

1 **Type IV-A3 CRISPR-Cas systems drive inter-plasmid conflicts by acquiring spacers *in trans***

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25 **ABSTRACT**

26 Type IV-A CRISPR-Cas systems are primarily encoded on plasmids and form multi-subunit
27 ribonucleoprotein complexes with unknown biological functions. In contrast to other CRISPR-Cas
28 types, they lack the archetypical CRISPR acquisition module and encode a DinG helicase instead of a
29 nuclease component. Type IV-A3 systems are carried by large conjugative plasmids that often harbor
30 multiple antibiotic-resistance genes. Although their CRISPR array contents suggest a role in inter-
31 plasmid conflicts, this function and the underlying mechanisms have remained unexplored. Here, we
32 demonstrate that a plasmid-encoded type IV-A3 CRISPR-Cas system co-opts the type I-E adaptation
33 machinery from its clinical *Klebsiella pneumoniae* host to update its CRISPR array. Furthermore, we
34 demonstrate that robust interference of conjugative plasmids and phages is elicited through CRISPR
35 RNA-dependent transcriptional repression. By targeting plasmid core functions, type IV-A3 can
36 prevent the uptake of incoming plasmids, limit their horizontal transfer, and destabilize co-residing
37 plasmids, altogether supporting type IV-A3's involvement in plasmid competition. Collectively, our
38 findings shed light on the molecular mechanisms and ecological function of type IV-A3 systems and
39 have broad implications for understanding and countering the spread of antibiotic resistance in
40 clinically relevant strains.

41 **INTRODUCTION**

42 CRISPR-Cas systems protect bacteria from invading mobile genetic elements (MGEs) by providing
43 adaptive immunity. Essential to their memory acquisition is the conserved Cas1-Cas2 adaptation
44 module, which excises short sequences (protospacers) adjacent to a motif (PAM) in invading MGEs
45 and incorporates them into the CRISPR array as new spacers (Fig. 1A). Array transcription is followed
46 by processing into mature CRISPR RNAs (crRNAs), which assemble with Cas proteins into crRNA-guided
47 effector complexes that target complementary sequences, typically through nucleolytic activities^{1,2}.

48 Type IV CRISPR-Cas systems have remained largely understudied, in contrast to most other known
49 CRISPR-Cas types³. Like other Class 1 systems⁴, they form multiprotein complexes^{5,6} and are divided
50 into distinct subtypes and variants based on their molecular architecture^{7,8}. Although type IV loci
51 contain CRISPR arrays with varying spacer content, they lack Cas1-Cas2 adaptation modules, rendering
52 their spacer acquisition mechanism enigmatic (Fig. 1A)^{7,9,10}. Type IV CRISPR-Cas systems also stand
53 out for their consistent association with conjugative MGEs, such as plasmids and integrative
54 conjugative elements (ICEs)^{5,7,9,11}, and in case of the type IV-A for featuring a 5'-3' DNA helicase called
55 DinG instead of an effector nuclease (Fig. 1A)^{3,7-9}.

56 Recent research has shed light on the potential mechanisms driving RNA-guided type IV CRISPR-Cas
57 targeting. For example, the type IV-A CRISPR-Cas system (variant IV-A1) in *Pseudomonas oleovorans*
58 has been shown to mediate DinG-dependent transcriptional repression of chromosomal targets¹². In
59 contrast, type IV-A1 systems can facilitate the loss of a small vector plasmid even when the targeted
60 region is outside an open reading frame^{10,12}, leaving open questions about the proposed CRISPR
61 interference (CRISPRi) mechanism. Notably, type IV CRISPR arrays are enriched with spacers matching
62 large conjugative plasmids, suggesting a unique role in inter-plasmid conflicts^{7,9,11}. However, their
63 ecological role and whether and how they can interfere with conjugative plasmids remain unexplored.

64 Here, through a combination of molecular genetics, bioinformatics, and biochemical analyses, we
65 functionally characterize a type IV-A3 CRISPR-Cas system encoded on a *Klebsiella pneumoniae*
66 conjugative plasmid. Our results reveal that type IV-A3 can acquire spacers by co-opting the host-
67 derived type I-E adaptation machinery. Additionally, we show that crRNA-guided targeting can
68 mediate the loss of conjugative plasmids through transcriptional repression of plasmid core functions
69 and demonstrate that this silencing activity can be repurposed to re-sensitize bacteria to antibiotics.
70 Because type IV-A3 systems are widespread among the pervasive and opportunistically pathogenic *K.*
71 *pneumoniae*^{7,11,13}, our findings have important implications for understanding plasmid-driven
72 adaptation, including prevention and dissemination of antibiotic resistance and virulence factors.

73 **RESULTS**

74 **A clinical *K. pneumoniae* conjugative plasmid encodes an active type IV-A3 CRISPR-Cas**

75 Previous research and our analyses indicate that type IV-A3 systems are carried by large conjugative
76 plasmids in *Enterobacteriaceae* (median size 280 kb), predominantly within the *Klebsiella* genus (91
77 %; **Suppl. Fig. S1A-D**)^{7,11}. These plasmids are usually cointegrates of IncHI1B/IncFIB replicons (53 %;
78 **Supp. Fig. S1E**) and frequently carry one or more antibiotic resistance genes (58 %, on average 11
79 resistance genes/plasmid; **Supp. Fig. S1F-H**)¹³.

80 To investigate the biological function and molecular mechanisms driving adaptation and interference
81 in type IV-A CRISPR-Cas, we aimed to establish a model system with ecological and clinical relevance.
82 We selected the clinical isolate *K. pneumoniae* 808330 (sequence type, ST182), which has a
83 chromosomal type I-E CRISPR-Cas system and harbors plasmid p1530 that encodes a type IV-A3
84 CRISPR-Cas system (**Fig. 1B-C**, **Suppl. Fig. S2**). The IncHI1B/IncFIB p1530 is 205 kb and encodes the
85 extended-spectrum β-lactamase (ESBL)-gene *bla*_{CTX-M-15} that has spread globally and confers resistance
86 to important 3rd generation cephalosporins^{14,15}. Through conjugation experiments, we confirmed the
87 ability of p1530 to transfer efficiently from its natural host into another *K. pneumoniae* strain and also
88 other clinically relevant *Enterobacteriaceae* species (**Fig. 1D**).

89 Our analysis of the CRISPR spacer contents in p1530 confirmed the strong preference for targeting
90 other conjugative plasmids predicted across type IV CRISPR-Cas systems (**Fig 1E**, **Suppl. Fig. S2**, **Suppl.**
91 **Table S1**)⁷. Small RNA sequencing of *E. coli* expressing the type IV-A3 from a plasmid showed the
92 production of mature crRNAs (**Fig. 1E**, **Suppl. Fig. S3A**). We then confirmed the constitutive expression
93 and crRNA maturation of the type IV-A3 and type I-E CRISPR-Cas systems in their native *Klebsiella* host
94 through total and small RNA sequencing, respectively (**Suppl. Fig. S3B-C**). Finally, heterologous protein
95 expression and purification revealed the formation of a ribonucleoprotein complex, containing the
96 Cas proteins Cas8 (Csf1), Cas6 (Csf5), Cas5 (Csf3), and multiple Cas7 (Csf2), but lacking DinG (Csf4) at
97 a stoichiometry indicating co-precipitation (**Suppl. Fig. S4**). This shows that the type IV-A3 Cas proteins
98 assemble into a multisubunit complex and indicates that DinG may be recruited to the interference
99 complex *in trans* after target binding, similar to what has been observed for Cas3 in CRISPR-Cas type I
100⁴. These results collectively suggest that the *K. pneumoniae* type IV-A3 system in p1530 is both
101 functional and suitable as a model system.

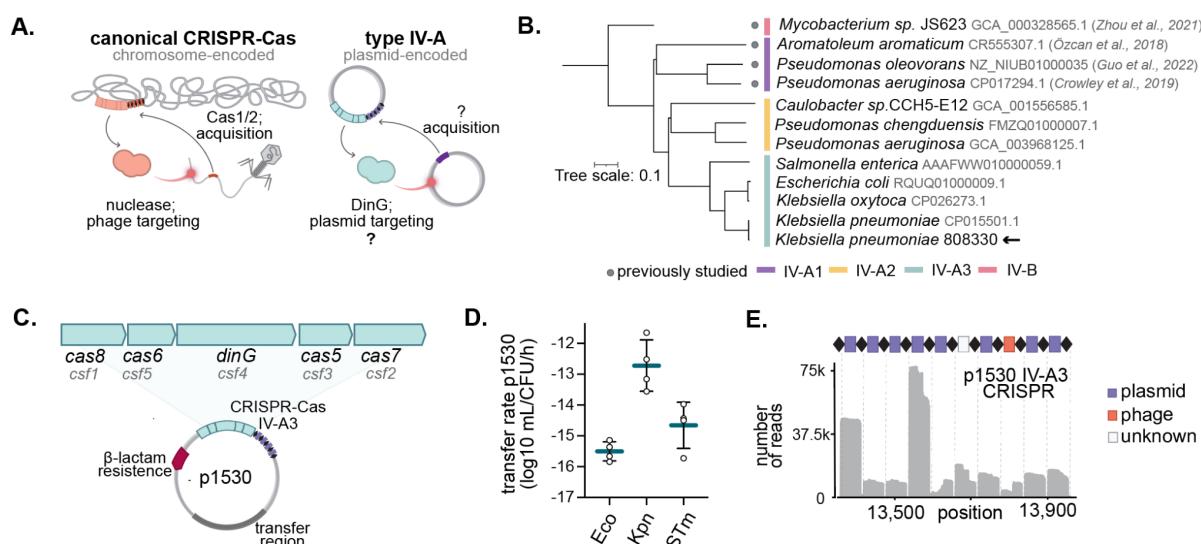
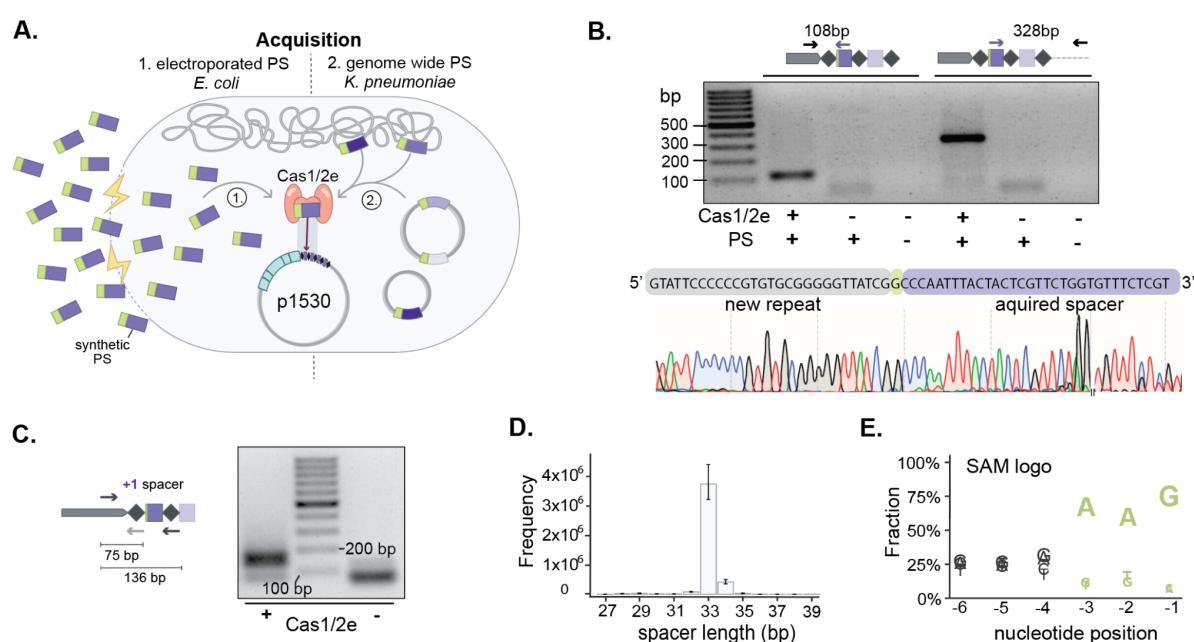


Figure 1. The *K. pneumoniae* type IV-A3 CRISPR-Cas system encoded on a conjugative ESBL-plasmid is functionally active. A) Comparison of canonical CRISPR-Cas systems (left) and type IV-A CRISPR-Cas (right). Most CRISPR-Cas systems are chromosomally encoded, contain Cas1/2 to acquire new spacers, and primarily target phages using associated nucleases. Type IV-A CRISPR-Cas are encoded on conjugative elements such as plasmids, lack Cas1/2 modules and carry a DinG helicase instead of a nuclease component. B) Phylogenetic tree showing the selected type IV-A3 from *K. pneumoniae* 808330 (arrow) among other type IV system representatives. Previously studied orthologs and taxonomic assignments of their hosts are indicated (gray dot). The phylogenetic tree was built using Csf2 (Cas7) protein alignments. C) Schematic of the type IV-A3 cas operon and CRISPR on the *K. pneumoniae* 808330 plasmid p1530. D) Mean rates of p1530 conjugation (blue lines) from its native host *K. pneumoniae* 808330 to different *Enterobacteriaceae* species: *E. coli* (Eco), *K. pneumoniae* (Kpn), and *Salmonella enterica* Typhimurium (STM). The error bars indicate the SD (n=4). E) Schematic of the type IV-A3 CRISPR array carrying ten spacers (top), with their predicted origins indicated in purple (plasmid), orange (phage), or white (unknown). Small RNA-sequencing of the type IV-A3 CRISPR-Cas locus expressed in *E. coli* and mapped back to the p1530 CRISPR array (bottom).

***In trans* use of Cas1/2e facilitates spacer acquisition in type IV-A3 CRISPR arrays**

Type IV-A loci lack adaptation modules despite their association with CRISPR arrays with varying spacer content, prompting questions about the spacer acquisition mechanism (Fig. 1A). Notably, type IV-A3 systems are frequently found in strains that encode chromosomal type I-E systems, and our previous bioinformatic analyses revealed significant similarities in their CRISPR repeats and leader sequences⁷. To investigate the potential functional interplay between type IV-A3 CRISPR arrays with type I-E adaptation modules, we expressed *K. pneumoniae* Cas1e and Cas2e (Cas1/2e) in *E. coli* harboring the type IV-A3-encoding plasmid p1530. To enhance rare spacer acquisition events, we electroporated cells with 35 bp double-stranded DNA oligos as protospacers (PS) containing the canonical type I-E 5'-AAG-3' spacer acquisition motif (SAM) (Fig. 2A, Suppl. Fig. S5A)¹⁶. PCR analysis revealed Cas1/2e-dependent array expansion (Fig. 2B, Suppl. Fig S5B), and Sanger sequencing confirmed integration of the electroporated protospacer into the leader-repeat junction of the type IV-A3 CRISPR array in p1530 (Fig. 2B). Notably, the 3'-guanine of the SAM was incorporated into the CRISPR array together with the protospacer sequence (Fig. 2B), which is a distinctive characteristic of type I-E adaptation¹⁷⁻¹⁹.

133 To further characterize Cas1/2e-mediated spacer acquisition into type IV-A3 arrays and the
134 corresponding SAM, we overexpressed Cas1/2e in *K. pneumoniae* 808330 harboring p1530 with a
135 targeting-deficient type IV-A3 ($\Delta dinG$) to allow for genome-wide spacer acquisition (Fig. 2A). We PCR-
136 amplified and deep-sequenced expanded CRISPR arrays (Fig. 2C, Suppl. Fig. S5C) ²⁰ and mapped the
137 acquired spacers back to the *K. pneumoniae* 808330 genome. Consistent with the acquisition in I-E
138 CRISPR-Cas systems, the majority of acquired spacers were 33 bp in length (85 %, n= 13M total; Fig.
139 2D) and originated from genomic positions next to a 5'-NAAG-3' SAM (position -3 to -1, 49 %, total
140 percentage across all spacers; Fig. 2E) ¹⁷⁻¹⁹. Furthermore, we observed a preference for the acquisition
141 of spacers from plasmids in the cell (Suppl. Fig. S5D), consistent with previous reports ²¹, and no
142 preference for the coding and template strands (Suppl. Fig. S5D-E). Together, our findings
143 demonstrate that plasmid-encoded type IV-A3 CRISPR-Cas systems can use host-derived Cas1/2e to
144 acquire new spacers.



