

1 **Title**

2 **Orchid seed germination through auto-activation of mycorrhizal symbiosis**
3 **signaling regulated by gibberellin**

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27 **Short running head**

28 Auto-activation of orchid symbiosis signaling

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39

40 **Abstract**

41 Orchids parasitically depend on external nutrients from mycorrhizal fungi for seed
42 germination. Previous findings suggest that orchids utilize a genetic system of
43 mutualistic arbuscular mycorrhizal (AM) symbiosis to establish parasitic symbiosis. In
44 AM symbiosis, recent studies have revealed that the plant hormone gibberellin (GA)
45 negatively affects fungal colonization and development. Although previous studies
46 imply that GA is important for orchid mycorrhizal symbiosis, the molecular mechanism
47 of seed germination in which mycorrhizal symbiosis co-occurs remains unclear because,
48 in AM plants, GA regulates seed germination and symbiosis positively and negatively,
49 respectively. To elucidate this conflict, we investigated the effect of GA on *Bletilla*
50 *striata* seed germination and mycorrhizal symbiosis using asymbiotic and symbiotic
51 germination methods. Additionally, we compared the transcriptome profiles between
52 asymbiotically and symbiotically germinated seeds. Exogenous GA negatively affected
53 seed germination and fungal colonization, and endogenous bioactive GA was actively
54 converted to the inactive form during seed germination. Transcriptome analysis showed
55 that *B. striata* shared many of the induced genes between asymbiotically and
56 symbiotically germinated seeds, including GA metabolism- and signaling-related genes
57 and AM-specific marker homologs. Our study suggests that orchids have evolved in a
58 manner that they do not use bioactive GA as a positive regulator of seed germination
59 and instead, auto-activate the mycorrhizal symbiosis pathway through GA inactivation
60 to accept the fungal partner immediately during seed germination.

61

62 **Keywords**

63 Co-option, Gibberellins, Mycoheterotrophy, Mycorrhizal symbiosis, Orchidaceae, Seed

64 germination

65

66 **Introduction**

67 Seed germination is an important process in the plant life cycle because it
68 determines subsequent plant survival and reproductive success (Rajjou et al., 2012).
69 Diverse environmental factors to which seeds are exposed, such as light, temperature,
70 water, oxygen, and chemical substances, break seed dormancy, and stimulate seed
71 germination (Shu et al., 2016). It is well known that the plant hormone gibberellin (GA)
72 plays an essential role in promoting seed germination in many plant species, including
73 model plants, *Arabidopsis*, and many crop plants (Tuan et al., 2018). During seed
74 imbibition of cereal crops, the embryo synthesizes GA, which is subsequently released
75 to aleurone cells to activate the synthesis and secretion of hydrolases such as α -amylase
76 (Tuan et al., 2018). These hydrolases degrade starch and other nutrients stored in the
77 endosperm into small molecules that are used by embryos for supporting seed
78 germination and seedling growth (Tuan et al., 2018).

79 Orchids, which belong to the family Orchidaceae, produce a large number of
80 small seeds containing 200 μm -long embryos on average with starch-deficient
81 endosperm, which is termed dust-like seeds (Arditti and Ghani, 2000). The seeds
82 consisting only of an undifferentiated embryo surrounded by a thin seed coat,
83 parasitically depend on nutrients supplied by orchid mycorrhizal (OM) fungi for seed
84 germination and seedling development (Eriksson and Kainulainen, 2011). Under natural
85 conditions, the orchid seeds rely on OM associations to obtain carbon, nitrogen, and
86 phosphorus during the early developmental stage, which is called 'initial
87 mycoheterotrophy' (Leake, 1994; Merckx, 2013). Because orchid seed germination is

88 unique and complex in terms of its requirements (Arditti, 1967; Rasmussen et al., 2015),
89 it is assumed that orchid germination is regulated by a different mechanism from that
90 underlying the germination of the majority of photosynthetic plants. In the context of
91 GA, which is a well-known seed germination stimulator, Van Waes and Debergh (1986)
92 examined the effects on the asymbiotic (aseptic) germination *in vitro* of four western
93 European terrestrial orchids (*Cypripedium calceolus*, *Dactylorhiza maculata*, *Epipactis*
94 *helleborine*, and *Listera ovata*), showing that GA negatively affected the germination of
95 *D. maculata* and *L. ovata* and had no significant effect on *C. calceolus* and *E.*
96 *helleborine* (Van Waes and Debergh, 1986). Similarly, GA exerted no stimulatory
97 effect on the asymbiotic seed germination of *Calanthe discolor*, a terrestrial orchid that
98 grows in eastern Asia (Miyoshi and Mii, 1995). Chen *et al* (2020) showed no significant
99 effects of GA on asymbiotically germinated seeds of the epiphytic orchid *Dendrobium*
100 *officinale*, and inhibition of fungal colonization in symbiotically germinated seeds in the
101 case of applying high concentrations of exogenously GA (Chen et al., 2020). Another
102 report showed the positive effects of GA on asymbiotically germinated immature seeds
103 of *Pseudorchis albida*, probably due to the differences in the degree of seed maturity
104 (Pierce and Cerabolini, 2011). Although the lack of endosperm in the common ancestor
105 of orchids may explain low sensitivities to GA in phylogenetically distant orchids, the
106 molecular mechanisms of seed germination remain unclear.

107 Orchid mycorrhizal fungi penetrate seeds either through the suspensors
108 (Peterson and Currah, 1990; Richardson et al., 1992; Rasmussen and Rasmussen, 2009)
109 or through rhizoids (Williamson and Hadley, 1970) and then form dense coils of

110 mycelium called pelotons. Orchids obtain nutrients through both live and degenerating
111 pelotons (Kuga et al., 2014). Most orchids, including members of the earliest-diverging
112 clade of the Orchidaceae, form OM symbioses with free-living, saprophytic fungi
113 belonging to the phylum Basidiomycota (Rasmussen, 2002; Yukawa et al., 2009). The
114 Orchidaceae is sister to the other members of the Asparagales, which only form
115 symbioses with the Glomeromycotina (except for orchids) that constitute the most
116 ancient mutualistic plant-fungi association, termed arbuscular mycorrhizae (AM)
117 (Delaux et al., 2013). It is generally accepted that the fungal partner of orchids has
118 shifted from Glomeromycotina to Basidiomycota (Yukawa et al., 2009). Supporting this
119 idea, our previous molecular-based study of *Bletilla striata* has shown that orchids share
120 the molecular components such as common symbiotic genes and their concomitant
121 expression common to AM plants for mycoheterotrophic symbiosis, which implies that
122 orchids utilize a genetic system of AM symbiosis (Miura et al., 2018). Consistent with
123 this suggestion, the common symbiotic pathway genes, involved in a putative signal
124 transduction pathway shared by AM and the rhizobium-legume symbiosis, such as
125 symbiosis receptor-like kinase *SymRK*, calcium- and calmodulin-dependent protein
126 kinase *CCaMK*, and calcium signal decoding protein *CYCLOPS*, are present in other
127 orchid species, *Apostasia shenzhenica*, *Dendrobium catenatum*, *Phalaenopsis equestris*,
128 and *Gastrodia elata* (Radhakrishnan et al., 2020; Xu et al., 2021). In terms of AM
129 symbiosis, recent studies have revealed that abnormal elevation of GA signaling
130 decreases the colonization of the host root by AM fungi (Floss et al., 2013; Foo et al.,
131 2013), and GA reduces hyphal colonization and arbuscule formation during AM

132 symbiosis (Takeda et al., 2015). The arbuscule formation required the presence of
133 DELLA (aspartic acid–glutamic acid–leucine–leucine–alanine) proteins, which are
134 negative regulators of GA signaling (Floss et al., 2013). In *Lotus japonicus*, a complex
135 comprising CCaMK, CYCLOPS, and DELLA binds to the promoter of *REDUCED*
136 *ARBUSCULAR MYCORRHIZA1*, a central regulator of arbuscule development
137 (Pimprikar et al., 2016). Thus, GA signaling negatively affects AM fungal colonization
138 and development.

139 More than 80% of the land plant species germinate using nutrient sources
140 stored in their seeds and establish AM symbiosis after root development. This means
141 that the mechanisms of seed germination and mycorrhizal symbiosis are independent of
142 most photosynthetic plants. In contrast, these two events, for both of which GA is a key
143 regulator, co-occur in orchids. Previous orchid transcriptomic studies have reported high
144 expression of genes related to GA biosynthesis, catabolism, and signaling during
145 symbiotic germination (Zhao et al., 2014; Liu et al., 2015; Miura et al., 2018). Given the
146 low sensitivities to GA and the expression patterns of GA metabolic and signaling genes
147 in orchid species, we hypothesized that orchids have evolved to not use GA as a positive
148 regulator of seed germination, in order to establish and maintain symbiotic associations
149 during germination. To elucidate the connecting mechanisms of orchid seed germination
150 and mycorrhizal symbiosis at the molecular level, we investigated the effect of GA on
151 seed germination and mycorrhizal symbiosis mainly by using a terrestrial orchid,
152 *Bletilla striata* cv. *Murasakishikibu* (subfamily Epidendroideae, tribe Arethuseae),
153 which is an initially mycoheterotrophic plant species mostly distributed in China and

154 Japan, and is usually found in moist grasslands. Because *B. striata* can grow almost
155 synchronously *in vitro* both asymbiotically and symbiotically (Yamamoto et al., 2017),
156 the germination rates and symbiotic levels were examined in the growth conditions with
157 or without GA. As a result, GA negatively affected both seed germination and fungal
158 colonization. The GA-treated asymbiotic germination assay confirmed the negative
159 effects on some phylogenetically distant orchids. Asymbiotically- and symbiotically
160 germinated *B. striata* protocorms were subjected to transcriptome analysis using
161 RNA-sequencing (RNA-seq) to determine whether *B. striata* share differentially
162 expressed genes (DEGs) between the two states. The results showed that *B. striata*
163 shared more than half of the induced DEGs. The commonly upregulated DEGs included
164 AM-specific marker homologs, meaning that symbiosis signaling is activated during
165 seed germination even without fungi. Our study, therefore, concluded that orchids
166 auto-activate the mycorrhizal symbiosis pathway during seed germination through GA
167 inactivation, suggesting an adaptive mechanism to reconcile the two events, seed
168 germination and mycorrhizal symbiosis.

