

1 Development and evaluation of 2 statistical and Artificial Intelligence 3 approaches with microbial shotgun 4 metagenomics data as an untargeted 5 screening tool for use in food production

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16 ABSTRACT

17 The increasing knowledge of microbial ecology in food products relating to quality
18 and safety and the established usefulness of machine learning algorithms for anomaly
19 detection in multiple scenarios suggests that the application of microbiome data in
20 food production systems for anomaly detection could be a valuable approach to be
21 used in food systems. These methods could be used to identify ingredients that devi-
22 ate from their typical microbial composition, which could indicate food fraud or safety
23 issues. The objective of this study was to assess the feasibility of using shotgun se-
24 quencing data as input into anomaly detection algorithms using fluid milk as a model
25 system. Contrastive PCA, cluster-based methods, and explainable AI were evaluated
26 for the detection of two anomalous sample classes using longitudinal metagenomic
27 profiling of fluid milk compared to baseline samples collected under comparable cir-
28 cumstances. Traditional methods (alpha and beta diversity, clustering-based contrastive
29 PCA, MDS, and dendrograms) failed to differentiate anomalous sample classes; how-
30 ever, explainable AI was able to classify anomalous vs. baseline samples and indicate
31 microbial drivers in association with antibiotic use. We validated the potential for ex-
32 plainable AI to classify different milk sources using larger publicly available fluid milk
33 16s rDNA sequencing datasets and demonstrated that explainable AI is able to dif-
34 ferentiate between milk storage methods, processing stage, and season. Our results
35 indicate the application of artificial intelligence continues to hold promise in the realm
36 of microbiome data analysis and could present further opportunities for downstream
37 analytic automation to aid in food safety and quality.

38 **IMPORTANCE** We evaluated the feasibility of using untargeted metagenomic se-
39 quencing of raw milk for detecting anomalous food ingredient content with artificial
40 intelligence methods in a study specifically designed to test this hypothesis. We also
41 show through analysis of publicly available fluid milk microbial data that our artificial
42 intelligence approach is able to successfully predict milk in different stages of process-

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43 ing. The approach could potentially be applied in the food industry for safety and
44 quality control.

45 **KEYWORDS:** Metagenome, Microbiome, Food, Milk, Anomaly Detection, Machine
46 Learning, Explainable Artificial Intelligence

47 INTRODUCTION

48 Issues in food quality and safety can have rippling effects through the supply chain,
49 causing substantial health and economic damage. With this, there is substantial in-
50 terest in applying targeted and untargeted methods to identify ingredients or food
51 products that show an increased risk of food fraud, food quality, and food safety is-
52 sues (1, 2, 3). While targeted methods, such as the detection of toxins, pathogens, or
53 inappropriate ingredients (e.g., horse meat in a product labeled as beef) (3), play an
54 important role in assuring food safety and quality and preventing food fraud, they,
55 by definition, have a set of pre-defined targets, even if (extreme) multiplexing is ap-
56 plied. By contrast, untargeted methods characterize all molecules that can be detected
57 by specific method (e.g., chemical spectra, DNA sequences) to identify ingredients or
58 products that deviate from a "baseline state" (that would be considered normal or
59 under control) and hence would be labeled as "anomalous". Importantly, these untar-
60 getted methods are screening methods that do not define an ingredient or product as
61 unsafe or adulterated, rather they suggest an aberration from the normal state that
62 should trigger follow-up actions or investigations (e.g., targeted tests, inspection of
63 the source facility, etc.) to identify whether there are justified concerns or whether
64 the "abnormality" detected represents natural variation that was not covered in the
65 baseline state. While these methods can be extremely powerful to detect potential
66 issues, they require sophisticated data analysis approaches to characterize baseline
67 conditions and to allow for anomaly detection. In this work, bovine raw milk was se-
68 lected as a model ingredient to develop improved statistical methods that can use
69 shotgun metagenomics data as a screen to identify raw milk that shows evidence of
70 product anomalies and deviations from baseline conditions. Milk was selected as a
71 model as it is the sole ingredient used for the production of fluid milk—a high-volume
72 food with considerable concern for fraud, particularly in developing countries (4). Be-
73 yond this, milk is used as an ingredient to make a variety of products and other foods,
74 with raw milk quality having considerable impacts on finished product quality, safety,
75 and production efficiency. Other studies have aimed to characterize the microbiome
76 of food ingredients in production settings, for example, in high protein powders (5, 6),
77 produce (7, 8), and fermented foods (9, 10, 11, 12). These studies are useful in demon-
78 strating the potential that metagenomics and metatranscriptomics have in advancing
79 food safety and quality for targeted assessments as well as for improving sensitivity for
80 regular surveillance. Metagenomic and metatranscriptomic studies have been able to
81 describe the microbial components of food samples with observable shifts that can
82 be related back to key attributes of metadata, e.g., ingredient contamination (5). How-
83 ever, it should be noted that when studies rely on amplicon sequencing (often due
84 to cost and resource limitations), there can be important reductions in sensitivity and
85 taxonomic resolution (13).

86 The food supply chain is highly complex, with a multitude of touch points (e.g.
87 farmers, suppliers, transportation, storage, etc.) existing prior to reaching a finished
88 product, where issues occurring at each step have the potential to cause quality and
89 safety issues. Therefore, while these early studies have set a foundation for the use of

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90 the microbiome in food production, the expansion of these analyses and applications
91 into additional food ingredients and for different supply chain challenges will only con-
92 tinue to refine and increase the robustness of analysis similar to how much work has
93 been done to build microbiome standards across human-associated (14, 15, 16, 17)
94 and environmental niches (18).

95 Our objective was to expand on the growing evidence that the microbiome can be
96 used as a relevant indicator through an application in fluid milk with the hypothesis
97 that it could be used to identify (i) raw milk that represented a farm different from a
98 given, predefined source farm (simulating introduction of an unknown or unapproved
99 supplier into an ingredient stream - "outside farm", abbreviated as OF) or (ii) raw milk
100 that contains some milk from mastitis-affected cows treated with antibiotics (repre-
101 senting a regulatory violation - "antibiotic treated" abbreviated as ABX). Importantly,
102 these scenarios were simulated by using commercially produced milk without apply-
103 ing any additional *a priori* targeted testing to assure that these "anomalous samples"
104 show easily identifiable differences from the baseline samples. This approach was
105 used to provide a real-world and realistic dataset for the proof-of-concept study de-
106 scribed here.

107 Milk was used as a model system for examining the application of metagenomic
108 sequencing of microbial communities for food safety and quality, building on our ear-
109 lier work evaluating associated DNA extraction and host depletion methods (19). Raw
110 milk microbiomes have been found to be diverse (20, 21, 22, 23) and potentially have
111 an influence on the quality of downstream processed dairy products (24, 25). These
112 and other published works support utilizing milk microbiomes as a potential source
113 of information for quality assurance and risk assessment in the food industry.

114 We evaluated different anomaly detection methods beginning with the classical
115 microbiology ecological metrics of alpha and beta diversity, differential abundance,
116 clustering, as well as ordination through contrastive PCA and MDS. However, these
117 classical methods were limited in their ability to differentiate sample classes. In turn,
118 a growing number of studies have demonstrated the benefit of leveraging machine
119 learning to differentiate sample classes in microbiome studies. These include predict-
120 ing the risk of type 2 diabetes (26), diarrhea associated with cancer treatment (27),
121 and liver disease (28) from the gut microbiome. Additionally, when sampling the mi-
122 crobiome from human skin, explainable AI was able to identify microbial drivers asso-
123 ciated with skin hydration, age, and pre/post-menopausal status from the skin micro-
124 biome (29).

125 For this work, we collected 58 bulk tank milk samples in a block-randomized time-
126 constrained design to assess the ability of the microbiome to indicate deviations from
127 a baseline (BL) community related to anomalies (outside farm and antibiotic use) that
128 could be present in the food supply chain and be related to food quality issues. A set of
129 33 consensus microbes were found to be stable elements in baseline shotgun metage-
130 nomics samples with *Pseudomonas*, *Serratia*, *Cutibacterium*, and *Staphylococcus* to be
131 the most abundant. Traditional methods of ordination (cPCA and MDS), as well as al-
132 pha and beta diversity, were limited in their ability to fully separate sample classes and
133 microbial differences associated with anomalies. However, explainable AI was able
134 to differentiate sample classes while also identifying three key microbial drivers that
135 separated sample classes with significance even in this dataset, which would be con-
136 sidered small in the realm of machine learning techniques studies. Given that whole
137 genome shotgun sequencing is still prohibitively costly for wide application, we next
138 investigated if other datatypes could be used. We applied explainable AI to 16S rRNA
139 data from two publicly available milk microbiome datasets to confirm that this ap-

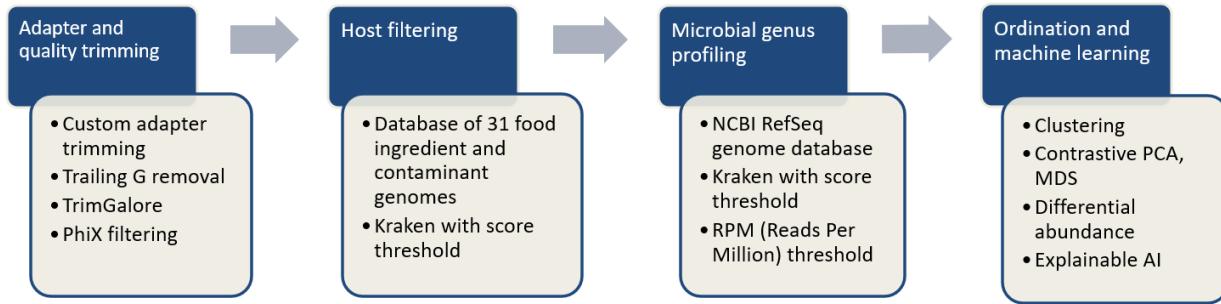


FIG 1 Overall analysis pipeline.

140 approach could distinguish between milk from different categories. We demonstrate
141 that our explainable AI approach is able to successfully predict the processing stage
142 and the transport stage a milk sample comes from. This study provides advances in
143 the application of machine learning that can be expanded across the food industry.

144 RESULTS

145 **Collection and shotgun metagenome sequencing of baseline and anomalous**
146 **raw milk samples** For whole metagenome shotgun sequencing, in total 65 samples
147 were collected: 33 baseline (BL), 13 outside farm (OF), 12 antibiotic treated (ABX), 6
148 negative DNA extraction controls, and 1 sequencing blank. Anomalies were chosen
149 to represent potential sources of concern in a dairy processing plant (milk from an
150 unknown source or milk contaminated with antibiotic residues). The sampling scheme
151 is shown in Supplemental Figure S1.

152 Sampling dates were block-randomized to ensure even distribution across the
153 sampling period for each anomaly type. A short time frame of five weeks was cho-
154 sen to control for seasonality.

