

1 **Larval and adult traits coevolve in response to coastal oceanography to shape**  
2 **marine dispersal kernels**

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14 *projection model, adaptive dynamics*

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16

17 **Abstract** (currently 200 words, which is the max)

18 Dispersal emerges as an outcome of organismal traits and external forcings. However, it remains  
19 unclear how the emergent dispersal kernel evolves as a by-product of selection on the underlying  
20 traits. This question is particularly compelling in coastal marine systems where dispersal is tied  
21 to development and reproduction, and where directional currents bias larval dispersal  
22 downstream causing selection for retention. We modelled the dynamics of a metapopulation  
23 along a finite coastline using an integral projection model and adaptive dynamics to understand  
24 how asymmetric coastal currents influence the evolution of larval (pelagic larval duration) and  
25 adult (spawning frequency) life history traits, which indirectly shape the evolution of dispersal  
26 kernels. Selection induced by unidirectional currents favors the release of larvae over multiple  
27 time periods, allowing long pelagic larval durations and long-distance dispersal to be maintained  
28 in marine life cycles in situations where they were previously predicted to be selected against.  
29 Two evolutionary stable strategies emerged: one with a long pelagic larval duration and many  
30 spawning events resulting in a dispersal kernel with a larger mean and variance, and another with  
31 a short pelagic larval duration and few spawning events resulting in a dispersal kernel with a  
32 smaller mean and variance. Our theory shows how the statistics of coastal ocean flows are  
33 important agents of selection that can generate multiple, often co-occurring, evolutionary  
34 outcomes for marine life history traits that affect dispersal.

### 35      **Introduction**

36              Dispersal has fundamentally important consequences for the demographic and genetic  
37              structure of populations, and how species respond and adapt to changing conditions (Clobert et  
38              al. 2012; Travis et al. 2013). As a result, it is important to understand how dispersal evolves.  
39              Decades of work by theoreticians has focused on dispersal propensity or dispersal distance as the  
40              evolving trait and shown how kin competition, inbreeding, and spatio-temporal variation can  
41              select for dispersal (Starrfelt and Kokko 2012). However, dispersal in nature arises as an  
42              outcome of the biological traits of organisms and external forcings (e.g., wind and water  
43              currents) that both affect movement, fitness, and the final distribution of dispersal distances  
44              (Burgess et al. 2016). Therefore, challenges remain in explaining how dispersal actually evolves  
45              in nature, rather than how it can evolve. That is, there is a need to identify which traits cause  
46              dispersal outcomes, and what factors cause selection on those traits to influence the pattern of  
47              dispersal that emerges and changes through evolutionary processes (Burgess et al. 2016). This  
48              challenge is particularly prevalent in marine systems, where dispersal is tied to early  
49              development in complex life cycles, and traits that influence dispersal outcomes are also traits  
50              that influence development and reproduction.

51              In many marine invertebrates and fishes, adults are sessile or demersal, but their  
52              microscopic larval offspring are capable of dispersing great distances in ocean currents  
53              (kilometers to 100's of kilometers in some species), mostly during obligate periods of  
54              development when larvae feed and are incapable of settling (Kinlan and Gaines 2003; Shanks  
55              2009). However, the ease of larval dispersal in ocean currents creates problems. Along many  
56              coastlines, the average current is unidirectional over the timescales that dispersal occurs (Davis  
57              1985). As a result, passive larvae drift downstream, which results in larvae being constantly

58 washed away from settlement habitat (Gaylord and Gaines 2000; Largier 2003; Siegel et al.  
59 2003). If there is not enough upstream retention, downstream dispersal ultimately leads to  
60 population extinction (Byers and Pringle 2006). This results in a ‘drift-paradox’, where adult  
61 populations persist despite the threat of a net downstream loss of larvae (Müller 1982; Speirs and  
62 Gurney 2001; Müller 1982; Speirs and Gurney 2001; Pachepsky et al. 2005; Shanks and Eckert  
63 2005; Byers and Pringle 2006). Therefore, the ubiquity of alongshore currents in coastal habitats  
64 should select for dispersal traits that increase upstream retention, but may also result in  
65 downstream dispersal as a consequence.

66 One trait that can influence dispersal and upstream retention is pelagic larval duration  
67 (Grantham et al. 2003; Shanks 2009; Treml et al. 2015; Cecino and Treml 2021). Shorter pelagic  
68 larval durations decrease the risk that passively dispersing larvae are transported and lost  
69 downstream on average (Siegel et al. 2003; Byers and Pringle 2006). Recent analyses  
70 considering the role of ubiquitous alongshore currents in coastal habitats have shown that  
71 stronger currents should lead to the loss of pelagic larvae from marine life cycles all together  
72 (Pringle et al. 2014), suggesting that species with feeding larvae (planktotrophy) should only be  
73 found where currents are relatively weak. When mean currents are weak relative to the stochastic  
74 variation in currents, there are potential advantages to longer larval durations that relate to  
75 greater growth and survival in pelagic versus benthic habitats, but not necessarily for the  
76 dispersal they facilitate (Burgess et al. 2016; Meyer et al. 2021a; Iwasa et al. 2022). There is a  
77 large literature on the evolution of marine reproductive strategies based on egg size-number  
78 trade-offs where egg size affects larval development times depending on whether larvae feed or  
79 not (Vance 1973; Strathmann 1985; Emlet et al. 1987; Levitan 2000; Marshall and Keough  
80 2007). This theory predicts that longer larval durations evolve when selection favors the

81 production of many small offspring that feed for themselves away from adult habitat, but require  
82 longer to feed and develop independently to a size and stage required for settlement back into  
83 adult habitats (Vance 1973; Strathmann 1985; Emlet et al. 1987; Levitan 2000). Therefore,  
84 because egg size affects development time, which in turn affects the potential for upstream  
85 retention, ocean currents should strongly modify how marine egg size-number trade-offs evolve  
86 (Reitzel et al. 2004; Shanks and Eckert 2005; Pringle et al. 2014).

87 Despite most analyses on the causes of marine dispersal focusing on the traits of larvae,  
88 especially larval behaviors (Leis 2006; Morgan 2014), traits that affect dispersal and upstream  
89 retention may also include those of the less mobile adult stages. Parents not only control larval  
90 duration via the effects of egg size, but also the timing, frequency, and, in some cases, location in  
91 which offspring are released into coastal flow fields (Strathmann 1982; Morgan and Christy  
92 1995; Reitzel et al. 2004). In particular, unidirectional alongshore currents often reverse direction  
93 on many coastlines due to wind or seasons. Releasing offspring on multiple occasions can  
94 increase retention by increasing the variability in advection that batches of larvae encounter  
95 among different releases. Accessing greater variability in currents over multiple releases  
96 increases the chance that enough of a parent's lifetime reproductive output occasionally moves  
97 upstream against the average downstream flow compared to releasing only one batch of larvae  
98 (Byers and Pringle 2006). So while larval behaviors can also increase retention (Paris and Cowen  
99 2004; Metaxas and Saunders 2009; Bottesch et al. 2016; Burgess et al. 2022), adult traits also  
100 control dispersal by when and how often larvae are released into the current. Small dispersing  
101 larval stages and marine life histories are therefore not at the whim of strong physical forcing.  
102 Instead, the physical forcing itself causes selection on life history traits, and the pattern of  
103 dispersal that emerges can evolve (Burgess et al. 2016).

