

1                   **A Microengineered Brain-Chip to Model Neuroinflammation in Humans**  
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3                   I. Pediaditakis<sup>1,9†\*</sup>, K. R. Kodella<sup>1,2†</sup>, D. V. Manatakis<sup>1</sup>, C. Y. Le<sup>1</sup>, S. Barthakur<sup>1</sup>, A. Sorets<sup>1</sup>,  
4                   A. Gravanis<sup>2</sup>, L. Ewart<sup>1</sup>, L. L. Rubin<sup>3,4,5</sup>, E. S. Manolakos<sup>6,7</sup>, C. D. Hinojosa<sup>1</sup>, K.  
5                   Karaklis<sup>1,8,10\*</sup>

6  
7                   <sup>1</sup>Emulate Inc., 27 Drydock Avenue, Boston, MA 02210, USA.

8                   <sup>2</sup>University of Crete Medical School, Department of Pharmacology, Heraklion, 71110  
9                   Greece.

10                   <sup>3</sup>Department of Stem Cell and Regenerative Biology, Harvard University, Cambridge, MA,  
11                   USA.

12                   <sup>4</sup>Harvard Stem Cell Institute, Cambridge, MA, USA.

13                   <sup>5</sup>Broad Institute of Massachusetts Institute of Technology and Harvard, Cambridge, MA,  
14                   USA.

15                   <sup>6</sup>Department of Informatics and Telecommunications, National and Kapodistrian  
16                   University of Athens, Greece.

17                   <sup>7</sup>Northeastern University, Bouvé College of Health Sciences, Boston, MA, USA.

18                   <sup>8</sup>Endocrine Division, Children's Hospital, Harvard Medical School, Boston, MA, USA.

19                   <sup>9</sup>Present address: Vesalius Therapeutics Inc., 100 Binney St, Cambridge, MA, 02142,  
20                   USA

21                   <sup>10</sup>Present address: Regeneron Pharmaceuticals, 777 Old Saw Mill River Rd, Tarrytown,  
22                   NY, 10591, USA

23                   †These authors contributed equally

24                   \*Corresponding authors: [spediaditakis@vesaliustx.com](mailto:spediaditakis@vesaliustx.com), [katia.karaklis@regeneron.com](mailto:katia.karaklis@regeneron.com)

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26                   **Keywords:**    Organs-on-Chip,    Neurovascular    Unit,    Blood-Brain    Barrier,  
27                   Neuroinflammation

28                   **SUMMARY**

29                   Species differences in the brain and the blood-brain barrier (BBB) biology hamper the  
30                   translation from animal models to humans and impede the development of specific  
31                   therapeutics for brain diseases. Here we present a human Brain-Chip engineered to  
32                   recapitulate critical aspects of the complex brain cell-cell interactions that mediate  
33                   neuroinflammation development. Our human organotypic microphysiological system  
34                   (MPS) includes endothelial-like cells, pericytes, glia, and cortical neurons and maintains  
35                   BBB permeability at *in vivo* relevant levels, providing a significant improvement in  
36                   complexity and clinical mimicry compared to previous MPS models. This is the first report  
37                   of a Brain-Chip with an RNA expression profile close to that of the adult human cortex  
38                   and that demonstrates advantages over Transwell culture. Through perfusion of TNF- $\alpha$ ,  
39                   we recreated key inflammatory features, such as glia activation, the release of  
40                   proinflammatory cytokines, and increased barrier permeability. Our model may provide a  
41                   reliable tool for mechanistic studies in neuron-glial interactions and dysregulation of BBB  
42                   function during neuroinflammation.

43

44

47 **INTRODUCTION**

48 Neurodegenerative diseases (ND) are a serious public health problem, with their  
49 increasing burden accounting for more than one billion affected people worldwide (Carroll,  
50 2019). Although the molecular mechanisms underlying changes in brain cell-to-cell  
51 interactions associated with ND have been elucidated to a significant extent, therapeutic  
52 targets and predictive biomarkers are still lacking. Emerging evidence points to  
53 inflammation as a major pathogenetic mechanism for neuropathology underlying  
54 neurodegenerative diseases (Guzman-Martinez et al., 2019a; Minghetti, 2005). Growing  
55 evidence indicates that brain events, such as local ischemia and systemic inflammatory  
56 conditions, create a microenvironment favoring the entry of peripheral activated immune  
57 cells, or their secreted proinflammatory factors, into the brain by compromising the  
58 integrity of the blood-brain barrier (BBB) (Perry et al., 2007a). There is a large body of  
59 evidence for a pivotal role of microglia and astrocytes in the pathogenesis of  
60 neurodegenerative disorders (Crotti and Ransohoff, 2016; Kim and Joh, 2006; Liddelow  
61 and Barres, 2017; Liddelow et al., 2017; Linnerbauer et al., 2020; Perry et al., 2010).  
62 Activation of microglia is characterized by the production of cytotoxic molecules and pro-  
63 inflammatory cytokines, affecting cellular homeostasis, and ultimately establishing a  
64 microenvironment driving neuronal damage (Perry et al., 2010).

65 In addition, reactive astrocytes are also found to be implicated in impaired neuronal  
66 function and survival promoting inflammation and increasing cell damage in the CNS  
67 (Horng et al., 2017; Liddelow and Barres, 2017; Linnerbauer et al., 2020; Sofroniew,  
68 2020). Additional reports suggest a crosstalk between astrocytes and microglia in the  
69 context of neuroinflammation and neurodegeneration (Linnerbauer et al., 2020). Reactive  
70 microglia and astrocytes are likely to contribute to the leaky BBB observed in these  
71 diseases through downregulation of paracellular tight-junction proteins such as Occludin  
72 and zonula occludens-1 (ZO-1) (Keaney and Campbell, 2015; Obermeier et al., 2013).

73 Despite the progress in understanding the mechanisms mediating the effects of immune  
74 activation in the brain (González et al., 2014), the translation of these findings to effective,  
75 specific treatments lags significantly. Species differences between human and the  
76 currently used experimental *in vivo* animal models of ND, together with the inherent  
77 limitations of the primary brain rodent cells or human cell lines currently used as *in*  
78 *vitro* models, are greatly implicated in this problem. Advances in stem cell biology have  
79 recently enabled new human cell models for experimentation, such as iPSC-derived brain  
80 cells and complex, multi-layered 3D organoids (Bose et al., 2021; Dolmetsch and  
81 Geschwind, 2011; Yin et al., 2016). These systems can provide new insights into tissue  
82 biology and our understanding of the interindividual differences in brain functions.  
83 Exploiting these systems can be significantly advanced by their culture in  
84 microphysiological platforms providing a perfusable vascular system and an overall  
85 physiologically relevant microenvironment, by enabling long-lasting cell interactions and  
86 the associated functionality (Cucullo et al., 2011). Recent reports describe applications of  
87 this technology in developing Organ-Chips that recapitulate aspects of the complex BBB  
88 functions (Ahn et al., 2020; Maoz et al., 2018; Park et al., 2019; Vatine et al., 2019). These  
89 microfluidic models have certainly overcome several of the limitations of traditional culture  
90 models. However, so far, they have not included microglial cells, an essential component  
91 of the neurovascular unit (NVU), critically involved in the regulation of neuroinflammatory  
92 activity (McConnell et al., 2017; Muoio et al., 2014; Streit and Kincaid-Colton, 1995).

93 In pathological states, microglia and astrocytes undergo complex changes increasing  
94 their capacity to produce proinflammatory cytokines such as tumour necrosis factor-alpha  
95 (TNF- $\alpha$ ) (Lau and Yu, 2001; Wang et al., 2015), interleukin-1 $\beta$  (IL-1 $\beta$ ), interleukin-6 (IL-  
96 6), and interferon-gamma (IFN- $\gamma$ ), that increase the BBB permeability (De Vries et al.,  
97 1996; Yarlagadda et al., 2009). TNF- $\alpha$  was shown to increase BBB permeability, induce  
98 the activation of glia *in vivo* (Cheng et al., 2018; Neniske et al., 2014a), and potentiate  
99 glutamate-mediated cytotoxicity, a process linked to neuronal death (Olmos and Lladó,  
100 2014). Elevated levels of TNF- $\alpha$  have been found in traumatic brain injury (Frugier et al.,  
101 2010), ischemic stroke (Zaremba and Losy, 2001), Alzheimer's (AD) (Jiang et al., 2011),  
102 Parkinson's (PD) (Kouchaki et al., 2018), Multiple Sclerosis (MS) (Rossi et al., 2014), and  
103 amyotrophic lateral sclerosis (ALS) (Cereda et al., 2008).

104 Here we describe how we leveraged the Human Emulation System<sup>®</sup> to build a  
105 comprehensive Brain-Chip model to characterize cellular interactions underlying the  
106 development of neuroinflammation. We have populated our Brain-Chip with human  
107 primary astrocytes, pericytes, and iPSC-derived brain microvascular endothelial cells,  
108 together with human iPSC-derived cortical neurons and microglial cell line. This Brain-  
109 Chip supported a tissue relevant, multicellular architecture and the development of a tight  
110 blood brain barrier, sustained over seven days in culture. Using next-generation  
111 sequencing data and information retrieved from well-curated databases of signature gene  
112 sets for the human cortex, we demonstrate that the Brain-Chip's transcriptomic signature  
113 is closer to the adult cortical tissue than the conventional cell culture systems used to  
114 study the BBB *in vitro* (Stone et al., 2019; Thomsen et al., 2015).

115 To simulate changes occurring during the early phases of neuroinflammation, we  
116 perfused either the brain or the vascular side of the Brain-Chip with TNF- $\alpha$ . We  
117 successfully reproduced key clinical features of neuroinflammation, such as disruption of  
118 the BBB, astrocyte, and microglial activation, increased proinflammatory cytokine  
119 release, capturing contributions of the individual brain cell types to inflammatory stimuli.  
120 In summary, we present an *in vivo* relevant human Brain-Chip as a multicellular model  
121 designed to investigate neurodegenerative pathogenesis and future applications,  
122 including clinical studies that could lead to effective precision medicine treatments.  
123

## 124 **RESULTS**

### 125 **Microengineered Human Brain-Chip Platform of the Neurovascular Unit**

126 We leveraged organ-on-chip technology and the recent progress in developing human  
127 brain primary and iPSC-derived differentiated cells to generate a human Brain-Chip  
128 model that enables the stimulation and monitoring of inflammatory responses. As  
129 described previously, the Brain-Chip has two microfluidic channels, separated by a thin,  
130 porous polydimethylsiloxane (PDMS) membrane that enables cellular communication  
131 and supports coating with tissue-specific extracellular matrix (ECM) (Pediaditakis et al.,  
132 2021). The top channel, we refer to as the "brain" channel of the chip, accommodates the  
133 co-culture of key elements of the neurovascular unit (NVU), including excitatory and  
134 inhibitory cortical neurons, microglia, astrocytes, and pericytes (McConnell et al., 2017).  
135 The bottom channel, which we refer to as the "vascular" channel of the chip, is seeded  
136 with human iPSC-derived brain microvascular endothelial-like cells (iBMECs) that create  
137 a lumen-like structure (Wong et al., 2013), modelling the interface between the circulation  
138 and the brain parenchyma (**Figure 1A**). We used cell proportions comparable to those

139 reported (von Bartheld et al., 2016; Shepro and Morel, 1993; Sultan and Shi, 2018; Xu et  
140 al., 2010), with the understanding of the challenge in recapitulating the *in vivo* cellular  
141 milieu.

142 To characterize the multicellular structure in the brain channel of the chip, we used  
143 specific markers for each brain cell type, including microtubule-associated protein 2  
144 (MAP2) for neurons, glial fibrillary acidic protein (GFAP), s100 $\beta$ , and glutamate  
145 transporter (GLAST) for astrocytes, ionized calcium-binding adaptor protein-1 (IBA-1) for  
146 CD11b $^+$  CD45 $^{\text{low}}$  microglia, neuron-glial antigen 2 (NG2), and smooth muscle alpha-actin  
147 ( $\alpha$ SMA) for pericytes (**Figures 1B, 1C and 1D**). Positive staining for the vesicular  
148 glutamate transporter (VGLUT1) and the vesicular GABA transporter (VGAT) (**Figure**  
149 **1E**), indicate the co-existence of excitatory and inhibitory neurons, respectively. To get  
150 an initial evaluation of the functionality of the neurons in the Brain-Chip, we performed  
151 immunofluorescent staining with synaptophysin (SYP), a nerve terminal marker synaptic  
152 vesicle that stains mature presynaptic neurons. Our results show that MAP2 positive cells  
153 expressed the synaptic marker synaptophysin (**Figure 1F**). The efficiency of synaptic  
154 transmission is governed by the probability of neurotransmitter release, the amount of  
155 neurotransmitter released from the presynaptic terminal. Additionally, our data  
156 demonstrate the functional maturation of the human iPSC-derived neurons in the Brain-  
157 Chip compared to transwells, as depicted by the secreted glutamate levels (**Figure 1G**),  
158 suggesting functional differences between the two systems. To the best of our knowledge,  
159 this is the first report on a human microphysiological system where inhibitory and  
160 excitatory cortical neurons and primary microglia complement the cellular components of  
161 BBB to form the neurovascular unit.

