

# **Unifying genomics and transcriptomics in single cells with ResolveOME amplification chemistry to illuminate oncogenic and drug resistance mechanisms**

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# ABSTRACT

Discovering genomic variation in the absence of information about transcriptional consequence of that variation or, conversely, a transcriptional signature without understanding underlying genomic contributions, hinders understanding of molecular mechanisms of disease. To assess this genomic and transcriptomic coordination, we developed a new chemistry and method, ResolveOME, to extract this information out of the individual cell. The workflow unifies template-switching full-transcript RNA-Seq chemistry and whole genome amplification (WGA), followed by affinity purification of first-strand cDNA and subsequent separation of the RNA/DNA fractions for sequencing library preparation. In the ResolveOME methodology we leverage the attributes of primary template-directed amplification (PTA)<sup>1</sup> to enable accurate assessment of single-nucleotide variation as a DNA feature—not achieved with existing workflows to assess DNA + RNA information in the same cell.

We demonstrated the validity of the technique in the context of two major phenomena in oncology: tumor heterogeneity (leading to cancer progression) and treatment resistance. Material from a primary patient breast cancer and an acute myeloid leukemia (AML) cell line, MOLM-13, was used to highlight multiomic biomarker paradigms enabled by this chemistry. Performance of the PTA-enabled genome amplification was largely unaffected by addition of RNA enrichment, with control WGS results showing > 95% genome coverage, precision > 0.99 and allele drop out < 15%. In the RNA fraction of the chemistry, we were able to routinely retrieve full-length transcripts that demonstrate a ratio of 1 for 5'/3' bias, with increased coverage of intronic regions and 5' regions that are indicative of novel transcripts, showing strength of the template switching mechanism to capture isoform information with sparsity rates < 75%. We find remarkable cellular variability of revealed biomarkers at both in the genome and transcriptome despite employing a relatively small number of individual cells. In our primary patient sample of ductal carcinoma in situ (DCIS)/invasive ductal carcinoma (IDC) we observed oncogenic *PIK3CA* driver mutations and prototypical DCIS copy number alterations binned into heterogeneous single-cell classes of genomic lesions. Within our quizartinib-treated MOLM-13 cells, we identified multiple potential mechanisms of resistance within seemingly sporadic changes and were able to associate specific mutation, copy number and expression significantly correlated to treatment. In this latter scenario, the DNA arm of our combined workflow uncovered a *secondary* FLT3 (non-internal tandem duplication (ITD)) mutation as a candidate primary driver of resistance to drug while the RNA arm showed matched transcript upregulation of AXL signal transduction as well as enhancer factor modulation. Importantly, proximal candidate regulatory SNVs, outside of the

CDS, were identified and associated to upregulated transcripts *in cis*. The study highlights that both the genome and transcriptome are dynamic, leading to a set of combinatorial alterations that affect cellular evolution and that fate can be identified through ResolveOME application to individual cells.

## INTRODUCTION

Cancer is a disease of remarkable variation and heterogeneity between the individual cells comprising the bulk tumor tissue. While a multitude of studies have described these changes across the evolution of cancer, etiology is still driven by speculation in most cancers. This is borne out in the molecular complexity underlying the resiliency of cancer cells in drug resistance, whereby single nucleotide variation (SNV) and copy number variation (CNV) at the genomic level contributes to resistance in concert with transcriptional adaptation<sup>2</sup>. While one of these modes can be a dominant driver, there is increasing evidence that the modes are not mutually exclusive and instead can synergize to change cell state leading to resistance<sup>3</sup>. It will therefore become paramount to assay these multiple “-omic” tiers (genomic and transcriptomic) in single cells, as bulk sequencing provides an incomplete view of the inherent heterogeneity in each of these tiers. Cancer’s evolution is driven through a complex molecular orchestration, where the interdependence of genomic and transcriptomic changes occurring in each cell convey some of the major fitness advantages that drive expansion and drug resistance. The nature of current genomic and transcriptomic assays muddle the underlying clonal structure by reducing genomic data to tissue-based averages. Recent methods aimed at simultaneously monitoring both RNA and DNA in single cells have made this linking possible, but contain uneven genome coverage and low allelic balance, limiting the ability to assess single nucleotide variation genome-wide with accuracy<sup>4,5</sup>.

To overcome this challenge, we enhanced our previously-characterized PTA<sup>1</sup> workflow and extended a second modality of transcriptome enrichment. The method is differentiated through enhanced genome coverage and uniformity, along with allelic balance, wherein both copies of the genome are equivalently and uniformly amplified. This is an underlying attribute that allows both CNV and SNV detection from an amplified genome of a sample as finite as a single cell with high accuracy<sup>1</sup>. The ability of PTA to provide this degree of uniformity and accuracy stems from the unfavored recopying of synthesized strands, driven by nucleotide terminators that limit the size of the amplicons, and coincidentally this amplicon-size distribution (500-1500bp) is suitable for the natural distribution of transcript lengths.

We present a single-well integration of single-cell transcriptome and genome amplification where a standard PTA reaction was modified to include a reverse transcription (RT) step prior to single-cell genome amplification and we designate this multiomic enrichment ResolveOME. In this workflow, PTA amplifies the genomes of single cells immediately after the RT reaction is concluded in a single-well reaction. Using template switch-based reverse transcription, we created first-strand cDNA molecules that could be affinity purified and pre-amplified prior to RNA-Seq sequencing library creation. The net result from the combined amplification reaction is a biotin labeled cDNA pool derived primarily from the cytosolic transcripts, available for streptavidin purification, and a pool of amplified genomic material from the single cell. At the conclusion of the genome amplification reaction the cDNA fraction is separated from the amplified genome material whereby libraries from each pool are created. The resulting sequencing data offers the ability to define both genomic and transcriptomic plasticity at single-cell resolution. Specifically, the delineation of isoform expression, combined with ability to annotate the underlying structural variation and single nucleotide changes from the genome of the same cell (**Figure 1a**), allows the assessment of genomic “penetrance”, and the definition of mechanisms that drive single-cell fate.

Prior multi-omic efforts have pioneered the pairing of genomic and transcriptomic information from the same single cell but have the primary shortcoming of incomplete genome coverage and associated non-uniformity of coverage—leaving uncovered genomic valleys that may harbor deleterious single nucleotide variants that would remain undetected. Indeed, multiple displacement amplification (MDA)<sup>6</sup> drives the genomic amplification of G&T-seq and DR-Seq has genomic amplification uniformity comparable to that of MALBAC<sup>7</sup>, both of which are outperformed by PTA<sup>1</sup> in terms of genomic coverage, allelic balance and SNV calling metrics. Definition of clonal evolution at the SNV/CNV level in a primary patient sample has been accomplished utilizing G&T-seq, yet was limited to a candidate gene survey of exome-level data whereby clusters were defined by 59 oncogenes<sup>8</sup> and another study employing G&T-seq limited their analysis to the RNA workflow of the method to take advantage of the low input requirement, without assessment of genomic level data<sup>9</sup>. Thus, we address here an unmet need to add genome-wide, high sensitivity and high precision SNV calling capability to a joint DNA/RNA single-cell methodology. Further, we demonstrate the criticality of these measurements, whereby single nucleotide variation fundamentally affects cell state<sup>10</sup> and tumor progression<sup>11,12</sup>.



We describe here the utility of these unified “-omic” layers, highlighting heterogenous genomic variation and consequential phenotypic alterations in single cells that both are correlated with the development of resistance to a targeted therapeutic in a cell line model of acute myeloid leukemia, and in oncogenic mechanisms in primary breast cancer cells whereby the insights gained could not be inferred by a single dataset (genome or transcriptome) alone.

## RESULTS

### *Amplification product yield of ResolveOME workflow*

Prior to demonstrating biological utility of ResolveOME in a cell line drug resistance model and in a primary patient sample, we sought to demonstrate technical performance of the methodology using a benchmark cell line 1000 Genomes cell line, NA12878<sup>13</sup>. The RNA and DNA arms of the protocol were first assessed using metrics from the template-switching RNA-Seq chemistry or PTA chemistry in isolation to compare to the metrics when the chemistries were unified in the combined ResolveOME protocol.

We first generated ResolveOME data with FACS-sorted NA12878 single cells and with purified total NA12878 RNA or genomic DNA as amplification controls using the workflow shown in **Figure 1a**. Efficiency of the yield of the PTA product and cDNA products from the unified protocol are shown in **Figure 1b**. We obtained approximately 1-1.5 µg of DNA amplification product from single cell genomes and approximately 100-200 ng of cDNA product representing the single cell transcriptome. Importantly, no-template control (NTC) reactions showed lack of detectable product and additionally there was negligible (<50 ng) yield in the DNA fraction from control RNA input using Qubit fluorometer (ThermoFisher). We did detect low-level background amplification of the genomic DNA control input in the cDNA fraction, due to known promiscuity of reverse transcriptase in the absence of mRNA template<sup>14</sup>. By contrast, this background amplification does not occur in reactions with single cells as the genome material is sequestered in the non-lysed nucleus during the reverse transcription workflow of ResolveOME.

### *Comparative genomic performance of ResolveOME*

As default practice prior to passing single cell samples to deep sequencing for SNV analysis we performed low-pass QC sequencing, and as part of the analysis pipeline, determined an estimation of library complexity with the PreSeq count algorithm<sup>15</sup>. QC standards set for ResolvedNA (product solution for PTA) are >3.0E9 PreSeq count value upon low-pass sequencing, an empirically-defined proxy for genomic coverage and uniformity that predicts high-depth sequencing will yield strong allelic balance and high sensitivity and precision of single nucleotide variant calling. The average PreSeq

count of ResolveOME single cells from **Supplementary Table 1a** was 3.76E9 with a standard deviation of +/- 2.27E8. The overall robust performance of single cells and genomic DNA controls warranted subsequent deep sequencing for metric comparison of classical PTA (ResolveDNA) to PTA from the ResolveOME multi-omic workflow.

Upon high-depth sequencing (2X150bp, down-sampling to 4.5E8 total reads, ~20x genome depth) and processing through our pipeline, we initially reviewed allelic balance, (ability to represent both alleles through enrichment and a strength of our ResolveDNA methodology<sup>1,16</sup>). The inverse of allelic drop out (ADO) is allelic balance, which is the proportion of known heterozygous loci that are called heterozygous following sequencing. Variants within these loci have allele frequencies between 10% and 90% at each locus. A review of allelic balance of ResolveOME showed 85.5% (+/-3.4%), which is closely comparable to the 88.2% (+/-4%) for ResolveDNA, across 10 replicates each (**Figure 2a**). We then confirmed that genomic coverage at a range of depths did not significantly differ (**Figure 2b**) between the workflows. Lastly, it was critical to demonstrate that the allelic balance and coverage obtained from the ResolveOME workflow culminated in the ability to call SNVs with confidence. **Figure 2c** highlights individual ResolveOME NA12878 cells with a SNV calling sensitivity range of 0.90-0.95 and with precision >0.99, akin to ResolveDNA data<sup>1</sup>. Collectively, these data suggest that, despite the upstream reverse transcription chemistry modifications to generate transcriptome data, amplification performance of single-cell genomes by PTA persists in performance.

### *Comparative transcriptomic performance of ResolveOME*

In choosing a transcriptomic scheme to unite with PTA our goal was to be as comprehensive as possible in capturing the diversity of RNA-based modes of oncogenic and drug resistance mechanisms, and, equally as importantly, to enable the ascertainment of genomic lesions manifesting at the RNA level. We therefore designed a template-switching reverse transcription scheme for ResolveOME that captured full-transcript information as opposed to either 5' or 3' end counting to enhance ability to detect isoforms and identify fusions. This chemistry enables even coverage across transcripts and as shown in **Figure 3a**, where increased coverage of the 5' region (top) which typically is affected by degradation (or reverse transcriptase performance) proportional to the distance from 3'-polyA, is shown. This confirms expected behavior of the template-switching chemistry in the RNA arm workflow. The distribution of read depth across gene bodies of a set of housekeeping genes is presented in **Figure 3a (bottom)**, with all exons equally represented. Feature quantification in the across our defined transcriptome is shown in **Figure 3b**, highlighting the

ability to identify a variety of transcript types and components. Progression of the performance is shown in this figure from what is observed in a bulk dataset (bar 1, aggregated datasets listed in **Supplementary Data 1**) vs. features such as bulk RNA isolation (bars 2 and 4) or single cell (bars 3 and 5) against standalone BioSkryb mRNA-seq (bars 2 and 3) or the ResolveOME combined workflow (bars 4 and 5). Notably, intergenic background was routinely below 5% of aligned reads, providing a broader space for isoform detection.

As further performance benchmarking of cell quality post mapping to reference transcriptome, we established performance patterns of common metrics with well characterized Human Brain Reference RNA (HBRR) and Universal Human Reference RNA (UHRR) as additions to the NA12878 cell line and displayed composite features in **Figure 3c**. We identified read and genomic feature mapping percentages, as well as total genes discovered, as criteria for evaluating sequencing quality. We also examined the dynamic range of expression and expression patterns in well-known housekeeping genes. We computed various markers of DNA contamination, sample degradation, and/or bias as a percentage of exonic (more than 55%), and intergenic mapping (less than 5 %) as characteristics of the ResolveOME RNA fraction. Another important metric for measuring the quality of single cell experiments is the number of genes found (>0 counts) per cell. For NA12878 cells there was an average of over 3000, whereas the average number of HBRR and UHRR genes discovered was around 6 and 7 thousand, respectively. Lastly, median absolute deviation (MAD) and percent coefficient of variation (CV) scores were calculated on normalized CPM values for general use housekeeping genes for cross-tissue studies<sup>17</sup>. These metrics measure reproducibility and are robust approaches to measuring sample variability. Overall, we observe comparable monotonous expression metrics across our housekeeping genes of choice, as well as MAD values ranging from 0.25 to 1 for our HBRR and UHRR benchmarks, suggesting that these genes exhibit little variability in expression across cells. With NA12878, we saw a bit more irregularity, which might imply higher variability or unsuitable housekeeping genes. Correspondingly, CV rates varied from 14 to 30 percent, despite NA12878 exhibiting more variation. For each cell, the dynamic range of expressed genes was around 1300 (HBRR), 1400 (UHRR), and 1900 (NA12878) CPM. In all single cells analyzed, mitochondrial read percentage was <10%, with most cells averaging less than 5%, indicating that single-cell lysis was optimal for capturing mRNA and other polyadenylated transcripts and that the amplified cells were healthy<sup>18</sup>.

In addition to NA12878 cells, which are relatively transcriptionally quiescent, we also assessed uniquely expressed protein coding genes in single cells from our DCIS and MOLM-13 material, **Figure 3d**. MOLM-13 AML cells averaged ~5000-5500, while FACS-enriched single cells from a primary DCIS/IDC tumor specimen yielded less expressed genes than the cell line models, averaging ~3500, potentially owing to sample integrity of the primary singulated cells and the increased number of workflow steps from surgical resection to FACS.

### *Generation of a drug resistance model in MOLM-13 acute myeloid leukemia cells*

We then expanded from the DNA and RNA performance metrics of ResolveOME on control cells, and moved to generate unified genomic and transcriptomic information from a model of drug resistance. Prior to looking at heterogenous effects of drug resistance, we wanted to make sure the chemistry could regenerate MOLM-13's known genomic features. We started by karyotypically assessing the cell to match published reports and provide context for interpreting CNV analysis. The combined copy number analysis of all MOLM-13 cells used in this study can be found in **Figure 4a**. Prior to drug resistance modeling, our MOLM-13 line exhibited hallmarks of the initial cell line establishment including trisomies of Chr.6 and Chr. 13 (49,,2n.,XY,+6,+8,+13, 49,,2n., XY, +6,+8, ins(11;9)(q23;p22p23), ins(11;9)(q23;p22p23),del(14)(q23.3;q31.3)<sup>19</sup>. Our MOLM-13 line exhibited (**Figure 4b**) additional gains including the presentation of trisomy 5 and pentasomy 8 concomitant with other translocations (52,XY,+5,+6,+8,+8,+del(8p),add(11q),+13,add(17p)).

