

A dual, catalytic role for the fission yeast Ccr4-Not complex in gene silencing and heterochromatin spreading

Drice Challal¹, Alexandra Menant¹, Can Goksal², Estelle Leroy¹, Bassem Al-Sady², Mathieu Rougemaille^{1,*}

¹Université Paris-Saclay, CEA, CNRS, Institute for Integrative Biology of the Cell (I2BC), 91198, Gif-sur-Yvette, France.

²Department of Microbiology & Immunology, George Williams Hooper Foundation, University of California San Francisco, San Francisco, California, United States of America.

* Corresponding author

14 E-mail: mathieu.rougemaille@i2bc.paris-saclay.fr (MR)

15 **Abstract**

16

17 Heterochromatic gene silencing relies on combinatorial control by specific histone
18 modifications, the occurrence of transcription, and/or RNA degradation. Once nucleated,
19 heterochromatin propagates within defined chromosomal regions and is maintained throughout
20 cell divisions to warrant proper genome expression and integrity. The fission yeast Ccr4-Not
21 complex has been involved in gene silencing, but its relative contribution to distinct
22 heterochromatin domains and its role in nucleation versus spreading have remained elusive.
23 Here, we unveil major functions for Ccr4-Not in silencing and heterochromatin spreading at
24 the mating type locus and subtelomeres. Mutations of the catalytic subunits Caf1 or Mot2,
25 involved in RNA deadenylation and protein ubiquitinylation respectively, result in impaired
26 propagation of H3K9me3 and massive accumulation of nucleation-distal heterochromatic
27 transcripts. Both silencing and spreading defects are suppressed upon disruption of the
28 heterochromatin antagonizing factor Epe1. Overall, our results position the Ccr4-Not complex
29 as a critical, dual regulator of heterochromatic gene silencing and spreading.

30 **Author Summary**

31

32 Eukaryotic genomes are partitioned into relaxed, gene-rich regions, and condensed,
33 gene-poor domains called heterochromatin. The maintenance of heterochromatin is crucial for
34 proper genome expression and integrity, and requires multiple factors regulating histone
35 modifications and/or the levels of RNA molecules produced from these regions. Such effectors
36 not only promote heterochromatin assembly but also ensure its propagation from specific
37 nucleation sites to defined domain boundaries. However, while the mechanisms involved in
38 initiation of heterochromatin formation have been well documented, the molecular and
39 biochemical properties underlying its spreading remain largely elusive. By combining genetic
40 and single-cell approaches, we report here that the fission yeast Ccr4-Not complex, a
41 multisubunit complex conserved throughout eukaryotes, is essential for efficient
42 heterochromatin spreading to repress expression of nucleation-distal RNAs. The two catalytic
43 activities of the complex, RNA deadenylation and protein ubiquitylation, are each critical,
44 thereby defining a dual enzymatic requirement in the process.

45 **Introduction**

46

47 Eukaryotic genomes organize into gene-rich, euchromatic regions and transcriptionally-
48 repressed heterochromatin domains. The assembly, maintenance and inheritance of
49 heterochromatin is essential for major biological processes, including gene expression,
50 chromosome segregation, genome stability and cell fate [1,2]. In the fission yeast
51 *Schizosaccharomyces pombe* (*S. pombe*), heterochromatin assembles at defined chromosomal
52 regions, including pericentromeric repeats, subtelomeric regions and the silent mating type
53 locus. These domains are enriched in nucleosomes methylated on histone H3 lysine 9
54 (H3K9me), a modification catalyzed by the sole Suv39 homolog Clr4 and bound by proteins of
55 the HP1 family [1]. Such structural components constitute a platform for the recruitment of
56 additional silencing and heterochromatin assembly complexes, including histone-remodeling
57 and modifying enzymes as well as the RNA interference (RNAi) machinery [3-6]. These
58 different effectors tightly cooperate in intricate, often inter-dependent regulatory pathways to
59 mediate transcriptional (TGS) and co- or *cis* post-transcriptional (C/*cis*-PTGS) gene silencing,
60 which ultimately restrict RNA polymerase II (RNAPII) accessibility and the accumulation of
61 heterochromatic transcripts, respectively. Heterochromatin also engages factors endowed with
62 anti-silencing functions, such as the H3K9me antagonizing protein Epe1 [7-12], and the
63 dynamic balance between opposite activities is believed to prevent spreading within adjacent
64 euchromatin and maintain heterochromatin throughout cell divisions [13-17].

65 Despite commonalities in core components of the silencing machinery, the mechanisms
66 that drive heterochromatin-based silencing substantially differ between loci: while RNAi is
67 essential at pericentromeres, it has little impact at telomeres and the mating type locus due to
68 functional redundancy with RNAi-independent mechanisms. The shelterin complex and the
69 CREB-family proteins Atf1/Pcr1 bind to specific *cis*-acting DNA sequences and independently

70 recruit Clr4 to nucleate heterochromatin at these regions [16,18-24]. The intrinsic capacities of
71 Clr4 to both recognize H3K9me and methylate adjacent nucleosomes define the molecular basis
72 of a “read-write” mechanism by which heterochromatin subsequently propagates throughout
73 entire domains [25-28]. Heterochromatin spreading from nucleation sites requires the Clr4-
74 mediated transition from H3K9me2 to H3K9me3, which is important for the switch to TGS
75 [25,26,29]. Despite these progresses, our understanding of the mechanisms underlying
76 heterochromatin propagation is still far from being complete.

77 Beyond RNAi, other RNA processing/degradation machineries contribute to
78 heterochromatic gene silencing [30-34]. Among these is the conserved, multifunctional Ccr4-
79 Not complex, which contains two catalytic modules: one formed by the RNA deadenylases
80 Ccr4 and Caf1 and another comprising the E3 ubiquitin ligase subunit Mot2/Not4 [35-38].
81 Previous studies in *S. pombe* showed that Ccr4-Not mediates deposition of H3K9me2 at rDNA
82 repeats, subtelomeric regions and a subset of meiotic genes [39,40]. The complex was also
83 found to act redundantly with the RNAi machinery to target chromatin-bound RNAs for
84 degradation and preserve heterochromatin integrity [34]. Recently, Ccr4-Not was involved in
85 the control of transcriptional efficiency by limiting the levels of RNAPII-associated
86 heterochromatic transcripts [41]. Though, the precise function and contribution of Ccr4-Not to
87 gene silencing, heterochromatin assembly and spreading remain unclear.

88 Here, we demonstrate that Ccr4-Not on its own is crucial for heterochromatic gene
89 silencing and spreading. Mechanistically, the deadenylation and ubiquitinylation activities of
90 the complex independently mediate gene repression, maintain heterochromatin integrity and
91 ensure its efficient propagation within the mating type locus and at subtelomeres. We further
92 show that these functions of the complex are antagonized by the jumonji protein Epe1.
93 Together, our findings unveil a dual, catalytic role for Ccr4-Not in gene silencing and

94 heterochromatin spreading, thereby highlighting its biological relevance to preserve genome

95 expression and integrity.

96 **Results**

97

98 **The Ccr4-Not complex mediates heterochromatic gene silencing at the mating type locus.**

99 To assess the role of the Ccr4-Not complex in heterochromatic gene silencing, we
100 generated strains carrying the *ura4+* reporter gene inserted at pericentromeric repeats
101 (otr1R::*ura4+*), subtelomeric regions (tel2L::*ura4+*) or the silent mating type cassette
102 (mat3M::*ura4+*) in which non-essential subunits (all but the scaffolding subunit Not1) were
103 deleted (**Fig 1A, 1B**). Gene silencing was probed by growing cells in the presence of 5FOA,
104 which counter-selects those expressing *ura4+*, and by measuring steady state *ura4+* mRNA
105 levels in RT-qPCR assays. A strain lacking the H3K9 methyltransferase Clr4, defective for
106 silencing at all heterochromatic domains, was assayed in parallel as an internal control. As
107 shown in **Fig 1C, 1D**, we observed a minor defect in pericentromeric silencing in the *caf1Δ*
108 mutant, while *mot2Δ* cells did not accumulate *ura4+* transcripts despite a partial sensitivity to
109 5FOA. These two mutants also exhibited a significant increase in *ura4+* mRNA levels when
110 expressed from subtelomeres, although this was considerably lower than that of cells lacking
111 Clr4 (about 15 to 20-fold compared to 500-fold) (**Fig 1E, 1F**). Consistent with these results and
112 previous observations [34,41], endogenous dg pericentromeric repeats and subtelomeric *tlh1+*
113 sequences were not or only marginally increased in *caf1Δ* and *mot2Δ* mutants (**S1A Fig**),
114 arguing against a major contribution of the Ccr4-Not complex *per se* at these loci.

115 Strikingly, however, silencing at the mating type locus was completely abolished in the
116 absence of Caf1 and Mot2, similar to *clr4Δ* cells, as revealed by the lack of cell growth on
117 5FOA-containing medium and the marked accumulation of *ura4+* transcripts (**Fig 1G, 1H**).
118 These results were corroborated using strains carrying the *ade6+* or *gfp+* reporter genes instead
119 of *ura4+* (i.e. mat3M::*ade6+* and mat3M::*gfp+*). Indeed, while pink/red colonies were observed
120 for the wt strain on adenine-limiting medium, reflecting *ade6+* silencing, *caf1Δ* and *mot2Δ* cells

121 formed white colonies, like the *clr4Δ* mutant, indicative of derepressed *ade6+* expression (**S1B**
122 **Fig**). Steady state *gfp+* mRNA levels were also strongly increased in these mutants, which
123 correlated with the accumulation of the Gfp protein itself, supporting that heterochromatic
124 transcripts are efficiently exported and translated in these different genetic backgrounds (**S1C**,
125 **S1D Fig**). We also detected intermediate phenotypes for the *ccr4Δ* mutant, pointing to a partial
126 contribution of this RNA deadenylase (**Figs 1G, 1H** and **S1B-D**). Moreover, endogenous
127 *matMc* transcripts strongly accumulated in *matP* cells lacking *Caf1* and *Mot2*, confirming the
128 requirement for these factors in suppressing the expression of heterochromatic RNAs produced
129 from the mating type locus (**S1E Fig**). We concluded from these experiments that the RNA
130 deadenylase *Caf1* and the E3 ubiquitin ligase *Mot2* subunits of the *Ccr4-Not* complex are major
131 regulators of heterochromatic gene silencing at the mating type locus.

132 Previous studies, including ours, showed that the *Ccr4-Not* complex tightly associates
133 with the RNA-binding protein *Mmi1* and its partner *Erh1* to promote facultative
134 heterochromatin assembly at meiotic genes and rDNA silencing [38-40,42-44]. We investigated
135 whether these factors also contribute to gene silencing at the mating type locus and found that
136 they were not required (**S1F, S1G Fig**). Hence, *Ccr4-Not* acts independently of *Mmi1* and *Erh1*.
137

138 **The *Ccr4-Not* complex impacts heterochromatin assembly at the mating type locus.**

139 We next sought to determine whether defective silencing at the mating type locus in
140 *Ccr4-Not* mutants is accompanied by an alteration in heterochromatin structural components.
141 ChIP experiments revealed that the absence of *Caf1* only modestly impacts H3K9me2 at
142 *mat3M::ura4+*, while the *mot2Δ* mutant exhibited a pronounced decrease, albeit lower than that
143 of *clr4Δ* cells (**Fig 2A**). We also assessed H3K9me3 levels and observed a further reduction in
144 both *caf1Δ* and *mot2Δ* cells when compared to the wt strain (**Fig 2B**). Importantly, these defects
145 were restricted to the *mat3M* locus, as H3K9me2/3 levels at pericentromeric dg repeats and

146 subtelomeric *tlh1*⁺ sequences remained similar to those detected in wt cells, with the exception
147 of a small H3K9me2 decrease at dg in the absence of Mot2 (**Fig 2A, 2B**). Total H3 levels were
148 similar in all strains (**S2A Fig**), excluding an indirect effect linked to nucleosome instability.
149 Since H3K9me2/3 constitutes a docking site for proteins of the HP1 family, we next analyzed
150 the recruitment of the chromodomain-containing RITS subunit Chp1 involved in RNAi [45,46].
151 Following a similar trend, Chp1 occupancy was reduced specifically at mat3M::*ura4*⁺ in Ccr4-
152 Not mutants (**Fig 2C**). Together, our results unveil a role for Caf1 and Mot2 in heterochromatin
153 assembly, which correlates with their requirement for gene silencing at the mating type locus
154 (**Fig 1G, 1H**). They further suggest that both factors operate differentially, Mot2 being more
155 critical for heterochromatin integrity.

156 Clr4-mediated heterochromatin assembly at centromeres and telomeres is essential to
157 limit RNAPII accessibility and hence trigger efficient TGS, whereas its impact on the
158 transcription machinery at the mating type locus is moderate, reflecting a predominance of
159 C/cis-PTGS [30]. In agreement with these notions, we found that RNAPII strongly accumulated
160 at dg and *tlh1*⁺ sequences but only modestly at mat3M::*ura4*⁺ in the absence of Clr4 (**Fig 2D**).
161 In *caf1*^Δ and *mot2*^Δ mutants, RNAPII levels increased similarly at mat3M but only marginally
162 at pericentromeric or subtelomeric loci when compared to *clr4*^Δ cells (**Fig 2D**), consistent with
163 the relative impact of Ccr4-Not in silencing/heterochromatin formation at these different
164 regions. We also assessed whether Caf1 and Mot2 themselves are recruited to the mating type
165 locus but failed to detect a significant enrichment, as opposed to the RITS component Chp1
166 (**S2B Fig**). Thus, Caf1 and Mot2 are not stably recruited to heterochromatin, which might
167 indicate that their association is too transient, as suggested by the weak physical interaction
168 between the Ccr4 subunit and Chp1 [39].

169

170 **The Ccr4-Not subunits Caf1 and Mot2 regulate heterochromatin spreading.**

171 The transition from H3K9me2 to H3K9me3 contributes to heterochromatin spreading
172 from nucleation sites [25,26,29]. Our findings that *caf1Δ* and *mot2Δ* cells predominantly affect
173 H3K9me3 at mat3M::ura4+ prompted us to examine their potential impact on heterochromatin
174 spreading. Intriguingly, ChIP experiments revealed a gradual decrease of H3K9me3 in Ccr4-
175 Not mutants relative to the wt strain, from the cenH nucleation center up to inverted repeats at
176 the right border (IR-R) of the mating type locus (**Fig 3A**). Consistent with the above results, the
177 effect was also more prominent in the absence of Mot2.