162 The establishment of the endothelial monolayer was assessed via staining for the tight  
163 junction-specific marker, zona occludens-1 (ZO-1), Occludin, as well as the endothelial-  
164 specific junctions PECAM1, which demonstrated that the endothelial-like cells form a  
165 consistent morphology along the entire vascular channel of the chip (**Figure 2A**).  
166 Additionally, we screened the endothelial-like cells we employed in our model for  
167 expression of the epithelium markers Cadherin1 (CADH1), TRPV6, and Claudin-4. As  
168 shown, we confirmed lack of expression in the endothelial-like cells, in contrast to the  
169 human epithelial cell lines used as positive controls (**Figure S1A**). Once the endothelial-  
170 like cells are cultured juxtaposed to pericytes, astrocytes, microglia, and neurons in the  
171 Brain-Chip, they establish a tight barrier for seven days (**Figure 2B**). To this end, we  
172 evaluated the apparent permeability (Papp) in Brain-Chips seeded with human iPSC-  
173 derived brain microvascular endothelial-like cells from two different healthy donors  
174 (Donor 1; RUCDR, Donor 2; iXcell) (**Figure 2B**). The obtained Papp values in our model  
175 to 3 kDa, 10 kDa, 40 kDa, and 70 kDa dextran reached values as low as those reported  
176 from *in vivo* studies (Shi et al., 2014; Yuan et al., 2009) (**Figure 2C**), routinely cited as  
177 golden standards of apparent permeability. Moreover, the permeability values obtained  
178 in the Brain-Chip were comparable within a specified range to those reported by previous  
179 BBB studies with organ chips (Ahn et al., 2020; Vatine et al., 2019).

180 Findings on microglial location in the perivascular space highlight their interaction with  
181 endothelial cells and support their influence on BBB integrity although, very few studies  
182 have been conducted to delineate a direct link between microglia and barrier function  
183 (Haruwaka et al., 2019a). On the other hand, astrocytes by providing a connection  
184 between the endothelial blood flux and neurons (**Figure 2D**), are critical for the formation

185 and maintenance of the BBB (Alvarez et al., 2013). To explore the potential impact of  
186 microglia and astrocytes on the barrier integrity, we measured the permeability to  
187 cascade-blue 3-kDa dextran. Significant decrease in paracellular permeability in the  
188 presence of microglia highlights its importance in the maintenance of the barrier integrity  
189 in the Brain-Chip (**Figure S1B**). Further, we found significant increase in the permeability  
190 in the absence of astrocytes, in line with their reported significant role in the stability and  
191 maintenance of the BBB (Abbott et al., 2006). No significant effect in the permeability was  
192 detected by eliminating neurons, the most abundant cell in the Brain-Chip. Taken  
193 together, all the above support the hypothesis that the decrease in permeability in the  
194 Brain-Chip following challenge with TNF- $\alpha$  is specifically driven from the microglia and  
195 the astrocytes, rather than from a technical/mechanical obstacle, such as clogging of the  
196 pores.  
197 Furthermore, the comparison to chips that contain only iPSC-derived brain microvascular  
198 endothelial-like cells provides further reassurance on the contribution of the supporting  
199 cells in the Brain-Chip that also contains pericytes, astrocytes, microglia, and neurons  
200 (Keaney and Campbell, 2015; Obermeier et al., 2013) (**Figure S1C**) in the stability of the  
201 barrier. We also confirmed that the cells in the vascular channel expressed the brain  
202 endothelium-specific glucose transporter, GLUT-1 (Veys et al., 2020) (**Figure S1D**), and  
203 showed internalization of transferrin (**Figure S1E**), an essential mechanism for transport  
204 across the BBB leveraged for delivery of therapeutic antibodies (Jones and Shusta,  
205 2007). Further characterization of iBMECs via FACS analysis confirmed the expression  
206 of the brain endothelium-specific glucose transporter, GLUT-1, transferrin receptor, and  
207 efflux transporters in the BBB, such as P-glycoprotein (P-gp) and MRP-1 (**Figure S1F**),  
208 of additional reassurance on the potential of the model in evaluating the ability of  
209 developing therapeutics to enter the brain.  
210

## 211 **Transcriptomic Comparison of the Human Brain-Chip Versus Conventional 212 Transwell Systems and Adult Human Tissue**

213 After confirming the *in vivo* relevant cell composition and barrier function, we assessed  
214 the extent of similarities in gene expression between the Brain-Chip and the adult human  
215 cortex tissue, as well as the differences compared to the transwell brain model, the most  
216 commonly used cell culture system for modeling brain *in vitro*. Using the same cell  
217 composition and experimental conditions in transwells and Brain-Chip cultures, we  
218 performed RNAseq analyses on days 5 and 7 of culture. Expression of specific markers  
219 for each of the cell types seeded, confirmed their representation in the culture at the time  
220 of the analyses. Principal Component Analysis (PCA) showed clear separation between  
221 the samples of the two models in the 2-dimensional space determined by the first two  
222 PCs explaining 47.5% of the total variance in the data (**Figure 3A**). Unlike transwells,  
223 Brain-Chips were clustered together in the 2D PCA space, which indicates their  
224 transcriptomic “stability” across the days in culture (**Figure 3A**). Next, we examined the  
225 differential gene expression (DGE) in Brain-Chips compared to transwells. Out of the  
226 57,500 genes annotated in the genome, 5695 were significantly differentially expressed  
227 (DE) between these samples: 3256 and 2439 genes were up- and down-regulated,  
228 respectively (**Figure 3B**). Next, using the information of the up- and down- DE genes, we  
229 performed gene ontology (GO) enrichment analysis to identify the significantly enriched  
230 biological processes in the two systems (Ashburner et al., 2000; Mi et al., 2013). In Brain-

231 Chips we identified significantly enriched pathways (FDR p-value  $\leq 0.05$ ) of the Brain  
232 channel related to the extracellular matrix organization, cell adhesion, and tissue  
233 development (**Figure 3C**). Evidence has been accumulated that activity-dependent  
234 aggregation and proteolysis of ECM (extracellular matrix organization) and associated  
235 molecules shape synaptogenesis, synapse maturation, and synaptic circuit remodeling  
236 (Ferrer-Ferrer and Dityatev, 2018). Extracellular matrix accelerates the formation of  
237 neural networks and communities in a neuron-glia co-culture (Lam et al., 2019). In  
238 contrast, axogenesis, axon guidance, chemotaxis, neurogenesis, neuron migration, and  
239 cell differentiation pathways were significantly enriched in transwells (**Figure 3D**),  
240 supporting the hypothesis of incomplete neuronal maturation in these systems (Hesari et  
241 al., 2016; Sances et al., 2018). A basic property of immature neurons is their ability to  
242 change position from the place of their final mitotic division in proliferative centers of the  
243 developing brain to the specific positions they will occupy in a given structure of the adult  
244 nervous system (Rakic, 1990). Proper acquisition of neuron position, attained through the  
245 process of active migration and chemotaxis (Cooper, 2013).

246 Lastly, we used the Transcriptomic Signature Distance (TSD) (Manatakis et al., 2020) to  
247 calculate the Brain-Chip's and transwell's transcriptomic distances from the adult human  
248 cortex tissue. We were able to show that the Brain-Chip exhibits higher transcriptomic  
249 similarity (smaller transcriptomic distance) to the adult human cortex on either day of  
250 culture compared to the transwells (**Figure 3E**), by leveraging next-generation  
251 sequencing data and information retrieved from the Human Protein Atlas database  
252 providing signature gene sets characteristic for the human brain (Uhlén et al., 2015). To  
253 further support our conclusions, we used the cerebral cortex RNA-seq data, from two  
254 different human donors (donor 9861 and 10021), available in the Allen Brain Atlas  
255 (Available from: [human.brain-map.org](http://human.brain-map.org)). For each donor, we measured the transcriptomic  
256 signature distances (TSDs) between the corresponding cerebral cortex samples and (i)  
257 Brain-Chip and (ii) Transwells on Days 5 and 7. For both donors, the results clearly  
258 indicate that for both days, our Brain-Chips are statistically significantly closer to human  
259 cerebral cortex as compared to transwells (**Figure S2**). Cumulatively, these findings  
260 demonstrate that the Brain-Chip recapitulates the cortical brain tissue more closely than  
261 conventional cell culture systems such as transwells.

### 262 263 **TNF- $\alpha$ -Induced Neuroinflammation in the Brain-Chip**

264 Neuroinflammation is emerging as a key mechanism in the progress of several infectious  
265 and neurodegenerative diseases (Guzman-Martinez et al., 2019b; Perry et al., 2007a). While the pathways driving neuroinflammation in response to infection have been  
266 delineated, the exact mechanisms that trigger and sustain the activation of glia (microglia  
267 and astrocytes) and the direct association with the barrier function remain unclear.

268 To model neuroinflammation in the Brain-Chip, we perfused TNF- $\alpha$  directly in the brain  
269 channel at a concentration of 100 ng/mL starting on day five of culture (**Figures 4A and**  
270 **S3A**) for two days. The concentration of 100 ng/mL TNF- $\alpha$  was chosen based on previous  
271 studies, where this concentration stimulated proinflammatory factors to be released and  
272 compromised the barrier integrity (Rochfort et al., 2014, 2016). As the majority of  
273 neuroinflammatory responses are elicited by the supportive glial cells, in particular  
274 microglia and astrocytes, the incorporation and evaluation of these cell types in *in vitro*  
275 assay systems is of particular interest in the pharmaceutical field.

277 To confirm the advantage of the Brain-Chips containing both microglia and astrocytes,  
278 the key cellular mediators of neuroinflammatory processes, we compared TNF- $\alpha$ -induced  
279 secretion of inflammatory cytokines in Brain-Chip in the presence or absence of microglia  
280 or astrocytes in the brain channel. We found significantly increased levels of interleukin-  
281 1 $\beta$  (IL-1 $\beta$ ), interleukin-6 (IL-6), and interferon-gamma (IFN $\gamma$ ) in the effluent of the Brain-  
282 Chips containing microglia and astrocytes, following 48 h of exposure to TNF- $\alpha$  (**Figures**  
283 **4B to D**). Notably, absence of microglia resulted in compromised induction of both IL-1 $\beta$   
284 and IFN $\gamma$ , while it prevented any change in IL-6 secretion, in contrast to the high levels of  
285 IL-6 detected in the microglia-containing Brain-Chip (**Figures 4B to D**). These findings  
286 capture the microglia-dependent inflammatory responses to TNF- $\alpha$  in the Brain-Chip, in  
287 line with previous studies.  
288 These findings demonstrate that Brain-Chips containing microglia respond to noxious  
289 stimuli such as TNF- $\alpha$  by mounting an inflammatory response in a manner similar to that  
290 shown in *in vivo* studies (Block et al., 2007; Brás et al., 2020; Haruwaka et al., 2019b;  
291 Kuno et al., 2005; Merlini et al., 2021; Perry et al., 2007b).  
292 Although microglia cells are the primary source of cytokines, astrocytes do also  
293 perpetuate the destructive environment via secretion of various chemokines and  
294 proinflammatory cytokines, including IL-1 $\beta$  and IFN $\gamma$  (Rothhammer and Quintana, 2015),  
295 in line with our findings. Interestingly, TNF- $\alpha$  induced IL-6 secretion directly from the  
296 microglia but not from the astrocytes, that required the presence of microglia for induction  
297 of cytokines secretion. Cumulatively, our data suggest the operation of different  
298 regulatory mechanisms and cell-to-cell interactions driving the cytokine responses of glial  
299 cells during inflammation. These findings indicate the potential of the Brain-Chip to  
300 critically support the development of specific new therapeutic approaches for patients.  
301 In line with the increased cytokine release, TNF- $\alpha$  exposure led to activation of microglia  
302 and astrocytes, as depicted by significant increases in CD68 positive cells or the  
303 proportion of GFAP-positive cells respectively (**Figures 4E to 4H and S3B, S3C**),  
304 recapitulating *in vivo* findings for glial cells that constitute the first response to  
305 inflammatory and infectious stimuli (Boche et al., 2019; Sun et al., 2008). Astrocytes  
306 exposed to TNF- $\alpha$  displayed a significant change in their morphology, transitioning from  
307 a polygonal shape towards a more elongated (stellate) shape (**Figure 4I**). Further, we  
308 detected pericyte activation, in line with the notion of the importance of NG-2 reactive  
309 pericytes in neuroinflammation (Ferrara et al., 2016) (**Figure 4J and 4K**), although we  
310 did not detect any significant effect on the total nuclei count (**Figure S3D**). Our studies  
311 revealed no differences in the abundance of Ki67-positive cells between the control and  
312 treated groups, suggestive of TNF- $\alpha$ -induced activation of the existing, astrocytes, rather  
313 than generation of new astrocytes (**Figures 3SE and 3SF**).  
314 In addition, exposure to TNF- $\alpha$  resulted in the loss of neuronal immunoreactivity of the  
315 cytoskeletal microtubule-associated protein (MAP-2) compared to the control, in neurons,  
316 suggesting that TNF- $\alpha$  induced neuronal injury/damage (**Figures 4F and 4L**), in line with  
317 the described neurotoxic effects of inflammatory mediators (Neniskyte et al., 2014b).  
318 Excessive brain TNF- $\alpha$  levels have been associated with the compromised activity of the  
319 glutamate transporters, which results in an increase in glutamate levels. The secreted  
320 glutamate levels in the effluent of the brain channel of the chip in control Brain-Chips  
321 remained stable on days 5, 6 and 7 of culture (**Figure 1G**), while they increased  
322 significantly increased following two days of exposure to TNF- $\alpha$  (**Figure 4M**). These data

