

Title

Oncogenic drivers dictate immune control of acute myeloid leukemia.

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29 **Running title (60 characters):**

30 Oncogene specific immune responses in AML

31 **Keywords (5):**

32 Acute myeloid leukemia

33 Immunoediting

34 Cancer

35 Oncogene

36 Immunotherapy

37 **Additional information:**

Financial support. SWL is the recipient of the CSL Centenary Fellowship and NHMRC Investigator Grant, which funded this work.

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SWL has participated in advisory boards for Celgene, Novartis and Janssen, and has received research funding from Janssen and Celgene/ Bristol Myers Squibb for unrelated projects.

GRH has consulted for Generon Corporation, NapaJen Pharma, iTeos Therapeutics, Neoleukin Therapeutics and has received research funding from Compass Therapeutics, Syndax Pharmaceuticals, Applied Molecular Transport, Serplus Technology, Heat Biologics, Laevoroc Oncology, Genentech and iTeos Therapeutics.

FSFG is a consultant and has a funded research agreement with Biotheus Inc.

Word count: 4961 (Introduction, Methods, Results, Discussion)

Total number of figures and tables: 5 main figures, 8 supplementary figures

Abstract

Acute myeloid leukemia (AML) is a genetically heterogeneous, aggressive hematological malignancy induced by distinct oncogenic driver mutations. The effect of specific AML oncogenes on immune activation or suppression has not been

investigated. Here, we examine immune responses in genetically distinct models of AML and demonstrate that specific AML oncogenes dictate immunogenicity, the quality of immune response and immune escape through immunoediting.

Specifically, expression of *Nras*^{G12D} alone is sufficient to drive a potent anti-leukemia response through increased MHC Class II expression that can be overcome with increased expression of *Myc*. These data have important implications for the design and implementation of personalized immunotherapies for patients with AML.

Statement of Significance

The endogenous immune response against acute myeloid leukemia (AML) is determined by leukemia-specific oncogenic driver mutations. Mutant *Nras* drives immunological selection of AML.

Introduction

Acute myeloid leukemia (AML) is caused by the acquisition of genetic mutations in hematopoietic stem and progenitor cells (HSPCs) resulting in a block in myeloid differentiation and the expansion of immature myeloid blasts [1]. AML is genetically heterogeneous with recurrent genetic abnormalities resulting in activation of signal transduction pathways, impaired function of lineage specific transcription factors and dysregulation of epigenetic modifiers [2]. Survival and response to chemotherapy is dependent on the age and molecular profile of AML patients [3, 4]. Despite chemotherapy, followed where possible by allogeneic hematopoietic stem cell transplantation (allo-HSCT), or low-intensity combination therapies for elderly

patients [5], long-term survival is less than 50% overall and is attributed to relapse or therapy resistance highlighting the importance of developing novel therapies.

There is increasing evidence to support a functional interaction between AML and the immune system [6, 7]. AML patients exhibit myeloid dysfunction, cytotoxic lymphocyte dysfunction of both NK and T cells, secretion of suppressive molecules and upregulation of immune suppressive ligands on AML cells [8-13]. Studies indicate that immune microenvironment composition is also important for response to chemotherapeutic treatments. For example, AML patients with abnormal NK cell function and downregulation of NK cytotoxicity surface receptors have defective NK clearance of leukemic blasts [14-17]. Lymphocyte recovery after chemotherapy is associated with improved survival and there are even rare cases of spontaneous remission after severe infections [18, 19]. Early clinical trials suggest that combining hypomethylating agents and immune checkpoint inhibitors may have efficacy in AML, however these results have not yet been confirmed in randomized studies [20, 21]. AML has a low somatic mutation burden and is predicted to have a low frequency of potential neoantigens [22, 23]. This poses the question, what regulates immune responses in AML and can distinct genetic aberrations influence immunogenicity? Characterization of the immune microenvironment in specific types of AML, including the mechanisms of immune escape, may help to understand whether the endogenous immune response is capable of controlling, or eliminating AML.

We have investigated the immunogenicity of genetically distinct models of AML, representing common clinical and prognostic subsets of genetic alterations found in

AML patients [24, 25]. We found that distinct oncogenes altered the host immune response to the leukemia and that mutant Nras was a key determinant of this immunological selection [26, 27].

Altogether, these data provide new insights into a hitherto unrecognized endogenous immune response in AML and generate a path for the strategic use of immunotherapies for subsets of AML patients.

Materials and Methods

Murine AML models

AML from primary hematopoietic stem and progenitor cells (HSPC) were generated as previously described [28-30], see supplementary methods. Wild type C57BL/6J mice were purchased from ARC Animal Resources Centre or Walter and Eliza Hall Institute for Medical Research. Rag2^{-/-}γc^{-/-} (Rag2^{-/-} Il2rg^{-/-}) were back-crossed onto C57BL/6J. Pathogen-free mice were maintained with approval by QIMR Berghofer institutional ethics committee protocol A11605M, A1212-619M and A1212-620M. Npm1c-Nras^{G12D} AML cells, generated as previously described [31], were obtained from Prof. Wallace Langdon (UWA) and Prof. George Vassiliou (Wellcome Sanger Institute).

***In vivo* antibody experiments**

In vivo antibody depletion in wild type mice was performed using the following antibodies: anti-CD4 (100 μg, GK1.5; Bio-X-Cell), anti-CD8β (100 μg, 53.5.8; Bio-X-

Cell) and control IgG (100 µg, HRPN; Bio-X-Cell). Antibodies were injected into the intraperitoneal cavity on days -1 and 0 and then weekly for the duration of the experiment. Anti-PD-1 (250 µg, RMP1-14, Bio-X-Cell) immune checkpoint inhibitor experiments commenced 7 days after transplant, with treatment every 3-4 days, with a total of 9 doses administered.

T cell Proliferation assay

Non-irradiated wild type C57BL/6J mice were transplanted with Nras^{G12D} or MA9 AML previously expanded in Rag2^{-/-}γc^{-/-} mice. Whole splenocytes were harvested, labeled with Cell Trace Violet (CTV) and incubated for 72 hours at a 5:1 ratio with and without irradiated (40Gy) Nras^{G12D}, MA9 (passaged through Rag2^{-/-}γc^{-/-} mice) or non-transformed Rag2^{-/-}γc^{-/-} bone marrow (BM) cells and 0.01µg/mL soluble CD3 (2C11, Biolegend). Dilution of CTV on CD4+ and CD8+ T cell populations was evaluated by flow cytometry. The proliferation indexes of CD4+ and CD8+ T cells were calculated by dividing the total number of divisions by the number of cells that underwent division.

Blood analysis

Blood collected into EDTA-coated tubes was analyzed on a Hemavet 950 analyser (Drew Scientific).

Fluorescence activated cell sorting and analysis (FACS)

Spleens and livers were harvested into ice cold FACS buffer (PBS supplemented with 2% FCS v/v) and then emulsified through a 70µM filter (BD Biosciences),

centrifuged and washed in FACS buffer. Liver mononuclear cells were isolated using isotonic percoll gradient. Tissue samples were treated with Red Blood Cell Lysis Buffer (BD Pharmlyse, BD Biosciences). Samples were incubated in CD16/CD32 blocking antibody (clone 93; Biolegend) before staining with appropriate fluorochrome-conjugated antibodies as indicated (Supplementary Table 1). Post-acquisition analyses were performed using FlowJo software V10.0 (Treestar, CA). Cell analysis and sorting were performed using the BD FACS LSR Fortessa™ or BD FACS Aria™.

RNA-sequencing analysis

GFP positive AML cells were isolated on BD FACS Aria™, washed in ice cold PBS including 1:500 protease inhibitor cocktail (Sigma Aldrich) prior to snap freezing on dry ice. Total RNA was isolated from frozen cell pellets using the Arcturus PicoPure RNA Isolation Kit (Applied Biosystems). Samples were quantitated using a Qubit RNA HS Assay Kit (Molecular Probes), with integrity confirmed using the RNA 6000 PICO Kit (Agilent Technologies) and Agilent 2100 Bioanalyser (Agilent Technologies).