178 To substantiate these findings, we used our previously described fluorescent reporter-
179 based, single-cell spreading sensor assay [47,48], whereby three different fluorescent protein-
180 coding genes allow quantitative measurements of gene expression at nucleation-proximal
181 (“green”) and -distal (“orange”) heterochromatin sites, as well as at a euchromatic locus (“red”)
182 for signal normalization purposes (**Fig 3B**). Because heterochromatin at the mating type locus
183 can nucleate from two distinct regulatory DNA elements (i.e. cenH and REIII), we analyzed
184 strains in which the Atf1/Pcr1-binding sites within REIII are mutated (REIII_{mut}), thereby
185 allowing to record heterochromatin spreading (“orange”) from the sole cenH nucleation center
186 (“green”) (**Fig 3B**).

187 Flow cytometry analyses revealed that wt REIII_{mut} cells successfully nucleated
188 heterochromatin (“green”^{OFF}), which efficiently spread to the distal reporter (“orange”^{OFF}), as
189 indicated by the strong enrichment of cell populations in the bottom left part of the 2D density
190 squarebin plot (**Fig 3C**). A minor fraction of cells displayed some “orange” signal though,
191 reflecting a certain degree of stochasticity in the spreading process [47,49]. Upon complete loss
192 of heterochromatin and silencing (i.e. in *clr4Δ* cells), populations concentrated along the
193 diagonal, in the upper right part of the plot, consistent with both reporters being fully
194 derepressed (“green”^{ON} and “orange”^{ON}) (**Fig 3D**). Remarkably, Ccr4-Not mutants exhibited
195 radically different patterns, with a strong bias for “orange” versus “green” fluorescence overall

196 (Figs 3E, 3F and S3A-D). In the absence of Caf1, the majority of cells distributed within a
197 broad range of high “orange” and intermediate “green” signals (Figs 3E and S3A-B). This
198 indicates that the deadenylase strongly suppresses the expression of the nucleation-distal
199 reporter while only partially contributing to the silencing of the proximal locus. Of note, we
200 reproducibly observed a subpopulation in the bottom left part of the plot, indicating that some
201 cells maintained both reporters in the repressed state. Cells lacking Mot2 showed instead a
202 narrow, vertical distribution of fluorescence, covering a large range of high “orange” intensities
203 associated with low “green” signals (Figs 3F and S3C-D). Such pattern is reminiscent to what
204 previously observed for *bona fide* regulators of heterochromatin spreading [49]. Consistent with
205 these results, cenH proximal-transcripts were only partially increased in Ccr4-Not mutants
206 when compared to *clr4Δ* cells (S3E Fig), in striking contrast to distal matMc RNAs (S1E Fig).

207 Because the pericentromeric and subtelomeric reporter strains used in this study (i.e.
208 otr1R::ura4+ and tel2L::ura4+; Fig 1C-1F) carry the *ura4+* gene in proximity to nucleation
209 sites (i.e. dg and *tlh1+* sequences, respectively), we next investigated heterochromatin
210 propagation at these regions by ChIP. While H3K9me3 distribution remained similar to wt cells
211 at pericentromeres (S4A Fig), *caf1Δ* and *mot2Δ* mutants exhibited lower levels away from the
212 subtelomeric *tlh1+* gene (S4B Fig), consistent with former observations for H3K9me2 [34, 39].
213 Expression analyses further revealed that, relative to Ctr4, Caf1 had a more prominent role in
214 the suppression of distal subtelomeric transcripts (S4C Fig). The contribution of Mot2 followed
215 a similar tendency, although the most distal genes were instead downregulated in its absence,
216 likely due to additional effects impairing expression of these loci (S4C Fig).

217 Overall, our data establish critical, yet different roles for Caf1 and Mot2 in the
218 propagation of H3K9me3 and the repression of nucleation-distal transcripts, pointing to key
219 contributions of Ccr4-Not to heterochromatin spreading at the mating type locus and
220 subtelomeres.

221

222 **Importance of Caf1 and Mot2 catalytic activities in gene silencing and heterochromatin**
223 **spreading.**

224 To determine the mechanisms by which Caf1 and Mot2 mediate heterochromatic gene
225 silencing and spreading, we assessed the impact of mutants defective for their RNA
226 deadenylation and E3 ubiquitin ligase activities, which are carried by Ribonuclease H
227 superfamily and RING-type domains respectively (**Fig 4A**).

228 We first expressed a plasmid-borne, 2xFLAG-tagged version of Caf1^{D53A} mutant,
229 previously shown to impair RNA deadenylation [50], in *caf1Δ* mat3M::*ura4+* cells (**S5A Fig**).
230 Although we observed only a mild growth defect in the presence of 5FOA (**Fig 4B**), *ura4+*
231 mRNA levels were significantly increased, yet not as pronounced as *caf1+* deletion (**Fig 4C**).
232 This likely reflects the contribution of the second deadenylase Ccr4 (**Figs 1H and S1B-D**) [34],
233 which is physically tethered to the complex by Caf1 [51] and hence does not exert its function
234 in *caf1Δ* cells. Of note, a plasmid-borne, 2xFLAG-tagged wild type Caf1, did not allow to fully
235 restore growth on 5FOA nor low levels of *ura4+* transcripts in *caf1Δ* cells (**Fig 4B, 4C**),
236 indicating that ectopic expression of Caf1 also partially inhibits silencing. Overall, these results
237 indicate that the RNA deadenylation activity of Caf1 partially contributes to heterochromatic
238 gene silencing.

239 Next, we assessed the requirement for Mot2 catalytic activity following the same
240 strategy. Expression of a plasmid-borne Mot2^{RINGΔ} mutant in otherwise *mot2Δ* cells completely
241 abolished *ura4+* silencing, as determined by the lack of growth in the presence of 5FOA and
242 RT-qPCR analyses (**S5B, S5C Fig**). We further studied mutants in which key cysteine residues
243 in the RING domain were substituted by alanine (Mot2^{C37A}, Mot2^{C45A}) [42] and similarly
244 observed the absence of growth on 5FOA-containing medium as well as a strong accumulation
245 of *ura4+* mRNAs, akin to the *mot2Δ* strain (**Fig 4B, 4C**). Importantly, these phenotypes did not

246 result from a lowered expression of the mutant proteins (**S5D Fig**). Since the absence of Mot2
247 strongly impairs heterochromatin assembly at the mating type locus (**Fig 2A, 2B**), we also
248 investigated the impact of Mot2^{C37A} on H3K9me at this region. ChIP analyses revealed a
249 marked decrease in both H3K9me2 and H3K9me3 levels at mat3M::*ura4*+, similar to *mot2* Δ
250 cells (**Fig 4D**). We further assessed the distribution of H3K9me3 from the cenH nucleation
251 center to inverted repeats and reproducibly observed a progressive decrease (**Fig 4E**), strongly
252 suggesting a defect in the spreading process. Hence, we concluded that the E3 ubiquitin ligase
253 activity of Mot2 has a major role in gene silencing, heterochromatin assembly and spreading.

254

255 **The anti-silencing factor Epe1 opposes Ccr4-Not in gene silencing and heterochromatin**
256 **spreading.**

257 Previous studies showed that deletion of the JmjC domain-containing protein Epe1
258 suppresses silencing defects observed in mutants of the heterochromatin machinery [7,8,52-
259 55]. To determine whether Epe1 also opposes the function of Ccr4-Not, we constructed *caf1* Δ
260 *epe1* Δ and *mot2* Δ *epe1* Δ double mutants and assessed their ability to restore heterochromatic
261 gene silencing at the mating type locus. Interestingly, silencing defects in the absence of Caf1
262 and Mot2 were completely suppressed upon *epe1* $+$ deletion (**Fig 5A**). This was in marked
263 contrast to *clr4* Δ cells, consistent with the notion that H3K9me is required for Epe1 recruitment.
264 RT-qPCR assays and Northern blotting further confirmed that the absence of Epe1 restricts the
265 accumulation of *ura4* $+$ mRNAs in *caf1* Δ and *mot2* Δ , but not *clr4* Δ mutants (**Figs 5B and S6A**).
266 Likewise, defects in H3K9me2 and H3K9me3 in cells lacking Mot2 were alleviated upon loss
267 of Epe1 (**Fig 5C**), further indicating that the latter mediates the heterochromatin assembly
268 defects. These results also suggest that *caf1* Δ and *mot2* Δ cells maintain sufficient levels of
269 H3K9me to ensure Epe1 recruitment. Indeed, ChIP experiments revealed that the protein was

270 similarly enriched at mat3M::*ura4+* when compared to the wt strain (**Fig 5D**), and Western blot
271 analyses further excluded an alteration in Epe1 protein levels in the mutants (**S6B Fig**).

272 To determine the contribution of Epe1 to the heterochromatin spreading defects
273 observed in Ccr4-Not mutants, we next performed flow cytometry analyses in the *caf1Δ epe1Δ*
274 and *mot2Δ epe1Δ* strains as described above. In both cases, cell populations with high spreading
275 marker (“orange”) fluorescence were strongly diminished compared to single mutants, yet not
276 fully to wt levels (**Fig 5E, 5F**). In particular, *caf1Δ epe1Δ* populations still distributed over a
277 broad range of “orange” signals, while “green” fluorescence was comparable to what observed
278 in the wt. *mot2Δ epe1Δ* cells also displayed a pronounced reduction in “orange” and maintained
279 low levels of “green”. We concluded from these experiments that the loss of Epe1 strongly
280 limits the extent and penetrance of heterochromatin spreading defects in Ccr4-Not mutants,
281 akin to its effects on gene repression and heterochromatin formation.

282 To get additional insights into the mechanism by which it antagonizes Ccr4-Not, we
283 subsequently generated an Epe1 mutant in the JmjC domain (i.e. Epe1^{H297A}) that was inserted
284 at its endogenous locus and expressed from its own promoter (**S7A Fig**). Combining this allele
285 to the deletion of *caf1+* or *mot2+* fully restored cell growth in the presence of 5FOA and
286 suppressed the accumulation of *ura4+* mRNAs produced from the mat3M locus (**S7B, S7C**
287 **Fig**). We verified by Western blotting that the mutant protein was similarly expressed to the wt
288 version in the different genetic backgrounds (**S7D Fig**). Consistent with former work [56], ChIP
289 experiments further indicated that Epe1^{H297A} fails to be efficiently recruited to heterochromatin
290 (i.e. mat3M::*ura4+*) (**S7E Fig**), providing a rationale for the suppression of silencing defects in
291 *caf1Δ* and *mot2Δ* cells. Thus, the loss of heterochromatic gene silencing in mutants of the Ccr4-
292 Not complex strictly depends on the integrity of the Epe1 JmjC domain.

293 **Discussion**

294

295 In this study, we demonstrate that the Caf1 and Mot2 subunits of Ccr4-Not are two
296 major catalytic effectors of heterochromatic gene silencing and spreading. Our findings not
297 only go beyond former observations by establishing an essential role for the complex in
298 silencing at the mating type locus but also add another layer to its regulatory control, i.e. the
299 implication of its catalytic components in heterochromatin propagation.

300 The nuclease module of the Ccr4-Not complex comprises the Caf1 and Ccr4
301 deadenylases, the former anchoring the latter to the Not1 scaffolding subunit [51]. Consistent
302 with this and previous analyses implicating the nuclease activity of both enzymes in RNA
303 degradation and heterochromatic gene silencing [34,50], we found that i) catalytically inactive
304 Caf1 elicits weaker defects than its deletion and, ii) Ccr4 partially contributes to the gene
305 silencing activity. Caf1 and Ccr4 may thus act in concert onto polyadenylated, heterochromatic
306 RNAs, although it remains unclear whether they target common or individual transcripts. Both
307 enzymes may further promote the recruitment of additional RNA degradation factors important
308 for silencing activities, as previously suggested [34]. The fact that the heterologous
309 mat3M::*gfp*⁺ reporter is derepressed in the absence of Caf1 also makes it unlikely that defined
310 factor(s) tether the complex to heterochromatic transcripts in a sequence-dependent manner. It
311 is however possible that poly(A)-binding proteins and/or the Mot2 subunit itself, which carries
312 an RNA Recognition Motif, may recruit Ccr4-Not to promote deadenylation [57-59].
313 Alternatively, the complex may directly associate with the transcription machinery, as
314 illustrated by its interaction in budding yeast with RNAPII and the histone chaperone Spt6
315 [60,61], both of which also contribute to heterochromatic gene silencing in fission yeast [62-
316 65]. Regardless the precise modalities, the recruitment of Ccr4-Not deadenylases is essential to
317 clear RNAs from chromatin, thereby maintaining heterochromatin integrity (this study; [34]).

318 Our work also establishes a potent, catalytic role for the E3 ubiquitin ligase Mot2 in
319 gene silencing and heterochromatin assembly. A parsimonious model predicts that Mot2 may
320 target an anti-silencing factor for ubiquitylation-dependent proteasomal degradation, as
321 previously described for the E3 ligase Ddb1 that promotes Epe1 turnover and restricts its
322 accumulation within heterochromatin domains [52]. However, this factor is unlikely to be such
323 a substrate given its similar abundance and occupancy in wt and *mot2Δ* cells. Mot2 could
324 instead target other functionally-related factors, including the bromodomain-containing protein
325 Bdf2 and the histone acetyltransferase Mst2 [11,66,67], thereby shielding heterochromatin
326 from invading anti-silencing activities. Other scenarios include the modification of RNAPII
327 itself, perhaps to stimulate elongation on heterochromatin templates, akin to situations where
328 RNAPII transiently pauses or encounters transcriptional blocks [60,68-70]. Persistence of the
329 transcription machinery, as suggested by our analyses, and/or the accumulation of transcripts
330 in the vicinity of the DNA template may in turn alter heterochromatin structure [34].
331 Interestingly, Ccr4-Not components, including Mot2 and Caf1, were recently proposed to
332 regulate transcriptional efficiency at heterochromatic loci by limiting the levels of RNAPII-
333 associated transcripts [41]. The causal relationship between this process and silencing
334 efficiency (i.e. steady state RNA levels) remains however opaque.