104 Our goal here was to develop theory that helps us understand how coastal oceanographic  
105 processes affect the evolutionary outcome of traits that affect dispersal. Most previous theory has  
106 considered reproductive strategies in the absence of oceanography (Vance 1973; Levitan 2000),  
107 considered dispersal itself as the evolving trait rather than the underlying traits of the individuals  
108 that interact with currents to give rise to dispersal patterns (Shaw et al. 2019), or only focused on  
109 larval traits (Pringle et al. 2014). We use an adaptive dynamics framework to present new theory  
110 showing how asymmetric coastal currents influence the coevolution of pelagic larval duration  
111 and adult spawning frequency in coastal ecosystems. We consider lifetime dispersal kernels as  
112 the dispersal kernel of all larvae released over an individual's lifespan. Our model shows how the  
113 evolutionary stable combination of pelagic larval duration and spawning frequency changes with  
114 oceanographic conditions and indirectly affects the expectation for marine dispersal kernels. We  
115 show that for many realistic coastal oceanographic conditions, there are two evolutionarily stable  
116 life history strategies: one with a longer pelagic larval duration and higher spawning frequency  
117 and another with a shorter pelagic larval duration and lower spawning frequency, leading to  
118 different expected dispersal kernels under the same flow regime.

119

120 **Model Description:**

121 We model the dynamics of a metapopulation along a finite coastline using an integral  
122 projection model structured by space and age. The integral projection model framework is quite  
123 general, however, and can be adapted for different assumptions by replacing any of the functions  
124 below with another suitable function.

125 We study the phenotypic evolution of pelagic larval duration ( $T_{PLD}$ ) and number of  
126 spawning events per individual parent ( $N_{spawn}$ ) by analyzing this model with an adaptive

127 dynamics methodology, which allows for both frequency- and density-dependent dynamics  
128 (McGill and Brown 2007; Rees and Ellner 2016). Adaptive dynamics works by introducing a  
129 mutant with a small change in either  $T_{PLD}$  or  $N_{spawn}$  into a stabilized population of residents and  
130 calculating the invasion growth rate of its lineage. We determine the evolutionary stable  
131 phenotypes by iterating this process and finding the phenotypes that cannot be invaded by  
132 mutants with small changes. Trait values that cannot be invaded are referred to as evolutionary  
133 stable strategies (ESSs), and can be thought of as the endpoint, or outcome, of evolution.

134 In our results, we first discuss the evolution of  $T_{PLD}$  and  $N_{spawn}$  separately, that is,  
135 assuming one trait evolves while the other trait does not evolve. These analyses provide a useful  
136 understanding of how each trait independently affects each other's evolution given the currents.  
137 We then consider a model where both traits coevolve (*i.e.*, affect each other simultaneously and  
138 reciprocally) and determine the evolutionary stable combinations of trait values.

139 The ratio of mean alongshore flow ( $U$ ) to short timescale fluctuations in currents ( $\sigma$ , that  
140 is, current fluctuations over the timescales captured by  $U$ ) is a key descriptor of how  
141 oceanographic conditions affect dispersal evolution (Pringle et al. 2014). We will refer to this  
142 ratio as “scaled alongshore flow” and present results of life history evolution for  $0 \leq U/\sigma \leq 2$ ,  
143 which captures a realistic range of oceanographic conditions (Robinson and Brink 2006).

144

145 *Relationship between pelagic larval duration and fecundity*

146 Individuals either produce many small eggs or fewer larger eggs. We model this size-  
147 number trade-off by defining the number of eggs released  $f$  as the total amount of material  
148 contributed to egg production  $C$  divided by the egg volume  $s_{egg}$ , that is  $f = \frac{C}{s_{egg}}$ .

149 There is empirical evidence that egg size affects pelagic larval duration depending on  
150 whether larvae feed or not. For feeding (planktotrophic) larvae, pelagic larval duration decreases  
151 with egg size, especially in echinoderms (Vance 1973; Emlet et al. 1987; Levitan 2000; Marshall  
152 and Keough 2007; Marshall et al. 2018). Here, we focus on feeding larvae because we are  
153 initially interested in explaining how long-distance dispersal is maintained in marine invertebrate  
154 and fish life histories, and to also compare our results to previous models of pelagic larval  
155 duration in coastal oceans (e.g., Pringle et al. 2014). However, future studies should consider  
156 non-feeding larvae or other traits that affect larval duration.

157 We model the negative relationship between the pelagic larval duration and egg size  
158 following Pringle et al. (2014) such that

$$159 T_{PLD} = \frac{1}{g} \ln \left( \frac{s_{crit}}{s_{egg}} \right) \quad (\text{eq. 1}),$$

160 which can be rearranged as

$$161 s_{egg} = \frac{s_{crit}}{\exp(gT_{PLD})} \quad (\text{eq. 2}),$$

162 where  $T_{PLD}$  is pelagic larval duration,  $g$  is the rate at which larvae gain mass during feeding, and  
163  $s_{crit}$  is critical size that the egg must reach to settle. A given increase in egg size for smaller eggs  
164 reduces  $T_{PLD}$  more than the same increase in egg size for larger eggs.

165

### 166 *Larval mortality*

167 We assume that the probability of larvae surviving through the pelagic stage decreases  
168 with pelagic larval duration and increases with egg size such that is given by

$$169 \exp \left[ -\frac{mT_{PLD}}{s_{egg}} \right], \quad (\text{eq. 3})$$

170 where  $m$  is the baseline daily larval mortality rate. The survival benefits of larger larvae can arise  
171 from larger larvae acquiring more energy, using proportionally less energy, or being less  
172 susceptible to predation (Marshall et al. 2018). More generally, equation (3) can be treated as a  
173 heuristic assumption that leads to a hump-shaped relationship between egg size and number of  
174 larvae that survive the pelagic phase. Such a relationship is an important concept in the marine  
175 life history literature because it allows for an optimal intermediate egg size, depending on the  
176 parameter values (Smith and Fretwell 1974; Levitan 2000).

177 Given equation 3 and the egg size-number tradeoff above, the number of larvae that  
178 survive the pelagic phase is

$$179 f = \frac{C}{s_{\text{egg}}} \exp\left[-\frac{mT_{\text{PLD}}}{s_{\text{egg}}}\right] \quad (\text{eq. 4}).$$

180 We only consider scenarios where larval growth rate is greater than the larval mortality rate ( $g-m$   
181  $> 0$ ), because otherwise there is always selection for no pelagic larval stage and this has been  
182 explored previously (Pringle et al. 2014; Iwasa et al. 2022).

183

184 *Relationship between pelagic larval duration and the dispersal kernel*

185 Dispersal is dependent on mean alongshore flow ( $U$ ), the standard deviation in  
186 alongshore flow ( $\sigma$ ), the Lagrangian decorrelation timescale ( $\tau$ ), and pelagic larval duration  
187 ( $T_{\text{PLD}}$ ). Siegel et al. (2003) show the mean dispersal distance (advection) is

$$188 L_{\text{adv}} = UT_{\text{PLD}},$$

189 and the standard deviation in dispersal distance (diffusion) is

$$190 L_{\text{diff}} = (\sigma^2 \tau T_{\text{PLD}})^{0.5}.$$

191 Based on basic oceanographic principles, a Gaussian dispersal kernel is used for passively  
192 dispersed larvae (Largier 2003). Thus, the probability of dispersing from location  $x$  to  $y$  is given  
193 by

$$194 k(x, y) = \left( \frac{1}{L_{\text{diff}} \sqrt{2\pi}} \right) \exp \left( \frac{(y - (x + L_{\text{adv}}))^2}{L_{\text{diff}}^2} \right). \quad (\text{eq. 5})$$

195 For a discussion of the sensitivity of upstream retention and selection on long pelagic larval  
196 durations to non-Gaussian dispersal kernels see (Pringle et al. 2009, 2014).

