Sialoglycan binding triggers spike opening in a human coronavirus

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1 **Abstract**

2 Coronavirus (CoV) spikes mediate receptor binding and membrane fusion, making them

3 prime targets for neutralising antibodies. In the cases of SARS-CoV, SARS-CoV-2, and

4 MERS-CoV, spikes transition freely between open and closed conformations to balance

5 host cell attachment and immune evasion. The open conformation exposes domain $S1^B$,

6 allowing it to bind to proteinaceous cell surface receptors. It also facilitates protein refolding

7 during spike-mediated membrane fusion. However, with a single exception, the pre-fusion

8 spikes of all other CoVs studied so far have been observed exclusively in the closed state.

9 This raises the possibility of regulation, where spikes more commonly transition to open

10 states in response to specific cues, rather than spontaneously. In our study, using cryo-EM

11 and molecular dynamics simulations, we show that the spike protein of the common cold

12 human coronavirus HKU1 undergoes local and long-range conformational changes upon

13 binding a sialoglycan-based primary receptor to domain $S1^A$. This binding triggers the

14 transition of $S1^B$ domains to the open state via allosteric inter-domain cross-talk. Our

15 findings paint a more elaborate picture of CoV attachment, with possibilities of dual

16 receptor usage and priming of entry as a means of immune escape.

17

INTRODUCTION

Long before the advent of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), four coronaviruses (CoVs) colonised the human population. Two of these, human coronaviruses HKU1 and OC43 in the betacoronavirus subgenus *Embecovirus*, independently arose from rodent reservoirs—either directly or via intermediate hosts[1–3]. Unlike other human CoVs, HKU1 and OC43 rely on cell surface glycans as indispensable primary receptors[4,5]. Their attachment and fusion spike proteins (S) specifically bind to 9-*O*-acetylated sialosides[4,6–10]. Underlining the importance of glycan attachment, embecoviruses uniquely code for an additional envelope protein, hemagglutinin-esterase, a sialate-*O*-acetylesterase serving as a receptor-destroying enzyme[6,11,12]. Recent observations suggest that HKU1 S particularly targets α2,8-linked 9-*O*-acetylated disialosides (9-*O*-Ac-Sia(α2,8)Sia, *i.e.* glycan motifs typical for oligosialogangliosides like GD3. Accordingly, upon overexpression of GD3 synthase ST8SIA1, HEK293T cells become susceptible to HKU1 spike-pseudotyped viruses[10].

CoV spikes are homo-trimeric class I fusion proteins[13]. The S-protomer can be divided into an N- and C-terminal region designated S1 and S2, respectively. Distinct S1 domains mediate receptor binding[14], whereas S2 comprises the fusion machinery (Fig. 1a). In HKU1 and OC43, attachment to 9-*O*-Ac-sialosides occurs through a well-conserved receptor binding site located in S protein domain S1^A [8,9] (Fig. 1a). There are indications, however, for the existence of a secondary receptor engaged through domain S1^B, as epitopes of virus-neutralising antibodies map to subdomain S1^{B2} [15–17]. Moreover, in the case of HKU1, recombinantly expressed S1^B blocks infection[16], with single site substitutions in S1^{B2} resulting in loss of this activity[17].

The S proteins of SARS-CoV, SARS-CoV-2 and MERS-CoV occur in different conformations with their receptor-binding S1^B domains either partially buried between neighbouring protomers ('closed' or 'down') or with one or more S1^B domains exposed (1-, 2- and 3-up, 'open')[18–21]. The conformational dynamics of S1^B, and modulation thereof, would provide CoVs with a means to balance host cell attachment and immune escape[22]. Recently, spontaneous conversion of S1^B into the up conformation was also described for porcine epidemic diarrhoea virus[23]. Puzzlingly, however, available structures of all other CoV S proteins, including those of HKU1 and OC43[9,24], have only been observed in a closed conformation (Extended Data Table 1), shielding S1^B from neutralising antibodies but preventing S1^B-mediated receptor engagement[15,22]. Adding to the conundrum, the transition from a closed to an open S

50   conformation has been linked to the elaborate conformational changes in S2 that drive

51   fusion[25–27]. The question thus arises whether specific mechanisms might exist that trigger S1^B

52   conversion to the open state. Here we describe cryo-EM structures of a serotype A HKU1

53   (HKU1-A) S in four conformations, one in a closed *apo* state, the others in complex with the

54   HKU1 disialoside receptor 9-*O*-Ac-Sia(α2,8)Sia. We show that glycan receptor binding by S1^A

55   specifically prompts a conformational transition of S1^B domains into 1- and eventually 3-up

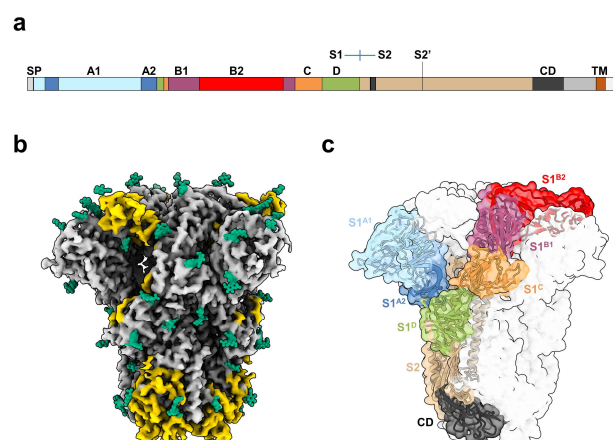56   positions, apparently through an allosteric mechanism.

57

58   **RESULTS**

59

60   **Fig. 1. Cryo-EM structure of the *apo* HKU1-A S.**

61   **a**, Linear representation of the HKU1-A S primary sequence, coloured by domain. The S1-S2

62   domains, S2' protease cleavage site, signal peptide for secretion (SP), connecting domain (CD)

63   and transmembrane helix (TM) are also indicated. **b**, Cryo-EM density map for *apo* HKU1-A S,

64   with previously unmodelled glycans indicated in green. Newly modelled amino acids are

65   coloured yellow. **c**, *Apo* HKU1-A S trimer with one Y-shaped protomer coloured by

66   (sub)domain. The colours correspond to the linear representation of the S domain

67   organisation in **a**.

68

69   **Cryo-EM structure of the HKU1 type A S glycoprotein**.

70   HKU1 field strains are divided into three genotypes with evidence of intertypic recombination,

71   but essentially occur in two distinct serotypes, sporting either A- or B-type spike (S) proteins[28].

72   Single-particle cryo-EM analysis of S ectodomains of type A HKU1 (HKU1-A) strain Caen1

73   yielded a reconstruction for the unbound state at a global resolution of 3.4 Å (Fig. 1b, Extended

74   Data Fig. 1-2, Supplementary Table 2). Notably, the HKU1-A S trimers were found exclusively

75   in a closed, pre-fusion conformation as reported for a serotype B HKU1 (HKU1-B) S protein[24].