To demonstrate the utility of concurrent genomic and transcriptomic information in single cells in the context of drug resistance, we created a model by exploiting the presence of an internal tandem duplication (ITD) mutation in MOLM-13 cells<sup>19</sup>. Since the ITD mutation, found in ~20% of AML patients, hyperactivates FLT3 signaling and results in poor prognosis and relapse<sup>20</sup>, we treated non-resistant, drug-sensitive cells with a continual dose of 2 nM quizartinib. This drug is a selective type II kinase inhibitor targeting *FLT3*. We found resistance emerged following initial marked growth inhibition/apoptosis (See Methods, **Supplementary Figure 1**).

### *Distinction in single-cell CNV profiles among parental and quizartinib-resistant MOLM-13 cells*

As an initial assessment of single-cell genomic variation in the MOLM-13 quizartinib resistance model we performed CNV analysis following the ResolveOME workflow on 9 parental "P" and 10 quizartinib-resistant "R" cells. Utilizing sequencing data to yield ~25x coverage and a 500 kb window size, copy number gain was evident for

chromosomes 5,6,8, and 13 (**Figure 4a**) and concordant with our karyotypic data for the parental cells (**Figure 4b**).

Single-cell CNV heterogeneity immediately emerged from the data. Within the “P” cohort, gain to 3N was observed for 9/9 cells for Chr. 5, yet 5/9 cells showed additional 5p gains. Most relevant, we observed heterogeneous copy number variation between “P” and “R” single cells. No resistant cells exhibited the additional 5p gain found in the parental cohort, and furthermore, 7/10 resistant cells did not have any amplification of Chr. 5 as a diploid 2n state, suggesting that this was selected for to mediate drug resistance in part by expression consequences on multiple Chr.5-resident genes. In addition to this general implication of Chr. 5 as a candidate contributor to quizartinib resistance, we observed 19q gain uniquely in 4/10 resistance cells. Taken together, we defined a CNV paradigm for the MOLM-13 resistance model that could now be used as context for the SNV and transcriptional layers to be subsequently defined by ResolveOME.

#### *Acquisition of a secondary FLT3 mutation as a key driver of drug resistance*

We next sought to determine candidate key drivers of quizartinib resistance beyond gross CNV at the increased level of genomic resolution of the SNV. Expectedly, all parental and resistant single cells harbored *FLT3* ITD (**Figure 5a**). In contrast, a missense mutation N841K was detected in all quizartinib resistant cells (**Figure 5b**). *FLT3* N841K has previously been detected in AML patients<sup>21</sup>, resides in the activation loop of *FLT3*<sup>22</sup>, and furthermore, mutation of the residue corresponding to N841 in the closely-related receptor tyrosine kinase KIT is activating<sup>23</sup>. This strongly suggests that N841K is a chief secondary mutation to ITD and is plausibly contributing to quizartinib resistance in this model by preventing efficiency of drug binding.

To assess whether the N841K *FLT3* secondary mutation may have arisen *de novo* or was an existing genetic variant clone in the parental population we employed a custom quantitative PCR-based genotyping assay to distinguish between the two scenarios. This probe set, emitting fluorescence of differing wavelengths for allelic discrimination between N841 and K841 upon probe binding and dequenching, was employed in qPCR assays of genomic DNA isolated from either parental or quizartinib-resistant MOLM-13 cells. In parental cells, while amplification of N841 dominated, a low but detectable level of K841 presented (**Figure 5c**). Resistant cells displayed a contrasting scenario, whereby there was equal signal from N841 and K841. These data suggest that *FLT3* K841 existed as an extremely rare clone in the original MOLM-13 cell line which upon the selective pressure of quizartinib was enriched to domination of the resistant

cell line likely due to its ability to affect drug binding—thus highlighting our cell line model’s emulation of clonal selection in patient tumors. While this variation independently makes a compelling case, with the increased biomarker resolution, we have very well-defined groups, identified by the heatmap in **Figure 6** that showcases differential genotypes across the 2 groups.

### *Heterogenous SNV in MOLM-13 quizartinib resistance*

We also interrogated a candidate list of genes representing multiple functional classes—signaling, epigenetic, tumor suppressor, spliceosome, cohesion complex genes—previously implicated in AML pathogenesis<sup>24</sup> for SNV. With no resistant-specific coding sequence changes in single cells identified with this candidate approach other than the *FLT3* secondary mutation, we began an unbiased search for mutations that may be contributing to quizartinib resistance and for those mutations representing subclones and not found in all resistant cells (**Supplementary Tables 2 and 3**). We first sought to stratify the variant call file by rarer functional class of mutation, stop codon gain and frameshift mutation, due to the increased likelihood of deleterious functional consequences. We identified a heterozygous nonsense mutation in the splicing and mRNA stability factor *CELF4* in 7/10 quizartinib-resistant cells where the change was not identified in any single cells of the parental cohort. Frameshift mutations were identified in the metabolic enzyme *ADSS1* at K291 (c.870dupC) in 8/10 quizartinib resistant and 0/9 parental cells and in the GTP-binding protein *RRAGC* at A57 (c.167dupG) in 5/10 resistant cells and in 0/9 parental cells. While we initially prioritized these variants, we were unable to detect expression of their cognate transcripts (**Figure 7b**). This suggested that either these genes were lowly expressed in MOLM-13 cells, unexpressed at the time of cell capture and extraction, and/or beyond our limit of detection with ResolveOME. These findings motivated us to more comprehensively quantify the single nucleotide variation in our model, as well as to prioritize genomic variants associated with gene expression, which ResolveOME uniquely enables for single cells.

We thus subsequently employed a variant filtering/prioritization strategy to identify single nucleotide variation present in quizartinib-resistant single cells but not in parental single cells. From this analysis (see Methods), we used multinomial logistic regression analysis and a Wald test to yield 6444 SNVs that were differentially prevalent between parental and resistant single cells ( $p < 0.05$ ). **Figure 6** presents this statistically significant genotypic variation in a heatmap and allows visualization of conversion of homozygous reference (0/0) to heterozygous (1/0, 0/1) or homozygous alternate (1/1) alleles in the resistant cells, and, conversely, loss of heterozygous genotypes in the resistant cells to

homozygous reference (**Supplementary Table 2**, coding-related and **Supplementary Table 3** intergenic-related).

Additional filtration by allowed us to focus on missense variations differing in parental vs resistant line in **Supplementary Figure 2**. As a prioritized missense mutation of biological interest with validated mRNA expression, we report A109V in the E3 ubiquitin ligase gene *RNF167*, found in all 10 quizartinib-resistant cells but not present in cells of the parental cohort.

In addition to prioritizing coding sequence variation above, variant filtration (See details in Methods) allowed us to discern a remarkable degree of single nucleotide variation in intergenic space occurring in our quizartinib resistance model. We catalogued 8601 intergenic SNVs in our parental cells vs 2167 in our quizartinib resistant cell cohort present in at least 25% of all cells within the group. This group-specific variation shows context of both selection of existing genomic variation in response to drug treatment and in de novo mutation and an exemplification of the high degree of plasticity in the genome (**Figure 6**, **Supplementary Table 3**).

#### *MOLM-13 quizartinib-resistant cells exhibit a distinct transcriptional signature including adaptive bypass*

At the SNV level, there was distinction between parental and resistant MOLM-13 single cells in principal coordinate analysis ( $p < 0.05$ , **Figure 7a**). The same trend was seen in the ResolveOME transcriptomes of the two MOLM-13 single cell cohorts (data not shown). We present in **Figure 7b** a dendrogram highlighting differentially expressed transcripts between the P and R single cells and labeled by biotype indicating the categorical nature of the upregulated or downregulated transcript. We highlight two specific examples here that highlight both DNA and RNA-level contributions to drug resistance in this model.

Firstly, from our differentially expressed gene set we noted marked upregulation of *GAS6*, a ligand for the receptor tyrosine kinase AXL. The AXL pathway, specifically through downstream STAT3 cell proliferation and PI3K/AKT survival signaling, has been shown to be a bypass pathway for FLT3 inhibition<sup>25,26</sup> (**Supplementary Figure 3**). We also observed concurrent transcriptional upregulation of the small GTPase *RAC1*, which may be synergistic with upregulation of the AXL-STAT3 and AXL-PI3K/AKT signaling axes<sup>27,28</sup>. Collectively, these transcriptional responses indicate a mode of adaptive transcriptional bypass that is occurring in the same cell harboring a DNA-level, secondary *FLT3* mutation driving drug resistance.



Intriguingly, we also noted the pioneer transcription factor CEBPA CCAAT/enhancer-binding protein alpha (C/EBP $\alpha$ ) transcriptional upregulation in quizartinib-resistant cells (**Figure 7b**). Truncating mutations in *CEBPA* are found in ~10-15% of AML patients<sup>29,30</sup>, leading to expression of an N terminal fragment of CEBPA, p30, with potential dominant negative<sup>31</sup> activity. As *CEBPA* resides on Chr. 19q13.11, concomitant with the transcriptional upregulation of *CEBPA*, we observed Chr.19q gain in a subset of quizartinib-resistant cells (**Figure 7c**) suggesting a potential genomic mechanism of *CEBPA* expression upregulation and exemplifying the power of the unification of single-cell genomic and transcriptomic data.

While plausible, we did not observe a positive correlation between copy number gain at *CEBPA* upregulation in individual cells, suggesting that the mode of transcript upregulation is epigenetic in nature. We therefore ascertained the relationship of ploidy to gene expression genome-wide using a zero-inflated linear model. Ploidy and gene expression were not direct correlates using a 500kb window size, except for a set of genes whereby statistically meaningful associations were identified ( $p < 0.05$ ) with this model (**Figure 7d**). **Supplementary Table 4** shows each gene identified and summarizes copy number and expression correlates. This highlights the importance of concurrent transcriptomic assessment when interpreting copy number alterations in single cells, as well as highlights the significant single cell heterogeneity that occurs in terms of ploidy across sub-megabase chromosomal intervals.

In addition to these examples of transcriptional drug resistance mechanistic hypotheses informed by combined single-cell genomic and transcriptomic data, we performed differential transcript usage (DTU) analysis (**Figure 7e**) as we were empowered by full-length (vs. 3' end counting) data to make transcript isoform insights. We identified an isoform of *HADHA*, whereby its expression was unique to the quizartinib-resistant population and absent in all but one parental cell—whereby the isoform with biased expression in the resistant cells was shorter (~2688 bp) than the parental isoform (2943 bp). Similarly, 7/10 quizartinib-resistant single cells exclusively expressed an isoform of *PPP1R14B* containing an additional 5' exon while the majority of parental cells expressed none of the isoform. In total, we identified 6 instances of isoform specificity between parental and quizartinib-resistant populations for additional genes RPS3, HSPA4, SUGT1, CAPNS1.



### *Identification of candidate regulatory SNVs modulating transcript levels in resistant cells*

As we identified occurrences of genomic lesions of interest that did not associate with the predicted transcriptional output, we sought to identify single nucleotide variation that would influence the expression of a proximal gene as a candidate regulatory variant in **Figure 8a**. While we earlier failed to identify a correlation between Chr. 19q gain and *CEBPA* mRNA upregulation in resistant cells (**Figure 7c**), we identified a candidate distal promoter/enhancer SNV ~20kb 5' of the *CEBPA* transcriptional start site with a genotypic bias between parental and resistant cells (**Figure 8b**) in the variant call file defining SNVs. We then moved to an unbiased approach, whereby we performed ZLM (zero-inflated linear model) modelling of transcriptional abundance of a gene across the genotypes of the cohorts. For initial analysis we limited our SNV detection to intragenic or promoter (0 to -5000 relative to the transcriptional start site). Upregulation of *MYC* expression was observed in resistant vs parental cells, and we identified a candidate intronic regulatory variant with a genotypic bias to the reference 0/0 allele in resistant cells while all but one of the parental single cells harbored the 0/1 genotype for the candidate regulatory variant (**Figures 8a,c**). An additional example of a candidate proximal regulatory SNVs with a parental/resistant genotypic bias and concomitant expression dichotomy between the parental and resistant cells included a candidate promoter mutation in the *PABPC4* gene, encoding a poly(A) binding protein, within 5' kb upstream of the transcriptional start site (**Figures 8a,d**). All variants identified with this analysis of course warrant functional investigation for validity but emphasize the ability of ResolveOME to generate candidate regulatory SNVs through the pairwise analysis of genotype shifting and transcriptional modulation in individual cells. Extending this analysis to all of intergenic space and associating the SNVs with ENCODE ChIP-Seq data will be a powerful tool to generate larger numbers of candidates influencing drug resistance and oncogenesis.

### *Primary DCIS/IDC single cells exhibit heterogeneous classes of chromosomal loss*

After demonstrating the utility of ResolveOME's unification of genomic and transcriptomic data to elucidate single-cell drug resistance mechanisms in a cell line model, we importantly sought to demonstrate analogous multi-omic utility in elucidating single-cell oncogenic mechanisms in primary human cancer. To this end, we initiated a collaboration with Duke University Medical Center to elucidate genomic and transcriptomic contributions to the transition of premalignant ductal carcinoma in situ (DCIS) to invasive ductal carcinoma (IDC). We first enriched dissociated single cells from tumor tissue from a mastectomy by FACS. The tumor pathology for this patient indicated

ER/PR (estrogen receptor/progesterone receptor) positivity but lack of HER2 expression precluded the use of a HER2 antibody for FACS enrichment. As such, we proceeded with a FACS strategy to enrich for ductal epithelial cells by epithelial cell adhesion molecule (EpCAM) epitope enrichment, and simultaneously to capture “EpCAM low” cells as enrichment controls.

As with our MOLM-13 resistance model, we first assessed CNV in primary DCIS/IDC single cells. We performed the ResolveOME workflow on 16 single cells with pronounced EpCAM expression and 4 single cells with negligible EpCAM expression. Using the same genome coverage (25x) as the MOLMs, and 500 kb windows we first assessed CNV in the “EpCAM high” cohort of single cells. Distinct classes of CNV emerged, whereby single cells exhibited discrete chromosomal losses. As one class, 5/20 cells harbored near complete loss of Chr. 13 with concurrent loss of 16q/17p, **Figure 9**. The most abundant class (12/20 cells) harbored these copy number alterations plus a third discrete loss of Chr. 11q. Two EpCAM high cells lacked any apparent copy number alteration, and one EpCAM high cell had a more aberrant series of genome-wide chromosomal losses. The observed Chr.13 and 16q/17p loss is consistent with reported copy number alteration in multiple stages of DCIS advancement<sup>32</sup> and coincides with the loss of the prototypical tumor suppressor genes *BRCA2*, *RB1* and *TP53*. Interestingly, we observed gain of Chr. 13p, a heterochromatic “stalk” devoid of genes in 10/20 EpCAM high cells, and Chr. X gain of unknown significance in 2 EpCAM high cells and 1 EpCAM low cell encompassing the centromere and flanking p and q arm segments. Even with this relatively small cohort of single cells, these data highlight copy number heterogeneity of the primary sample.