197

198 *Recruitment competition*

199 We assume that larvae can only settle at a site if there is an available microsite (e.g.,  
200 space on a rock) and that there are only  $K$  microsites available at each site. Larvae have lottery  
201 competition for microsites (Chesson and Warner 1981; Warner and Chesson 1985). That is, the  
202 number of lineage  $i$  (mutant or resident) larvae that successfully settle is proportional to the  
203 relative frequency of that lineage among larvae arriving at the site. Therefore, the expected  
204 number of lineage  $i$  individuals that establish themselves at site  $x$  is given by

$$205 r(n_{ix}) = \mu \frac{n_{ix}}{n_{ix} + n_{jx}}, \quad (\text{eq. 6})$$

206 where  $n_{ix}$  is number of lineage  $i$  larvae arriving at site  $x$  and  $\mu$  is the number of unoccupied  
207 microsites, which is given by  $K$  minus the total number of adults that died the previous time step.

208

209 *Post-settlement dynamics*

210 After settlement, individuals live for up to  $N_{\text{spawn}}$  time steps and release larvae each time  
211 step. The probability of post-settlement individuals surviving to the next time step is given by the  
212 function  $s(a)$ , where  $a$  is age.  $s(a)$  can be any age-dependent mortality function, but we will

213 assume that all post-settlement individuals have the same mortality rate  $A_m$  until reaching age  
214  $N_{spawn}$ , at which point they all die. For all presented results we divide the annual amount of  
215 material contributed to egg production  $C$  by  $N_{spawn}$  such that lifetime investment in egg  
216 production is fixed if there is no adult mortality (e.g., individuals could either produce 100 eggs  
217 ten separate times or produce 1000 eggs all during one spawning event). We make this  
218 assumption because we are interested in the specific effects of spawning frequency *per se* and  
219 this assumption controls for the increased lifetime fecundity that might accrue with increased  
220 spawning frequency, or the amount of material contributed to egg production  $C$  that might  
221 increase as adults grow (Marshall et al. 2022). Future studies could consider additional  
222 complexities that emerge from specific relationships between spawning frequency and fecundity.

223

224 *Temporal fluctuations in alongshore flow*

225 We investigate the effect of variation in alongshore flow rates that takes place on time  
226 scales equivalent to spawning frequency. For simplicity we will refer to these fluctuations as  
227 interannual variation in flow, which is accurate if time steps in our model are treated as years.  
228 However, the time steps can be treated as any unit of time longer than the Lagrangian  
229 decorrelation timescale (which we set to 4 days, Davis 1985), and “interannual” fluctuations can  
230 be interpreted as among time step fluctuations.

231 We incorporate such interannual variation in flow rates into our model by replacing  
232 diffusion ( $L_{diff}$ ) with an estimate for the standard deviation of larval dispersal distance for all  
233 larvae released over the lifetime of an adult,  $L_{diffeffect}$ , which was developed by Byers and Pringle  
234 (2006)

235 
$$L_{diffeffect} = \sqrt{\left( L_{diff}^2 + \left( 1 - \frac{1}{N_{spawn}} \right) \sigma_{L_{adv}}^2 \right)}, \quad \text{(equation 7)}$$

236 where  $\sigma_{L_{\text{adv}}}$  is the interannual standard deviation in  $L_{\text{adv}}$ . Given our equation for  $L_{\text{adv}}$  above,  $\sigma_{L_{\text{adv}}}$   
237 is  $\sigma_{IA} T_{PLD}$ , where  $\sigma_{IA}^2$  is the interannual variation in mean flow rates  $U$ . Note that, if  $\sigma_{IA} > 0$  and  
238  $N_{\text{spawn}} > 1$ , then  $L_{\text{diffeffect}} > L_{\text{diff}}$ . We checked the robustness of using this estimation of  $L_{\text{diffeffect}}$   
239 using the direct simulation methods described in the Appendix.

240

241 *Integral projection model*

242 Metapopulation dynamics are given by the set of coupled equations

$$243 N_0(y, t+1) = r \left( \sum_{a=0}^{N_{\text{spawn}}} \int_0^L f k(y, x) N_a(x, t) dx \right) \quad (\text{eq. 8})$$

$$244 N_a(y, t+1) = s(a) N_{a-1}(x, t). \quad (\text{eq. 9})$$

245  $N_0(y, t+1)$  is the number of larvae (age 0) individuals that settle at site  $y$  in timestep  $t+1$ .  $N_a(y, t)$   
246 is the number of age  $a$  individuals at site  $y$  at timestep  $t$ .  $f$  is the number of larvae that survive the  
247 pelagic phase (eq [4]),  $k(y, x)$  is the probability of dispersing from site  $x$  to site  $y$  (eq [5]).  $s(a)$   
248 gives the probability of age  $a$  individuals surviving to age  $a+1$ .  $L$  is the length of the coastline. If  
249 necessary for the study system, equations [8–9] can be adapted such that mortality rate,  
250 fecundity, and larvae size can vary with age.

251

252 *Calculating invasion fitness*

253 Invasion fitness  $\lambda$  is calculated as the initial growth rate of a mutant lineage introduced  
254 into, and competing with, a stabilized resident metapopulation. As long as the resident  
255 population's local retention is positive, the resident population will fill all microsites along the  
256 coast. Therefore, the long-term stable resident distribution is  $K$  at all sites. The stable age  
257 distribution of residents is then given by (Dewi and Chesson 2003)

258 
$$N_{ar} = \frac{l_{ar}}{\sum_{a=1}^{N_{spawn}} l_{ar}}$$

259 where  $l_{ar}$  is the probability of surviving to age  $a$ . We can then rewrite the recruitment function (eq.

260 6) as

261 
$$r(n_{mx}) = \frac{\left( \sum_{a=1}^{a_{max}} s(a) N_{ar} K \right) n_{mx}}{\sum_{a=1}^{a_{max}} \int_0^L f(a) k(y, x, a) N_{ar} K dx}. \quad (\text{eq. 10})$$

262 Note that the number of unoccupied sites  $\mu$  is now given by the sum of age-specific adult  
263 mortality rates  $s(a)$  multiplied by the stabilized number of resident adults in that age class  $N_{ar}K$ .

264 Also, the denominator no longer includes mutants because invader density is by definition very  
265 low and can therefore be assumed to not affect density dependence. In essence, this is now a  
266 density-independent model where the resident metapopulation distribution is treated like an  
267 environmental factor affecting recruitment and growth of the mutant population.

268 Following Ellner and Rees (2006), we calculate invasion growth rates by numerically  
269 evaluating our model using the midpoint rule. Details of this protocol are included in the  
270 Appendix. We checked the robustness of our assumption that the resident population is  
271 stationary and our estimate of  $L_{\text{diffeffect}}$  by comparing our results to stochastic simulations which  
272 tracked the population dynamics of residents and stochastically varied flow rates. These  
273 simulations confirmed the key patterns seen in our deterministic model the Appendix. We focus  
274 our analysis on the deterministic model, however, because results of the stochastic model are  
275 dependent on specific assumptions about how stochasticity and density dependence are  
276 implemented, and while important process could emerge from the stochasticity, the goal of this  
277 paper is to make general conclusions about the evolution of  $T_{PLD}$  and  $N_{spawn}$  in coastal systems.