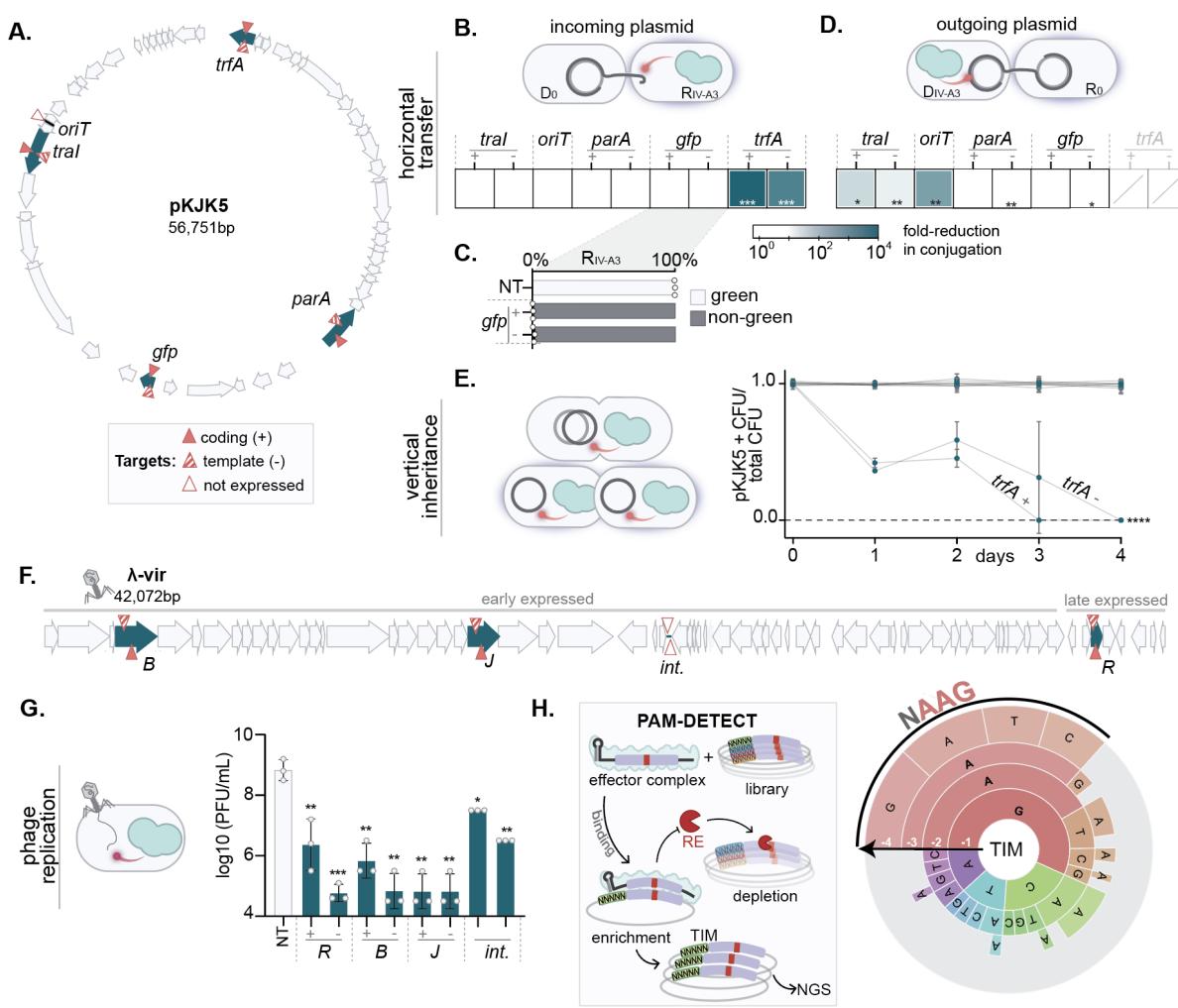
145
146 **Figure 2: Type I-E adaptation machinery facilitates spacer acquisition in type IV-A3 CRISPR loci. A)**
147 Schematic of the two acquisition experiment setups; experiment 1: *E. coli* harboring the p1530-encoded
148 type IV-A3 CRISPR-Cas system and electroporated with double-stranded DNA protospacers (PS, purple)
149 with a 5'-AAG-3' SAM (green). Experiment 2: *K. pneumoniae* with a targeting-deficient p1530-encoded type
150 IV-A3 system ($\Delta dinG$). In both experiments, the Cas1/2e adaptation module was expressed from a plasmid.
151 **B)** Experiment 1: Amplicons of leader proximal CRISPR array region and corresponding Sanger-sequencing
152 results (bottom). The schematics, gel, and Sanger results show the amplification of the CRISPR array
153 upstream and downstream of the newly acquired spacer. The break in the Sanger sequence trace indicates
154 the assembly of sequences from opposing directions. **C)** Experiment 2: Detection by PCR of Cas1/2e
155 dependent genome-wide spacer acquisition in *K. pneumoniae* by amplicon deep-sequencing. The gel and
156 schematics show amplification during the second PCR of CAPTURE of the leader-proximal end, 136 bp for
157 elongated arrays (left) and 75 bp for the leader amplification (right). Black arrows indicate primer annealing
158 sites and the gray arrow indicates a secondary binding site. **D)** Mean number of integrated spacers by
159 length (x-axis) as bars with error bars indicating the 95 % confidence interval (n=3). **E)** Sequence logo of the
160 SAM as determined by the genome-wide spacer acquisition assay. Nucleotide abundance is shown as the
161 mean fraction (n=3) at positions -6 to -1 of the acquired 33 bp-spacers.

162 **IV-A3 targeting interferes with horizontal transfer and stability of conjugative plasmids**

163 Type IV-A3 CRISPR-Cas spacers exhibit a prominent bias towards targeting other large conjugative
164 plasmids (median size 136 kb, 67 % conjugative; **Suppl. Fig. 6A-D**), leading to speculation about their
165 biological role in mediating inter-plasmid conflicts ^{7,9,11,22}. The targeted plasmids, which are
166 predominantly found in *Klebsiella* and span various Inc groups, are often replicon cointegrates such as
167 IncFII/IncFIB (**Suppl. Fig. 6E-G**). Of particular importance, they commonly harbor multiple
168 antimicrobial resistance genes (57%; **Suppl. Fig. S6H-I**).

169 We reasoned that plasmid competition mechanisms may act on the horizontal or vertical inheritance
170 of other plasmids, compromising their long-term stability in bacterial populations. To test whether
171 type IV-A3 can mediate inter-plasmid competition, we leveraged three experimental setups to explore
172 the impact of type IV-A3 targeting on pJK5, a broad-host-range IncP-1 antibiotic-resistance plasmid
173 (**Fig. 3A-E**)^{23,24}. We designed crRNAs targeting both DNA strands of pJK5 in selected regions involved
174 in plasmid replication (replication initiation, *trfA*), inheritance (partitioning, *parA*), conjugation
175 (transfer initiation, relaxase *tral*, and origin of transfer, *oriT*), and expression of a heterologous green
176 fluorescent protein (*gfpmut3*, hereafter *gfp*) (**Fig. 3A**, **Suppl. Fig. S7A-C**).

177 We first asked whether plasmid targeting could limit pJK5 establishment in a type IV-A3-expressing
178 recipient strain (RIV-A3) upon conjugation from a donor strain (D₀) (**Fig. 3B**). When targeting the *trfA*
179 gene, which is essential for plasmid replication, conjugation efficiency was reduced by over four orders
180 of magnitude while targeting the non-essential sites had no effect on pJK5 establishment (**Fig. 3B**,
181 **Suppl. Fig. S7D**). Notably, >95 % of transconjugants with targeted *gfp* did not emit green fluorescence,
182 supporting the interference mechanism by transcriptional repression proposed for type IV-A1 (**Fig.**
183 **3C**)¹². We then investigated whether targeting pJK5 in type IV-A3-expressing donors (DIV-A3) could
184 hinder its transfer to a recipient strain (R₀) (**Fig. 3D**). Targeting the *oriT* and the *tral* gene, which are
185 required for conjugation, substantially reduced horizontal plasmid transfer (**Fig. 3D**, **Suppl. Fig. S7E**).
186 This is in contrast to the plasmid-incoming experiment, where targeting the same regions did not
187 affect conjugation efficiencies (**Fig. 3B**, **Suppl. Fig. S7D**). Finally, we tested whether type IV-A3
188 interference could destabilize pJK5 in a plasmid stability assay (4 days; ~40 generations) in the
189 absence of pJK5-specific selection. In this assay, pJK5 was only lost when targeting the *trfA* gene,
190 confirming its essentiality (**Fig. 3E**, **Suppl. Fig. S8A**). We further explored whether type IV-A3 targeting
191 incurred a growth disadvantage on cells with a targeted plasmid, as shown for other anti-plasmid
192 systems that function through abortive infection^{25,26}. However, there was no qualitative difference in
193 population growth upon targeting (**Suppl. Fig. S8B**). Our experiments demonstrate that type IV-A3
194 CRISPR-Cas can effectively limit both the transfer and stability of natural conjugative plasmids in
195 bacterial populations, regardless of the targeted strand, and are consistent with a natural CRISPRi
196 mechanism.



197

198 **Figure 3. IV-A3 mediates crRNA-directed interference with conjugative plasmids and phages. A)** Gene map of
199 pKJK5 indicating the regions targeted by type IV-A3 in blue. Red triangles represent the approximate location of
200 protospacers. **B)** Type IV-A3 interference in *E. coli* recipients *R*_{IV-A3}. **C)** Evaluation of GFP fluorescence under type
201 IV-A3 targeting in *R*_{IV-A3}. Bars represent the mean of transconjugants emitting green and non-green signals,
202 comparing a non-targeting (NT) crRNA control to crRNAs targeting *gfp*. **D)** Type IV-A3 interference in *E. coli*
203 donors *D*_{IV-A3}. Evaluation of *trfA* targeting was not feasible due to the instability of pKJK5 while expressing type
204 IV-A3 CRISPR-Cas (crossed, gray-shaded squares). The conjugation efficiency of pKJK5 in **B** and **D** is shown as the
205 conjugation reduction compared to the NT control. **E)** Plasmid maintenance assay showing pKJK5 stability under
206 type IV-A3 targeting in the absence of pKJK5-selection over ~40 generations. The dotted line indicates the
207 detection limit of the assay. Blue dots show the mean of four biological replicates with error bars as SD (n=4). **F)**
208 Genome map of λ-vir indicating the regions targeted by type IV-A3 in blue. Target sites are shown as in **A**. Early
209 and late expressed regions are indicated. **G)** Type IV-A3 interference of λ-vir infection in *E. coli* determined as
210 plaque forming units (PFU)/mL. Bars show the mean values and error bars indicate the SD. **H)** Schematic of PAM-
211 DETECT method on the left. TIM wheel on the right shows the mean sequence motif recognized by type IV-A3
212 interference complex in 5' > 3' from outer to inner position (n=2). The size of the arc for each nucleotide position
213 corresponds to its relative enrichment within the TIM library. Individual sequences comprising at least 2 % of
214 the PAM wheel are shown. P values in panels **B** and **D-F** represent two-sample Student's t-Tests of log10
215 transformed T/(R+T) for panels **B** and **D**, pKJK5⁺ fraction for **E** and PFU/mL for **G**, comparing each targeting
216 treatment to the NT control (n=3 if not stated otherwise). **** P ≤ 0.0001; *** P ≤ 0.001; ** P ≤ 0.01; *P ≤ 0.05.

217 **IV-A3 interferes with phage propagation**

218 A small fraction of spacers in type IV CRISPR arrays are predicted to match phage sequences (**Fig. 1E**,
219 **Suppl. Fig. S2, S6A, Suppl. Table S1**)^{7,9,10}, suggesting a selective advantage for plasmids to retain these
220 spacers. To evaluate this, we challenged *E. coli* with phage λ -vir and designed type IV-A3 crRNAs
221 against both DNA strands of the λ -vir genome at four selected positions, including early and late
222 expressed genes, and an intergenic region (**Fig. 3F**). Type IV-A3 interference reduced the ability of λ -
223 vir to propagate in its host for up to five orders of magnitude (**Fig. 3G**). Interestingly, interference with
224 phage infection was significant but less pronounced when targeting the intergenic region (**Fig. 3G**).
225 These results indicate that type IV-A3 CRISPR-Cas systems can robustly target phages and suggest that
226 type IV-A3-carrying plasmids can enhance their own fitness by protecting their hosts from phage
227 predation.

228 **IV-A3 interference requires the presence of a target interference motif**

229 Mutational evasion of CRISPR-targeting by phage evasion has provided valuable insights into the
230 mechanistic constraints of CRISPR-Cas systems, revealing that the PAM and seed (PAM proximal
231 region, important for target identification initiation) in the protospacer are essential for interference
232²⁷⁻²⁹. To deepen our mechanistic understanding of type IV-A3 targeting, we isolated and analyzed a set
233 of λ -vir variants capable of escaping interference. We found λ -vir evaded targeting by mutations in the
234 2nd and 3rd positions of the 5'-AAG-3' PAM, in the seed, or by deleting the seed and PAM region (**Suppl.**
235 **Fig. S9A-D**), altogether suggesting a reliance on the stringent recognition of a target interference motif
236 (TIM).

237 To further characterize the TIM requirements of type IV-A3, we used an *in vitro* cell-free transcription-
238 translation (TXTL) assay for PAM determination (PAM-DETECT) based on the restriction enzyme-
239 dependent depletion of protospacer sequences without a recognized TIM³⁰. This revealed a
240 pronounced dependence of the interference complex on the recognition of a 5'-NAAG-3' TIM for
241 protospacer binding (**Fig. 3H**). The identified TIM exhibits striking consistency with the 5'-AAG-3' SAM
242 determined in our Cas1/2e dependent acquisition experiments (**Fig. 3H**, **Fig. 2E**), highlighting the
243 compatibility in functional requirements between the type I-E adaptation machinery and type IV-A3
244 interference complex. Finally, we found that λ -vir also overcame interference through deletion of the
245 region encoding the targeted lysozyme gene *R*, and subsequently acquiring a functional homolog
246 present in other coliphages (**Suppl. Fig. S9E**). Together, these results highlight the strong selective
247 pressure exerted by type IV-A3 interference with λ -vir and the stringent recognition of a TIM for
248 effective targeting.

249 **DinG is essential for blocking expression once transcription has initiated**

250 The above results and a recent study suggest that type IV-A systems elicit target interference through
251 transcriptional repression¹², shedding light on the targeting mechanism. However, the mechanistic
252 role of the associated DinG helicase remains unclear despite its suggested ATP-dependent 5'-3' DNA
253 helicase activity on the target⁸ and its proposed essentiality in this process^{10,12}. To shed light on the
254 relevance of DinG during interference, we used three variants of the type IV-A3 CRISPR-Cas system:
255 wildtype, a DinG knockout mutant (Δ *dinG*), and a catalytically inactive helicase mutant (D215A/E216A,
256 *dinGmut*; **Fig. 4A**)¹⁰.

257 We then assessed the ability of the variant systems to target fluorescent reporter genes at various
258 intragenic positions and promoter sites, using an *in vivo* setup (chromosomal *mCherry*; **Fig. 4B**) and an
259 *in vitro* TXTL setup (*degfp*; **Suppl. Fig. S10A-B**). Using the wildtype IV-A3 CRISPR-Cas system, targeting
260 within the open reading frame of the reporter genes consistently resulted in a robust decrease of
261 fluorescent signal (**Fig. 4C**; **Suppl. Fig. S10C**). In contrast, interference was completely abolished in the
262 absence of Ding (Δ *dinG*), supporting its requirement for gene silencing. With the *dinGmut* variant, we
263 found a reduced *mCherry* signal when crRNAs hybridized to the template strand but not when crRNAs
264 hybridized to the coding strand *in vivo*. Targeting in the promoter region, however, always resulted in
265 a strong reduction of reporter signal, independent of the Ding variant (**Fig. 4C**, **Suppl. Fig. S10C**).
266 Indeed, we found that purified type IV-A3 complexes bound strongly (at a low nM apparent
267 dissociation constant K_D) to a cognate double-stranded DNA target in the absence of Ding (**Suppl. Fig.**
268 **S11A-C**). This shows that initial DNA target binding is independent of Ding and suggests that the
269 reduced reporter signal, observed upon targeting the promoter region of *mCherry* (**Fig. 4C**), arises
270 from blocked transcription initiation upon type IV-A3 ribonucleoprotein complex binding. Together,
271 these results suggest that the type IV-A3 complex without Ding is sufficient to prevent transcription
272 initiation, while Ding is crucial to mediate gene repression in transcribed regions.

273 **IV-A3 mediated re-sensitization of antibiotic-resistant bacteria**

274 Due to the growing spread of antimicrobial resistance in pathogenic strains and its impact on global
275 health³¹, there is an urgent need to develop alternative strategies such as restoring antimicrobial
276 susceptibility³²⁻³⁴. Our findings highlight that type IV-A3 shows promise as a programmable tool for
277 transcriptional repression, which is particularly noteworthy given the natural propensity of type IV-A3
278 systems to target conjugative multidrug-resistance plasmids carried by clinical pathogens (**Suppl. Fig.**
279 **S6F, H-I**).

