

1 **Title:** Neuronal tuning and population representations of shape  
2 and category in human visual cortex.

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33 **ABSTRACT**

34 Object recognition and categorization are essential cognitive processes which  
35 engage considerable neural resources in the human ventral visual stream. However,  
36 the tuning properties of human ventral stream neurons for object shape and category  
37 are virtually unknown. We performed the first large-scale recordings of spiking activity  
38 in human Lateral Occipital Complex in response to stimuli in which the shape  
39 dimension was dissociated from the category dimension. Consistent with studies in  
40 nonhuman primates, the neuronal representations were primarily shape-based,  
41 although we also observed category-like encoding for images of animals.  
42 Surprisingly, linear decoders could reliably classify stimulus category even in data  
43 sets that were entirely shape-based. In addition, many tuning curves showed an  
44 interaction between shape and category tuning. These results represent the first  
45 detailed study on shape and category coding at the neuronal level in the human  
46 ventral visual stream, furnishing essential evidence that reconciles human imaging  
47 and macaque single-cell studies.

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64 **INTRODUCTION**

65 Object recognition and categorization are fundamental cognitive processes, essential for  
66 understanding and interpreting the visual world. The lateral and ventral occipitotemporal cortices  
67 (OTC) are key regions involved in these processes.<sup>1,2</sup> Nevertheless, the precise functional  
68 organization, neuronal tuning properties and hierarchical structure of this large cortical region remain  
69 unclear.

70 Functional magnetic resonance (fMRI) studies in humans have shown that the Lateral  
71 Occipital Complex (LOC) is particularly sensitive to shape features,<sup>3,4</sup> and bears remarkable  
72 similarities with the macaque inferior temporal cortex.<sup>5-7</sup> Along the hierarchy organization of the  
73 human ventral visual stream, functional activations emerge suggesting the existence of more  
74 categorical object representations for diverse stimuli, including faces<sup>8</sup>, bodies<sup>9</sup>, scenes<sup>10</sup>, hands<sup>11</sup>,  
75 letter strings<sup>12</sup>, and food items.<sup>13,14</sup>

76 However, the current body of evidence is insufficient to draw definitive conclusions regarding  
77 category selectivity at the neuronal level in the human OTC. First, prior research has tested a relatively  
78 small number of categories. Additionally, the limited spatiotemporal resolution of fMRI does not allow  
79 to make strong inferences about the underlying neuronal selectivities without a number of  
80 assumptions.<sup>15-17</sup> Thus, to gain a deeper understanding of the neural mechanisms underlying object  
81 processing, single-cell recordings in macaques have been crucial, a model that has been validated by  
82 evidence of a common organization of object space in humans and monkeys.<sup>18</sup>

83 In macaques, neurons in prefrontal and posterior parietal cortex exhibit distinct categorical  
84 representations, indicating their crucial involvement in higher-level visual processing. Conversely, the  
85 inferior temporal cortex (ITC) shows only weak or absent category effects<sup>19-21</sup> (except in face or body  
86 patches<sup>22,23</sup>). However, in humans, an fMRI study<sup>24</sup> manipulated shape type and category  
87 independently, and reported both shape and category sensitivity in lateral and ventral occipitotemporal  
88 cortex, with a gradual progression from more shape-based representations posteriorly to more  
89 category-based representations in more anterior brain regions. Yet again, in the absence of data on  
90 the actual neuronal tuning properties of human visual neurons it is difficult to relate these fMRI findings  
91 on human lateral occipitotemporal cortex to the existing electrophysiological evidence in the macaque  
92 ventral visual stream.

93 To bridge this looming gap between human fMRI and macaque electrophysiology, we  
94 recorded multi-unit activity (MUA) and high-gamma responses in the human LOC using intracortical  
95 microelectrode arrays during the presentation of shapes belonging to different categories, in which the  
96 shape dimension was dissociated from the category dimension as in Bracci et al.<sup>24</sup> We employed a  
97 diverse set of analysis techniques to investigate shape and category representations both at the  
98 individual channel level and at the population level. We found mainly shape-based representations  
99 with a large number of shape-category interactions in individual recording channels. At the population

100 level, the neuronal dissimilarities did not correlate with behavioral category judgments, but linear  
101 decoders could correctly classify category information in every array tested. These results represent  
102 the first detailed study of shape – and category coding at the level of spiking activity in human visual  
103 cortex.

104 **RESULTS**

105 Figure 1A shows the reconstructed anatomical locations of the arrays (Montreal Neurological Institute  
106 (MNI) coordinates in Table 1) and the average normalized net responses of all visually-responsive  
107 channels to the intact versus scrambled stimuli (classic LOC stimuli and naturalistic LOC images). The  
108 significantly stronger responses to intact images of objects compared to scrambled ones demonstrate  
109 that all arrays were located in shape-sensitive cortex, in agreement with Decramer et al.<sup>25</sup> However, it  
110 should be noted that there is diversity in our findings across the four arrays. While the stronger  
111 responses to intact images compared to scrambled ones are observed in most arrays, for array 3, this  
112 statement only holds true for the classic localizer, and in array 1, the selectivity is minor. One possible  
113 reason for this variability is that the localizer stimuli were not optimal for each array. The stimuli  
114 presented during the localizer task may not have fully captured the preferred shapes or specific  
115 categories for each array. Had the arrays been presented with optimal intact and scrambled stimuli  
116 tailored to their specific preferences, the differences in selectivity among the arrays may have been  
117 more pronounced.

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119 **Single – channel responses reveal tuning complexity**

120 We recorded from 237 visually responsive MUA sites (array 1: 51, array 2: 94, array 3: 27,  
121 array 4: 65) and 332 visually responsive LFP sites (high – gamma, 60 – 120 Hz; array 1: 85, array 2:  
122 96, array 3: 86, array 4: 65). First, we determined the selectivity for shape, for category and any  
123 shape-category interactions (Fig 1B) using 2 – way ANOVA on the net MUA and LFP responses (see  
124 Methods). Figure 2 shows the MUA (Fig 2A, B and C) and LFP (Fig 2D, E, and F) responses for six  
125 (three MUA sites and three LFP sites) example channels. The first example channel (recorded in array  
126 2, Figure 2A) responded strongly to several shape types (e.g. shape type 5,6 and 8), but much less to  
127 other shape types (e.g. shape type 7 and 9, main effect of shape  $p_{shape} = 0.0001$ ). The different  
128 categories within each shape type evoked similar responses in this MUA site ( $p_{category} = 0.52$ ,  $p_{interaction} =$   
129 0.65, Supplementary table 1 for details on statistics). The robust shape selectivity and lack of category  
130 selectivity were also evident in the average responses of the LFP example site (recorded in array 2)  
131 (Figure 2D). In contrast, the example site in Figure 2B (recorded in array 3) responded strongly to  
132 certain exemplars of the category ‘animals’ (those from shape types 5 and 6), which represents a  
133 significant shape x category interaction ( $p = 0.0007$ ) with a weak main effect of category ( $p = 0.026$ )  
134 and no significant main effect of shape ( $p = 0.06$ , Supplementary table 1). The shape x category  
135 interaction effect was even more pronounced in the high – gamma example site than in the MUA  
136 example site ( $\eta^2_{MUA} = 0.07$ ,  $\eta^2_{LFP} = 0.19$ , Figure 2E and Supplementary Table 1). Finally, the  
137 example site shown in Figure 2C (from array 2) displayed stronger neural responses to certain  
138 members of a particular shape type (e.g. ‘Fruits’ for shape type 6), which constituted another type of  
139 interaction between shape and category ( $p = 0.000$ ), combined with a main effect of shape ( $p =$

140 0.00002), but no significant effect of category ( $p = 0.46$ , Supplementary table 1). These interactions  
141 could be due to selectivity for the specific exemplar (e.g., the fruit for shape type 6 is a bunch of  
142 grapes), to subtle differences between the members of the same shape or category in their shape and  
143 category properties, or due to variations in other dimensions such as variations in contour or texture.  
144 Overall, these results suggest that while shape selectivity is a dominant feature of the visual  
145 responses in the sites of human occipitotemporal cortex that we sampled, interactions between shape  
146 and category were also observed in a subset of neural sites.

147 To illustrate the shape and category responses of all visually-responsive channels, Figures 3A  
148 and B show an overview of the z-scored responses (see Methods) per array at the MUA and LFP  
149 level, respectively. We ordered the channels from top to bottom based on their selectivity as  
150 determined in the 2-way ANOVA with factors *shape type* and *category*: channels indicated by the blue  
151 bracket showed a main effect of shape type only, channels indicated by the yellow bracket showed a  
152 main effect of category only, and channels with the green bracket showed a significant shape type x  
153 category interaction (sometimes in combination with a main effect of shape type and/or category). The  
154 channels below the green bracket were visually-responsive but did not show any significant effect in  
155 the two-way ANOVA. The order of the columns (from left to right) was determined based on the  
156 average response of all visually-responsive channels across each array separately. The plots ordered  
157 according to shape type (left panels in Figure 3A and B) clearly illustrate that our stimulus set evoked  
158 strong MUA and LFP responses on a large number of recording channels. Additionally, the stimulus  
159 selectivity was relatively broad for all arrays (FigS1) (median  $S_{width}$  MUA:  $s_{array1} = 0.69$ ,  $s_{array2} = 0.62$ ,  
160  $s_{array3} = 0.86$ ,  $s_{array4} = 0.7$ , median  $S_{width}$  LFP:  $s_{array1} = 0.5$ ,  $s_{array2} = 0.52$ ,  $s_{array3} = 0.69$ ,  $s_{array4} = 0.52$ ).

161 Visual inspection does not suggest a clear preference for specific shape types in any of the  
162 arrays. When plotting the responses according to category (right panels in Figure 3A and B), the  
163 results were qualitatively similar, except for the category 'animals' in array 3, which clearly evoked  
164 strong responses to a subset of shape types belonging to this category, as illustrated in the example  
165 channels in Figure 2B and 2D. To investigate the overall shape type or category preference for each  
166 array more quantitatively, we averaged the MUA and high – gamma responses across all visually-  
167 responsive channels (Fig 4A). Arrays 1, 2 and 4 responded significantly less to shape types 7, 8 and 9  
168 (which were characterized by a lower surface area and high aspect ratio), whereas for array 3, the  
169 MUA response to the category 'animals' was significantly higher compared to the other categories  
170 (Fig. 4A). The high-gamma responses ranked according to shape type (Figure 3B left panel) appeared  
171 very similar to the MUA responses, which was supported by the significant correlations between MUA  
172 and high-gamma responses for all arrays (Fig. 4B). When plotted according to category, the high  
173 gamma responses of array 3 contained an even more pronounced preference for the category  
174 'animals' than the MUA responses (Fig. 3B and  $\eta^2$  values in Fig S2B).