323 corroborate reports from *in vitro* and *in vivo* studies linking glutamate-induced  
324 excitotoxicity to neuroinflammation (Olmos and Lladó, 2014; Rossi et al., 2014; Ye et al.,  
325 2013).  
326 Strong experimental evidence has demonstrated the multifaceted effects of TNF- $\alpha$  on the  
327 BBB anatomy and function, via its direct action on the endothelium as well as the  
328 downstream effects via induction of associated proinflammatory factors (Trickler et al.,  
329 2005; Zhao et al., 2007). We found that in the Brain-Chip, the perfusion of the brain  
330 channel with TNF- $\alpha$  (100 ng/mL) for two days, ensued loss of the integrity of the tight  
331 junctions, as demonstrated by diffuse ZO-1 staining (**Figures 5A**). Furthermore, the  
332 expression of intercellular adhesion molecule 1 (ICAM-1), a hallmark of inflammation-  
333 promoting adhesion and transmigration of circulating leukocytes across the BBB  
334 (Marchetti and Engelhardt, 2020), was also significantly induced (**Figure 5A**).  
335 Assessment of the barrier function revealed a significant increase in permeability to 3kDa  
336 dextran in the TNF- $\alpha$  treated Brain-Chip, in a time dependent-manner (**Figure 5B**).  
337 Furthermore, we show that the changes in barrier permeability were only evident in the  
338 presence of microglia (**Figure 5C**), confirming previous findings on the critical role of this  
339 cell in driving BBB dysfunction (Nishioku et al., 2010). To further support this hypothesis,  
340 we used Minocycline to inhibit the induction of reactive microglia (Ai et al., 2005; Henry  
341 et al., 2008). Reportedly, Minocycline reduces the characteristic BBB leakage in rodent  
342 models of brain diseases, such as hypoxia, ischemia, and Alzheimer's disease (Ryu and  
343 McLarnon, 2006; Yang et al., 2015; Yenari et al., 2006). Our findings add on our  
344 understanding on barrier function in the human Brain-Chip as a result of TNF- $\alpha$  induced  
345 inflammation, as they also include the response of microglia (**Figure 5D**).  
346 These results taken together show how the Brain-Chip can be applied to characterize  
347 specific changes in cell-cell interactions underlying the development and progress of  
348 neuroinflammation.

349  
350 **Neuroinflammation in the Brain-Chip Induced by Vascular Exposure to TNF- $\alpha$**   
351 Although pathology locally in the brain is associated with massive production of  
352 proinflammatory cytokines (neuroinflammation), reports show that systemic  
353 infections/inflammatory states spreading to the brain through the vascular system, can  
354 also induce inflammation in the brain and alter the progression of chronic  
355 neurodegenerative diseases (Perry, 2004). To assess how systemic (through the  
356 vascular channel) administration of TNF- $\alpha$  affects the cells in the brain channel (**Figure**  
357 **6A and S4A**), we first performed immunostaining for CD68, GFAP, and NG2 two days  
358 after vascular administration of TNF- $\alpha$ , that revealed activation of microglia, astrocytes,  
359 and respectively (**Figure 6B to 6F**), while we did not detect any significant effect on the  
360 total nuclei count (**Figure 6G**).

361 Next, we characterized markers indicative of neuroinflammation. We found significantly  
362 higher levels of IFNy, IL-1 $\beta$ , and IL-6 in the effluent collected from the brain channel,  
363 following two days of vascular exposure to TNF- $\alpha$ , compared to the untreated group  
364 (**Figure 6H to 6J**). These findings are consistent with published studies showing that  
365 TNF- $\alpha$  crossing through the BBB activates the microglia and induced the release of  
366 proinflammatory cytokines, resulting in further propagation of the inflammatory process in  
367 the brain (Qin et al., 2007; Tangpong et al., 2006). We also found higher levels of IFNy,  
368 IL-1 $\beta$ , and IL-6 in the vascular channel media in the TNF-treated model group compared

369 to the untreated group, all of which contribute to increase the barrier permeability (**Figure**  
370 **S4B**). However, no neuronal damage was detectable, as per the number of MAP2-  
371 positive neurons and the secreted glutamate levels (**Figures 6K and 6L**). Thus, vascular-  
372 mediated challenge of the brain with TNF- $\alpha$  induces neuroinflammation, although  
373 relatively milder compared to that following direct exposure to TNF- $\alpha$ , administrated  
374 through the brain channel.

375 Immunofluorescence analysis showed significantly attenuated expression of the tight  
376 junction protein ZO-1 and increased expression of ICAM-1 in the TNF- $\alpha$  treated chips  
377 compared to the control chips (**Figures 6M**). Further, the barrier permeability to 3kDa  
378 dextran was significantly increased in the TNF- $\alpha$  treated chips (**Figure 6N**). Overall, these  
379 findings were similar to those described in detail above, in the neuroinflammation model  
380 above (**Figure 5A, 5B and 5C**).

381 Brain barriers are uniquely positioned to communicate signals between the central  
382 nervous system and peripheral organs. The BBB cells (endothelial cells, pericytes and  
383 astrocytes) respond to signals originating from either side by changes in permeability,  
384 transport, and secretory functions (Cunningham et al., 2009; Erickson and Banks, 2018;  
385 Verma et al., 2006). It has been previously shown that TNF- $\alpha$  crosses the intact BBB by  
386 a receptor-mediated transport system, upregulated by CNS trauma and inflammation  
387 (Gutierrez et al., 1993; Pan and Kastin, 2002; Pan et al., 2003a). Free traffic of  
388 radioactively labelled TNF- $\alpha$  from blood to brain and cerebrospinal fluid (CSF) has been  
389 shown in mice (Gutierrez et al., 1993; Pan et al., 1997), as well as in monolayers of  
390 cultured cerebral microvessel endothelial cells (Pan et al., 2003b). We measured the  
391 levels of TNF- $\alpha$  in effluent from both the brain and vascular channels (**Figure S4C**) and  
392 identified significantly lower basal levels in the former (control condition). Our results  
393 confirm that TNF- $\alpha$  can cross through the intact barrier in either direction, i.e., brain or  
394 vascular. Also, barrier disruption resulted in significantly increased TNF- $\alpha$  levels from the  
395 vascular compartment into the brain compartment and vice versa (**Figure 7A and 7B**).

396 Still, a knowledge gap remains in our in-depth understanding of the interplay between  
397 gain/loss of function of drug transporters and their role in the development of neurological  
398 diseases, whether changes in transporter expression are a cause or consequence of  
399 these diseases. Glucose transporter-1, GLUT-1 is the major cerebral glucose transporter,  
400 and is expressed at particularly high levels in endothelial cells that line the brain capillaries  
401 (Vannucci, 1994). Although decreases in GLUT-1 expression is a well-accepted  
402 biomarker for degenerative and inflammatory brain diseases (Winkler et al, 2015), how it  
403 is associated to their pathogenesis remains elusive. To this purpose, we measured the  
404 GLUT-1 expression in both TNF- $\alpha$  treatment conditions (brain or vascular side dosing)  
405 compared to the control group. Analysis of immunofluorescence images showed  
406 substantial decrease in GLUT-1 expression upon TNF- $\alpha$  treatment as compared to the  
407 control group (**Figure 7C and 7D**), showing the sensitivity of the Brain-Chip to capture  
408 the direct effect of TNF- $\alpha$  on GLUT-1 transporter. Although there are differences  
409 associated with the specific route of administration of TNF- $\alpha$  in the inflammation-induced  
410 mechanisms, exposure through the brain or the vascular channel represent relevant *in*  
411 *vivo* conditions. All the above taken together, suggest that the Brain-Chip may advance  
412 our understanding on the molecular mechanisms underlying function of BBB transporters  
413 in basal and disease states.

414

415 **DISCUSSION**

416 In the present study, we designed a microfluidic model, a human Brain-Chip, that  
417 recreates several functional features of the neurovascular unit. Cortical neurons,  
418 astrocytes, microglia, and pericytes compose the parenchymal basement membrane  
419 (brain side) whereas astrocytic end-feet embracing the abluminal aspect of the brain  
420 microvessels (vascular side). Astrocytes and microglia were maintained in a resting state  
421 in coordination with low levels of cytokine secretion. The endothelial-like monolayer within  
422 the human Brain-Chip sustained the expression of tight junction proteins and showed low  
423 barrier permeability levels for seven days in culture, similar to those reported for the  
424 human brain *in vivo* (Shi et al., 2014; Yuan et al., 2009), for seven days in culture.

425 By leveraging next-generation sequencing data and information retrieved from well-  
426 curated databases providing signature gene sets characteristic for the human cortex, we  
427 were able to show that the Brain-Chip exhibits higher transcriptomic similarity to the adult  
428 cortical tissue than the transwells, both models with the same cellular composition. These  
429 data complement previous reports on the advantages of the microfluidic organ-chip  
430 systems to provide a better tissue-relevant microenvironment compared to other  
431 commonly used conventional culture systems (Pediaditakis et al., 2021; Sances et al.,  
432 2018). Most importantly, our findings demonstrate the closeness of the Brain-Chip to the  
433 adult human cortex tissue and the cells' maturation state after seven days in culture.

434 Neuroinflammation emerges as an essential process in the pathogenesis of  
435 neurodegenerative diseases. Several studies have shown direct effects of the activated  
436 microglia, astrocytes, and pericytes and the secreted cytokines in the brain and BBB  
437 functions (Biswas et al., 2020; Freitas-Andrade et al., 2020; Horng et al., 2017; Liebner  
438 et al., 2018; Sofroniew, 2015; Sweeney et al., 2019). TNF- $\alpha$ , a key mediator of  
439 inflammation, impairs neuronal function, suppresses long-term hippocampal potentiation  
440 (LTP), a mechanism essential for memory storage and consolidation (Cunningham et al.,  
441 1996), and affects synaptic transmission (Singh et al., 2019). Further, TNF- $\alpha$  levels have  
442 been found markedly elevated in the brains of patients with Alzheimer's disease (Heneka  
443 and O'Banion, 2007), indicative of the active inflammatory process in the disease. It has  
444 been proposed that systemic inflammation exacerbates neuroinflammation and  
445 neurodegeneration via circulating pro-inflammatory factors (Perry et al., 2007a), such as  
446 TNF- $\alpha$ , crossing the BBB via active transport (Osburg et al., 2002; Pan and Kastin, 2007)  
447 or through the compromised barrier (Franzén et al., 2003; Trickler et al., 2005). Despite  
448 the increasing experimental and clinical evidence on the connection between  
449 neuroinflammation, neurodegeneration, and, ultimately, neuronal death, the development  
450 of effective therapeutic targets is still slow. A major factor contributing to the latter is that  
451 there is still lack of specific models for the onset and progress of human brain diseases.  
452 Most of the existing *in vitro* BBB models do not incorporate neurons and glia in the blood-  
453 brain barrier cell systems, resulting in incomplete modeling of the inflammatory  
454 responses.