278

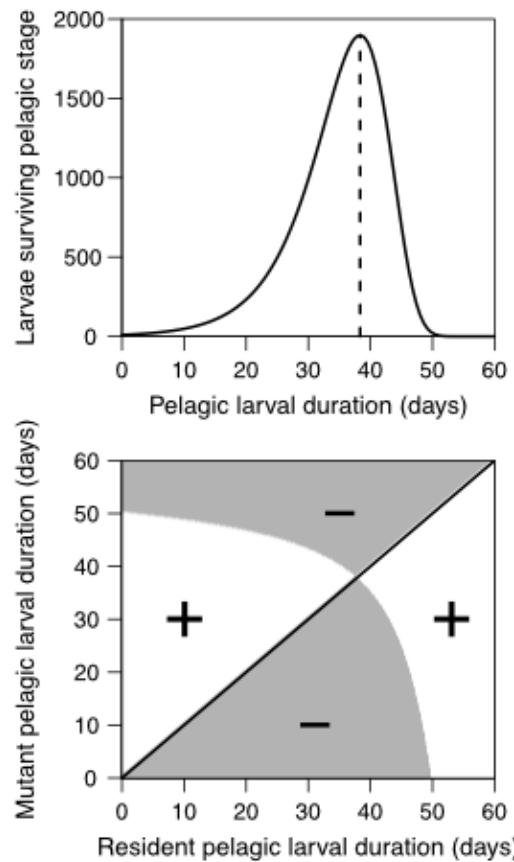
279 **Results**

280 **Evolution of pelagic larval duration ( $T_{PLD}$ )**

281 *No mean alongshore flow*

282 Without ocean currents (*i.e.*,  $U=0$  and  $\sigma=0$ ), there is only one pelagic larval duration  
283 ( $T_{PLD}$ ) that is an evolutionarily stable strategy (ESS) and it occurs at the  $T_{PLD}$  which maximizes  
284 the total number of larvae that survive the pelagic phase (Figure 1). This result recovers classic  
285 results from previous models without oceanography (Smith and Fretwell 1974; Levitan 2000).

286 If there are short timescale fluctuations in flow, but still no mean alongshore flow (*i.e.*  
287  $U=0$  and  $\sigma>0$ ), the ESS  $T_{PLD}$  will slightly decrease from the optimum of 38.35 days shown in  
288 figure 1 (Figure 2 when scaled alongshore flow is 0). The slight decrease occurs because our  
289 model assumes there is a limited range of habitable coastline and a higher  $T_{PLD}$  leads to more  
290 individuals dispersing away from the source location and thus being lost off the upstream or  
291 downstream edge of the habitable range. However, this effect is small. Given our baseline  
292 parameter values, the ESS  $T_{PLD}$  never decreased more than 3 days even given the highest values  
293 of  $\sigma$  we would expect to see in nature.



294

295 **Figure 1.** Evolution of pelagic larval duration ( $T_{PLD}$ ) without considering oceanography. Top  
296 panel: total number of larvae that survive the pelagic phase ( $f$ ) as a function of pelagic larval  
297 duration given by equation 4. Dashed vertical line denotes the optimal pelagic larval duration that  
298 gives the maximum number of surviving larvae. Bottom panel: Pairwise invasion plot. Invasion  
299 fitness of the mutant is greater than 1 in the white areas and less than 1 in the grey areas. The  
300 black line denotes the 1:1 line where the invasion fitness of the mutant is 1. Note that the  
301 evolutionary stable pelagic larval duration in the bottom panel occurs at the value that maximizes  
302 the number of surviving larvae. Parameters:  $\tau=4$ ,  $C=0.1$ ,  $s_{crit}=0.0103$ ,  $g = 0.16$ ,  $m = 5 \times 10^{-7}$ ,  
303  $A_m=0.1$ .

304

305 *Effects of scaled alongshore flow on the evolution of pelagic larval duration*

306 As the mean alongshore flow ( $U$ ) current increases, relative to short timescale  
307 fluctuations in currents ( $\sigma$ ), which we refer to as “scaled alongshore flow”, there is selection for  
308 decreased  $T_{PLD}$  (Figure 2). For small to moderate values of scaled alongshore flow ( $<\approx 1$ ), there  
309 is only one evolutionary stable  $T_{PLD}$ , the value of which is relatively high but decreases as scaled  
310 alongshore flow increases for a given number of spawning events. For high values of scaled  
311 alongshore flow ( $>\approx 1$ ), there are two evolutionary stable  $T_{PLD}$  values, one of which is at the  
312 minimum possible  $T_{PLD}$  (we set this minimum  $T_{PLD} = \tau$ ). The two ESSs are separated by an  
313 unstable equilibrium (indicated by the dashed lines in Figure 2 for each  $N_{spawn}$ ). If the population  
314 with a given  $N_{spawn}$  begins with a  $T_{PLD}$  below this unstable equilibrium, evolution by a series of  
315 small mutations will lead the population to the lower evolutionary stable  $T_{PLD}$ . Alternatively, if  
316 the population begins with a  $T_{PLD}$  above this unstable equilibrium, evolution by a series of small  
317 mutations will lead the population to the higher evolutionary stable  $T_{PLD}$ . Figure 3 shows  
318 pairwise invasion plots for examples when there is one ESS or two ESSs for  $T_{PLD}$ .

319 These general results presented as evolutionary stable strategies for semelparous  
320 organisms are qualitatively similar to what Pringle et al (2014) showed in terms of selection  
321 coefficients in non-overlapping generations. There is selection for the pelagic larval durations  
322 that lead to the most larvae at or upstream of the source location (Pringle et al. 2014). With low  
323 scaled alongshore flow, enough local retention occurs with both short and long  $T_{PLD}$ , but a longer  
324  $T_{PLD}$  maximizes fecundity because it is associated with the production of smaller, more numerous  
325 eggs. In contrast, with high scaled alongshore, a long  $T_{PLD}$  results in not enough local retention to  
326 offset the losses of larvae downstream. As a result, upstream retention is greatest with a short  
327  $T_{PLD}$  because it minimizes downstream dispersal. However, unlike Pringle et al. (2014) we show

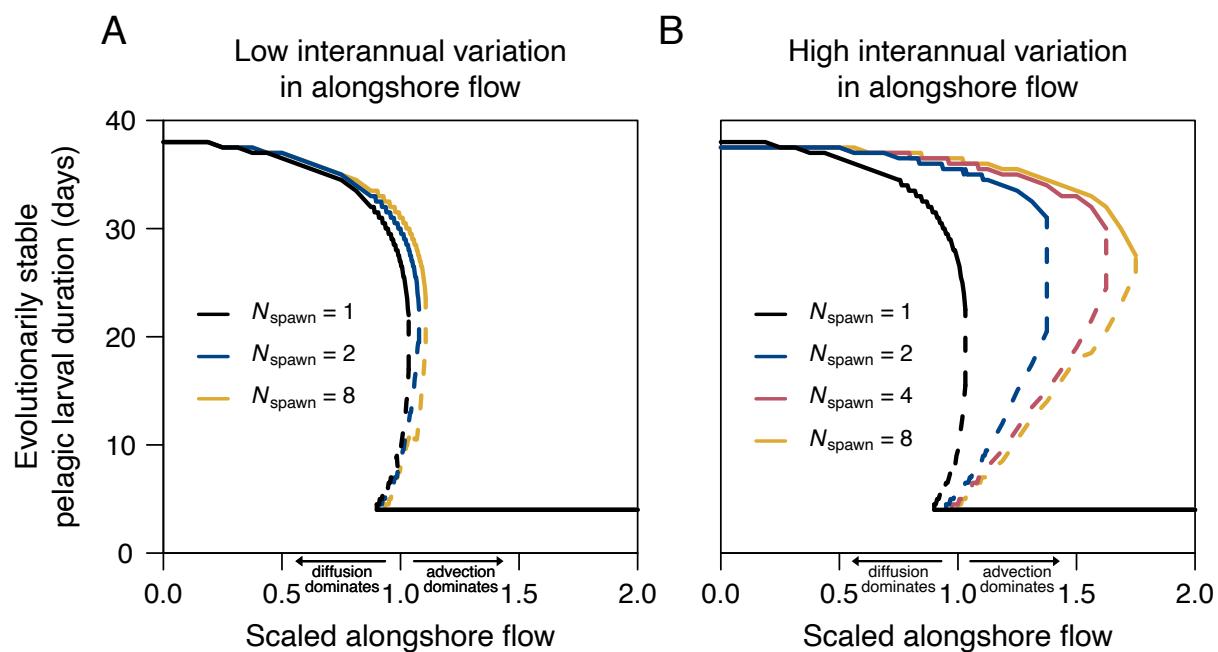
328 a continuous decline in ESS  $T_{PLD}$  as scaled alongshore flow increases up until the ESS  $T_{PLD}$   
329 reaches the minimum value. In other words, for any given scaled alongshore flow below a  
330 certain strength ( $\sim 1.0$  in with our parameters), there is a unique ESS  $T_{PLD}$  (Figure 2). This differs  
331 from the results of Pringle et al. (2014) which only predicted two possible evolutionary stable  
332 pelagic larval durations: the maximum possible value and the minimum possible value.

