

## 1 Using language models and ontology topology to perform 2 semantic mapping of traits between biomedical datasets

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### 10 11 **Abstract**

### 12 **Motivation**

13 Human traits are typically represented in both the biomedical literature and large population studies  
14 as descriptive text strings. Whilst a number of ontologies exist, none of these perfectly represent the  
15 entire human phenotype and exposome. Mapping trait names across large datasets is therefore time-  
16 consuming and challenging. Recent developments in language modelling have created new methods  
17 for semantic representation of words and phrases, and these methods offer new opportunities to  
18 map human trait names in the form of words and short phrases, both to ontologies and to each  
19 other. Here we present a comparison between a range of established and more recent language  
20 modelling approaches for the task of mapping trait names from UK Biobank to the Experimental  
21 Factor Ontology (EFO), and also explore how they compare to each other in direct trait-to-trait  
22 mapping.

### 23 **Results**

24 In our analyses of 1191 traits from UK Biobank with manual EFO mappings, the BioSentVec model  
25 performed best at predicting these, matching 40.3% of the manual mappings correctly. The  
26 BlueBERT-EFO model (finetuned on EFO) performed nearly as well (38.8% of traits matching the  
27 manual mapping). In contrast, Levenshtein edit distance only mapped 22% of traits correctly.  
28 Pairwise mapping of traits to each other demonstrated that many of the models can accurately  
29 group similar traits based on their semantic similarity.

### 30 **Availability and Implementation**

31 Our code is available at <https://github.com/MRCIEU/vectology>.

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## 35 Introduction

36 Population health and medical research are increasingly reliant on large population studies such as  
37 UK Biobank<sup>1</sup>, The Million Women Study<sup>2</sup>, Our Future Health<sup>3</sup>, The Million Veterans Program<sup>4</sup>, China  
38 Kadoorie Biobank<sup>5</sup> and others to discover new predictive biomarkers and interventions. Such studies  
39 measure many thousands of phenotypic variables. Systematic analyses such as genome-wide  
40 association studies (PheWAS)<sup>6-8</sup> can describe relationships between thousands of variables,  
41 producing large datasets. However, many variables are inconsistently named across studies, and can  
42 prove difficult to map to each other or an existing ontology such as the Experimental Factor  
43 Ontology (EFO)<sup>9</sup>, Human Phenotype Ontology (HPO)<sup>10</sup> or the Disease Ontology<sup>11</sup>. In parallel, the  
44 biomedical literature contains a wealth of data on human diseases, traits and risk factors described  
45 using free text (with some mappings to Medical Subject Headings; MeSH). Systematically integrating  
46 knowledge across these different datasets and domains would enable us to triangulate the  
47 evidence<sup>12</sup> for different risk factor/disease combinations, but at the moment this is hindered by the  
48 inconsistencies in trait nomenclature.

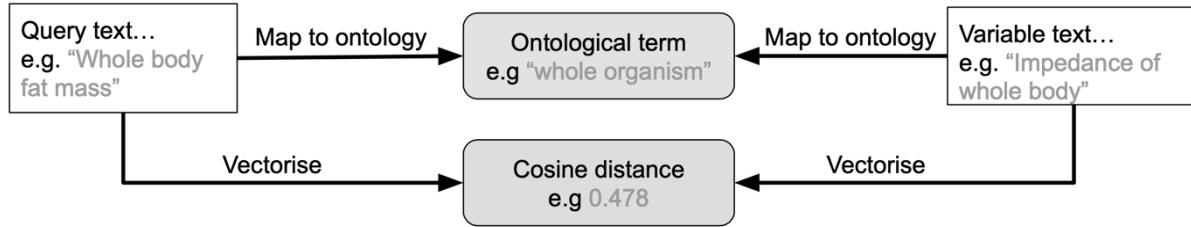
49 The complexity of variable names is illustrated by UK Biobank, an internationally important  
50 population study that has collected a wealth of data on half a million people<sup>1</sup>. Examples of text  
51 labels for variables in UK Biobank include easily recognizable traits such as “systolic blood pressure”  
52 and disease names such as “coronary heart disease”. However, the study also includes more  
53 complex variables, including those derived from questionnaire data, including “able to walk or cycle  
54 unaided for 10 minutes” and “cough on most days”. An array of other variables also exist, including  
55 International Statistical Classification of Diseases and Related Health Problems 10th Revision (ICD10)  
56 codes such as “anaemia due to enzyme disorders” (D55) and “syncope and collapse” (R55), the  
57 former mapping directly to the EFO (EFO:0009529), but the latter not. Direct mapping by text  
58 matching to ontology terms is therefore not realistic, and whilst manual mapping to ontologies is  
59 sometimes appropriate, this is time consuming, especially if mapping to multiple different ontologies  
60 (which cover different domains of the human genome and exposome).

