

1 **Validation and Establishment of a SARS-CoV-2 Lentivirus Surrogate**
2 **Neutralization Assay as a pre-screening tool for the Plaque Reduction**
3 **Neutralization Test**

4
5 Running title (53 characters): SARS-CoV-2 Lentivirus Neutralization Assay Validation

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17 **Abstract**

18 Neutralization assays are important in understanding and quantifying neutralizing
19 antibody responses towards SARS-CoV-2. The SARS-CoV-2 Lentivirus Surrogate
20 Neutralization Assay (SCLSNA) can be used in biosafety level 2 (BSL-2) laboratories
21 and has been shown to be a reliable, alternative approach to the plaque reduction
22 neutralization test (PRNT). In this study, we optimized and validated the SCLSNA to
23 assess its ability as a comparator and pre-screening method to support the PRNT.
24 Comparability between the PRNT and SCLSNA was determined through clinical
25 sensitivity and specificity evaluations. Clinical sensitivity and specificity produced
26 acceptable results with 100% (95% CI: 94-100) specificity and 100% (95% CI: 94-100)
27 sensitivity against ancestral Wuhan spike pseudotyped lentivirus. The sensitivity and
28 specificity against B.1.1.7 spike pseudotyped lentivirus resulted in 88.3% (95% CI: 77.8
29 to 94.2) and 100% (95% CI: 94-100), respectively. Assay precision measuring intra-
30 assay variability produced acceptable results for High (1: \geq 640 PRNT₅₀), Mid (1:160
31 PRNT₅₀) and Low (1:40 PRNT₅₀) antibody titer concentration ranges based on the
32 PRNT₅₀, with %CV of 14.21, 12.47, and 13.28 respectively. Intermediate precision
33 indicated acceptable ranges for the High and Mid concentrations, with %CV of 15.52
34 and 16.09, respectively. However, the Low concentration did not meet the acceptance
35 criteria with a %CV of 26.42. Acceptable ranges were found in the robustness
36 evaluation for both intra-assay and inter-assay variability. In summary, the validation
37 parameters tested met the acceptance criteria, making the SCLSNA method fit for its
38 intended purpose, which can be used to support the PRNT.

39 **Introduction**

40 The COVID-19 pandemic has caused an unprecedented amount of 448,624,192
41 confirmed cases and 6,507,879 deaths worldwide as of September 9, 2022
42 (<https://www.worldometers.info/coronavirus/>). However, the rapid development and
43 administration of vaccines such as Pfizer-BioNTech and Moderna have contributed in
44 helping prevent severe disease and mortality among infected individuals (1–3). As the
45 COVID-19 pandemic unfolded over time, it was shown that the spike glycoprotein found
46 in SARS-CoV-2 virus membrane can undergo mutations resulting in variants that can
47 evade neutralizing antibodies generated against previous iterations of spike, leading to
48 new waves of infection (4, 5). Breakthrough infections have been a challenge
49 throughout the pandemic and neutralization studies are important in analyzing the
50 neutralizing antibody response, which plays an essential role in preventing severe
51 infection and for assessing vaccine candidate suitability (6, 7).

52 The PRNT assay is the current gold-standard neutralization assay; however, this
53 method is labor intensive and requires the use of a Biosafety Level 3 (BSL-3) or higher
54 containment laboratory (8–12). In addition, the PRNT assay relies on visualization of
55 plaques formed by the virus, resulting in longer turnaround time (TAT) from sample
56 receipt to result (13, 14). Such limitations present challenges in sample processing and
57 throughput capabilities and alternate methodologies are required to help circumvent
58 these difficulties. The SCLSNA is one such approach that does not have the same
59 logistical challenges associated with the PRNT assay; SCLSNA can be safely
60 performed in BSL-2 laboratories, it is amenable to high-throughput and has a relatively
61 faster TAT of 48 hours (15–18). The SCLSNA incorporates the use of lentiviruses
62 pseudotyped with SARS-CoV-2 spike protein, which can serve as a surrogate virus to

63 quantitate neutralizing antibodies generated against the SARS-CoV-2 spike protein (19,
64 20). The lentivirus particles used in this study are second generation lentiviral vectors
65 that do not contain accessory virulence genes such as *vif*, *vpu* and *nef*, rendering them
66 replication incompetent and allowing for safe use in a BSL-2 laboratory (21).

67 In this study, we performed a method validation to determine if the SCLSNA is fit for its
68 intended purpose as a reliable comparator and screening method to complement the
69 PRNT (22). Following guidelines recommended by the WHO and Food and Drug
70 Administration (FDA), this study targeted validation parameters such as precision,
71 repeatability, robustness, linearity, LOD and LOQ (22, 23). We optimized the SCLSNA
72 to confirm optimal assay parameter conditions and to limit variation, as well as assess
73 clinical sensitivity and specificity studies in comparison to the PRNT.

74 **Materials and Methods**

75 **Study population and specimen collection**

76 Plasma samples used in the validation were obtained from the National Microbiology
77 Laboratory NML COVID-19 National Panel (NML CNP) under the approval of the
78 Research Ethics Board (REB-2020-004P). Samples from patients that previously tested
79 positive for SARS-CoV-2 by quantitative reverse transcription PCR (RT-qPCR) were
80 included in the NML COVID-19 National Panel. Blood draw collection dates took place
81 from May 13, 2020 to August 22, 2020. All samples were collected from various
82 provinces nation-wide through the Canadian Blood Services (24). Plasma samples were
83 heat-inactivated for 30 minutes at 56°C, then stored at -80°C until testing was
84 performed.

85 **Cell lines**

86 For the SCLSNA, HEK293T/ACE2-TMPRSS2 cells (GeneCopoeia™, Rockville, MD)
87 were used for infection by pseudotyped lentivirus. These cells stably express
88 angiotensin-converting enzyme 2 (ACE2) and transmembrane serine protease 2
89 (TMPRSS2), which are important for infection by SARS-CoV-2 and other pseudotyped
90 viruses expressing SARS-CoV-2 spike on their surface.

91 For pseudotyped lentivirus production, AAVpro 293T cells (TaKaRa Bio, San Jose, CA)
92 were used to transfect the envelope plasmid, transfer plasmid and packaging plasmid.
93 All cell lines were incubated in a 5% CO₂ incubator at 37°C with DMEM (Gibco,
94 Waltham, MA) supplemented with 10% heat-inactivated fetal bovine serum, 1%
95 penicillin/streptomycin, 1% L-glutamine and 1% sodium pyruvate (DMEM10) (Gibco,
96 Waltham, MA).

97 **SARS-CoV-2 pseudotyped virus production**

98 All assays and lentivirus preparations were performed in BSL-2 conditions unless noted
99 differently. AAVpro 293T cells (Takara Bio, San Jose, CA) were used to generate the
100 SARS-CoV-2 spike pseudotyped lentiviruses in 10 – 150 mm Corning dishes (Millipore
101 Sigma, St.Louis, MO). Briefly, psPAX2 empty vector HIV packaging plasmid (addgene,
102 Watertown, MA, a gift from Didier Trono), SARS-CoV-2 spike protein (ancestral Wuhan
103 or B.1.1.7) envelope expression plasmid (GeneCopoeia™, Rockville, MD) and pHAGE-
104 CMV-Luc2-IRES-ZsGreen-W transfer vector plasmid (a kind gift from Jesse Bloom)
105 were transfected into each plate at 42.19 µg, 60.94 µg, and 60.94 µg, respectively.
106 Transfections were performed using CalPhos Mammalian Transfection Kit (TaKaRa Bio,
107 San Jose, CA) and plates incubated in a 5% CO₂ incubator at 33°C for 16 hours with

108 DMEM10. Following incubation, the media was replaced with 11 mL of fresh DMEM10
109 and incubated for an additional 18-24 hours.