169

170 **Materials and Methods**

171 **Plant materials and fungal strain**

172 Seeds of *Bletilla striata* cv. Murasakihibiku and its symbiotic fungus, *Tulasnella* sp.
173 strain HR1-1, were used in this work. The origins of the plant and fungal line have been
174 described in detail previously (Yamamoto et al., 2017). *Spiranthes australis* and
175 *Cremastra appendiculata* var. *variabilis* were collected from Houki town, Tottori

176 Prefecture, Japan, and Kisakata town, Akita Prefecture, Japan, respectively. *B. striata*, *S.*
177 *australis*, and *C. appendiculata* were grown in a greenhouse, and matured seeds were
178 harvested at five, one, or four months, respectively, after self-pollination. Matured
179 *Goodyera crassifolia* and *Vanda falcata* var. *Beniohgi* seeds were collected from Kami
180 city, Kochi Prefecture, Japan, and Kihoku town, Mie Prefecture, Japan, respectively.
181 Collected seeds were stored at 4°C until they were used. Fungal colonies were
182 cultivated on potato dextrose agar (PDA; Kyokuto, Tokyo, Japan) medium at 25°C until
183 symbiotic germination experiments were required.

184

185 **Asymbiotic and symbiotic germination on agar medium**

186 Asymbiotic and symbiotic germination procedures were performed according to the
187 method of Yamamoto et al. (2017), either with or without additional growth regulators:
188 gibberellic acid 3 (GA₃) (Nakalai Tesque, Kyoto, Japan), uniconazole-P (FUJIFILM
189 Wako Pure Chemical, Kyoto, Japan), and abscisic acid (ABA) (Sigma-Aldrich, St.
190 Louis, MO, USA) dissolved in ethanol. The method is briefly described below. The
191 seeds were surface sterilized in 1% (v/v) sodium hypochlorite solution for 2 min for *B.*
192 *striata* and *V. falcata*, 30 s for *S. australis*, 4 min for *C. appendiculata*, and 5 min for *G.*
193 *crassifolia*. After rinsing with sterilized distilled water, 40–800 sterilized seeds derived
194 from one mature fruit capsule of each orchid species per plate were sown onto
195 HYPONeX-sucrose agar (HA) medium (3.0 g Hyponex [6.5–6-19] [Hyponex Japan,
196 Osaka, Japan], 2.0 g peptone, 30 g sucrose, 10 g agar, 1 l distilled water, pH 5.5) for
197 asymbiotic germination or oatmeal agar (OMA) medium (2.5 g Oatmeal agar (Difco,

198 Franklin, New Jersey, USA), 6.5 g agar, 1 l distilled water, pH 5.5) pre-inoculated with
199 a culture of *Tulasnella* sp. for symbiotic germination. Growth regulators were added to
200 the autoclaved media after cooling to 60 °C. Ethanol (0.01%, v/v) was included in the
201 media as a control treatment. The germination experiments were conducted at 25°C in
202 the dark. Germinated seeds were counted or harvested every week for 3 weeks and
203 stored in a formalin–acetic acid–alcohol (FAA) solution at 4 °C for cell staining or at –
204 80 °C after freezing in liquid nitrogen for RNA extraction and phytohormone
205 quantification.

206

207 **Quantitative measurement of seed germination and symbiotic cells**

208 The germination was defined as the emergence of a shoot in *B. striata* and *V. falcata* or
209 rhizoids in *S. australis*, *G. crassifolia*, and *C. appendiculata*. To measure the
210 germination rate, at least three plates with approximately 100 seeds each, were observed
211 under a stereomicroscope (Olympus SZX16, Tokyo, Japan) in an individual experiment.
212 The measurements were repeated at least two times for asymbiotic and symbiotic
213 germination of *B. striata*, *S. australis*, *G. crassifolia*, and *V. falcata*. The experiments for
214 *C. appendiculata* seed germination were performed only twice due to the small number
215 of seeds available in this study. The FAA-fixed protocorms were rinsed with distilled
216 water and softened in 10% KOH at 105 °C for 5 min. The alkalinized samples were rinsed
217 with distilled water, neutralized by 2% (v/v) HCl for 5 min, and stained with 10% (v/v)
218 ink dye solution (10% Pelikan 4001 Brilliant Black and 3% acetic acid) at 95 °C for 30
219 min for counting of symbiotic cells or with 10 µg/ml WGA-Alexa Fluor 488 solution

220 (Thermo Fisher Scientific, Waltham, MA, USA) overnight and 1 µg/ml Calcofluor
221 White solution (Sigma-Aldrich, St Louis, MO, USA) for 15 min in the dark at room
222 temperature for observation of the fungal distribution. Ink-stained materials were
223 soaked in lactic acid (Nacalai tesque, Kyoto, Japan) at 4 °C before microscopic
224 observation. Procedures of symbiotic cell counting were performed according to the
225 method of Yamamoto et al. (2017). The method is briefly described below. The seed
226 coat removed protocorms were held between a glass slide and a cover glass and were
227 lightly crushed. The number of symbiotic cells was counted under a BX53 light
228 microscope (Olympus) and averaged from randomly selected ten individual protocorms.
229 The cell counting experiment was independently repeated four times.

230

231 **Asymbiotic germination on filter paper**

232 Sterilized *B. striata* seeds were placed on a filter paper (ø 70 mm) containing 2 ml of a
233 test solution. The seeds of *O. sativa* cv. Nipponbare and *L. japonicus* MG-20 were also
234 seeded on a filter paper using the same procedure. These seeds were incubated at 25°C
235 for three weeks, three days, and two days in the dark for *B. striata*, *Oryza sativa* and *L.*
236 *japonicus*, respectively. Germination was defined as the emergence of a root in *O. sativa*
237 and *L. japonicus*. The experiment was independently repeated two times, each
238 containing 3–5 replicate plates.

239

240 **RNA preparation and gene expression analysis by qRT-PCR**

241 Total RNA was extracted from approximately 5 mg of *B. striata* seeds or protocorms for

242 each condition using the Total RNA Extraction Kit Mini for Plants (RBC Bioscience,
243 New Taipei, Taiwan), following the manufacturer's protocol. First-strand cDNA
244 synthesis was performed using the ReverTra Ace qPCR RT Master Mix with gDNA
245 Remover (Toyobo, Osaka, Japan) following the manufacturer's protocol. Quantitative
246 RT-PCR assays were carried out using the THUNDERBIRD SYBR qPCR Mix
247 (Toyobo) on a CFX connect real-time detection system (Bio-Rad Laboratories, Hercules,
248 CA, USA) using the following program: 95°C for 10 min; 45 cycles of 95°C for 30 s,
249 60°C for 30 s, and 72°C for 30 s; and a final extension at 72°C for 5 min. Fold changes
250 were calculated using the expression of a housekeeping gene, *UBIQUITIN5*, in *B.*
251 *striata* as the internal control. The gene-specific primer sequences used in this study are
252 shown in Table S1 and Miura et al. (2018). At least five biological replicates containing
253 three technical replicates each were performed. After the threshold cycle (*C_t*) values
254 were averaged, the fold changes were calculated using the delta-delta cycle threshold
255 method (Livak and Schmittgen, 2001) or the relative standard curve method (Pfaffl,
256 2001).

257

258 **Quantification of endogenous GA levels in *B. striata***

259 Asymbiotically- or symbiotically germinated *B. striata* protocorms were harvested two
260 weeks after seeding and stored at -80 °C after freezing in liquid nitrogen. The seeds,
261 before seeding, were also stored at -80 °C as a control sample. The frozen samples were
262 weighed after lyophilization. After grounding and homogenizing, the 35.15–234.89 mg
263 samples were subjected to LC-MS/MS to quantify the endogenous GAs according to the

264 method of Kanno et al. (2016). Data were obtained from three independent replicate
265 experiments.

266

267 **RNA-sequencing and data analysis**

268 The total RNA of asymbiotically germinated protocorms (AP) was treated with
269 RNase-free DNase I to remove residual genomic DNA and cleaned using the RNeasy
270 Mini Kit (Qiagen, Hilden, Germany) according to the manufacturer's protocol. The
271 quality and quantity of the purified RNA were confirmed by measuring its absorbance at
272 260 nm and 280 nm (A260/A280) using a NanoDrop ND-1000 spectrophotometer
273 (Thermo Fisher Scientific, Waltham, MA, USA) and by electrophoresis using an Agilent
274 2100 Bioanalyzer (Agilent Technologies, Santa Clara, CA, USA). RNA-seq library was
275 constructed from the total extracted RNA using the Illumina TruSeq RNA Library Prep
276 Kit (Illumina, San Diego, CA, USA) according to the manufacturer's protocol. Multiple
277 cDNA libraries were sequenced using the Illumina HiSeq platform with 100 bp or 125
278 bp single-end reads. Three biological replicates were prepared for the transcriptome
279 analysis. Consequently, more than seven million raw reads per sample were obtained
280 (Table S2). Low-quality reads (< Q30) and adapter sequences were filtered and trimmed
281 using Fastp (Chen et al., 2018).