175 Further analysis of all individual visually-responsive electrodes (using two-way ANOVA with  
176 factors *shape type* and *category*) confirmed the high diversity of neural tuning for shape type and  
177 category. At the MUA level, the highest number of channels showed a significant interaction between  
178 shape type and category for all arrays (Fig 3C). More specifically, out of the 237 visually responsive  
179 MUA sites, 39 sites (16%) were significantly selective for the shape type dimension alone, merely 8

180 sites (3%) showed a significant main effect of category alone, compared to 114 sites (48%) with  
181 interactions between shape type and category ( $\chi^2 = 143$ ,  $p < 0.0001$ ). At the LFP level, we also  
182 observed mainly shape type selectivity and shape-category interactions, although Array 1 and Array 2  
183 showed more channels with a significant main effect of shape type ( $\chi^2 = 6.8$ ,  $p < 0.0001$ ). In two  
184 arrays, the proportion of significant shape type x category interactions was significantly higher in the  
185 MUA (27 and 63% for array 1 and 2, respectively) compared to the LFP responses (12 and 22% for  
186 array 1 and 2, respectively; array 3 had a similar proportion of interactions in MUA and LFP, and for  
187 array 4 the LFP signal was of low quality).

188 To test the effect sizes for shape type and category, we compared the  $\eta^2$  of all sites with  
189 significant effects (Fig. S2). Overall, the  $\eta^2$  values for shape type were higher than for category in  
190 array 1, 2 and 4, and this difference in  $\eta^2$  was more pronounced for sites displaying a main effect of  
191 shape. Interestingly, in arrays 1, 2, and 4, even for channels with only a significant interaction or with  
192 both significant shape and category main effects,  $\eta^2$  was significantly stronger for shape type  
193 compared to category. However, this was not the case for the shape type x category interaction  
194 channels of array 3, where both shape and category effect sizes were similarly strong.

195

## 196 **Dissimilarity analysis suggests that shape type is the dominant representation in all arrays**

197 The average response across individual channels can exhibit weak category selectivity, but  
198 the categorical structure of the stimulus set may also appear in the pattern of activity distributed across  
199 the entire neuron population.<sup>20</sup> Therefore, we investigated how information about shape type and  
200 category was represented in the multichannel activity patterns. Per pair of stimuli, we correlated the  
201 spatial multi-channel response pattern for each microarray (see Methods). The resulting dissimilarity  
202 matrices (1 – correlation, Figure 5A) were correlated with behavioral dissimilarity matrices for the  
203 shape type and category dimensions as well as with the physical dissimilarity matrix based on the  
204 silhouettes (Figure 5B) by means of Representational Similarity Analysis (RSA).<sup>26</sup> For all microarrays,  
205 the multi-channel analysis revealed significant shape-based and silhouette representations in the MUA  
206 responses, but no significant correlation with the category matrix (Figure 5C and Table 2). At the LFP  
207 level, we observed similar results for array 3 and 4 (Figure S3), but array 1 only correlated significantly  
208 with the silhouette dissimilarity matrix and array 2 only with the shape dissimilarity matrix (Table S2  
209 and Fig S3). Thus, the multichannel response pattern of all 4 arrays in LOC was predominantly shape-  
210 type. Moreover, the neural (MUA) dissimilarity matrices correlated significantly with both the  
211 perceptual and the physical dissimilarities. Interestingly, these population-level analyses suggest no  
212 contribution of category similarity, while the aforementioned single-channel analyses revealed many  
213 sites with an interaction between shape and category tuning.

214 Next, we visualized the representation of the stimuli in the neural spaces of each array using  
215 MDS on the dissimilarity values. The 2D solutions of the MDS are shown in Figure 6. To evaluate the  
216 presence of clustering in each dimension, the stimuli were color coded according to shape type (top  
217 row of Figure 6) and semantic category (bottom row of Figure 6). As an additional step to verify the  
218 existence of shape and/or category clusters within each array, we applied agglomerative hierarchical  
219 cluster analysis (Fig.S5). Shape clustering was evident with both methods in arrays 1, 2, and 4, with

220 aspect ratio as an important factor mainly in array 1 and 2, while the MDS solution color-coded based  
221 on category did not exhibit a clear clustering. Array 3, on the other hand, did not exhibit strong  
222 clustering for the shape dimension, but when color-coded according to category, three exemplars of  
223 the category "animals" (rabbit, owl, and fish) were clearly separated from the other stimuli (see Fig S4  
224 for the LFP results, where a similar observation is made). The hierarchical cluster analysis  
225 corroborated this observation, since a subset of animal exemplars clustered together in the neural  
226 space of Array 3. Overall, these findings are consistent with the shape-based representations we  
227 found in the multivariate correlation analysis, but they also suggest the presence of some additional  
228 category information in array 3.

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### 230 **Linear decoders detect reliably both category and shape information**

231 The MDS analysis offers a representation of the stimuli in a limited number of dimensions in  
232 the neural space of the recorded population, but a decoder can utilize all the multidimensional  
233 information in a population. Moreover, decoding can be performed over time, which can also give  
234 insight into the temporal dynamics of the neural responses. Therefore, we trained linear Support  
235 Vector Machines on the neural responses per array in 100 ms bins (sliding window of 50 ms), and  
236 tested on each time bin of individual trials whether we could correctly classify either the shape type or  
237 the category. Figure 7A illustrates the temporal evolution of the normalized decoding accuracy at the  
238 MUA level (as described in the Methods section) for the two decoders (shape type and category). The  
239 decoding accuracy was normalized by subtracting the chance level accuracy, where the chance level  
240 represents the expected accuracy by random chance. In all 4 arrays, we could reliably decode shape  
241 type starting as early as 75 ms after stimulus onset for array 1, compared to 100 ms for array 2, and  
242 200 ms after stimulus onset for arrays 3 and 4 (Fig 7A). Furthermore, and in line with the previous  
243 analyses, array 3 also showed significant classification of category information, which was  
244 predominantly restricted to the "animals" category (see confusion matrix in Fig 7B). Remarkably  
245 however, despite the presence of primarily shape type representations on the other arrays, we also  
246 obtained significant classification of category on arrays 1, 2 and 4, which emerged almost  
247 simultaneously with the shape type classification. Thus, although neither individual channels nor the  
248 multichannel response pattern appeared to furnish any category information, a population of shape-  
249 selective neurons in human visual cortex contained reliable information about object category (Fig S6  
250 for LFP decoding).

251 To further investigate the predominant association of category information with the "animals"  
252 category, we conducted additional analyses by removing the "animals" category and performing the  
253 decoding again (Fig. S7). The decoding accuracy for arrays 1 and 2 at both the MUA and LFP levels  
254 remained unaffected. However, a noticeable decline in both accuracy and significance was observed  
255 for array 3 at both the MUA and LFP level. These findings were consistent with the observations from  
256 the confusion matrices (Fig. 7B, S6B), emphasizing that the category information was predominantly  
257 restricted to the "animals" category for array 3.

258 Lastly, we assessed the generalization of the decoders over time (Figure 7C). The shape and  
259 category decoders were trained using 100 ms time windows, and then tested on every 100 ms window

260 that followed or preceded the training bin. Each window was then shifted by 50 ms. The decoding  
261 accuracy of array 2 generalized over the entire stimulus duration for both shape type and category,  
262 suggesting a very stationary population representation emerging early after stimulus onset, while  
263 arrays 1, 3 and 4 exhibited a more transient generalization of the classifier. At the high-gamma  
264 frequency range (as depicted in Fig. S6), we observed, on average, highly similar decoding  
265 performance, albeit with lower levels of accuracy.

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## 268 **DISCUSSION**

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270 We recorded selective MUA and LFP responses to images of objects on four microelectrode  
271 arrays in the human Lateral Occipital Complex. Both single-channel and multi-channel analyses  
272 revealed robust encoding of shape type and a very weak representation of category, consistent with  
273 previous electrophysiology studies in nonhuman primates. However, from each neuronal population,  
274 we could reliably classify semantic category using linear decoders, suggesting population-based  
275 category representations in LOC. Furthermore, single-channel analyses revealed that many channels  
276 showed interactions between the shape and category dimension, demonstrating the added value of  
277 single-channel information to reveal the tuning complexity underlying object processing in the human  
278 ventral visual stream.

279 While a large number of studies have been published on shape-sensitive cortex in humans  
280 using fMRI, electrophysiological data on the shape selectivity of human visual neurons remain scarce.  
281 Decramer et al.<sup>25</sup> showed for the first time single-unit and LFP selectivity for images of objects and  
282 line drawings of objects (the LOC classic localizer) in lateral occipitotemporal cortex, including  
283 receptive field estimates (on average 22 deg diameter centered on the fovea) and selectivity for  
284 disparity-defined curved surfaces. A subsequent study<sup>27</sup> reported robust face-selective responses at  
285 short latencies, which also occurred for feature-scrambled and face-like stimuli. In the same study, a  
286 few channels also showed body selectivity in close proximity to the face-selective channels. Compared  
287 to these two previous studies, we recorded from considerably larger populations of neurons across a  
288 more extensive part of the LOC, with a stimulus set in which the dimensions of shape type and  
289 category were orthogonalized. Our data confirm and clarify the abundant shape selectivity in this  
290 region, since on average 62% of the channels were visually responsive, while 67% of those were  
291 significantly stimulus-selective (for shape type and/or category). Note that the average 2D-shape  
292 selectivity index we found (0.72) was comparable to the ones reported in macaque area TE (0.65).<sup>28</sup>  
293 The high incidence of shape selectivity is remarkable given that the use of multielectrode arrays  
294 precluded optimizing the stimulus to each recording site (e.g. position, size) and that each array only  
295 sampled from a 4 by 4 mm area of cortex. On the other hand, chronic multielectrode recordings of  
296 MUA (i.e. large and small action potentials) may furnish a more unbiased sampling of neuronal activity  
297 in the recording area, which is crucial for relating our findings with invasive recordings to fMRI results.

