

# **Validation and Establishment of a SARS-CoV-2 Lentivirus Surrogate Neutralization Assay as a pre-screening tool for the Plaque Reduction Neutralization Test**

Running title (53 characters): SARS-CoV-2 Lentivirus Neutralization Assay Validation

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## Abstract

Neutralization assays are important in understanding and quantifying neutralizing antibody responses towards SARS-CoV-2. The SARS-CoV-2 Lentivirus Surrogate Neutralization Assay (SCLSNA) can be used in biosafety level 2 (BSL-2) laboratories and has been shown to be a reliable, alternative approach to the plaque reduction neutralization test (PRNT). In this study, we optimized and validated the SCLSNA to assess its ability as a comparator and pre-screening method to support the PRNT. Comparability between the PRNT and SCLSNA was determined through clinical sensitivity and specificity evaluations. Clinical sensitivity and specificity produced acceptable results with 100% (95% CI: 94-100) specificity and 100% (95% CI: 94-100) sensitivity against ancestral Wuhan spike pseudotyped lentivirus. The sensitivity and specificity against B.1.1.7 spike pseudotyped lentivirus resulted in 88.3% (95% CI: 77.8 to 94.2) and 100% (95% CI: 94-100), respectively. Assay precision measuring intra-assay variability produced acceptable results for High ( $1 \geq 640$  PRNT<sub>50</sub>), Mid (1:160 PRNT<sub>50</sub>) and Low (1:40 PRNT<sub>50</sub>) antibody titer concentration ranges based on the PRNT<sub>50</sub>, with %CV of 14.21, 12.47, and 13.28 respectively. Intermediate precision indicated acceptable ranges for the High and Mid concentrations, with %CV of 15.52 and 16.09, respectively. However, the Low concentration did not meet the acceptance criteria with a %CV of 26.42. Acceptable ranges were found in the robustness evaluation for both intra-assay and inter-assay variability. In summary, the validation parameters tested met the acceptance criteria, making the SCLSNA method fit for its intended purpose, which can be used to support the PRNT.

## Introduction

The COVID-19 pandemic has caused an unprecedented amount of 448,624,192 confirmed cases and 6,507,879 deaths worldwide as of September 9, 2022 (<https://www.worldometers.info/coronavirus/>). However, the rapid development and administration of vaccines such as Pfizer-BioNTech and Moderna have contributed in helping prevent severe disease and mortality among infected individuals (1–3). As the COVID-19 pandemic unfolded over time, it was shown that the spike glycoprotein found in SARS-CoV-2 virus membrane can undergo mutations resulting in variants that can evade neutralizing antibodies generated against previous iterations of spike, leading to new waves of infection (4, 5). Breakthrough infections have been a challenge throughout the pandemic and neutralization studies are important in analyzing the neutralizing antibody response, which plays an essential role in preventing severe infection and for assessing vaccine candidate suitability (6, 7).

The PRNT assay is the current gold-standard neutralization assay; however, this method is labor intensive and requires the use of a Biosafety Level 3 (BSL-3) or higher containment laboratory (8–12). In addition, the PRNT assay relies on visualization of plaques formed by the virus, resulting in longer turnaround time (TAT) from sample receipt to result (13, 14). Such limitations present challenges in sample processing and throughput capabilities and alternate methodologies are required to help circumvent these difficulties. The SCLSNA is one such approach that does not have the same logistical challenges associated with the PRNT assay; SCLSNA can be safely performed in BSL-2 laboratories, it is amenable to high-throughput and has a relatively faster TAT of 48 hours (15–18). The SCLSNA incorporates the use of lentiviruses pseudotyped with SARS-CoV-2 spike protein, which can serve as a surrogate virus to

quantitate neutralizing antibodies generated against the SARS-CoV-2 spike protein (19, 20). The lentivirus particles used in this study are second generation lentiviral vectors that do not contain accessory virulence genes such as *vif*, *vpu* and *nef*, rendering them replication incompetent and allowing for safe use in a BSL-2 laboratory (21).

In this study, we performed a method validation to determine if the SCLSNA is fit for its intended purpose as a reliable comparator and screening method to complement the PRNT (22). Following guidelines recommended by the WHO and Food and Drug Administration (FDA), this study targeted validation parameters such as precision, repeatability, robustness, linearity, LOD and LOQ (22, 23). We optimized the SCLSNA to confirm optimal assay parameter conditions and to limit variation, as well as assess clinical sensitivity and specificity studies in comparison to the PRNT.