155 Metadata including milk components (e.g., lactose, fat, milk urea nitrogen), so-
156 matic cell count, and standard plate count were also collected and provided as Supple-
157 mental File S1. No strong correlations were observed between the metadata features
158 and individual microbe reads per million (RPM) values or the summed microbe RPM
159 values per sample (|Spearman corr.| < 0.7).

160 Shotgun whole metagenome sequencing (Methods Section 3.2) with Illumina No-
161 vaSeq 6000 at 2 × 150bp resulted in 39.6-79.2 million read pairs per raw milk sample.
162 Figure 1 details the overall bioinformatics pipeline that was applied to this data.

163 During quality control of the reads with FastQC (30) and manual inspection, full-
164 length junction adapters and trailing G's were observed, indicating that the DNA was
165 likely fragmented prior to sequencing. These artifacts were removed (Methods Section
166 3.3), and resulting reads were trimmed for low quality bases using TrimGalore (31). A
167 median of 59.3M read pairs per sample were retained as high quality with a median
168 of 0.5% read pairs needing removal due to quality issues (see Supplemental Figure S2
169 and Supplemental Table S2).

170 To remove bovine and potential contaminant sequence content, we employed ma-
171 trix filtering as reported in a recent publication on food microbiome sequencing (5).
172 Kraken (32) was utilized with a custom-built reference database of 31 common food
173 ingredients and contaminant genomes (5) including *Bos taurus* (assembly Btau_5.0.1)
174 and *Homo sapiens* (assembly GRCh38.p10). A large fraction, 91.3-98.7%, of reads were
175 discarded from subsequent microbial analysis as matrix-classified (see Supplemental

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176 Figure S2(a) and Supplemental Table S2). Negative sequencing controls were utilized
177 to quantify the presence of typical laboratory contaminants that can be expected in
178 shotgun sequencing as it has been previously reported (33), particularly in studies us-
179 ing low biomass samples (34, 35, 36). With this, the background microbial contamina-
180 tion was identified bioinformatically using the decontam (37) R package on the genus-
181 annotated reads. The analysis identified 14 genera which were removed from subse-
182 quent analysis: *Histophilus*, *Rahnella*, *Raoultella*, *T4virus*, *Pragia*, *C2virus*, *Methylophilus*,
183 *Oceanobacillus*, *Streptosporangium*, *Fluviicola*, *Oenococcus*, *Alkalilimnicola*, *Geminocystis*,
184 and *Brevibacillus*.

185 **Microbiome characterization of raw bulk tank milk** We utilized Kraken (32)
186 with the NCBI RefSeq (38) whole genome collection to annotate the high quality non-
187 matrix read pairs and summarized the classified reads at genus level (Supplemental
188 Table S3). Each genus observed was defined based on a minimum abundance of 0.1
189 Reads per Million (RPM) as indicated in (5), resulting in 572 observed genera.

190 Alpha diversity was determined using the Shannon index calculated based on the
191 genus level table. Four baseline and two outside farm samples (BL-04, BL-08, BL-13,
192 BL-16, OF-09, and OF-13) were observed to have very low diversity (Shannon index
193 <0.4, Figure 2a), with a high relative proportion of reads assigned to specific microbes
194 (Supplemental Figure S2(b)), indicating a potential 'bloom' of specific milk microbes in
195 those samples, which drove diversity indexes to extremely low levels. This was fur-
196 ther confirmed by the observation that these samples were dominated by a single
197 organism where 94–95% of annotated reads were classified as either *Pseudomonas*
198 or *Staphylococcus* (in the case of OF-13). While *Pseudomonas* and *Staphylococcus* have
199 been previously detected in milk, the observation of a single organism accounting for
200 the vast majority of the microbial profile of a sample would most likely be due to a
201 random sample-specific event, and not milk microbiome signatures associated with a
202 given farm. While these six samples were therefore removed from further analyses,
203 once larger datasets are available for analyses, samples with these types of sporadic
204 'blooms' would not need to be removed, as they could be identified algorithmically as
205 outliers.

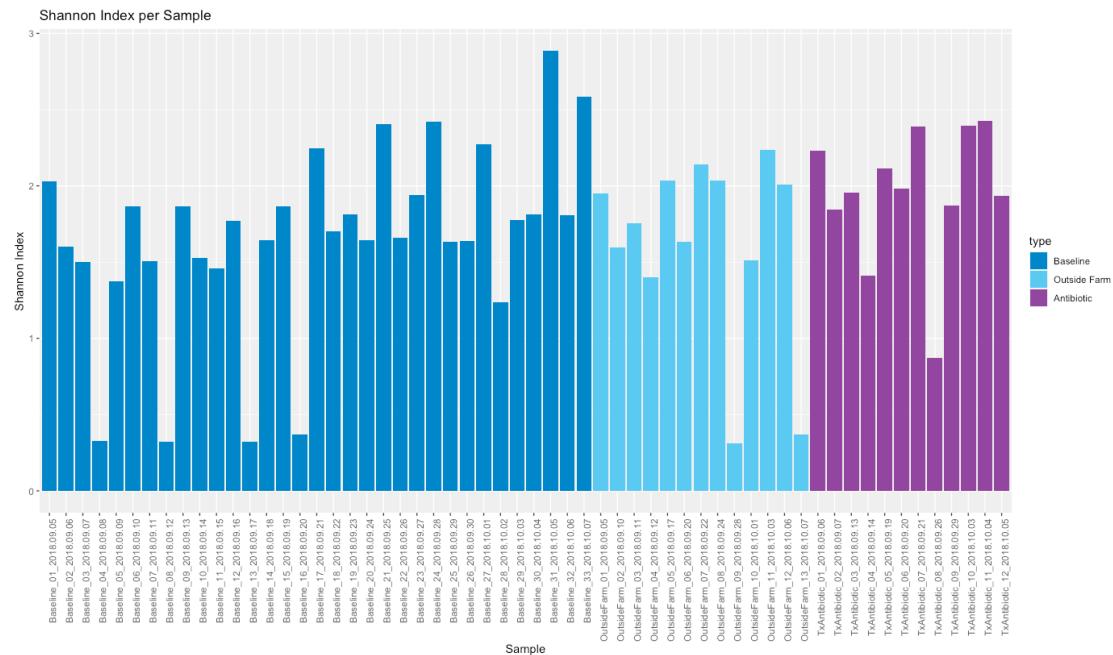
206 The remaining samples had an average of 27K microbial classified reads at genus
207 taxonomic rank or more specific. The alpha diversity within each sample class was rela-
208 tively consistent (Figure 2). The average Shannon index per sample class was 1.84–1.95
209 with an average number of genera observed per sample class to be 143–162 with no
210 major differences due to sampling date. Between sample classes, the alpha diversity
211 shows a similar distribution (Figure 2b) with a Wilcoxon rank sum test for BL vs OF $p =$
212 0.7654 and BL vs ABX $p = 0.1194$.

213 Classified reads per million quality-controlled sequenced reads (RPM) were com-
214 puted for each genus, and a threshold of 0.1 RPM was applied to define *supported* gen-
215 era, as described in Beck et al. (5). The supported genera RPM values are provided as
216 Supplemental Table S4. Figure 3 highlights the most abundant microbes observed in
217 the milk microbiomes. Genera with RPM greater than 5% of the supported genera RPM
218 total in at least one sample are shown (with remaining genera summed as "Other"). In
219 total, there were 12 such genera, and combined they account for 73.5–97.3% of the to-
220 tal supported genera RPM sum per sample. Only *Pseudomonas*, *Serratia*, *Cutibacterium*,
221 and *Staphylococcus* were observed to account for more than 5% of the total supported
222 genera RPM in every sample.

223 Additionally, a date-localized increase in abundance of *Cutibacterium* from 03-Oct.
224 to 07-Oct. was observed in baseline, outside farm, and antibiotic treated samples.
225 The anomalous samples were from sub-sampled dates based on our block random-

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(a)



(b)

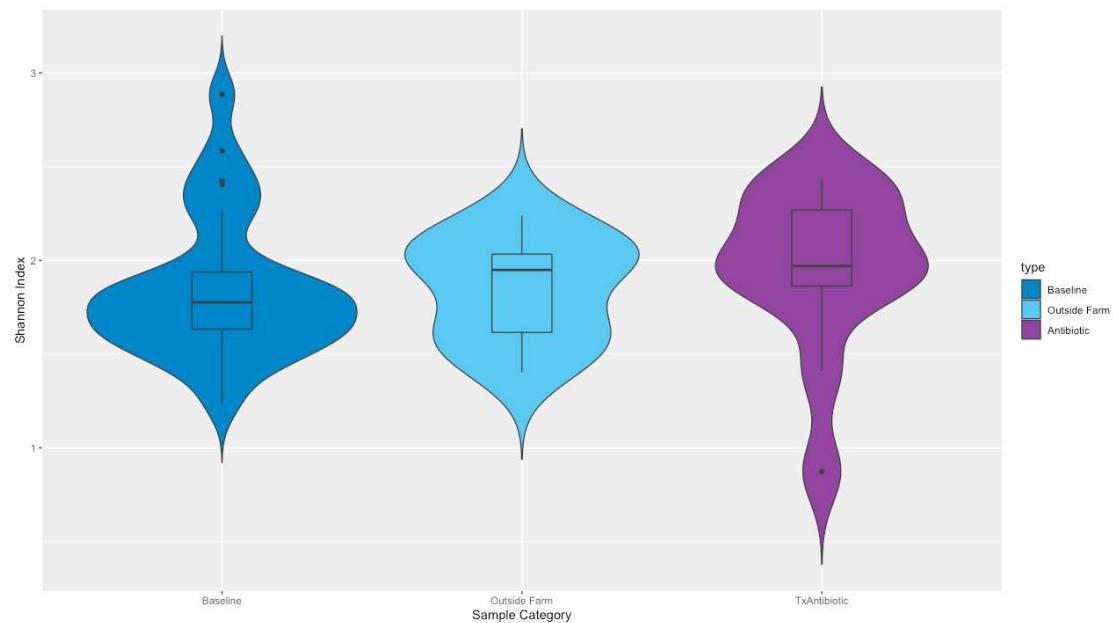


FIG 2 Alpha diversity (Shannon index) of all raw milk samples where presence is indicated as genus RPM > 0.1. **(a)** Shannon index is shown for all 58 samples and **(b)** summarized by sample class with the six low-diversity outlier samples removed here and in all subsequent figures ("Baseline_04", "Baseline_08", "Baseline_13", "Baseline_16", "OutsideFarm_09", "OutsideFarm_13").

