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## 2 Immune Evasion and Membrane Fusion of SARS-CoV-2 XBB Subvariants EG.5.1 and XBB.2.3

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35

36 **Abstract**

37       Immune evasion by SARS-CoV-2 paired with immune imprinting from monovalent mRNA  
38    vaccines has resulted in attenuated neutralizing antibody responses against Omicron subvariants. In  
39    this study, we characterized two new XBB variants rising in circulation — EG.5.1 and XBB.2.3, for their  
40    ability of neutralization and syncytia formation. We determined the neutralizing antibody in sera of  
41    individuals that received a bivalent mRNA vaccine booster, BA.4/5-wave infection, or XBB.1.5-wave  
42    infection. Bivalent vaccination-induced antibodies neutralized efficiently ancestral D614G, but to a  
43    much less extent, two new EG.5.1 and XBB.2.3 variants. In fact, the enhanced neutralization escape  
44    of EG.5.1 appeared to be driven by its key defining mutation XBB.1.5-F456L. Notably, infection by  
45    BA.4/5 or XBB.1.5 afforded little, if any, neutralization against EG.5.1, XBB.2.3 and previous XBB  
46    variants — especially in unvaccinated individuals, with average neutralizing antibody titers near the  
47    limit of detection. Additionally, we investigated the infectivity, fusion activity, and processing of variant  
48    spikes for EG.5.1 and XBB.2.3 in HEK293T-ACE2 and CaLu-3 cells but found no significant differences  
49    compared to earlier XBB variants. Overall, our findings highlight the continued immune evasion of new  
50    Omicron subvariants and, more importantly, the need to reformulate mRNA vaccines to include XBB  
51    spikes for better protection.

52

53 **Introduction**

54       The COVID-19 pandemic still lingers across the globe as its causative agent, severe acute  
55    respiratory syndrome virus 2 (SARS-CoV-2), continues to evolve. This evolution challenges the efficacy  
56    of current vaccines, requiring the constant surveillance and reassessment of current public health  
57    measures against COVID-19. Since the emergence of the Omicron lineage of SARS-CoV-2 in 2022,  
58    the virus has exhibited ever-increasing numbers of mutations that escape neutralizing antibodies  
59    generated through both mRNA vaccination and SARS-CoV-2 convalescence<sup>1-8</sup>. The XBB-lineage  
60    subvariants, which evolved from the recombinant XBB variant in early 2023, have displayed particularly  
61    strong immune escape<sup>3,5,7,9-18</sup>. This new level of immune evasion has prompted the Food and Drug

62 Administration to recommend inclusion of XBB-lineage subvariants in future iterations of mRNA  
63 vaccines<sup>19</sup>.

64 One concern in vaccine design is the role of immune imprinting, which impairs vaccine efficacy  
65 against evolving variants. It has been demonstrated that the three-dose course of wildtype spike mRNA  
66 vaccine may be biasing immune responses toward earlier lineages of the virus, impairing our ability to  
67 mount effective responses toward more recent Omicron-lineage subvariants<sup>20-22</sup>. The bivalent booster  
68 dose, including both the wildtype and BA.4/5 spikes, augments the response toward Omicron  
69 subvariants relative to the 3-dose course of monovalent vaccines, but only to a limited extent<sup>7,20,21</sup>.  
70 Additional doses of Omicron spike-based vaccines or exposure to Omicron-lineage variants has been  
71 shown to more effectively counteract immune imprinting, suggesting the need to reconfigure current  
72 approaches<sup>20</sup>. The continued surveillance and characterization of emerging variants is critical for  
73 informing such decisions.

74 This study focuses on two XBB-lineage variants currently on the rise, termed EG.5.1 and  
75 XBB.2.3<sup>23,24</sup>. The latter evolved directly from XBB, with two additional mutations in spike: D253G in the  
76 N-terminal domain (NTD) and P521S in the receptor binding domain (RBD). EG.5.1 evolved from  
77 XBB.1.5, with two additional mutations in spike: Q52H in the NTD and F456L in the RBD<sup>25</sup> (**Fig 1A**).  
78 EG.5.1, in particular, has increased rapidly in circulation across the globe and is currently on track to  
79 become a dominant variant<sup>24</sup>. Our study sought to characterize these variants and their defining  
80 mutations by investigating aspects of spike protein biology, including infectivity, fusogenicity, and  
81 escape from neutralizing antibodies in bivalent vaccinated sera, BA.4/5-wave convalescent sera, and  
82 XBB.1.5-wave convalescent sera, as well as the monoclonal antibody (mAb) S309. We compare these  
83 attributes to spikes from the ancestral D614G and late-evolved Omicron subvariants BA.4/5, XBB,  
84 XBB.1.5, and XBB.1.16.

85

## 86 **Results**

87 *EG.5.1 and XBB.2.3 have comparable infectivity in HEK293T-ACE2 and CaLu-3 cells*

88 We first determined the infectivity of pseudotyped lentiviruses bearing each spike in HEK293T  
89 cells expressing human ACE2 (HEK293T-ACE2), as well as the human lung epithelial carcinoma cell  
90 line CaLu-3. In HEK293T-ACE2 cells, EG.5.1 exhibited slightly higher infectivity relative to the parental  
91 subvariant XBB.1.5, with a 2.1-fold increase ( $p < 0.05$ ) (**Fig 1B**). This enhancement appears to be  
92 largely driven by the XBB.1.5-Q52H single mutation, which exhibited a 1.4-fold increase relative to  
93 XBB.1.5 ( $p > 0.05$ ), while XBB.1.5-F456L alone did not cause any increase in infectivity relative to  
94 XBB.1.5 (**Fig 1B**). While XBB.2.3 exhibited comparable infectivity relative to XBB ( $p > 0.05$ ), two single  
95 mutations, XBB-D253G and XBB-P521S, conferred an increased titer relative to XBB of 2.5-fold ( $p >$   
96 0.05) and 1.7-fold ( $p < 0.01$ ), respectively. In CaLu-3 cells, all XBB variants, including EG.5.1 and  
97 XBB.2.3, remained significantly lower in infectivity than D614G ( $p < 0.001$ ) (**Fig. 1C**), as seen previously  
98 for Omicron-lineage variants<sup>5-7,26,27</sup>. EG.5.1 and its single mutations, XBB.1.5-G52H and XBB.1.5-  
99 F456L, exhibited comparable infectivity relative to parental XBB.1.5 ( $p > 0.05$ ) (**Fig 1C**), with 1.4-fold  
100 ( $p > 0.05$ ) and 1.3-fold increases ( $p < 0.05$ ), respectively. XBB.2.3 also exhibited comparably infectivity  
101 relative to its parental XBB, with a 1.2-fold increase ( $p > 0.05$ ) (**Fig 1C**). Overall, EG.5.1 and XBB.2.3  
102 possess comparable infectivity to their parental XBB variants in ACE2 (HEK293T-ACE2) and CaLu-3  
103 cells.