### *Identification of an oncogenic PIK3CA mutation*

Prior to genome-wide unbiased assessment of SNV, we assessed exons of the *PIK3CA* gene, one of the most frequently mutated genes across diverse molecular subtypes of breast cancer. We identified the missense mutation N345K in 14/18 EpCAM high cells (**Figure 10c**). N345K is second only to H1047R amongst *PIK3CA* hotspot mutations catalogued by TCGA<sup>33</sup> and is known to influence the interaction of the p85 (*PIK3R1*) regulatory/p110 (*PIK3CA*) catalytic subunits by disruption of the C2/iSH2 domain interface<sup>34–36</sup>. The oncogenic N345K mutation was detected only in the single cells where CNV was observed; initially suggesting that we stratified the relevant ductal epithelial cells with our FACS strategy and the two cells lacking CNV + *PIK3CA* N345K either harbored other genomic variation or were a different cell type—requiring the RNA arm of the ResolveOME protocol to further distinguish between the possibilities.

### *Single nucleotide variation in DCIS/IDC*

We then performed variant filtering to identify novel candidate oncogenic SNVs. As validation of our filtering strategy, *PIK3CA* N345K was identified in the 14/16 cells harboring 11q, 13, 16q/17p copy number loss. We did not detect coding sequence mutation in additional candidate genes known to be influential in ER+ breast cancer<sup>37</sup> (**Supplementary Figure 4**). We thus subsequently cataloged variation that existed in the EpCAM high cells but that was not present in the EpCAM low cells (**Supplementary Table 5**). Analogous to our MOLM-13 model of quizartinib resistance, we noted extensive intergenic genomic SNV in EpCAM high vs. EpCAM low cells.

### *Cell identity and transcriptional state of DCIS/IDC singulated cells*

Of noteworthy utility in a combined genomic/transcriptomic single-cell assay is the capability to link genotype to identity of cell type and to inference of cell state. This was critical in the interpretation of the observed CNV and *PIK3CA* single-cell DCIS/IDC genotypes due to the difficulty in designing a FACS marker schema that unambiguously identifies the ductal epithelial cells of interest from surrounding stromal cells and infiltrating immune cells. Gene expression profiles of EpCAM high and EpCAM low cells separated by principal component analysis (**Figure 10a**) using the PAM50 gene set of genes influential in diverse subtypes of breast cancer<sup>38</sup> (**Figure 10b**). Differential gene expression analysis highlighted gene signature blocks between two primary clades: a cluster of exclusively EpCAM high cells, and a cluster comprised of all EpCAM low cells intermixed with 4 EpCAM high cells (**Figure 10c**). Initial ascertainment of transcripts defining the EpCAM low cells revealed enrichment of in IL-2 and CD4 T cell-defining gene sets, suggesting that these cells may be tumor infiltrating lymphocytes present in this patient's singulated tumor sample. However, further rigor into transcriptome-based cellular annotation with Human Cell Atlas data (See Methods) parsed the EpCAM low cells into stem-cell like, endothelial, fibroblastic and monocyte identities/states (**Figures 10b-e**) which was independent of transcript count (Figure 10a). Four outlier EpCAM high cells exhibited a gene expression signature such that they were placed in the same root clade of the dendrogram as the EpCAM low cells. We identified these cells as having two distinct identities/states: epithelial and monocytic. Intriguingly, while all EpCAM low cells lacked *PIK3CA* N345K or characteristic DCIS copy number loss, the EpCAM high cell in the EpCAM low gene expression signature clade with epithelial identity harbored both of these genomic alterations. This is suggestive of a plasticity of cell state of a ductal epithelial cell and the acquisition of phenotype with stemness attributes as suggested by cell annotation profiles more closely matching tissue stem cell or fibroblast identities (**Figure 10d**). One outlier EpCAM high cell in the EpCAM low

clade lacked oncogenic *PIK3CA* mutations and the prototypical DCIS chromosomal losses and displayed a monocytic gene expression profile. For this instance, it is suggestive of infiltration of monocytes in the sample, although we cannot formally exclude the possibility of cell state change of a malignant or benign ductal epithelial cell or infiltration of monocytes in the sample. Furthermore, one putative epithelial cell in this outlier EpCAM high class, although differing from the prototypical DCIS chromosome losses observed in the main EpCAM high clade, harbored a grossly aberrant CNV profile and may represent a malignant cell. Our examples of putative plasticity of phenotypic cell state with regard to oncogenicity warrant ResolveOME analysis of additional cells to determine the frequency of this cell state in the sample or whether it represents stochastic genomic variation that did not persist or was not selected for in the population. Collectively, these data suggest profiling a cell at the transcriptome level only could lead to an incorrect cell classification and underscores that understanding both RNA and DNA -omic tiers is critical to provide proper classification.

### *Holistic view of MOLM-13 and DCIS/IDC single-cell molecular signatures*

Having in succession determined CNV, SNV and transcriptional insights in both the MOLM-13 model of drug resistance and in primary DCIS/IDC it was critical to begin to amass and graphically present interrelationships between the “-omic” layers of data. For MOLM-13, we identified a secondary driver mutation likely affecting drug binding in all single cells yet provided evidence for concurrent transcriptional bypass of *FLT3* signaling, highlighting the importance of ascertaining both DNA and RNA-driven mechanisms of resistance in the same cells.

For primary DCIS/IDC, unification of DNA-level and RNA-level data allows the interpretation of genotypes in the context of expression signatures defining cell type and cell state. Harnessing these layers of molecular information in a heat map/dendrogram quickly conveys the finding that EpCAM expressing ductal epithelial cells harbor both prototypical copy number losses and an oncogenic *PIK3CA* mutation while EpCAM low cells with alternative identities by transcriptomic profile from the same singulated cell sample lack chromosomal loss and this mutation (**Figure 10d**). Yet, cell identification cannot be unambiguously assessed solely by EpCAM FACS protein levels but in leveraging more contemporary cellular annotation methods, we see that IDs can be objectively identified that match the cell’s known biological origin or reflect a cell state transition.

# DISCUSSION

Each “-omic” tier of molecular information allows a greater ability to comprehensively define the molecular mechanisms of oncogenesis and drug resistance in a tumor. In the single cell tumor biology arena, most work to date has been performed at the transcriptome level, owing to the large-scale adoption of droplet-based methodology facilitating workflow ease and single-cell throughput. While there has been unquestionable advance from droplet-based RNA-Seq studies defining diversity and heterogeneity in transcriptional states including those states defined longitudinally, a gap remains in that there have been few studies providing concurrent genomic data with the gene expression data. This is critical for multiple reasons. Firstly, in the absence of DNA-level information, genomic contributions to the transcriptional or phenotypic state cannot be discerned, such as genomic mutation or variation in regulatory elements, in transcription factors, or in chromosomal copy number, each of which has the potential to define transcriptional state. Thus, prior studies have had obvious limitations in resolving the critical link between DNA and transcriptional changes. Secondly, while transcript-level information is frequently employed for molecular subtyping of a tumor<sup>38,39</sup>, pharmacological decisions are primarily driven by genomic variation, due to technical and informatics challenges with ascertainment by transcriptional status<sup>40</sup>. This may, in part, explain why tumor DNA molecular data provides imperfect prediction of treatment sensitivity.

Coupling single-cell genomic and transcriptomic information has been hitherto limited due to technical challenges of integrating the RNA and DNA amplification steps. Additionally, in instances where this incompatibility has been overcome, existing methodologies for the amplification of single cell genomes have been employed and thus the shortcomings of incomplete genome coverage, poor coverage uniformity, and less optimal allelic balance have accompanied these joint RNA/DNA protocols. G&Tseq, for example, empowered researchers with transcriptional data of single cells paired with multiple displacement amplification for DNA level information<sup>5</sup>. This has facilitated multi-omic insights at primarily the transcriptome + copy number alteration level due to the incomplete genome amplification inherent with MDA or PicoPLEX, precluding SNV analysis. We designed the ResolveOME chemistry to overcome this limitation by unifying primary template-directed amplification with RNA sequencing in single cells and show its utility by cataloging putative regulatory SNVs affecting gene expression.

The ability to define cell identity and cell state at the single cell level is one chief strength of ResolveOME. While some FACS strategies may sufficiently stratify cell types within a heterogenous sample, one does not always a priori have this biomarker knowledge, and even in the presence of this knowledge we have observed outlier sorted cells where we fail to detect concordant mRNA levels despite the cells being gated on high levels of the corresponding protein biomarker. Thus, joint RNA/DNA single-cell profiling has enabled us here to spotlight instances of diverse, non-epithelial cell types in our primary breast cancer sample, preventing the false interpretation of a ductal epithelial cell lacking prototypical copy number alteration or key oncogenic missense mutations when in fact the lack of genomic variation is due to the cell type being assayed. When armed with joint genomic and transcriptomic information, cell type tumor heterogeneity manifesting in FACS can now be exploited, for example, to understand the contributions of the genome variation of a monocyte to the interaction of the malignant epithelial cell in the given microenvironment, as opposed to considering the monocytes as contaminating the epithelial population of interest in this instance.

Beyond characterizing cell identity with ResolveOME, we identified a continuum and heterogeneity of cell state within a breast tumor specimen at unprecedented resolution. An intermediate transcriptional profile emerged between that of the EpCAM low single cell cohort and that of the core cohort of EpCAM high epithelial cells. This profile was intriguingly observed in an EpCAM high cell that harbored *PIK3CA* N345K and DCIS-characteristic chromosomal losses, thus having the core genomic changes of the main epithelial cell cohort. Nevertheless, it manifested with a different transcriptional stem-like state—indicating a potential state conversion<sup>41</sup> as well as highlighting inherent transcriptional single-cell heterogeneity even within a relatively small sampling of a singulated tumor sample. It will be crucial to determine the prevalence of this cell state as more cells of this sample are sequenced, as well as to define the diversity of additional novel transcriptional states that may be contributing to the advancement of DCIS to invasive cancer. ResolveOME importantly provides the ability to link these diverse transcriptional cell states to genotype (**Figure 8a**).

A second chief strength of ResolveOME is to provide the attributes of primary template-directed amplification to allow comprehensive genomic assessment vs. the sole ascertainment of a small number of candidate loci or copy number alterations of a broad level of resolution. This enablement of SNV detection with high sensitivity and precision over >95%<sup>1</sup> of the genome opens a new realm of discovery. PTA in the ResolveOME workflow opens up a new source of pharmacological targets with genome-wide data and non-exonic space not possible with existing WGA methodologies

with low genomic coverage and uniformity. We were struck by the single nucleotide variation present in our parental vs. quizartinib resistant MOLM-13 cells (6444 differentially prevalent SNVs, **Figure 6, Supplementary Tables 2-3**), which further underscores that, while transcriptional plasticity is dogmatic, it is equally as important to recognize *genome* plasticity observed in this model. Furthermore, while there will be a background of passenger mutation or mutation currently not pharmacologically targetable; we put forth that this diversity must be ultimately ascertained and represent a co-evolution of variants for a functional, biologically relevant phenotypic output. Efforts to estimate intergenic variation at putative functional elements—promoters, enhancers, splicing enhancers—is a frontier and an underappreciated aspect of drug resistance studies. The candidate regulatory single nucleotide variation we identified proximal to differentially expressed genes of interest in our parental vs. resistant cells will require obligate functional characterization, but as the cost of genome sequencing begins to plummet, these data and their associated biological insights will necessarily begin to accumulate. For discovery, dual genome/transcriptome ascertainment from single cells not only expedites the generation of candidate regulatory SNV links to transcript modulation but unveils connections obscured by bulk sequencing data.

Both our engineered model of drug resistance in AML and analysis of a primary DCIS/IDC sample have yielded single nucleotide variation that would be predicted, at the outset, to have a deleterious effect on protein function. Frameshift and stop codon gain mutations observed in the single cell genomes of our samples represented an unbiased starting point for the discovery of novel oncogenic and drug resistance loci beyond ascertainment of known candidate genes. Yet, coupling transcriptional information from the same cell revealed that, for some of these novel genomic variants of purported deleterious effect, the single cells did not express the corresponding transcript—indicating the genomic change was passenger or stochastic in nature and not functional. Understanding this genomic variant “penetrance” in terms of manifesting at the transcriptional level is a fundamental capability of ResolveOME, and in our initial sample sets redirected or nullified multiple hypotheses.

In addition to binary “expressed or not expressed” decisions, dual DNA/RNA information assisted in directing hypotheses of molecular mechanism. *CEBPA*, an enhancer factor<sup>42</sup> significantly upregulated in our quizartinib-resistant single MOLM-13 cohort, resides on Chr. 19q, where four resistant cells harbored 2n to 3n genomic gain of 19q. A parsimonious initial hypothesis is that genomic amplification of 19q contributed to the observed transcript upregulation,

however the *CEBPA* transcript upregulation was observed in all resistant cells, and did not show a correlation with the single cells that harbored genomic amplification of 19q (**Figure 7c**). This suggests that an alternative mechanism of epigenetic control was at play for this upregulated gene, perhaps via modulation of a transcription factor or an enhancer-level phenomenon that was purported by the SNV between parental and resistant cells proximal to the *CEBPA* gene. More broadly, while we identified statistically significant associations between ploidy and expression of a specific cohort of genes (**Figure 7d**), we found that there was no such association for most loci. Collectively, these examples illustrate the criticality of paired RNA information when positing mechanisms based on genomic data alone and caution that the “penetrance” of the change needs to be ascertained. Conversely, we have identified important correlations between SNV and the expression of a proximal gene, as with the oncogenic driver MYC (**Figures 8a,c**), highlighting instances whereby DNA and RNA information are likely to be functionally linked.

The enablement of simultaneous genomic and transcriptomic data from the same individual cell vastly increases the complexity of putative mechanisms of drug resistance and oncogenesis. This will only increase as additional “-omic” tiers of layers are added, including ascertainment of extracellular protein expression as the nature of ResolveOME template-switching cDNA chemistry allows for the incorporation of CITE-seq-like<sup>43</sup> oligo-tagged antibodies. These data will be complex, requiring development of novel sophisticated bioinformatics tools. However, we envision mechanistic insights analogous to those presented here to accumulate from the research community having the newfound ability to accurately assess single nucleotide genomic variation in conjunction with transcriptional profiles—aiding discovery efforts to generate a new wealth and generation of pharmacological targets.

## METHODS

### *Cell Culture*

NA12878 cells (CEPH/Utah Pedigree 1463) were obtained from the Coriell Institute for Medical Research (Camden, NJ). Cells were maintained in RPMI 1640 (Gibco 11875-093) supplemented with 15% FBS and penicillin/streptomycin, and sub-cultured every 2-3 days while maintaining a density range of 1.0-3.0 E6/ml.

MOLM-13 acute myeloid leukemia cells harboring heterozygous FLT3 internal tandem duplication (ITD) were obtained from the DSMZ-German Collection of Microorganisms and Cell Cultures (ACC 554). Cells were maintained in RPMI 1640 (Gibco 11875-093) supplemented with 10% FBS and penicillin/streptomycin, and sub-cultured every 2-3 days while



maintaining a density range of 2.5 E5 – 1.5 E6 cells/ml. For generation of the quizartinib-resistant MOLM-13 line, cells were continually treated with 2 nM quizartinib (Selleckchem AC220) or DMSO vehicle control for matched parental control line and drug replenished at each subculturing until emergence of resistant clones at 5 weeks duration in culture. Genomic DNA (Zymo Research Quick-DNA Microprep w\Plus Kit, D3020) or total RNA (Qiagen RNeasy Plus Kit, 74034) was isolated from quizartinib-resistant and matched parental MOLM-13 cells at time of FACS sorting to generate bulk sequencing control libraries for comparison to single cell datasets and for quantitative PCR template.

