

1      DeepVelo: Deep Learning extends RNA velocity  
2      to multi-lineage systems with cell-specific  
3      kinetics

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16     **1   Abstract**

17     The introduction of RNA velocity in single-cell studies has opened new ways  
18     of examining cell differentiation and tissue development. Existing RNA veloc-  
19     ity estimation methods rely on strong assumptions of predefined dynamics and

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20 cell-agnostic constant transcriptional kinetic rates, which are often violated in  
21 complex and heterogeneous single-cell RNA sequencing (scRNA-seq) data. To  
22 overcome these limitations, we propose DeepVelo, a novel method that esti-  
23 mates the **cell-specific** dynamics of splicing kinetics using Graph Convolution  
24 Networks (GCNs). DeepVelo generalizes RNA velocity to cell populations con-  
25 taining time-dependent kinetics and multiple lineages, which are common in  
26 developmental and pathological systems. We applied DeepVelo to disentangle  
27 multifaceted kinetics in the processes of dentate gyrus neurogenesis, pancreatic  
28 endocrinogenesis, and hindbrain development. The method infers time-varying  
29 cellular rates of transcription, splicing and degradation, recovers each cell's stage  
30 in the underlying differentiation process, and detects functionally relevant driver  
31 genes regulating these processes. DeepVelo relaxes the constraints of previous  
32 techniques, facilitates the study of more complex differentiation and lineage de-  
33 cision events in heterogeneous scRNA-seq data, and is more computationally  
34 efficient than previous techniques.

35 **2 Main**

36 The concept of RNA velocity refers to the time derivative of the mRNA abun-  
37 dance in a cell, which reflects the changing rate of RNA processing and degra-  
38 dation. Current velocity estimation methods leverage the observation that the  
39 abundance and ratio between unspliced pre-messenger RNAs and spliced ma-  
40 ture messenger RNAs can be used to infer changes in gene expression dynamics.  
41 Higher abundance and ratio of unspliced mRNAs to spliced mRNAs indicates in-  
42 creasing transcription of a certain gene - in other words, up-regulation/induction  
43 and a high velocity estimate. Conversely, a lower abundance and indicated ratio  
44 lead to a low velocity estimate associated with down-regulation/repression. An  
45 equilibrium phase occurs when this dynamical process reaches a stable steady-  
46 state. Since unspliced mRNAs can be distinguished in common single-cell RNA  
47 sequencing (scRNA-seq) protocols [16], the idea of estimating dynamic RNA  
48 velocity using only static sequencing libraries becomes feasible.

49 The original RNA velocity approach [16] utilized the assumption that the  
50 observed transcriptional phases in scRNA-seq last long enough to reach both  
51 an apex of induction and a quiescent steady-state equilibrium. This technique  
52 infers a per-gene *steady-state ratio* using linear regression, and then RNA veloc-

53        ties are calculated as the deviation of the observed ratio from the steady-state  
54        level. This workflow implies two underlying assumptions, (1)**the assumption**  
55        **of steady-state**: For every gene, sufficient number of sequenced cells are at  
56        the steady states; (2)**the assumption of cell-agnostic kinetic rates**: The  
57        degradation and splicing rate for each gene is shared across all cells. These as-  
58        sumptions are often violated in complex biological systems and bring about lim-  
59        itations in downstream applications, particularly when cell states are partially  
60        observed or undergo transcription dynamics more complex than the steady-  
61        state pattern. Although a later approach, scVelo [4], attempted to generalize  
62        the *steady-state* assumption by replacing these states with *four transcriptional*  
63        *states* and modeling them with a dynamical model, the aforementioned second  
64        limitation still remains. Further, scVelo assumes a cyclic trajectory within the  
65        four transcriptional states for all observed genes, but this assumption also rarely  
66        holds in real-world single-cell datasets with complex differentiation trajectories  
67        and multifactorial kinetics [9]. Although several related works have been fur-  
68        ther developed, including MultiVelo [20], Chromatin Velocity [26], protaccel [8]  
69        for extending Velocity beyond RNA, VeloAE [24] for denoising velocity with  
70        Deep Neural Nets, Dynamo [25] for exploiting the metabolic labeling sequenc-  
71        ing data, the core velocity computation follows the original ideas and therefore  
72        the aforementioned limitations still hold.

73        Overall, existing techniques assume each gene follows a pre-defined trajec-  
74        tory depicted by constant cell-agnostic kinetic rates. This workflow implies that  
75        each gene goes through the same velocity trajectory across all celltypes, and  
76        limits the application in complex cell systems. To resolve these limitations, we  
77        highlight the need for *cell-specific kinetics* which enables the modeling of multi-  
78        lineage systems with heterogeneous cell populations. We propose DeepVelo,  
79        a deep neural network based method for RNA velocity estimation. (1) Deep-  
80        Velo is optimized using a newly introduced probabilistic learning framework,  
81        resulting in an approach that is unbiased from pre-defined kinetic patterns. (2)  
82        Empowered by Graph Convolutional Networks (GCN), DeepVelo infers **gene-**  
83        **specific and cell-specific** RNA splicing and degradation rates. Therefore,  
84        compared with the cell-agnostic parameters used in existing techniques [16, 4],  
85        DeepVelo is able to model RNA velocity for differentiation dynamics of high  
86        complexity, particularly for cell populations with heterogeneous celltypes and  
87        multiple lineages.

88        We demonstrate the efficacy of DeepVelo on multiple developmental scRNA-

seq datasets, including dentate gyrus neurogenesis [11], pancreatic endocrinogenesis [2], and hindbrain development [30]. DeepVelo yields more consistent velocity estimates and accurately identifies transcriptional states than existing models. We examine the estimated kinetic rates for individual genes and show that the cell-specific rates accurately recover known differentiation trajectories in challenging scenarios of time-dependent and multi-trajectory gene regulation dynamics. For downstream tasks, DeepVelo can identify putative driver genes of these transcriptional changes, which are more likely to characterize and be involved in dictating lineage fate-decisions. The DeepVelo method is available at (<https://github.com/bowang-lab/DeepVelo>).

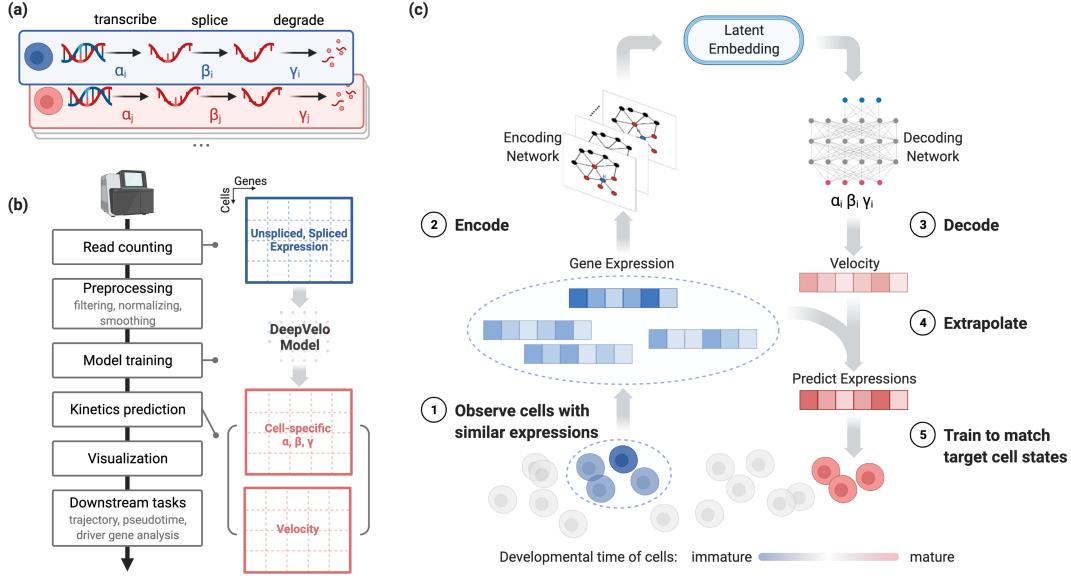
### 3 Results

#### 3.1 The DeepVelo model

Modeling the transcriptional dynamics in single cells provides the theoretical basis of RNA velocity. For each cell, the dynamics of transcription, splicing, and degradation (Fig.1a) can be approximated as the following differential processes

$$\begin{aligned} \frac{du(t)}{dt} &= \alpha_{i,g}(t) - \beta_{i,g}(t) u(t), \\ \frac{ds(t)}{dt} &= \beta_{i,g}(t) u(t) - \gamma_{i,g}(t) s(t). \end{aligned} \quad (1)$$

where  $\alpha_{i,g}, \beta_{i,g}, \gamma_{i,g}$  are the kinetic rates for cell  $i$  and gene  $g$ .  $t$  denotes a time coordinate in cell development. Unspliced immature mRNA is first generated by transcription of DNA and then post-transcriptionally modified and spliced into mature RNA. The dynamics of unspliced RNA abundance,  $\frac{du(t)}{dt}$ , is modeled by the first equation where  $\alpha_{i,g}$  and  $\beta_{i,g}$  denote the rates of transcription and splicing, respectively. Similarly, the second equation models the dynamics of spliced RNA abundance,  $\frac{ds(t)}{dt}$ , and  $\gamma_{i,g}$  denotes the rate for RNA degradation. Note these the kinetic rates are intrinsically cell-specific since there is a high degree of variability in transcriptional dynamics between cells [12]. Further, these intrinsic cell-specific transcriptional dynamics are likely to be similar among similar celltypes [19], necessitating celltype-specific parameters. **However, previous velocity estimation techniques [16, 4] assume global constant kinetic rates across cells, leading to limitations in inferring multi-lineage dynamics.**



**Figure 1: Overview of the DeepVelo pipeline and velocity prediction method.** (a) DeepVelo estimates cell-specific transcription ( $\alpha_i$ ), RNA splicing ( $\beta_i$ ) and RNA degradation rates ( $\gamma_i$ ). (b) Overview of the velocity analysis pipeline using DeepVelo. After read counting of unspliced and spliced mRNA, preprocessing is done to ensure the stability of model training (Online methods), followed by training and prediction of cell-specific kinetic parameters. These are used to estimate the RNA velocity and perform downstream analyses, such as visualization of velocity fields and pseudotime inference. (c) Overview of the DeepVelo neural network model. Query cells (dark blue) and similar cells (light blue) within a k-nearest neighborhood are input into the model. The Graph Convolutional Network (GCN) encoder module encodes their spliced/unspliced gene expression into latent space representations. The decoder module then predicts the kinetic rates for RNA velocity and extrapolates gene expression to future cell states. The model is optimized to match the extrapolation to observed cell states at later developmental stages. After training and optimization, these rates can be used to determine the RNA velocity vector for each cell through cell-specific rates of transcription, splicing and degradation.

