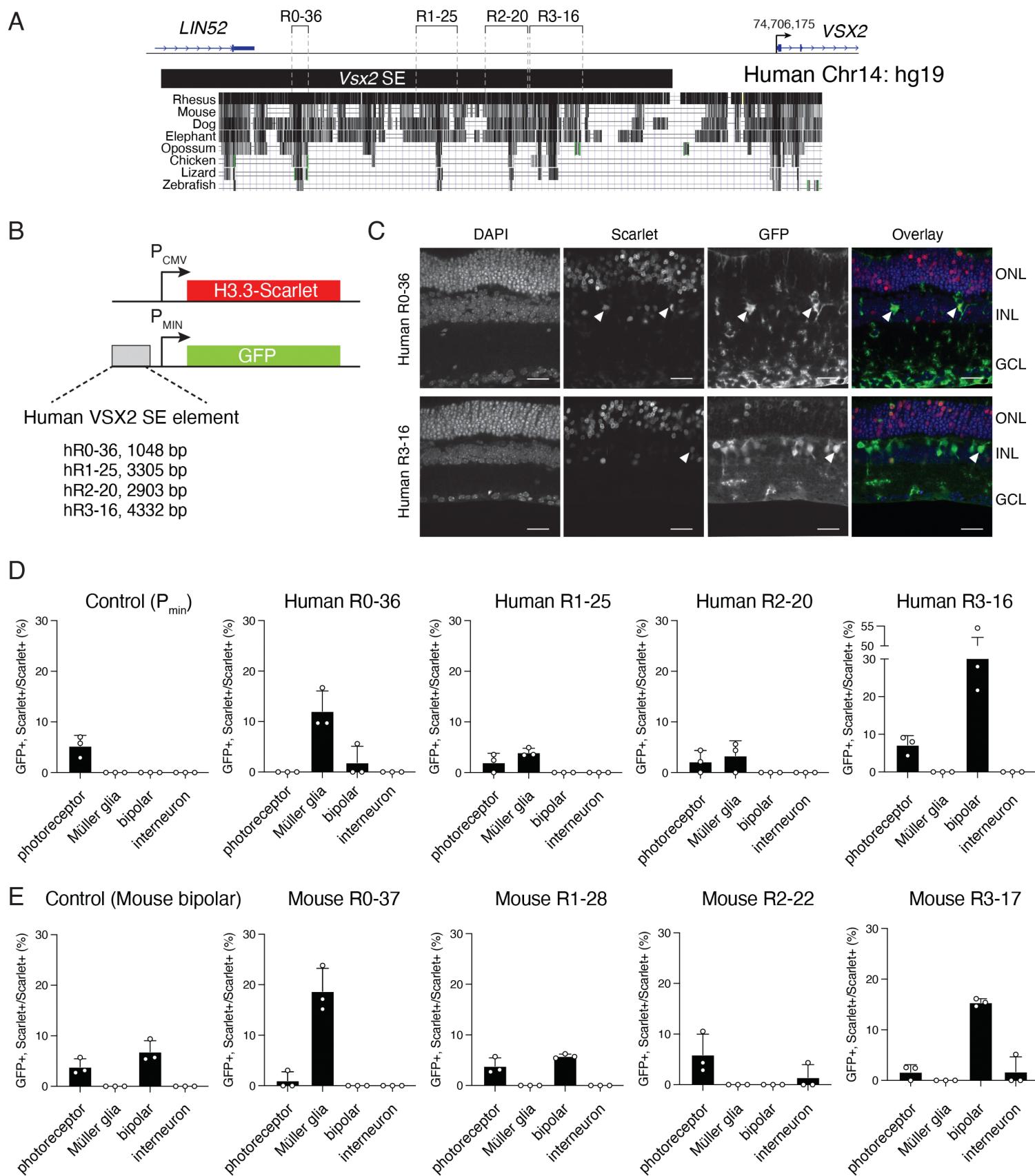
770 **Figure 5| Region 3 Human retinal organoids display a loss of ON cone bipolar neurons. A**  
771 Micrograph of WT and R3-16<sup>Δ/Δ</sup> retinal organoids showing immunofluorescence of DAPI (blue),  
772 TdTomato marking photoreceptors (red), and G0α marking bipolar neurons (green). Scale bar:  
773 25 uM **B** qRT-PCR of genes expressed in bipolar neurons. **C** UMAP of Stage 3 WT (grey) and  
774 R3-16<sup>Δ/Δ</sup> (black) retinal organoids. There are three WT biological replicates and two R3-16<sup>Δ/Δ</sup>  
775 biological replicates. **D-L** UMAPs comprising bipolar neurons for specific genes. Heatmap  
776 displaying enrichment of gene expression (cool tones: lower expression, warm tones: high  
777 expression). Barplots displaying the proportion of cells from each sample expressing a particular  
778 gene.