76   The HKU1-A and HKU1-B S proteins, at 84% sequence identity (Extended Data Fig. 3), are

77   highly similar in global structure with an average C_α RMSD of 1.1 Å for pruned atom pairs

78   (Extended Data Fig. 4). Compared to the HKU1-B model, our data allowed building an

79   additional 231 residues per protomer. Among these newly built segments are the membrane-

80   proximal connecting domain (CD, residues 776-796 and 1152-1225) and the linker between

81   the S1/S2 and S2' protease cleavage sites (residues 878-907) (Fig. 1c). We could also model a

82   major portion of $S1^{B2}$ (residues 480-575) such that this subdomain—purportedly crucial for

83   protein receptor binding—is now fully resolved in the context of an intact HKU1 S trimer, our

84   findings essentially confirming the crystal structure of a HKU1-A S1[B-C] fragment (residues 310-

85   677)[17] (Extended Data Fig. 4). In addition, 20 N-linked glycans per protomer were built into

86   the model, all well supported by the density map (Fig. 1b). Several glycans are engaged in

87   interprotomer contacts (*e.g.*, N1215, Extended Data Fig. 5), among which the N355-glycan in

88   S1[B] may help to stabilise the HKU1-A S trimer in the closed conformation by contacting the

89   clockwise neighbouring protomer via Y528 (Extended Data Fig. 6). Using site-specific

90   glycosylation patterns of HKU1-B[29], we performed molecular dynamics (MD) simulations of

91   the fully glycosylated S ectodomain trimer, providing a comprehensive overview of exposed

92   and glycan-shielded regions of HKU1-A S (Extended Data Fig. 7).

93   Predictably similar in their overall arrangement, the *apo* structures of A and B-type S trimers

94   differ in the orientation of their glycan-binding S1[A] domains, with those of HKU1-A tilted

95   outwards. The S1[A] 9-*O*-Ac-Sia binding site is conserved in HKU1-A S1[A], as expected, with key

96   ligand contact residues K80, T/S82, and W89[8] aligning with those in HKU1-B S (Extended Data

97   Fig. 4, 8). There are, however, notable differences in binding site topology. In HKU1-B, the 9-

98   *O*-Ac-Sia-binding site is located within a narrow crevice between loop elements e1 (residues

99   29-37) and e2 (residues 246-252)[8,9]. In the HKU1-A S *apo* structure, the *p1* and *p2* pockets that

100   accommodate the sialoside 9-*O*- and 5-*N*-Ac moieties, respectively, are much less prominent

101   due to a consequential outward displacement of the e1 loop (*vide infra*) (Extended Data Fig.

102   8).

103

Sialoglycan binding triggers allosteric opening of the S1^B domain.

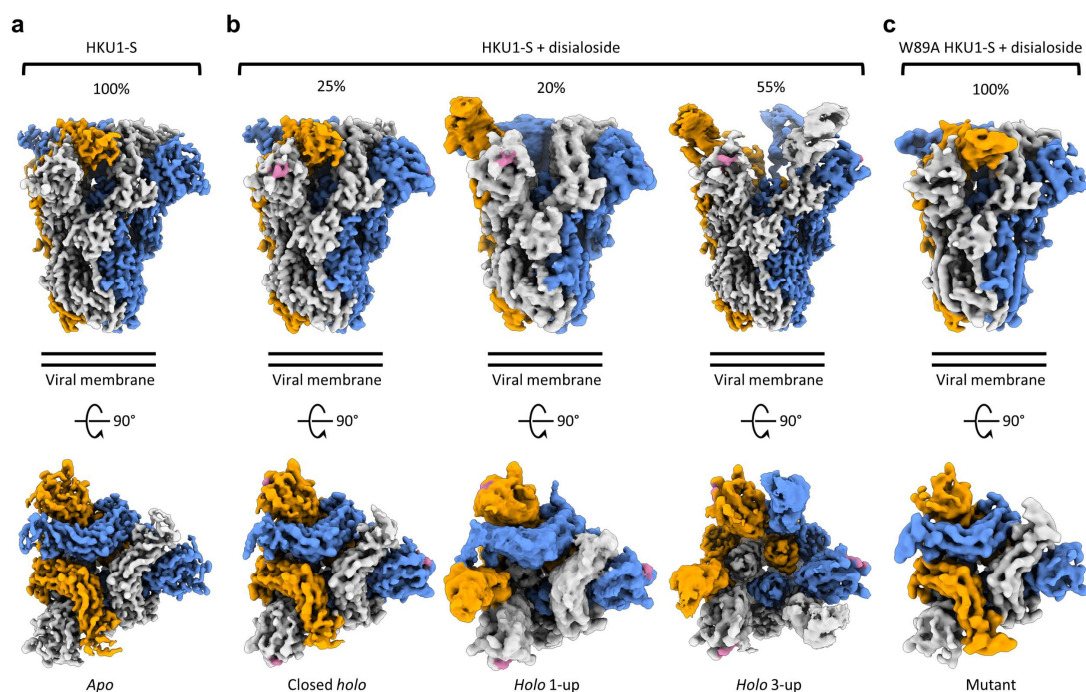**Fig. 2. Cryo-EM density maps of wt *apo* HKU1-A S, its complex with a 9-*O*-acetylated disialoside and an equivalently liganded W89A mutant.**

a, Two orthogonal views of the *apo* HKU1-A S trimer density map with protomers coloured in grey, orange, and blue. **b**, Density maps of HKU1-A S in complex with the disialoside. Three distinct classes were observed, with either no, one or three S1^B domains in the open conformation. Percentages of particles observed for each reconstruction are indicated above. The bound disialoside is coloured pink. **c**, Cryo-EM density map of the non-binding HKU1-A S W89A mutant in presence of the disialoside has all S1^B domains in the closed orientation.

Incubation of the HKU1-A S protein with the receptor analogue 9-*O*-Ac-Neu5Ac-α2,8-Neu5Ac-Lc-biotin (Extended Data Fig. 9) led to dramatic conformational changes yielding a surprising heterogeneity in structures. We identified and modelled three distinct conformations: a fully closed state (3.8 Å resolution), a partially opened state with a single S1^B domain rotated upwards by 101° (1-up, 5 Å resolution), and a fully opened state (3-up, 3.7 Å resolution) (Fig. 2, Extended Data Fig. 10-12, Supplementary Table 2). A 2-up state was not detected. In all *holo* structures, clear densities for the disialoside were observed within S1^A receptor binding sites (Fig. 2 and Extended Data Fig. 13-14). Apparently, binding of a specific 9-*O*-Ac-Sia-based primary receptor analogue by the S1^A domain triggers an allosteric mechanism, that causes the exposure of S1^B domains located 40 Å from the S1^A binding pocket (Fig. 2).