298 We used the same stimulus set and analyses as in the event-related fMRI study of Bracci et  
299 al.,<sup>24</sup> who reported a transition from shape to category-based representations along the posterior to

300 anterior direction in the ventral visual stream. While the early visual areas provide a purely shape-  
301 based representation correlating with the physical similarities between the stimuli, and the higher-level  
302 areas (in prefrontal and parietal cortex) provide a more category-based representation, several  
303 intermediate regions in or near the LOC represented both shape- and category information. Here, we  
304 not only could confirm the fMRI results, but also clarify the underlying neuronal selectivity of these  
305 combined shape/category representations. We mainly observed significant interactions between  
306 shape type and category on individual channels of every array. These interactions occurred in two  
307 types. The first type of shape-category interactions were responses to a small number of exemplars of  
308 a single category, as in array 3. However, on the other arrays we found channels in which the shape  
309 type preference differed between the categories tested, most likely due to a selectivity for small shape  
310 or texture differences between the members of a given shape type. These interactions remain  
311 unnoticed in population-level analyses such as fMRI. Furthermore, the interactions were less prevalent  
312 with LFPs than with MUAs, suggesting that measurements of smaller populations of neurons are  
313 more likely to detect such interactions.

314 Array 3 demonstrated a clear preference for animal images compared to other objects.  
315 Considering this observation and its more dorsal positioning, it is highly likely that Array 3 was located  
316 within the region commonly referred to as LOTC - body in fMRI studies. The preference for animals on  
317 array 3 was the only category-like (i.e. responding to certain exemplars of one category)  
318 representation that was visible at the level of individual channels, whereas individual channels of all  
319 other arrays at most showed interactions of the category dimension with shape type. Intriguingly, even  
320 multi-channel analyses (dissimilarity analysis or hierarchical clustering) suggested that shape type was  
321 the dominant factor in every array. The lack of an explicit category representation (in arrays 1, 2 and 4)  
322 is entirely in line with a previous single-cell study in the macaque inferotemporal cortex.<sup>19,29</sup>

323 In contrast, a linear SVM analysis could reliably extract category information from the  
324 population responses of every array. Conceptually, our decoding analysis was equivalent to Multivoxel  
325 Pattern Analysis (MVPA),<sup>30,31</sup> with a limited number of responsive channels (spaced 400 micron  
326 apart) being equivalent to the visually-active voxels in the fMRI. Thus, in the high-dimensional space of  
327 our LOC arrays (with up to 94 responsive channels), we could extract category information even when  
328 no individual channel appeared to code these categories. These results are again in line with previous  
329 findings in macaque monkeys, showing that category information can be reliably (and to a similar level  
330 as in prefrontal cortex) decoded from the activity of a population of ITC neurons despite the lack of  
331 explicit category coding in individual neurons.<sup>32</sup>

332 Our findings provide evidence that both shape and category representations are present in the  
333 human Lateral Occipital cortex, with the SVM approach revealing category-level information which was  
334 not apparent using RSA, MDS, or hierarchical clustering for all arrays. Specifically, the RSA analysis  
335 demonstrated that the neural representations in the Lateral Occipital cortex were primarily driven by  
336 shape and low-level pixel-wise similarities, indicating that the neural responses were more sensitive to  
337 the shape of the stimuli. This discrepancy between methods may be due to the fact that the SVM is  
338 more sensitive to subtle differences in patterns of neural activity than these other techniques, allowing  
339 it to decode information that is not detectable through measures of representational similarity. These

340 observations match well with the findings from the single-channel analyses, since many channels were  
341 tuned to both shape and category in an interactive manner. One such channel would not suffice to  
342 decode category, but multiple channels with different interactions would, in the same way as  
343 viewpoint-invariant recognition can be obtained by sampling multiple view-tuned neurons.<sup>33</sup> Likewise,  
344 the SVM might use a combination of channels that show interactions between shape and category to  
345 make a reliable distinction between categories. In contrast, RSA can reveal the structure of the neural  
346 representations of stimuli, which can provide insight into how the brain processes and categorizes  
347 different types of information. Note however that a single 4 by 4 mm array samples neural activity from  
348 a small cortical region (equivalent to 4 fMRI voxels in most fMRI studies), which may at best represent  
349 a single category (such as 'animals' in array 3). In contrast, RSA is typically performed on a very large  
350 number of voxels or on behavioral ratings, which encompass all categories in the stimulus set. The  
351 limited spatial sampling area of an array may explain why we did not observe a significant correlation  
352 with the category dissimilarity matrix in array 3.

353 Together, these findings highlight the complexity of neural mechanisms underlying object  
354 processing and the importance of using multiple techniques to uncover these representations. While  
355 the population as a whole showed strong shape tuning and only very limited category selectivity, we  
356 found a large neuronal diversity and distinct interactions between shape and category at the single-  
357 channel level in human LOC. The broader relevance of this diversity in tuning was demonstrated by  
358 the ability of classifiers to decode not only shape but also category.

359

## 360 **METHODS**

361 Data were collected from three patients (patient 1, 24-y-old male ; patient 2, 54-y-old woman ; patient  
362 3, 58-y-old woman) with intracranial depth electrodes as part of their presurgical evaluation for drug –  
363 resistant focal epilepsy. Patient 2 was diagnosed with Neurofibromatosis type 1, without any  
364 intracranial tumors. At the age of 34, she suffered from a left occipital intracranial hemorrhage due to  
365 venous sinus thrombosis. Ethical approval was obtained for microelectrode recordings with the Utah  
366 array in patients with epilepsy (study number s53126). Study protocol s53126 was approved by the  
367 local ethical committee (Ethische Commissie Onderzoek UZ/KU Leuven) and was conducted in  
368 compliance with the principles of the Declaration of Helsinki, the principles of good clinical practice,  
369 and in accordance with all applicable regulatory requirements. All human data were encrypted and  
370 stored at the University Hospitals Leuven.

371

### 372 **Patients:**

373 Three patients were implanted with microelectrode arrays (Utah array) for research purposes to study  
374 the microscale dynamics of the epileptic network in the presurgical evaluation ("Microscale Dynamics  
375 of Epileptic Networks: Insights from Multiunit Activity analysis in neurosurgical patients with refractory  
376 epilepsy", Bougou et al., EANS 2023, Barcelona). No additional incisions were made for the purpose

377 of the study. Utah arrays were located in the occipital cortex adjacent to the clinical macroelectrodes,  
378 analogous to previous studies using micro-electrode arrays.<sup>25,27,34-36</sup> Target locations of intracranial  
379 electrodes were determined by the epileptologist and based on electroclinical findings and non-  
380 invasive multimodal imaging.

381 In all three patients the array was deemed outside the presumed epileptogenic zone (PEZ) after  
382 analysis of the intracranial EEG. In patient 1, the array (array 1) was located below the lateral occipital  
383 sulcus (LOS), whereas the array was above LOS in patient 2 (array 2). In patient 3, one array was  
384 above (array 3) and the other below LOS (array 4) (MNI coordinates of the arrays are provided in  
385 Table 1).

386

### 387 **Microelectrode recordings**

388 We used 96 – channel microelectrode arrays (4 x 4 mm; electrode spacing of 400 microns; Blackrock  
389 Microsystems, UT) in all patients. The arrays were inserted with a pneumatic inserter wand (Blackrock  
390 Neurotech). Dura was closed above the array and the bone flap was placed on top to keep the array in  
391 place. Reference wires were placed subdural, ground wires epidural. The signal was digitally  
392 amplified by a Cereplex M head stage (Blackrock Neurotech), and recorded with a 128 – channel  
393 neural signal processor (NeuroPort system, Blackrock Neurotech, Salt Lake City, UT, USA). In each  
394 recording session, multi – unit activity (MUA) from all 96 channels was sampled at 30 kHz, and high-  
395 pass filtered above 750 Hz. The detection trigger of the MUA was set at the edge of the noise band.  
396 The LFP signals were recorded continuously with a sampling frequency of 1000 Hz. All patients stayed  
397 at the hospital for 14 days after implantation, but the data reported here was acquired in 1 recording  
398 session per array.

### 399 **Stimulus presentation:**

400 Experiments were performed in a dimmed hospital room. We presented stimuli on a 60 Hz DELL-  
401 P2418HZM LED monitor using custom-built software. The patients fixated a small red square (0.2 x  
402 0.2°) appearing in the center of the display at a viewing distance of 60 cm (pixel size 0.026 deg). The  
403 left or right pupil position was continuously monitored using a dedicated eye tracker (Eyelink 1000  
404 Plus, 1000 Hz) in head free mode. Breaking fixation from an electronically defined 3° by 3° fixation  
405 window resulted in trial abortion. The experiment was controlled using Presentation software  
406 (Neurobehavioral Systems, Berkeley, CA, USA). For data synchronization, we attached a photodiode  
407 to the left upper corner of the screen, detecting a white square that appeared simultaneously with the  
408 first frame of the stimulus; this 'photocell' was invisible to the patients. Patients performed either a  
409 passive fixation task (patient 1) or a variant of the same passive fixation with a distractor (patient 2,  
410 patient 3) (in which the patients were asked to press a button with their right hand whenever a  
411 distractor (red or green cross) appeared at the fixation point, randomly in approximately 2% of the  
412 trials).

413

414 **Stimuli:**  
415 We first screened for visual responsiveness in the MUA using images of objects and line drawings of  
416 objects (LOC classic stimulus set) presented at the center of the screen and at several positions in  
417 both hemifields. For each channel, we quantified the strength of the response at the different stimulus  
418 positions. This allowed us to determine the optimal position in the visual field per channel. To account  
419 for the variability in the receptive fields of individual channels, we presented the stimuli at the fixation  
420 point. The fixation point included the average receptive field of the MUA for each array. Therefore,  
421 stimulus position was not optimized for each individual channel. This approach allowed us to capture a  
422 broader representation of the neural activity across the array.

423 **LOC localizer – Classic:** This stimulus set consisted of intact and scrambled grayscale images of  
424 objects and line drawings of objects <sup>4,25</sup> (Fig. 1). After a fixation period of 300 ms, each stimulus was  
425 presented for 800 ms, 500 ms, and 250 ms for arrays 1, 2, and 3 & 4 respectively, followed by an  
426 interstimulus interval of 100 ms for arrays 1 and 2 and 150 ms for arrays 3 and 4.

