

Molecular Studies of Phages-*Klebsiella pneumoniae* in a Mucoïd Environment: Innovative use of mucolytic agents prior to the administration of lytic phages

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35 Abstract

36 Mucins are important glycoproteins that form a protective layer throughout the gastrointestinal
 37 and respiratory tracts. There is scientific evidence of increase in phage-resistance in the presence
 38 of mucin for some bacterial pathogens. Manipulation in mucin composition may ultimately
 39 influence the effectiveness of phage therapy. In this work, two clinical strains of *K. pneumoniae*
 40 (K3574 and K3325), were exposed to the lytic bacteriophage vB_KpnS-VAC35 in the presence and
 41 absence of mucin on a long-term co-evolution assay, in an attempt to mimic *in vitro* the exposure
 42 to mucins that bacteria and their phages face *in vivo*. Enumerations of the bacterial and phage
 43 counts at regular time intervals were conducted, and extraction of the genomic DNA of co-
 44 evolved bacteria to the phage, the mucin and both was performed. We determined the frequency
 45 of phage-resistant mutants in the presence and absence of mucin and including a mucolytic agent
 46 (N-acetyl L-cysteine, NAC), and sequenced these conditions using Nanopore. We phenotypically
 47 demonstrated that the presence of mucin induces the emergence of bacterial resistance against
 48 lytic phages, effectively decreased in the presence of NAC. In addition, the genomic analysis
 49 revealed some of the genes relevant to the development of phage resistance in long-term co-
 50 evolution, with a special focus on the mucoid environment. Genes involved in the metabolism of
 51 carbohydrates were mutated in the presence of mucin. In conclusion, the use of mucolytic agents
 52 prior to the administration of lytic phages could be an interesting therapeutic option when
 53 addressing *K. pneumoniae* infections in environments where mucin is overproduced.

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63 Introduction

64 *Klebsiella pneumoniae* is a Gram-negative opportunistic pathogen that causes urinary tract,
65 wound and soft tissue infections, pneumonia, and even life-threatening sepsis (1, 2). Moreover,
66 the recent increase of carbapenemase-producing strains of *K. pneumoniae* worldwide, together
67 with its ability to grow in biofilm and to acquire plasmids conferring antibiotic (multi)resistance,
68 underlie the importance of developing innovative and effective strategies against *K. pneumoniae*
69 infections (3, 4).

70 In this context, the use of bacteriophages (or phages), viruses that specifically target bacteria in a
71 highly effective and safe manner, is being evaluated as a therapeutic approach against bacterial
72 infections, especially antibiotic-resistant ones (4, 5). Nevertheless, just as it happens with
73 antibiotics, the emergence of phage-resistant mutants is a major hurdle to the establishment of
74 phage therapy (4, 6). Indeed, to counter phage infection, bacteria display several defence
75 mechanisms: mutation of the receptor recognized by a particular phage to inhibit adsorption
76 (surface mutation) (7), induction of programmed death cell, known as abortive infection (Abi) (8),
77 translation of nucleases that specifically degrade the phage DNA (CRISPR-Cas, restriction-
78 modification... (9, 10)), etc. Despite the inconvenience of resistant bacteria against phages, their
79 compassionate use in clinics has been approved in many countries and has already saved many
80 life-threatening infectious patients (11-13).

81 Cystic Fibrosis (CF), an autosomal recessive genetic disorder that produces mutations in the cystic
82 fibrosis transmembrane conductance regulator (CFTR) protein, is characterized by an
83 overproduction of viscous mucins, since lack of CFTR function reduces airway mucus fluidity and
84 influences hydration and mucin viscosity in the airways (14). This allows the trapping of inhaled
85 bacteria in the lungs and explains why CF patients often become colonized by pathogens from an
86 early age, which can lead to chronic infections (15). Even if a few typical bacteria are traditionally
87 involved in CF lung infections, such as *Staphylococcus aureus* and *Pseudomonas aeruginosa*, CF
88 patients are susceptible to infection by other opportunistic pathogens, including *K. pneumoniae*
89 (16, 17).

90 To improve therapeutic outcomes in phage therapy, the arising of phage-resistant bacteria in the
91 complex *in vivo* context needs to be exploited. Furthermore, not many studies address the
92 efficiency of phage in long-term evolutionary experiments, nor look at phage co-evolution during
93 phage treatments, as reviewed by Moulton-Brown in 2018 (18).

94 One of the main components of the gastrointestinal and respiratory tracts are mucins. Mucins are
95 high-molecular-weight proteins that are glycosylated and can be transmembrane (forming a
96 protective “brush” border on the epithelium) or gel-forming (providing hydration and protection
97 from shear stress) (19, 20). They protect the intestinal mucosa from physical contact with

commensal bacteria, as well as from invasion of intruders and pathogens (21). Changes in mucin expression are relevant in inflammatory and neoplastic disorders of the gastrointestinal tract, being important in the etiology of some infectious diseases, such as *Helicobacter pylori* gastritis (22).

In the present work, we have used two bacteriemic-causing clinical isolates of *K. pneumoniae*, named K3574 and K3325, and exposed them to the lytic bacteriophage vB_KpnS-VAC35 in the presence and absence of mucin on a long-term co-evolution assay, intending to study the phage resistance in a mucoid environment. We determined the relationship between mucin and the difficulties in applying phage therapy, and we included a mucolytic agent to improve the use of phages by avoiding the emergence of resistance.

Results

Infectivity of phage vB_KpnS-VAC35

The two strains exhibiting the highest efficiency of plating (EOP) values (K3574 and K3325) were chosen for further assays (Figure 1a). Optical density growth curves showed good lytic activity of vB_KpnS-VAC35 in these strains at a multiplicity of infection (MOI) of 0.1 (purple line) and 1 (orange line) (Figure 1 b) and c), respectively). The isolate K3325 was less well infected by vB_KpnS-VAC35 than the isolation host, K3574.