455 In the present study, we characterized the Brain-Chip responses upon exposure to TNF-  
456  $\alpha$  via two distinct routes, either directly through the brain channel or via the vascular  
457 channel, where TNF- $\alpha$  reaches the brain cells by crossing through the barrier either  
458 actively or paracellularly. We show that the brain's exposure to TNF- $\alpha$ , either directly or  
459 through the BBB, results in activation of microglia and astrocytes, secretion of cytokines,  
460 and neuronal damage. As expected, exposure to TNF- $\alpha$  induced significant changes in

461 tight junction formation that compromised the barrier permeability and induced adhesion  
462 molecules such as ICAM-1, which propagated the inflammatory response by facilitating  
463 the recruitment of the immune cells to the brain (Marchetti and Engelhardt, 2020). We  
464 expect that future studies set to characterize the precise sequence of events following  
465 exposure to systemic- or tissue-induced inflammatory injury might provide important,  
466 targetable hints for critical cell-driven mechanisms in neuroinflammation.  
467 The comprehensive Brain-Chip model presented here can enhance our current capability  
468 to interrogate both brain barrier dysfunction and neuron-glia interactions underlying the  
469 onset and progress of neuroinflammation, for the benefit of human patients.  
470

## 471 METHODS

472 **Brain-Chip Microfabrication and Zoë® Culture Module.** The design and fabrication of  
473 Organ-Chips used to develop the Brain-Chip was based on previously described  
474 protocols (Huh et al., 2013). The chip is made of transparent, flexible  
475 polydimethylsiloxane (PDMS), an elastomeric polymer. The chip contains two parallel  
476 microchannels (a 1 × 1 mm brain channel and a 1 × 0.2 mm vascular channel) that are  
477 separated by a thin (50 µm), porous membrane (7 µm diameter pores with 40 µm spacing)  
478 coated with E.C.M. (400 µg/mL collagen IV, 100 µg/mL fibronectin, and 20 µg/mL laminin,  
479 at the brain and vascular side). Brain-Chips were seeded with human iPSC-derived  
480 glutamatergic and GABAergic neurons (NeuCyte;1010) at a density of 4x10<sup>6</sup> cells/mL and  
481 2x10<sup>6</sup> cells/mL respectively, co-cultured with, human primary astrocytes (NeuCyte;1010)  
482 at a density of 2x10<sup>6</sup> cells/mL, human microglial cell line (ATCC; CRL3304) at a density  
483 of 2x10<sup>5</sup> cells/mL, and primary pericytes (Sciencell;1200) at a density of 1.5x10<sup>5</sup> cells/mL,  
484 using "seeding medium" (NeuCyte), and incubated overnight. The next day, human iPSC-  
485 derived Brain Microvascular Endothelial-like cells were seeded in the vascular channel at  
486 a density of 14 to 16x10<sup>6</sup> cells/mL using human serum-free endothelial cell medium  
487 supplemented with 5% human serum from platelet-poor human plasma (Sigma) and  
488 allowed to attach to the membrane overnight. Chips were then connected to the Zoë®  
489 Culture Module (Emulate Inc.). At this time, the medium supplying the brain channel was  
490 switched to maintenance medium (Neucyte), and the serum of the vascular medium was  
491 lowered to 2%. Chips were maintained under constant perfusion at 60 µL/h through both  
492 chips' brain and vascular channels until day seven.  
493

494 **Brain Transwell Model.** The conventional cell cultures (transwells) and the Brain-Chips  
495 were seeded using the same ECM composition as well as cell composition, media  
496 formulations and seeding density. At the first experimental day (D0) the cortical  
497 (Glutamatergic and GABAergic subtypes) neurons, astrocytes, microglia, and pericytes  
498 were seeded on the apical side, followed by the seeding of the endothelial cells (D1) on  
499 the basolateral side of the 0.47 cm<sup>2</sup> Transwell-Clear permeable inserts (0.4-µm pore  
500 size). For the apical compartment we used NeuCyte medium, while for the basolateral  
501 compartment we used hESFM with 5% human serum from platelet-poor human plasma.  
502 The cells maintained under static conditions throughout the duration of the experiment  
503 (D8). The culture medium was replaced daily in both compartments.  
504

505 **Differentiation of iPSCs into Brain Microvascular Endothelial-like Cells.** Human  
506 iPSCs (Donor 1: RUCDR; ND50028, Donor 2: iXcell; 30HU-002) were passaged onto

507 Matrigel in mTeSR1 medium for 2 to 3 days of expansion. Colonies were singularized  
508 using Accutase (STEMCELL; 07920) and replated onto Matrigel-coated plates at a  
509 density 25-50 x 10<sup>3</sup> cells/cm<sup>2</sup> in mTeSR1 supplemented with 10 mM Rho-associated  
510 protein kinase (ROCK) inhibitor Y-27632 (STEMCELL; 72304). Singularized Human  
511 iPSCs were expanded in mTeSR1 for 3 days. Cells were then treated with 6 mM  
512 CHIR99021 (STEMCELL; 72052) in DeSR1: DMEM/Ham's F12 (Thermo Fisher  
513 Scientific; 11039021), 1X MEM-NEAA (Thermo Fisher Scientific; 10370021), 0.5%  
514 GlutaMAX (Thermo Fisher Scientific; 35050061), and 0.1 mM b-mercaptoethanol  
515 (Sigma). On Day 1, the medium was changed to DeSR2: DeSR1 plus 1X B27 (Thermo  
516 Fisher Scientific) daily for another 5 days. On day 6, the medium was switched to  
517 hECSR1: hESFM (ThermoFisher Scientific) supplemented with bFGF (20 ng/mL), 10 mM  
518 Retinoic Acid, and 1X B27. On day 8, the medium was changed to hECSR2 (hECSR1  
519 without R.A. or bFGF). On day 10 cells were dissociated with TrypLE™ and plated at  
520 1x10<sup>6</sup> cells/cm<sup>2</sup> in hESFM supplemented with 5% human serum from platelet-poor  
521 human plasma onto a mixture of collagen IV (400µg/mL), fibronectin (100µg/mL), and  
522 laminin (20 µg/mL) coated flasks at a density of 1x10<sup>6</sup> cells/cm<sup>2</sup>. After 20 min the flasks  
523 were rinsed using hESFM with 5% human serum from platelet-poor human plasma with  
524 Y-27632 as a selection step to remove any undifferentiated cells and allowed to attach  
525 overnight (Qian et al., 2017).

526

527 **Morphological Analysis.** Immunocytochemistry was conducted as previously described  
528 (Pediaditakis et al., 2021). Cells were blocked on the Brain-Chip in phosphate-buffered  
529 saline (PBS) containing 10% donkey serum (Sigma) at 4°C overnight. Saponin 1% was  
530 used to permeabilize membrane when required. Primary antibodies were MAP2 (Thermo  
531 Fisher Scientific; MA512826), VGLUT1 (Thermo Fisher Scientific; 48-2400),  
532 Synaptophysin (Abcam; 32127), GFAP (Abcam; ab53554), GLAST (Invitrogen; PA5-  
533 19709), s100 $\beta$  (Abcam; 52642), NG2 (Abcam; ab83178),  $\alpha$ SMA (Abcam; 7817), IBA1  
534 (FUJIFILM; 019-19741), CD68 (Abcam; ab213363), ICAM-1 (R&D Systems; BBA3), Ki67  
535 (Abcam; 197234), ZO-1 (Thermo Fisher Scientific; 402200), Occludin (Invitrogen; OC-  
536 3F10), Claudin-4 (Invitrogen; 329494), TRPV6 (Proteintech; 13411-1-AP), PE CAM1  
537 (Thermo Fisher Scientific; RB-1033-P1), CD11b (Invitrogen; MA1-80091), CD45  
538 (Invitrogen; 17-0409-42), GLUT1 (Thermo Fisher Scientific; SPM498), P-gp (Thermo  
539 Fisher Scientific; p170(F4)), MRP-1 (Millipore; MAB4100), Transferrin receptor (Abcam;  
540 216665). Chips treated with corresponding Alexa Fluor secondary antibodies (Abcam)  
541 were incubated in the dark for 2 h at room temperature. Cells were then counterstained  
542 with nuclear dye DAPI. Images were acquired with an inverted laser-scanning confocal  
543 microscope (Zeiss LSM 880).

544

545 **Flow Cytometry.** Cells were dissociated with Accutase, fixed in 1% PFA for 15 min at  
546 room temperature, and then washed with 0.5% bovine serum albumin (BSA) (Bio-Rad)  
547 plus 0.1% Triton X-100 three times. Cells were stained with primary and secondary  
548 antibodies diluted in 0.5% BSA plus 0.1% Triton X-100. Data were collected on  
549 a FACS Celesta flow cytometer (Becton Dickinson) and analyzed using FlowJo.  
550 Corresponding isotype antibodies were used as FACS (fluorescence-activated cell  
551 sorting) gating control. Details about antibody source and usage are provided in table.

552

553 **Visualization of Transferrin Receptor Internalization.** Human iPSC-derived Brain  
554 Microvascular Endothelial-like cells were treated with 25  $\mu$ g/mL fluorescent transferrin  
555 conjugate (Thermo Fisher Scientific) and incubated at 37oC for 30 min. Cells were  
556 washed twice with LCIS and fixed with P.F.A. Cells labeled with Alexa Fluor™ Plus 647  
557 Phalloidin and DAPI and then imaged with Zeiss LSM 880.

558  
559 **Scanning Electron Microscopy.** At the indicated timepoints Brain-Chips were fixed at  
560 room temperature, for 2 hours in 2.5% Glutaraldehyde solution and washed three times  
561 with 0.1M sodium cacodylate (NaC) buffer. Concomitantly, the chip was trimmed using a  
562 razor so that the lateral and top chunks of PDMS are removed and the top channel is  
563 revealed. Afterwards, the samples were fixed with 1% osmium tetroxide (OsO<sub>4</sub>) in 0.1M  
564 NaC buffer for 1 hour at room temperature and dehydrated in graded ethanol. The chip  
565 samples were dried using the chemical drying agent Hexamethyldisilizane (HMDS),  
566 sputter coated with platinum and images were acquired using the Hitachi S-4700 Field  
567 Emission Scanning Electron Microscope.

568  
569 **Permeability Assays.** To evaluate the establishment and integrity of the barrier, 3 kDa  
570 Dextran, Cascade Blue, was added to the vascular compartment of the Brain-Chip at 0.1  
571 mg/mL. After 24 h, effluent from both channels was sampled to determine the dye's  
572 concentration that had diffused through the membrane. The apparent paracellular  
573 permeability (P<sub>app</sub>) was calculated based on a standard curve and using the following  
574 formula:

575

$$576 P_{app} = \frac{Q_R * Q_D}{SA * (Q_R + Q_D)} * \ln \left[ 1 - \frac{C_{R,O} * (Q_R + Q_D)}{(Q_R * C_{R,O} + Q_D * C_{D,O})} \right]$$

577  
578 where SA is the surface area of sections of the channels that overlap (0.17cm<sup>2</sup>), Q<sub>R</sub> and  
579 Q<sub>D</sub> are the fluid flow rates in the dosing and receiving channels respectively, in units of  
580 cm<sup>3</sup>/s, C<sub>R,O</sub> and C<sub>D,O</sub> are the recovered concentrations in the dosing and receiving  
581 channels respectively, in any consistent units.

582  
583 **TNF- $\alpha$  Treatment.** To mimic the inflammatory condition, cells were treated on either brain  
584 or vascular channel with TNF- $\alpha$  (Tumor Necrosis Factor- $\alpha$ , R&D Systems; 210-TA). The  
585 treatment was initiated after the formation of a confluent monolayer at ~5 days in culture.  
586 Cells were further incubated in a culturing medium, including TNF- $\alpha$  (100 ng/mL) up to 48  
587 h.

588  
589 **Western Blotting.** RIPA cell lysis buffer supplemented with protease and phosphatase  
590 inhibitors (Sigma) was used for the extraction of total protein from either brain or vascular  
591 channel. The Auto Western Testing Service was provided by RayBiotech, Inc. (Peachtree  
592 Corners, GA USA). 0.2 mg/mL sample concentration was loaded into the automated  
593 capillary electrophoresis machine. Glial fibrillary acidic protein (GFAP) and  
594 Gluceraldehyde-3-phosphate dehydrogenase (GAPDH) antibody provided by  
595 RayBiotech was used as the loading control.