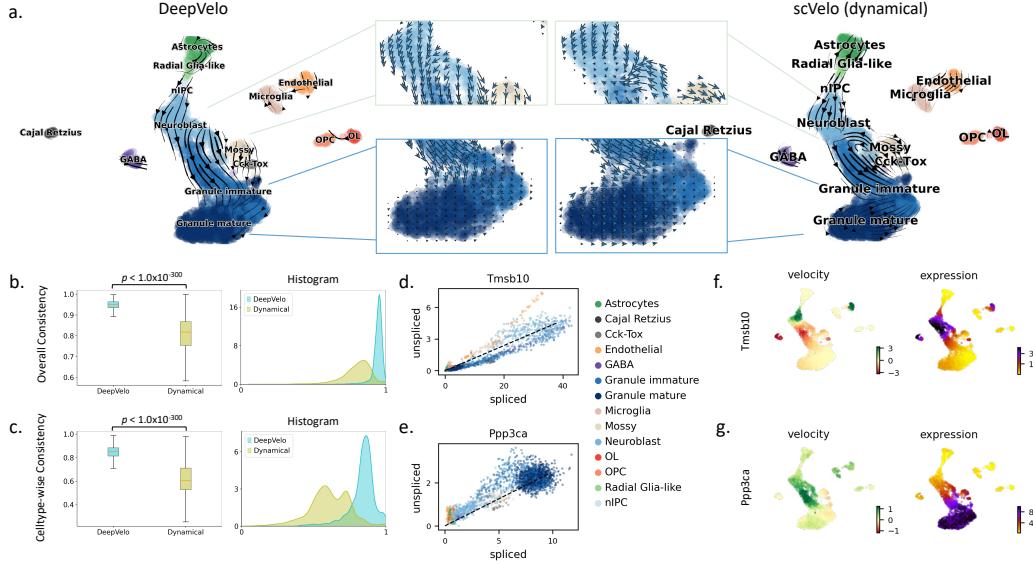
119 DeepVelo models the kinetic rates per cell and per gene (Fig.1a), providing  
120 sufficient expressive power for more faithful velocity estimates for individual  
121 cells. Given the unspliced gene counts  $u(t)$  and spliced gene counts  $s(t)$  for  
122 individual cells, DeepVelo estimates the derivatives of  $s(t)$  by modeling cell  
123 and gene-specific coefficients  $\alpha_{i,g}, \beta_{i,g}, \gamma_{i,g}$  using a deep neural network model  
124 (Fig.1b,c). Specifically, we predict a cell's velocity vector and extrapolate the  
125 cell state to match the future states extracted from the sequencing data (Fig.1c).  
126 For each cell  $i$  in the population, we extract a group of neighbor cells  $\mathcal{N}_i$  that  
127 have similar expression profiles. We take the profiles of cell  $i$  and neighbor set  $\mathcal{N}_i$   
128 as the input to DeepVelo model. The model consists of stacked layers of GCNs  
129 and outputs the coefficients  $\alpha_{i,g}, \beta_{i,g}$ , and  $\gamma_{i,g}$  in the final layer. Using these  
130 coefficients, DeepVelo computes the velocity  $v_{i,g} = \frac{ds(t)}{dt}$  for each cell accordingly  
131 as in Eq.1.

132 To train the DeepVelo model, i.e. to update the parameters for accurate  
133 velocity prediction, we first extrapolate the cell state by adding the velocity  
134 derivative  $\frac{ds(t)}{dt}$  onto the original profile  $s(t)$ . Then, DeepVelo computes the  
135 difference between the extrapolated state  $s(t+1)$  and the real profiles of a group  
136 of downstream cells (The red cells in Fig.1c). The DeepVelo model parameters  
137 are optimized to minimize this difference between the predicted future state  
138 and the actually observed ones (Online methods - 5.3). After sufficient training  
139 iterations, the model is finalized to provide accurate velocity estimates that take  
140 into account the transcriptional dynamics unique to individual cells.

141 We tested DeepVelo on a number of developmental datasets to determine  
142 RNA velocity, estimate cell-specific RNA kinetics, infer developmental pseu-  
143 dotime, and prioritize genes for their potential role in differentiation through  
144 driver gene estimation.

### 145 3.2 Recovering complex transcriptional dynamics for in- 146 dividual cells using DeepVelo

147 To test the ability to identify complex kinetics, we applied DeepVelo on a neuro-  
148 genesis scRNA-seq dataset of the developing mouse dentate gyrus [11]. The data  
149 consists of tissue samples from two experimental timepoints, P12 and P35 (post-  
150 natal day 12 and 35), collected by a droplet-based single-cell RNA sequencing  
151 protocol (10x Genomics Chromium Single-Cell Kit V1). After pre-processing



**Figure 2: Fine-grained temporal patterns in neurogenesis predicted by DeepVelo.** (a) Comparison of DeepVelo with the dynamical model from scVelo [4]. The direction and magnitude of velocities are projected as arrows onto the Uniform Manifold Approximation and Projection (UMAP) plot of gene expression values across cells. DeepVelo provides more consistent velocity estimates with respect to the developmental process from immature granule cells to mature granule cells. (b) The boxplot and histogram of the overall consistency scores for scVelo and DeepVelo, which indicate the consistency of velocity estimates in a local neighborhood of the data. (c) The box plot and histogram of the cluster/celltype-specific consistency scores, which utilize the neighborhood consistency metric on a per cluster/celltype basis. (d)(e) The spliced/unspliced phase portrait for *Tmsb10* and *Ppp3ca*, respectively. Celltypes are shown in the same color as in panel (b). (f)(g) Velocity and gene expression values projected onto UMAP plots for *Tmsb10* and *Ppp3ca*, respectively. Velocity and gene expression values show consistent patterns across celltypes: high velocity values (green in velocity plot) are correctly shown in the subset of cells developing to high gene expression values (purple in expression plot)

152 (Online Methods - 5.1), we calculated the RNA velocities using the proposed  
153 DeepVelo model and the dynamical model from scVelo [4]. The velocity plots  
154 are made by projecting the velocity vectors onto the UMAP [21]-based em-  
155 bedding of the data. In the velocity estimates (Fig.2a), the granule cell lineage  
156 dominates the main structure, where the neuroblast cells develop into immature  
157 and mature granule cells. The directions of these velocity estimates between  
158 celltypes reflect the actual development orders [11].

159 When examining the main lineage toward the terminal celltype of granule  
160 cells, although all models capture the principle direction, DeepVelo can show a  
161 more consistent flow from the neurogenic intermediate progenitor cells (nIPC)  
162 to neuroblasts, and finally to granule cells. DeepVelo particularly indicates that  
163 immature granule cells differentiate into mature granule cells in a manner more  
164 faithful to the true trajectory compared with the dynamical model (Fig.2a -  
165 zoom-in panel).

166 The estimated velocities by DeepVelo show higher consistency in quantita-  
167 tive analysis. The consistency score is computed as follows - we first compute  
168 the average cosine similarity of the velocity vector of each cell to its neighbors,  
169 which is defined as the overall consistency. A similar neighbor-wise consistency  
170 was also proposed in scVelo [4]. However, the overall consistency could be bi-  
171 ased toward over-smoothed estimations, which do not account for branching  
172 lineages. Therefore, we propose the cluster/celltype-wise consistency as a com-  
173 plement to the overall score, which computes the average cosine similarity of  
174 each cell's velocity to all velocity vectors of the same celltype (Online Methods  
175 - 5.4). For both metrics, DeepVelo shows significant improvements over the  
176 scVelo dynamical method with significantly higher average consistency scores  
177 (Mann-Whitney U Test  $p < 1.0 \times 10^{-300}$ , Fig.2b,c).

178 Examined at the individual gene level, DeepVelo shows biologically mean-  
179 ingful velocity patterns. For example, *Tmsb10* is one of the major regulators to  
180 the inferred dynamics of granule lineage, and it plays an important role in the  
181 development of hippocampal CA1 region [1]. In Fig.2f, velocities derived from  
182 the DeepVelo are consistent across velocities of neighboring cells. The region  
183 of cells showing high velocities of *Tmsb10* aligns well with the region of high  
184 *Tmsb10* expression. The same alignment is also observed in the example of  
185 another regulatory gene, *Ppp3ca* (Fig.2g). In further analysis (Fig.3a), we also  
186 observed that DeepVelo clearly disentangles the velocity vectors between the  
187 granule (blue) and endothelial lineages (orange), whereas, in the steady-state

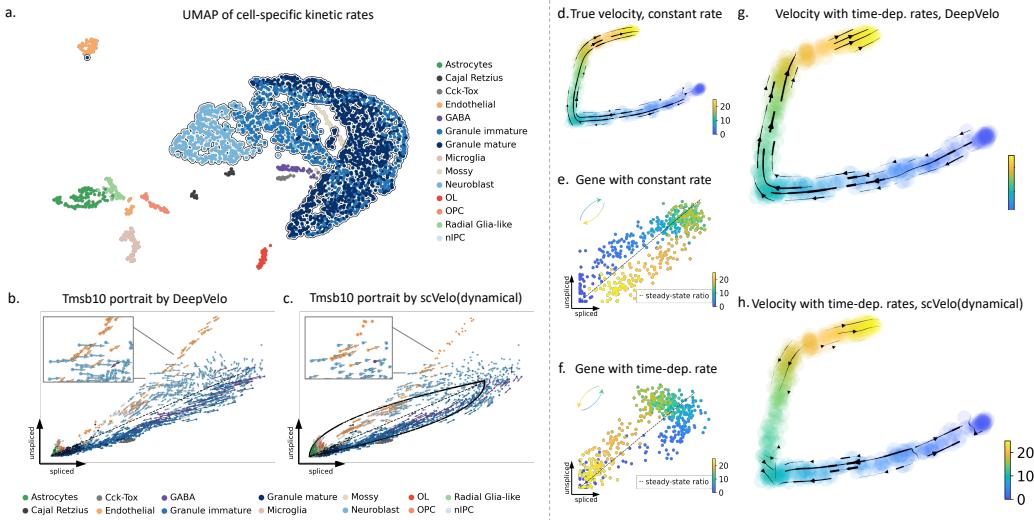
188 and dynamical models, both lineages have intertwined velocities. We discuss  
189 this advantage of celltype-specific prediction in Section 3.3.

190 **3.3 DeepVelo’s cell-specific kinetic rates enable accurate**  
191 **quantification of time-dependent and multifaceted**  
192 **gene dynamics**

193 Due to the cell-specific estimation of ( $\alpha_{i,g}, \beta_{i,g}, \gamma_{i,g}$  in Eq.1), DeepVelo for the  
194 first time provides a profile of individual kinetic rates for each cell. This enables  
195 new approaches for cell-specific trajectory analysis, visualization, and charac-  
196 terization. We show the UMAP projection of all cell-specific kinetic rates of  
197 2930 cells (Fig.3a). Although DeepVelo is **unaware of the celltypes during**  
198 **training**, the learned kinetic rates are naturally clustered into groups aligned  
199 with celltypes. Further, clusters of cells from the same lineage (e.g. the out-  
200 lined granule lineage) are positioned closely compared to other cells. Overall,  
201 the similarity of learned kinetic rates reflects the biological similarity of cells at  
202 both the celltype and lineage levels. This indicates that DeepVelo can estimate  
203 kinetics that reflects the dynamics of individual cells as opposed to the entire  
204 dataset.

