

Influenza A virus reassortment in mammals gives rise to genetically distinct within-host sub-populations

Ketaki Ganti¹, Anish Bagga², Silvia Carnaccini³, Lucas M. Ferreri^{1,3}, Ginger Geiger³, C. Joaquin Caceres³, Brittany Seibert³, Yonghai Li⁴, Liping Wang⁵, Taeyong Kwon⁴, Yuhao Li⁴, Igor Morozov⁴, Wenjun Ma^{5,6}, Juergen A. Richt^{4,6}, Daniel R. Perez^{3,7}, Katia Koelle^{8,9} and Anice C. Lowen^{1,9}

¹Department of Microbiology and Immunology, Emory University School of Medicine, Atlanta, GA, USA

²Emory College of Arts and Sciences, Atlanta, GA, USA

³Department of Population Health, College of Veterinary Medicine, University of Georgia, Athens, GA, USA

⁴Department of Diagnostic Medicine and Pathobiology, College of Veterinary Medicine, Kansas State University, Manhattan, KS, USA

⁵Department of Veterinary Pathobiology, and Department of Molecular Microbiology and Immunology, University of Missouri, Columbia, MO, USA

⁶St Jude Center of Excellence for Influenza Research and Response (SJ-CEIRR)

⁷The Center for Research on Influenza Pathogenesis and Transmission (CRIPT CEIRR)

⁸Department of Biology, Emory University, Atlanta, GA

⁹Emory Center of Excellence for Influenza Research and Response (Emory-CEIRR)

Abstract

Influenza A virus (IAV) genetic exchange through reassortment has the potential to accelerate viral evolution and has played a critical role in the generation of multiple pandemic strains. For reassortment to occur, distinct viruses must co-infect the same cell. The spatio-temporal dynamics of viral dissemination within an infected host therefore define opportunity for reassortment. Here, we used wild type and synonymously barcoded variant viruses of a pandemic H1N1 strain to examine the within-host viral dynamics that govern reassortment in guinea pigs, ferrets and swine. The first two species are well-established models of human influenza, while

swine are a natural host and a frequent conduit for cross-species transmission and reassortment. Our results show reassortment to be pervasive in all three hosts but less frequent in swine than in ferrets and guinea pigs. In ferrets, tissue-specific differences in the opportunity for reassortment are also evident, with more reassortants detected in the nasal tract than the lower respiratory tract. While temporal trends in viral diversity are limited, spatial patterns are clear, with heterogeneity in the viral genotypes detected at distinct anatomical sites revealing extensive compartmentalization of reassortment and replication. Our data indicate that the dynamics of viral replication in mammals allow diversification through reassortment but that the spatial compartmentalization of variants likely shapes their evolution and onward transmission.

Introduction

Influenza A viruses (IAVs) have a broad host range, with the greatest diversity in wild bird species and established lineages circulating in poultry, swine, humans and other mammalian hosts^{1,2}. Host range for a given lineage is restricted by species barriers to infection, but occasional spillover events can result in sustained transmission, seeding new lineages^{3,4}. Establishment of novel IAVs in humans is the source of influenza pandemics and has major ramifications for public health and the economy^{5,6}. Reassortment of gene segments between IAVs adapted to distinct host species can give rise to chimeric viruses with enhanced potential for cross-species transfer⁷. Prominent examples include the reassortant strains that gave rise to the 1957, 1968 and 2009 influenza pandemics^{8,9}.

Among non-human mammalian species, swine are of particular interest. Transmission of IAV between swine and humans is relatively common, due not only to an extensive interface in agricultural settings, but also to similarities between pigs and humans in the host factors that support viral replication^{10,11}. Swine hosts are also somewhat susceptible to infection with avian IAV, creating the opportunity for co-infection and reassortment of viruses that are typically separated by host species barriers¹². For this reason, swine are often referred to as mixing vessels for IAV reassortment^{13,14}. The role of swine in the 2009 influenza pandemic⁹, and the abundant reassortment that characterizes IAV in swine¹⁵⁻¹⁹, offer ample support for this designation.

Although the central position of swine in IAV ecology and evolution is well understood^{10,13,16}, the within-host viral dynamics that govern reassortment in this host are less well characterized. We have previously reported that IAV reassortment occurs frequently *in vivo*²⁰⁻²⁴. This prior work focused on easily sampled IAV populations replicating in the upper respiratory tracts of mammals. Recent work has shown, however, that distinct within-host subpopulations can form in different regions of the respiratory tract²⁵. This spatial structure, in turn, can influence viral evolutionary dynamics within and between hosts^{26,27}. Here, we evaluated how genotypic diversity generated through reassortment is shaped by and contributes to viral population structure within the host. We examined within-host diversity generated through reassortment in guinea pigs, ferrets and pigs. While pigs are an important natural host, guinea pigs and ferrets are well-characterized models for human IAV²⁸⁻³⁰. We find that co-infection at the cellular level is extensive and reassortant viruses are consistently observed. In ferrets, markedly greater viral genotypic diversity is generated in the upper respiratory tract compared to the lower tract. Viral populations at these two sites are furthermore highly dissimilar genetically, revealing strong spatial compartmentalization. Diversity generated through reassortment in swine is generally lower than in guinea pigs and ferrets and, as in ferrets, viral diversity in swine is characterized by extensive spatial compartmentalization. Taken together, our data reveal spatial structure within the mammalian respiratory tract to be a major factor defining the extent of IAV diversity engendered through reassortment.

Results

Viral genotypic diversity generated through reassortment in the mammalian nasal tract

To evaluate the viral genotypic diversity generated via reassortment, guinea pigs, ferrets and pigs were coinfectd with well-matched parental viruses of the influenza A/Netherlands/602/2009 (NL09; pH1N1) background³¹. Termed wild type (WT) and variant (VAR), these coinfecting viruses differ by a single synonymous mutation introduced into each gene segment of the VAR virus and distinct epitope tags encoded in the hemagglutinin open reading frame of each virus. In cell culture, these two viruses show comparable fitness (Supplementary Fig. 1). Following

determination of the 50% infectious dose (ID₅₀) of the virus mixture in ferrets and utilizing prior ID₅₀ results in guinea pigs³², reassortment in these species was analyzed at two doses applied intranasally (1 x 10² and 1 x 10⁵ ID₅₀). In pigs, a single dose of 2 x 10⁶ PFU applied both intranasally and intratracheally was used after preliminary tests with lower, intranasal, doses showed that animals were not consistently infected. All inoculated animals supported robust viral replication, as assessed at the nasal site, with titers declining to the limit of detection by day 4-7 post-inoculation (Supplementary Fig. 2).

To monitor reassortment in the upper respiratory tract, nasal samples were collected longitudinally, and the genotypes of clonal isolates derived from each sample were determined. The parental origin of each of the eight segments was defined, allowing identification of all 254 possible reassortant genotypes and both parental genotypes. Here, a genotype is defined as a constellation of eight gene segments and any nucleotide diversity present is not considered. Reassortment was detected in all samples from all species tested (Supplementary Fig. 3). To characterize the viral diversity generated through reassortment, we calculated the frequency of unique genotypes, frequency of parental genotypes, richness, and Shannon-Weiner diversity for each sample (Fig. 1).

In all three host species, the same reassortant virus is rarely detected across multiple time points (Supplementary Fig. 3), suggesting genotypic loss due to stochastic processes such as drift or disruption through further reassortment. Parental genotypes (WT or VAR), however, are consistently detected in the nasal samples of each animal in least two time points (Fig. 1B, F, J, M). Despite efforts to inoculate with a 1:1 mixture of WT and VAR viruses, VAR was predominant; this could reflect a skewing in the inoculum or fitness advantage of VAR that is only apparent *in vivo*. Since 21 viral isolates were genotyped from each sample, richness has a maximum value of 21 in our dataset. Richness is often high in guinea pigs and ferrets, with values ranging from 4 to 18, while the range in pigs falls between 3 and 10 (Fig. 1C, G, K, N). Trends in diversity correspond to those of richness (Fig. 1D, H, L, O).