### *ResolveOME Workflow*

ResolveOME begins with template-switching-based RNA-Seq chemistry to generate biotin-dT-primed, first strand cDNA followed by termination of the reaction and nuclear lysis, at which point primary template-directed amplification proceeds. The mRNA-derived cDNA is affinity purified with streptavidin beads from the combined pool of cDNA and amplified genome. cDNAs are then further purified with subsequent streptavidin bead washes of two stringencies and on-bead pre-amplification of the first-strand cDNA to yield double-stranded cDNA. In parallel, the PTA fraction from the same cell containing genome amplification products, separated from the cDNA, is purified. The separate and distinct fractions of pre-amplified mRNA cDNA and genome-derived DNA amplification fractions undergo SPRI cleanup prior to NGS library are generation.

### *Karyotyping*

MOLM-13 cells were analyzed within 2 weeks of thaw (KaryoLogic, Inc, Durham, NC) with a workflow for complex hyperdiploid karyotypes using 25 metaphase spreads. Live cultures were delivered to the service provider on-site and cultures recovered in 5% CO2 37C incubators on-site for one week prior to metaphase spread creation.

### *FACS*

Prior to FACS, cell lines were first counted and assessed for overall viability by trypan blue staining using a Countess II FL instrument (ThermoFisher Scientific) or by acridine orange + propidium iodide with a Luna FL instrument (Logos Biosystems). Cell line cultures put forth to the FACS protocol exhibited >90% viability.

### *MOLM-13*

For single cell analysis, ~2.0E6 MOLM-13 quizartinib-resistant or matched parental cells were rinsed twice in staining buffer (0.2 µm filtered Dulbecco's Phosphate Buffered Saline lacking calcium and magnesium (Gibco 14190) supplemented with 2% FBS) and kept on ice until BD FACSAria III sorting at the UNC School of Medicine Flow Cytometry

Core Facility. Following Calcein AM (BioLegend 425201), propidium iodide (Millipore Sigma P4864) and DAPI staining, singlet (FSC-A / FSH-H, SSC-A / SSC-W) and live cell (DAPI/PI negative, top 70% Calcein-AM positive) gating was established and single cells were sorted (130 micron nozzle assembly) into low-bind 96 well PCR plates (Eppendorf twin.tec LoBind, semi-skirted, 0030129504) containing ResolveOME Cell Buffer and immediately frozen on dry ice following brief mixing (1400 rpm, 10 sec) and centrifugation.

#### *NA12878*

~2.5E6 NA12878 (NA12878/HG001) cells were prepared as above and subjected to Sony SH800 sorting using a 130 micron chip. Singlet (FSC-A / FSC-H, BSC-A / BSC-W) and live-cell (PI negative, top 70% Calcein-AM positive) gating was employed for single cell sorting into low-bind 96 well PCR plates pre-loaded with ResolveOME Cell Buffer as described above.

#### *Primary DCIS/IDC*

Tissue for single-cell DCIS/IDC studies was obtained in accordance with the Duke University Medical Center IRB for the clinical trial PRO00034242 “Biologic Characterization of the Breast Cancer Tumor Microenvironment.” Cryo-preserved, singulated cells (~4.2E5) derived from mastectomy tissue were thawed at 37C and centrifuged at 350 x g for 5 min to separate cryo-preservation media. Cells were rinsed once in staining buffer and incubated with 2 µg/ml anti-human CD326 conjugated with AlexaFluor 700 (ThermoFisher 56-9326-42) at 4C in the dark for 1h. Following this, ~8.4E4 cells were reserved for a parallel negative control mock stain lacking any antibody for assessment of background fluorescence levels for viability and EpCAM staining. Then cells were washed 3X with staining buffer with 350 x g 5 min centrifugations in between washes and passed through a 35 micron filter prior to loading for FACS. Singlet (FSC-A / FSC-H, BSC-A / BSC-W) and live-cell (Calcein AM) gating was defined followed by daughter EpCAM high and EpCAM low gates. EpCAM High and Low cells were sorted into the same 96 well plates as described above for to minimize potential batch effects of downstream genomic/transcriptomic amplification.

#### *Quantitative RT-PCR*

10 ng of genomic DNA was isolated from a cell collection of quizartinib-resistant or matched parental cells as described above and subjected to a custom Taqman™ genotyping assay, #ANMF9C4 (Invitrogen-Applied Biosystems) using the manufacturer’s suggested conditions for reaction assembly and cycling on a QuantStudio6 instrument. The assay was

designed to distinguish between human N841 and K841 with the C/A nucleotide polymorphism, respectively at the GRCh38 / hg38 coordinate Chr13:28,018,485.

### *Combined genomic/transcriptomic analysis*

Firstly, biotin-conjugated oligo dT primer (Integrated DNA Technologies) was utilized in a template-switching reverse transcription reaction to generate first-strand cDNA from single cells. Primary Template-directed Amplification (PTA) with ResolveDNA reagents was performed in succession following reverse transcription. First-strand cDNA was then affinity-purified using ResolveOME streptavidin beads and subjected to two high-salt washes followed by one low-salt wash. 24-cycles of pre-amplification was performed to generate 2nd strand cDNA and RNA sequencing libraries were prepared using the ResolveOME RNA library preparation module. For preparation of PTA libraries, PTA product not bound to streptavidin beads was purified using BioSkryb ResolveDNA beads and ligated to full-length IDT for Illumina TruSeq adapters using the ResolveOME DNA library preparation module. Sizing for both RNA and DNA amplification products was determined by D5000 TapeStation electrophoresis (Agilent Technologies) while library preparation sizing was determined by HS D1000 electrophoresis. Amplification and library yield was assessed by Qubit 3 or Qubit Flex instrumentation (ThermoFisher Scientific).

### *Sequencing*

Low-pass sequencing was first performed on ResolveOME DNA fraction libraries using an Illumina MiniSeq (2.3 pM library flow cell loading concentration) or NextSeq1000 (640pM library flow cell loading concentration), 2X75 targeting >2.0E6 total reads per library. For RNA fraction libraries, 2X75 MiniSeq or NextSeq1000 sequencing targeting on average >1.0E6 reads per library was employed for flexibility for data down-sampling. For joint clustering of ResolveOME DNA and RNA fraction libraries, a 10:1 molar ratio of [DNA arm]:[RNA arm] libraries was employed. Following low-pass sequencing, ResolveOME DNA arm libraries were 2X150 sequenced on an Illumina NovaSeq6000 S4 flow cell targeting 5.5 E8 total reads to provide down-sampling flexibility at either the Vanderbilt Technologies for Advanced Genomics (VANTAGE) core facility or the Duke University Genomics and Computational Biology (GCB) core facility.

### *Bioinformatics Approaches*

#### *Pre-sequencing Quality Control*

Single cell libraries were evaluated utilizing an internal pre-sequencing pipeline that leverages low-pass sequencing data to create multiple quality control metrics to assist in evaluating the single-cell libraries readiness for high-throughput sequencing. Notably we retrieved the PreSeq count to estimate library complexity. This pipeline

features additional QC metrics for genomic coverage, percent of reads mapping to chimeras, percent of reads aligned to the reference genome, and percent of nucleotides mismatched to the reference genome. Additionally, the pipeline implements MultiQC for supplementary QC metrics including read length, percent of duplicate reads, number of mapped reads, and total number of mapped reads.

### *Benchmarking RNA-Seq results*

To establish overall benchmarking scores of ResolveOME multi-omic amplification approach, quality control was performed pre- and post-sequencing on Human Brain Reference RNA (HBRR), Universal Human Reference RNA (UHRR), and NA12878 B-lymphocyte cells. We considered several metrics: percent mapping, gene detection, dynamic range of expression, and coefficient of variation for measuring DNA leakage, accuracy, and robustness of this methodology. For each cell the total alignments, reads aligned, and genomic feature alignments were quantified using the Qualimap<sup>44</sup> (v2.2.2) platform for reporting QC metrics and bias estimations of whole transcriptome sequencing data. Furthermore, the platform enables detection of outlier cells, relative consistent performance patterns among these cells, and potential batch or other systematic artifacts that are not apparent when evaluating individual cells in isolation. Using metrics produced from Qualimap findings, we computed the percent mapping of total alignments as well as the percent exonic and intergenic of genomic alignments. Thereafter, we defined the number of genes identified, dynamic range, housekeeping gene variability metrics, and observations of expression patterns in housekeeping genes for each reference cell line, using counts per million (CPM) normalized gene expression counts. Gene detected is defined at the number of genes with non-zero counts in each cell. The dynamic range of all expressed genes was then estimated at 10-90 percent. As an estimate of sample dispersions and reproducibility, the percent coefficient of variation (CV) was calculated as a ratio of standard deviation to mean:  $CV = \frac{SD}{\text{mean}}$ . We calculated the median absolute deviation (MAD) as a robust measure of variability between housekeeping genes. This is defined as the median of the absolute deviations from the median ( $m$ ):  $MAD = \text{median}(|x - m|)$ .

### *Secondary Analysis Pipelines*

For the DNA-based analyses coming from the genomic fraction of ResolveOME, we leveraged an internal analytics pipeline modified from Sentieon driver-based tools. Initial FASTQ pairs were trimmed against low quality and library artifacts using fastp<sup>45</sup> (v0.20.1) Alignment was performed using BWA (Sentieon-202112), followed by deduplication (locus\_collector v202112 / dedup v202112 ) of identically-aligned reads. Alignment-based QC and

coverage determination was (driver\_metrics v202010). Copy number calling was performed using ginko<sup>46</sup> (GitHub commit: 892b2e9f851f71a491cade6297f74f09f17acf4c), with a window size of 500kb. Variant calling at the cell level was performed with haplotyper (v202010). Characteristics for all variants was provided for variant quality score recalibration to VARcall, GVCfTyper (v202010). All variant identification and annotations for gene/coding effect were performed using snpEFF/SnpSIFT<sup>47</sup> (5.0e). Further variant-based tertiary analysis used filtered genomic loci with sequencing depths >4 and >1 variant read candidate SNVs. All candidate SNVs were classified according to allele frequencies.

The RNA-Seq pipeline implemented here was used to generate metrics of feature quantification at the transcript and gene-level. Details about the number and length of reads generated is found in Supplemental Table 1 for the DNA arm (a) and RNA arm (b). Unless specified to be down-sampled (using seqtk<sup>48</sup> v1.3), all reads were leveraged for each analysis. To remove low quality sections and sequencing artifacts, fastp was used for all cells' analysis prior to alignment. Alignment of reads was performed with STAR<sup>49</sup> (v 2.7.6a) and were compared against transcript reference made from combining Ensembl<sup>50</sup> (release 104) known transcripts and noncoding. Region assignment and counting of aligned reads was performed with HTSeq4949 (v 0.13.5) and Salmon5050 (v1.6.0) for gene-level metrics. Further, we used the pseudo-alignment algorithm implemented in Salmon to perform both transcript-level and gene-level quantification. Matrices of feature expression were constructed using the Bioconductor package tximport.

### *Tertiary Analysis*

#### *Bulk dataset identification*

We identified several datasets in the Short Read Archive (SRA) that had bulk NA12878 in mRNA-stranded RNA library preparation methods that most closely resembled our own ResolveOME approach. To handle variation of an individual dataset, we wanted to capture at least 10 datasets that could represent transcriptome coverage of NA12878 and the full list is provided in **Supplementary Data 1**.

#### *Variant evaluation in NA12878 cells*

For the NA12878 cells, first we performed joint genotyping across them utilizing the GVCfTyper, VarCal and ApplyVarCal modules from Sentieon. Then, inputting the re-calibrated variants and evaluating the variant quality score log-odds (VQSLOD), we determined the precision and sensitivity of called SNPs by employing the vcfeval module from

the RTG tools using as reference the NA12878/HG001 genome v.3.3.2<sup>51</sup> from the Genome in a bottle (GIAB) consortium<sup>52</sup>.

### *Allelic balance in NA12878 cells*

Allelic balance for NA12878 cells was calculated using an *ad hoc* developed module based on a series of bcftools commands that extract the *a priori* defined high confident heterozygous sites, reported in GIAB NA12878/HG001 genome v.3.3.2, from all sequenced NA12878 cells. Then, for each cell and for each heterozygous site, variant allele depth is extracted and converted into proportion. For final reporting, heterozygous sites with at least a total depth >1 are used.

### *RNA arm: Matrix normalization*

For MOLM-13 and DCIS cells, their corresponding Salmon-based transcript and gene matrices were normalized across features utilizing the log norm method. Briefly, feature counts for each cell are divided by the total counts for that cell, multiplied by the scale factor ( $10^4$ ) whose products is finally log2 transformed. These normalized matrices served as input for downstream analysis including, principal component analysis (PCA), differential transcript expression (DTE), differential gene expression (DGE), differential transcript usage (DTU), heatmap reconstruction including unsupervised clustering of cells and transcripts/genes and zero inflated linear models linking transcript expression to CNV and SNVs.

### *Principal Component Analysis*

MOLM-13 and DCIS normalized transcript level and gene level matrices we centered across samples within a feature using the R function scale. Further, principal component analysis was computed using the oh.pca function from the ohchibi R package taking as input the centered normalized matrices.

### *Differential Expression*

We estimated differential transcript expression and differential gene expression leveraging the zero-inflated linear model (ZLM) implemented in the MAST<sup>53</sup> R package taking as input the log normalized feature matrices described above. For the MOLM-13 dataset, we fitted the following model to identify transcripts/genes that had signatures of differential expression across parental and resistant cells: *Transcript/Gene expression* ~ *Cell Type (Parental/Resistant)* + *Number of detected features (transcripts/genes) per cell*

For the DCIS dataset, first we performed principal component analysis using the top 500 most highly variable genes across the dataset and then split the cells into three groups using the PCA projection as guidance. We used this

three group scheme to discretize, in an unbiased way the cellular heterogeneity within EpCAM High and EpCAM Low treatment. After dividing the cells into three groups we fitted the following ZLM to identify transcripts/genes that had signatures of differential expression across the aforementioned groups: *Transcript/Gene expression*  $\sim$  *Cell Group* + *Number of detected features (transcripts/genes) per cell*

#### *Cellular typing*

Transcriptome-based cellular typing was performed for the DCIS dataset using the R package SingleR<sup>54</sup> utilizing the Human Primary Cell Atlas expression reference dataset deposited in the celltex<sup>54</sup> R package and taking as input the gene level normalized expression salmon-based matrix.

#### *Differential transcript usage*

For the MOLM-13 dataset, we performed differential transcript usage as previously described<sup>55</sup>. Briefly, we took the scaledTPM metric output from tximport and reconstructed a matrix of transcript abundances across cells. Next, we modeled the transcript expression using the Dirichlet-multinomial distribution model implemented in the DRIMSeq R package<sup>56</sup>.

#### *Linking transcript expression to CNV*

For the MOLM-13 dataset, we linked transcript-level variation in expression with changes in locus ploidy utilizing a zero-inflated linear model framework. Briefly, for each quantified transcript, we extracted its ploidy across cells from the Ginkgo-based estimation by employing genomic-coordinate intersection utilizing the GenomicRanges R package<sup>57</sup>. Next, we fitted the following ZLM design utilizing the MAST R package: *Transcript expression*  $\sim$  *Estimated ploidy at a given locus*

#### *Linking transcript expression to genomic polymorphisms*

For the MOLM-13 dataset, we linked transcript-level variation in expression with single nucleotide variations across the genome utilizing a zero inflated linear model framework. Briefly, first we paired the genomic coordinates of SNVs with transcripts utilizing genomic-coordinate intersection via the GenomicRanges R package. With respect to the transcript-coordinates, we used the Ensembl reported transcript start and transcript end to define the gene-body of a transcript, in addition we took the 5000 bps upstream of the Ensembl reported transcription start site (TSS) to define potential cis-regulatory regions affecting the that transcript. After defining the corresponding SNV-Transcripts pairs, we constructed a matrix of expression and genotype locus (SNV) across all cells. Finally, utilizing this matrix, we fitted a zero-inflated linear model using the MAST R package with the following design: *Transcript expression*  $\sim$  *Genotype*

We utilized the GSEA-R tool in conjunction with the molecular signatures database (MSigDB) to conduct a systematic examination of enriched gene sets connected to differentially expressed genes across Molm-13 parental and resistant cells as well as significant SNVs. In addition, we utilized the Reactome Pathways database to find relevant pathways among these genes using a default adjusted p-value of 0.10.