596

597 **ELISA Analysis.** The levels of IFNy, IL-1 $\beta$ , and IL-6 were measured by M.S.D. 96-well  
598 plate Human Pro-Inflammatory V-PLEX Human Pro-Inflammatory Assay kits. The  
599 secreted levels of Glutamate were measured by Glutamate Assay Kit (Fluorometric)  
600 (Abcam; ab138883).

601  
602 **RNA Isolation and Sequencing.** According to manufacturer's guidelines, we used  
603 TRIzol (TRI reagent, Sigma) to extract the RNA. The collected samples were submitted  
604 to GENEWIZ South Plainfield, NJ, for next-generation sequencing. After quality control  
605 and RNA-seq library preparation the samples were sequenced with Illumina HiSeq 2x150  
606 system using sequencing depth ~50M paired-end reads/sample.

607  
608 **RNA Sequencing Bioinformatics.** Using Trimmomatic v.0.36 we trimmed the sequence  
609 reads and filtered-out all poor-quality nucleotides and possible adapter sequences. The  
610 remained trimmed reads were mapped to the Homo sapience reference genome GRCh38  
611 using the STAR aligner v2.5.2b. Next, using the generated BAM files we calculated for  
612 each sample the unique gene hit-counts by using the featureCounts from the Subread  
613 package v.1.5.2. It is worth noting that only unique reads that fell within the exon region  
614 were counted. Finally, the generated hit-counts were used to perform DGE analysis using  
615 the "DESeq2" R package (Love et al., 2014). The thresholds used for all the DGE  
616 analyses were:  $|\log_2(\text{Fold Change})| \geq 1$  and adjusted p-value  $\leq 0.01$ .

617  
618 **GO term Enrichment Analysis.** The DE genes identified after performing the DGE  
619 analyses were subjected to Gene Ontology (GO) enrichment analysis. The GO terms  
620 enrichment analysis was performed using the Gene Ontology knowledgebase (Gene  
621 Ontology Resource <http://geneontology.org/>).

622  
623 **GTEx Portal provides 255 RNA-seq Samples for Human Brain-Cortex.** From these  
624 samples only 5 were from healthy individuals and had RNA Integrity Number larger than  
625 8 (RIN  $\geq 8$ ), which indicates good RNA-quality. To create a "balanced" dataset (i.e., 4  
626 samples per condition) from these 5 samples, we selected the group of 4 that had the  
627 smallest variance. We combined the selected samples with the 16 samples from our  
628 healthy models (i.e., Brain-Chips and transwells on Days 5 and 7). Next, we used the  
629 "remove Batch Effect" function of the "limma" R package (Ritchie et al., 2015) to remove  
630 shifts in the means between our samples (Brain-Chips and transwells) and the 4 human  
631 Brain-Cortex samples retrieved from GTEx portal (Lonsdale et al., 2013). The same  
632 process was repeated to combine the 16 samples from our healthy models with  
633 each one of the two different cerebral cortex RNA-seq data from two different human  
634 donors (donor 9861 and 10021) available in the Allen Brain Atlas (© 2010 Allen Institute  
635 for Brain Science. Allen Human Brain Atlas. Available from: [human.brain-map.org](http://human.brain-map.org)). This  
636 dataset used for the calculation of the Transcriptomic Signature Distances (TSDs)  
637 (Manatakis et al., 2020).

638  
639 **Transcriptomic Signature Distance (TSD) Computation.** TSD is a novel distance  
640 metric based on information theory that allows us to reliably assess the transcriptomic  
641 similarity between organ tissue samples. The TSD uses (i) next-generation sequencing  
642 data and (ii) tissue-specific genes (i.e., signature genes) provided by the well-curated and

643 widely accepted Human Protein Atlas (HPA) project (Uhlén et al., 2015), and calculates  
644 the transcriptomic distance of a tissue sample (e.g., Brain-Chip or transwell) from the  
645 reference tissue (in our case the Human Brain-Cortex). As signature genes we used the  
646 set of 2587 genes that are reported to have significantly elevated expression levels in the  
647 brain tissue compared to other tissue types (Uhlén et al., 2015).

648  
649 **Statistical Analysis.** All experiments were performed in triplicates. Analysis of  
650 significance was performed by using two-way ANOVA with Tukey's multiple comparisons  
651 test or unpaired t-test depending on the data sets. The error bars represent standard error  
652 of the mean (s.e.m); p-values < 0.05 and above were considered significant.

653  
654 **Data Availability**

655 All data generated or analyzed during this study are included in this published article.  
656 RNA sequencing data have been deposited in the National Center for Biotechnology  
657 Information Gene Expression Omnibus (GEO).

658  
659 **Code Availability**

660 All the code for the analysis in this report is derived from previously published reports. It  
661 is also explained and cited in the appropriate material and methods section.

662  
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1006 **AUTHOR CONTRIBUTION**

1007 I.P. developed the Brain-Chip model, designed, and performed experiments, collected,  
1008 and analyzed data, and wrote the paper. K.R.K. contributed to the Brain-Chip model  
1009 development, performed experiments, collected data, and contributed to the writing of the  
1010 paper. D.V.M. processed and analyzed the transcriptomic data, incorporated the  
1011 associated data in the manuscript and contributed to the writing of the paper. C.Y.L, S.B.  
1012 and A.S. helped perform experiments. E.S.M. was involved in the bioinformatic analysis  
1013 and contributed to the writing of the paper. A.G., L.E., L.L.R provided critical feedback  
1014 and reviewed the manuscript. C.D.H. provided insightful input on the engineering aspects  
1015 of the project. K.K. supervised the project, contributed to the Brain-Chip model  
1016 development, and wrote the paper.

1017

1018 **ACKNOWLEDGMENTS**

1019 We would like to thank Dr. Athanasia Apostolou for providing technical assistance with  
1020 the scanning electron microscope. This work was supported by the National Institute of  
1021 Health, National Center for Advancing Translational Sciences (UG3TR002188; to C.D.H.  
1022 and K.K.). The content is solely the responsibility of the authors and does not necessarily  
1023 represent the official views of the National Institutes of Health. We also thank Brett Clair  
1024 for scientific illustrations.

1025

1026 **CONFLICT OF INTEREST**

1027 I.P., K.R.K., D.V.M., C.Y.L, S.B., A.S., L.E., C.D.H., and K.K. are current or former  
1028 employees of Emulate, Inc and may hold equity interests in Emulate, Inc. All other authors  
1029 declare no competing interests.

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1052 **FIGURE LEGENDS**

1053 **Figure 1. Reconstruction of the neurovascular unit in the Brain-Chip**

1054 (A) Schematic illustration of the Brain-Chip, a two-channel micro-engineered chip  
1055 including iPSC-derived brain endothelial-like cells cultured on all surfaces of the bottom  
1056 channel, and iPSC-derived Glutamatergic and GABAergic neurons, primary human brain  
1057 astrocytes, pericytes, and microglia on the surface of the top channel.

1058 (B) Confocal images of the cell coverage in the brain channel on day 7 of culture. Top  
1059 image: Immunofluorescence staining of the brain channel including MAP2 (green), GFAP  
1060 (magenta), NG2 (red), and DAPI (blue). Bottom images: Representative merged confocal  
1061 image of the brain channel on culture day 7, stained for neurons (MAP2, green),  
1062 astrocytes (GFAP, magenta, IBA1, yellow), and pericytes ( $\alpha$ SMA, red) (bar, 50  $\mu$ m).

1063 (C) Representative immunofluorescent staining for s100 $\beta$  (red) and GLAST (green)  
1064 (bar, 100  $\mu$ m).

1065 (D) FACS analysis of cell specific markers of microglia: Total population of microglia within  
1066 the brain channel (grey), CD11b positive population (magenta), CD45 positive population  
1067 (magenta), quantification of CD11b:CD45 positive cells.

1068 (E) Representative merged confocal image of the brain channel co-stained with VGAT  
1069 (green) for GABAergic neurons and VGLUT1 (red) for Glutamatergic neurons (bar, 100  
1070  $\mu$ m).

1071 (F) Immunofluorescence staining of the brain channel including MAP2 (green), and SYP  
1072 (red) (bar, 100  $\mu$ m).

1073 (G) Levels of secreted glutamate in the brain channel on culture days 5, 6 and 7 (n=4-6  
1074 independent chips, \*\*\*\*P<0.0001, NS: not significant compared to the transwells group  
1075 n=3-4). Data are expressed as mean  $\pm$  S.E.M, statistical analysis by two-way ANOVA  
1076 with Tukey's multiple comparisons test.

1077 **Figure 2. Characterization of the Barrier in the Brain-Chip**

1078 (A) Top Image: Immunofluorescence staining of the vascular channel stained for the tight  
1079 junction marker ZO-1 (green) (bar, 1 mm). Bottom Images: Immunofluorescence  
1080 micrographs of the human brain endothelium cultured on-chip for 7 days labeled with  
1081 Occludin (green), PECAM1 (magenta), and DAPI (blue) (bar, 100  $\mu$ m).

1082 (B) Quantitative barrier function analysis by the apparent permeability to 3kDa fluorescent  
1083 dextran, in two independent iPSC donor lines on culture days 5, 6, and 7 (n=4-6  
1084 independent chips). NS: not significant. Data are expressed as mean  $\pm$  S.E.M., statistical  
1085 analysis by Student's t-test.

1086 (C) The apparent permeability of different size dextran molecules (3-70 kDa) across  
1087 Brain-Chips correlated with previously reported *in vivo* rodent brain uptake data. (n=3-5  
1088 independent chips). Data are expressed as mean  $\pm$  S.E.M.)

1089 (D) Left: Exploded view of the chip. Interaction of primary human astrocyte end-feet-like  
1090 processes (GFAP, red) with endothelial-like cells (ZO-1, yellow), MAP2 (green). Right:  
1091 Representative Scanning Electron Microscopy (SEM) image showing astrocytic endfoot  
1092 passing through 7  $\mu$ m pores into the vascular channel (bar, 30um). White arrows show  
1093 the astrocytic endfoot.

1094 **Figure 3. Comparative analyses of the transcriptomic profiles of the Brain-Chip,  
1095 adult cortex tissue and transwell culture**

1098 (A) Principal Component Analysis (PCA) of RNAseq data from the brain channel of the  
1099 Brain-Chip and the transwell brain cells culture on culture days 5 and 7 (n=4 per  
1100 condition). The first two PCs explain the 47.47% of the total variance.  
1101 (B) DGE analysis Identified up (cyan) - and down (magenta) - regulated genes (dots) in  
1102 the Brain-Chip as compared to transwells on culture day 7.  
1103 (C to D) Biological processes in Brain-Chip and transwells, as identified by Gene Ontology  
1104 (GO) enrichment analysis based on the DE genes.  
1105 (E) Boxplots summarizing the distributions of the corresponding pairwise TSD distances.  
1106 In each pair, one sample belongs to the reference tissue (Human Brain-Cortex) and the  
1107 other either to the reference tissue or to one of our culture models, i.e., Brain-Chip or  
1108 transwell, from culture days 5 and 7. The Brain-Chip and transwell cultures were run in  
1109 parallel. n=4 independent chips; data are expressed as mean  $\pm$  S.E.M. NS: Not  
1110 Significant, \*\*P<0.01, \*\*\*P<0.001; statistical analysis with two-sample t-test using a null  
1111 hypothesis that the data from human tissue and the data from chips or transwells comes  
1112 from independent random samples from normal distributions with equal means and equal  
1113 but unknown variances. On each box the red line indicates the median and the bottom  
1114 and top edges correspond to the 25<sup>th</sup> and 75<sup>th</sup> percentiles respectively. The whiskers  
1115 extend to the most extreme but not considered outliers values.  
1116

#### 1117 **Figure 4. Response of the Brain-Chip to neuroinflammation**

1118 (A) Schematic illustration of the induction of neuroinflammation by perfusion of TNF- $\alpha$   
1119 through the brain channel.  
1120 (B to D) Secreted levels of IL-1 $\beta$ , IL-6, and IFNy in control or TNF- $\alpha$ -treated Brain-Chips  
1121 including, or not, microglia and/or astrocytes. n=4-5 independent chips; data are  
1122 expressed as mean  $\pm$  S.E.M. NS: Not Significant, \*P<0.05, \*\*P<0.01, \*\*\*\*P<0.0001;  
1123 statistical analysis with Student's t-test.  
1124 (E to F) Representative immunofluorescent staining for microglia (CD68, red), neurons  
1125 (MAP2, green), astrocytes (GFAP, magenta), and nuclei (DAPI, blue) in TNF- $\alpha$ -treated or  
1126 control chips (bar, 100 nm).  
1127 (G) Quantification of the CD68-positive events/field of view in 4 randomly selected  
1128 different areas/chip, n=3 Brain-Chips; data are expressed as mean  $\pm$  S.E.M., \*\*P<0.01  
1129 compared to the untreated control group, statistical analysis by Student's t-test.  
1130 (H) Quantification of the number of GFAP-positive and MAP2 events/field of view in n=4  
1131 randomly selected different areas/chip, n=3 Brain-Chips, \*\*P<0.01, compared to the  
1132 untreated control group; statistical analysis with Student's t-test.  
1133 (I) Immunofluorescence images show an example of the two types of astrocyte  
1134 morphology in cultures (polygonal shape towards more elongated shape), after  
1135 immunostaining with an antibody against GFAP (bar, 100 nm).  
1136 (J) Representative immunofluorescent staining for pericytes (NG2, red) in TNF- $\alpha$ -treated  
1137 or control chips (bar, 100 nm).  
1138 (K) Quantification of NG2 fluorescent intensity in n=5 randomly selected different  
1139 areas/chip, n=3 Brain-Chips; data are expressed as mean  $\pm$  S.E.M., \*\*\*\*P<0.0001  
1140 compared to the untreated control group; statistical analysis with Student's t-test.  
1141 (L) Quantification of the number of MAP2 events/field of view in n=4 randomly selected  
1142 different areas/chip, n=3 Brain-Chips, \*\*\*\*P<0.0001, compared to the untreated control  
1143 group; statistical analysis with Student's t-test.