335 Another critical aspect of our findings is the requirement for both Caf1 and Mot2 in
336 heterochromatin spreading. This is supported by several lines of evidence in mutant cells: i)
337 H3K9me3 is further reduced than H3K9me2 at the mat3M locus, consistent with a defect in the
338 transition between these two heterochromatin states, ii) propagation of H3K9me3 from
339 nucleation centers is impaired at the mating type locus and subtelomeres, iii) nucleation-distal
340 reporters/genes are further derepressed than proximal loci, and iv) the absence of Epe1, which
341 antagonizes heterochromatin spreading, suppresses the observed silencing and spreading
342 defects. Further supporting a role for Ccr4-Not in heterochromatin spreading, the Ccr4 and

343 Rcd1 subunits were also recently identified in our screen for modulators of spreading at the
344 mating type locus [49]. From a mechanistic perspective, however, the exact role of the complex
345 still needs to be elucidated. It is possible that elimination of RNAPII/chromatin-associated
346 transcripts by Ccr4 and Caf1 facilitates the transition from transcriptionally-permissive
347 H3K9me2 to H3K9me3 by Clr4 [29,34,41], thereby ensuring efficient spreading. Their minor
348 contribution to RNA degradation at nucleation centers (i.e. cenH and *tlh1*+) underlies functional
349 redundancy with RNAi [34], yet deadenylases may take over at distal loci due to the lack of
350 siRNA templates. As for Mot2, the enzyme could, beyond the scenarios evoked above, directly
351 control the activity of spreading regulators [49,55], possibly through non-proteolytic
352 ubiquitinylation. Future studies aimed at identifying the substrate of Mot2 will be instrumental
353 to understand the molecular basis of its involvement in heterochromatin spreading.

354 Despite reduced H3K9me2/3 levels, *caf1*Δ and *mot2*Δ cells maintain Epe1 occupancy
355 at the mat3M locus, suggesting that the balance between pro- and anti-silencing activities is
356 altered. Perhaps Epe1 facilitates heterochromatin transcription and/or histone turnover in these
357 genetic contexts [8,53,71], thereby accounting for the observed mutant phenotypes. Our data
358 showing that the protein opposes Ccr4-Not in a JmjC domain-dependent manner might also
359 indicate that failure to antagonize H3K9me allows restoring silencing and spreading activities.
360 However, the JmjC domain of Epe1 does not support H3K9 demethylase activity *in vitro* but is
361 instead crucial for a robust association with Swi6/HP1 *in vivo* [56,72]. It is therefore
362 conceivable that the disrupted interaction between Epe1^{H297A} and Swi6 is the main reason why
363 silencing is re-established in Ccr4-Not mutants. Indeed, Epe1^{H297A} is not properly recruited to
364 HP1-coated heterochromatin (this study; [56]), which may restrict anti-silencing activities and
365 hence ensure efficient H3K9me3 maintenance and spreading.

366 Heterochromatin domains are spatially segregated at the nuclear periphery within
367 distinct sub-compartments [73-75]. The nuclear envelope protein Amo1 was shown to anchor

368 the mating type locus and to stably associate with the Ccr4-Not complex [73], raising the
369 possibility that the latter may also regulate perinuclear sequestration of this heterochromatin
370 domain. Whether additional peripheral proteins may similarly interact with Ccr4-Not to co-
371 regulate telomere positioning remains an open question. Beyond these considerations, Amo1
372 cooperates with the rixosome and the histone chaperone FACT to mediate RNA degradation
373 and suppress histone turnover for proper silencing and epigenetic inheritance of
374 heterochromatin [73,76,77]. Intriguingly, Ccr4 was identified in a screen for factors involved
375 in heterochromatin inheritance and Caf1 was found to act in parallel to the rixosome for
376 degradation of heterochromatic RNAs [76], pointing to a tight relationship between these
377 machineries. Whether Ccr4-Not also partakes in histone turnover and epigenetic inheritance of
378 heterochromatin are fascinating possibilities requiring further investigation.

379 In conclusion, we demonstrate a fundamental role for the highly-conserved Ccr4-Not
380 complex in gene silencing, heterochromatin assembly and spreading, which implicates its Caf1
381 and Mot2 subunits. Our findings open new perspectives to dissect the deadenylation- and
382 ubiquitylation-dependent mechanisms involved and assess the functional and biological
383 relevance of such activities in disease-related models. The cooperation between human CCR4-
384 NOT and the H3K9me3-functionally linked HUSH complex in HIV repression provides a
385 meaningful example in this respect [78,79].

386 **Materials and Methods**

387

388 **Strains, media and plasmids**

389 The *S. pombe* strains used in this study are listed in **S1 Table**. Strains were generated by
390 transformation following a lithium acetate-based method or by random spore analysis (mating
391 and sporulation on Malt Extract) using complete medium (YE Broth, Formedium, #PMC0105)
392 supplemented with appropriate antibiotics. Experiments were performed in 1X YE
393 supplemented with 150 mg/L of adenine (Sigma, #A2786), L-histidine (Sigma, #H8000), L-
394 lysine (Sigma, #L5501), L-leucine (Sigma, #L8000) and uracile (Sigma, #U750) (YES), while
395 plasmids-containing strains were grown in 1X EMM-LEU-URA minimal medium (EMM-
396 LEU-URA Broth, Formedium, #PMD0810) supplemented with uracil (Sigma, #U750) (EMM-
397 LEU). For silencing assays, cells were grown until mid-log phase and plated on both non-
398 selective (YES or EMM-LEU) and selective (YES+5FOA, EMM-LEU+5FOA or YES low
399 ADE) media at an initial OD = 0.2 – 0.3 followed by 5-fold serial dilutions. Pictures were taken
400 after 3 to 4 days of growth at 30°C.

401 The plasmids used for gene cloning/editing are listed in **S2 Table**. To construct strains
402 expressing tagged versions of wt or mutant Epe1 from its genomic locus, we first deleted the
403 ORF with a cassette containing the hygromycin resistance marker (hph^RMX) fused to the herpes
404 simplex virus thymidine kinase-encoding gene (HSV-TK) from the pFA6a-HyTkAX vector
405 (Addgene plasmid # 73898; <http://n2t.net/addgene:73898>; RRID:Addgene_73898) [80]. The
406 resulting hph^R and TK-expressing *epe1* Δ strain was then transformed with a PCR product of
407 interest (i.e. Epe1-TAP or Epe1^{H297A}-TAP) carrying homology with the promoter and
408 terminator regions. Positive integrants were selected in the presence of 5-fluoro-2'-
409 desoxyuridine, which counter-selects cells expressing TK, and became again hygromycin-
410 sensitive due to cassette pop-out.

411

412 **RNA extraction**

413 Total RNAs were extracted from 4 mL of yeast cells at OD=0.8-1.0. Following centrifugation,
414 cells were washed in water and frozen in liquid nitrogen. Cell pellets were resuspended in 1
415 volume of TES buffer (10 mM Tris-HCl pH7.5, 5mM EDTA, 1% SDS) and 1 volume of acid
416 phenol solution pH4.3 (Sigma, #P4682), and incubated for 1 hour at 65°C in a thermomixer
417 with shaking. After centrifugation, the aqueous phase was recovered and 1 volume of
418 chloroform (ThermoFisher Scientific, #383760010) was added. Samples were vortexed and
419 centrifugated followed by ethanol precipitation in the presence of 200 mM of lithium acetate.
420 Pellets were resuspended in water and treated with DNase (Ambion, #AM1906). RNA
421 concentrations were measured with a Nanodrop.

422

423 **Reverse Transcription and real-time PCR (RT-qPCR)**

424 2 µg of DNase-treated RNAs were denatured at 65°C for 5 minutes in the presence of strand-
425 specific primers or a mix of random hexamers (ThermoFisher Scientific, #SO142) and oligodT.
426 Reactions were carried out with 100 units Maxima Reverse Transcriptase (ThermoFisher
427 Scientific, #EP0743) at 50°C for 30 minutes. The enzyme was then denatured at 85°C for 5
428 minutes, and reactions diluted to 1:10 ratio. Each experiment included negative controls without
429 Reverse Transcriptase. Samples were quantified by qPCR with SYBR Green Master Mix and
430 a LightCycler LC480 apparatus (Roche). Measurements were statistically compared using two-
431 tailed t-tests with the following p-value cut-offs for significance: 0.05>*>0.01; 0.01>**>0.001;
432 ***<0.001. Oligonucleotides used in qPCR reactions are listed in **S3 Table**.

433

434 **Chromatin Immunoprecipitation and real-time PCR (ChIP-qPCR)**

435 40 to 50 mL yeast cultures were grown in appropriate medium until OD=0.8-1.0. Crosslinking
436 was performed by adding 1% formaldehyde (Sigma, #F8775) for 20 min at 30°C on the shaker
437 and the reaction was quenched with 250 mM glycine (Sigma, #G7126) for 5 min at room
438 temperature. Cells were washed with 1X ice-cold PBS, harvested by centrifugation and frozen
439 in liquid nitrogen. Pellets were resuspended in 500 µL 150 mM FA buffer (50 mM Hepes-KOH
440 pH7.5, 150 mM NaCl, 1 mM EDTA, 1% Triton X-100, 0.1% Na deoxycholate) in 2 mL screw-
441 cap tubes. 1 mL of ice-cold, acid-washed glass beads (Sigma, #G8772) was added, and cells
442 were lysed by 6 cycles of 40 sec at 6000 rpm using a FastPrep-24 5G apparatus (MP). Following
443 centrifugation, pellets were resuspended in 150 mM FA buffer and sonicated for 6 cycles of 30
444 sec at 40% amplitude using a tip probe VibraCell sonifier (Bioblock Scientific). Chromatin
445 extracts were then cleared by centrifugation for 15 min at 14000 rpm at 4°C. 100 µL was set
446 aside as the input control and 200 to 400 µL aliquots were typically used for
447 immunoprecipitations. 1 to 2 µL antibodies against total H3 (Abcam, ab1791), H3K9me2
448 (Abcam, ab1220) and H3K9me3 (Abcam, ab8898) were added to the lysates and samples were
449 incubated at 4°C on a wheel for 2 hours or over-night. 4 µL pre-washed ProteinA or ProteinG
450 Dynabeads (Invitrogen, #10001D or #10003D) were next added and samples were incubated
451 for additional 2 hours at 4°C. For immunoprecipitation of TAP or HTP-tagged proteins (Chp1-
452 TAP, Rpb1-HTP and Epe1-TAP), 4 µL of pre-washed rabbit IgG-conjugated M-270 Epoxy
453 Dynabeads (Invitrogen, #14311D) were added and lysates were incubated on a wheel for 1 hour
454 at 4°C. Magnetic beads were then washed at room temperature twice with 150 mM FA buffer,
455 twice with 500 mM FA buffer, once with wash buffer (10 mM Tris-HCl pH8, 0.25 M LiCl,
456 1mM EDTA, 0.5% NP-40, 0.5% Na deoxycholate) and once with TE buffer (10 mM Tris-HCl
457 pH8, 1 mM EDTA). DNA was then eluted with 100 µL ChIP Elution buffer (50 mM Tris pH7.5,
458 10 mM EDTA, 1% SDS) for 20 min at 80°C in a Thermomixer set to 1400 rpm. 20 µg
459 proteinase K (Euromedex, #09-911) were added to the input and IP samples for 30 min at 37°C

460 prior to over-night decrosslinking at 65°C. The following day, 1 µL RNaseA/T1 mix (Thermo
461 Scientific, #EN0551) was added for 30 min at 37°C and DNA was purified using NucleoSpin
462 Gel and PCR Clean-Up columns (Macherey-Nagel, #740609.250) according to the
463 manufacturer's instructions. Samples were quantified by qPCR with SYBR Green Master Mix
464 and a LightCycler LC480 apparatus (Roche). Measurements were statistically compared using
465 two-tailed t-tests with the following p-value cut-offs for significance: 0.05>*>0.01;
466 0.01>**>0.001; ***<0.001. Oligonucleotides used in qPCR reactions are listed in **S3 Table**.

467

468 **Total protein analyses**

469 Total proteins were extracted from cell pellets corresponding to 2 to 5 ODs, as described in
470 [42]. Cell lysis was performed on ice using 0.3M NaOH and 1% beta-mercaptoethanol prior to
471 protein precipitation with trichloroacetic acid (TCA) (7% final). Following full speed
472 centrifugation, pellets were resuspended in HU loading buffer and heat-denatured at 70°C.
473 Soluble fractions were recovered, and samples were analyzed by standard immunoblotting
474 procedures using 1:3000 peroxidase-conjugated antiperoxidase (PAP, to detect protein-A-
475 tagged proteins) (Sigma, #P1291, RRID:AB_1079562), 1:3000 monoclonal anti-FLAG
476 antibody (Sigma, #F3165, RRID:AB_259529), 1:3000 anti-CDC2 antibody (Abcam, #ab5467,
477 RRID:AB_2074778), 1:1000 anti-GFP antibody (Roche, # 11814460001, RRID: AB_390913)
478 and 1:5000 goat anti-mouse IgG-HRP (Santa Cruz Biotechnology, #sc-2005,
479 RRID:AB_631736). Detection was done with SuperSignal West Pico Chemiluminescent
480 Substrate (ThermoFisher Scientific, #34080), ECL Select reagent (GE Healthcare, #RPN2235),
481 and a Vilber Lourmat Fusion Fx7 imager or a ChemiDoc Touch Imaging System (BIORAD).

482

483 **Northern blotting**

484 10 µg RNAs were separated on a 1.2% agarose gel and transferred overnight by capillarity on
485 a nylon membrane (GE Healthcare, #RPN203B). RNAs were then UV-crosslinked to the
486 membrane using a Stratalinker apparatus. A radiolabeled PCR probe was prepared by random
487 priming (GE Healthcare, Megaprime kit) using α -P³² dCTP and incubated with the membrane
488 in commercial buffer (Ambion, UltraHyb). Following washes, the membrane was exposed for
489 24 hours and revelation was performed using a Typhoon phosphoimager. Oligonucleotides used
490 to generate the probe are listed in **S3 Table**.

491

492 **Flow cytometry data collection and normalization for validation**

493 Cells were struck out in YES, grown in liquid YES overnight to saturation and then diluted 1:15
494 prior to further growth in liquid YES up to mid log phase. Flow analysis was performed on a
495 LSR Fortessa X50 (BD Biosciences) and color compensation, analysis and plotting were
496 performed as described previously [49].

497 **Data Availability**

498

499 All the raw data allowing to generate the final results presented here are available in supporting

500 information as a separate excel file “Challal D 2023 Raw Data”.

