

1 Inhibition of OCT4 Binding at the *MYCN* Locus Induces 2 Neuroblastoma Cell Death Accompanied by Downregulation of 3 Transcripts with High-Open Reading Frame Dominance

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22 Abstract

23 Amplification of *MYCN* is observed in high-risk neuroblastomas (NBs) and is associated with a poor
24 prognosis. *MYCN* expression is directly regulated by multiple transcription factors, including OCT4,
25 MYCN, CTCF, and p53 in NB. Our previous study showed that inhibition of p53 binding at the
26 *MYCN* locus induces NB cell death. However, it remains unclear whether other transcription factors
27 contribute to NB cell survival. In this study, we revealed that the inhibition of OCT4 binding at the
28 *MYCN* locus, a critical site for the human-specific OCT4–MYCN positive feedback loop, induces
29 caspase-2-mediated cell death in *MYCN*-amplified NB. We used the CRISPR/deactivated Cas9
30 (dCas9) technology to specifically inhibit transcription factors from binding to the *MYCN* locus in the
31 *MYCN*-amplified NB cell lines CHP134 and IMR32. In both cell lines, the inhibition of OCT4
32 binding at the *MYCN* locus reduced MYCN activity. Differentially downregulated transcripts were
33 associated with high-open reading frame (ORF) dominance score, which is associated with the
34 translation efficiency of transcripts. These transcripts were enriched in splicing factors, including
35 *MYCN*-target genes such as *HNRNPA1* and *PTBP1*. Furthermore, transcripts with high-ORF
36 dominance were significantly associated with genes whose high expression is associated with a poor
37 prognosis of NB. In conclusion, the inhibition of OCT4 binding at the *MYCN* locus resulted in

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38 reduced MYCN activity, which in turn led to the downregulation of high-ORF dominance transcripts
39 and subsequently induced caspase-2-mediated cell death in *MYCN*-amplified NB cells. Therefore,
40 disruption of the human-specific OCT4–MYCN positive feedback loop may serve as an effective
41 therapeutic strategy for *MYCN*-amplified NB.

42 Contribution to the field

43 Neuroblastoma (NB) is a childhood tumor. Amplification of *MYCN* is frequently observed in high-
44 risk NBs and is linked to a poor prognosis. Multiple transcription factors, including OCT4, MYCN,
45 CTCF, and p53, regulate *MYCN* expression by binding to the *MYCN* locus. This study investigated
46 the contribution of these transcription factors in NB cell survival. We used CRISPR/deactivated Cas9
47 (dCas9) technology to specifically inhibit transcription factors from binding to the *MYCN* locus in
48 *MYCN*-amplified NB cell lines. We found that the inhibition of OCT4 binding at the *MYCN* locus, a
49 critical site for the human-specific OCT4–MYCN positive feedback loop, reduces MYCN activity
50 and induces NB cell death. A detailed investigation of the molecular mechanisms of cell death
51 revealed that the downregulated transcripts after suppressed MYCN activity were associated with
52 high-open reading frame (ORF) dominance scores, which are associated with translation efficiency of
53 transcripts. These transcripts were enriched in splicing factors, including MYCN-target genes such as
54 *HNRNPA1* and *PTBP1*. Reduced expression of these splicing factors altered the *PKM* mRNA
55 splicing accompanied by the induction of p53–caspase-2–MDM2-mediated cell death. These findings
56 suggest that disrupting the human-specific OCT4–MYCN positive feedback loop may serve as a
57 promising therapeutic strategy for *MYCN*-amplified NB.

58 1 Introduction

59 Neuroblastoma (NB) is the most common extracranial solid tumor in children, accounting for 12%–
60 15% of all cancer-related deaths in children (1–3). At least 40% of all NBs are designated as high-
61 risk tumors and often show *MYCN* amplification (4). Amplification of *MYCN* is observed in 25% of
62 high-risk cases and correlates with poor clinical outcomes in patients with NB (5,6). *Th-MYCN* mice,
63 which are used as a preclinical *in vivo* model of NB, spontaneously develop NB, highlighting the
64 significance of *MYCN* as a potent oncogene in the pathogenesis of NB (7). Despite current
65 therapeutic advances, therapeutic strategy for targeting MYCN remains a medical challenge (8).
66 Therefore, new MYCN-targeting therapeutic strategies are required to further improve patient
67 outcomes.

68 MYCN, a basic helix–loop–helix transcription factor, directly regulates the transcription of genes
69 involved in diverse cellular processes, such as cell growth, apoptosis, and differentiation (4). It
70 directly binds to its own intron 1 region and upregulates its own expression and its *cis*-antisense gene
71 *NCYM* by forming a positive autoregulatory loop in NB cells (9–11). In addition to MYCN, other
72 transcription factors bind to the *MYCN* locus to regulate *MYCN* expression in NB. For example,
73 OCT4, a transcription factor that maintains cancer stemness, is highly expressed in NB, regulates
74 multipotency, and contributes to drug-resistant phenotypes of NB (12–17). In our previous study, we
75 found that OCT4 stimulates *MYCN* transcription by binding to the intron 1 of *MYCN* locus, whereas
76 MYCN stimulates *OCT4* transcription by binding to the *OCT4* promoter region (17). The OCT4-
77 binding sequence in intron 1 of *MYCN* is not present in mice but mostly conserved in other mammals
78 (17). In contrast, the E-box in the MYCN-binding region of the *OCT4* promoter is specific to humans
79 and absent even in chimpanzees (17). Thus, OCT4 and MYCN form a human-specific positive
80 feedback loop in NB (17). This human-specific positive feedback loop contributes to the stemness of
81 *MYCN*-amplified NB by maintaining the expression of stem cell-related genes including *LIN28*,

82 *NANOG*, and *SOX2* (17). Additionally, CCCTC-binding factor (CTCF), an insulator protein that is
83 capable of regulating gene expression, stimulates *MYCN* transcription by binding to the *MYCN*
84 promoter region (18). Moreover, we previously reported that the tumor-suppressive transcription
85 factor p53 binds to *MYCN* exon 1 region and weakly represses *MYCN* and *NCYM* transcription (19).
86 Blocking the p53-binding site using the CRISPR/deactivated cas9 (dCas9) system upregulates *MYCN*,
87 *NCYM*, and p53 expression, inducing apoptotic cell death accompanied by caspase-2 activation (19).
88 Thus, the p53-mediated repression of *MYCN/NCYM* contributes to the survival of *MYCN*-amplified
89 NB cells (11,19). However, it remains unclear whether the regulation of *MYCN* expression by other
90 transcription factors (OCT4, *MYCN*, and CTCF) contributes to NB cell survival.