In guinea pigs and ferrets, only marginal effects of dose were apparent (Fig. 1, compare dashed and solid lines). Nevertheless, as shown in Supplementary Fig. 4, differences in diversity across doses were significant in both species, with the higher dose yielding higher diversity when all

time points were considered together ($p=0.04$ in guinea pigs and $p=0.003$ in ferrets, ANOVA). Richness was also significantly higher in ferrets receiving a higher dose ($p=0.002$, ANOVA). The effects of dose are relevant in interpreting comparisons across species as only a relatively high dose was tested in pigs. Comparison of species across all time points and doses shows significantly higher richness and diversity in guinea pigs and ferrets than in pigs (Fig. 1N, O; $p<0.01$, ANOVA). Limiting this analysis to include only animals receiving a high dose of inoculum confirmed these trends ($p<0.005$, ANOVA). Overall, these results reveal that the mammalian upper respiratory tract presents an environment with high potential for the generation of viral diversity via reassortment, with modest effects of dose observed and with swine showing lower viral diversity than guinea pigs or ferrets.

Viral diversity generated through reassortment is greater in the ferret nasal tract than in the lungs. In mammalian hosts, IAV replication can be distributed throughout the respiratory tract. Using the ferret model, we compared the extent of viral diversity arising through reassortment in upper and lower respiratory tracts. Nasal turbinates and lung tissues were collected on days 1, 2, 3 or 4 from ferrets inoculated with 1×10^2 or 1×10^5 ferret ID₅₀. Viral titers in these samples are reported in Supplementary Fig. 2. Genotyping of viral isolates revealed that the number of unique genotypes detected was routinely higher in nasal turbinates compared to lung at both doses (Fig. 2A–C). Similarly, Shannon-Weiner diversity in nasal turbinates was typically higher than in the lung (Fig. 2D). These differences in both richness and diversity were significant (Fig. 2E, F) ($p=8.6 \times 10^{-5}$ and $p=0.0014$, respectively; paired t-test).

We hypothesized that the distinct outcomes of co-infection in the upper and lower respiratory tracts were indicative of little viral dispersal between the two sites. To test this hypothesis, we modeled free mixing between the lung and nose by computationally shuffling intact genotypes between the two tissues. We then compared our observed data to the resultant simulated dataset (Fig. 2C, D). This approach revealed that richness and diversity seen in the lungs is low relative to that expected for free mixing, with observed values typically falling below the 5th percentile of simulated results. In contrast, richness and diversity observed in nasal turbinates is

typically above the mean. This analysis suggests that spread of virus between upper and lower respiratory locations occurs relatively rarely, such that abundant diversity generated through reassortment in the nasal tract does not seed a similarly diverse population in the lungs.

Reassortant viral populations in the ferret upper and lower respiratory tracts are distinct.

Examination of the specific genotypes identified in the nasal turbinates and lung within a given ferret revealed almost no overlap (Fig. 2A, B). To more rigorously assess this apparent dissimilarity of viral populations, we calculated normalized beta diversity (β_n) between pairs of samples³³. This dissimilarity metric has a range between 0 and 1, with a beta value of 0 indicating that two samples are identical in their genotype composition, and a beta value of 1 indicating no genotypic overlap. In all ferrets, high beta diversities of >0.5 are seen between nasal turbinate and lung samples of the same animal (Fig. 3A). Indeed, beta diversity values calculated between pairs of samples within and between ferrets indicate that the nasal and lung viral populations within an individual are typically as distinct as populations replicating in different animals (Fig. 3A). To test whether a lack of viral dispersal between anatomical sites could account for this observation, we compared the observed beta diversity to the distribution of beta diversity values obtained from the computational simulation of free mixing outlined above. For most ferrets, observed beta diversity falls above the 95th percentile of the distribution (Fig. 3B). Thus, the highly distinct viral populations seen in nasal and lung tissues are inconsistent with free mixing between these sites. These data further support the notion that virus replicating within the ferret upper and lower respiratory tracts form distinct compartments, with reassortment occurring independently at the two sites.

To visualize the spatial distribution of coinfecting viruses within ferret tissues, the tagged HA proteins of WT and VAR origin were stained within lung and nasal tissue sections (Fig. 3C). This analysis revealed that microscopic fields that are positive for viral antigen tend to show a high density of infected cells at both tissue sites. Strikingly, most infected cells are positive for both WT and VAR antigens, indicating high levels of viral co-infection within the host, consistent with the robust reassortment observed. The cell types hosting viral antigen in the infected lung tissue were defined based on their morphology and found to comprise ciliated epithelium of the

bronchi and bronchioles, goblet cells, cells of the peribronchial/-iolar submucosal glands and type II pneumocytes within the alveoli (Fig. 3D and Supplementary Table 2). Unfortunately, this analysis could not be performed for nasal turbinate owing to exhaustion of the samples.

Local diversity arising through reassortment is modest within the swine respiratory tract.

Analysis of nasal swabs showed limited genotypic diversity in the swine nasal tract. We expanded this investigation of reassortment in pigs by sampling the nasal tract and each of the seven lung lobes of groups of three pigs on day 3 or 5 post-inoculation. Viral titers in these samples are shown in Supplementary Fig. 2. Titers in the nasal tract on day 3 were insufficient to support analysis of reassortment. Genotype frequencies observed from the remaining sites were plotted and overlaid on a schematic of a pig lung (Fig. 4A-F). Although one or more reassortants are detected in all but three of the 45 samples, the viral populations tend to carry high proportions of parental virus. At a minority of sites, a specific reassortant is predominant. Richness is less than 10 for most samples (Fig. 4G) and Shannon-Weiner diversities less than 1.0 are common (Fig. 4H). Thus, overall, the diversity generated through reassortment throughout the swine respiratory tract is moderate. To evaluate the extent to which a lack of viral mixing across the sampled locations limits richness and diversity, we compared the observed results to those expected if viruses mix freely throughout the respiratory tract (Fig. 4G, H). To simulate free mixing, we randomly sampled intact viral genotypes from all those detected within a given pig. The resultant richness and Shannon-Weiner diversity values show wide distributions. However, much of the observed data lies below the 5th percentile of these distributions, suggesting that pockets of low richness and diversity persist locally due to an absence of mixing across anatomical sites. In sum, local genotypic diversity generated via reassortment is modest throughout the swine respiratory tract.

Reassortant viral populations show extensive compartmentalization within the swine respiratory tract.

Examination of the reassortant viral genotypes identified in swine tissues reveals little to no overlap across locations within an individual animal (Fig. 4A-F). We again used beta diversity to assess the similarity of viral populations in a pairwise and quantitative manner.

As seen in ferrets, beta values in pigs were typically high (Fig. 5A and Supplementary Fig. 5). Indeed, viral populations sampled from within a pig were as distinct from one another as those sampled from different pigs (Fig. 5A). To test whether a lack of viral dispersal between anatomical sites could account for this observation, we compared the beta diversity observed for a pair of locations within a pig to the distribution of beta diversity values obtained from a simulation of free mixing between those locations (Fig. 5B and Supplementary Fig. 6). For most pairs of tissues, the observed data are at the high extreme of this distribution, falling within the 95th percentile of the simulated results. Thus, spatial compartmentalization within the swine respiratory tract is extensive.

To visualize the spatial distribution of co-infecting viruses within swine tissues, the tagged HA proteins of WT and VAR origin were stained (Fig. 5C and Supplementary Fig. 7). As in ferrets, a high density of infected cells is visible in regions positive for viral antigen and most infected cells are positive for both WT and VAR viruses. Thus, the high levels of viral co-infection observed in ferrets extends to swine. Using a morphologic approach to identify cell types, we found type II pneumocytes within the alveoli and ciliated epithelial cells of the bronchioles and bronchi to be the predominant cell types harboring viral antigen in the lungs (Fig. 5D, Supplementary Fig. 8 and Supplementary Table 2). In nasal turbinates, viral antigen positivity was observed within respiratory and transitional epithelia (Supplementary Fig. 8 and Supplementary Table 2).

Discussion

Our experiments reveal the extent to which co-infection at the level of the whole host creates opportunity for reassortment within the host. Abundant opportunity is apparent in the nasal tracts of guinea pigs and ferrets. However, the viral diversity generated through reassortment is more limited in the lungs of ferrets and all tissues sampled in pigs. In addition to relatively little opportunity for reassortment in these tissues, spatial constraints on mixing within the respiratory tract yield localized sub-populations with diminished genotypic diversity. Thus, despite the extensive reassortment seen among swine IAV at a population level, our results reveal only moderate viral diversity generated through reassortment in pigs. Results from guinea pigs and

ferrets suggest that opportunity for within-host IAV genetic exchange may be greater in other natural mammalian hosts.