280 To investigate the suitability of type IV-A3 for re-sensitizing bacterial strains to antimicrobials, we
281 guided the effector complex towards β -lactam resistance genes (**Fig. 4D**). By targeting the ESBL-gene
282 (*bla*_{CTX-M15}) encoded on the clinical *E. coli* plasmid p1ESBL (**Fig. 4E**)^{35,36}, we restored the strain's
283 susceptibility to the extended-spectrum β -lactam antibiotic ampicillin (Amp). Importantly, the
284 targeted plasmid was maintained in re-sensitized cells, consistent with the transcriptional repression
285 mechanism (**Fig. 4E**). With a broth microdilution minimum inhibitory concentration (MIC) assay, we
286 further demonstrated that targeting the chromosomal *bla*_{SHV-187} in *K. pneumoniae* 808330 reduced the
287 MIC value below the EUCAST clinical susceptible breakpoint (8 mg/L for Amp, v13.0, 2023-01-01; **Fig.**
288 **4F**). The resistance reduction was similar to that caused by the SHV-187 null-mutation (Δ SVH) (**Fig. 4F**)
289 or the addition of the β -lactamase inhibitor clavulanic acid in a disc diffusion assay (**Suppl. Fig. S12A-B**).
290 Together, our results underscore the programmability of type IV-A3 systems for silencing target
291 genes of interest and exemplify their use for combating antimicrobial resistance.

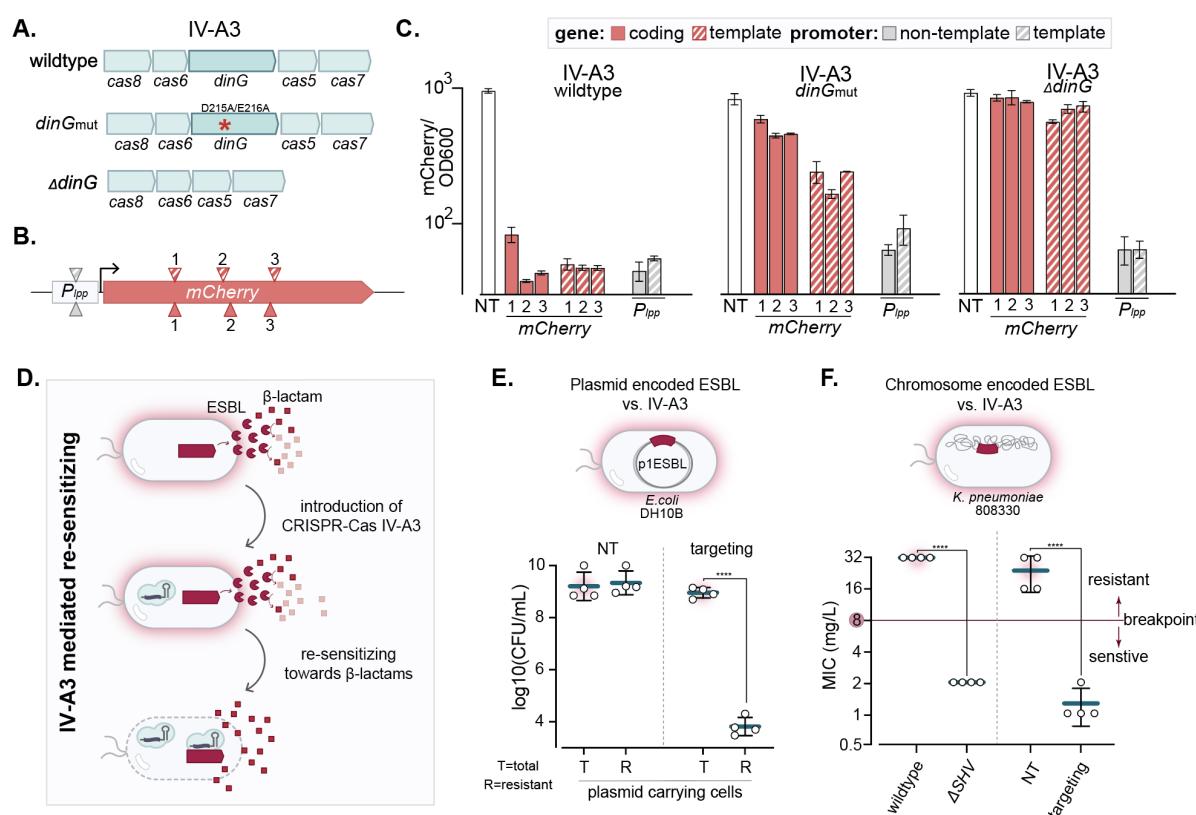


Figure 4: IV-A3 interference functions through transcriptional repression and can re-sensitize antibiotic-resistant bacteria. **A)** Schematic of the three type IV-A3 CRISPR-Cas variants used in the *mCherry* reporter targeting assay; wildtype, *dinG*mut with a catalytically inactive *DinG*, and the Δ *dinG* knockout mutant. **B)** Illustration of the *mCherry* reporter construct. Triangles represent the approximate location of protospacers within *mCherry* (red) and the *P_{Lpp}* promoter (gray), where the crRNA hybridizes to the coding (full) and template (crosshatched) strands **C)** *In vivo* transcriptional repression assay. The mean *mCherry* fluorescence signal is normalized to bacterial OD₆₀₀ (y-axis) and the targeted positions are shown on the x-axis. Error bars indicate SD. A linear model indicated that IV-A3 variant, target position (promoter vs. gene), and strand significantly contribute to relative *mCherry* levels ($R^2 = 0.98$, $P < 0.0001$ for all factors) and a bidirectional stepwise linear regression that target position is the most important predictor, followed by IV-A3 variant, and then strand ($n = 3$). **D)** Diagram illustrating the process of restoring β -lactam sensitivity in ESBL-producing strains through crRNA-guided type IV-A3 CRISPR-Cas gene silencing. **E)** Reversal of ESBL-mediated antibiotic resistance encoded on p1ESBL carried by *E. coli* DH10B shown as the log₁₀ of colony forming units (CFU)/ mL under type IV-A3 interference (targeting) and the NT control. Lines represent the mean of the plasmid-carrying cells: total population of plasmid-carrying cells (T) and the β -lactam resistant subpopulation thereof (R) ($n = 4$). Error bars indicate the SD. **F)** MIC of Amp for *K. pneumoniae* 808330 under type IV-A3 mediated transcriptional repression of *bla_{SHV-187}* (right) and for a Δ SVH mutant (left). The EUCAST susceptibility breakpoint (8 mg/L) is indicated in red. Datapoints shown at 32 mg/L are \geq 32 mg/L and error bars indicate SD. The p-value in panels E and F represents a two-sample Student's t-Tests, of the log₁₀ transformed CFU/mL for E and MIC values for F ($n = 4$ for both). **** $P \leq 0.0001$.

314

DISCUSSION

315 CRISPR-Cas systems encoded on MGEs frequently lack adaptation modules^{22,37-39} and how they
316 acquire new spacer memory has remained enigmatic. Our experiments support a model in which type
317 IV-A3 CRISPR-Cas systems can overcome this limitation by employing host-derived I-E Cas1/2e
318 proteins (**Suppl. Fig. S13**). Since the adaptation machinery has a strong preference for sampling MGEs
319⁴⁰, type IV systems may reduce plasmid self-targeting costs while enabling acquisition occasionally,
320 when compatible adaptation modules become available *in trans*. Our findings reveal a striking
321 functional overlap in PAM recognition preferences between the type I-E adaptation and type IV-A3
322 interference complexes (SAM and TIM, respectively), underscoring remarkable co-evolution of DNA
323 motif specificity among distinct CRISPR-Cas types. We speculate that other mobile CRISPR-Cas
324 systems, including CRISPR-associated transposons^{38,41-43} and certain phage-^{39,44,45} and plasmid-
325 encoded loci²², may similarly co-opt host adaptation machinery to acquire spacer content. A growing
326 body of work is revealing the frequent carriage of diverse anti-phage defense systems by MGEs^{46,47},
327 suggesting that such functional complementarity with chromosomal loci may be a widespread
328 phenomenon beyond CRISPR-Cas.

329 Despite growing evidence that type IV-A CRISPR-Cas systems are primarily involved in inter-plasmid
330 conflicts⁷⁻⁹, this hypothesis has remained unexplored. Our findings demonstrate that type IV-A3 can
331 effectively block horizontal transfer and vertical inheritance of conjugative plasmids in bacterial
332 populations by silencing essential plasmid functions. The benefits of licensing interference through
333 non-nucleolytic activities are unclear. However, in contrast to nucleolytic CRISPR-Cas systems,
334 transcriptional repression may be less likely to trigger DNA-damage-induced SOS response that
335 impairs host growth⁴⁸⁻⁵⁰ and plasmid fitness⁵¹. This advantage may extend to other MGE-encoded
336 CRISPR-Cas systems lacking nuclease activity, such as types V-M⁴⁵ and V-C⁵², the helicase-associated
337 type I-C variant³⁹, as well as other type IV systems⁷.

338 Non-nucleolytic interference may present further advantages, including the capacity to acquire
339 spacers that target chromosomal genes without causing toxic effects. Furthermore, this mechanism
340 could allow plasmids to selectively retain spacers that manipulate the host's or other co-residing
341 MGE's transcriptional profiles to their advantage. In support of this, Guo et al. 2022 reported the
342 repression of the chromosomal pilus biogenesis gene PilN by a plasmid-encoded type IV-A1 system.
343 Interestingly, a significant proportion of type IV CRISPR spacers match plasmid conjugation genes⁷,
344 which are also involved in pilus formation. For example, phages using pili as receptors are widespread
345⁵³, and it is possible that type IV-driven pilus repression enhances plasmid fitness by preventing phage
346 entry into host cells.

347 Albeit dependent on the presence and catalytic integrity of DinG, we demonstrate that type IV-A3 can
348 robustly interfere with transcription elongation when targeting both the coding and template strands
349 (**Suppl. Fig. S13**). This finding highlights the potential of type IV-A3 as a strand-independent CRISPRi
350 tool that contrasts the conventional nuclease-deficient Cas9 (dCas9)^{54,55}, dCas12^{56,57} and Cascade⁵⁸,
351 which are mostly restricted to the coding strand. As a proof-of-concept demonstration, we show that
352 type IV-A3 gene silencing can be repurposed to re-sensitize bacteria to antibiotics, including high-risk
353 clinical *K. pneumoniae* strains resistant to last resort β -lactams⁵⁹. We further showcase that the
354 targeting of plasmid-encoded accessory genes does not cause plasmid loss, highlighting the distinctive
355 potential of type IV-A3 to prevent the emergence of CRISPR-Cas inactivating mutations^{60,61}. Indeed,

356 such unwanted mutations are particularly favored when targeting natural plasmids for removal, as
357 they frequently encode addiction systems that select for their maintenance in the population^{62,63}. We
358 anticipate that further investigations of the molecular mechanisms underlying type IV CRISPR-Cas
359 systems will present further opportunities for harnessing their unique crRNA-guided properties in
360 biotechnological applications.

361 **MATERIALS AND METHODS**

362 **Bacterial strains, phages, and growth conditions**

363 Bacterial strains phages, and plasmids used in this study are listed in **Table S3 and 4**. We screened the
364 *Klebsiella spp.* collection from the University Hospital Basel, Switzerland to identify *K. pneumoniae*
365 808330, which was isolated in 2017 from a rectal swab taken during a hospital hygiene screening. We
366 performed library preparation for *K. pneumoniae* 808330 with a Nextera XT Kit to sequence on an
367 Illumina NextSeq500 (paired end, 2 × 250 bp) and a rapid barcoding sequencing kit (SQK-RBK004). We
368 then proceeded to sequence with an Oxford Nanopore MinION system (FLO-MIN-106 flow cell). To
369 generate a hybrid assembly, we used Unicycler v0.4.8⁶⁴ and annotated CRISPR-Cas systems using
370 CRISPRCasTyper⁶⁵, plasmids with Plasmidfinder and MOB-suite^{66,67}, and resistance genes by blasting
371 against the CARD database⁶⁸. Unless stated otherwise, bacterial cultures grew at 37 °C and under
372 agitation (180 rpm) in lysogenic broth (LB) medium, supplemented with appropriate amounts of
373 antibiotics: none, 100 µg/mL carbenicillin to maintain plasmid pMMB67he, pYTK095, p1530 and
374 derivatives thereof; 25 µg/mL chloramphenicol (CM) to maintain plasmid pMMB_IVA3_Cas_Cm or
375 select for CM resistant MG1655; 20 µg/mL gentamicin to maintain plasmid pHERD30T and derivatives;
376 20ug/mL kanamycin or 15 µg/mL tetracycline to maintain pKJK5 and 50 µg/mL to select for pRSF-
377 derivates. When appropriate, the following inducer concentrations were used: 0.2–0.3 % w/v L-
378 arabinose and 0.1 mM isopropyl β-D-1-thiogalactopyranoside (IPTG). To propagate the virulent *E. coli*
379 phage λ-vir, we incubated a single plaque with *E. coli* GeneHogs in 3 mL of LB containing 10 mM MgSO₄
380 at 37 °C for 3 h. Then we added the 3 mL phage-bacteria mix to a 20 mL culture of *E. coli* GeneHogs of
381 an optical density (OD at 600 nm, hereafter OD600) of ~0.8, which we incubated at 37 °C for 6 h or
382 until clear. We collected phages by sterile filtering the lysate and storing it at 4 °C over chloroform.

383 **p1530 transfer rate estimation**

384 To estimate p1530 transfer rates [mL (CFU h)⁻¹], we used the Approximate Extended Simonsen Model,
385 which accounts for varying growth rates of donor, recipient, and transconjugants and estimates a time
386 window for reliable transfer rate estimations⁶⁹. The p1530 plasmid transferred from its native host *K.*
387 *pneumoniae* 808330 to the clinical *E. coli* Z1269 and *K. pneumoniae* SB5442, both carrying the Cm
388 resistance-plasmid pACYC184, and to STm 14028 with the chromosomal Cm marker *marT::cat*. In brief,
389 we grew four independent overnight cultures of donor and recipient strains supplemented with
390 appropriate antibiotics, washed them by pelleting and resuspending, and mixed 1µL of 6.5-fold diluted
391 donor and recipient cultures each to 150µL LB in a 96-well plate (final 1000-fold dilution). We
392 enumerated donors, recipients, and transconjugants in mating populations after 6 h of growth without
393 agitation and estimated their growth rates (h⁻¹) based on hourly OD600 measurements (Tecan
394 NanoQuant Infinite M200 Pro) using the R package Growthcurver. Finally, we estimated transfer rates
395 with the R package conjugator⁶⁹

396 **Cas7 phylogenetic tree**

397 We selected a representative set of type IV-A CRISPR-Cas systems and used CRISPRCasTyper⁶⁵ to
398 extract the Cas7 (Csf2) protein sequences. The chosen type IV-A loci covered the diversity observed
399 across the type IV-A variants (A1-A3)⁷ and included the reference type IV-A1 systems studied in
400 previous works^{5,10,12}. To root the subsequent tree, we used the Cas7 from a previously studied type
401 IV-B system⁶. Mafft (v.7.0) was used to generate the multiple sequence alignment (Gap open penalty:

402 1.53; Gap extension penalty: 0.123) ⁷⁰ and an approximately--maximum-likelihood phylogenetic tree
403 was generated with FastTree ⁷¹. The resulting tree was visualized with iTOL ⁷².

404 Computational analysis to identify plasmids targeted by type IV-A3

405 Type IV-A3 CRISPR-Cas systems were retrieved by running CRISPRCasTyper ⁶⁵ on the ENA bacterial
406 genome database ⁷³. We extracted spacers from the identified type IV-A3 CRISPR arrays and
407 dereplicated them using cd-hit-est (v.4.8.1) ⁷⁴ (90% identity and 90% coverage), yielding a dataset of
408 450 non-redundant spacers. (**Suppl. Table S5**). To identify plasmids and phages targeted by type IV-
409 A3 systems (i.e. carrying matching protospacers), we used the BLAST suite of programs, v.2.6.0+ ⁷⁵ to
410 screen the spacer queries in >55,000 plasmid and bacteriophage sequences. As a plasmid database,
411 we employed the 21,520 plasmids retrieved from the complete genomes available in the NCBI non-
412 redundant RefSeq database in March 2021. As a bacteriophage database, we used the 34,718
413 bacteriophage sequences available in the NCBI Virus Collection in June 2023. We indexed both
414 databases with makeblastdb (default parameters) and used blastn (v.2.6.0+) to screen for matching
415 protospacers, with the option -task blastn-short and an E-value threshold of 0.05 given the short
416 length of the queries. Hits against type IV-A3-encoding plasmids – identified by running
417 CRISPRCasTyper ⁶⁵ on the PLSDB plasmid dataset ⁷⁶ (**Suppl. Table S6**) – were discarded to avoid
418 potential matches against type IV-A3 CRISPR arrays. Moreover, we only retrieved hits showing >95 %
419 identity and >95 % coverage for further analysis. This resulted in a total number of 3,046 hits, 3,035
420 against the plasmid database, and 11 against the phage database. 58 % showed 0 mismatches in the
421 alignment, and 42% showed one mismatch. No alignment with >1 mismatch was retrieved.