104  
105 *EG.5.1 and XBB.2.3 exhibit comparable escape of neutralizing antibodies in bivalent vaccinated sera*  
106 *to other XBB-lineage subvariants*

107 We next investigated escape of EG.5.1 and XBB.2.3 from neutralizing antibodies in serum  
108 samples collected from individuals that received at least 2 doses of monovalent mRNA vaccine and 1  
109 dose of bivalent (wildtype + BA.4/5 spike) mRNA vaccine. These sera were collected from The Ohio  
110 State University Wexner Medical Center Health Care Workers (HCWs) at least three weeks post-  
111 booster administration. The neutralization assays were conducted with pseudotyped lentivirus as  
112 described previously<sup>28</sup>, and the cohort totaled 14 individuals ( $n = 14$ ). Among these, 7 became positive  
113 during the Omicron wave, 3 tested positive prior to Omicron, and 4 were negative throughout. Sera

114 were collected between 23 and 108 days after receiving a bivalent vaccination (median 66 days, **Table**  
115 **S1**). Consistent with previous results<sup>5,7</sup>, all XBB-lineage subvariants, including EG.5.1 and XBB.2.3,  
116 demonstrated marked reductions in antibody neutralization relative to D614G and BA.4/5<sup>5,7</sup> (**Fig 2A-B**).  
117 EG.5.1 exhibited modestly decreased neutralization relative to XBB.1.5 ( $p > 0.05$ ), which appeared to  
118 be driven by XBB.1.5-F456L mutation (**Fig 2A-B**). Notably, neutralizing antibody titers against EG.5.1  
119 were markedly less than those against BA.4/5, with a 10-fold reduction ( $p < 0.01$ ). Again, this phenotype  
120 was largely driven by the XBB.1.5-F456L mutation, which exhibited a 11.3-fold reduction in titer ( $p <$   
121 0.001) relative to BA.4/5 (**Fig 2A-B**). Furthermore, nAb titers of the 10 HCWs with breakthrough  
122 infection were much higher than those of the 4 HCWs without breakthrough infection (**Fig S1A**),  
123 indicating that breakthrough infection augments both the magnitude and breadth of nAbs. In contrast  
124 to EG.5.1, XBB.2.3 exhibited slightly increased neutralizing antibody titers relative to its parental XBB,  
125 with a 1.5-fold difference ( $p > 0.05$ ). These titers were still lower than those against BA.4/5, with a 5.6-  
126 fold reduction ( $p < 0.001$ ) (**Fig 2A-B**). Neither of the single mutations, XBB-D253G and XBB-P521S,  
127 exhibited distinct phenotypes in neutralization resistance from XBB.2.3 (**Fig 2A-B**). Overall, EG.5.1 and  
128 XBB.2.3 exhibit comparable escape of neutralizing antibodies in bivalent vaccinated sera to other XBB-  
129 lineage subvariants.

130

131 *EG.5.1 and XBB.2.3 markedly escape of neutralizing antibodies in BA.4/5-wave convalescent sera*

132 The next cohort we tested were first responders and their household contacts who were infected  
133 during the BA.4/5-wave of COVID-19 in Columbus, OH (**Table S1**). Nasal swabs from these individuals  
134 confirmed COVID-19 positivity of 20 individuals ( $n = 20$ ). Samples were sent for sequencing to  
135 determine the infecting variant; 4 individuals were infected with BA.4, 7 with BA.5, and 9 were  
136 undetermined but assumed to be infected with BA.4/5 based on the timing of collection when this variant  
137 was dominant in Columbus (July 2022 to late September 2022). In this cohort, 3 individuals had  
138 received 3 doses of either the Pfizer BioNTech BNT162b2 ( $n = 1$ ) or Moderna mRNA-1272 ( $n = 2$ )  
139 vaccine, and 17 individuals were unvaccinated (**Table S1**). Similar to previous results<sup>5,7</sup>, all XBB-

140 lineage subvariants exhibited marked escape of BA.4/5-wave convalescent sera, with all values under  
141 or around the limit of detection for the assay, i.e., 1:40<sup>5,7</sup> (**Fig 2C-D, Fig S1B**). Both EG.5.1 and XBB.2.3  
142 exhibited escape comparable to their parental variants (p > 0.05 for both) and had significant decreases  
143 in neutralizing antibody titer relative to BA.4/5, with reductions of 13.8-fold (p < 0.01) and 5.3-fold (p <  
144 0.05), respectively (**Fig 2C-D, Fig S1B**).

145

146 *XBB.1.5-wave convalescent sera do not efficiently neutralize EG.5.1 and XBB.2.3*

147 The third cohort we tested were 8 individuals from Columbus, OH who were infected during the  
148 XBB.1.5-wave (**Table S1**). Nasal swabs were all confirmed to be COVID-19 positive, with XBB.1.5  
149 variant confirmed in 7, the remaining presumptive XBB based on collection date. Escape of neutralizing  
150 antibodies by XBB-lineage subvariants was comparable to the BA.4/5-convalescent cohort, with all  
151 titers again near or below the limit of detection (**Fig 2E-F**). EG.5.1 had comparable titers relative to its  
152 parental XBB.1.5 (p > 0.05), exhibiting a 13.4-fold decrease relative to BA.4/5 (p < 0.05) (**Fig 2C-D, 2E-**  
153 **F**). XBB.2.3 exhibited comparable neutralizing antibody titers with its ancestor XBB (p > 0.05), but lower  
154 titers than BA.4/5 with an 8.8-fold decrease (p > 0.05) (**Fig 2C-D, 2E-F**). Notably, 3 patients, especially  
155 P2 and P5, and to a lesser extent P1, exhibited higher titers against XBB variants including EG.5.1 and  
156 XBB.2.3 (**Fig 2F, Fig S1C**). Not surprisingly, P2 and P5 had received 3 doses of monovalent mRNA  
157 vaccine (one with Moderna and another with Pfizer), and P1 was vaccinated with 3 doses of monovalent  
158 plus one dose of Moderna bivalent mRNA shots (**Table S1, Fig 2F, Fig S1C**). Interestingly, P7, who  
159 was a 64-year-old woman and had received 4 doses of monovalent and one dose of Moderna bivalent  
160 vaccines showed very high titers against D614G and BA.4/5, but barely detectable titers against all the  
161 XBB variants, including EG.5.1 and XBB.2.3 (**Table S1, Fig 2F**). As would be expected, P3 and P6,  
162 who received 2 doses of monovalent of mRNA vaccine, as well as P4 and P8, whom were unvaccinated,  
163 showed low if any titers against XBB variants, although low titers against D614G/BA.4/5 were detected  
164 (**Table S1, Fig 2F, Fig S1C**).

165

166 *Monoclonal antibody S309 maintains neutralization efficacy against EG.5.1 and XBB.2.*

167 In addition to protection afforded through vaccination, monoclonal antibodies (mAb) represent a

168 critical method to control COVID-19, especially in the early phase<sup>29</sup>. We thus tested S309, a class III

169 monoclonal antibody, which has been shown previously to neutralize most Omicron-lineage

170 subvariants, including XBB.1.5<sup>7</sup>. Here we found that S309 was still effective against both EG.5.1 and

171 XBB.2.3, with inhibitory concentrations at 50% (IC<sub>50</sub>) of 2.7 µg/mL and 6.1 µg/mL, respectively (**Fig 3A-**

172 **B**). EG.5.1 exhibited a comparable IC<sub>50</sub> to other XBB variants, but a ~3-fold increased IC<sub>50</sub> compared

173 to D614G (0.86 µg/mL); the IC<sub>50</sub> values of XBB.1.5-Q52H and XBB.1.5-F456L were 2.1 and 2.2,

174 respectively (**Fig 3A-B**). XBB.2.3 demonstrated a more marked increase in IC<sub>50</sub> (6.1 µg/mL) compared

175 to XBB (2.3 µg/mL), which appeared to be driven by the P521S mutation with an IC<sub>50</sub> of 7.7 µg/mL (**Fig**

176 **3A-B**). Molecular modeling revealed that mutations in EG.5.1 and XBB.2.3 do not affect the ability of

177 S309 to recognize the spikes. These mutations are located outside the epitope region of antibody S309.

178 and are therefore less likely to influence the ability of S309 to recognize the spike protein (**Fig S2A**).

179

180 *The fusion activities of XBB.2.3 and EG.5.1 spike are comparable to other XBB variants but lower than*

181 *D614G.*

182 To determine the fusion activity of SARS-CoV-2 XBB spikes, we co-transfected HEK293T-ACE2

183 cells with GFP and the spike of interest and incubated the cells for 18 hours before imaging syncytia

184 formation using fluorescence microscopy. We quantified the total area of fused cells using Leica X

185 Applications Suite software implemented in Leica DMi8 microscope. Overall, EG.5.1 and XBB.2.3

186 showed a reduced fusogenicity relative to D614G, which is consistent with our previous results<sup>5,7,27,30,31</sup>.