110 Culture supernatants were clarified by centrifugation at 500g, 4°C for 5 minutes using a
111 Sorvall ST-40R, TX-1000. Supernatants were pooled and filtered using a 0.45 µm PES
112 filter (ThermoFisher Scientific, Waltham, MA) and ultracentrifuged in ultra-clear round
113 bottom tubes (FisherScientific, Waltham, MA) using an Optima™ L-90K ultracentrifuge
114 and SW 32 Ti Swinging-Bucket Rotor at 16°C for 2.5 hours at 50 000g. Pellets were
115 resuspended in 1X PBS, aliquoted and stored at -80°C.

116 **SARS-CoV-2 Lentivirus Surrogate Neutralization Assay**

117 Neutralization was measured by the reduction of luciferase expression for samples
118 incubated with pseudotyped lentivirus relative to luciferase expression in control wells
119 containing only SARS-CoV-2 pseudotyped lentivirus and cells. The half-maximal
120 inhibitory concentration (IC_{50}) was used as the reportable value for the SCLSNA and
121 generated using GraphPad Prism software v.9.3. Sample dilutions were logarithm
122 transformed (log10) and all raw data were normalized to a common scale(25). For data
123 normalization, the wells containing pseudotyped lentivirus + HEK293T/ACE2-TMPRSS2
124 cells were defined as “0% neutralization” and wells containing only HEK293T/ACE2-
125 TMPRSS2 cells were defined as “100% neutralization”. A nonlinear regression curve
126 was used to determine the IC_{50} values for the samples once the relative luminescence
127 units (RLU) decreased to half the response of the virus control wells.

128 In preparation for the SCLSNA, HEK293T/ACE2-TMPRSS2 cells were seeded at 1×10^4
129 cells/mL in poly-L-lysine pre-coated plates (Corning, Glendale, ARI). Cells were
130 incubated in a 5% CO₂ incubator at 37°C for 18-24 hours prior to performing the assay.

131 Test samples were diluted 1:20 followed by an eight step 2-fold serial dilution. After
132 addition of pseudotyped lentiviruses, plates containing serially diluted test sample and
133 pseudovirus were incubated for 1 hour at 37°C. Prior to HEK293T/ACE2-TMPRSS2 cell
134 infection, DMEM10 cell culture media was replaced with DMEM containing 5% FBS, 5
135 µg/mL polybrene. The diluted test sample containing pseudovirus mixture was
136 transferred to the cell plate and incubated in 5% CO₂ at 37°C for 48 hours.
137 Luminescence was detected using the Bright-Glo™ Luciferase Assay System
138 (Promega, Madison, WI) and a Biotek Cytation 1 imaging reader. Raw data was
139 obtained on a Biotek Gen5™ Microplate reader and data analysis was performed on
140 GraphPad Prism version v.9.3.

141 **SARS-CoV-2 Plaque-Reduction Neutralization Test**

142 The SARS-CoV-2 PRNT was adapted from a previously described method for SARS-
143 CoV-1 (26). Briefly, serially diluted serological specimens were mixed with diluted
144 SARS-CoV-2 at 100 plaque-forming units (PFU)/100 µL in a 96-well plate. The
145 antibody-virus mixture was added in duplicate to 12-well plates containing pre-plated
146 Vero E6 cells. All plates were incubated at 37°C with 5% CO₂ for 1 hour of adsorption,
147 followed by the addition of a liquid overlay. The liquid overlay was removed after a 3-
148 day incubation and cells were fixed with 10% neutral-buffered formalin. The monolayer
149 in each well was stained with 0.5% crystal violet (w/v) and the average number of
150 plaques was counted for each dilution. The reciprocal of the highest dilution resulting in
151 at least 50% and 90% reduction in plaques (when compared with controls) were defined
152 as the PRNT₅₀ and PRNT₉₀ titers, respectively. PRNT₅₀ titers and PRNT₉₀ titers ≥ 20

153 were considered positive for SARS-CoV-2 neutralizing antibodies, whereas titers < 20
154 were considered negative (8).

155 **Statistical analysis and visualization**

156 Neutralization was determined by IC_{50} once plasma samples reduced the RLU by 50%
157 relative to the virus control wells. Plasma sample dilutions were log-transformed,
158 normalized and plotted using nonlinear regression to obtain the IC_{50} values. Based on
159 the FDA guidelines, sample suitability acceptance criteria was set at 20% coefficient of
160 variation (CV) between sample replicates and a goodness of fit (R^2) of ≥ 0.700 (22).
161 Assay suitability acceptance criteria within the virus and cell control replicates for each
162 assay was set at 30% CV and a difference of $\geq 1000X$ (at least 3 logs above
163 background) between the virus control and cell control RLU (19). All data analysis was
164 performed using GraphPad Prism v.9.3 software.

165 Clinical specificity and sensitivity of the SCLSNA was compared to the gold-standard
166 PRNT assay. Sixty samples from the NML COVID-19 National Panel that tested
167 positive for SARS-CoV-2 neutralizing antibodies (NAbs) and sixty pre-COVID-19
168 samples negative for SARS-CoV-2 NAbs were used in the comparison. Contingency
169 tables were generated to calculate the sensitivity and specificity values.

170 Repeatability (intra-assay precision) was examined to measure the degree of
171 agreement between results from different assays of the same homogenous sample
172 material (23). Three different concentrations were used and classified as “High” ($1:\geq 640$
173 $PRNT_{50}$), “Mid” ($1:160 PRNT_{50}$) and “Low” ($1:40 PRNT_{50}$) based on our in-house
174 $PRNT_{50}$ titer results. Each sample was processed in triplicate on two separate weeks for
175 a total of six determinations each. The analysts performed the assay using the same

176 equipment and test conditions each week under approximately the same timeframe.

177

178 Reproducibility (inter-assay variability) examined the degree of agreement between
179 individual results using the same homogenous sample material from different analysts.

180 Three different concentrations were assessed on different days between different
181 analysts.

182 Robustness was determined by examining the ability of the SCLSNA to provide
183 analytical results of acceptable accuracy and precision under different conditions. Three
184 concentrations of test sample were tested in triplicate on two different weeks for a total
185 of six determinations for each sample. The detection method compared two
186 luminometers in different operational conditions. The Agilent BioTek Cytation1 and
187 Promega's Glo-Max® Navigator were used for comparison.

188 Assay performance and acceptance criteria were based off the %CV, which measures
189 relative variability. The acceptance range used throughout the validation for the
190 repeatability, reproducibility and robustness was $\leq 20\%$ following FDA guidelines (22).