### *Significant Variant Testing*

For identification of differential SNV's between MOLM-13 P and R cells, we generated categorical variables for diploidy status and compared with chi-square test. Two-sided p-values less than 0.05 were considered significant. In addition, we fitted a multinomial logistic regression to identify differences in SNV prevalence across the parental and resistant MOLM-13 types. Specifically, for each SNP, we encoded the three states genotype (0/0, 0/1, 1/1) as dependent variable and the MOLM-13 type (parental, resistant) as independent variable. Significance of the model was tested using a Wald Test.

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## FIGURE LEGENDS

*Figure 1. ResolveOME workflow.*

**a.)** The high-level workflow of enrichment and preparation of simultaneous RNA and DNA from a single cell and **b.)** the amplification yields of ResolveOME. The yields of RNA and DNA isolated (in ng) for each cell used in this study. Samples where purification by streptavidin beads was omitted are highlighted in orange.

*Figure 2. Performance characteristics of ResolveOME.*

**a.)** ResolveOME (green) and ResolveDNA (orange) allelic balance in control (NA12878) is shown in deciles of observed allele frequency (AF) across known heterozygous positions. Each dot represents the proportion of variants that showed an AF within the bin frequency for a given cell. Barplots with error bars describe general trend for all cell-replicates for each AF bin. Allelic dropouts are called when AF is < 0.1 or > 0.9. **b.)** A cumulative genomic coverage plot for each sample type performed on ResolveOME, showing the proportion of the entire genome covered (y-axis) at a given depth (x-axis). Each dot represents a cell replicate within a dataset and error plots denote the variability of coverage at a given depth. **c)** SNV calling sensitivity (y-axis) and precision (x-axis), with respect to GIAB NA12878 reference dataset, across the two chemistries



(ResolveDNA, salmon, ResolveOME, turquoise) are shown with both axes having a minimum range of 0.9 and 0.99, respectively.

*Figure 3. ResolveOME transcriptome performance.*

**a.)** Summarized coverage plots for all detected transcripts across the full-length chemistry (top). X axis is a normalized fraction of a transcript from 5' to 3', breaking regions into mean depth per percentile of transcript and y-axis are counts. Distribution of counts across coding sequence of two known housekeeping genes: *GAPDH* and *ACTB* (bottom). **b.)** The proportion (averaged across all biosamples of a group) of aligned reads that matches a specific transcript feature or RNA species is reported for each dataset. Features and proportions were derived from Qualimap summarizations of our transcriptome definition file. NA12878 cells were leveraged except for the MOLM-13/DCIS plots. Bulk data was pulled from online repository to serve as reference from typical RNA-Seq. **c.)** Various RNA quality control metrics are displayed for the UHRR and HBRR RNA controls alongside the NA12878 controls used in this study. Clockwise from the top left, the distribution of reads assigned to transcriptome, coding region features, unique genes detected, ranges of counts per million (CPM) and the median absolute deviation (MAD) of common housekeeping genes. **d.)** Expressed protein-coding genes detected with ResolveOME chemistry compared to bulk preparation with the same workflow. Number of uniquely expressed genes across a diversity of cell line models and a primary DCIS patient sample. All sample sets were down-sampled to 75,000 reads.

*Figure 4. Copy number profiles of MOLM-13 cells.*

**a.)** Copy number alterations of individual MOLM-13 cells (rows) from parental (turquoise) and resistant (salmon) cells using a bin size of 500kb with Ginkgo. Dendrogram was generated based on distance of each bin's average fold change from 2N. **b.):** Representative metaphase spread of 25 total karyotypic spreads. Red circles denote abnormally amplified chromosomes.

*Figure 5. FLT3 mutational characterization of MOLM-13 cells*

**a.)** Genome views showing detection of mutual *FLT3* ITD mutation in parental and quizartinib-resistant single cells. **b.)** Genome views of *FLT3* secondary mutation N841K exclusively in quizartinib-resistant cells. **c.):** qRT-PCR detection of mutant *FLT3* K841 in treatment-naïve parental cells. Cycling traces of *FLT3* N841 (blue) and K841 (red) in MOLM-13 parental and quizartinib-resistant cells are shown.

*Figure 6. Differential parental and resistant MOLM-13 genotypes.*

Heatmap of SNVs showing statistically significant ( $p < 0.05$  by multinomial logistic regression) genotype prevalence across the MOLM-13 parental and resistant cells. Columns represent cells and rows SNV ids. Color

within the tiles represent the called genotypes. Both rows and columns were subjected to unsupervised hierarchical clustering.

*Figure 7. Distinguishing genotypic and transcriptomic characteristics between MOLM-13 parental and resistant cells.*

**a.)** Scatterplot showing the principal coordinate projection (PCA) of 28,134 SNVs that exhibited statistically significant (chi-square test,  $p < 0.05$ ) differential prevalence across the two MOLM-13 cohorts, parental (turquoise) and resistant (salmon). **b.)** Clustering of differentially-expressed genes in MOLM-13 model of drug resistance. Parental single cells (turquoise) and quizartinib-resistant (salmon) single cells comprise columns; Gene Symbol/Ensembl transcript ID comprise rows. Biotype and FDR is presented to the right of the heat map; red line indicates  $q < 0.1$ . **c.)** CEBPA/B transcript upregulation in single quizartinib-resistant MOLM-13 cells. Each row corresponds to a separate MOLM-13 cell. Resistant cells that also harbor 19q gains are also shown. **d.)** Heatmap with transcripts in the y-axis that show a statistical (ZLM  $p < 0.01$ ) association with ploidy level across all cells in the MOLM-13 dataset. Color of the tiles represents the average standardized expression value at a given ploidy level. The right panel shown the output of the ZLM model testing the expression given the ploidy. Red line indicates the  $p < 0.05$  cutoff of the model. Bars are colored based on the  $-\log_{10}$  p-value of the ZLM model testing transcriptional differences between parental and resistant cells. **e.)** Example of differential transcript utilization (DTU) between MOLM-13 parental and drug-resistant single cells.

*Figure 8. Correlation of genotype and expression in parental and resistant MOLM-13 cells.*

**a.)** Bubble plot showing SNV-transcript expression associations ( $p < 0.05$ ). Top: SNVs within 5000 bases of transcriptional start site. Candidate SNVs are shown in the y-axis and genotypes in the x-axis. Size of the circle denotes the genotype prevalence of the variant in the MOLM-13 cell type set (parental or resistant). Colors of points denotes the standardized mean expression level of the transcript in the set. Lateral bars represent significance of the model testing the association between transcript expression and genotype. Red line indicates the  $p < 0.1$  cutoff of the model. Bars are colored based on the  $-\log_{10}$  p-value of the ZLM model testing transcriptional differences between parental and resistant cells. *PABPC4* and *MYC* are highlighted in yellow. *CEBPA* SNVs were too distal ( $>5$  kb) from transcriptional start site for significance in this plotting. **b.)** Parental/quizartinib-resistant SNVs proximal to *CEBPA* genomic locus. Stars denote mutation locations. Resistant cells show variant in 60% of cells compared to 11% in the parental line variant 'chr19:33,333,734 – delA' (middle star). For 'chr19:33,361,973 – insA' we observed no mutations in the parental cells and in 50% in quizartinib-resistant cells. **c.)** Intronic SNV of *MYC* gene 'chr8:127,739,932 G>A' correlated with increased expression in drug-resistant MOLM-13 cells. **d.)** Putative promoter variants in *PABPC4* 'chr1:39,579,411 T>G'

& 'chr1:39,579,413 T>G' were found in half of the resistant cells only and also associated with differential expression between MOLM-13 parental and resistant cells.

*Figure 9. Copy number analysis of DCIS cells.*

Single-cell copy number alterations in primary DCIS/IDC EpCAM cohorts. Status of EpCAM presented for EpCAM High (yellow) and Low (turquoise). Two distinct classes of chromosomal loss are observed in EpCAM high (yellow) cells: 1) combined 11q, 13q, 16q/17p loss and 2) combined 13q and 16q/17p loss. Additionally, 13p gain was identified in 10/20 EpCAM high cells, while Chr. X gain encompassing the centromere and flanking P & Q segments was noted in 3 single cells.

*Figure 10. Expression analysis of primary breast cancer single cells.*

**a.)** Principal component analysis of EpCAM high (circles) and EpCAM low (diamonds) primary DCIS/IDC transcriptomes where cells are colored based on the number of detected transcripts **b.)** PAM50 gene expression stratification of EpCAM high and EpCAM low DCIS/IDC transcriptomes. **c.)** Unsupervised clustering yields six primary blocks of differential gene expression between EpCAM high and EpCAM low clades. Average ploidy, *PIK3CA* genotypic status (green=N345 wildtype, pink = K345 heterozygous mutant), and cellular identity call are shown for each single cell (column). Gene biotype and FDR is presented for each transcript (row). **d.)** Prediction of DCIS cell identity/state using Human Cell Atlas data. Heat map showing identity score of diverse cell types (rows) for EpCAM High and EpCAM Low single cells (columns) that were used to identify cell annotations. **e.)** Overlay of cellular annotation for principal component analysis of DCIS cells. EpCAM high (circles) and EpCAM low (diamonds) single cell transcriptomes, leveraging isoform counts with overlay of cell identity/state (colors).

## SUPPLEMENTARY DATA

*Table S1: Sequencing metrics for ResolveOME genomic and transcriptomic libraries.*

**a.)** PreSeq estimation of library complexity is shown along with mitochondrial read percentage as a proxy for ascertainment of efficient cell lysis and cell health of ResolveOME DNA material. **b.)** RNA QC values for matched cells from the RNA feature of ResolveOME.

*Table S2: Significant coding variants in quizartinib-resistant vs. parental MOLM-13 cells.*

Positions that showed genotype association (Chi-Sq p-value) to parental vs. drug resistant are shown, but filtered for positions within or adjacent to coding sequence. Adjusted p-values are provided but were not filtered. File sorted for p-value and then by chromosome position. Cells were grouped according to genotype along with gene and transcript-level annotation is shown.

*Table S3: Significant intergenic variants in quizartinib-resistant vs. parental MOLM-13 cells.*

Positions that showed genotype association (Chi-Sq p-value) to parental vs. drug resistant are shown, but filtered for positions outside of coding sequence. Adjusted p-values are provided but were not filtered. File sorted for p-value and then by chromosome position. Cells were grouped according to genotype along with gene and transcript-level annotation is shown.

*Table S4: MOLM-13 genes with expression levels correlated with ploidy.*

A genome-ordered list of transcripts with shared expression magnitudes within known copy numbers from Figure 4a. Associated Gene IDs and Gene Symbols are provided.

*Table S5: Variants associated with DCIS EpCAM High vs Low.*

Positions that showed genotype association (Chi-Sq p-value) of EpCAM high vs low are shown, but filtered for positions outside of coding sequence. Adjusted p-values are provided but were not filtered. File sorted for p-value and then by chromosome position. Cells were grouped according to genotype along with gene and transcript-level annotation is shown.

*Figure S1. Relative growth rates of parental and quizartinib-resistant MOLM-13 cells.*

Counts of cells over culture days after introduction of varying concentrations of quizartinib.

*Figure S2. Missense variants in parental vs. resistant MOLM-13 cells.*

Variants (rows) identified as significantly associated through logistic regression with drug resistance are displayed, along with individual genotypes (0/0=homozygous reference, 0/1=heterozygous, 1/1=homozygous alternate, NA=not determined). Single cells (columns) are presented for parental (left) or resistant (right) cohorts. P value is shown along the right-hand side.

*Figure S3. Model of transcriptional bypass signaling through AXL upon FLT3 inhibition.*

Schematic illustrating that upon FLT3 inhibition by quizartinib, GAS6, the ligand for the receptor tyrosine kinase AXL, is upregulated in resistant MOLM-13 cells to drive growth and survival through PI3 kinase and AKT signaling, respectively.

*Figure S4. Variants associated with DCIS expression groups.*

Variants (rows) identified as significantly associated through logistic regression with expression groups within EpCAM-H DCIS cells are shown, along with individual genotypes are shown (0/1=heterozygous, 1/1=homozygous alternate, NA=not determined). P value is shown along the right-hand side.