61 Given this, there are four potential solutions to link two datasets based on their lists of trait  
62 (variable) names:

- 63 1. Manual mapping to an ontology to find shared terms between datasets
- 64 2. Using automated tools to map each variable to an ontology to find shared terms between  
65 datasets
- 66 3. Direct mapping of variables using a generalisable text embedding model to identify  
67 semantically similar terms
- 68 4. Direct mapping of variables using a bespoke model trained on the particular datasets to  
69 identify semantically similar terms

70 Each of these options has different strengths and weaknesses. Option 1 can only really be used in  
71 cases where the numbers of variables is low, or the requirement of human assigned ontological  
72 terms is essential. Option 2 relies on existing tools, such as OnToma<sup>13</sup>, Zooma<sup>14</sup> or MetaMap Lite<sup>15</sup>  
73 for common ontologies such as EFO<sup>9</sup> and UMLS<sup>16</sup>. These rule-based tools can work well, but the  
74 mapping to ontology may identify a more generic parent term in the ontology losing valuable  
75 information in the process. Options 3 and 4 may offer benefits in mapping variables between  
76 datasets by avoiding the intermediate step of an ontology term (**Figure 1**).

77 **Figure 1:** Example of potential benefits of using text embeddings to connect two biomedical strings  
78 compared to using a shared ontology



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81 The development of methods based on text embeddings such as Word2Vec<sup>17</sup>, Sent2Vec<sup>18</sup> and  
82 Doc2Vec<sup>19</sup> have opened up the potential to map terms based on semantic similarity. These methods  
83 have been applied to data from the biomedical domain e.g. BioWordVec<sup>20</sup> and BioSentVec<sup>21</sup> and  
84 have been applied to real world problems<sup>22-27</sup>.

85 Further development to shallow / non-contextual text embeddings gives rise to contextualized  
86 methods such as the transformer model architecture<sup>28</sup> and its implementation in language modelling  
87 (e.g. BERT<sup>29</sup>) applied in a range of contexts (e.g. GLUE<sup>30</sup>, BLUE<sup>31</sup> and BLURB<sup>32</sup>). These models can be  
88 finetuned to tackle specific problems with great effect<sup>33-37</sup>. However, despite their merits,  
89 transformer models are slower and more resource intensive compared to the Word2Vec  
90 architecture.

91 Here we apply a range of text embedding methods and BERT language models (including one trained  
92 on EFO) to the problem of mapping biomedical variables (from UK Biobank) to an ontology (EFO) and  
93 compare their performance, strengths and weaknesses. We also illustrate the use of these models  
94 on a direct trait-to-trait mapping problem.

## 95 System and Methods

### 96 The EFO dataset

97 The **Experimental Factor Ontology (EFO)**<sup>9</sup> contains parts of several biological ontologies as well as  
98 variables from many large scale databases. Whilst many other ontologies exist, this particular  
99 ontology is widely used for human traits and is well documented, so was considered a good choice  
100 for this evaluation. A version of the EFO data set was downloaded from the EBI RDF platform<sup>37</sup> in  
101 March 2021 containing 25,390 terms. This was used for all subsequent analysis and is available in  
102 the supplementary material (**supplementary files S1 and S2**).

### 103 Ontology distance metric

104 To understand the relative distance between any two EFO terms and enable us to measure how well  
105 a trait was mapped we used the nxontology Python library<sup>38</sup>. By creating a parent child network of  
106 EFO terms we could compute a similarity measure between any pair of EFO terms and use this to  
107 create a measure of how close two terms are within the EFO hierarchy. For this analysis we used the  
108 Batet (parameter "batet" in the library) measure<sup>39</sup> as this was developed using biomedical taxonomy  
109 data and produced good correlation results to manual biomedical concept comparisons. The  
110 measure ([0, 1]) is a ratio calculated from the shared and non-shared information between a pair of  
111 concepts, where the lower the score the less shared ancestry between the two ontology concepts  
112 have. From here on we will refer to this metric as the **EFO-Batet score**.