Quantitative Polymerase chain reaction (qPCR)

DNase free RNA was extracted from sorted GFP+ Nras^{G12D} cells using Arcturus PicoPure RNA Isolation Kit (Applied Biosystems) according to the manufacturer's instructions. Reverse transcription was performed using Maxima H minus first strand cDNA synthesis kit with dsDNase according to the manufacturer (Thermofisher Scientific). Genomic DNA was extracted using QIAGEN DNeasy Blood and Tissue

kit according to the manufacturer's instructions. RNA and DNA quantification concentration and purity were determined by Nanodrop spectrophotometer (ThermoFisher Scientific). Q-PCR primers were designed using Primer2web (<http://bioinfo.ut.ee/primer3/>).

Statistical and Bioinformatics analysis

Statistical analysis was performed using Prism (v7.02) as follows: Log-rank (Mantel-Cox) test for p values for all Kaplan-Meier survival analyses. To compare two groups unpaired Student's t-test when normality and equal variance assumptions are met, Mann-Whitney test otherwise; unless otherwise described for more than two groups when normal distribution and equal variance assumption are met ordinary one-way ANOVA with post-Tukey multiple comparison test was performed, in case of violation of equal variance we performed Welch ANOVA or Kruskal-Wallis test with Dunn's multiple comparisons test when normality is violated. Test for association between paired samples, using Pearson's product moment correlation coefficient. Detailed bioinformatics protocols are provided in Supplementary methods.

Data Availability

All RNA sequencing datasets generated in this study are available through NCBI Gene Expression Omnibus under accession numbers GSE164951 and GSE207316. Publicly available AML microarray data used in this study have the accession number GSE6891. Publicly available AML and healthy single cell CITE and RNAseq data have the accession number GSE185381. Further information and requests for

187 resources and reagents should be directed to, and will be fulfilled by, Steven Lane
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Results

Oncogene specificity defines AML immune response

We generated three genetically distinct models of AML; NUP98-HOXA9+BCR-ABL (BA/NH), MLL-AF9 (MA9) or AML1-ETO/Nras^{G12D} (AE/Nras^{G12D}), representative of common genetic alterations found in patients with AML [3] (Fig. 1A). Rag2^{-/-}γc^{-/-} donors were used to avoid the transfer of mature lymphoid immune cells from the donor graft [32]. Rag2^{-/-}γc^{-/-} and wild-type C57BL/6J (WT) had similar HSPC baseline function assessed by colony formation in cytokine enriched methylcellulose (Supplementary Fig. S1A) [1]. Primary (1°) AML developed in all recipients reconstituted with AML oncogene-expressing BM (Supplementary Fig. S1B) but not with HSPCs transduced by retrovirus without oncogene expression. AML generated from the dual transduction of oncogenes were genotyped to confirm the integration of both vectors (Supplementary Fig. S1C).

In order to determine the effect of the immune system on disease progression, 1° AMLs were transplanted into secondary (2°) non-irradiated immunodeficient Rag2^{-/-}γc^{-/-} or immunocompetent WT recipients (Fig. 1B-G). BA/NH induced AML in either WT or Rag2^{-/-}γc^{-/-} recipients with similar overall survival (Fig. 1B and E, Supplementary Fig. S1D). In contrast, MA9 AML and AE/Nras^{G12D} AML progressed more rapidly in Rag2^{-/-}γc^{-/-} compared to WT recipients (Fig. 1C-D and F-G, Supplementary Fig. S1E and S1F) with AE/Nras^{G12D} AML showing the most prolonged latency in immunocompetent hosts. BM AML engraftment was similar between Rag2^{-/-}γc^{-/-} and WT at 24hrs post-transplant indicating similar homing to BM (Supplementary Fig. S1G). Furthermore, extending disease latency in BA/NH AML

with the transplantation of fewer cells did not increase disease latency in WT recipients in comparison to Rag2^{-/-}γc^{-/-} (Supplementary Fig. S1H). These data indicate a graded immune response to AML subtypes that is specified by individual oncogenes.

Oncogene specificity influences mediators of immune recognition and immune activity

We next determined if the differences in AML immunogenicity are reflected through cell intrinsic differences in the expression of cell surface immune recognition markers by comparing the immunophenotype of the genetically distinct AMLs maintained exclusively in immunodeficient Rag2^{-/-}γc^{-/-} recipients. Analysis restricted to the AML CD11b⁺ myeloid population (Supplementary Fig. S2A) showed that AE/Nras^{G12D} was characterized by the highest expression of antigen presentation machinery, H2-D^b, H2-K^b and MHC Class II (Fig. 2A, Supplementary Fig. S2B). Unexpectedly, after serial transplantation the AE/Nras^{G12D} AML passaged in both the Rag2^{-/-}γc^{-/-} and WT 2^o recipients only retained integration of the Nras^{G12D} construct (hereafter referred to as Nras^{G12D}) (Supplementary Fig. S2C). Consistent with this, analysis of Rag2^{-/-}γc^{-/-} HSPCs at 72hrs post transduction with the individual oncogenes, demonstrated that acute expression of Nras^{G12D} alone was sufficient to drive increased surface expression of MHC Class II (Supplementary Fig. S2D). These findings were further confirmed using microarray data of bulk AML samples at diagnosis from 42 patients with an NRAS mutation compared to 10 patients with an MLL translocation (MLL-X). Here, NRAS mutant human AML showed increased expression of multiple HLA

(MHCII) genes, including HLA-DQA1, compared to MLL-X translocated AML (Fig. 2B, Supplementary Fig. S2E). Using single sample gene set enrichment, MHCII score was associated with AML patients driver mutations and chromosomal aberrations [33], with NRAS mutant patients ranked as one of the highest MHCII expressing genetic groups while MLL-X translocated patients rank among the lowest (Fig. 2C). Single cell RNA-sequencing demonstrated that malignant CD33-expressing AML blasts from RAS mutant patients maintained MHCII gene expression, whereas malignant CD33-expressing blasts from MLL-X AML patient samples had reduced expression in comparison to healthy CD33-expressing BM (Fig. 2D).

Next, we examined the difference in the expression of discrete panel of immunomodulatory cell surface molecules in murine AML samples. We found that *Nras*^{G12D} AML was characterized by the highest expression of the CD28 ligands, CD80 and CD86 (Fig. 2E, Supplementary Fig. S3A). Conversely, BA/NH had high expression of the immunosuppressive ligands PD-L1 and GAL-9 and CD155 (Fig. 2E, Supplementary Fig. S3A). Analysis of *Rag2*^{-/-}*γc*^{-/-} HPSCs at 72hrs post transduction with the individual oncogenes, demonstrated that acute expression of *Nras*^{G12D} alone is sufficient to drive increased surface expression of CD80 and CD86 (Supplementary Fig. S3B). Conversely, neither acute expression of BCR-ABL nor NUP98-HOXA9 alone was sufficient to increase PD-L1, GAL-9 or CD155 (Supplementary Fig. S3B).

We next sought to determine if evidence of an anti-AML immune response was present in a genetically engineered knockin model of mutant *Nras*-driven AML,

derived from mice heterozygous for conditional alleles conferring a C-terminal truncation in Npm1 and constitutively active Nras (*Tg(Mxl-cre), Npm1^{fl-cA/+}; Nras^{fl-G12D/+}*), expressed from their respective endogenous promoters [31]. We expanded the AML by transplantation into immunodeficient mice and then transplanted this AML into tertiary (3°) non-irradiated immunodeficient Rag2^{-/-}γc^{-/-} or immunocompetent WT recipients. Npm1c-Nras^{G12D} AML cells generated a rapid, fully penetrant AML when transplanted into Rag2^{-/-}γc^{-/-} recipients, however there was a marked delay in disease latency in immunocompetent WT recipients (Fig. 2F-G), confirming an intrinsic immune response to a genetically engineered AML mouse model driven by mutant Nras.

These data reveal discrete effects of oncogenic drivers on immune regulatory molecule expression in AML cells, supporting a model whereby Nras^{G12D} AML has greater potential to interact with the immune system.