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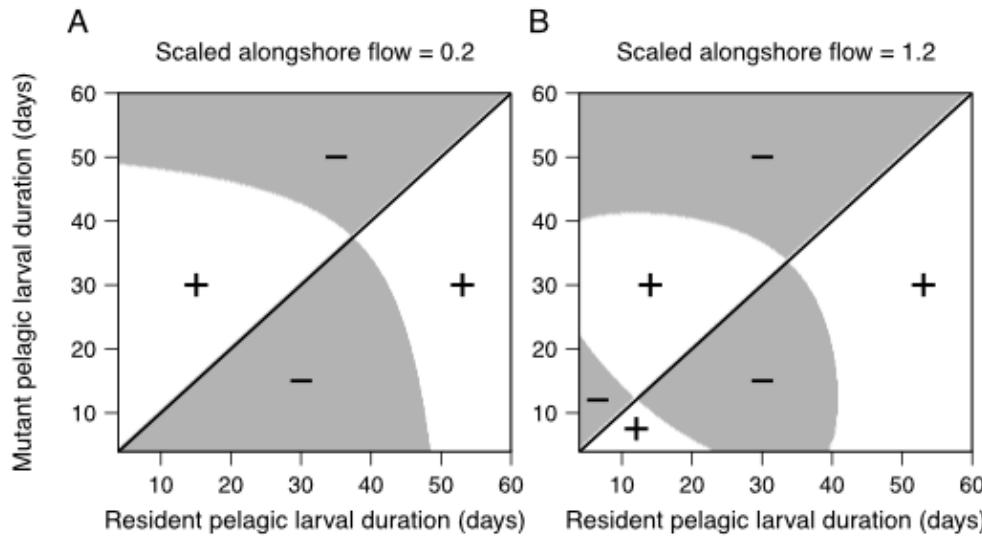


337

338 **Figure 2.** Effect of scaled alongshore flow and the number of spawning events ( $N_{spawn}$ ) on the  
339 evolutionary stable pelagic larval duration ( $T_{PLD}$ ). Panel A shows results when the standard  
340 deviation of interannual mean flow rates is  $\sigma_{IA}=0.012$  (meters/second) and panel B shows results  
341 for when  $\sigma_{IA}=0.035$  (meters/second). Solid lines denote evolutionary stable values of  $T_{PLD}$  while

342 dashed lines denote unstable equilibrium values of  $T_{PLD}$ . Different colors denote different  
343 numbers of spawning events ( $N_{spawn}$ ) as indicated in the figure legend. Parameters:  $\sigma=8000$   
344 (meters/day),  $\tau=4$ ,  $C=0.1$ ,  $s_{crit}=0.0103$ ,  $g=0.16$ ,  $m=5\times10^{-7}$ ,  $A_m=0.1$ ,  $K=200$ , length of coastline =  
345 100 kilometers, minimum value of  $T_{PLD}$  was set to  $\tau$ .

346



347

348 **Figure 3.** Example pairwise invasion plots for when there is one ESS (left panel) or two ESSs  
349 (right panel). Invasion fitness of the mutant is greater than 1 in the white areas and less than 1 in  
350 the grey areas. The black line denotes the 1:1 line where the invasion fitness of the mutant is 1.0.  
351 In both plots,  $N_{spawn} = 2$  and all parameters are the same as in figure 2B.

352

353 *Effects of the number of spawning events on the evolution of pelagic larval duration*

354 The number of spawning events ( $N_{spawn}$ ) changes the pelagic larval duration that is  
355 evolutionarily stable under a given flow regime. Spawning more often longer pelagic larval  
356 durations to both remain evolutionarily stable for higher mean scaled alongshore flow rates  
357 (Figure 2). For instance, consider a mean scaled alongshore flow of 1.5 in Figure 2B. If

358  $N_{spawn}=1$ , the only ESS  $T_{PLD}$  is at 4 days (the minimum  $T_{PLD}$ ), but if  $N_{spawn}=8$ ,  $T_{PLD}=35.5$  is  
359 evolutionarily stable as well as  $T_{PLD}$  of 4 days. As interannual variation in mean flow rates  
360 increases ( $\sigma_{IA} > 0$ ), increasing  $N_{spawn}$  allows for higher ESS values of  $T_{PLD}$ . Increasing  $N_{spawn}$   
361 increases the range of scaled alongshore flow rates where there are two ESS  $T_{PLD}$  values because  
362 spawning on multiple occasions has a smaller effect on the lower evolutionarily stable pelagic  
363 larval duration than then upper one (Figure 2).

364 The reasons why longer pelagic larval durations become evolutionarily stable when  
365 spawning is more frequent can be understood in terms of what Byers and Pringle (2006) showed  
366 for population persistence. Larvae released in different years are exposed to different mean  
367 alongshore current speeds and directions. Parents that release larvae in multiple years have  
368 greater dispersion in their lifetime dispersal kernel (the dispersal kernel of all larvae released  
369 over an individual's lifespan). Increased dispersion allows parents to retain more larvae at or  
370 upstream of their spawning location without necessarily increasing fecundity. However, longer  
371 pelagic larval durations both increase dispersion and increase fecundity. Therefore, in our  
372 adaptive dynamics framework, spawning more frequently (increasing dispersion and upstream  
373 retention), together with the effect of longer pelagic larval durations (increasing dispersion and  
374 fecundity), allows longer  $T_{PLD}$  to become evolutionarily stable in addition to short pelagic larval  
375 durations. In contrast, short pelagic larval durations are the only evolutionarily stable strategy in  
376 non-overlapping generations with high scaled alongshore flow.