Co-evolution of K3574 and K3325 with the phage vB_KpnS-VAC35 in a mucoid environment

For the co-evolution experiment, the initial bacterial inoculum was 2×10^8 colonies forming units per mL (CFU/mL) for K3574 and 10^8 CFU/mL for K3325 (Figure 2). As we infected both cultures in the exponential growth phase at a MOI=1, the initial phage concentration was 2×10^8 plaque forming units per mL (PFU/mL) and 10^8 PFU/mL, respectively (Figure 2). The CFU counts remained stable at around 10^{10} CFU/mL for both strains at every condition tested, whereas the PFUs fluctuated slightly more: in what concerns the isolate K3574 co-adapted to the phage, PFU counts ranged from 10^9 PFU/mL at 1 day post-infection (dpi) to 10^7 PFU/mL at 15 dpi, whereas in the presence of mucin these counts reached 10^8 PFU/mL at 9 dpi, then slightly decreased till 10^7 PFU/mL at 15 dpi (Figure 2a), which corresponds to the 1:100 dilution performed every day along the experiment. Regarding the isolate K3325, co-evolution lasted 6 days as the PFU numbers dropped to 0 in the absence of mucin (Figure 2b). Nonetheless, in the presence of this compound, 10^3 PFU/mL of vB_KpnS-VAC35 were assessed at 6 dpi.

Assessment of phage-resistance:

a. Spot test

131 With the aim to assess the effect that the presence of mucin in the media will have on the
132 bacterial susceptibility to the adapted phages, a spot test of *K. pneumoniae* K3574 and K3325
133 prior to the co-evolution (named as “WT” in Figure 3), but also co-evolved in the presence of
134 mucin, the phage, and both during 15 and 6 days, respectively, was conducted. As expected, WT
135 and mucin-adapted cells (K3574_ad15_m and K3325_ad6_m) were the only conditions in which
136 phage-susceptibility was kept (Figure 3). However, we observed differences when comparing the
137 infection established by the non-adapted phage (vB_KpnS-VAC35_WT) and the adapted ones
138 (vB_KpnS-VAC35_ad15 and vB_KpnS-VAC35_ad15_m), which were isolated after 15 days of co-
139 evolution with K3574 and produced more turbid spots (Figure 3, middle and right columns). The
140 presence of more colonies growing inside the lytic halos of vB_KpnS-VAC35_ad15_m compared to
141 the infection established by vB_KpnS-VAC35_ad15 suggested that mucin either impaired the
142 ability of the phage to lyse, or it enhanced the bacterial defence to the phage (as it has already
143 been documented in the literature for different microorganisms (23, 24)).

144 **b. Frequency of arising of resistant mutants in the presence of the mucolytic N-acetyl L-** 145 **cysteine (NAC)**

146 To quantitatively assess the effect that the presence of mucin had during bacteria and phage co-
147 evolution, we determined the frequency of phage-resistant mutants to vB_KpnS-VAC35. A
148 condition in which *K. pneumoniae* clinical isolates K3574 and K3325 were incubated in presence of
149 NAC for 15 and 6 days, respectively, was included. Consistently with the infection curves of
150 vB_KpnS-VAC35 in these two strains, we obtained a higher frequency for K3325 than K3574
151 (Figure 4, orange bar). In the case of phage-exposed bacteria, either in the presence of mucin,
152 NAC, or only the phage, no statistical difference was observed (Figure 4). Importantly, cells
153 exposed to NAC displayed a statistically significant reduction in this frequency compared to the
154 cells exposed to mucin.

155 **Genomic analysis of *K. pneumoniae* strains before and after the co-evolution in the presence of** 156 **mucin**

157 Genomic analysis of the clinical strain K3574 adapted to mucin, to the phage alone or to both
158 agents, were performed.

159 The reference genome of this strain (BioSample code SAMEA3649560, European BioProject
160 PRJEB10018) possesses 5,635,279 pb (5561 coding sequences) with a GC content of 57.1%, a
161 sequence-type ST3647 and a capsular type KL30. We extracted the bacterial DNA at 15 dpi of this
162 isolate co-evolved to the phage (K3574_ad15_P), to mucin (K3574_ad15_M) and to both
163 (K3574_ad15_P+M).

164 A Venn diagram was used to visualize the different and overlapping protein clusters displayed by
165 the four complete genomes taken into consideration (Figure 5 b). In total, 5000 common protein

clusters were found between the strain prior to co-evolution and bacteria co-evolved to the phage (K3574_ad15_P), whereas 4938 were found between K3574_WT and the mucin-adapted cells (K3574_ad15_M), and 4947 common protein clusters between K3574_WT and cells co-evolved to the phage in the presence of mucin (K3574_ad15_P+M). No specific protein clusters were found for the strain adapted only to mucin (K3574_ad15_M) and to phage and mucin together (K3574_ad15_P+M), while 3 unique protein clusters were found in the isolate co-evolved to the phage alone (K3574_ad15_P) (Figure 5 b).

Comparison of the genomes revealed important mutations (Figure 6, Table 1). Among the genes in which nucleotide changes were found, we highlight several interesting ones grouped into different categories: concerning the bacterial defense mechanisms to phage infection, a tRNA-guanosine (18)-2'-O-methyltransferase carrying a nucleotide deletion in the position 362 was found in the case of K3574_ad15_P and K3574_ad15_P+M, whereas the non-infected isolate (K3574_ad15_M) had the intact locus compared to the K3574_WT. This change (c.-362G) leads to a frameshift mutation translated into two truncated versions of the methyltransferase. Furthermore, the antitoxin HigA displayed mutations in the phage-infected cultures (K3574_ad15_P and K3574_ad15_P+M) that led to two different truncated proteins, whereas the non-infected strain had fewer changes that led to a shorter, unique version. Furthermore, the autoinducer 2-binding protein LsrB presented the same nucleotide deletion in the strains that co-evolved to the phage, also corresponding to a frameshift mutation (therefore a truncated protein lacking 11 amino acids); this was absent in the isolate exposed only to mucin.

Mutations in the core gene involved in Type VI secretion system, *vgrG*, were found in these same two strains but absent in the strain adapted only to mucin (K3574_ad15_M). Similarly, the same changes were found in the coding sequence of the outer membrane protein Ail (*attachment invasion locus*) of K3574_ad15_P and K3574_ad15_P+M, being intact in the isolate K3574_ad15_M. Interestingly, *fhuA*, the gene encoding a ferrichrome transporter protein, showed a frameshift mutation that led to two truncated versions of this protein in K3574_ad15_P+M, whereas this mutation was absent in K3574_ad15_P and K3574_ad15_M.