191 To determine linearity within the SCLSNA, a WHO international reference panel for anti-
192 SARS-CoV-2 immunoglobulin was used to assess the ability of the assay to produce
193 results that are directly proportional to the concentration of an analyte. The reference
194 panel (NIBSC) consisted of pooled plasma from individuals from the United Kingdom or
195 Norway who recovered from COVID-19 (<https://www.nibsc.org/documents/ifu/20-268.pdf>). The negative control consists of pre-COVID-19 plasma from healthy blood
197 donors, collected before 2019.

198 **Results**

199 **Cell seeding optimization**

200 A cell seeding optimization experiment for HEK293T/ACE2-TMPRSS2 cells was
201 performed to determine the optimal sensitivity for the SCLSNA while trying to maximize
202 pseudotyped lentivirus infection and minimize variation between sample replicates. A
203 high titer sample ($\geq 1:640$ PRNT₅₀) was tested against an ancestral Wuhan spike
204 pseudotyped lentivirus with nine cell seeding densities ranging from 7.8×10^2 to 2.0×10^5
205 cells/well (Figure 1). The selection for the optimal cell density was based on the
206 combination of the cell density ($> 1 \times 10^3$ cells/well), IC₅₀ (> 640) and goodness of fit ($R^2 >$
207 0.9). The results indicate IC₅₀ values > 640 and $R^2 > 0.9$ for cell densities between
208 7.8×10^2 to 6.3×10^3 , but these seeding densities were not selected due to the potential of
209 increased variability in SCLSNA testing observed in the lower cell densities (9). The cell
210 densities above 1×10^4 cells/well demonstrated a reduced IC₅₀ or R^2 . Thus, the cell
211 density of 1.0×10^4 cells/well was selected as an optimal cell seeding density for the
212 SCLSNA (Table 1).

213 **Pseudovirus titration**

214 Pseudovirus titration using ancestral Wuhan spike pseudotyped lentivirus was
215 performed to identify optimal cell density corresponding to high RLU pseudovirus signal.
216 Establishing a high pseudovirus RLU signal is required to create a sufficient signal
217 above the cell-only background of at least 1000-fold in order to determine reportable
218 IC₅₀ values that meet the acceptance criteria (19). Pseudovirus was initially diluted 100-
219 fold followed by an 8-step 2-fold serial dilution. A decrease in pseudovirus RLU signal
220 was shown at cell densities above 2.5×10^4 cells/well and below 1.3×10^4 cells/well. High

221 RLU values were observed at 1.30×10^4 cells/well, showing a linear response from the
222 serial dilutions, which were used to justify the cell density selection at 1.30×10^4
223 cells/well (Figure 1).

224 **Clinical Specificity and Sensitivity**

225 Specificity and sensitivity were examined against the ancestral Wuhan and B.1.1.7
226 spike pseudotyped lentiviruses, in comparison to the gold-standard PRNT assay. Sixty
227 positive samples for SARS-CoV-2 from the NML COVID-19 National Panel and sixty
228 SARS-CoV-2 negative pre-COVID-19 samples were used for the experiment. The
229 results for both parameters against ancestral Wuhan spike pseudotyped lentivirus were
230 acceptable, achieving 100% (95% CI: 94-100) specificity and 100% (95% CI: 94-100)
231 sensitivity. For B.1.1.7 spike pseudotyped lentivirus, sensitivity of 88.3% (95% CI: 77.8
232 to 94.2) and specificity of 100% (95% CI: 94-100) were achieved (Table 2). A perfect
233 interrater agreement with the PRNT₅₀ was demonstrated against the ancestral Wuhan
234 spike pseudotyped lentivirus and almost perfect agreement (κ -value 0.883) shown with
235 the B.1.1.7 spike pseudotyped lentivirus against the PRNT₅₀ respectively (Table 2).

236 **Validation of the SCLSNA**

237 Guidelines used for the validation were based on the WHO (23) and FDA (27).
238 Validation parameters assessed in this study include precision (repeatability,
239 intermediate precision), robustness, linearity, limit of detection and quantification, as
240 described below. Accuracy was not assessed due to the limitation in accurately
241 comparing reportable values between the IC_{50} of the SCLSNA and $PRNT_{50}$.

242 ***Precision***

243 *Repeatability (intra-assay precision)*

244 Repeatability was measured using three concentrations that was based on our in-house
245 $PRNT_{50}$ titer results. The concentrations consisted of “High” ($1: \geq 640 PRNT_{50}$), “Mid”
246 ($1:160 PRNT_{50}$) and “Low” ($1:40 PRNT_{50}$) samples. Analysts processed each sample in
247 triplicate on three separate weeks for a total of nine determinations each (Figure 2).
248 %CV for each concentration were within the acceptance criteria of $\leq 20\%$ CV, with
249 values of 14.21 %CV (High), 12.47% (Mid) and 13.28% CV (Low). Weekly comparisons
250 were within the acceptable range with %CV of 14.44% (Week 1), 18.79% (Week 2) and
251 9.696% (Week 3).

252 *Intermediate precision (inter-assay variability)*

253 Inter-assay variability was assessed by comparing the same homogeneous sample
254 between different analysts tested on different weeks. Each analyst tested six replicates
255 of High, Mid and Low samples from the NML CNP on three separate weeks for eighteen
256 determinations (Figure 2, 3). %CV for High and Mid samples between analysts were
257 within acceptable range, with %CV of 15.52% (High) and 16.09% (Mid). %CV for Low

258 did not meet acceptance criteria, with a %CV of 26.42%, indicating slightly higher
259 variation within the Low samples between analysts.

260 *Robustness*

261 Robustness of the SCLSNA was examined to measure the ability of the procedure to
262 provide analytical results of acceptable accuracy and precision under a variety of
263 conditions. In this experiment, a High, Mid and Low sample were tested in triplicate for
264 two independent runs and the detection method was compared using an Agilent BioTek
265 Cytation 1 cell imaging multimode reader device and Promega's GloMax® Navigator
266 microplate luminometer (Figure 2). Results from the different devices were compared to
267 determine if changes in operational conditions influenced results. Intra-assay variability
268 for each device were below 20% CV and acceptable (Table 3). Inter-assay variability
269 between the devices for each concentration were below 20% CV and passed the criteria
270 (Table 3).

271 *Linearity*

272 Linearity was assessed using a WHO international reference panel for anti-SARS-CoV-
273 2 immunoglobulin. The WHO panel consisted of five pooled human plasma samples of
274 High (20/150), Mid (20/148), Low 1 (20/144), Low 2 (20/140) and pre-COVID-19
275 (20/142) samples. IC₅₀ titers obtained from the SCLSNA indicate that they are directly
276 proportional to antibody titers of the WHO reference panel, with IC₅₀ values of 331.2
277 (High), 171.7 (Mid), 107.8 (Low 1), 32.01 (Low 2) and 10 (Pre-COVID-19) (Figure 4 – A,
278 B). SCLSNA IC₅₀ values and antibody titers (IU/mL) from the WHO reference panel
279 were compared using Pearson's correlation coefficient analysis. A strong correlation
280 between the WHO reference panel antibody titers and SCLSNA was observed, with a

281 correlation of $r = 0.9210$, $p = 0.0263$ (Figure 4C). Linearity was also assessed with
282 pseudovirus addition and RLU. Here, we showed dilutional linearity with the
283 pseudovirus that is observed with decreasing RLUs as the dilution increases (Figure
284 4D).