282

283 **Differential expression analysis**

284 For data analysis, raw reads of asymbiotically germinated *B. striata* generated in this
285 study (the DNA Data Bank of Japan (DDBJ) DRA accession DRR439921–

286 DRR439932) and symbiotically germinated *B. striata* obtained from our previous study
287 (DDBJ DRA accession DRR099058–DRR099075) (Miura et al., 2018) were used. The
288 reads were mapped using Bowtie 2 (Langmead and Salzberg, 2012) and the transcript
289 abundance was estimated using eXpress ver. 1.5.1 (Roberts and Pachter, 2012) in
290 accordance with the method of Miura et al. (2018). Differences in library size were
291 corrected using the trimmed mean of M-value normalization method, and EdgeR
292 (Robinson et al., 2010) was used to identify DEGs with a Log_2 fold change (FC) of \geq
293 1.0 or ≤ -1.0 and false discovery rates (FDR) <0.05 . The time-course data were
294 analyzed using a general linear model. To identify the DEGs in germinated and
295 AM-colonized rice, publicly available short-read data were obtained from the
296 Short-Read Archives at the National Center for Biotechnology Information BioProject
297 accession PRJNA474721 (Narsai et al., 2017) and DDBJ BioProject accession
298 PRJDB4933 (Kobae et al., 2018), respectively. The downloaded raw reads were filtered
299 and trimmed using Fastp with the option "-q 30" (Chen et al., 2018), and then mapped
300 using STAR (Dobin et al., 2013). The reference genome (*Oryza_sativa* IRGSP-1.0.48)
301 was downloaded from Ensembl plants (<https://plants.ensembl.org/index.html>). The
302 aligned reads were counted using featureCounts (Liao et al., 2014); subsequently, the
303 genes were subjected to differential expression analysis using the EdgeR package
304 (Robinson et al., 2010). Differentially expressed genes were defined as Log_2 FC ≥ 1.0
305 or ≤ -1.0 and FDR < 0.05 .

306

307 **Gene annotation and gene-ontology analysis**

308 The *B. striata* *de novo* reference assembly (Miura et al., 2018) was functionally
309 annotated with EnTAP (Hart et al., 2020) using the NCBI NR, Plant RefSeq, and
310 UniProt (<https://www.uniprot.org/>) databases. The gene-ontology (GO) enrichment
311 analysis of DEGs was performed using the topGO package in the R environment with
312 Fisher's exact test (FDR < 0.05).

313

314

315 **Results**

316 **Gibberellin negatively affects both fungal colonization and seed germination in *B.***
317 ***striata***

318 Surface-sterilized *B. striata* seeds were sown on an OMA medium containing 1
319 μ M GA inoculated with the previously isolated *Tulasnella* sp. HR1-1 (hereafter
320 *Tulasnella*) (Yamamoto et al., 2017) to examine the effects of GA on symbiosis. No
321 significant effect was found on the growth of GA-treated *Tulasnella* (Supplemental
322 Figure S1A). Since a protocorm colonized with *Tulasnella* contains dozens of symbiotic
323 cells with hyphal coils (Figure 1A), the symbiotic efficiency between *B. striata* and
324 *Tulasnella* was evaluated by comparing the number of symbiotic cells within a
325 protocorm (Yamamoto et al., 2017; Fuji et al., 2020). A two-week-old protocorm
326 contained approximately 81.0 ± 4.0 symbiotic cells with hyphal coils in the control
327 treatment (Figure 1B). The number of symbiotic cells in 1 μ M GA treatment was
328 significantly lower (5.4 ± 3.0) than in the control (Figure 1B), while no differences were
329 detected in terms of fungal entry at the suspensor end between the treatments

330 (Supplemental Figure S1B). On the contrary, a GA biosynthesis inhibitor uniconazole-P
331 treatment showed a significant increase in symbiotic cells (135.8 ± 38.2 , Figure 1B).
332 Uniconazole-P exhibited a positive effect on hyphal growth, but it was not significant
333 compared to GA treatment (Supplemental Figure S1A). These results resembled those
334 of earlier studies on AM symbiosis in which exogenous GA reduced hyphal
335 colonization and arbuscule formation (El Ghachoui et al., 1996; Yu et al., 2014;
336 Takeda et al., 2015). The exogenous GA also significantly inhibited seed germination of
337 *B. striata* inoculated with *Tulasnella*. (Figure 1C), in line with previous reports (Chen et
338 al., 2020).

339 Our findings support previous studies that OM symbiosis may share at least
340 some common properties with AM symbiosis (Suetsugu et al., 2017; Miura et al., 2018),
341 but do not support the general notion that GA stimulates seed germination. The *B.*
342 *striata* seeds were then sown asymbiotically on HA medium with or without GA. The
343 results of germination rates demonstrated that 1 and 10 μ M GA treatment, as well as 1
344 μ M ABA, significantly suppressed ($25.2\pm3.6\%$ and $20.2\pm3.8\%$ in 1 and 10 μ M GA,
345 respectively) germination as compared to a control experiment ($65.9\pm8.6\%$), while 0.1
346 μ M GA showed no significant effect ($55.4\pm4.4\%$) (Figure 1D and Supplemental Figure
347 S1C). The treatment of 0.1 and 1 μ M uniconazole-P showed a promotion effect on seed
348 germination ($88.6\pm4.1\%$ and $83.2\pm5.4\%$ in 0.1 and 1 μ M uniconazole-P, respectively),
349 while 10 μ M of uniconazole-P negatively affected the germination ($45.0\%\pm9.7\%$)
350 (Figure 1D). Because uniconazole-P has also been reported to inhibit the biosynthesis of
351 other phytohormones such as ABA and brassinosteroids (Iwasaki and Shibaok, 1991;

352 Kitahata et al., 2005; Saito et al., 2006), a simultaneous treatment test with GA and
353 uniconazole-P was conducted. Asymbiotically germinated seeds that were
354 simultaneously treated with 1 μ M GA and 1 μ M uniconazole-P showed a lower
355 germination rate than the control as well as with only GA treatment (Figure 1E).
356 Moreover, the germination occurred slightly but significantly even when *B. striata*
357 seeds were grown on filter paper saturated with 1 μ M uniconazole-P solution without
358 any nutrition (Figure 1F). Such negative and positive effects of GA and uniconazole-P
359 were not observed in *O. sativa* and *L. japonicus* (Supplemental Figure S2).

360 To confirm the negative effects of GA on seed germination of other orchids, an
361 asymbiotic germination experiment was conducted using seeds of the other four orchid
362 species: three are terrestrial (*Spiranthes australis*, *Goodyera crassifolia*, and *Cremastra*
363 *appendiculata* var. *variabilis*), and one is epiphytic (*Vanda falcata* cv. *Beniohgi*).
364 Similar to the results for *B. striata*, exogenous GA treatment decreased the germination
365 percentage of these orchids (Table 1). In addition, commercial plant growth regulators
366 containing substances that inhibit GA biosynthesis showed activity as seed germination
367 stimulators (Supplemental Figure S1D). These results revealed that exogenous GA
368 negatively affected orchid seed germination, indicating that seed germination and fungal
369 colonization are promoted through the inhibition of *de novo* GA biosynthesis. This is
370 different from the seeds with fully differentiated embryos of most photosynthetic plants
371 whose germination is stimulated by GA.

372

373 **A majority of differentially expressed genes were shared between asymbiotically-**

374 **and symbiotically germinated protocorms**

375 The negative effects of GA on both seed germination and fungal colonization
376 in *B. striata* led us to hypothesize that orchid germination mechanisms are linked with
377 the symbiotic system. To gain insight into the similarity and differences between
378 asymbiotic and symbiotic germination mechanisms at a molecular level, we performed
379 a time-course transcriptome profiling on asymbiotically or symbiotically germinated
380 protocorms (hereafter APs or SPs, respectively) (Supplemental Table S2). Our previous
381 study showed that *B. striata* grew almost synchronously for the first three weeks with
382 both the germination methods, asymbiotic germination on the HA medium and
383 symbiotic germination with *Tulasnella* on the OMA medium (Yamamoto et al., 2017).
384 In addition, symbiotic protocorms were dominated by fungal pelotons at the early
385 (initiation of hyphal coiling), middle (well-developed hyphal coils), and late (hyphal
386 degradation) stages within one, two, and three weeks after seeding, respectively
387 (Yamamoto et al., 2017; Miura et al., 2018). Up- and down-regulated DEGs were
388 identified from comparisons of each week-old (weeks 1, 2, and 3) protocorms versus
389 seeds at the start of the experiment (week 0) with a $|\text{Log}_2\text{-FC}|$ threshold ≥ 1 and a false
390 discovery rate threshold < 0.05 (Supplemental Table S3). A total of 10,297 and 14,284
391 genes in week 1, 10,704 and 15,606 genes in week 2, and 10,835 and 15,244 genes in
392 week 3 had a significantly higher expression compared with week 0 in APs and SPs,
393 respectively. Among these DEGs, 8,663, 8693, 8217 genes were common in both APs
394 and SPs comparison sets, which covered 38.6%–52.5% of the total number of DEGs
395 from weeks 1–3 (Figure 2A). A total of 6,199 and 5,998 genes in week 1, 6,136, and

396 6,092 genes in week 2, and 5,858 and 6,020 genes in week 3 had significantly lower
397 expression than week 0 in APs and SPs, respectively (Figure 2A). Among these, 4,783,
398 4,410, and 0 genes were common in weeks 1, 2, and 3, respectively (Figure 2A).

399 To compare the transcription profiles of seed germination and mycorrhizal
400 symbiosis in other plant species, DEGs were identified using the previous transcriptome
401 data of seed germination on day 0 versus day 2 and AM roots versus non-AM roots of *O.*
402 *sativa* (Narsai et al., 2017; Kobae et al., 2018). The rice transcriptome data showed that
403 shared DEGs between seed germination and AM symbiosis covered 0.7%–23.9% of the
404 total DEGs (Supplemental Figure S3A).

405 Hierarchical clustering based on the *B. striata* expression profiles showed that
406 the transcripts were divided into AP and SP, as expected (Figure 2B). Transcripts at
407 week 1 exhibited different expression patterns from those of weeks 2 and 3 (Figure 2B).
408 Additionally, over 50% of DEGs were shared between AP and SP at week 1 (Figure 2A),
409 and GA restricted peloton formation but still allowed the fungal entry at the suspensor
410 end (Supplemental Figure S1A). On the basis of these results, it was expected that the
411 stage of forming a shoot apex in APs or fungal colonization following fungal entry from
412 the suspensor end to cortical cells in SPs is the key to understanding mycoheterotrophic
413 germination. Then, the shared and specific DEGs at week 1 were annotated into three
414 categories ("Biological process," "Cellular component," and "Molecular function") after
415 GO enrichment analysis with a cut-off *P*-value 0.01 (Supplemental Table S4). The
416 overexpressed genes in the shared DEGs were classified into 95 functional GO terms,
417 including response to (in)organic substances such as "response to organonitrogen

418 compound (GO:0010243)", "response to karrikin (GO:0080167)", "response to
419 inorganic substance (GO:0010035)", and "response to hormone (GO:0009725)", and
420 metabolism of secondary metabolites, such as "phenylpropanoid metabolic process
421 (GO:0009698)", "(-)-E-beta-caryophyllene synthase activity (GO:0080016)" (Figure
422 2C).