125   Based on our observations, we propose a stepwise model for ligand-induced spike opening

126   (Supplementary Video 1). In the starting *apo* state, each $S1^B$ domain is held in place, wedged

127   between the $S1^A$ and $S1^B$ domains of the counter-clockwise neighbouring Y-shaped protomer.

128   Of the two observed protein-protein interfaces, the one with $S1^A$ buries a larger surface area

129   (Extended Data Fig. 6; 1207 $Å^2$ versus 442 $Å^2$). In the presence of the $S1^A$ ligand, most S trimers

130   transitioned into the 1- or 3-up open states. However, 25% of ligand-bound particles remained

131   fully closed. The structure of this 'closed *holo*' trimer is distinct from that of non-complexed

132   *apo* trimers, marking it as an initial step in a series of conformational transitions. Ligand

133   binding in the 'closed *holo*' state is associated with intradomain conformational changes

134   within $S1^A$. In particular, the upper $S1^{A1}$ subdomain (residues 14-39 and 72-260) rotates

135   inwards by 9° relative to $S1^{A2}$ and the remainder of the S monomer (Fig. 3). While this motion

136   leaves the $S1^B$-$S1^B$ interface unaltered, it has a profound impact on the $S1^A$-$S1^B$ contact area,

137   displacing interfacing residues by approximately 8 Å (Extended Data Fig. 15). This reshaping of

138   the $S1^A$-$S1^B$ interface appears to be the key phenomenon from which subsequent upward

139   rotation of the first $S1^B$ domain follows, involving a 101° rotation and raising the tip of the $S1^{B2}$

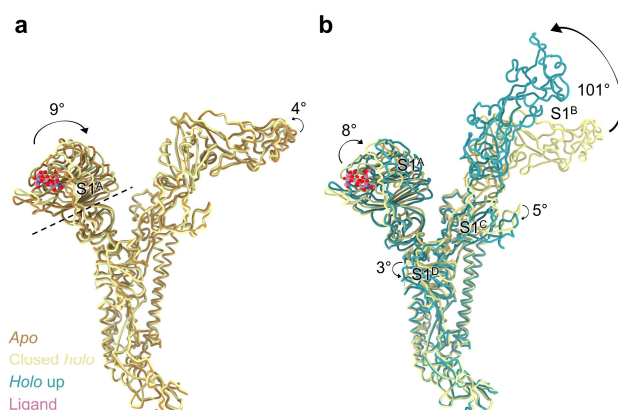140   subdomain by 50 Å (Fig. 3b, Supplementary Video 2).

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Fig. 3. Allosteric inter-domain and intra-domain rotations are observed upon ligand binding.

a, Superposition of a single protomer of *apo* HKU1-A S with the ligand bound closed *holo* state. The axis around which the $S1^{A1}$ subdomain rotates upon ligand binding is indicated as a dashed line, the disialoside is shown as pink spheres. **b**, Further domain rotations are observed when going from the closed *holo* state to the *holo* up conformation (here from the 3-up state), not only of $S1^B$ but also of all other S1 domains.

This large conformational change of $S1^B$ going from the closed *holo* to the *holo* up state is accompanied by additional domain rotations of $S1^A$, $S1^C$ and $S1^D$ (Fig. 3b). Conversion into the '1-up' state eliminates the $S1^B$-$S1^B$ interdomain contact (Extended Data Fig. 17). The lack of particles observed in a '2-up' conformation might be explained by the fact that a lone downward oriented $S1^B$ lacks any such stabilising interactions with neighbouring $S1^B$ domains, likely making this a transient intermediate.

To rule out the possibility that a subset of open $S1^B$ domains exist within the *apo* data set, we symmetry-expanded the particles from the *apo* reconstruction and performed 3D variability analysis on the masked $S1^B$ domain. No open $S1^B$ domains in the *apo* dataset were identified. When the same analysis was performed on the '1-up' particles, open and closed domains could be easily discriminated, confirming the validity of this approach (Extended Data Fig. 18). To further substantiate our observations, we acquired a data set with a sialoglycan binding-defective mutant HKU1 S W89A[8] in the presence of the 9-*O*-Ac-disialoside as a negative control (Fig. 2c, Extended Data Fig.19-20, Extended Data Table 2). Again, the S trimers were all fully closed and were morphologically indistinguishable from the unbound *apo* state of parental S, reinforcing the notion that binding of 9-*O*-Ac-Sia(α2,8)Sia is key for allosteric release of $S1^B$.

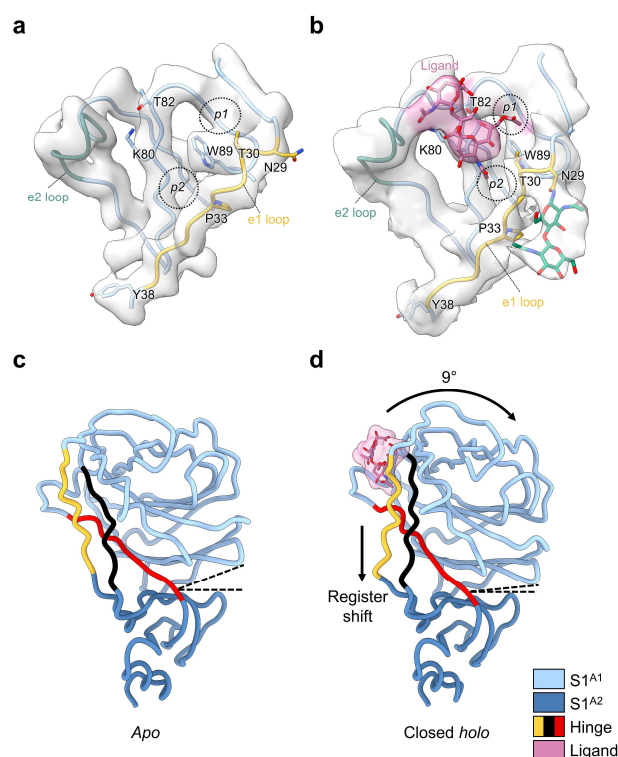168 **Sialoglycan binding stabilises an alternative conformation in an S1^A loop.**

169

170 **Fig. 4. Comparison of the sialic acid binding site in the *apo* and closed *holo* S1^A domains.**

171 **a**, Sialic acid-binding pocket in the S1^A domain in the *apo* state, with the e1 loop indicated in
172 yellow and the e2 loop in green. The *p1* and *p2* pockets are indicated with dashed circles. **b**,
173 The equivalent pocket in the closed *holo* state reveals clear density for the disialoside (pink).
174 The N-linked glycan on N29 becomes ordered, allowing the modelling of two GlcNAc residues
175 (green). Substantial conformational changes are seen in the e1 loop compared to the *apo*
176 state. **c**, S1^A domain of one protomer in the *apo* state, coloured by the S1^{A1} (light blue) and
177 S1^{A2} (dark blue) subdomains. The three hinge segments connecting the subdomains are
178 coloured yellow (e1 loop), red and black. The angle between the subdomains is indicated for
179 comparison with the *holo* state in **d**. **d**, Same view of the S1^A domain as in **c**, but for the closed
180 *holo* state (disialoside in pink). The angle between the S1^{A1} and S1^{A2} subdomains is notably
181 smaller due to the intradomain inward wedging rotation of the S1^{A1} subdomain.