In drawing comparisons across the species examined, it is important to consider differences in the protocols applied. Swine were infected both intranasally and intratracheally compared to only intranasal infection in both ferrets and guinea pigs. The delivery of inoculum directly to the lower respiratory tract in swine might be expected to augment potential for WT-VAR coinfection in the lungs. In addition, swine were infected with a single high dose with unknown relationship to the median infectious doses in this species, while ferrets and guinea pigs were each infected with doses of 1×10^2 and 1×10^5 ID₅₀. As measured by plaque assay, the dose applied in pigs is comparable to the high dose used in guinea pigs and approximately 10-fold lower than the high dose used in ferrets. While inoculum dose might be expected to influence the potential for coinfection and propagation of novel variants, the effect of a 10^3 -fold difference in dose in ferrets and guinea pigs was modest. We therefore suggest that the more limited diversification through reassortment in pigs is unlikely to be due to protocol differences.

Given our use of well-matched parental viruses, the differences in viral genotypic diversity seen between species and between tissues within a species are also unlikely to be driven by selection. Instead, features of viral dynamics in these different environments likely shape the frequency of reassortment and the extent to which reassortants are amplified once formed. Since relatively few reassortants were detected in pigs and in ferret lungs, our data imply that the size of the target tissue may be an important factor. In a large animal such as swine or a tissue with extensive surface area, such as lung, the likelihood of cellular co-infection involving genetically distinct variants may be reduced. In addition, viral fitness, the spatial arrangement of target cells, the extent to which permissive cells are interspersed with non-permissive cells, physical barriers to viral spread and the effectiveness innate responses to infection are all likely to vary with species and across different tissues within a species³⁴⁻³⁶.

Although variation in the diversity generated through reassortment is apparent, visualization of viral antigen in infected tissues indicated that cellular co-infection is abundant at all sites examined. Cellular co-infection has previously been reported to be common *in vivo*³⁷ and is expected owing to the high potential for singular genomes to result in abortive infection^{38,39} and

the potential for direct cell-to-cell spread of viral genetic material⁴⁰. Nevertheless, the juxtaposition of this result with a predominance of parental-type viruses in many samples is counter-intuitive. While the images of fixed tissue show a snapshot of viral HA protein expression in defined cells at a given time point, viral samples draw from the population of viruses that have accumulated at a particular site over the course of infection. Thus, the prevalence of parental genotypes may reflect their production early after inoculation, when cellular co-infection is less likely to occur^{22,37}. In addition, HA staining does not reveal the relative dosage of WT and VAR genomes in a cell or indicate the genotype(s) of the seven non-HA segments. Both factors would strongly modulate the proportion of progeny genomes from a co-infected cell that are reassortant.

The spatial separation of viral sub-populations within an individual has important evolutionary implications. First, reassortment among genetically distinct viruses is less likely to occur when viral dispersal is localized, since all viruses produced from a singly infected progenitor cell will be genetically similar²³. Conversely, well-mixed viral populations are more likely to produce reassortants that differ from their parents in biologically meaningful ways. Compartmentalization of variants may be a contributing factor to the lack of reassortment reported in experimentally infected humans⁴¹. Second, spatial structure impacts the extent to which a viral population within a host is shaped by selection versus stochastic processes^{25,42}. Spatial barriers create subpopulations, each of which can have a small population size. As such, genetic drift dominates within each subpopulation, with variant frequencies changing over time largely due to chance. This means that both lower and higher fitness variants have the potential to rise to high frequencies within subpopulations. As a result, spatial compartmentalization of populations has the immediate effect of reducing the efficiency of selection. While the strength of selection to purge low fitness variants is weakened at the level of individual subpopulations, the propagation of slightly deleterious mutants allows a broader exploration of sequence space across the entire viral population that is distributed within the host. This may, in rare cases, lead to the discovery of higher fitness variants that might not evolve as readily in less structured within-host populations.

Compartmentalization of viral replication is also expected to shape evolution occurring between hosts. As has been seen in ferrets, transmitted virus is likely to comprise a sub-sample of one specific anatomical location^{23,27,43}. In the context of spatial heterogeneity, this sub-sample will not be representative of the viral population present in the whole host. Thus, within-host spatial structure is expected to contribute to stochastic effects acting between hosts: the transmitted virus will be the virus that was in the right place at the right time, and may not be the most fit genotype present within a host^{23,27,44,45}.

Certain limitations in our experimental design are important to consider. Our approach of co-inoculating with well-matched parental strains is designed to maximize potential to detect reassortment. In addition, the gene constellation of the 2009 pandemic H1N1 virus, used in this study, has shown a marked predisposition for reassortment⁴⁶⁻⁴⁸. However, natural co-infections will include a wide range of scenarios in terms of relative timing, route and dose of infection and phenotypes of co-infecting strains. Each of these factors will influence the frequency of reassortment^{21-23,49}. In evaluating the extent of overlap between viral genotypes in different anatomical locations, it is important to note that parental viruses are likely seeded throughout the respiratory tract upon inoculation; thus, only reassortant genotypes are informative in assessing the extent of mixing across sites. In addition, if variants are rare (<5%), they would fall below the limit of detection of our assay, which can have potent effects on measured beta diversity. In particular, if a genotype detected in one location is present below the limit of detection in a second location, measured beta diversity would not reflect this commonality and would therefore be erroneously high.

IAV reassortment within cells is efficient, such that 256 distinct genotypes are readily formed in a single co-infected cell⁵⁰. However, within a host, the high potential for reassortment to generate diversity is subject to complex dynamics that define the likelihood of cellular co-infection and the extent to which novel reassortants are propagated. While our data reveal extensive co-infection *in vivo* and consistent formation of reassortants, species and tissue differences in the extent of reassortment are apparent. Spatial constraints on the dissemination of novel genotypes add to this complexity and are likely to be a major factor in within-host viral evolutionary dynamics.

Author Contributions

KG contributed to the conception of work, experimental design, data acquisition and analysis, interpretation of data; AB contributed to data analysis, model development and interpretation of data; SC contributed to experimental design, data acquisition and interpretation of data; LMF, GG, CJC, BS, YL, LW, TK, YL and IM contributed to data acquisition; WM, JAR and DRP contributed to conception of the work, experimental design, data analysis and interpretation; KK contributed to conception of the work, data analysis and interpretation; ACL contributed to conception of work, experimental design and data analysis and interpretation. All authors contributed to the writing of the manuscript.

Conflict of interest statement

The JAR laboratory received support from Tonix Pharmaceuticals, Xing Technologies and Zoetis, outside of the reported work. JAR is inventor on patents and patent applications on the use of antivirals and vaccines for the treatment and prevention of virus infections, owned by Kansas State University, KS.

Methods

Cells and cell culture media. Madin–Darby canine kidney (MDCK) cells, a gift from Dr. Robert Webster, St Jude Children’s Research Hospital, Memphis, TN to D.R.P were used for all experiments. A seed stock of MDCK cells at passage 23 was subsequently amplified and maintained in Minimal Essential Medium (Gibco) supplemented with 10% fetal bovine serum (FBS; Atlanta Biologicals) and Normocin (Invivogen). 293T cells (ATCC, CRL-3216) were maintained in Dulbecco’s Minimal Essential Medium (Gibco) supplemented with 10% FBS and PS. All cells were cultured at 37°C and 5% CO₂ in a humidified incubator. The cell lines were not authenticated. All cell lines were tested monthly for mycoplasma contamination while in use. The medium for the culture of IAV in MDCK cells (virus medium) was prepared by supplementing the basal medium for the relevant cell type with 4.3% BSA and Normocin.

Viruses. Viruses used in this study were derived from influenza A/Netherlands/602/2009 (H1N1) virus (NL09) and were generated by reverse genetics⁵¹⁻⁵³. In brief, 293T cells transfected with reverse-genetics plasmids 16–24 h previously were co-cultured with MDCK cells at 37°C for 40–48 h. Recovered virus was propagated in MDCK cells at a low multiplicity of infection to generate working stocks. Titration of stocks and experimental samples was carried out by plaque assay in MDCK cells. Silent mutations were introduced into each segment of the VAR virus by site-directed mutagenesis of reverse genetics plasmids. The specific changes introduced into the VAR virus were reported previously^{24,31}. NL09 VAR virus was engineered to contain a 6XHIS epitope tag plus a GGGG linker at the amino (N) terminus of the HA protein following the signal peptide. NL09 WT virus carries an HA epitope tag plus a GGGG linker inserted at the N terminus of the HA protein³¹. For animal challenges, 1:1 mixture of NL09 WT and VAR viruses was prepared using methods described previously²⁴. This mixture was validated in cell culture by quantifying cells positive for HIS and HA tags following infection of MDCK cells, revealing an empirically determined ratio of 0.95:1 (WT:VAR). The same mixture was used for all experiments reported herein.