## **Materials and Methods**

### **Study population and specimen collection**

Plasma samples used in the validation were obtained from the National Microbiology Laboratory NML COVID-19 National Panel (NML CNP) under the approval of the Research Ethics Board (REB-2020-004P). Samples from patients that previously tested positive for SARS-CoV-2 by quantitative reverse transcription PCR (RT-qPCR) were included in the NML COVID-19 National Panel. Blood draw collection dates took place from May 13, 2020 to August 22, 2020. All samples were collected from various provinces nation-wide through the Canadian Blood Services (24). Plasma samples were heat-inactivated for 30 minutes at 56°C, then stored at -80°C until testing was performed.

## Cell lines

For the SCLSNA, HEK293T/ACE2-TMPRSS2 cells (GeneCopoeia™, Rockville, MD) were used for infection by pseudotyped lentivirus. These cells stably express angiotensin-converting enzyme 2 (ACE2) and transmembrane serine protease 2 (TMPRSS2), which are important for infection by SARS-CoV-2 and other pseudotyped viruses expressing SARS-CoV-2 spike on their surface.

For pseudotyped lentivirus production, AAVpro 293T cells (TaKaRa Bio, San Jose, CA) were used to transfect the envelope plasmid, transfer plasmid and packaging plasmid. All cell lines were incubated in a 5% CO<sub>2</sub> incubator at 37°C with DMEM (Gibco, Waltham, MA) supplemented with 10% heat-inactivated fetal bovine serum, 1% penicillin/streptomycin, 1% L-glutamine and 1% sodium pyruvate (DMEM10) (Gibco, Waltham, MA).

## SARS-CoV-2 pseudotyped virus production

All assays and lentivirus preparations were performed in BSL-2 conditions unless noted differently. AAVpro 293T cells (Takara Bio, San Jose, CA) were used to generate the SARS-CoV-2 spike pseudotyped lentiviruses in 10 – 150 mm Corning dishes (Millipore Sigma, St.Louis, MO). Briefly, psPAX2 empty vector HIV packaging plasmid (addgene, Watertown, MA, a gift from Didier Trono), SARS-CoV-2 spike protein (ancestral Wuhan or B.1.1.7) envelope expression plasmid (GeneCopoeia™, Rockville, MD) and pHAGE-CMV-Luc2-IRES-ZsGreen-W transfer vector plasmid (a kind gift from Jesse Bloom) were transfected into each plate at 42.19 µg, 60.94 µg, and 60.94 µg, respectively. Transfections were performed using CalPhos Mammalian Transfection Kit (TaKaRa Bio, San Jose, CA) and plates incubated in a 5% CO<sub>2</sub> incubator at 33°C for 16 hours with

DMEM10. Following incubation, the media was replaced with 11 mL of fresh DMEM10 and incubated for an additional 18-24 hours.

Culture supernatants were clarified by centrifugation at 500g, 4°C for 5 minutes using a Sorvall ST-40R, TX-1000. Supernatants were pooled and filtered using a 0.45 µm PES filter (ThermoFisher Scientific, Waltham, MA) and ultracentrifuged in ultra-clear round bottom tubes (FisherScientific, Waltham, MA) using an Optima™ L-90K ultracentrifuge and SW 32 Ti Swinging-Bucket Rotor at 16°C for 2.5 hours at 50 000g. Pellets were resuspended in 1X PBS, aliquoted and stored at -80°C.

### **SARS-CoV-2 Lentivirus Surrogate Neutralization Assay**

Neutralization was measured by the reduction of luciferase expression for samples incubated with pseudotyped lentivirus relative to luciferase expression in control wells containing only SARS-CoV-2 pseudotyped lentivirus and cells. The half-maximal inhibitory concentration (IC<sub>50</sub>) was used as the reportable value for the SCLSNA and generated using GraphPad Prism software v.9.3. Sample dilutions were logarithm transformed (log<sub>10</sub>) and all raw data were normalized to a common scale(25). For data normalization, the wells containing pseudotyped lentivirus + HEK293T/ACE2-TMPRSS2 cells were defined as “0% neutralization” and wells containing only HEK293T/ACE2-TMPRSS2 cells were defined as “100% neutralization”. A nonlinear regression curve was used to determine the IC<sub>50</sub> values for the samples once the relative luminescence units (RLU) decreased to half the response of the virus control wells.

In preparation for the SCLSNA, HEK293T/ACE2-TMPRSS2 cells were seeded at 1×10<sup>4</sup> cells/mL in poly-L-lysine pre-coated plates (Corning, Glendale, ARI). Cells were incubated in a 5% CO<sub>2</sub> incubator at 37°C for 18-24 hours prior to performing the assay.

Test samples were diluted 1:20 followed by an eight step 2-fold serial dilution. After addition of pseudotyped lentiviruses, plates containing serially diluted test sample and pseudovirus were incubated for 1 hour at 37°C. Prior to HEK293T/ACE2-TMPRSS2 cell infection, DMEM10 cell culture media was replaced with DMEM containing 5% FBS, 5 µg/mL polybrene. The diluted test sample containing pseudovirus mixture was transferred to the cell plate and incubated in 5% CO<sub>2</sub> at 37°C for 48 hours. Luminescence was detected using the Bright-Glo™ Luciferase Assay System (Promega, Madison, WI) and a Biotek Cytation 1 imaging reader. Raw data was obtained on a Biotek Gen5™ Microplate reader and data analysis was performed on GraphPad Prism version v.9.3.