91 In this study, we evaluated the significance of transcription factors that bind to the *MYCN* locus in
92 NB cells. Our results suggest that the human-specific *MYCN*–OCT4 positive feedback loop plays a
93 crucial role in *MYCN*-amplified NB cell survival.

94 2 Material and Methods

95 2.1 Cell culture

96 Human NB cell lines CHP134 and IMR32 were maintained in RPMI-1640 (Nacalai Tesque, Kyoto,
97 Japan) supplemented with 10% fetal bovine serum (Thermo Fisher Scientific, Waltham, MA), 50
98 U/mL penicillin, and 50 µg/mL streptomycin (Thermo Fisher Scientific, Waltham, MA).
99 Neuroblastoma cell line SK-N-AS was maintained in Dulbecco's Modified Eagle Medium (DMEM)
100 (Sigma-Aldrich, St. Louis, MO) supplemented with 10% fetal bovine serum (Thermo Fisher
101 Scientific, Waltham, MA), 50 U/mL penicillin, and 50 µg/mL streptomycin (Thermo Fisher Scientific,
102 Waltham, MA).

103 2.2 Vector construction

104 To inhibit transcription factor binding at the *MYCN* locus, we designed CRISPR guide RNAs against
105 the *MYCN*-binding site (9,10), OCT4-binding site (17), CTCF-binding site A (18), p53-binding site
106 (19), and CTCF-binding site B (data from the UCSC Genome Browser). A CRISPR/dCas9 vector
107 was constructed as follows: pX330A_dCas9-1x2 (Addgene, Watertown, MA; plasmid ID 63596) (20)
108 was treated with BpiI (Thermo Fisher Scientific, Waltham, MA). Thereafter, annealed
109 oligonucleotides (p53-binding site: sense: 5'-CACCGCGCCTGGCTAGCGCTTGCT-3', antisense:
110 5'-AACAGCAAG CGCTAGCCAGGCGC-3'; OCT4-binding site: sense: 5'-CACC
111 AGCAGGGCTTGCAAACCGCC-3', antisense: 5'-AACCGCGGTTGCAAGCCCTGCT-3';
112 *MYCN*-binding site: sense: 5'-CACC GGGAGGGGGCATGCAGATGC-3', antisense: 5'-AAAC
113 GCATCTGCATGCCCTCCC-3'; CTCF-A-binding site: sense: 5'-CACC
114 TCTCCGCGAGGTGTCGCCTT-3', antisense: 5'-AAACAAGGCGACACCTCGCGGAGA-3'; and
115 CTCF-B-binding site: sense: 5'-CACCCCAGCAGGCGCGATATGCG-3', antisense: 5'-
116 AAACCGCATATCGCCGCCTGCTGG-3') were inserted into the digested vector.

117 2.3 Transfection

118 Plasmid transfection was performed using the Neon Transfection System (Invitrogen, Carlsbad, CA)
119 according to the manufacturer's instructions. We used 2×10^5 cells and 4 µg of the plasmid per
120 transfection. When performing the CUT&RUN assay and RNA isolation for quantitative real-time
121 reverse transcription-polymerase chain reaction (qRT-PCR), plasmid transfections were performed
122 using Lipofectamine 3000 transfection reagent (Invitrogen, Carlsbad, CA), according to the
123 manufacturer's instructions.

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124 2.4 WST assay

125 Cell viability was evaluated using the Cell Counting Kit-8 (CCK-8; Dojindo Laboratories,
126 Kumamoto, Japan), according to the manufacturer's protocol. Briefly, 100 μ L of dCas9-transfected
127 cell suspension (5,000 cells/well) was seeded in a 96-well plate. Ninety-six hours after transfection of
128 CRISPR/dCas9, 10 μ L of CCK-8 reagent was added into each well of the 96-well plate, and then, the
129 cells were incubated for 2 h at 37°C in a 5% CO₂ incubator. Cell proliferation was monitored at
130 450 nm using CORONA absorbance microplate reader (MTP-310, CORONA ELECTRIC, Ibaraki,
131 Japan).

132 2.5 Cytotoxicity assay

133 To evaluate cell damage, we measured lactate dehydrogenase (LDH) activity released from cells.
134 LDH activity was measured using the LDH Cytotoxicity Assay Kit (Nacalai Tesque, Kyoto, Japan),
135 according to the manufacturer's instructions. Briefly, 100 μ L of dCas9-transfected cell suspension
136 (10,000 cells/well) was seeded in a 96-well plate. Ninety-six hours after transfection of
137 CRISPR/dCas9, 100 μ L of the substrate solution was added into each well of the 96-well plate. After
138 which, the cells were incubated for 20 min at room temperature under shading condition, and then,
139 50 μ L of the stop solution was added into each well of the 96-well plate. LDH activity was monitored
140 at 490 nm using 2030 ARVO X (PerkinElmer, Kanagawa, Japan).

141 2.6 CUT&RUN assay

142 Twenty-four hours after the transfection of CRISPR/dCas9, CUT&RUN (CUT&RUN Assay
143 Kit, #86652, Cell Signaling Technology, Danvers, MA) was performed according to the
144 manufacturer's instructions. The following antibodies were used in the assay: anti-OCT4 antibody
145 (15 μ L/assay, #2750; Cell Signaling Technology, Danvers, MA) and Rabbit (DA1E) mAb IgG XP[®]
146 Isotype Control (15 μ L/assay, #66362; Cell Signaling Technology, Danvers, MA). DNA obtained
147 from the CUT&RUN assay was amplified using SYBR Green qRT-PCR with the StepOnePlus™
148 Real-Time PCR System (Thermo Fisher Scientific, Waltham, MA). The following primer set was
149 used: forward 5'-TCCTGGAACTGTGTTGGAG-3' and reverse 5'-
150 CTCGGATGGCTACAGTCTGT -3'. The detected DNA levels were normalized by the input signal.