205 Velocity-associated kinetic rates across cells may vary for genes undergoing  
206 dynamic regulation involving multiple processes. For example Battich, Stoeger,  
207 and Pelkmans [3] observed varying kinetic rates in the differentiation of in-  
208 testinal stem cells. These varying kinetics are often misinterpreted in existing  
209 velocity methods [5]. This stems from the fact that the kinetic rates in previous  
210 methods are modeled as constant cell-agnostic coefficients in first-order equa-  
211 tions (Eq.2), which lack the ability to model multifaceted dynamical variation.  
212 In contrast, DeepVelo estimates transcriptional dynamics for different celltypes  
213 and cell states by introducing cell-specific kinetic rates, leading to better veloc-  
214 ity estimation in time-dependent and complex multi-lineage systems. Here, we  
215 show this improvement using two challenging scenarios:

216 **(1) Estimating velocity for genes that are separately regulated in**  
217 **two lineages.** We used the previously analyzed dentate gyrus cell population  
218 and determined genes with multifaceted kinetics [11]. *Tmsb10* shows multiple  
219 kinetic regimes and undergoes multiple trajectories. We plot the spliced and



**Figure 3: Velocity estimation for branching and time-dependent kinetic rates.** (a) The UMAP projection of the estimated kinetic rates of 2930 cells in the dentate gyrus developmental data. Cells of the same celltypes are clustered together by kinetic rates. Further, cells from the same lineage (e.g. the outlined Granule lineage) are positioned closely. In general, the similarity of learned kinetic rates reflects the biological similarity of cells, although the DeepVelo model is unaware of celltype labels. (b) Projection of estimated velocity (arrows) onto the spliced/unspliced phase portrait of *Tmsb10* by DeepVelo. The endothelial cells undergo a separate trajectory on the phase portrait, aside from the main trajectory containing neuroblast cells, granule immature and granule mature cells. DeepVelo successfully captures both trajectories. In the zoomed view, cells within the same region comprising of different celltypes are correctly predicted to have distinct velocity directions. (c) Phase portrait of *Tmsb10* with RNA velocity predicted by the scVelo dynamical model. Only the main trajectory of granule lineage is captured, but the endothelial cells are predicted with incorrect directions. (d-h) A simulation of time-dependent degradation rates. The cell color indicates its pseudotime in simulation. (d) Reference velocity with constant kinetic rates. (e)(f) Constant and time-dependent degradation rates as shown on phase portraits. The gene with the time-dependent rate (f) undergoes a reversed trajectory. (g)(h) Estimated velocities by DeepVelo and scVelo, respectively, for the simulated 500 cells with time-dependent degradation rates. DeepVelo correctly recovers the directions from regions of earlier time to later ones.

220 unsPLICED reads across all cells in this dataset, in other words, the phase portrait of *Tmsb10* (Fig.2d). The cells in the granule lineage (including neuroblast, 221 granule immature and granule mature celltypes) form a cyclic trajectory. Mean- 222 while, the endothelial cells are not a part of the granule lineage and undergo a 223 separate trajectory. These two regimes are likely regulated by different kinetic 224 rates. 225

226 DeepVelo correctly predicted the RNA velocity patterns for both regimes 227 (Fig.3b). For the granule lineage, DeepVelo captures the direction of velocity 228 from neuroblast cells to granule immature cells and then to granule mature 229 cells. For the endothelial cells, the predicted velocity direction correctly points 230 to the position of the same celltype with amplified spliced reads. We also found 231 that DeepVelo learns to assign similar velocity directions for cells of the same 232 type. In contrast to DeepVelo, scVelo forces the RNA velocity to follow the 233 cyclic trajectory assumed by the model (Fig.3c). As a result, although scVelo 234 successfully captures the trajectory for the granule lineage, it incorrectly points 235 the velocity estimates of endothelial cells to the position of neuroblasts (Fig.3c 236 - Zoom-in panel).

237 Additionally, DeepVelo is capable of predicting distinct velocity directions 238 for cells within the same region (Fig.3b). The cells in the zoomed view, includ- 239 ing both the endothelial and neuroblast cells, employ similar RNA dynamics 240 (through the levels of spliced and unspliced reads) of *Tmsb10*. However, the 241 distinct directions for each celltype are correctly predicted by DeepVelo. This 242 is due to the ability of DeepVelo to estimate distinct sets of kinetic rates across 243 celltypes, as shown in Fig.3a. In contrast, scVelo uses constant kinetic rates 244 per gene and predicts a uniform direction for the same region of cells. Overall, 245 a cell-specific model such as DeepVelo broadens the application of RNA veloc- 246 ity for genes with multifaceted kinetics, such as *Tmsb10* in the dentate gyrus 247 developmental data.

248 **(2) Estimating velocity for genes with time-dependent kinetic rates.** 249 We simulated a population of 500 cells and 30 genes using the simulator pro- 250 vided by the scVelo package [4]. We first determined the reference velocity 251 in the setting of constant kinetic rates across cells (Fig.3d,e). From here, the 252 degradation rate, *gamma*, of 3 out of 30 genes was set to increase over time. As 253 a result, the genes underwent a reversed trajectory as shown in the respective 254 phase portrait (Fig.3f). This simulation procedure of reversed dynamics was 255 originally proposed in Bergen et al. [5], and it sets up a challenging scenario for

256 the estimation of RNA velocity. The resulting velocity plots of DeepVelo and  
257 the dynamical model of scVelo are shown in Fig.3g,h, and scVelo struggles to  
258 predict velocities from early to later timepoints while DeepVelo is able to re-  
259 cover the correct velocity directions from regions of earlier to later pseudotime.  
260 This advantage is because DeepVelo learns to find potential future cell states  
261 by integrating across all genes (Online Methods - 5.3), thus it is more robust to  
262 the time-reversed directions of a portion of genes in the dataset.

263 **3.4 Tracking the ordering of cellular development using**  
264 **DeepVelo and diffusion pseudotime**

265 The RNA velocity estimated by DeepVelo can also be used to improve the pre-  
266 diction of pseudotime for cell states across a developmental trajectory. We first  
267 compute the velocity connectivity graph to represent cell-cell relationships and  
268 use this graph as the basis to compute a diffusion pseudotime [10] mapping (On-  
269 line Methods - 5.5). We compare the pseudotime estimates (Fig.4a) using Deep-  
270 Velo with the latent time (Fig.4c) estimates by the dynamical model from scVelo  
271 on a scRNA-seq dataset of pancreatic endocrinogenesis with ground-truth tem-  
272 poral measurements. For the velocity plots, DeepVelo successfully demonstrates  
273 the main structure of EP cells developing into terminal celltypes - alpha, beta  
274 and delta (Fig.4b) with more consistent velocities (Fig.4e). For pseudotime  
275 comparison, both methods provide accurate predictions. Notably, DeepVelo  
276 more faithfully preserves the time ordering between the terminal states of Al-  
277 pha and Beta cells (Fig.4a), where the Alpha cells are developed earlier at E12.5  
278 and the Beta cells appear later at E15.5 (Fig.4f,g).

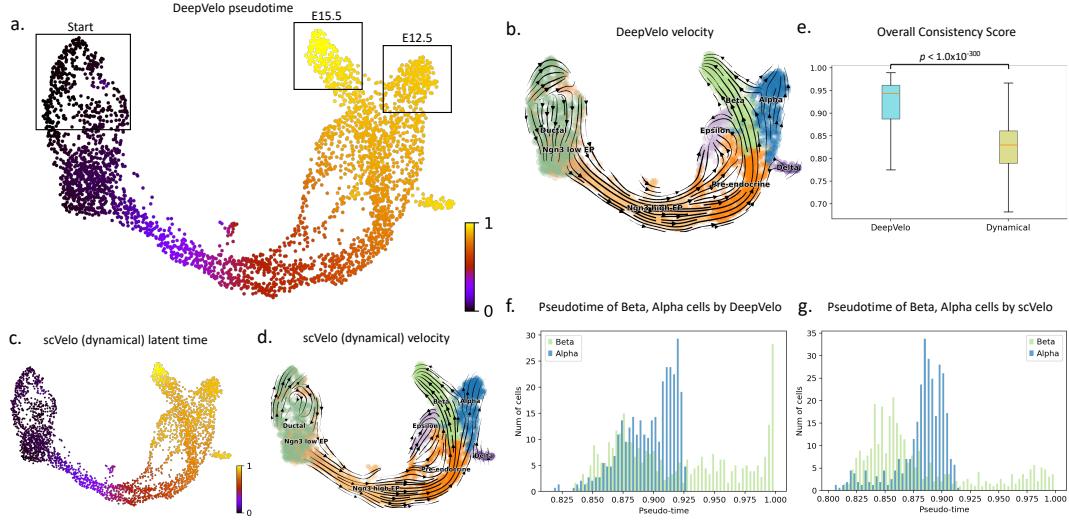
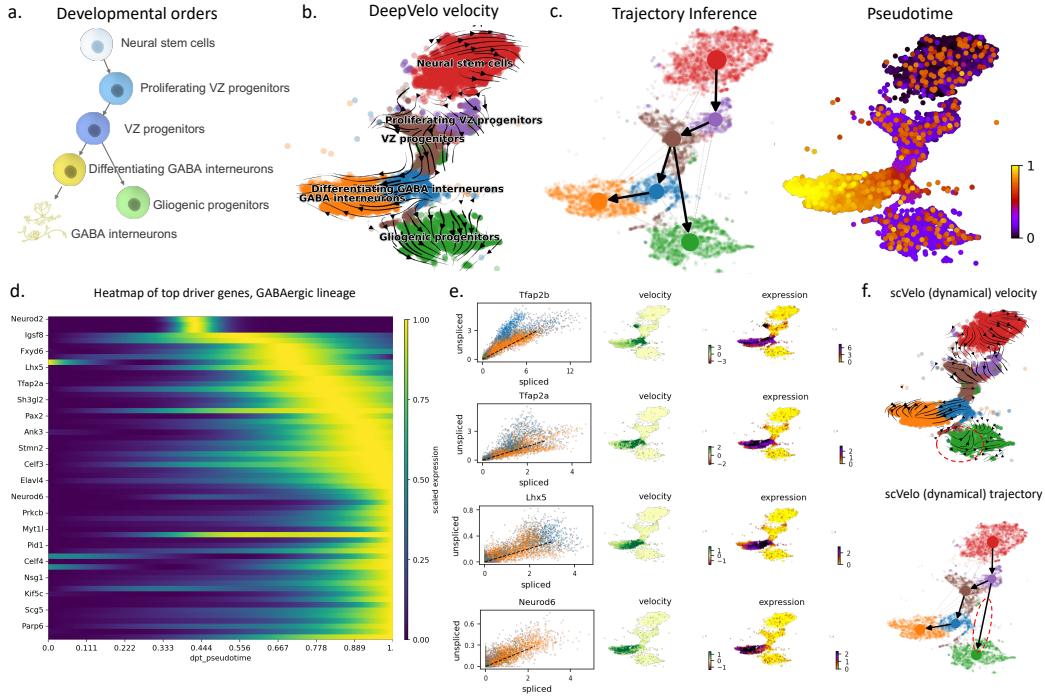


Figure 4: **Velocity and pseudotime plots for pancreatic endocrinogenesis [2].** (a) The pseudotime prediction from DeepVelo accurately assigns alpha and beta cells to accurate developmental timepoints. Particularly, the progenitor cell cluster is correctly located at the upper left quadrant of the UMAP projection. The difference between the terminal alpha and beta cells is well captured, where alpha cells were developed earlier at E12.5 and beta cells appeared later until E15.5. (b) Velocity values derived from DeepVelo are projected onto the UMAP-based embedding and visualized. DeepVelo successfully captures the main structure of EP cells developing into the terminal celltypes of alpha, beta and delta cells. (c),(d) For comparison, the latent time and velocity computed by the dynamical model from scVelo. (e) Distribution of the overall RNA velocity consistency scores for DeepVelo and scVelo. (f,g) The histogram of pseudotime predictions for beta and alpha cells, by DeepVelo and the scVelo dynamical model, respectively. Beta cells are expected to have a larger percentage of cells with higher pseudotime values, which is true of the DeepVelo predicted values.