285 *Limit of Detection and Limit of Quantification*

286 LOD and LOQ for the SCLSNA was determined using thirty-six samples from the NML
287 CNP that were pre-COVID-19 and negative for SARS-CoV-2 (as verified by the PRNT).
288 The standard deviation determined from the mean IC_{50} values of the negative samples
289 resulted in a LOD of 19.60 and a LOQ of 65.32 which were three and ten times the
290 standard deviation respectively. We used a cut-off of < 20 for negative samples and
291 assigned them a nominal value of 10. This was done to distinguish negative from
292 positive results in our qualitative representation of our results.

293 **Discussion**

294 We have shown the SCLSNA to be a suitable alternative to the gold-standard PRNT. In
295 this validation study, we established acceptable validation parameters for precision,
296 robustness and linearity while optimizing and displaying sensitivity and specificity with
297 the SCLSNA that were comparable to the PRNT. Other studies have previously
298 validated similar versions of surrogate neutralization assays but the goal of this study
299 was to expand the validation parameters tested and include a sample concentration
300 range based off of the PRNT to further confirm reliability and strength of the SCLSNA as
301 a comparative approach to the PRNT (9, 10, 17).

302 Overall, good precision was shown throughout the validation study. Previous studies
303 have also shown good precision for both intra-assay and inter-assay variability (9, 10,
304 28, 29), but one key difference in our approach was the use of a broad concentration
305 range of samples. Incorporation of High (1: \geq 640 PRNT₅₀), Mid (1:160 PRNT₅₀) and Low
306 (1:40 PRNT₅₀) samples allowed us to directly compare samples between the SCLSNA
307 and PRNT, allowing for a thorough analysis on precision within and between analysts.
308 Neerukonda S. *et.al.*, 2021, used a similar broad-based approach on sample
309 concentrations; however, more variation was detected in their intermediate precision,
310 which was greater than what was shown in our study (17). We also detected higher than
311 expected variation within the Low samples between analysts, which may be due to the
312 specificity of binding inherent within the SCLSNA, which focuses solely on the receptor
313 binding domain and spike regions of SARS-CoV-2, as opposed to non-specific binding
314 to live SARS-CoV-2 that may be found in plasma samples at lower dilutions (30, 31).
315 Spike protein density within a pseudotyped lentivirus may also be different than live
316 SARS-CoV-2, which may result in a decreased amount of neutralization, particularly in
317 low titer samples (32). Despite the outcome observed in the Low sample comparison,
318 we were still able to show acceptable precision for intra- and inter-assay variability in
319 each concentration range, along with low variation within and between analysts.
320 Another strategy we employed in our validation was the use of multiple luminescence
321 detectors to achieve robustness; we were able to achieve acceptable robustness from
322 the low variation seen across different luminescence detectors. To our knowledge, this
323 approach has not been evaluated in previous studies and herein we showed low
324 variation across several different devices, indicating the flexibility of the SCLSNA in its

325 performance and capabilities. One limitation in our robustness analysis was the inability
326 to compare assay performance in different laboratories due to logistical challenges and
327 unavailability at the time of the study. We opted to test robustness using different
328 luminescence detectors as an alternative and to confirm the reliability of the assay.

329 Linearity of the SCLSNA was demonstrated after comparison with the WHO
330 international reference panel. The SCLSNA closely approximated the expected
331 concentrations of the standard showing a strong correlation between the SCLSNA and
332 NIBSC reference standard. A study conducted by Yu J. *et.al.*, 2021, achieved dilutional
333 linearity which was also confirmed in our study, highlighting the ability of the SCLSNA in
334 measuring expected values that are directly proportional to the amount of pseudovirus
335 used (29).

336 Optimization of the SCLSNA established the optimal seeding density as previously
337 shown in other studies (9, 10). In those studies, Huh7 and BHK21-hACE2 cell lines
338 were used, in contrast to our studies where we opted to use HEK293T/ACE2-TMPRSS2
339 cells due to the ability of TMPRSS2 to prime spike proteins on pseudotyped lentiviruses,
340 likely increasing infectivity (33). Another important component to the HEK293T/ACE2-
341 TMPRSS2 cells was the addition of polybrene, which is a polycationic agent that helps
342 facilitate pseudovirus cell entry (34). In our study, we optimized the SCLSNA to help
343 establish consistency, minimize variation and ensure our method was performing at
344 optimal levels.

345 To determine if the SCLSNA can perform similarly to the gold-standard PRNT, we
346 conducted a comparability study of the SCLSNA to the PRNT through analysis of
347 clinical sensitivity and specificity. Using either ancestral Wuhan or B.1.1.7 spike

348 pseudotyped lentivirus, we were able to achieve acceptable sensitivity and specificity.
349 We observed very high sensitivity and specificity for ancestral Wuhan spike
350 pseudotyped lentivirus; however, we also saw a decrease in sensitivity with the B.1.1.7
351 spike pseudotyped lentivirus. This may be attributed to a reduced level of neutralization
352 found against the B.1.1.7 variant, as evidenced by reduced neutralization activities of
353 various monoclonal antibodies (35, 36). In addition, convalescent sera and vaccine-
354 induced antibody responses are still effective against the B.1.1.7 variant but the immune
355 response may vary in comparison to the ancestral Wuhan pseudotyped lentivirus (35,
356 36). To determine correlation between the SCLSNA and PRNT using SARS-CoV-2
357 convalescent patient samples, we conducted a correlation assessment, but the
358 correlation coefficient was low (data not shown). The NML COVID-19 National Panel
359 sample set used in this study consisted mainly of one antibody titer range at lower
360 neutralization titers (1:80 PRNT₅₀) from convalescent donors who were naturally
361 infected with SARS-CoV-2 before the vaccine was available, making it difficult to
362 achieve a proper correlation analysis. As a result, we used clinical sensitivity and
363 specificity as a measure for comparison and were able to show good comparability to
364 the PRNT, as seen in other studies (12, 28).

365 This validation study successfully achieved acceptable criteria in all the parameters
366 tested, proving the SCLSNA to be a reliable pre-screening approach to the PRNT. One
367 of the key advantages to the SCLSNA is the use of a SARS-CoV-2 pseudotyped
368 lentivirus generation platform. The lentivirus system enables an efficient and quick TAT
369 for generating lentiviruses pseudotyped with the target-of-interest. This is particularly
370 important during the pandemic with the emergence of novel variants of concern to which

371 pre-existing NAbs may be less effective. As well, all plasmids used in generating our
372 pseudotyped lentiviruses are commercially available, making for a convenient and time-
373 saving approach in comparison to custom designed plasmids which are more time
374 consuming to prepare (15). In addition, the in-house generation of pseudotyped
375 lentivirus is a faster approach than the generation of live virus in a BSL-3 setting, which
376 may take time to successfully rescue live virus and optimize assay conditions.