# **SARS-CoV-2 Plaque-Reduction Neutralization Test**

The SARS-CoV-2 PRNT was adapted from a previously described method for SARS-CoV-1 (26). Briefly, serially diluted serological specimens were mixed with diluted SARS-CoV-2 at 100 plaque-forming units (PFU)/100 µL in a 96-well plate. The antibody-virus mixture was added in duplicate to 12-well plates containing pre-plated Vero E6 cells. All plates were incubated at 37°C with 5% CO<sub>2</sub> for 1 hour of adsorption, followed by the addition of a liquid overlay. The liquid overlay was removed after a 3-day incubation and cells were fixed with 10% neutral-buffered formalin. The monolayer in each well was stained with 0.5% crystal violet (w/v) and the average number of plaques was counted for each dilution. The reciprocal of the highest dilution resulting in at least 50% and 90% reduction in plaques (when compared with controls) were defined as the PRNT<sub>50</sub> and PRNT<sub>90</sub> titers, respectively. PRNT<sub>50</sub> titers and PRNT<sub>90</sub> titers ≥ 20

were considered positive for SARS-CoV-2 neutralizing antibodies, whereas titers < 20 were considered negative (8).

## Statistical analysis and visualization

Neutralization was determined by IC<sub>50</sub> once plasma samples reduced the RLU by 50% relative to the virus control wells. Plasma sample dilutions were log-transformed, normalized and plotted using nonlinear regression to obtain the IC<sub>50</sub> values. Based on the FDA guidelines, sample suitability acceptance criteria was set at 20% coefficient of variation (CV) between sample replicates and a goodness of fit ( $R^2$ ) of  $\geq 0.700$  (22). Assay suitability acceptance criteria within the virus and cell control replicates for each assay was set at 30% CV and a difference of  $\geq 1000X$  (at least 3 logs above background) between the virus control and cell control RLU (19). All data analysis was performed using GraphPad Prism v.9.3 software.

Clinical specificity and sensitivity of the SCLSNA was compared to the gold-standard PRNT assay. Sixty samples from the NML COVID-19 National Panel that tested positive for SARS-CoV-2 neutralizing antibodies (NAbs) and sixty pre-COVID-19 samples negative for SARS-CoV-2 NAbs were used in the comparison. Contingency tables were generated to calculate the sensitivity and specificity values.

Repeatability (intra-assay precision) was examined to measure the degree of agreement between results from different assays of the same homogenous sample material (23). Three different concentrations were used and classified as “High” (1: $\geq 640$  PRNT<sub>50</sub>), “Mid” (1:160 PRNT<sub>50</sub>) and “Low” (1:40 PRNT<sub>50</sub>) based on our in-house PRNT<sub>50</sub> titer results. Each sample was processed in triplicate on two separate weeks for a total of six determinations each. The analysts performed the assay using the same



equipment and test conditions each week under approximately the same timeframe.

Reproducibility (inter-assay variability) examined the degree of agreement between individual results using the same homogenous sample material from different analysts. Three different concentrations were assessed on different days between different analysts.

Robustness was determined by examining the ability of the SCLSNA to provide analytical results of acceptable accuracy and precision under different conditions. Three concentrations of test sample were tested in triplicate on two different weeks for a total of six determinations for each sample. The detection method compared two luminometers in different operational conditions. The Agilent BioTek Cytation1 and Promega's Glo-Max® Navigator were used for comparison.

Assay performance and acceptance criteria were based off the %CV, which measures relative variability. The acceptance range used throughout the validation for the repeatability, reproducibility and robustness was  $\leq 20\%$  following FDA guidelines (22).

To determine linearity within the SCLSNA, a WHO international reference panel for anti-SARS-CoV-2 immunoglobulin was used to assess the ability of the assay to produce results that are directly proportional to the concentration of an analyte. The reference panel (NIBSC) consisted of pooled plasma from individuals from the United Kingdom or Norway who recovered from COVID-19 (<https://www.nibsc.org/documents/ifu/20-268.pdf>). The negative control consists of pre-COVID-19 plasma from healthy blood donors, collected before 2019.