Oncogene specificity determines the composition of the AML immune microenvironment

Given the role of MHC, CD80 and CD86 in T cell activation, we compared the requirement for T cells in controlling disease progression in MA9 and Nras^{G12D} AML. WT recipient mice were treated with isotype control, or antibodies that depleted T cells (CD4 and CD8). Immune cell depletion was verified in the peripheral blood (Supplementary Fig. S4A). In Nras^{G12D}, depletion of T cells accelerated the development of AML (Fig. 3A). Similar findings were observed in the MA9 model but

this effect was much less pronounced (Fig. 3B). Consistent with a specific T cell mediated immune response, we demonstrated that *Nras*^{G12D} AML (*Rag2*^{-/-}*γc*^{-/-}) increases T cell proliferation upon co-culture in comparison to non-transformed BM (*Rag2*^{-/-}*γc*^{-/-}) whereas MA9 AML did not (Fig 3C-D).

We next sought to determine if this differential requirement for T cells in the anti-leukemic response was reflected in the composition of the immune microenvironment. We observed a significant decrease in the frequency of T cells within the microenvironment of the leukemia-bearing spleens of BA/NH recipients compared to MA9 and *Nras*^{G12D} recipients and naïve controls (Fig. 3E). We note that the BA/NH recipients demonstrated complete effacement of splenic architecture concordant with this loss of normal T-cell populations. Within T cells, *Nras*^{G12D} recipients display the lowest percentage of CD4⁺ T cells and subsequently the highest frequency of CD8⁺ T cells (Fig. 3E). Interestingly, all AML recipients display contraction in the proportion of naïve CD4⁺ and CD8⁺ cells (CD44-CD62L⁺), an expansion in CD4⁺ and CD8⁺ T effector memory (CD44⁺CD62L⁻) and a decrease in CD4⁺ and CD8⁺ T central memory (CD44⁺CD62L⁺) formation when compared to naïve controls (Fig. 3E, Supplementary Fig. S4B-D). Of note however, is that BA/NH recipients retain the greatest frequency of naïve CD8⁺ T cells, with *Nras*^{G12D} recipients having a greater frequency of CD8⁺ T effector memory compared to BA/NH recipients (Fig. 3E, Supplementary Fig. S4D).

As these immunocompetent recipients were analyzed after developing AML, we compared the impact of AMLs driven by distinct oncogenic drivers on markers of CD4⁺ and CD8⁺ T cell activation and dysfunction. There was an increase in the

frequency of PD-1+/DNAM-1+ CD4+ and CD8+ T cells in AML recipients compared to naïve spleen, indicating an expansion of effector T cells with reduced cytotoxic potential (Fig. 3F, Supplementary Fig. S5A-D). However, the co-expression of co-inhibitory receptors KLRG1 and PD-1 was significantly increased on CD4+ and CD8+ T cells from *Nras*^{G12D} recipients only compared to naïve spleen (Fig. 3F, Supplementary Fig. S5A-D). Finally, co-expression of PD-1 and TIM-3, indicating a T cell exhaustion phenotype, was increased on CD4+ and CD8+ T cells from *Nras*^{G12D} recipients, but was only increased on the CD4+ T cells in BA/NH recipients and not increased on T cells from MA9 AML (Fig. 3F, Supplementary Fig. S5A-D). We sought to validate these murine findings in human AML using single cell RNA-sequencing analysis. Consistent with the murine findings, we observed that greater frequency of T cells isolated from the bone marrow of mutant RAS AML patients demonstrated PD-1 gene expression in comparison to those isolated from MLL-X translocated AML patients (Fig. 3G). These data indicate expansion and dysfunction of the effector T cell compartment as a distinguishing feature of the immune microenvironment of immunogenic AML.

Immunoediting selects against immunogenic AML

Despite a robust immune response that delayed AML onset, MA9 and *Nras*^{G12D} leukemias were eventually able to develop in the presence of a competent immune system. We hypothesized that this immune escape could be mediated through immunoediting, the selection of disease with decreased immunogenicity [26, 27] or via immunosuppressive effects on the host immune system. To functionally examine for immunoediting, we compared the disease latency of AML passaged through

immunocompetent mice vs. AML passaged through immunodeficient mice when these were transplanted into either an immunocompetent WT or immunodeficient Rag2^{-/-}γc^{-/-} recipients (Fig. 4A). For both leukemias, there was no difference in disease latency when transplanted into Rag2^{-/-}γc^{-/-} mice, suggesting that passage through an immunocompetent host does not change the proliferative capacity of these AMLs (Fig. 4B). However, there was accelerated disease progression in immunocompetent mice transplanted with Nras^{G12D} AML that had been previously passaged through immunocompetent WT mice (2° WT; 3° WT), compared to AML passaged previously through immunodeficient Rag2^{-/-}γc^{-/-} mice (2° Rag2^{-/-}γc^{-/-}; 3° WT) (Fig. 4B). In contrast, disease latency in immunocompetent mice was unchanged for MA9 AML, regardless of whether the AML was previously passaged through immunocompetent (2° WT; 3° WT) or immunodeficient (2° Rag2^{-/-}γc^{-/-}; 3° WT) mice, suggesting that immunoediting is not observed in MA9 AML (Fig. 4C) and reflecting the more immunogenic phenotype of Nras^{G12D} (Fig. 1F-G). This demonstrates that Nras^{G12D} AML is immunoedited during passage through immunocompetent recipients.

Given the elevated expression of a number of immune regulatory molecules of the surface of Nras^{G12D} AML (Fig. 2A, D and Supplementary Fig. S2B and S3A), we used flow cytometry to examine the immunophenotype of non-immunoedited versus immunoedited Nras^{G12D} cells (Supplementary Fig. S6A). Surprisingly, we didn't observe any difference in the abundance of H-2D^b and MHC Class II, and only a minor increase in H-2K^b (Fig. 4D). In contrast, we saw the upregulation of ligands with potential immunosuppressive function, PD-L1 and CD86 (Fig. 4E). These

findings suggest that immunoedited Nras^{G12D} AML may suppress the anti-leukemic immune response to facilitate disease progression.

As PD-L1 interacts with the immune-suppressive receptor PD-1 on T cells, we determined whether blockade of the PD-1/PD-L1 interaction with anti-PD-1 was able to reactivate an anti-leukemic immune response in immunoedited Nras^{G12D} AML (Fig. 4F). We observed minor, but significant effects on AML control after anti-PD-1 antibody treatment. Anti-PD-1 treated mice showed a lower penetrance of AML infiltration in the liver, the major site of infiltration of this disease in unconditioned immunocompetent mice, with fewer tumors per liver and a trend to reduced tumor area (Fig. 4G). These data demonstrate that restoring immune cell function through anti-PD-1 treatment can facilitate anti-leukemic control, but has limited efficacy in established disease when used as a single agent. This is consistent with published data showing only a modest effect of PD1 blockade in MDS and AML [20].

As modulating the surface immune checkpoints were insufficient to reinstate immunoedited Nras^{G12D} AML, we used RNA-sequencing to investigate transcriptional changes between non-immunoedited and immunoedited Nras^{G12D} AML (Supplementary Fig. S6A-B, Supplementary Table 1). Surprisingly, the gene expression of immunoedited AMLs showed evidence of pathway down-regulation for NRAS signaling (Fig. 5A, Supplementary Table 1), correlating with decreased gene expression of Nras compared to non-immunoedited cells (Supplementary Fig. S6C-D, Supplementary Table 1). Q-RT-PCR analysis of genomic DNA of non-immunoedited and immunoedited Nras^{G12D} cells revealed that immunoediting selected for cells with reduced Nras^{G12D} copy number (Fig. 5B). Furthermore, the

immunoedited AMLs were characterized by the increased expression of targets of MYC, a transcription factor conventionally considered to have a master regulatory role in growth and proliferation (Fig. 5C, Supplementary Table 1). *Nras*^{G12D} AML in WT secondary recipients showed only a slightly higher frequency of Ki67 positive cells, with no difference in the mitotic marker phospho-Histone H3 (Supplementary Fig. S6E-F). Consistent with this finding, transplant of equal numbers of non-immunoedited and immunoedited *Nras*^{G12D} cells into immunodeficient *Rag2*^{-/-}*γc*^{-/-} mice generated disease with comparable latency suggesting that no intrinsic differences in proliferative capacity occurred as a consequence of immunoediting (Fig. 4B). Importantly, changes in NRAS and MYC gene sets were not observed when comparing MA9 AML passaged through WT vs *Rag2*^{-/-}*γc*^{-/-} recipients (Supplementary Fig. S6G, Supplementary Table 1). However, we did observe that MA9 AMLs exposed to a competent immune environment upregulated the expression of genes involved in interferon signaling and that this did not occur in the immunoedited *Nras*^{G12D} AML (Supplementary Fig S6G-I, Supplementary Table 1). These data indicate that immunoediting in *Nras*^{G12D} AML may involve coordinate clonal selection for cells with reduced mutant *Nras* expression and increased Myc-driven transcription to evade immune control.

