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2 **Inhibition of CPEB3 ribozyme elevates CPEB3 protein expression and**
3 **Polyadenylation of its target mRNAs, and enhances object location memory**
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29

30 **Abstract**

31 A self-cleaving ribozyme that maps to an intron of the cytoplasmic polyadenylation element
32 binding protein 3 (*CPEB3*) gene is thought to play a role in human episodic memory, but the
33 underlying mechanisms mediating this effect are not known. We tested the activity of the
34 murine sequence and found that the ribozyme's self-scission half-life matches the time it takes
35 an RNA polymerase to reach the immediate downstream exon, suggesting that the ribozyme-
36 dependent intron cleavage is tuned to co-transcriptional splicing of the *CPEB3* mRNA. Our
37 studies also reveal that the murine ribozyme modulates maturation of its harboring mRNA in
38 both cultured cortical neurons and the hippocampus: inhibition of the ribozyme using an
39 antisense oligonucleotide leads to increased *CPEB3* protein expression, which enhances
40 polyadenylation and translation of localized plasticity-related target mRNAs, and
41 subsequently strengthens hippocampal-dependent long-term memory. These findings reveal a
42 previously unknown role for self-cleaving ribozyme activity in regulating experience-induced
43 co-transcriptional and local translational processes required for learning and memory.

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46
47 **Significance Statement**

48 Cytoplasmic polyadenylation-induced translation is one of the key steps for regulating
49 protein synthesis and neuroplasticity in the hippocampus. The *CPEB3* ribozyme is a highly
50 conserved mammalian self-cleaving catalytic RNA with unknown biological roles. In this
51 study, we investigated how the intronic ribozyme affects the *CPEB3* mRNA maturation and
52 translation, and its subsequent effect on memory formation. Our findings show that the
53 ribozyme activity is anti-correlated with *CPEB3* mRNA splicing: inhibition of the ribozyme
54 results in higher mRNA and protein levels, which contribute to long-term memory. Our
55 studies offer new insights into the role of the *CPEB3* ribozyme in neuronal translational

56 control for the activity-dependent synaptic functions that underlie long-term memory and
57 demonstrate a novel biological role for self-cleaving ribozymes.

58
59
60 **Introduction**
61

62 Cytoplasmic polyadenylation element binding proteins (CPEBs) are RNA-binding
63 proteins that modulate polyadenylation-induced mRNA translation, which is essential for the
64 persistence of memory (Huang et al., 2003). CPEBs have been found in several invertebrate
65 and vertebrate genomes, and four *CPEB* genes (*CPEB1–4*) have been identified in mammals
66 (Si et al., 2003; Theis et al., 2003; Richter, 2007; Merkel et al., 2013; Afroz et al., 2014). All
67 CPEB proteins have two RNA recognition domains (RRM motifs) and a ZZ-type zinc finger
68 domain in the C-terminal region, but they differ in their N-terminal domains (Hake and
69 Richter, 1994; Huang et al., 2006; Ivshina et al., 2014). *Aplysia* CPEB (ApCPEB), *Drosophila*
70 Orb2, and mouse CPEB3 have two distinct functional conformations that correspond to
71 soluble monomers and amyloidogenic oligomers, and have been implicated in the
72 maintenance of long-term facilitation (LTF) in *Aplysia* and long-term memory in both
73 *Drosophila* and mice (Miniaci et al., 2008; Si et al., 2010; Majumdar et al., 2012; Fioriti et al.,
74 2015; Hervas et al., 2016; Rayman and Kandel, 2017; Hervas et al., 2020). In *Drosophila*,
75 inhibition of amyloid-like oligomerization of Orb2 impairs the persistence of long-lasting
76 memory, and deletion of the prion-like domain of Orb2 disrupts long-term courtship memory
77 (Keleman et al., 2007; Hervas et al., 2016). The aggregated form of CPEB3, which is
78 inhibited by SUMOylation, can mediate target mRNA translation at activated synapses
79 (Drisaldi et al., 2015).

80
81 Following synaptic stimulation, CPEB3 interacts with the actin cytoskeleton, with a
82 positive feedback loop of CPEB3/actin regulating remodeling of synaptic structure and

83 connections (Stephan et al., 2015; Gu et al., 2020). Studies of CPEB3 in memory formation
84 revealed that local protein synthesis and long-term memory storage are regulated by the prion-
85 like CPEB3 aggregates, which are thought to strengthen synaptic plasticity in the
86 hippocampus. While *CPEB3* conditional knockout mice display impairments in memory
87 consolidation, object placement recognition, and long-term memory maintenance (Fioriti et
88 al., 2015), global *CPEB3* knockout (*CPEB3*-KO) mice exhibit (i) enhanced spatial memory
89 consolidation in the Morris water maze, (ii) elevated short-term fear memory in a contextual
90 fear conditioning task, and (iii) improved long-term memory in a spatial memory task (water
91 maze) (Chao et al., 2013). Moreover, dysregulation of translation of plasticity-associated
92 proteins and post-traumatic stress disorder-like behavior after traumatic exposure is observed
93 in *CPEB3*-KO mice (Lu et al., 2021).

94 In addition to encoding the CPEB3 protein, the mammalian *CPEB3* gene also encodes
95 a functionally conserved self-cleaving ribozyme that maps to the second intron (Salehi-
96 Ashtiani et al., 2006; Webb and Luptak, 2011; Bendixsen et al., 2021) (Fig. 1A). Several
97 mammalian ribozymes have been identified (Salehi-Ashtiani et al., 2006; Martick et al., 2008;
98 de la Pena and Garcia-Robles, 2010; Perreault et al., 2011; Hernandez et al., 2020; Chen et al.,
99 2021), including the highly active sequence in the *CPEB3* gene. The CPEB3 ribozyme
100 belongs to hepatitis delta virus (HDV)-like ribozymes, which are self-cleaving RNAs
101 widespread among genomes of eukaryotes, bacteria, and viruses (Webb et al., 2009; Eickbush
102 and Eickbush, 2010; Ruminski et al., 2011; Sanchez-Luque et al., 2011; Weinberg et al.,
103 2015; Passalacqua et al., 2017). The biological roles of these ribozymes vary widely and
104 include processing rolling-circle transcripts during HDV replication (Sharmeen et al., 1988;
105 Wu et al., 1989), 5'-cleavage of retrotransposons (Eickbush and Eickbush, 2010; Ruminski et
106 al., 2011; Sanchez-Luque et al., 2011), and in one bacterial example, the HDV-like ribozyme

107 may mediate metabolite-dependent regulation of gene expression (Passalacqua et al., 2017).
108 Furthermore, the genomic locations of these catalytic RNAs suggest that they are involved in
109 many other biological processes. Recent analysis suggests that, although the biological
110 function remains unknown, CPEB3 ribozymes have had a role in mammals for over 100
111 million years (Bendixsen et al., 2021). In humans, a single nucleotide polymorphism (SNP) at
112 the ribozyme cleavage site leads to a 3-fold higher rate of *in vitro* self-scission, which
113 correlates with poorer performance in an episodic memory task (Salehi-Ashtiani et al., 2006;
114 Vogler et al., 2009) and suggests that the ribozyme activity may play a role in memory
115 formation.

116 While the CPEB3 protein is well established as a modulator of memory formation and
117 learning, the molecular and physiological functions of the intronic CPEB3 ribozyme have not
118 been tested. Using synthetic ribozymes placed within introns of mammalian genes, previous
119 work showed that splicing of the surrounding exons is sensitive to the continuity of the intron:
120 fast ribozymes caused efficient self-scission of the intron, leading to unspliced mRNA and
121 lower protein expression. In contrast, slow ribozymes had no effect on mRNA splicing and
122 subsequent protein expression (Fong et al., 2009). Based on this observation, we tested the
123 hypothesis that inhibition of the CPEB3 ribozyme co-transcriptional self-scission will
124 promote *CPEB3* mRNA splicing (Fig. 1A) and increase the expression of full-length mRNA
125 and CPEB3 protein, leading to polyadenylation of its target mRNAs and enhancement in the
126 consolidation of hippocampal-dependent memory.

127

128 **Materials and Methods**

129

130 **Primary cortical neuronal culture**

131 Pregnant female C57BL/6 mice (The Jackson Laboratory) were euthanized at E18,
132 and embryos were collected into an ice-cold Neurobasal medium (Thermo Fisher Scientific).
133 Embryonic cortices were dissected, meninges were removed, and tissues were minced. Cells
134 were mechanically dissociated, passed through a 40- μ m cell strainer, counted, and plated at a
135 density of 0.5×10^6 cells per well in six-well plates coated with poly-D-lysine (Sigma-
136 Aldrich). Neuronal cultures were maintained at 37 °C with 5% CO₂, and grown in Neurobasal
137 medium containing 2% B27 supplement (Thermo Fisher Scientific), 1%
138 penicillin/streptomycin (Thermo Fisher Scientific), and 2 mM L-glutamine (Thermo Fisher
139 Scientific) for 7–10 days *in vitro* (DIV), with 50% of the medium being replaced every 3
140 days. All experimental procedures were performed according to the National Institutes of
141 Health Guide for the Care and Use of Laboratory Animals and approved by the Institutional
142 Animal Care and Use Committee of the University of California, Irvine.

143

144 **Mice**

145 C57BL/6J mice (8–10 weeks old, The Jackson Laboratory) were housed in a 12-h
146 light/dark cycle and had free access to water and food. All experiments were conducted
147 during the light cycle. All experimental procedures were performed according to the National
148 Institutes of Health Guide for the Care and Use of Laboratory Animals and approved by the
149 Institutional Animal Care and Use Committee of the University of California, Irvine.

150

151 **Measurement of co-transcriptional self-scission of the CPEB3 ribozyme**

152 *In vitro* co-transcriptional cleavage kinetics were measured using a previously
153 described method that utilizes standard T7 RNA polymerase *in vitro* transcription under

154 minimal MgCl₂ concentration, followed by a 25-fold dilution of the reaction to stop the
155 synthesis of transcripts and to allow the study of the self-scission reaction without the need for
156 purification or additional preparation steps (Passalacqua et al., 2017). Transcription reactions
157 were set up in a 5 μ L volume and incubated for 10 minutes at 24 °C. The reactions contained
158 the following components: 1 μ L of 5 \times transcription buffer (10 mM spermidine, 50 mM
159 dithiothreitol, 120 mM Tris chloride buffer, pH 7.5, and 0.05% Triton X-100), 1 μ L of 5 \times
160 ribonucleoside triphosphates (final total concentration of 6.8 mM), 1 μ L of 5 mM Mg²⁺, 1 μ L
161 DNA amplified by PCR to about 1 μ M final concentration, 0.5 μ L of 100% DMSO, 0.15 μ L
162 of water, 0.1 μ L of murine RNase inhibitor (40,000 units/mL, New England Biolabs), 0.125
163 μ L of T7 polymerase, and 0.125 μ L [α -³²P]ATP. To prevent initiation of new transcription,
164 the reactions were diluted into 100 μ L of physiological-like buffer solution at 37 °C. The
165 solution consisted of 2 mM Mg²⁺ (to promote ribozyme self-scission), 140 mM KCl, 10 mM
166 NaCl, and 50 mM Tris chloride buffer (pH 7.5). The 100 μ L solution was then held at 37 °C
167 for the remainder of the experiment while aliquots were withdrawn at various time points. An
168 equal volume of 4 mM EDTA/7 M urea stopping solution was added to each aliquot
169 collected. Aliquots were resolved using denaturing polyacrylamide gel electrophoresis
170 (PAGE, 7.5% polyacrylamide, 7 M urea). The PAGE gel was exposed to a phosphorimage
171 screen for ~2 hours and analyzed using a Typhoon imaging system (GE Healthcare). Band
172 intensities corresponding to the uncleaved ribozymes and the two products of self-scission
173 were analyzed using ImageQuant (GE Healthcare) and exported into Excel. Fraction intact
174 was calculated as the intensity of the band corresponding to the uncleaved ribozyme divided
175 by the sum of band intensities in a given PAGE lane. The data were fit to a biexponential
176 decay model:

$$k_{\text{obs}} = A \times e^{-k(1)t} + B \times e^{-k(2)t} + C$$

178 In the case of the smallest (minimal) murine CPEB3 ribozyme construct (-10/72;
179 Table 1), the data were modeled by a monoexponential decay with an uncleaved fraction
180 (using parameters A, k_1 , and C only).