## Results

## Cell seeding optimization

A cell seeding optimization experiment for HEK293T/ACE2-TMPRSS2 cells was performed to determine the optimal sensitivity for the SCLSNA while trying to maximize pseudotyped lentivirus infection and minimize variation between sample replicates. A high titer sample ( $\geq 1:640$  PRNT<sub>50</sub>) was tested against an ancestral Wuhan spike pseudotyped lentivirus with nine cell seeding densities ranging from  $7.8 \times 10^2$  to  $2.0 \times 10^5$  cells/well (Figure 1). The selection for the optimal cell density was based on the combination of the cell density ( $> 1 \times 10^3$  cells/well), IC<sub>50</sub> ( $> 640$ ) and goodness of fit ( $R^2 > 0.9$ ). The results indicate IC<sub>50</sub> values  $> 640$  and  $R^2 > 0.9$  for cell densities between  $7.8 \times 10^2$  to  $6.3 \times 10^3$ , but these seeding densities were not selected due to the potential of increased variability in SCLSNA testing observed in the lower cell densities (9). The cell densities above  $1 \times 10^4$  cells/well demonstrated a reduced IC<sub>50</sub> or  $R^2$ . Thus, the cell density of  $1.0 \times 10^4$  cells/well was selected as an optimal cell seeding density for the SCLSNA (Table 1).

## Pseudovirus titration

Pseudovirus titration using ancestral Wuhan spike pseudotyped lentivirus was performed to identify optimal cell density corresponding to high RLU pseudovirus signal. Establishing a high pseudovirus RLU signal is required to create a sufficient signal above the cell-only background of at least 1000-fold in order to determine reportable IC<sub>50</sub> values that meet the acceptance criteria (19). Pseudovirus was initially diluted 100-fold followed by an 8-step 2-fold serial dilution. A decrease in pseudovirus RLU signal was shown at cell densities above  $2.5 \times 10^4$  cells/well and below  $1.3 \times 10^4$  cells/well. High

RLU values were observed at  $1.30 \times 10^4$  cells/well, showing a linear response from the serial dilutions, which were used to justify the cell density selection at  $1.30 \times 10^4$  cells/well (Figure 1).

# **Clinical Specificity and Sensitivity**

Specificity and sensitivity were examined against the ancestral Wuhan and B.1.1.7 spike pseudotyped lentiviruses, in comparison to the gold-standard PRNT assay. Sixty positive samples for SARS-CoV-2 from the NML COVID-19 National Panel and sixty SARS-CoV-2 negative pre-COVID-19 samples were used for the experiment. The results for both parameters against ancestral Wuhan spike pseudotyped lentivirus were acceptable, achieving 100% (95% CI: 94-100) specificity and 100% (95% CI: 94-100) sensitivity. For B.1.1.7 spike pseudotyped lentivirus, sensitivity of 88.3% (95% CI: 77.8 to 94.2) and specificity of 100% (95% CI: 94-100) were achieved (Table 2). A perfect interrater agreement with the PRNT<sub>50</sub> was demonstrated against the ancestral Wuhan spike pseudotyped lentivirus and almost perfect agreement ( $\kappa$ -value 0.883) shown with the B.1.1.7 spike pseudotyped lentivirus against the PRNT<sub>50</sub> respectively (Table 2).

## Validation of the SCLSNA

Guidelines used for the validation were based on the WHO (23) and FDA (27). Validation parameters assessed in this study include precision (repeatability, intermediate precision), robustness, linearity, limit of detection and quantification, as described below. Accuracy was not assessed due to the limitation in accurately comparing reportable values between the IC<sub>50</sub> of the SCLSNA and PRNT<sub>50</sub>.

### **Precision**

#### *Repeatability (intra-assay precision)*

Repeatability was measured using three concentrations that was based on our in-house PRNT<sub>50</sub> titer results. The concentrations consisted of “High” (1:≥ 640 PRNT<sub>50</sub>), “Mid” (1:160 PRNT<sub>50</sub>) and “Low” (1:40 PRNT<sub>50</sub>) samples. Analysts processed each sample in triplicate on three separate weeks for a total of nine determinations each (Figure 2). %CV for each concentration were within the acceptance criteria of ≤ 20% CV, with values of 14.21 %CV (High), 12.47% (Mid) and 13.28% CV (Low). Weekly comparisons were within the acceptable range with %CV of 14.44% (Week 1), 18.79% (Week 2) and 9.696% (Week 3).

#### *Intermediate precision (inter-assay variability)*

Inter-assay variability was assessed by comparing the same homogeneous sample between different analysts tested on different weeks. Each analyst tested six replicates of High, Mid and Low samples from the NML CNP on three separate weeks for eighteen determinations (Figure 2, 3). %CV for High and Mid samples between analysts were within acceptable range, with %CV of 15.52% (High) and 16.09% (Mid). %CV for Low

did not meet acceptance criteria, with a %CV of 26.42%, indicating slightly higher variation within the Low samples between analysts.