Ectopic expression of Myc reduces immunogenicity in *Nras*^{G12D}-driven AML

There is increasing evidence that MYC is a critical determinant of an immunosuppressive tumor microenvironment and that MYC inactivation enables recruitment of lymphocytes into tumors [34-37]. Consistent with this, in NRAS-mutant

AML, we observed that a tissue-agnostic set of Myc transcriptional targets showed an inverse correlation with a predictive gene signature of cytotoxic immune cell infiltration in AML (Fig. 5D, Supplementary Table 2) [38, 39].

To functionally examine the consequence of elevated Myc expression on immune evasion in AML, we generated *Nras*^{G12D} AML expressing ectopic levels of Myc in comparison to an empty vector (EV) control. Dual oncogene integration was confirmed by expression of both GFP (*Nras*^{G12D}) and mCherry (Myc) fluorescent markers. Ectopic Myc expression resulted in only minor differences in MHC Class I surface expression (H2-D^b and H2-K^b), but a marked increase in PD-L1 and CD86 expression (Fig. 5E). Unexpectedly, ectopic Myc also resulted in a striking reduction in MHC Class II surface expression.

In order to functionally assess the effect of ectopic Myc expression on the anti-AML immune response in vivo, we transplanted both the *Nras*^{G12D}/Myc and *Nras*^{G12D}/EV AMLs into both immunodeficient and immunocompetent recipients. Ectopic Myc expression resulted in slightly accelerated disease progression in the Rag2^{-/-}γc^{-/-} recipients, and this difference was dramatically increased in immunocompetent recipients. Combined, this data supports the conclusion that increased expression of Myc results in reduced immunogenicity in *Nras*^{G12D}-driven AML.

Discussion

The role of the host immune system in controlling certain cancers is well established, but data are lacking in the context of AML. Here, we have used genetically distinct murine models of AML to investigate oncogene-dependent immunogenicity of AML

cells. These included BA/NH, a myeloid blast-crisis model with poor prognosis; MA9, aberrant activity of epigenetic modifier; and, AE/Nras^{G12D} and Npm1^{mutant}/Nras^{G12D} AML, the latter two genotypes are associated with a favorable prognosis after treatment. We present evidence that the immunogenicity of AML cells and the quality of the immune response are directed by the specific oncogenic driver that induces the AML.

Of the oncogenic drivers tested, the strongest immune response was induced by Nras^{G12D}, evidenced by the greatest difference in disease latency between immunodeficient and immunocompetent mice. We found distinct expression levels of antigen presentation machinery and inhibitory and activating immune cell ligands on the different AMLs in the absence of exposure to a competent immune system. Furthermore, we found that immune cell ligand expression corresponds to the observed difference in AML immunogenicity, indicating that oncogenes influence the inherent potential of an AML cell to interact with the immune system. Specifically, BA/NH cells had low expression of MHC Class I (H2-D^b and H2-K^b) together with high expression of inhibitory immune checkpoint ligands PD-L1, GAL-9 and CD155 compared to MA9 and Nras^{G12D}. This indicates that the non-immunogenic phenotype of BA/NH may be driven by inherent low antigen presentation and high expression of immune suppressive checkpoint molecules. In contrast, Nras^{G12D} cells displayed a different cell surface phenotype with increased expression of antigen presentation machinery and immune-stimulatory ligands. Indeed, when we looked across a more extensive panel of molecular subtypes, RAS mutant human AML demonstrates high HLA Class II expression. It must be noted that MA9 AML exhibited an appreciable immunogenic phenotype despite exhibiting low levels of MHC Class I and II

expression, suggesting a possible role for other immune cells such as NK cells in the control of this leukemia.

Expression of the HLA Class II presentation machinery has recently been suggested as a determinant of immune evasion in hematological malignancies [39]. It has been shown that HLA Class II expression in AML is in part dependent on the methylation status of the promoter of the transcriptional coactivator CIITA, and its expression, which is IFN γ responsive [39]. Interestingly, the expression of the co-stimulatory molecule CD80 is also IFN γ responsive [40] and mutant Ras has recently been shown to drive a cell-intrinsic interferon response via increased expression of transposable elements [41] providing a possible mechanism for the unique surface immunophenotype of the immunogenic Nras^{G12D} AML. This also poses the interesting hypothesis as to whether AML immunogenicity can be enhanced by the administration of IFN γ , either alone or in combination with hypomethylating agents.

Consistent with the hypothesis that cell intrinsic differences in AML surface expression of immunomodulatory ligands dictate the potential for an anti-leukemia immune response, individual genetic aberrations also dictate the composition of the tumor microenvironment, including the degree of myeloid and lymphocyte cell infiltration and dysfunction [42]. We identified distinct oncogene-dependent immune microenvironments in AML recipient immunocompetent mice. Expansion of CD8⁺ effector T cells in Nras^{G12D} recipients indicates activation of the adaptive immune response that was observed to a much lesser extent in BA/NH or MA9 recipients. This was consistent with depletion experiments demonstrating a more dominant role for T cells in control of Nras^{G12D} in comparison to MA9 AML. Furthermore, a higher

frequency of CD8⁺ T cells in the Nras^{G12D} AML microenvironment of moribund mice displayed expression of immunosuppressive receptors PD-1 and TIM-3. Consistent with this, examination of T cells from AML patients at diagnosis also revealed that a higher frequency of T cells in the RAS mutant AML tumor microenvironment display gene expression of PD-1 in comparison to MLL translocated AML, suggesting that RAS mutant AML can only progress in the context of inhibitory receptor expression on T cells.

In addition to immune dysfunction, immune evasion may also be facilitated by immunoselection against the most immunogenic tumor cells, a dynamic process referred to as immunoediting [26, 27] and can be mediated by T cell-dependent selection against immunogenic neo-antigens and IFN γ associated genetic instability [43-45]. Here, the more immunogenic Nras^{G12D} AML showed functional immunoediting in immunocompetent mice whereas the less immunogenic MA9 AML did not, possibly reflecting the difference in the efficacy of T cells in controlling these AMLs. Immunoediting has been described in mouse sarcoma models [46], but to our knowledge, this is the first experimental validation of functional immunoediting in a syngeneic AML model. The different capacities for immunoediting presumably reflect the strength of the effector immune cell response and the degree of *in vivo* selective pressure. Immunoediting is also seen in highly selective clinical settings, specifically after the use of CD20-directed monoclonal antibodies and CD19-directed CAR-T cell therapy for ALL where CD20 and CD19 negative relapses are observed and facilitate escape from CAR-T cell killing [47, 48]. Further evidence of immunoediting is seen in AML relapse after allogeneic BM transplantation which is frequently associated with

downregulation of HLA Class II molecules [11, 49] or polymorphisms in the HLA region [50].

Although immunoediting was characterized by the upregulation of the immunosuppressive ligand PD-L1, anti-PD1 therapy had limited efficacy in restoring the anti-leukemia immune response in this model, suggesting that the strategy employed in the immunoedited Nras^{G12D} AML to evade the immune system is likely to be multifaceted. While ICB clinical trials are ongoing in AML patients, preliminary data suggests that single agent ICB also has minimal activity in AML patients whereas ICB in combination with hypomethylating agent azacitidine has shown positive responses in a proportion of AML patients with higher pretherapy bone marrow CD3+ and the presence of ASXL1 mutation, again suggesting that patient genetic profiles are important predeterminants of treatment efficacy [20, 51].