779 **Figure S1| Mouse Vsx2-SE elements drive reporter expression in a cell type-specific**  
780 **manner. A** Drawing of the original Vsx2 SE identified by H3K27Ac ChIP-seq (black bar) and  
781 the original mouse deletions with coordinates in mm10. Within these regions are additional  
782 refined subregions used for cloning. Evolutionarily conserved sequences across vertebrates are  
783 displayed below each Region deletion (black segments). **B** Micrographs of GFP (green) and  
784 Scarlet (red) reporter expression at P21 from square wave electroporation of P0 mice. Reporters  
785 containin mouse R0-37 and R3-17 subcloned upstream. Arrows indicate Müller glia for the R0-  
786 37 fragment and bipolar neurons for the R3-17 fragment. Scale bar: 25 uM **C-E** Bar plot  
787 showing mean and standard deviation of three biological replicates for each mouse reporter  
788 construct. Abbreviations: RL, RegionLin52.

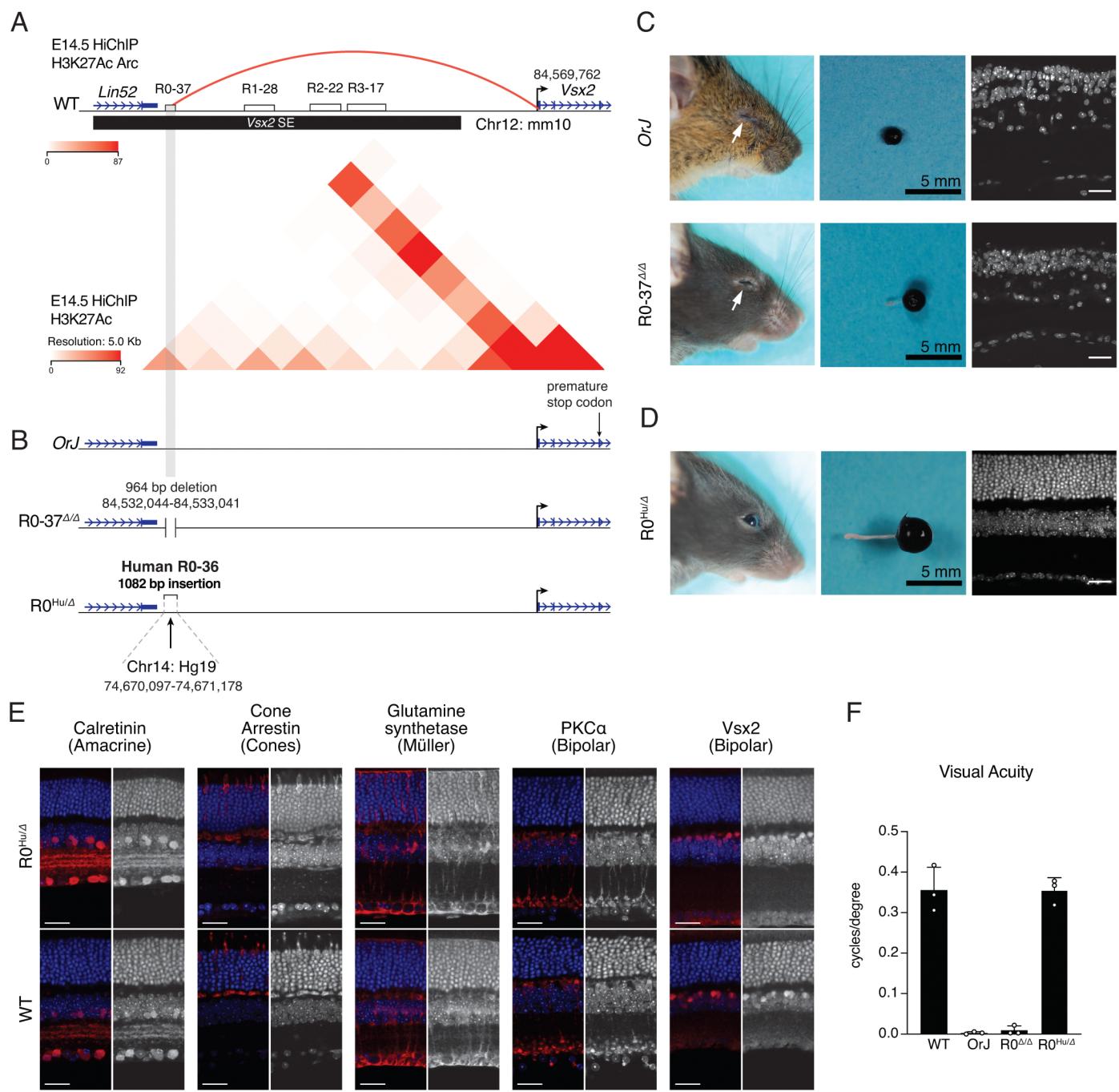
789  
790 **Figure S2| *OrJ* and R0-37<sup>Δ/Δ</sup> mice do not photoentrain. A** Period length of the 10 mouse  
791 strains tested. n= 3 biologically independent mice for each mouse strain. **B-E** Representative  
792 actograms of WT, Opn4<sup>-/-</sup>;rd, *OrJ*, and R0-37<sup>Δ/Δ</sup> adult mice over 36 days. Lights turned off at  
793 12PM and on at 12AM days 1-17. Lights tuned off at 6PM and on at 6AM days 18-36. Running  
794 wheel activity is displayed by peak amplitude.