151 2.7 RNA isolation and qRT-PCR

152 One day after CRISPR/dCas9 transfection, the total RNA from dCas9-transfected NB cells was
153 isolated using the RNeasy Mini Kit (Qiagen, Hilden, Germany) following the manufacturer's
154 instructions. cDNA was synthesized using SuperScript II with random primers (Invitrogen, Carlsbad,
155 CA). qRT-PCR was performed using SYBR Green PCR with the StepOnePlus™ Real-Time PCR
156 System (Thermo Fisher Scientific, Waltham, MA). The following primer sets were used: *MYCN*,
157 forward: 5'-TCCATGACAGCGCTAACGTT-3' and reverse: 5'-
158 GGAACACACAAGGTGACTTCAACA-3' and *GAPDH*, forward: 5'-
159 GTCTCCTCTGACTTCAACAGCG-3' and reverse: 5'-ACCACCCCTGTTGCTGTAGCCAA-3'. β -
160 *Actin* expression was quantified using the TaqMan real-time PCR assay. The mRNA level of *MYCN*
161 was normalized by β -*Actin* and *GAPDH*.

162 2.8 Long-read and short-read RNA-sequencing

163 Twenty-four hours after CRISPR/dCas9 transfection, the total RNA from dCas9-transfected NB cells
164 was isolated using the RNeasy Mini Kit (Qiagen, Hilden, Germany) following the manufacturer's

165 instructions. An Iso-Seq library was prepared as described in the Procedure & Checklist-Iso-Seq
166 Express Template Preparation for Sequel and Sequel II Systems, Version 02, October 2019 (Pacific
167 Biosciences, Menlo Park, CA). Briefly, cDNA was synthesized and amplified using the NEBNext
168 Single Cell/Low Input cDNA Synthesis & Amplification Module (New England Biolabs, Ipswich,
169 MA), Iso-Seq Express Oligo Kit (Pacific Biosciences, Menlo Park, CA), and barcoded primers. The
170 size of the amplified cDNA was selected using ProNex beads (Promega, Madison, WI) under
171 standard conditions. The Iso-Seq library was prepared from the size-selected cDNA using SMRTbell
172 Express Template Prep Kit 2.0 (Pacific Biosciences, Menlo Park, CA). The Iso-Seq libraries were
173 sequenced on the PacBio Sequel IIe with Sequel ICS v11.0 for 24 h using a single cell of Sequel II
174 SMRT Cell 8M Tray, Sequel II Sequencing Kit 2.0, Sequel II Binding Kit 2.1, and Internal Control
175 1.0 (Pacific Biosciences, Menlo Park, CA). Circular consensus sequencing (CCS) reads were created
176 using this instrument. An RNA-sequencing (RNA-seq) library was prepared using the NEBNext
177 rRNA Depletion Kit v2 (Human/Mouse/Rat) and the NEBNext Ultra II Directional RNA Library
178 Prep Kit for Illumina (New England Biolabs, Ipswich, MA). The RNA-Seq libraries were sequenced
179 on NextSeq 500 using the NextSeq 500/550 High Output Kit v2.5 (75 cycles) (Illumina, San Diego,
180 CA).

181 2.9 Bioinformatic analysis

182 Demultiplexing of CCS reads and removal of cDNA primers were performed using the lima
183 command of SMRT Tools v11.0 (Pacific Biosciences, Menlo Park, CA) with the parameters of Iso-
184 Seq data. The polyA tail and artificial concatemer reads were removed using the isoseq3 refine.
185 High-quality isoforms were obtained using the isoseq3 cluster with the parameter --use-qvs. To
186 collapse the transcripts using the isoseq3 collapse command, the high-quality isoform reads were
187 aligned to the human genome GRCh38 using minimap2 v2.24 (21) with the parameter --preset
188 ISOSEQ. To remove 3'-end intraprimer artifact and RT-switching artifact, quality control and
189 filtering were performed using SQANTI3 (22) with the genome annotation (Ensembl GRCh38
190 release105). Novel isoforms were identified from the filtered transcripts of all samples using the
191 TALON v5.0 pipeline (23) with the parameter --cov 0.95 --identity 0.95 --observed. Transcript
192 reference sequences, including novel and known transcript sequences, were created using SQANTI3
193 and used in the following short-read RNA-seq analysis. Salmon v1.9.0 was used to quantify
194 transcript expression levels with the parameter fldMean 260 --fldSD 73. Differentially expressed
195 transcripts were analyzed using the high-throughput gene expression data analysis tool DIANE
196 (<https://diane.bpmp.inrae.fr/>) (24). Differentially expressed transcripts were filtered by setting the
197 log₂ fold change (sgRNA OCT4/no sgRNA) to 0.58 and false discovery rate (FDR) to 0.05 as
198 threshold values.

199 2.10 Functional annotation analysis

200 DAVID (<https://www.david.ncifcrf.gov>) (25) was used to identify the enriched molecular functions
201 and pathways related to the genes of interest. *Q*-values (*P*-values adjusted for FDR) were calculated
202 using the Benjamini–Hochberg method in DAVID.

203 Enrichr (<http://amp.pharm.mssm.edu/Enrichr/>) (26–28) was used to analyze the enriched molecular
204 functions and pathways related to the differentially downregulated genes after OCT4-binding
205 inhibition. “ENCODE and ChEA Consensus TFs from ChIP-X”, “TF Perturbations Followed by
206 Expression”, and “ENCODE TF ChIP-seq 2015” were used as gene-set libraries. *Q*-values (*P*-values
207 adjusted for FDR) were calculated using the Benjamini–Hochberg method in Enrichr.

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208 2.11 Kaplan–Meier analysis-based prognosis classification of transcripts

209 Genes detected in the long-read RNA-seq analysis of CHP134 and IMR32 (9,144 genes) were input
210 into R2 Genomics Analysis and Visualization Platform (<http://r2.amc.nl>, Tumor Neuroblastoma -
211 Kocak - 649 -custom - ag44kewolf, GSE45547) for Kaplan–Meier analysis to extract a set of genes
212 associated with a poor prognosis of NB. For the type of survival, we selected overall survival. *Q*-
213 values (*P*-values adjusted for FDR) were calculated using the Benjamini–Hochberg method in R2.