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226 ized sampling selection (in comparison to continuous date sampling for the baseline).
227 Additionally, there were also a select number of microbes observed in only a few sam-
228 ples within one class. For example, *Klebsiella* was only observed in BL-01, BL-17, and
229 BL-33 as high abundance, and *Enterobacter* was uniquely observed in antibiotic treated
230 samples (ABX-01) as was *Elizabethkingia* (ABX-10, ABX-11).

231 **Contrasting baseline and anomalous community profiles using traditional**
232 **methods** To contrast sample classes and better understand microbial drivers asso-
233 ciated with anomalous sample classes, we began by exploring traditional microbial
234 ecology and ordination methods.

235 Beta diversity was calculated by abiding by principles of compositional data analy-
236 sis and computing an Aitchison distance as described in Beck et al. (5) and in Methods
237 Section 3.5. This distance was used to cluster samples as shown in Figure 4a. The sam-
238 ples clustered into three main clades with intermixed sample classes and sampling
239 dates. The first clade (furthest left, OF-11, BL-29, BL-32, BL-30, ABX-12) was driven by
240 the presence of *Propionibacterium*, but not with the co-occurrence with *Klebsiella* as in
241 BL-33. The remaining two larger clades intermixed all sample types and dates without
242 notable microbial differences defining their structure and separations.

243 Contrastive PCA (cPCA) (39) was applied to the microbial community relative abun-
244 dance data. However, it was not able to successfully separate the outside farm, an-
245 tibiotic, and baseline samples when baseline samples were used as the background
246 dataset (Supplemental Figure S3). The difference between PCA and cPCA is that cPCA
247 aims to identify enriched patterns in a target dataset (foreground dataset) by contrast-
248 ing it with another dataset (background dataset). This is an unsupervised technique
249 that uses a hyperparameter named alpha to adjust the trade off between high target
250 variance and low background variance. At alpha = 0, cPCA collapses to PCA on the
251 target dataset. At alpha = inf, it puts an infinite penalty on any direction which is not
252 in the null space of the background dataset.

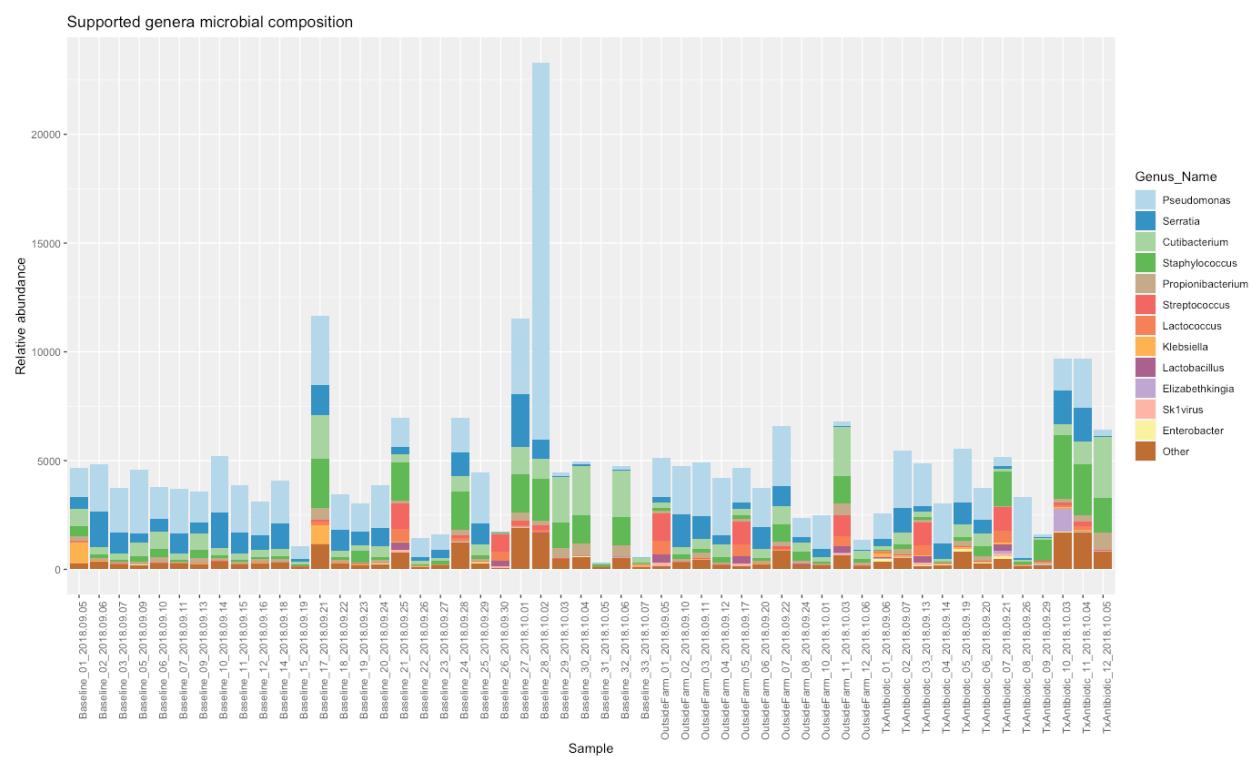
253 In contrast to cPCA which operates on the microbial RPM count table, multidimen-
254 sional scaling ingests a pairwise distance matrix and aims to project the samples
255 onto a lower dimensional space while retaining their distances. Multidimensional scal-
256 ing (MDS) based on the Aitchison distance indicated some separation between the
257 anomalous sample types ABX and OF in the two-dimensional projection (Figure 4b),
258 although the baseline samples appear intermixed with the anomalous samples. The
259 three classes are significantly separated according to PERMANOVA ($p = 0.0064$).

260 **Differentially abundant genera** Two-sample Kolmogorov-Smirnov tests were per-
261 formed independently for each genus to determine statistically significant differen-
262 tially abundant features. After Bonferroni correction for multiple testing, the adjusted
263 p -values were significant ($p < 0.01$) for *Coxiella* for BL vs. OF and *Enterobacter*, *Mor-*
264 *ganella* for BL vs. ABX. Their RPM distributions are visualized in Figure 5. *Coxiella* was
265 observed to be increased in outside farm samples and *Enterobacter* and *Morganella*
266 in antibiotic treated samples. Most notably, the median RPM value of *Enterobacter* in
267 ABX samples was nearly 12 times that of the baseline samples (28.0 vs. 2.4 RPM).

268 **Sample class prediction with explainable AI** We employed an explainable AI
269 workflow ('AutoXAI4Omics' (<https://github.com/IBM/AutoXAI4Omics>)), as described in
270 a recent study on the skin microbiome (29), to perform two separate classification
271 tasks: BL vs. OF and BL vs. ABX. The genus-level RPM data after removing low-diversity
272 outlier samples and contaminant genera was used as input (52 samples, 572 features).
273 For each classification task, the samples were split into training (70%) and test (30%)
274 sets uniformly at random, while maintaining the class size distribution in each set. Five
275 randomized iterations of the train/test split were performed to obtain robust results.

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(a)



(b)

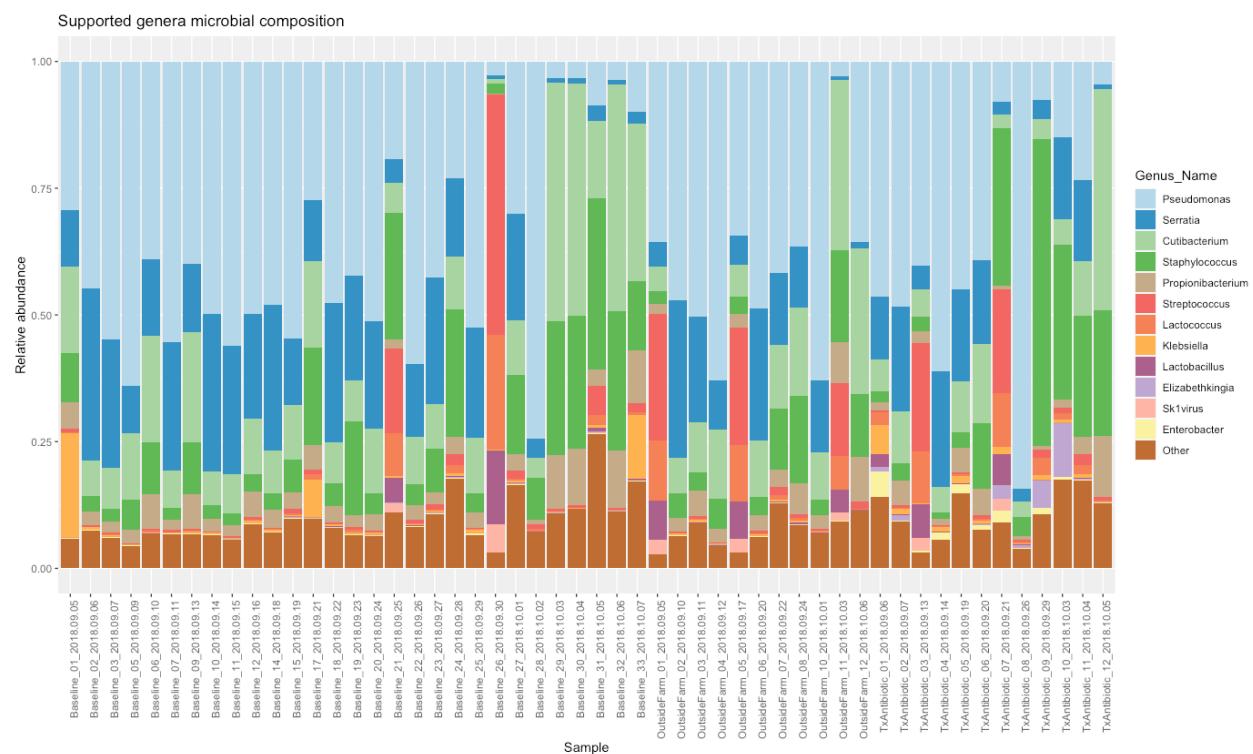


FIG 3 Microbial community membership is shown per sample for genera with RPM abundance greater than 5% in any sample in **(a)** standard stacked barplot and **(b)** with values scaled to 100% by sample. Genera observed in less than 5% of the summed per sample abundance are aggregated into the "Other" class.