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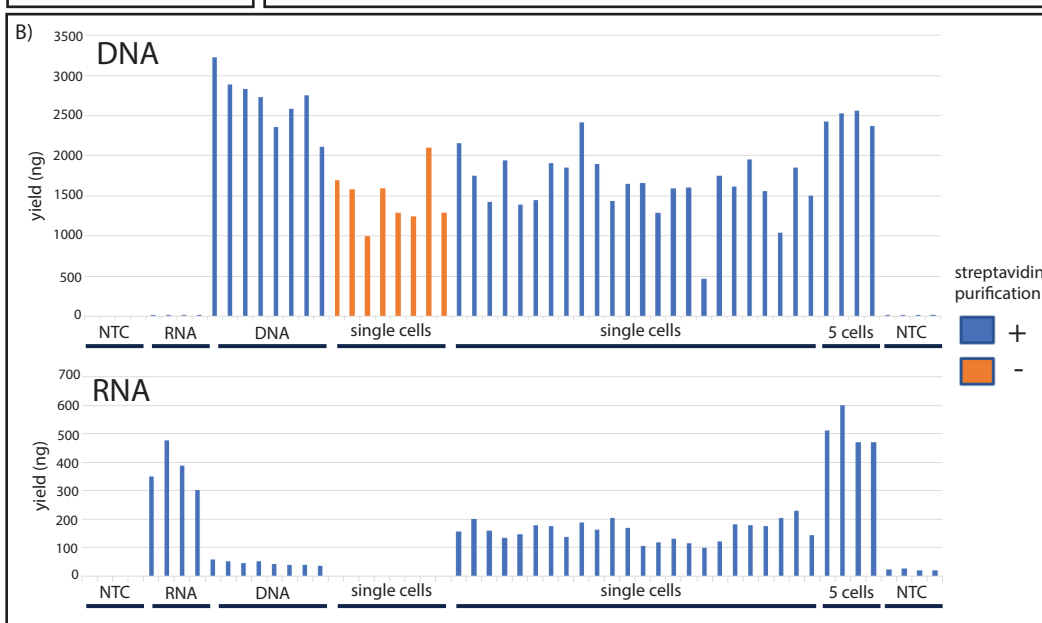
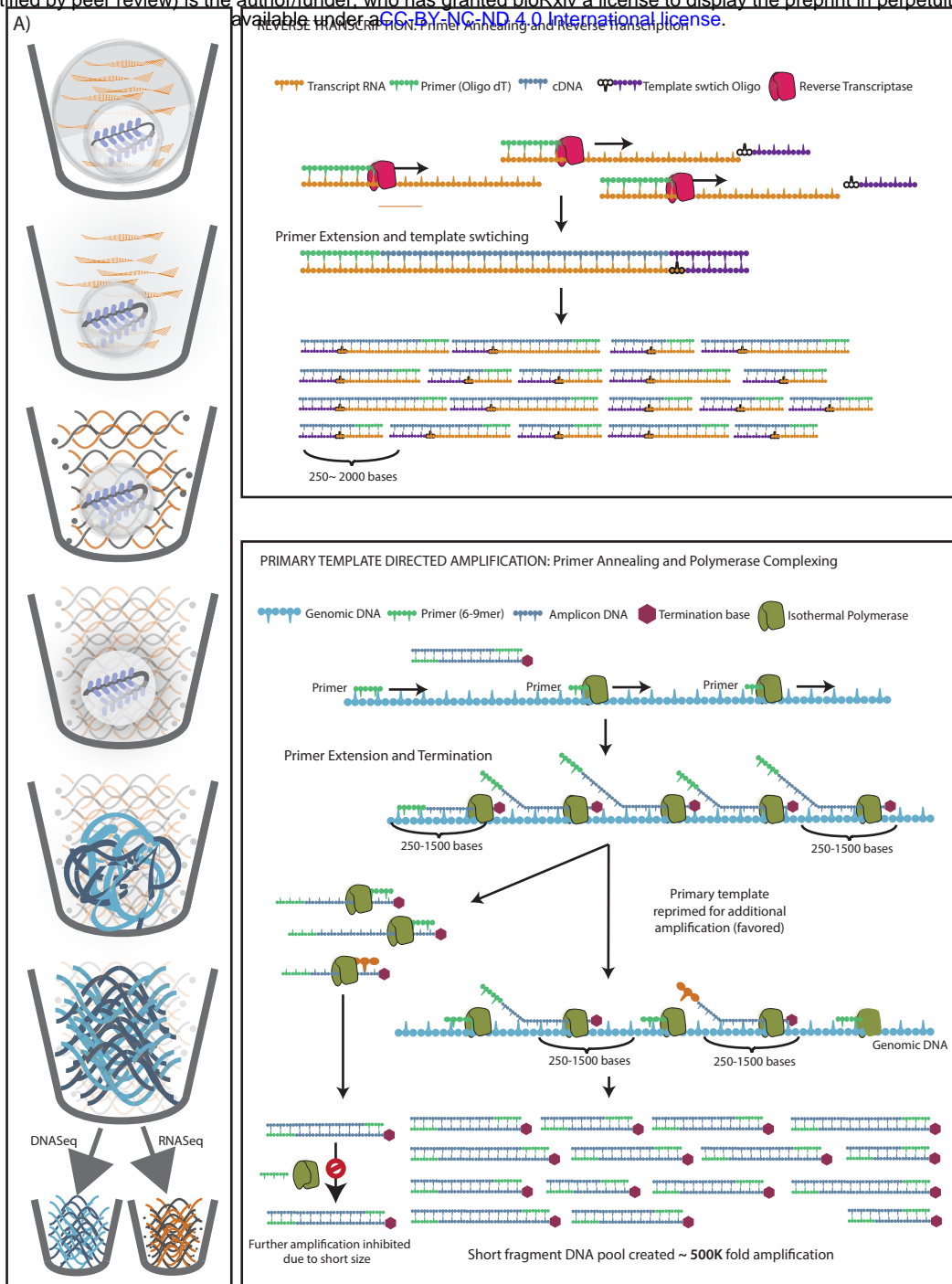
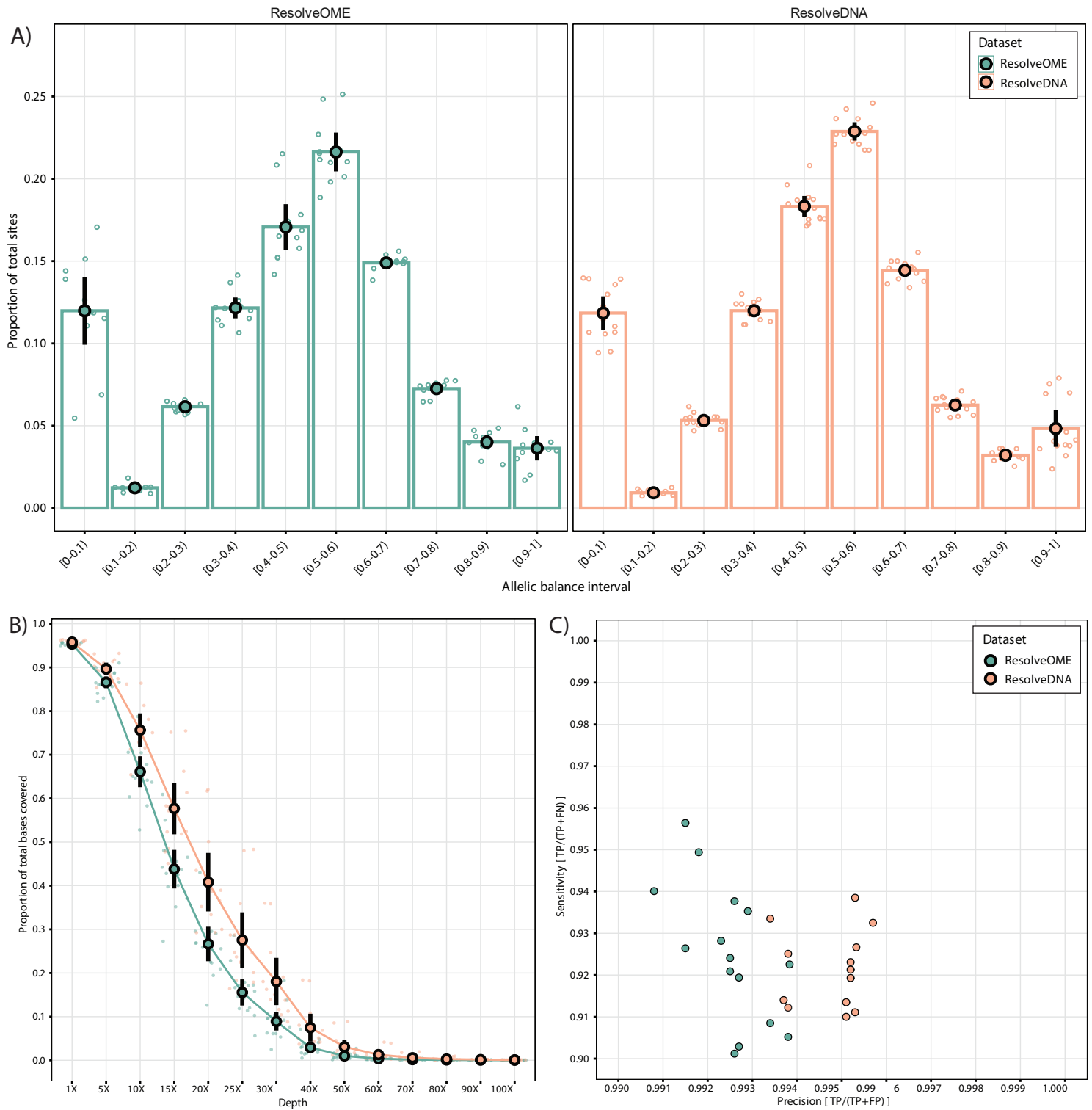
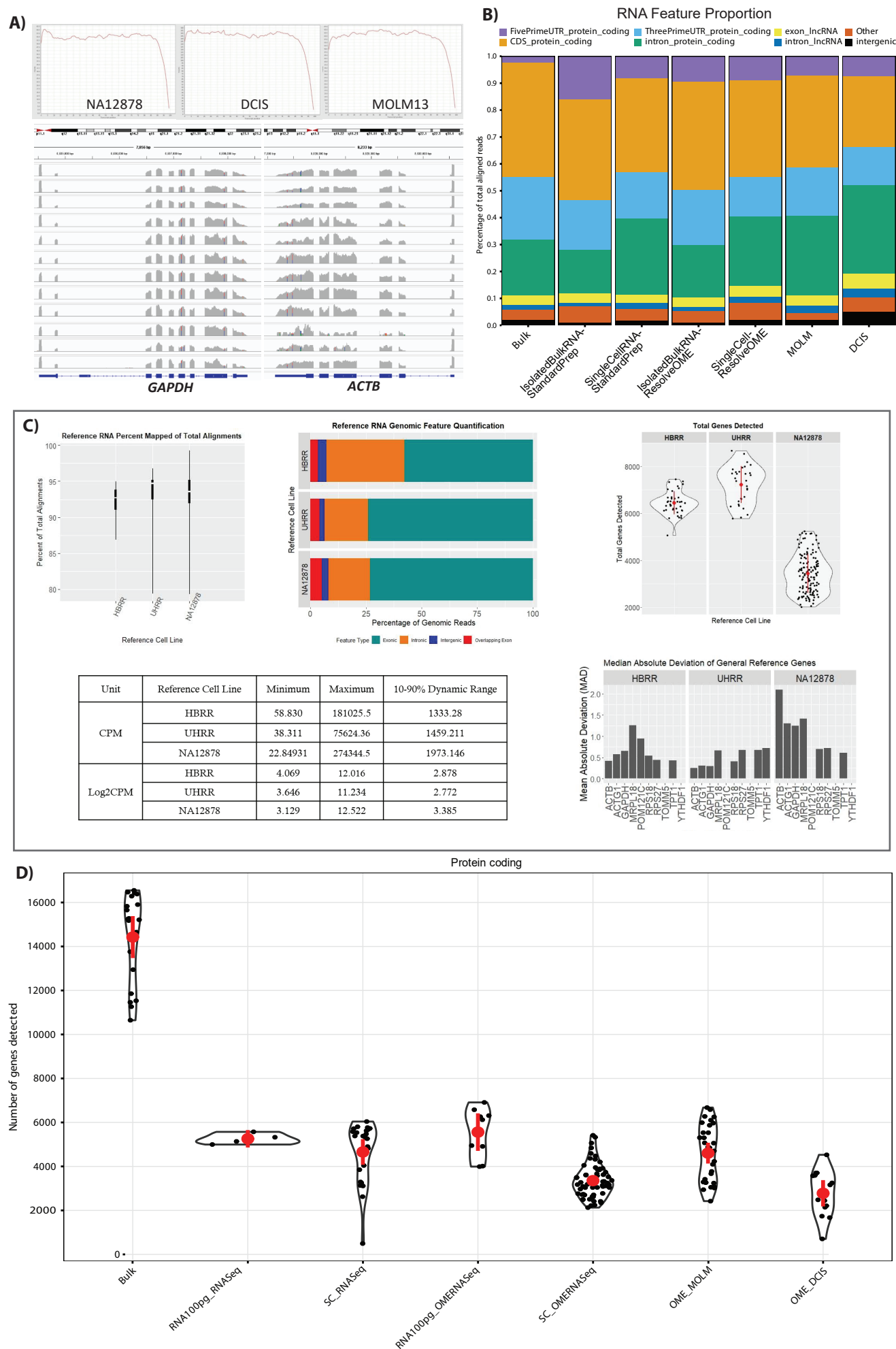
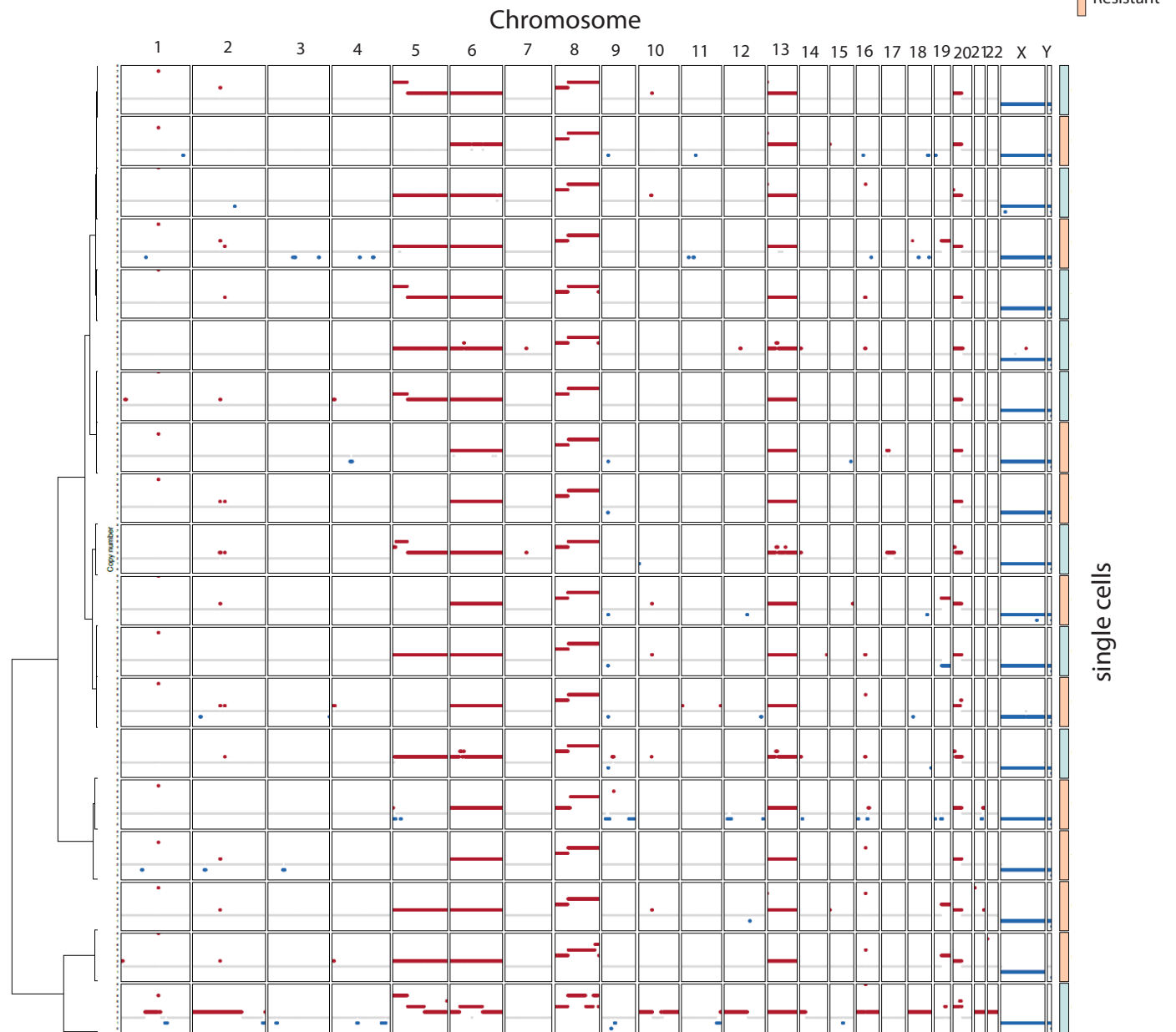