377

### 378 **Evolution of the number of spawning events ( $N_{spawn}$ )**

379 Larger interannual fluctuations typically lead to greater evolutionarily stable numbers of  
380 spawning events (Figure 4, but see Figure A1 for a counter example). In addition, longer pelagic

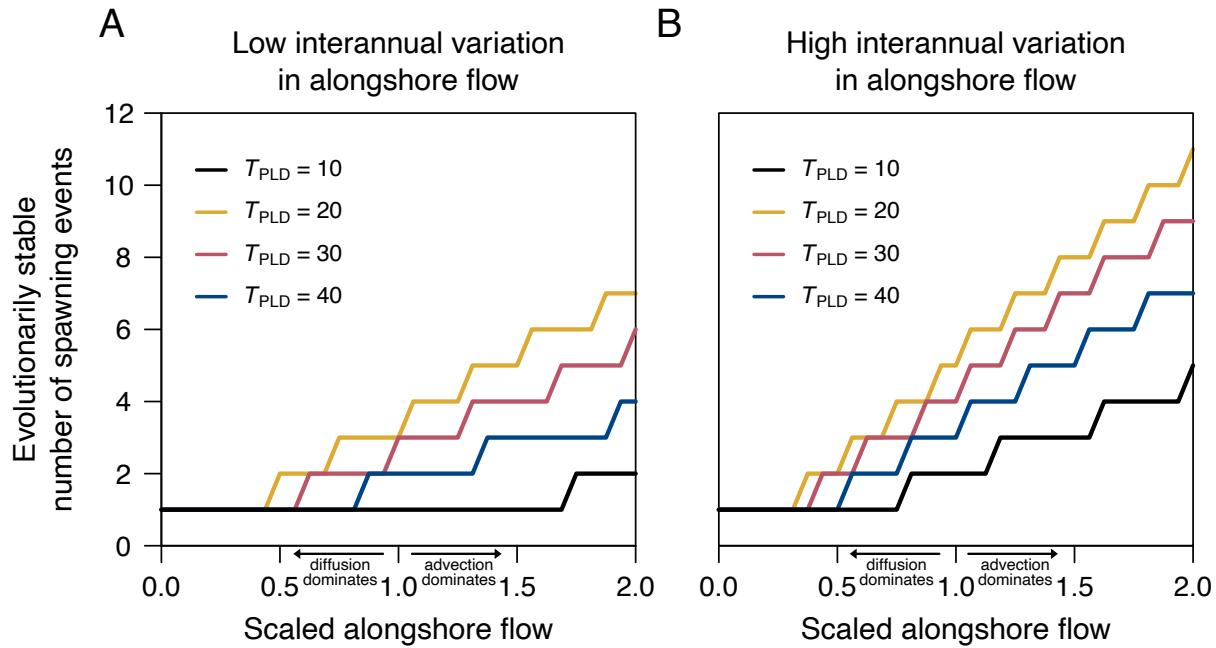
381 larval durations and greater scaled alongshore flow speeds also lead to greater evolutionarily  
382 stable number of spawning events (Figure 4). At low scaled alongshore flow, the evolutionarily  
383 stable number of spawning events is always 1 because increasing  $N_{spawn}$  increases the diffusion  
384 experienced over the lifetime on an individual (increasing  $L_{diffeffect}$  when  $\sigma_{IA}>0$ ), and increases the  
385 number of offspring dispersing away from the source location which reduces local growth rates.  
386 As scaled alongshore flow increases however, the evolutionarily stable number of spawning  
387 events increases to offset the increased loss of larvae downstream, and it increases quicker with  
388 longer pelagic larval durations (Figure 4). Longer pelagic larval durations lead to greater  
389 downstream losses, more so as scaled alongshore flow increases. Therefore, more frequent  
390 spawning evolves to increase retention and offset the downstream losses from increasingly  
391 longer pelagic larval durations.

392 Adult mortality has the effect of decreasing the rate at which the evolutionarily stable  
393 number of spawning events increases with scaled alongshore flow (Figure 4 vs Figure A2). With  
394 adult mortality, individuals with higher  $N_{spawn}$  values have lower expected lifetime fecundity than  
395 when there is no adult mortality (Figure 4). For a given pelagic larval duration, the evolutionarily  
396 stable number of spawning events is not affected by any increase in fecundity that might arise  
397 from repeated spawning because we deliberately held potential lifetime fecundity constant for all  
398  $N_{spawn}$  values.

399

400

401



402

403 **Figure 4.** Effect of scaled alongshore flow and pelagic larval duration ( $T_{PLD}$ ) on the  
404 evolutionarily stable number of spawning events ( $N_{spawn}$ ) when there is adult mortality  $A_m=0.1$ .  
405 Panel A shows results when the standard deviation of interannual mean flow rates  $\sigma_{IA}=0.012$   
406 meters/second and panel B shows results for when  $\sigma_{IA}=0.035$  meters/second. Different colors  
407 denote different pelagic larval durations as indicated in the figure legend. All other parameters  
408 are the same as in figure 2.

409

#### 410 **Coevolution of pelagic larval duration and the number of spawning events**

411 When pelagic larval duration and the number of spawning events coevolve, three insights  
412 emerge (Figure 5). First, when scaled alongshore flow is low, a single long pelagic larval  
413 duration and a single small number of spawning events are evolutionarily stable, and similar to  
414 when they evolve independently. Second, when scaled alongshore flow is high, a single short  
415 pelagic larval duration and a single large number of spawning events are evolutionarily stable,

416 also similar to when they evolve independently. Third, and in contrast to outcomes when each  
417 trait evolves independently, at intermediate scaled alongshore flows, two combinations of  
418 pelagic larval duration and number of spawning events are evolutionarily stable: one with a long  
419 pelagic larval duration and many spawning events, and another with a short pelagic larval  
420 duration and few spawning events (Figure 5). In particular, two evolutionarily stable numbers of  
421 spawning events at higher scaled alongshore flow was not predicted when considering the  
422 evolution of the number of spawning events in isolation (Figure 4). With greater degrees of  
423 interannual variation, there is a greater range of scaled alongshore flow values that lead to two  
424 evolutionarily stable combinations of pelagic larval duration and number of spawning events.  
425 The initial trait values determine whether the traits evolve to the upper or lower ESSs (Figure  
426 A3)

427 An outcome of coevolution at low scaled alongshore flow values is that the evolutionarily  
428 stable pelagic larval duration does not always decrease monotonically with increased scaled  
429 alongshore flow as it does when pelagic larval duration evolves independently (compare Figure 2  
430 to Figure 5). The ESS  $T_{PLD}$  can increase with an increased scaled alongshore flow (e.g., scaled  
431 advection  $\sim 0.5\text{--}0.7$  in Figure 5A) when selection favors an increase in spawning frequency,  
432 which then allows a higher pelagic larval duration to be stable in that current (Figure 4).

433 At intermediate values of scaled alongshore flow where two combinations of pelagic  
434 larval duration and number of spawning events are evolutionarily stable, the evolution of  
435 spawning events effects the maximum value of scaled alongshore flow where the upper ESS  
436 combination is stable. As seen in Figure 2, if only  $T_{PLD}$  evolves, the upper ESS remains stable  
437 with higher scaled alongshore flow if there is a higher value of  $N_{spawn}$ . Therefore, if there is a  
438 higher ESS  $N_{spawn}$  for a given scaled alongshore flow in the coevolutionary model, the upper ESS

439  $N_{\text{spawn}}$  values remain stable with more scaled alongshore flow. In contrast, the evolution of  
440 spawning frequency has little effect on pelagic larval duration in the lower ESS combination.  
441 The lower ESS  $T_{\text{PLD}}$  is always the minimum possible value and thus the lower ESS  $N_{\text{spawn}}$  evolves  
442 how as it does when it evolved independently with a fixed  $T_{\text{PLD}}$  (Figure 4). At the highest values  
443 of scaled alongshore flow, the upper ESS combination is no longer stable and only the lower  
444 combination of traits remains.