422 Characterization of type IV-A3 carrying and targeted plasmids

423 For both, type IV-A3 carrying and targeted plasmids, we identified plasmid incompatibility groups with
424 PlasmidFinder, v.2.0.1 ⁶⁶, using the database of *Enterobacteriales* (v.2023-01-18), and antimicrobial
425 resistance genes with the software AMRFinderPlus, v.3.11.4 ⁷⁷. Additionally, some of these plasmids
426 have previously been characterised as phage-plasmids ⁷⁸. Finally, to characterise the mobility of
427 plasmids we identified Mating Pair Formation (MPF) system and relaxase (MOB) with CONJScan,
428 v.2.0.1 ⁷⁹, and oriT with an in-house protocol previously described ⁸⁰. The MPF, MOB, and oriT allowed
429 us to classify plasmids as conjugative (putatively complete MPF system with a relaxase), decay
430 conjugative (incomplete MPF system with a relaxase), MOB-mobilizable (relaxase in the absence of an
431 MPF system), and oriT-mobilizable (presence of an *oriT* and absence of both MPF and MOB). The
432 remaining replicons were considered as non-transmissible. To visualize this data we used the R
433 package ggplot2, v.3.3.5 ⁸¹, with the addition of the R packages UpSetR, v.1.4.0 ⁸² and ggridges, v.0.5.3
434 ⁸³ where required.

435 Design and cloning of expression vectors

436 For the construction of expression vectors we performed USER cloning (NEB) or Gibson Assembly
437 (NEB) following the manufacturer's instructions. For exchanging the spacer sequences in pHerd_IV-
438 A3_mini-array_NT we used Golden Gate DNA Assembly or restriction cloning, digesting 500 ng of the
439 backbone with *Bs*al-HF (NEB) and ligating 5 µL of 5 µM spacers (annealed oligos) with 80ng of *Bs*al-
440 digested backbone using the T4 ligase (NEB). We chose spacer sequences based on protospacer
441 position and their association with a 5'-AAG-3' motif and annealed them from two oligonucleotides
442 with the according restriction site overhangs (95 °C for 5 min, 23 °C for 15 min). All constructs were

443 then transformed into *E.coli* and constructs were confirmed by Sanger and/ or Oxford Nanopore
444 sequencing. All oligonucleotides used in this study are listed in **Suppl. Table S7**.

445 **RNA Sequencing and data analysis**

446 To test type IV-A3 activity, we analysed crRNA processing in both, *K. pneumoniae* 808330 under
447 natural expression from p1530 and in *E. coli* MG1655 from pMMB_IVA3_Cas_CRISPR. For both we
448 extracted small RNAs with the mirVana isolation kit (Ambion), treated with DNase I (New England
449 Biolabs, NEB), end-repaired with T4 Polynucleotide Kinase (NEB), and submitted final products to
450 library preparation (NEBNext Ultra RNA Library Prep Kit for Illumina), following the manufacturer's
451 instructions. For the transcriptomic analysis of *K. pneumoniae* 808330, we extracted total RNA with
452 the mirVana isolation kit (Ambion), treated with DNase I (NEB), rRNA depleted with a NEBNext rRNA
453 Depletion Kit (Bacteria), and submitted final products to library preparation using a NEBNext Ultra II
454 Directional RNA Library Prep Kit for Illumina following the manufacturer's instructions. We sequenced
455 with an Illumina MiniSeq System in single-end mode, generating 150 nucleotide reads and quality
456 control using FastQC. We trimmed reads with Cutadapt and aligned them to the genome of 808330
457 and *E. coli* MG1655 using Hisat2^{84–86}. To calculate the abundance of transcripts we used the RPKM
458 method⁸⁷. For data analysis, coverage plots, and scatter plots we used the R package ggplot2⁸⁸.
459 Expression and purification of the type IV-A3 ribonucleoprotein complex.

460 To express the type IV-A3 ribonucleoprotein complex and the crRNA, we grew overnight cultures of
461 single colonies of *E. coli* BL21 Star containing the plasmid-encoded type IV-A3 complex with a C-
462 terminal Gly-His6-tag at Cas7 (Csf2), each in 15 mL terrific broth (TB, Thermo) at 37 °C with required
463 antibiotics and at 200 rpm (here and the following steps). These starter cultures we subcultured in 1
464 L TB with required antibiotics to an OD600 of 0.6 – 0.8, before induction of expression by adding IPTG
465 and further growth for 3 h. We pelleted cells by centrifugation (3,600 x g, 30 min, 4 °C) and
466 resuspended them in 20 mL lysis buffer (10 mM HEPES-Na, pH 8.0, 150 mM NaCl, 40 mM imidazole)
467 before cell lysis by sonication using a Vibra-Cell ultrasonic processor at 40 % amplitude for 5 min with
468 pulses of 3 s at 3 s intervals. We cleared lysates by centrifugation (47,384 x g for 20 min at 4 °C) and
469 applied supernatants onto 1 mL HisTrap FF columns (Cytiva, pre-equilibrated in lysis buffer, for Ni-NTA
470 affinity chromatography at 4 °C). After a wash step with 15 column volumes of lysis buffer, we eluted
471 proteins with three column volumes of elution buffer (10 mM HEPES-Na, pH 8.0, 150 mM NaCl, 500
472 mM imidazole). We concentrated proteins to 0.5 mL at 4 °C and further purified by size-exclusion
473 chromatography using a Superose 6 Increase 10/300 GL column (Cytiva, equilibrated in size exclusion
474 buffer: 10 mM HEPES-Na, pH 7.5, 150 mM NaCl) at 4 °C. We then concentrated main peak fractions to
475 0.5 mL at 4 °C and estimated concentrations based on the absorbance at 280 nm using a NanoDrop
476 Eight spectrophotometer (Thermo) and extinction coefficients based on an assumed Cas protein
477 complex stoichiometry of 1:1:6:1 (Cas5:Cas8:Cas7:Cas6).

478 **Verification of *in trans* spacer acquisition**

479 A similar approach to detect the acquisition of synthetic protospacers has been used by Shipmann et
480 al., 2016¹⁶. In brief, we grew *E. coli* MG1655 carrying p1530 in combination with either pHerd_Cas1/2e
481 or pHerd30T_ev (negative control) overnight, each in triplicates, and subcultured cells in LB containing
482 0.2 % w/v L-arabinose until they reached an OD600 of ~0.4. We made each replicate electrocompetent
483 according to standard laboratory procedures and electroporated 100 ng of the double-stranded
484 protospacer PS (PSA33¹⁶) as annealed oligonucleotides. As a negative control, we electroporated cells

485 without any PS DNA. Cells were recovered for 1.5 h in LB supplemented with 0.2 % w/v L-arabinose,
486 spun down and resuspended in 50 μ L water, and stored at 4 °C until further processing. To confirm
487 the acquisition of the new PS in the type IV-A3 array, we performed two PCRs on each of these
488 templates: one PCR to amplify the leader-proximal end (pFB29, annealing to PS/pFB39) and one to
489 amplify the leader distal end (pFB28, annealing to PS/pFB74). We verified spacer integration in the
490 leader-repeat junction by agarose gel electrophoresis and PCRs yielded amplicons of 108 bp and 328
491 bp, respectively. We subjected PCR products from each replicate to Sanger-sequencing twice, once
492 with pFB29 (leader-proximal end) and once with pFB28 (leader-distal end). We performed PCRs with
493 the Phusion DNA Polymerase (Thermo) following the manufacturer's protocol and with an annealing
494 temperature of 60.1°C for 35 cycles.

495 **Detection of spacer acquisition in native CRISPR arrays**

496 To facilitate genome-wide spacer acquisition we used a p1530 Δ dinG (NT) containing *K. pneumoniae*
497 808330. We generated this mutant in *E. coli* GeneHogs using the lambda red recombinase system⁸⁹.
498 For the assay, we conjugated p1530 Δ dinG back into *K. pneumoniae* 808330, from which we previously
499 cured p1530. We grew the p1530 Δ dinG containing *K. pneumoniae* 808330 from single colonies with
500 pHerd_Cas1/2e or pHerd30T_ev (negative control) in quadruplicates in LB supplemented with L-
501 arabinose (0.2 % w/v) overnight. For each sample, we extracted total DNA with the DNeasy Blood
502 & Tissue Kit (QIAGEN) from 1.5 mL cultures, which we used as templates for subsequent PCR reactions
503 (100 ng). To monitor adaptation, we amplified the leader-proximal end of the CRISPR array by
504 CAPTURE PCR²⁰: a first PCR with primers targeting the leader (pFB88) and the first spacer (pFB89,
505 spacer1) isolated the leader-proximal end (leader-repeat1-spacer1 for unextended and leader-new
506 repeat-acquired spacer-repeat1-spacer1 for extended arrays). We separated extended amplicons
507 from unexpanded products by agarose gel electrophoresis (2.5 % w/v), cut invisible bands of elongated
508 arrays (174 bp), and isolated DNAs with the GeneJET Gel Extraction Kit (Thermo). These served as
509 templates for a second PCR: we amplified extended arrays with pFB88 and degenerate primers
510 targeting repeat1, whose 5' ends are not complementary to the 3' end of the leader (adenine, pFB90,
511 pFB91, pFB92). Importantly, this method introduces a bias because spacers that carry the base
512 adenine at their 3' end are likely not amplified. After this selective PCR, we separated
513 expanded/unexpanded amplicons by agarose gel electrophoresis (1.5 % w/v). One replicate did not
514 show a band the size of expanded arrays and was dismissed. We performed PCRs with the Phusion
515 DNA Polymerase (Thermo) following the manufacturer's protocol and with an annealing temperature
516 of 67.2 °C for 35 cycles. To reach a high enough DNA concentration for high-throughput sequencing,
517 we performed each PCR with multiple reactions for each sample. Array-amplicons were sequenced at
518 Novogen (Illumina NovaSeq, 150 nucleotides paired-end reads, UK) after adaptor ligation (NEBNNext
519 Ultra II DNA Library Prep Kit for Illumina). After sequencing, we de-multiplexed samples by index and
520 had an average of 4,616,517 read pairs. First, we filtered and trimmed reads according to base
521 qualities with Trimmomatic (v0.39)⁹⁰ with the following parameters: PE LEADING:5 TRAILING:5
522 MINLEN:80 AVGQUAL:20. Filtering removed on average 0.11% of the read pairs. Second, we merged
523 forward and reverse reads with PEAR (v0.9.6)⁹¹ with default parameters. On average 98.35 % of
524 filtered read pairs were merged. To extract spacers we used cutadapt (v1.18)⁸⁴ with the pattern
525 (partial leader + repeat + spacer(...) + partial repeat, or the reverse complement) with the -g option:
526 "GCTGGTGGATTTAGTAGTGGCGCTATTAATTTATAATCA-
527 ACCGGTTATTTAGAGTATTCCCCCGTGTGCGGGGGTATCG...GTATTCCCCCGTGTGCG". From on
528 average 93.4 % of merged read pairs a spacer of any length could be extracted, of which 97 % were

529 between 31 and 35 bp. We reverse-complemented spacers extracted with the reverse complement
530 pattern to match the transcribed strand. To align spacers we searched for perfect matches on either
531 strand of present genomes and excluded matches to the array on p1530 and spacers aligning multiple
532 locations; this resulted in matches for 78.5 % of the 33 bp spacers, which we used for the SAM analysis.

533 **pJK5 targeting assays**

534 To test the type IV-A3's capacity to target the environmental and tetracycline-resistant IncP-1 plasmid
535 pJK5 tagged with *gfp*²⁴ in *E. coli*, we overexpressed the IV-A3 Cas operon from the P_{TAC} promoter and
536 complemented it with a crRNA (mini array; repeat-spacer-repeat sequence) expressed from the P_{Bad}
537 promoter crRNAs contained spacers targeting *trfA*, *parA*, *tral*, and *gfp* in the coding (+) and template
538 strand (-), and the *oriT*, and we used the non-targeting pHerd_IV-A3_mini-array_NT as a negative
539 control. Plasmids are given in **Suppl. Table S4** and explanations for a spacer design are provided in
540 **Suppl. Fig. S7A-C**.

541 With this, we set up three independent targeting assays, two to test type IV-A3 interference with
542 pJK5 transfer and one to test IV-A3 interference with pJK5 stability within a bacterial population. In
543 the pJK5 incoming (from D0 to RIV-A3) (**Fig. 3B**) and the pJK5 outgoing (from DIV-A3 to R₀) (**Fig. 3D**)
544 assays, we estimated IV-A3 interference in the *E. coli* MG1655 recipient R_{IV-A3} and donor D_{IV-A3},
545 respectively, as the pJK5 transfer efficiency (CFU_{transconjugants} / (CFU_{recipients} + CFU_{transconjugants}), (T/(R+T))
546 relative to the pJK5 transfer efficiency in the NT control. Note that for the outgoing assay, the
547 recipients also encoded a type IV-A3 and crRNA to avoid obscuring the interference signal by
548 secondary pJK5 transfer (from transconjugants to recipients) and a chromosomal Cm marker. In brief,
549 after overnight growth of recipient and donor strains in biological triplicates and with required
550 antibiotics, we diluted 1:100 and re-grew strains in LB + inducers and appropriate antibiotics into
551 exponential phase (~3 h). We removed antibiotics by spinning and resuspending cultures in LB +
552 inducers and incubated at RT for 15 min. To initiate mating cultures we spun 500 µL each, resuspended
553 in 20 µL LB + inducers, and mixed 20 µL of donor and recipient to 40 µL mating cultures which were
554 allowed to conjugate on LB agar plates + inducers for 3h after drying. We rescued mating drops with
555 a loop and resuspended them in 500 µL LB prior to dilution plating on LB agar plates + inducers and
556 appropriate antibiotics to select either donors+transconjugants, recipients+transconjugants, or
557 transconjugants only. The outgoing assay differed only in that conjugation time was limited to 35 min
558 and inducers were added while growing cultures to the exponential phase. It was not possible to
559 evaluate *trfA* targeting, as pJK5 cannot be stably maintained in the donor strain under type IV-A3
560 induction.

561 For the plasmid stability assay, we grew *E. coli* MG1655 encoding the type IV-A3 Cas operon and
562 crRNAs in four biological replicates overnight in a randomized 96-well plate containing 150 µL LB with
563 required antibiotics and 0.2 % w/v glucose to inhibit crRNA expression. To initiate the stability assay
564 (day 0) we twice spun cultures to remove glucose and resuspended in 150 µL LB + inducers and
565 required antibiotics. We grew cultures for 4 days with daily passaging of 1.5 µL of grown cultures into
566 fresh medium and plating on LB agar plates + inducers and appropriate antibiotics to enumerate
567 plasmid-carrying and plasmid-free subpopulations. To perform statistical analyses we used the

568 detection limit of 100 CFU/ mL when we did not obtain any plasmid-carrying colonies under *trfA*
569 targeting.

570 To measure population growth rates under type IV-A3 targeting and for the NT control we grew five
571 biological replicates of the strains used above as donor D_{IV-A3} in LB + required antibiotics for vector and
572 pJK5 selection overnight. To initiate the experiment we diluted cultures 100-fold by adding 1.5 μ L to
573 a randomized 96-well plate containing 150 μ L of fresh LB + antibiotics and inducers. Cultures grew for
574 20 h in a Tecan NanoQuant Infinite M200 Pro and were shaken prior to the hourly measurement.

575 ***gfp* targeting in transconjugants**

576 After the incoming pJK5 plasmid targeting assay, we measured green fluorescence signal of
577 transconjugants. For each replicate of the strains targeting *gfp* in the coding (+) and template (-)
578 strand, and the NT control, we diluted the cells in 3 mL PBS and proceeded to analyze them through
579 flow cytometry (FACSAria Illu Becton Dickson Biosciences, San Jose, CA, USA) using a 70 μ m nozzle and
580 sheath fluid pressure of 70 lb/in². GFP was excited by a 488 nm laser (20 mW) and detected on the
581 fluoresceine isothiocyanate A (FITC-A) channel; bandpass filter of 530/30 nm. mCherry was excited
582 with a 561 nm laser (50 mW) and detected on the phosphatidylethanolamine (PE)-Texas Red-A
583 channel; bandpass filter of 610/20 nm. We set detection thresholds to 200 for forward (FSC) and side
584 scatter (SSC) and used the BD FACSDiva software (v6.1.3) for data analyses. Briefly, we used
585 scatterplots of particle FSC vs. SSC to delimit gates for bacterial events, thus excluding background
586 noise. We used bivariate contour plots (FITC vs. PE-Texas Red) to gate GFP- and mCherry-positive
587 bacterial cells. We used cell counts of 1000 to 3000 threshold events/ s, processed at flow rate 1, and
588 recorded a total of 30,000 bacterial events for each replicate. We enumerated the following
589 fluorescent phenotypes, i) total cells expressing mCherry (red), ii) total cells expressing *gfp* from pJK5
590 and mCherry (red-and-green). We then calculated the percentage of cells that were red-and-green
591 (transconjugants) of the total red population (recipients).