Figure 2





**A)**



**B)**

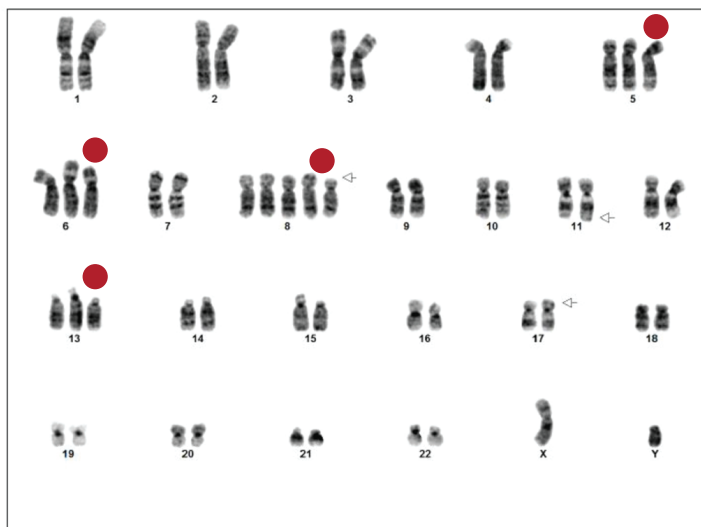


Figure 5

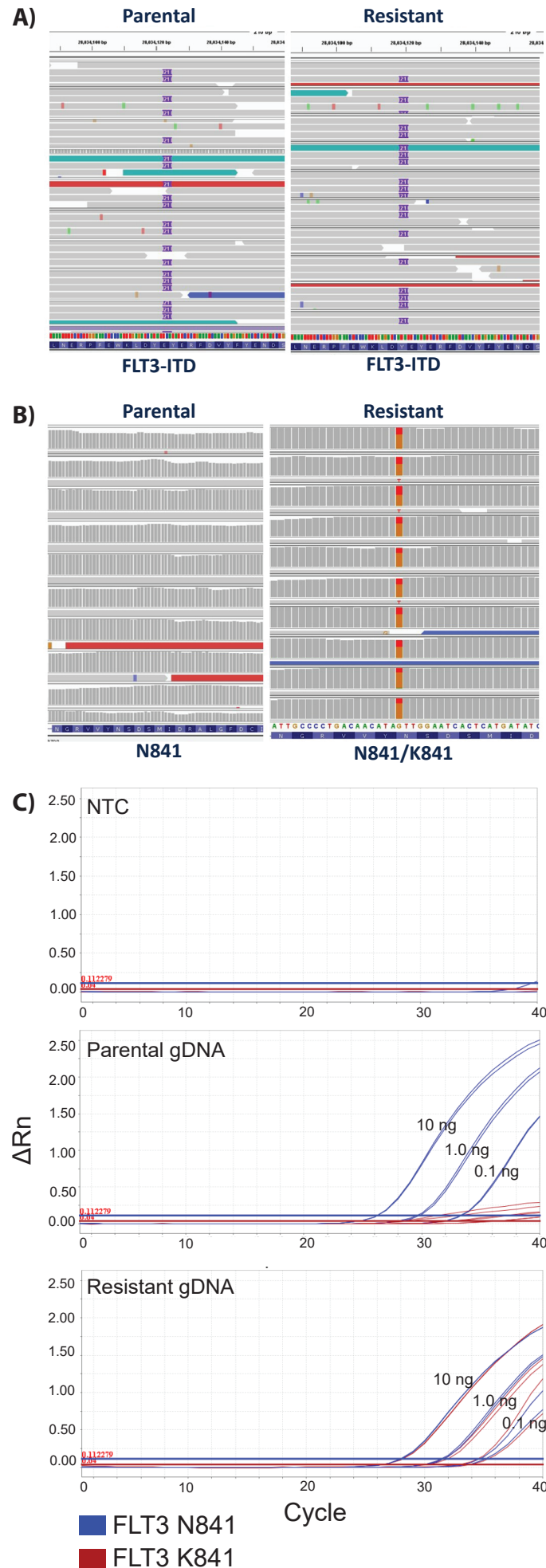


Figure 6

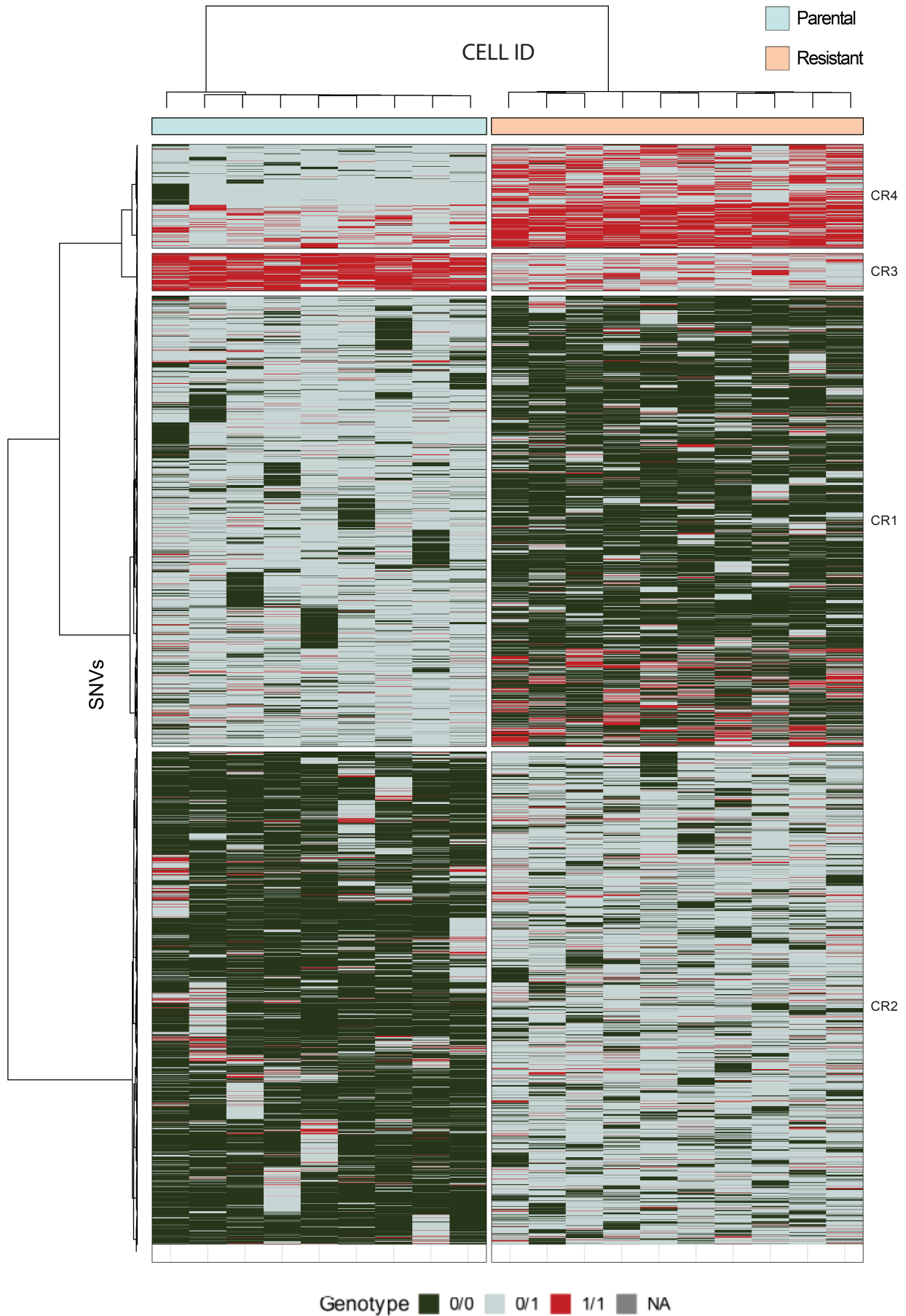
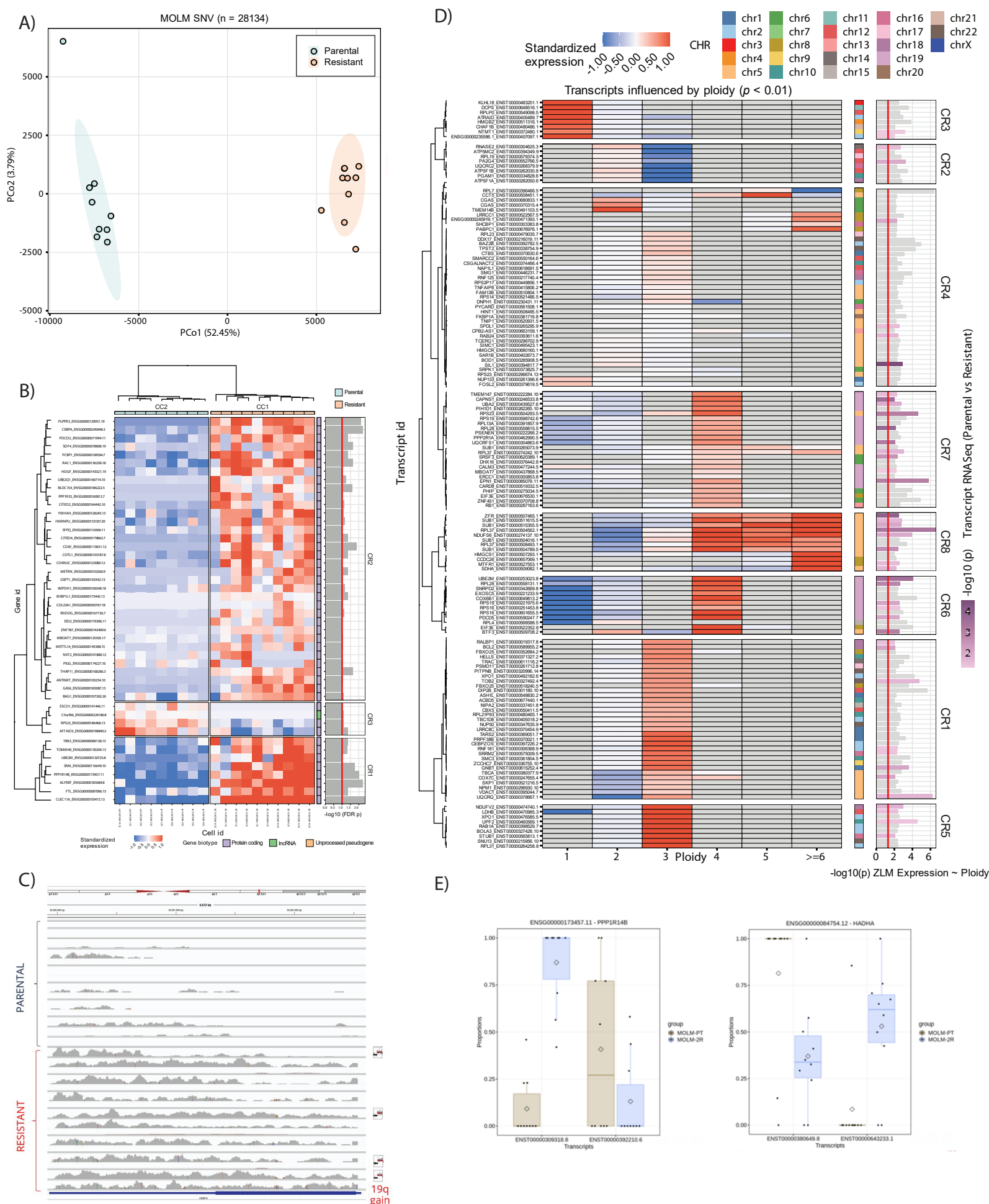


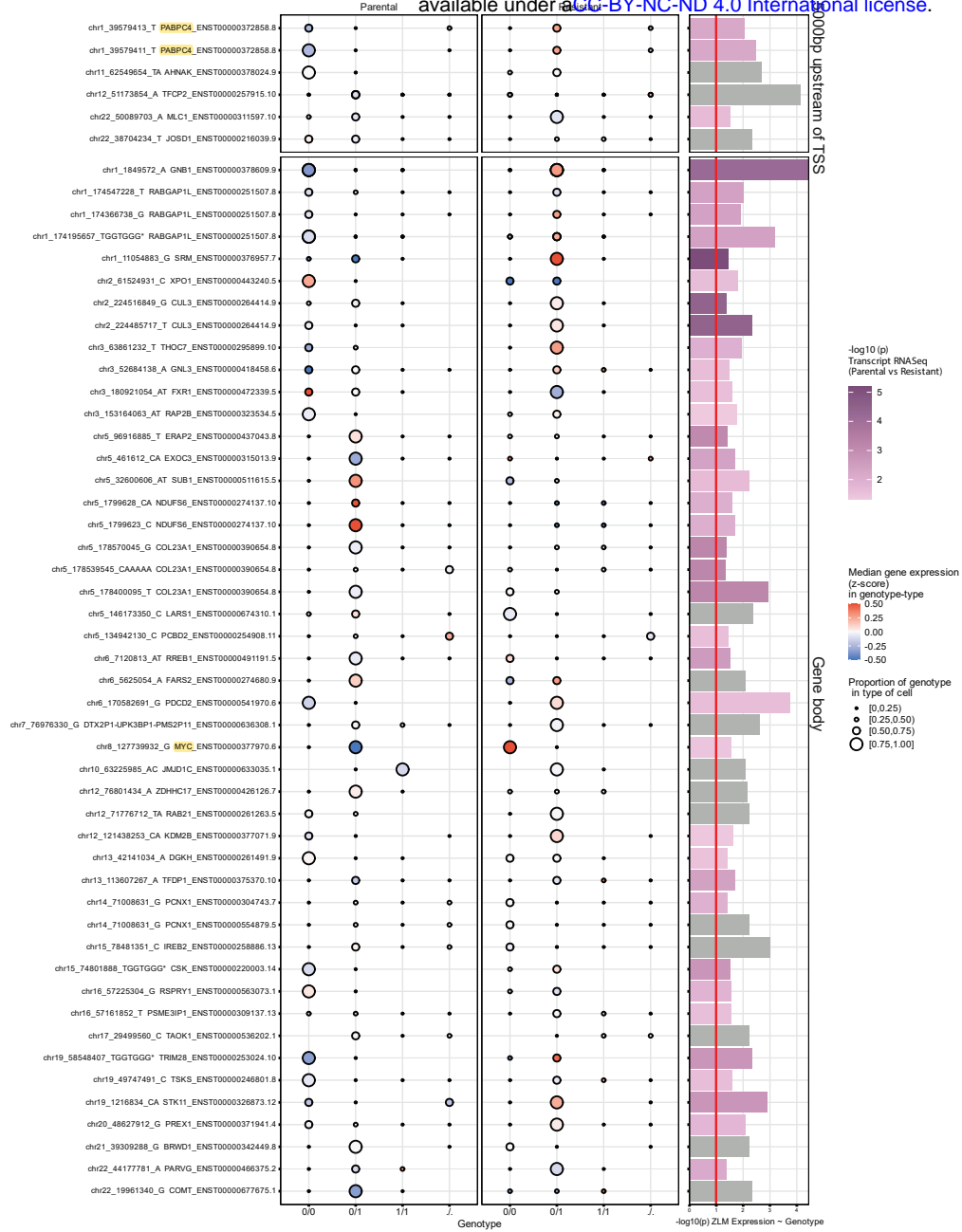
Figure 7





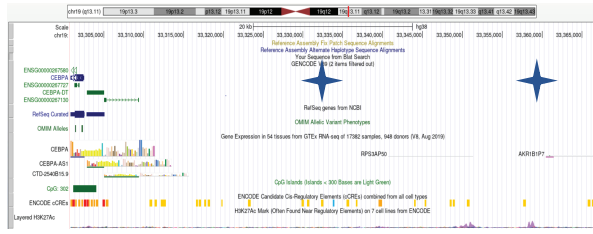
A)

Significant SNV-Gene interactions



B)

CEBPA

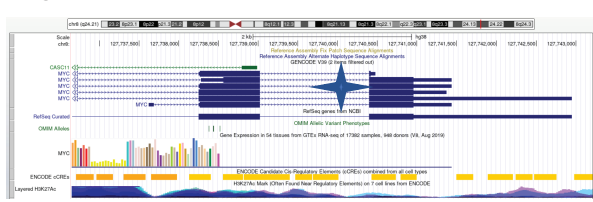


Chr.19:33,333,734 delA  
1/9 Parental  
6/10 Resistant

Chr.19:33,361,973 insA  
0/9 Parental  
5/10 Resistant

C)

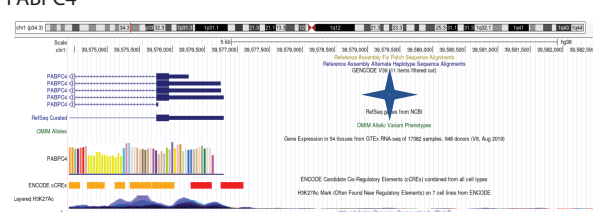
MYC



Chr.8:127,739,932 G>A  
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2/10 Resistant

D)