445

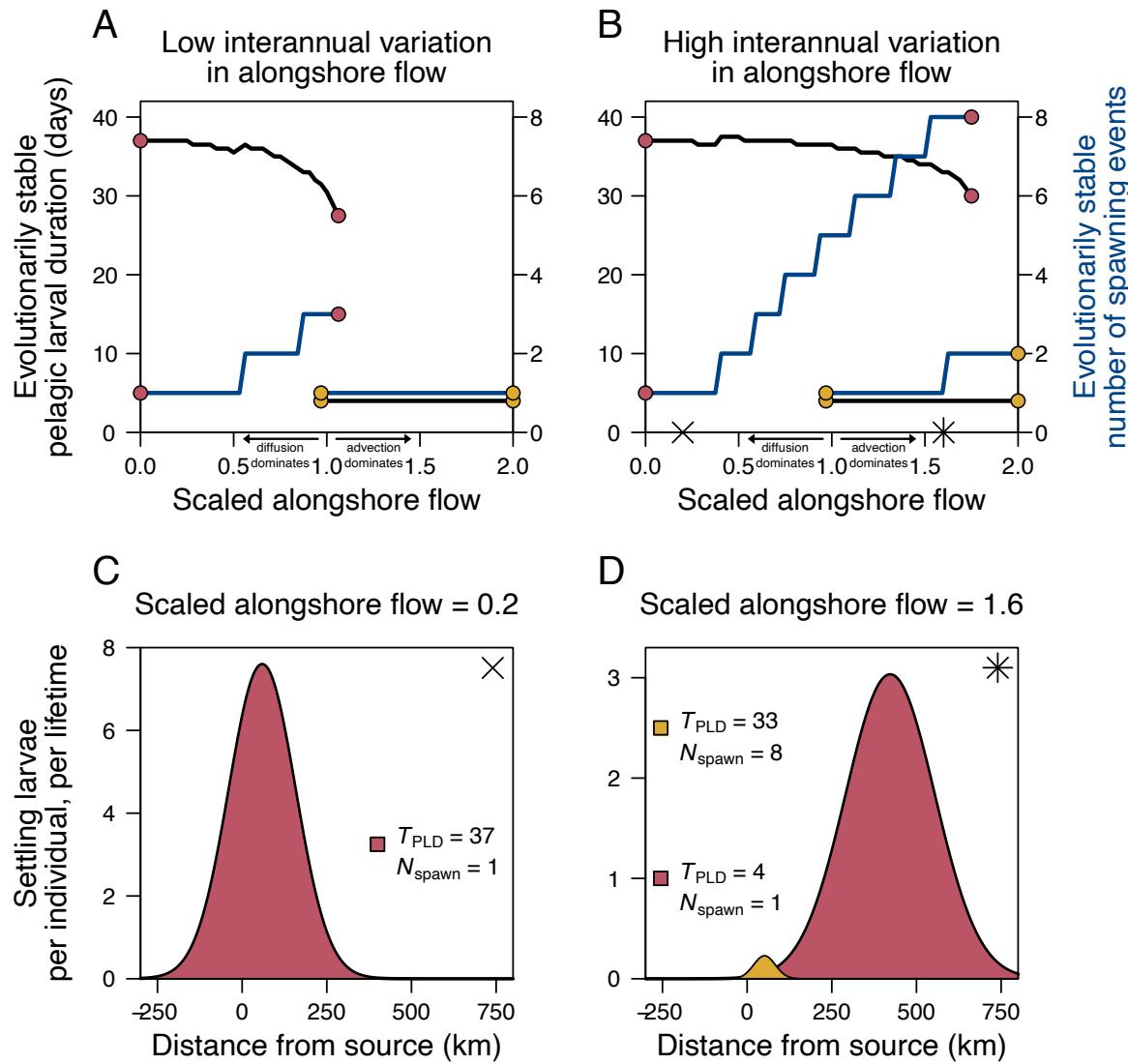
446 *Effects of coevolution of pelagic larval duration and the number of spawning events on lifetime*  
447 *dispersal kernels*

448 The theory presented here shows how three key aspects of coastal oceanographic regimes  
449 (mean alongshore currents  $U$ , short-term stochasticity in currents  $\sigma$ , and interannual variation in  
450 mean alongshore currents inter  $\sigma_{\text{IA}}$ ) select on both larval and adult traits to increase upstream  
451 retention and alter the expected mean and spread of lifetime dispersal kernels.

452 When scaled alongshore flow is low, organisms evolve a long pelagic larval duration and  
453 a single spawning release, which results in a high fecundity and dispersal kernels with a mean  
454 shifted downstream and high variance (e.g., Figure 7C). When scaled alongshore flow is high,  
455 organisms evolve short pelagic larval durations and fewer spawning events, which results in a  
456 low fecundity and evolutionarily stable dispersal kernels with a low downstream mean and low  
457 variance (e.g., orange distribution in Figure 7D). However, when interannual variation in mean  
458 alongshore currents is high, a second dispersal kernel with a mean distance shifted downstream  
459 and a much higher spread in dispersal distances is also evolutionarily stable (e.g., red distribution  
460 in Figure 7D). This second dispersal kernel is the result of selection for a long pelagic larval  
461 duration (which leads to high fecundity) and high spawning frequency, which is just another way

462 to counter the downstream losses of larvae, but results in much greater mean and variance in  
463 dispersal distances as a consequence.

464



465

466 **Figure 5.** Coevolution of pelagic larval duration ( $T_{PLD}$ ) and number of spawning events ( $N_{spawn}$ ).  
467 Top panels show the evolutionarily stable values of  $T_{PLD}$  (black lines) and  $N_{spawn}$  (blue lines) for  
468 different degrees of scaled alongshore flow with a low standard deviation of interannual mean  
469 flow rates (A;  $\sigma_{IA}=0.012$  meters/second) or high standard deviation of interannual mean flow

470 rates (B;  $\sigma_{IA}=0.035$  meters/second). Lines denoted with the same color circles are evolutionarily  
471 stable together. Note that at intermediate values of scaled alongshore flow there are two  
472 combinations of  $T_{PLD}$  and  $N_{spawn}$  that are evolutionarily stable: one where both  $T_{PLD}$  and  $N_{spawn}$  are  
473 at high values and where they are both at low values. Bottom panels show the distribution of  
474 larvae released by one individual over its lifetime for different evolutionarily stable life history  
475 strategies that emerge with high interannual variation in alongshore flow. Panel C shows a case  
476 with low scaled alongshore flow when there is only one evolutionarily stable life history and  
477 panel D shows a case with intermediate scaled alongshore flow when there are two  
478 evolutionarily stable life history strategies. Note difference in scale bars between panels C and D.  
479 Parameters the same as in Figure 2.

480

## 481 **Discussion**

482 We sought to understand how coastal oceanographic processes affect the evolution of  
483 marine life history traits and how that could indirectly affect the expected distribution of  
484 dispersal distances. Along most coastlines, there is usually a dominant water flow direction that  
485 biases larval dispersal downstream, and stochastic events during dispersal (like eddies and  
486 weather), as well as seasonal and yearly changes in mean flow speed and direction, that slow or  
487 reverse currents allowing occasional upstream retention (Largier 2003; Lumpkin and Garraffo  
488 2005; Shanks and Eckert 2005). These common features of coastal environments act as agents of  
489 selection on marine life history traits that affect dispersal, and could potentially explain the  
490 evolution of dispersal without invoking the traditional causes of inbreeding, kin competition, and  
491 environmental variability. The new and key results emerging from our theory are especially  
492 relevant on coastlines with relatively high mean flow rates and high interannual variation in flow