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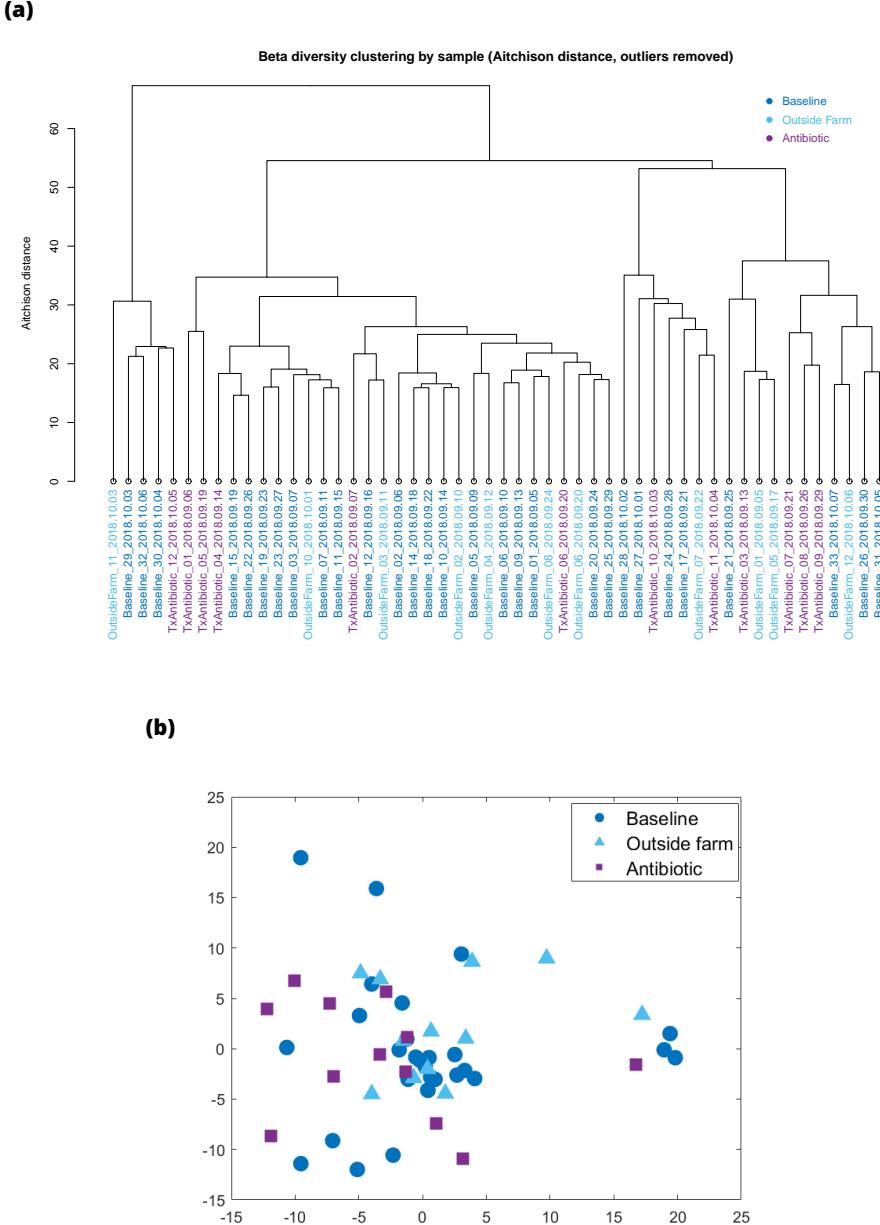


FIG 4 Beta diversity using Aitchison distance of raw milk samples with six outlier samples removed is shown here in (a) hierarchical clustering and (b) multidimensional scaling.

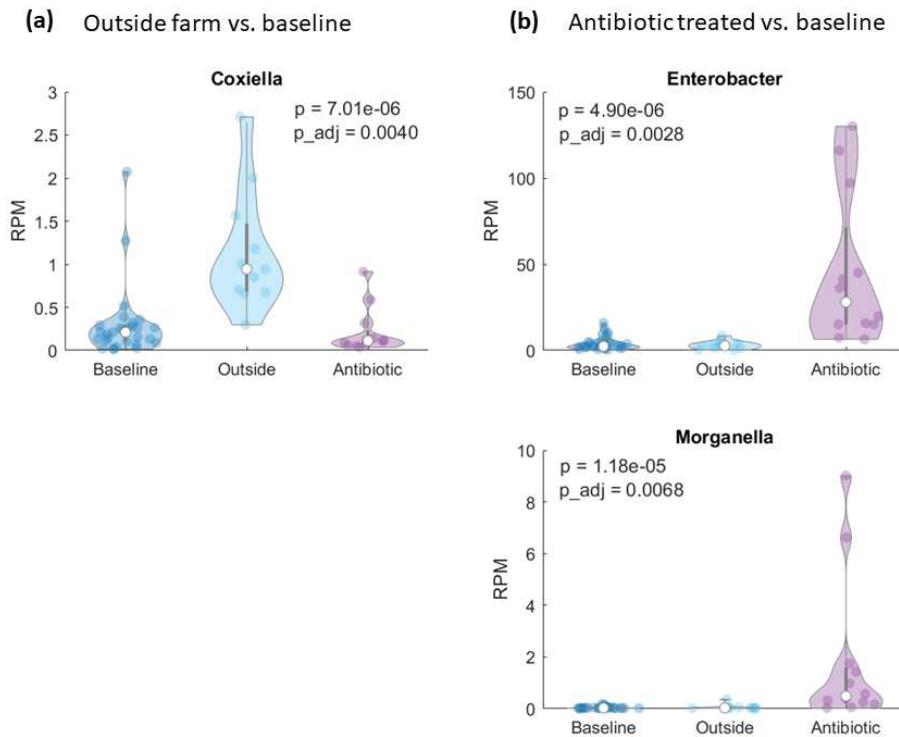


FIG 5 Distribution of RPM values for each group, for the differentially abundant genera. **(a)** Outside farm vs. baseline samples. **(b)** Antibiotic treated vs. baseline samples. Unadjusted and adjusted p-values from the two-sample KS-test are shown within each plot. White circles indicate median values.

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276 To evaluate and compare the predictive performance of our machine learning model,
277 we used a state-of-the-art measure of accuracy, the F1-score. The F1-score is the har-
278 monic mean between precision and recall. It is a metric of accuracy that takes into
279 account the imbalance of the classes when the average parameter is set to "weighted".

280 The AutoXAI4Omics workflow included training and tuning of several machine
281 learning algorithms (XGBoost, Random Forest (RF), Support Vector Machines, Adaboost,
282 K-Nearest Neighbors (KNN), LightGBM, Decision Trees, Extra Trees, Gradient Boosting,
283 Stochastic Gradient Descent) followed by selection of the best model based on the
284 predictive performance represented by the F1-score. The three best machine learn-
285 ing models were tree-based models (XGBoost, Random Forest, LightGBM). XGBoost
286 however, a gradient-boosted decision tree ensemble method, consistently reported
287 a higher F1-score on the test data and during cross validation. XGBoost has also per-
288 formed well in recent comparative studies on microbiome data (40, 29, 41).

289 Generating explanations for the ML models' predictions is an important active
290 field of research. Understanding and explaining the mechanisms underlying the pre-
291 dictions can help validate the predictive models and reveal powerful and novel in-
292 sights about the connections between the samples and phenotype under investiga-
293 tion. Therefore, for each classification task, we used AutoXAI4Omics to generate ex-
294 planations of the predictions for XGBoost using an explainable AI algorithm called
295 SHapley Additive exPlanations (SHAP) (42). The SHAP algorithm assigns a SHAP value
296 to each genus (i.e., feature) that represents the impact, negative or positive, that the
297 genus has in predicting a class for a given sample. The genera are then ranked based
298 on their average absolute SHAP impact value across all the samples in the training
299 dataset to obtain a ranked list of impactful genera (see Methods Section 3.7 for more
300 details).

301 Given the number of samples per class, the random variability in the splitting of
302 samples into training and test sets has an effect on the predictive performance and the
303 ranked list of the most impactful genera (i.e., when running multiple iterations while
304 changing the global random seed). As such, the predictive performance and the stabil-
305 ity (43) of the top impactful genera from SHAP were examined across five randomized
306 iterations. We observed overall high variation in the order of the impactful genera
307 across the five randomized iterations with different random seeds. *Stable predictive*
308 *features* were defined as those being among the top three most impactful features in
309 at least two out of five randomized iterations.

310 Despite the observed variation, three stable impactful features were identified:
311 *Coxiella* for the BL vs. OF comparison as well as *Enterobacter* and *Morganella* for the BL
312 vs. ABX comparison. These stable features that are impactful for the prediction are
313 the same as the differentially abundant genera identified above with the KS-test.

314 In addition to confirming the predictive impact of the three statistically significant
315 genera, one advantage of the explainable AI algorithm, SHAP, over other feature im-
316 portance methods, is that it also explains how each of these impactful features is con-
317 tributing, positively or negatively, to the prediction of a particular class (e.g., BL) for
318 each sample or across a set of samples.

319 In Figure 6(a) for one of the five runs, *Coxiella* is shown as positively contributing
320 to the prediction of the class OF for those samples that have a higher abundance of
321 *Coxiella*. Similarly, in Figure 6(b) *Enterobacter* and *Morganella* are driving the prediction
322 of ABX for those samples with higher abundances of the genera. The SHAP plots for
323 the remaining four iterations are shown in Supplemental Figure S4.

324 For the BL vs. OF prediction, in 4/5 runs *Coxiella* was the most impactful and in 1/5
325 runs it was the second most impactful. The F1-score of BL vs. OF prediction ranged

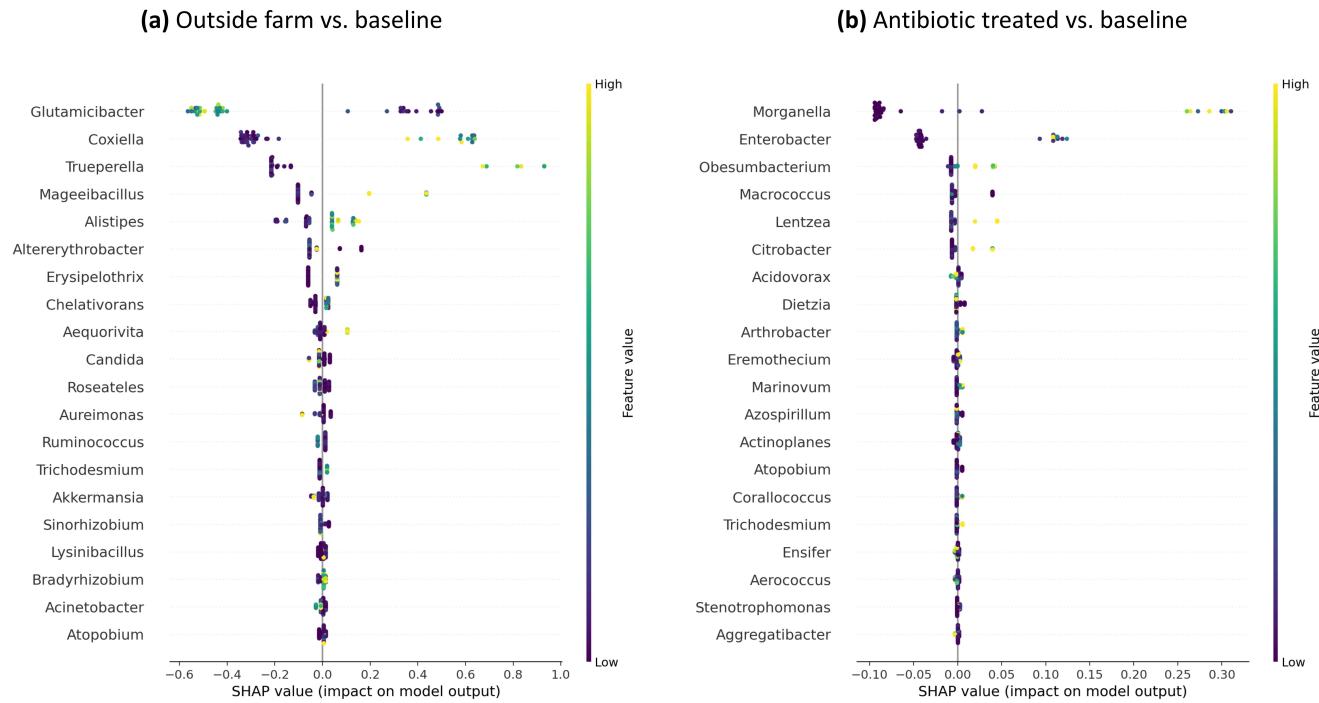


FIG 6 Explainable AI results, SHAP summary dot plots in one exemplar iteration of each anomaly type comparison against baseline (see Supplemental Figure S4 for the other four iterations). SHAP values indicate the importance of that feature on the prediction of the sample class (see further explanation in Section 3.7). **(a)** The most impactful features predicting outside farm anomaly class. **(b)** The most impactful features predicting antibiotic treated anomaly class.