377 Another key advantage is the quantitative output of the SCLSNA. The data generated
378 from this assay gives a more precise antibody titer with the IC_{50} rather than a visual
379 determination of antibody titer by the PRNT method, which is considered more
380 subjective as technical staff must be carefully trained to accurately identify plaque
381 formation by visual means (28). It is also difficult to obtain an end-point dilution for a
382 large sample set, especially ones containing high antibody titers, resulting in a broad
383 estimation of antibody titer and a “cut-off” value assigned in the reported data affecting
384 the overall precision of the results. Data generated by the PRNT can be more subjective
385 across different analysts, further decreasing accuracy and consistency of results (8).
386 Furthermore, sample throughput for the PRNT is limited to processing a lower amount
387 of samples which requires plates with larger well sizes and manual labor (16, 37). The
388 plaque morphology with each new variant changes resulting in subjectivity amongst
389 analysts and inaccurate reporting of results (38, 39). The focus reduction neutralization
390 test (FRNT) has been used as an alternative to the PRNT but limitations such as the
391 need for a BSL-3 facility and qualitative analysis still remain (40–42). In contrast, the
392 SCLSNA can be used for high-throughput, automated sample processing in 96 to 384
393 well plate formats (16, 37).

394 Validation of the SCLSNA provides an alternative neutralizing antibody platform to
395 support or potentially replace the PRNT gold-standard method. The SCLSNA does not
396 require the handling of live SARS-CoV-2 virus in a BSL-3 facility, providing for a safer
397 work environment, is less tedious and has a faster TAT for sample processing to
398 reporting of results. The quantitative analysis that is achievable by the SCLSNA
399 increases its precision, making it a reliable approach to the limitations found inherent
400 within the PRNT. The validation parameters tested in this study met the previously
401 established acceptance criteria, making the SCLSNA a suitable alternative to the PRNT.

402 **References**

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576 **Figure 1. (A)** Cell density optimization for neutralization. Cell density experiments were
577 performed to determine optimal cell numbers based on neutralization. Nine different cell
578 concentrations were used and performed on three plates. The average RLU values
579 were determined for each cell concentration and used to calculate IC₅₀ and R² on
580 GraphPad Prism v.9.3 software. **(B)** Pseudovirus titration against different cell seeding
581 densities to determine optimal pseudovirus signal in relation to the cell seeding density.
582 Ancestral Wuhan spike pseudotyped lentivirus was diluted 100-fold followed by an 8-
583 step 2-fold serial dilution. RLU values were used to determine optimal cell seeding
584 density. HEK293T/ACE2-TMPRSS2 cells and lentivirus were incubated in a 5% CO₂
585 incubator at 37°C for 18-24 hours prior to detection of RLU.

586 **Figure 2. (A and B)** Intra-assay variability of SCLSNA for one analyst. One analyst
587 tested High (1: \geq 640 PRNT₅₀), Mid (1:160 PRNT₅₀) and Low (1:40 PRNT₅₀) samples
588 from the NML CNP against ancestral Wuhan Spike pseudotyped lentivirus. **A)** Analyst 1
589 tested High (1: \geq 640 PRNT₅₀), Mid (1:160 PRNT₅₀) and Low (1:40 PRNT₅₀) samples
590 from the NML CNP against ancestral Wuhan spike pseudotyped lentivirus in triplicate
591 on three separate weeks for nine determinations of each concentration. IC₅₀ values
592 were compared and evaluated based on %CV. **(B)** Analyst 1 week to week comparison
593 measuring intra-assay variability using the same High (1: \geq 640 PRNT₅₀), Mid (1:160
594 PRNT₅₀) and Low (1:40 PRNT₅₀) samples from the NML CNP against ancestral Wuhan
595 Spike pseudotyped lentivirus along with the same conditions and equipment each
596 week. **(C)** Inter-assay variability of SCLSNA between two analysts. Two analysts tested
597 High (1: \geq 640 PRNT₅₀), Mid (1:160 PRNT₅₀) and Low (1:40 PRNT₅₀) samples from the
598 NML CNP against ancestral Wuhan Spike pseudotyped lentivirus. Samples were tested

599 in six replicates on three separate weeks for a total of eighteen determinations each.
600 The solid line represents the mean IC_{50} titer. Results were reported as IC_{50} titers and
601 %CV comparison between analysts were done using GraphPad Prism v.9.3 software.
602 **(D)** Inter-assay variability comparison of the IC_{50} between the Agilent BioTek Cytation 1
603 (C) and Promega's GloMax® Navigator microplate luminometer (G). Analyst 1 tested
604 High (1: \geq 640 PRNT₅₀), Mid (1:160 PRNT₅₀) and Low (1:40 PRNT₅₀) samples from the
605 NML CNP against ancestral Wuhan Spike pseudotyped lentivirus. Six replicates were
606 used with each device for eighteen determinations. The solid line represents the mean
607 IC_{50} titer. Results were reported as IC_{50} titers and %CV comparison between devices
608 were done using GraphPad Prism v.9.3 software.

609 **Figure 3.** Inter-assay variability of SCLSNA between two analysts. Percent
610 neutralization comparison between two analysts using **(A, D)** High (1: \geq 640 PRNT₅₀),
611 **(B, E)** Mid (1:160 PRNT₅₀) and **(C, F)** Low (1:40 PRNT₅₀) samples from the NML CNP
612 against ancestral Wuhan Spike pseudotyped lentivirus. Samples were tested in six
613 replicates on three separate weeks for a total of fifty-four determinations. IC_{50} titers were
614 determined using GraphPad Prism v.9.3 software.

615 **Figure 4.** Linearity analysis of the SCLSNA. **(A)** SCLSNA analysis of High, Mid, Low
616 and pre-COVID-19 samples. IC_{50} titers were determined using GraphPad Prism v.9.3.
617 **(B)** Linearity analysis was performed to compare the IC_{50} from the SCLSNA to the
618 antibody titers from the WHO reference panel. **(C)** Correlation analysis between the
619 SCLSNA and the WHO reference panel (IU/mL). **(D)** Linearity analysis of pseudovirus
620 dilution and relative light units. Five reference standards were tested (High, Mid, Low 1,
621 Low 2 and Pre-COVID-19). The solid line indicates the regression line and the dashed

622 line indicates the 95% confidence interval (95% CI: 0.2066 to 0.9949). Pearson's
623 correlation coefficient and *P*-value are indicated.

624 **Figure 5.** Flow chart schematic of the SCLSNA validation study design. High (1: \geq 640
625 PRNT₅₀), Mid (1:160 PRNT₅₀) and Low (1:40 PRNT₅₀) samples from the NML CNP
626 were tested against ancestral Wuhan Spike pseudotyped lentivirus by the SCLSNA.
627 Assay optimization was conducted to determine optimal HEK293T/ACE2-TMPRSS2 cell
628 seeding density and a pseudovirus titration was performed to confirm optimal cell
629 seeding density. All High, Mid and Low samples were used for the method validation to
630 test precision, robustness, linearity, LOD and LOQ. Direct comparison of the SCLSNA
631 to the gold-standard PRNT was determined through the clinical specificity and
632 sensitivity using an ancestral Wuhan and B.1.1.7 Spike pseudotyped lentivirus. Created
633 with BioRender.com.