181

182 ***In vitro* co-transcriptional cleavage kinetics in the presence of antisense oligonucleotides
183 (ASO).**

184 To test inhibition of the CPEB3 ribozyme by antisense oligos (ASOs), *in vitro*
185 transcription was performed in a solution containing 10 mM dithiothreitol (DTT), 2 mM
186 spermidine, 4.5 mM MgCl₂; GTP, UTP, and CTP (1.25 mM each); 250 μ M ATP; 4.5 μ Ci of
187 [α -³²P]ATP (PerkinElmer); 40 mM HEPES (pH 7.4), and 1 unit of T7 RNA polymerase. A
188 5.0 μ L transcription reaction was initiated by the addition of 0.5 pmol of DNA template, and
189 the mixture was incubated at 24 °C for 10 min. A 1.0 μ L aliquot of the reaction was
190 withdrawn, and its transcription and self-scission were terminated by the addition of urea
191 loading buffer. The remaining 4.0 μ L volume was diluted 25-fold (final volume of 100 μ L)
192 into a physiological-like solution [50 mM HEPES buffer (pH 7.4), 10 mM NaCl, 140 mM
193 KCl, 10 mM MgCl₂, and 1 μ M of the ASO of interest] at 37 °C. A control experiment was
194 performed in the presence of scrambled ASO. 5 μ L aliquots were collected at the indicated
195 times and terminated by the addition of 5 μ L denaturing loading buffer (20 mM EDTA, 8 M
196 urea, and the loading dyes xylene cyanol and bromophenol blue). Samples were resolved on a
197 10% polyacrylamide gel electrophoresis (PAGE) under denaturing conditions (7 M urea). The
198 PAGE gel was exposed to a phosphorimage screen and analyzed using Typhoon
199 phosphorimager and ImageQuant software (GE Healthcare). Band intensities were analyzed
200 by creating line profiles of each lane using ImageQuant. Self-cleavage data were fit to a
201 monoexponential decay function:

202 Fraction intact = $A \times e^{-kt} + C$

203

204 Where A represents the relative fractions of the ribozyme population cleaving with an
205 apparent rate constant k , and C represents the population remaining uncleaved. The model
206 was fit to the data using a linear least-squares analysis and the Solver module of Microsoft
207 Excel.

208

209 **Antisense oligonucleotides (ASOs)**

210 ASOs used in this study are 20 nucleotides in length and are chemically modified with
211 2'-*O*-methoxyethyl (MOE, underlined) and 2',4'-constrained ethyl (cEt, bold) (Seth et al.,
212 2009). All internucleoside linkages are modified with phosphorothioate linkages to improve
213 nuclease resistance. ASOs were solubilized in sterile phosphate-buffered saline (PBS). The
214 sequences of the ASOs are as follows (all cytosine nucleobases are 5-methyl-substituted):

215 Scrambled control ASO: 5'-**C**TTCCCTGAAGGTTCCCC-3';

216 CPEB3 ribozyme ASO: 5'-**T**GTGGCCCCCTGTTATCCTC-3'.

217

218 **Neuronal stimulation**

219 Neurons were treated with ASO or scrambled ASO (1 μ M) for 18 hours prior to
220 neuronal stimulation. To study activity-dependent gene regulation, neuronal cultures were
221 treated with vehicle, 5 μ M glutamate (10 minutes), or 35 mM KCl (5 minutes). After
222 stimulation, cultures were washed with Hanks' buffered salt solution (HBSS, Thermo Fisher
223 Scientific), and then fresh medium was added.

224

225 **Quantitative RT-PCR analysis**

226 Total RNA was isolated from primary cortical neurons or mouse hippocampus using
227 TRI reagent (Sigma-Aldrich) according to the manufacturer's protocol. RNA concentration
228 was measured using a NanoDrop ND-1000 spectrophotometer (Thermo Fisher Scientific).
229 Total RNA was reverse transcribed using random decamers and M-MLV reverse transcriptase
230 (Promega)/Superscript II RNase H reverse transcriptase (Thermo Fisher Scientific).
231 Quantitative RT-PCR was performed on a BioRad CFX Connect system using iTaq Universal
232 SYBR Green Supermix (BioRad). Designed primers were acquired from Integrated DNA
233 Technologies and are provided in Table 2. Desired amplicons were verified by melting curve
234 analysis and followed by gel electrophoresis. The starting quantity of DNA from each sample
235 was determined by interpolation of the threshold cycle (CT) from a standard curve of each
236 primer set. Relative gene expression levels were normalized to the endogenous gene *GAPDH*.

237

238 **Immunoblotting**

239 Primary cortical neurons or mouse hippocampal tissues were lysed in RIPA lysis
240 buffer with protease inhibitor (Santa Cruz Biotechnology). Crude synaptosomal fractions
241 were prepared as previously described (Wirths, 2017). Protein concentrations were measured
242 using bicinchoninic acid (BCA) protein assay (Thermo Fisher Scientific). Protein samples
243 (10–30 µg) were loaded on 10% sodium dodecyl sulfate polyacrylamide (SDS-PAGE) gels
244 and separated by electrophoresis. Gels were electro-transferred onto polyvinylidene fluoride
245 (PVDF) membranes using a semi-dry transfer system (BioRad). Membranes were either
246 blocked with 5% nonfat milk or 5% bovine serum albumin (BSA) in Tris-buffered
247 saline/Tween 20 (0.1% [vol/vol]) (TBST) for 1 hour at room temperature. Membranes were
248 incubated with primary antibodies overnight at 4 °C. After primary antibody incubation,
249 membranes were washed three times with TBST and then incubated with secondary

250 antibodies for 1 hour at room temperature. Bands were detected using an enhanced
251 chemiluminescence (ECL) kit (Thermo Fisher Scientific), visualized using BioRad Chemidoc
252 MP imaging system, and analyzed by Image Lab software (BioRad). GAPDH was used as a
253 loading control.

254 The following antibodies were used: anti-CPEB3 (Abcam, 1:1000); anti-GluA1 (UC
255 Davis/NIH NeuroMab Facility, 1:1000); anti-GluA2 (Proteintech, 1:2000); anti-PSD95
256 (Proteintech, 1:2000); anti-NR2B (Proteintech, 1:2000); anti-CPEB1 (ABclonal, 1:1000),
257 CPEB4 (Proteintech, 1:1000); anti-GAPDH (Proteintech, 1:10,000); donkey anti-rabbit-HRP
258 (Thermo Fisher Scientific, 1:10,000); goat anti-mouse-HRP (R&D system, 1:1000).

259

260 ***In vitro* XTT cell viability assay**

261 Primary cortical neurons (10,000 to 20,000 cells/well) were plated onto 96-well plates
262 coated with poly-D-lysine. After 7–14 days, ASOs or scrambled ASOs were added, and the
263 resulting solutions were incubated for 18 hours. Cell viability was determined using the 2,3-
264 bis[2-methoxy-4-nitro-5-sulfophenyl]-2H-tetrazolium-5-carboxyanilide inner salt (XTT)
265 assay according to the manufacturer’s protocol (Biotium). The assay utilizes the ability of
266 viable cells with active metabolism to reduce the yellow tetrazolium salt to the soluble orange
267 formazan product using mitochondrial dehydrogenase enzymes. The XTT reagent was added
268 to each well and incubated for 2–4 hours at 37 °C and under 5% CO₂. Absorbance was
269 measured at 450 nm with a reference wavelength of 680 nm using a Bioteck Synergy HT
270 microplate reader. Results were normalized to control, and all samples were assayed in
271 triplicate.

272

273 **Stereotaxic surgeries**

274 C57/BL6J mice (8–10 weeks old, Jackson Laboratory), housed under standard
275 conditions with light-control (12 hour light/12 hour dark cycles), were anaesthetized with an
276 isoflurane (1–3%)/oxygen vapor mixture. Mice were infused bilaterally to the CA1 region of
277 the dorsal hippocampus with ribozyme ASO or scrambled ASO diluted in sterile PBS. The
278 following coordinates were used, relative to bregma: medial-lateral (ML), ± 1.5 mm; anterior-
279 posterior (AP), -2.0 mm; dorsal-ventral (DV), -1.5 mm. ASOs or vehicle (1 nmol/ μ L) were
280 infused bilaterally at a rate of 0.1 μ L/min using a Neuros Hamilton syringe (Hamilton
281 company) with a syringe pump (Harvard Apparatus). The injectors were left in place for 2
282 minutes to allow diffusion, and then were slowly removed at a rate of 0.1 mm per 15 sec. The
283 incision site was sutured, and mice were allowed to recover on a warming pad and then were
284 returned to cages. For all surgeries, mice were randomly assigned to the different conditions
285 to avoid grouping same treatment conditions in time.

286

287 **Object location memory (OLM) tasks**

288 The OLM task was performed to assess hippocampus-dependent memory, as
289 previously described (Vogel-Ciernia and Wood, 2014). Briefly, naïve C57/BL6J mice (8–12
290 weeks old; $n = 10$ –12/group; CPEB3 ribozyme ASO or scrambled ASO) were trained and
291 tested. Prior to training, mice were handled 1–2 minutes for 5 days and then habituated to the
292 experimental apparatus for 5 minutes on 6 consecutive days in the absence of objects. During
293 training, mice were placed into the apparatus with two identical objects and allowed to
294 explore the objects for 10 minutes. Twenty-four hours after training, mice were exposed to the
295 same arena, and long-term memory was tested for 5 minutes, with the two identical objects
296 present, one of which was placed in a novel location. For all experiments, objects and
297 locations were counterbalanced across all groups to reduce bias. Videos of training and testing
298 sessions were analyzed for discrimination index (DI) and total exploration time of objects.

299 The videos were scored by observers blind to the treatment. The exploration of the objects
300 was scored when the mouse's snout was oriented toward the object within a distance of 1 cm
301 or when the nose was touching the object. The relative exploration time was calculated as a
302 discrimination index ($DI = (t_{novel} - t_{familiar}) / (t_{novel} + t_{familiar}) \times 100\%$). Mice that demonstrated a
303 location or an object preference during the training trial ($DI > \pm 20$) were removed from
304 analysis.

305

306 3' RACE

307 Total RNA was extracted from the mouse CA1 hippocampus, and 3' rapid
308 amplification of cDNA ends (3' RACE) was performed to study the alternative
309 polyadenylation. cDNA was synthesized using oligo(dT) primers with 3' RACE adapter
310 primer sequence at the 5' ends. This cDNA library results in a universal sequence at the 3'
311 end. A gene-specific primer (GSP) and an anchor primer that targets the poly(A) tail region
312 were employed for the first PCR using the following protocol: 95 °C for 3 minutes, then 30
313 cycles of 95 °C for 30 seconds, 55 °C for 30 seconds, and 72 °C for 3 minutes, with a final
314 extension of 72 °C for 5 minutes. To improve specificity, a nested PCR was then carried out
315 using primers internal to the first two primers. Upon amplification condition optimization, a
316 quantitative PCR was performed on the first diluted PCR product using the nested primers,
317 and a standard curve of the primer set was generated to measure the relative expression of 3'-
318 mRNA and alternative polyadenylation. All primers used in this study are listed in Table 3.
319 When resolved using agarose gel electrophoresis, this nested-primer qPCR produced single
320 bands corresponding to the correct amplicons of individual cDNAs.