423 Specifically overexpressed genes in APs and SPs were assigned to 14 and 82
424 terms, respectively (Supplemental Table S4). The differently overrepresented GO terms
425 related to nitrogen response and metabolism ("cellular nitrogen compound metabolic
426 process (GO:0034641)" in APs and "response to organonitrogen compound
427 (GO:0010243)" in SPs) (Supplemental Figure S3B and C) were consistent with the
428 differences in applied nitrogen form between APs as inorganic matter in the culture
429 medium and SPs as organic matter from the fungal partner. In addition, different GO
430 terms related to secondary metabolism were overrepresented in AP ("cellular aromatic
431 compound metabolic process [GO:0006725]" and SP ("cellular alcohol metabolic
432 process [GO:0044107]") (Supplemental Figure S3B and C). In the SP, GO terms
433 associated with the transporter activity, such as "intracellular transport (GO:0046907)"
434 and "efflux transmembrane transporter activity (GO:0015562)," were specifically
435 overrepresented, probably reflecting nutrient transport between plants and fungi
436 (Supplemental Figure S3C).

437 To understand the intrinsic metabolic and signaling of GA during seed
438 germination and fungal colonization, we performed the Kyoto Encyclopedia of Genes
439 and Genomes analysis on the common DEGs, termed as "response to hormone

440 (GO:0009725)". This analysis revealed that the ent-kaurene oxidase gene
441 (TRINITY_DN56117_c0_g1_i2_g.199526_m.199526), which is involved in the GA
442 synthesis, and the *DELLA* gene (TRINITY_DN29017_c0_g1_i1_g.90488_m.90488),
443 which is a negative regulator of GA signaling, were detected as commonly upregulated
444 genes in APs and SPs (Supplemental Fig. S4). This result was inconsistent with
445 *Arabidopsis* seed germination, in which *DELLA* genes were either constantly expressed
446 or gradually decreased from 12 h to 2 days after imbibition (Tyler et al., 2004), and the
447 rice *DELLA* ortholog *SLENDER RICE1* expression was almost not altered during seed
448 germination (Log₂FC of 0.49) (Figure 2D). In cereal seeds, the α -amylase gene acts as
449 one of the downstream genes under GA-mediated seed germination (Gubler et al., 2002).
450 A GA-regulated transcriptional factor, GAMYB, activated α -amylase gene expression
451 and was promoted by GA-triggered degradation of *DELLA* protein *SLENDER1* in the
452 barley aleurone (Gubler et al., 2002). Consistently, the expression of α -amylase genes
453 was markedly increased in germinated rice seeds (Supplemental Figure S3D). In
454 contrast, there were no significant differences between APs at week 1 and week 0 seeds
455 (Supplemental Figure S3D). In addition, according to the other transcriptomic studies of
456 symbiotically germinated *D. officinale* inoculated with *Tulasnella* sp. Pv-PC-2-1 (Wang
457 et al., 2018), common upregulated DEGs at week 1 included two carotenoid cleavage
458 dioxygenases (*CCD7* and *CCD8*) genes, which are necessary for strigolactone (SL)
459 biosynthesis (Figure 2E). Previous studies reported that the exogenous GA inhibited SL
460 biosynthesis and exudation in *O. sativa*, *L. japonicus*, and *Eustoma grandiflorum* roots
461 (Ito et al., 2017; Tominaga et al., 2021). Consistently, both *CCD7* and *CCD8* were

462 upregulated in AM-colonized rice roots (Log₂FC of 1.43 and 1.89, respectively),
463 whereas only *CCD7* was detected as significantly overexpressed in germinated seeds in
464 rice (Figure 2E). These results indicate that although GA biosynthesis is activated
465 during seed germination in *B. striata* as well as other plant species, such as *Arabidopsis*,
466 rice, and barley (Kaneko et al., 2002; Gubler et al., 2008; Dekkers et al., 2013;
467 Urbanova and Leubner-Metzger, 2018), simultaneously, other factors, such as DELLA
468 proteins, could inhibit GA signaling during *B. striata* seed germination.

469

470 **Bioactive GA is converted to the inactive form during *B. striata* seed germination**

471 Given that GA negatively controls both seed germination and fungal
472 colonization, and the APs and SPs shared a large number of DEGs, including GA
473 biosynthesis and signaling genes, it is expected that *B. striata* optimize GA production
474 during seed germination to coordinate both seed germination and fungal colonization.
475 The transcriptome analysis showed that GA 2-oxidase genes (*GA2oxs*) encoding
476 enzymes that convert bioactive GAs to inactive forms and GA 3- and 20-oxidase genes
477 (*GA3oxs* and *GA20oxs*, respectively) encoding the biosynthesis enzymes to produce the
478 active form of GAs were significantly upregulated in APs and SPs (Figure 3A). These
479 significant changes in the expression of *GA2oxs* and *GA20ox* genes were also detected
480 in rice seed germination, but moderately found in AM-colonized rice roots (Figure 3A).
481 To reveal more details of the regulation of GA metabolism, gene expression analysis
482 was performed by quantitative RT-PCR. In APs, the expression of a *BsGA2ox* was 19
483 and 45 times upregulated at week 1 and week 2, respectively, compared to week 0,

484 which was the start of the experiment, while week 1 was not significant in this
485 experiment (Figure 3B). Similarly, the expression of *BsGA3ox* was 12 and 40 times
486 higher at weeks 1 and 2, respectively, whereas *BsGA20ox* was not significantly altered.
487 In SPs, the expression of *BsGA2ox*, *BsGA3ox*, and *BsGA20ox* was significantly
488 upregulated, especially *BsGA2ox* expression was dramatically increased (116 and 113
489 times higher at weeks 1 and 2, respectively) after seeding (Figure 3C). These results
490 provide an insight into the mechanism of *B. striata* seed germination and fungal
491 colonization: The GA metabolism is stimulated, especially the bioactive GA is actively
492 converted to the inactive form.

493 To further confirm these findings, we conducted a quantitative analysis of
494 endogenous GA in APs and SPs at week 2 and week 0 seeds using LC-MS/MS. The
495 study showed endogenous bioactive GA precursors (GA₁₉ and GA₅₃) and an inactivated
496 GA (GA₈) in the 13-hydroxylation pathway (Figure 3D), while bioactive GA (GA₁)
497 could not be detected due to the detection limit of the instrument. In APs, the amount of
498 GA₁₉ was significantly increased (5.6 times), and the GA₈ was 3.2 times higher but not
499 significant compared with that in seeds. The GA₅₃ was slightly higher (1.5 times) in APs
500 than in seeds, but not significant. The quantification analysis also showed that in SPs,
501 the amount of GA₈ and GA₁₉ was significantly increased compared to the seeds,
502 whereas no significant difference was observed in the amount of GA₅₃ accumulated
503 during the experimental period. The gene expression and GA quantification results
504 revealed that the biosynthetic pathway from the GA precursor GA₁₉ to the inactive form
505 GA₈ was activated during both seed germination and fungal colonization, especially,

506 BsGA2ox strongly expressed in SPs actively converted bioactive GAs to inactive forms
507 during symbiotic germination.

508

509 **Asymbiotic germination induced the expression of orchid mycorrhizal marker**
510 **genes.**

511 Our transcriptome results of shared gene expression patterns between APs and
512 SPs were reminiscent of those showing that the artificial media for asymbiotic
513 germination would mimic something in the field probably provided by fungi
514 (Rasmussen, 1992; Eriksson and Kainulainen, 2011). In other words, these findings
515 have led to the hypothesis that symbiotic machinery is activated automatically during
516 seed germination even without fungi. To determine whether the symbiosis-signaling
517 pathway is activated during asymbiotic germination, the expression levels of rice AM
518 colonization marker homologs (Gutjahr et al., 2008), part of which were identified as
519 OM markers in *B. striata* (Miura et al., 2018), and the mycorrhiza-specific phosphate
520 transporter *PT11* gene were compared between rice and *B. striata*. The previous rice
521 transcriptome data showed nine significantly highly expressed marker genes in
522 AM-forming roots, and only one in germinated seeds (Figure 4A). Our time-course
523 transcriptome analysis revealed that 11 AM marker homologs were significantly highly
524 expressed in SPs (Figure 4A). Similarly, the high expression of nine AM marker genes
525 was observed in APs (Figure 4A). The *PT11* expression was significantly induced in
526 both APs and SPs as well as AM-forming roots, but not in rice-germinated seeds (Figure
527 4A). Consistently, quantitative RT-PCR analysis of AP showed that the expression of

528 six of eight OM marker genes (*BsAM1*, *BsAM2*, *BsAM11*, *BsAM14*, *BsAM20*, *BsAM34*)
529 was upregulated during germination despite the absence of fungus, whereas *BsAM34*
530 was not significant (Figure 4B).

531

532

533 Discussion

534 It is generally accepted that seed dormancy and germination are determined by
535 the interactive effects between different phytohormones such as ABA and GA, and that
536 GA is necessary for seed germination (Miransari and Smith, 2014). However, our
537 results show that exogenous GA, as well as ABA, significantly inhibits the germination
538 of *B. striata* seeds with undifferentiated embryos, even on a nutrition-free medium.
539 These imply the existence of a specific mechanism of GA signaling in *B. striata* seed
540 germination (Figure 5). This is consistent with some earlier studies of terrestrial and
541 epiphytic orchids, which reported that GA had a negative or no effect during
542 germination (Van Waes and Debergh, 1986; Miyoshi and Mii, 1995; Chen et al., 2020).
543 These results indicate that orchid species broadly conserve this unique GA signaling
544 pathway.