427 **LOC localizer – Naturalistic:** This stimulus set consisted of intact and scrambled colored and  
428 grayscale naturalistic images (Fig. 1), which were presented for 500 ms followed by an interstimulus  
429 interval of 100 ms.

430 **Shape - category stimuli:** A stimulus set of 54 images in which shape and category were  
431 dissociated. <sup>24</sup> This stimulus set contained 6 object categories (minerals, animals, fruit/vegetables,  
432 musical instruments, sport articles and tools) where each category included 9 grayscale images with  
433 unique shape properties (shape type). Therefore, the category and shape dimensions were orthogonal  
434 since every category contained one stimulus from each of the nine shapes and every shape contained  
435 one stimulus from each of the six categories. After a fixation period of 300 ms, individual stimuli were  
436 presented for 800 ms (array 1) or 500 ms (arrays 2, 3, 4), followed by an interstimulus interval of 100  
437 ms.

438

### 439 **Data preprocessing**

440 We analyzed all data using custom-written MATLAB R2020b (MathWorks, Natick, MA, USA) scripts  
441 and the EEGLAB toolbox. <sup>37</sup>

442 **MUA:** We calculated net average MUA responses (in 50 ms bins) by subtracting the baseline activity  
443 (-300 to 0 ms before stimulus onset) from the epoch (50-350 ms after stimulus onset) in each trial ( $r_i$ ).  
444

445 **LFP:** To remove line noise, data were filtered with a combined spectral and spatial filter <sup>38</sup> which can  
446 eliminate artifacts while minimizing the deleterious effects on non-artifact components. A zero – phase  
447 Finite Impulse Response (FIR) bandpass filter between 2 Hz and 300 Hz was then applied to the data.  
448 Trials of which the broadband activity deviated more than twice the standard deviation were discarded.  
449 The LFP power was analyzed in the high – gamma band (60 – 120 Hz). For every trial, the time –  
450 frequency power spectrum was calculated using Morlet's wavelet analysis <sup>39,40</sup> with a resolution of 7

451 cycles. The first and last 100 ms of each trial were discarded to remove any filter artifacts. Power was  
452 normalized per trial by dividing the power per frequency by the power for this frequency averaged over  
453 time in the 300 ms baseline interval before stimulus onset.

454

455 **Visually responsive sites:**

456 We acquired at least 10 correct trials per stimulus (ranging from 10 to 19 trials). To detect visually  
457 responsive MUA channels in the shape-category test, we compared the average activity across time  
458 during the baseline period (– 300 to 0 ms before stimulus onset) with the average activity in a 200 ms  
459 interval after stimulus onset using a 1-way ANOVA. Because the response latency differed markedly  
460 between the four arrays, we chose different time intervals post stimulus onset for each array: array 1:  
461 25 – 225 ms, array 2: 75 – 275 ms, array 3: 125 – 325 ms, array 4: 125 – 325 ms. Channels with a  
462 significant increase in activity (p – value lower than 0.05 divided by the number of channels to correct  
463 for multiple comparisons) were considered visually responsive. For the high – gamma responses, due  
464 to lower Signal to Noise Ratio, we performed the 1 – way Anova between the baseline and the post –  
465 stimulus interval only for the two most preferred conditions per channel. We determined the preferred  
466 condition for each channel, by averaging the post – stimulus per condition, sorting them in a  
467 descending order, and selecting the first two conditions with the strongest responses..

468 **MUA normalization for LOC localizer:**

469 For comparison with Decramer et al., <sup>25</sup> the MUA responses to the LOC localizer stimuli were  
470 normalized according to their peak values. More specifically we first averaged the net responses  
471 across “intact” stimulus trials and found the peak value per channel. Then, the responses per channel  
472 for both “intact” and “scrambled” stimuli were divided by the corresponding peak value.

473

474 **Z – score normalization for shape – category stimuli:**

475 To visualize the MUA and high-gamma responses, we employed z-score normalization by averaging  
476 the MUA activity across the post-stimulus interval and across trials, i.e., for each channel and for each  
477 stimulus separately. Subsequently, we performed a per-channel normalization of these averaged  
478 responses such that the mean and standard deviation across the 54 different stimuli was 0 and 1,  
479 respectively. The MUA and high-gamma normalized responses were plotted (color – coded according  
480 to the z - score) following first the order of the mean responses for the shapes and then for the  
481 categories (orange square).

482

483 **Statistics:**

484 To assess the MUA and high – gamma selectivity for intact vs scrambled images in the LOC localizer  
485 stimuli for each array, we calculated one-way ANOVAs on the normalized MUA responses across all  
486 visually-responsive channels of each array. For the shape – category test, a 2 – way ANOVA with  
487 factors category and shape was performed per channel. For all factors that reached significance, we  
488 used Tukey’s test with 95 % confidence interval to correct for multiple comparisons. To evaluate the  
489 size of the effects we calculated the  $\eta^2$ .

490

491 **Selectivity – index:**

492 We calculated the selectivity index to evaluate how strongly each channel responds to a preferred  
493 stimulus compared to non – preferred stimuli. This measure provides a quantitative measure of the  
494 degree to which a channel is tuned to a specific stimulus. It is defined as:  $(n - \sum r_i / max) / (n - 1)$ ,  
495 where  $n$  is the number of individual stimuli (54),  $r_i$  is the mean net response of one channel to stimulus  
496  $i$ , and  $max$  is the largest mean net response.<sup>28,41</sup>

497

498 **Behavioral and physical similarity:**

499 We used the similarity judgements for the shape and category dimensions rated by a group of  
500 participants in Bracci et al.<sup>24</sup> to construct shape and semantic category models by means of  
501 behavioral shape and category dissimilarity matrices. Additionally, similar to Bracci et al.,<sup>24</sup> and Op de  
502 Beeck et al.<sup>42</sup> pixelwise similarities among images were computed in order to construct the physical  
503 dissimilarity matrix and evaluate the image low – level shape properties / image silhouette.

504

505 **Correlation multivariate analysis:**

506 A correlation multivariate analysis was used to analyze whether the multichannel activity pattern per  
507 array was category-based or shape-based.<sup>24,43</sup> For each visually responsive channel and each  
508 stimulus, the averaged net activity ( $r_i$ , at the MUA level) and the normalized gamma power (at the LFP  
509 level) across time after stimulus onset were extracted. The full dataset was then randomly divided into  
510 two random and non-overlapping subsets of trials; A and B, which was repeated in 100 iterations to  
511 get a measure of variability. For each iteration, the multichannel activity pattern associated with each  
512 stimulus in set A was correlated with all the multichannel activity patterns of each stimulus in the set B.  
513 Then, the resulting correlation coefficients for each stimulus-pair were averaged across iterations, in  
514 order to extract a 54 x 54 correlation matrix for each microarray. Finally, the resulting neural matrices  
515 were converted into dissimilarity matrices (1 – correlation) and were correlated with the behavioral  
516 dissimilarity matrices for the shape and category dimensions (Pearson r). As described in Op de  
517 Beeck et al.,<sup>42</sup> permutation statistics were used to determine the significance of the entry-wise  
518 correlations between vectorized dissimilarity matrices across the corresponding entries of both  
519 vectors. Thus, we used a permutation test ( $n = 1000$ ) to calculate the Spearman's correlation  
520 coefficient between the neural dissimilarity matrices and the behavioral dissimilarity matrices for shape  
521 and semantic category (Representational Similarity Analysis – RSA).<sup>26</sup> For comparison, we also  
522 correlated the neural dissimilarity matrices with the physical dissimilarity matrices.

523

524 **Multidimensional Scaling (MDS):**

525 MDS was used to visualize the neural similarity structure per array by reducing the multi-channel  
526 activity patterns corresponding to each stimulus into a lower – dimensional space, while preserving  
527 similarities or distances between them. We used the Matlab function "mdscale" which performs  
528 nonmetric multidimensional scaling by transforming monotonically all the dissimilarities in the matrix  
529 and approximating corresponding Euclidean distances between the output points. We evaluated the  
530 goodness of fit for 1 until 10 dimensions by measuring the difference between the observed

531 dissimilarity matrix and the estimated one (stress value). We used the 2 – dimensional solution (even  
532 with poor goodness – of – fit) to visualize the level of similarity of individual stimuli.

533

534 **Agglomerative hierarchical cluster analysis:**

535 We used agglomerative cluster analysis on the neural dissimilarity matrices, to identify whether the  
536 neural responses to different shapes and/ or categories in each array cluster together in meaningful  
537 ways. This involved treating each observation as a separate cluster and iteratively merging clusters  
538 based on their similarity until the stopping criterion was met (maximum 10 clusters were allowed). The  
539 analysis was performed using the MATLAB function “linkage”, with the nearest distance default  
540 method.

541

542 **Linear decoding:**

543 To further investigate the multichannel responses we applied a linear Support Vector Machine (SVM)  
544 to classify sample vectors of which the entries consist of the per-channel net activity (at the MUA level)  
545 or the gamma power (at the LFP level) averaged over a time window of 100 ms. We focused on  
546 visually responsive channels (net multiunit activity (MUA) and normalized high gamma). To explore the  
547 dynamics of decoding accuracy, we applied a sliding window approach with a 100ms duration, shifting  
548 it in 50ms steps across the trial duration. Before training and testing the decoder, we performed z-  
549 score normalization on the data. The multiclass decoder was trained separately for each time –  
550 window, to find the hyperplane that separates the data from either the 9 individual shapes, or the 6  
551 individual semantic categories. To prevent data leakage across trials, a cross-validation scheme was  
552 employed, dividing the dataset into 10 folds.<sup>44</sup> The training and testing phases were strictly  
553 independent, ensuring that the model's performance was evaluated on unseen data. Class labels of  
554 testing trials were excluded during training to ensure unbiased prediction. To assess the significance  
555 of the decoding accuracy, a paired t-test was performed, comparing the observed accuracy against  
556 the null hypothesis of random chance. We considered a decoding accuracy as significant if it  
557 exceeded the threshold of  $p < 0.05$ . To evaluate whether the SVM decoder generalized over time, we  
558 first allocate entire trials to the train and test set, we trained a decoder for each window shift and then  
559 tested on the activity across all other time windows for the duration of the whole trial.