634 **Table 1. Optimal IC₅₀ determination based on cell seeding density and R² value.**
635 **GraphPad Prism version 9.3 was used to determine IC₅₀ and goodness of fit (R²)**
636 **values.**

Cell density (cells/well)	IC ₅₀	R ²
2.00x10 ⁵	703.4	0.7144
1.00x10 ⁵	2472	0.8515
5.00x10 ⁴	256.8	0.9705
2.50x10 ⁴	280.7	0.9929
1.30x10 ⁴	997.8	0.9917
6.30x10 ³	5.58 x10 ³	0.9658
3.10x10 ³	3.70 x10 ⁴	0.9782
1.60x10 ³	3.05 x10 ⁵	0.9083
7.80x10 ²	1.00 x10 ⁶	0.9795

637

638 **Table 2. Clinical specificity and sensitivity results based on the comparison**
639 **between the SCLSNA and the PRNT₅₀.**

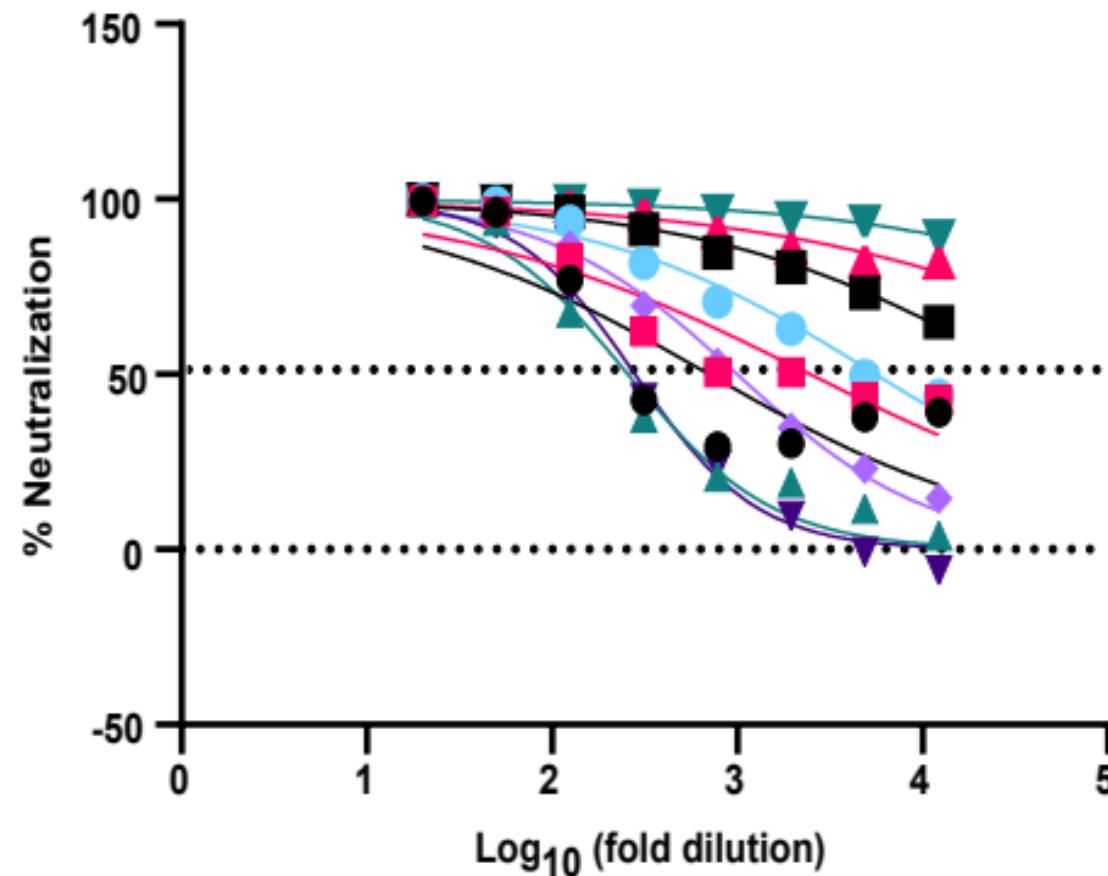
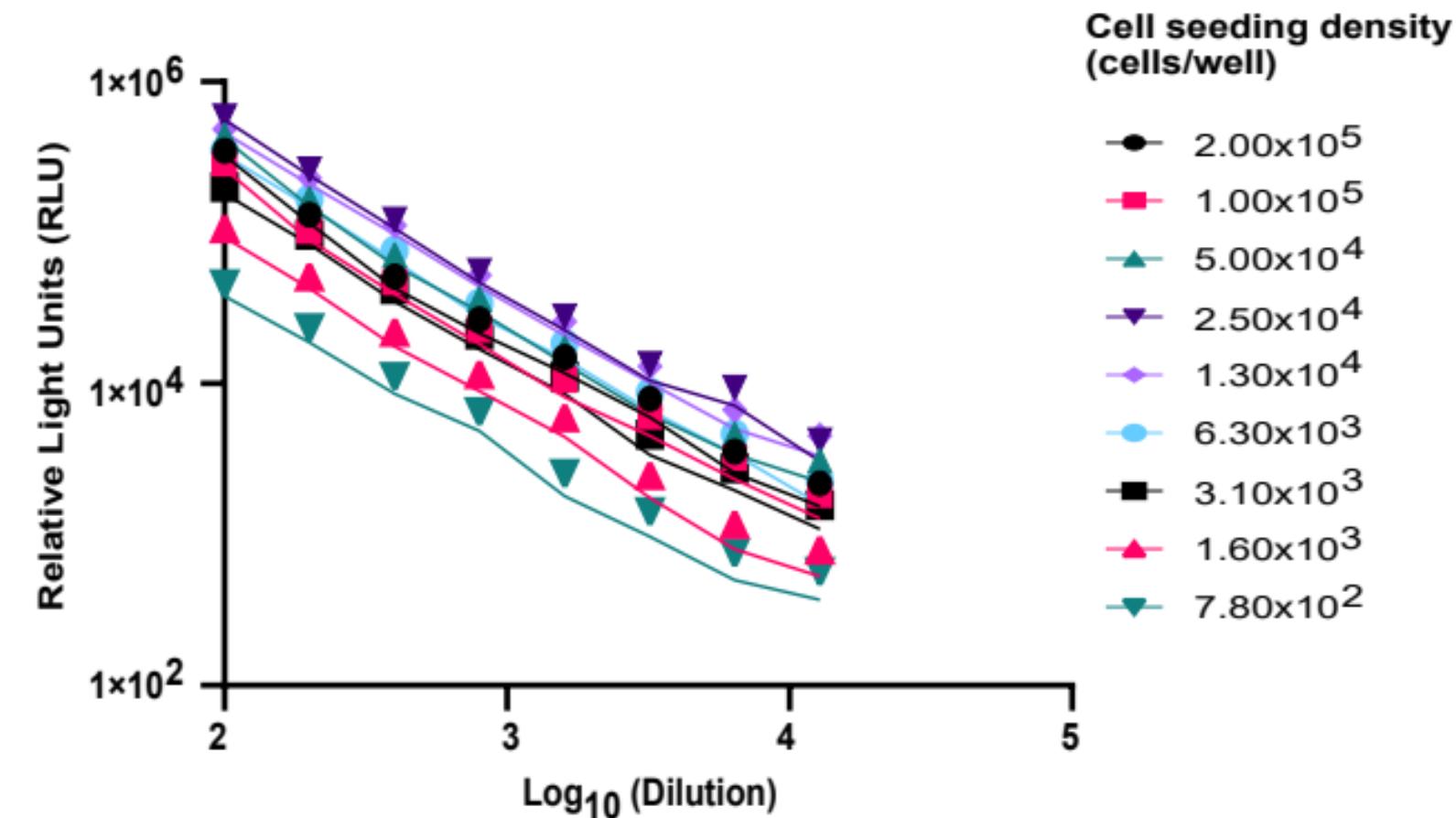
Category	Lentivirus	Total (n)	Positive		Negative		Accuracy	Precision	Cohen's Kappa
			Positive (%)	Negative (%)	predictive value (PPV)	predictive value (NPV)			
Positive									
SARS-CoV-2	Ancestral	60	60 (100)	0 (0)			100	100	100
patients									0.100
Pre-pandemic adult patients	Ancestral	60	0 (0)	60 (100)					
Positive									
SARS-CoV-2	B.1.1.7	60	53 (88.3)	7 (11.7)			88	100	94
patients									88
Pre-pandemic adult patients	B.1.1.7	60	0 (0)	60 (100)					0.883