113 To create a nxontology instance, we provided the parent/child EFO edge data to the *NXOntology*  
114 class (**supplementary code block S1**).

115 *Trait-to-trait mapping distance score*

116 The different models use different approaches for measuring distance between text terms  
117 (**supplementary table S2**). For simplicity we refer to these metrics (edit distance, cosine similarity,  
118 semantic distance) as “trait similarity score” throughout.

119 *Mapping methods*

120 We used a range of existing string comparison language models representing different approaches  
121 to language representation and different pre-training datasets to enable us to evaluate the impact of  
122 these differences on mapping performance.

123 *String comparison methods*

124 **Levenshtein edit distance ratio**<sup>40</sup> was used to understand how well a basic string comparison  
125 performs. Using the *ratio()* function we obtained a measure of similarity between two strings.

126 **Zooma**<sup>41</sup> is an established tool to map text to ontologies using a combination of curated mapping to  
127 existing data sets and standard text matching (the exact method is undocumented). For this analysis  
128 we utilised the Zooma API setting the “required” parameter set to “None” and “ontologies”  
129 parameter set to “efo” (**supplementary code block S2**) to avoid circularity.

130 *Text embedding methods*

131 **BioSentVec** is an established model created using sent2vec<sup>18</sup>, pre-trained on over 28 million titles  
132 and abstracts from PubMed<sup>42</sup> and 2 million clinical notes from MIMIC III<sup>43</sup>. The BioSentVec<sup>21</sup> model  
133 was downloaded from the project GitHub repository (<https://github.com/ncbi-nlp/BioSentVec>) and  
134 installed following the examples in the tutorial ([https://github.com/ncbi-nlp/BioSentVec/blob/master/BioSentVec\\_tutorial.ipynb](https://github.com/ncbi-nlp/BioSentVec/blob/master/BioSentVec_tutorial.ipynb)) (**supplementary code block 3**).

136 **Google Universal Sentence Encoder v4 (GUSE)** is a generalised text embedding model trained and  
137 optimised for sentence level tasks<sup>44</sup>. The model was trained on Wikipedia and other generalised  
138 texts with no focus on biomedical information. The model was downloaded from the project home  
139 page (<https://tfhub.dev/google/universal-sentence-encoder/4>) and implemented as described in the  
140 documented example (**supplementary code block S4**).

141 **spaCy** is a natural language processing platform which provides various tools, methods and  
142 pipelines, one of which is word embeddings<sup>45</sup>. The *en\_core\_web\_lg* model was downloaded and  
143 installed as described in the documentation (<https://spacy.io/usage/linguistic-features#vectors-similarity>) (**supplementary code block S5**).

145 **Scispacy** is built on spaCy and provides models for processing biomedical, scientific or clinical text<sup>46</sup>.  
146 The *en\_core\_sci\_lg* model was downloaded and installed as described in the documentation  
147 (<https://allenai.github.io/scispacy>). The model is accessed via the same spaCy methods as above.

148 **BlueBERT**<sup>31</sup> (NCBI\_BERT\_pubmed\_mimic\_uncased\_L-12\_H-768\_A-12) and **BioBERT**<sup>47</sup>  
149 (biobert\_v1.1\_pubmed) are biomedical language model implementations based on the original BERT  
150 pretrained weights, with further language model training with biomedical corpora to improve  
151 language understanding tasks in the biomedical domain. For transformer models, the vector  
152 representation of the entity is computed as the average of the hidden state tensor of the  $N - 1$   
153 layer as a fixed representation of the tokenised sequence (i.e. the default strategy in<sup>48</sup>). These  
154 models were obtained from their respective model repositories, then served via the bert-as-service<sup>48</sup>  
155 API (see **supplementary code block S6** for example usage and code repository for detailed set up).

156 *Bespoke ontology classifier*

157 In addition to established language models we also explored the effect of tailoring a transformer  
158 model to the EFO using transfer learning.