214 2.12 Western blot analysis

215 The cells were lysed with RIPA buffer (50 mmol/L Tris-HCl buffer (pH 7.6), 150 mmol/L NaCl,
216 1(w/v)% Nonidet P40 Substitute, 0.5(w/v)% sodium deoxycholate, protease inhibitor cocktail, and
217 0.1(w/v)% SDS; # 08714-04, Nacalai Tesque, Kyoto, Japan) and benzonase (Merck Millipore,
218 Billerica, MA) and MgCl₂ at final concentrations of 25 U/μL and 2 mM, respectively; incubated at
219 37°C for 1 h; and centrifuged at 10,000 × *g* for 10 min at 4°C. Thereafter, the supernatant was
220 collected and denatured in SDS sample buffer (125 mM Tris-HCl, pH 6.8, 4% SDS, 10% sucrose,
221 0.01% BPB, and 10% 2-mercaptoethanol). Cellular proteins were resolved using sodium dodecyl
222 sulfate-polyacrylamide gel electrophoresis before being electroblotted onto polyvinylidene fluoride
223 membranes (#1704156, Bio-Rad Laboratories, Hercules, CA). The membranes were incubated with
224 the following primary antibodies for 60 min at room temperature: anti-Cas9 (1:1000 dilution;
225 #14697S, Cell Signaling Technology, Danvers, MA), anti-MDM2 (1:1000 dilution; OP46, Merck
226 Millipore, Billerica, MA), anti-p53 (1:1000 dilution; #2524, Cell Signaling Technology, Danvers,
227 MA), anti-caspase-2 (1:1000 dilution; sc-5292, Santa Cruz Biotechnology, Dallas, TX), anti-caspase
228 3 (1:1000 dilution; sc-7148, Santa Cruz Biotechnology, Dallas, TX), and anti-actin (1:1000 dilution;
229 FUJIFILM Wako Pure Chemical Corporation, Osaka, Japan). The membranes were then incubated
230 with horseradish peroxidase-conjugated secondary antibodies (anti-rabbit IgG at 1:5000 dilution or
231 anti-mouse IgG at 1:5000 dilution; both from Cell Signaling Technology, Danvers, MA), and the
232 bound proteins were visualized using a chemiluminescence-based detection kit (ImmunoStar Zeta;
233 ImmunoStar LD, FUJIFILM Wako Pure Chemical Corporation, Osaka, Japan). Chemiluminescence
234 was detected using ImageQuantTM LAS4000 (GE Healthcare, Chicago, IL).

235 To detect MYCN protein expression, western blotting was performed using an Abby instrument
236 (ProteinSimple, Tokyo, Japan) with 25-min separation at 375 V, 10-min blocking, 30-min primary
237 antibody incubation (anti-MYCN antibody, 1:100 dilution; #9405, Cell Signaling Technology,
238 Danvers, MA), and 30-min secondary antibody incubation (DM-001, ProteinSimple, Tokyo, Japan).
239 RePlexTM Module (RP-001, ProteinSimple, Inc., Tokyo, Japan) was used to detect total proteins.
240 Default assay parameters were used for data analysis, and peak areas were calculated using the
241 Compass software (ProteinSimple, Tokyo, Japan). The peak area values were used to represent the
242 intensity of target proteins. MYCN intensity was normalized by the total protein intensity.

243 2.13 Open reading frame dominance score analysis

244 The transcript sequences detected using long-read RNA-seq analysis were used to calculate ORF
245 dominance, as previously described (29,30).

246 2.14 Statistical analysis

247 Statistical analysis software "R" was used for data analysis. Mann–Whitney *U*-test, Student's *t*-test,
248 and Kruskal–Wallis test were performed as appropriate. A *p*-value < 0.05 was considered statistically
249 significant.

250 **3 Results**

251 **3.1 CRISPR/dCas9 targeting transcription factor-binding sites at the *MYCN* locus reduced**
252 **the viability in *MYCN*-amplified NB cells**

253 Deactivated Cas9 (dCas9) disrupts the binding of transcription factors to specific sites (31). To
254 inhibit transcription factor binding at the *MYCN* locus, we designed CRISPR guide RNAs against the
255 *MYCN*-binding site (9,10), OCT4-binding site (17), CTCF-binding site A (18), p53-binding site (19),
256 and CTCF-binding site B (data from the UCSC Genome Browser) (Figure 1A). A previous study has
257 demonstrated that CTCF binds to the upstream region of *MYCN* and promotes its transcription (18).
258 However, using the UCSC Genome Browser, we discovered an additional CTCF-binding site located
259 within the gene body of *MYCN* (Figure S1), whose function has not been investigated in previous
260 study (18). Therefore, we designed CRISPR guide RNAs for both CTCF-binding sites. For
261 convenience, we designated the CTCF-binding site upstream of *MYCN* as CTCF-A and the gene
262 body region as CTCF-B (Figures 1A and S1). We transfected all-in-one CRISPR/dCas9-sgRNA
263 vectors into CHP134 and IMR32 cells, both of which are *MYCN*-amplified NB cells (Figure 1B).
264 The viability of CHP134 and IMR32 cells was significantly reduced by dCas9 targeting the OCT4-
265 binding site, *MYCN*-binding site, CTCF-A site, and p53-binding site (Figure 1C). Among these
266 targets, dCas9 targeting the OCT4-binding site most significantly decreased in cell viability in both
267 *MYCN*-amplified NBs (CHP134 and IMR32) (Figure 1C). On the other hand, the viability of *MYCN*-
268 nonamplified NB cells (SK-N-AS) was not affected by dCas9 targeting the OCT4-binding site
269 (Figure S2).

270 **3.2 Inhibition of OCT4 binding at the *MYCN* locus suppresses *MYCN* activity**

271 As cell viability was significantly reduced by dCas9 targeting the OCT4-binding site in both *MYCN*-
272 amplified NB cell lines (Figure 1C), we investigated the effect of inhibition of the OCT4-binding site
273 on *MYCN* activity. Twenty-four hours after dCas9 transfection, CRISPR/dCas9 inhibited OCT4
274 binding at the *MYCN* locus (Figure 2A, B) and suppressed the expression of *MYCN* mRNA compared
275 to control (dCas9 without sgRNA) (Figure 2C). To identify the transcriptomic changes after OCT4-
276 binding inhibition, we performed short-read RNA-seq combined with long-read RNA-seq of CHP134
277 and IMR32 cells 24 h after dCas9 transfection. We have listed the detected transcripts and their
278 expression levels in Table S1. Through this analysis, we detected 17,601 annotated transcripts
279 (transcript ID starts with ENST~) and 70,753 unannotated transcripts (transcript ID starts with
280 TALONT~) in the combined CHP134 and IMR32 cell samples. Notably, the number of unannotated
281 transcripts was approximately four times higher than the number of annotated transcripts. For
282 example, we detected 1 annotated transcript (Figure S3A (ii)) and 4 unannotated transcripts (Figure
283 S3A (i), (iii), (iv), and (v)) transcribed from the *MYCN* locus, and 2 annotated transcripts (Figure
284 S3A (viii) and (ix)) and 2 unannotated transcripts (Figure S3A (vi) and (vii)) transcribed from the
285 *NCYM* locus using long-read RNA-seq analysis. *NCYM* non-coding RNA (ENST00000641263),
286 previously reported to function as a non-coding RNA in NB (32), was not detected in this study. The
287 normalized expression counts analyzed from short-read RNA-seq of *MYCN* and *NCYM* transcripts in
288 CHP134 cells are presented in Figure S3B and C. Among these transcripts, the expression of
289 ENST00000281043 (Figure S3A (ii)), encoding the *MYCN* protein, tended to be suppressed (Figure
290 S3B), whereas that of TALONT000261009 (Figure S3A (iii)), which lacks the *MYCN*-coding
291 sequence, was upregulated by OCT4-binding inhibition (Figure S3B). Western blotting showed
292 decreased expression of the *MYCN* protein at 72 h after dCas9 transfection (Figure 2D). Consistent
293 with this observation, Enrichr analysis (<http://amp.pharm.mssm.edu/Enrichr/>) (26–28) revealed that
294 differentially downregulated genes were enriched in *MYCN*-target genes (GSE80397: downregulated