Evaluation of viral replication in cell culture. Replication of NL09, NL09 WT and NL09 VAR viruses was determined in triplicate culture wells. MDCK cells in 6 well dishes were inoculated at an MOI of 0.05 PFU/cell in PBS. After 1 h incubation at 37°C, inoculum was removed, cells were washed 3x with PBS, 2 mL virus medium was added to cells and dishes were returned to 37 °C. A 120 µl volume of culture medium was sampled at the indicated times points and stored at -80°C. Viral titers were determined by plaque assay on MDCK cells.

Animal models and reassortment *in vivo*. All the animal experiments were conducted in accordance with the Guide for the Care and Use of Laboratory Animals of the National Institutes of Health. The studies were conducted under animal biosafety level 2 containment and approved by the IACUC of Emory University (DAR-2002738-ELMNTS-A) for guinea pig (*Cavia porcellus*), the IACUC of the University of Georgia (AUP A2015 06-026-Y3-A5) for ferret (*Mustela putorius furo*) and the IACUC of Kansas State University (protocol #4120) for swine (*Sus scrofa*). The animals

were humanely euthanized following guidelines approved by the American Veterinary Medical Association.

Female, Hartley strain guinea pigs weighing 250–350 g were obtained from Charles River Laboratories and housed by Emory University Department of Animal Resources. Before intranasal inoculation and nasal washing, the guinea pigs were anaesthetized with 30 mg kg⁻¹ ketamine and 4 mg kg⁻¹ xylazine by intramuscular injection. The GPID₅₀ of the NL09 virus was previously determined to be 1x10¹ PFU³². To evaluate reassortment kinetics in guinea pigs, groups of six animals were infected with 1x10³ PFU (1x10² ID₅₀) or 1x10⁶ PFU (1x10⁵ ID₅₀) of the NL09 WT/VAR virus mixtures. Virus inoculum was given intranasally in a 300 µl volume of PBS. Nasal washes were performed on days 1–6 post-inoculation and titered by plaque assay. Viral genotyping was performed on samples collected on days 1, 2 and 3 or 4 for each guinea pig. Day 3 was used for animals receiving the higher dose since virus is cleared rapidly in this system and shedding has ceased by day 4.

Female ferrets, 20-weeks-old, from Triple F Farms (Gillett, PA) were used. All ferrets were seronegative by anti-nucleoprotein (anti-NP) influenza virus enzyme-linked immunosorbent assay, Swine Influenza Virus Ab Test, (IDEXX, Westbrook, ME) prior to infection. Five days prior to experimentation, ferrets were sedated, and a subcutaneous transponder (Bio Medic Data Systems, Seaford, Delaware) was implanted to identify each animal and provide temperature readings. Anesthetics were applied via intramuscular injection with ketamine (20 mg kg⁻¹) and xylazine (1 mg kg⁻¹). Infections were performed via intranasal inoculation of 1 mL of virus diluted in PBS. Ferret nasal washes were carried out as follows. Ferrets were anesthetized and 1 ml of PBS administered to the nose was used to induce sneezing. Expelled fluid was collected into Petri dishes and samples were collected in an additional volume of 1 mL PBS. Infected ferrets were monitored daily for clinical signs, temperature and weight loss. Ferrets were euthanized by intravenous injection of 1 ml of Beuthanasia-D diluted 1:1 with DI water (Merck, Madison, NJ). For determination of ferret ID₅₀, six groups of four ferrets each were inoculated with increasing doses of the NL09 WT/VAR virus mixture (1x10^{0.1} PFU, 1x10⁰ PFU, 1x10¹ PFU, 1x10² PFU, 1x10³ PFU and 1x10⁴ PFU). Nasal washes were collected daily for up to 6 days and titrated for viral

shedding by plaque assay. The ferret ID₅₀ was determined based on results obtained at day 2 and found to be equivalent to 3.2 x 10² PFU.

For analysis of reassortment frequency and detection of viral antigen in tissues, ferrets were inoculated with 3.2 x 10⁴ PFU (1x10² ID₅₀) or 3.2 x 10⁷ PFU (1x10⁵ ID₅₀). After infections, nasal washes were collected daily for up to 6 days and titrated by plaque assay. Viral genotyping was performed on samples collected on days 1, 3 and 5 for each ferret. Necropsies were performed on days 1–4 for collection of nasal turbinate and lung tissues. A single lung lobe (the left caudal lobe) was sampled from each ferret. Tissue sections collected for virology were disrupted in 1 mL of sterile PBS using the TissueLyser LT (Qiagen, Germantown, MD) at 30 Hz for 5 min twice, in microcentrifuge tubes with 3 mm Tungsten Carbide Beads (Qiagen, St. Louis, MO). Supernatants were clarified by centrifugation and frozen at -80°C until viral titration. For histology, tissues were submerged in 10% buffered formalin (Sigma Aldrich, St. Louis, MO) and stored at room temperature until evaluation.

The pig study was conducted at the Large Animal Research Center (a biosafety level 2+ facility) at Kansas State University in accordance with the Guide for the Care and Use of Agricultural Animals in Research and Teaching of the U.S. Department of Agriculture. To determine virus reassortment and viral antigen in tissues, eighteen 4-week-old influenza H1 and H3 subtype virus- and porcine reproductive and respiratory syndrome virus-seronegative gender-mixed crossbred pigs were randomly allocated into groups. Each pig was inoculated with 2 x 10⁶ PFU of NL09 WT/VAR mixture through both intranasal and intratracheal routes (10⁶ PFU was administered in a 1 ml volume by each of these two routes) under anaesthesia as described previously⁵⁴. Clinical signs for all experimental pigs were monitored daily throughout the experiment. Nasal swabs were collected at 1-, 3-, 5- and 7-days post infection from each pig. Three infected pigs were euthanized at 3-, 5-, and 7-days post infection. During necropsy, nasal turbinate, trachea and lung tissues from 7 lobes collected from each pig were frozen at -80°C for virus isolation and fixed in 10% buffered formalin for IHC examination.

Quantification of reassortment. Reassortment frequencies were evaluated by genotyping 21 clonal viral isolates per sample as described previously⁵⁰. This analysis was applied to guinea pig

nasal washes, ferret nasal washes, swine nasal swabs, ferret tissue homogenates and swine tissue homogenates. Time points to be examined were chosen based on positivity in all animals in a treatment group. Thus, nasal wash samples from days 1, 2, 3 or 4 were evaluated from guinea pigs while samples from days 1, 3 and 5 were evaluated for swine and ferrets. Ferret tissues collected on days 1, 2, 3 and 4 and swine tissues collected on days 3 and 5 were analyzed. Briefly, plaque assays were performed on MDCK cells in 10 cm dishes to isolate virus clones. Serological pipettes (1 ml) were used to collect agar plugs into 160 µl PBS. Using a ZR-96 viral RNA kit (Zymo), RNA was extracted from the agar plugs and eluted in 40 µl nuclease-free water (Invitrogen). Reverse transcription was performed using Maxima reverse transcriptase (RT; ThermoFisher) according to the manufacturer's protocol. The resulting cDNA was diluted 1:4 in nuclease-free water and each cDNA was combined with segment-specific primers^{24,31} designed to amplify a region of approximately 100 base pairs. The amplicon for each segment contains the site of the single nucleotide change in the VAR virus. Quantitative PCR was performed with Precision Melt Supermix (Bio-Rad) using a CFX384 Touch Real-Time PCR Detection System (Bio-Rad). Template amplification was followed by high-resolution melt analysis to differentiate the WT and VAR amplicons⁵⁵. Precision Melt Analysis software (Bio-Rad) was used to determine the parental origin of each gene segment based on the melting properties of the cDNA amplicons relative to WT and VAR controls. Each plaque was assigned a genotype based on the combination of WT and VAR genome segments, with two variants on each of eight segments allowing for 256 potential genotypes.