326 from 0.833 to 0.917 (mean 0.87). For the BL vs. ABX prediction, in 4/5 runs *Morganella*
 327 was the most impactful. In 1/5 runs *Enterobacter* was the most impactful and in 2/5
 328 runs the second most impactful. The F1-score of BL vs. OF prediction ranged from
 329 0.692 to 0.923 (mean 0.83).

330 **Validation of explainable AI approach using alternate datatype** To validate
 331 the ability of using an explainable AI approach to detect anomalous milk samples
 332 based on microbiome composition, next we applied this technique to an alternative
 333 datatype. We selected 16S rRNA data, an affordable and accessible proxy for whole
 334 genome shotgun sequencing metagenomics. We selected two publicly available fluid-
 335 milk microbiome datasets (ERP015209, ERP114733), containing 1,507 and 626 samples
 336 with 16S rRNA data, respectively (23, 20). We then used the AutoXAI4Omics tool to in-
 337 vestigate whether 16s rRNA data could also distinguish milk from a range of categories,
 338 including season, transport stage, silo ID, and processing stage. For processing stage
 339 comparisons, classes included raw milk stored in silos "Raw Milk" class, milk stream
 340 entering the pasteurizer "HTST feed" class, and post-pasteurization milk "HTST Milk"
 341 class. For transport stage comparisons, classes included milk that was collected with
 342 a stainless steel dipper from the inlet at the top of individual tanker trucks "Tanker"
 343 class, raw milk sampled from five large-volume-capacity silos "Raw Milk" class, and
 344 "Blended Silo" class.

345 Of the six ML models tuned, trained, and cross-validated by AutoXAI4Omics (RF,

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TABLE 1 Explainable AI performance on alternative datasets. The average F1 score and standard deviation of the best performing model after 5-fold cross-validation on each of the alternative datasets are indicated with the number of training and test samples.

Target Variable	Best Model	Train:Test	F1-Score Train	F1-Score Test	Study ID
Processing Stage	Random Forest	311:78	0.997 ± 0.028	0.734 ± 0.040	ERP114733 (23)
Transport Stage	Random Forest	303:76	0.998 ± 0.021	0.885 ± 0.013	ERP015209 (20)
Season	XGBoost	986:298	0.998 ± 0.002	0.849 ± 0.023	ERP015209 (20)

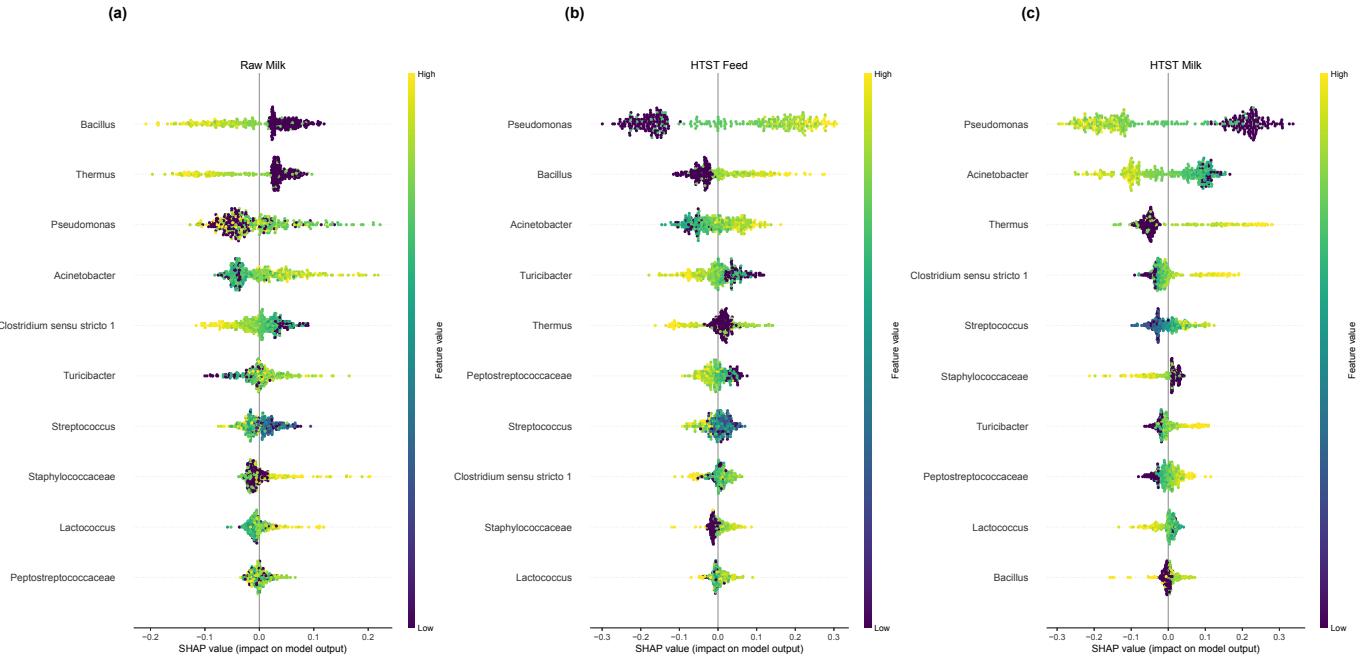


FIG 7 Explainable AI results on validation with alternative datatype, evaluating the ability to predict the processing stage (n samples train:test were 311:78). SHAP summary dot plots in one exemplar iteration of each anomaly type comparison. SHAP values indicate the importance of that feature on the prediction of the sample class (see further explanation in Section 3.7). **(a)** The most impactful features predicting raw milk class. **(b)** The most impactful features predicting pre-pasteurization class. **(c)** The most impactful features predicting post-pasteurization class.

346 KNN, AutoKeras, LightGBM, Autosklearn and XGBoost), RF performed the best, pre-
 347 dicting processing stage with 0.734 F1 score (Table 1). SHAP analysis revealed that
 348 the most impactful genera influencing prediction of raw milk samples included lower
 349 abundances of the genus *Bacillus* and the thermophilic genus *Thermus*, and higher
 350 abundances of genera *Pseudomonas* and *Acinetobacter* (Figure 7). After the pasteuriza-
 351 tion process (high-temperature short-time processing (HTST)), the genera listed above
 352 appeared to have an opposing influence upon the model's prediction. We observed
 353 that in pasteurized samples, higher abundances of *Bacillus* and *Thermus*, and lower
 354 abundances of *Pseudomonas* and *Acinetobacter* influenced the prediction of this class
 355 (Figure 7).

356 Another category for which AutoXAI4Omics produced highly accurate models was
 357 milk storage stage, which represented different locations within the milk transport
 358 pipeline, raw milk, tanker milk, or silo milk. The best performing model predicting

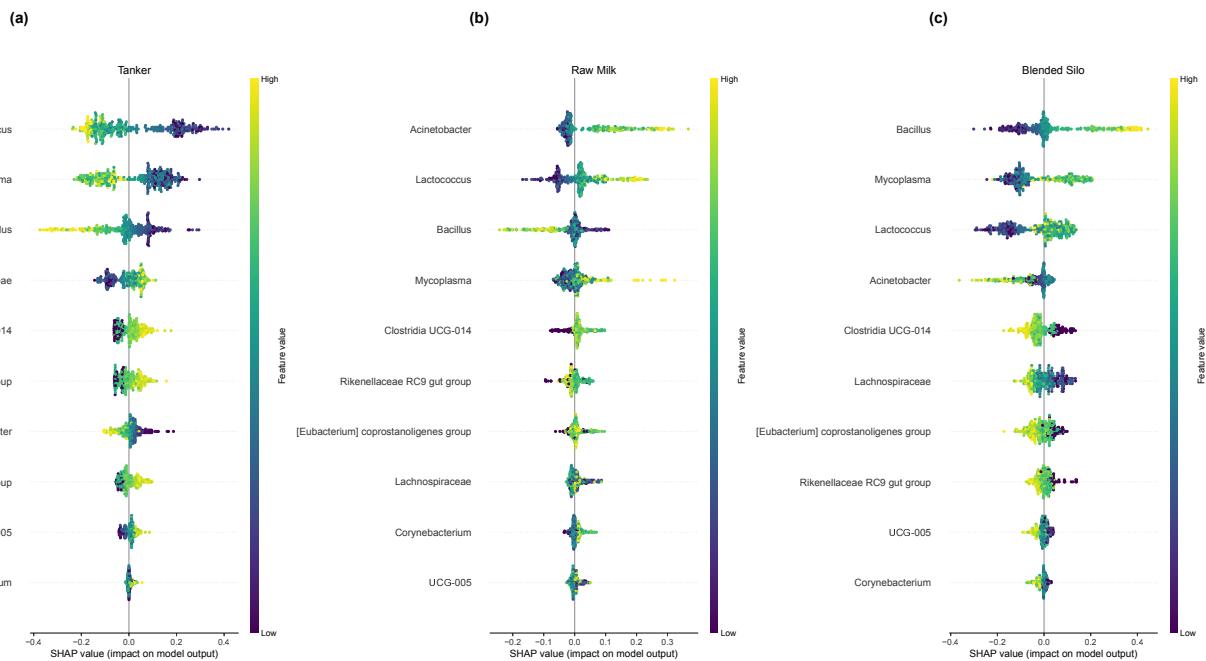


FIG 8 Explainable AI results on validation with alternative datatype, evaluating the ability to predict milk storage stage during transport (n samples train:test were 303:76). SHAP summary dot plots in one exemplar iteration of each anomaly type comparison. SHAP values indicate the importance of that feature on the prediction of the sample class (see further explanation in Section 3.7). **(a)** The most impactful features predicting tanker milk class. **(b)** The most impactful features predicting raw milk class. **(c)** The most impactful features predicting blended silo class.