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572 **Data availability**

573 The datasets generated during and/or analysed during the current study are available from the  
574 corresponding author on reasonable request.

575 **Acknowledgements:**

576 We are indebted to all patients who participated in this study. We thank Stijn Verstraeten, and Anaïs  
577 Van Hoylandt for technical assistance.

578 This work was supported by Fonds Wetenschappelijk Onderzoek (FWO) grant G.0B6422N, KU  
579 Leuven grants C14/18/100 and C14/22/134, and HBP SGA3 945539. T.T. is supported by FWO  
580 (senior clinical researcher; FWO 1830717N).

581 **Author contributions**

582 H.O.D.B. conceived and designed the experiment. T.T. planned and performed arrays placement  
583 surgery. M.V. performed the recordings and was responsible for all clinical trial related activities. V.B  
584 performed the data analysis and wrote the manuscript. T.T. and P.J. supervised and guided the study.  
585 W.V.P. selected the patients, and performed the presurgical planning of placement of electrodes. All  
586 authors reviewed and edited the manuscript.

587 **Declaration of Interests**

588 The authors declare no competing interests.

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600 **Figure legends**

601 **Figure 1: Methods. A)** Microarray recording locations plotted on a common brain, with a different  
602 number for each array. Lineplots of average normalized multi - unit activity of all visually responsive  
603 channels per array for intact (purple) and scrambled (orange) objects for the LOC – Naturalistic  
604 images (left plots) and the LOC – classic images (right plots). The stars indicate the significant ( $p <$   
605 0.05) difference between the intact and scrambled object responses. **B)** Experimental stimuli for the  
606 shape – category experiment.<sup>24</sup> The stimulus set consists of 6 object categories (rows) and 9 shape  
607 types (columns); 54 unique images in total. The pixelwise overlap is shown in the last row and last  
608 column and corresponds to the sum of all images from each shape type and each category type  
609 respectively.

610

611 **Figure 2: Example sites.** Example sites for MUA (A, B, C) and LFP high-gamma (D, E, F)  
612 responses. For each channel the height of the bar indicates the average net MUA across time  
613 (channel 1: 75 – 275 ms after stimulus onset, channel 2: 125 – 325 ms after stimulus onset) for each  
614 of the 54 stimuli, or the average normalized high – gamma activity (channel 1: 25 – 225 ms after  
615 stimulus onset, channel 2: 125 – 325 ms after stimulus onset). The different colors correspond to the 6  
616 different semantic categories and the different columns to the 9 individual shape types. The error bars  
617 indicate the standard error across trials. The line plots below the bar plots show the responses over  
618 time, averaged across each shape type (left) and each category (right). The width of the line indicates  
619 the standard error across trials.

620

621 **Figure 3: Overview of responses for all visually responsive sites. A)** Net z-scored MUA  
622 responses averaged over time (after stimulus onset) and ordered per array for all visually responsive  
623 sites. The numbers indicate the shape group and the letters the semantic category. The channels  
624 were ordered according to their selectivity which is indicated by the brackets (blue: significant shape  
625 main effect, orange: significant category main effect, green: significant interaction between shape and  
626 category). **B)** Same plots as in A, but for the normalized high – gamma power. **C)** Summary of the  
627 results of the 2 – way ANOVA (upper plots MUA, lower plots LFP; blue: array 1, green: array 2; yellow:  
628 array 3; purple: array 4) The first column shows the percentage of visually responsive channels. The  
629 second, third, and fourth columns show the percentage of the visually responsive channels that have a  
630 significant effect of shape type, of category, and interactions respectively.

631 **Figure 4: Overview of average responses.** **A)** Average MUA (upper panel) and high - gamma (lower  
632 panel) both across visually responsive channels and within the category (orange bars) and shape  
633 (blue bars) dimensions. The height of each bar represents the mean response, while the error bar  
634 indicates the standard error across channels. Brackets indicate significant differences between shape  
635 members or semantic categories. **B)** Spearman correlation between the MUA and the high – gamma  
636 average (across visually responsive channels) responses.

637

638 **Figure 5: Dissimilarity analysis for MUA.** **A)** Neural dissimilarity matrices for all arrays based on the  
639 MUA responses. **B)** Dissimilarity matrices for the shape and category dimensions as rated  
640 behaviorally and for the silhouette as calculated from the pixel – wise overlap between stimuli. **C)**  
641 Results of RSA for category – similarity (orange), shape – similarity (blue), and silhouette – similarity  
642 (grey). The asterisks indicate the significance of the correlation.

643

644 **Figure 6: Multidimensional scaling for the MUA neural dissimilarity matrices.** MDS performed on  
645 MUA neural dissimilarity matrices shows pairwise distances in a 2D space for each array. The 2D  
646 arrangements are color – coded first according to the 9 different shape – types (upper panel), and  
647 then according to the 6 different semantic categories (lower panel).

648

649 **Figure 7: Linear decoding of the MUA responses.** **A)** Temporal evolution of the SVM normalized  
650 decoding accuracy for the shape (blue) and the category (orange) dimension at the MUA level. The  
651 shaded region around the line represents the standard error across the cross validations. The  
652 asterisks indicate the significance of the accuracy. **B)** Confusion matrices are illustrating the  
653 performance of the decoding per class for the shape (upper panel) and the category (lower panel)  
654 dimension for a specific time – window (arrays 1,2: 75 -275 ms, array 3: 175 – 275 ms, array 4: 125 –  
655 225 ms) at the MUA level. The classification performance of array 3 for the category dimension is  
656 predominantly restricted to the "animals" category. **C)** Generalization of the decoders over time for the  
657 shape (upper panel) and the category (lower panel) dimension. The y – axis corresponds to the TRAIN  
658 time window, the x – axis to the TEST time – window and the colors to the accuracy level of the  
659 decoding.

660

661 **Tables:**

662 **Table 1:**

663

ARRAYS	X	Y	Z
1	42	-76	-1
2	-35	-89	-8
3	-41	-83	9

4 -38 -84 -5

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673 **Table 2:**

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ARRAYS	Category	Shape	Silhouette
1	Rho = 0.02, p = 0.27	Rho = 0.1, p = 0.00	Rho = 0.15, p = 0.00
2	Rho = 0.02, p = 0.27	Rho = 0.11, p = 0.00	Rho = 0.10, p = 0.00
3	Rho = 0.002, p = 0.45	Rho = 0.2, p = 0.00	Rho = 0.18, p = 0.00
4	Rho = 0.03, p = 0.16	Rho = 0.18, p = 0.00	Rho = 0.17, p = 0.00

675

676 **Table 1: MNI coordinates of Utah arrays**

677

678 **Table 2: Results of Representational Similarity Analysis (RSA) conducted on the MUA neural**  
679 **dissimilarity matrices.** The following key measures are reported: Rho (Pearson Correlation): Rho  
680 represents the Pearson correlation coefficient, quantifying the similarity between the neural  
681 dissimilarity matrices and the behavioral dissimilarity matrices ; p: The p-value associated with the  
682 correlation coefficient, indicating the level of statistical significance.

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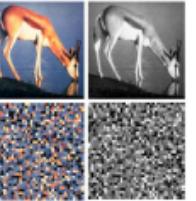
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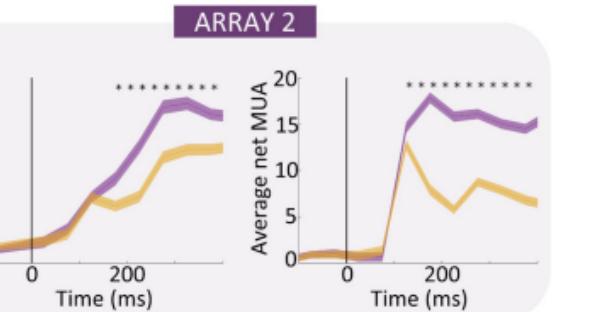
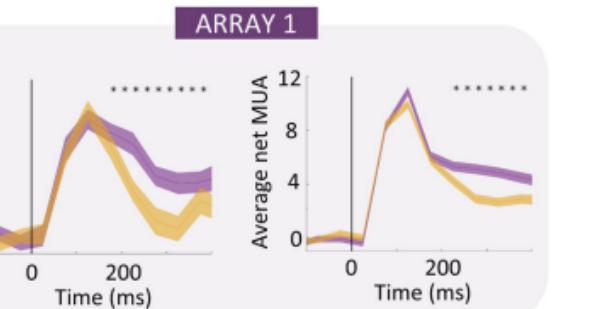
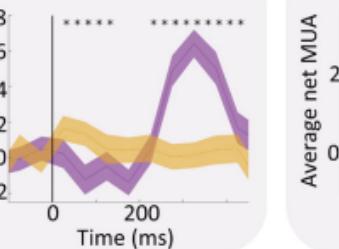
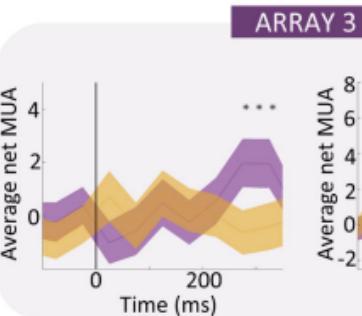
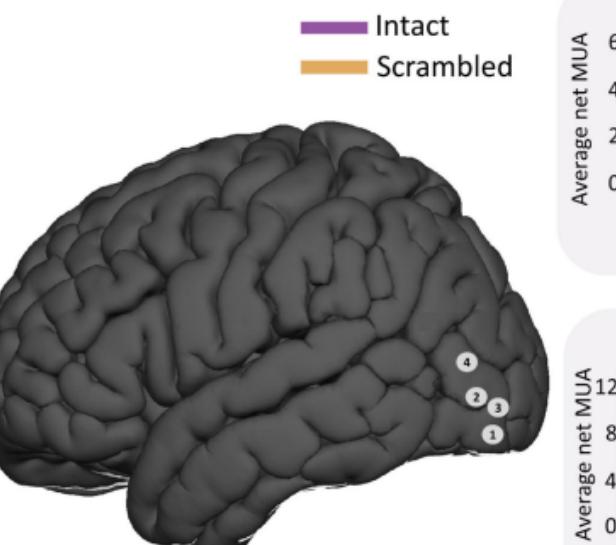
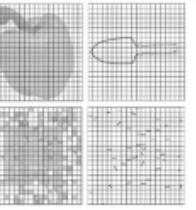