1144 (M) Levels of secreted glutamate in the brain channel on culture day 7 (n=6-7 independent  
1145 chips. \*\*\*\*P<0.0001, compared to the untreated group. Data are expressed as mean ±  
1146 S.E.M, statistical analysis by Student's t-test.

1147  
1148 **Figure 5. Barrier changes during neuroinflammation**  
1149 (A) Representative merged image of immunofluorescent staining of Intercellular  
1150 Adhesion Molecule 1 (ICAM-1, red), tight junction protein 1 (ZO-1, green), and cell nuclei  
1151 (DAPI, blue), (bar, 100 nm).

1152 (B) Quantification of barrier permeability to 3 kDa fluorescent dextran, upon 24 and 48 h  
1153 of treatment with TNF- $\alpha$ ; n=3-4 independent chips. Data are expressed as mean ± S.E.M,  
1154 NS: Not Significant, \*\*P<0.01, control compared to TNF- $\alpha$  treated group; statistical  
1155 analysis by two-way ANOVA followed by Tukey's multiple comparisons test.

1156 (C) Assessment of the permeability of the Brain-Chip on culture day 7, in the absence or  
1157 presence of microglia, astrocytes or neurons; n=4-8 independent chips; data are  
1158 expressed as mean ± S.E.M., \*\*\*\*P<0.0001, NS: Not Significant compared to full model  
1159 (Brain-Chip), statistical analysis by one-way ANOVA with Sidak's multiple comparisons  
1160 test.

1161 (D) Quantification of barrier apparent permeability to 3 kDa fluorescent dextran, upon 48  
1162 h of TNF- $\alpha$ -treated Brain-Chips including minocycline, or not (control); n=3-5 independent  
1163 chips. Data are expressed as mean ± S.E.M, \*\*\*\*P<0.0001, NS: Not Significant compared  
1164 to TNF- $\alpha$  treated group or minocycline group; statistical analysis by Student's t-test.  
1165

1166 **Figure 6. Brain-Chip response to TNF- $\alpha$  perfused through the vascular channel**

1167 (A to C) Representative immunofluorescent staining for microglia (CD68, red), neurons  
1168 (MAP2, green), astrocytes (GFAP, magenta), nuclei (DAPI, blue), and pericytes (NG2,  
1169 red) in TNF- $\alpha$ -treated of control chips (bar, 100 nm).

1170 (D) Quantification of the CD68-positive events/field of view in n=4 randomly selected  
1171 different areas/chip, n=3 Brain-Chips; data are expressed as mean ± S.E.M.,  
1172 \*\*\*\*P<0.0001 compared to the untreated control group, statistical analysis by Student's t-  
1173 test.

1174 (E) (Left) Quantification of the number of GFAP-positive events/field of view in n=4  
1175 randomly selected different areas/chip, n=3 Brain-Chips; data are expressed as mean ±  
1176 S.E.M., \*\*\*P<0.001 compared to the untreated control group; statistical analysis with  
1177 Student's t-test. (Right) Quantification of GFAP fluorescent intensity in n=3 randomly  
1178 selected different areas/chip, n=3 Brain-Chips, \*\*\*\*P<0.0001 compared to the untreated  
1179 control group, statistical analysis with Student's t-test.

1180 (F) Quantification of fluorescent intensity of NG2 in, n=4, randomly selected different  
1181 areas/chip, n=3 Brain-Chips; data are expressed as mean ± S.E.M., \*\*\*\*P<0.0001  
1182 compared to the untreated control group; statistical analysis with Student's t-test.

1183 (G) The nuclei counts based on DAPI staining were similar between the control and  
1184 treated groups (n=4 Brain-Chips, data are expressed as mean ± S.E.M., NS: Not  
1185 Significant compared to the untreated control group). Statistical analysis with Student's t-  
1186 test.

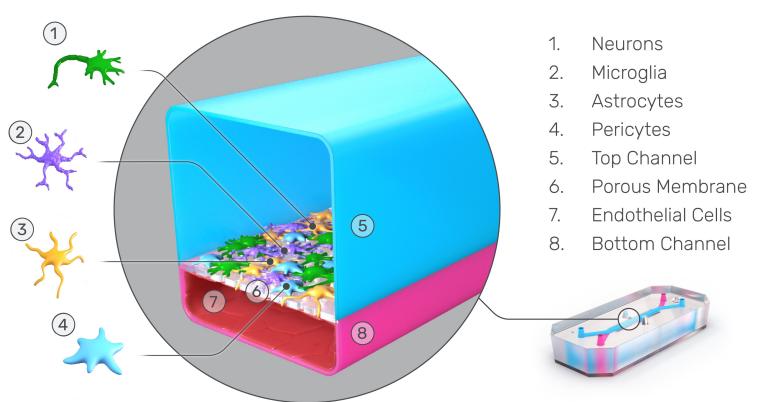
1187 (H to J) Secreted levels of the proinflammatory cytokines IL-6, IL-1 $\beta$  and IFN $\gamma$ , in the  
1188 brain channel of control or TNF- $\alpha$  treated Brain-Chips. n=3-4 independent chips, data are

1189 expressed as mean  $\pm$  S.E.M., \* $P<0.05$ , \*\* $P<0.01$ , \*\*\*\* $P<0.0001$ , statistical analysis with  
1190 Student's t-test.  
1191 (K) Quantification of the number of MAP2 events/field of view in n=4 randomly selected  
1192 different areas/chip, n=3 Brain-Chips, data are expressed as mean  $\pm$  S.E.M., NS: Not  
1193 Significant compared to the untreated control group, statistical analysis with Student's t-  
1194 test.  
1195 (L) Levels of secreted glutamate in the brain channel on culture day 7 (n=6 independent  
1196 chips; data are expressed as mean  $\pm$  S.E.M., NS: Not Significant compared to the  
1197 untreated control group). Statistical analysis with Student's t-test.  
1198 (M) Immunofluorescent staining of cell nuclei (DAPI, blue), Intercellular Adhesion  
1199 Molecule 1 (ICAM-1, red), tight junction protein 1 (ZO-1, green), and a merged image of  
1200 all three markers (bar, 100 nm).  
1201 (N) Quantitative barrier function analysis via apparent permeability to 3kDa fluorescent  
1202 dextran, upon 48h of exposure to TNF- $\alpha$  via the vascular channel. n=3~4 independent  
1203 chips, NS= Not Significant, \* $P<0.05$ , control group compared to TNF- $\alpha$  treated group after  
1204 24h and 48 h of treatment; data are expressed as mean  $\pm$  S.E.M, statistical analysis by  
1205 two-way ANOVA with Tukey's multiple comparisons test.  
1206

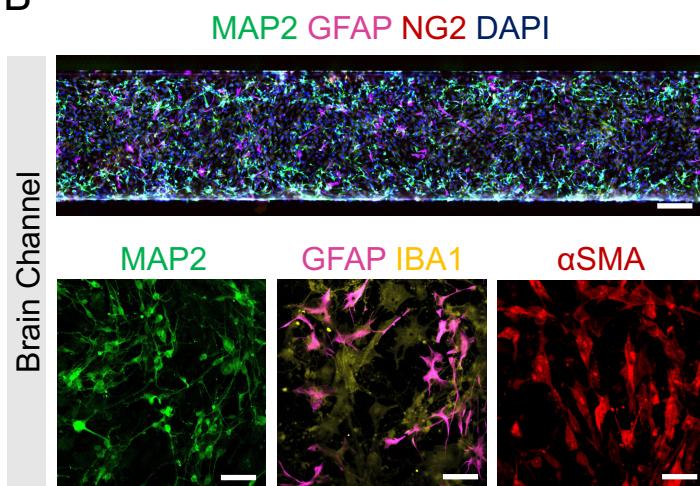
1207 **Figure 7. Impact of inflammation on barrier transport systems**