159 **BlueBERT-EFO** was developed by finetuning BlueBERT with an ontology entity alignment training  
160 process designed as a sequence classification task (for details see **supplementary text S1**). To create  
161 a similarity matrix of the entities, for each pair of terms the model produces a score representing the  
162 inferred ontology distance, where the lower number of steps between two entities as predicted by  
163 the model, the closer they are represented in an ontology graph. The model can be used for  
164 inference using the Huggingface Transformers<sup>49</sup> package (see **supplementary code block S7** for  
165 example usage and code repository for detailed set up).

166 **Supplementary table S2** shows a summary of the models and methods.

### 167 *Mapping to ontology (EFO)*

168 To assess how the models perform when mapping biomedical variables to an existing ontology, we  
169 utilised the **EBI UK Biobank EFO dataset**<sup>50</sup>. This is a list of around 1,500 UK Biobank variables that  
170 have been manually mapped to EFO terms. In addition, each mapping has been assigned a mapping  
171 type (Exact, Broad, Narrow, Other). The original data set was modified in the following ways: first,  
172 any query that had been assigned multiple EFO terms was dropped. Second, exact matches were  
173 excluded as uninformative (i.e. the query term is identical to the EFO label). Third, due to our use of  
174 an EFO hierarchy distance method (EFO-Batet) we only included those rows containing an EFO term  
175 present in our parent/child EFO data set. Fourth, all EFO and variable terms were lower-cased.  
176 Lastly, duplicates were removed. These filtering steps created a data set with 1,191 entries  
177 (**supplementary file S3**). Supplementary table S1 displays the numbers of each by mapping type and  
178 a brief description of each mapping type as described in the original data set.

179 Using this dataset, we applied the models described above to conduct pairwise comparison between  
180 the UK Biobank variables and the EFO terms to measure their semantic similarity and ontology  
181 distance. Specifically, a UK Biobank variable  $A$  is associated with a manually mapped EFO term  $a$  in  
182 the source dataset, then for an EFO term  $b$ , we calculated the similarity score between  $A$  and  $b$  as  
183 well as the EFO-Batet distance score between  $a$  and  $b$ . Therefore for the variable of interest  $A$ , the  
184 results dataset gives us a measure of how close the top ranking (by a specific similarity score metric)  
185 EFO term predictions  $b_0 \dots b_N$  are to the variable's equivalent EFO representation  $a$  in the ontology  
186 space (by the EFO-Batet score).

### 187 *Direct trait-to-trait mapping*

188 In some scenarios mapping trait names between two datasets directly (without using an ontology)  
189 might be preferable. To compare how the different methods perform when predicting the similarity  
190 between two biomedical variables we again used the EBI UK Biobank EFO dataset<sup>50</sup>. This time, we  
191 limited the entries to those labelled as "Exact" on the assumption that these would provide a better  
192 dataset for assessing pairwise distances, both semantically and using the same ontology based  
193 method<sup>38</sup>. Additional filtering steps were taken to create a dataset with one query per predicted EFO  
194 term, resulting in 530 entries (**supplementary file S4**). For the purposes of visualisation, we then  
195 manually selected a subset of 43 traits that represented a broad spectrum of variables, covering  
196 measurements, questionnaire data and disease (**supplementary file S5**). For each of the pre-trained  
197 models, pairwise cosine distances were generated for each query text. For Levenshtein, the  
198 similarity ratio was calculated as before. For BlueBERT-EFO, we generate the inferred ontology  
199 distance for each pair of terms. Whilst we were not mapping trait terms to an ontology, we also  
200 compared how close these pairs of traits are in the EFO for comparison using the EFO-Batet score for  
201 each pair of terms.

202

203 **Implementation**

204 *Comparison to other approaches for automated mapping to ontology*

205 *Top ranking results*

206 We first explored how well the top prediction of each method compared to the manual annotation  
207 (**Figure 2**). For results that exactly agree with the manual annotation (**Figure 2A**), the best  
208 performing methods were BioSentVec (40.3%), BlueBERT-EFO (38.8%), Zooma (37.2%) and ScispaCy  
209 (36.5%), the results of which were notably higher than those of the methods included in the analysis.  
210 Pairwise proportions Z-test results (supplementary Table S4) between each of the mapped  
211 proportions confirm that there is a notable difference between results of the best performing group  
212 and the those of the other methods, but the differences are minimal within the group (largest  
213 difference is between BioSentVec and ScispaCy, P-Value = 0.058).