592 **Phage targeting assay**

593 We assessed the functionality of type IV-A3 in phage targeting through phage-spotting assays, by
594 evaluating the replication of CRISPR-targeted phage λ -vir on bacterial lawns (GeneHogs) in comparison
595 to the NT control. In brief, we overexpressed the IV-A3 Cas operon under *Ptac* promoter
596 supplemented with crRNAs (targeting and NT) expressed from *PBad* promoter overnight in *E. coli*
597 GeneHogs in triplicates. We mixed 150 μ L of bacterial overnight cultures with 4 mL of molten top agar
598 (0.7 % w/v) supplemented with 10 mM MgSO₄, L-arabinose (0.3 % w/v), and IPTG. We poured the mix
599 onto LB agar plates containing MgSO₄, L-arabinose, and IPTG and spotted 4 or 10 μ L of 10-fold serial
600 diluted phage lysates onto the lawn. Plates incubated at 30 °C to count PFU the next day.

601 **Isolation of escaper phages**

602 To isolate phages that escaped CRISPR-targeting, we spotted 20 μ L of undiluted ancestor phage on the
603 lawn of the respective targeting strain and stroke out the phage across the plate, and incubated them
604 overnight at 30 °C. We then picked single plaques of spontaneous escapers and restreaked them on a
605 new lawn. We repeated this single plaque isolation three times to ensure that no mixed genotypes of
606 phages remained. We then amplified the targeted sites via PCR and Sanger sequenced the fragments
607 for escapers in gene B and the intergenic region. We could not get a PCR product for the R escaper

608 and therefore extracted the total DNA from the escaper and the ancestor λ-vir phage lysate after
609 enrichment for high phage titer ($> 10^7$ pfu/ mL) DNA with the DNeasy blood and tissue kit (QIAGEN),
610 starting from the Proteinase-K treatment step to lyse the phages ⁹². We prepared sequencing libraries
611 using the Illumina NEXTERA XT Kit for fragmentation and amplification (12 cycles), following the
612 manufacturer's instructions and purified libraries using the bead-based HighPrep™ clean-up Kit
613 (MagBio Genomics). Paired-end sequencing was performed on an Illumina MiSeq platform using
614 MiSeq V3 chemistry (2 x 300 cycles), according to the manufacturer's protocol. We used CLC Genomics
615 Workbench (v20.0.4) for adapter trimming and generation of de novo assemblies and annotated
616 assembled genomes with Rapid Annotations (Subsystems Technology tool kit (RASTtk) accessed
617 through PATRIC (v3.6.12) ⁹³. To determine the molecular mechanisms of escaping, we aligned escaper
618 assemblies to the ancestral reference with snapgene (v6.0.2). With NCBI nucleotide blast (standard
619 parameters), we identified genomic region acquired by escapers to be also located in the
620 chromosomes of laboratory *E.coli* as (DH10B, DH5alph) from which also GeneHogs descend (e.g.,
621 NCBI: CP000948.1). We visualized phage-λ genomes with clinker using standard parameters ⁹⁴.

622 **TXTL-based PAM Assay: PAM-DETECT**

623 To identify the preferred TIM sequence for type IV-A3 target interference, we used the PAM-DETECT
624 methodology, as described previously ³⁰. Briefly, we used a vector-based protospacer library with
625 randomised TIMs. Protospacers containing a functional TIM sequence are protected from cleavage,
626 since successful IV-A3 complex binding to the protospacer prevents plasmid cleavage in a subsequent
627 restriction digestion step. Enrichment of TIMs is then assessed via high-throughput sequencing. We
628 used a plasmid containing a library of five randomized nucleotides (potential TIMs) flanked by a
629 protospacer containing a *PacI* restriction site and expressed the type IV-A3 Cas components and crRNA
630 from separate plasmids. The 6 μ L TXTL reaction consisted of 3 nM plasmid encoding for the IV-A3
631 effector complex, 1 nM crRNA-encoding and PAM-library plasmid each, 0.2 nM T7 RNA polymerase,
632 0.5 mM IPTG, and 4.5 μ L myTXTL Sigma 70 Master Mix. For the negative control we replaced the IV-
633 A3 encoding plasmid with an equal volume of water. We incubated TXTL reactions at 29 °C for 6 h and
634 digested at 37 °C with *PacI* (NEB R0547S) as instructed by the provider and added water instead of
635 *PacI* for the undigested control. After *PacI* inactivation, we added 0.05 mg/ mL Proteinase K (Cytiva),
636 incubated at 45 °C for 1 h and after Proteinase K inactivation extracted the remaining plasmids with
637 standard EtOH precipitation.

638 For NGS library preparation we added adapters and unique dual indices in a two-step amplification
639 process using the KAPA HiFi HotStart Library Amplification Kit (KAPA Biosystems, KK2611) and purified
640 samples with Agencourt AMPure XP (Beckman Coulter, A63881). We used a Illumina NovaSeq 6000
641 (paired end, 2 x 50 bp, 2 million reads per sample) sequencer and for NGS data analysis we followed
642 ⁹⁵ and ⁹⁶. First, we normalized the read counts of every TIM with the total number of reads and
643 calculated the ratio of digested to undigested sample reads. The sum of ratios for a given nucleotide
644 at a given position we then divided by the sum of the ratios of all nucleotides at that given position
645 (resulting in 25 % in case of no enrichment/depletion). Finally, to assess the amount of library plasmid
646 protected from restriction digestion, we performed qPCR using the SsoAdvanced Universal SYBR
647 Green Supermix (Biorad, cat#1725271) with primers amplifying a 100 bp spanning the *PacI* recognition
648 site of the library plasmid and primers amplifying a 100 bp region on the T7 RNA polymerase encoding
649 plasmid as a control. We quantified reactions with the QuantStudio Real-Time PCR System (Thermo
650 Fisher Scientific) and an annealing temperature of 68 °C, according to manufacturers' instructions.

651 ***mCherry* chromosome targeting**

652 To shed light on the relevance of DinG for target interference through transcriptional repression, we
653 used three type IV-A3 variants (wildtype, DinG knockout mutant $\Delta dinG$, catalytically inactive DinG
654 mutant $dinGmut$) in an assay targeting the chromosomally encoded *mCherry* or its promoter P_{lpp} . We
655 grew four biological replicates of MG1655 for each possible combination of the IV-A3 variant and
656 crRNA (three variants x eight crRNAs and the NT control, 27 in total) overnight with appropriate
657 antibiotics in a 96-well plate. To initiate the experiment, we pin-replicated ~1 μ L of each culture into
658 a black (transparent bottom) 96-well plate containing 150 μ L LB + appropriate antibiotics + inducers.
659 Cultures grew for 24 hours in a Tecan NanoQuant Infinite M200 Pro. We measured OD600 and
660 fluorescence intensity (Excitation 582 nm, Emission 620 nm) in an interval of 15 min. For each replicate
661 and time point, we normalized the mCherry signal with the corresponding OD600 measure and
662 selected timepoint 15 h for further analysis. To identify the best fit model explaining most of the
663 observed variation in mCherry signal, we performed linear regression models with the three predictors
664 IV-A3 variant, position (promoter vs. gene), and strand with interactions.

665 **Bio-layer interferometry of the IV-A3 complex on double-stranded DNA**

666 To test type IV-A3 CRISPR-Cas complex affinity to double-stranded DNA targets, we performed bio-
667 layer interferometry experiments in size exclusion buffer corresponding to the respective analyte on
668 an Octet K2 System (Pall ForteBio). We prepared the target dsDNA ligand by annealing
669 oligonucleotides BTS and NTS at a ratio of 1:1.5 (5 μ M BTS: 7.5 μ M NTS) in size exclusion buffer by
670 heating the annealing reaction at 95 °C for 5 min and slowly cooling it down to RT. To immobilize the
671 dsDNA ligand on an Octet SAX 2.0 biosensors (Sartorius) we prepared 200 μ L of a 25 nM dsDNA
672 solution in a black 96-well plate and performed a loading step for 120 s followed by a washing step for
673 30 s. We diluted the IV-A3 complex, either with NT or targeting crRNA, from 1 μ M to 25 nM in a 200
674 μ L final volume dilution series and measured association and dissociation for 300 s and 180 s,
675 respectively. The baseline was recorded prior and after association/dissociation for 30 s in the
676 matching size exclusion buffer and for each measurement. A reference omitting the dsDNA from the
677 solution was recorded to subtract reference curves from sample curves. For K_D determination the
678 reference-subtracted binding and dissociation curves were fit to the standard 1:1 local binding model
679 using the Pall ForteBio analysis software.

680 **Cell-free transcription-translation assays**

681 To further explore the targeting activity of the distinct type IV-A3 variants observed in our *in vivo*
682 transcriptional repression analysis, we performed a cell-free transcription-translation (TXTL) assay. We
683 used individual plasmids encoding one of the three type IV-A3 variants (wildtype, $\Delta dinG$, $dinGmut$),
684 deGFP, and one of the *degfp*-targeting crRNAs (one on each strand of PT7, four on each strand within
685 the *degfp*; 10 in total and a NT control). Because the type IV-A3 *cas* and *degfp* are under the control
686 of a PT7, we also included a plasmid encoding the T7 RNA polymerase. To assess the targeting activity
687 of the IV-A3 variants at distinct interference loci, we assembled the following reaction mixes using an
688 Echo525 Liquid Handling system (Beckman Coulter, 001-10080): We added each possible combination
689 of the IV-A3 variant and crRNA and the remaining plasmids to the myTXTL mix (Arbor Biosciences,
690 507025-ARB) at the following final concentrations: 2 nM each for plasmids encoding type IV-A3 and
691 the crRNA, 1 nM of the deGFP plasmid and 0.2 nM of the T7 RNA polymerase plasmid (3 μ L per reaction
692 mix and four replicates each). To measure background fluorescence, we assembled an additional mix

693 that only contained myTXTL mix and water. We incubated these reactions at 29 °C for 16 h in a plate
694 reader (BioTek Synergy Neo2) and measured fluorescence every 3 minutes. We plotted endpoint
695 measurements as the RFU after subtracting the background fluorescence from each reaction.

696 **Re-sensitizing of antibiotic-resistant strains**

697 To investigate type IV-A3's capacity to re-sensitize bacterial strains by transcriptional repression of β -
698 lactamases we first re-sensitized *E. coli* DH10B by targeting *bla*_{CTX-M15} encoded on the clinical *E. coli*
699 plasmid p1ESBL. In brief, we grew four biological replicates of targeting and NT strains, differing only
700 in crRNA, overnight with appropriate antibiotics, and the next day we diluted 1:100 and re-grew strains
701 in 2 mL LB + inducers and appropriate antibiotics into exponential phase (~3 h). We spun and
702 resuspended cultures in 80 μ L LB, serially diluted, and plated them on LB agar + inducers and
703 appropriate antibiotics to select either p1ESBL-carrying cells or *bla*_{CTX-M15} expressing cells. To be able
704 to enumerate plasmid-carrying cells independent of *bla*_{CTX-M15} expression we inserted a Kan marker on
705 p1ESBL.

706 Second, we re-sensitized the clinical *K. pneumoniae* 808330 (Δ p1530) by targeting the chromosomal
707 *bla*_{SHV-187} with a combination of broth microdilution and targeting assay. We followed the 96-well
708 plate-based MIC assay protocol from Wiegand et al. ⁹⁷ but made several modifications to
709 accommodate IVA3-interference during the assay, such as adding appropriate antibiotics for type IV-
710 A3 and crRNA selection and inducers. Further, we substituted the Mueller-Hinton growth medium
711 with LB. We used the same Cas operon- and mini-array setup as described for the plasmid targeting
712 above but replaced the Amp cassette on the IV-A3 encoding pMMB67he with a Cm marker. We grew
713 four biological replicates for strain 808330 carrying type IV-A3 and either a crRNA or the NT control
714 overnight with appropriate antibiotics. To initiate the MIC/ targeting assay, we diluted 1:100 and re-
715 grew strains in LB + inducers and appropriate antibiotics into exponential phase (~3 h). We measured
716 OD600 of cultures, adjusted them to an OD600 = 0.065, and diluted 1:100 to reach a final OD600 =
717 0.00065 in LB + 2-fold required concentrations of inducers and the antibiotics Cm and Gm for vector
718 selection. To assay the MICs we added 50 μ L per culture to the 96-well plate containing 50 μ L LB per
719 well + specific Amp concentrations (10 concentrations with two-fold reduction steps from 32-0.0625
720 mg/L). Further, to compare the type IV-A3 mediated MIC reduction to the one achieved by a *bla*_{SHV-187}
721 null-mutant we performed a MIC assay as above with 808330 (Δ p1530) and the same strain with an
722 additional Δ SVH mutation. Here no subculturing step prior to the MIC assay and no inducers or
723 antibiotics additional to Amp were required. We interpreted MIC breakpoints for Amp according to
724 EUCAST guidelines (v4.0, 2022-01-01) after 18-24 h of static growth ⁹⁸. To compare the IV-A3 mediated
725 MIC reduction to the one achieved by the addition of the β -lactamase inhibitor clavulanic acid we
726 performed a disc diffusion assay under targeting using Amp (10 μ g) and amoxicillin-clavulanic acid
727 (Amc; 20/10 μ g). We grew four biological replicates for strain 808330 (Δ p1530) carrying type IV-A3
728 and either a crRNA or the NT control overnight + inducers and appropriate antibiotics. We adjusted
729 strains to OD600 = 0.02 and distributed 2 mL thereof on LB agar plates, removed excess liquid by
730 pipetting, and placed the filters on plates after drying. After 20 h incubation, we measured the
731 diameters of inhibition zones by hand and interpreted MIC breakpoints according to EUCAST
732 guidelines (v13.0, 2023-01-01; ⁹⁹).

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738 Pasteur) for providing *K. pneumoniae* strain SB and his group, especially Carla Rodrigues and Chiara
739 Crestani, for help with Oxford Nanopore sequencing. Plasmids encoding P70A-deGFP and P70A-
740 T7RNAP were kindly provided by Prof. Vincent Noireaux. We thank the Šikšnys laboratory (Vilnius
741 University) for providing access to the Octet K2.

742 **STATISTICAL ANALYSES AND FIGURE PREPARATION**

743 We performed all statistical analyses using R (v4.1.0) and figures were plotted with Prism9 and edited
744 with Adobe Illustrator.

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758 **COMPETING INTERESTS**

759 The authors declare no competing interests.

760 **AUTHOR CONTRIBUTIONS**

761 R.P.-R., F.B., and S.C.-W. conceived the project.

762 J.V.G.F. performed RNA-seq, K.W. and F.E. performed TXTL and TIM assays, A. C. identified *K.*
763 *pneumoniae* 808330. R. Č. expressed and purified ribonucleoprotein complexes and performed BLI.
764 The other experiments were performed by F.B., S.C.-W, J.K., and S. G..

765 F.B., S.C.-W., K.W., F.E., J.V.G.-F., J.K., S. G., and L.M. verified the overall reproducibility of results and
766 other research outputs.

767 M. A.-A. and J.R. implemented bioinformatic analyses.

768 M. A.-A., J.R., F.B., M.A.S, R.P.-R., and S.C.-W. performed computational analyses.

769 J.R., F.B., and S.C.-W. analyzed and synthesized study data by applying statistical, mathematical, and
770 computational techniques.

771 R.P.-R, F.B., and S.C.-W. curated data.

772 R.P.-R., F.B., and S.C.-W. wrote the initial draft of the manuscript and designed figures.

773 All authors revised and crucially contributed to the current draft of the manuscript.

774 R.P.-R. managed and coordinated research activity planning and execution.

775 R.P.-R., P.P., C.B., D.B., A.H., S.J.S., J.S.M., L.R., and E.R. provided mentorship and oversight of the
776 research activities.

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778 **SUPPLEMENTARY INFORMATION**

779 **Supplementary Tables**

780 **Table S1:** Spacer-protospacer analysis *K. pneumoniae* 808330 Type IV-A3 and I-E.

781 **Table S2:** Plasmids in *K. pneumoniae* 808330.

782 **Table S3.** Strains and phages used in this study.

783 **Table S4:** Plasmid constructs used in this study.