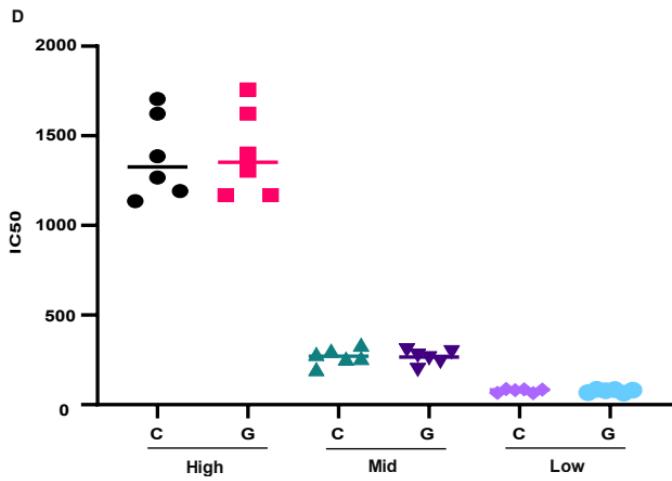
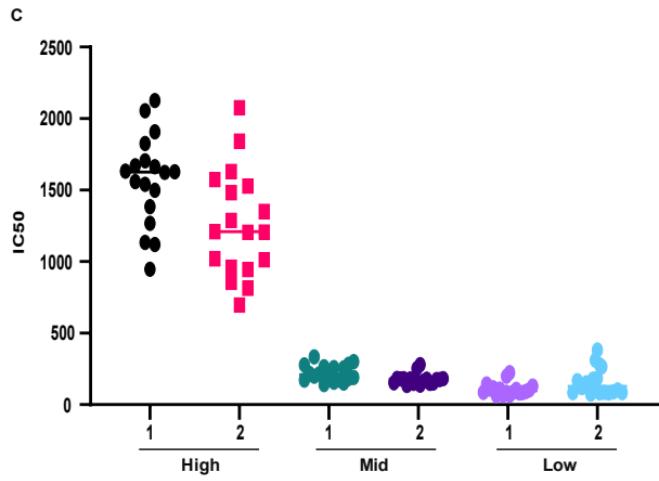
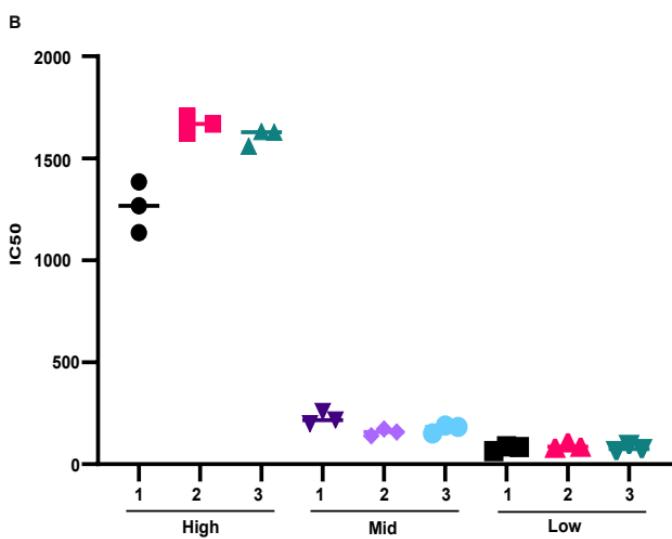
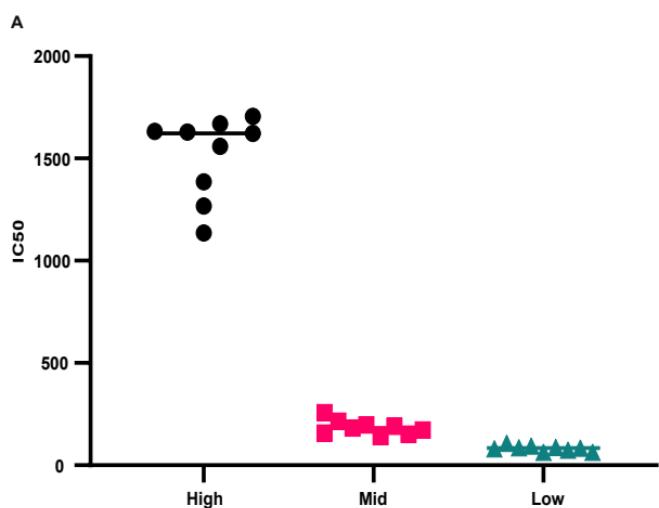
640