501 The R script and primary fcs files used to establish flow cytometry data have been deposited in

502 Zenodo (doi: 10.5281/zenodo.7611852).

503

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505

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744 **Figure captions**

745

746 **Fig 1. The Ccr4-Not complex mediates heterochromatic gene silencing at the mating type**

747 **locus. A.** Scheme of the different heterochromatin domains with the position of the *ura4*+

748 reporter gene. Red rectangles define heterochromatin regions. **B.** Scheme illustrating the

749 different subunits of the core Ccr4-Not complex. **C., E., G.** 5-fold serial diluted silencing assays

750 using the *ura4*+ reporter gene inserted at pericentromeric repeats (otr1R::*ura4*), subtelomeric

751 regions (tel2L::*ura4*+) and the mating type locus (mat3M::*ura4*). Cells of the indicated

752 genotypes were plated on both non-selective (YES) and 5FOA-containing (YES+5FOA)

753 media. Defects in heterochromatic gene silencing result in sensitivity to FOA, which counter-

754 selects cells expressing *ura4*. **D., F., H.** RT-qPCR analyses of *ura4*+ transcripts (mean±SD;

755 n=3; normalized to *act1*; relative to wt) produced from the different heterochromatic loci in

756 cells of the indicated genetic background. Two-tailed Student's *t*-tests were used to calculate *p*-

757 values. Individual data points are represented by black circles.

758

759 **Fig 2. The Ccr4-Not complex impacts heterochromatin assembly at the mating type locus.**

760 **A.-D.** ChIP-qPCR analyses (% input; mean±SD; n=4) of the indicated histone modifications

761 and tagged proteins in mat3M::*ura4* strains. Shown are the enrichments of *ura4*, *dg*

762 pericentromeric repeats, the *tlh1* subtelomeric locus and *act1* upon immunoprecipitation

763 with H3K9me2 (A.), H3K9me3 (B.) antibodies or rabbit IgG (C., D.). Immunoprecipitations

764 without antibodies (no Ab) or from untagged strains were performed to determine background

765 levels. In D., “no Ab” values represent the mean of two independent analyses (n=2). Two-tailed

766 Student's *t*-tests were used to calculate *p*-values. Individual data points are represented by black

767 circles.

768

769 **Fig 3. The Ccr4-Not subunits Caf1 and Mot2 regulate heterochromatin spreading. A.**
770 H3K9me3 ChIP-qPCR analyses (% input; mean \pm SD; n=5) in cells of the indicated genetic
771 backgrounds. Numbers correspond to the different primer pairs used in qPCR reactions and
772 whose localization is indicated on the scheme below the graph. Immunoprecipitations without
773 antibodies (no Ab) were performed to determine background levels. Two-tailed Student's *t*-
774 tests were used to calculate *p*-values. Individual data points are represented by black circles. **B.**
775 Scheme depicting the heterochromatin spreading sensor with the relative positions of the
776 "green", "orange" and "red" reporters. **C.-F.** Two-dimensional-density squarebin plots showing
777 the red-normalized green and orange fluorescence for wt (**C.**), *clr4Δ* (**D.**), *caf1Δ* (**E.**) and *mot2Δ*
778 (**F.**) REIII_{mut} cells grown at 32°C. A density bar represents the fraction of the most dense bin.
779 One representative isolate is shown for each background.

780

781 **Fig 4. Importance of Caf1 and Mot2 catalytic activities in gene silencing and**
782 **heterochromatin spreading. A.** Domain organization of Caf1 and Mot2 proteins. **B.** 5-fold
783 serial diluted silencing assay using the *ura4+* reporter gene inserted at the mating type locus
784 (mat3M::*ura4+*). Cells of the indicated genotypes were plated on both non-selective (EMM-
785 LEU) and 5FOA-containing (EMM-LEU+5FOA) media. **C.** RT-qPCR analyses of *ura4+*
786 transcripts (mean \pm SD; n=3; normalized to *act1+*; relative to wt pREP41) in cells of the
787 indicated genetic backgrounds. **D., E.** H3K9me2 and H3K9me3 ChIP-qPCR analyses (% input;
788 mean \pm SD; n=4 or 3) in cells of the indicated genetic backgrounds. Immunoprecipitations
789 without antibodies (no Ab) were performed to determine background levels. In **E.**, numbers
790 correspond to the different primer pairs used in qPCR reactions and whose localization is
791 indicated on the scheme below the graph. **C.-E.** Two-tailed Student's *t*-tests were used to
792 calculate *p*-values. Individual data points are represented by black circles.

793

794 **Fig 5. The anti-silencing factor Epe1 opposes Ccr4-Not in gene silencing and**
795 **heterochromatin spreading.** **A.** 5-fold serial diluted silencing assays using the *ura4*⁺ reporter
796 gene inserted at the mating type locus (mat3M::*ura4*⁺). Cells of the indicated genotypes were
797 plated on both non-selective (YES) and 5FOA-containing (YES+5FOA) media. **B.** RT-qPCR
798 analyses of *ura4*⁺ transcripts (mean±SD; n=3; normalized to *act1*⁺; relative to wt) in cells of
799 the indicated genetic backgrounds. **C.** H3K9me2 and H3K9me3 ChIP-qPCR analyses (% input;
800 mean±SD; n=4) in cells of the indicated genetic backgrounds. **D.** Epe1-TAP ChIP-qPCR
801 analyses (% input; mean±SD; n=4) in cells of the indicated genetic backgrounds. An untagged
802 strain was used as negative control. **B.-D.** Two-tailed Student's *t*-tests were used to calculate *p*-
803 values. Individual data points are represented by black circles. **E., F.** Two-dimensional-density
804 squarebin plots showing the red-normalized green and orange fluorescence for *caf1*^Δ *epe1*^Δ
805 (E.) and *mot2*^Δ *epe1*^Δ (F.) REIII_{mut} cells grown at 32°C. A density bar represents the fraction
806 of the most dense bin. One representative isolate is shown for each background.

807 **Supporting information captions**

808

809 **S1 Fig (related to Fig 1). The Ccr4-Not complex mediates heterochromatic gene silencing**

810 **at the mating type locus. A., C., E., G.** RT-qPCR analyses of *dg*, *tlh1+* (A.), *gfp+* (C.), *matMc*

811 (E.) and *ura4+* (G.) transcripts (mean±SD; n=3; normalized to *act1+*; relative to wt) in cells of

812 the indicated genetic backgrounds. Two-tailed Student's *t*-tests were used to calculate *p*-values.

813 Individual data points are represented by black circles. **B., F.** 5-fold serial diluted silencing

814 assays using the *ade6+* (B.) or *ura4+* (F.) reporter genes inserted at the mating type locus

815 (mat3M::*ade6+*; mat3M::*ura4+*). Cells of the indicated genotypes were plated on both non-

816 selective (YES) and selective (YES low ADE or YES+5FOA) media. Defects in

817 heterochromatic gene silencing result in the formation of white colonies (mat3M::*ade6+*) (B.)

818 or sensitivity to 5FOA (mat3M::*ura4+*) (F.). In F., *mmi1Δ* cells were also deleted for *mei4+*,

819 since the absence of Mmi1 leads to major growth defects due to the ectopic expression of the

820 meiosis-specific transcription factor Mei4. The mutants of interest were constructed in a *mei4Δ*

821 background for direct comparison. **D.** Western blot showing total Gfp levels in mat3M::*gfp+*

822 cells of the indicated genetic backgrounds. Anti-CDC2 antibody was used as loading control.

823

824 **S2 Fig (related to Fig 2). The Ccr4-Not complex impacts heterochromatin assembly at the**

825 **mating type locus. A., B.** ChIP-qPCR analyses (% input; mean±SD; n=4 or 3) of histone H3

826 (A.) and TAP-tagged proteins (B.) in cells of the indicated genetic backgrounds.

827 Immunoprecipitations without antibodies (no Ab) or from untagged strains were performed to

828 determine background levels. Shown are the enrichments of *ura4+*, *dg* repeats, *tlh1+* and *act1+*

829 upon immunoprecipitation with H3 antibody (A.) or rabbit IgG (B.). Individual data points are

830 represented by black circles.

831

832 **S3 Fig (related to Fig 3). The Ccr4-Not subunits Caf1 and Mot2 regulate heterochromatin**
833 **spreading at the mating type locus. A.-D.** Two-dimensional-density squarebin plots showing
834 the red-normalized green and orange fluorescence for *caf1Δ* (A., B.) and *mot2Δ* (C., D.)
835 REIII_{mut} cells grown at 32°C. A density bar represents the fraction of the most dense bin. Panels
836 correspond to the second and third isolates for both backgrounds. **E.** RT-qPCR analyses of
837 *cenH* transcripts (mean±SD; n=3; normalized to *act1*+/−; relative to wt) in cells of the indicated
838 genetic backgrounds. Two-tailed Student's *t*-tests were used to calculate *p*-values. Individual
839 data points are represented by black circles.

840

841 **S4 Fig. Caf1 and Mot2 impact heterochromatin spreading at subtelomeres but not**
842 **centromeres. A., B.** H3K9me3 ChIP-qPCR analyses (% input; mean±SD; n=3) in cells of the
843 indicated genetic backgrounds. Immunoprecipitations without antibodies (no Ab) were
844 performed to determine background levels. **C.** RT-qPCR analyses of subtelomeric transcripts
845 (mean±SD; n=3; normalized to *act1*+/−; relative to wt) in cells of the indicated genetic
846 backgrounds. **A.-C.** Numbers correspond to the different primer pairs used in qPCR reactions
847 and whose localization is indicated on the scheme below each graph. Two-tailed Student's *t*-
848 tests were used to calculate *p*-values. Individual data points are represented by black circles. In
849 the scheme in **A.**, vertical black lines in the imr1R region represent tRNA genes that delimit
850 heterochromatin boundaries, beyond which H3K9me3 is not enriched.

851

852 **S5 Fig (related to Fig 4). Importance of Caf1 and Mot2 catalytic activities in gene silencing**
853 **and heterochromatin spreading. A., D.** Western blots showing total 2xFLAG-tagged wild
854 type or mutant Caf1 (A.) and Mot2 (D.) expressed from the pREP41 vector. Anti-CDC2
855 antibody was used as loading control. **B.** 5-fold serial diluted silencing assay using the *ura4*+
856 reporter gene inserted at the mating type locus (mat3M::*ura4*+). Cells of the indicated

857 genotypes were plated on both non-selective (EMM-LEU) and 5FOA-containing (EMM-
858 LEU+5FOA) media. **C.** RT-qPCR analyses of *ura4*⁺ transcripts (mean±SD; n=4; normalized
859 to *act1*⁺; relative to wt pREP41) in cells of the indicated genetic backgrounds. Two-tailed
860 Student's *t*-tests were used to calculate *p*-values. Individual data points are represented by black
861 circles.

862

863 **S6 Fig (related to Fig 5). The anti-silencing factor Epe1 opposes Ccr4-Not in gene silencing**
864 **and heterochromatin spreading.** **A.** Northern blot showing *ura4*⁺ mRNA levels from total
865 RNA samples in the indicated genetic backgrounds (mat3M::*ura4*⁺). The PCR probe
866 overlapping the 3' end of *ura4*⁺ also detects the endogenous *ura4-DS/E* mini-gene. BET-
867 stained ribosomal RNAs serve as a loading control. **B.** Western blot showing total TAP-tagged
868 Epe1 in the indicated genetic backgrounds. Anti-CDC2 antibody was used as loading control.
869 **C.-F.** Two-dimensional-density squarebin plots showing the red-normalized green and orange
870 fluorescence for *caf1*^Δ *epe1*^Δ (**C.**, **D.**) and *mot2*^Δ *epe1*^Δ (**E.**, **F.**) REIII_{mut} cells grown at 32°C.
871 A density bar represents the fraction of the most dense bin. Panels correspond to the second and
872 third isolates for both backgrounds.

873

874 **S7 Fig. Mutation of the Epe1 jumonji domain suppresses silencing defects in *caf1*^Δ and**
875 ***mot2*^Δ cells.** **A.** Domain organization of the Epe1 protein. **B.** 5-fold serial diluted silencing
876 assay using the *ura4*⁺ reporter gene inserted at the mating type locus (mat3M::*ura4*⁺). Cells of
877 the indicated genotypes were plated on both non-selective (YES) and 5FOA-containing
878 (YES+5FOA) media. **C.** RT-qPCR analyses of *ura4*⁺ transcripts (mean±SD; n=4; normalized
879 to *act1*⁺; relative to wt) in cells of the indicated genetic backgrounds. **D.** Western blot showing
880 total wild type or H297A TAP-tagged Epe1 in the indicated genetic backgrounds. Anti-CDC2
881 antibody was used as loading control. **E.** ChIP-qPCR analyses (% input; mean±SD; n=4) of the

882 indicated strains in the mat3M::*ura4+* background. Immunoprecipitations without rabbit IgG
883 (no Ab) were performed to determine background levels. **C., E.** Two-tailed Student's *t*-tests
884 were used to calculate *p*-values. Individual data points are represented by black circles.