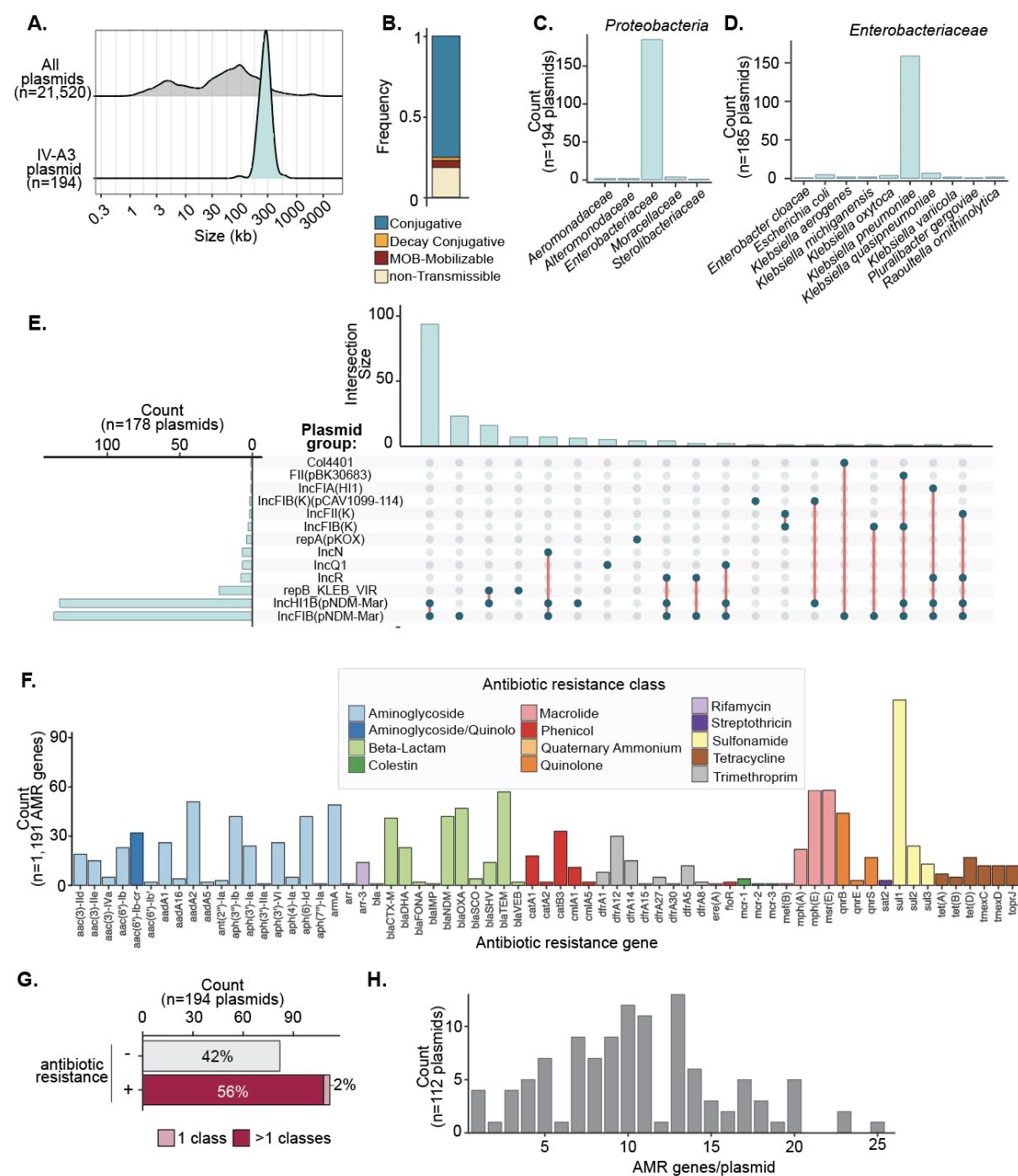
784 **Table S5:** Type IV-A3 dereplicated spacers.

785 **Table S6:** Type IV-A3 carrying plasmids.

786 **Table S7:** Oligonucleotides and DNA fragments used in this study.

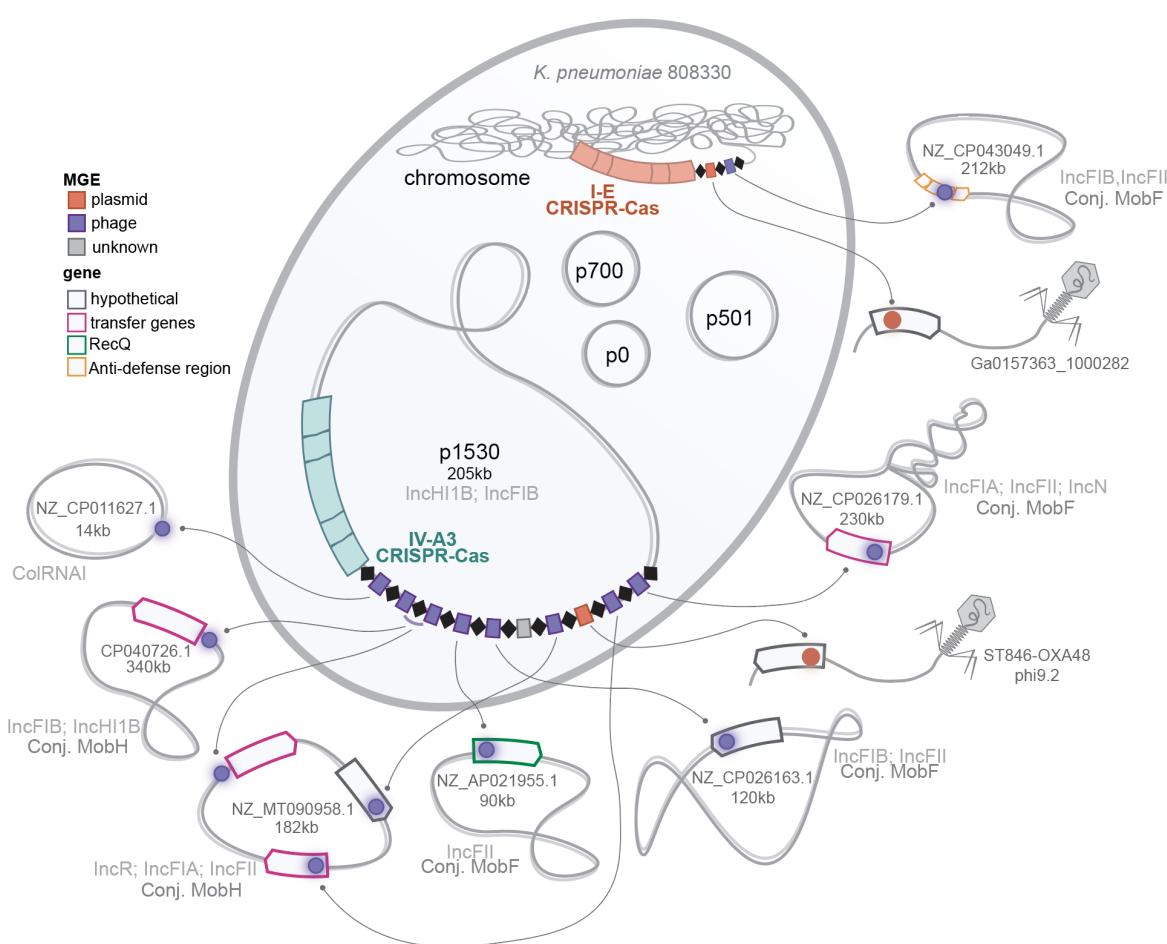
787 The above tables are in a separate datafile and can be made available upon request.

Supplementary Figures



789

790 **Figure S1: Characteristics of plasmids carrying type IV-A3 CRISPR-Cas. A)** Size distribution of all RefSeq plasmids
791 (top) and type IV-A3 carrying plasmids (bottom) using a Kernel density estimation. **B)** Mobility prediction of
792 plasmids carrying type IV-A3. **C)** Family distribution of *Proteobacteria* hosts carrying type IV-A3 CRISPR-Cas
793 systems. **D)** Species distribution of *Enterobacteriaceae* hosts carrying type IV-A3. **E)** Type IV-A3 carrying plasmids
794 broken down by predicted plasmid replicon group. The upset plot shows the counts per group (left), and the
795 intersection size of replicon combinations (right). Out of the 194 plasmids, 16 are not shown in this analysis (non-
796 typeable). **F)** Distribution of antibiotic-resistance genes encoded by type IV-A3 carrying plasmids, colored by
797 corresponding antibiotic-resistance class. **G)** Antibiotic resistance carriage by plasmids encoding type IV-A3.
798 Counts of plasmids carrying more than five resistance genes are shown. The relative frequencies of each
799 category are indicated inside the bars. **H)** Histogram showing the number of antibiotic resistance genes carried
800 by type IV-A3 encoding plasmids. Only plasmids encoding one or more antibiotic-resistance genes are shown.



801

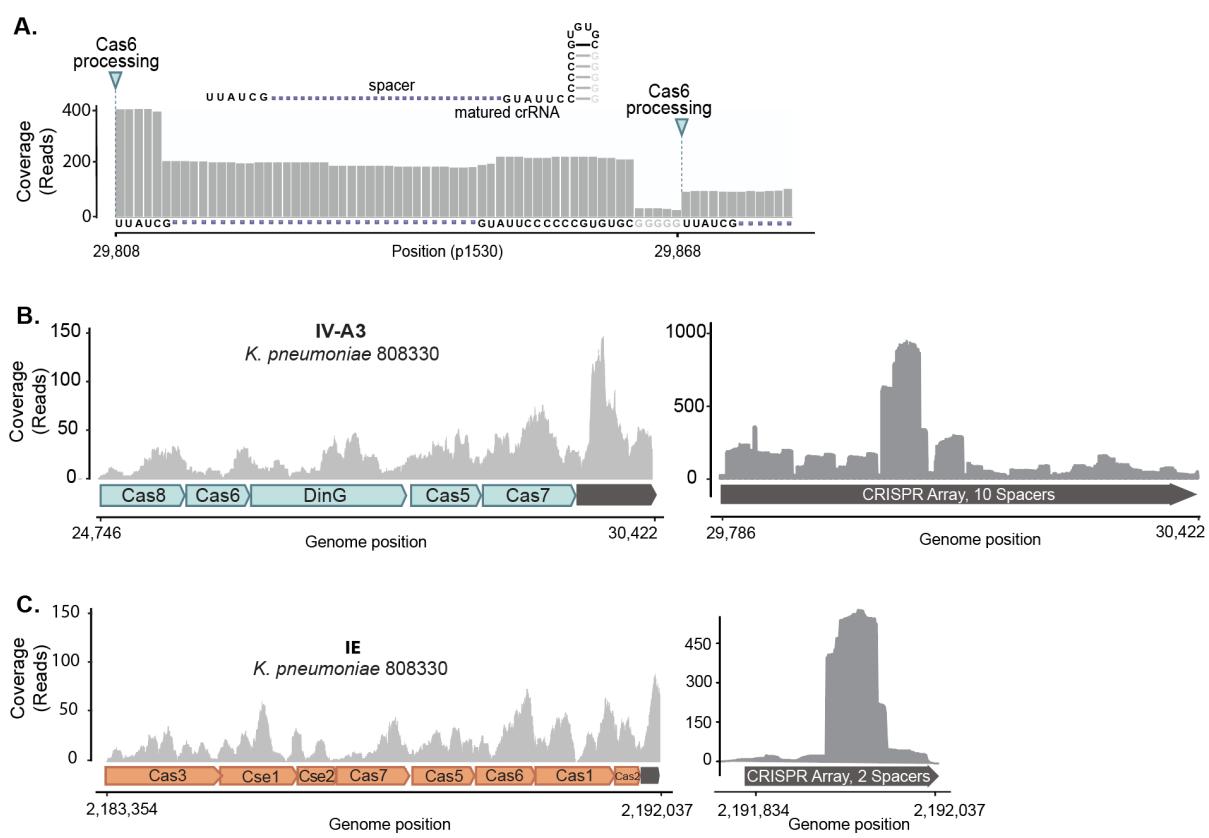
802 **Figure S2: Schematic of the *K. pneumoniae* 808330 model strain highlighting the predicted targets of its**
803 **CRISPR-Cas systems.** Spacer-matching plasmid sequences are depicted in purple, while those targeting phages

804 are represented in orange. The predicted function of the targeted phage- and plasmid-derived genes are

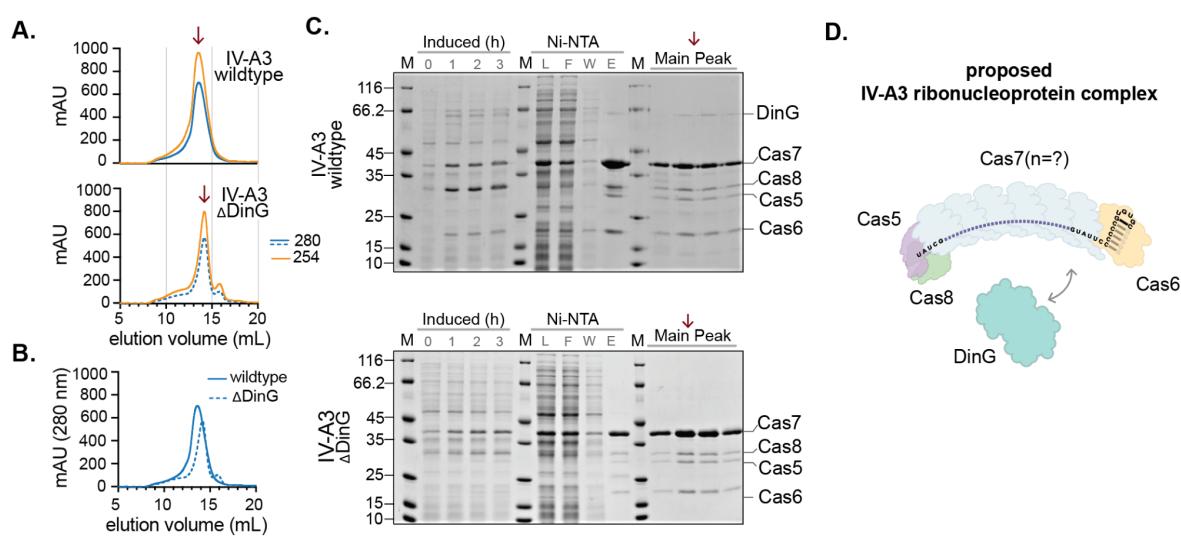
805 indicated by colored frames. The information provided includes the names and accessions of example targeted

806 plasmids and phages, along with the predicted Inc/Mob plasmid classifications. Additional plasmid details are

807 summarized in **Suppl. Table S1.**

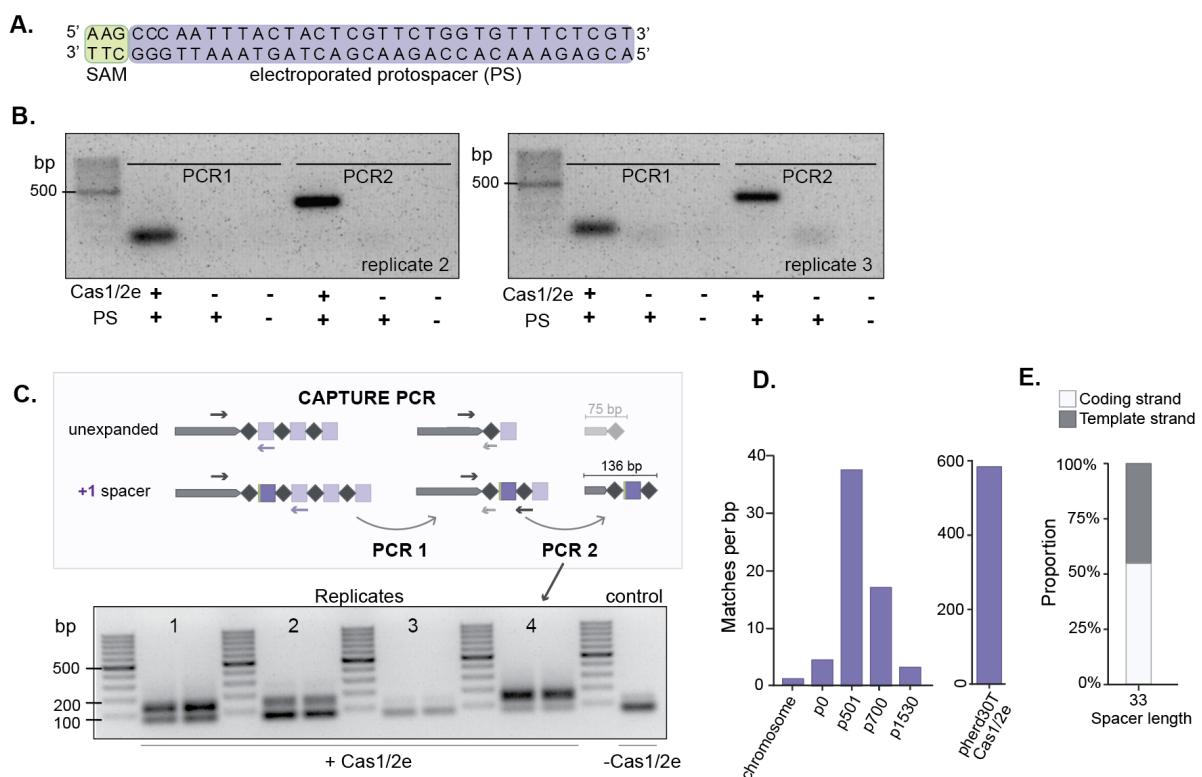


809 **Figure S3: Expression analysis of the CRISPR-Cas systems encoded in *K. pneumoniae* 808330.** **A)** Zoom in on
810 small RNA-seq of mature crRNAs in *E. coli* reveals processing at the base of the extended hairpin and resulted in
811 matured crRNAs with a six nucleotide 5' handle and a 3' handle comprised of 17 nucleotides, with the last four
812 guanines of the stem missing. Schematic of the matured crRNA secondary structure is indicated as well as the
813 Cas6-processing sites. **B)** RNA-Seq analysis of the IV-A3 CRISPR-Cas expression in *K. pneumoniae* 808330. Total
814 RNA-seq of the type IV-A3 CRISPR-Cas locus on the left, small RNA-seq of the processed crRNAs mapped back to
815 the CRISPR array on the right. **C)** RNA-seq analysis of the I-E CRISPR-Cas expression in *K. pneumoniae* 808330.
816 Total RNA-seq of the type I-E CRISPR-Cas locus on the left, small RNA-seq of the processed crRNAs mapped back
817 to the CRISPR array on the right. Coverage plots in **B** and **C** show the average of three biological replicates.