We hypothesize that immunoediting in Nras^{G12D} AML is driven by the coordinate downregulation of mutant Nras expression and upregulation of Myc-driven transcription. The down regulation of mutant Nras expression is consistent with the immunogenicity of this AML being in part driven by the presentation of immunogenic neo-antigens generated from mutant Nras. Our data are supported by previous studies in mouse models of sarcoma, that identified T cell-dependent immunoselection driving immunoediting against highly antigenic neo-epitopes derived from specific genetic mutations [43, 44]. KRAS mutant-specific T cells have been found in melanoma patients showing that the immune system is capable of directly targeting mutant RAS derived neo-antigens [52] and the presence of NRAS mutations may confer better response rates to immune checkpoint therapy [53]. RAS

mutant AML has a relatively favorable prognosis after treatment with chemotherapy.

It could be hypothesized that this may relate to the presence of a host immune response, and that this response can be unmasked after tumor de-bulking through chemotherapy.

There is increasing evidence showing that MYC is a critical modulator of an immunosuppressive tumor microenvironment and that MYC inactivation enables recruitment of lymphocytes into tumors [34-37]. Consistent with this, we found that MYC transcriptional activity AML inversely correlates with the presence of a cytolytic immune infiltrate. We have demonstrated that the ectopic expression of Myc alone is able to reduce surface expression of both MHC Class I and II in non-immunoedited *Nras*^{G12D} AML, in addition to driving the increased surface expression of PD-L1 and CD86. MYC activity has previously been implicated in regulating both transcription and translation of PD-L1 [37, 54]. However, we believe this is the first time that Myc activity has been linked to the expression of antigen presentation machinery. Interestingly, recent studies have described a direct role for Myc in the repression of interferon response genes in *Nras*^{G12D} driven models of pancreatic ductal adenocarcinoma and triple negative breast cancer [55, 56]. It is tempting to speculate that Myc-mediated suppression of mutant RAS driven activation of interferon signaling may be an unappreciated aspect of this cooperative oncogenic relationship that is exploited by cancers of all cell lineages.

In summary, these data point to anti-leukemic immune responses being determined by specific oncogenic profiles. Critically, in the case of *Nras*^{G12D}, extrinsic immune pressure enables outgrowth of less immunogenic AML cells. *Nras*^{G12D} shows

transcriptional plasticity under immune selective pressure, and may undergo changes in gene and surface marker expression resulting in upregulation of an immunosuppressive phenotype. As immunotherapy approaches undergo clinical testing in myeloid blood cancers, these findings highlight that personalized and targeted treatment plans may be designed according to the genetics of AML patients.

Acknowledgements

We are grateful for the assistance of the QIMR Berghofer animal house, flow cytometry, microscopy and histology facilities and helpful comments from members of the Lane Lab and Immunology Department at QIMR Berghofer Medical Research Institute. Specifically, Mark Smyth for his extremely valuable intellectual contributions and assistance with reagents, Rachel Kuns for help generating MHC Class II knockout AML and Madeleine Headlam and Keyur Dave for proteomics service and analysis. We thank Thomas Mercher for helpful comments and review of the manuscript.

We gratefully acknowledge the support of the Herron Family Trust, CSL Centenary Fellowship (SWL), NHMRC (Ideas Grant 2003575), Gordon and Jessie Gilmour Fellowship and Leukaemia Foundation of Australia (RA). CB and human AML work were supported by NHMRC Project Grant (1157263). MB was supported by a fellowship of the Mildred-Scheel Stiftung für Krebsforschung (Germany). FSFG was

supported by a NHMRC Early Career Fellowship (1088703), a National Breast Cancer Foundation (NBCF) Fellowship (PF-15-008).

Figures and Figure legends

Figure 1: Oncogene specificity dictates AML immunogenicity. (A) Schema for *in vivo* generation of oncogene-specific retroviral 1° AMLs in Rag2^{-/-}γc^{-/-} mice, then passaged through 2° Rag2^{-/-}γc^{-/-} or wildtype (WT) C57BL/6J mice. Peripheral blood (PB) leukemic burden (WBC x GFP %) of secondary recipients: (B) BA/NH day 12 post-transplant, (C) MA9 day 16 post-transplant, (D) AE/Nras^{G12D} on day 20 post-transplant. Data from one of two repeat experiments. Kaplan-Meier curves comparing survival between Rag2^{-/-}γc^{-/-} and WT secondary recipients transplanted with 150,000 1° AML cells demonstrating (E) BA/NH (Rag2^{-/-}γc^{-/-} n = 4; WT n = 5; median survival 14 days), (F) MA9 (Rag2^{-/-}γc^{-/-} n = 10; WT n = 10; median survival 18 vs. 25 days respectively) and, (G) AE/Nras^{G12D} (Rag2^{-/-}γc^{-/-} n = 10; WT n = 10; median survival 22 vs. 95 days respectively). BA/NH demonstrating data from one experiment. MA9 and AE/Nras^{G12D} demonstrating pooled data from two experiments. Each point represents a biological replicate. Mann-Whitney test for comparison between two groups and Mantel-Cox test for comparison of Kaplan-Meier curves. * p < 0.05, ** p < 0.01, *** p < 0.001, **** p < 0.0001.

Figure 2: Oncogene specificity influences the immunogenicity of AML cells. (A) Median fluorescence intensity (MFI) of H2-D^b, H2-K^b, MHC Class II (IA/E) on cell surface of myeloid cells (CD11b⁺) from bone marrow (BM) of naïve wildtype mice and GFP⁺ CD11b⁺ BA/NH, MA9 and Nras^{G12D} AML cells in the spleens of Rag2^{-/-}γc^{-/-} recipients moribund with disease. Each point represents a biological replicate

derived from independent mice transplanted with the same tumor. (B) Microarray derived gene expression of HLA-DQA1 from bulk PB/BM of 10 MLL-translocated (MLL-X) and 42 Nras mutant AML patients [33] (C) MHCII ssGSEA across Verhaak dataset genotypes (D) HLA-DQA1 gene expression in malignant CD33-expressing AML blasts derived from single cell RNA-sequencing of BM from patients with a MLL-X AML or a mutant RAS AML in comparison to CD33-expressing cells from healthy bone marrow [57]. (E) MFI of CD80, CD86, PD-L1, GAL-9 and CD155 on cell surface of myeloid cells (CD11b+) from BM of naïve wildtype mice and GFP+ CD11b+ BA/NH, MA9 and Nras^{G12D} AML cells in the spleens of Rag2^{-/-}γc^{-/-} recipients moribund with disease. (F) Kaplan-Meier curves comparing survival between Rag2^{-/-}γc^{-/-} and wildtype (WT) recipients transplanted with equal numbers of AML cells derived from a moribund *Tg(Mx1-cre)*, *Npm1^{fl-cA/+}*; *NRas^{fl-G12D/+}* mouse (Npm1c/Nras^{G12D})[31]. (G) WBCC of mice transplanted with Npm1c-Nras^{G12D} AML when moribund (Rag2^{-/-}γc^{-/-}) or 63 days post-transplant (WT). Each point represents a biological replicate derived from independent mice transplanted with the same tumor. One-way ANOVA (C) with Tukey's multiple testing correction (A: H2-D^b, H2-K^b, E: CD80, CD86)), Mann-Whitney test for pairwise comparisons between groups (B), Kruskal-Wallis test with Dunn's multiple comparisons test (A: MHC-II, D, E: CD86, GAL-9), Welch ANOVA with Dunnett's T3 multiple comparisons test (E: CD155), unpaired t-test with Welch's correction (G), Mantel-Cox test for comparison of Kaplan-Meier curves. * p < 0.05, ** p < 0.01, *** p < 0.001, **** p < 0.0001.