885

886 **S1 Table. *S. pombe* strains used in this study.**

887

888 **S2 Table. Plasmids used in this study.**

889

890 **S3 Table. Oligonucleotides used in this study.**

891

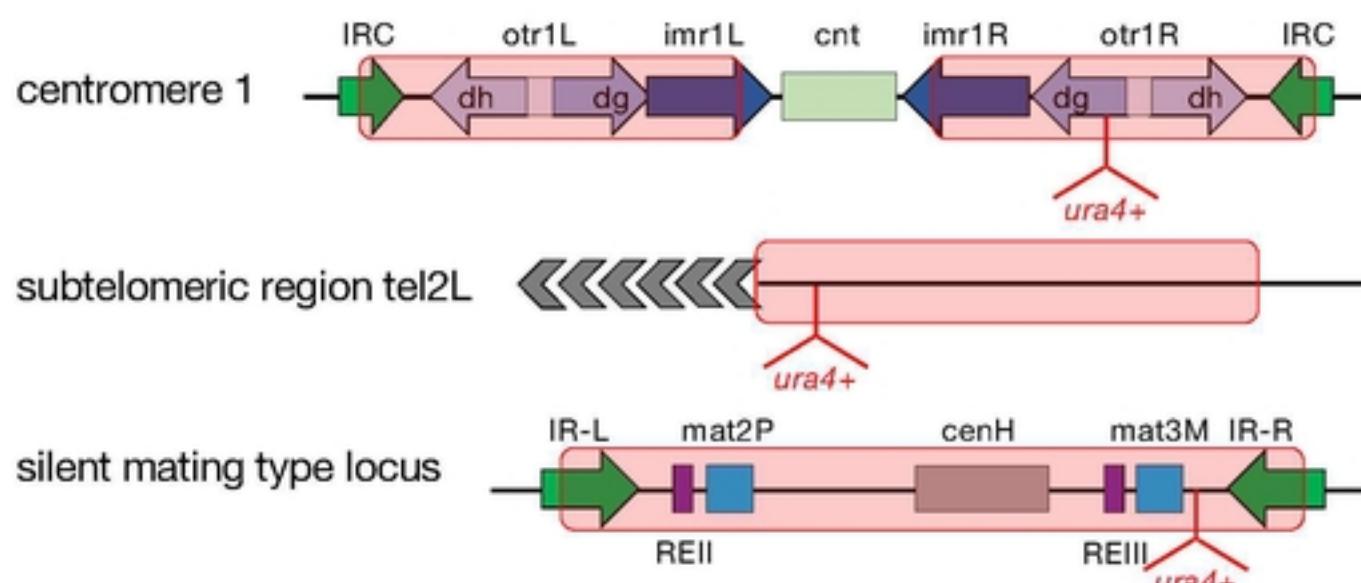
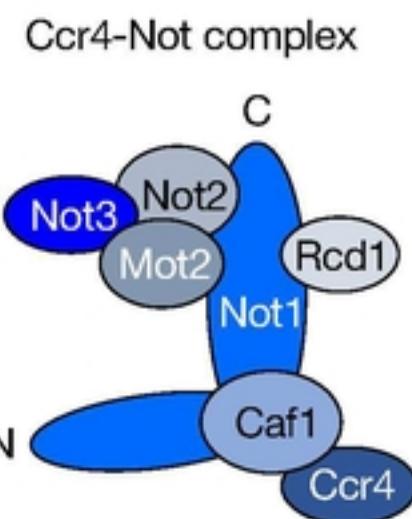
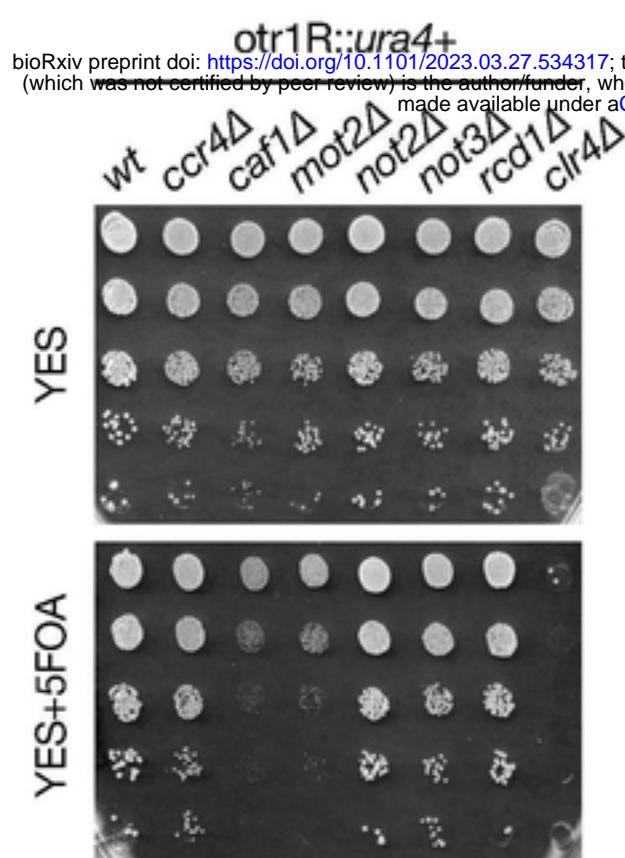
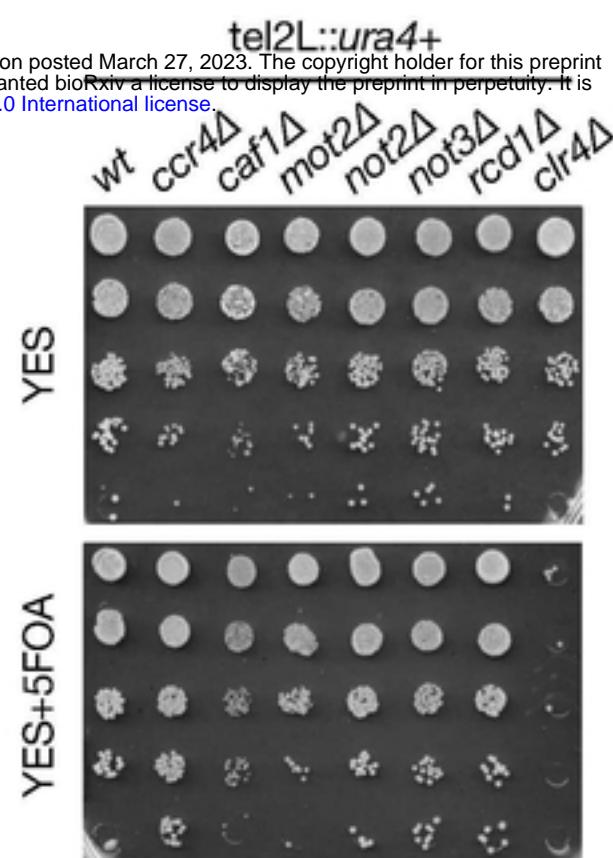
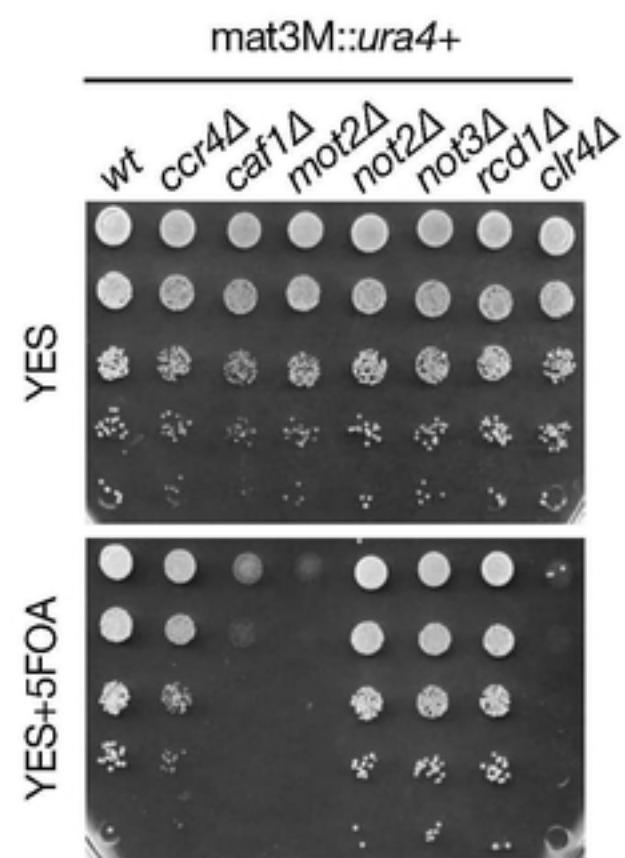
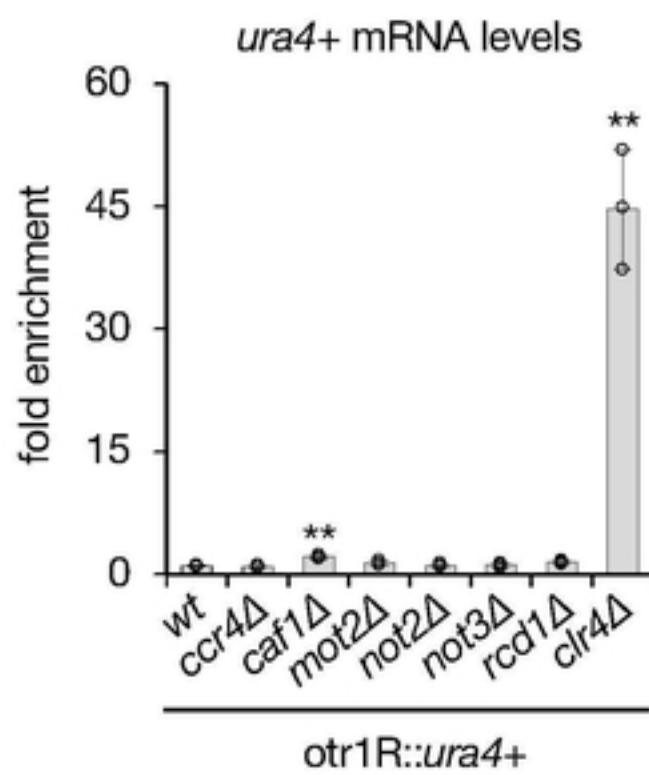
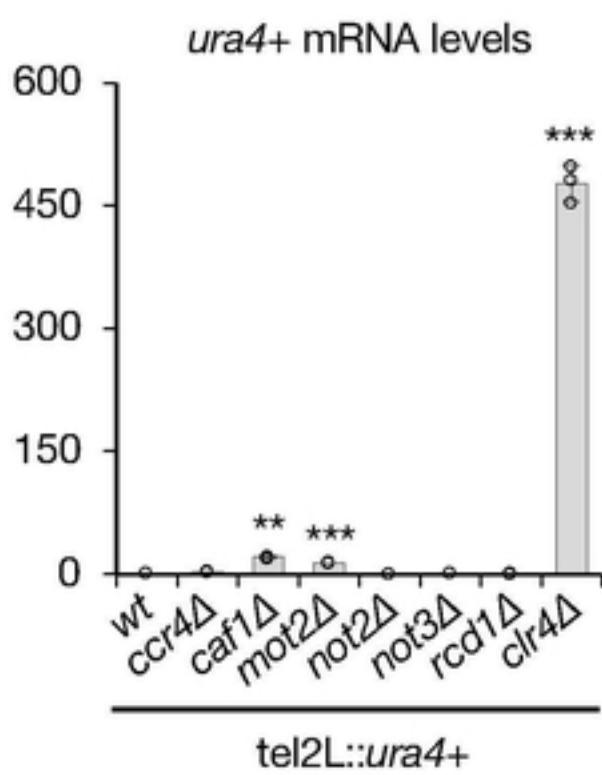
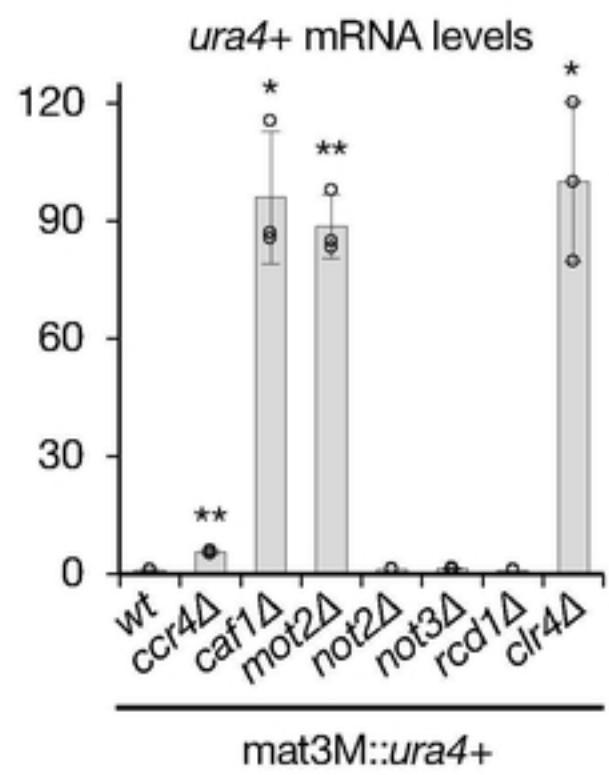
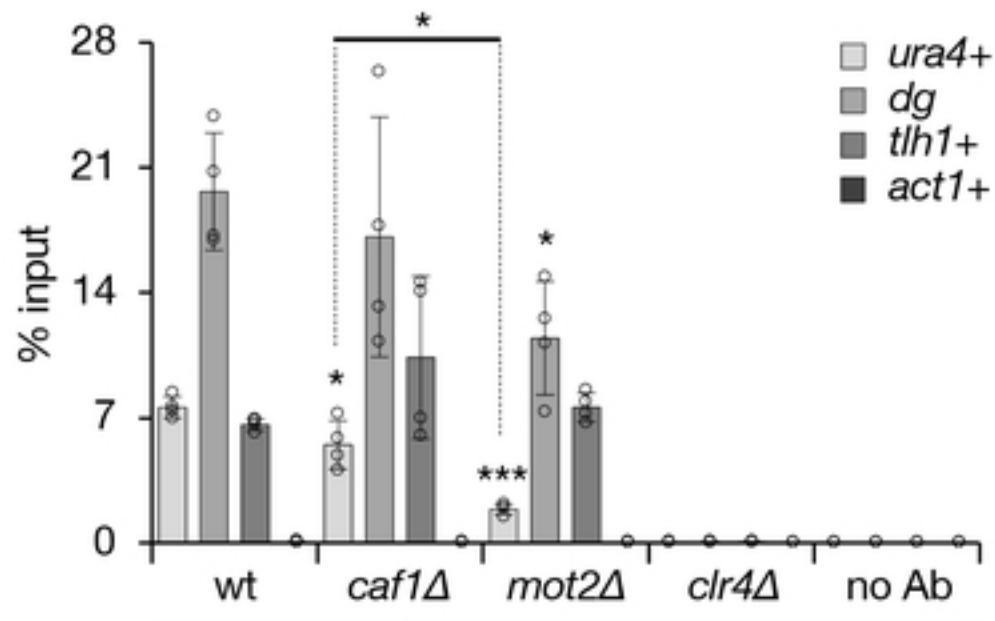
A**B****C****E****G****D****F****H**

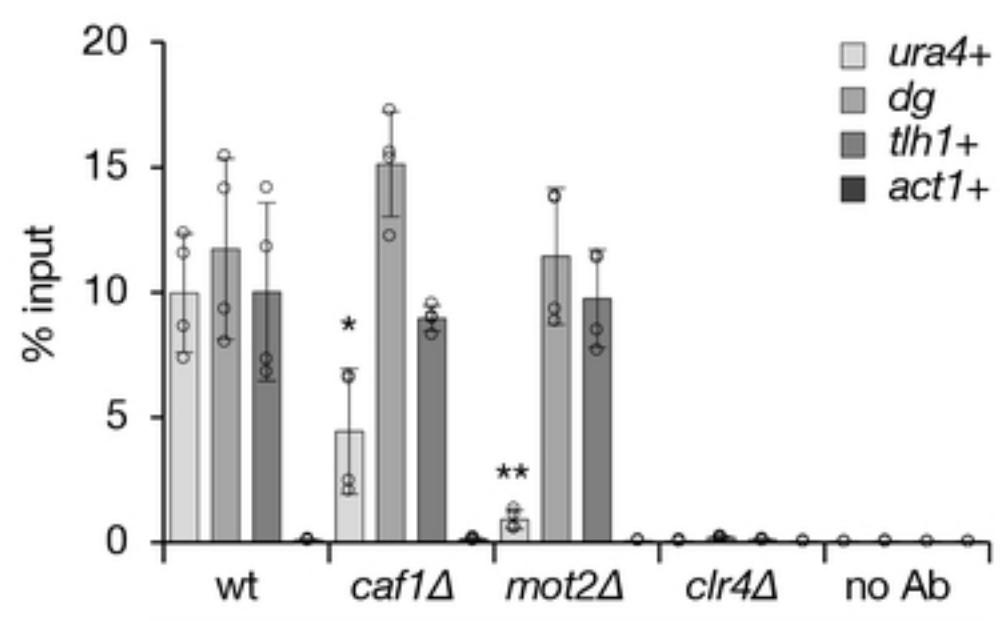
Fig 1. The Ccr4-Not complex mediates heterochromatic gene silencing at the mating type locus.