Immunohistochemistry and imaging. Tissue samples from nasal turbinates of ferrets, the right caudal lung lobe of ferrets and all seven lung lobes of swine were fixed in 10% neutral buffered formalin for at least 24 h before being embedded in paraffin. Nasal turbinates were decalcified prior to being embedded in paraffin. Sections from all the tissues were cut and slides were prepared. The tissues were deparaffinized by warming the slides at 60°C on a slide warmer for 45 min followed by immersion in xylenes (Sigma) for 25 min. The slides were then immersed in 100% ethanol for 10 min, 95% ethanol for 10 min and 70% ethanol for 5 min. The slides were then washed by placing in deionized water for 1 h. Antigen retrieval was performed by steaming

the slides in 10 mM citric acid, pH 6.0 for 45 min, followed by washing in tap water and 1X PBS (Corning) for 5 min. The WT and VAR viruses were detected in the tissues using a mouse anti HA Alexa Fluor 488 (Invitrogen catalogue number A-21287; clone 16B12) and mouse anti His Alexa Fluor 555 (Invitrogen catalogue number MA1-135-A555; clone 4E3D10H2/E3) while epithelial cell borders were stained using rabbit anti Na⁺K⁺ ATPase Alexa Fluor 647 (Abcam catalogue number 198367; clone EP1845Y) at 4°C overnight. Slides were washed three times in 1X PBS (Corning) and once in deionized water to remove excess antibody. The slides were mounted onto glass coverslips using ProLong Diamond Anti Fade mounting media (ThermoFisher). The images were acquired using an Olympus FV1000 Confocal Microscope at 60x magnification under an oil immersion objective. The specificity of the antibodies was confirmed by infecting MDCK cells with either the NL09 WT, NL09 VAR or both viruses for 24 h. The cells were fixed using 4% paraformaldehyde (Alfa Aesar) and stained for HA and His tags using the antibodies as described above (Supplementary Fig. 9).

For morphological analysis via IHC, the slides were pre-treated in pH 9.0 buffer at 110°C for 15 minutes. Blocking was performed using hydrogen peroxide for 20 minutes followed by PowerBlock (BioGenex) for 5 minutes. Slides were washed with PBS thrice and NP antigen was detected using a goat anti-influenza NP polyclonal antibody (abcam catalogue number ab155877) for 1 h. Slides were washed thrice with PBS to remove excess antibody and incubated with a rabbit anti-goat biotinylated IgG (Vector laboratories catalogue number BA-5000) for 10 minutes. After washing, 4Plus Alkaline Phosphatase Label (BioCare Medical) was added for 10 minutes. The antigen signal was detected by incubating the slides in Chromogen IP Warp Red stain (BioCare Medical) for 10 minutes. Haematoxylin counterstaining was performed post antigen staining.

Software

Figures were generated using Python 3⁵⁶ and the packages matplotlib⁵⁷, NumPy⁵⁸, pandas⁵⁹ and seaborn⁶⁰. Simulations were conducted in Python 3.

Analysis of genotype frequencies, richness and diversity

Here a viral genotype is defined as a unique combination of the eight IAV segments, where each segment is derived from either the variant or wild type parental virus; therefore, there are 2^8 possible unique genotypes, with two parental genotypes and 254 reassortant genotypes. For any given sample, the frequency of each unique genotype can be calculated by dividing the number of appearances each unique genotype has in the sample by the total number of clonal isolates obtained for that sample.

Understanding the distribution of unique genotypes involves using both unweighted and weighted genotype frequency statistics. Genotype richness (S) does not incorporate genotype frequency and is given by the number of unique genotypes in a sample. Given our sample size of 21 plaque isolates, genotype richness, or the number of distinct genotypes detected in a sample, can range from a minimum of 1 (a single genotype is detected 21 times) to a maximum of 21 (21 unique genotypes are detected).

Diversity was measured using the Shannon-Weiner index (H), which considers both richness and evenness in the frequency with which genotypes are detected. In our dataset, diversity can range from 0 to 3.04. Shannon-Wiener diversity was calculated as:

$$H = - \sum_{i=1}^S (p_i * \ln p_i)$$

where S is genotype richness and p_i is the frequency of unique genotype i in the sample (6).

To address whether evaluating 21 plaques per sample for this analysis was sufficient to yield robust results on genotype diversity, we used a computational simulation to test the sensitivity of the measured diversity values to the number of plaques sampled. In these simulations, we calculated the diversity present in samples generated by randomly picking n (out of the possible 21) plaques without replacement. At each sampling effort n , we simulated 1000 samples, with plaque replacement between samples. The results typically show that diversity values increase as n increases, with values asymptoting as n approaches 21, suggesting that further increases in n would not greatly change results and validating the use of 21 plaques (Supplementary Fig. 10). To evaluate the extent to which the spatial dynamics of viral reassortment and propagation shape the overall richness and diversity in a host, we sought to compare the observed richness and diversity at each anatomical site to that which would be expected if virus moves freely among

anatomical locations. Thus, to simulate free mixing within the host, we randomly shuffled observed viral genotypes among all sites in a given animal. The average richness and Shannon-Wiener index of the simulated viral populations at each site were then calculated. The 5th and 95th percentiles for the simulated distribution of each animal were calculated and compared to the observed richness and diversity for each of the anatomical sites. If a site's observed richness and diversity fell below the 5th percentile or above the 95th percentile, then a barrier to the influx or efflux of reassortant genotypes from or to the other sites is suggested.

Analysis of beta diversity

The dissimilarity between populations can be measured by beta diversity. For this study, we evaluated beta diversity from a richness perspective, focusing on dissimilarity in the unique genotypes detected and excluding consideration of their frequency. This approach was used to de-emphasize the effects of WT and VAR parental genotypes, which were likely seeded into all anatomical locations at the time of inoculation. We calculate the beta diversity by treating the viral genotypes in two lobes as two distinct populations:

$$\beta = \frac{S_{1+2}}{\frac{1}{2}(S_1 + S_2)}$$

where S_{1+2} is the richness of a hypothetical population composed of pooling the viral genotypes of the two lobes while $\frac{1}{2}(S_1 + S_2)$ represents the mean richness of the lobes (7). The beta diversity of a single comparison can be normalized so that it ranges from zero to one:

$$BD' = \frac{BD - 1}{BD_{max} - 1} \beta_n$$

where BD_{max} is the beta diversity calculated by assuming that there are no viral genotypes shared by both lobes (7). A $BD' \beta_n$ closer to one indicates that the lobes' viral populations are more dissimilar while a $BD' \beta_n$ closer to zero suggests that the lobes have similar unique viral genotypes and overall viral richness. A $BD' \beta_n$ of zero occurs when all unique genotypes present in one lobe are also present in the other.

To address whether evaluating 21 plaques per sample for this analysis was sufficient to yield robust results on beta diversity, we again used computational simulations. These simulations were designed to test the sensitivity of beta diversity values to the number of plaques sampled. In these simulations, we again generated plaque data subsets by randomly picking n (out of the possible 21) plaques without replacement. At each sampling effort n , we simulated 1000 samples, with plaque replacement between samples. Beta diversity values were then calculated based on these data subsets, at a given n . The results typically show that β_n values tend to stabilize as n approaches 21, suggesting that further increases in n would not greatly change results and validating the use of 21 plaques (Supplementary Fig. 10). In a subset of cases that involve the nasal sample of Pig 5, however, the relationship between β_n and n is less stable. In sharp contrast to most other samples from Pig 5, the nasal site showed 20 WT parental isolates and one VAR parental isolate. The lung tissues had no WT parental genotypes detected. As a result, each successive plaque draw from the nasal sample increases the probability of detecting the VAR virus and therefore detecting a commonality between the nasal tract and any of the lung lobes. Thus, in situations where two tissue sites have a single, relatively rare genotype in common, the number of plaques sampled has a strong impact on β_n outcomes. To simulate free mixing between two lobes, we randomly shuffled the genotypes between each of the 28 pairwise combinations among pig tissues and the single ferret lung-NT combination and computed the $BD' \beta_n$ for each comparison. Free mixing for all combinations was simulated 1000 times. We reasoned that if compartmentalization was present in the observed dataset, then the dissimilarity values would fall at the high end of the simulated distribution (>95th percentile).

Statistical measures

Percentiles were calculated using the percentileofscore method from the SciPy package⁵⁹. Paired t-tests and ANOVA tests were performed using the ttest_rel method and the f_oneway method respectively from the SciPy Package⁵⁹.

Data availability

Raw data are included as Source Data and in Supplementary Table 1.