214 Whilst none of the methods exceeded 40.3% exact mapping, it is important to consider three key  
215 points: (1) some of the manual predictions are likely to be incorrect; (2) the methods and models  
216 used here to automate this approach are quick and easy to use, and would scale to a task size that  
217 would be impractical for manual annotation; (3) even the most sophisticated natural language  
218 processing models will struggle to predict the same result as a human, particularly in cases where  
219 the query string contains two un-linked entities, or even a negated term, e.g. “enduring personality  
220 changes not attributable to brain damage and disease”.

221 In some situations (e.g. a recommender of similar concepts), an exact match may not be required,  
222 and if the top prediction from a model is sufficiently close to the manual annotation, this may be a  
223 suitable result. We then examine how well the top predictions from a method align with the manual  
224 annotation in terms of their EFO-Batet score distance to the manual EFO terms. **Figure 2B** shows the  
225 aggregate results for the subset (see supplementary Figure S9 for full results) of methods over  
226 different range of EFO-Batet score threshold for top predictions to be included, from total number of  
227 top predictions that are strictly identical to manual annotation (threshold == 1, i.e. **Figure 2B**), to  
228 those that are sufficiently close to the manual annotation in the ontology space (e.g. threshold >=  
229 0.9), and then to results with a greater ontology distance tolerance (e.g. threshold >= 0.6).  
230 **Supplementary Figures S1-S3** show the detailed distributions for thresholds of 0.9, 0.8, and 0.7  
231 respectively.

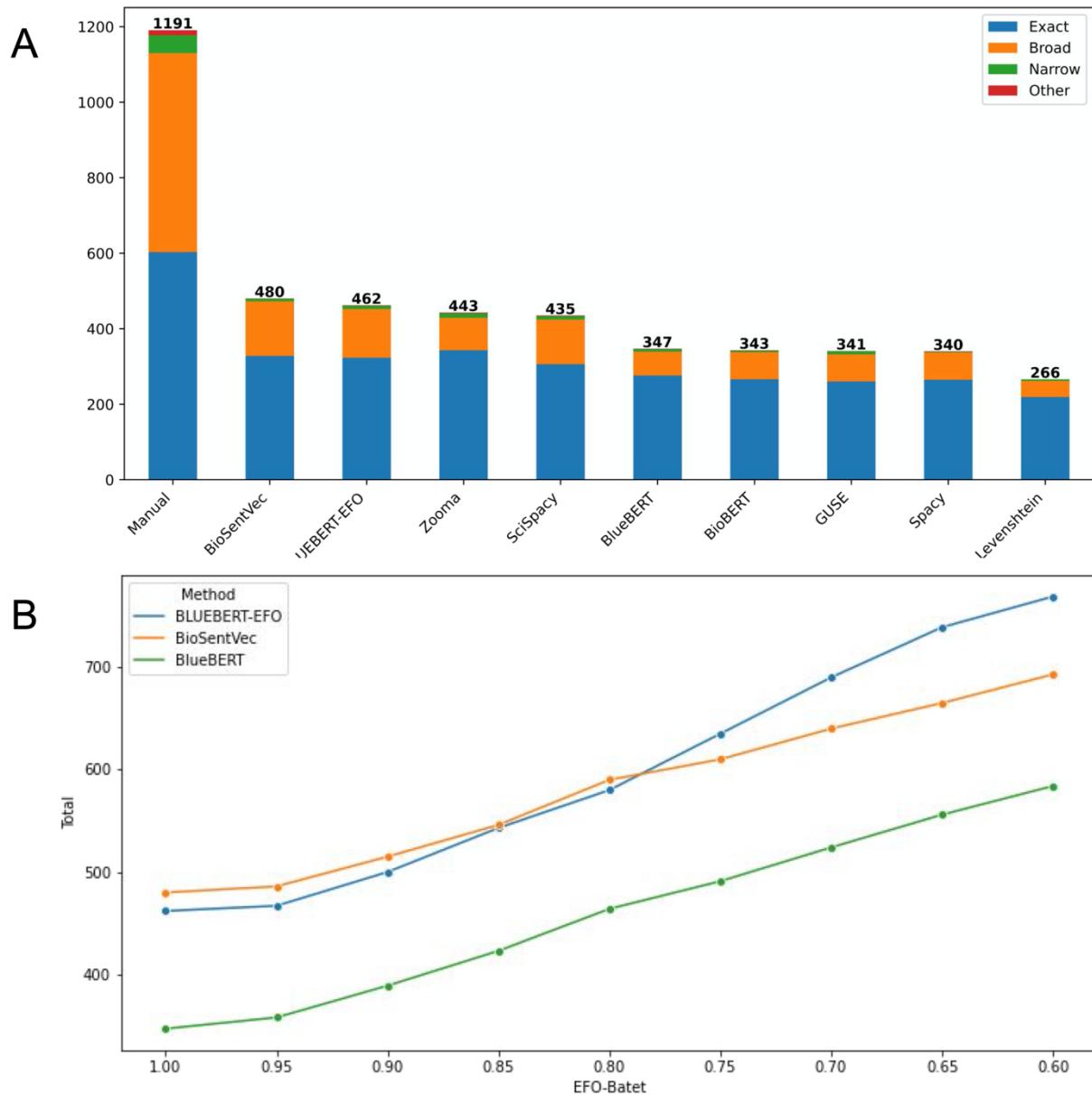
232 For inexact mapping results, BlueBERT-EFO and BioSentVec retrieved similar number of concepts  
233 that are close (e.g. under an EFO-Batet threshold of 0.9 or 0.8) to manual annotation, where notably  
234 greater number of predictions by BlueBERT-EFO have more ontology similarity to their manual  
235 annotation counterparts than the rest of the methods. In other words, BlueBERT-EFO as a finetuned  
236 model on BlueBERT with EFO structural information, is able to enhance the performance of the  
237 foundational BlueBERT to be on par with BioSentVec, and able to incorporate EFO knowledge on  
238 candidate retrieval.