187 The fusion efficiency was comparable to other variants (**Fig 4A-B**), except XBB.1.16 (**Fig 4A-B**), which

188 showed lower fusogenicity (*Faraone et al. Cell Reports (in revision)*). Surface expression levels of

189 EG.5.1 and XBB.2.3 spikes on HEK293T producing pseudotyped lentiviruses were largely comparable,

190 as shown by flow cytometry using an anti-S1 antibody (**Fig 4C-D**).

191 We also investigated the processing of each spike into its S2 subunits in lysates of transfected  
192 HEK293T cells. We performed western blotting and probed with an anti-S2 polyclonal antibody to  
193 compare the ratios between S2 and full-length spike among the variant spikes tested. As shown **Fig**  
194 **4E**, EG.5.1 and XBB.2.3 exhibited efficiencies of spike processing comparable to other XBB variants,  
195 the levels of which were generally higher than that of D614G.

196

197 *Decreased antigenic distance in bivalent vaccinated relative to convalescent cohorts*

198 To better understand how antigenicity varies between variants, we conducted antigenic mapping  
199 analysis on the three sets of neutralization titers presented above<sup>32</sup>. The method uses multidimensional  
200 scaling on log2 transformed binding assay results to plot individual points for antigens and antibodies  
201 in Euclidean space<sup>32</sup>. The spaces between the different points directly translate from fold changes in  
202 neutralization titers, allowing for visualization of the antigenic differences between the variant spikes.  
203 The points are plotted using “antigenic distance units” (AU), with one AU being equivalent to a 2-fold  
204 change in neutralizing antibody titer<sup>13,32</sup>. In all cohorts, D614G and BA.4/5 clustered together while XBB  
205 variants were more antigenically distinct, sitting around 4.0-5.5 AU away from D614G, translating to a  
206 16~45-fold drop in overall neutralizing antibody titer (**Fig 5A-C**, **Fig 2**). Antigenic distance between all  
207 variants was overall slightly smaller for the bivalent relative to the convalescent cohorts (**Fig 5A-C**),  
208 suggesting a broader neutralization induced by the bivalent vaccine. XBB.2.3 consistently clustered  
209 with XBB.1.16, whereas EG.5.1 appeared more antigenically distant from the other XBB-lineage  
210 variants (**Fig 5A-C**). This phenotype was more pronounced in the XBB.1.5-wave cohort (**Fig 5C**).  
211 Overall, XBB-lineage variants are notably distinct antigenically from earlier variants D614G and BA.4/5,  
212 but this is somewhat minimized upon bivalent vaccination.

213

214 **Discussion**

215 SARS-CoV-2 continues to evolve rapidly, presenting an ever-increasing challenge to vaccination  
216 efforts. As expected, new XBB-lineage subvariants EG.5.1 and XBB.2.3, especially EG.5.1, remain

217 highly immune evasive, which likely contributes to the recent increase of COVID cases and  
218 hospitalization<sup>23,24</sup>. Though bivalent vaccination continues to protect better than the monovalent  
219 vaccine and natural infection, neutralization titers are markedly low against all XBB variants, particularly  
220 the newly emerged EG.5.1, in comparison to D614G and BA.4/5, as seen previously for XBB  
221 variants<sup>3,5,7,9,12,14,16</sup>. Neutralizing antibody titers stimulated by infection with either BA.4/5 or XBB.1.5  
222 are minimal, with average neutralization titers against XBB variants clustering around the limit of  
223 detection for the assay, which is consistent with another study<sup>33</sup>. We found that the XBB.1.5-F456L  
224 mutation, rather than the XBB.1.5-Q52H mutation, drives the enhanced neutralization escape  
225 compared to XBB.1.5. Molecular modeling indicates that XBB.1.5-F456L likely decreases spike binding  
226 to class 1 SARS-CoV-2 monoclonal antibodies, such as antibody S2E12, but does not appear to impact  
227 spike binding to S309, a class 3 monoclonal antibody (**Fig S2A-B**), a finding that is consistent the  
228 several recent publications<sup>33-35</sup>. Together, these studies underscore the need for close surveillance of  
229 variants and newly formulated vaccines against SARS-CoV-2.

230 Notably, in our study, bivalent-vaccinated neutralizing antibody titers against BA.4/5 were  
231 distinguishably lower than D614G despite BA.4/5 spike being included in the vaccine formulation (**Fig**  
232 **2A-B**). This suggests that the antibody response is still largely targeting D614G, hence providing  
233 evidence for immune imprinting induced by the monovalent doses of mRNA vaccines<sup>20-22,34,36</sup>. Many  
234 mutations have been acquired by the virus during its evolution from BA.4/5 through the various XBB  
235 variants<sup>37</sup>. Notably, neutralizing antibody titers for the bivalent cohort against XBB variants remain  
236 significantly lower than D614G and BA.4/5 (**Fig 2A-B**). Consistently, antigenic mapping demonstrates  
237 that XBB variants are quite antigenically distinct from D614G and BA.4/5 for all cohorts tested,  
238 especially EG.5.1 (**Fig 2, Fig 5**). Importantly, the distinct antigenic phenotype of XBB and other Omicron  
239 subvariants has been corroborated by other studies using antigenic cartography analysis<sup>21,22,38</sup>.

240 We observed that the antigenic distance between all variants was smaller overall for the bivalent  
241 vaccination cohort, the majority of which had breakthrough infection, relative to the convalescent  
242 cohorts (**Fig 5, Table S1**). Two of 4 vaccinated individuals infected with XBB.1.5, i. e., P2 and P5, did

243 exhibit the broadest neutralizing antibody titers among the cohort (**Fig 2E-F**), suggesting that vaccines  
244 containing XBB.1.5 and related spikes, such as XBB.1.16, EG.5.1, will likely overcome immune  
245 imprinting and offer broader protection against XBB-lineage subvariants. This finding suggests that the  
246 bivalent vaccine/breakthrough combination increases coverage of immune responses against newer  
247 SARS-CoV-2 variants, as has been suggested previously by another group<sup>20</sup> (**Fig S2A, Fig 5**).  
248 Fortunately, newly formulated mRNA vaccines containing XBB.1.5 spike have been submitted by Pfizer  
249 and Moderna to the FDA and are expected to rollout in September<sup>39-41</sup>.

250 We did not find dramatic changes in infectivity of EG.5.1 and XBB.2.3 compared to previous  
251 XBB variants in either 293T-ACE2 or CaLu-3 cells (**Fig 1**). EG.5.1 had a modest increase in 293T-  
252 ACE2 but this was not observed in the more biologically relevant lung airway epithelial cell line CaLu-  
253 3. Importantly, similar to Omicron variants BA.4/5 and XBB variants, EG.5.1 and XBB.2.3 retain lower  
254 infectivity in CaLu-3 cells relative to D614G, easing concerns of potentially increased pathogenesis in  
255 the lung. Furthermore, the fusogenicity of EG.5.1 and XBB.2.3 are similar to other XBB variants, which  
256 is much lower than D614G (**Fig 4**). In this regard, molecular modeling reveals that the XBB.1.5-F456L  
257 mutation may reduce spike binding to ACE2 (**Fig S2C**). Specifically, the change from phenylalanine to  
258 leucine decreases the side chain size and increases the distance between the receptor-binding domain  
259 (RBD) and ACE2 residues, resulting in a reduction of hydrophobic interactions at this specific position.  
260 Hence, the affinity between viral RBD and the ACE2 receptor is likely diminished. Overall, while we did  
261 not find in vitro evidence to support that the newly emerged XBB variants, including EG.5.1, have  
262 enhanced pathogenic potential that could explain a possible growth advantage in circulation around  
263 the globe<sup>24,33</sup>, in vivo assays and clinical studies are needed to address this important issue.

264 Overall, our study provides important support for new vaccine formulations in our quest for  
265 continued control of the COVID-19 pandemic, underscored by the marked immune evasion of XBB  
266 variants<sup>5,7,9,12,14,16,17,33,42</sup> and the role of immune imprinting in these phenotypes<sup>20-22,38</sup>. Specifically,  
267 removal of the wildtype spike from mRNA vaccines and inclusion of XBB-lineage variant spikes must

268 be considered. The continued surveillance of new variants is essential to inform decisions around  
269 vaccination against SARS-CoV-2 and treatment of COVID-19.