**A.**

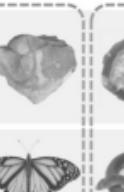
LOC – Naturalistic images (left):



LOC – Classic (right):

**B.**

Minerals



Animals



Fruit/Veg



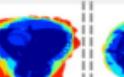
Music



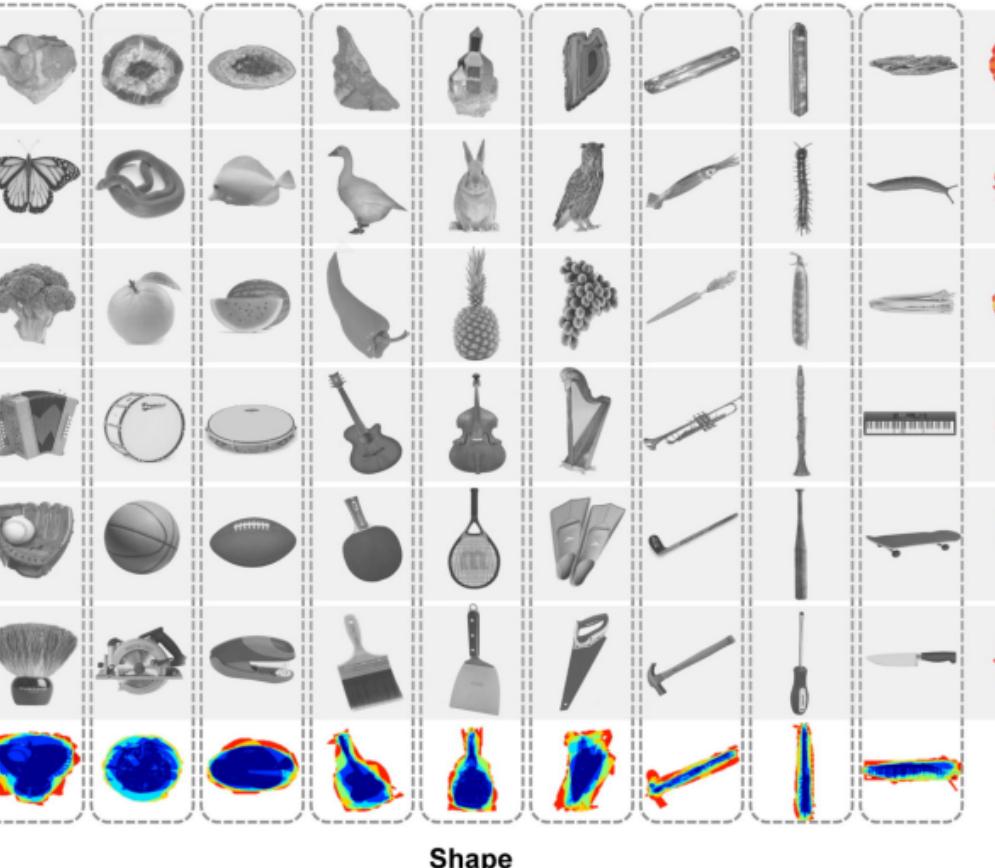
Sport



Tools



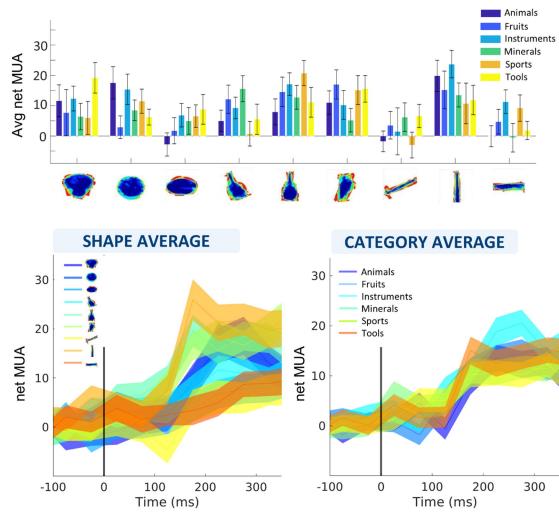
Shape



A.

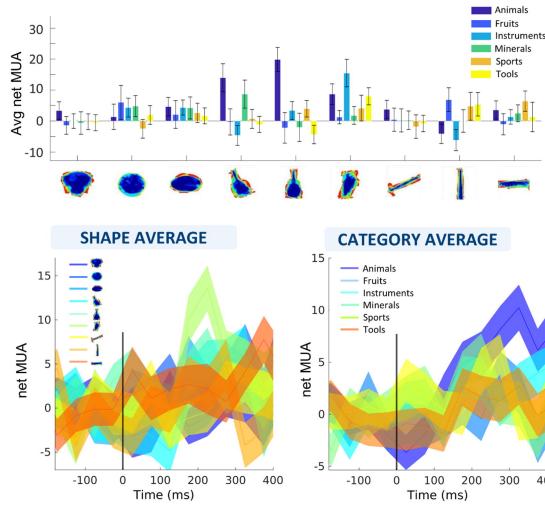
## Multiunit Activity (MUA):

### Main effect of Shape:



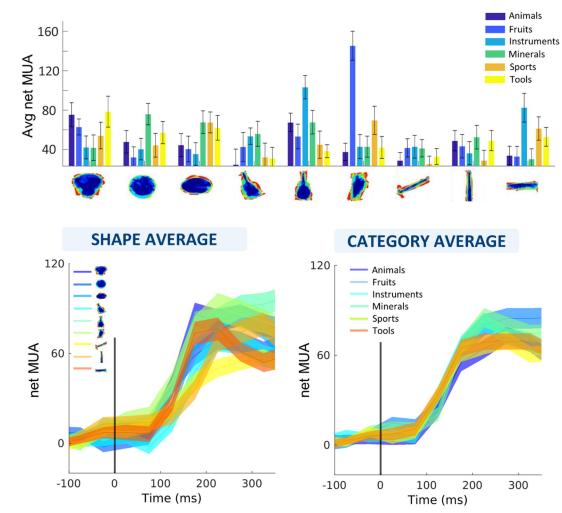
B.

### Main effect of Category & Interaction:



C.

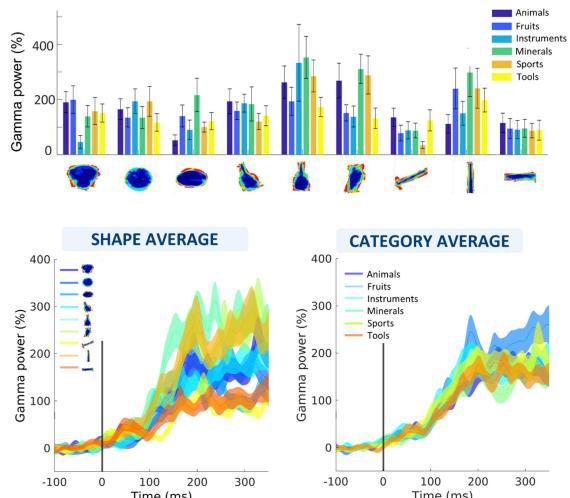
### Main effect of Shape & Interaction:



D.

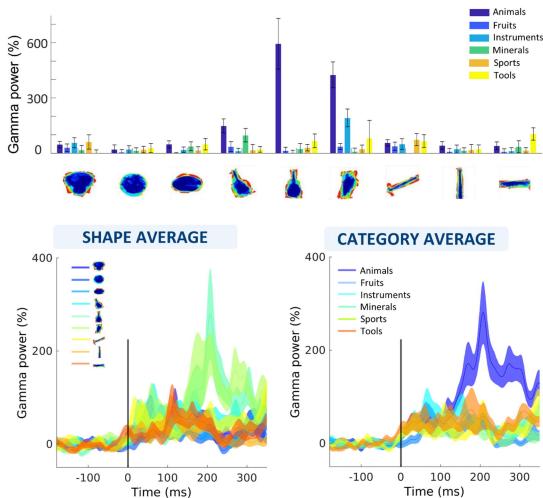
## Local Field Potential (LFP):

### Main effect of Shape:



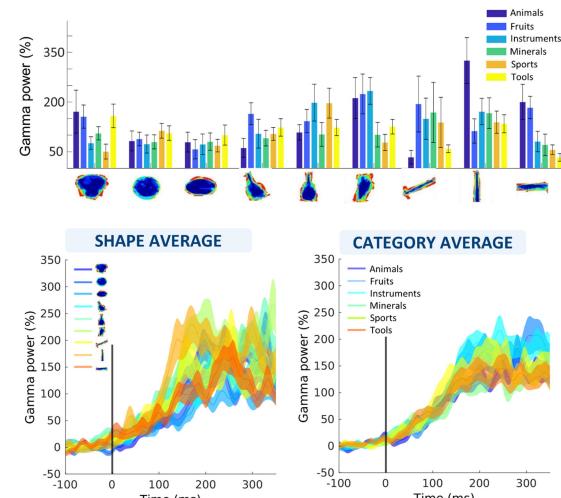
E.