279        **3.5 DeepVelo infers functionally relevant lineage-specific  
280        genes and processes in hindbrain development**

281        To test velocity methods in a complex setting with multiple lineages, we ap-  
282        plied methods to a mouse hindbrain development dataset [30] (Fig.5a). Specif-  
283        ically, we filtered the data corresponding to the junction and differentiation  
284        between the GABAergic and gliogenic lineages (Online Methods - 5.1). In a  
285        multi-faceted system such as this, which is typical of developmental scRNA-  
286        seq datasets, considering cell-agnostic kinetic rates is haphazard because of  
287        the different RNA velocity dynamics among lineages. DeepVelo's ability to  
288        learn cell-specific kinetic rates alleviates this assumption and accounts for the  
289        multi-faceted differentiation of the GABAergic and gliogenic lineages and their  
290        respective celltypes. The result of DeepVelo (Fig.5b) shows the RNA velocity  
291        over the developmental process from Neural stem cells to the differentiating  
292        GABA interneurons and gliogenic progenitors. We performed trajectory in-  
293        ference using directional PAGA [32] over the velocity graph of DeepVelo. We  
294        found that DeepVelo was able to recapitulate ground-truth differentiation pat-  
295        terns - specifically the branching between VZ progenitors and differentiation  
296        GABA interneurons and gliogenic progenitors (Fig.5c). The cluster of neural  
297        stem cells is well recognized as the origin celltype with outward velocity arrows  
298        and a low pseudotime index, while the GABA interneurons are confirmed as a  
299        terminal celltype with incoming velocity arrows and a high pseudotime index.  
300        In comparison, the scVelo dynamical model predicts partially inverse velocity  
301        directions for the gliogenic progenitors, leading to incorrect relations in the  
302        inferred trajectory (Fig.5f, highlighted regions).

303        Using the velocity vector for each cell, we built a connectivity graph (Online  
304        Methods - 5.5) of the scRNA-seq data. CellRank [18] is a recent visualization  
305        and analysis toolbox for scRNA-seq data that utilizes the connectivity graph  
306        to predict cell's fate mapping, which corresponds to the probability of the cell  
307        differentiating to a terminal state in the lineage(s). After determining cell fate,  
308        gene importance for differentiation can be calculated based on the correlation  
309        of gene expression with transition and differentiation probabilities towards all  
310        terminal states. The genes that display dynamical behavior across a lineage are  
311        termed putative "driver genes", as these are the genes most likely to be involved  
312        in regulating the differentiation process itself. CellRank has been reported to  
313        work well with other velocity methods, such as scVelo, to infer lineage-specific  
314        drivers. We incorporated this toolbox with the predicted velocity connectivity

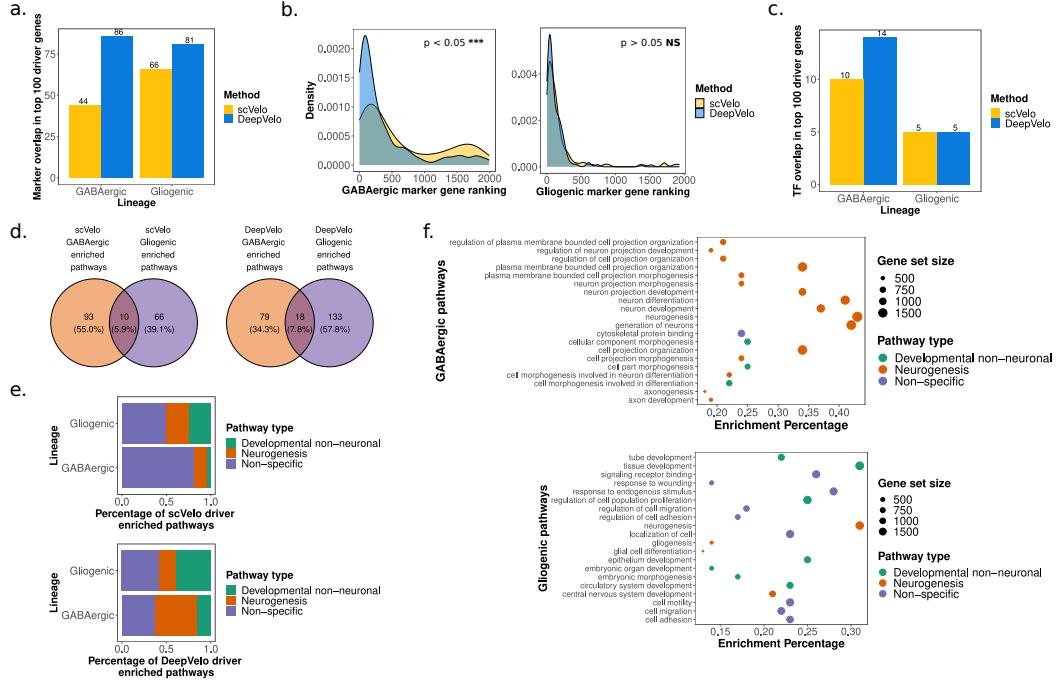


**Figure 5: Velocity, trajectory, and driver gene estimation of developing mouse hindbrain cells.** (a) The putative developmental order for six cell types in early mouse hindbrain development. (b) The velocity projected onto the t-distributed stochastic neighbor embedding (tsne) plot of gene expression. DeepVelo’s RNA velocity reveals the temporal order in the developing mouse hindbrain, including cells from early progenitors, GABAergic, and gliogenic lineages. (c) Velocity-based PAGA trajectory inference using DeepVelo’s velocity estimates. The predicted trajectory correctly reflects the developmental relations shown in (a). (d) The top 60 driver genes with highest correlation to the GABAergic lineage computed using DeepVelo’s velocity estimation. The horizontal coordinates represent the pseudotime estimates. (e) Gene phase portrait, velocity, and gene expression plots of selected driver genes. Known functional genes in the GABAergic lineage - *Tfap2b*, *Tfap2a*, *Lhx5*, and *Neurod6* - are computed among the top driver genes. (f) The velocity plot and trajectory inference using the scVelo dynamical model.

315 graph from DeepVelo and determined driver genes in the variable gene subset  
316 of the data for both the GABAergic and gliogenic lineages.

317 Within the top 100 driver genes across both lineages of interest, we ob-  
318 served groups of genes showing particular abundance in specific celltypes in a  
319 temporal manner (Fig.5d). For example, *Tfap2a*, *Tfap2b*, and *Lhx5*, which are  
320 two known differentiation genes involved in the specification of GABAergic in-  
321 terneurons during hindbrain development, are listed in the top 100 driver genes  
322 from DeepVelo for the GABAergic lineage (Fig.5e) [34, 23]. Similar results  
323 were found for the gliogenic lineage from DeepVelo, with detection of known  
324 glial cell differentiation regulators in *Hes1* and *Sox9* (Supplementary Table 1)  
325 [33, 31]. DeepVelo also picked up hits that were novel and not detected by  
326 scVelo, such as *Neurod6* in the GABAergic developmental lineage (Fig.5e). Al-  
327 though the role of *Neurod6* in the differentiating GABAergic interneurons and  
328 their development is unclear, previous literature has indicated the gene's in-  
329 volvement in regulating the specification of inhibitory GABAergic interneuron  
330 subpopulations in the hindbrain and spinal cord [27]. This indicates a testable  
331 link and hypothesis for the differentiation of these cells in the junction within  
332 the GABAergic and gliogenic lineages, highlighting the ability of DeepVelo to  
333 guide searches of functional genes in scRNA-seq data and potential drivers of  
334 the differentiation process.

335 To compare the results of driver analysis when employing CellRank with  
336 different velocity outputs, we determined driver genes for the gliogenic and  
337 GABAergic lineages using both scVelo and DeepVelo. As the complete set of  
338 genes driving differentiation in the complex hindbrain developmental system is  
339 unknown, we sought to infer the relevance of inferred driver genes in two ways:  
340 1) By considering their overlap with predicted marker genes from the original  
341 analysis, as these genes are characteristic of celltype identity and should be  
342 correlated with lineage specification, and 2) By considering their overlap with  
343 transcription factors (TFs), as TFs are the main elements responsible for dif-  
344 ferentiation and establishing transcriptional and cellular identity. We analyzed  
345 and compared the top 100 driver genes for both the GABAergic and gliogenic  
346 lineages predicted by the scVelo and DeepVelo methods (Supplementary Table  
347 1). DeepVelo predicted driver genes that overlapped with more of the original  
348 markers from Vladoiu et al. [30], for both the GABAergic and gliogenic lineages  
349 (Fig.6a) (Supplementary Table 2). Further, to determine the signal across all  
350 driver genes, not limiting to the top 100, we determined the rankings of known



**Figure 6: Functional enrichment of DeepVelo predicted driver genes.**

(a) Overlap of the top 100 driver genes from scVelo and DeepVelo for GABAergic and gliogenic lineages with annotated lineage marker genes. (b) Ranking density of marker-overlapping driver genes (across all 2000 tested genes) for scVelo and DeepVelo, separated by the GABAergic and gliogenic lineages, respectively. (c) Overlap of top 100 driver genes from DeepVelo and scVelo for both lineages with annotated transcription factors. (d) Pathway enrichment analysis results for the top 100 scVelo and DeepVelo driver genes, respectively, in the GABAergic and gliogenic lineages. (e) Functional signal in the enriched pathways for scVelo and DeepVelo, based on the presence of pathways involved directly in neurogenesis ("Neurogenesis"), not specific to neurogenesis but involved in development ("Developmental non-neuronal"), and not specific to either development or neurogenesis ("Non-specific"). (f) The top 20 DeepVelo pathway enrichment analysis results, based on FDR corrected  $p$ -values, for the GABAergic and gliogenic lineages, respectively.