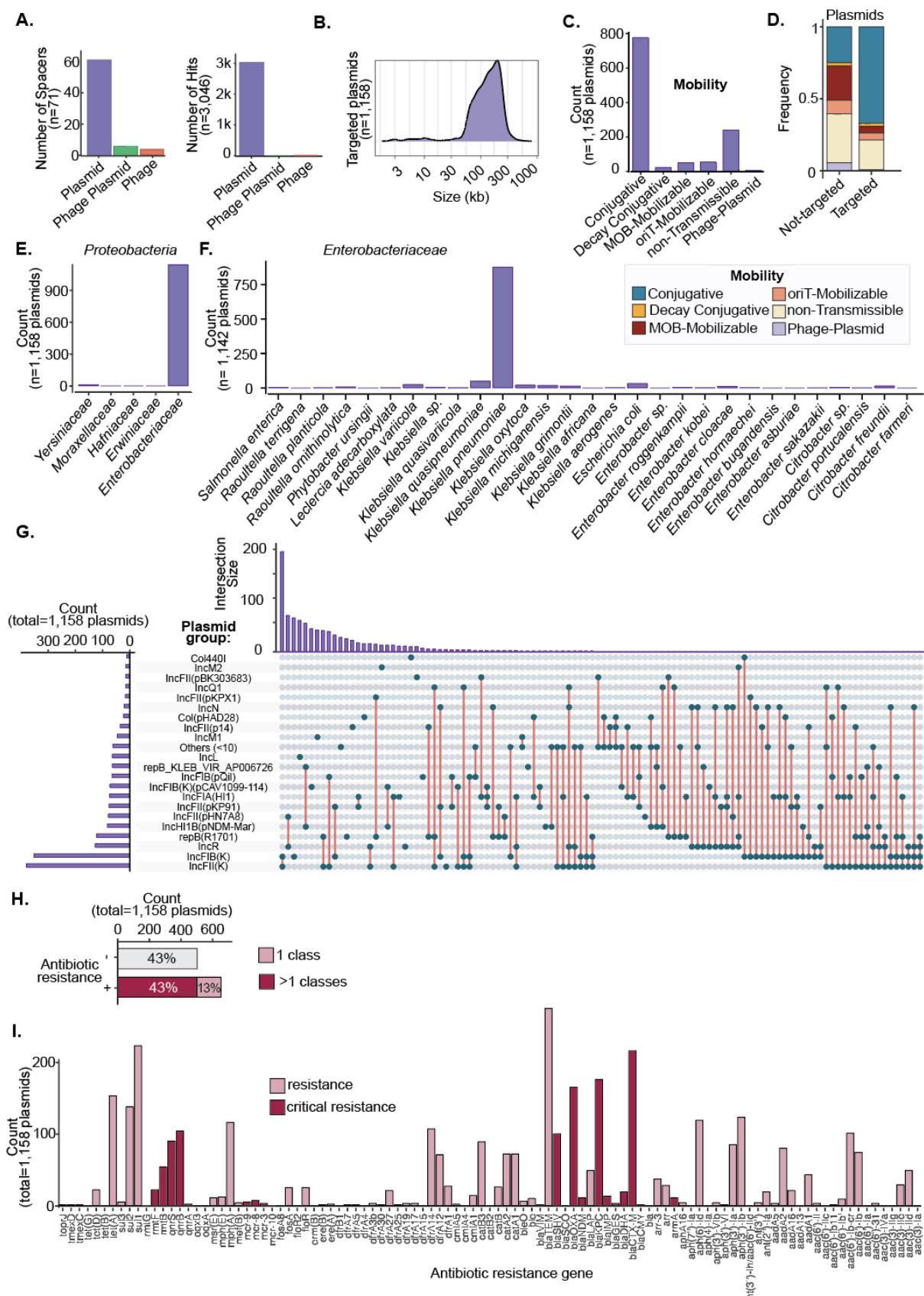
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Figure S4: Heterologous expression and purification of the type IV-A3 ribonucleoprotein complex. A) Size exclusion chromatography (SEC) traces recorded at 254 nm (yellow) and 280 nm (blue) for the wildtype complex (top) and the DinG knockout version (Δ DinG; bottom), both carrying a C-terminal Gly-His6-tag at Cas7. Arrows indicate the main fraction used in the SDS-PAGE in **C**. **B)** SEC traces at 280 nm. Same data as in **A** but overlaid. **C)** SDS-PAGE for the IV-A3 wildtype (top), and IV-A3 Δ DinG (bottom) complex expression and purification, showing the whole cell content after induction (induced) at t (h) = 0, 1, 2, and 3; the Ni-NTA His-tag affinity chromatography fractions Load (L), Flowthrough (F), Wash (W) and Elution (E); and SEC main peak fractions (arrow). **D)** Schematic of type IV-A3 ribonucleoprotein complex based on in **C** detected Cas components.



827

828 **Figure S5: Further characterization of spacer acquisition in type IV-A3 CRISPR loci. A)** Depiction of the
829 protospacer used to electroporate *E. coli* MG1655 carrying the p1530-encoded type IV-A3 CRISPR-Cas system
830 facilitating observation of Cas1/2e dependent adaptation. **B)** Replicate 2 and 3 of the amplicons confirming the
831 sequence-specific acquisition of electroporated protospacers in the leader-repeat junction from Figure 2B. **C)**
832 Top: Schematic showing the workflow of CAPTURE PCR comprised of PCR 1 and PCR 2. Bottom: Replicates 1-4
833 of amplicons of PCR 2 used for detection of Cas1/2e dependent genome-wide spacer acquisition in *K.*
834 *pneumoniae*. Amplicons of 136 bp indicate spacer acquisition and amplicons of 75 bp result from secondary
835 primer binding. Replicate 3 did not show a 136 bp amplicon and was therefore excluded from further analysis.
836 **D)** Spacers identified from genome-wide acquisition assay mapped back to replicons present in *K. pneumoniae*
837 808330. The values are normalized to the size of the respective replicon. **E)** Template and coding strand
838 acquisition for spacers of length 33 bp.



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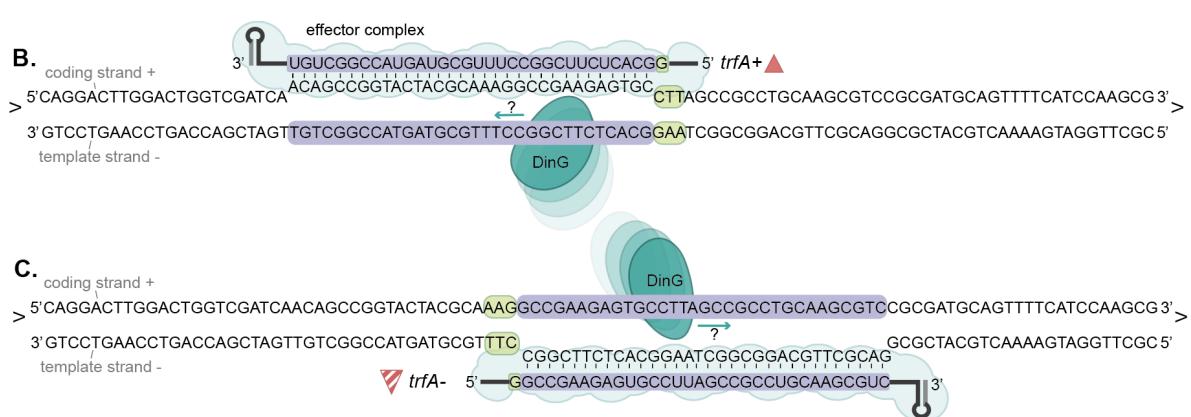
840 **Figure S6: Characteristics of plasmids targeted by type IV-A3. A)** Predicted targets of type IV-A3 systems based
 841 on spacer-protospacer matching analyses. The bars represent the counts of spacers matching sequences derived
 842 from plasmids, phage-plasmids, and phages. **B)** Size distribution of all targeted plasmids from the Refseq

843 database. **C)** Mobility prediction of plasmids targeted by type IV-A3 spacers and non-targeted plasmids. **D)**
844 Comparison of the predicted mobility type distributions of the RefSeq plasmids that are targeted and not
845 targeted (non-targeted). Data under “targeted” is the same as in C. The mobility prediction of type IV-A3 carrying
846 plasmids is shown as a reference. **E)** Family distribution of *Proteobacteria* hosts that harbor plasmids targeted
847 by type IV-A3 spacers. **F)** Species distribution of *Enterobacteriaceae* hosts that harbor plasmids targeted by type
848 IV-A3 spacers. **G)** Plasmid group distribution for plasmids targeted by type IV-A3 spacers. The upset plot shows
849 the counts per group (left), and the intersection size of replicon combinations (right). Plasmid groups found to
850 be targeted at low abundance (< 10 counts) have been grouped into the category “Others (<10 times)”. **H)**
851 Antibiotic resistance carriage by plasmids targeted by type IV-A3 spacers. Counts of plasmids carrying more than
852 five resistance genes are shown. The relative frequencies of each category are indicated inside the bars. **I)**
853 Distribution of antibiotic resistance genes carried by plasmids encoding type IV-A3 CRISPR-Cas systems.

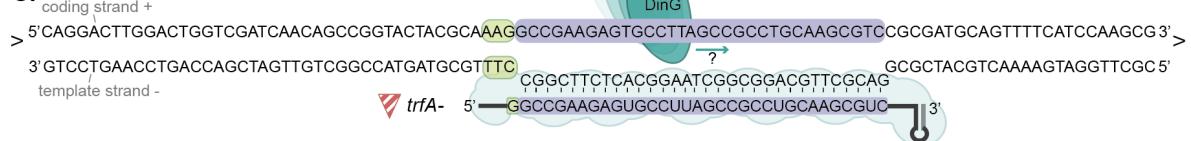
A.



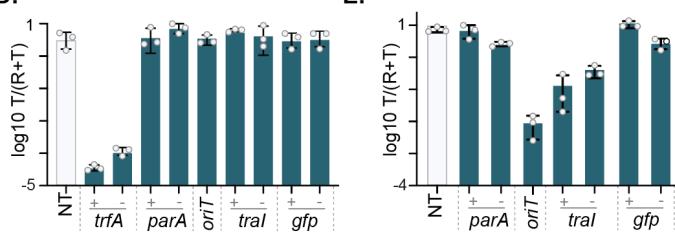
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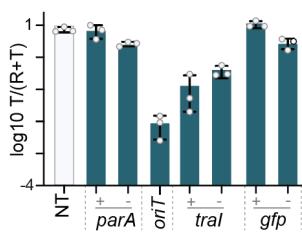
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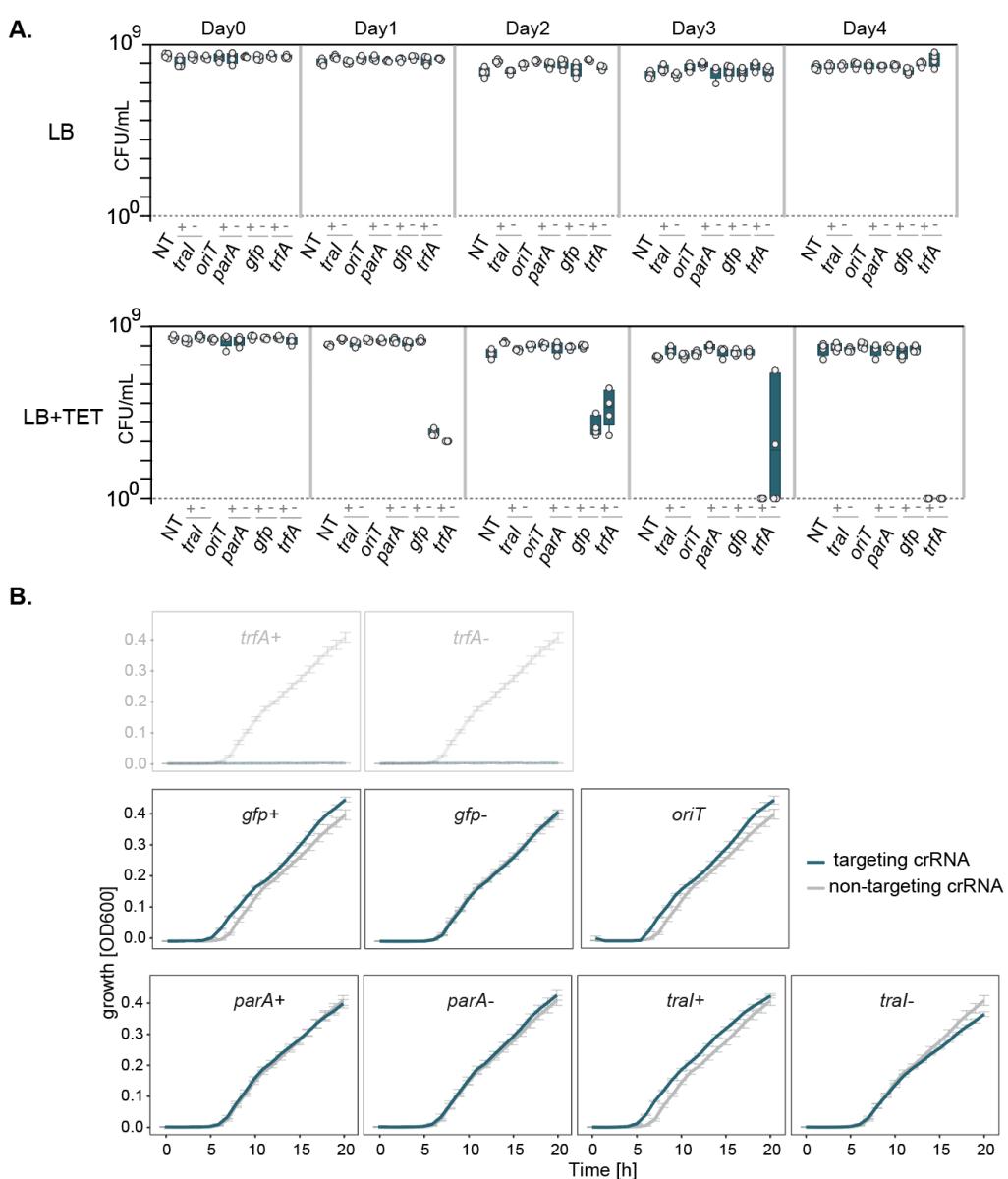


854

855 **Figure S7: Targeting schematic and transconjugant frequencies under IV-A3 targeting. A)** Schematic detailing
856 the targeting of *trfA* with targeting crRNAs to exemplify the DNA strand nomenclature used throughout the
857 targeting assays. Full triangles indicate crRNAs hybridizing to the coding strand (+) and crosshatched triangles to
858 the template strand (-). **B)** Illustration of crRNA *trfA+*, exemplifying targeting of the coding strand, where the IV-
859 A3 complex binds to the coding strand of the expressed gene. **C)** Illustration of crRNA *trfA-*, exemplifying
860 targeting of the template strand, where the IV-A3 complex binds to the template strand of the expressed gene.
861 In **B** and **C**, the protospacer and spacer sequences are indicated in purple, and the PAM sequence is indicated in
862 green. **D)** Barplots representing the mean ratio of transconjugant (T) to recipient and transconjugant (R+T) in
863 the incoming plasmid assay. **E)** Barplots representing the mean ratio of transconjugant (T) to recipient and
864 transconjugant (R+T) in outgoing plasmid assay. In all experiments n = 3, error bars indicate SD.