295 gene set after *MYCN* knockdown in IMR575) (Figure 2E) and MYC/MAX-target genes (Table S2).
296 On the contrary, in the Enrichr analysis of three independent gene-set libraries (ENCODE and ChEA
297 Consensus TFs from ChIP-X, TF Perturbations Followed by Expression, and ENCODE TF ChIP-seq
298 2015), enrichment of OCT4-target genes was not observed, suggesting no off-target effects of
299 CRISPR/dCas9 on the expression of other OCT4-target genes (Table S2). These findings indicate
300 that CRISPR/dCas9 specifically inhibited OCT4 binding at the *MYCN* locus and suppressed MYCN
301 activity in *MYCN*-amplified NB.

302 **3.3 Inhibiting OCT4 binding at the *MYCN* locus downregulates high-ORF dominance
303 transcripts associated with poor prognosis**

304 We examined how the reduced MYCN activity altered the NB transcriptome. In our previous study,
305 we developed the ORF dominance score, which is defined as the fraction of the longest ORF in the
306 sum of all putative ORF lengths within a transcript sequence (29). This score correlates with
307 translation efficiency of coding transcripts and non-coding RNAs (29). Our previous *in silico*-based
308 analysis suggested that noncoding transcripts with high-ORF dominance are associated with
309 downstream genes of MYCN in humans (29). Therefore, we investigated whether MYCN functions
310 as a regulator of transcripts with high-ORF dominance in NB. We calculated ORF dominance scores
311 of differentially downregulated transcripts using long-read RNA-seq analysis (Table S3). The
312 differentially downregulated transcripts had significantly higher ORF dominance than all transcripts,
313 and this trend was observed for both coding and non-coding RNAs (Figure 3A). Additionally,
314 isoform expression analysis from short-read RNA-seq showed similar results, revealing that the
315 differentially downregulated transcripts had significantly higher ORF dominance in both coding and
316 non-coding transcripts (Figure S4). These findings indicate that MYCN maintains the expression of
317 transcripts with high-ORF dominance in NB.

318 Next, to analyze the functions of transcripts with high ORF dominance, we extracted transcripts with
319 high-ORF dominance (ORF dominance > 0.5) from the differentially downregulated transcripts and
320 performed Gene Ontology (GO) analysis using DAVID. Transcripts with high-ORF dominance were
321 associated with the GO terms “mRNA processing,” “mRNA splicing via spliceosome,” and “RNA
322 splicing” (Figure 3B and Table S4). Notably, the genes encoding the splicing factors *HNRNPA1* and
323 *PTBP1* are the targets of MYCN, and a decrease in MYCN activity induces the downregulation of
324 *HNRNPA1* and *PTBP1* expression and suppresses the proliferation of *MYCN*-amplified NB cells (33).
325 Consistent with this previous report, the expression of *HNRNPA1* and *PTBP1*, the target genes of
326 MYCN, was downregulated after OCT4-binding inhibition in this study (Figure S5A). *HNRNPA1*
327 and *PTBP1* regulate the alternative splicing of the pyruvate kinase gene (*PKM*) and facilitate the
328 switch from the canonical isoform *PKM1* to the cancer-related isoform *PKM2* (33,34). The
329 knockdown of *PTBP1*, *HNRNPA1*, and their downstream target *PKM2* represses the proliferation of
330 *MYCN*-amplified NB (33). Similarly, the *PKM2/PKM1* ratio was significantly decreased by OCT4-
331 binding inhibition in this study (Figure S5B), suggesting that the splicing switch from *PKM1* to
332 *PKM2* underlies the mechanism of inhibition of NB proliferation after transfection of CRISPR/dCas9
333 targeting the OCT4-binding site.

334 We examined the expression of cell death-related proteins using western blotting to gain insights into
335 the mechanism of inhibition of NB proliferation. In our previous study, we found that blocking the
336 p53-binding site at the *MYCN* locus using CRISPR/dCas9 results in the cleavage of caspase-2 and
337 MDM2 and induction of p53 expression (19), which is associated with the p53–MDM2–caspase-2
338 positive feedback loop (35). Consistent with this report, cleavage of caspase-2 and MDM2, but not
339 caspase-3, and induction of p53 expression were observed in *MYCN*-amplified NB cells at 72 h after

340 transfection of CRISPR/dCas9 (Figure S5C). To evaluate cytotoxicity, the activity of LDH released
341 from cells was measured using a cytotoxicity LDH assay at 96 h after transfection of CRISPR/dCas9.
342 The LDH activity was enhanced by CRISPR/dCas9 targeting the OCT4-binding site in CHP134 and
343 IMR32 cells (Figure S5D). This result suggests that inhibition of OCT4 binding at the *MYCN* locus
344 induces apoptosis in NB cells via the activation of the p53–MDM2–caspase-2 positive feedback loop.

345 Finally, we investigated whether genes encoding transcripts with high-ORF dominance are associated
346 with the poor prognosis of NB using the R2 Genomics Analysis and Visualization Platform. Genes
347 detected in the long-read RNA-seq analysis of CHP134 and IMR32 (9,144 genes) were input into the
348 Kaplan-Meier analysis of the R2 database to extract a set of genes associated with poor NB prognosis
349 of NB. We found 3,214 genes whose high expression was associated with a poor prognosis of NB.
350 The transcripts encoded by these genes were classified into "high is worse" group (n = 31,883) (Table
351 S5). We also identified 5,492 genes that were not associated with NB prognosis. The transcripts
352 encoded by these genes were classified into "none" group (n = 35,089) (Table S5). We found that the
353 transcripts in the "high is worse" group had significantly higher ORF dominance than those in the
354 "none" group (Figure 3C). These results indicate that *MYCN* regulates the expression of transcripts
355 with high-ORF dominance, most of which are associated with poor prognosis of NB.