321

322 Statistical analysis

323 Data are presented as means \pm SEM. Statistical analyses were performed using
324 GraphPad Prism (GraphPad Prism Software). Statistical differences were determined using (i)
325 two-tailed Welch's *t* test when comparing between 2 independent groups, (ii) one-way
326 ANOVA with Sidak's *post hoc* tests when comparing across 3 or more independent groups,
327 and (iii) two-way ANOVA with Sidak's *post hoc* tests when comparing two factors. $P < 0.05$
328 was considered significant.

329
330

331 **Results**

332 **Antisense oligonucleotides (ASOs) inhibit CPEB3 ribozyme self-scission**

333 To determine whether the CPEB3 ribozyme activity modulates expression of the
334 CPEB3 protein by disrupting co-transcriptional splicing of the *CPEB3* mRNA, we started by
335 measuring the co-transcriptional self-scission of the murine variant of the ribozyme *in vitro*
336 and determined the half-life ($t_{1/2}$) to be ~2–3 minutes (Fig. 1B and Table 1). This rate of self-
337 scission is similar to that measured previously for chimp and fast-reacting human variants of
338 the ribozyme (Chadalavada et al., 2010). Because the distance from the ribozyme cleavage
339 site to the 3rd exon in the *CPEB3* gene is 9931 nucleotides (Fig. 1A) and the RNA polymerase
340 II (RNAPII) transcription rate of long mammalian genes is estimated to be ~3.5–4.1 knt/min
341 (Singh and Padgett, 2009), RNAPII should require about 2.5–3 minutes to travel from the
342 ribozyme to the 3rd exon. The nascent ribozyme thus self-cleaves in about the same time as it
343 takes the RNAPII to synthesize the remaining part of the intron and the next exon, at which
344 point the splicing machinery is expected to mark the intron–exon junction. This observation
345 suggests that the ribozyme activity is tuned to the co-transcriptional processing of the *CPEB3*
346 pre-mRNA: a significantly faster rate of self-scission would lead to a high fraction of cleaved,
347 unspliced pre-mRNAs, whereas slow self-cleavage rate would have no effect on the *CPEB3*
348 pre-mRNA splicing.

349 ASOs are synthetic single-stranded nucleic acids that can bind to pre-mRNA or
350 mature RNA by base-pairing, and typically trigger RNA degradation by RNase H. ASOs have
351 also been employed to modulate alternative splicing, suggesting that they act co-
352 transcriptionally *in vivo* [e.g., to correct the *SMN2* gene (Hua et al., 2010)]. We designed and
353 screened a series of ASOs with the goal of blocking co-transcriptional self-scission of the
354 CPEB3 ribozyme. The greatest inhibition was observed when the ASO was bound to the

355 ribozyme cleavage site (Fig. 1C, D, E); similar ASOs have been used to inhibit *in vitro* co-
356 transcriptional self-scission of other HDV-like ribozymes (Harris et al., 2004; Webb et al.,
357 2009). As the CPEB3 ribozyme was synthesized, 80% of it remained uncleaved in the
358 presence of this ASO, compared to 20% in the presence of a control oligonucleotide at the 30-
359 min time point (unpaired *t* test, $t_{(3.599)} = 8.204$, $P = 0.0019$; Fig. 1F, G). This ASO and a
360 scrambled control sequence were used in all subsequent *in cellulo* and *in vivo* experiments.

361

362 **CPEB3 mRNA expression and ribozyme activity are upregulated in response to**
363 **neuronal stimulation**

364 Neuronal activity-dependent gene regulation is essential for synaptic plasticity (Neves
365 et al., 2008). To investigate the effect of the CPEB3 ribozyme on *CPEB3* mRNA expression
366 and measure its effect on maturation and protein levels, we began by stimulating primary
367 cortical neurons with glutamate or potassium chloride (KCl). *CPEB3* mRNA levels were
368 measured using primers that specifically amplified exon–exon splice junctions (Exons 2–3, 3–
369 6, 6–9; Fig. 1A). We found that membrane depolarization by KCl led to an upregulation of
370 *CPEB3* mRNA 2 hours post-stimulation, compared with non-stimulated cultures (exons 2–3:
371 $F_{(5,12)} = 18.02$, $P < 0.0001$; exons 3–6: $F_{(5,12)} = 25.48$, $P < 0.0001$; exons 6–9: $F_{(5,12)} = 4.376$,
372 $P = 0.0168$; one-way ANOVA with Sidak's *post hoc* tests; Fig. 2A). To examine CPEB3
373 ribozyme activity, total ribozyme and uncleaved ribozyme levels were measured by qRT-
374 PCR, which showed that ribozyme expression is elevated at 1 hour following KCl treatment
375 ($F_{(5,17)} = 12.96$, $P < 0.0001$; one-way ANOVA with Sidak's *post hoc* tests; Fig. 2B).
376 Similarly, glutamate stimulation resulted in increased expression of spliced exons by 2–3 fold
377 at 2 hours, with a decrease observed at later time points (exons 2–3: $F_{(5,21)} = 5.826$, $P =$
378 0.0016 ; exons 3–6: $F_{(5,22)} = 2.002$, $P = 0.1181$; exons 6–9: $F_{(5,22)} = 1.763$, $P = 0.1622$; one-

379 way ANOVA with Sidak's *post hoc* tests; Fig. 2C), and increased ribozyme expression
380 correlated with *CPEB3* mRNA expression ($F_{(5,26)} = 4.657$, $P = 0.0036$; one-way ANOVA
381 with Sidak's *post hoc* tests; Fig. 2D). This finding is supported by previous studies showing
382 that synaptic stimulation by glutamate leads to an increase in *CPEB3* protein expression in
383 hippocampal neurons (Fioriti et al., 2015) and that treatment with kainate likewise induces
384 *CPEB3* expression in the hippocampus (Theis et al., 2003). The cleaved fraction of the
385 ribozyme was greatest at the highest point of *CPEB3* mRNA expression, indicating efficient
386 co-transcriptional self-scission. Together, these data indicate that the self-cleaving *CPEB3*
387 ribozyme is expressed—and potentially activated—in response to neuronal activity, and
388 suggest that *CPEB3* ribozyme *cis*-regulates the maturation of *CPEB3* mRNA.

389

390 ***CPEB3* mRNA levels increase in primary neuronal cultures treated with ribozyme
391 inhibitor**

392 Because our data showed that *CPEB3* ribozyme expression is correlated with mRNA
393 expression, we hypothesized that modulation of the ribozyme activity may alter *CPEB3*
394 mRNA splicing. If so, then abrogation of the ribozyme self-scission would result in uncleaved
395 second intron and higher levels of spliced mRNA. We inhibited the ribozyme using ASOs that
396 were designed to increase thermal stability of complementary hybridization and, as a result, to
397 induce higher binding affinity for the ribozyme. To study the effect of the *CPEB3* ribozyme
398 on *CPEB3* mRNA expression, neuronal cultures were pretreated with either an ASO or a non-
399 targeting (scrambled) control oligonucleotide, followed by KCl stimulation. In the absence of
400 ASO, KCl induced a rapid and robust increase in ribozyme levels compared to cultures
401 containing scrambled ASO, and this effect was suppressed in the presence of ASO, which is
402 consistent with the ASO blocking the ribozyme (two-way ANOVA with Sidak's *post hoc*
403 tests, significant main effect of KCl: $F_{(1,19)} = 8.058$, $P = 0.0105$; significant effect of ASO:

404 $F_{(1,19)} = 12.88, P = 0.0020$; no significant interaction: $F_{(1,19)} = 3.557, P = 0.0747$; Fig. 3A). At
405 an early time point (2 hours post-KCl induction), the ASO-containing culture displayed an
406 increase of spliced mRNA (Exons 2–3: two-way ANOVA with Sidak's *post hoc* tests,
407 significant effect of ASO: $F_{(1,20)} = 21.81, P = 0.0001$, no significant effect of KCl: $F_{(1,20)} =$
408 $0.1759, P = 0.6794$; no significant interaction: $F_{(1,20)} = 0.001352, P = 0.9710$; Fig. 3B. Exons
409 3–6: two-way ANOVA with Sidak's *post hoc* tests, significant ASO \times KCl interaction: $F_{(1,19)} =$
410 $5.726, P = 0.0272$; significant effect of ASO: $F_{(1,19)} = 8.042, P = 0.0106$; no significant
411 effect of KCl: $F_{(1,19)} = 0.2922, P = 0.5951$; Fig. 3C. Exons 6–9: two-way ANOVA with
412 Sidak's *post hoc* tests, no significant effect of KCl: $F_{(1,19)} = 1.218, P = 0.2835$, no significant
413 effect of ASO: $F_{(1,19)} = 3.919, P = 0.0624$, and no significant interaction: $F_{(1,19)} = 0.002317, P$
414 = 0.9621 ; Fig. 3D). The ASO likely prevents CPEB3 ribozyme from cleaving the intron co-
415 transcriptionally and thereby promotes mRNA maturation. At 24 hours post-KCl induction,
416 we observed no significant difference in CPEB3 ribozyme expression among groups (two-
417 way ANOVA with Sidak's *post hoc* tests, no significant effect of KCl: $F_{(1,18)} = 0.7897, P =$
418 0.3859 , no significant effect of ASO: $F_{(1,18)} = 0.03687, P = 0.8499$, and no significant
419 interaction: $F_{(1,18)} = 0.9533, P = 0.3418$; Fig. 3E). Likewise, the level of *CPEB3* mRNA exons
420 2–3 returned to the basal level (two-way ANOVA with Sidak's *post hoc* tests, no significant
421 effect of KCl: $F_{(1,19)} = 0.0004856, P = 0.9826$; no significant effect of ASO: $F_{(1,19)} = 3.188, P$
422 = 0.0902 , and no significant interaction: $F_{(1,19)} = 0.4343, P = 0.5178$; Fig. 3F), while exons 3–
423 6 remained slightly elevated in the ASO-treatment groups (two-way ANOVA with Sidak's
424 *post hoc* tests, significant effect of ASO: $F_{(1,19)} = 11.48, P = 0.0031$; no significant effect of
425 KCl: $F_{(1,19)} = 2.252, P = 0.1499$; no significant interaction: $F_{(1,19)} = 0.04047, P = 0.8417$; Fig.
426 3G). The mRNA expression of CPEB3 exons 6–9 remained stable over time and was not
427 affected by ASO treatment or KCl stimulation (two-way ANOVA with Sidak's *post hoc* tests,
428 no significant effect of KCl: $F_{(1,19)} = 0.6316, P = 0.4366$; no significant effect of ASO: $F_{(1,19)}$

429 = 1.364, $P = 0.2573$, and no significant interaction: $F_{(1,19)} = 0.1475$, $P = 0.7052$; Fig. 3H). We
430 further evaluated whether inhibition of CPEB3 ribozyme affects the levels of full-length
431 CPEB3 mRNA, and we found that ASO treatment led to a significant increase of spliced
432 exons 2–9 (which correspond to the protein-coding segment of the mRNA) at the 2-hour time
433 point (unpaired t test, $t_{(10.00)} = 3.774$, $P = 0.0036$; Fig. 3I). Taken together, these data show
434 that the CPEB3 ribozyme modulates the production of the full-length CPEB3 mRNA.

435

436 To determine whether the ASO specifically targets CPEB3 ribozyme or modulates
437 intron levels in general, we measured the levels of the 4th CPEB3 intron, which does not
438 harbor a self-cleaving ribozyme. No significant difference in the 4th intron expression was
439 observed between groups, demonstrating that the ASO does not have a broad non-specific
440 effect on the stability of other introns (two-way ANOVA with Sidak's *post hoc* tests, no
441 significant effect of KCl: $F_{(1,18)} = 4.187$, $P = 0.0566$; no significant effect of ASO: $F_{(1,18)} =$
442 1.032, $P = 0.3232$; no significant interaction: $F_{(1,18)} = 0.00001455$, $P = 0.9970$; Fig. 3J).