### *Robustness*

Robustness of the SCLSNA was examined to measure the ability of the procedure to provide analytical results of acceptable accuracy and precision under a variety of conditions. In this experiment, a High, Mid and Low sample were tested in triplicate for two independent runs and the detection method was compared using an Agilent BioTek Cytation 1 cell imaging multimode reader device and Promega's GloMax® Navigator microplate luminometer (Figure 2). Results from the different devices were compared to determine if changes in operational conditions influenced results. Intra-assay variability for each device were below 20% CV and acceptable (Table 3). Inter-assay variability between the devices for each concentration were below 20% CV and passed the criteria (Table 3).

### *Linearity*

Linearity was assessed using a WHO international reference panel for anti-SARS-CoV-2 immunoglobulin. The WHO panel consisted of five pooled human plasma samples of High (20/150), Mid (20/148), Low 1 (20/144), Low 2 (20/140) and pre-COVID-19 (20/142) samples. IC<sub>50</sub> titers obtained from the SCLSNA indicate that they are directly proportional to antibody titers of the WHO reference panel, with IC<sub>50</sub> values of 331.2 (High), 171.7 (Mid), 107.8 (Low 1), 32.01 (Low 2) and 10 (Pre-COVID-19) (Figure 4 – A, B). SCLSNA IC<sub>50</sub> values and antibody titers (IU/mL) from the WHO reference panel were compared using Pearson's correlation coefficient analysis. A strong correlation between the WHO reference panel antibody titers and SCLSNA was observed, with a

correlation of  $r = 0.9210$ ,  $p = 0.0263$  (Figure 4C). Linearity was also assessed with pseudovirus addition and RLU. Here, we showed dilutional linearity with the pseudovirus that is observed with decreasing RLUs as the dilution increases (Figure 4D).

### *Limit of Detection and Limit of Quantification*

LOD and LOQ for the SCLSNA was determined using thirty-six samples from the NML CNP that were pre-COVID-19 and negative for SARS-CoV-2 (as verified by the PRNT). The standard deviation determined from the mean  $IC_{50}$  values of the negative samples resulted in a LOD of 19.60 and a LOQ of 65.32 which were three and ten times the standard deviation respectively. We used a cut-off of  $< 20$  for negative samples and assigned them a nominal value of 10. This was done to distinguish negative from positive results in our qualitative representation of our results.

## **Discussion**

We have shown the SCLSNA to be a suitable alternative to the gold-standard PRNT. In this validation study, we established acceptable validation parameters for precision, robustness and linearity while optimizing and displaying sensitivity and specificity with the SCLSNA that were comparable to the PRNT. Other studies have previously validated similar versions of surrogate neutralization assays but the goal of this study was to expand the validation parameters tested and include a sample concentration range based off of the PRNT to further confirm reliability and strength of the SCLSNA as a comparative approach to the PRNT (9, 10, 17).

Overall, good precision was shown throughout the validation study. Previous studies have also shown good precision for both intra-assay and inter-assay variability (9, 10, 28, 29), but one key difference in our approach was the use of a broad concentration range of samples. Incorporation of High ( $1 \geq 640$  PRNT<sub>50</sub>), Mid (1:160 PRNT<sub>50</sub>) and Low (1:40 PRNT<sub>50</sub>) samples allowed us to directly compare samples between the SCLSNA and PRNT, allowing for a thorough analysis on precision within and between analysts. Neerukonda S. *et.al.*, 2021, used a similar broad-based approach on sample concentrations; however, more variation was detected in their intermediate precision, which was greater than what was shown in our study (17). We also detected higher than expected variation within the Low samples between analysts, which may be due to the specificity of binding inherent within the SCLSNA, which focuses solely on the receptor binding domain and spike regions of SARS-CoV-2, as opposed to non-specific binding to live SARS-CoV-2 that may be found in plasma samples at lower dilutions (30, 31). Spike protein density within a pseudotyped lentivirus may also be different than live SARS-CoV-2, which may result in a decreased amount of neutralization, particularly in low titer samples (32). Despite the outcome observed in the Low sample comparison, we were still able to show acceptable precision for intra- and inter-assay variability in each concentration range, along with low variation within and between analysts.

Another strategy we employed in our validation was the use of multiple luminescence detectors to achieve robustness; we were able to achieve acceptable robustness from the low variation seen across different luminescence detectors. To our knowledge, this approach has not been evaluated in previous studies and herein we showed low variation across several different devices, indicating the flexibility of the SCLSNA in its

performance and capabilities. One limitation in our robustness analysis was the inability to compare assay performance in different laboratories due to logistical challenges and unavailability at the time of the study. We opted to test robustness using different luminescence detectors as an alternative and to confirm the reliability of the assay.