1208 (A, B) The transport of TNF- $\alpha$  from the brain to vascular or vice versa was shown by  
1209 assessment of the levels of TNF- $\alpha$  secreted in the vascular or brain channel of control  
1210 (basal levels) or TNF- $\alpha$  dosed chips either through the brain (brain to vascular) or the  
1211 vascular channel (vascular to brain), n=6 independent chips, data are expressed as mean  
1212  $\pm$  S.E.M., \* $P<0.05$ , \*\* $P<0.01$ , \*\*\*\* $P<0.0001$ , statistical analysis by two-way ANOVA with  
1213 Tukey's multiple comparisons test.  
1214 (C) Representative immunofluorescence images of GLUT-1 transporter (red) expression  
1215 and DAPI (blue), in the endothelial cells of the vascular channel of the Brain Chip upon  
1216 challenge with TNF- $\alpha$  through the brain or the vascular channels. Vehicle-treated chips  
1217 serve as control (bar, 100 nm).  
1218 (D) Quantification of the GLUT-1-fluorescence intensity /field of view in 4 randomly  
1219 selected different areas/chip, n=4 Brain-Chips; data are expressed as mean  $\pm$  S.E.M.,  
1220 \*\*\*\* $P<0.0001$  compared to the untreated control group, statistical analysis by two-way  
1221 ANOVA with Tukey's multiple comparisons test.  
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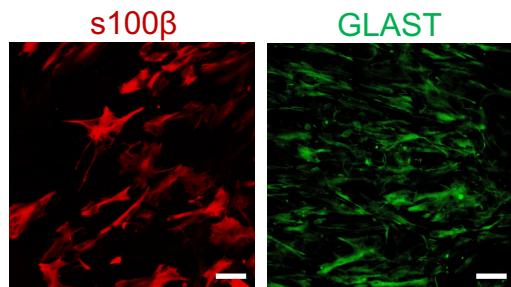
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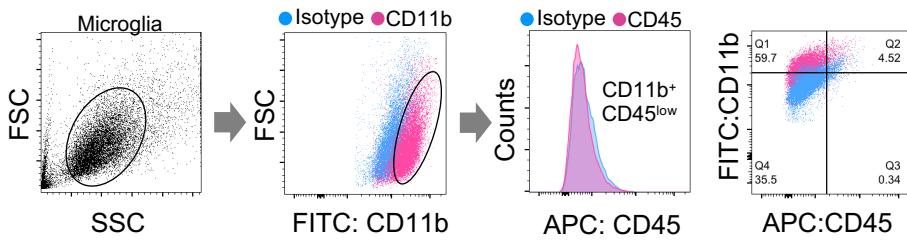
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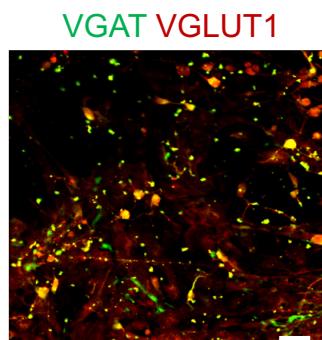
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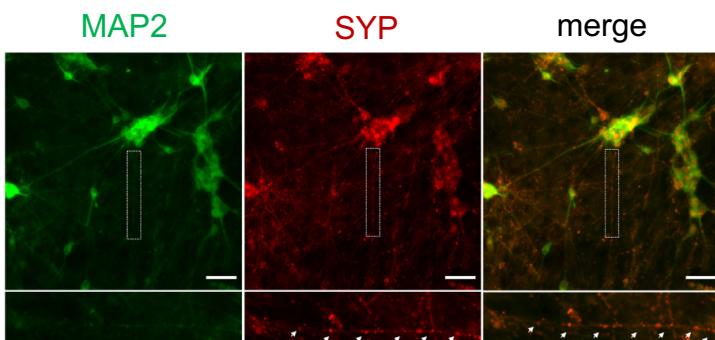
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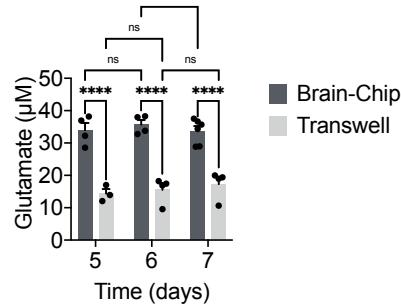
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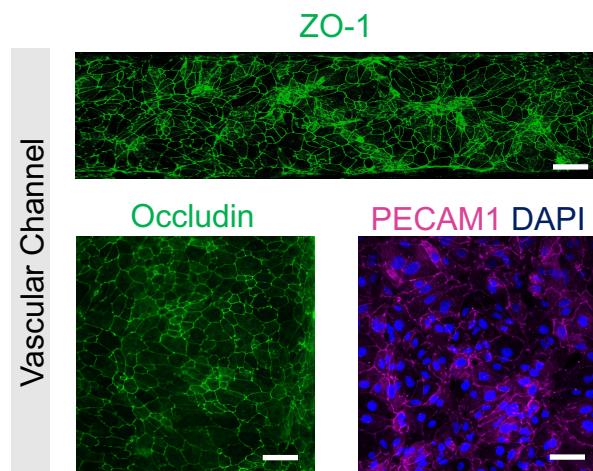
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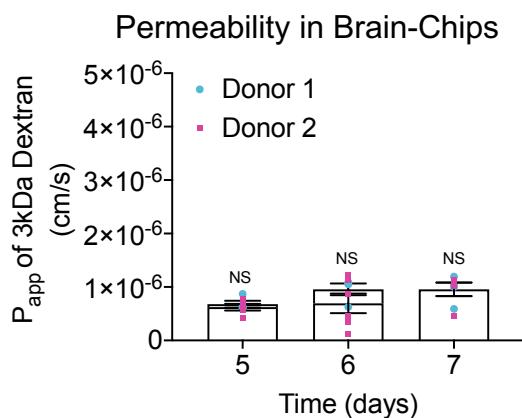
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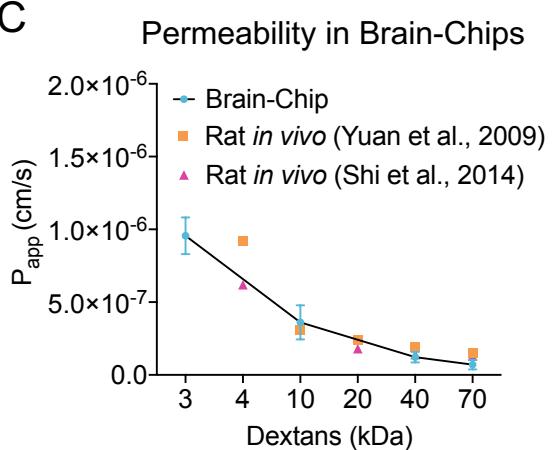
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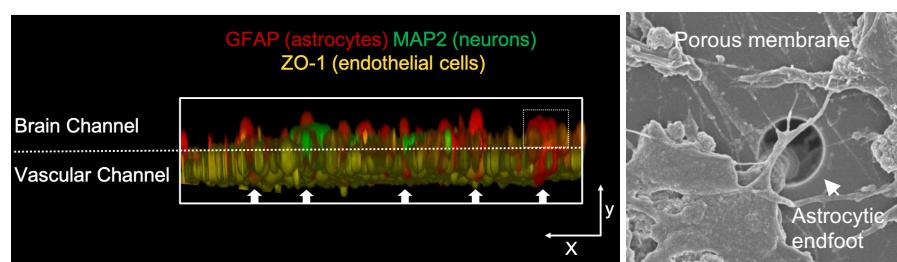
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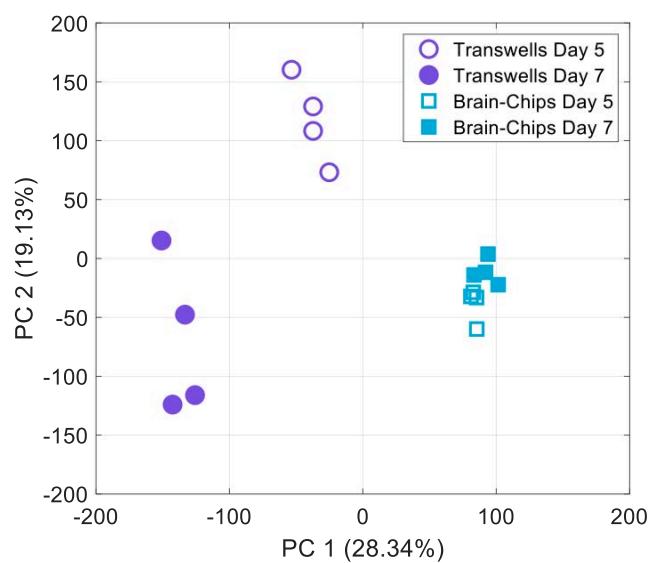


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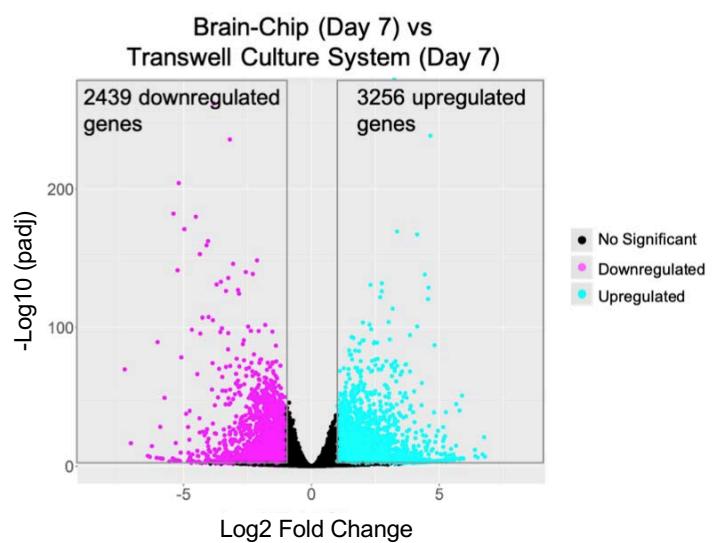


## Figure 3

A

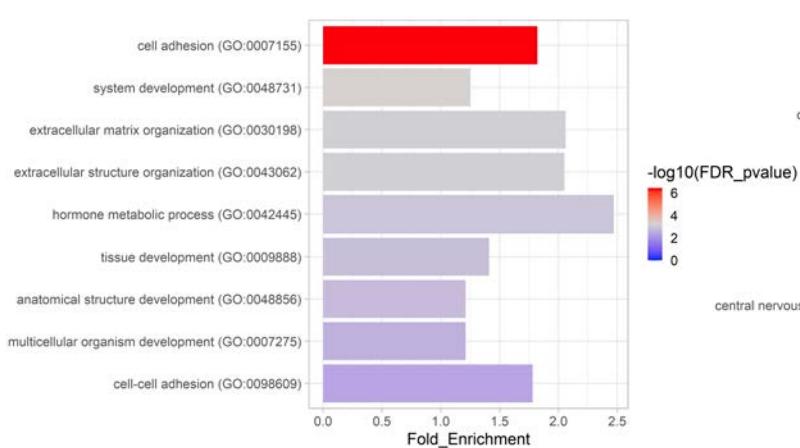


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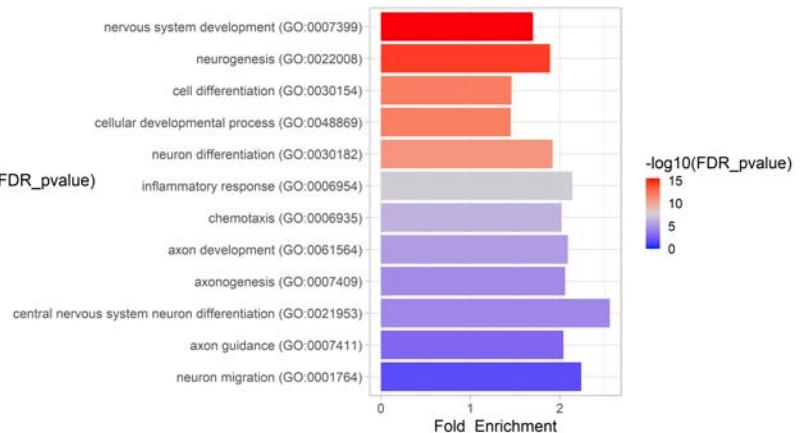
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### GO Term Enrichment Biological Processes Upregulated Genes in Brain-Chips



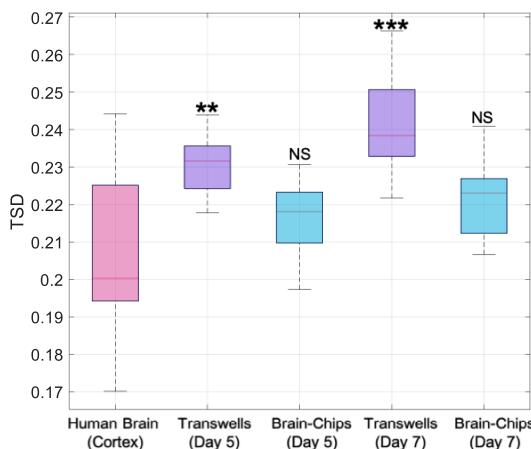
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### GO Term Enrichment Biological Processes Upregulated Genes in Transwells