443 Similarly, we measured mRNA expression of other members of the CPEB gene family
444 (CPEB1, CPEB2, and CPEB4), and our results revealed no significant difference in the gene
445 expression between scrambled ASO and ASO groups (CPEB1: $t_{(8,777)} = 0.6338$, $P = 0.5423$;
446 CPEB2: $t_{(7,768)} = 1.491$, $P = 0.1753$; CPEB4: $t_{(8,270)} = 0.6268$, $P = 0.5477$; unpaired t test; Fig.
447 3K). These results confirm that the ASO is specific for the CPEB3 ribozyme and only
448 modulates levels of the CPEB3 mRNA. To assess whether the ASO induces cytotoxicity *in*
449 *vitro*, neuronal cultures were treated with either ASO or scrambled ASO. Cell viability was
450 measured with an XTT assay and revealed no difference in either ASO- or scrambled-ASO-
451 treated cells, compared to untreated cells. Thus, the ASOs used in this study did not induce
452 cytotoxic effects in cultured neurons (scrambled ASO: $t_{(2.986)} = 0.1257$, $P = 0.9079$; ASO:
453 $t_{(5.437)} = 0.5869$, $P = 0.5808$; unpaired t test; Fig. 3L).

454

455 **Ribozyme inhibition leads to increased expression of CPEB3 and plasticity-related**
456 **proteins**

457 We next determined whether inhibition of CPEB3 ribozyme affects CPEB3 protein
458 expression. Treatment with the ribozyme ASO resulted in a significant increase in CPEB3
459 protein levels both in the basal state and under KCl-stimulated conditions, indicating a
460 coordination of activity-dependent transcription and translation upon inhibition of CPEB3
461 ribozyme (two-way ANOVA with Sidak's *post hoc* tests, significant effect of ASO: $F_{(1,24)} =$
462 21.68, $P < 0.0001$; no significant effect of KCl: $F_{(1,24)} = 0.6204$, $P = 0.4386$; no significant
463 interaction: $F_{(1,24)} = 1.556$, $P = 0.2243$; Fig. 4A, B).

464

465 Previous studies have demonstrated the role of CPEB3 in the translational regulation
466 of a number of plasticity-related proteins (PRPs), including AMPA-type glutamate receptors
467 (AMPARs), NMDA receptor (NMDAR), and postsynaptic density protein 95 (PSD-95)
468 (Huang et al., 2006; Chao et al., 2012; Chao et al., 2013; Fioriti et al., 2015). As an RNA-
469 binding protein, CPEB3 binds to 3' UTR of GluA1, GluA2, and PSD-95 mRNAs and
470 regulates their polyadenylation and translation (Huang et al., 2006; Pavlopoulos et al., 2011;
471 Chao et al., 2013; Fioriti et al., 2015). Treatment with the CPEB3 ribozyme ASO resulted in a
472 significant increase in GluA1 and PSD-95 protein expression, whereas GluA2 levels remained
473 unchanged (GluA1: two-way ANOVA with Sidak's *post hoc* tests, significant effect of ASO:
474 $F_{(1,24)} = 7.134$, $P = 0.134$; no significant effect of KCl: $F_{(1,24)} = 0.07449$, $P = 0.7872$; and no
475 significant interaction: $F_{(1,24)} = 1.911$, $P = 0.1796$; Fig. 4C and 4D. GluA2: two-way ANOVA
476 with Sidak's *post hoc* tests, no significant effect of ASO: $F_{(1,24)} = 2.149$, $P = 0.1556$; no
477 significant effect of KCl: $F_{(1,24)} = 0.04578$, $P = 0.8324$; and no significant interaction: $F_{(1,24)} =$
478 0.006228, $P = 0.9358$; Fig. 4C and 4E. PSD-95: two-way ANOVA with Sidak's *post hoc*

479 tests, significant effect of ASO: $F_{(1,24)} = 8.213, P = 0.0085$; no significant effect of KCl: $F_{(1,24)}$
480 = 0.4082, $P = 0.5290$; and no significant interaction: $F_{(1,24)} = 0.5106, P = 0.4818$; Fig. 4C and
481 4F). Likewise, ASO treatment led to an upregulation of NR2B protein, which is one of the
482 NMDAR subunits (two-way ANOVA with Sidak's *post hoc* tests, significant effect of ASO:
483 $F_{(1,19)} = 10.40, P = 0.0045$; no significant effect of KCl: $F_{(1,19)} = 1.791, P = 0.2078$; and no
484 significant interaction: $F_{(1,19)} = 1.444, P = 0.2982$; Fig. 4G and 4H). Thus, our results
485 demonstrate that CPEB3 ribozyme activity affects several downstream processes, particularly
486 mRNA maturation and translation, but also the expression of PRPs, including the translation
487 of AMPAR and NMDAR mRNAs.

488

489 **CPEB3 ribozyme ASO leads to an increase of *CPEB3* mRNA and polyadenylation of
490 PRPs in the CA1 hippocampus**

491 To investigate whether the CPEB3 ribozyme exhibits similar effects in regulating
492 genes related to synaptic plasticity *in vivo*, mice were stereotactically infused with either
493 ribozyme ASO, scrambled ASO, or vehicle into the CA1 region of the dorsal hippocampus, a
494 major brain region involved in memory consolidation and persistence (Fig. 5A). Infusion of
495 the ASO targeting the CPEB3 ribozyme significantly reduced ribozyme levels detected by
496 RT-qPCR in the dorsal hippocampus (one-way ANOVA with Sidak's *post hoc* tests; $F_{(2,18)} =$
497 3.901, $P = 0.0391$; Fig. 5B). However, administration of ASO led to an increase of *CPEB3*
498 mRNA in the CA1 hippocampus (one-way ANOVA with Sidak's *post hoc* tests; exons 2–3:
499 $F_{(2,18)} = 6.199, P = 0.0089$; exons 3–6: $F_{(2,18)} = 12.44, P = 0.0004$; exons 6–9: $F_{(2,17)} = 11.03,$
500 $P = 0.0008$; Fig. 5C), confirming that the ASO prevents ribozyme self-scission during CPEB3
501 pre-mRNA transcription and thereby increases *CPEB3* mRNA levels. To further determine
502 the effect of CPEB3 ribozyme in regulating mature mRNA processing, the level of *CPEB3*
503 exons 2–9 was measured. ASO-infused mice exhibited a significant increase in full-length

504 *CPEB3* mRNA (one-way ANOVA with Sidak's *post hoc* tests; $F_{(2,17)} = 4.385$, $P = 0.0291$;
505 Fig. 5D). In line with our *in vitro* studies, no significant difference in the ribozyme-free 4th
506 intron levels was observed between mouse hippocampus treated with ASO and vehicle (one-
507 way ANOVA with Sidak's *post hoc* tests; $F_{(2,18)} = 0.3663$, $P = 0.6984$; Fig. 5E). We also
508 found no significant difference in levels of other *CPEB* mRNAs or degree of protein
509 expression between ASO and control groups (one-way ANOVA with Sidak's *post hoc* tests;
510 *CPEB1* mRNA: $F_{(2,18)} = 0.8203$, $P = 0.4570$; Fig. 5F; *CPEB2* mRNA: $F_{(2,18)} = 2.002$, $P =$
511 0.1641; Fig. 5F; *CPEB4* mRNA: $F_{(2,18)} = 0.3562$, $P = 0.7052$; Fig. 5F; *CPEB1* protein: $t_{(8.942)} =$
512 0.4469, $P = 0.6656$; Fig. 5G and 5H. *CPEB4* protein: $t_{(10.24)} = 1.089$, $P = 0.3012$; Fig. 6G and
513 6H). These findings demonstrate that the ASO used in this study targets the *CPEB3* ribozyme
514 *in vivo* with high specificity.

515

516 Next, we tested whether the *CPEB3* ribozyme inhibition affects *CPEB3* translation.
517 The elevated *CPEB3* protein expression found in ASO-treated mice suggests that increased
518 translation of *CPEB3* directly results from increased levels of full-length mRNA ($t_{(14.50)} =$
519 2.709, $P = 0.0165$; unpaired *t* test; Fig. 5I, 5J). Furthermore, blocking the *CPEB3* ribozyme
520 does not change GluA1, GluA2, PSD-95, and NR2B mRNA or protein expression in naïve,
521 home cage mice (GluA1: $t_{(5.848)} = 1.655$, $P = 0.1503$; GluA2: $t_{(10.96)} = 0.5476$, $P = 0.5949$;
522 PSD-95: $t_{(8.760)} = 0.9838$, $P = 0.3516$; NR2B: $t_{(11.11)} = 1.250$, $P = 0.2369$; Fig. 5K; GluA1:
523 $t_{(13.18)} = 0.6339$, $P = 0.5370$; GluA2: $t_{(17.54)} = 0.5755$, $P = 0.5723$; PSD-95: $t_{(14.94)} = 0.8612$,
524 $P = 0.4027$; NR2B: $t_{(16.34)} = 0.2604$, $P = 0.7978$; unpaired *t* test; Fig. 5L, 5M). Thus, in naïve
525 mice, ribozyme inhibition leads to increased basal levels of the *CPEB3* mRNA and protein,
526 but its downstream mRNA targets remain unchanged in the absence of activity-dependent
527 learning or stimulation.

528

The CPEB3 ribozyme activity may result from polyadenylation of its target mRNAs, and therefore, 3' rapid amplification of cDNA ends (3' RACE) was performed to examine the 3' termini of several mRNAs. We found that ribozyme ASO administration led to increased GluA1, GluA2, and PSD-95 mRNA polyadenylation in the mouse dorsal hippocampus (GluA1: $t_{(10.44)} = 2.535$, $P = 0.0287$; GluA2: $t_{(11.02)} = 2.327$, $P = 0.0400$; PSD-95: $t_{(9.808)} = 4.254$, $P = 0.0018$; NR2B: $t_{(8.020)} = 0.9846$, $P = 0.3536$; unpaired t test; Fig. 5N). These data support a model wherein the inhibition of the CPEB3 ribozyme leads to increased polyadenylation of existing AMPARs and PSD-95 mRNAs, and suggests a role for the ribozyme in post-transcriptional regulation and 3' mRNA processing.

Inhibition of CPEB3 ribozyme in the dorsal hippocampus enhances long-term memory

Previous studies have shown that CPEB3 is regulated by synaptic activity; for example, Morris water maze (MWM) training and contextual fear conditioning induced an increase in CPEB3 protein expression, and *CPEB3* mRNA was upregulated 2 hours after kainate injection (Theis et al., 2003). To examine whether *CPEB3* mRNA is modulated by behavioral training, we subjected mice to an object location memory (OLM) task (Vogel-Ciernia and Wood, 2014) and isolated hippocampal tissues 1 hour after training (Fig. 6A). The OLM task has been widely used to study hippocampal-dependent spatial memory. The task is based on an animal's innate preference for novelty and its capability for discriminating spatial relationships between novel and familiar object locations (Vogel-Ciernia and Wood, 2014). We first examined the effect of training on *CPEB3* mRNA expression. *CPEB3* mRNA exons 1–2, which span about 33 kb of the gene downstream of the promoter (Fig. 1A), were upregulated 1 hour after training compared to naïve mice (Exons 2–3: $t_{(4.991)} = 3.085$, $P = 0.0274$; Fig. 6B). Although a previous study reported that the *CPEB3* mRNA level (exons 2–6) was not altered after a MWM test, these seemingly contradictory results can be explained

554 by the time points and segments of the mRNA analyzed. The distance from the 5' terminus of
555 the pre-mRNA and exon 2 is about 33 kb, whereas exon 6 is more than three times farther
556 (110 kb). As a result, RNAP II and the splicing machinery require at least three times longer
557 to produce the spliced exons 2–6 of the *CPEB3* mRNA (assuming no significant pausing in
558 transcription and co-transcriptional splicing). Transcription initiation, pre-mRNA production
559 up to exon 2, and splicing would be expected to yield spliced mRNA exons 1–2 after 1 hour,
560 but reaching the 6th exon and splicing the mRNA would likely not happen in that time frame.
561 We therefore believe the results of these two studies are not at odds; rather, these results
562 demonstrate that the detection of new rounds of gene expression should rely on measurements
563 of early segments of activity-induced genes, rather than later segments.