Linearity of the SCLSNA was demonstrated after comparison with the WHO international reference panel. The SCLSNA closely approximated the expected concentrations of the standard showing a strong correlation between the SCLSNA and NIBSC reference standard. A study conducted by Yu J. *et.al.*, 2021, achieved dilutional linearity which was also confirmed in our study, highlighting the ability of the SCLSNA in measuring expected values that are directly proportional to the amount of pseudovirus used (29).

Optimization of the SCLSNA established the optimal seeding density as previously shown in other studies (9, 10). In those studies, Huh7 and BHK21-hACE2 cell lines were used, in contrast to our studies where we opted to use HEK293T/ACE2-TMPRSS2 cells due to the ability of TMPRSS2 to prime spike proteins on pseudotyped lentiviruses, likely increasing infectivity (33). Another important component to the HEK293T/ACE2-TMPRSS2 cells was the addition of polybrene, which is a polycationic agent that helps facilitate pseudovirus cell entry (34). In our study, we optimized the SCLSNA to help establish consistency, minimize variation and ensure our method was performing at optimal levels.

To determine if the SCLSNA can perform similarly to the gold-standard PRNT, we conducted a comparability study of the SCLSNA to the PRNT through analysis of clinical sensitivity and specificity. Using either ancestral Wuhan or B.1.1.7 spike



pseudotyped lentivirus, we were able to achieve acceptable sensitivity and specificity. We observed very high sensitivity and specificity for ancestral Wuhan spike pseudotyped lentivirus; however, we also saw a decrease in sensitivity with the B.1.1.7 spike pseudotyped lentivirus. This may be attributed to a reduced level of neutralization found against the B.1.1.7 variant, as evidenced by reduced neutralization activities of various monoclonal antibodies (35, 36). In addition, convalescent sera and vaccine-induced antibody responses are still effective against the B.1.1.7 variant but the immune response may vary in comparison to the ancestral Wuhan pseudotyped lentivirus (35, 36). To determine correlation between the SCLSNA and PRNT using SARS-CoV-2 convalescent patient samples, we conducted a correlation assessment, but the correlation coefficient was low (data not shown). The NML COVID-19 National Panel sample set used in this study consisted mainly of one antibody titer range at lower neutralization titers (1:80 PRNT<sub>50</sub>) from convalescent donors who were naturally infected with SARS-CoV-2 before the vaccine was available, making it difficult to achieve a proper correlation analysis. As a result, we used clinical sensitivity and specificity as a measure for comparison and were able to show good comparability to the PRNT, as seen in other studies (12, 28).

This validation study successfully achieved acceptable criteria in all the parameters tested, proving the SCLSNA to be a reliable pre-screening approach to the PRNT. One of the key advantages to the SCLSNA is the use of a SARS-CoV-2 pseudotyped lentivirus generation platform. The lentivirus system enables an efficient and quick TAT for generating lentiviruses pseudotyped with the target-of-interest. This is particularly important during the pandemic with the emergence of novel variants of concern to which

pre-existing NABs may be less effective. As well, all plasmids used in generating our pseudotyped lentiviruses are commercially available, making for a convenient and time-saving approach in comparison to custom designed plasmids which are more time consuming to prepare (15). In addition, the in-house generation of pseudotyped lentivirus is a faster approach than the generation of live virus in a BSL-3 setting, which may take time to successfully rescue live virus and optimize assay conditions.

Another key advantage is the quantitative output of the SCLSNA. The data generated from this assay gives a more precise antibody titer with the  $IC_{50}$  rather than a visual determination of antibody titer by the PRNT method, which is considered more subjective as technical staff must be carefully trained to accurately identify plaque formation by visual means (28). It is also difficult to obtain an end-point dilution for a large sample set, especially ones containing high antibody titers, resulting in a broad estimation of antibody titer and a “cut-off” value assigned in the reported data affecting the overall precision of the results. Data generated by the PRNT can be more subjective across different analysts, further decreasing accuracy and consistency of results (8). Furthermore, sample throughput for the PRNT is limited to processing a lower amount of samples which requires plates with larger well sizes and manual labor (16, 37). The plaque morphology with each new variant changes resulting in subjectivity amongst analysts and inaccurate reporting of results (38, 39). The focus reduction neutralization test (FRNT) has been used as an alternative to the PRNT but limitations such as the need for a BSL-3 facility and qualitative analysis still remain (40–42). In contrast, the SCLSNA can be used for high-throughput, automated sample processing in 96 to 384 well plate formats (16, 37).

394 Validation of the SCLSNA provides an alternative neutralizing antibody platform to  
 395 support or potentially replace the PRNT gold-standard method. The SCLSNA does not  
 396 require the handling of live SARS-CoV-2 virus in a BSL-3 facility, providing for a safer  
 397 work environment, is less tedious and has a faster TAT for sample processing to  
 398 reporting of results. The quantitative analysis that is achievable by the SCLSNA  
 399 increases its precision, making it a reliable approach to the limitations found inherent  
 400 within the PRNT. The validation parameters tested in this study met the previously  
 401 established acceptance criteria, making the SCLSNA a suitable alternative to the PRNT.