Code availability

Code used for data analysis and simulations is available at:

<https://github.com/maxbagga/Influenza-A-virus-reassortment-in-mammals-gives-rise-to-spatially-distinct-sub-populations>

Acknowledgements

We thank Shamika Danzy for technical assistance. We would like to thank the Emory Division of Animal Resources for their assistance with the guinea pig experiments. We thank Rusty Ransburgh, Baoliang Zheng, Dongchang He, Abaineh Endalew, Daniel Madden, Yuekun Lang for their assistance with the pig experiments. This project was supported by the Emory University Integrated Cellular Imaging Microscopy Core. The research was funded by NIH R01 AI127799 and the NIH/NIAID Centers of Excellence in Influenza Research and Response (CEIRR), contract numbers 75N93021C00017 (ACL, KK), 75N93021C00014 (DRP) and 75N93021C00016 (JAR, WM), and the AMP Core of the Center of Emerging and Zoonotic Infectious Diseases (CEZID) from NIGMS under award number P20GM130448 (JAR).

References

- 1 Webster, R. G., Hinshaw, V. S., Bean, W. J., Jr., Turner, B. & Shortridge, K. F. Influenza viruses from avian and porcine sources and their possible role in the origin of human pandemic strains. *Dev Biol Stand* **39**, 461-468 (1977).
- 2 Wright, P. F., Neumann, G. & Kawaoka, Y. in *Fields Virology* Vol. 1 (ed D. M. & Howley Knipe, P. M.) 1691-1740 (Lippincott-Raven, 2006).
- 3 Webster, R. G., Bean, W. J., Gorman, O. T., Chambers, T. M. & Kawaoka, Y. Evolution and ecology of influenza A viruses. *Microbiol Rev* **56**, 152-179 (1992).
- 4 Webster, R. G., Shortridge, K. F. & Kawaoka, Y. Influenza: interspecies transmission and emergence of new pandemics. *FEMS Immunol Med Microbiol* **18**, 275-279, doi:S0928-8244(97)00058-8 [pii] (1997).
- 5 Taubenberger, J. K. & Morens, D. M. 1918 Influenza: the mother of all pandemics. *Emerg Infect Dis* **12**, 15-22 (2006).
- 6 Viboud, C., Miller, M., Olson, D., Osterholm, M. & Simonsen, L. Preliminary Estimates of Mortality and Years of Life Lost Associated with the 2009 A/H1N1 Pandemic in the US and

- Comparison with Past Influenza Seasons. *PLoS Curr*, RRN1153, doi:k/-/-/35hpbywfdwl4n/8 [pii] (2010).
- 7 Ma, E. J., Hill, N. J., Zabilansky, J., Yuan, K. & Runstadler, J. A. Reticulate evolution is favored in influenza niche switching. *Proc Natl Acad Sci U S A* **113**, 5335-5339, doi:10.1073/pnas.1522921113 (2016).
- 8 Kilbourne, E. D. Influenza pandemics of the 20th century. *Emerg Infect Dis* **12**, 9-14 (2006).
- 9 Smith, G. J. *et al.* Origins and evolutionary genomics of the 2009 swine-origin H1N1 influenza A epidemic. *Nature* **459**, 1122-1125, doi:10.1038/nature08182 (2009).
- 10 Nelson, M. I. & Vincent, A. L. Reverse zoonosis of influenza to swine: new perspectives on the human-animal interface. *Trends Microbiol* **23**, 142-153, doi:10.1016/j.tim.2014.12.002 (2015).
- 11 Woods, G. T., Schnurrenberger, P. R., Martin, R. J. & Tompkins, W. A. Swine influenza virus in swine and man in Illinois. *J Occup Med* **23**, 263-267 (1981).
- 12 Ma, W. *et al.* Identification of H2N3 influenza A viruses from swine in the United States. *Proc Natl Acad Sci U S A* **104**, 20949-20954, doi:10.1073/pnas.0710286104 (2007).
- 13 Ma, W., Kahn, R. E. & Richt, J. A. The pig as a mixing vessel for influenza viruses: Human and veterinary implications. *J Mol Genet Med* **3**, 158-166 (2008).
- 14 Kahn, R. E., Ma, W. & Richt, J. A. Swine and influenza: a challenge to one health research. *Curr Top Microbiol Immunol* **385**, 205-218, doi:10.1007/82_2014_392 (2014).
- 15 Rajao, D. S. *et al.* Reassortment between Swine H3N2 and 2009 Pandemic H1N1 in the United States Resulted in Influenza A Viruses with Diverse Genetic Constellations with Variable Virulence in Pigs. *J Virol* **91**, doi:10.1128/JVI.01763-16 (2017).
- 16 Nelson, M. I. *et al.* Genomic reassortment of influenza A virus in North American swine, 1998-2011. *J Gen Virol* **93**, 2584-2589, doi:10.1099/vir.0.045930-0 (2012).
- 17 Lam, T. T. *et al.* Reassortment events among swine influenza A viruses in China: implications for the origin of the 2009 influenza pandemic. *J Virol* **85**, 10279-10285, doi:10.1128/JVI.05262-11 (2011).
- 18 Zhou, N. N. *et al.* Genetic reassortment of avian, swine, and human influenza A viruses in American pigs. *J Virol* **73**, 8851-8856, doi:10.1128/JVI.73.10.8851-8856.1999 (1999).
- 19 Ma, J. *et al.* Virus survival and fitness when multiple genotypes and subtypes of influenza A viruses exist and circulate in swine. *Virology* **532**, 30-38, doi:10.1016/j.virol.2019.03.016 (2019).
- 20 Ganti, K. *et al.* Avian Influenza A Viruses Reassort and Diversify Differently in Mallards and Mammals. *Viruses* **13**, doi:10.3390/v13030509 (2021).
- 21 Tao, H., Li, L., White, M. C., Steel, J. & Lowen, A. C. Influenza A Virus Coinfection through Transmission Can Support High Levels of Reassortment. *J Virol* **89**, 8453-8461, doi:10.1128/JVI.01162-15 (2015).
- 22 Tao, H., Steel, J. & Lowen, A. C. Intrahost dynamics of influenza virus reassortment. *J Virol* **88**, 7485-7492, doi:10.1128/JVI.00715-14 (2014).
- 23 Richard, M., Herfst, S., Tao, H., Jacobs, N. T. & Lowen, A. C. Influenza A Virus Reassortment Is Limited by Anatomical Compartmentalization following Coinfection via Distinct Routes. *J Virol* **92**, doi:10.1128/JVI.02063-17 (2018).
- 24 Phipps, K. L. *et al.* Collective interactions augment influenza A virus replication in a host-dependent manner. *Nat Microbiol* **5**, 1158-1169, doi:10.1038/s41564-020-0749-2 (2020).