182

183 Local refinement of the symmetrical closed structure of the HKU1-ligand complex allowed us

184 to visualise the disialoside bound in the S1^A receptor binding site (Fig. 4, Extended Data Fig.

185 13, Supplementary Table 3), with both Sia moieties well discernible. The location of the

186 essential terminal Sia (Sia2) is as expected for a canonical 9-*O*-Ac-Sia binding site (Hulswit et

187 al., 2019) and matches that of the *holo* cryo-EM structure of OC43 S[9] (Extended Data Fig. 4).

188 Its assigned orientation positions the sialate-9-*O*-acetyl and -5-*N*-acetyl moieties so that they

189 can dock into pockets *p1* and *p2*, respectively, astride the perpendicularly placed W89

190   sidechain. The Sia2 carboxylate is poised to interact with K80 and T82 through a salt bridge

191   and hydrogen bond (Fig. 4b). Using dedicated MD simulations of the free disialoside, we

192   identified favourable glycan conformers to restrain modelling of the flexible α2,8-glycosidic

193   linkage and were able to build the outward-facing, reducing-end Sia (Sia1) close to the e2 loop

194   (Extended Data Fig. 21).

195   Binding of the ligand to the $S1^A$ binding site is accompanied by local conformational changes,

196   most conspicuously involving the displacement of the flanking e1 loop by 3 Å. W89 and T30

197   are brought in proximity to allow side chain hydrogen bonding, stabilising the *p1* pocket, while

198   P33 shifts towards the *p2* pocket. Concomitantly, the N29 glycan, unresolved in the *apo*

199   structure, becomes partially ordered and is displaced by 5 Å away from the $S1^A$-$S1^B$ interface

200   (Supplementary Video 3). With the N-terminus stapled to the $S1^{A1}$ core via a disulfide bond

201   (C20-C156), the local changes in e1 are distally translated into long-range conformational

202   changes. These extend all the way down to Y38, some 25 Å away from the binding pocket

203   (Supplementary Video 4), located within a triple-strand hinge region that links the $S1^{A1}$ and

204    $S1^{A2}$ subdomains (Fig. 4c, d). The resulting register shift between e1 segment (residues 29-37)

205   and its neighbouring interacting partner (residues 73-81; indicated in black in Fig. 4c and 4d)

206   seemingly drives the inward 9° rotation of the $S1^{A1}$ subdomain about the $S1^{A1/A2}$ axis (Fig. 4d,

207   Supplementary Video 5).

208

209 **Molecular dynamics simulations independently predict S1^A conformational dynamics.**

210 The inherent flexibility of the disialoside binding pocket limits local resolution and the analysis

211 of inter-residue interactions in our cryo-EM models. To gather atomistic insight into ligand

212 binding, especially of Sia1, and the resulting shift in the protein conformational equilibrium,

213 we performed MD simulations of the S1^A domain on an accumulated time scale of 70 μs.

214 Simulations starting from the ligand-bound cryo-EM *holo* structure revealed one dominating

215 disialoside conformer in which the carboxylate of Sia1 interacts via a salt bridge with K84 while

216 its 5-*N* is stabilised by a hydrogen bond with T82 (Fig. 5a, Extended Data Fig. 22-24,

217 Supplementary Video 6).

218 Taking an unbiased MD approach into the conformational transition of e1, we used our

219 structure of the *apo* S1^A domain as a starting model. The disialoside was placed into the

220 binding pocket guided by the well-established orientation of 9-*O*-Ac-Sia2. Both the e1 and e2

221 loops showed pronounced dynamics in all trajectories as shown by a per-residue RMSD

222 analysis (Extended Data Fig. 25-26). Saliently, conformational transitions observed in the e1

223 loop mirrored those identified upon comparison of the *apo* and closed *holo* cryo-EM models,

224 even though the MD data were obtained fully independently (Fig. 5b, Extended Data Fig. 25,

225 Supplementary Video 7). The observations were extended and corroborated by simulations

226 with the S1^A domain of the HKU1-A N1 reference strain[28], which differs from the Caen1 variant

227 in that it carries a tyrosine instead of lysine at position 84 (Extended Data Fig. 26). All local

228 conformational changes were observed, although a loss in stabilising interactions of Sia2 was

229 noted, as would be expected due to the absence of K84 (Extended Data Fig. 27, Extended Data
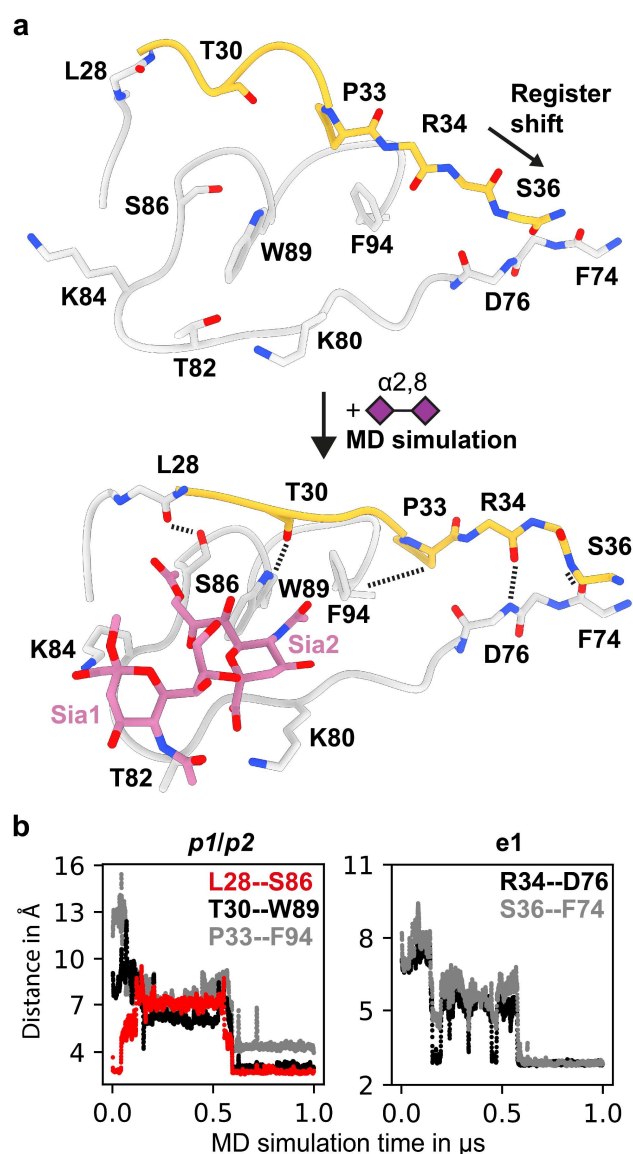
230 Tables 4-6).