795 **Figure S3| Stage 1 human retinal organoid size.** **A** Stage 1 photographs of retinal organoid  
796 lines. Organoids display a phase-bright outer rim, consistent with morphological labeling at  
797 Stage 1. Scale bar: 0.5 mm. **B** Scatter dot plot of organoid area in mm<sup>2</sup>. Each dot represents a  
798 single retinal organoid. 190 retinal organoids were scored.

## Figure 1



## Figure 2



**Figure 3**

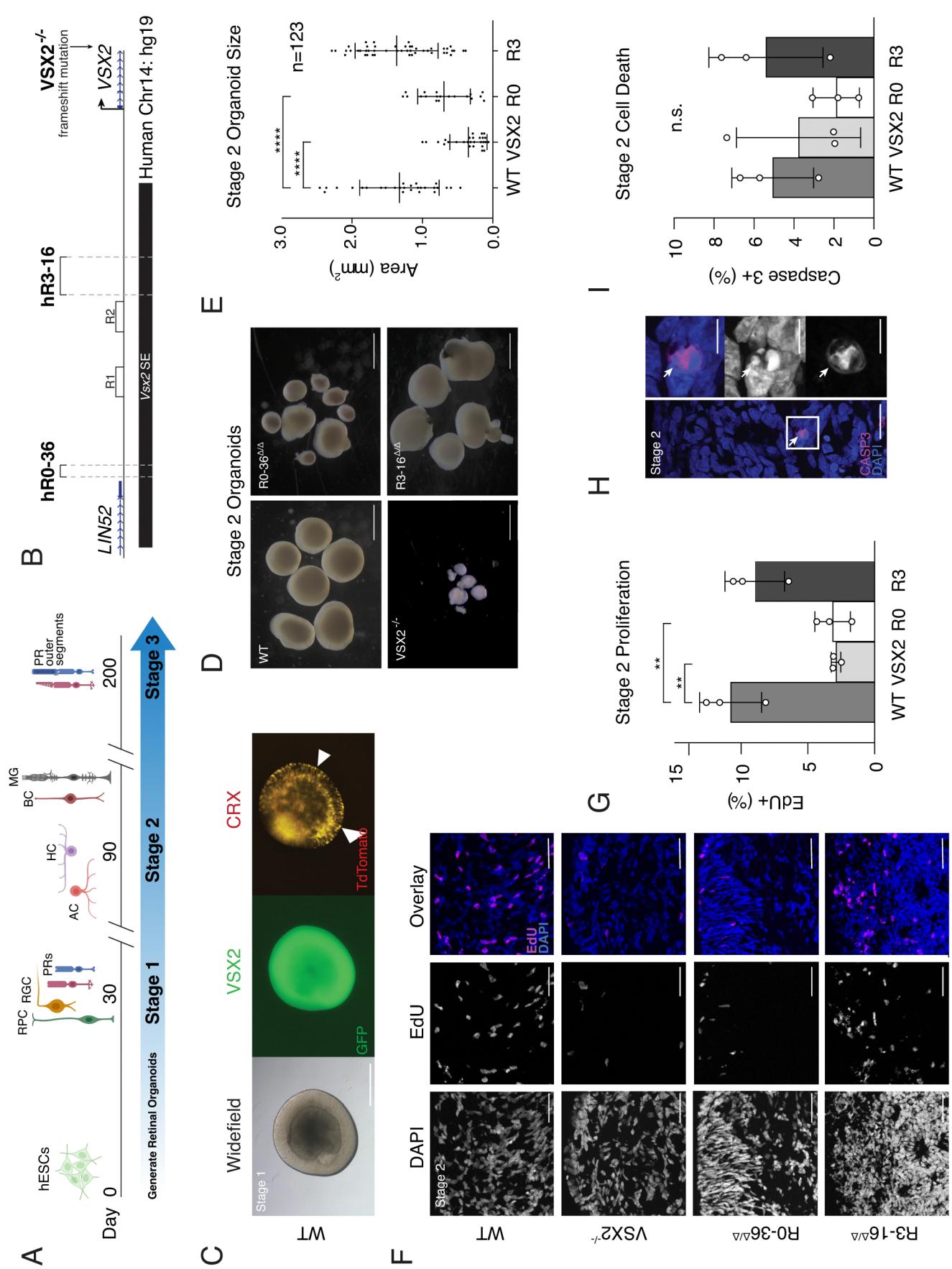