356 4 Discussion

357 In this study, we showed that the specific inhibition of OCT4 binding at the *MYCN* locus reduces
358 *MYCN* activity and induces NB cell death. In our previous study, we found that high *OCT4* mRNA
359 expression is associated with a poor prognosis of *MYCN*-amplified NBs, but not in *MYCN*-non-
360 amplified NBs (17). Consistent with this finding, in this study, OCT4-binding inhibition in the intron
361 1 region of *MYCN* decreased the viability of *MYCN*-amplified NB cells (CHP134 and IMR32), but
362 not that of *MYCN*-non-amplified NB cells (SK-N-AS), suggesting that the human-specific OCT4–
363 *MYCN* mutual positive feedback loop is specifically required for the survival of *MYCN*-amplified
364 NB. Recent reports indicate that POU family proteins and *MYCN* bind to human-specific *cis*-
365 regulatory elements in cranial neural crest cells (36), suggesting that POU family proteins and
366 *MYCN* have human-specific roles in neural crest development. In addition, *NCYM*, a *cis*-antisense
367 gene of *MYCN*, encodes the homininae-specific oncoprotein and enhances metastasis of NBs (10)
368 possibly by inhibiting apoptotic cell death (10,19,37) and regulating stemness related genes,
369 including *OCT4*, *NANOG*, and *LIN28* (17). Together, the human-specific OCT4–*MYCN* positive
370 feedback loop and the Homininae-specific oncoprotein *NCYM* form a human-specific regulatory
371 network in NBs that contributes to malignant phenotypes. Our findings suggest that evolution of
372 these network may contribute to cancer-specific characteristics, and a comparative analysis between
373 humans and their close relative species may help clarify whether the human genetic background is
374 more prone to cancer phenotypes.

375 In this study, inhibition of OCT4 binding at the *MYCN* locus suppressed *MYCN* and its downstream
376 genes, including *HNRNPA1* and *PTBP1*, which are splicing factors. The reduction of *HNRNPA1* and
377 *PTBP1* subsequently decreased splicing activity, leading to a decrease in the *PKM2/PKM1* ratio and
378 activation of caspase-2. A previous study by Zhang et al. (33) reported that knockdown of *PKM2*
379 suppresses cell proliferation in *MYCN*-amplified NB cells (IMR5), but not in *MYCN*-non-amplified
380 NB cells (SK-N-AS), suggesting a *MYCN*-amplified NB-dependent function for *PKM2*. Our
381 observation that CRISPR/dCas9 targeting of the OCT4 binding site suppresses cell proliferation
382 specifically in *MYCN*-amplified NB is therefore consistent with this report. However, the link
383 between *PKM2* and caspase-2 remains unclear. One possible explanation is that *PKM2* interacts with
384 the CDK1-cyclinB complex to facilitate cell cycle progression in gliomas, and knockdown of *PKM2*

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385 decreases CDK1 kinase activity (38). Reduced CDK1 activity decreases the inhibitory
386 phosphorylation level of the S340 residue of caspase-2, thereby leading to caspase-2 activation (39).
387 Thus, suppressed *PKM2* expression may activate caspase-2 through the reduction of CDK1-cyclin B
388 kinase activity.

389 The inhibition of OCT4 binding at the *MYCN* locus induced cell death, with increased p53 expression
390 and cleavage of caspase-2 and MDM2. In NB, cleaved MDM2 (MDM2-p60) is generated by
391 oridonin, an active diterpenoid derived from traditional Chinese medicine (40). The generation of
392 MDM2-p60 stabilizes p53 expression and results in p53 accumulation for continuous activation (40).
393 This oridonin-induced p53 activation promotes apoptosis and cell cycle arrest in NB cells (40). In
394 addition to the caspase-mediated inhibition of MDM2, small-molecule inhibitors of MDM2, such as
395 nutlin-3, MI-63, and RG7388, have been shown to exert anticancer activity in NB cells (41,42).
396 Notably, *MYCN* amplification or overexpression sensitizes NB cell lines with wild-type p53 to
397 MDM2-p53 antagonists, including Nutlin-3 and MI-63 (41). Thus, caspase-2-mediated cleavage of
398 MDM2 may play an essential role in cell death induced by inhibition of OCT4 binding at the *MYCN*
399 locus.

400 Previously, we developed the ORF dominance score, defined as the fraction of the longest ORF in
401 the sum of all putative ORF lengths (29). An *in silico*-based analysis suggested that noncoding
402 transcripts with high-ORF dominance are associated with the downstream gene of *MYCN* in humans
403 (29). However, whether *MYCN* regulates the expression of transcripts with high-ORF dominance has
404 not yet been experimentally investigated. In this study, we investigated the effect of *MYCN* activity
405 on the expression of transcripts with high-ORF dominance in *MYCN*-amplified NB cells. Our
406 findings demonstrate that a reduction in *MYCN* activity led to a decrease in the expression of both
407 coding and noncoding transcripts with high ORF dominance. Importantly, the present study identifies
408 *MYCN* as the first experimentally validated regulator of ORF dominance. Moreover, we found that
409 transcripts with high ORF dominance are associated with poor prognosis in NB patients, indicating
410 that ORF dominance may serve as a novel prognostic marker in NB. However, it should be noted that
411 the ORF dominance data obtained in this study were based on transcript sequences from cell lines
412 (CHP134 and IMR32). Hence, future studies should investigate whether the ORF dominance score
413 can serve as a prognostic marker in NB using patient-derived transcript data.

414 5 Conflict of Interest

415 The authors declare that this research was conducted in the absence of any commercial or financial
416 relationships that could be construed as a potential conflict of interest.

417 6 Author Contributions

418 KN, HK, TM, KK, YHasegawa, TSakuma, TY, and YS performed the experiments and acquired and
419 analyzed the data. KN, KK, YHasegawa, YHippo, and YS wrote the manuscript. KN, TSetoguchi,
420 YHippo, and YS acquired the funds. YS designed and supervised the study. All authors contributed
421 to manuscript preparation and approved the submitted version.