351 marker genes in the GABAergic and gliogenic lineages across all tested driver  
352 genes. These rankings were determined based on the correlation scores, which  
353 indicate the relative importance of driver genes to a specific lineage. In this case,  
354 DeepVelo had higher rankings compared to scVelo for known GABAergic marker  
355 genes in the driver analysis (Mann-Whitney U Test  $p = 1.376 \times 10^{-07}$ ), while  
356 the ranking differences in the gliogenic lineage were non-significant (Fig.6b).  
357 When examining the transcription factor overlap in the top 100 driver genes,  
358 DeepVelo had more hits than scVelo for the GABAergic lineage, and an equal  
359 number of hits for the gliogenic lineage (Fig.6c).

360 For further examination of the results of driver analysis, we took the top  
361 100 driver genes for the GABAergic and gliogenic lineages from DeepVelo and  
362 sought to determine their functional signal as gene-sets through pathway en-  
363 richment analysis. Overall, 97 and 151 pathways were found to be significantly  
364 enriched for the GABAergic and gliogenic lineages, respectively, for DeepVelo  
365 (Fig.6d) (Supplementary Table 3). These pathways were analyzed for the pres-  
366 ence of neurogenesis and developmental results, for which we did see a functional  
367 enrichment in both lineages (Fig.6e). More specifically, the top 20 pathways  
368 for each lineage, ranked in terms of FDR-corrected  $p$  values, revealed enrich-  
369 ment of pathways relevant to neuronal differentiation processes (Fig.6f). In the  
370 GABAergic lineage, enriched pathways included: *regulation of neuron projec-*  
371 *tion development*, *neuron differentiation*, and *neurogenesis* (Fig.6f). The results  
372 from the gliogenic lineage had an even more relevant terms, namely *gliogene-*  
373 *sis* and *glial cell differentiation* (Fig.6f). When comparing these results with  
374 pathway analysis performed on the scVelo top 100 driver genes, we observed a  
375 much lower percentage of functional enrichment for neurogenesis and develop-  
376 mental pathways compared to DeepVelo for the GABAergic lineage (Fisher's  
377 Exact Test  $p = 1.407 \times 10^{-09}$ ) (Fig.6e), while the difference between the glio-  
378 genic results was non-significant. These functional pathway enrichment results  
379 highlight the relevance of the driver genes predicted by the DeepVelo method  
380 and increased functional relevance compared to those predicted by scVelo.

381 **3.6 DeepVelo is computationally robust and efficient across**  
382 **multiple scRNA-seq datasets**

383 To examine the robustness of the DeepVelo RNA velocity estimates across set-  
384 tings, we tested DeepVelo on five different scRNA-seq datasets. Apart from the

385 previously analyzed datasets, DeepVelo also recovers accurate RNA velocity  
386 vectors and developmental relations on a large-scale dentate gyrus data from  
387 La Manno et al. [16] (Supplementary Fig.S3). On all tested datasets, DeepVelo  
388 achieves higher average scores and lower variance in terms of the overall consis-  
389 tency compared to the scVelo dynamical model and the scVelo stochastic model  
390 (Supplementary Table 4).

391 We further visualized the influence of key hyperparameters - including the  
392 GCN layer size, gradient descent learning rate, and number of training epochs  
393 - on the dentate gyrus neurogenesis data (Supplementary Fig.S4). DeepVelo  
394 is robust to changes in these hyperparameters and consistently estimates the  
395 biologically accurate RNA velocity directions, especially for the main granule  
396 lineage.

397 Lastly, we compared the computational runtime of DeepVelo with other  
398 velocity estimation methods. Using the same CPU (central processing unit)  
399 device, DeepVelo (cpu) achieved a 4 fold speedup with respect to the scVelo  
400 dynamical model. Using a more powerful GPU (graphical processing unit) for  
401 the deep learning backbone, DeepVelo (cpu+gpu) can be further accelerated  
402 10-20 times across datasets. For example, DeepVelo completed the training  
403 and estimation for the 13501 cells of developmental hindbrain data in just 36  
404 seconds (Supplementary Fig.S5).

## 405 4 Discussion

406 DeepVelo offers a novel velocity estimation framework that goes beyond assump-  
407 tions of constant RNA splicing and degradation rates, and instead estimates  
408 these rates at a cell-specific level. By analyzing the performance of DeepVelo  
409 and existing velocity estimation techniques, we have demonstrated that Deep-  
410 Velo's cell-specific estimation through a novel deep learning method allows for  
411 the detection and specification of multiple lineages in calculating RNA veloc-  
412 ity. Realistic single-cell RNA sequencing settings will likely have more than one  
413 lineage/trajectory in a given sample, and thus it is imperative to develop meth-  
414 ods that can account for these multifaceted dynamical systems. DeepVelo's  
415 ability to model these multifaceted dynamics was demonstrated through anal-  
416 ysis of complex differentiation systems, such as the development of the dentate

417 gyrus, pancreatic endocrinogenesis, and the hindbrain development. Lastly, we  
418 demonstrated that DeepVelo can be utilized to identify functionally relevant  
419 genes that are enriched along the differentiation trajectory. We envision that  
420 DeepVelo will be more readily applicable to these realistic developmental set-  
421 tings as compared to previous techniques.

422 DeepVelo internally predicts the first-order derivative of expression per gene  
423 based on the transcriptome-wide reads of all selected genes. The ability to  
424 learn the interaction/regulation between genes could be further explored, for  
425 example, by replacing the GCN model with recent transformer networks [29]  
426 which could explicitly model the interaction of internal gene representations.  
427 This could allow for more interpretable velocity and driver-gene estimates, by  
428 considering correlations of kinetics and expression patterns between genes and  
429 cells. Recent work shows promising research directions by extending the velocity  
430 of cellular dynamics from RNA to proteins [8], epigenomics [26], and multi-omics  
431 velocities [20]. DeepVelo could be naturally updated and well fitted into these  
432 settings by enriching input and output space with additional -omics information.  
433 Ultimately, the estimation of cell-specific kinetics across multiple steps in the  
434 central dogma may increase the signal-to-noise ratio [5] and further accurately  
435 capture information related to cellular development.

436 A major limitation of driver analysis through RNA velocity estimation is  
437 potentially spurious driver genes being picked up due to the correlation of gene  
438 expression during differentiation. Although key regulators will display dynam-  
439 ical expression behavior during lineage specification, the same is likely to be  
440 true of their downstream targets and other "passenger" genes, resulting in high  
441 likelihoods towards being a driver/regulator. This is likely the reason why a sig-  
442 nificant transcription factor enrichment was not observed in the top 100 driver  
443 genes in the hindbrain developmental data for either scVelo or DeepVelo. We en-  
444 vision a more comprehensive driver analysis technique would take into account  
445 multi-lineage probabilities (preventing negative correlation between top drivers  
446 of two lineages) and would factor in correlations between driver analysis results,  
447 thereby removing spurious hits. Apart from the driver gene analysis, building  
448 up a theorem to verify the confidence of velocity estimation is another chal-  
449 lenge. Empirical metrics, such as the consistency of velocity directions among  
450 neighbor cells, have been used in existing techniques [4, 24]. However, there  
451 is a lack of probabilistic tools to test the kinetics estimated by either previous  
452 methods or DeepVelo. We leave this to future works.

453 RNA velocity techniques have allowed for insights into biological differen-  
454 tiation from single-cell RNA sequencing data that go beyond oversimplified  
455 trajectory inference models, and instead infer dynamic processes that indicate  
456 the direction and magnitude of differentiation potential. Although many major  
457 limitations and assumptions for RNA velocity methods still exist, we antici-  
458 pate that continued methodological development in this field will lead to better  
459 tools to study differentiation and development in a single-cell setting. DeepVelo  
460 overcomes limitations of previous techniques in a major aspect with regards to  
461 cell-specific model estimates, and can be used for more robust velocity estima-  
462 tion in multi-lineage systems, yielding better biological insights into real and  
463 complex developmental systems.

464 **5 Online methods**

465 **5.1 Preprocessing the scRNA-seq data for DeepVelo**

466 The dentate gyrus neurogenesis [11] and pancreatic endocrinogenesis [2] data are  
467 available at the National Center for Biotechnology Information's Gene Expres-  
468 sion Omnibus repository. The accession number is GSE95753 and GSE132188.  
469 In this work, we use the zipped data of these two sequencing datasets provided  
470 by the scVelo packageBergen et al. [4](<https://scVelo.org>). The data is in  
471 h5py file format and contains spliced and unspliced gene readout.

472 Mouse hindbrain developmental data from Vladoiu et al. [30] was used to  
473 test velocity techniques for estimation at a lineage junction. As the data was not  
474 available in loom format for velocity analysis, fastq files were reprocessed into  
475 loom files using kallisto reference-free alignment through the loompy pipeline  
476 [6]. This was done individually for each timepoint (E10, E12, E14, E16, E18,  
477 P0, P5, P7, P14) and processed loom files were concatenated. For the purposes  
478 of the analysis, the junction between the GABAergic and gliogenic lineages was  
479 utilized. The following celltypes were subset from timepoints E10, E12, E14,  
480 E16, E18, P0, P5, P7, and P14 - Neural stem cells, Proliferating VZ progenitors,  
481 VZ progenitors, Differentiating GABA interneurons, gliogenic progenitors, and  
482 GABA interneurons. Estimates of spliced and unspliced counts from the kallisto  
483 quantification method were used for testing DeepVelo and scVelo.

484 Processing of unspliced and spliced counts in differing formats was done  
485 via three steps and using the scVelo package. First, the spliced and unspliced  
486 gene matrices were normalized across genes. In more detail, preprocessing in-  
487 cludes expression matrix normalization and nearest-neighbor-based smoothing.  
488 We used the `scv.pp.filter_and_normalize` function from scVelo for these  
489 steps with default parameters. We selected the top 2000 genes with the most  
490 spliced and unspliced gene counts across cells. The principal components are  
491 computed afterward using logarithmized spliced counts, and then we smooth  
492 the expression reads using the average of 30-nearest-neighbors for each cell.

## 493 5.2 Modeling individual transcriptional dynamics

494 The transcriptional dynamics depicts the process from generation to degra-  
495 dation of mRNA molecules. It captures unspliced premature mRNAs  $u(t)$  with  
496 transcription rate  $\alpha$ , splicing into mature mRNAs  $s(t)$  with rate  $\beta$  and the  
497 degradation of spliced mRNA with rate  $\gamma$ . The simplified gene-specific dynam-  
498 ics with constant splicing and degradation rates are

$$\begin{aligned} \frac{du(t)}{dt} &= \alpha(t) - \beta u(t), \\ \frac{ds(t)}{dt} &= \beta u(t) - \gamma s(t). \end{aligned} \tag{2}$$

499 This equation is used in existing velocity estimation methods, and it omits the  
500 difference in kinetic rates  $(\alpha, \beta, \gamma)$  across celltypes. Instead, we propose a new  
501 deep learning method to capture individual cell kinetics.