545 Connecting multiple signaling pathways has the potential to acquire new
546 adaptive functions (True and Carroll, 2002). Previous studies on model plants have
547 reported that the gene regulatory mechanisms stimulated by circadian and
548 environmental cues contribute to the resistance against a plant pathogen (Wang et al.,
549 2011) and the facilitation of AM symbiosis (Umeshara et al., 2008; Balzergue et al.,

550 2011; Kretzschmar et al., 2012). These studies have established the concept of
551 molecular links between intrinsic or extrinsic cues as an input and signaling pathways
552 that seem far apart from these cues as an output. Our results showed that GA has lost its
553 role as an activator of α -amylase gene expression during orchid seed germination.
554 Instead, an exogenous germination stimulator probably derived from OM fungi, but not
555 the fungal colonization itself activated symbiosis-related genes shared with AM
556 symbiosis through GA inactivation, leading to simultaneous control of seed germination
557 and fungal colonization. In the AM symbiosis signaling mutant *ccamk-1* of rice wherein
558 the mutation blocked AM colonization at the root epidermis, no increase in AM marker
559 expression except for *OsAM1* and *OsAM2*, was observed in AM-inoculated roots
560 (Gutjahr et al., 2008). The enhancement of the expression of *B. striata* OM marker
561 genes in APs could result from the activation of symbiosis signaling by germination
562 stimulators, not by fungal colonization. These results suggest that orchids auto-activate
563 the mycorrhizal symbiosis pathway through GA inactivation during seed germination to
564 accept the fungal partner immediately. In addition, the results showing that the number
565 of DEGs identified from SP transcripts was higher than that from AP, one of the OM
566 marker genes *BsAM39* was expressed only in SP, and the *GA2ox* expression level was
567 more stimulated in SPs than in AP suggest that the OM symbiosis pathway is activated
568 in each of the two steps of symbiotic germination: the initiation of seed germination and
569 fungal colonization.

570 In contrast to the exogenous GA effect, the GA-biosynthesis inhibitor
571 uniconazole-P positively regulates *B. striata* seed germination, although the germination

572 rate decreased at high concentrations, probably because uniconazole-P affects not only
573 GA biosynthesis but also the metabolism of some phytohormones (Izumi et al., 1988;
574 Iwasaki and Shibaok, 1991). Previously, it was thought that orchid seeds need to be
575 colonized by fungi to germinate even *in vitro* (Arditti, 1967). However, since Knudson
576 (1922) formulated the asymbiotic germination method, the fact that germination occurs
577 when seeds are placed in a relevant medium containing appropriate substances, such as
578 sugars, mineral salts, vitamins, amino acids, and phytohormones without fungus is
579 broadly accepted (Arditti, 1967). Thus, artificial media for asymbiotic germination
580 would mimic something in the field probably provided by fungi (Rasmussen, 1992;
581 Eriksson and Kainulainen, 2011). Our results support the hypothesis that orchid
582 germination is stimulated by environmental factors instead of fungal infection because
583 exogenously treated uniconazole-P stimulated *B. striata* germination even without any
584 other nutrients and fungi. Moreover, the uniconazole-P acts as a germination stimulator
585 commercially accessible and usable by gardeners, offering fundamental knowledge to
586 the development of conservation and restoration methods of orchids facing extinction
587 (Swarts and Dixon, 2009).

588 Although the actual influencing environmental factor(s) are still unknown, our
589 results suggest that the unknown factors induce the GA metabolic pathway, resulting in
590 the stimulation of seed germination and fungal colonization. In both APs and SPs, the
591 expression of *GA2ox* was significantly increased. Consistently, the inactivated GA, GA₈,
592 accumulates in APs and SPs but is not statistically different between APs and seeds
593 before seeding. A previous transcriptomic study has also reported high expression of

594 genes related to the GA-GID1-DELLA signaling module, including *GA2ox* and *GA20ox*,
595 in the protocorms of *Anoectochilus roxburghii* inoculated with unknown fungal species
596 (Liu et al., 2015). These results indicate that the bioactive GA is actively converted to
597 the inactive form during both seed germination and fungal colonization in orchids. The
598 negative effect of bioactive GA in symbiotic germination resembles the results of earlier
599 studies on AM symbiosis, which reported that GA signaling negatively affects AM
600 fungal colonization and development (Floss et al., 2013; Foo et al., 2013; Takeda et al.,
601 2015). Our previous study found that the key components of AM symbiosis and their
602 concomitant expression pattern are shared in OM symbiosis (Miura et al., 2018). Given
603 that the fungal partner(s) is necessary for orchid seed germination under natural
604 conditions, these findings suggest that the GA is converted from the active form to the
605 inactive form to establish and maintain symbiotic associations during seed germination.

606 In orchids, the endosperm was reduced, and the undifferentiated embryo
607 evolved (Eriksson and Kainulainen, 2011). Orchid seeds containing almost no or few
608 resources are tiny and require the presence of compatible fungi to obtain nutrients,
609 resulting in mycoheterotrophy (Eriksson and Kainulainen, 2011). The evolutionary
610 process of dust-like seeds in such mycoheterotrophic plants is supposed to be complex
611 because many drivers behind fungal specificity, chemical interaction, metabolite
612 transport, and plastid genome evolution are responsible for this unique plant—fungus
613 relationship (Eriksson and Kainulainen, 2011; Merckx, 2013). One of the potential
614 conditions under which initial mycoheterotrophy has evolved could be associated with
615 the acquisition of the ability to utilize exogenous nutrients during seed germination. Our

616 findings imply that orchids have co-opted the AM symbiotic signaling pathway for
617 GA-mediated germination to coordinate these two simultaneous events. These
618 molecular links between plant development and symbiosis signaling will provide clues
619 to the mechanisms of the evolutionary shift of the autotrophic ancestor to the
620 mycoheterotrophic orchids.

621

622

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636

637 **Declaration of interests**

638 The authors declare no competing interests.

639

640

641 **Author contributions**

642 CM and HK conceived and designed the analysis. CM, YF, TY, MH, KS, TY, and MY
643 contributed to sample preparation. CM, YF, TY, YK, MH, and KY performed the
644 experiments and analyzed the sequencing data. KS, TY, MS, SS, and MY helped
645 supervise the project and contributed to the interpretation of the results. CM and HK
646 wrote the paper. HK supervised the project. All authors approved the final manuscript.

647

648

649 **Data availability**

650 Nucleotide sequence data from the RNA-seq analysis in this study have been deposited
651 in the DDBJ BioProject under the accession number PRJDB14881. The authors declare
652 no competing financial interests. Correspondence and requests for materials should be
653 addressed to H.K. (kaminaka@tottori-u.ac.jp).

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866 **Figure legends**

867 **Figure 1** Effects of GA on symbiotically or asymbiotically germinated protocorms. **A)**
868 Symbiotically germinated *B. striata* seeds at two weeks after seeding (WAS) on oatmeal
869 agar (OMA) medium inoculated with *Tulasnella* sp. HR1-1. The images show
870 fungal-infected protocorms stained with calcofluor white (blue) and wheat germ
871 agglutinin-Alexa fluor-488 (green) to visualize the plant cell and fungal structures,
872 respectively. Green fluorescent dots at the suspensor side indicate fungal pelotons.
873 Arrows indicate the suspensor end. Scale bars, 200 μ m. **B** and **C**) The number of
874 symbiotic cells (**B**) and germination percentages (**C**) at 2WAS on OMA medium
875 inoculated with *Tulasnella* sp. HR1-1. Symbiotic cells and germinated seeds treated
876 with 0.01% (v/v) ethanol for the control, 1 μ M GA₃, or 1 μ M uniconazole-P (Uni) were
877 counted. Different letters indicate significant differences among treatments on the basis
878 of Tukey's honest significant difference test (n=5 individual experiments, each
879 containing 10 protocorms, $P < 0.05$) (**B**). Seed germination was defined as the
880 emergence of a shoot, according to Yamamoto et al. (2017). P value was calculated on
881 the basis of Student's *t*-test (n=4–5 replicate plates, each containing 185±64 seeds) (**C**).
882 **D to F)** Asymbiotically germinated seeds on Hyponex agar medium (**D** and **E**) or filter
883 papers (**F**). Germinated seeds treated with 0.01% (v/v) ethanol for the control, GA₃, or
884 Uni at the indicated concentrations were counted at 2 (d and e) or 3 (F) WAS. In e and f,
885 seeds were treated with 1 μ M GA₃, 1 μ M Uni, or 1 μ M each of GA₃ and Uni. Different
886 characters indicate statistically significant differences on the basis of Tukey's honest
887 significant difference test (n=3–5 replicate plates, each containing 117±54 seeds, $P <$

888 0.05). Each bar represents the mean value \pm standard deviation. All experiments were
889 independently repeated at least three times with similar results.

890

891 **Figure 2** Transcriptome analysis of asymbiotically and symbiotically germinated
892 *Bletilla striata*. **A)** The bar chart of the number of differentially expressed genes (DEGs).
893 Gene expression levels of asymbiotically germinated protocorms (AP) and
894 symbiotically germinated protocorms (SP) at 1–3 weeks after seeding were compared to
895 week-0 seeds. **B)** Hierarchical clustering of DEGs. The method of k-means clustering
896 was used to identify similarities in expression patterns among AP and SP. The heatmap
897 was drawn by the pheatmap package in R. **C)** Gene-ontology (GO) enrichment analysis
898 of shared overexpressed genes between AP and SP at week 1. The most significant ten
899 terms of each category, biological process (BP), cellular component (CC) and molecular
900 function (MF), are shown on the basis of the elim-Kolmogorov-Smirnov method in the
901 topGO package in R. All significant terms were presented in Table **S4**. **D** and **E)** The
902 expression patterns of *SLENDER RICE1 (SLR1)* orthologs containing DELLA domain
903 (**D**) and carotenoid cleavage dioxygenase genes (*CCD7* and *CCD8*) involved in
904 strigolactone biosynthesis (**E**) from RNA-seq data. The blue–red heatmap on the left
905 shows the expression patterns of the selected genes on the basis of Log₂-fold change
906 (FC). Log₂FC was calculated between time points; 0-week seeds versus 1–3-week
907 protocorms (A1–A3 and S1–S3). "A" and "S" represent asymbiotic and symbiotic
908 germination, respectively. "RG" and "RM" indicates germinated seeds and arbuscular
909 mycorrhizal roots of rice, respectively. The right panel displays false discovery rates

910 (FDR).