493 rates (Largier 2003; Lumpkin and Garraffo 2005). First, selection induced by coastal  
494 oceanography favors the release of larvae over multiple time periods, rather than all at once.  
495 Releasing larvae on multiple occasions allows individuals to retain more larvae to avoid  
496 extinction from net downstream larval loss by increasing the variance in their lifetime dispersal  
497 kernel. Doing so reduces the costs of long pelagic larval durations predicted in Pringle et al  
498 (2013). Costs are reduced by offsetting downstream losses under strong currents, allowing long  
499 pelagic larval durations to be maintained in marine life cycles if it allows individuals to access  
500 greater fecundity through reduced parental investment per offspring. Second, while pelagic larval  
501 duration and the number of spawning events both affect dispersal, the evolution of the number of  
502 spawning events affects the evolution of pelagic larval duration, and vice versa. Such  
503 coevolution between larval and adult traits changes how currents affect the evolution of each trait  
504 separately and the expected dispersal distances that evolve in a given current regime. Third, the  
505 same current regime can give rise to populations with quite different evolutionarily stable pelagic  
506 larval durations and spawning frequencies. Finally, the evolution of quite different pelagic larval  
507 durations and spawning frequency gives rise to dispersal kernels with very different means and  
508 variances in dispersal distances. Our model is structured in such a way that it can be  
509 parameterized with data to explore specific situations. The main implication of our findings is  
510 that the statistics of coastal ocean flows are important agents of selection that can generate  
511 multiple, often co-occurring, evolutionary outcomes for marine life history traits that affect  
512 dispersal.

513 Our findings offer a new explanation for the disconnect between the diversity of pelagic  
514 larval durations and spawning frequencies found co-occurring in nature and the predictions from  
515 classic marine life history theory that species should produce either many small eggs or few large

516 eggs (Vance 1973), or a single intermediate optimal egg sizes depending on larval growth and  
517 mortality rates (e.g., Levitan 2000). A given combination of larval growth and mortality rates can  
518 lead to a range of evolutionarily stable life history strategies depending on the oceanographic  
519 conditions during the time in which larvae are released. Our adaptive dynamics approach allows  
520 us to identify oceanographic conditions where two different life history strategies are  
521 evolutionarily stable, and which one evolves depends on a population's evolutionary starting  
522 point. For instance, closely related species might evolve very different pelagic larval durations  
523 and spawning frequencies if they live on coastlines with different currents, or spawn at different  
524 times of the year with different currents. Moreover, even on the same section of coast, similar  
525 species could evolve dramatically different pelagic larval durations and spawning frequencies  
526 simply because of their different evolutionary histories. Combining these effects with among-  
527 species differences in larval growth rates, larval mortality rates, adult mortality rates, and other  
528 parameters, all of which lead to different evolutionarily stable life histories, it becomes clearer  
529 how a diversity of life histories can be seen in nature on any given stretch of coastline.

530 By showing how dispersal kernels can be shaped by the coevolution of larval and adult  
531 traits, our results imply that considering either larval or adult traits in isolation might produce  
532 incorrect predictions about how life history traits and dispersal kernels evolve. Previous marine  
533 dispersal theory has either modeled the dispersal kernel inherently as an unconstrained trait  
534 responding to habitat heterogeneity (Shaw et al. 2019) or only modeled selection on larval traits  
535 (Pringle et al. 2014). Byers and Pringle (2006) showed that spawning over multiple time periods  
536 could increase population persistence and spread through upstream retention but did not consider  
537 the evolution of either pelagic larval duration or spawning frequency. Shanks and Eckert  
538 compiled data on nearshore and shelf/slope fishes and crustaceans and made the case that both

539 adult traits (e.g., longevity, the number of broods per year) and larval traits (e.g., pelagic larval  
540 durations) have evolved to exploit eddies and counter-currents to aid in larval retention. Our  
541 theory provides a framework to understand how selection for larval retention influences the  
542 evolution of both larval and adult traits.

543 In the presented form, our model makes qualitative predictions about the evolution of  
544 marine life histories for a broad range of realistic parameters, but its integral projection model  
545 structure makes it easily adaptable to match specific systems. When populations are structured in  
546 multiple dimensions (e.g., space and age), integral projection models typically require the  
547 estimation of many fewer parameters than an equivalent matrix population model (Ellner and  
548 Rees 2006). Empirical estimates of the parameters in our model could be used to give specific  
549 predictions about evolutionary outcomes in specific situations. Perhaps more usefully, however,  
550 any of the functions that give transition probabilities between stages could also be replaced with  
551 empirically estimated relationships. For instance, we assume a specific relationship between egg  
552 size and the probability of surviving the pelagic larval stage (eq. 1–3). Researchers interested in a  
553 specific species could instead estimate this relationship by collecting data and fitting a statistical  
554 model, such as (Graham et al. 2008; Connolly and Baird 2010; Moneghetti et al. 2019). This new  
555 estimated function could then replace equation 3 and thus  $f$  in equation 8. It is important to note  
556 though, when applying our model to real systems, researchers should take care to estimate  
557 oceanographic statistics on a spatiotemporal scale relevant to their study species. For instance,  
558 the annual mean alongshore flow rate might be an inappropriate measure for predicting life  
559 history evolution of a species that only spawns in April each year.

560 Many of the qualitative predictions from our models match empirical patterns. For  
561 instance, the prediction that there should be shorter pelagic larval durations with stronger scaled

562 alongshore flow is supported by evidence that the proportion of marine invertebrate species with  
563 planktotrophic decreases with scaled alongshore flow rate (Marshall et al. 2012; Pringle et al.  
564 2014). Data from the fishes and crustaceans off the coast of California also support our  
565 predictions that species will have longer pelagic larval durations with greater short-time scale  
566 fluctuations in alongshore flow and higher spawning frequencies with greater inter-spawning-  
567 event variation in alongshore flow (Shanks and Eckert 2005). Shanks and Eckert (2005) also  
568 found a positive correlation between maximum age and pelagic larval duration, which matches  
569 our coevolutionary predictions if living longer equates to more spawning events. Empirical  
570 studies also emphasize a factor not included in our model, the timing of spawning (e.g., Morgan  
571 and Christy 1995; Reitzel et al. 2004; Shanks and Eckert 2005), which affects the scaled  
572 alongshore flow and interannual variation experienced, finding that a disproportionate number of  
573 species have evolved to spawn during seasons with relatively low alongshore flow rates or across  
574 months when currents reverse directions (Shanks and Eckert 2005; Byers and Pringle 2006).  
575 Other factors not included in our model are cross-shore currents and larval swimming behavior  
576 which could interact to affect the realized scaled alongshore flow (Largier 2003; Meyer et al.  
577 2021a). However, like all simplifications of complex phenomena, our model serves the purpose  
578 of re-orientating and focusing empirical research, and learning why observations match or do not  
579 match model predictions. In particular, it provides predictions of the parameter space where  
580 larval behaviors would have greater or less impact and how they could possibly substitute for the  
581 role of pelagic larval duration or spawning frequency.

582 In the future, our model could be extended to include other concepts from the marine  
583 dispersal literature such as non-Gaussian dispersal kernels (Pringle et al. 2009; Chiswell 2012;  
584 Stover et al. 2014), non-feeding larvae (Marshall and Bolton 2007; Marshall and Keough 2007),

585 swimming behavior (Meyer et al. 2021a; Burgess et al. 2022), or spatial heterogeneity in habitat  
586 availability or quality (Baskett et al. 2007; Meyer et al. 2021b). Ultimately, a comprehensive  
587 theory of dispersal evolution, applicable to both terrestrial and marine organisms, will integrate  
588 the ideas discussed here that focus on the evolution of traits that give rise to dispersal outcomes  
589 with key factors in dispersal evolution theory that directly cause selection on dispersal outcomes  
590 (e.g., variation in local conditions, kin selection, and inbreeding depression; Clobert et al. 2012).  
591

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