Mutations were found in genes involved in the metabolism of carbohydrates only for the strains adapted 15 days to mucin alone and to the phage in presence of mucin. For instance, nucleotide changes were observed in the gene *licC*, involved in the phosphoenolpyruvate-dependent sugar phosphotransferase system (PTS), in *rbsA*, *rbsB* and *fruK*, encoding the ribose and fructose import ATP-binding proteins RbsA/RbsB and FruK, respectively, or in *mall*, encoding a maltose regulon regulatory protein. More nucleotide changes in other relevant genes are reported in Table 1.

Discussion

Most of the works studying the interactions between pathogenic bacteria and their phages are generally carried out in well-defined laboratory conditions. However, these microbes in a natural environment develop complex interactions on mucosal surfaces of the vertebrate host (23). In 2022, de Freitas *et al.* demonstrated that co-evolution of *Flavobacterium columnare* to its virulent phage V156 in presence of mucin dramatically increased the acquisition of spacers in the CRISPR arrays of *F. columnare* (23), thus increasing immunity to this phage. This work highlights the need to consider both biotic and abiotic variables if bacteriophages are to be used therapeutically. It is thus essential to take a close look at the study of how mucins and other mucosal components influence the acquisition of bacterial resistance towards lytic phages, so that the therapeutic potential of these could be better understood in the *in vivo* system.

Along the co-evolution performed with *K. pneumoniae* isolates K3574 and K3325, we observed that phage mutants arose at the first day post-infection (Figure 2), similar to what has been claimed in previous co-evolution works (25). Interestingly, both phage production (from 1 dpi onwards) and evolved *K. pneumoniae* populations seemed to stabilize over the days, consistently with other studies (26). This is likely due to the fact that vB_KpnS-VAC35 selects for resistant bacterial mutants. Due to its gelatinous nature, mucin limits the diffusion of bacteria through this space and facilitates the interaction of phages with bacteria, a less well-studied function but already documented in literature (23, 27). In 2013, Barr *et al.* proposed a model in which bacteriophages would bind to mucins using the Ig-like domains present in many structural proteins, concentrate there, and protect humans and other metazoans against bacterial invaders (27, 28). Taken together, these two reasons could explain why the phage counts were higher in the presence of mucin than in the absence of this compound (Figure 2).

Interestingly, when the lytic phage was co-evolved to K3574 in the mucoid environment (vB_KpnS-VAC35_ad15_m), it showed an impaired infection ability compared to the adapted phage in the absence of mucin (vB_KpnS-VAC35_ad15) (Figure 3). As expected, no spot was visible for the cells exposed to the phage either in the presence or the absence of mucin, leading to the conclusion that resistance arose as a result of the co-evolution process. We phenotypically confirmed that mucin increased the frequency of resistant mutants for *K. pneumoniae* K3574 and K3325 strains. Furthermore, NAC effectively reduced this frequency in the case of the cells incubated with this mucolytic (Figure 4). This resistant phenotype could be due to modification of the phage receptor; however, as this strategy represents an important fitness cost for bacteria, these have developed other strategies to avoid phage attachment (29). For instance, receptors can be masked, preventing recognition while retaining function. Capsules or exopolysaccharides provide phage resistance in *Staphylococcus spp.* (30), *Pseudomonas spp.* and *K. pneumoniae* (31),

234 and these bacterial structures can be favorized in the presence of mucosal components such as
235 mucins.

236 The analysis of protein clusters suggested that the presence of the phage in this long-term co-
237 evolution experiment was the main driver in the acquisition of mutations. The genomic analysis of
238 *K. pneumoniae* K3574 adapted to the phage alone and in presence of mucin revealed mutations in
239 some proteins involved in the bacterial defense to phages, such as methyltransferases, the HigA
240 antitoxin, the *quorum sensing* autoinducer LsrB or the type 6 secretion system VgrG, as reported
241 in other works (32, 33) (Figure 6). In the presence of mucin, mutations were observed in the genes
242 encoding proteins that were involved in the carbohydrates metabolism, such as in the PTS system,
243 which is a major carbohydrate active-transport system that catalyzes the phosphorylation of
244 incoming sugar substrates concomitant with their translocation across the cell membrane (34).

245 Since changes concerning the synthesis, secretion or structure of mucins have been linked to
246 gastrointestinal and respiratory disorders, manipulation of mucin may ultimately influence the
247 microbiota and the effectiveness of phage therapy for bacterial imbalances (21), and the use of a
248 mucolytic agent as an adjuvant of lytic phages could be an interesting therapeutic option to take
249 into consideration. It has been shown that the presence of bacteria upregulates mucin production
250 and enhances their encapsulation by mucin in the colon, so this could be even more important in
251 CF patients in which overproduction of mucins leads to lung chronic infections (35). Importantly,
252 the trade-off costs that phage pressure and co-evolution represent for bacteria might render
253 them less virulent in case of mutations in surface virulence factors, so maximizing the fitness costs
254 that come with co-evolution may ultimately enhance the long-term efficacy of phage therapy.
255 Optimization of these fitness costs could be a relevant factor to enhance the patient's prognosis
256 (36).

257 All in all, this study sheds some light in the phage resistance behavior that might be expected for
258 some clinical strains of *K. pneumoniae* in a mucoid environment, and takes a deeper look at the
259 increase resistance that mucins induce to phages, already reported in literature (23). Evolutionary
260 dynamics between bacterial pathogens and their natural predators in *in vivo* environments where
261 mucin overproduction occurs deserve further investigation, which could help clinicians to predict
262 the success of a particular phage administered to counteract infections. Finally, our results
263 showed an innovative to option could be the application of mucolytic agents prior to the
264 administration of lytic phages against by *K. pneumoniae* infections environments where mucin is
265 overproduced as in cystic fibrosis disease. However, would be necessary to carry out more studies
266 that include broad number clinical isolates to confirm this innovative therapeutic option.

267 **Materials and methods**

268 **Bacterial strains and growth conditions**

269 *K. pneumoniae* clinical strains K3574 and K3325 came from the National Centre for Microbiology
270 (Carlos III Health Institute, Spain) previously analyzed²³. All the bacterial strains were cultivated
271 using Luria-Bertani broth (LB, 1% tryptone, 0.5% yeast extract and 0.5% NaCl). When required,
272 purified mucin from porcine stomach (SigmaAldrich®), previously diluted in distilled water and
273 autoclave-sterilized, was added at a final concentration of 1 mg/mL. NAC was also purchased from
274 SigmaAldrich®, diluted with nuclease-free water, filter-sterilized and added to a final concentration
275 of 10 mM.