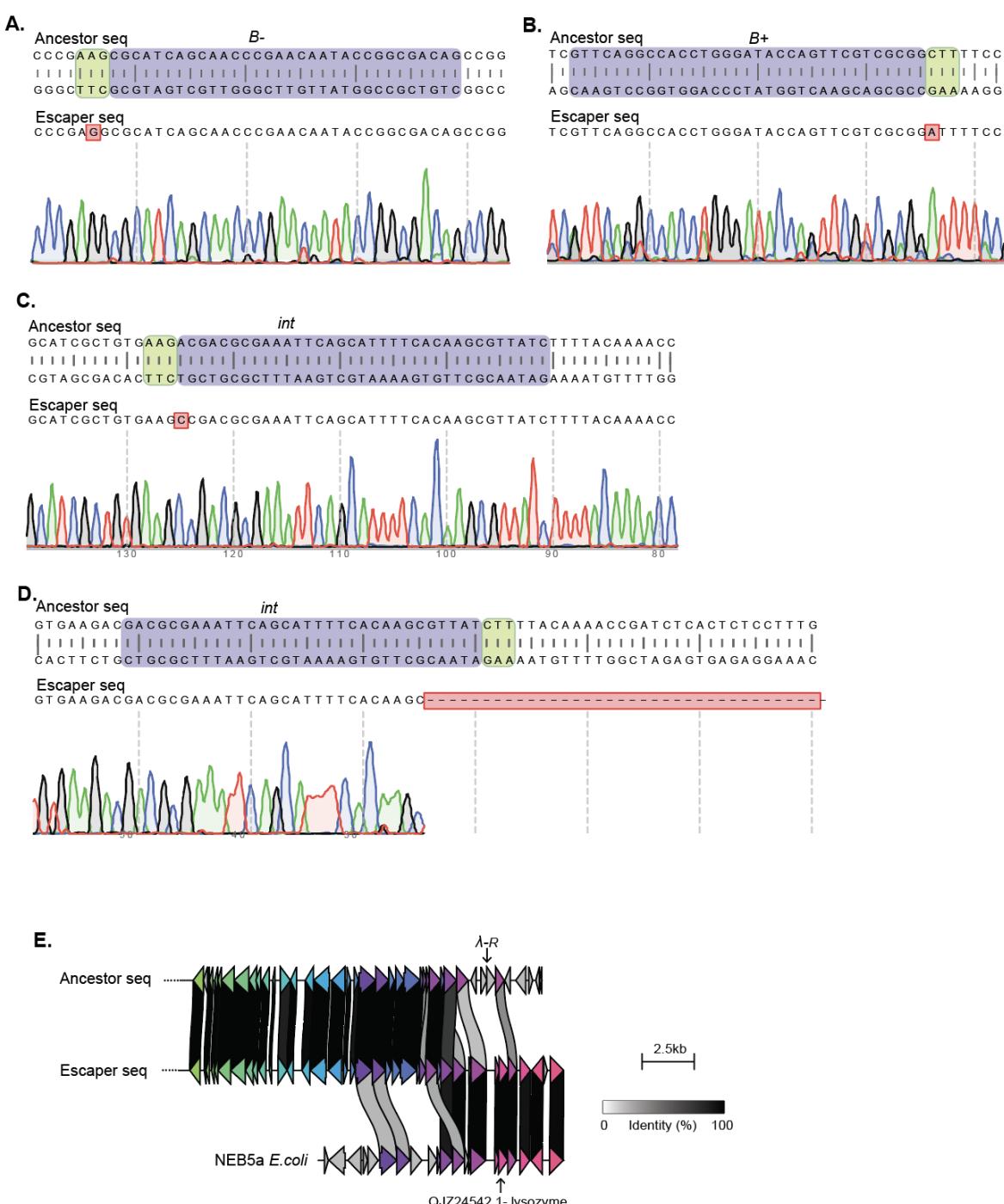
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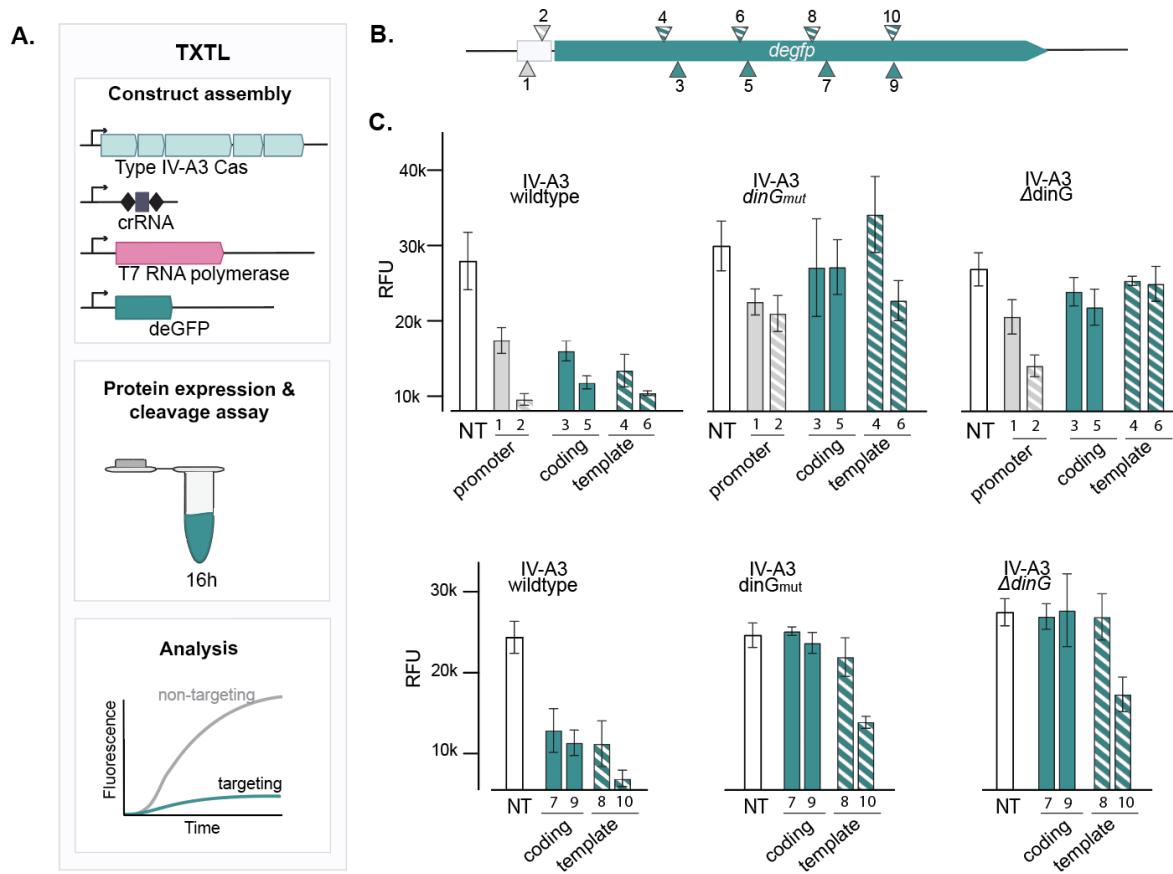
865

866 **Figure S8: Plasmid stability and bacterial growth under IV-A3 targeting. A)** pJK5 stability under IV-A3 targeting
867 and in the absence of antibiotic selection over four days (~40 generations). Boxes represent the total CFU/ mL
868 (plating on LB, top) or the CFU/ mL of pJK5-carrying cells (selective plating on LB+TET, bottom) on each day
869 (n=4); individual data points are indicated. **B)** Growth curves under pJK5 targeting and antibiotic selection for
870 pJK5. Assessing the impact on growth during *trfA* targeting (top, grayed out) was unattainable due to the use
871 of pJK5 selection (tetracycline) and the instability of this plasmid under *trfA* targeting. Hourly OD₆₀₀
872 measurements are indicated as a line representing the mean of five replicates, error bars indicate the SD. The
873 NT control is included alongside each targeting crRNA to facilitate comparison.



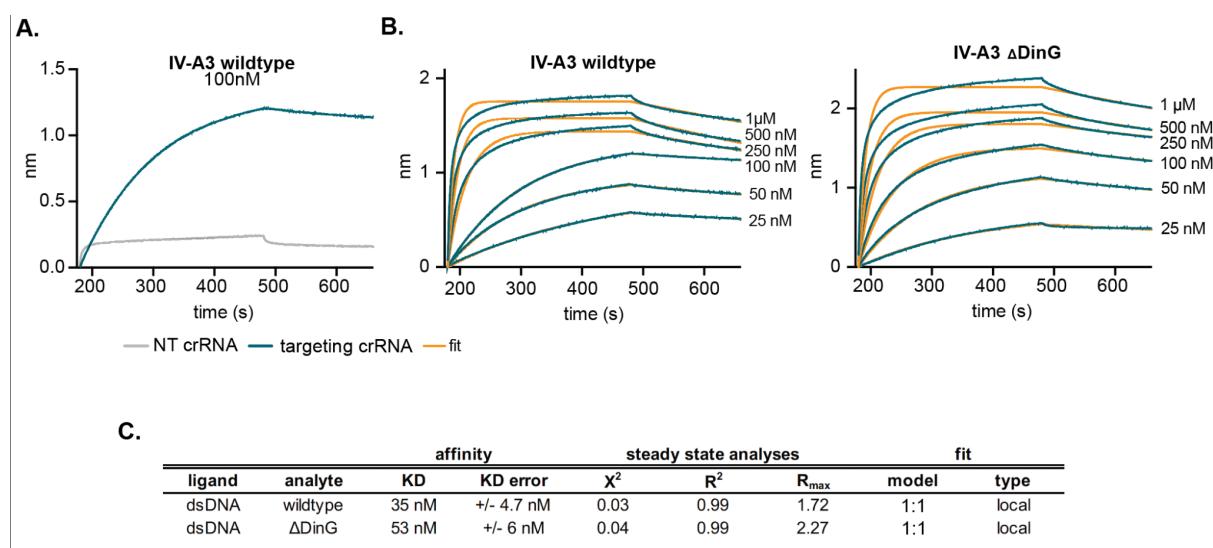
874

875 **Figure S9. Phage-λ mutational evasion from IV-A3 interference.** Ancestral phage sequences and the
 876 corresponding Sanger-sequencing results (below) for escaper phages evading gene B targeting, on the template
 877 (A) and the coding (B) strands, and two mutants from targeting either strand in the intergenic region (C-D). E)
 878 Clinker alignment of selected genomic regions of the ancestral phage-λ, the isolated R-targeting escaper, and
 879 the homologous region present in other coliphages.



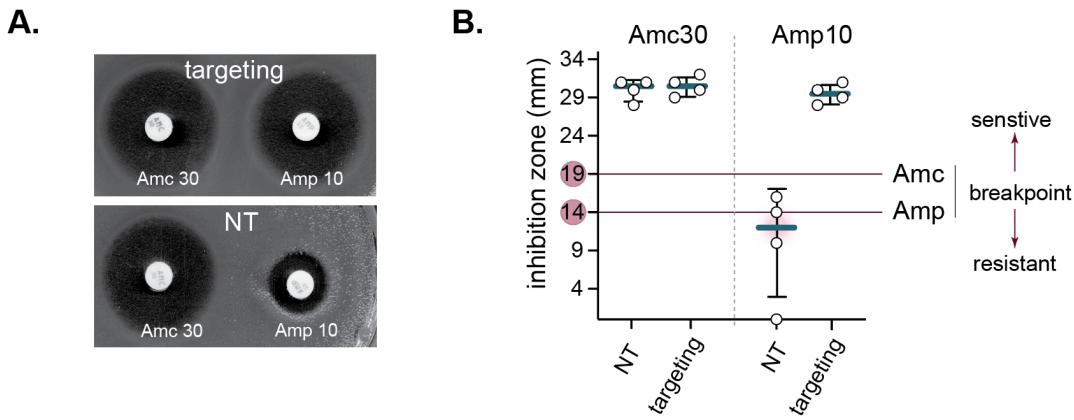
880

881 **Figure S10: Assessment of type IV-A3 interference using an *in vitro* TXTL-based deGFP fluorescent reporter**
882 **assay. A)** Schematic of the TXTL experimental workflow: Plasmids encode the IV-A3 *cas* as well as one of the
883 crRNAs (targeting *degfp* or control), the T7 RNA polymerase and *degfp*. CRISPR-Cas components are pre-
884 expressed in TXTL prior to adding and expressing the targeted *degfp*. The rate of binding and the efficiency of
885 transcriptional blocking impact the accumulation of deGFP and the resulting fluorescence of the TXTL reaction.
886 **B)** Illustration of the approximate location where full triangles indicate crRNA hybridizing to the coding and
887 crosshatched triangles to the template strand, respectively, for the promoter (gray) and *degfp* (green). **C)** Type
888 IV-A3-mediated repression of deGFP production as RFU, performed in two independent experimental blocks
889 (top and bottom). Multiple locations within the *degfp* gene and promoter regions were targeted, on both the
890 coding (full) and template (crosshatched) strands. Bars show the mean of the relative fluorescence units (RFU)
891 after 16 h (n=4) and error bars represent the SD.



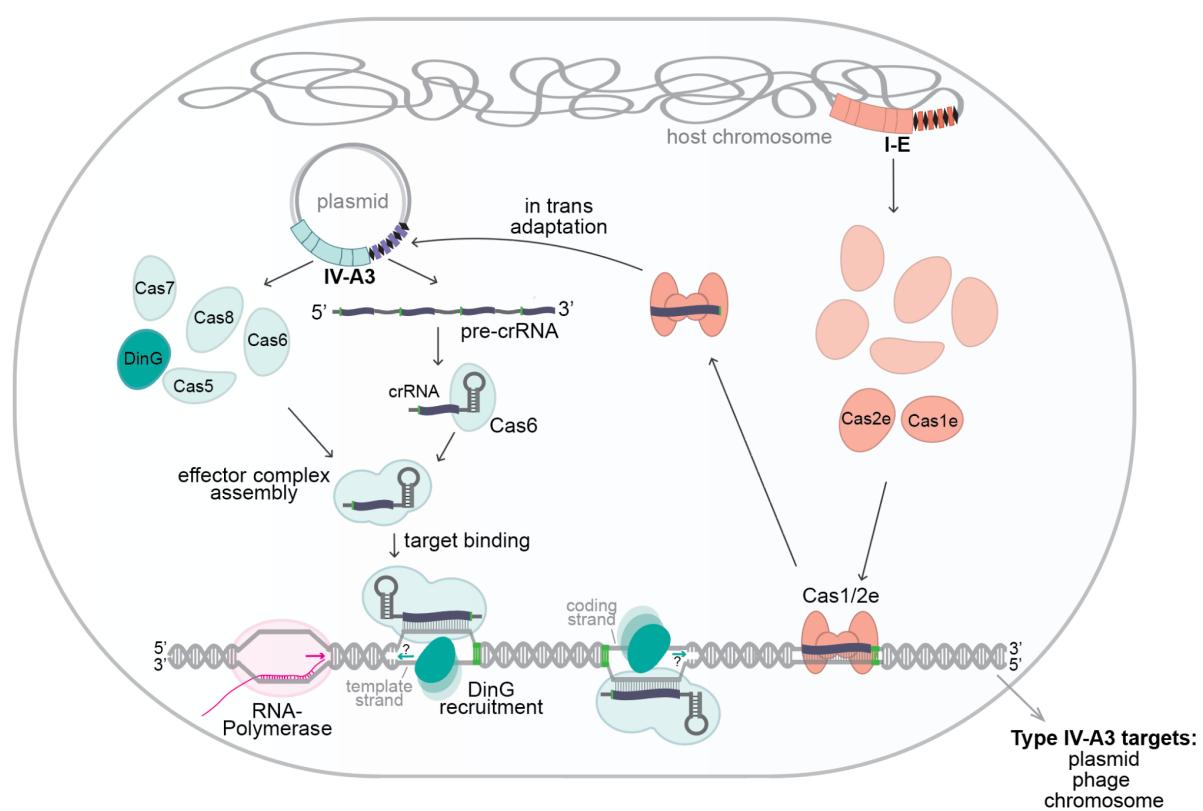
892

893 **Figure S11: IV-A3 CRISPR-Cas complex affinity to double-stranded DNA targets. A)** Bio-layer Interferometry
894 (BLI) experimental data for the wildtype IV-A3 complex carrying a targeting (blue) or non-targeting (gray) crRNA
895 guide. **B)** BLI experimental data (blue) and respective fittings (yellow) of the five titration steps for the wildtype
896 (left) or Δ DinG (right) complex. Only association and dissociation steps are shown. **C)** Result table containing the
897 steady-state analyses and fitting parameters.



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Figure S12: Experimental assessment of the re-sensitizing capacity of type IV-A3 CRISPR-Cas. A) Example picture of the disc diffusion MIC assay with (top, targeting) and without (bottom, NT) IV-A3 targeting of the chromosomally-encoded *bla_{SHV-187}* in *K. pneumoniae* 808330. In both treatments, we tested Amc (left, 30 µg; 20 µg amoxicillin + 10 µg β-lactamase inhibitor clavulanic acid) and Amp (right, 10 µg). **B)** Inhibition zones of disc diffusion MIC assay (exemplified in B). The EUCAST breakpoints for Amc and Amp are indicated in red.



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Figure S13: Proposed mechanistic model for spacer adaptation and target interference in type IV-A CRISPR-Cas systems. Spacer acquisition is facilitated by the co-option of the CRISPR-Cas I-E adaptation module (Cas1/Cas2e) that is encoded in the host chromosome. Type IV-A CRISPR and Cas components are expressed, and the pre-crRNA is subsequently processed by Cas6. The type IV-A effector complex assembles along the matured crRNAs and identifies protospacer targets in a PAM-dependent manner, presumably leading to DinG recruitment. Interference is elicited through transcriptional repression.

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