A B

H3K9me2 levels



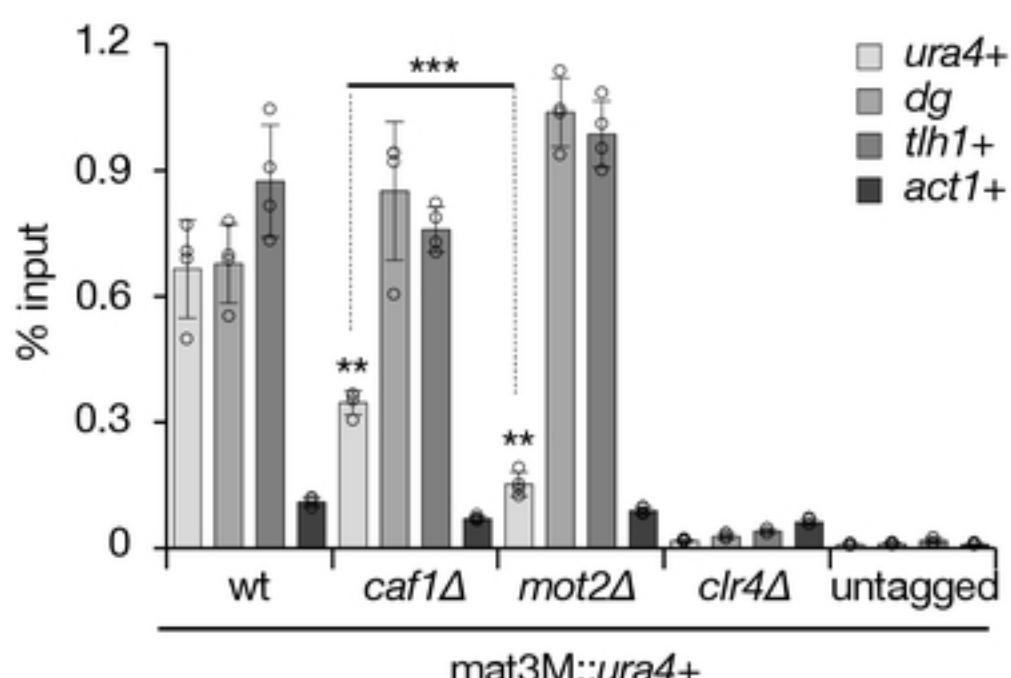
H3K9me3 levels



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C D

Chp1-TAP levels



Rpb1-HTP levels

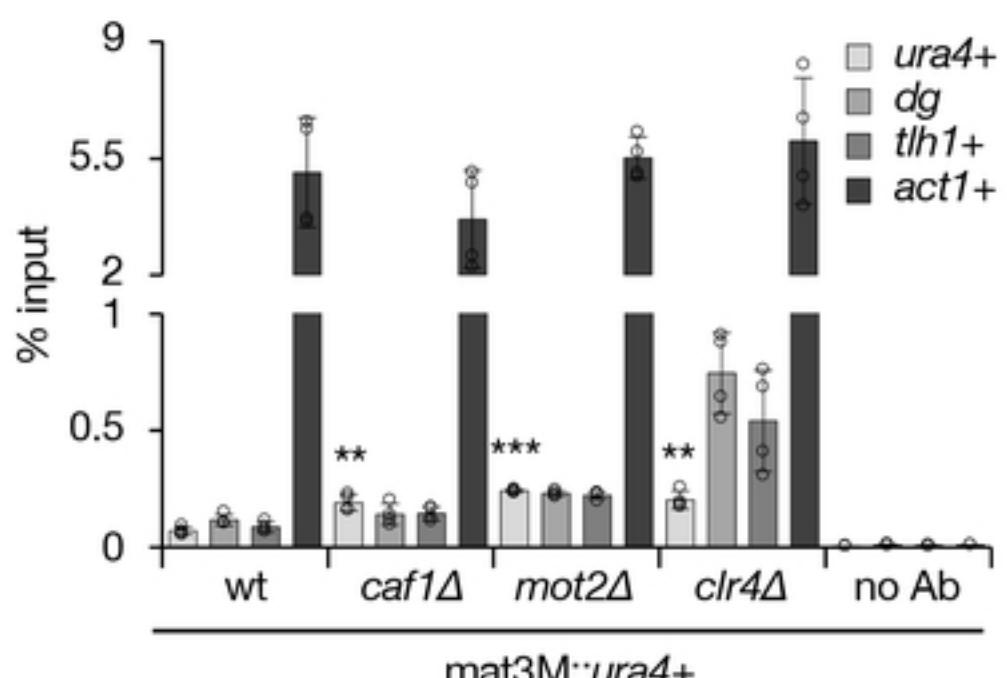


Fig 2. The Ccr4-Not complex impacts heterochromatin assembly at the mating type locus.

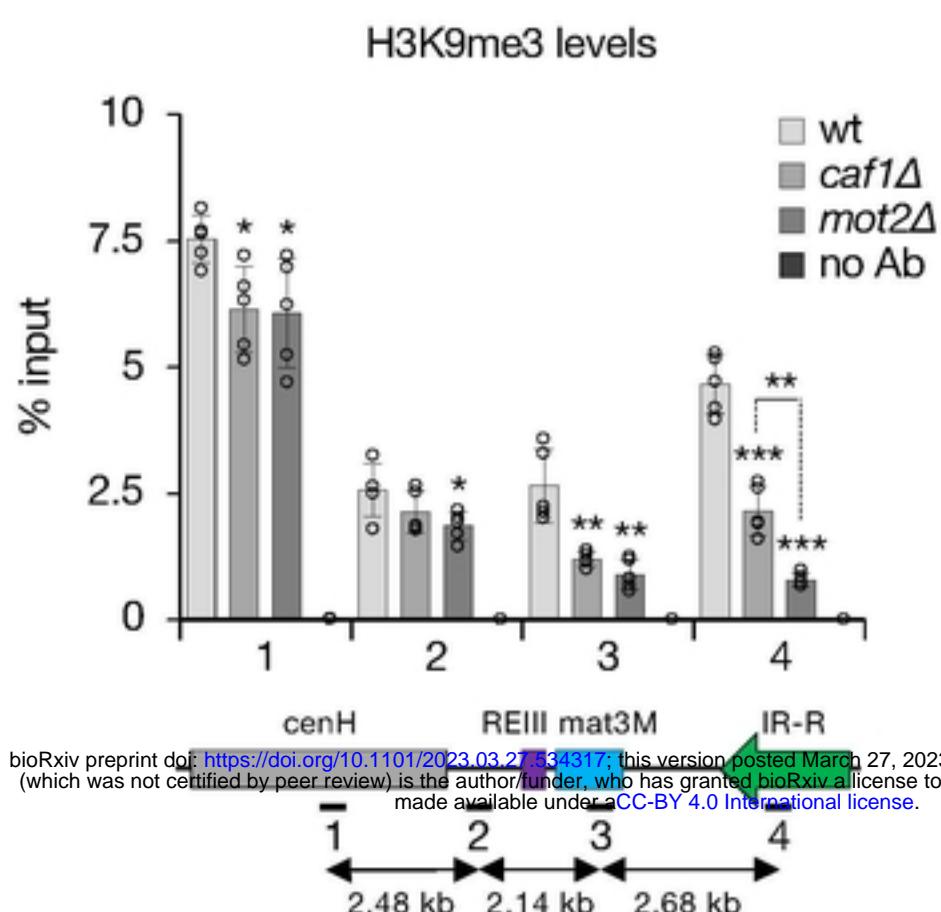
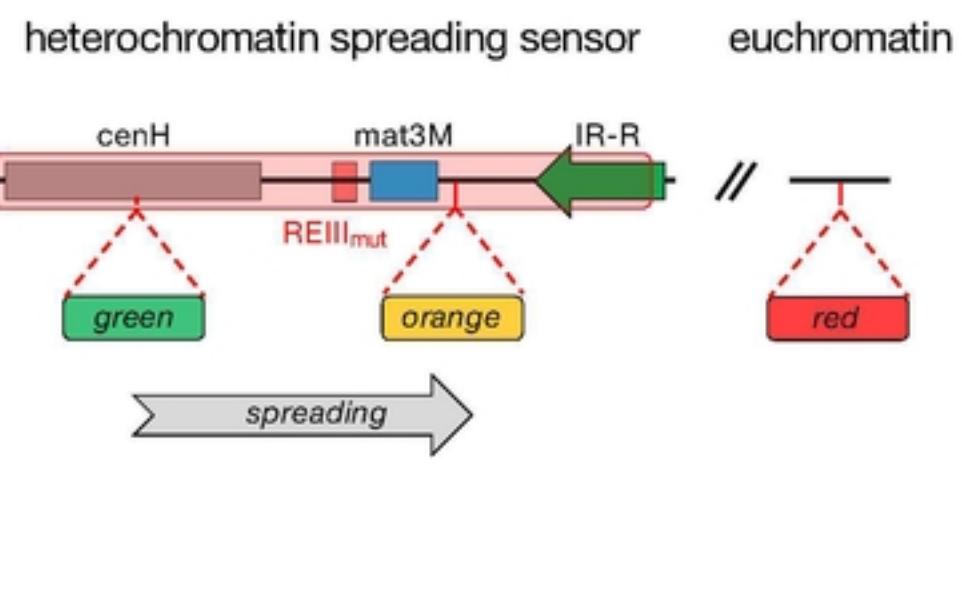
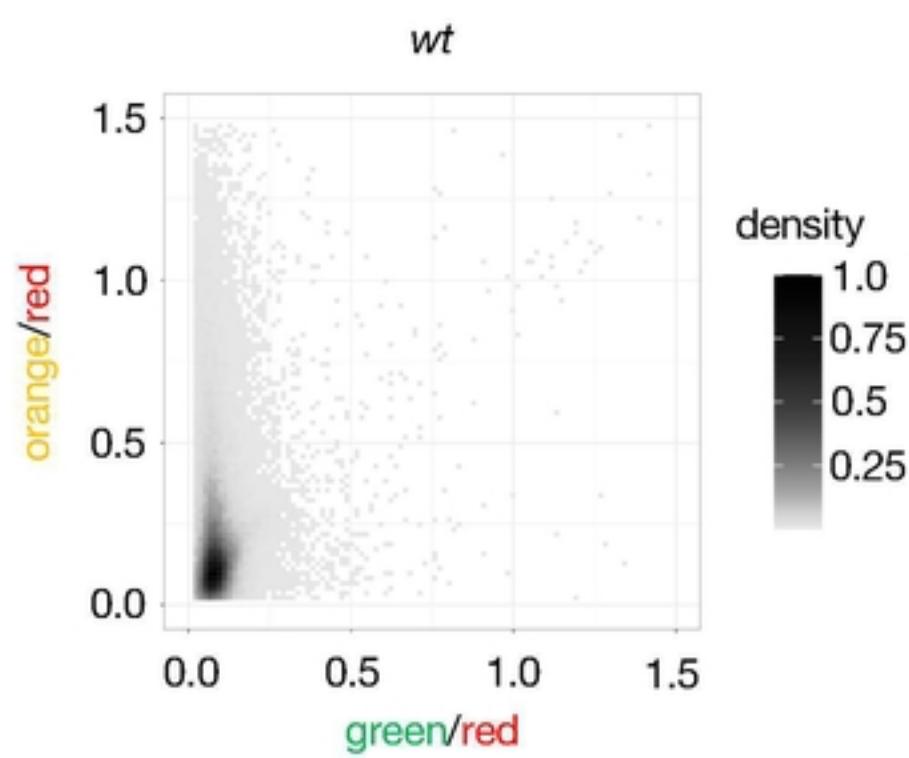
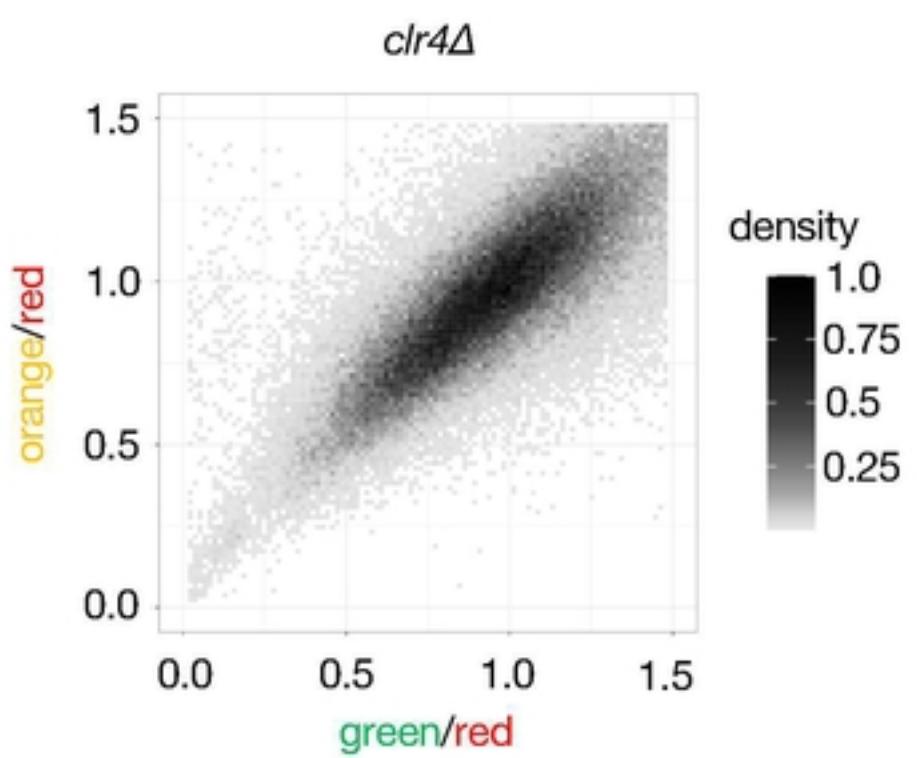
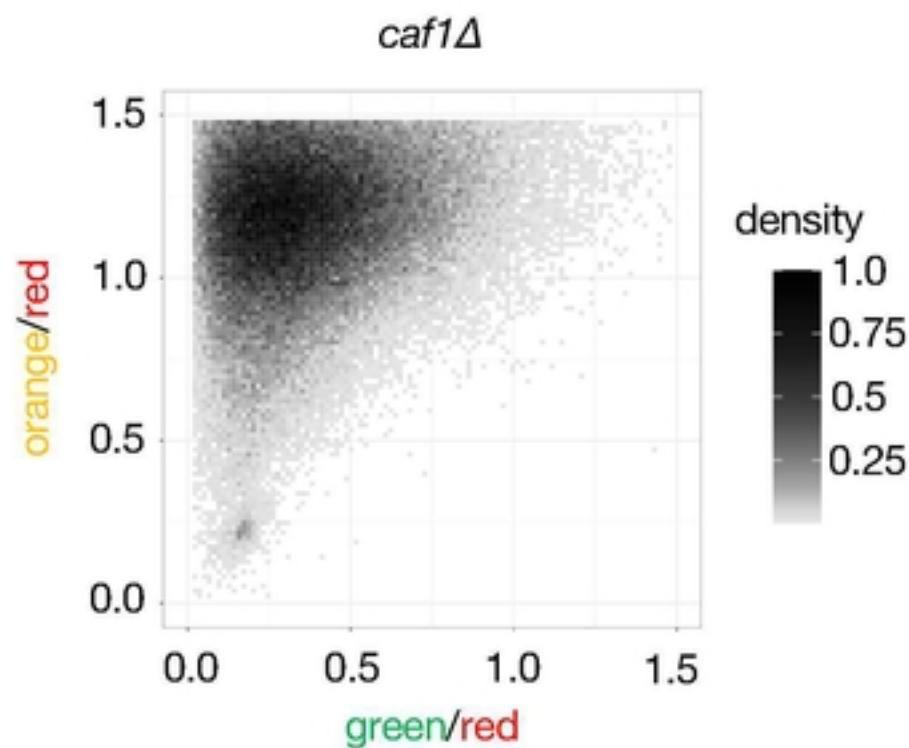
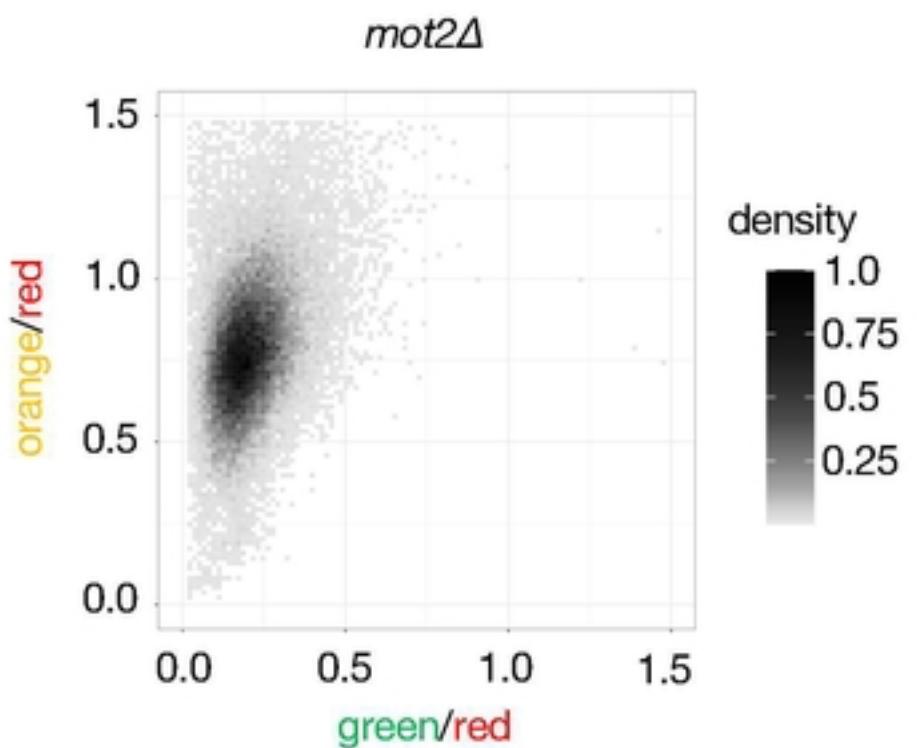
A**B****C****D****E****F**