564

565 To assess whether inhibition of the CPEB3 ribozyme improves memory formation, we
566 studied the effect of the ASO on long-term memory formation for object location using the
567 OLM task (Fig. 6C). We infused mice bilaterally into the CA1 dorsal hippocampus with the
568 CPEB3 ribozyme ASO, scrambled ASO, or vehicle 48 hours prior to OLM training. Mice
569 exhibit no preference for either object, as demonstrated the absence of significant difference
570 in training discrimination index (DI) ($t_{(16.99)} = 0.8967, P = 0.3824$; unpaired *t* test; Fig. 6D).
571 Likewise, during training and testing sessions, similar total exploration times were observed
572 for ASO-infused mice and control mice, demonstrating that both groups of mice have similar
573 exploitative behavior and that the ASO did not simply affect locomotor or exploration
574 performance (Train: $t_{(17.00)} = 0.2342, P = 0.8176$, Test: $t_{(13.48)} = 1.644, P = 0.1232$; unpaired *t*
575 test; Fig. 6E). However, the CPEB3 ribozyme ASO mice showed a significant increase in DI
576 between training and testing compared to control groups, suggesting that these mice
577 experienced a robust enhancement of novel object exploration (ASO \times session interaction
578 $F_{(1,34)} = 11.06, P = 0.0021$; two-way ANOVA with Sidak's *post hoc* tests; Fig. 6D). These

579 results provide strong evidence that *CPEB3* is critical for long-term memory, and that the
580 *CPEB3* ribozyme activity is anti-correlated with the formation of long-term memory.

581

582 **CPEB3 ribozyme ASO leads to an upregulation in protein expression of CPEB3 and**
583 **PRPs during memory consolidation**

584 Learning-induced changes in gene expression and protein synthesis are essential for
585 memory formation and consolidation (Kandel, 2001). To determine whether upregulation of
586 *CPEB3* mRNA by the ribozyme ASO leads to a change in expression of the *CPEB3* protein
587 and its downstream targets, we analyzed the dorsal hippocampal homogenates and
588 synaptosomal fractions. Administration of *CPEB3* ribozyme ASO led to a significant increase
589 of *CPEB3* protein expression in the CA1 hippocampal homogenates and crude synaptosomes
590 1 hour after OLM testing (hippocampal homogenates: $t_{(17.00)} = 2.345, P = 0.0314$; crude
591 synaptosomes: $t_{(11.11)} = 2.403, P = 0.0349$; unpaired *t* test; Fig 7A, B, D). This result confirms
592 that ASO-mediated knockdown of the *CPEB3* ribozyme facilitates *CPEB3* mRNA processing
593 and translation. In addition, the protein levels of GluA1, GluA2, PSD-95, and NR2B were
594 measured to determine whether increased *CPEB3* further regulates translation of PRPs. In
595 total tissue lysates, no significant difference in PRP levels was observed between ASO and
596 control (GluA1: $t_{(15.96)} = 0.3751, P = 0.7125$; GluA2: $t_{(15.16)} = 0.9432, P = 0.3604$; PSD-95:
597 $t_{(17.63)} = 0.2849, P = 0.7790$; NR2B: $t_{(17.32)} = 0.9415, P = 0.3594$; unpaired *t* test; Fig. 7A, C).
598 However, in synaptosomal fractions, GluA1, PSD-95, and NR2B protein levels were
599 increased in ASO-infused mice, relative to scrambled ASO control animals; the GluA2
600 protein level was unaffected (GluA1: $t_{(15.83)} = 2.433, P = 0.0272$; GluA2: $t_{(14.40)} = 1.497, P =$
601 0.1559 ; PSD-95: $t_{(17.25)} = 2.115, P = 0.0493$; NR2B: $t_{(12.42)} = 3.174, P = 0.0077$; unpaired *t*
602 test; Fig. 7, A, E). Our findings thus show that blocking *CPEB3* ribozyme activity leads to an

603 increase in CPEB3 protein production, and upregulation of CPEB3 by OLM further causes an
604 increase in local GluA1, PSD-95, and NR2B translation.

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607 Discussion

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Self-cleaving ribozymes are broadly distributed small functional RNAs that promote an intramolecular, site-specific, self-scission reaction (Buzayan et al., 1986; Hutchins et al., 1986; Prody et al., 1986; Sharman et al., 1988; Saville and Collins, 1990; Jimenez et al., 2015). Despite distinct structures and cut sites, these natural self-cleaving ribozymes all accelerate the same transesterification reaction, which operates via an acid-base catalysis mechanism: nucleophilic attack of a ribose 2' -oxyanion on the adjacent phosphodiester bond yields a 2' ,3' - cyclic phosphate and a 5' -hydroxyl product (Wu et al., 1989; Fedor, 2009; Jimenez et al., 2015; Wilson et al., 2016; Ren et al., 2017; Seith et al., 2018; Peng et al., 2021). Self-cleaving ribozymes act in *cis* (i.e., cut their own backbone) and therefore execute a single catalytic turnover. To date, 10 distinct families of self-cleaving ribozymes have been discovered (Peng et al., 2021), but relatively little is known about their biological roles.

The HDV family of ribozymes has been extensively studied: crystal structures have been elucidated, and the mechanism of self-scission (based on a general acid-base catalysis) is well-established (Ferre-D'Amare et al., 1998; Ke et al., 2004; Das and Piccirilli, 2005; Chen et al., 2010; Koo et al., 2015). These ribozymes operate during rolling circle replication of the HDV RNA genome and in processing of certain non-LTR retrotransposons (Sharman et al., 1988; Wu et al., 1989; Eickbush and Eickbush, 2010; Ruminski et al., 2011; Sanchez-Luque et al., 2011), but given their broad distribution in nature, their biological roles remain largely unexplored. Mammals harbor several self-cleaving ribozymes, all with unknown biological functions (Salehi-Ashtiani et al., 2006; Martick et al., 2008; de la Pena and Garcia-Robles,

629 2010; Perreault et al., 2011; Hernandez et al., 2020; Chen et al., 2021). One of these
630 ribozymes, the HDV-like CPEB3 ribozyme, which is a functionally conserved self-cleaving
631 RNA (Bendixsen et al., 2021), maps to the second intron of the *CPEB3* gene (Fig. 1A), and its
632 *in vitro* activity (Fig. 1B) suggests that its self-scission may be tuned to disrupt the intron at a
633 rate that is similar to the production speed of the downstream intronic sequence ahead of the
634 next exon. Given that the self-scission of intronic ribozymes is inversely correlated with
635 splicing efficiency of the harboring pre-mRNA (Fong et al., 2009), we investigated how the
636 endogenous intronic ribozyme affects the *CPEB3* mRNA maturation and translation, and how
637 it affects memory formation in mice.

638 Modifications of synaptic strength are thought to underlie learning and memory in the
639 brain. Studies in hippocampal slices revealed local translation in dendrites following
640 induction of LTP (Frey and Morris, 1997). Cytoplasmic polyadenylation-induced translation
641 is one of the key steps critical to controlling protein synthesis and neuroplasticity (Du and
642 Richter, 2005; Richter, 2007, 2010), and one of the proteins involved in regulating
643 cytoplasmic polyadenylation of mRNAs is CPEB3. In *Aplysia* sensory-motor neuron co-
644 culture, application of repeated pulses of serotonin (5-HT) induces ApCPEB protein
645 expression at the stimulated synapses and, as a result, LTF, which is a form of learning-
646 related synaptic plasticity that is widely studied in *Aplysia* (Si et al., 2003; Si et al., 2010). In
647 murine primary hippocampal neurons, the level of CPEB3 protein expression is positively
648 regulated by neuronal activity (Fioriti et al., 2015) and plays dual roles in regulating mRNA
649 translation (Du and Richter, 2005; Stephan et al., 2015): a post-translational modification of
650 CPEB3 (monoubiquitination by Neuralized1) converts it from a repressor to an activator
651 (Pavlopoulos et al., 2011).

652 Several studies have shown that CPEB3 is essential for synaptic strength, regulating
653 mRNA translation of several PRPs at synapses (Huang et al., 2006; Pavlopoulos et al., 2011;

654 Fioriti et al., 2015). Previous reports have shown that CPEB3 regulates GluA1 and GluA2
655 polyadenylation: *CPEB3* conditional knockout mice fail to elongate the poly(A) tail of GluA1
656 and GluA2 mRNA after Morris water maze training, and overexpression of CPEB3 changes
657 the length of the GluA1 and GluA2 mRNA poly(A) tail (Fioriti et al., 2015). Hippocampal-
658 dependent learning and memory is modulated by CPEB3 on the level of translation
659 (Pavlopoulos et al., 2011), but it is unknown whether the CPEB3 expression is modulated by
660 the CPEB3 ribozyme.

661 In mammals, the coordination of pre-mRNA processing and transcription can affect
662 gene expression (Neugebauer, 2019). Using long-read sequencing and Precision Run-On
663 sequencing (PRO-seq) approaches, measurements of co-transcriptional splicing events in
664 mammalian cells demonstrated that co-transcriptional splicing efficiency impacts productive
665 gene output (Reimer et al., 2021). The temporal and spatial window shows that the splicing
666 and transcription machinery are tightly coupled. Our study is agreement with this co-
667 transcriptional splicing model and shows that inhibition of the intronic CPEB3 ribozyme leads
668 both to an increase in *CPEB3* mRNA and protein levels in primary cortical neurons and the
669 dorsal hippocampus upon synaptic stimulation, and subsequently, to changes in the
670 polyadenylation of target mRNAs of the CPEB3 protein.

671 Activity-dependent synaptic changes are governed by AMPAR trafficking, and
672 AMPARs are mobilized to the post-synaptic surface membrane in response to neuronal
673 activity in a dynamic process (Diering and Huganir, 2018). Our data demonstrate that the
674 activation of CPEB3 by neuronal stimulation further facilitates translation of PRPs *in vivo*.
675 These observations are consistent with a model in which learning induces CPEB3 protein
676 expression, and ablation of CPEB3 abolishes the activity-dependent translation of GluA1 and
677 GluA2 in the mouse hippocampus (Fioriti et al., 2015). Specifically, it has been suggested that
678 CPEB3 converts to prion-like aggregates in stimulated synapses that mediate hippocampal

679 synaptic plasticity and facilitate memory storage (Si and Kandel, 2016). Because training can
680 produce effective long-term memory, it is likely that increased CPEB3 protein expression due
681 to CPEB3 ribozyme inhibition further facilitates experience-induced local translational
682 processes.

683 ASOs have been used in many studies to inhibit specific mRNAs. A notable example
684 is an FDA-approved ASO that modulates co-transcriptional splicing of the *SMN2* mRNA
685 (Hua et al., 2010). More recently, Tran *et al.* demonstrated that ASO can suppress
686 hexonucleotide repeat expansion of the first intron in the *C9ORF72* gene (Tran et al., 2022).
687 Our work shows that an ASO designed to bind the substrate strand of an endogenous self-
688 cleaving ribozyme (located in an intron) increases the expression of the fully spliced mRNA
689 that harbors the ribozyme. Interestingly, our experiments with inhibitory ASO yielded lower
690 ribozyme levels than control experiments, suggesting that the ASO directs degradation of the
691 target sequence; however, this degradation must occur on a timescale that is longer than the
692 splicing of the mRNA, because we consistently measure higher mRNA levels when the
693 ribozyme is inhibited. Given that three endogenous mammalian self-cleaving ribozymes map
694 to introns (Salehi-Ashtiani et al., 2006; de la Pena and Garcia-Robles, 2010; Perreault et al.,
695 2011), we anticipate that application of our ASO strategy will help to decipher the effect of
696 these self-cleaving ribozymes on their harboring mRNAs and to elucidate their biological
697 roles.