239

240 **Figure 2:** Distribution of top matching predictions. (A) Number of top matching predictions by  
241 MAPPING\_TYPE. The Total bar contains all manual mappings, subdivided into Exact, Broad (parent  
242 term), Narrow (child term) and Other. Each other bar represents the number of traits exactly  
243 matched by the named method to the manual mapping for that trait, with the same subdivisions. (B)  
244 Total number of top matching predictions that are equal or above an EFO-Batet threshold, i.e. if a  
245 method produces greater number of matched predictions with a threshold closer to 1, greater  
246 number of predictions exhibit close ontology relationship to the manual mapping results. Points at  
247 EFO-Batet thresholds 1.0, 0.9, 0.8, 0.7 are equivalent to the Total values for each method in **Figures**

248 **2A, S1-S3.** Full results for all methods can be found in **Supplementary Figure S9**.

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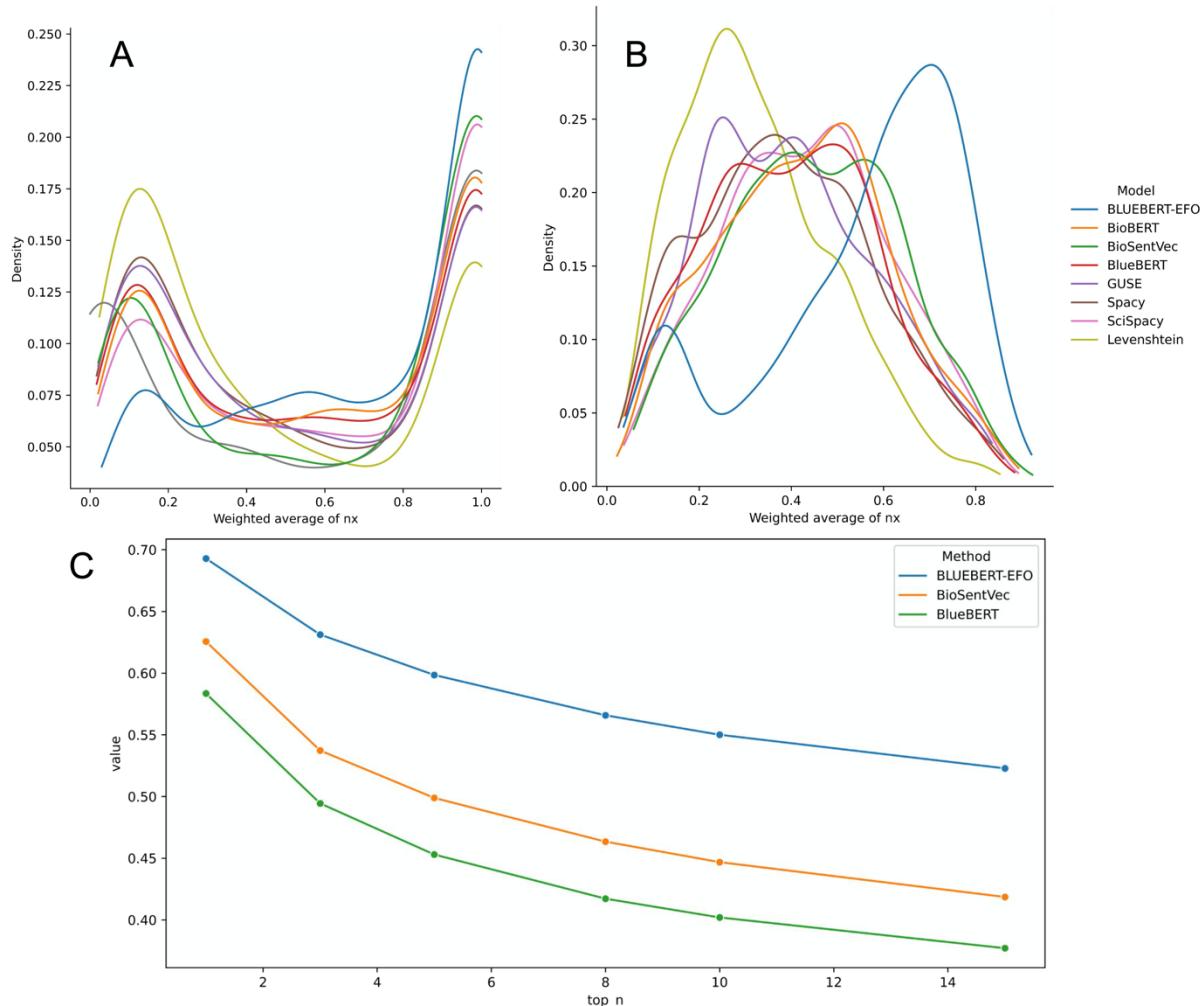
250

251 *Overall results for top N predictions*

252 With methods that produce a distance or score, there may still be significant value in a set of top  
253 predictions (which we would expect to be enriched for related terms, and potentially contain the  
254 correct mapping term). We then investigated the distribution of EFO-Batet scores for both the top  