231

Fig. 5. MD simulations predict S1[A] conformational transition.

a, An MD trajectory exemplifying that docking the disialoside (symbolic depiction as purple diamonds) into the *apo* cryo-EM model (top), leads to the conformational change into the stable *holo* state (bottom). **b,** Within the e1 loop (yellow), including the *p1* and *p2* pockets, several key hydrogen bonds and hydrophobic contacts form within 500 ns. Changes in inter-residue distances served as a measure to monitor the conformational changes, as the protein transitions into the cryo-EM *holo* state conformation. Only relevant side chains are shown for clarity. The conformational change in **b** is visualised in Supplementary Movie 7.

242 In the *p1* pocket, two hydrogen bonds can form spontaneously, S86-L28 and T30-W89, with

243 S86 and T30 orienting their hydrophilic hydroxyl groups away from the cavity. Alternatively,

244 the crucial hydrogen bond with W89 can also be established with the neighbouring T31

245 sidechain (Extended Data Fig. 28). Flanking the *p2* pocket, interaction of P33 with F94 leads to

246 a reduction in hydrophobic surface area and may contribute favourably to stability of the *holo*

247 state of e1 in water. Further away from *p2*, long-range changes involving e1 residues R34 and

248 S36 become apparent in the simultaneous breaking of two inter-strand backbone hydrogen

249 bonds (S36-D76 and Y38-F74) and their re-formation with new partners (R34-D76 and S36-

250 F74) in a 'register shift' motion (Fig. 5b), in full accordance with the observations by cryo-EM

251 (Fig. 4c-d).

252 Two sets of control simulations of $S1^A$ allowed us to infer a specific role of the ligand in the

253 observed $S1^A$ dynamics (Extended Data Fig. 26). In keeping with the inherent flexibility of the

254 e1 loop, all individual e1 interactions can indeed also occur in the absence of the ligand.

255 Without the ligand, however, these interactions remained highly dynamic. Yet, when the

256 ligand encountered the alternative e1 state, either 'naturally' during the simulations or by

257 simulations of a pre-built complex resembling the '*holo*' cryo-EM structure (Extended Data Fig.

258 26), this pattern changed substantially. The hallmark interactions, including the signature

259 register shift in the $S1^{A1}$-$S1^{A2}$ hinge, reproducibly remained stable for several 100 ns. The

260 collective results of cryo-EM and MD analyses indicate that ligand binding stabilises the shifted

261 topology of the e1 element, apparently locking subdomain $S1^{A1}$ in a state that allows

262 subsequent conformational $S1^B$ changes to occur.
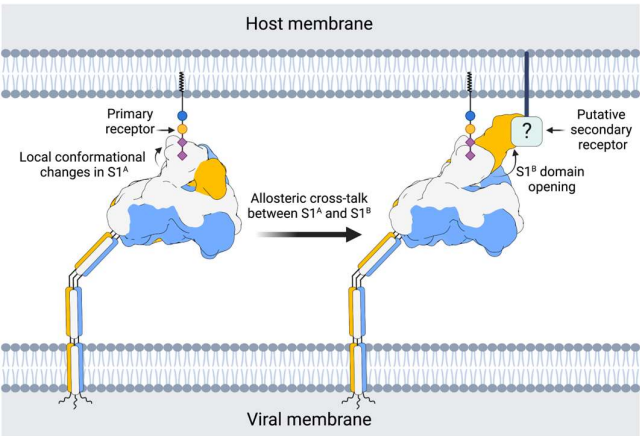
263 **DISCUSSION**

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265

266 **Fig. 6. Proposed model for HKU1-A S host cell engagement**

267 Proposed model for HKU1-A S host cell engagement through a primary carbohydrate receptor,

268 containing a 9-*O*-acetylated α2,8-linked disialoside binding to the S1^A domain, leading to the

269 allosteric opening of the neighbouring S1^B domain and a putative secondary receptor binding

270 to the exposed open S1^B domain. Carbohydrates are coloured according to the symbolic

271 nomenclature for glycans[30].

272   The dynamic sampling of open and closed conformations by sarbeco- and merbecovirus S

273   proteins has become emblematic of how coronaviruses would balance host cell attachment

274   and immune escape. The transition to the open state exposes subdomain $S1^B$ for its binding

275   to proteinaceous cell surface receptors and is also deemed crucial to allow protein refolding

276   during S-mediated membrane fusion. Remarkably, however, with rare exception the pre-

277   fusion S proteins from all other coronaviruses studied so far have all been observed in the

278   closed state exclusively (Supplementary Table 1). Here we shed new light on this apparent

279   contradiction by demonstrating that the S protein of a serotype A HKU1 strain can in fact

280   transition into an open state, albeit not spontaneously but on a specific cue. Binding of the

281   disialoside-based receptor 9-O-Ac-Sia(α2,8)Sia to $S1^A$ triggers a major shift causing the $S1^B$

282   subdomain to become exposed in a 1-up and eventually fully open, 3-up conformation. The

283   exposure of $S1^{B2}$ would allow for interactions with a putative secondary receptor and thus

284   adds to the notion that such a receptor exists[16,17]. Based on the collective data, we propose a

285   model where binding to a primary sialoglycan-based receptor triggers opening of $S1^B$, which

286   in turn engages a yet unidentified secondary receptor required for entry (Fig. 6).

287   Four different S structures were identified that together capture a trajectory from a closed

288   *apo* to a fully open *holo* conformation. The initial step, $S1^A$ disialoside binding, converts the

289   protein into a conformationally distinct state, still fully closed but primed for $S1^B$ transition,

290   transient yet stable enough to be detected in our analyses. The binding of the disialoside

291   receptor analogue leads to various structural changes within the $S1^{A1}$ subdomain. Most

292   prominently, it stabilises an alternative topology of the e1 element, only fleetingly attained in

293   the *apo* structure. Inward e1 displacement walls off one side of the 9-O-Ac-Sia binding site,

294   deepening the *p1* pocket and adding to its hydrophobicity. Accommodation of the sialate-9-

295   *O*-acetyl within *p1* may well act as the nucleating event from which other conformational

296   changes follow. These extend to a distal hinge element which connects the $S1^{A1}$ and $S1^{A2}$

297   subdomains.