Figure 3: Oncogene specificity influences the type of immune response to AML cells. Kaplan-Meier plot comparing survival of wildtype (WT) recipients depleted of T cells and isotype control mice when transplanted with either (A)

603 Nras^{G12D} or (B) MA9 AML passaged through Rag2^{-/-}γc^{-/-} mice. (C) T cells from mice
604 transplanted with Nras^{G12D} or MA9 AML. Histograms comparing CD4⁺ and CD8⁺ T
605 cell proliferation through loss of cell trace violet when cultured with or without
606 irradiated Nras^{G12D} cells isolated from Rag2^{-/-}γc^{-/-} recipients. (D) Proliferation index of
607 CD4⁺ or CD8⁺ T cells (as in C) after incubation with irradiated Nras^{G12D}, MA9 cells or
608 untransformed BM, all isolated from Rag2^{-/-}γc^{-/-} recipients. Representative data from
609 replicate experiments. (E) Frequency of T cells (as a % of sytox- (alive) GFP- cells),
610 CD4⁺ and CD8⁺ T cells (as a proportion of total T cells), and CD4⁺ effector and
611 CD8⁺ effector T cells (as a % of either CD4⁺ or CD8⁺ T cells) in naïve WT mice and
612 secondary WT recipients of AML previously passaged through Rag2^{-/-}γc^{-/-} mice. (F)
613 Percentage of CD4⁺ and CD8⁺ T cells co-expressing PD-1, DNAM-1, KLRG1 and
614 TIM-3 from spleens of naïve C57BL/6J mice and AML recipients, n = 3-4 per
615 condition. Statistics are only displayed for double positive populations. (G)
616 Percentage of T cells expressing PDCD1 (PD-1) in the BM of healthy individuals or
617 patients with MLL-translocated or mutant RAS AML. Unpaired t-test (D: CD4⁺),
618 Mann-Whitney test (D: CD8⁺), One-way ANOVA with Tukey's p-value adjustment (E,
619 G), Welch ANOVA with Dunnett's T3 multiple comparisons test (F: PD-1+/DNAM-1+,
620 PD-1+/TIM-3+), Kruskal-Wallis test with Dunn's multiple comparisons test (F: PD-
621 1+/KLRG1+).

622 **Figure 4: Nras^{G12D} AML cells escape immunologic control through**
623 **immunoediting.** (A) Experimental schema for cross-over experiment to test for
624 immunoediting. (B) Kaplan-Meier plot comparing survival of tertiary recipients
625 transplanted with 2^o Nras^{G12D} passaged through either Rag2^{-/-}γc^{-/-} or WT mice (n = 5
626 per group). Representative data shown from two repeat experiments. (C) Kaplan-

Meier plot comparing survival of tertiary recipients transplanted with 2° MA9
 passaged through either Rag2^{-/-}γc^{-/-} or WT mice (n = 5 per group). Representative
 data shown from two repeat experiments. (D) Flow cytometry analysis of cell surface
 expression of immune modulatory molecules on N-IE and IE GFP+ Nras^{G12D} cells.
 MFI fold change normalized to average N-IE MFI analyzing H-2D^b, H-2K^b, MHC
 Class II, (E) PD-L1 and CD86. Pooled data from two experiments. (F) Experimental
 schema for anti-PD-1 treatment of IE Nras^{G12D} recipients. Mice were transplanted
 with IE Nras^{G12D} on day 0. Treatment with vehicle or anti-PD-1 (250μg per recipient)
 was commenced on day 7 post-transplant and continued every 3 days until day 35.
 (G) The proportion of tumor in a single, matched liver lobe (left), the number of
 tumors in a single, matched liver lobe, as quantified from H&E staining (middle) and
 the number of mice with tumor in a single, matched liver lobe (table) of vehicle
 (n=12) or anti-PD-1 (n=12) treated mice pooled from two independent experiments.
 Mann-Whitney test for comparison between two groups (D, E, G). * p <0.05, ** p <
 0.01, *** p <0.001, **** p <0.0001.

Figure 5: Myc activation reduces immunogenicity in Nras^{G12D}-driven AML. (A)

Enrichment of genes correlating with down-regulation of Nras signaling in
 immunoedited (IE) Nras^{G12D} AML, as determined from RNA-sequencing of GFP+
 AML cells isolated from either immunocompetent WT (IE, n=5) or immunodeficient
 Rag2^{-/-}γc^{-/-} (N-IE, n=5) recipients. (B) Relative quantification of Nras copy number in
 genomic DNA by qPCR in N-IE and IE Nras^{G12D} AML cells, expressed fold change to
 the N-IE mean. (C) Enrichment of genes correlating with upregulation of Myc
 transcriptional targets in IE Nras^{G12D} AML. (D) Correlation between the relative
 enrichment of a gene set containing core Myc transcriptional targets [38] and genes

associated with cytolytic infiltrate in AML [39], using bulk expression data from NRAS mutant AML patients [33]. (E) Flow cytometry analysis of cell surface expression of immune modulatory molecules on N-IE Nras^{G12D} AML cells transduced with either a Myc expression construct (MYC) or empty vector (EV) and passaged in Rag2^{-/-}γc^{-/-} recipients (mCherry⁺ GFP⁺ CD11b⁺ splenocytes from 5 independent Rag2^{-/-}γc^{-/-} recipients). (F) Survival of Rag2^{-/-}γc^{-/-} and WT secondary recipients transplanted with 60,000 N-IE Nras^{G12D}/EV or MYC AML cells. Mantel-Cox test for comparison of Kaplan-Meier curves. Unpaired t-test (E) with Welch's correction (B). * p < 0.05, ** p < 0.01, *** p < 0.001, **** p < 0.0001.

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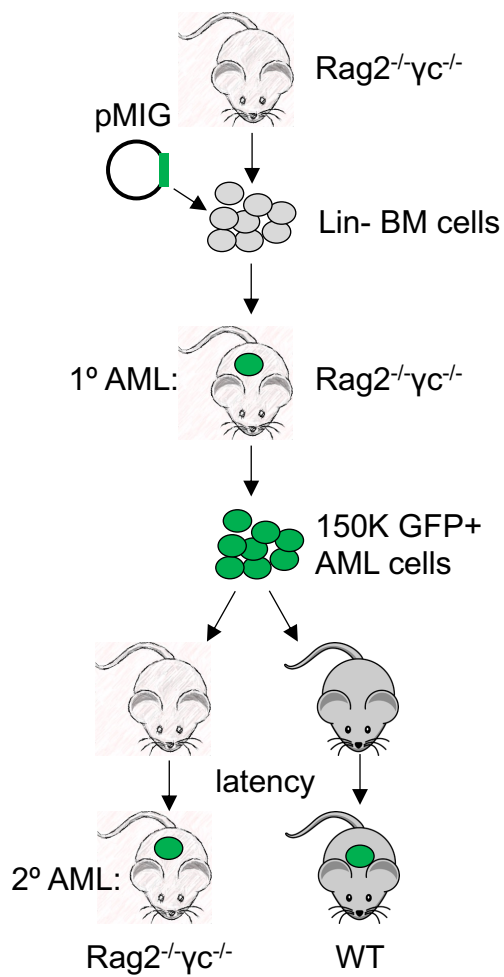
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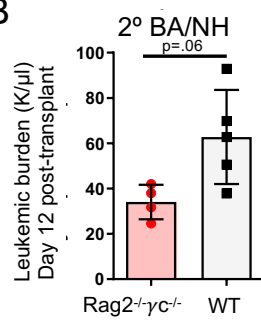
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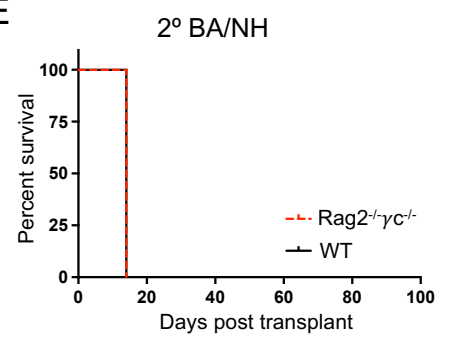
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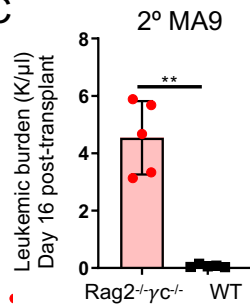
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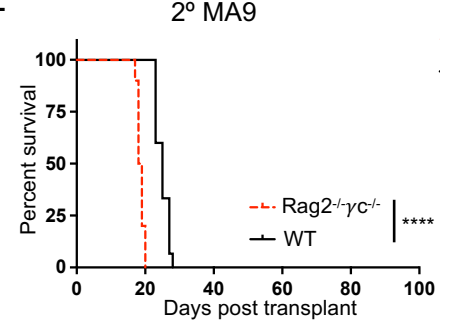
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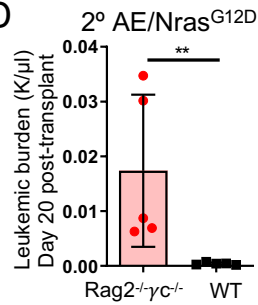
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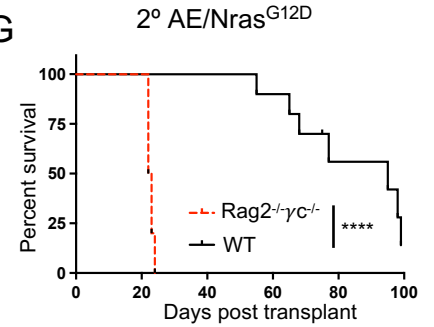