502 First, we build a graph convolutional network model to predict cell-specific  
503 kinetic rates. In this work, we employ a nearest neighbor graph based on the  
504 gene expression of all sequenced cells  $G = (\mathcal{V}, \mathcal{E})$ . The vertex  $\mathbf{v}_i \in \mathcal{V}$  in the graph  
505 denotes the expression reads of a cell  $i$ , which include its spliced and unspliced  
506 gene expression  $\mathbf{v}_i = [s_i, u_i]$ . A cell  $i$  is connected to cell  $j$  (i.e.  $\mathcal{E}_{ij} = 1$ ) if  
507 cell  $j$  is the one of top 30 nearest neighbors based on the Euclidean distance  
508 of the gene expression. We input this neighbor graph to DeepVelo. We chose  
509 the graph representation because it considers the vicinity of local cells' gene  
510 expression. This has more expressive power than the expression of individual  
511 cells because of the sparse and noisy nature of sequenced reads. Taking the  
512 neighborhood expression into account smooths the velocity estimation.

513 Graph convolutional network (GCN) is a type of deep neural networks that

514 learns node embeddings based on message passing along the graph edges [15].  
 515 Given a graph with nodes  $\mathcal{V}$  and adjacency matrix  $A$ , a multi-layer neural  
 516 network is constructed on the graph with the following layer-wise propagation  
 517 rule:

$$H^{(l+1)} = \sigma(\tilde{D}^{-\frac{1}{2}} \tilde{A} \tilde{D}^{-\frac{1}{2}} H^{(l)} W^{(l)}), \quad (3)$$

518 where  $H^{(l)}$  denotes the node feature vectors at the  $l$ -th layer,  $\tilde{A} = A + I_N$  is the  
 519 adjacency matrix with self-connections,  $\tilde{D}$  is the diagonal degree matrix such  
 520 that  $\tilde{D}_{ii} = \sum_j \tilde{A}_{ij}$ ,  $W^{(l)}$  is the layer-specific trainable parameter matrix, and  $\sigma$   
 521 is the RELU activation function.

522 In this work, the input feature  $H^{(0)} \in \mathbb{R}^{N \times 2D}$  to GCN is the cellular gene  
 523 matrix. Each row in  $H$  stands for the aforementioned vertex  $\mathbf{v}_i$ .  $H$  contains  
 524 the population of  $N$  cells, and the dimension  $2D$  equals the number of selected  
 525 spliced and unspliced genes combined,  $D = 2000$  by default. The adjacency  
 526 matrix  $A \in \mathbb{R}^{N \times N}$  depicts the aforementioned nearest neighbor graph, where  
 527 the element at position  $i, j$  has value 1 if the cell  $j$  is one of the nearest neighbors  
 528 of cell  $i$ , otherwise the value is 0. The GCN model consists of stacked graph  
 529 convolution layers, i.e. Eq. 3. The output of the final layer  $H^L$  is processed  
 530 by a fully connected neural network, which then yields the estimated velocity  
 531 parameters  $\alpha \in \mathbb{R}^{N \times D}$ ,  $\beta \in \mathbb{R}^{N \times D}$  and  $\gamma \in \mathbb{R}^{N \times D}$  for all cells and genes.

532 Finally, the estimated velocity  $\tilde{v}_i \in \mathbb{R}^D$  for each cell is computed as

$$\tilde{v}_i = \beta_i u_i - \gamma_i s_i, \quad (4)$$

533 where  $\beta_i$  and  $\gamma_i$  are the  $i$ -th row in  $\beta$  and  $\gamma$ ,  $u_i$  and  $s_i$  are the unspliced and  
 534 spliced reads of cell  $i$ .

DeepVelo also supports estimation of the derivative of unspliced RNA, namely  
 $v_i^{uns}$ , which is an estimation for the  $\frac{du(t)}{dt}$  in Eq.1.

$$\tilde{v}_i^{uns} = \alpha_i - \beta_i s_i.$$

### 535 5.3 Probabilistic learning for RNA velocity

536 In this section, we propose a probabilistic learning framework for RNA velocity  
 537 to optimize the velocity prediction in Eq.4, and then introduce the specific  
 538 training objective following this framework.

539

### 5.3.1 Extrapolate cell states from a probabilistic perspective

540

The RNA velocity is defined as the time derivative of spliced mRNA (Eq. 1). For a specific cell  $i$  out of the sequenced cell population  $\Omega$ , the velocity vector  $v_i$  contains the derivative for all genes, as

$$v_i := \frac{ds_i}{dt} = \left[ \frac{ds_i^{(1)}}{dt}, \frac{ds_i^{(2)}}{dt}, \dots, \frac{ds_i^{(|D|)}}{dt} \right], \quad (5)$$

543  
544

where  $s_i^{(g)}$  denotes the amount of spliced mRNA of one gene.  $s_i$  is the spliced gene expression vector containing  $[s_i^{(1)}, s_i^{(2)}, \dots, s_i^{(|D|)}]$ .

We introduce the multivariate random variable  $G_{i,\tau(i)}$  to represent the (spliced) gene expression that a cell  $i$  could have at its developmental time  $\tau(i)$ , where  $\tau$  is an operator to obtain a cell's current time in its developmental process. Thus, the scRNA-seq results could be viewed as an observation of  $G_{i,\tau(i)}$  taking the value  $s_i$ . For simplicity, let us use  $t = \tau(i)$  as the time of cell  $i$ . Similarly, we define the random vector  $V_{i,t}$  as the possible velocities that cell  $i$  can take at time  $t$ , and  $v_i$  is an observation of  $V_{i,t}$ . The relation between the expression and velocity random vectors is,

$$V_{i,t} = \frac{dG_{i,t}}{dt}.$$

545  
546

We can use the forward difference to approximate the derivative if the time interval is sufficiently small, as

$$V_{i,t} \approx \Delta G_{i,t} = G_{i,t+1} - G_{i,t}. \quad (6)$$

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Notably, it is impossible to directly observe the future stage  $G_{i,t+1}$  for cell expression from scRNA-seq, because the sequencing protocol is destructive, and the cells no longer exist after sequencing. Thus, the estimation of  $G_{i,t+1}$  is required.

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DeepVelo utilized the mRNA expression of developmentally close-by cells to estimate  $G_{i,t+1}$  and for this reason, we introduce the **continuity assumption**: we assume that the sequencing data captures a continuous spectrum of cells in consecutive development stages. Particularly, there exists a  $t+1$  neighborhood,  $\mathcal{N}_{i,t+1}$ , in the sequenced cell population, so that the gene expression of these cells within the neighborhood are similar enough to the potential expression of

557 cell  $i$  at  $t+1$ . In other words, the expected expression of the  $t+1$  neighbor cells  
 558 have the same distribution as the expression of cell  $i$  at  $t+1$ . In comparison  
 559 to the previous strict assumptions (i.e. the observation of steady states or the  
 560 global constant kinetic rates) in existing approaches, the continuity is primarily  
 561 satisfied in sequencing data of large cell populations. Formally, the continuity  
 562 can be expressed as

$$\begin{aligned} \forall i \in \Omega, \quad \exists \mathcal{N}_{i,t+1} \subset \Omega, \quad s.t. \\ G_{i,t+1} &= \sum_{j \in \mathcal{N}_{i,t+1}} G_{j,\tau(j)} P(i \rightarrow j) \\ &= \mathbb{E}_{P(i \rightarrow j)} [G_{j,\tau(j)}], \end{aligned} \quad (7)$$

563 where  $i \rightarrow j$  denotes that cell  $i$  develops at time  $t+1$  into a cell that has the  
 564 same gene expression vector as cell  $j$ , and  $P(i \rightarrow j)$  is the probability of this  
 565 event. The expectation of  $G_{i,t+1}$  over all cells in the sequenced population  $\Omega$  is

$$\begin{aligned} \mathbb{E}_{i \in \Omega} [G_{i,t+1}] &= \mathbb{E}_{i \in \Omega} \left[ \mathbb{E}_{P(i \rightarrow j)} [G_{j,\tau(j)}] \right] \\ \mathbb{E}_{i \in \Omega} \left[ G_{i,t+1} - \mathbb{E}_{P(i \rightarrow j)} [G_{j,\tau(j)}] \right] &= 0, \end{aligned} \quad (8)$$

566 Taking in Eq.6, we have

$$\mathbb{E}_{i \in \Omega} \left[ G_{i,t} + V_{i,t} - \mathbb{E}_{P(i \rightarrow j)} [G_{j,\tau(j)}] \right] = 0 \quad (9)$$

567 The observed sequenced expression in a large cell population can be used to  
 568 derive the Monte Carlo estimation of the outer expectation over cell  $i$ . Assume  
 569 each cell expression vector  $s_i$  is sequenced independently,

$$\frac{1}{\Omega} \sum_{i \in \Omega} \left[ s_i + v_i - \sum_{j \in \mathcal{N}_{i,t+1}} s_j P(i \rightarrow j) \right] \approx 0 \quad (10)$$

570 Because the  $v_i$  and  $\mathcal{N}_{i,t+1}$  are not directly observed, given a set of estimated  $\tilde{v}_i$   
 571 and  $\tilde{\mathcal{N}}_{i,t+1}$ , we use the (gene-wise) squared difference as an objective to measure  
 572 how close to zero the value in Eq.10 is.

$$\mathcal{L} = \frac{1}{\Omega} \sum_{i \in \Omega} \left[ s_i + \tilde{v}_i - \sum_{j \in \tilde{\mathcal{N}}_{i,t+1}} s_j P(i \rightarrow j) \right]^2 \quad (11)$$

573 This equation provides a general objective for any RNA velocity methods that  
 574 generate the estimation of  $\tilde{v}_i$ ,  $\tilde{\mathcal{N}}_{i,t+1}$  and  $P(i \rightarrow j)$ .

575 **5.3.2 Training the DeepVelo model**

576 We follow the Eq.11 to develop the objective to optimize the parameters of  
 577 DeepVelo model. The objective computes the difference between the estimated  
 578 velocity  $\tilde{v}_i$  (Eq.4) of DeepVelo and possible future cell states.

579 We first select  $K_c$  number of nearest neighbor cells for each cell  $i$  by comput-  
 580 ing the pairwise distances of spliced gene expression. By default, we compute  
 581 the Euclidean distance of the first 30 PCA dimensions of gene expression vec-  
 582 tors. These selected cells compose the neighborhood of cell  $i$ , i.e.  $\mathcal{N}_i$ . We  
 583 estimate the  $P(i \rightarrow j)$  using

$$P_{c+}(i \rightarrow j) = \begin{cases} \frac{1}{Z} & \text{if } S_{cos}(s_j - s_i, \tilde{v}_i) > 0 \text{ and } j \in \mathcal{N}_i, \\ 0 & \text{otherwise,} \end{cases} \quad (12)$$

584 where  $S_{cos}$  denotes the cosine similarity and  $Z$  is a normalizing factor, i.e.  $Z$   
 585 equals to number of cells in  $\mathcal{N}_i$  satisfying  $S_{cos}(s_j - s_i, \tilde{v}_i) > 0$ . The intuition  
 586 of  $P_{c+}$  is that if the sequenced data satisfy the continuity assumption and the  
 587 time interval between  $t$  and  $t + 1$  is small enough, then the possible future cell  
 588 state  $j \in \mathcal{N}_{i,t+1}$  is also close to the cell state of current cell  $i$ . Therefore, given a  
 589 sufficient large  $K_c$ ,  $\mathcal{N}_{i,t+1} \subset \mathcal{N}_i$ . Further in Eq.12, We use the cosine similarity  
 590 between the estimated velocity  $\tilde{v}_i$  and the expression difference  $s_j - s_i$  to select  
 591 the possible target cell  $j$  that aligns with the velocity direction.