298   Although tempting to consider a causal mechanistic relationship between these

299   conformational changes and $S1^B$ transition, this would seem incongruous with observations of

300   others. The topology of the e1 element in our type A HKU1 S *apo*-structure is atypical and

301   differs from that in the S protein of type B HKU1 and those of betacoronavirus-1 variants OC43,

302   bovine CoV and porcine hemagglutinating encephalomyelitis virus (Extended Data Fig.

303   29)[8,9,24,31]. In the *apo* structures of the latter proteins, the extended e1 element already adopts

304   the topology of that in the type A HKU1 closed *holo* structure. Moreover, in the B-type HKU1

305   S *apo* structure, subdomains $S1^{A1}$ and $S1^{A2}$ are in similar spatial juxtaposition as in the A-type

306   S *holo* conformation. Under the assumption that the other embecovirus S proteins also

307   transition into an open conformation, they might do so via a distinct allosteric mechanism.

308   We note, however, that cryo-EM models are based on averaging, and that it cannot be

309   excluded that also in the HKU1-B and betacoronavirus-1 S proteins the e1 element

310   continuously samples both topologies. If so, the transition of $S1^B$ into the up position may

311   critically depend on an increase in the lifetime of the shifted state as induced by $S1^A$ ligand

312   binding. Alternatively, the topology of the extended e1 element adopted in the type A HKU1

313   S *holo* structure may not be a trigger but rather a prerequisite to allow rotation of $S1^{A1}$ around

314   the $S1^{A1/A2}$ axis and consequential remodelling of the $S1^A$-$S1^B$ interface—*i.e.* the phenomenon

315   that seems most directly linked to $S1^B$ expulsion. Thus, in A-type HKU1 S proteins, sialoside-

316   dependent stabilisation of the e1 shift would be an additional precondition to be met to allow

317    $S1^B$ transition, *i.e.* on top of a generally conserved mechanism, the details of which remain to

318   be defined. The difference between the A- and B-type spikes in their preferred *apo* topologies

319   of the e1 element may have arisen from immune selection. Indeed, we recently demonstrated

320   that the $S1^A$ receptor binding site of OC43, which exhibits the shifted topology, is targeted by

321   potent neutralising antibodies[15].

322   The question remains why the transition into $S1^B$ up conformations was not observed in our

323   previous study of an OC43 S-receptor complex[9]. Possibly, the 9-*O*-Ac-Sia monosaccharide that

324   was used as a receptor-analogue does not suffice to trigger the conformational changes and

325   a more complex glycan may be required. Of note, OC43 S binds to α2,3 and α2,6-linked 9-*O*-

326   Ac-Sias[9], but displays a preference for 9-*O*-Ac-Sia(α2,8)Sia[10]. Evidence that OC43 S proteins

327   can indeed transition to an open state with $S1^B$ exposure comes from our recent observation

328   of neutralising antibodies targeting cryptic $S1^B$ epitopes. Moreover, virus neutralisation by

329   these antibodies selected for resistance mutations in the e1 loop of $S1^A$[15]. These results align

330   with our present observations for HKU1, indicating that there is allosteric cross-talk between

331   the $S1^A$ and $S1^B$ domains shared among embecoviruses. Hypervariable $S1^A$ loop elements

332   controlling both $S1^B$ opening and S2' proteolytic processing, as described for SARS-CoV-2,

333   might even indicate that this is a universal feature of (beta)coronavirus S proteins[32,33]. In this

334   view, sarbeco- and merbecoviruses spontaneously exposing $S1^B$ would not be exceptions but

335   part of a mechanistic spectrum, with other CoVs, such as HKU1, relying on specific triggers

336   such as binding to primary receptors via S1^A. To our knowledge, this is the first description of

337   a coronavirus S protein exposing its S1^B domain on cue. Our observations suggest that CoV

338   attachment may be even more sophisticated than appreciated so far, with possibilities of dual

339   receptor usage and priming of entry to escape immune detection.

Methods

Expression and purification of trimeric HKU1 S ectodomains.

The sequence of a HKU1 type A spike (GenBank: ADN03339.1) encoding for the ectodomain (residues 12-1266) was cloned into the pCG2 expression vector with an exogenous CD5 signal peptide. At the 3'end, the coding sequence was ligated in frame with a GCN4 trimerization motif (IKRMKQIEDKIEEIESKQKKIENEIARIKKIK)[34,35], a thrombin cleavage site (LVPRGSLE), an 8-residue long Strep-Tag (WSHPQFEK) and a stop codon. The furin cleavage site at the S1/S2 junction was mutated from RRKRR to GGSGS to avert cleavage of the spike protein (Extended Data Fig. 30). The resulting construct was employed for transient expression in HEK293 cells and purified as previously described[36]. Briefly, after incubation of the cells for 5 days, spike glycoprotein was purified from cleared cell culture supernatants by affinity chromatography using StrepTactin beads (IBA) and eluted in 20 mM Tris-HCl, pH 8.0, 150 mM NaCl, 1 mM EDTA, 2.5 mM D-Biotin. The W89A mutant protein was produced as described previously[10].

Sample preparation for cryo-EM

For the *apo* complex, 3 μl of 4.3 μM HKU1 spike trimer was applied to QuantiFoil® R1.2/1.3 grids that had been glow-discharged for 30 seconds on a GloQube® (Quorum) at 20 mW power. The sample was applied at 4 °C and 95% relative humidity inside a Vitrobot Mark IV (Thermo Scientific). The grids were then blotted for 7 seconds with +2 blot force and plunge-frozen in liquid ethane. For the *holo* complex and W89A negative control, 7 μl of 4.3 μM WT or mutant HKU1 spike trimer was combined with 3 μl of 1 mM sugar, resulting in a final spike concentration of 3 μM and sugar concentration of 300 μM. The samples were then incubated at room temperature for ~10 min prior to vitrification which was performed as described for the *apo* sample.

Cryo-EM data acquisition

The *apo* and *holo* HKU1 spike samples were imaged on a Thermo Scientific™ Krios™ G4 Cryo-TEM equipped with a K3 direct electron detector and a BioContinuum® energy filter (Gatan) using EPU 2 acquisition software. The stage was pre-tilted to 30° to improve the orientation distribution of the particles. A total of 4207 movies for *apo* spike and 4,065 movies for the *holo* spike were collected at a super-resolution pixel size of 0.415 Å/pixel, with 40 fractions per movie and a total dose of 46 e$^-$/Å^2. Defocus targets cycled from -1.5 to -2.5 microns.

370   The W89A mutant HKU1 spike incubated with disialoside was imaged on a Thermo Scientific™

371   Glacios Cryo-TEM equipped with a Falcon 4 direct electron detector using EPU 2 acquisition

372   software. The stage was pre-tilted to 30° to improve the orientation distribution of the

373   particles. A total of 896 movies were collected at 0.92 Å/pixel with 40 fractions per movie and

374   a total dose of 42 e$^-$/Å^2. Defocus targets cycled from -1.5 to -2.5 microns. A summary of all

375   data collection parameters is shown in supplementary table 2.