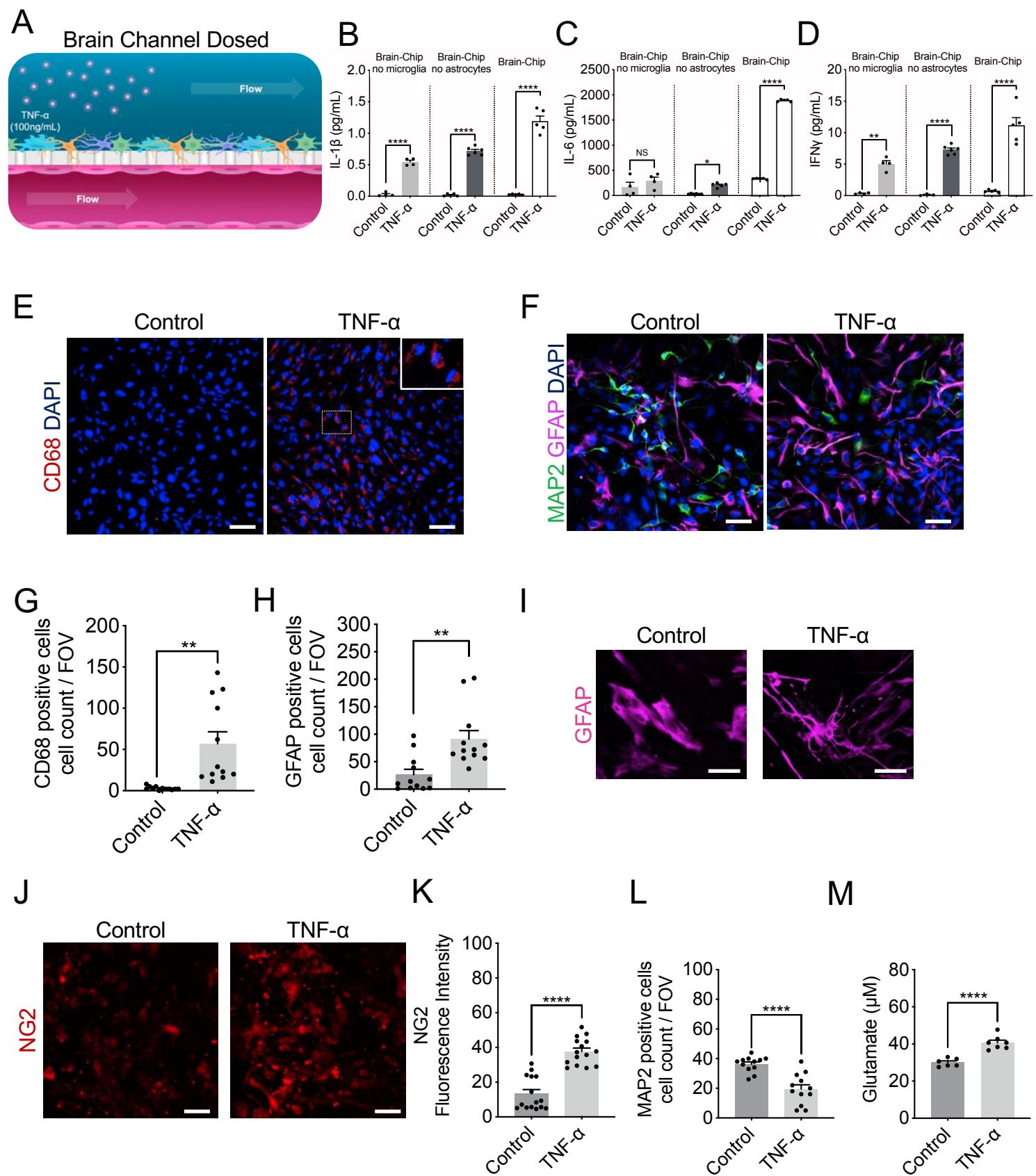


E

### Distances from Human Cortex (GTEx)

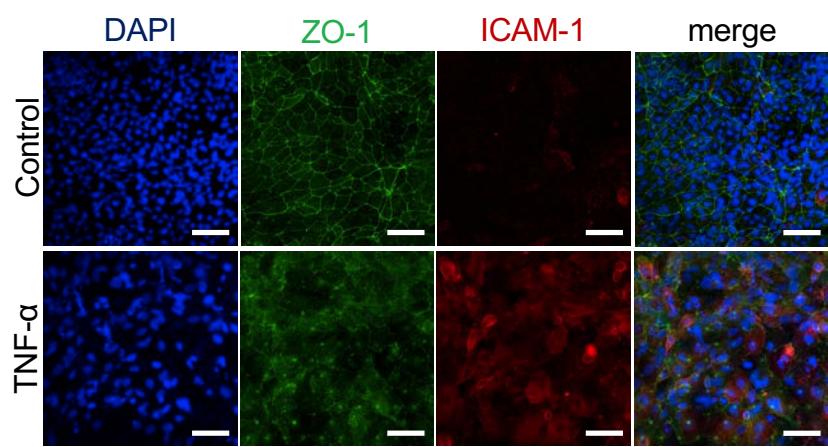


## Figure 4



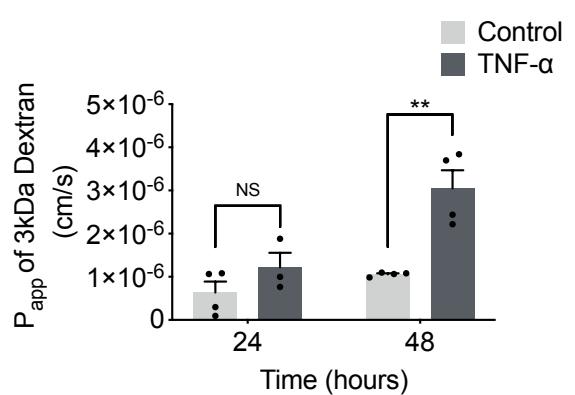
## Figure 5

A

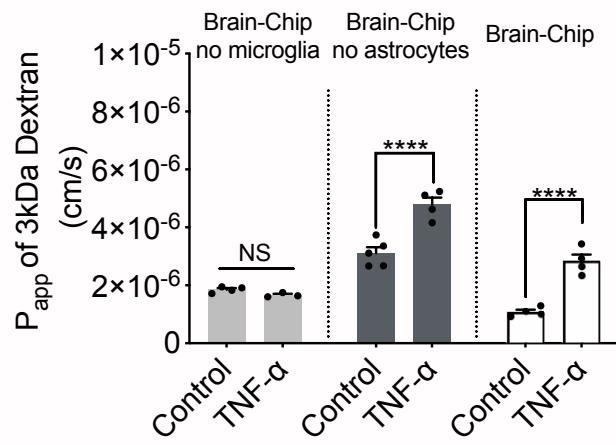


B

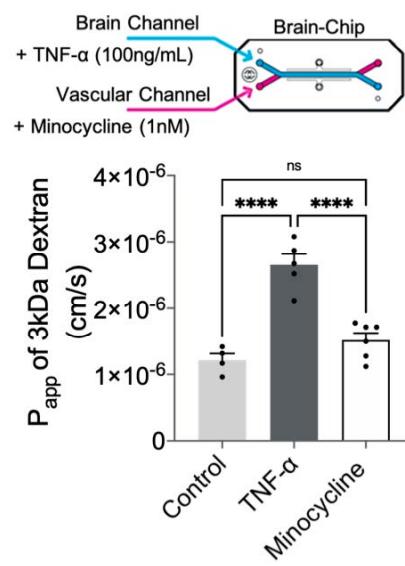
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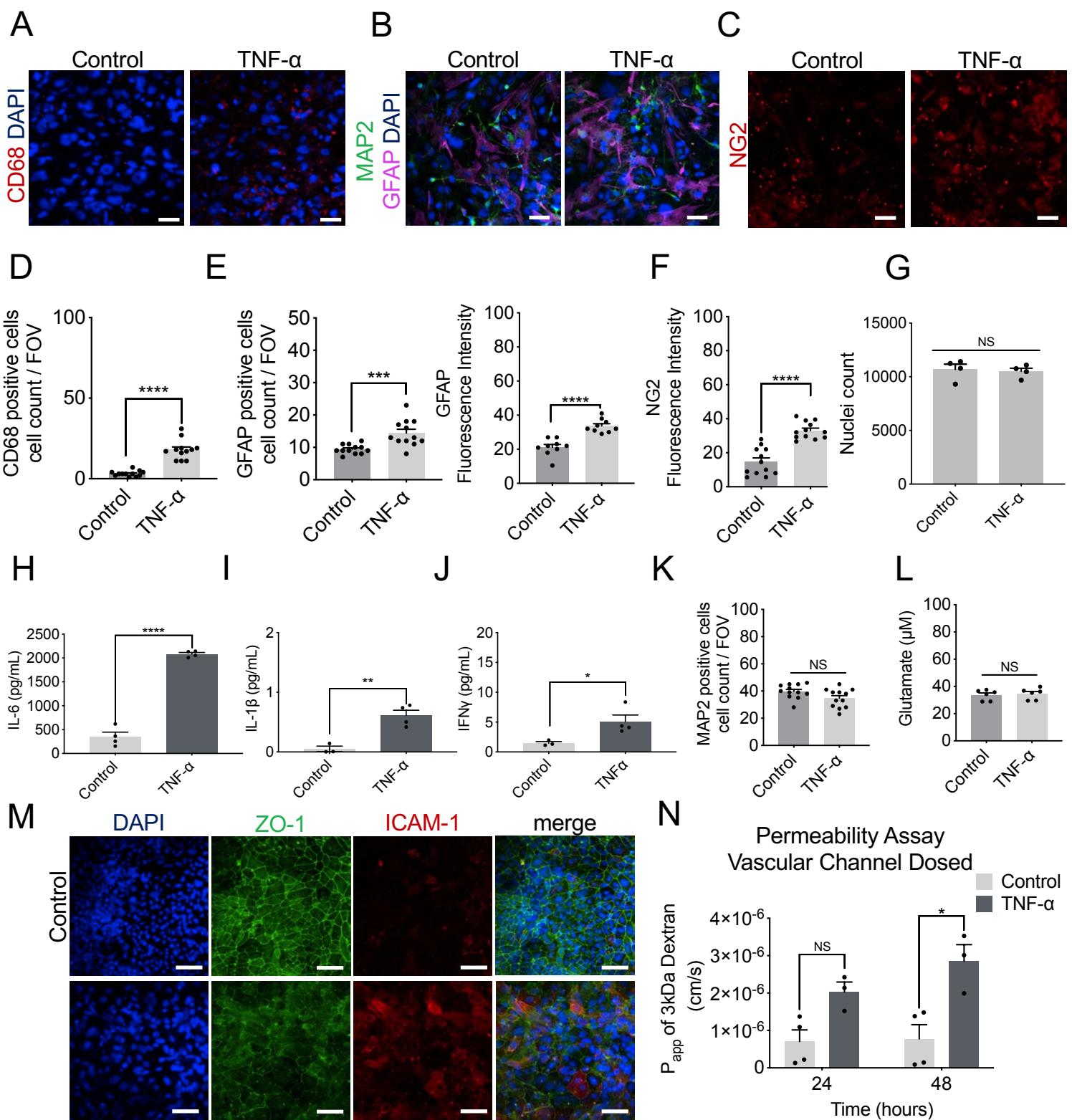
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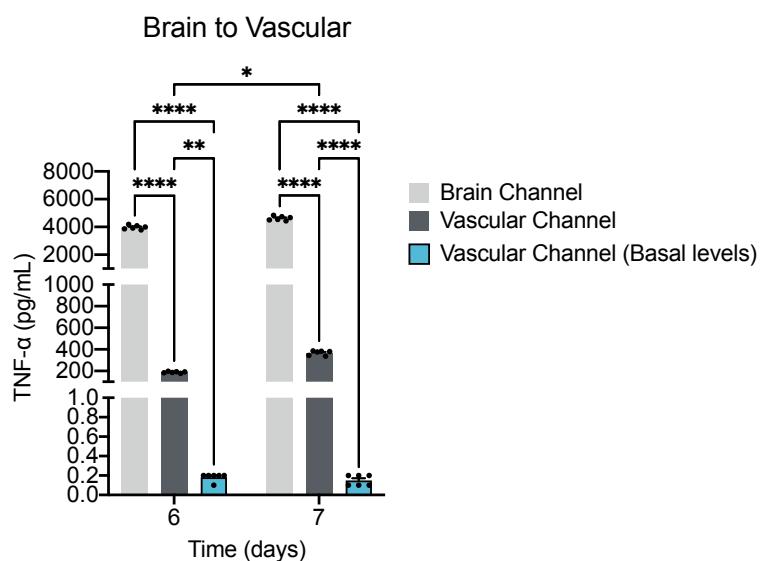


## Figure 6

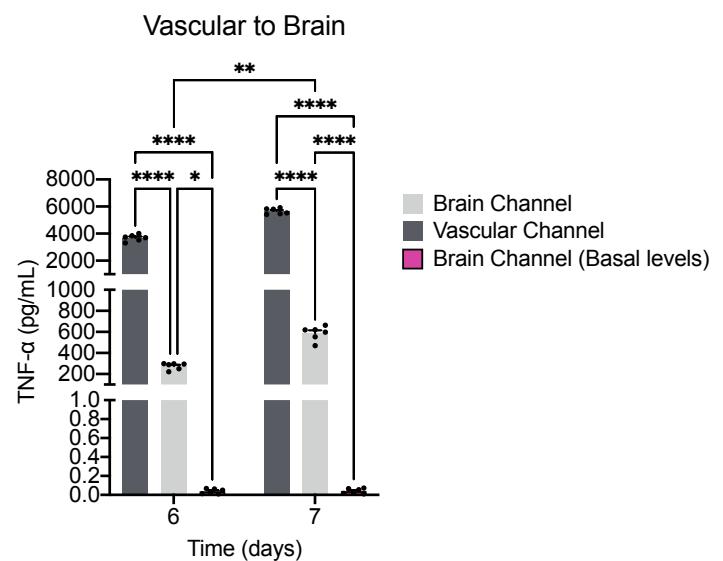


# Figure 7

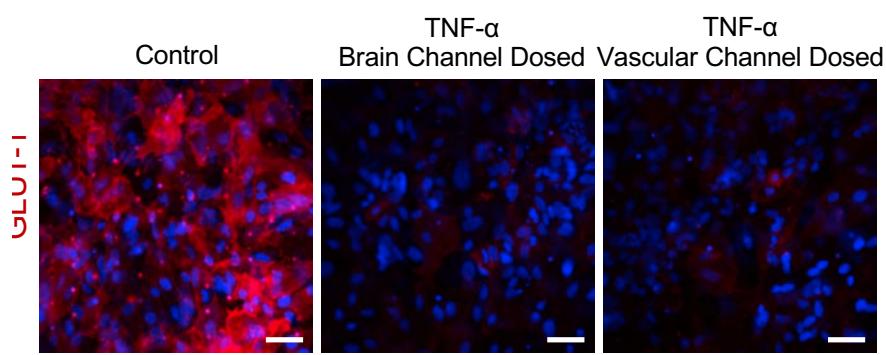
A



B



C



D