270

271 **Limitations of the Study**

272 Pseudotyped virus was used throughout the study in place of live authentic viruses. We have previously  
273 validated our neutralization assay alongside live virus<sup>28</sup>, and we believe the timeliness of the work  
274 justifies the use of pseudotyped virus over live virus. Pseudotyped virus also provides critical advantage  
275 for investigating the role of specific spike variants in neutralization, membrane fusion and infectivity in  
276 a more controlled manner. Our cohort sizes for the neutralization assays were small, particularly the  
277 XBB.1.5-wave cohort, because of the difficulty in recruiting as result of the decreased COVID-19 testing.  
278 However, we believe our findings are still valid and significant given that other groups have published  
279 such work with comparable cohorts and similar methods<sup>16,34</sup>, and that our findings for XBB.1.5-wave  
280 individuals corroborate results from another group<sup>33</sup>. The sample collection time after vaccination or  
281 infection also varies widely in our cohorts due to the clinical arrangements, which could have impacted  
282 the nAb titers. Overall, we feel as though these limitations are outweighed by the timeliness of the work  
283 and the importance of the continued characterization of variants to maintain control of the COVID-19  
284 pandemic.

285

286 **Figure Legends**

287 **Figure 1: Infectivity of pseudotyped lentiviruses bearing XBB.2.3 or EG.5.1 spike into HEK293T-  
288 ACE2 and CaLu-3 cells. (A)** Schematic relationship between XBB-lineage variants in this study.  
289 Arrows denote direct relationships between variants with the corresponding spike mutations written  
290 along them. **(B and C)** Pseudotyped lentiviruses bearing each of the depicted spikes of interest were  
291 produced in HEK293T cells and used to infect **(B)** HEK293T-ACE2 or **(C)** CaLu-3 cells. Bars in **(B and**  
292 **C)** represent means  $\pm$  standard deviation for 3 replicates represented by individual dots (n=3). p values  
293 are displayed as \*p < 0.05, \*\*\*p < 0.001, \*\*\*\*p < 0.0001 and ns p > 0.05.

294

295 **Figure 2: Neutralizing antibody titers against XBB.2.3 and EG.5.1 for bivalent vaccinees, BA.4/5-  
296 convalescent cohort, and XBB.1.5-convalescent cohort.** Pseudotyped lentiviruses bearing each of  
297 the spikes of interest were used to perform virus neutralization assays with three cohorts of sera; **(A-B)**  
298 individuals that received at least two doses of monovalent mRNA vaccine and 1 dose of bivalent mRNA  
299 vaccine (n=14), **(C-D)** individuals that were infected during the BA.4/5-wave of COVID-19 in Columbus,  
300 OH (n=20); **(E-F)** individuals that were infected during the XBB.1.5-wave of COVID-19 in Columbus,  
301 OH (n=8). **(A, C, E)** Plots depict individual neutralizing antibody titers displayed as neutralization titers  
302 at 50% (NT<sub>50</sub>). Bars represent geometric means with 95% confidence intervals. Numbers on top of the  
303 plots represent the geometric means for each variant. Significance values are determined relative to  
304 BA.4/5, ancestor of these XBBs, using log10 transformed NT<sub>50</sub> values to better approximate normality.  
305 **(B, D, F)** Heatmaps that depict the NT<sub>50</sub> values for **(B)** the bivalent vaccinated cohort, **(D)** the BA.4/5-  
306 convalescent cohort, and **(F)** the XBB.1.5-convalescent cohort. Asterisks in **(D and F)** indicate the  
307 individuals who had received at least three doses of monovalent mRNA vaccine before infection.  
308 Hashtags in **(F)** indicate individuals that received at least 3 doses of monovalent mRNA vaccine and 1  
309 dose of bivalent booster. p values are displayed as \*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001, \*\*\*\*p < 0.0001  
310 and ns p > 0.05.

311

312 **Figure 3: Neutralization of monoclonal antibody S309 against XBB.2.3 and EG.5.1.** Pseudotyped  
313 lentiviruses bearing each of the spikes of interest were used in a virus neutralization assay with the  
314 class III monoclonal antibody S309. **(A)** Plot curve of S309 neutralization and **(B)** a table showing the  
315 calculated IC<sub>50</sub> values best fit to the curve with the 95% confidence interval are depicted. The dashed  
316 line in **(A)** marks 50% relative infectivity.

317

318 **Figure 4: Fusogenicity, expression, and processing of XBB.2.3 and EG.5.1 spikes. (A and B)**  
319 Fusogenicity of spikes were determined by co-transfecting HEK293T-ACE2 cells with GFP and the

320 spike of interest and imaging the extent of fusion 18 hours post-transfection using fluorescence  
321 microscopy. **(A)** Representative images were selected and **(B)** average areas of fusion quantified for  
322 each spike. Bars represent means  $\pm$  standard error, and dots represent three random areas for each  
323 replicate. Significance relative to D614G was determined using a one-way repeated measures ANOVA  
324 with Bonferroni's multiple testing correction (n = 3). "No Spike" refers to the negative control which was  
325 transfected with GFP and empty pcDNA3.1 plasmid. \*\*\*\* p < 0.0001. **(C)** Expression of spike was  
326 determined by performing surface staining (anti-S1 polyclonal antibody) and flow cytometry on  
327 HEK293T cells used to produce pseudotyped lentiviruses. A triplicate was performed, and a  
328 representative overlaid histogram was selected and depicted in **(C)**. **(D)** The processing of each spike  
329 was determined by lysing HEK293T cells transfected with spike of interest and performing western  
330 blotting. Blots were probed with anti-S2 and anti-GAPDH (loading control), respectively. Processing of  
331 spike was quantified using Image J to determine relative band intensities for full length spike versus S2  
332 and a resulting S2/S ratio was calculated. Ratios are listed below each corresponding set of bands.  
333 Ratios were normalized to D614G (D614G=1.0).

334

335 **Figure 5: Antigenic mapping of neutralization titers for bivalent vaccinated, BA.4/5-wave**  
336 **infected, and XBB.1.5-wave infected cohorts (associated with Fig 2).** The Racmacs program  
337 (1.1.35) was used to generate antigenic maps for neutralization titers from **(A)** the bivalent vaccinated,  
338 **(B)** the BA.4/5 wave infected, and **(C)** the XBB.1.5-wave infected cohorts. Circles represent the variants  
339 and squares represent the individual sera samples. Arrows between D614G and selected variants are  
340 labeled with the distance between those variants in antigenic units (AU). One square on the grid  
341 represents one antigenic unit squared.

342

343 **STAR Methods**

344 **Resource availability**

345 **Lead contact**

346 The lead contact Dr. Shan-Lu Liu can be reached at [liu.6244@osu.edu](mailto:liu.6244@osu.edu) with any questions or requests  
347 for materials.

348

349 **Materials availability**

350 Materials can be requested by emailing the lead contact.

351

352 **Experimental model and subject details**

353 **Vaccinated and convalescent cohorts**

354 Three cohorts of serum were collected and used to determine neutralizing antibody titers against  
355 selected SARS-CoV-2 variants. The first were health care workers (HCWs) working at the Ohio State  
356 Wexner Medical Center that received at least 2 doses of monovalent mRNA vaccine and 1 dose of  
357 bivalent mRNA vaccine. Samples were collected under the approved IRB protocols 2020H0228,  
358 2020H0527, and 2017H0292. This cohort totaled 14 individuals, i.e., 8 males and 6 females. Among  
359 these, 12 individuals received 3 doses of monovalent vaccine (Pfizer BioNTech BNT162b2 or Moderna  
360 mRNA-1273) and 1 dose of bivalent vaccine (Pfizer); 1 individual had 2 doses of monovalent vaccine  
361 (Pfizer) and 1 dose bivalent (Pfizer), and the final individual had 4 doses of monovalent vaccine (Pfizer)  
362 and 1 dose of bivalent vaccine (Pfizer). Sample collections ranged from 23-108 days post-  
363 administration of booster dose and the range of ages was 25-48 (median 36).