Figure 1

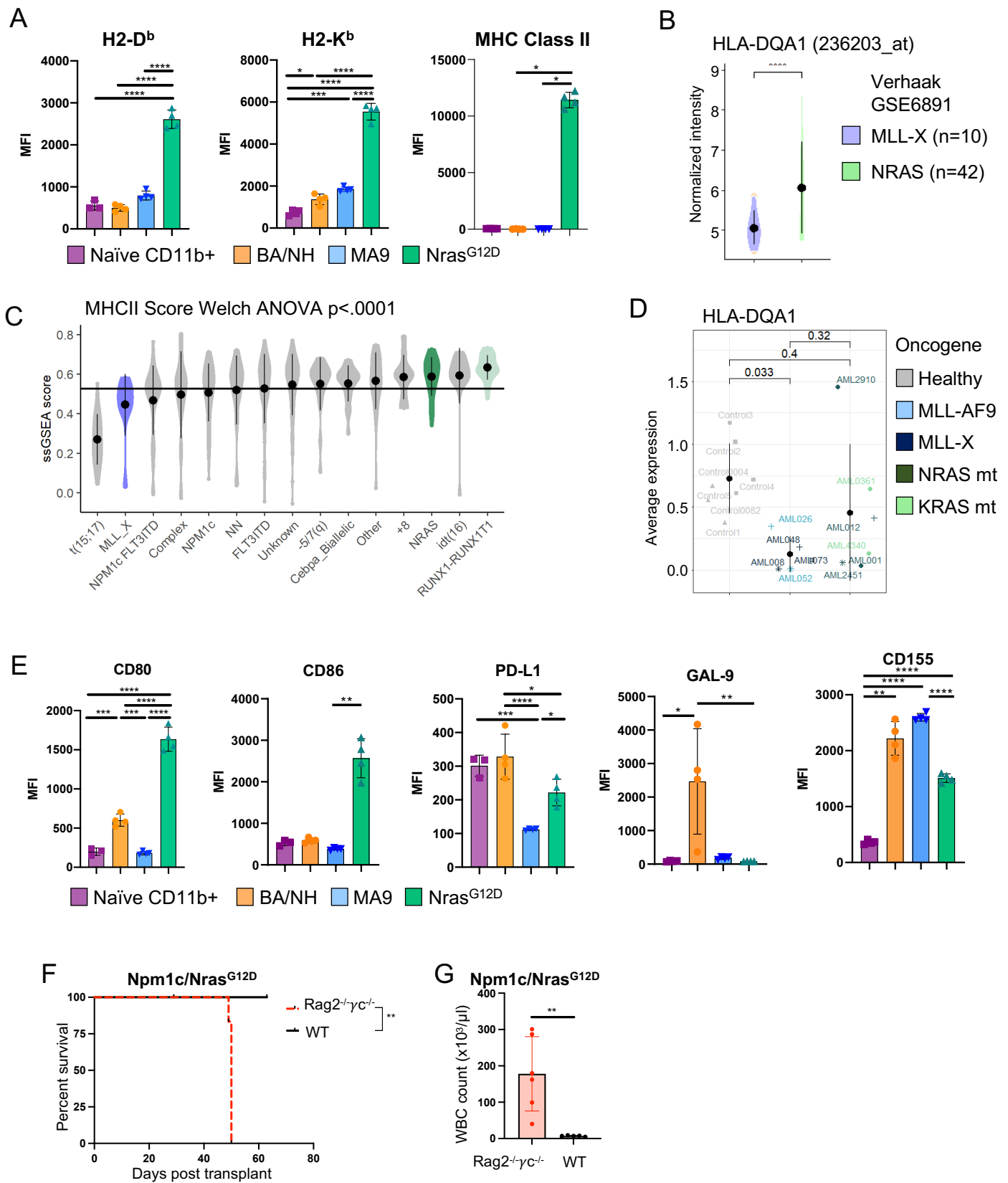


Figure 2

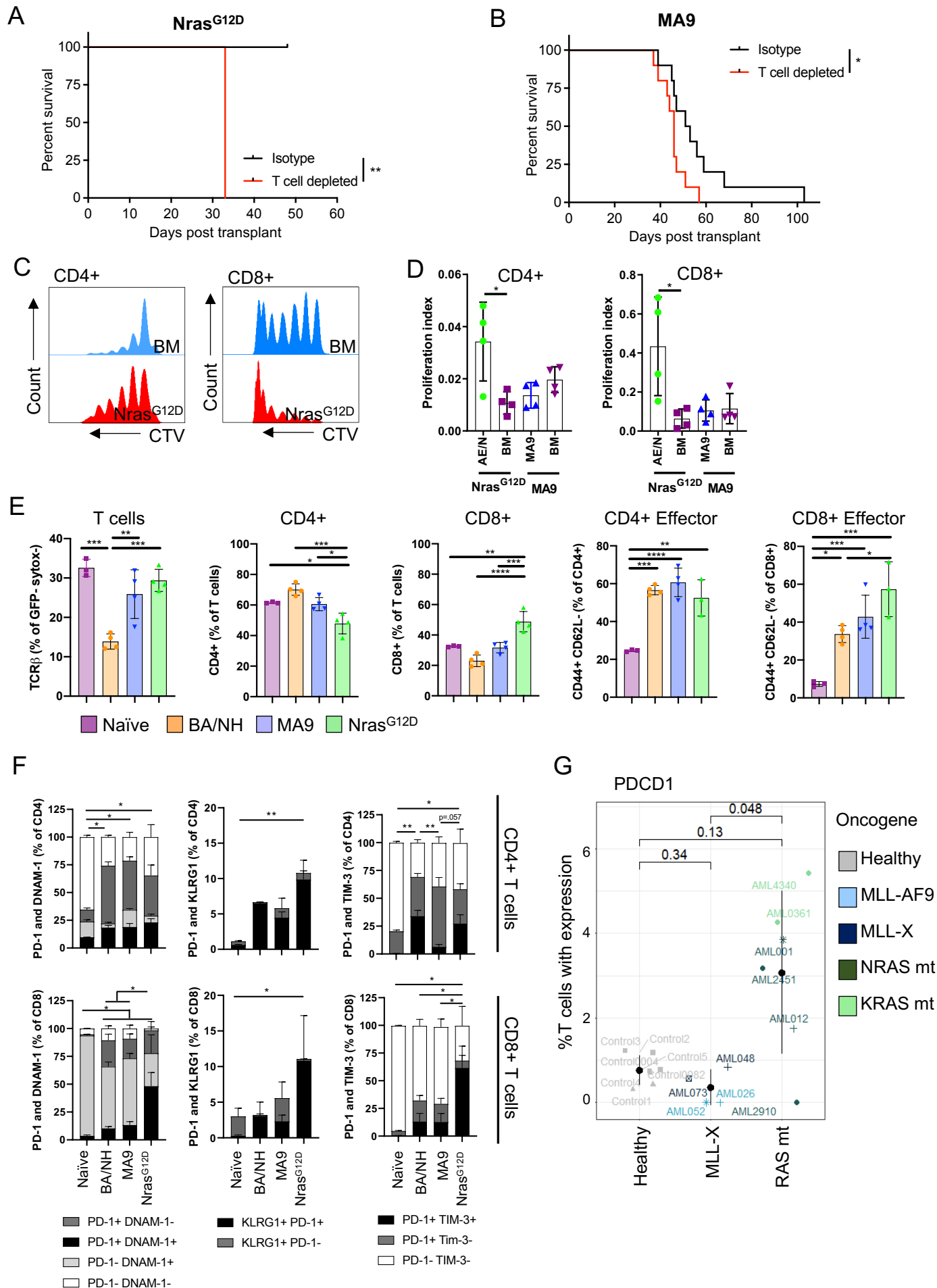