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433

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561 **10 Data Availability Statement**

562 We have deposited the raw sequencing data in this study to the DNA Data Bank of Japan (DDBJ),
563 under the accession number [PRJDB15933]. The deposited data can be accessed through the DDBJ
564 website (<http://www.ddbj.nig.ac.jp/>).

565 **11 Figure legends**

566 **Figure 1. CRISPR/dCas9 targeting the MYCN locus reduces the viability of MYCN-amplified**
567 **neuroblastoma cells.**

568 (A) A diagram of the *MYCN/NCYM* locus with the positions of targeting sgRNAs. The white and
569 black boxes indicate the *MYCN* and *NCYM* regions, respectively. TSS: transcription start site. (B)
570 Western blotting of dCas9 protein in CHP134 and IMR32 cells. Twenty-four hours after
571 CRISPR/dCas9 transfection, these cells were subjected to western blotting. β -Actin was used as a
572 loading control. (C) Ninety-six hours after CRISPR/dCas9 transfection, the viability of CHP134 and
573 IMR32 was measured using the WST assay. *: $p < 0.05$; **: $p < 0.01$; ***: $p < 0.001$. Data were

574 analyzed using student's *t*-test (compared with no sgRNA). Error bars represent SEM of six
575 independent experiments.

576 **Figure 2. Inhibition of OCT4 binding at the *MYCN* locus reduces *MYCN* activity.**

577 (A) Schematic depiction of the *MYCN/NCYM* locus with the location of the primer used in the
578 CUT&RUN assay. The OCT4-binding site is indicated with a red box. The white and black boxes
579 indicate the *MYCN* and *NCYM* regions, respectively. (B) OCT4 binding at the *MYCN* locus was
580 inhibited using CRISPR/dCas9. Twenty-four hours after the transfection of CRISPR/dCas9 targeting
581 the OCT4-binding site, CHP134 cells were subjected to the CUT&RUN assay. Genomic DNA was
582 amplified using quantitative real-time reverse transcription-polymerase chain reaction (qRT-PCR)
583 using primer in Figure 2A. The signals were normalized by input signals. IgG was used as an isotype
584 control. Error bars represent SEM of four independent experiments. Data were analyzed using
585 student's *t*-test. (C) qRT-PCR analyses of *MYCN* in CRISPR/dCas9-transfected CHP134 and IMR32
586 cells. One day after transfection, mRNA expression levels were measured using qRT-PCR with β -
587 *actin* or *GAPDH* as an internal control. Data were analyzed using Student's *t*-test. Error bars
588 represent SEM of three independent experiments. (D) Quantitative analysis of western blot was
589 performed on CHP134 and IMR32 cells transfected with CRISPR/dCas9. *MYCN* protein expression
590 levels were measured using the SimpleWestern™ system at 72 h after transfection. The upper panel
591 displays the *MYCN* protein band processed by the SimpleWestern™ system, whereas the lower
592 panel shows the quantified *MYCN* signal normalized by total protein level. Data is representative
593 data. (E) Differentially downregulated genes after inhibition of OCT4 binding at the *MYCN* locus
594 were enriched in *MYCN*-target genes. Enrichr analysis (<http://amp.pharm.mssm.edu/Enrichr/>)
595 summary of enriched transcription factor-target genes.

596 **Figure 3. Downregulated transcripts after dCas9 transfection are associated with high-open
597 reading frame (ORF) dominance score in neuroblastoma cells.**

598 (A) Differentially downregulated transcripts were associated with high-ORF dominance in CHP134
599 (left) and IMR32 cells (right). The number of samples was as follows: coding transcripts (CHP134:
600 all, n = 51,400; down, n = 610, IMR32: all, n = 53,245; down, n = 2,047). Noncoding transcripts
601 (CHP134: all, n = 3,464; down, n = 29, IMR32: all, n = 3,601; down, n = 144). The summary of the
602 data is shown as a violin plot reflecting the data distribution and an open circle indicating the median
603 of the data. *P*-values were calculated using Mann–Whitney *U*-test. (B) Gene Ontology (GO) analysis
604 of differentially downregulated transcripts with high-ORF dominance (ORF dominance > 0.5). (C)
605 The “high is worse” transcripts (coding, n = 30,470; noncoding, n = 1,413) showed higher ORF
606 dominance than the “none” transcripts (coding, n = 31,895; noncoding, n = 3,194). The “high is
607 worse” group contains transcripts whose high expression is associated with a poor prognosis of
608 neuroblastoma. The “none” group transcripts are not associated with the prognosis of neuroblastoma.
609 A summary of the data is shown as a violin plot reflecting data distribution and an open circle
610 indicating the median of the data. *P*-values were calculated using Mann–Whitney *U*-test.