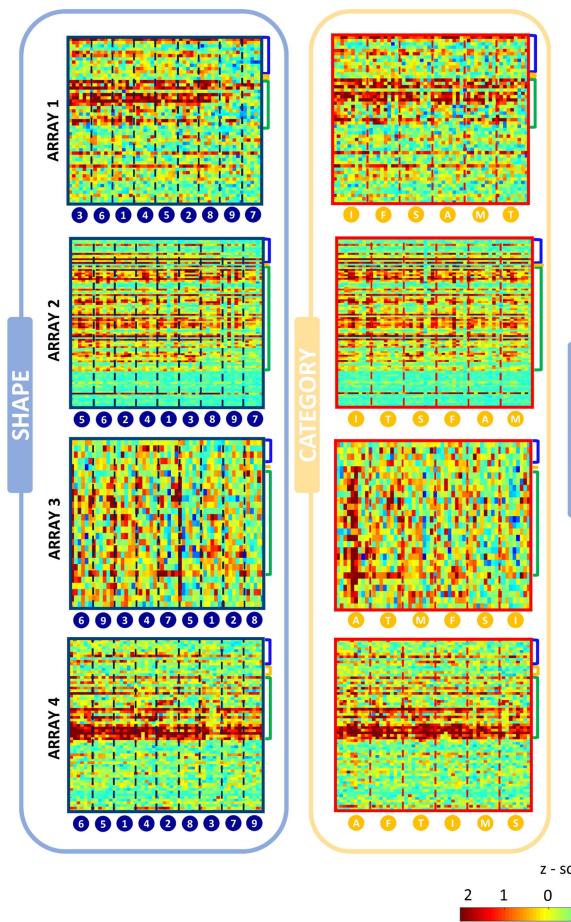
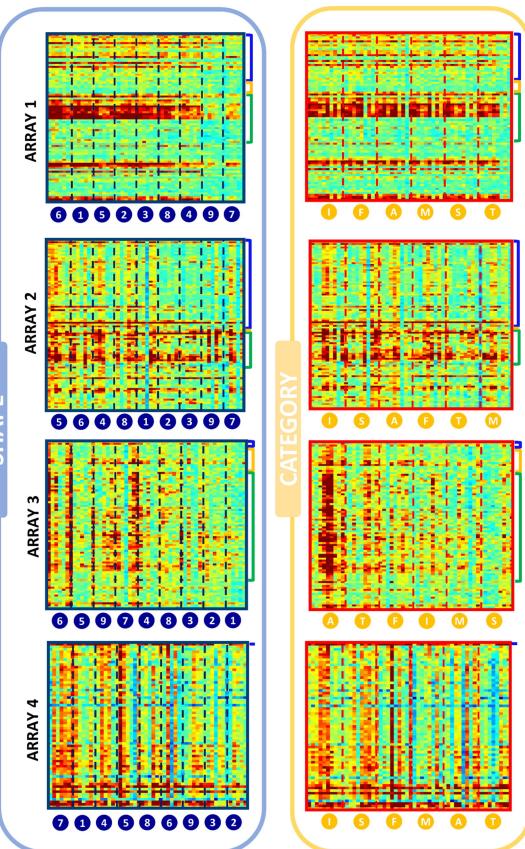
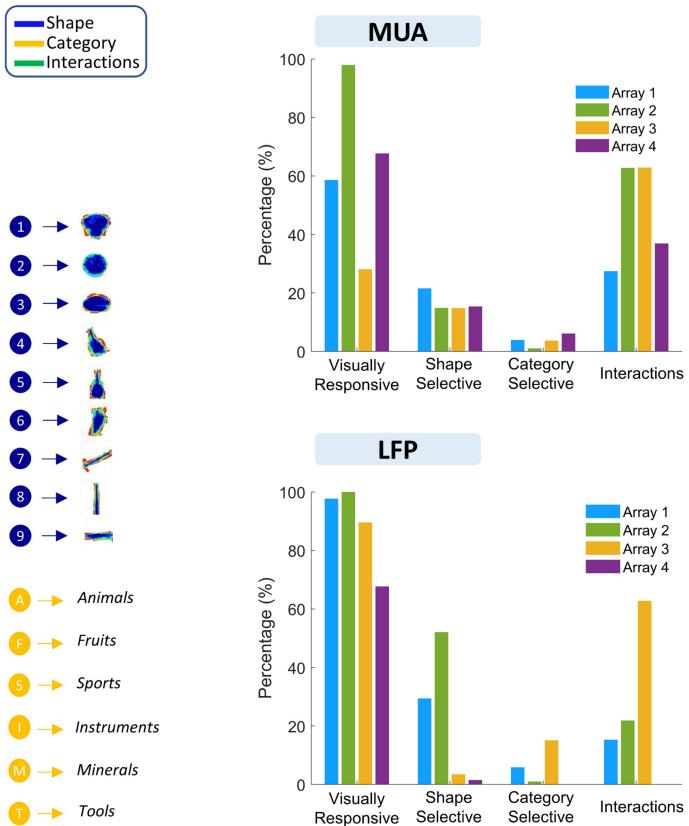
### Main effect of Category & Interaction:



F.

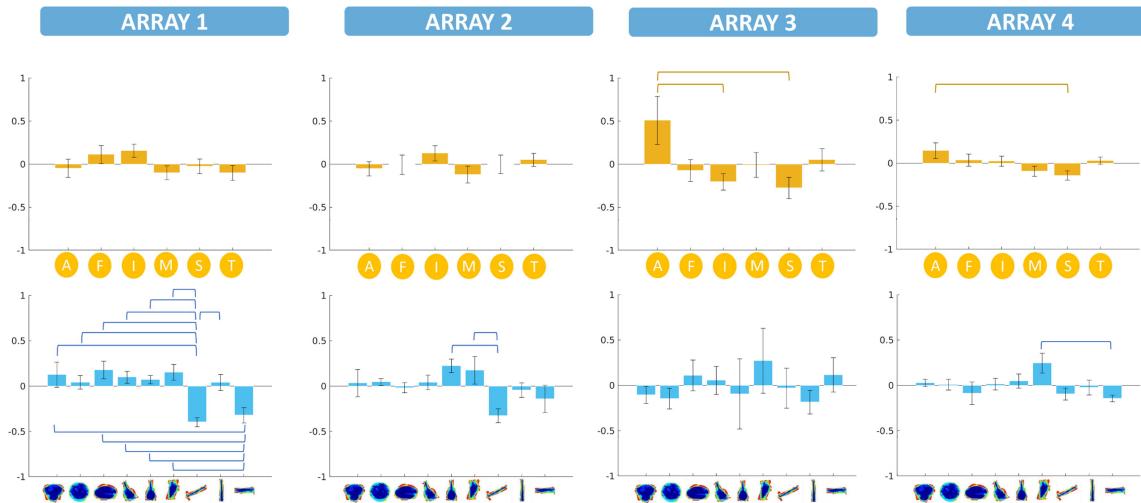
### Main effect of Shape & Interaction:



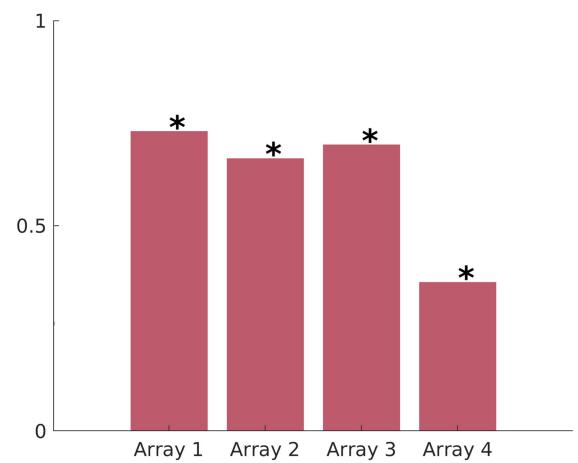
**A.****B.****C.**

A.

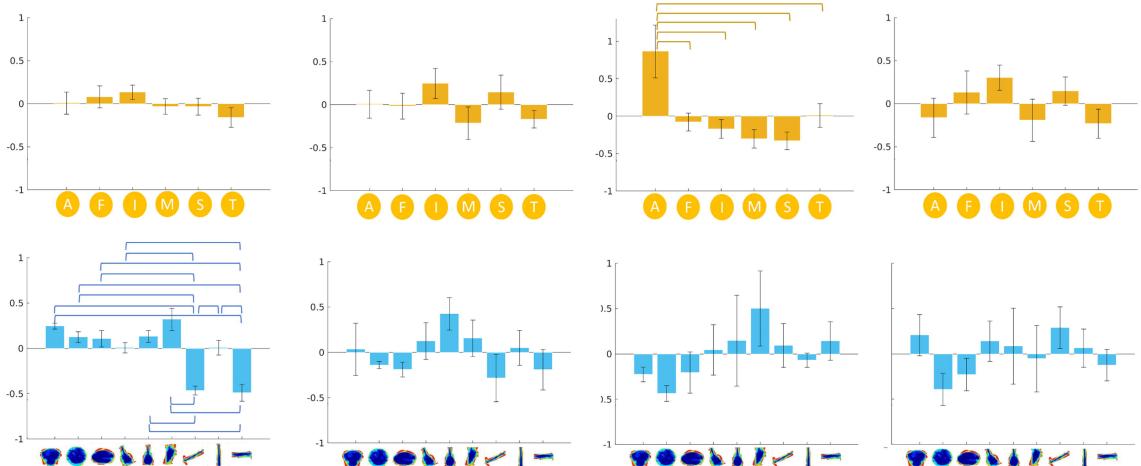
MUA



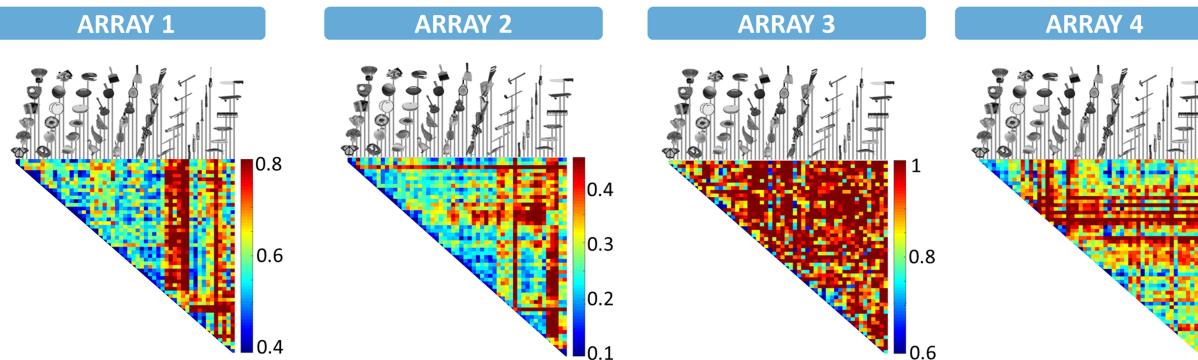
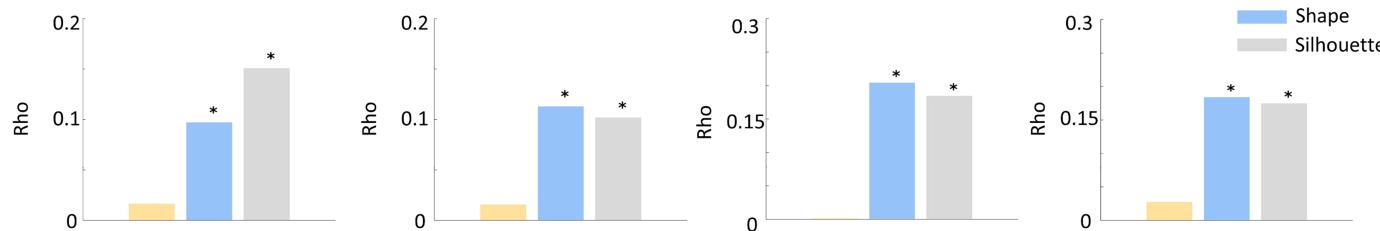
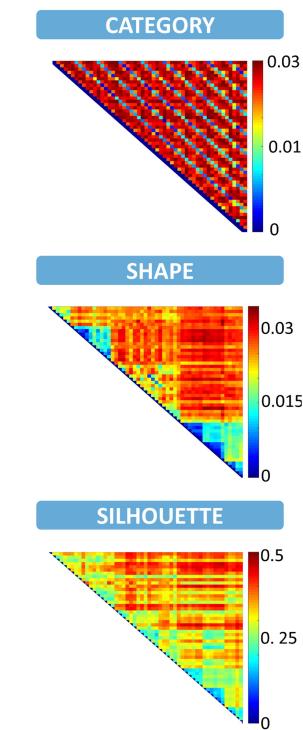
B.