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**Figure 1. (A)** Cell density optimization for neutralization. Cell density experiments were performed to determine optimal cell numbers based on neutralization. Nine different cell concentrations were used and performed on three plates. The average RLU values were determined for each cell concentration and used to calculate  $IC_{50}$  and  $R^2$  on GraphPad Prism v.9.3 software. **(B)** Pseudovirus titration against different cell seeding densities to determine optimal pseudovirus signal in relation to the cell seeding density. Ancestral Wuhan spike pseudotyped lentivirus was diluted 100-fold followed by an 8-step 2-fold serial dilution. RLU values were used to determine optimal cell seeding density. HEK293T/ACE2-TMPRSS2 cells and lentivirus were incubated in a 5%  $CO_2$  incubator at 37°C for 18-24 hours prior to detection of RLU.

**Figure 2. (A and B)** Intra-assay variability of SCLSNA for one analyst. One analyst tested High ( $1:\geq 640$  PRNT<sub>50</sub>), Mid ( $1:160$  PRNT<sub>50</sub>) and Low ( $1:40$  PRNT<sub>50</sub>) samples from the NML CNP against ancestral Wuhan Spike pseudotyped lentivirus. **A)** Analyst 1 tested High ( $1:\geq 640$  PRNT<sub>50</sub>), Mid ( $1:160$  PRNT<sub>50</sub>) and Low ( $1:40$  PRNT<sub>50</sub>) samples from the NML CNP against ancestral Wuhan spike pseudotyped lentivirus in triplicate on three separate weeks for nine determinations of each concentration.  $IC_{50}$  values were compared and evaluated based on %CV. **(B)** Analyst 1 week to week comparison measuring intra-assay variability using the same High ( $1:\geq 640$  PRNT<sub>50</sub>), Mid ( $1:160$  PRNT<sub>50</sub>) and Low ( $1:40$  PRNT<sub>50</sub>) samples from the NML CNP against ancestral Wuhan Spike pseudotyped lentivirus along with the same conditions and equipment each week. **(C)** Inter-assay variability of SCLSNA between two analysts. Two analysts tested High ( $1:\geq 640$  PRNT<sub>50</sub>), Mid ( $1:160$  PRNT<sub>50</sub>) and Low ( $1:40$  PRNT<sub>50</sub>) samples from the NML CNP against ancestral Wuhan Spike pseudotyped lentivirus. Samples were tested

in six replicates on three separate weeks for a total of eighteen determinations each. The solid line represents the mean IC<sub>50</sub> titer. Results were reported as IC<sub>50</sub> titers and %CV comparison between analysts were done using GraphPad Prism v.9.3 software. **(D)** Inter-assay variability comparison of the IC<sub>50</sub> between the Agilent BioTek Cytation 1 (C) and Promega's GloMax® Navigator microplate luminometer (G). Analyst 1 tested High (1:≥ 640 PRNT<sub>50</sub>), Mid (1:160 PRNT<sub>50</sub>) and Low (1:40 PRNT<sub>50</sub>) samples from the NML CNP against ancestral Wuhan Spike pseudotyped lentivirus. Six replicates were used with each device for eighteen determinations. The solid line represents the mean IC<sub>50</sub> titer. Results were reported as IC<sub>50</sub> titers and %CV comparison between devices were done using GraphPad Prism v.9.3 software.

**Figure 3.** Inter-assay variability of SCLSNA between two analysts. Percent neutralization comparison between two analysts using **(A, D)** High (1:≥ 640 PRNT<sub>50</sub>), **(B, E)** Mid (1:160 PRNT<sub>50</sub>) and **(C, F)** Low (1:40 PRNT<sub>50</sub>) samples from the NML CNP against ancestral Wuhan Spike pseudotyped lentivirus. Samples were tested in six replicates on three separate weeks for a total of fifty-four determinations. IC<sub>50</sub> titers were determined using GraphPad Prism v.9.3 software.

**Figure 4.** Linearity analysis of the SCLSNA. **(A)** SCLSNA analysis of High, Mid, Low and pre-COVID-19 samples. IC<sub>50</sub> titers were determined using GraphPad Prism v.9.3. **(B)** Linearity analysis was performed to compare the IC<sub>50</sub> from the SCLSNA to the antibody titers from the WHO reference panel. **(C)** Correlation analysis between the SCLSNA and the WHO reference panel (IU/mL). **(D)** Linearity analysis of pseudovirus dilution and relative light units. Five reference standards were tested (High, Mid, Low 1, Low 2 and Pre-COVID-19). The solid line indicates the regression line and the dashed

622 line indicates the 95% confidence interval (95% CI: 0.2066 to 0.9949). Pearson's  
623 correlation coefficient and *P*-value are indicated.