364 The second cohort were first responders and household contacts based in Columbus, OH that  
365 were infected with SARS-CoV-2 during the BA.4/5-wave of infection in Columbus. Samples were  
366 collected under approved IRB protocols 2020H0527, 2020H0531, and 2020H0240. This cohort totaled  
367 20 individuals. For each, nasal swabs were used to confirm positive infection with the virus, and were  
368 sequenced. 4 individuals were confirmed to be infected with BA.4, 7 with BA.5, and the remaining 9  
369 were undetermined but assumed to be infected with BA.4/5 due to timing of collection during when the  
370 variant was dominant in Columbus (July 2022 to late September 2022). 3 individuals in this cohort were

371 vaccinated with 3 doses of monovalent vaccine (1 Pfizer and 2 Moderna). The age range of this cohort  
372 was 27-58 (median 44), and it included 4 male, 15 female, and 1 unknown individuals.

373 The final cohort were first responders that were infected during the XBB.1.5 wave in Columbus,  
374 Ohio (Early February 2023 through July 2023). Samples were collected under IRB protocols  
375 2020H0527, 2020H0531, and 2020H0240. The cohort totaled 8 individuals (n=8). Like the BA.4/5-wave  
376 samples, nasal swabs were performed on each member of the cohort and the samples were sent for  
377 sequencing. Seven samples were confirmed to be XBB.1.5 by COVID-Seq Artic v4 sequencing and  
378 typing with Dragen COVID Lineage, with Pangolin plug-in (Illumina), with one presumptive XBB.1 based  
379 on date of collection. Several showed private or regional variations in spike (e.g. T284I and L513F). 5  
380 individuals were vaccinated with at least two doses of monovalent mRNA vaccine while 4 were not  
381 vaccinated. Of the vaccinated members of the cohort, 1 received two doses of monovalent Moderna  
382 mRNA vaccine, 2 individuals received 3 doses of monovalent vaccine (1 Pfizer, 1 Moderna), 1 individual  
383 received 3 doses of monovalent vaccine and 1 dose of bivalent (all Moderna), and the last vaccinated  
384 person received 4 doses of monovalent vaccine with 1 dose of bivalent (Moderna monovalent, Pfizer  
385 bivalent). The range of ages was 38-64 (median 53), and the cohort had 5 male and 3 female individuals.

386 Full details of each cohort can be found in **Table S1**.

387

### 388 **Cell lines**

389 Cell lines used for this study included human embryonic kidney line HEK293T (ATCC CRL-11268,  
390 RRID: CVCL\_1926), HEK293T expressing human ACE2 (HEK293T-ACE2) (BEI NR-52511, RRID:  
391 CVCL\_A7UK), and human adenocarcinoma lung epithelial line CaLu-3 (RRID: CVCL\_0609). HEK293T  
392 and HEK293T-ACE2 cells were maintained DMEM (Gibco, 11965-092) supplemented with 10% fetal  
393 bovine serum (Sigma, F1051) and 0.5% penicillin-streptomycin (HyClone, SV30010). CaLu-3 cells  
394 were maintained in EMEM supplemented the same way. To split, cells were initially washed with  
395 phosphate-buffered saline (Sigma, D5652-10X1L) then incubated in 0.05% trypsin + 0.53mM EDTA  
396 (Corning, 25-052-CI) until complete detachment. Cells were kept at 37C and 5.0% CO2.

397

## 398 Method Details

### 399 **Plasmids**

400 All spike plasmids are in the backbone of pcDNA3.1 with restriction sites BamHI and KpnI and FLAG  
401 tags at the N- and C-termini of spike. D614G, BA.4/5, and XBB plasmids were cloned by GenScript  
402 using restriction enzyme cloning (Piscataway, NJ). XBB.1.5, XBB.1.16, XBB-D253G, XBB-P521S,  
403 XBB.2.3, XBB.1.5-Q52H, XBB.1.5-F456L, and EG.5.1 plasmids were generated in house through site-  
404 directed mutagenesis. Throughout, the “No Spike” control refers to empty pcDNA3.1 plasmid backbone  
405 used in place of spike plasmid. The lentiviral vector used is a HIV-1, pNL4-3 vector with an Env deletion  
406 and intronic secreted *Gaussia* luciferase reporter (inGluc).

407

### 408 **Pseudotyped lentivirus production and infectivity**

409 Pseudotyped lentiviral vectors were produced by co-transfected HEK293T cells in a 2:1 ratio with  
410 pNL4-3 inGluc and the spike plasmid of interest. Transfections throughout are polyethyleneimine  
411 transfections using the Transporter 5 transfection reagent (Polysciences). Pseudotyped virus was  
412 collected 48 and 72 hours post-transfection and stored at -80C. To measure infectivity, 100uL of virus  
413 was used to infect HEK293T-ACE2 cells. 300uL was used to infect CaLu-3 cells and cells were spun  
414 at 1,650 x g for 45 minutes to mediate attachment. Luciferase measurements were taken as a readout  
415 of infectivity at 48, 72, and 96 hours. Measurements were collected by taking 20uL of infected cell  
416 media and combining it with 20uL luciferase substrate (0.1 M Tris pH 7.4, 0.3 M sodium ascorbate, 10  
417 μM coelenterazine) and immediately reading on a BioTek Cytation plate reader. Plots for 48 hours and  
418 120 hours are displayed in Fig 1 for HEK293T-ACE2 and CaLu-3, respectively.

419

### 420 **Virus neutralization assay**

421 Sera from the cohorts of interest was first serially diluted four-fold with a starting dilution of 1:40 (final  
422 dilutions 1:40, 1:160, 1:640, 1:2560, 1:10240, and no serum as a control). mAb S309 was diluted 4-fold

423 from 12  $\mu$ g/ml (12, 3, 0.75, 0.1875, 0.046875 $\mu$ g/ml, no antibody control). Pseudotyped virus was  
424 thawed and diluted based on infectivity results to normalize readouts. 100uL of each diluted virus was  
425 then added onto serum samples. The virus and sera mixture were incubated for 1 hour at 37C. This  
426 mixture was then used to infect HEK293T-ACE2 cells. Luciferase readout was collected as described  
427 above at 48 and 72 hours post-infection. NT<sub>50</sub> values were determined through least-squares fit non-  
428 linear regression with a normalized response (no serum control) in GraphPad Prism 9 (San Diego, CA).

429

### 430 **Syncytia formation**

431 HEK293T-ACE2 cells were co-transfected with GFP and the spike of interest. Cells were imaged 18  
432 hours post-transfection using a Leica DMI8 fluorescence microscope. Average area of fused cells was  
433 determined using the Leica X Applications Suite software that outlines edges of syncytia and calculates  
434 the area within. Three images were randomly taken for each variant. Scale bars represent 150  $\mu$ M and  
435 one representative image was selected for presentation.

436

### 437 **S protein surface expression**

438 Seventy-two hours post transfection, HEK293T cells used to produce lentivirus were washed in PBS  
439 and incubated in PBS+5mM EDTA for 10 minutes to detach. Approximately 1x10<sup>6</sup> cells were taken  
440 for analysis of spike surface expression via flow cytometry. These cells were fixed in 3.7%  
441 formaldehyde for 10 minutes and room temperature. Cells were stained with 1:200 anti-S1 polyclonal  
442 antibody (Sino Biological, 40591-T62; RRID: AB\_2893171) for 1.5 hours and washed three times in  
443 PBS+2% FBS. Cells were then stained with secondary antibody 1:200 anti-Rabbit-IgG-FITC (Sigma,  
444 F9887, RRID: AB\_259816) and washed three times more. Flow cytometry was performed on a  
445 LifeTechnologies Attune NxT flow cytometer. Data processing was performed using FlowJo v10.9.1  
446 (Ashland, OR).