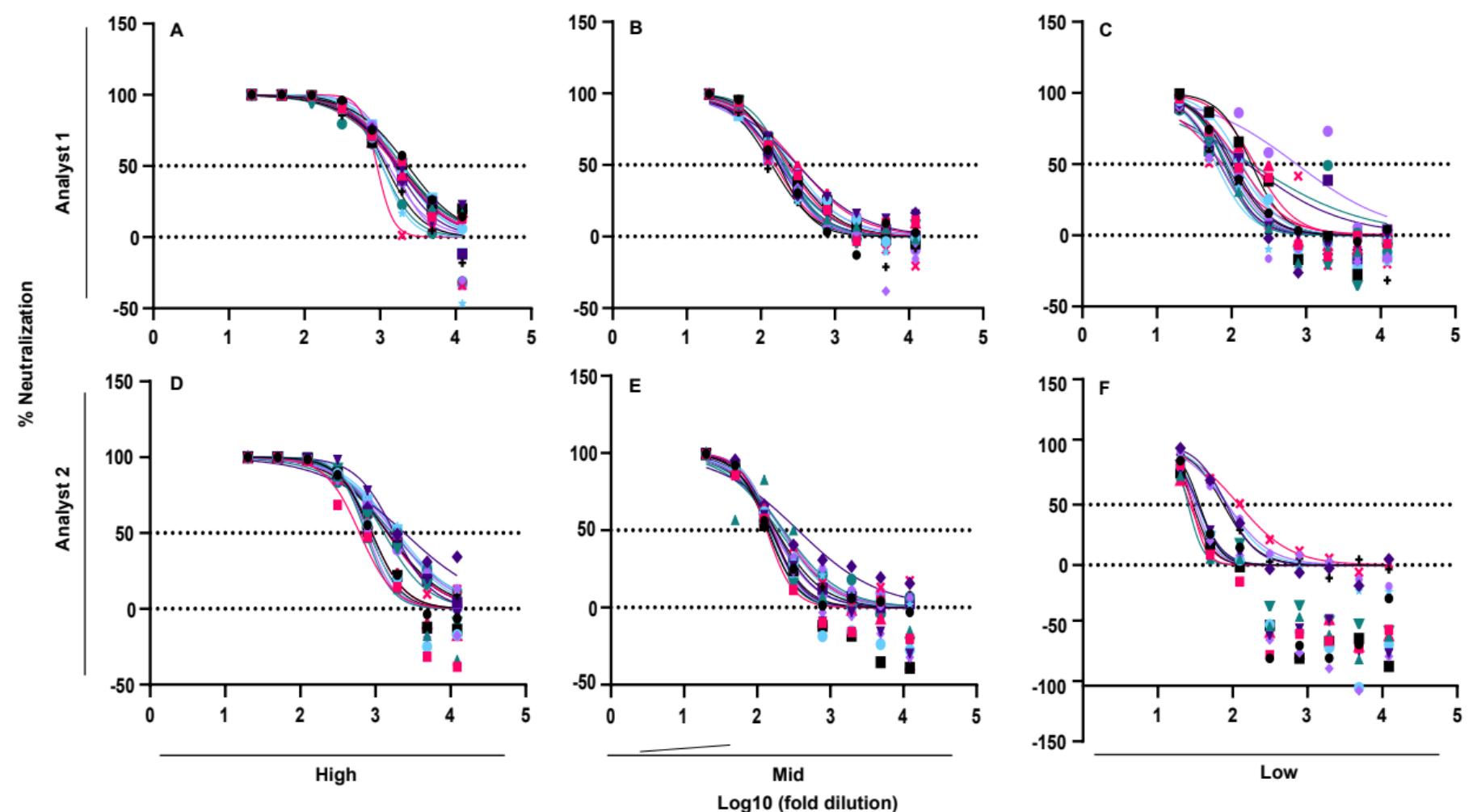
641 **Table 3. Intra-assay variability analysis and inter-assay variability using the**
642 **Agilent BioTek Cytation 1 and Promega's GloMax® Navigator microplate**
643 **luminometer using a High, Mid and Low sample.**

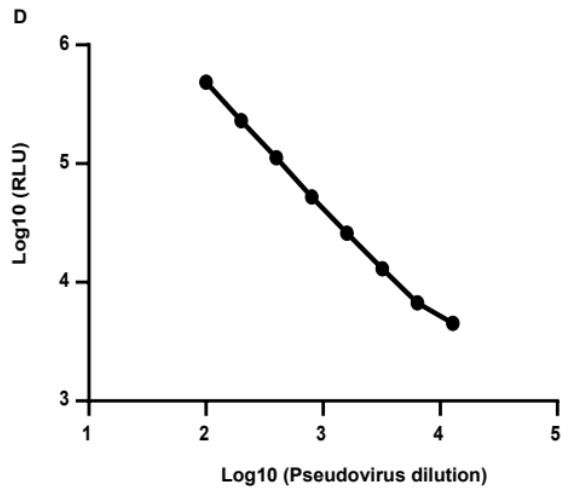
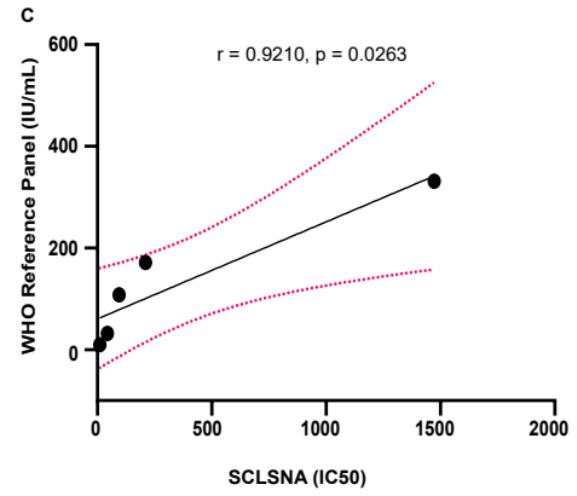
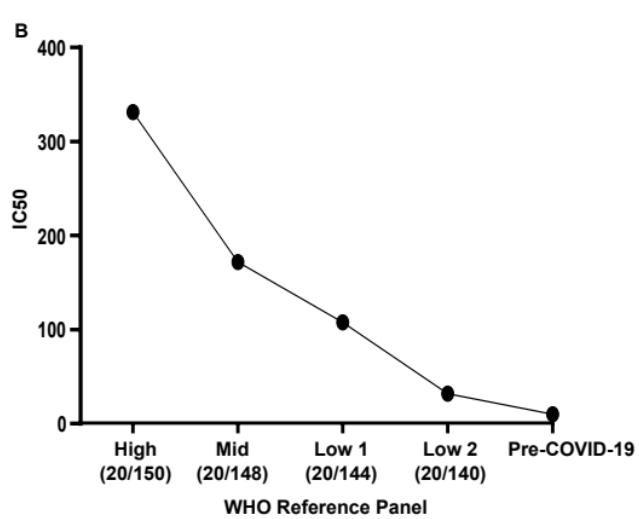
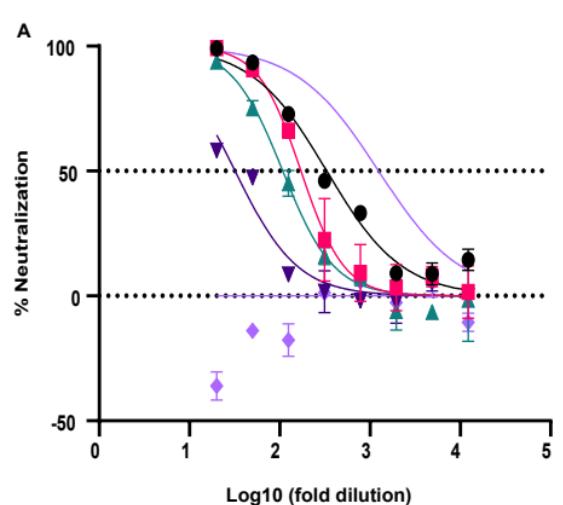
Device	High (%CV)	Mid (%CV)	Low (%CV)
Cytation1	16.87	16.84	12.77
GloMax® Navigator	17.27	15.18	11.61
Inter-assay variability	0.9634	3.027	1.441

*Samples were tested in six replicates with each device for eighteen determinations each.

A**B**







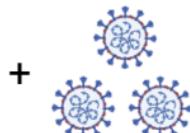
PRNT₅₀



High
(1: \geq 640
PRNT50)

Mid
(1: \geq 160
PRNT50)

Low
(1:40
PRNT50)



SARS-CoV-2 Lentivirus
Surrogate Neutralization Assay

Optimization

Cell seeding

Pseudovirus
titration

Method validation

Precision

Robustness

Linearity

LOD & LOQ

Ancestral
Wuhan

B.1.1.7

Clinical specificity and sensitivity