698
699 In summary, our study describes a unique role for the CPEB3 ribozyme in post-
700 transcriptional maturation of *CPEB3* mRNA and its subsequent translation in mouse CA1
701 hippocampus. Inhibition of the CPEB3 ribozyme by ASO and OLM training induce activity-
702 dependent upregulation of CPEB3 and local production of PRPs. These molecular changes are
703 critical for establishing persistent changes in synaptic plasticity that are required for long-term

704 memory. Thus, our study has identified a novel biological role for self-cleaving ribozymes in
705 the brain. More broadly, we have demonstrated a method for determining the biological roles
706 of self-cleaving ribozymes in both mammals (as shown here) and other organisms.

707

708 **References**

709

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894

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904 Author contributions:

905

906 Design of cell culture experiments: CCC, XL, TWB, AL
907 Design of mouse experiments: CCC, MAW, AL
908 In vitro ribozyme kinetics measurements: MM
909 Design of ASOs: MN
910 Cell culture experiments: CCC, LT, XL
911 Mouse experiments: CCC
912 Stereotaxic surgeries and in vivo behavior experiments: JH, CC
913 Data analysis: CCC
914 Writing—original draft: CCC, AL
915 Writing—review & editing: CCC, MN, XL, LT, TWB, MAW, AL

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917 **Competing interests:** All other authors declare they have no competing interests.

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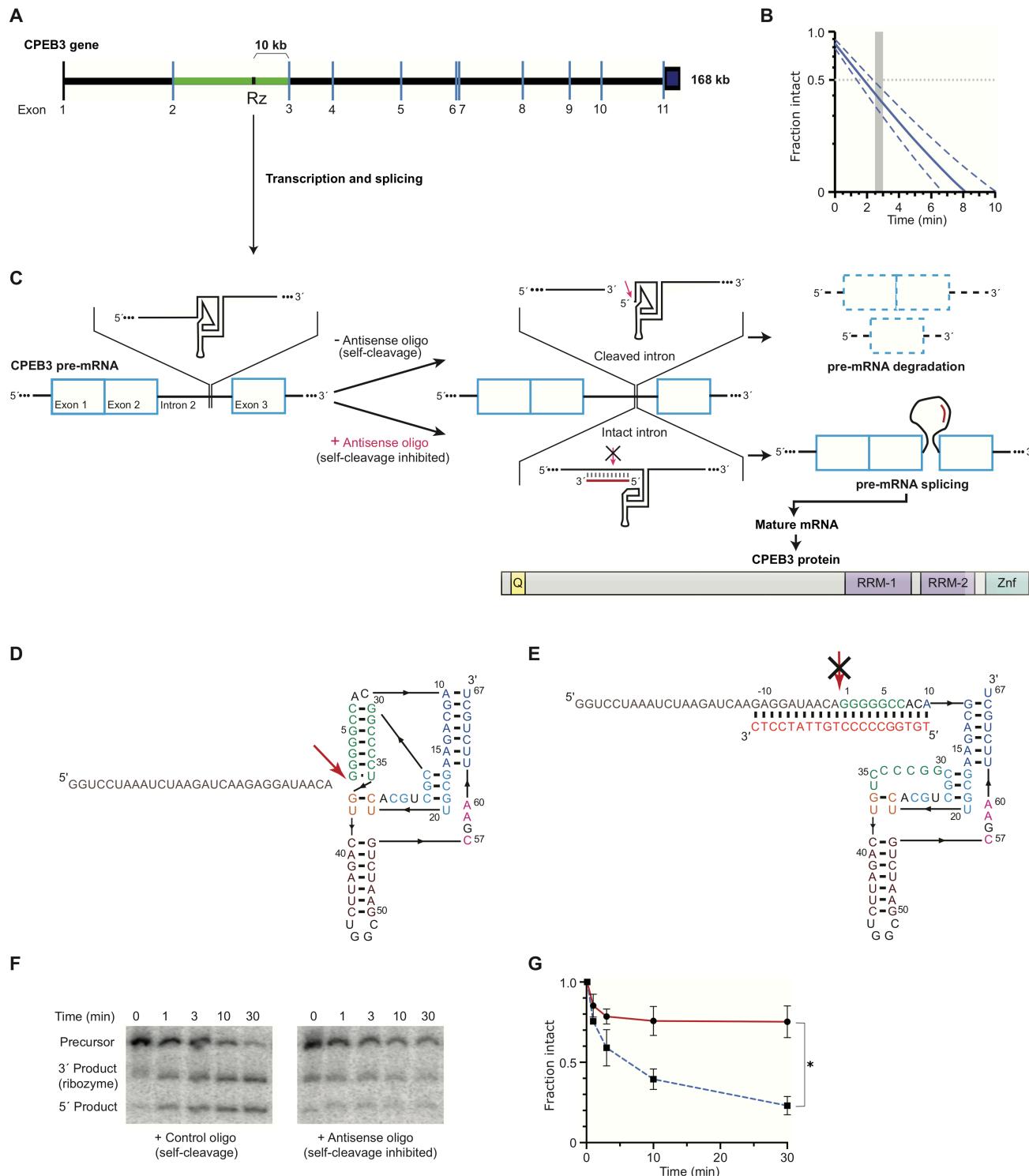
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Figures and Tables

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Figure 1. *CPEB3* gene structure and activity of its intronic self-cleaving ribozyme. A,

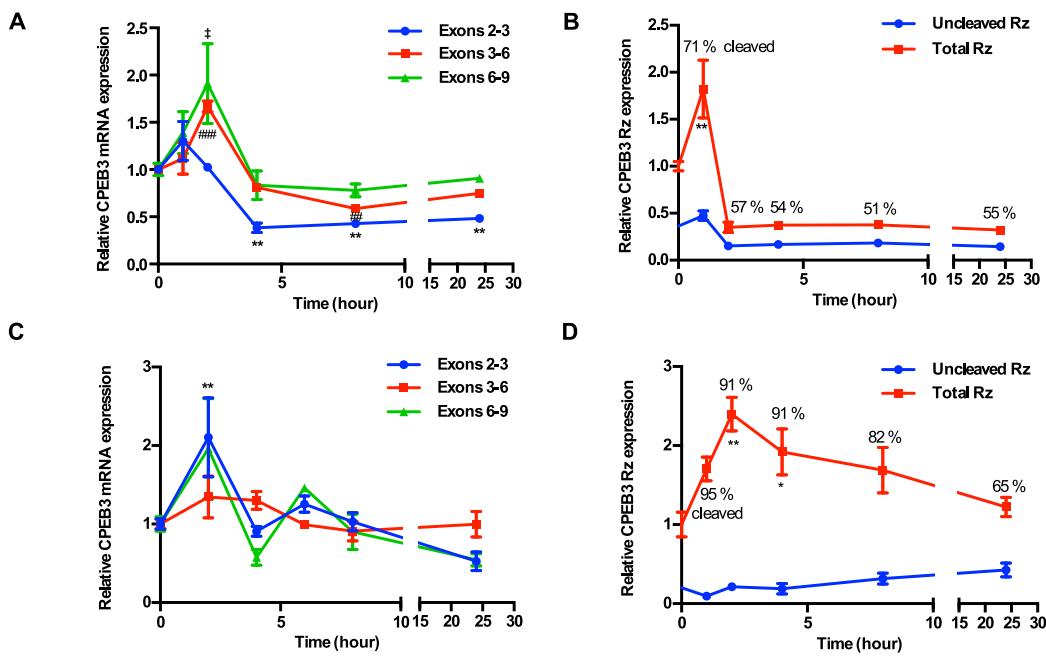
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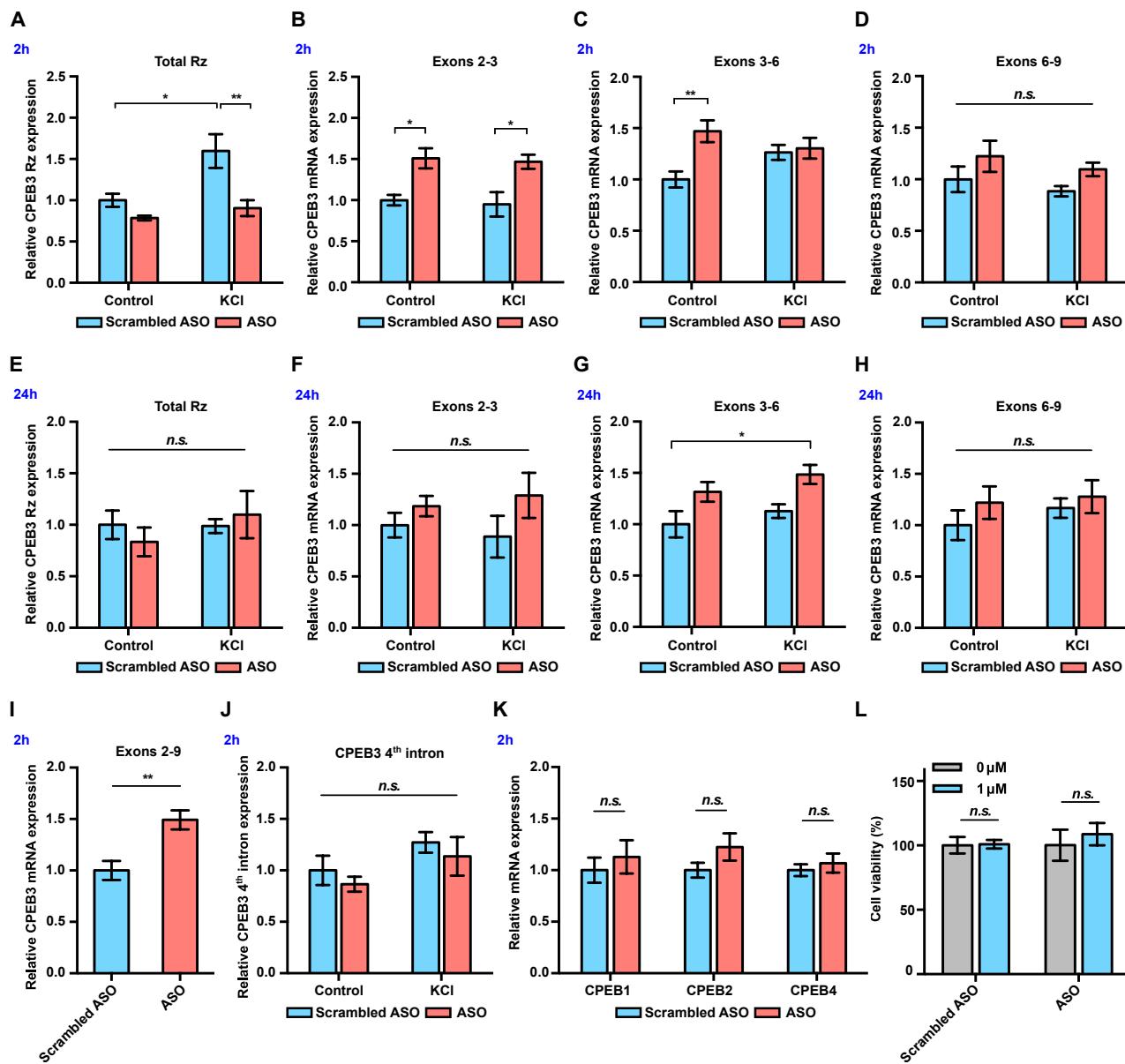
Schematic representation of mouse *CPEB3* gene. Rz denotes the location of the self-cleaving ribozyme in the 2nd intron (green) between the 2nd and 3rd exons. **B**, Co-transcriptional self-