911

912 **Figure 3** Expression of gibberellin biosynthesis and metabolism genes during
913 asymbiotic and symbiotic germination. **A)** The expression patterns of GA biosynthesis
914 and metabolism genes from RNA-seq data. The blue–red heatmap on the left shows the
915 expression patterns of the selected GA-related genes on the basis of Log₂-fold change
916 (FC). Log₂FC was calculated between time points; 0-week seeds versus 1–3-week
917 protocorms (A1–A3 and S1–S3). "A" and "S" represent asymbiotic and symbiotic
918 germination, respectively. "RG" and "RM" indicates germinated seeds and arbuscular
919 mycorrhizal roots of rice, respectively. The right panel displays false discovery rates
920 (FDR). **B** and **C)** Quantitative RT-PCR of gibberellin biosynthesis and metabolism
921 genes. Relative expression analysis was performed with total RNA isolated from
922 asymbiotically (**B**) or symbiotically (**C**) germinated *B. striata* at different time points
923 (week 0 seeds to protocorms at two weeks after seeding (WAS)). The relative
924 expression values were determined using the relative standard curve method. The fold
925 change in expression is relative to 0-week-old seeds (expression level = 1). Asterisks
926 indicate significant differences compared to the 0-week-old seeds using a
927 Bonferroni-adjusted pairwise *t*-test (n=5–9, * *P* < 0.05). **D)** The content of endogenous
928 gibberellins (GAs) during seed germination. The GAs were detected in seeds at week 0
929 (Seed), asymbiotically and symbiotically germinated protocorms at week 2 (AP and SP,
930 respectively). Different characters indicate statistically significant differences on the
931 basis of the Bonferroni-adjusted pairwise *t*-test (n=3, *P* < 0.05). Each bar represents the

932 mean value \pm standard error.

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934 **Figure 4** Expression of symbiosis marker genes during asymbiotic germination. **A)** The
935 expression patterns of symbiosis marker genes of rice and *Bletilla striata* from
936 RNA-seq data. The heatmap on the left indicates the expression patterns of the
937 arbuscular mycorrhizal symbiosis marker genes (Gutjahr et al., 2008) and the
938 mycorrhiza-specific phosphate transporter *PT11* gene on the basis of Log₂-fold change
939 (FC). In rice, Log₂FC was calculated between day 0 seeds and germinated seeds at two
940 days after seeding (RG) and between non-colonized roots and arbuscular mycorrhizal
941 (AM) roots (RM). In *B. striata*, Log₂FC was computed for week 0 seeds versus 1–
942 3-week protocorms (A1–A3 and S1–S3). "A" and "S" represent asymbiotic germination
943 and symbiotic germination, respectively. The right panel displays false discovery rates
944 (FDR). Asterisks indicate the orchid mycorrhizal (OM) symbiosis marker genes (Miura
945 et al., 2018). **B)** Quantitative RT-PCR of OM symbiosis marker genes. Relative
946 expression analysis was performed with total RNA isolated at different time points
947 (week 0 seeds to germinated protocorms at two weeks after seeding (WAS)). The
948 relative expression values were determined using the relative standard curve method.
949 Asterisks indicate significant differences compared to the week-0-old seeds using the
950 Bonferroni-adjusted pairwise *t*-test (n=4, * $P < 0.05$).

951

952 **Figure 5** Proposed model for seed germination mechanism in orchids. Gibberellin (GA)
953 stimulate seed germination of arbuscular mycorrhizal (AM) plants, such as *Oryza sativa*,

954 by inducing the expression of α -amylases necessary for the utilization of starch stored in
955 the endosperm (Kaneko et al., 2002). After root development, the mutual relationships
956 between plants and AM fungi are established in the roots. Exogenous-treated GA
957 inhibits fungal colonization in rice through the degradation of DELLA proteins. In
958 *Bletilla striata*, an orchid mycorrhizal plant, exogenous treated GA inhibits seed
959 germination and fungal colonization via unknown mechanisms. When seed germination
960 occurs, environmental factors probably derived from OM fungi stimulate the expression
961 of GA metabolic genes such as GA3ox and GA2ox, leading to symbiotic signaling even
962 without fungi. Orchid mycorrhizal fungi form pelotons in the cortical layer, which
963 promotes *GA2ox* gene expression.

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967 **Tables**

968 **Table 1** The effect of gibberellin on orchid seed germination

	Period	Control	GA	Uniconazole-P
<i>Spiranthes australis</i>	28 days	4.90±1.36 ^a	1.09±0.49 ^b	5.64±0.57 ^a
<i>Goodyera crassifolia</i>	28 days	8.51±4.48 ^a	3.79±1.52 ^a	18.34±11.72 ^a
<i>Cremastra appendiculata</i> var. <i>variabilis</i>	60 days	5.04±1.26	Not detected	7.22±4.50
<i>Vanda falcata</i> cv. <i>Beniohgi</i>	28 days	51.30±4.85 ^a	29.89±4.00 ^b	Not tested

969 ^{a,b}Different characters indicate statistically significant differences on the basis of the
970 Bonferroni-adjusted pairwise *t*-test (n=3–5, P < 0.05).

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973 **Supplemental data**

974 **Supplemental Figure S1** The effect of phytohormones on fungal growth and seed
975 germination.

976 **Supplemental Figure S2** The effect of gibberellin (GA) on seed germination of *Oryza*
977 *sativa* and *Lotus japonicus*.

978 **Supplemental Figure S3** Transcriptome analysis of *Oryza sativa* and *Bletilla striata*.

979 **Supplemental Figure S4** The Kyoto Encyclopedia of Genes and Genomes analysis of
980 shared overexpressed genes between asymbiotically and symbiotically germinated *B.*
981 *striata* at week-1.