447

### 448 **S protein processing**

449 HEK293T cells transfected with spike of interest were lysed in 300uL RIPA+PI+PMSF (RIPA: 50mM  
450 Tris pH 7.5, 150 mM NaCl, 1 mM EDTA, Nonidet P-40, 0.1% SDS, PI+PMSF: Sigma, P8340) for 40  
451 minutes on ice. Lysate was harvested and used for western blotting. Samples were run on a 10%  
452 acrylamide SDS-PAGE gel and transferred to a PVDF membrane. Blots were probed with anti-S2 (Sino  
453 Biological, 40590; RRID:AB\_2857932) and anti-GAPDH as a loading control (Santa Cruz, Cat# sc-47724,  
454 RRID: AB\_627678). Secondary antibodies included anti-Rabbit-IgG-FITC (Sigma, A9169;  
455 RRID:AB\_258434) and anti-Mouse-IgG-FITC (Sigma, Cat# A5278, RRID: AB\_258232). Blots were  
456 imaged using Immobolin Crescendo Western HRP substrate (Millipore, WBLUR0500) and exposed on  
457 a GE Amersham Imager 600. Quantification of band intensity was determined using ImageJ (NIH,  
458 Bethesda, MD).

459

460 **Antigenic mapping**

461 Antigenic maps were generated using Racmacs (v1.1.35)  
462 (<https://github.com/acorg/Racmacs/tree/master>). This method is based on a study conducted by Smith  
463 and colleagues to determine the antigenic distances between different influenza strains based on  
464 agglutination neutralization assays<sup>32</sup>. Briefly, raw neutralization titers were converted into a table with  
465 sera samples as the columns and viruses as the rows. This table was then imported into the *Racmacs*  
466 program using R (Vienna, Austria) and instructions in the documentation section for the program were  
467 followed. *Racmacs* takes the titer table and converts it to a distance table by performing a log2  
468 conversion and then calculating the distance between each antigen for each serum sample.  
469 Multidimensional scaling is then performed on the distance table to generate the map. Optimization  
470 settings were kept on default (2 dimensions, 500 optimizations, minimum column basis “none”). Maps  
471 were saved from the “view” panel and labeled using Microsoft Office PowerPoint. Arrows drawn in  
472 PowerPoint were used to calculate the distance between two points with the scale bar for “1 AU” being  
473 used to normalize this value. 1 AU is equivalent to a 2-fold change in neutralizing antibody titer<sup>13,32</sup>.

474

475 **Structural Modeling and Analysis**

476 We conducted structural modeling of EG.5.1 spike proteins bound to either the ACE2 receptor or  
477 neutralizing antibodies. This modeling was carried out using the SWISS-MODEL server, employing  
478 existing X-ray crystallography or cryo-EM structures from published sources as templates (PDB: 7K8Z,  
479 8DT3, 7L7D, 7XB0, 7XCK, 7YAD, 7R6X). Molecular interactions involving EG.5.1 mutants were  
480 carefully examined, and these interactions were visually presented using PyMOL.

481

482 **Quantification and statistical analysis**

483 Statistical analyses were performed using GraphPad Prism 9. Error bars in **(Fig 1B-C)** and **(Fig 4C)**  
484 represent means with standard error. Comparisons between the viruses in **(Fig 1B-C)** and **(Fig 4C)**  
485 were made using a one-way ANOVA with Bonferroni post-test. Both experiments (infectivity and  
486 surface expression) were done in triplicate. Neutralization titers were determined using least-squares  
487 non-linear regression. Error bars in **(Fig 2A, C, and E)** represent geometric means with 95% confidence  
488 intervals. Comparisons between the viruses in **(Fig 2A, C, and E)** were made using a repeated  
489 measures one-way ANOVA with Bonferroni post-test. These comparisons were conducted using log10  
490 transformed NT<sub>50</sub> values to better approximate normality. Bars in **(Fig 3)** represent best fit values for  
491 IC<sub>50</sub> ± 95% confidence interval (n=1). Significance analysis in **(Fig 4)** was performed using a one-way  
492 repeated measures ANOVA with Bonferroni's multiple testing correction.

493

494 **Data and code availability**

495 Data can be requested from the lead contact. This paper does not report original code.

496

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515

516 **Author contributions**

517 S.-L.L. conceived and directed the project. R.J.G led the clinical study/experimental design and  
518 implementation. J.N.F performed neutralization and infectivity assays, and P.Q. performed syncytia  
519 formation and spike processing, N.G. performed mutagenesis to generate new variants. P.Q and J.N.F.  
520 performed data processing and analyses. D.J. led SARS-CoV-2 variant genotyping and DNA  
521 sequencing analyses. C.C., and R.J.G. provided clinical samples and related information. K.X.  
522 performed molecular modeling and participated in discussion. J.N.F., P.Q., and S.-L.L. wrote the paper.  
523 Y.-M.Z, L.J.S., and E.M.O. provided insightful discussion and revision of the manuscript.

524

525 **Declaration of interests**

526 The authors do not declare any competing interests.

527

528

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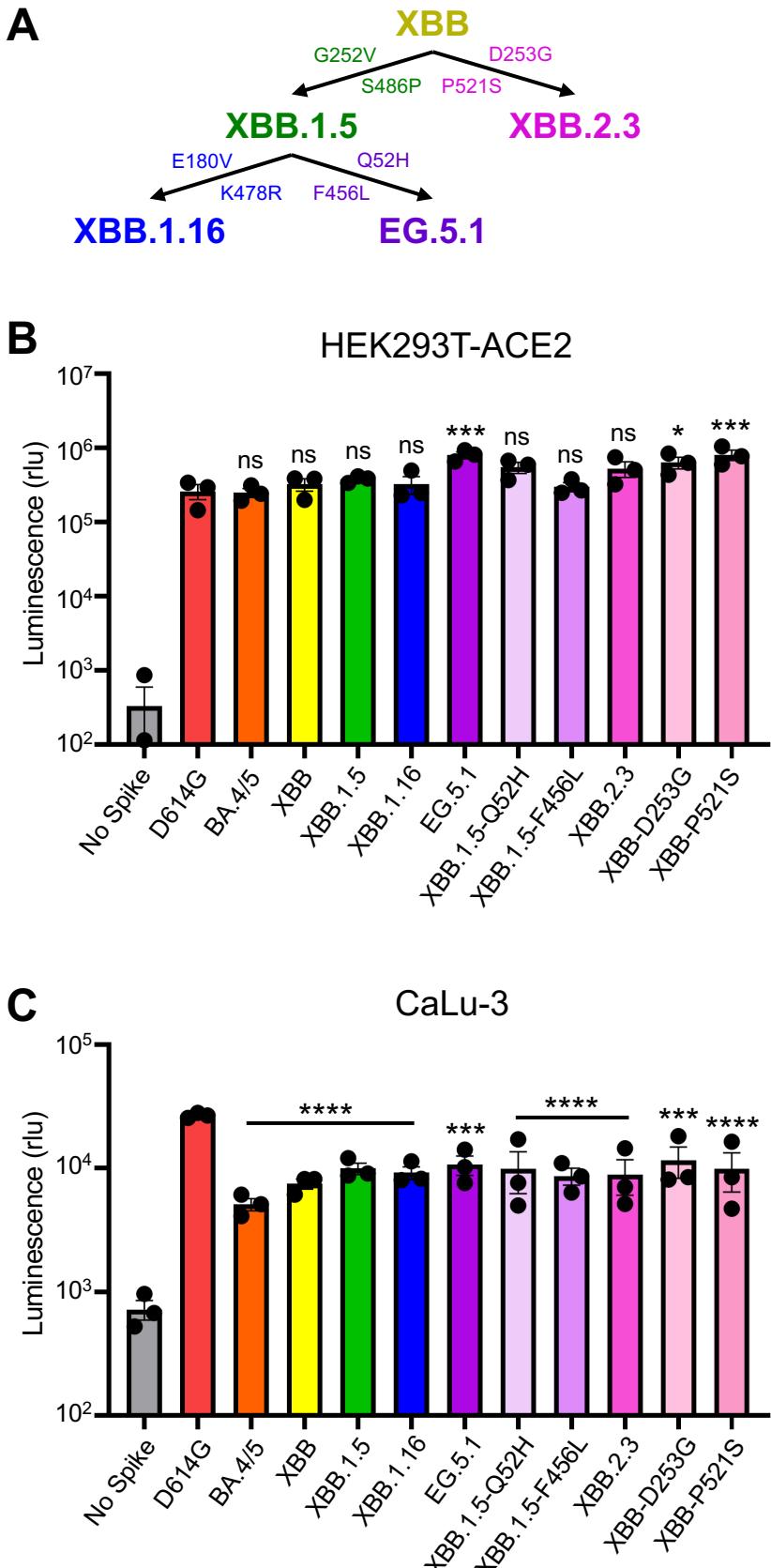
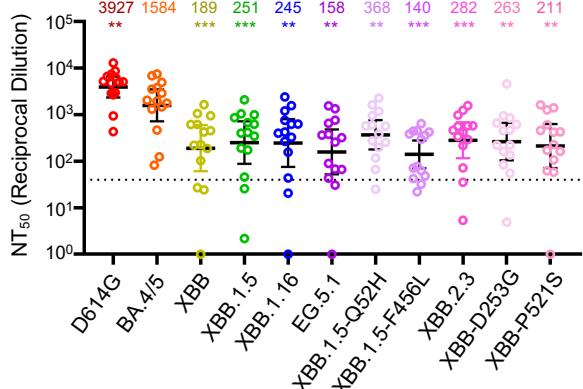