359 milk transport stage was Random Forest, with an average F1-score of 0.885 across the
 360 three classes (Table 1). For tanker milk and silo milk, *Bacillus*, *Mycoplasma*, and *Lac-*
 361 *tococcus* were highly influential in the model's prediction, but they showed opposing
 362 influences for each of the two classes. Lower abundances of *Bacillus*, *Mycoplasma*, and
 363 *Lactococcus* were associated with tanker milk prediction, whilst higher abundances of
 364 these two genera influenced silo milk prediction (Figure 8).

365 AutoXAI4Omics was also able to successfully predict the season in which a milk
 366 sample was collected, using categories Fall, late Summer, Summer and Spring (Table
 367 1). XGBoost predicted season with the highest accuracy of all models, with an average
 368 F1-score across the four classes of 0.849 (Table 1) *Mycoplasma* most strongly influ-
 369 enced prediction of both late Summer and Fall classes; however, a higher abundance
 370 increased the likelihood of a late-Summer prediction, whilst a lower abundance influ-
 371 enced classification as a Fall sample (Figure 9). *Mycoplasma* was also the second most
 372 influential genera in the prediction of a Spring sample, suggesting this genus is heavily
 373 influenced by season.

374 Milk samples collected from different silos could not be accurately predicted using
 375 our explainable AI approach (F1-score 0.25), indicating limited differences in 16S rRNA
 376 microbial composition between milk stored in different silos. This finding is commen-
 377 surate with findings by Kable et al., (20) observing no clear difference using hierarchical
 378 clustering of beta diversity of samples.

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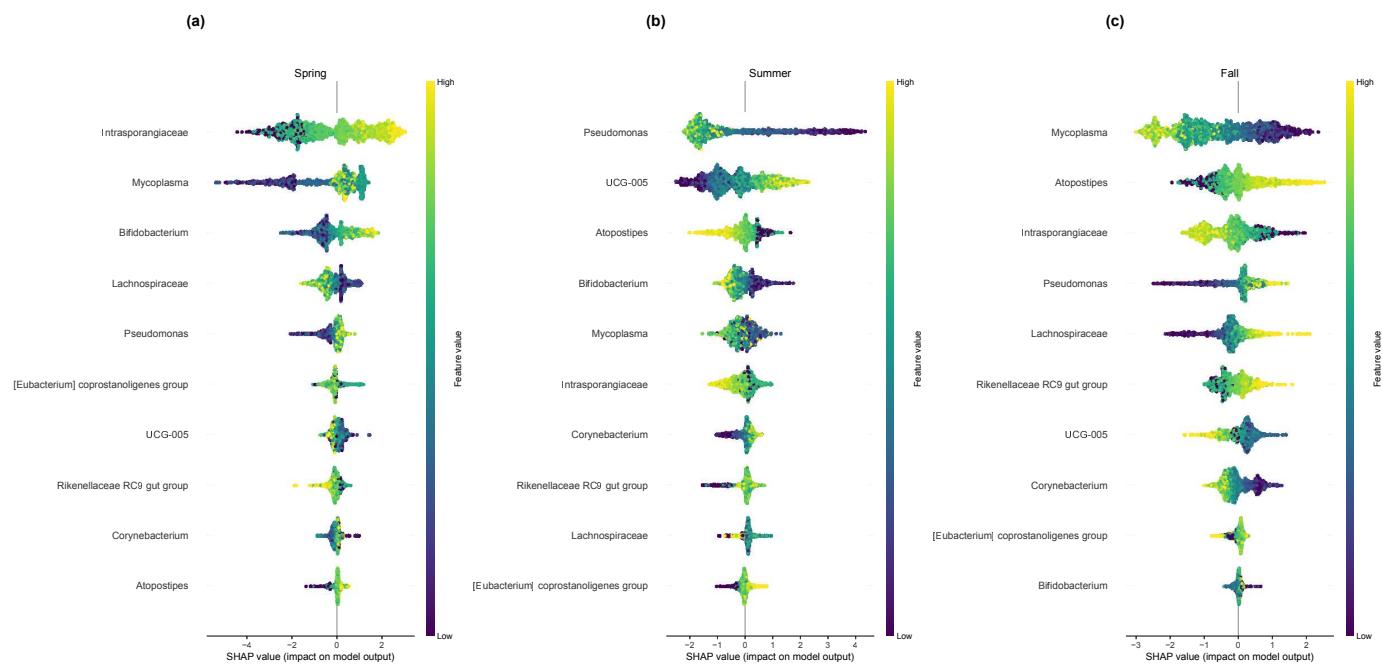


FIG 9 Explainable AI results on validation with alternative datatype, evaluating the ability to predict milk collected in different seasons (n samples train:test were 986:298). SHAP summary dot plots in one exemplar iteration of each anomaly type comparison. SHAP values indicate the importance of that feature on the prediction of the sample class (see further explanation in Section 3.7). **(a)** The most impactful features predicting spring class. **(b)** The most impactful features predicting summer class **(c)** The most impactful features predicting fall class.

379 DISCUSSION

380 We have applied various traditional and artificial intelligence methods to test the hy-
381 pothesis that the microbial community of raw milk, as characterized by shotgun metage-
382 nomics, could be used for anomaly detection based on the intuition that the micro-
383 biome would differ in relation to two anomalous states: (i) treatment with antibiotics
384 and (ii) the presence of milk from a differing farm. The rationale for testing such
385 anomalous states was to attempt to detect anomalies that would be meaningful to the
386 industry (e.g., regulatory violation or unknown ingredient source) (44, 45). The micro-
387 biome has been shown to be a highly dynamic ecosystem where microbial community
388 membership and relative abundances can shift in response to a variety of perturba-
389 tions (46). This has been demonstrated in health (47), the environment (48), and more
390 recently in food systems (5, 49, 50), further substantiating our motivation.

391 While our study was deliberately designed to control for seasonality and thus rep-
392 resents a worst-case, but most realistic, scenario in terms of what would be useful for
393 application in anomaly detection in the industry, our results indicate that under such
394 circumstances, explainable AI applied to microbiome data might become a valuable
395 tool for monitoring and anomaly detection in food systems in the future.

396 **Raw milk microbial composition is dominated by few typical milk genera and**
397 **overall uniform across sample types** To the best of our knowledge, this study char-
398 acterized raw milk metagenomes in more sequencing depth than any other published
399 work to date and demonstrates that there is a set of consensus microbes that were
400 found to be stable elements across samples. We observed 32 microbial genera as
401 present (RPM > 0.1) in all samples (excluding low-diversity outliers), see Supplemen-

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402 tal Table S5. *Pseudomonas*, *Serratia*, *Cutibacterium*, and *Staphylococcus* were the most
403 abundant on average. This is in agreement with most of the literature, with *Pseu-*
404 *domonas* and *Staphylococcus* being reported in many studies that characterized the
405 raw milk microbiome (51, 21, 52, 53). Mastitis causing bacteria, including *Streptococ-*
406 *cus* sp., *Staphylococcus* sp., and coliforms such as *E. coli* and *Klebsiella* have been re-
407 ported in milk microbiome studies (54, 55, 56), — all of which were detected in our
408 dataset. Consequently, our data along with previously published data indicate that
409 bacteria such as *Pseudomonas*, and *Staphylococcus*, among others, represent the core
410 bulk tank milk microbiome.

411 In our study *Cutibacterium* was one of the most prevalent genera. *Cutibacterium* be-
412 longs to the family *Propionibacteriaceae*, which have been reported in the teat apex mi-
413 crobiota (51). A few skin-associated *Propionibacteria* have been reclassified as *Cutibac-*
414 *terium* (57), indicating that the teat skin microbiota might contribute to the bulk tank
415 milk microbiota.

416 **Traditional microbial diversity metrics, clustering, and MDS and methods fail**
417 **to differentiate sample classes** For the detection of anomalous samples, we eval-
418 uated several traditional methods for comparative analysis of the microbiome commu-
419 nity. In this study clustering, cPCA, and MDS analyses did not indicate a strong separa-
420 tion of the three different classes of samples (baseline, outside farm, and antibiotics).
421 This is in contrast with recently published studies (21, 22), in which milk samples were
422 significantly different based on origin as identified by PERMANOVA and visualized with
423 Principal Component Analysis. One explanation for the disparity is that in those stud-
424 ies, sampling was performed across seasons, thus increasing the variability between
425 sample sites. In this study, we purposely sampled within a short time frame to con-
426 trol for seasonal variability, and thus avoid that confounder in our analyses. While our
427 PERMANOVA results indicate that there was a significant difference in beta diversity be-
428 tween the three classes with multidimensional scaling ($p = 0.0064$), no clear separation
429 could be observed between sample classes when the first two MDS are plotted. One
430 might argue that most antibiotic samples are closer to one another than the other two
431 classes, and thus that might explain our significant results within PERMANOVA. The
432 high degree of uniformity observed here might be explained by our sampling strategy
433 which was to constrain sampling to a short period of time to control for seasonality. It
434 is also possible that management practices may explain differences between baseline
435 and outside farm samples in our study.

436 **Bacterial taxa can be used as biomarkers for anomaly detection** Despite the
437 overall uniformity in our data, three genera were identified to be differentially abun-
438 dant between baseline and anomalous samples. *Enterobacter* have been reported to
439 be present in milk microbiomes in many studies (51, 21, 52, 53), and *Coxiella* and *Mor-*
440 *ganella* were identified in this study but not in many others, perhaps because of to the
441 large sequencing depth applied here. Nevertheless, these are environmental organ-
442 isms that could be found in any farm, and their relative abundances could be informa-
443 tive when attempting to identify anomalies in milk.

444 In the case of milk received from an outside farm, we aimed to identify if the mi-
445 crobiome could signal more subtle differences since major influences, e.g. seasonality,
446 region, and temperature, were all constant. Here while the cows and bulk tanks may
447 be similar across farms, there were differences in farm management, diet, and milk-
448 ing protocols that one would expect to impart differences in the bulk tank milk micro-
449 biome. While baseline samples and outside farm samples were similar in their diver-
450 sity and overall community membership, *Coxiella* was observed to have a significant
451 increase in the outside farm samples. *Coxiella* is a known foodborne pathogen and

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452 a target organism for defining time and temperature combinations for milk pasteur-
453 ization (58, 59). Thus it is not an unusual finding in raw milk microbiome, reiterating
454 the fact that when using microbiome data for anomaly detection, one should not rely
455 only on finding which features are unique to a sample class, but also how the relative
456 abundances of features observed across classes might be associated with a particular
457 class.