276 **Establishment of the infectivity of the phage**

277 **a. Efficiency of plating (EOP)**

278 The EOP assay was done as previously described by Kutter *et al.*²⁴, calculated as the ratio between
279 the phage titre (PFU/mL) in the test strain and the titre in the isolation host (*K. pneumoniae*
280 K3574). For both assays, TA-soft medium (1% tryptone, 0.5% NaCl and 0.4% agar) was used to
281 make plates by the top-agar method²⁵. Strains exhibiting susceptibility to phage infection in the
282 spot test performed by Bleriot *et al.* in a previous work²³ were selected for the EOP assay.

283 **b. Infection curves**

284 To assess the lytic capacity of vB_KpnS-VAC35²³, infection curves at different MOI were performed.
285 Overnight cultures of the clinical isolates of *K. pneumoniae* K3574 and K3325 were diluted 1:100 in
286 LB broth and then incubated at 37°C at 180 rpm until an early exponential phase ($OD_{600\text{ nm}} = 0.3$ -
287 0.4) was reached. Then, vB_KpnS-VAC35 was added to the cultures at MOI of 0.1 and 1, and $OD_{600\text{ nm}}$
288 was measured during 6 hours at 1-hour intervals.

289 **Co-evolution between vB_KpnS-VAC35 and *K. pneumoniae* strains K3574 and K3325**

290 The bacterial strains were incubated in 20 mL LB-containing flasks at 37°C and 180 rpm for 6
291 (K3325) or 15 days (K3574); the flasks were infected with vB_KpnS-VAC35 at a MOI=1 in the
292 presence and absence of porcine mucin at a final concentration of 1 mg/mL, and a non-infected
293 control of the bacterial isolate growing in presence of 1 mg/mL mucin was included. The infections
294 with the phage were performed at $OD_{600\text{ nm}} \approx 0.4$. From this moment and every 24 hours, each
295 condition was 1:100 diluted in fresh LB medium, containing 1 mg/mL mucin when required, and
296 enumeration of CFU and PFU was performed. For the CFU enumeration, 1 mL aliquots of bacterial
297 cultures were serially diluted in the saline buffer then plated on LB-agar plates (100 μ L) and
298 incubated overnight. For the PFU assessment, 1 mL aliquots were centrifuged 5 min at maximum
299 speed (14000 rpm) for the collection of phage particles in the supernatant. Serial dilutions of
300 these PFU were performed in SM buffer (100 mM NaCl, 10 mM MgSO₄, 20 mM Tris-HCl, pH 7.5),
301 then 10 μ L of the pertinent dilutions were plated by the double-layer method (37). Two flasks per
302 condition were considered as biological duplicates.

303 **Assessment of phage resistance**

304 **a. Spot test**

305 The spot test assay was undertaken as described by Raya *et al.* (38). We used vB_KpnS-VAC35 WT,
306 vB_KpnS-VAC35_ad15 and vB_KpnS-VAC35_ad15_m phages, that is prior to co-evolution, and
307 adapted to K3574 during 15 days in the absence and presence of mucin, respectively.

308 **b. Calculation of the frequency of phage-resistant mutants**

309 The frequency of resistant mutants was calculated as previously described by Lopes *et al.* (39).
310 Overnight cultures of the strains K3574 and K3325 at the different conditions evaluated were
311 diluted 1:100 in LB and grown to an OD_{600nm} of 0.7. An aliquot of 1 mL of the culture containing 10⁸
312 CFU/mL was serially diluted, and the corresponding dilutions were mixed with 100 µL of vB_KpnS-
313 VAC35 at 10⁹ PFU/mL, then plated by the double-layer method in TA medium. The plates were
314 incubated at 37°C for 24h, then the colonies of resistant mutants were enumerated. The mutation
315 rate was calculated by dividing the number of resistant bacteria (growing in the presence of the
316 phage) by the total number of bacteria plated in conventional LB-agar (100 µL).

317 **Genomic DNA extraction and whole-genome sequencing**

318 The DNeasy Blood & Tissue Kit (Qiagen®) was used for extracting the genomic DNA of bacterial
319 cultures co-evolved 15 dpi with the vB_KpnS-VAC35 alone (K3574_ad15_P), in the presence of
320 mucin (K3574_ad15_P+M) and exposed 15 days to mucin (K3574_ad15_M), following the
321 manufacturer's instructions. Samples were quantified with a Qubit 3.0 fluorometer using a Qubit
322 dsDNA HS Assay Kit and with a Nanodrop spectrophotometer to evaluate the DNA purity. The
323 MinION MK1C instrument with the Rapid Barcoding Kit (SQK-RBK004) were employed, following
324 the manufacturer's protocol and using a MinION flow cell v.9.4.1.

325 **Bioinformatic analysis**

326 Basecalling was performed using GUPPY (Version 5.0.7 Super-accuracy model (SUP)) to generate
327 fastQ sequencing reads from electrical data (the fast5 files generated by MinION). The reads were
328 then further subsampled according to their barcodes and *de novo* assembled using Unicycler.
329 Further analyses were performed after visualization of circular assembled genomes using
330 Bandage. Draft assemblies were corrected by using iterative rounds of polishing with the Racon
331 error correction software. Annotations were performed using Prokka (40), and insertions,
332 deletions and other SNPs were called using the structural variant caller Snippy (v1.0.11). The
333 presence and absence of intact genetic sequences were analyzed using Roary and Orthovenn2.
334 OrthoVenn2 (<http://www.bioinfogenome.net/OrthoVenn/>) was used to compare the proteins of
335 the four complete genomes using the files generated by Prokka analysis. Fasta files obtained after
336 annotation were surveilled for indels using the blastn and blastp tools from the NCBI and
337 compared to the reference genome (for K3574_WT, BioSample code SAMEA3649560 included in

the European BioProject PRJEB10018). The workflow taken from Nanopore sequencing to the genomic analysis is summarized in Figure 5 a.

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Transparency declarations

The authors declare not to have conflict of interest

358 Figure legends

359 **Figure 1:** a) EOP of vB_KpnS-VAC35 on some clinical isolates of *K. pneumoniae*, with different
360 capsular type. b) and c) Infection curves of clinical isolates K3574 and K3325 by the lytic phage
361 vB_KpnS-VAC35, prior to co-evolution, at MOI 0.1 and 1.