- 25 Amato, K. A. *et al.* Influenza A virus undergoes compartmentalized replication in vivo dominated by stochastic bottlenecks. *Nat Commun* **13**, 3416, doi:10.1038/s41467-022-31147-0 (2022).
- 26 Richard, M. *et al.* Influenza A viruses are transmitted via the air from the nasal respiratory epithelium of ferrets. *Nat Commun* **11**, 766, doi:10.1038/s41467-020-14626-0 (2020).
- 27 Xie, C. *et al.* A(H1N1)pdm09 Influenza Viruses Replicating in Ferret Upper or Lower Respiratory Tract Differed in Onward Transmission Potential by Air. *J Infect Dis* **225**, 65-74, doi:10.1093/infdis/jiab286 (2022).
- 28 Belser, J. A., Katz, J. M. & Tumpey, T. M. The ferret as a model organism to study influenza A virus infection. *Dis Model Mech* **4**, 575-579, doi:10.1242/dmm.007823 (2011).
- 29 Danzy, S., Lowen, A. C. & Steel, J. A quantitative approach to assess influenza A virus fitness and transmission in guinea pigs. *J Virol*, doi:10.1128/JVI.02320-20 (2021).
- 30 Lowen, A. C., Bouvier, N. M. & Steel, J. Transmission in the guinea pig model. *Curr Top Microbiol Immunol* **385**, 157-183, doi:10.1007/82_2014_390 (2014).
- 31 Phipps, K. L. *et al.* Seasonal H3N2 and 2009 Pandemic H1N1 Influenza A Viruses Reassort Efficiently but Produce Attenuated Progeny. *J Virol* **91**, doi:10.1128/JVI.00830-17 (2017).
- 32 Campbell, P. J. *et al.* The M segment of the 2009 pandemic influenza virus confers increased neuraminidase activity, filamentous morphology, and efficient contact transmissibility to A/Puerto Rico/8/1934-based reassortant viruses. *J Virol* **88**, 3802-3814, doi:10.1128/JVI.03607-13 (2014).
- 33 Jasinska, W. *et al.* Chromosomal barcoding of E. coli populations reveals lineage diversity dynamics at high resolution. *Nat Ecol Evol* **4**, 437-452, doi:10.1038/s41559-020-1103-z (2020).
- 34 Matrosovich, M. N., Matrosovich, T. Y., Gray, T., Roberts, N. A. & Klenk, H. D. Human and avian influenza viruses target different cell types in cultures of human airway epithelium. *Proc Natl Acad Sci U S A* **101**, 4620-4624, doi:10.1073/pnas.0308001101 (2004).
- 35 van Riel, D. *et al.* Novel avian-origin influenza A (H7N9) virus attaches to epithelium in both upper and lower respiratory tract of humans. *Am J Pathol* **183**, 1137-1143, doi:10.1016/j.ajpath.2013.06.011 (2013).
- 36 Mifsud, E. J., Kuba, M. & Barr, I. G. Innate Immune Responses to Influenza Virus Infections in the Upper Respiratory Tract. *Viruses* **13**, doi:10.3390/v13102090 (2021).
- 37 Brooke, C. B., Ince, W. L., Wei, J., Bennink, J. R. & Yewdell, J. W. Influenza A virus nucleoprotein selectively decreases neuraminidase gene-segment packaging while enhancing viral fitness and transmissibility. *Proc Natl Acad Sci U S A* **111**, 16854-16859, doi:10.1073/pnas.1415396111 (2014).
- 38 Brooke, C. B. *et al.* Most influenza A virions fail to express at least one essential viral protein. *J Virol* **87**, 3155-3162, doi:10.1128/JVI.02284-12 (2013).
- 39 Jacobs, N. T. *et al.* Incomplete influenza A virus genomes occur frequently but are readily complemented during localized viral spread. *Nat Commun* **10**, 3526, doi:10.1038/s41467-019-11428-x (2019).
- 40 Ganti, K., Han, J., Manicassamy, B. & Lowen, A. C. Rab11a mediates cell-cell spread and reassortment of influenza A virus genomes via tunneling nanotubes. *PLoS Pathog* **17**, e1009321, doi:10.1371/journal.ppat.1009321 (2021).

691 41 Sobel Leonard, A. *et al.* The effective rate of influenza reassortment is limited during
692 human infection. *PLoS Pathog* **13**, e1006203, doi:10.1371/journal.ppat.1006203 (2017).
693 42 Gallagher, M. E., Brooke, C. B., Ke, R. & Koelle, K. Causes and Consequences of Spatial
694 Within-Host Viral Spread. *Viruses* **10**, doi:10.3390/v10110627 (2018).
695 43 Lakdawala, S. S. *et al.* The soft palate is an important site of adaptation for transmissible
696 influenza viruses. *Nature* **526**, 122-125, doi:10.1038/nature15379 (2015).
697 44 Zaraket, H. *et al.* Mammalian adaptation of influenza A(H7N9) virus is limited by a narrow
698 genetic bottleneck. *Nat Commun* **6**, 6553, doi:10.1038/ncomms7553 (2015).
699 45 Zwart, M. P. & Elena, S. F. Matters of Size: Genetic Bottlenecks in Virus Infection and Their
700 Potential Impact on Evolution. *Annu Rev Virol* **2**, 161-179, doi:10.1146/annurev-virology-
701 100114-055135 (2015).
702 46 Vijaykrishna, D. *et al.* Reassortment of pandemic H1N1/2009 influenza A virus in swine.
703 *Science* **328**, 1529, doi:10.1126/science.1189132 (2010).
704 47 Mine, J., Uchida, Y., Takemae, N. & Saito, T. Genetic Characterization of Influenza A
705 Viruses in Japanese Swine in 2015 to 2019. *J Virol* **94**, doi:10.1128/JVI.02169-19 (2020).
706 48 Gao, S. *et al.* The genomic evolution of H1 influenza A viruses from swine detected in the
707 United States between 2009 and 2016. *J Gen Virol* **98**, 2001-2010,
708 doi:10.1099/jgv.0.000885 (2017).
709 49 White, M. C. & Lowen, A. C. Implications of segment mismatch for influenza A virus
710 evolution. *J Gen Virol* **99**, 3-16, doi:10.1099/jgv.0.000989 (2018).
711 50 Marshall, N., Priyamvada, L., Ende, Z., Steel, J. & Lowen, A. C. Influenza virus reassortment
712 occurs with high frequency in the absence of segment mismatch. *PLoS Pathog* **9**,
713 e1003421, doi:10.1371/journal.ppat.1003421 (2013).
714 51 Fodor, E. *et al.* Rescue of influenza A virus from recombinant DNA. *J Virol* **73**, 9679-9682,
715 doi:10.1128/JVI.73.11.9679-9682.1999 (1999).
716 52 Hoffmann, E., Neumann, G., Hobom, G., Webster, R. G. & Kawaoka, Y. "Ambisense"
717 approach for the generation of influenza A virus: vRNA and mRNA synthesis from one
718 template. *Virology* **267**, 310-317, doi:10.1006/viro.1999.0140 (2000).
719 53 Chutinimitkul, S. *et al.* Virulence-associated substitution D222G in the hemagglutinin of
720 2009 pandemic influenza A(H1N1) virus affects receptor binding. *J Virol* **84**, 11802-11813,
721 doi:10.1128/JVI.01136-10 (2010).
722 54 Richt, J. A. *et al.* Pathogenic and antigenic properties of phylogenetically distinct
723 reassortant H3N2 swine influenza viruses cocirculating in the United States. *J Clin*
724 *Microbiol* **41**, 3198-3205, doi:10.1128/JCM.41.7.3198-3205.2003 (2003).
725 55 Wittwer, C. T., Reed, G. H., Gundry, C. N., Vandersteen, J. G. & Pryor, R. J. High-resolution
726 genotyping by amplicon melting analysis using LCGreen. *Clin Chem* **49**, 853-860,
727 doi:10.1373/49.6.853 (2003).
728 56 Van Rossum, G. & Drake, F. L. *Python 3 Reference Manual*. (CreateSpace, 2009).
729 57 Hunter, J. D. Matplotlib: A 2D Graphics Environment. *Computing in Science & Engineering*
730 **9**, 90-95, doi:doi: 10.1109/MCSE.2007.55 (2007).
731 58 Oliphant, T. E. *A guide to NumPy* Vol. 1 (Trelgol Publishing, 2006).
732 59 McKinney, W. Data structures for statistical computing in python. *Proceedings of the 9th*
733 *Python in Science Conference* **445**, 51-56 (2010).

Waskom, M. L. seaborn: statistical data visualization. *Journal of Open Source Software* **6**, 3021, doi:doi:10.21105/joss.03021 (2021).

Figure Legends

Figure 1: Viral genotypic diversity generated through reassortment in the mammalian nasal tract. Results from guinea pigs are shown in panels A–D, ferrets in panels E–H and swine in panels I–L. Stacked plots (A, E, I) show frequencies of unique genotypes detected in one representative animal over time. Blue and orange represent the WT and VAR parental genotypes, respectively. Frequency of both parental genotypes combined (B, F, J), richness (C, G, K) and Shannon-Weiner diversity (D, H, L) are each plotted as a function of time. Guinea pigs and ferrets inoculated at high dose (1×10^5 ID₅₀) and low dose (1×10^2 ID₅₀) are indicated with dashed and solid lines, respectively. The distribution of parental genotype frequencies (M), richness (N) and diversity (O) across all time points in each species is shown with violin plots. Differences in richness and diversity between swine and guinea pigs and between swine and ferrets were significant ($p < 0.01$, ANOVA). Parental genotype frequencies differed significantly between swine and guinea pigs ($p = 0.003$, ANOVA), and were not significant between swine and ferrets ($p > 0.05$, ANOVA).