982 **Supplemental Table S1** List of primers used in this study

983 **Supplemental Table S2** RNA-sequencing summary of *Bletilla striata*

984 **Supplemental Table S3** Lists of differentially expressed genes

985 **Supplemental Table S4** Lists of overrepresented gene ontology terms

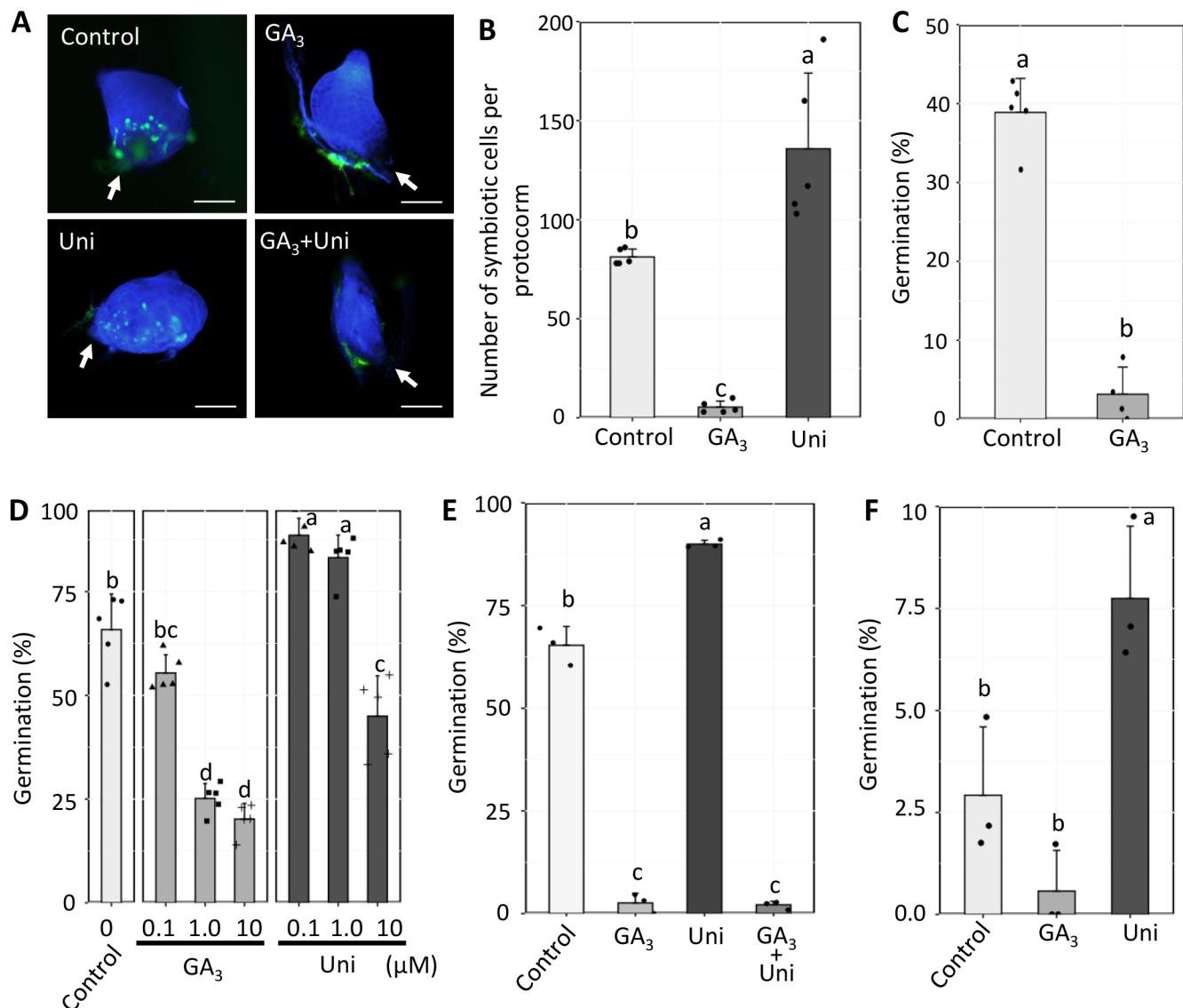


Figure 1 Effects of GA on symbiotically or asymbiotically germinated protocorms. **A)** Symbiotically germinated *B. striata* seeds at two weeks after seeding (WAS) on oatmeal agar (OMA) medium inoculated with *Tulasnella* sp. HR1-1. The images show fungal-infected protocorms stained with calcofluor white (blue) and wheat germ agglutinin-Alexa fluor-488 (green) to visualize the plant cell and fungal structures, respectively. Green fluorescent dots at the suspensor side indicate fungal pelotons. Arrows indicate the suspensor end. Scale bars, 200 μ m. **B** and **C**) The number of symbiotic cells (**B**) and germination percentages (**C**) at 2WAS on OMA medium inoculated with *Tulasnella* sp. HR1-1. Symbiotic cells and germinated seeds treated with 0.01% (v/v) ethanol for the control, 1 μ M GA₃, or 1 μ M uniconazole-P (Uni) were counted. Different letters indicate significant differences among treatments on the basis of Tukey's honest significant difference test (n=5 individual experiments, each containing 10 protocorms, $P < 0.05$) (**B**). Seed germination was defined as the emergence of a shoot, according to Yamamoto et al. (2017). P value was calculated on the basis of Student's *t*-test (n=4–5 replicate plates, each containing 185 \pm 64 seeds) (**C**). **D** to **F**) Asymbiotically germinated seeds on Hyponex agar medium (**D** and **E**) or filter papers (**F**). Germinated seeds treated with 0.01% (v/v) ethanol for the control, GA₃, or Uni at the indicated concentrations were counted at 2 (d and e) or 3 (**F**) WAS. In **e** and **f**, seeds were treated with 1 μ M GA₃, 1 μ M Uni, or 1 μ M each of GA₃ and Uni. Different characters indicate statistically significant differences on the basis of Tukey's honest significant difference test (n=3–5 replicate plates, each containing 117 \pm 54 seeds, $P < 0.05$). Each bar represents the mean value \pm standard deviation. All experiments were independently repeated at least three times with similar results.

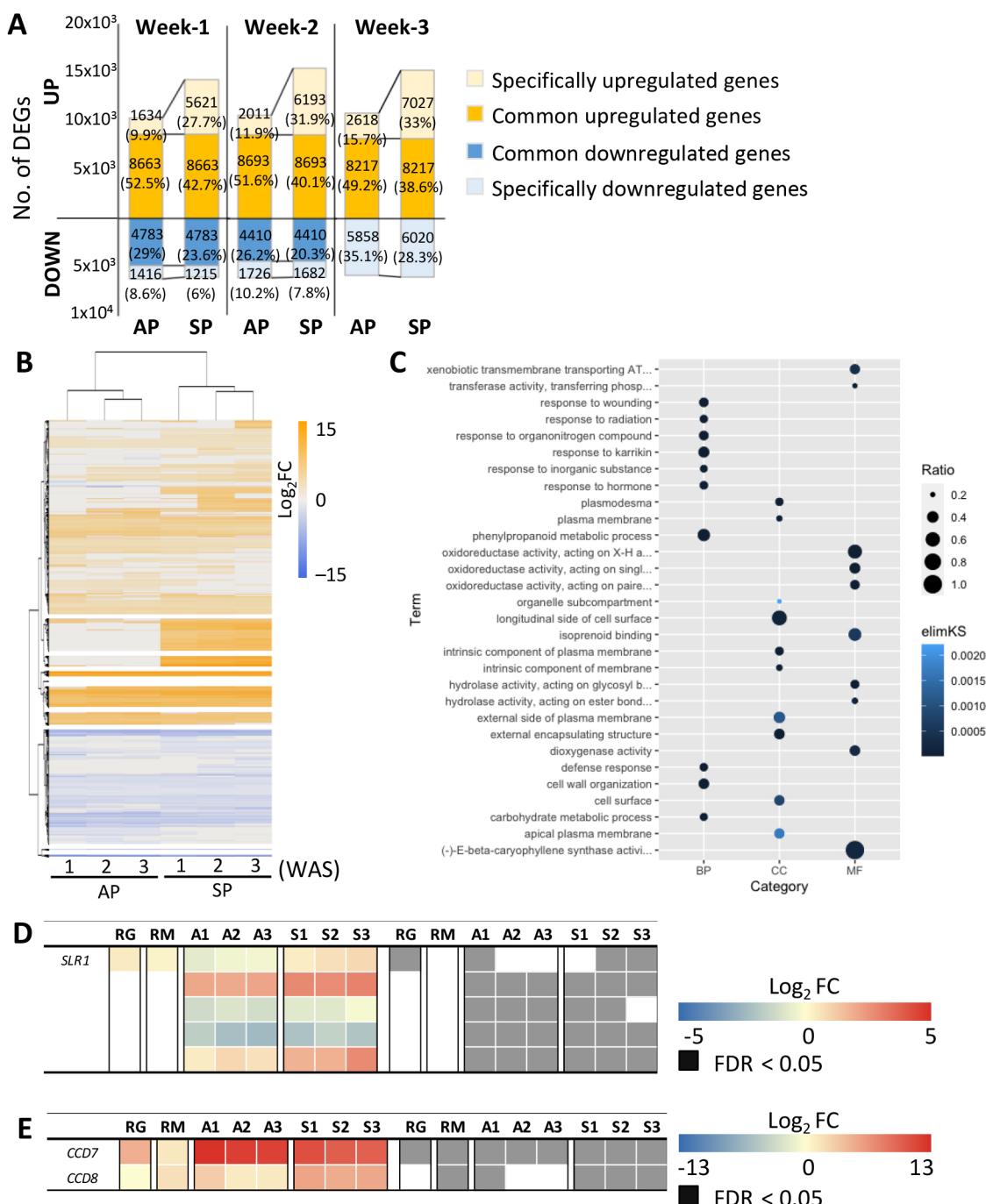


Figure 2 Transcriptome analysis of asymbiotically and symbiotically germinated *Bletilla striata*. **A)** The bar chart of the number of differentially expressed genes (DEGs). Gene expression levels of asymbiotically germinated protocorms (AP) and symbiotically-germinated protocorms (SP) at 1–3 weeks after seeding were compared to week-0 seeds. **B)** Hierarchical clustering of DEGs. The method of k-means clustering was used to identify similarities in expression patterns among AP and SP. The heatmap was drawn by the pheatmap package in R. **C)** Gene-ontology (GO) enrichment analysis of shared overexpressed genes between AP and SP at week 1. The most significant ten terms of each category, biological process (BP), cellular component (CC) and molecular function (MF), are shown on the basis of the elim-Kolmogorov-Smirnov method in the topGO package in R. All significant terms were presented in Table S4. **D** and **E)** The expression patterns of *SLENDER RICE1* (*SLR1*) orthologs containing DELLA domain (**D**) and carotenoid cleavage dioxygenase genes (*CCD7* and *CCD8*) involved in strigolactone biosynthesis (**E**) from RNA-seq data. The blue–red heatmap on the left shows the expression patterns of the selected genes on the basis of Log_2 -fold change (FC). Log_2FC was calculated between time points; 0-week seeds versus 1–3-week protocorms (A1–A3 and S1–S3). "A" and "S" represent asymbiotic and symbiotic germination, respectively. "RG" and "RM" indicates germinated seeds and arbuscular mycorrhizal roots of rice, respectively. The right panel displays false discovery rates (FDR).