362 **Figure 2:** Titration of the colony forming units (CFU, left Y-axis) and plaque forming units (PFU,
363 right Y-axis) per mL during the co-evolution experiments between clinical isolates K3574 (a)) and
364 K3325 (b)) and the lytic bacteriophage vB_KpnS-VAC35, in the absence and presence of mucin (1
365 mg/mL).

366 **Figure 3:** Spot tests of phages prior to co-evolution (vB_KpnS-VAC35 WT), co-evolved to K3574 in
367 the absence and in the presence of mucin (vB_KpnS-VAC35_ad15 and vB_KpnS-VAC35_ad15_m,
368 respectively). A) Spot test using the clinical isolate *K. pneumoniae* K3574 WT, adapted 15 days to
369 the phage, to mucin and to both. B) Spot test using the clinical isolate *K. pneumoniae* K3325 WT,
370 adapted 6 days to the phage, to mucin and to both.

371 **Figure 4:** Frequency of occurrence of resistant mutants for *K. pneumoniae* K3574 (a) and *K.*
372 *pneumoniae* K3325 (b). The statistical analysis (t-test) was performed with GraphPad Prism v.9.
373 **: p-value < 0.001; *: p-value 0.042. Absence of asterisk corresponds to non-statistical
374 significance.

375 **Figure 5:** a) Schematic representation of the workflow followed from the whole-genome
376 sequencing with Nanopore till the analyse of the genomic sequences. B) Venn diagram performed
377 with OrthoVenn2 to visualize the overlapped and unique protein clusters in the four complete
378 genomes analysed.

379 **Figure 6:** Comparative genomic analysis of *K. pneumoniae* K3574 co-evolved to phage alone (pink
380 ring), mucin alone (blue ring) and both (green ring) constructed with the BLAST Ring Image
381 Generator (BRIG). The sequence corresponding to K3574_WT is located on the innermost side
382 (black ring). The double ring adjacent to the reference sequence represents the GC content (black)
383 and the GC skew (dark purple and dark green). The white parts of the rings represent absent or
384 divergent content and are squared in black.

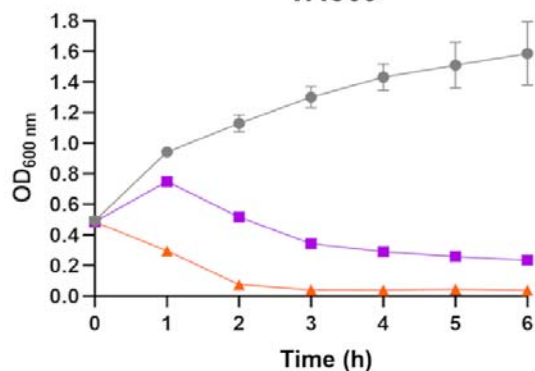
385 **Table 1:** Mutations found in the four complete genomes analyzed, grouped into different
386 categories by function. "c." corresponds to the nucleotide changes found in the coding sequences,
387 while "p." stands for protein sequences. Asterisks indicate that mutations are the same between
388 the studied conditions.

a)

<i>Strain</i>	<i>EOP</i>	<i>Biological origin</i>	<i>Capsular type</i>
K3574	1	Blood	KL30
K3325	0.478	Blood	KL64
ST437-OXA245	0.435	Rectal	KL36
K2783	0.309	Blood	KL134
ST11-VIM1	0.007	Respiratory	KL24

■ 1 ■ 0.45-0.5 ■ 0.1-0.45 ■ <0.1

b) Infection curve (K3574) – vB_KpnS-VAC35



c) Infection curve (K3325) – vB_KpnS-VAC35

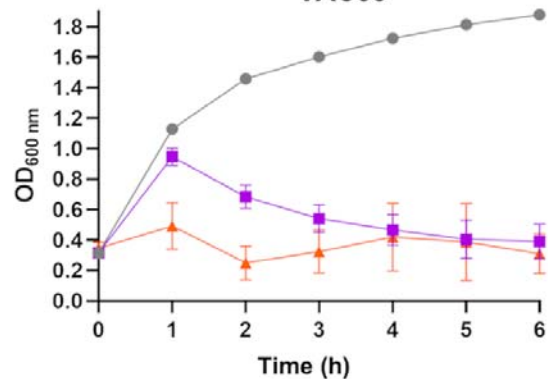
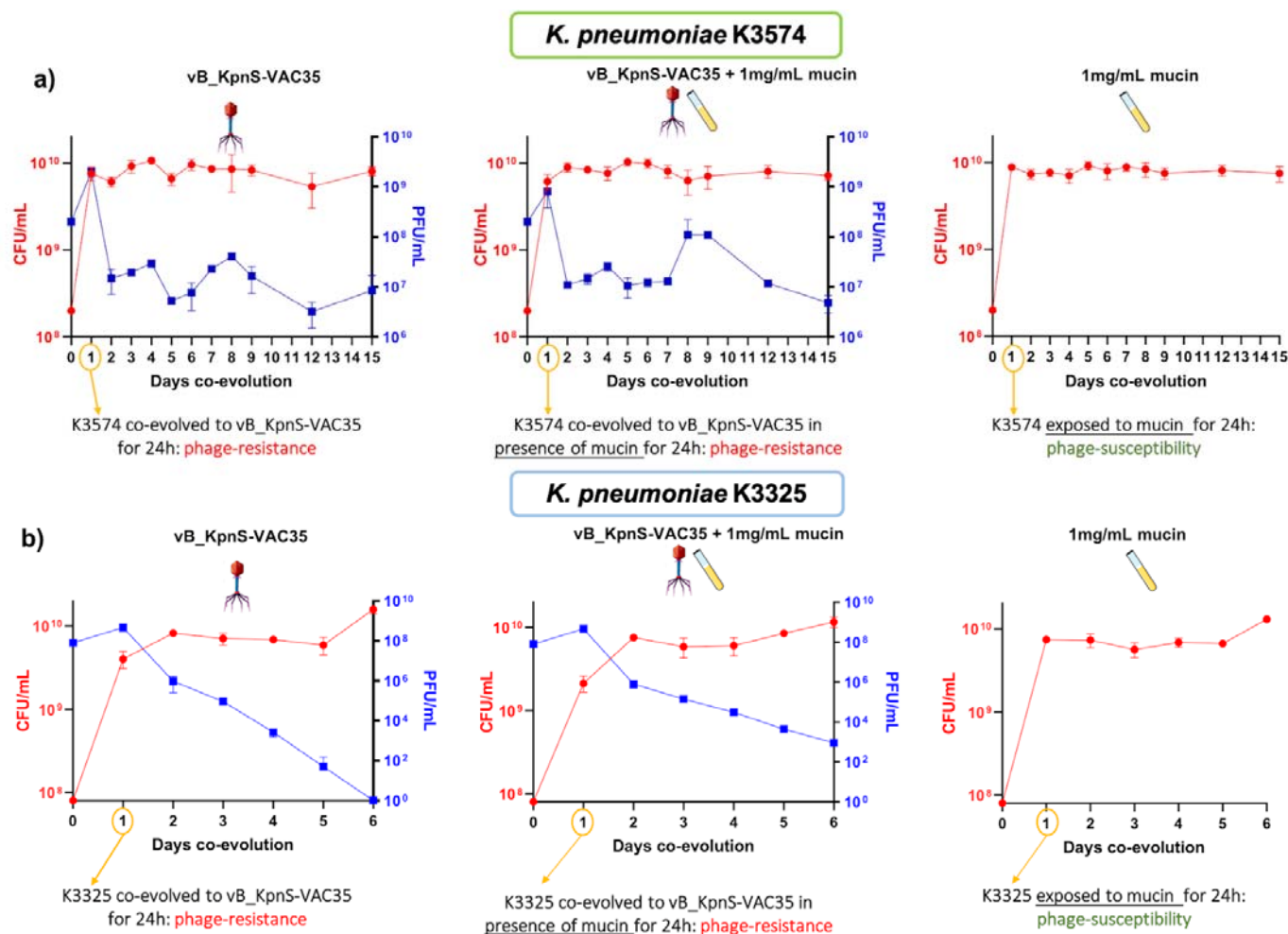