Figure 1

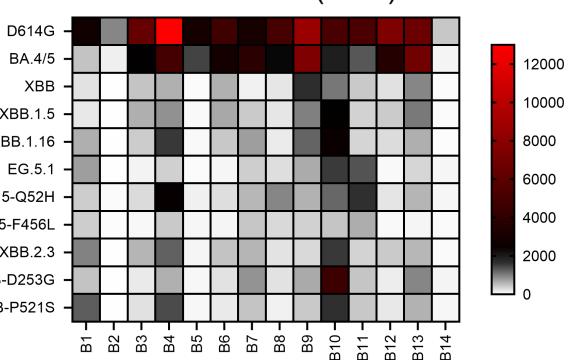
**A**

Bivalent HCWs (n=14)



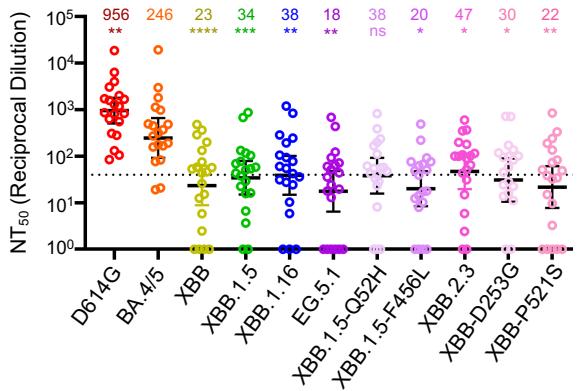
**B**

Bivalent HCWs (n=14)



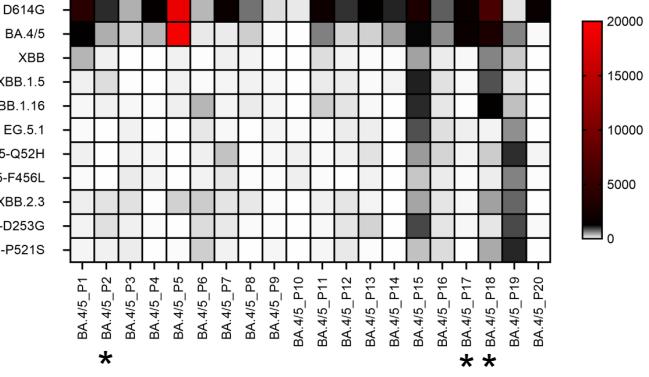
**C**

BA.4/5 wave (n=20)



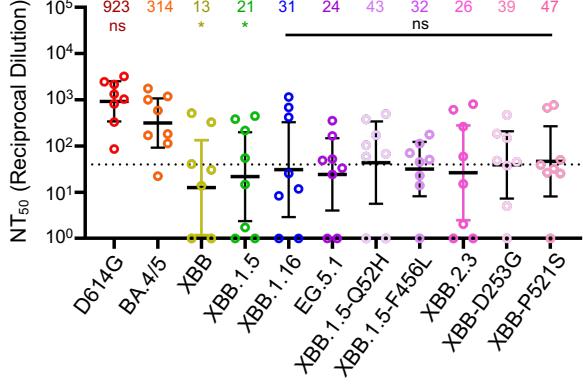
**D**

BA.4/5 Wave (n=20)



**E**

XBB.1.5-Wave (n=8)



**F**

XBB.1.5-Wave (n=8)

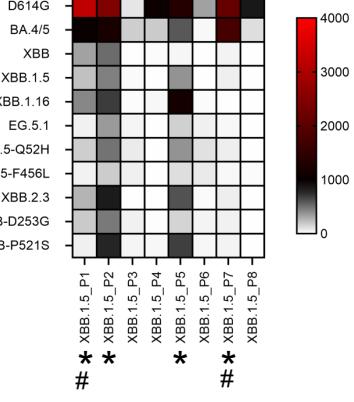
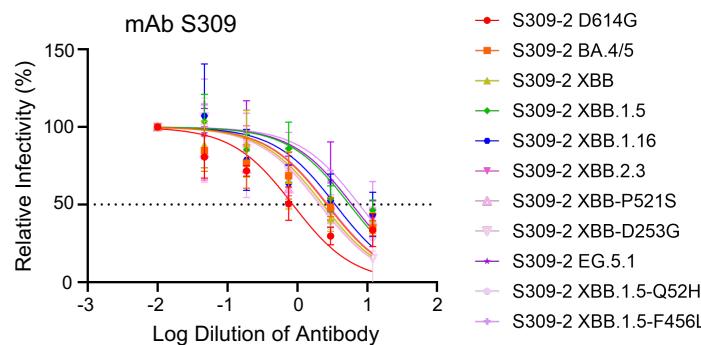