Fig 3. The Ccr4-Not subunits Caf1 and Mot2 regulate heterochromatin spreading.

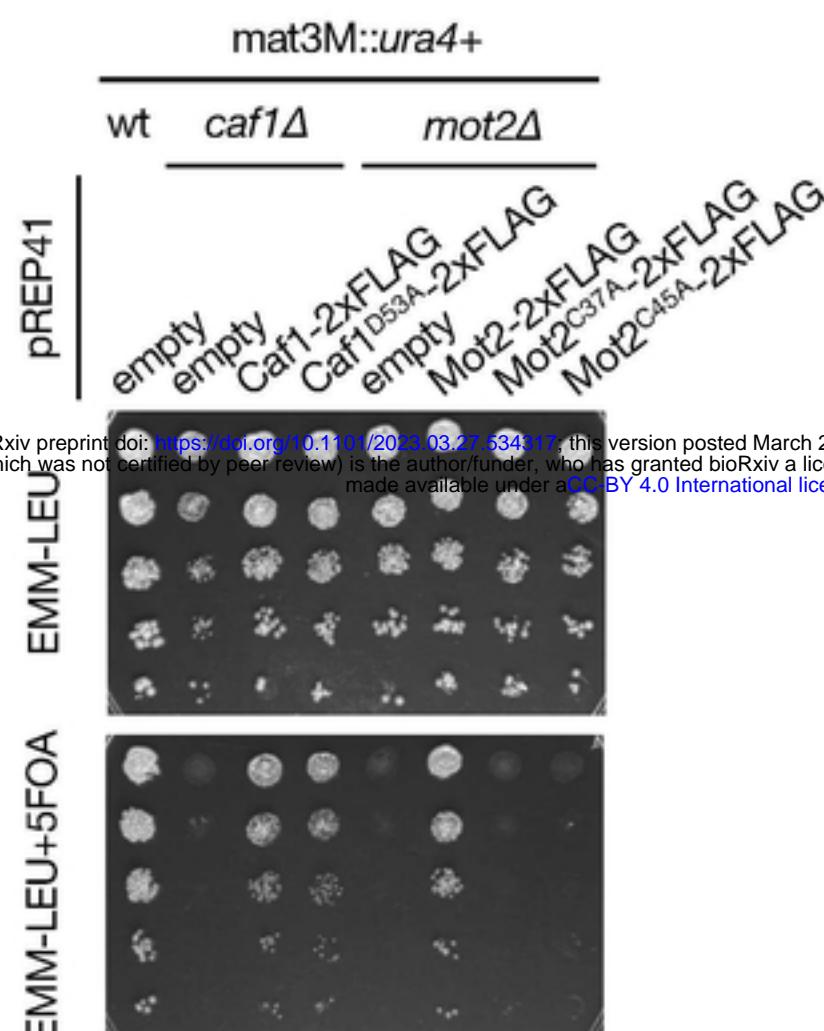
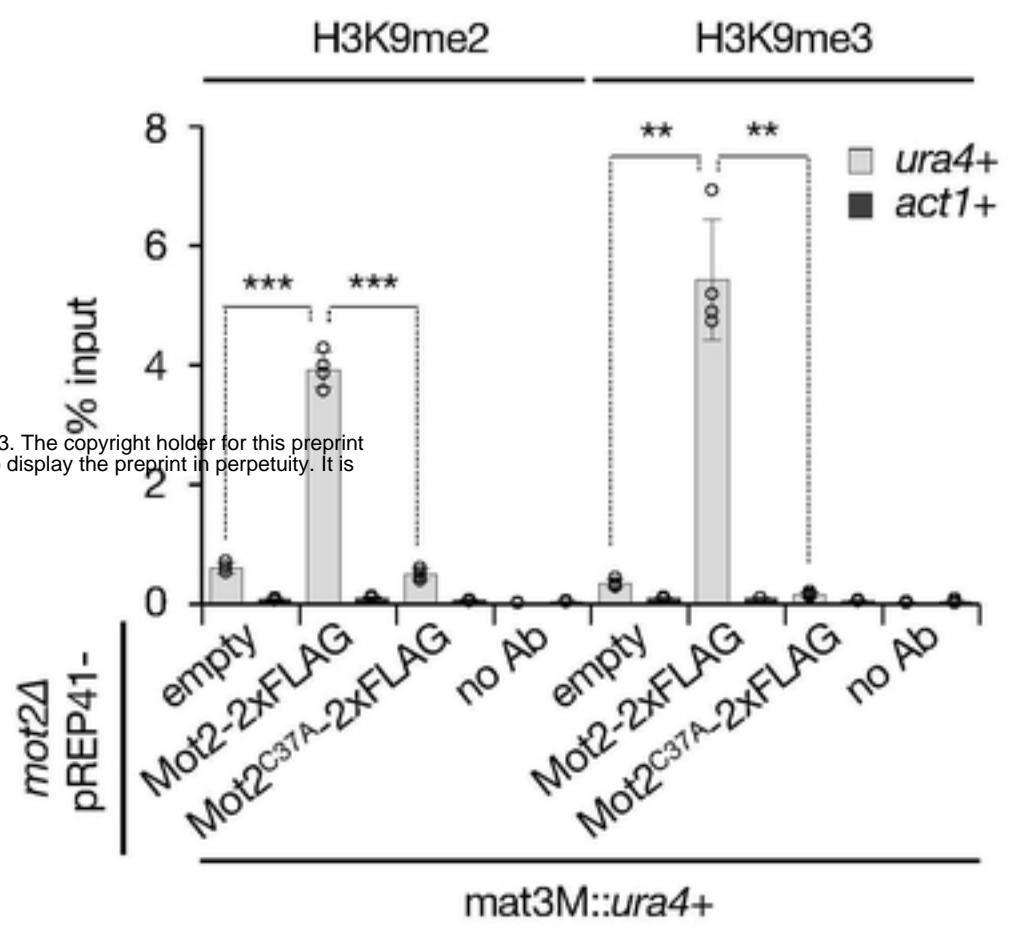
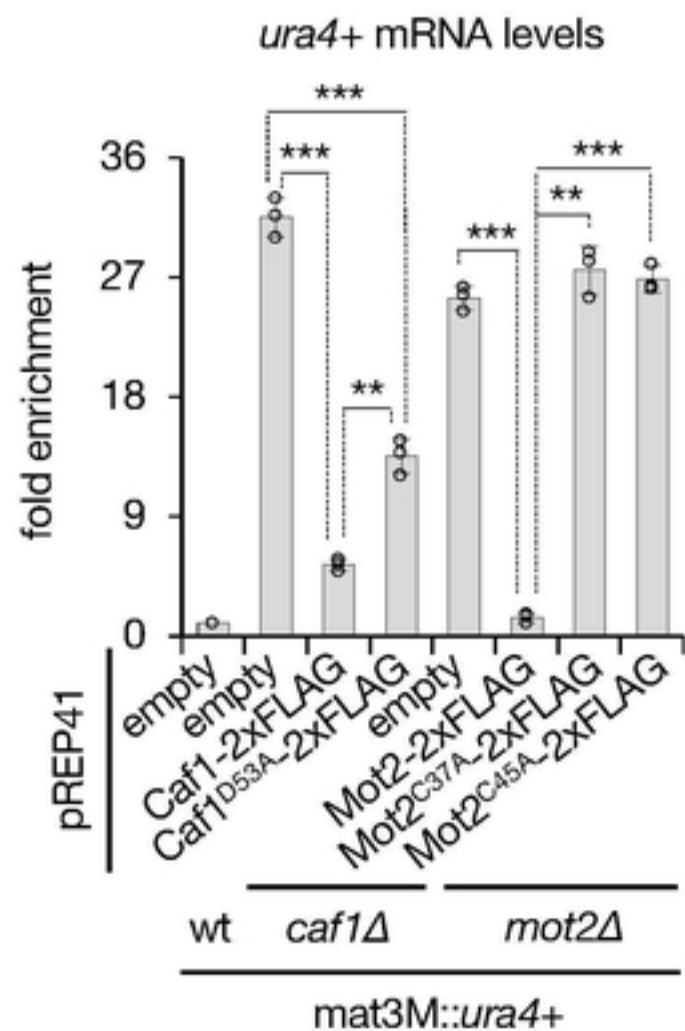
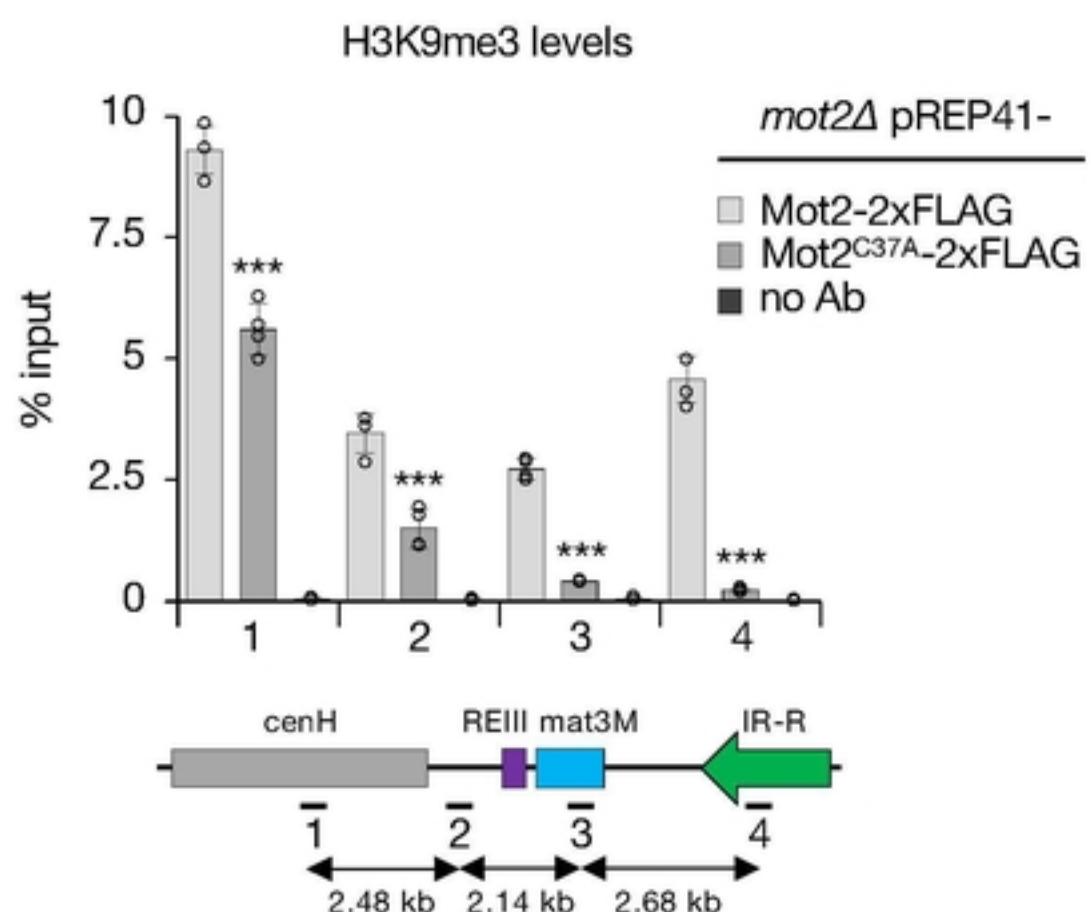
A**B****D****C****E**

Fig 4. Importance of Caf1 and Mot2 catalytic activities in gene silencing and heterochromatin spreading.