Figure 1



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410 **Figure 2**

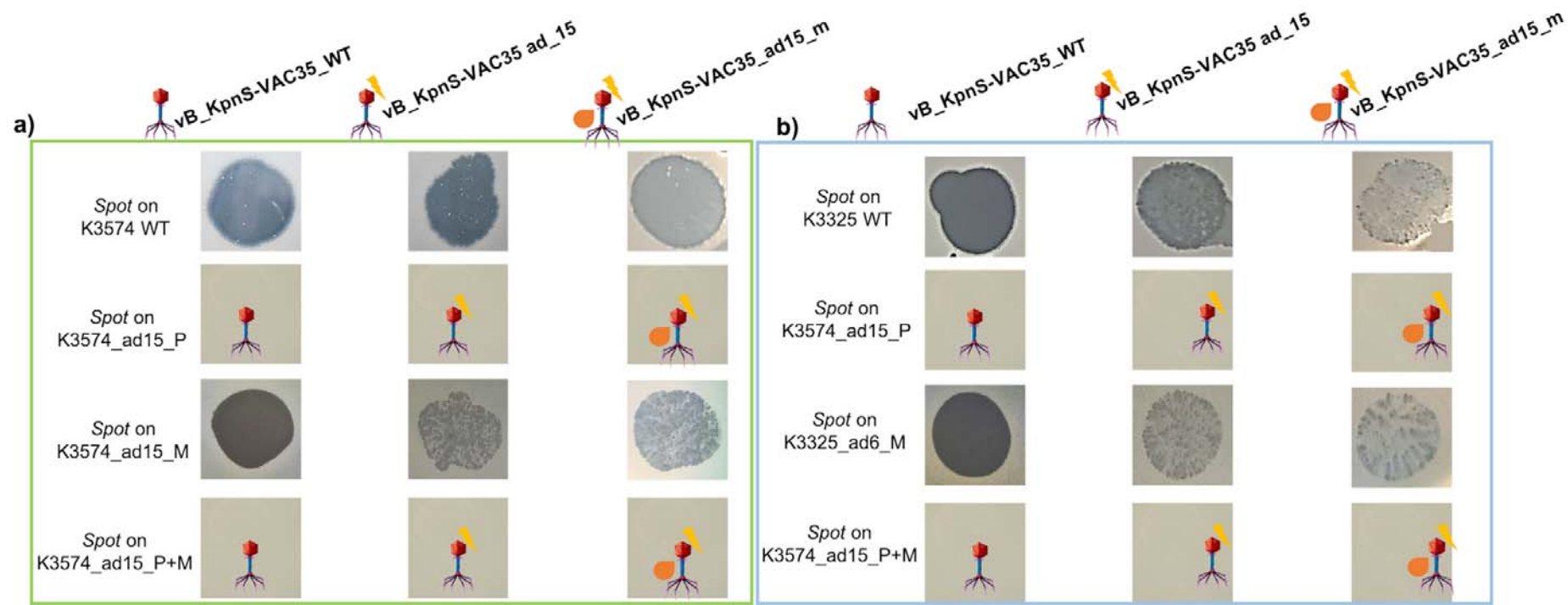


Figure 3

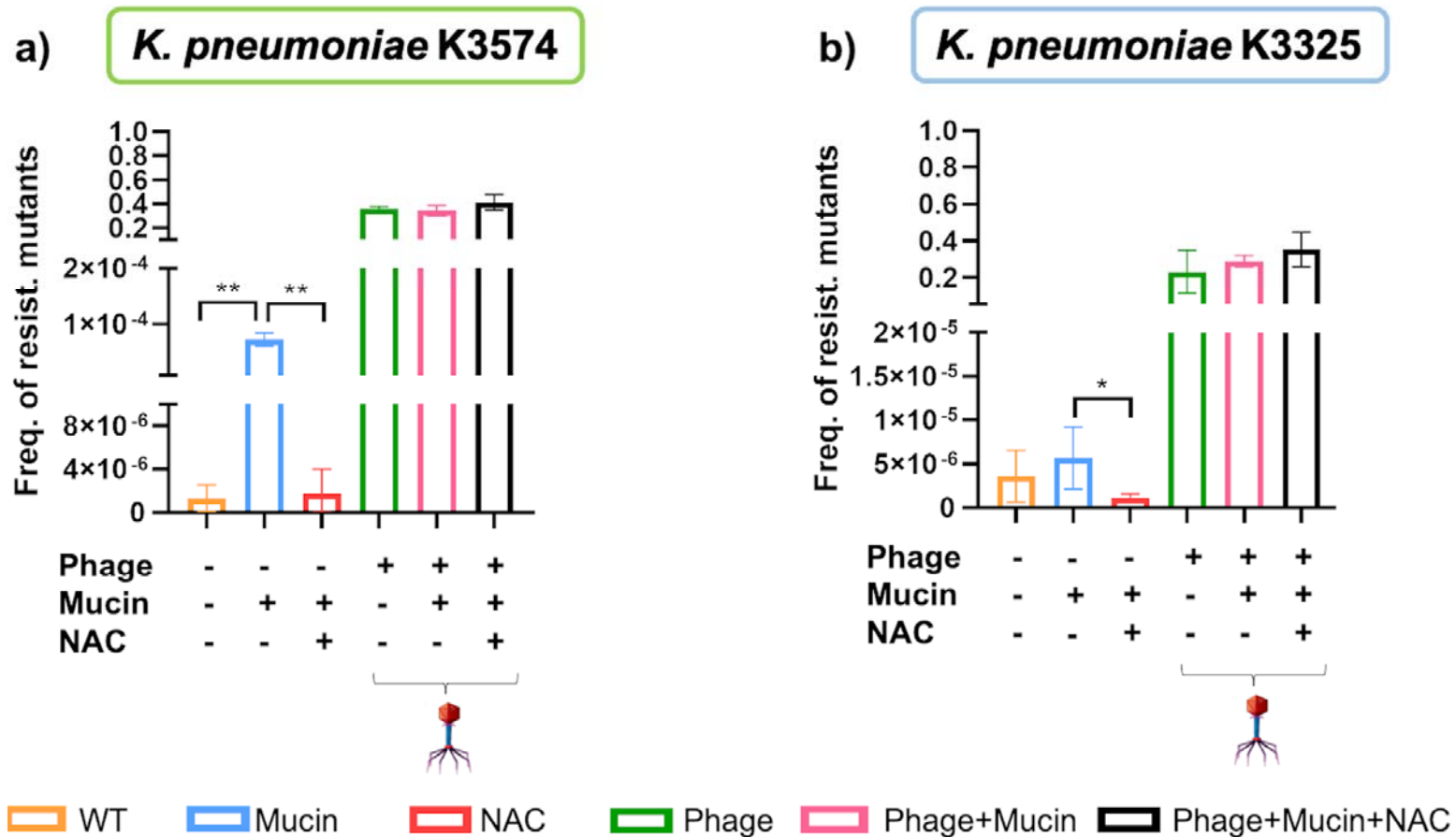
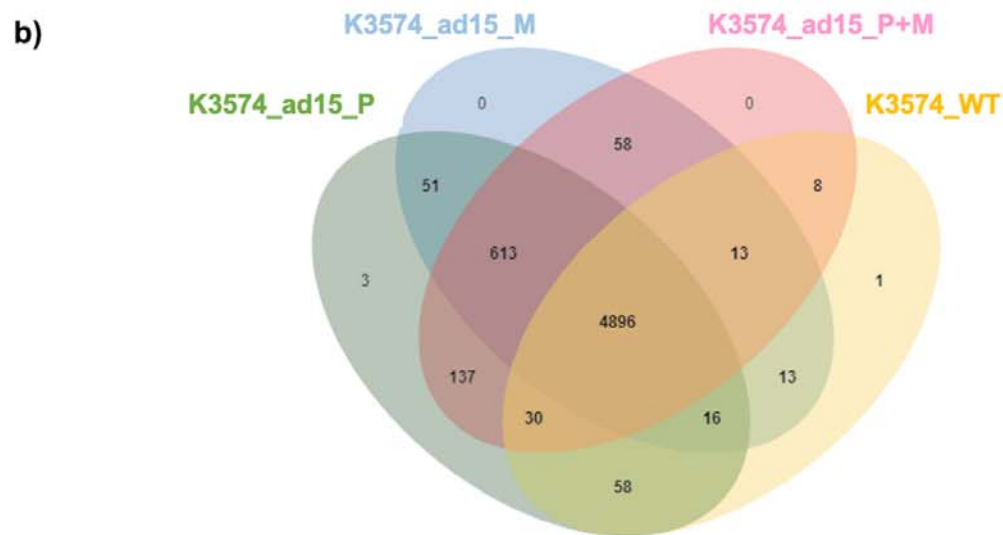