LFP

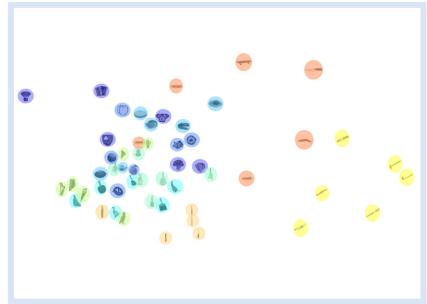


- (A)  $\rightarrow$  Animals
- (F)  $\rightarrow$  Fruits
- (S)  $\rightarrow$  Sports
- (I)  $\rightarrow$  Instruments
- (M)  $\rightarrow$  Minerals
- (T)  $\rightarrow$  Tools

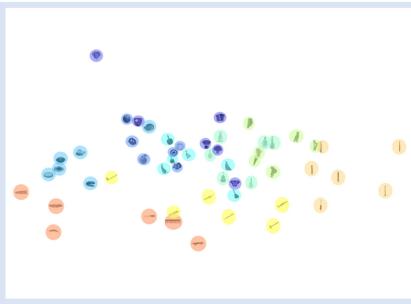
**A.****C.****B.**

SHAPE

ARRAY 1



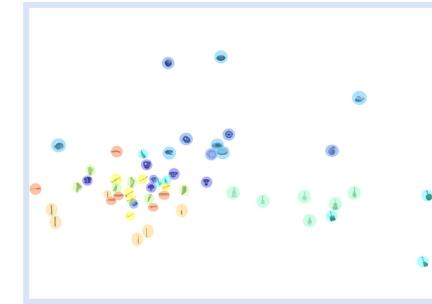
ARRAY 2



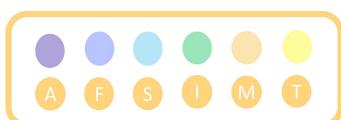
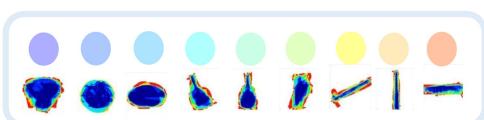
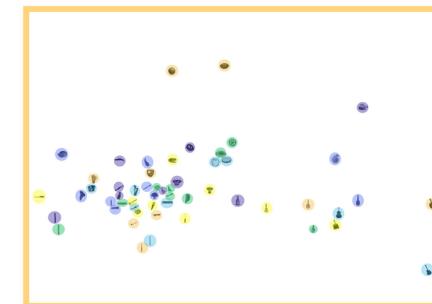
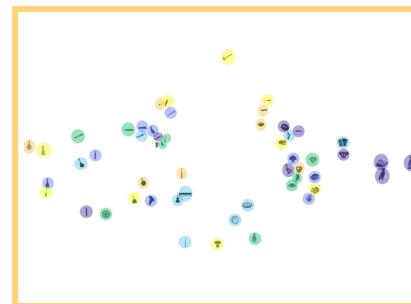
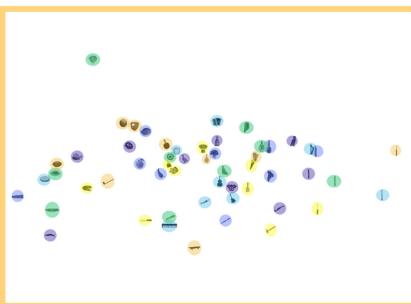
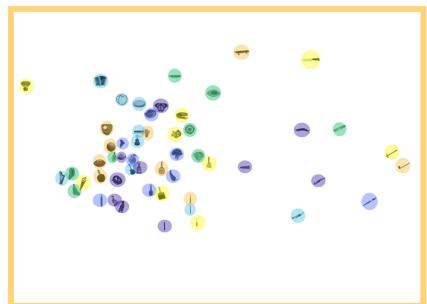
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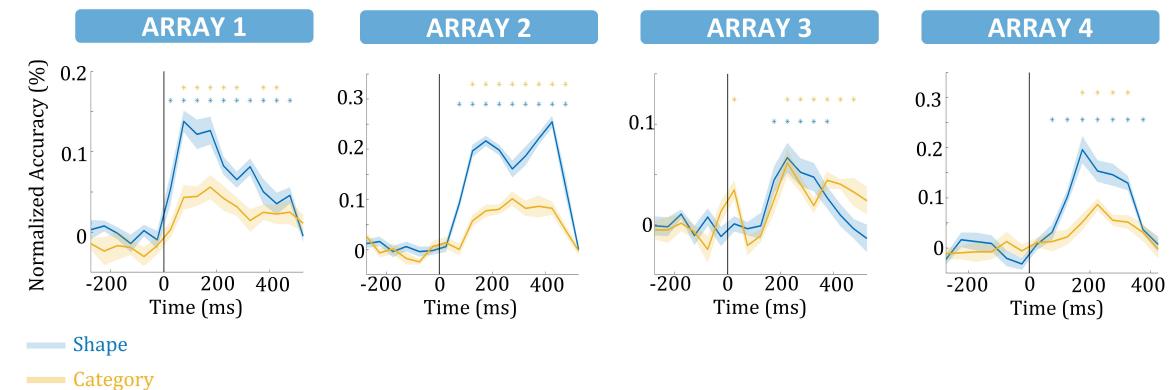
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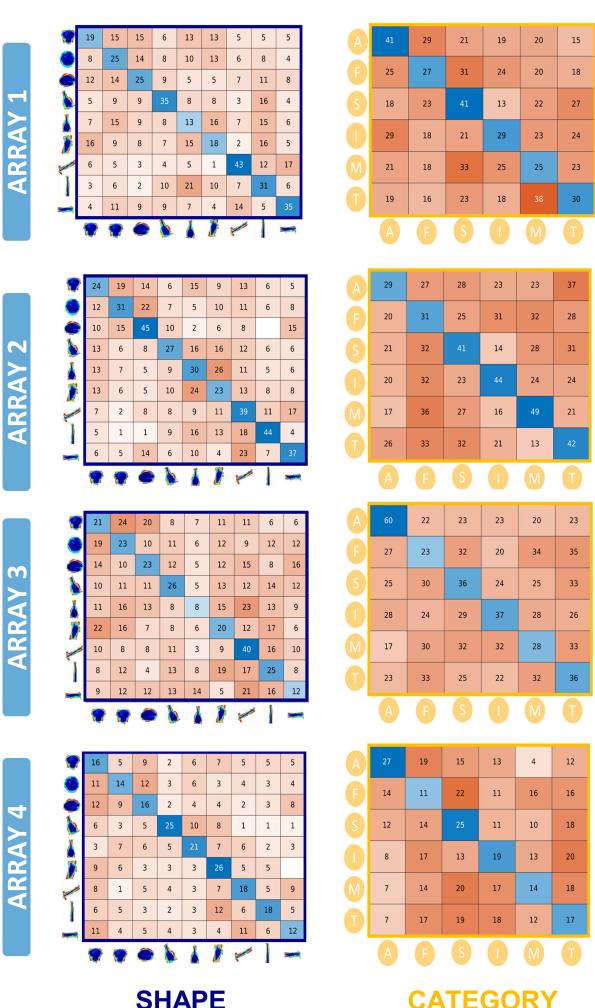
CATEGORY



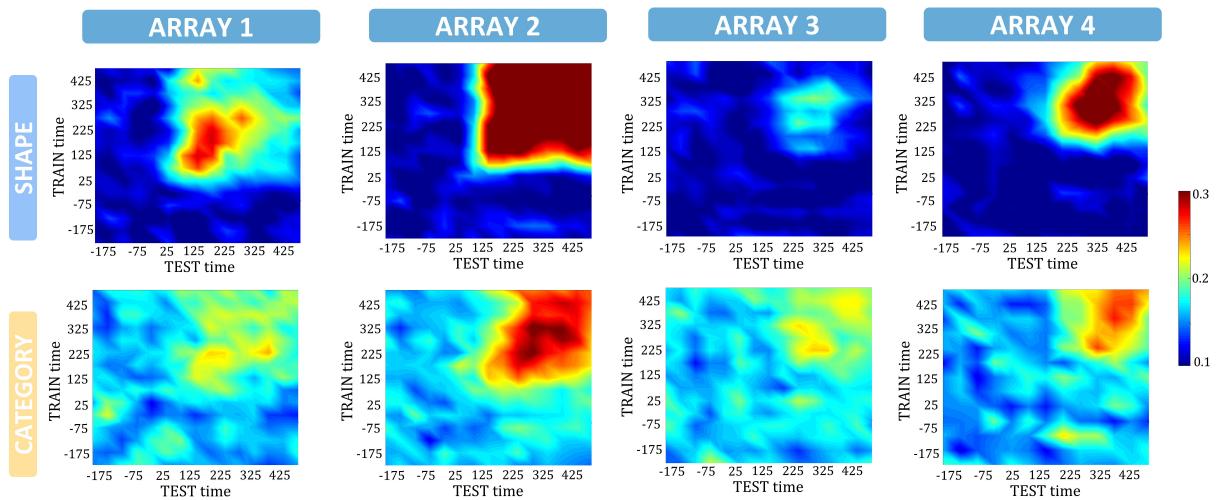
A)



B)



C)



SHAPE      CATEGORY