Figure 4

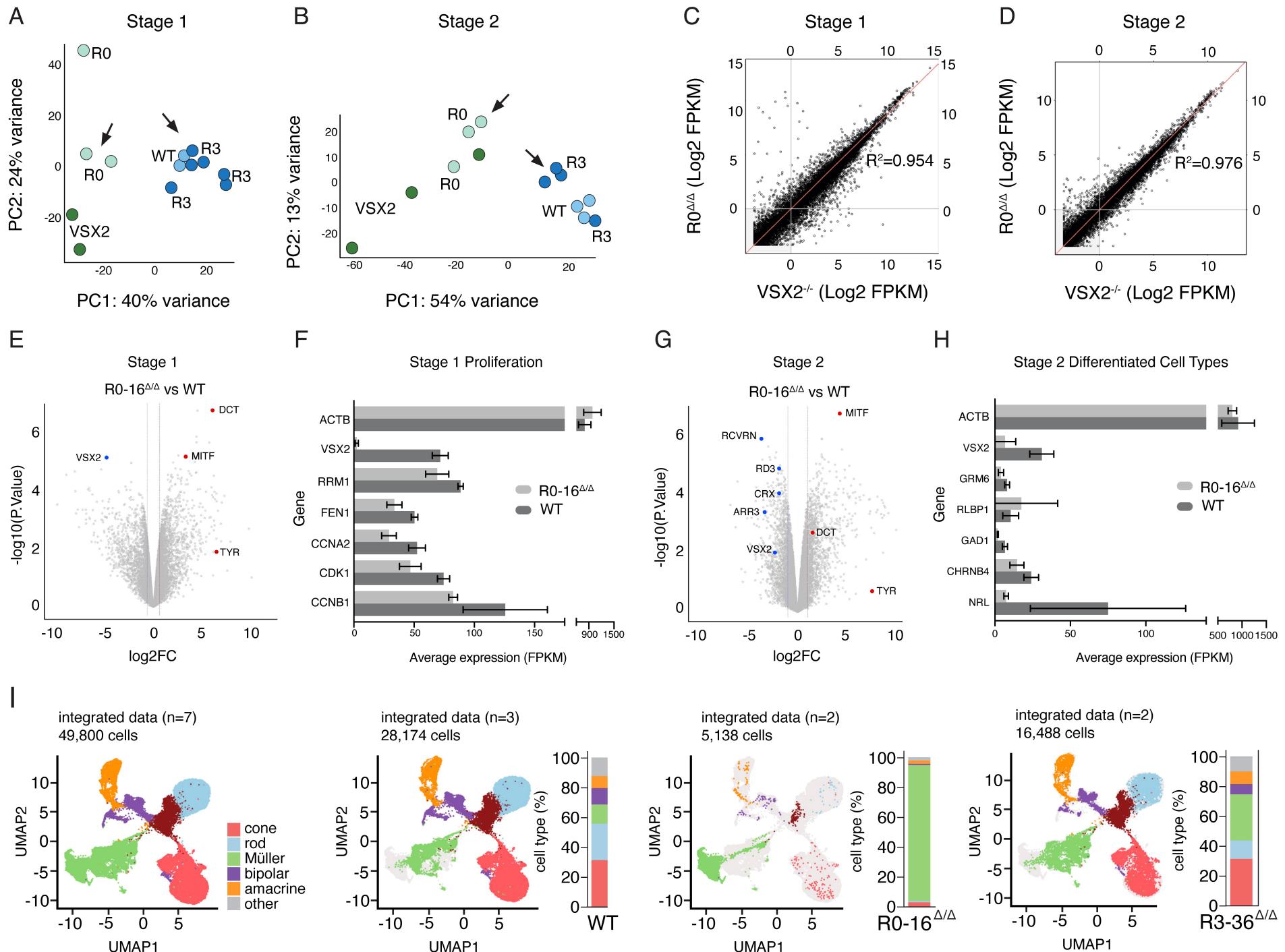
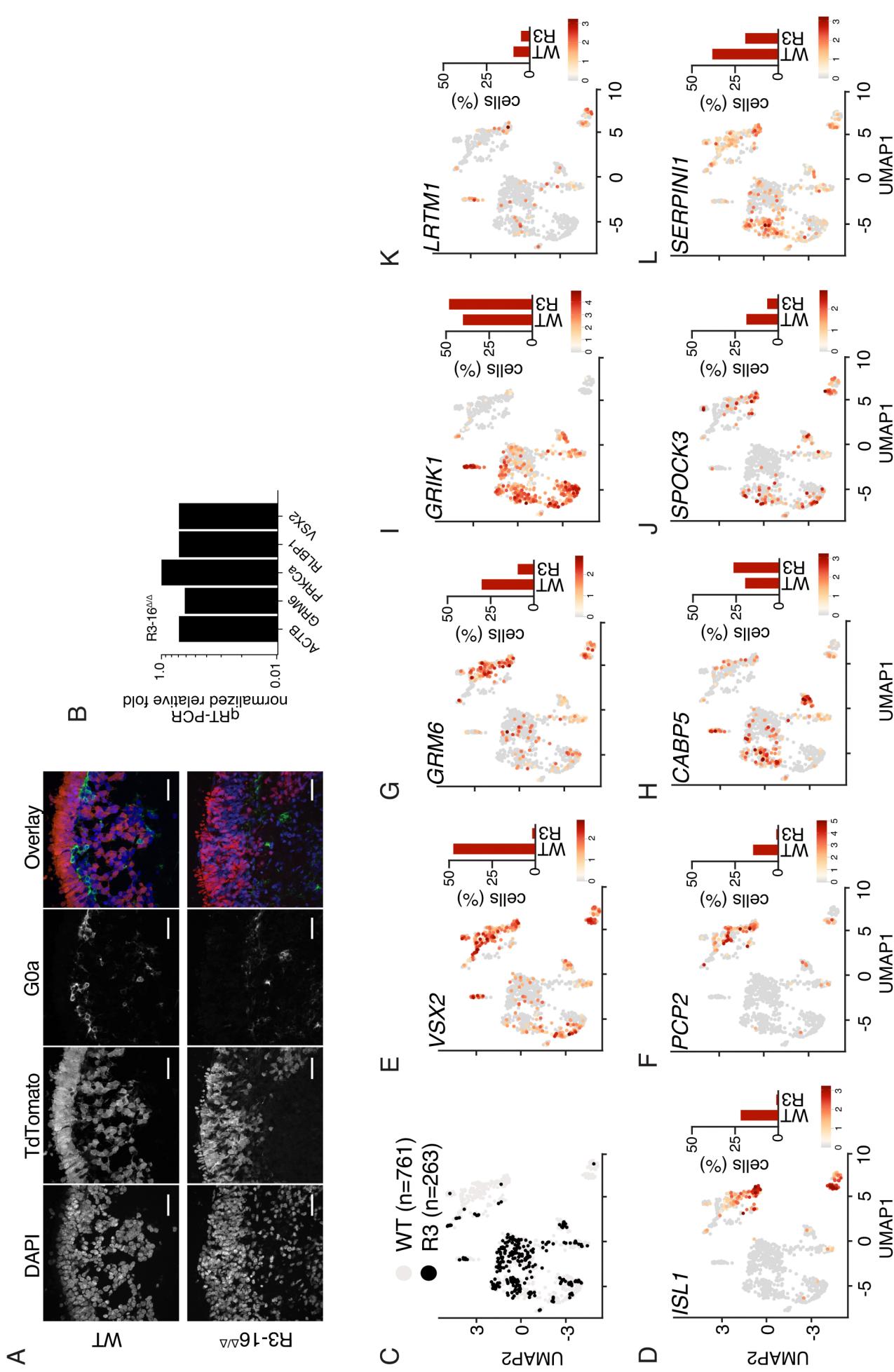
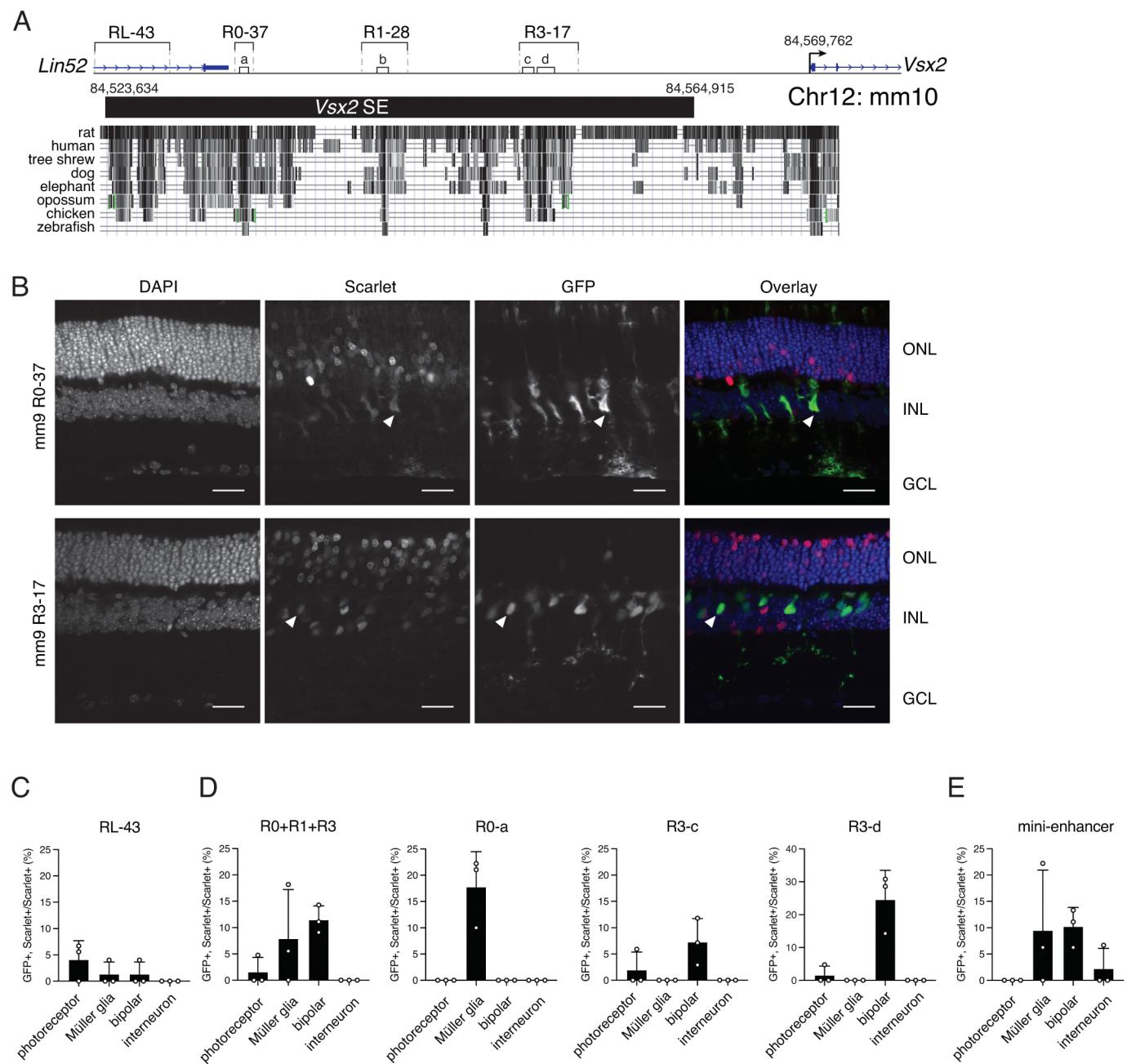