458 In milk samples spiked with milk from cows treated with antibiotics to control mas-
459 titis, the overall diversity does not differ from baseline diversity in a significant manner.
460 Previous studies investigating bovine mastitis and antibiotic treatment have indicated
461 that alpha diversity is significantly lower in mastitic milk when compared to healthy
462 milk (60, 61). The lack of difference in diversity in our antibiotic anomalous samples
463 is in accordance with the design of this study, in which antibiotic anomalies were not
464 milk from an individual cow, but bulk tank milk spiked with 10% v/v of milk from an an-
465 imal whose milk should not be entering the food supply chain. This represents a trace
466 amount of contamination that although more difficult to detect, would represent a
467 more realistic scenario. Even in such circumstances, we identified genera e.g. *Enter-*
468 *obacter* and *Morganella* that are observed with increased abundance in the antibiotic
469 sample class. *Enterobacter spp.* are environmental mastitis-causing pathogens (54),
470 hence expected in the mastitic milk from cows treated with antibiotics. *Morganella* is
471 an environmental organism and part of the intestinal tract of mammals, and has been
472 isolated from cheese(62). It can be an opportunistic pathogen and has been reported
473 to infect calves (63).

474 **Explainable AI outperforms traditional methods in microbiome-based anomaly**

475 **detection and can predict baseline vs. anomalous sample class using combined**

476 **signal from all genera** For the detection of anomalous samples, we evaluated sev-
477 eral traditional methods for comparative analysis of the microbiome community. Al-
478 though clustering, cPCA, and MDS analyses did not indicate a strong separation of the
479 three different classes of samples, predicting each type of anomaly versus the base-
480 line could be done fairly accurately with both anomaly types using explainable AI even
481 in our relatively small dataset. We observed that XGBoost was able to differentiate
482 anomalous samples from baseline and were able to quantify the impact of the dif-
483 ferentiating features with SHAP. Likewise, XGBoost, a gradient-boosted decision tree
484 ensemble method, has also performed well in recent comparative studies on micro-
485 biome data (40, 29, 41).

486 While most machine learning algorithms function in a black-box manner, the ex-
487 plainability algorithm we used in this work— SHAP— assigns a value to each sample for
488 each feature that describes the impact of that feature for predicting a specific sample
489 class. One could speculate that this capability will be very useful for the food industry
490 as evidence is mounting indicating that certain pathogens tend to co-occur with cer-
491 tain environmental microbes (64, 65). Thus, having the ability to "flag" microbes that
492 predict a specific problematic sample class and might prove useful to inform sanita-
493 tion and foodborne pathogen control practices. For example, *Listeria* has been shown
494 to co-occur with certain taxa and thus observing those taxa as impactful genera in pre-
495 dicting a specific location might raise awareness about potential future problems with
496 *Listeria* (64, 65).

497 The three genera observed to be most impactful by explainable AI were the same
498 as those identified with the KS-test after Bonferroni correction; however by leveraging
499 explainable AI, we were able to use the combined signal from all genera to assess the
500 impact of the differing abundance of these microbes.

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501 **Explainable AI can predict several fluid milk sample classes using an alterna-**
502 **tive datatype** Despite the high metagenomics sequencing depth, we recognize the
503 small nature of the sample size (58 samples) when it pertains to testing machine learn-
504 ing algorithms (66, 67, 68), and that it may impart limitations on the quantitative and
505 comparative assessments in characterizing the microbiomes of the multiple sample
506 classes. We thus further validated our analysis approaches with publicly available
507 bovine bulk tank milk data. The few publicly available bulk tank milk shotgun sequenc-
508 ing datasets had too low coverage (22, 69) to be appropriate for the application of our
509 methodology. Thus, we performed our validation analysis based on publicly available
510 fluid milk 16S amplicon sequencing (21, 70).

511 The results from our validation demonstrated a strong correlation with biologi-
512 cal factors. Here, we observed that higher abundances of *Bacillus* and *Thermus*, and
513 lower abundances of *Pseudomonas* and *Acinetobacter* influenced the prediction of post-
514 pasteurization (Figure 7). These findings are consistent with the characteristics of the
515 organisms that were found to have the most substantial impact on predicting post-
516 pasteurization. Specifically, greater abundances of thermotolerant organisms such
517 as *Bacillus* and *Thermus* were impactful for predicting post-pasteurization, as well as
518 reduced abundances of typically thermosensitive *Pseudomonas*, were associated with
519 prediction of post-pasteurization. Notably, these findings align with other analyses
520 performed in the source study, including qPCR-based determination of cell numbers
521 (23).

522 The milk microbiota has been reported to vary with season and stage of process-
523 ing in several studies. Our validation analysis was also able to successfully predict milk
524 samples by key attributes such as the season or the transport stage a milk sample was
525 collected with high accuracy. Taken together, our results provide evidence for the fea-
526 sibility of this approach and indicate that explainable AI has the potential to become
527 a useful tool for microbiome-based quality monitoring for the food industry.

528 **Conclusion and future directions** In raw milk and other food systems, microbes
529 can present important challenges to food safety in the case of pathogenic organisms
530 and affect food quality such as flavor and storage attributes. Characterizing the mi-
531 crobial composition in a diverse set of food ingredients and products is of the utmost
532 importance to better understand and improve the safety and quality of food. Since
533 the microbiome is sensitive to changes in temperature, salinity, pH, and the composi-
534 tion of the material that it resides on among other things, it can also be utilized as an
535 indicator for when food items deviate from a baseline of normality. For this study, our
536 goal was to infer insights about each anomaly type compared to the baseline. As the
537 number of samples collected was limited from a machine learning perspective, our
538 intent was not to build a general machine learning model. Our intent was, instead, to
539 investigate the potential use of an interpretable machine learning method (e.g., SHAP)
540 to infer associations between microbial abundance and different sample types (base-
541 line vs outside farm, baseline vs antibiotic-treated) and compare the ability of inter-
542 pretable machine learning and traditional standard microbiome community analyses
543 techniques to identify different sample types (and hence different sources of raw ma-
544 terials that could be used in food production). We therefore focus on the explanations
545 provided by SHAP rather than the accuracy, the stability, or the generalizability of our
546 machine learning model. Our overall aim is to provide a 'proof-of-concept' for this
547 type of data and application. However, for results to be applicable for industry the
548 sampling needs to be larger, therefore we envision to extend the approach to larger
549 datasets as they become available. We demonstrate here that application of explain-
550 able AI applied to microbiome sequencing data could become a useful approach for

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551 anomaly detection in the food industry, particularly as sequencing technologies be-
552 come more cost effective, laboratory processes are streamlined, and larger datasets
553 are produced. Future challenges will include the need to define the appropriate speci-
554 ficiency and sensitivity for models that can identify abnormalities in products or ingredi-
555 ents. Importantly, acceptable specificity and sensitivity will differ by the actual supply
556 chains, and by factors such as the cost of false positive and false negatives, including
557 the ease and cost of follow-up actions, e.g. follow up facility inspections or tests to de-
558 termine whether detection of a microbiome abnormality represents an actual fraud
559 or food safety incident.

560 MATERIALS AND METHODS

561 **Milk sampling** Baseline raw bulk tank milk samples were collected daily from the
562 Cornell University Ruminant Center (CURC; Hartford, NY) between September 5th and
563 October 7th, 2018 and are referred to by the abbreviation BL. Anomalous samples
564 from an outside farm (abbreviated as OF) were collected from a collaborating com-
565 mercial dairy farm located in the same region (< 30 miles) and over the same time pe-
566 riod as the Cornell Dairy. Bulk tank milk samples were collected aseptically into sterile
567 10 oz. vials (Capitol Plastics, Amsterdam, NY) and transported on ice to the Milk Qual-
568 ity Improvement Laboratory (Ithaca, NY). Anomalous samples from antibiotic-treated
569 cows (abbreviated as ABX) were prepared at the laboratory by spiking the baseline
570 sample of that day with 10% v/v with milk from an animal currently being treated with
571 antibiotics, which was collected through a milking system collection device into a 10
572 oz vial.

573 Milk samples were aliquoted at the laboratory and frozen at -80°C until DNA extrac-
574 tion. A volume of 200 µL of milk samples were used as starting material for magnetic-
575 based DNA extraction using a 96-well plate and CORE kit in a KingFisher instrument
576 (Thermo Fisher Scientific, San Jose, CA, United States). Negative DNA extraction con-
577 trols (reagents only) were carried out within the same plate for quality control. Ex-
578 tracted DNA was frozen at -80°C until library preparation and sequencing.

579 **Shotgun metagenome sequencing** Samples were quantified with a Qubit (Thermo
580 Fisher Scientific, San Jose, CA, United States) before library preparation. Ten nanograms
581 of each Qubit quantified genomic DNA was sheared with a Covaris E220 instrument
582 operating SonoLab v6.2.6 generating approximately 300 bp DNA fragments according
583 to the manufacturer's protocol. Between 10 and 100 ng of fragmented DNA was pro-
584 cessed into Illumina compatible sequencing libraries using sparQ DNA Library Prep
585 Kit (Quantabio, Beverly, MA, United States). Each library was barcoded with unique
586 dual index sequences (NEXTFLEX® Unique Dual Index Barcodes, BioO Scientific). Li-
587 brary size and amount were confirmed with a Bioanalyzer High Sensitivity DNA chip.
588 Polymerase chain reaction primers and reagents included in the sparQ kit were used
589 to perform PCR, and products were purified with AMPure XP beads. Equimolar li-
590 braries were pooled and subjected to Illumina NovaSeq 6000 sequencing at 2 × 150
591 bp (Illumina, San Diego, CA, United States). Shotgun whole metagenome sequencing
592 was performed at the Genome Sciences and Bioinformatics Core at the Pennsylvania
593 State University College of Medicine, Hershey, PA, United States. Illumina bcl2fastq
594 (released version 2.20.0.422) was used to extract de-multiplexed sequencing reads.

595 **Read quality control and host filtering** Reads that included full length auxil-
596 iary sequences (junction adapter) P5 or P7 ("CTGTCTCTTACACATCTCCGAGCCAC-
597 GAGAC" or "CTGTCTCTTACACATCTGACGCTGCCGACGA") were removed with a cus-
598 tom script (as were their read pairs), since their presence indicates issues with se-
599 quencing those particular reads (see Figure 2(b-d) in Illumina's Sequencing Technical

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600 Note (71)). Trailing stretches of "G", prevalent in Nextera sequences due to the two
601 color channel technology, were removed with a custom script. If the length of the "G"
602 tail was 30 or more, the read and its pair were discarded as low quality. The sequenced
603 reads were then processed with TrimGalore (31) for adapter and quality trimming (pa-
604 rameters: –trim-n –paired –length 50 –phred33). The reads were handled as pairs
605 through all the quality control and filtering steps.