624 **Figure 5.** Flow chart schematic of the SCLSNA validation study design. High (1:≥ 640  
625 PRNT<sub>50</sub>), Mid (1:160 PRNT<sub>50</sub>) and Low (1:40 PRNT<sub>50</sub>) samples from the NML CNP  
626 were tested against ancestral Wuhan Spike pseudotyped lentivirus by the SCLSNA.  
627 Assay optimization was conducted to determine optimal HEK293T/ACE2-TMPRSS2 cell  
628 seeding density and a pseudovirus titration was performed to confirm optimal cell  
629 seeding density. All High, Mid and Low samples were used for the method validation to  
630 test precision, robustness, linearity, LOD and LOQ. Direct comparison of the SCLSNA  
631 to the gold-standard PRNT was determined through the clinical specificity and  
632 sensitivity using an ancestral Wuhan and B.1.1.7 Spike pseudotyped lentivirus. Created  
633 with BioRender.com.

**Table 1. Optimal IC<sub>50</sub> determination based on cell seeding density and R<sup>2</sup> value.**  
**GraphPad Prism version 9.3 was used to determine IC<sub>50</sub> and goodness of fit (R<sup>2</sup>)**  
**values.**

Cell density (cells/well)	IC <sub>50</sub>	R <sup>2</sup>
2.00x10 <sup>5</sup>	703.4	0.7144
1.00x10 <sup>5</sup>	2472	0.8515
5.00x10 <sup>4</sup>	256.8	0.9705
2.50x10 <sup>4</sup>	280.7	0.9929
1.30x10 <sup>4</sup>	997.8	0.9917
6.30x10 <sup>3</sup>	5.58 x10 <sup>3</sup>	0.9658
3.10x10 <sup>3</sup>	3.70 x10 <sup>4</sup>	0.9782
1.60x10 <sup>3</sup>	3.05 x10 <sup>5</sup>	0.9083
7.80x10 <sup>2</sup>	1.00 x10 <sup>6</sup>	0.9795

**Table 2. Clinical specificity and sensitivity results based on the comparison between the SCLSNA and the PRNT<sub>50</sub>.**

Category	Lentivirus	Total (n)	Positive (%)	Negative (%)	Positive predictive value (PPV)	Negative predictive value (NPV)	Accuracy	Precision	Cohen's Kappa
Positive									
SARS-CoV-2 patients	Ancestral	60	60 (100)	0 (0)	100	100	100	100	0.100
Pre-pandemic adult patients	Ancestral	60	0 (0)	60 (100)					
Positive									
SARS-CoV-2 patients	B.1.1.7	60	53 (88.3)	7 (11.7)	88	100	94	88	0.883
Pre-pandemic adult patients	B.1.1.7	60	0 (0)	60 (100)					

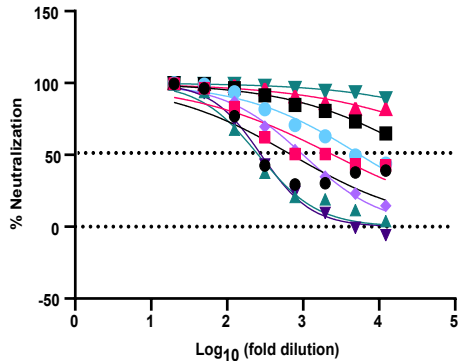
**Table 3. Intra-assay variability analysis and inter-assay variability using the Agilent BioTek Cytation 1 and Promega's GloMax® Navigator microplate luminometer using a High, Mid and Low sample.**

Device	High (%CV)	Mid (%CV)	Low (%CV)
Cytation1	16.87	16.84	12.77
GloMax® Navigator	17.27	15.18	11.61
<b>Inter-assay variability</b>	0.9634	3.027	1.441

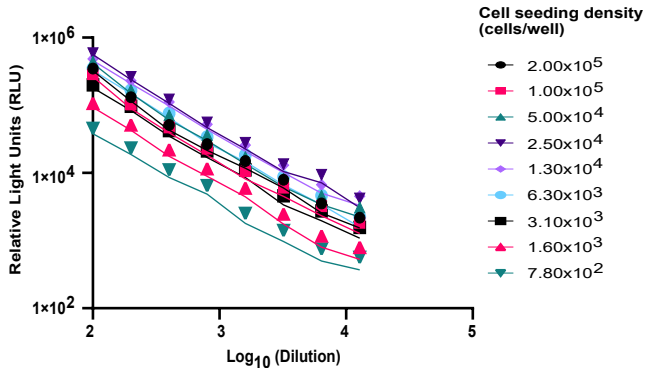
\*Samples were tested in six replicates with each device for eighteen determinations each.



A



B





% Neutralization