592 Notably, the Eq.6 is the forward difference operation. Similarly, we can  
 593 also include the backward difference  $V_{i,t} = G_{i,t} - G_{i,t-1}$  and project the cell  $i$   
 594 into  $t - 1$ . We first compute the probability of cell  $i$  developed from cell  $j$ ,  
 595  $P_{c-}(i \leftarrow j)$ , as follows

$$P_{c-}(i \leftarrow j) = \begin{cases} \frac{1}{Z} & \text{if } S_{cos}(s_j - s_i, -\tilde{v}_i) > 0 \text{ and } j \in \mathcal{N}_i, \\ 0 & \text{otherwise.} \end{cases} \quad (13)$$

596 We then used this in the computation of  $\mathcal{L}_-$  in Eq.14. The sum of  $\mathcal{L}_+ + \mathcal{L}_-$   
 597 is symmetric to either  $\tilde{v}_i$  or  $-\tilde{v}_i$ , which creates a challenge to determine the  
 598 correct velocity direction. To resolve this issue, we know from Eq.1 that the

599 velocity across cells should be positively correlated to the unspliced expression,  
 600  $u_i$ , and negatively correlated to the spliced,  $s_i$ . We add the Pearson correlation  
 601 in Eq.14 term  $\mathcal{L}_{Pearson}$  to promote the correct direction. The aforementioned  
 602 objective terms are as follows

$$\begin{aligned}\mathcal{L}_+ &= \frac{1}{\Omega} \sum_{i \in \Omega} \left[ s_i + \tilde{v}_i - \sum_{j \in \tilde{\mathcal{N}}_i} s_j P_{c+}(i \rightarrow j) \right]^2, \\ \mathcal{L}_- &= \frac{1}{\Omega} \sum_{i \in \Omega} \left[ s_i - \tilde{v}_i - \sum_{j \in \tilde{\mathcal{N}}_i} s_j P_{c-}(i \rightarrow j) \right]^2, \\ \mathcal{L}_{Pearson} &= -(\lambda_u \text{corr}(\tilde{v}_i, u_i) + \lambda_s \text{corr}(\tilde{v}_i, -s_i)),\end{aligned}\quad (14)$$

603 where  $\text{corr}$  denotes the Pearson correlation coefficient. We use the combination  
 604 of the objective terms  $\mathcal{L}_c = \mathcal{L}_+ + \mathcal{L}_- + \mathcal{L}_{Pearson}$  to train the DeepVelo model.  
 605  $\lambda_u, \lambda_s$  are constant factors to balance the scale of objective terms. The model  
 606 parameters are optimized to minimize the  $\mathcal{L}_c$ .

607 Notably, for each gene, the optimization **integrate the information of**  
 608 **other genes**, because the target cell probability estimation of  $P(i \rightarrow j)$  consid-  
 609 ers the full gene expression of cell  $i$  and  $j$ . From a per gene estimate perspective,  
 610 it corrects the target cell probability when the unspliced/spliced counts of the  
 611 current gene are noisy, but the majority of genes point to the correct target cell  
 612  $j$ . This integration of genes is a unique advantage of DeepVelo compared to  
 613 existing methods, and it particularly contributes to the capability of celltype-  
 614 specific velocity prediction and time-dependent gene correction of DeepVelo  
 615 (Section 3.3).

616 The DeepVelo model is trained by gradient back-propagation using the  
 617 Adam [14] optimizer up to 100 epochs. The updated model at the last epoch is  
 618 used to compute the estimated velocities.

619

## 5.4 Overall and celltype-wise consistency evaluation

The overall consistency score is proposed as the average cosine similarity of the velocity vectors to their neighbors. For each cell  $i$ ,

$$C_{overall}(i) = \frac{1}{|\mathcal{N}_i^{(s)}|} \sum_{j \in \mathcal{N}_i^{(s)}} S_{cos}(\tilde{v}_i, \tilde{v}_j),$$

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where  $\mathcal{N}_i^{(s)}$  is the 30-nearest-neighbor cells with similar spliced gene expression, computed in the preprocessing step (Online Methods - 5.1).  $S_{cos}$  denotes the cosine similarity operation.  $v_i, v_j$  are the estimated velocities from Eq.4.

The celltype-wise consistency computes the similarities within each celltypes instead. For each cell  $i$  and the celltype  $\mathcal{T}(i)$  it belongs to,

$$C_{celltype} = \frac{1}{|\mathcal{T}(i)|} \sum_{j \in \mathcal{T}(i)} S_{cos}(\tilde{v}_i, \tilde{v}_j),$$

623

where  $|\mathcal{T}(i)|$  denotes the number of cells belonging to the celltype.

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## 5.5 Computing cell-to-cell connectivity graph

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The similarity of velocity vectors of cells could model cell-to-cell connectivities. We use the connectivity graph for downstream tasks, including driver gene analysis and developmental trajectory inference.

The weight in the connectivity graph,  $w_{ij}$  denotes the estimated magnitude of connection. Higher  $w_{ij}$  means the future state of cell  $i$  is close to the current state of cell  $j$ .  $w_{ij}$  could be computed by possible similarity measures between velocity  $v_i$  and the gene expression difference  $s_j - s_i$ . Here, we used the cosine similarity, which is also adopted in scVelo [4], therefore,

$$w_{ij} = \frac{v_i^T (s_j - s_i)}{\|v_i\| \cdot \|s_j - s_i\|}.$$

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For the visualization of the velocity plot, we adopted the same projection computation provided by exiting methods [16, 4] to project velocity as arrows

630 onto low-dimensional embeddings, such as tsne [28] and UMAP [21]. To sum-  
631 marize, the transition probability  $\pi_{i,j}$  from a cell  $i$  to possible target cell  $j$  is  
632 computed by the Gaussian normalized connectivity weight  $w_{ij}$ . Then the veloc-  
633 ity vector for  $v_i$  in a low-dimensional space is computed by the weighted sum  
634 of  $\sum_j \pi_{i,j} \delta_{ij}$ , where  $\delta_{ij}$  is the direction vector pointing from cell  $i$  to  $j$  in the  
635 low-dimensional space.

## 636 5.6 Driver gene estimation and comparison

637 To determine functional signals in the driver genes, the top 100 genes based  
638 on a correlation with each lineage were determined, in particular for the hind-  
639 brain developmental data from [30]. Overlap with marker genes based on the  
640 original analysis used to annotate celltypes was performed, as well as overlap  
641 with transcription factors. Transcription factors were pulled from the manually  
642 annotated Human Transcription Factors list curated by Lambert et al. [17], and  
643 were lifted over to mouse data using orthologous gene-matches.

644 Analysis of marker overlap was further extended by determining the rank-  
645 ing of marker genes across all tested driver genes (2000 total) for both scVelo  
646 and DeepVelo per lineage in the Vladoiu et al. [30] data. The DeepVelo and  
647 scVelo predicted rankings of these marker genes for both lineages were com-  
648 compared, where a higher ranking of marker genes indicated a stronger signal for  
649 biologically relevant genes in the driver gene analysis. Since the entire tested  
650 driver gene lists were used, the number of genes per lineage was equivalent, and  
651 the rankings of the two lists were compared using the Mann-Whitney U Test  
652 (or Wilcoxon Rank-Sum Test), which is a non-parametric test for differences in  
653 sample distributions. The two-sided version of the test was used in this case,  
654 allowing either DeepVelo or scVelo to have greater or lesser rankings for relevant  
655 marker genes.

## 656 5.7 Pathway enrichment analysis

657 To determine functional signals in the driver gene results, pathway enrichment  
658 analysis was done using the ActivePathways R package [22]. The top 100 driver  
659 genes, based on correlation values for both the GABAergic and gliogenic lineages

660 from the Vladoiu et al. [30] data, were input into the ActivePathways gene-  
661 set enrichment analysis model. The latest Gene-Matrix-Transposed (GMT)  
662 files containing gene-set information from the Gene Ontology Molecular Func-  
663 tion, GO Biological Process, and REACTOME databases were used [7, 13].  
664 Pathways were labelled as being involved in "Neurogenesis", "Developmental  
665 non-neuronal", and "Non-specific" using manual annotation and the presence of  
666 known terms (such as "neuron projection" or "proliferation" for "Neurogenesis"  
667 and "Developmental non-neuronal", respectively). "Non-specific" pathways in-  
668 dicated those that did not have immediately obvious roles in either neurogenesis  
669 or general development. To determine significant differences between pathway  
670 labelling and potential enrichment of neurogenic/development specific path-  
671 ways, a two-sided Fisher's exact test based on the hypergeometric distribution  
672 was done for the contingency table comprising of scVelo and DeepVelo pathway  
673 results and functional labels ("Neurogenesis", "Developmental non-neuronal",  
674 "Non-specific") for the gliogenic and GABAergic lineages independently.