Figure 3

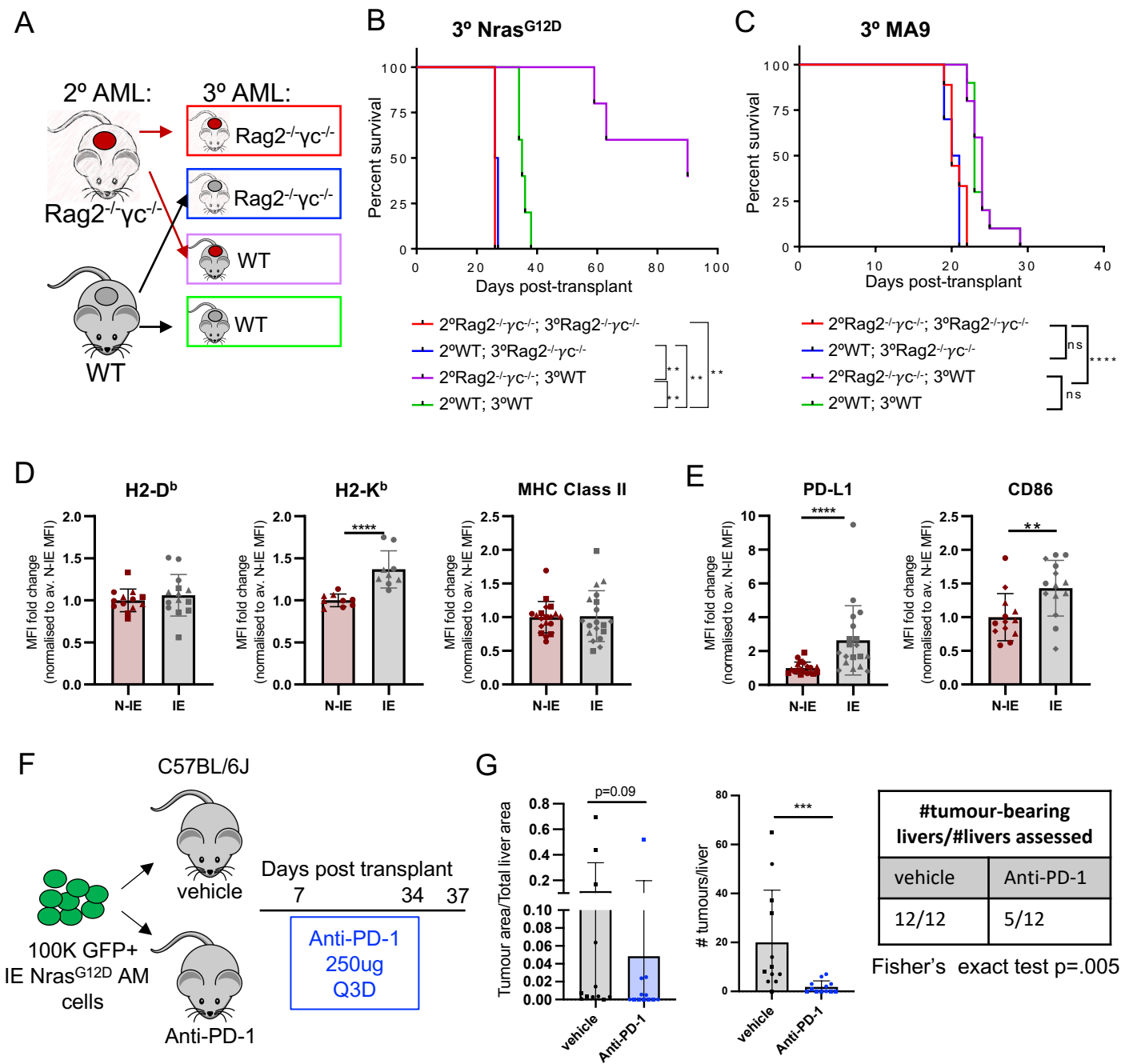


Figure 4

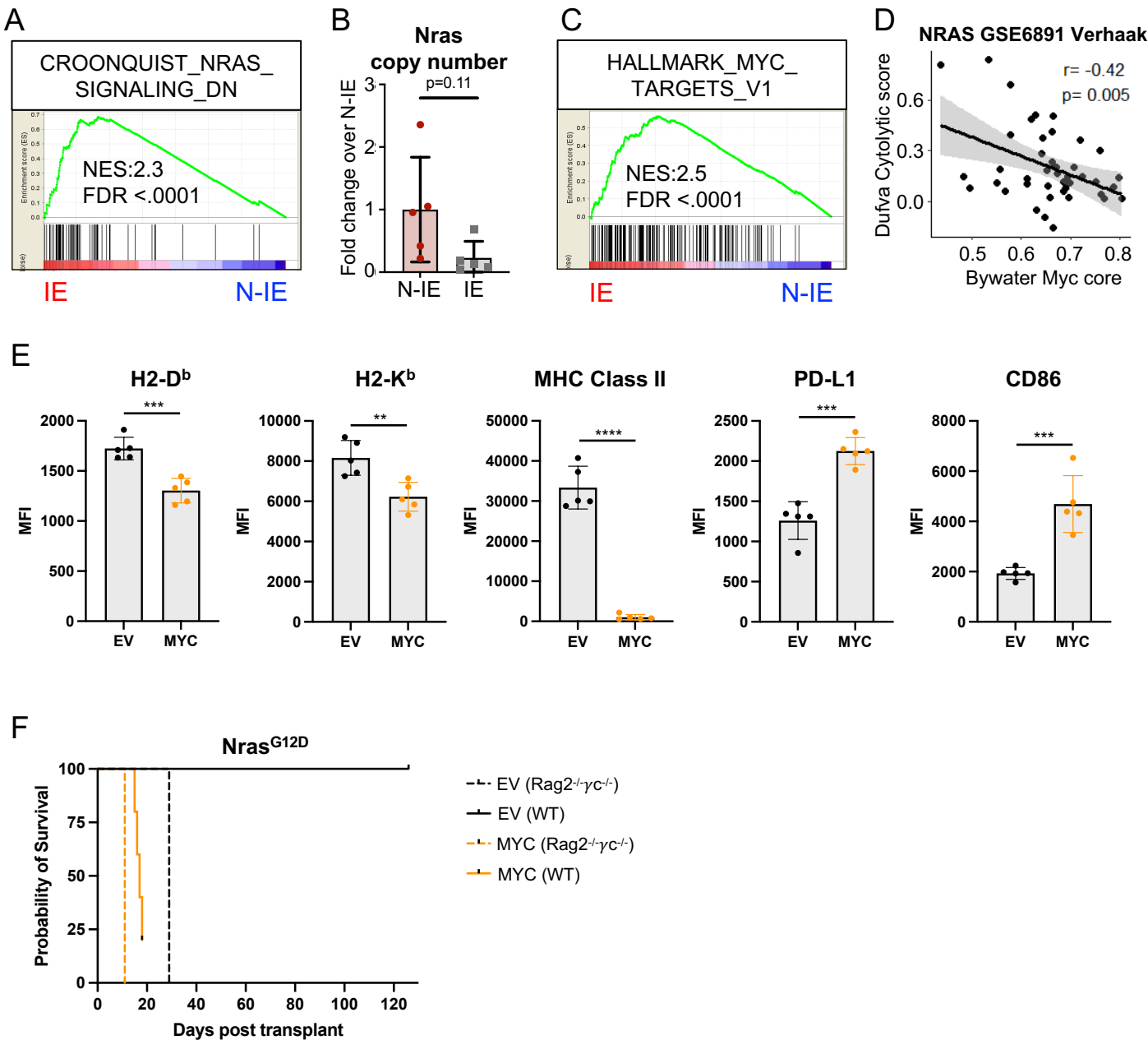


Figure 5