Figure 5



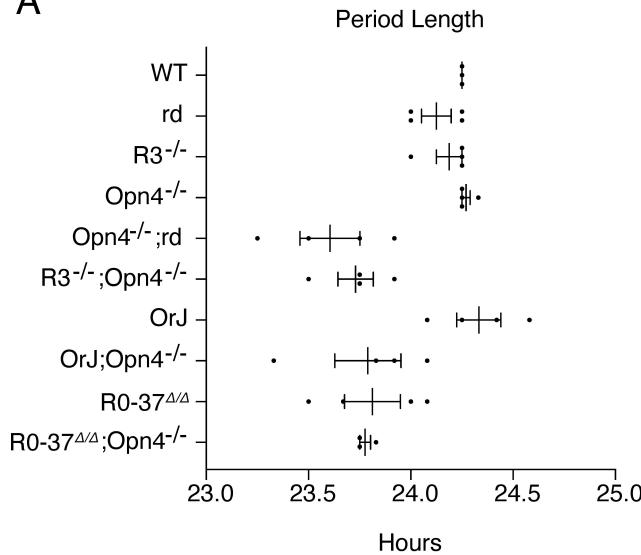
## Supplementary Figure 1



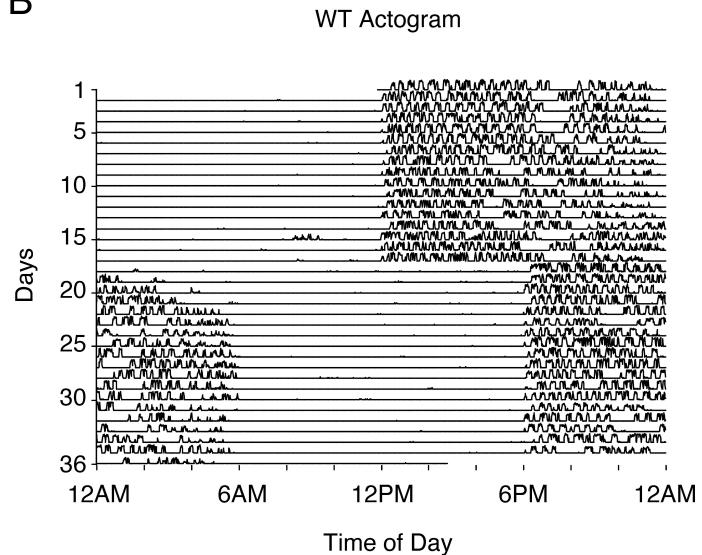
## Supplementary Figure 2

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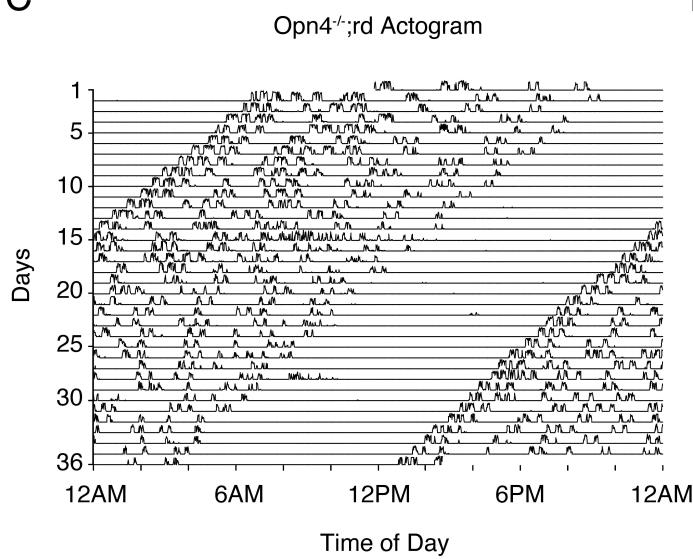