Figure 2: Viral diversity generated through reassortment is greater in the ferret nasal turbinates than in the lungs. Pie charts show frequencies of unique genotypes detected in the nasal turbinates (NT) and lung of ferrets inoculated with 1×10^2 FID₅₀ (A) or 1×10^5 FID₅₀ (B). Ferrets shown were analyzed at the following times post-infection: Day 1 (F11, 12, 19, 20), Day 2 (F13, 14, 21, 22), Day 3 (F15, 16, 23) and Day 4 (F17, 18, 24). Blue and orange sections of the pie charts represent VAR and WT parental genotypes, respectively. Richness (C) and diversity (D) are plotted, with observed results (colored points) overlaid on the distribution of simulated data (grey violins). Horizontal lines denote the 95th and 5th percentiles. The distribution of richness (E) and diversity (F) in NT and lungs of all individuals is shown with violin plots. Differences between NT and lungs were significant by both measures ($p < 0.01$, paired t-test).

Figure 3: Reassortant viral populations in the ferret upper and lower respiratory tracts are distinct. Heat map showing normalized beta diversity of viral populations in ferret lung and nasal turbinates (NT) (A). The inset shows a representative comparison between the tissues of ferrets F23 and F21, to indicate the position of NT and lung within the matrix. Normalized beta diversity is plotted in (B) with observed results (colored points) overlaid on the distribution of simulated data (gray violins). One star indicates that observed data is above the 95th percentile of the distribution; two stars indicates that observed data is above the 99th percentile. (C) Immunohistochemistry images of ferret F23 NT and lung sections stained for WT (green) and VAR (red) viruses at day 3 post-inoculation. Gray staining marks epithelial cell borders. Yellow coloring in merged images indicates the presence of both WT and VAR HA antigens in the same cell. Zoomed insets of both NT and lung sections are shown with white arrows indicating co-infected cells and yellow arrows indicating singly infected cells. Scale bars are 20 μ m. (D) Immunohistochemistry images of ferret F24 lung sections stained for nucleoprotein (red) and counterstained with hematoxylin. Scale bars are 20 μ m.

Figure 4: Local diversity arising through reassortment is modest within the swine respiratory tract. Pie charts showing frequencies of unique genotypes present within each lung lobe of a given pig on day 3 (A-C) and the nasal tract and each lung lobe of a given pig on day 5 (D-F) with blue and orange sections representing WT and VAR parental genotypes, respectively. The tissue sites are abbreviated as NA-Nasal; LA-Left Apical; RA-Right Apical; LC-Left Cardiac; RC-Right Cardiac; LD-Left Diaphragmatic; RD-Right Diaphragmatic; IN-Intermediate (accessory). Simulated richness (G) and diversity (H) are plotted, with observed results (colored points) overlaid on the distribution of simulated data (grey violins). Horizontal lines denote the 95th and 5th percentiles.

Figure 5: Reassortant viral populations show extensive compartmentalization within the swine respiratory tract. Heat map showing normalized beta diversity of viral populations in swine lung and nasal tract (A). The inset shows a representative comparison between the tissues of pigs P3 and P6, to indicate the position of each tissue within the matrix. The tissue sites are abbreviated as NA-Nasal; LA- Left Apical; RA- Right Apical; LC-Left Cardiac; RC-Right Cardiac; LD-Left

Diaphragmatic; RD-Right Diaphragmatic; IN-Intermediate (accessory). Normalized beta diversity between the left apical and left cardiac lobes is plotted (B) with observed results (colored points) overlaid on the distribution of simulated data (gray violins). One star indicates that observed data is above the 95th percentile of the distribution; two stars indicates that observed data is above the 99th percentile. (C) Representative immunohistochemistry images of swine (P76) left apical lung section stained for WT (green) and VAR (red) viruses at day 3 post-inoculation. Gray staining marks epithelial cell borders. Yellow coloring in merged images indicates the presence of both WT and VAR HA antigens in the same cell. Zoomed insets of the lung are shown with white arrows indicating co-infected cells and yellow arrows indicating singly infected cells. Scale bars are 20 μ m. (D) Immunohistochemistry images of swine (P76) left apical lung sections stained for nucleoprotein (red) and counterstained with hematoxylin. Scale bars are 20 μ m.

Supplementary Figure Legends

Supplementary Fig. 1. NL09 WT and NL09 VAR show comparable replication in MDCK cells. Viral replication from low MOI was monitored over time in three replicate culture dishes. Mean and standard deviation are plotted.

Supplementary Fig. 2. Viral loads in infected guinea pigs, ferrets and swine. Viral shedding determined by plaque assay from guinea pig nasal washes (A), ferret nasal washes (B), swine nasal swabs (C), ferret nasal turbinates (D), ferret lung homogenates (E), and swine lung homogenates (F) over time are shown. The horizontal dashed line represents the limit of detection of the plaque assay (50 PFU/mL).

Supplementary Fig. 3. Viral genotypic diversity generated through reassortment in the mammalian nasal tract. (Corresponds to Figure 1) Stacked plots showing frequencies of unique genotypes detected in guinea pigs (A), ferrets (B) or swine (C) over time. The sections in blue and orange represent the WT and VAR parental genotypes, respectively.

Supplementary Fig. 4. Effects of inoculation dose on viral diversity generated through reassortment in the nasal tract. (Corresponds to Figure 1) Results from guinea pigs are shown in panels A–C, ferrets in panels D–F. HD indicates high dose (1×10^5 ID₅₀) and LD indicates low dose (1×10^2 ID₅₀) groups. The distribution of parental genotype frequencies (A, D), richness (B, E) and diversity (C, F) across all time points in each species is shown with violin plots. P values were determined by ANOVA.

Supplementary Fig. 5. Reassortant viral populations show extensive compartmentalization within the swine respiratory tract. (Corresponds to Figure 5) Heat maps showing beta diversity of viral populations in the lung lobes and nasal tract of individual pigs. Pig ID number is indicated above each matrix. (A) Tissues were extracted on day 3 post-inoculation. (B) Tissues were extracted on day 5 post-inoculation. The tissue sites are abbreviated as NA-Nasal; LA- Left Apical; RA- Right Apical; LC-Left Cardiac; RC-Right Cardiac; LD-Left Diaphragmatic; RD-Right Diaphragmatic; IN-Intermediate.

Supplementary Fig. 6. Reassortant viral populations show extensive compartmentalization within the swine respiratory tract. (Corresponds to Figure 5) Normalized beta diversity between each lung lobe and nasal tract (A) and between lung lobes (B) are plotted with observed results (colored points) overlaid on the distribution of simulated data (gray violins). One star indicates that observed data is above the 95th percentile of the distribution; two stars indicates that observed data is above the 99th percentile.

Supplementary Fig. 7. Coinfection is common in the swine respiratory tract. (Corresponds to Figure 5) Immunohistochemistry images of lung tissue sections stained for WT (green) and VAR (red) viruses at day 3 post-inoculation. The lung lobe sampled is indicated at the left. Gray staining marks epithelial cell borders. Yellow coloring in merged images indicates the presence of both WT and VAR HA antigens in the same cell. Zoomed insets are shown with white arrows indicating co-infected cells and yellow arrows indicating singly infected cells.

Supplementary Fig. 8. Identification of infected cell types in swine respiratory tract.
(Corresponds to Figure 5). Tissue sections were stained for viral nucleoprotein (red) and counterstained with hematoxylin and eosin. Scale bars are 20 μ m.

Supplementary Fig. 9. Validation of the antibodies used for immunohistochemistry.
Immunofluorescence images of MDCK cells stained for WT (green) and VAR (red) viruses at 24 h post infection. Gray staining marks epithelial cell borders. Yellow coloring in merged images indicates the presence of both WT and VAR HA antigens in the same cell.

Supplementary Fig. 10. Evaluation of the sensitivities of diversity and beta diversity to the number of viral plaques analyzed. The impact of the number of plaques analyzed on the detection of diversity in guinea pigs, pigs and ferrets (A), beta diversity in ferrets (B) and beta diversity in pigs (C) was assessed by sub-sampling from our experimental dataset with 1000 replicate simulations performed at each number of plaques. Representative samples were analyzed and the sample is indicated above each facet. Points with the green triangles inside indicate the mean. Boxes represent the first and third quartiles, with the middle line representing the median. Whiskers show the minimum and maximum of the data with the outliers omitted outside of two standard deviations (plotted by the diamonds). The red data point shows the observed result reported in the main figures.

Supplementary Table 1. Genotype tables. Viral genotypes detected in guinea pigs, ferrets and swine are included. Each table corresponds to a biological sample and each row in each table corresponds to a single viral isolate. The columns show the eight viral gene segments. Blue color blocks indicate WT genes while red color blocks indicate VAR genes.

Supplementary Table 2. Identification of viral nucleoprotein positive cells present in ferret lung, pig lung and pig nasal turbinate.