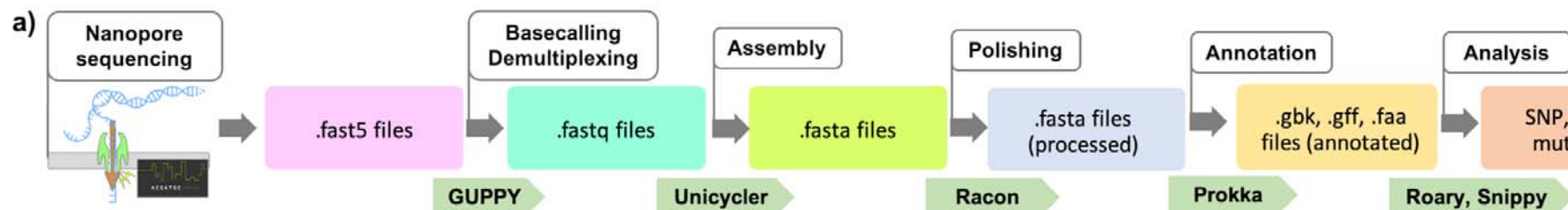


Figure 4



- WT and Mucin: 4938 genes
- WT and Phage: 5000 genes
- WT and Phage+Mucin : 4947 genes
- Mucin and Phage+Mucin: 5580 genes
- Phage and Phage+Mucin: 5676 genes