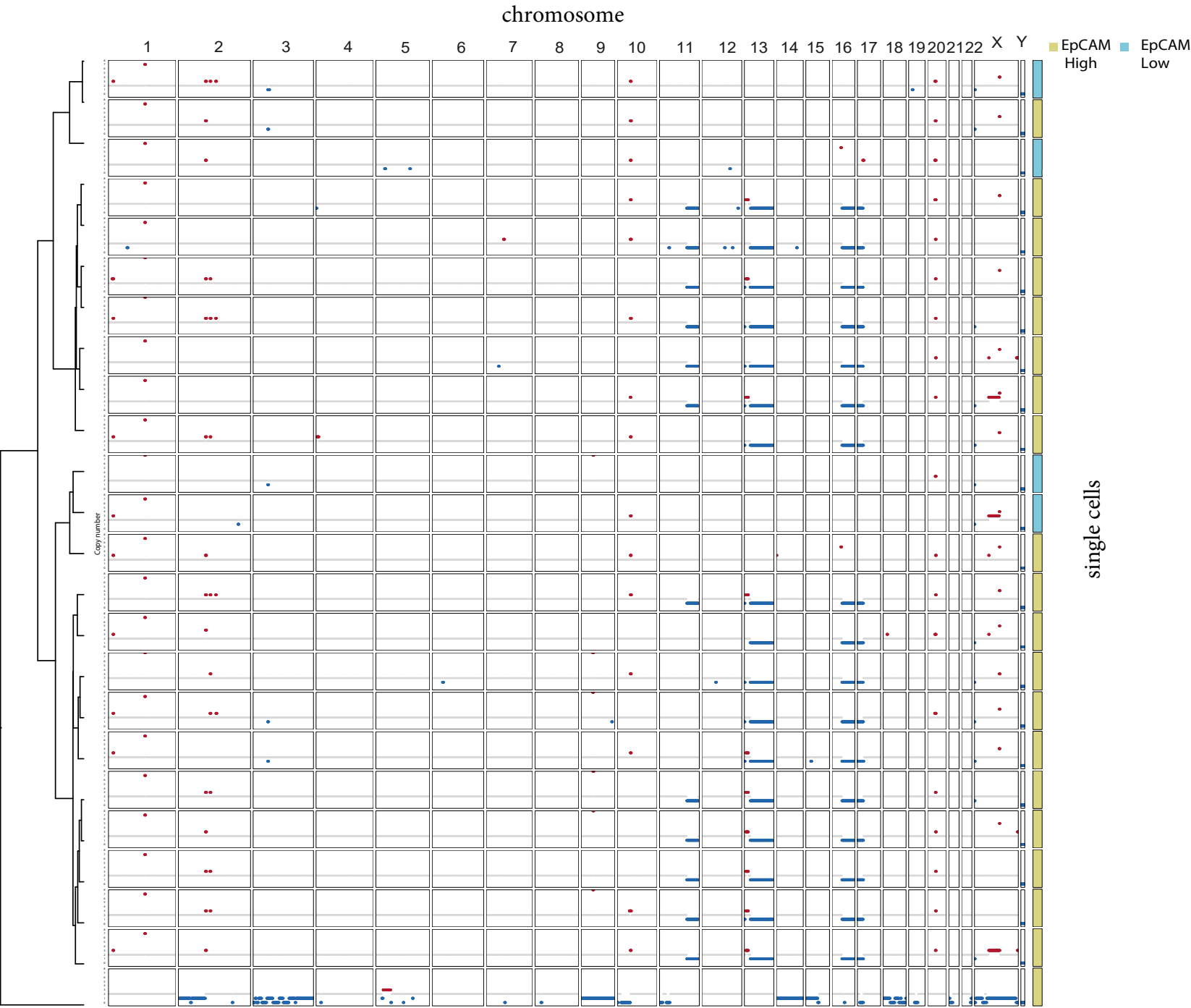
PABPC4

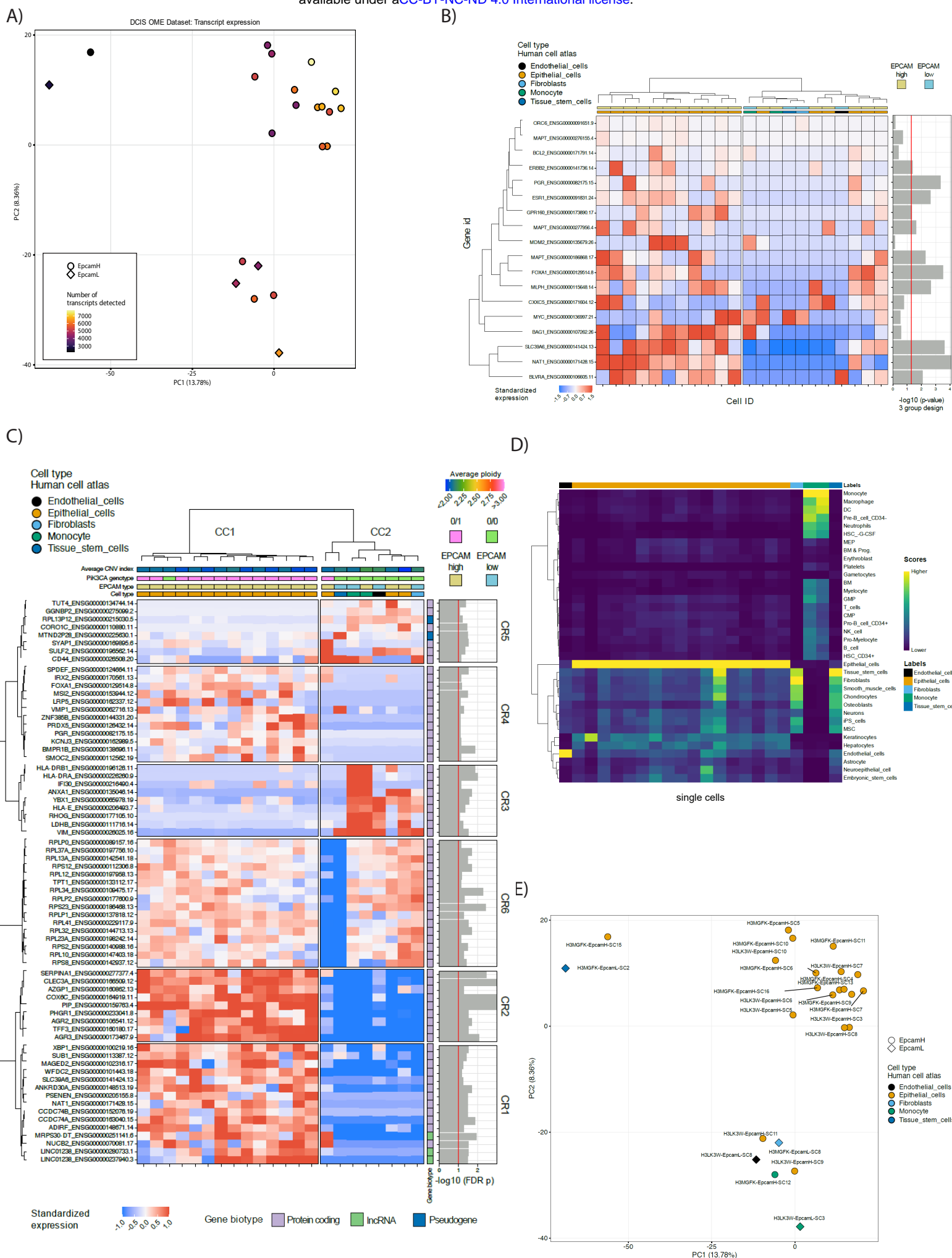


Chr.1:39,579,411 T>G  
0/9 Parental  
5/10 Resistant

Chr.1:39,579,413 T>G  
0/9 Parental  
5/10 Resistant

Figure 9





SRR15909920: A public-private-academic consortium, Genome-in-a-Bottle (GIAB), hosted by NIST to develop reference materials and standards for clinical sequencing.

<https://www.nature.com/articles/s41587-019-0054-x>

ERR356372: Coordinated effects of sequence variation on DNA binding, chromatin structure, and transcription.

[https://www.science.org/doi/10.1126/science.1242463?url\\_ver=Z39.88-2003&rft\\_id=ori:rid:crossref.org&rft\\_dat=cr\\_pub%20%20pubmed](https://www.science.org/doi/10.1126/science.1242463?url_ver=Z39.88-2003&rft_id=ori:rid:crossref.org&rft_dat=cr_pub%20%20pubmed)

SRR1258218: Transcriptome sequencing of a large human family identifies the impact of rare non-coding variants.

<https://www.sciencedirect.com/science/article/pii/S0002929714003486#:~:text=Article-Transcriptome%20Sequencing%20of%20a%20Large%20Human%20Family,Impact%20of%20Rare%20Noncoding%20Variants&text=Recent%20and%20rapid%20human%20population,genetic%20burden%20of%20disease%20risk>

SRR307005: RNA-Seq in GM12878 (ENCODE Project).

SRR307006: RNA-Seq in GM12878 (ENCODE Project).

SRR307007: RNA-Seq in GM12878 (ENCODE Project).

SRR307008: RNA-Seq in GM12878 (ENCODE Project).

SRR065532: ENCODE Caltech RNA-seq

SRR5117664: Analysis of parent-of-origin bias in gene expression levels.

<https://bmcbiol.biomedcentral.com/articles/10.1186/s12915-019-0674-0>

SRR5117665: Analysis of parent-of-origin bias in gene expression levels.

<https://bmcbiol.biomedcentral.com/articles/10.1186/s12915-019-0674-0>

SRR653897: Homo sapiens Transcriptome or Gene expression.

SRR002055: Pilot ENCODE transcriptome data.

SRR002063: Pilot ENCODE transcriptome data.

SRR005091: Pilot ENCODE transcriptome data.

SRR002052: Pilot ENCODE transcriptome data.

SRR002054: Pilot ENCODE transcriptome data.

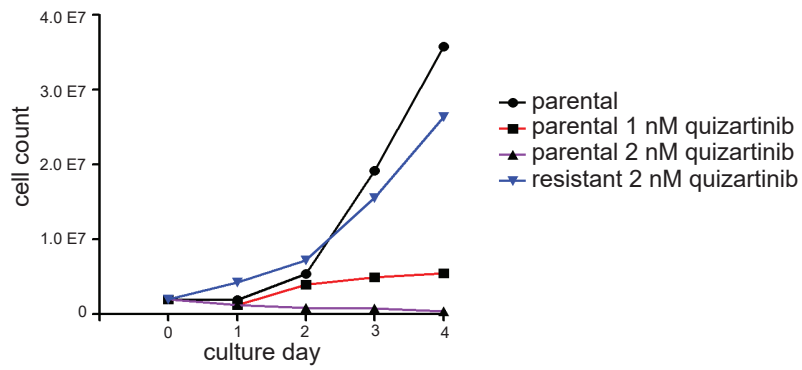
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SRR065514: ENCODE Caltech RNA-seq

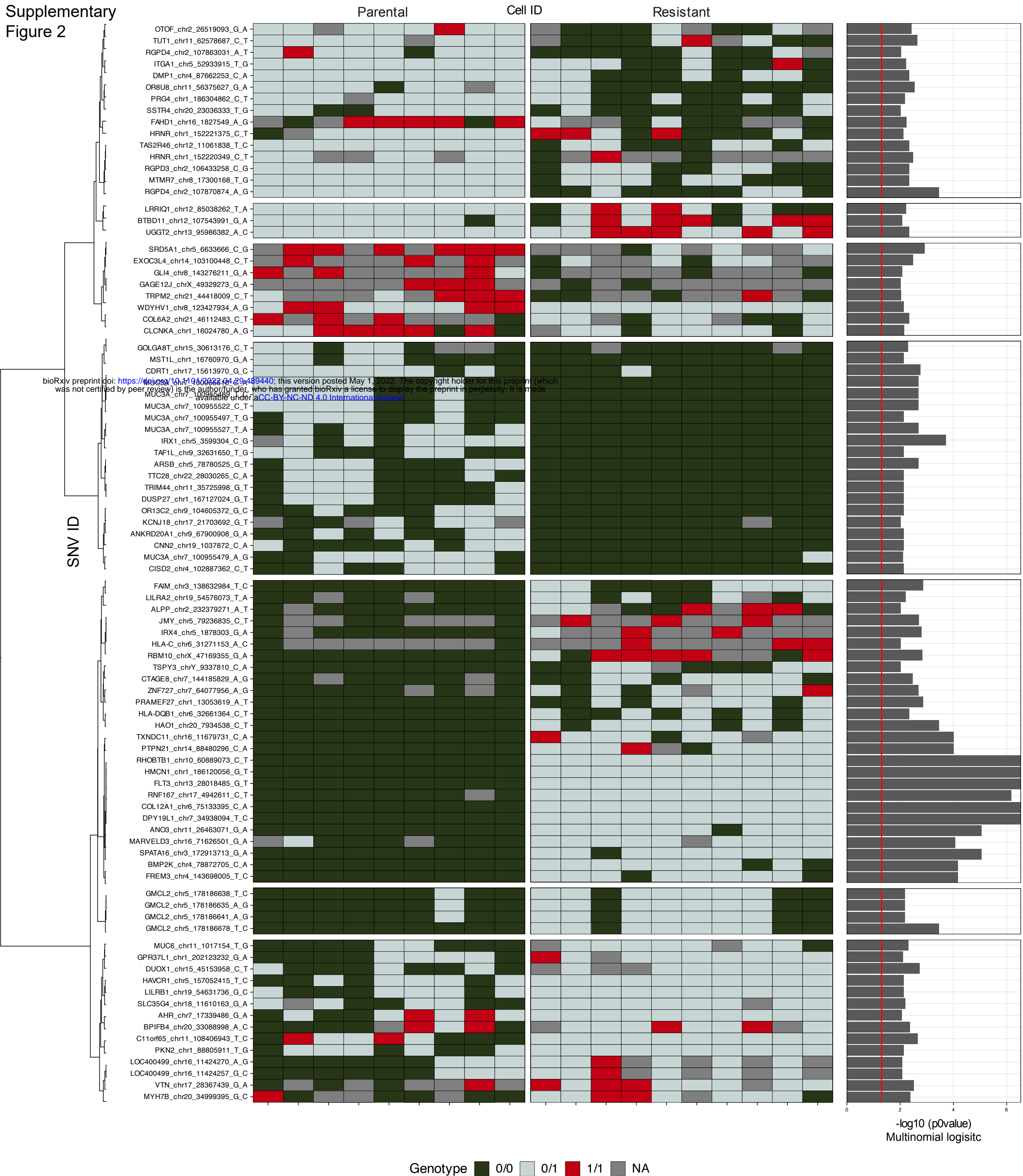
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SRR065510: ENCODE Caltech RNA-seq

## Supplementary Figure 1



Supplementary  
Figure 2





Supplementary Figure 3

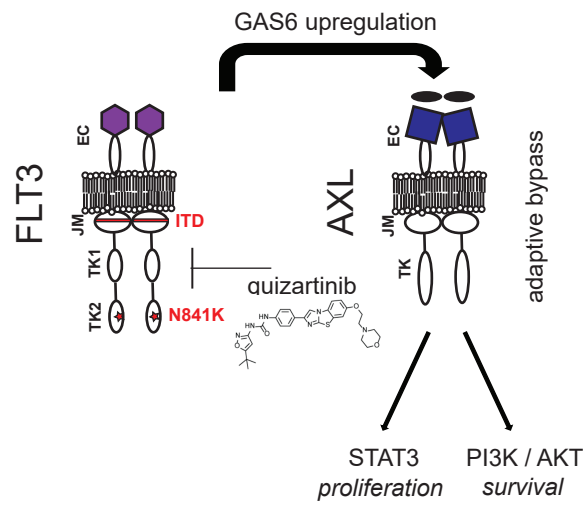


FIGURE 4

Supplementary  
Figure 4