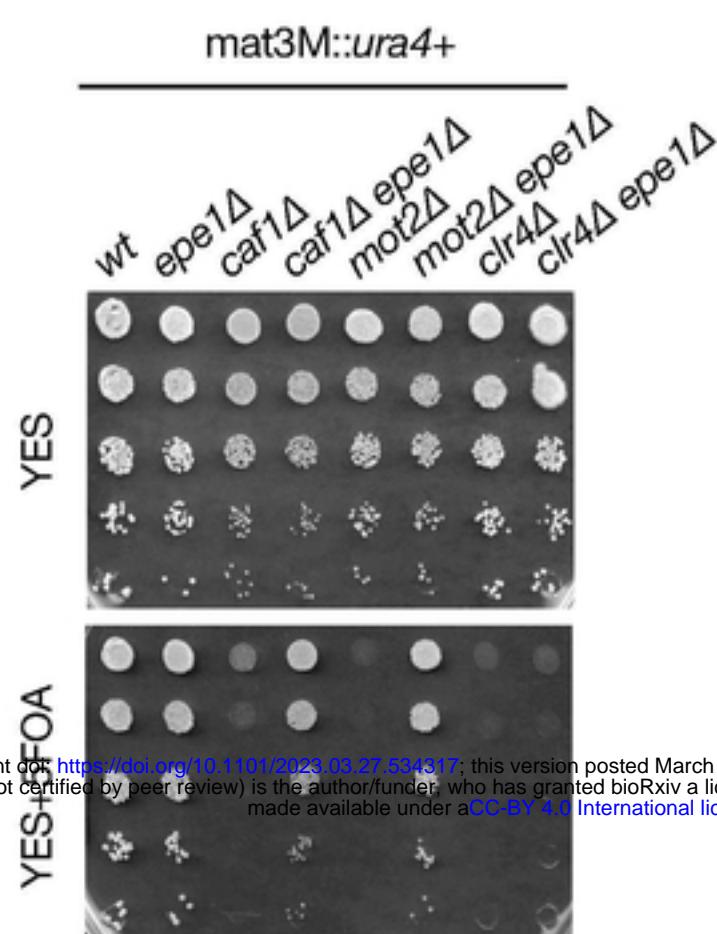
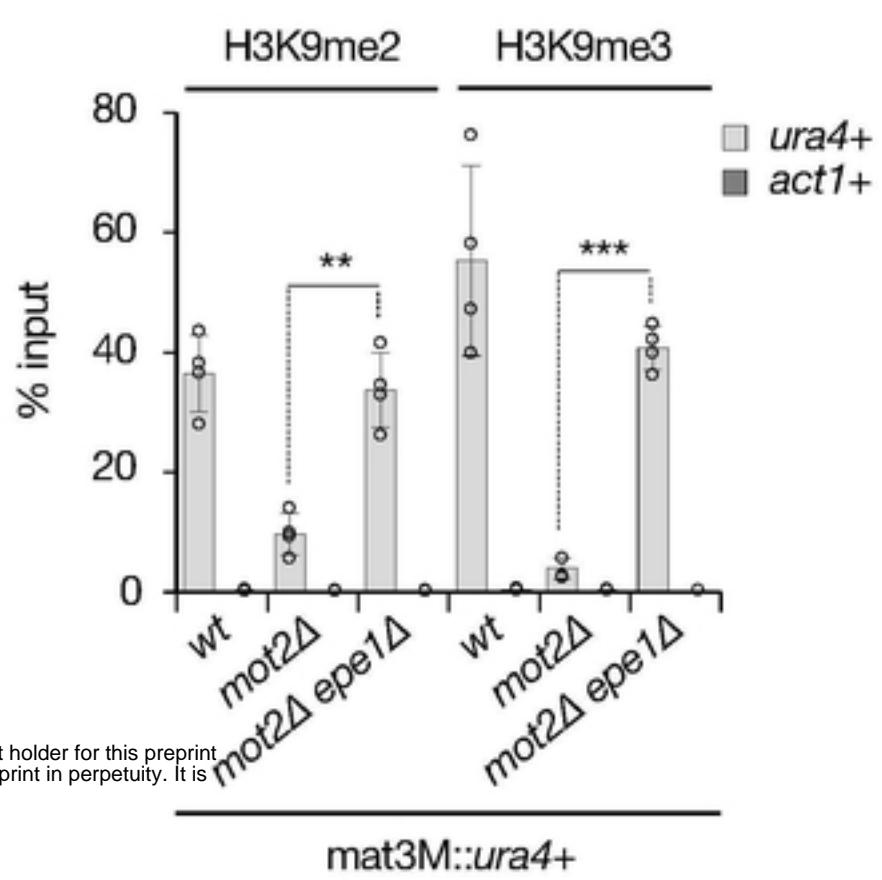
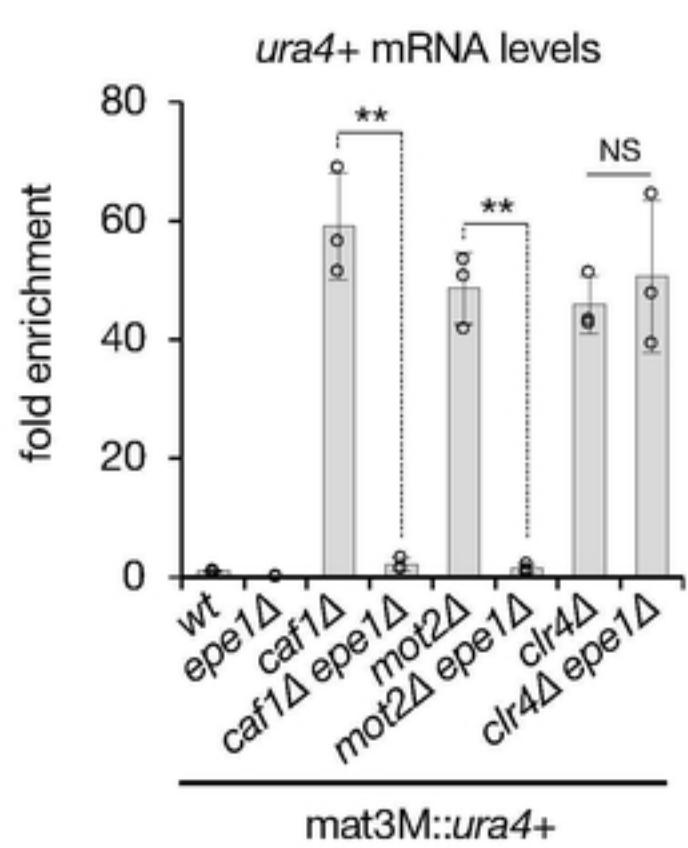
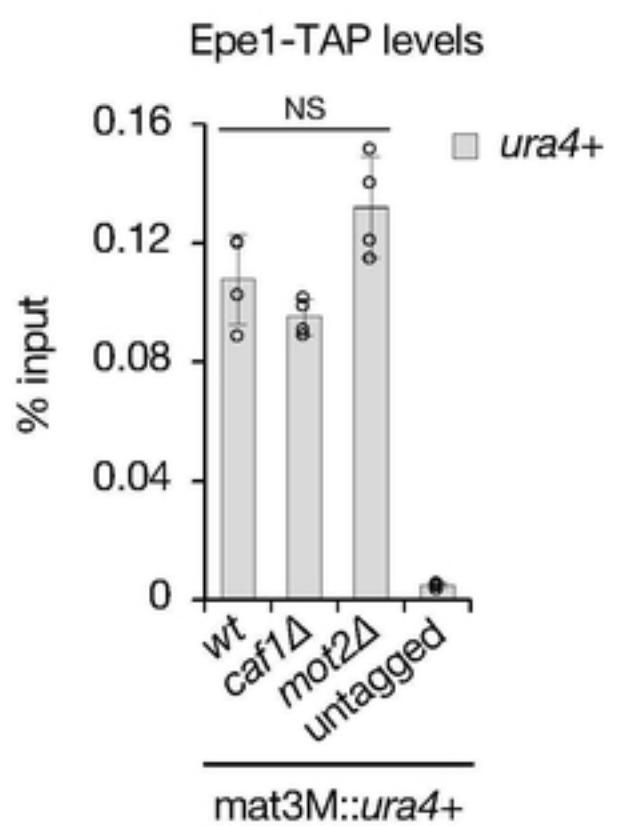
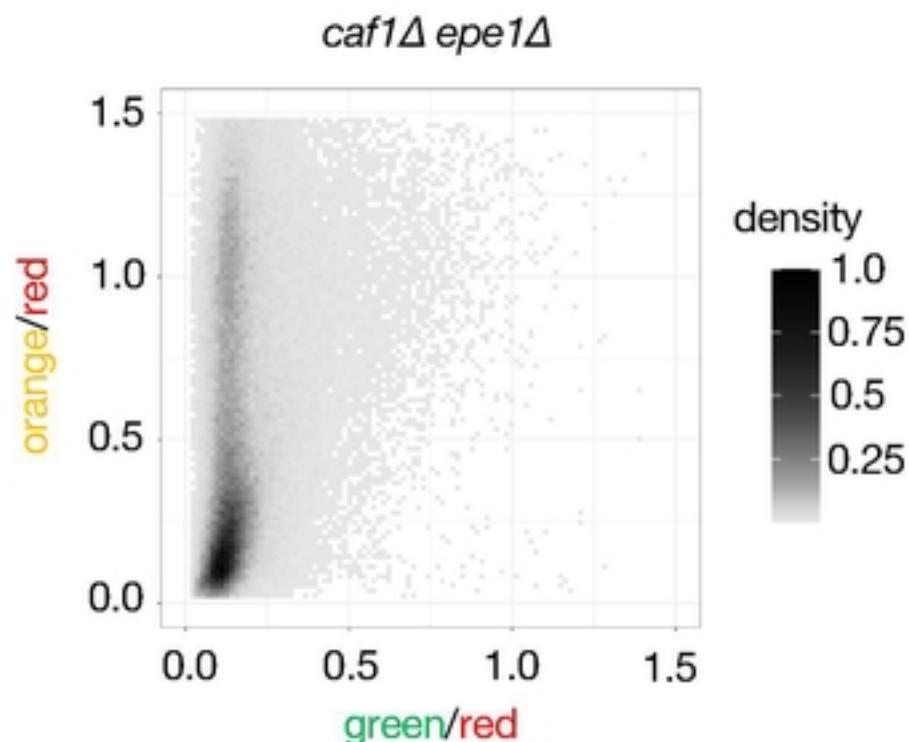
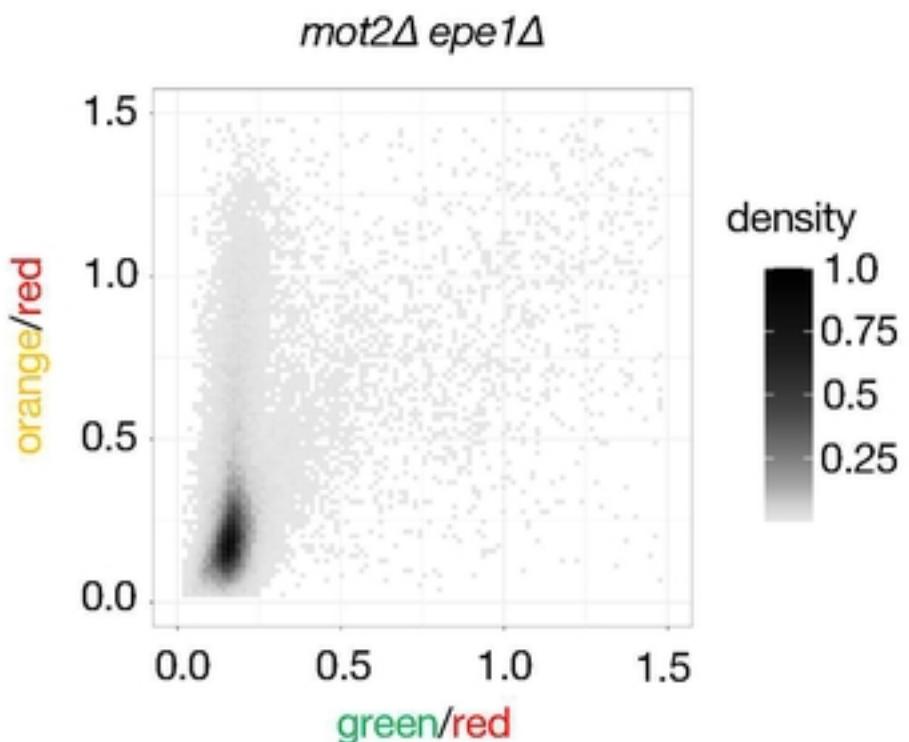
A**C****B****D****E****F**

Fig 5. The anti-silencing factor Epe1 opposes Ccr4-Not in gene silencing and heterochromatin spreading.