927 cleavage activity of a 470-nt construct, incorporating the 72-nt ribozyme, which cuts the
928 transcript 233 nts from the 5' terminus (see Table 1 for kinetic parameters of this and other
929 constructs). Log-linear graph of self-cleavage is shown with solid blue line (dashed lines
930 show \pm standard deviation). Gray dotted line indicates mid-point of self-cleavage (with
931 resulting $t_{1/2}$ of \sim 2 min). Gray bar indicates the approximate time range for RNAPII to travel
932 from the ribozyme to the 3rd exon, at which point \sim 40% of the intron would remain intact. **C**,
933 Inhibition of the CPEB3 ribozyme by an ASO targeting its cleavage site and the resulting
934 effect on the levels of the spliced mRNA and the encoded protein. **D**, Secondary structure of
935 the ribozyme (colored by structural elements (Webb and Luptak, 2011)). Sequence upstream
936 of the ribozyme is shown in gray, and the site of self-scission is shown with a red arrow. **E**,
937 Model of the ribozyme inhibited by the ASO (red letters) showing base-pairing between the
938 ASO and 10 nts upstream and downstream of the ribozyme cleavage site. Inhibition of self-
939 scission is indicated by crossed arrow (**C** and **E**). **F**, Inhibition of CPEB3 ribozyme self-
940 scission *in vitro* in the presence of ASO. Scrambled or antisense oligonucleotides (1 μ M)
941 were added during co-transcriptional self-cleavage reactions. **G**, Fraction intact values were
942 calculated and plotted vs. time. Significant inhibition of co-transcriptional self-scission by the
943 ASO (red line, compared with control oligo shown in blue), resulting in increase of intact
944 RNA (**F** and **G**), is observed at the 3-min time point relevant to the transcription of the
945 CPEB3 gene (**A** and **B**).
946



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948 **Figure 2. *CPEB3* expression in primary cortical neurons (DIV14).** **A,** KCl stimulation
949 profile of the *CPEB3* gene showing induction of spliced *CPEB3* exons. **B,** KCl stimulation
950 profile of *CPEB3* ribozyme expression (uncleaved and total). Cleaved ribozyme fraction is
951 calculated as [(total ribozyme – uncleaved ribozyme)/total ribozyme] and shown as %
952 cleaved. **C,** Expression of *CPEB3* mRNA exons 2–3 is upregulated 2 hours after glutamate
953 stimulation. **D,** Glutamate stimulation induces an increase in *CPEB3* ribozyme levels at 2-
954 hour time point. *P < 0.05, **P < 0.01, ##P < 0.01, ###P < 0.001, ‡P < 0.05. Data are
955 presented as mean ± SEM.

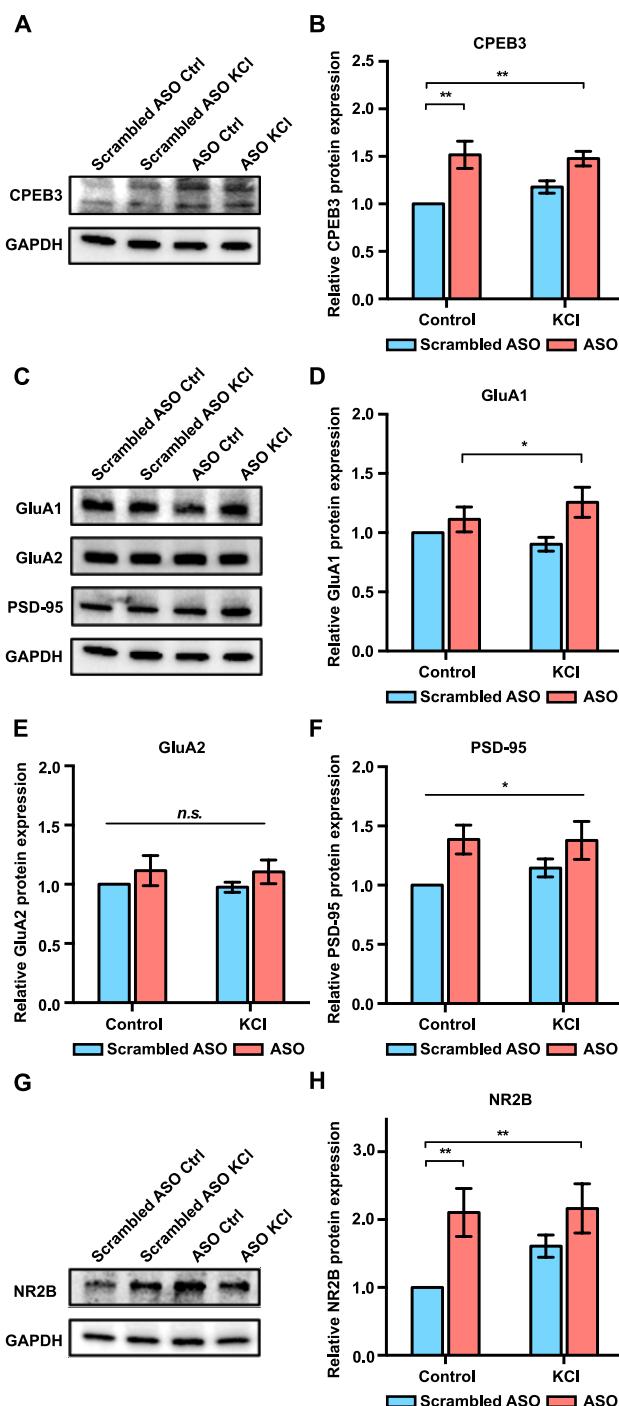


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958 **Figure 3. CPEB3 mRNA is upregulated in primary neuronal cultures (DIV14) treated**

959 **with ribozyme ASO.** **A**, CPEB3 ribozyme levels increase together with levels of the
960 surrounding exons 2 hours post-stimulation in experiments with control ASO. Ribozyme
961 levels are significantly lower in ribozyme ASO experiments, suggesting that the RT-PCR
962 reaction is blocked by the ASO. **B**, Ribozyme inhibition by ASO resulted in upregulation of
963 CPEB3 mRNA (exons 2–3). **C**, Inhibition of CPEB3 ribozyme by ASO resulted in
964 upregulation of CPEB3 mRNA basal levels for exons 3–6 at the 2-hour time point. **D**, Levels

965 of exons 6–9 did not increase significantly at the 2-hour time point. **E**, No statistically
966 significant difference in CPEB3 ribozyme expression was observed after 24 hours post KCl
967 induction, suggesting that all intronic RNA levels reached basal levels. **F–H**, CPEB3 mRNA
968 expression largely returned to the basal level 24 hours post-stimulation, although levels of
969 spliced exons 3–6 remained elevated (**F**: exons 2–3, **G**: exons 3–6, **H**: exons 6–9). **I**, ASO
970 treatment leads to an increase of CPEB3 full-length mRNA (exons 2–9). **J**, qRT-PCR analysis
971 of CPEB3 4th intron expression reveals that the ribozyme ASO does not affect its levels,
972 suggesting that it is specific for the ribozyme. **K**, CPEB3 ribozyme ASO does not alter
973 CPEB1, CPEB2, and CPEB4 mRNA expression, demonstrating the specificity of the ASO. **L**,
974 Effect of ASO treatment on cell viability. XTT assay was performed after 18 hours incubation
975 of ASOs. Relative cell viability was normalized to the vehicle control.

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Figure 4. Effect of CPEB3 ribozyme ASO on protein expression in cultured cortical

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neurons (DIV7). A, Effect of CPEB3 ribozyme ASO on CPEB3 protein expression.

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Representative image of CPEB3 protein expression. GAPDH is used as a loading control. **B,**

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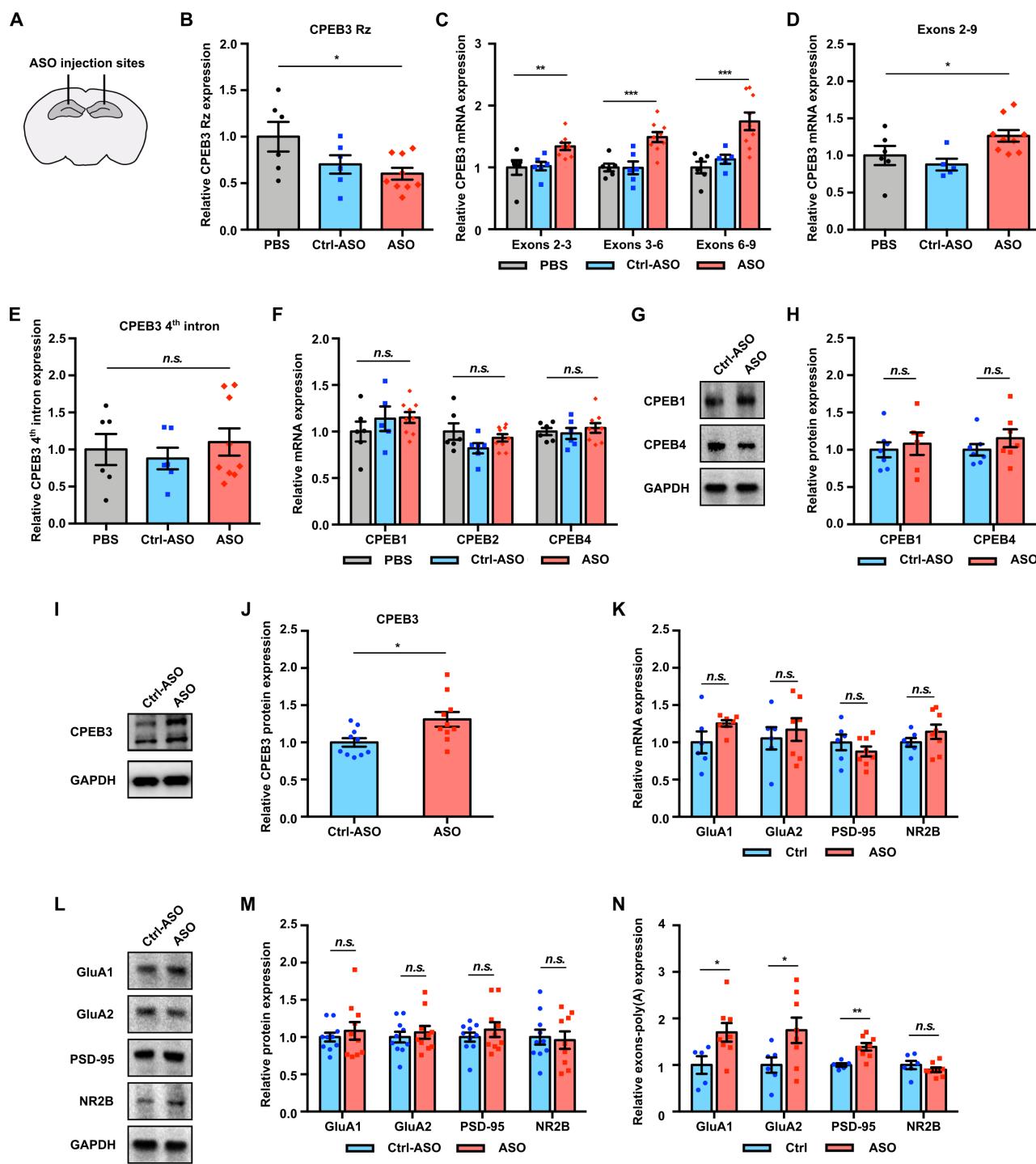
Quantification of CPEB3 protein expression. Treatment of ASO followed by KCl stimulation

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led to an increase of CPEB3. **C, Representative immunoblotting image of GluA1, GluA2, and**