376   Single-particle image processing

377   For the *apo* complex, patch motion correction, using an output F-crop factor of 0.5, and patch

378   CTF estimation were performed in cryoSPARC live[37]. Micrographs with a CTF estimated

379   resolution of worse than 10 Å were discarded, leaving 4202 images for further processing. The

380   blob picker tool was then used to select 9144772 particles which were then extracted in a 100-

381   pixel box (Fourier binned 4 × 4) and then exported to cryoSPARC for further processing. A

382   single round of 2D classification was performed, after which 183886 particles were retained.

383   Ab initio reconstruction generated one well-defined reconstruction of the closed HKU1 spike.

384   Particles belonging to this class were then re-extracted in a 300-pixel box. During extraction,

385   particles were Fourier binned by a non-integer value, resulting in a final pixel size of 1.1067 Å.

386   Subsequently, non-uniform refinement was performed on the extracted particles with C3

387   symmetry imposed[38], yielding a reconstruction with a global resolution of 3.3 Å. Subsequently,

388   each particle from the C3 symmetry–imposed reconstruction was assigned three orientations

389   corresponding to its symmetry-related views using the symmetry expansion job. A soft mask

390   encompassing one S1^A domain was made in UCSF Chimera[39], and used for local refinement of

391   the expanded particles, yielding a map with a global resolution of 3.8 Å.

392   For the *holo* complex, patch motion correction, using an output F-crop factor of 0.5, and patch

393   CTF estimation were performed in cryoSPARC live[37]. Micrographs with a CTF estimated

394   resolution of worse than 10 Å were discarded, leaving 4,045 images for further processing.

395   The blob picker tool was then used to select 956,697 particles which were then extracted in a

396   100-pixel box (Fourier binned 4 × 4) and then exported to cryoSPARC for further processing.

397   Four parallel rounds of 2D classification were performed, using an initial classification

398   uncertainty value of 1, 2, 4 or 6. Subsequently, the well-defined spike classes were selected

399   from each 2D run and combined. Duplicate particles were then removed, after which 169,728

400   particles were retained. Ab initio reconstruction generated two classes corresponding to the

401   closed and 3-up spike trimer. Particles from these two classes were used as the input for a

402   second round of ab initio reconstruction which produced two classes corresponding to the 3-

403   up and 1-up spike trimer, although the latter appear to be a convolution of 1-up and closed

404   particles. These two volumes were then used as initial models for a round of heterogenous

405   refinement. To avoid missing spike particles which may have been removed during initial

406   stringent selection of 2D classes, heterogenous refinement was performed on a larger particle

407   stack of 895,888 particles, from which only carbon classes had been removed from the initial

408   stack. Heterogenous refinement produced two well-defined reconstructions of the 3-up and

409   1-up conformations. Particles corresponding to the 3-up class were subjected to a single round

410   of 2D classification and the clearly defined spike classes were selected. These were then re-

411   extracted in a 300-pixel box. During extraction, particles were Fourier binned by a non-integer

412   value, resulting in a final pixel size of 1.1067 Å. Subsequently, non-uniform refinement was

413   performed on the extracted particles with C3 symmetry imposed[38], yielding a reconstruction

414   with a global resolution of 3.7 Å. Because of the apparent heterogeneity in the 1-up sample,

415   an additional round of heterogenous refinement was performed on the 895,888-particle

416   stack, using higher quality initial models, namely the fully refined 3-up map and the 1-up map

417   obtained from the second round of ab initio reconstruction. Heterogenous refinement

418   produced well-defined reconstructions of the 3-up and 1-up conformations. Particles

419   corresponding to both classes were individually subjected to a single round of 2D classification

420   and the clearly defined spike classes were selected. These were then individually re-extracted

421   in a 300-pixel box. During extraction, particles were Fourier binned by a non-integer value,

422   resulting in a final pixel size of 1.1067 Å. Subsequently, non-uniform refinement was

423   performed on the extracted particles with C3 or C1 symmetry imposed, yielding

424   reconstructions with global resolutions of 3.56 and 4.13 Å for the 3-up and 1-up

425   conformations, respectively. After global refinement, a soft mask encompassing one S1^A

426   domain of the 3-up sample was made in UCSF Chimera. Local refinement was then performed

427   on the 3-up particles, yielding a map with a global resolution of 4.19 Å. The particles belonging

428   to the 1-up reconstruction were subjected to another round of heterogenous refinement,

429   which produced two clear reconstructions of the closed and 1-up spike. Non-uniform

430   refinement was performed on both sets of particles with C3 or C1 symmetry imposed, yielding

431   reconstructions with global resolutions of 3.68 and 4.68 Å for the closed and 1-up

432   conformations, respectively. For the closed spike, each particle from the C3 symmetry–

433   imposed reconstruction was assigned three orientations corresponding to its symmetry-

434   related views using the symmetry expansion job. A soft mask encompassing one S1^A domain

435   was made in UCSF Chimera[39], and the symmetry-expanded particles were subjected to

436   masked 3D variability analysis[40]. Local refinement was then performed on the particles

437   belonging to the best resolved cluster, yielding a map with a global resolution of 4.13 Å.

438   For the W89A mutant HKU1 spike incubated with disialoside, patch motion correction was

439   performed in MotionCor2[41], implemented through Relion version 3.1.1[42]. The motion

440   corrected micrographs were then imported into cryoSPARC for patch CTF estimation and

441   further processing steps[37]. The blob picker tool was used to select 215843 particles which

442   were then extracted in a 100-pixel box (Fourier binned 4 × 4). A single round of 2D

443   classification was performed, after which 38838 particles were retained. Ab initio

444   reconstruction generated one well-defined reconstruction of the closed HKU1 spike. Particles

445   belonging to this class were then re-extracted in a 300-pixel box. During extraction, particles

446   were Fourier binned by a non-integer value, resulting in a final pixel size of 1.2267 Å.

447   Subsequently, non-uniform refinement was then performed on the extracted particles with

448   C3 symmetry imposed[38], yielding a reconstruction with a global resolution of 5.1 Å.

449   Subsequently, each particle from the C3 symmetry–imposed reconstruction was assigned

450   three orientations corresponding to its symmetry-related views using the symmetry

451   expansion job. A soft mask encompassing one S1^A domain was then made in UCSF Chimera[39],

452   and used for local refinement of the expanded particles, yielding a map with a global

453   resolution of 5.4 Å.

454   The "Gold Standard" Fourier shell correlation (FSC) criterion (FSC = 0.143) was used for

455   calculating all resolution estimates, and 3D-FSC plots were generated in cryoSPARC[43]. To

456   facilitate model building, globally refined maps were sharpened using DeepEMhancer (version

457   0.13)[44], as implemented in COSMIC2 (ref. 54)[45], or filtered by local resolution in cryoSPARC.