606 Read filtering against the internal control PhiX and common host and contaminant
607 genomes was performed with Kraken (32) as in Beck et al (5). PhiX reads were filtered
608 against the NCBI Reference Sequence: NC_001422.1. Host reads were filtered against
609 a database of plant and animal sequences introduced previously for metagenomic
610 studies of food (5), which has been open-sourced and is available via PrecisionFDA
611 <https://precision.fda.gov/home/assets/file-GFfjPQj0ZqjV93P00bj3vFgG-1>. Additionally,
612 kraken-filter with score threshold 0.1 was applied to avoid removing microbial reads.

613 **Microbial genus profiling** The reads passing quality control were classified as in
614 Beck et al (5), against the NCBI's RefSeq Complete (38) genome collection and corre-
615 sponding taxonomy of bacterial, archaeal, viral, and eukaryotic microorganisms (ap-
616 prox. 7,800 genomes retrieved April 2017). Kraken (32) was used with a minimum
617 score threshold of 0.05. Classified read counts per genus were collected as the sum
618 of the read counts assigned to a genus or a taxonomic level below it. Sequencing
619 blanks were used as negative controls to remove contaminating genera with the de-
620 contam R package (37) with the following parameters: threshold = 0.5 and normalize
621 = TRUE. From this analysis, there were 14 genera which were removed from subse-
622 quent analysis: *Histophilus*, *Rahnella*, *Raoultella*, *T4virus*, *Pragia*, *C2virus*, *Methylophilus*,
623 *Oceanobacillus*, *Streptosporangium*, *Fluviicola*, *Oenococcus*, *Alkalilimnicola*, *Geminocys-
624 tis*, and *Brevibacillus*. Finally, classified reads per million quality-controlled sequenced
625 reads (RPM) were computed for each genus and a threshold of 0.1 RPM applied to de-
626 fine *supported* genera, as described in Beck et al. (5). While the sequenced read depth
627 was sufficient for genus-level taxonomic classification, it did not permit thorough gene
628 or functional analysis.

629 **Community diversity** Shannon diversity was calculated from the supported mi-
630 crobial genera table using the diversity function in the vegan R package (72) with de-
631 fault parameters. Beta diversity was calculated using principles of compositional data
632 analysis (73, 74). Therefore, read counts assigned to each genus were pseudo-counted
633 by adding one in advance of computation of RPM prior to calculating the Aitchison dis-
634 tance from the microbial table. Beta diversity was calculated using the R package rob-
635 Compositions (75) and hierarchical clustering was performed using base R function
636 hclust using the "ward.D2" method.

637 Contrastive PCA (39) Python implementation was run with the aim of identifying
638 enriched patterns in outside farm and antibiotic treated samples by contrasting them
639 with baseline samples, on the supported microbial genera (Supplemental Table S4).
640 In our target (foreground) data we kept OF, ABX and BL samples. In our background
641 dataset, we only kept the baseline samples. We removed the 6 low diversity outliers
642 from both target and background dataset. This was in an effort to uncover compo-
643 nents which have high variance in the target dataset but low variance in the back-
644 ground dataset. We tried automatic assignment of alpha values where the algorithm
645 generates and evaluates different alpha values and we also experimented with increas-
646 ing alpha values systematically.

647 Multidimensional scaling (MDS, Matlab function cmdscale, p=2) and permutational
648 multivariate analysis of variance (PERMANOVA, function f_permanova, iter=10,000, from
649 the Fathom toolbox (76) for MATLAB) were applied on the pairwise Aitchison distances

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650 of all samples excluding the four baseline and two outside farm samples identified as
651 low-diversity outliers, on the supported microbial genera table.

652 **Differences between baseline and outside farm, antibiotic treated samples**

653 Two-sample statistical tests of individual features and corresponding visualizations on
654 labeled data can be valuable as additional information to further support the results
655 from explainable AI analysis, as done here. However, using two sample statistical tests
656 alone only identifies significant differences for one single taxon, between two samples
657 at a time and does not allow for assignment of a new sample to a class, as the RPM dis-
658 tributions per class are overlapping. Two-sample Kolmogorov-Smirnov tests (MATLAB
659 function `kstest2`) were performed for each genus to determine microbes with signifi-
660 cant differential abundance ($p < 0.001$) between baseline and each type of anomaly.
661 Bonferroni multiple-comparison correction was applied, $p' = pm$, where m is the num-
662 ber of genera, to obtain adjusted p-values p' . The differentially abundant genera were
663 visualized using Violin Plot (77) in MATLAB.

664 **Explainable AI** To perform our explainable AI analysis we utilized the open source
665 software 'AutoXAI4Omics', an automated explainable end-to-end ML tool developed
666 for 'omics datatypes (<https://github.com/IBM/AutoXAI4Omics>) (29).

667 For all datasets and classification tasks, we used AutoXAI4Omics to train and tune
668 a series of ML models (XGBoost, Random Forest (RF), Support Vector Machines, Ad-
669 aboost, K-Nearest Neighbors (KNN), LightGBM, Decision Trees, Extra Trees, Gradient
670 Boosting, Stochastic Gradient Descent) using a train-test split ratio of 80:20. Hyper-
671 tuning was performed on the training data using five-fold cross validation. For each
672 classification task, predictive performance of all hyper-tuned models was assessed
673 automatically by AutoXAI4Omics using the F1-score metric, and the top performing
674 model was selected. Labels for Season and Processing Stage experiments were used
675 that met the quality control and filtering criteria, with the exception of the Transport
676 experiment. For the Transport experiment, sub-sampling was employed to randomly
677 select samples, ensuring the class labels were more evenly balanced.

678 We used AutoXAI4Omics to apply an explainable AI algorithm called SHapley Ad-
679 ditive exPlanations (SHAP), due to its ability to work with any machine learning model:
680 tree-based models, such as XGBoost and LightGBM, as well as kernel-based and deep
681 learning models. The explainability algorithm, SHAP, provides local explanations, i.e.,
682 interpretations of how the model predicts a particular value for a given sample. The
683 local explanations show how each feature is contributing, either positively or nega-
684 tively, to the prediction of a particular instance, for example of a particular class in
685 case of classification task. After each models performance was evaluated, as described
686 above, the top performing model cross-validation results were interpreted using SHAP
687 to identify features which contribute most to the prediction.

688 We used the tuned top performing model coupled up with SHAP to explain the
689 predictions (e.g., baseline vs anomalous) for each sample across the entire dataset. In
690 addition to providing the ranked list of important features for a ML model, an advan-
691 tage of SHAP over other feature importance methods is that it also explains how each
692 of these impactful features is contributing (positively or negatively) to the prediction
693 of specific phenotypic values. If we consider a binary classification task, the SHAP ex-
694 plainer returns two Shapley values tables of the same dimension of the input table
695 (number of samples x number of genera/features), respectively for the class 0 (base-
696 line) and the class 1 (anomalous). If we examine the table for class-0 baseline, each
697 entry in the table is the SHAP impact (positive or negative) that a given genus has for
698 the prediction of class baseline for a given sample. The absolute SHAP impact values
699 for each feature are then averaged out across the entire set of samples to get an in-

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700 dication of the overall impact of a feature for the prediction, which results in a *ranked*
701 *list of the most impactful features*.

702 We used AutoXAI4Omics to produce several plots providing visualisations of local
703 explanations as well as a global view of local explanations that allow for interpretation
704 of the entire model. The *SHAP beeswarm plot*, in particular, is a visualisation of the Shap-
705 ley values matrix for a particular class (e.g., baseline). The plot shows the impact that
706 each feature has on the prediction of the class for samples that share similar feature
707 values. The y-axis is the ordered list (descending order of importance) of impactful fea-
708 tures in predicting the class using a specific ML model (e.g., XGBoost). Therefore each
709 row is a feature. The dots in each row are the data points, or samples, and are colored
710 by the original feature value, that in this case is the genus abundance. The x-axis is
711 the SHAP value or impact. A positive SHAP value/impact of a feature for a sample (the
712 dot is on the right side of the x-axis) indicates that the feature (e.g., genus) has a pos-
713 itive impact in predicting the class (e.g., baseline), while a negative SHAP impact (the
714 dot is on the left side of the x-axis) indicated that the feature has a negative impact
715 on the prediction of the class. For each row (feature) the yellow and green dots can
716 form separate clusters that are positioned towards the right or left side of the x-axis.
717 This indicates that overall the feature (e.g., genus) tend to have a similar impact (pos-
718 itive or negative) for samples in which it has similar feature values (e.g., high or low
719 abundance).

720 **Validation of anomaly detection in amplicon metagenomic samples** We fur-
721 ther validated our findings by applying our explainable AI approach to publicly avail-
722 able datasets relevant to the dairy industry. Specifically, we selected two publicly avail-
723 able datasets from studies investigating the microbial profile of fluid milk using 16S
724 rRNA amplicon sequencing for three comparisons. The data were retrieved from the
725 European Nucleotide Archive (ERP015209, ERP114733) and contained 1,507 and 626
726 16S rRNA samples respectively (20, 23). Data were retrieved as .fasta files and sub-
727 jected to a uniform pipeline using the DADA2 algorithm (78) in R and taxonomy was
728 assigned using the SILVA (79) database. A count table was generated and was used
729 to investigate the suitability of our explainable AI approach to distinguish between
730 sample classes using amplicon-based data.

731 **Data availability** The sequencing data generated in this study are available at the
732 NCBI BioProject PRJNA726965 <https://www.ncbi.nlm.nih.gov/bioproject/PRJNA726965>.
733 The code used to generate analyses in this study is available at <https://github.com/gandalab/milk-anomaly-detection>.

734 **CRedit author statement** Conceptualization (MW, KLB, NH, BK, EG), Methodol-
735 ogy (EG, MW, KLB, NH), Resources (MW, NH, KLB, BK, MM, JK), Formal analysis (KLB,
736 NH, BK, AA, APC), Data curation (VP), Validation (MM, JK), Visualization (KLB, NH, BK,
737 AA, APC, MM, JK), Writing - Original Draft (KLB, NH), Writing - Review and Editing (KLB,
738 NH, EG, MW).

739 **SUPPLEMENTAL MATERIAL**

740 **FIG S1.** Sampling scheme.

741 **FIG S2.** Read counts per sample.

742 **FIG S3.** Contrastive PCA results.

743 **FIG S4.** Explainable AI results.

744 **TABLE S1.** Metadata on the raw milk samples.

745 **TABLE S2.** Summary of read counts.

746 **TABLE S3.** Read counts per genus.

747 **TABLE S4.** Supported genera RPM with contaminants removed.

748 **TABLE S5.** Core genera RPM with contaminants and outliers removed.

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