611

Figure 1

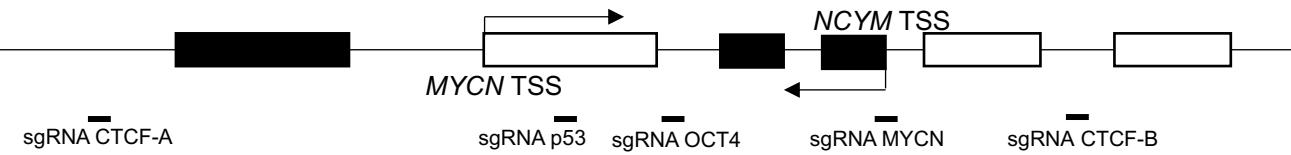
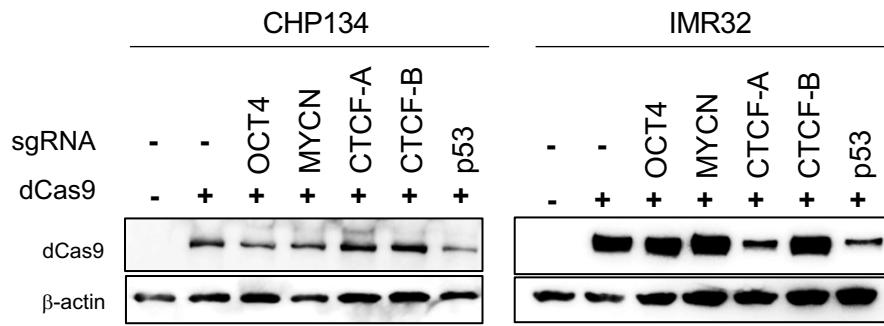
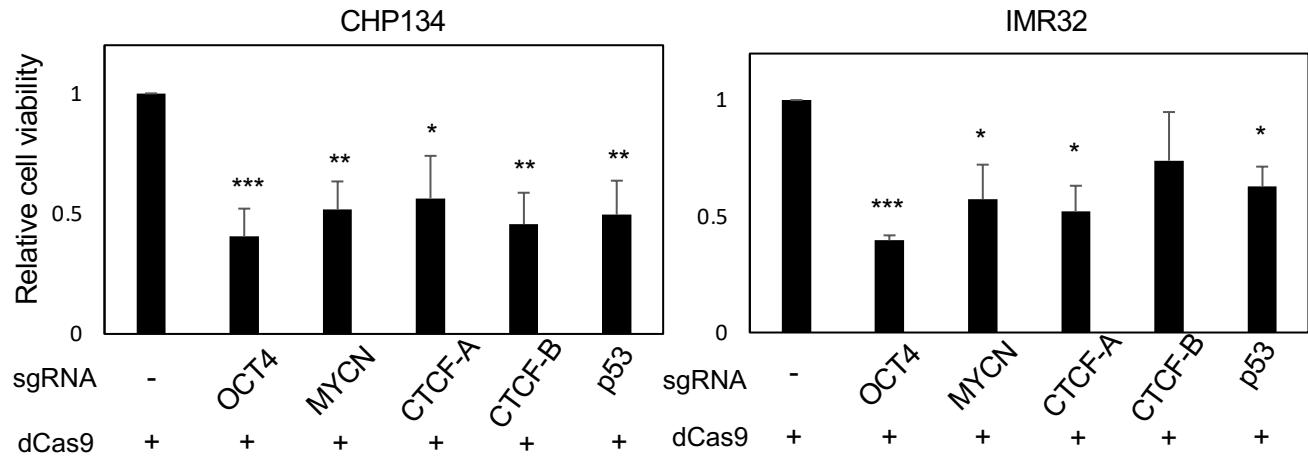
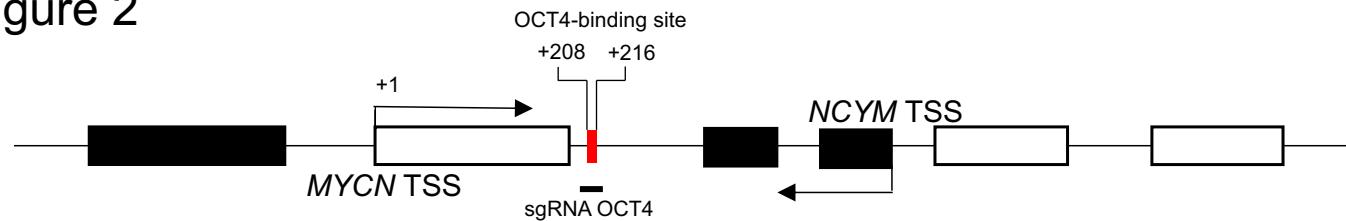
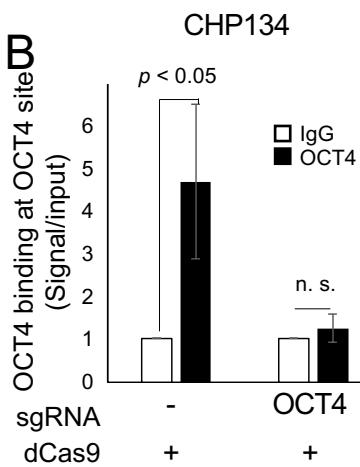
A**B****C**

Figure 2

A



B



Primer

+48 +119

OCT4

OCT4-binding site

+1 +208 +216

sgRNA OCT4

MYCN TSS

NCYM TSS

Primer

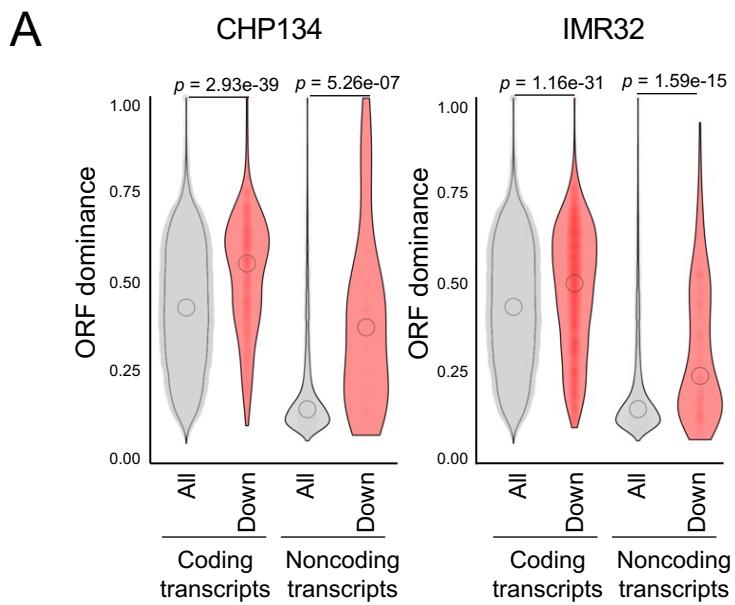
+48 +119

OCT4

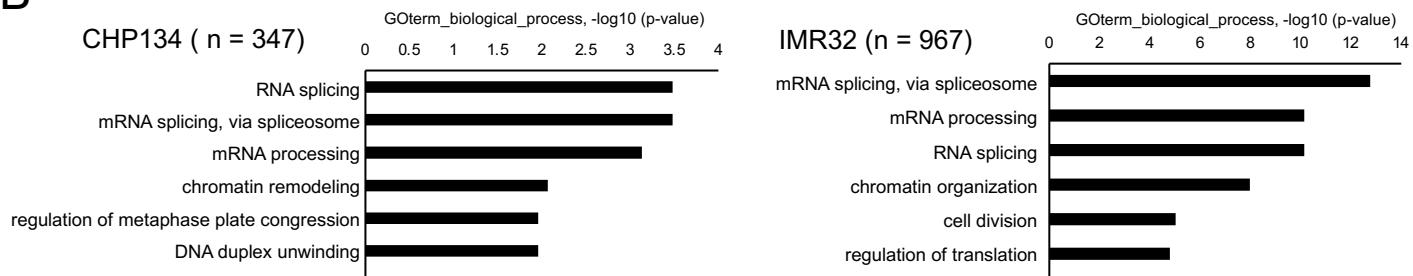
Primer

+48 +119

Figure 3



B



C