983 PSD-95 protein expression. GAPDH is used as a loading control. **D**, Quantification of GluA1
984 protein expression. GluA1 is upregulated in the presence of ASO combined with neuronal
985 stimulation. **E**, Treatment with ASO leads to an increase of PSD-95 protein level in primary
986 cortical neurons. **F**, Quantification of GluA2 protein expression. No significant difference
987 was observed between ASO and KCl groups. **G**, Representative images of immunoblotting
988 analysis showing NR2B protein expression. GAPDH is used as a loading control. **H**,
989 Quantification of NR2B protein expression. ASO treatment induces an increase in NR2B
990 expression. * $P < 0.05$, ** $P < 0.01$, n.s. not significant. Data are presented as mean \pm SEM.
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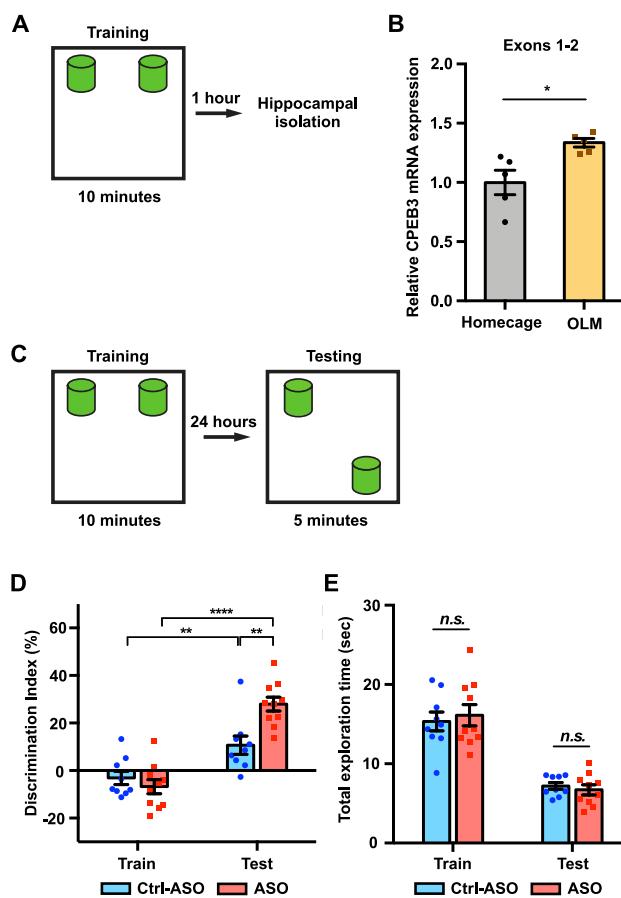


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995 **Figure 5. CPEB3 ribozyme ASO leads to an increase of CPEB3 mRNA and**
996 **polyadenylation of PRPs in the CA1 hippocampus. A, Schematic representation of**

997 stereotaxic procedure. ASO, scrambled ASO, or vehicle was bilaterally infused to the mouse
998 CA1 hippocampus. **B**, Validation of CPEB3 ribozyme knockdown *in vivo*. Administration of
999 CPEB3 ribozyme ASO to the mouse CA1 hippocampus leads to a decrease in CPEB3
1000 ribozyme levels. **C**, *CPEB3* mRNA expression is upregulated in the CPEB3 ribozyme ASO
1001 treatment group compared to controls. **D**, *CPEB3* full-length mRNA (exons 2–9) is
1002 significantly elevated in the presence of ASO. **E**, The CPEB3 ribozyme ASO has high
1003 specificity for its cleavage site (in the 3rd intron) *in vivo*. qRT-PCR analysis of the 4th intron of
1004 *CPEB3* gene demonstrates no significant difference between controls and ASO groups. **F**,
1005 qRT-PCR analysis reveals no significant difference between controls and ASO groups in
1006 *CPEB1*, *CPEB2*, and *CPEB4* mRNA expression. **G**, Effect of CPEB3 ribozyme on CPEB1
1007 and CPEB4 protein expression. GAPDH is used as a loading control. **H**, Quantification of
1008 CPEB1 and CPEB4 protein expression. CPEB3 ribozyme ASO does not change CPEB1 and
1009 CPEB4 protein expression. **I**, Effect of CPEB3 ribozyme on CPEB3 protein expression.
1010 Representative image of immunoblotting analysis. GAPDH is used as a loading control. **J**,
1011 Quantification of CPEB3 protein expression. CPEB3 ribozyme ASO leads to an increase of
1012 CPEB3 protein expression in the CA1 hippocampus. **K**, Inhibition of CPEB3 ribozyme does
1013 not affect transcription of other plasticity-related genes. qRT-PCR analysis of mature GluA1,
1014 GluA2, PSD-95, and NR2B mRNAs. No significant difference between ASO and control was
1015 observed for splice junctions within the mRNAs, showing that modulation of the CPEB3
1016 ribozyme does not affect transcription or splicing of these mRNAs. **L**, Effect of CPEB3
1017 ribozyme on PRP protein expression. Representative images of immunoblotting analysis.
1018 GAPDH is used as a loading control. **M**, Quantification of PRP protein expression. Blocking
1019 CPEB3 ribozyme does not affect PCPs protein expression in the naïve state. **N**, Inhibition of
1020 CPEB3 ribozyme resulted in increased polyadenylation of plasticity-related genes. * $P < 0.05$,
1021 ** $P < 0.01$, *** $P < 0.001$, n.s. not significant. Data are presented as mean \pm SEM.

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Figure 6. Inhibition of CPEB3 ribozyme enhances long-term OLM. **A**, Schematic representation of how the hippocampal gene expression is examined after OLM training task. **B**, OLM training induces upregulation of *CPEB3* mRNA in the CA1 hippocampus. **C**, Experimental procedure testing long-term memory. **D**, Mice infused with scrambled ASO or CPEB3 ribozyme ASO showed no preference for either object in OLM training. Mice infused with CPEB3 ribozyme ASO show significant discrimination index in OLM testing. **E**, CPEB3 ribozyme ASO and control mice display similar total exploration time. * $P < 0.05$, ** $P < 0.01$, **** $P < 0.0001$, n.s. not significant. Data are presented as mean \pm SEM.

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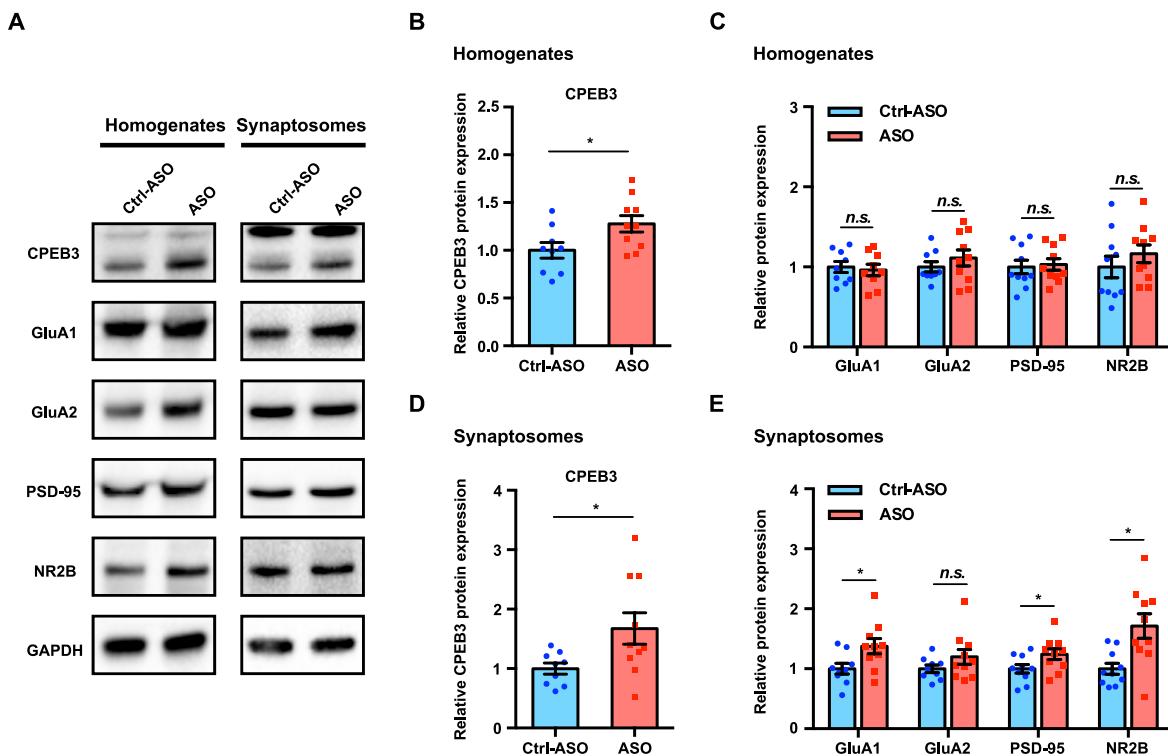


Figure 7. Inhibition of CPEB3 ribozyme leads to upregulation of CPEB3 and PRPs protein expression after OLM. A, Representative images of immunoblotting analysis. GAPDH is used as a loading control. B – C, Quantification of CPEB3 (B) and PRPs (C) in tissue homogenates shows increased expression of CPEB3 but not of PRPs. D – E, In synaptosomes, the protein expression of both CPEB3 (D) and PRPs (E) is increased. * $P < 0.05$, n.s. not significant. Data are presented as mean \pm SEM.

1044 **Table 1. Kinetic parameters of murine CPEB3 ribozyme constructs²**

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Construct ¹	A	k_1	B	k_2	C
-10/72	0.72 ± 0.09	0.39 ± 0.09			0.082 ± 0.026
-49/72/165	0.88 ± 0.02	0.42 ± 0.04	0.013 ± 0.015	0.11 ± 0.03	0.04 ± 0.02
-233/72/165	0.78 ± 0.04	0.31 ± 0.04	0.035 ± 0.006	0.17 ± 0.02	0.029 ± 0.005

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1047 ¹ Construct size is defined as (length of sequence upstream of the ribozyme cleavage
1048 site)/[CPEB3 ribozyme (72 nts)]/(downstream sequence).

1049 ² Co-transcriptional self-scission was modeled by a bi-exponential decay model with a residual.
1050 A and B represent fractions of the population cleaving with fast (k_1) and slow (k_2) rate constants,
1051 respectively. The residual (C) is interpreted as a fraction of the population that does not self-
1052 cleave. Errors represent SEM of at least three experiments. For the smallest ribozyme construct
1053 (-10/72), a monoexponential decay function was sufficient to model the data.

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Table 2. Primers used in qPCR

Target		Sequence
CPEB3 exons 1-2	Forward	CTCCCGTTCCCTCCTCCAG
	Reverse	GGGCTGGGTTTGCTTTGT
CPEB3 exons 2-3	Forward	CGATAATGGTAACAATCTGTTGCC
	Reverse	CCTTATCATATCCATTAAGGAGTTCTCC
CPEB3 exons 3-6	Forward	GACCGGAGTAGGCCCTATGA
	Reverse	CCAGACGATAAGGCCTGATCA
CPEB3 exons 6-9	Forward	ACTCTAGAAAGGTGTTGTTGGAGG
	Reverse	TCGAAGGGTCGTGGAACCT
CPEB3 ribozyme cleaved	Forward	GTTCACGTCGCGGCC
	Reverse	GTGATATAGTGTGTTCTCAGTGACTCCT
CPEB3 ribozyme uncleaved	Forward	CCAAGCAGCAGCACAGGTC
	Reverse	GTGATATAGTGTGTTCTCAGTGACTCCT
CPEB3 4 th intron	Forward	CACTCTAGCCTAACTGGTGAGCTC
	Reverse	AGTCATTCAAACAGAAATGAAGTACC
GluA1	Forward	GTCCGCCCTGAGAAATCCAG
	Reverse	CTCGCCCTTGTGTCGTACAC
GluA2	Forward	TGGTACGACAAAGGAGAGTGC
	Reverse	ACCAGCATTGCCAAACCAAG
PSD-95	Forward	TGAGATCAGTCATAGCAGCTACT
	Reverse	CTTCCTCCCTAGCAGGTCC
NR2B	Forward	GCCATGAACGAGACTGACCC
	Reverse	GCTTCCTGGTCCGTGTCATC
CPEB1	Forward	GACTCAGACACGAGTGGCTTCA

	Reverse	ACGCCCATTTAGAGGGTCTC
CPEB2	Forward	GAGATCACTGCCAGCTCCGAA
	Reverse	CAATGAGTGCCTGGACTGAGCT
CPEB4	Forward	TCAGCTCCAGAAGTATGCTCGC
	Reverse	GAGTGCATGTCAAACGTCCTGG
GAPDH	Forward	TGACCAACAGTCCATGCCATC
	Reverse	GACGGACACATTGGGGTAG

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Table 3. Primers used in 3' RACE

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