Figure 2

**A**

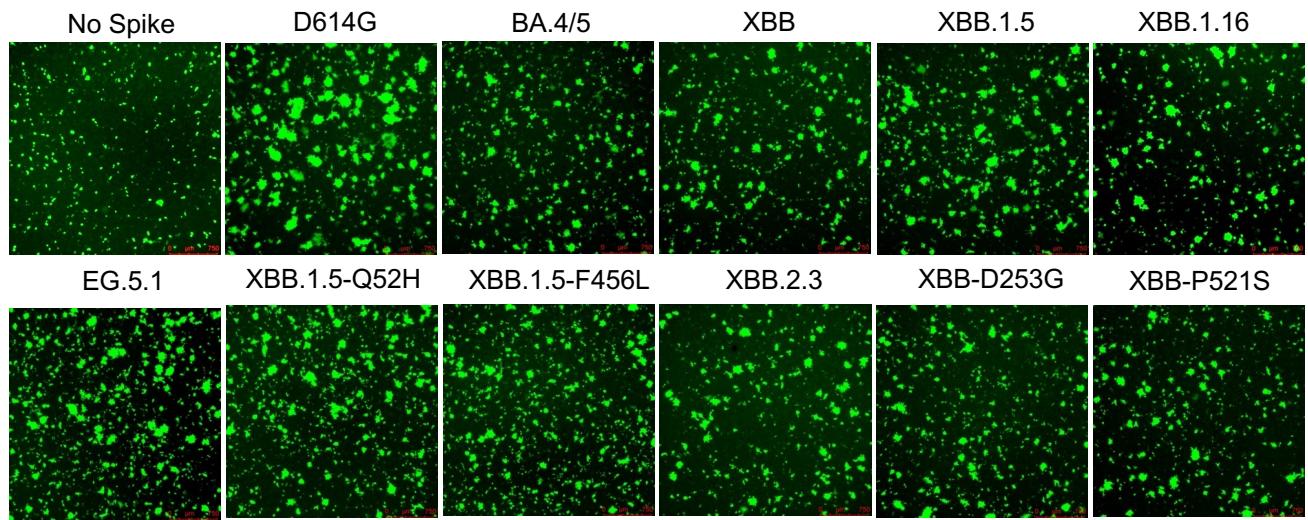


**B**

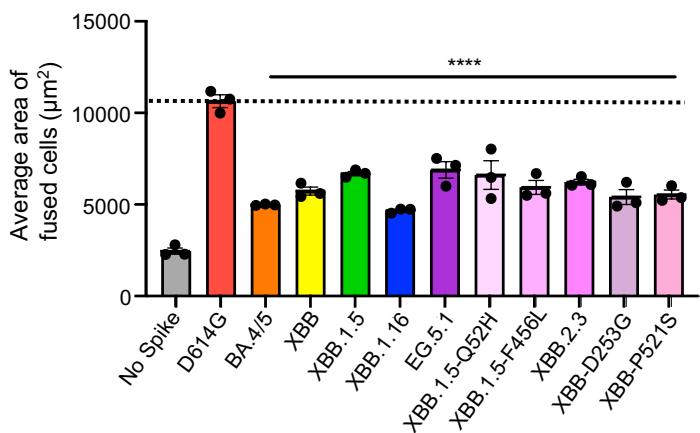
Variants	IC50 ( $\mu\text{g/mL}$ )
D614G	0.86 $\pm$ 0.32
BA.4/5	2.6 $\pm$ 0.9
XBB	2.3 $\pm$ 0.8
XBB.1.5	5.6 $\pm$ 1.8
XBB.1.16	3.5 $\pm$ 1.7
EG.5.1	2.7 $\pm$ 1.0
XBB.1.5-Q52H	2.1 $\pm$ 1.0
XBB.1.5-F456L	2.2 $\pm$ 0.6
XBB.2.3	6.1 $\pm$ 2.2
XBB-D253G	2.7 $\pm$ 0.8
XBB-P521S	7.7 $\pm$ 2.4

**Figure 3**

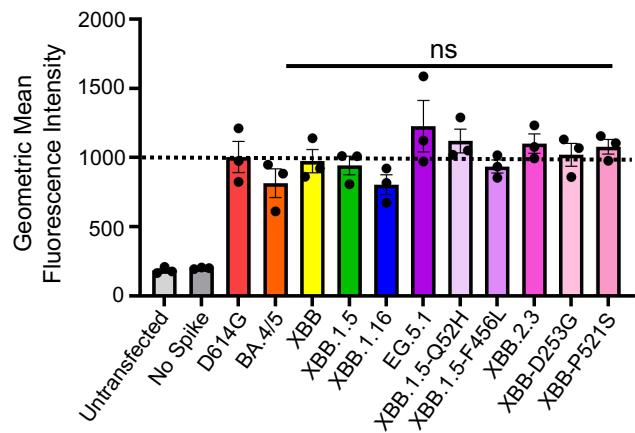
**A**



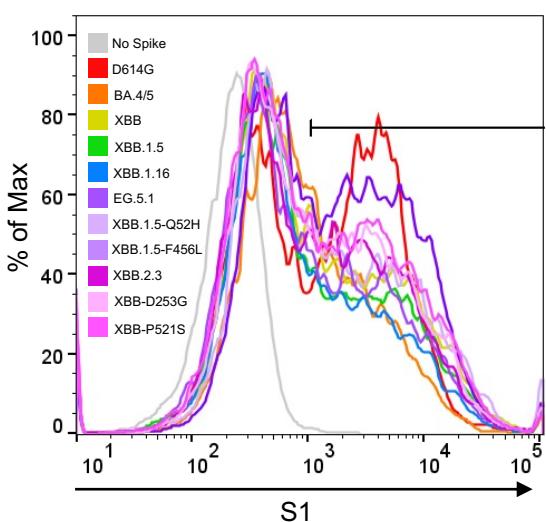
**B**



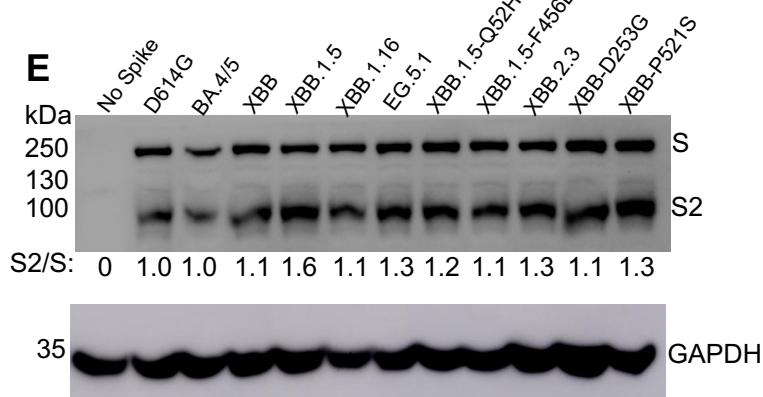
**C**



**D**



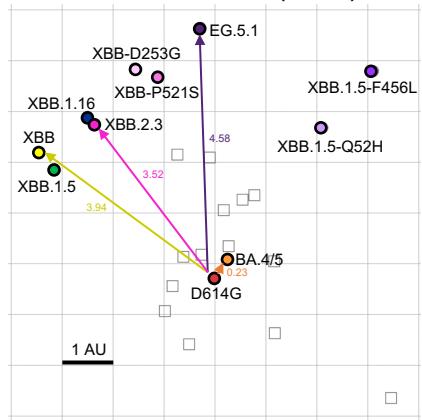
**E**



**Figure 4**

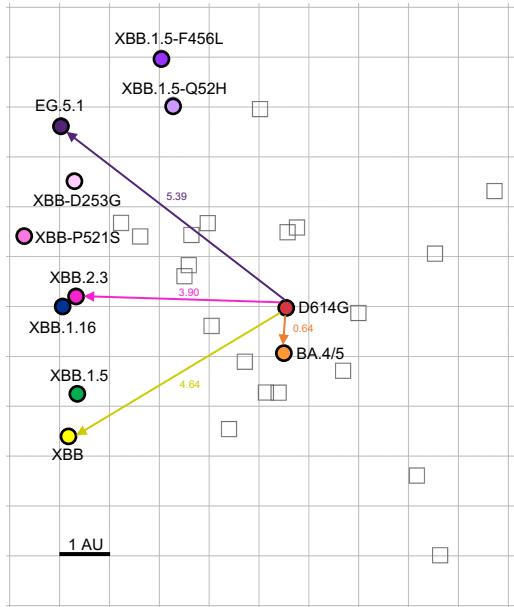
**A**

Bivalent HCWs (n=14)



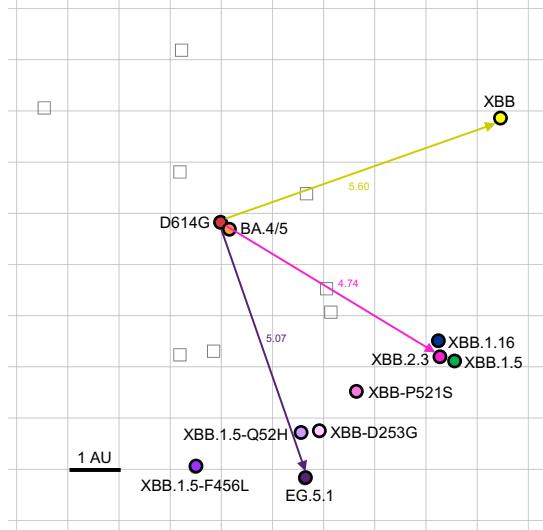
**B**

BA.4/5 wave (n=20)



**C**

XBB.1.5-Wave (n=8)



**Figure 5**