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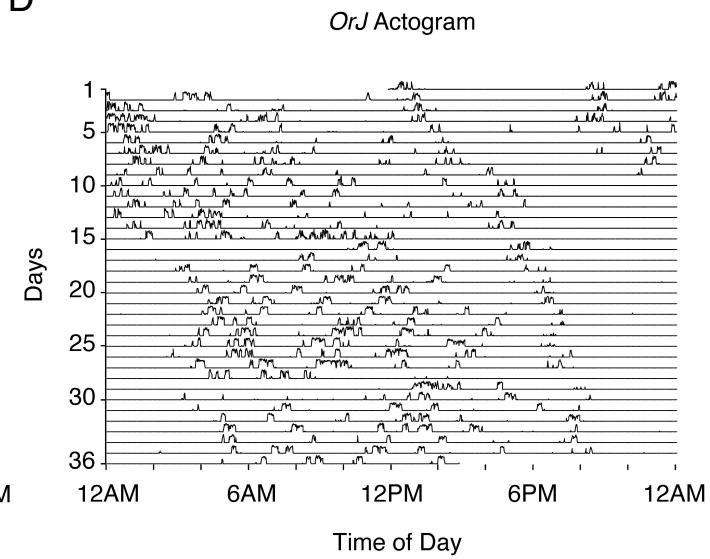
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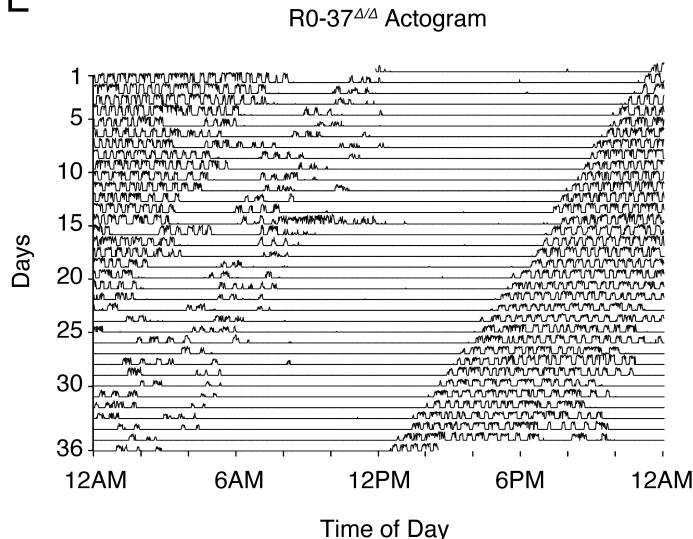
C



D



E



## Supplemental Figure 3