Figure 5

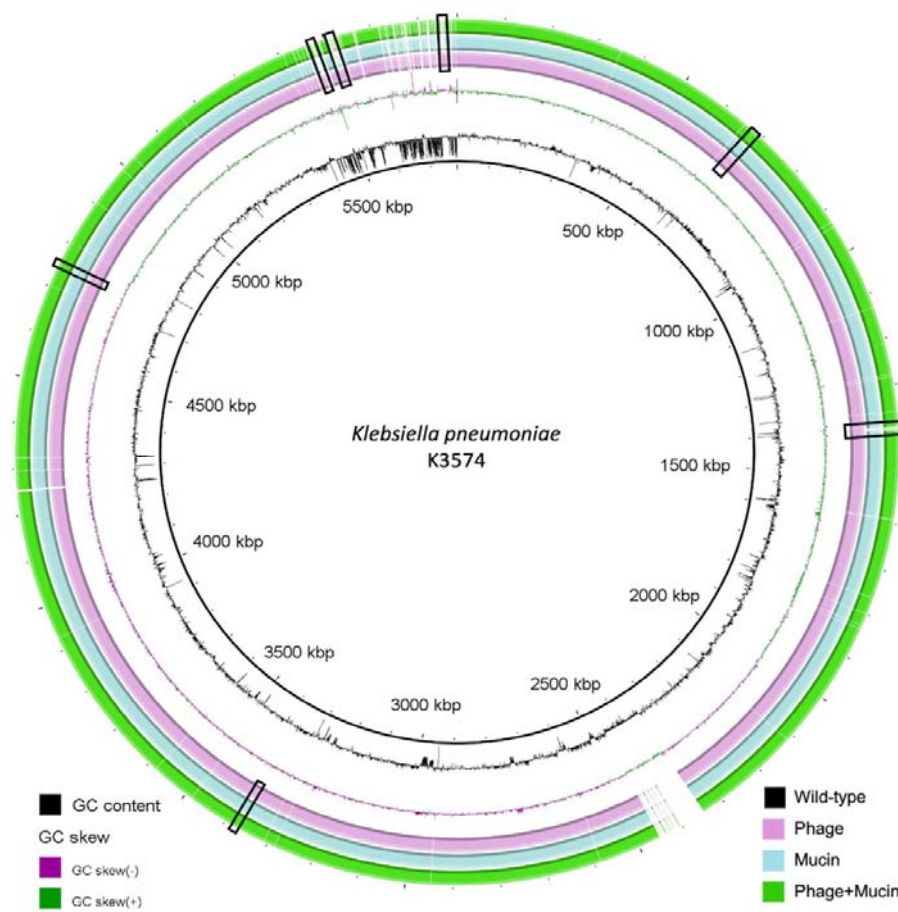


Figure 6

439 **Table 1:**

	K3574_ad15_P (co-evolved with the phage)	K3574_ad15_M (non-infected, exposed to mucin)	K3574_ad15_P+M (co-evolved with the phage in presence of mucin)
<i>Anti-phage bacterial defense</i>			
Anti-toxin HlgA	c.T66-, c.T114-, c.A152- c.C199T, c.C201A. 2 truncated proteins	c.T66-, c.T105-, c.T106-. 1 protein FDNEPAPDTPEGDF>LIMNLRRTTRKG-I	c.T66-, c.T114-, c.A152- c.C199T, c.C201A. 2 truncated proteins
CRISPR-associated endonuclease/helicase Cas3	None	None	c.A2130-, c.A1236-, p.ALLAEVGVGTLDQLL>RYSKL-VSVLSISF
tRNA (guanosine(18)-2'-O)-methyltransferase	c.A362-	None	c.A362-
<i>Quorum sensing</i>			
Autoinducer 2-binding protein LsrB	c.G341-, truncated protein (11 aa less)	None	c.G341-, truncated protein (11 aa less)
<i>PG synthesis</i>			
Murein DD-endopeptidase MepS/Murein LD-carboxypeptidase	Truncated version (lack 28 aas)	None	Truncated version (lack 28 aas)
FtsI Peptidoglycan D-transpeptidase	c.A1495- p.W501V	None	c.A1495- p.W501V
<i>LPS biosynthesis</i>			
undecaprenyl phosphate-alpha-4-amino-4-deoxy-L-arabinose arabinosyl transferase	None	None	c.G664-, 2 truncated proteins (263 and 251 aas)
lipopolysaccharide assembly protein LptD	Truncated version c.G1861- ; p.*	None	None
<i>Efflux</i>			
BepE (efflux)	Shorter protein	Deletions in positions 370,371,381,1641 (truncated protein, p.G125W, p.v126R, p.v127S)	Deletions in positions 370,371,381,1641 (truncated protein, p.G125W, p.v126R, p.v127S)
BepG (efflux)	Deletion c.2867 (truncated protein)	Truncated version c.C1937T, Truncated protein	Deletion c.2867 (truncated protein)

Multidrug efflux transporter transcriptional repressor AcrR	Mutations p.K53R, p.K55N, p.K80N, p.N54I, p.N60T	Shorter version	Mutations p.K53R, p.K55N, p.K80N, p.N54I, p.N60T
Multidrug resistance protein MdtM	LGVLDRFRNVFRNRIF>WACCAIFATSFATASF	c.202 GILH>PRCRM	Lack 83 aa
Secretion systems			
T2SS protein F	Truncated version	Truncated version	Truncated version
T6SS VgrG1	c.G2182-, Truncated protein(p.N768*)	None	c.G2182-, Truncated protein(p.N768*)
Fimbrial proteins			
Fimbrial chaperone YadV	c.C624-; Truncated protein p.P210L	Truncated protein	Truncated protein
putative hydrolase YxeP (active on CN bonds)	Truncated version	None	Truncated version
Outer membrane usher protein HtrE	Deletion 2205 (truncated protein)	c.C2202-. Shorter sequence	Deletion 2205 (truncated protein)
Xylose import ATP-binding protein XylG	Truncated version	Truncated version	Truncated version
Putative receptors			
Outer membrane channel OprM	Insertion in position 177 (1 truncated protein, lacking 18 aa)	c.G539-	Insertion in position 177 (1 truncated protein, lacking 18 aa)
Ail/Lom family outer membrane protein	Truncated version (65 aa)	None	Truncated version (65 aa)
Receptor vitamin B12 BtuB	Truncated version; truncated protein	None	Truncated version deletion 1234, truncated protein p.S414P, p.L415S
Ferrichrome FhuA	None	None	2 truncated versions
Ferrienterobactin receptor (FepA)	3 truncated versions *	2 truncated versions	3 truncated versions *
Virulence factors			
Ail/Lom family outer membrane protein	Deletion c.193- (truncated protein)	None	Deletion c.193- (truncated protein)

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