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759 **6 Acknowledgements**

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763

## I Supplementary

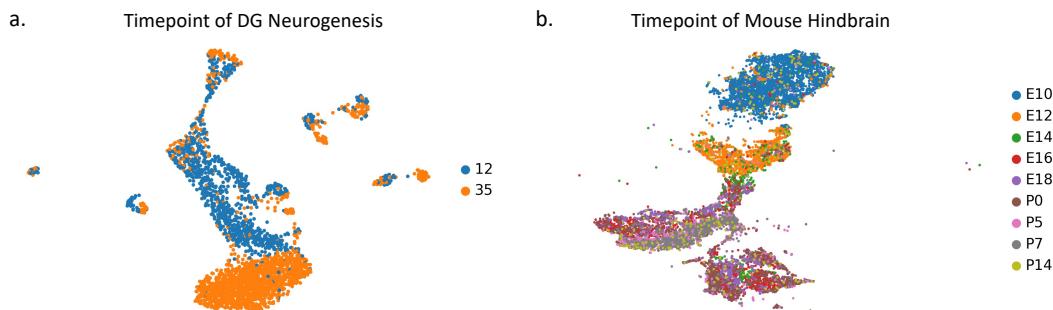
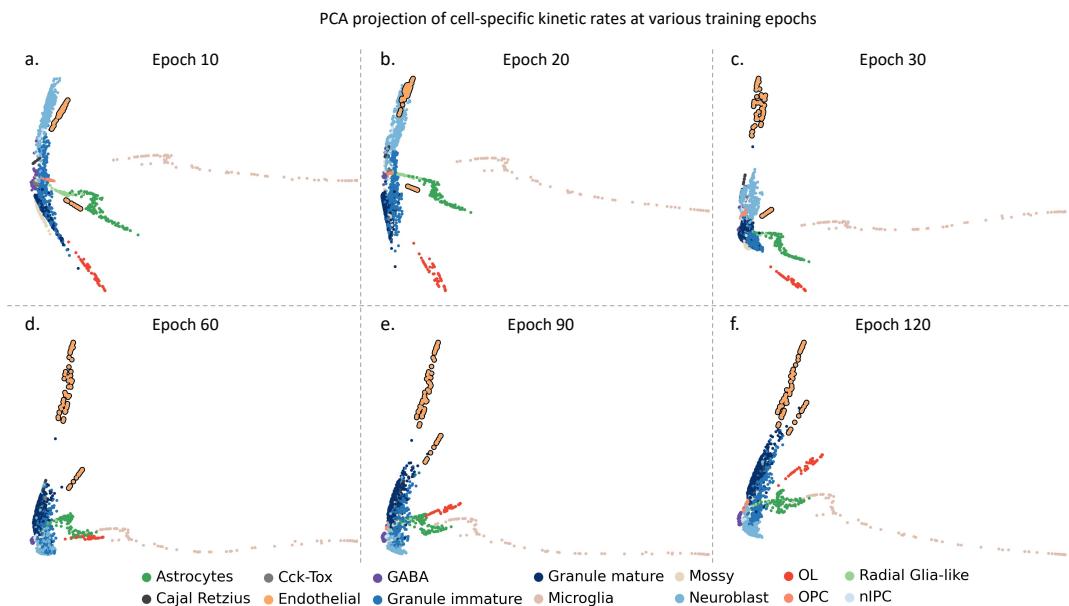
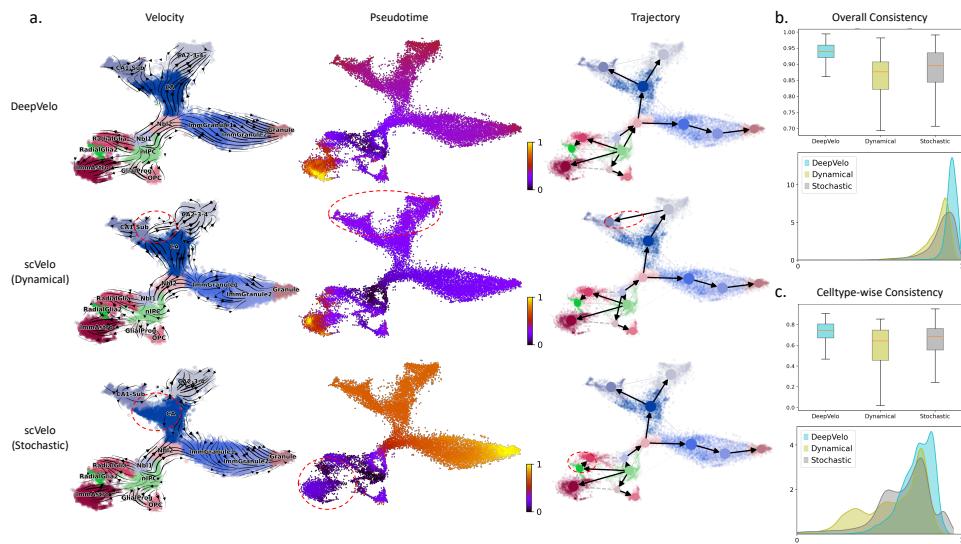


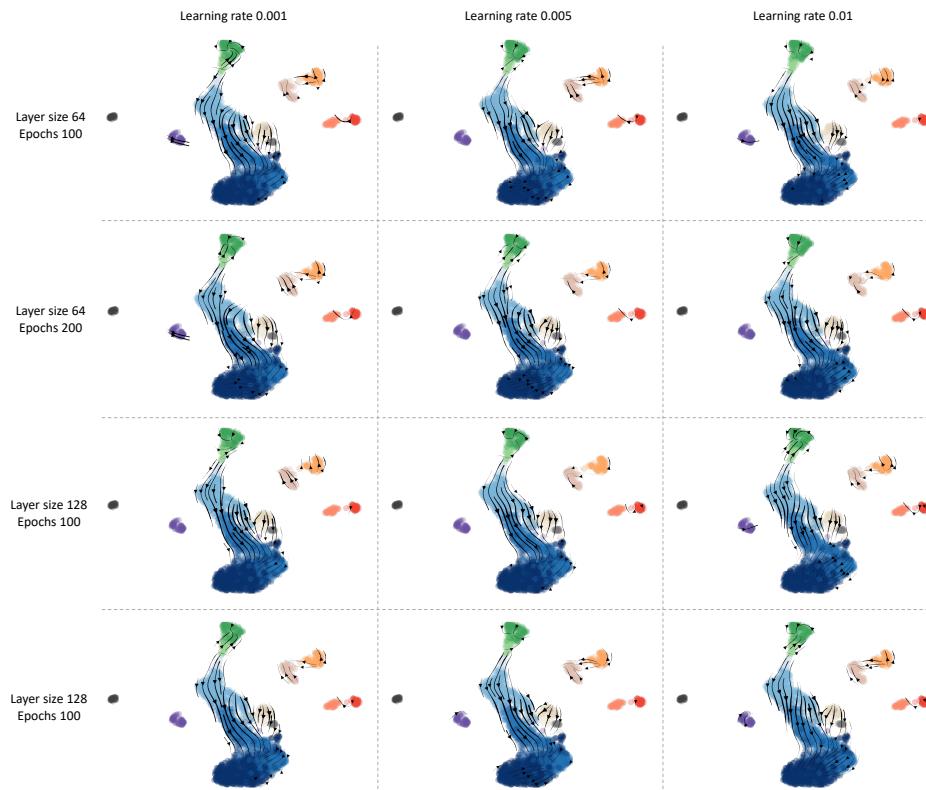
Figure S1: The developmental timepoints of sequenced cells in dentate gyrus neurogenesis (a) and mouse hindbrain development (b) datasets.



**Figure S2: The PCA projection of cell-specific kinetic rates at various training epochs.** (a-f) Scatter plot of the first two PCA dimensions at training epochs 10, 20, 30, 60, 90, 120. DeepVelo learns to predict similar kinetic rates for cells of same celltype. For example, the kinetic rates of Endothelial cells (outlined) are gradually clustered together and are located away from the unrelated granule lineage.



**Figure S3: Comparison of three velocity methods on large-scale dentate gyrus data.** (a) The velocity plot, pseudotime and trajectory inference of DeepVelo, scVelo dynamical model and scVelo stochastic mode, respectively. We highlighted observable incorrect predictions of compared methods in red circles. (b, c) The overall consistency score and celltype-wise consistency score. DeepVelo shows better performances regarding both metrics.



**Figure S4: DeepVelo's robustness with respect to key hyperparameters.** Using different combinations of important hyperparameters, the DeepVelo velocity plots on the dentate gyrus neurogenesis data are depicted. DeepVelo consistently captures the correct velocity directions with respect to different learning rates, GCN layer size and number of training epochs.

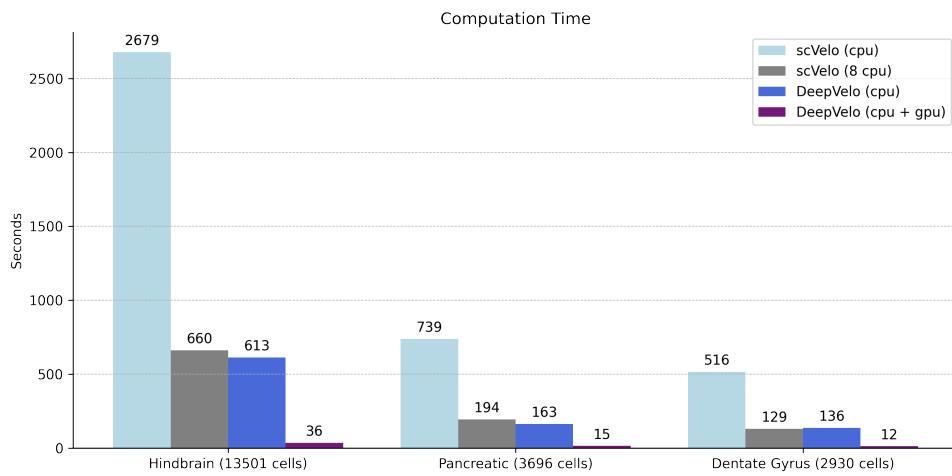


Figure S5: **Computational efficiency comparison of scVelo and DeepVelo across datasets.** Using the same CPU device<sup>(\*)</sup>, DeepVelo had a 4 fold acceleration compared to the dynamical model. Using GPUs, DeepVelo can complete training and estimation for over 13,000 cells in 36 seconds. Generally the GPU-accelerated DeepVelo is 10-20 times faster than the accelerated dynamical model (8 CPUs). (\*) The DeepVelo(CPU) uses the pytorch package, which automatically utilizes 8 CPUs for the gradient optimization step. For all other computations, the DeepVelo(CPU) runs on single CPU.

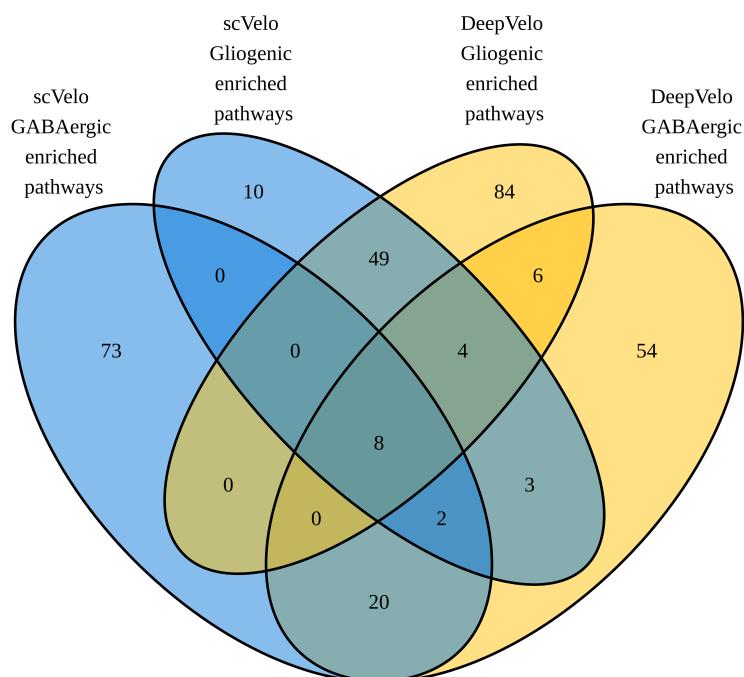


Figure S6: **Full pathway enrichment analysis results overlap.** Overlap of scVelo and DeepVelo pathway enrichment analysis results, between methods, for the top 100 GABAergic and gliogenic driver genes.