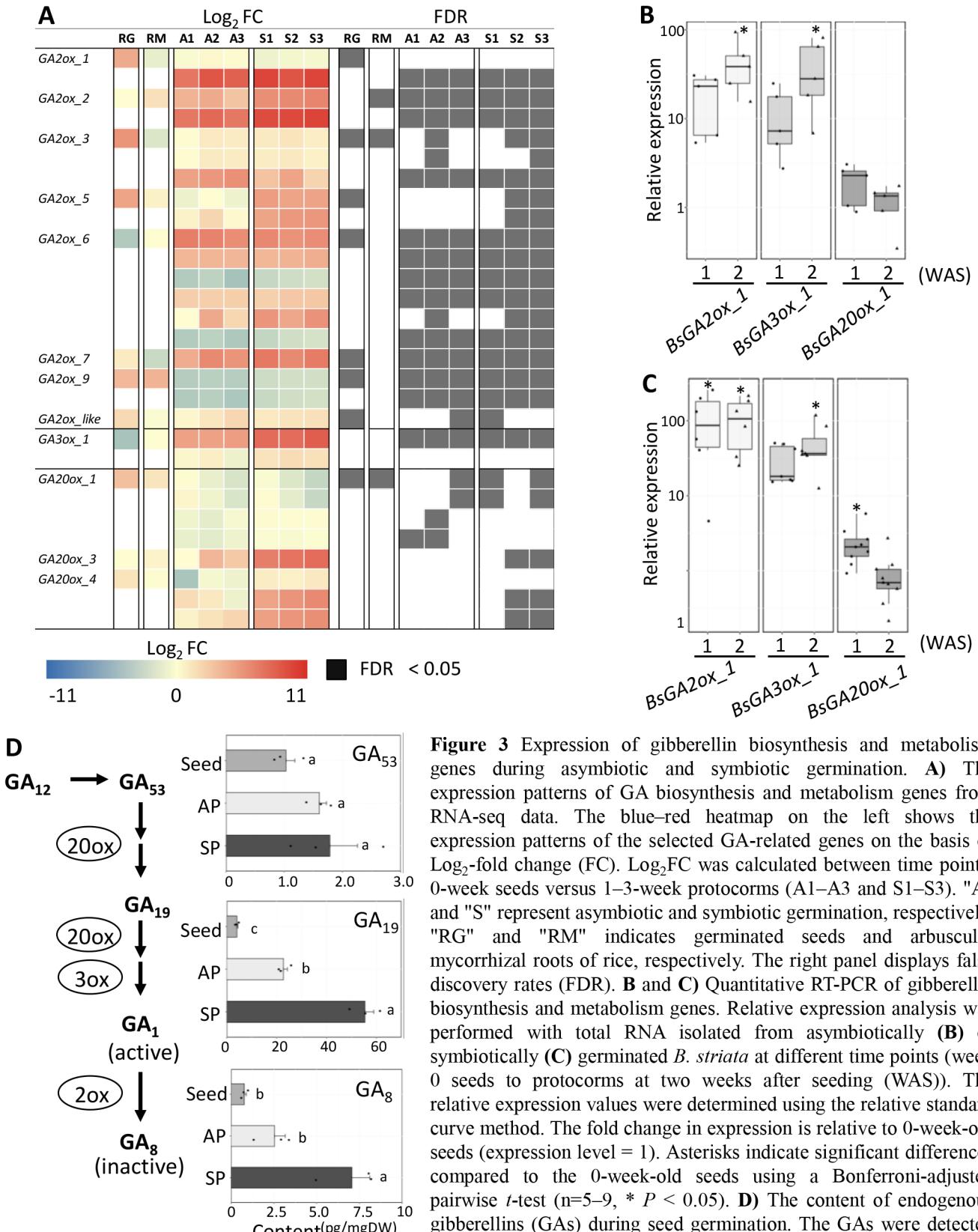


Figure 3 Expression of gibberellin biosynthesis and metabolism genes during asymbiotic and symbiotic germination. **A)** The expression patterns of GA biosynthesis and metabolism genes from RNA-seq data. The blue-red heatmap on the left shows the expression patterns of the selected GA-related genes on the basis of Log₂-fold change (FC). Log₂FC was calculated between time points; 0-week seeds versus 1–3-week protocorms (A1–A3 and S1–S3). "A" and "S" represent asymbiotic and symbiotic germination, respectively. "RG" and "RM" indicates germinated seeds and arbuscular mycorrhizal roots of rice, respectively. The right panel displays false discovery rates (FDR). **B** and **C**) Quantitative RT-PCR of gibberellin biosynthesis and metabolism genes. Relative expression analysis was performed with total RNA isolated from asymbiotically (**B**) or symbiotically (**C**) germinated *B. striata* at different time points (week 0 seeds to protocorms at two weeks after seeding (WAS)). The relative expression values were determined using the relative standard curve method. The fold change in expression is relative to 0-week-old seeds (expression level = 1). Asterisks indicate significant differences compared to the 0-week-old seeds using a Bonferroni-adjusted pairwise *t*-test (n=5–9, * *P* < 0.05). **D)** The content of endogenous gibberellins (GAs) during seed germination. The GAs were detected in seeds at week 0 (Seed), asymbiotically and symbiotically germinated protocorms at week 2 (AP and SP, respectively). Different characters indicate statistically significant differences on the basis of the Bonferroni-adjusted pairwise *t*-test (n=3, *P* < 0.05). Each bar represents the mean value ± standard error.

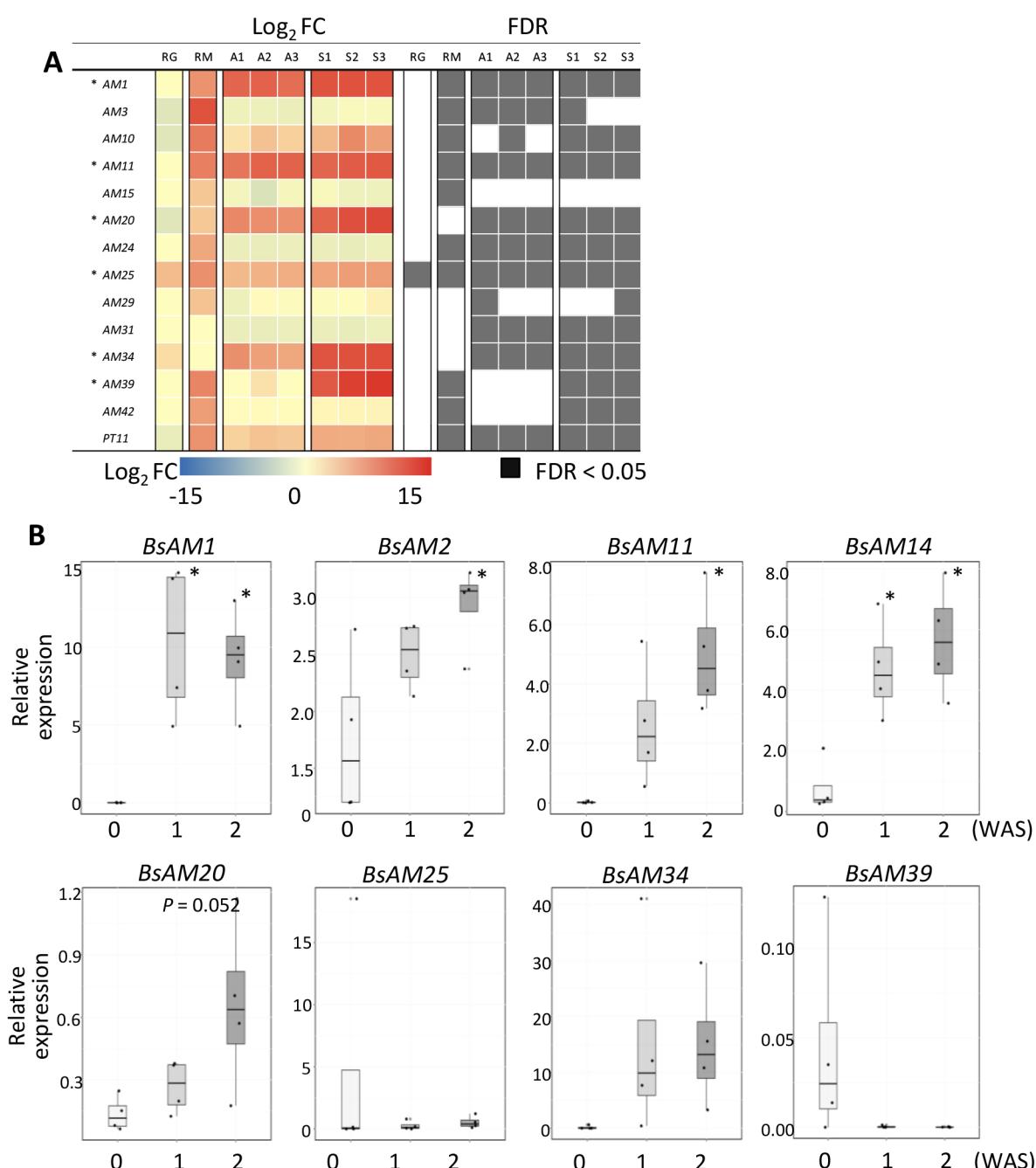


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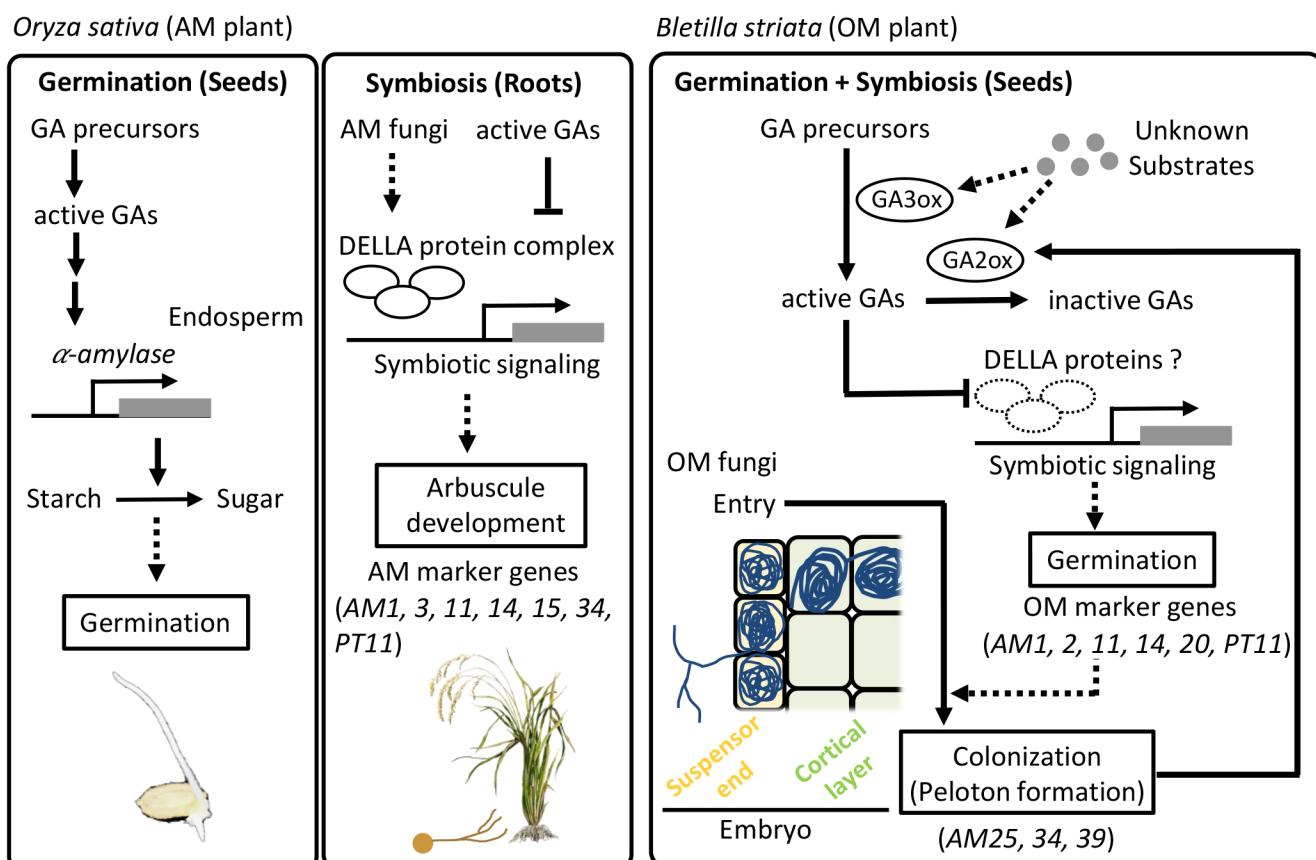


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