458   <u>Modelling</u>

459   Initially, a Phyre2[46] generated homology model (template pdb 6NZK[17]; the embecovirus OC43

460   S) for HKU1-A S was rigid body fitted into the *apo* state cryo-EM map using UCSF Chimera[39]

461   "Fit in map". The crystal structure of HKU1-A S1^B (pdb 5KWB,[17]) was used to replace the

462   equivalent S1^B domain in the homology model due to clearly wrong homology modelling.

463   Models were refined by performing iterative cycles of manual model building using Coot[47] and

464   real space refinement using Phenix[48]. The Coot carbohydrate module[49] was used for building

465   N-linked glycans, which were manually inspected and corrected. The *apo* state was modelled

466   first, due to its highest resolution. Subsequently, the closed *holo*, the *holo* 3-up and the *holo*

467   1-up were modelled in that order, using previous models as a starting point. For the initial *holo*

468   (closed) S1^A model, Namdinator[50] was used for flexible fitting in a locally refined and

469   unsharpened map for the closed *holo* S1^A. Model validation was performed using

470   Molprobity[51].

471   Elbow[52] was used to generate ligand restraints for the 9-*O*-acetylated terminal sialic acid

472   based on the "MJJ" ligand in the OC43 S cryo-EM structure (PDB 6NZK[9]), after which atom

473   names were manually modified to be consistent with the earlier standard MJJ model and

474   general sialic acid atom numbering, and the O2-attached methyl linker atoms of the original

475   MJJ ligand were trimmed. Since there is no standard MJJ-SIA α2,8 linkage defined in currently

476   used software packages, we used MD-based restraints (see below) to model this glycosidic

477   linkage of the disialoside. The following restraints were used for the glycosidic linkage

478   between the terminal 9-*O* acetylated sialic acid (ligand code MJJ) and the penultimate sialic

479   acid (ligand code SIA) based on the most common solution conformer: bond distance C2-O8

480   of 1.38 Å (σ of 0.01 Å); bond angles of 109.5° for O8-C2-O6 and for O8-C2-C3, 114.5° for O8-

481   C2-C1 (all σ of 2.0°); dihedral angles of 295.0° for C1-C2-O8-C8 and of 122° for C2-O8-C8-C7

482   (both σ of 5.0°).

483   <u>Molecular dynamics simulations</u>

484   Starting structures of the molecular systems were built based on the cryo-EM structures of

485   HKU1 (this work) using the graphical interface of YASARA[53]. The N-glycans were attached to

486   the protein based on data from quantitative site-specific N-linked analysis of HKU1 S[29]. Models

487   of the complexes with α-Neu5Ac-(2-8)-α-Neu5Ac-OMe were build based on the *holo* and *apo*

488   versions of S1^A (residues 14-299). The ligand was positioned manually into the binding site

489   guided by interactions found in PDB entry 6NZK (hCoV-OC43). The HKU1 N1 sequence was

490   taken from GenBank entry NC_006577.2.

491   In general, the systems were solvated in 0.9% NaCl solution (0.15 M) and simulations were

492   performed at 310 K using periodic boundary conditions using the AMBER14 force field[54–56].

493   The box size was rescaled dynamically to maintain a water density of 0.996 g/ml. Simulations

494 were performed using YASARA with GPU acceleration in 'fast mode' (4 fs time step)[57] on

495 'standard computing boxes' equipped *e.g.* with one 12-core i9 CPU and NVIDIA GeForce GTX

496 1080 Ti.

497 The fully glycosylated ectodomain system (590814 atoms) was simulated for 250 ns with a

498 performance of about 4 ns/day. Molecular systems based on $S1^A$ only were smaller (approx.

499 32500-56200 atoms, depending on the size of the N-glycans attached) and were sampled for

500 an accumulated timescale of approx. 20 μs for the Caen1 sequence (*apo* + disialoside ligand,

501 5 μs, 6 simulations; *holo*, 15 μs, 27 simulations) and 52 μs for the N1 sequence (*apo*, 12 μs, 13

502 simulations; *apo* + disialoside ligand, 23 μs, 34 simulations; *holo*, 17 μs, 22 simulations) with

503 individual simulations reaching up to 1.6 μs. The performance was about 100-200 ns/day.

504 Distances shown in Fig. 5b were calculated from an example trajectory (Extended Data Fig.

505 25) between the following atoms: L28:O-S86:OG, P33:CG-F94:CA, T30:O-W89:NE1, S36:N-

506 F74:O, R34:O-D76:N. Conformational Analysis Tools (CAT, http://www.md-

507 simulations.de/CAT/) was used for analysis of trajectory data, general data processing and

508 generation of scientific plots. VMD[58] was used to generate molecular graphics.

509 <u>Analysis</u> <u>and</u> <u>visualization</u>

510 S interface areas were calculated using PDBePISA[59]. Surface colouring of HKU1-A S according

511 to sequence conservation was performed using Consurf[60] and visualised in UCSF ChimeraX [61].

512 The UCSF Chimera "MatchMaker" tool was used to obtain root mean square deviation values,

513 using default settings. Domain rotations were calculated with CCP4[62] Superpose [63]. Figures

514 were generated using UCSF ChimeraX[61] and biorender.com. Structural biology applications

515 used in this project were compiled and configured by SBGrid[64].

516

517 **Data availability**

518 The atomic models of the *apo*, *holo*, 1-up and 3-up HCoV-HKU1 spike have been deposited to

519 the Protein Data Bank under the accession codes 8OHN, 8OPM, 8OPN and 8O9-OPO. The

520 globally and locally refined cryo-EM maps have been deposited to the Electron Microscopy

521 Data Bank under the accession codes EMD-16882, EMD-17076, EMD-17077, EMD-17078,

522 EMD-17079, EMD-17080, EMD-17081, EMD-17082 and EMD-17083.

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Author contributions

YL, RJdG and DLH conceived the project; YL, MF and DLH designed the experiments; YL designed and cloned the protein constructs and carried out protein expression and purification; ID, ZL, FJMvK, JS, B-JB, G-JB and MF provided access to equipment and reagents; ID performed cryo-EM sample preparation and data collection; DLH processed the cryo-EM data; MFP and DLH built and refined the atomic models. MF carried our molecular dynamics simulations; MFP, RC, MF and DLH analysed and visualised the data; MFP, MF and DLH curated the data. RJdG and DLH supervised the project. MFP, RC, RJGH, RJdG and DLH carried out project administration. MFP, YL, RJGH, RJdG and DLH obtained funding. MFP, RC, RJGH, RJdG and DLH wrote the first draft of the manuscript. All authors contributed to reviewing and editing subsequent versions.

Competing interests

ID is an employee of Thermo Fisher Scientific and MF is an employee of Biognos AB. The remaining authors declare that they have no competing interests.