255 prediction (**Figure 3A**) and the top 10 predictions (weighted average EFO-Batet scores, **Figure 3B**),  
256 and the aggregate results of generalised top ranges, to determine which models prioritize the most  
257 relevant set of traits. As shown in **Figure 3A**, for top predictions BlueBERT-EFO is able to retrieve  
258 higher number of candidates that have high ontology relevance to the manual annotation (greater  
259 mass in the upper tail) and lower number of candidates that have low relevance (lower mass in the  
260 lower tail), which is also confirmed by the pairwise Kolmogorov-Smirnov two sample tests

261 (supplementary table S4) on the statistical difference of its distribution to those of other methods  
262 ( $P - Value \leq 3.3E - 09$ ).

263 **Figure 3:** Distribution of predicted EFO-Batet scores by method. (A) Distribution of EFO-Batet score  
264 for the highest-ranking (top 1) match for each query term; (B) Distribution of weighted average EFO-  
265 Batet score for the top 10 matches for each query term. (C) Averaged sum of the top N weighted  
266 averaged EFO-Batet score of the predicted EFO candidates for a query term, for subset methods of  
267 BlueBERT-EFO, BlueBERT, and BioSentVec (full results are available in **Supplementary Figure S10**).



268  
269 We then extended the analysis to consider a set of top results. Figure 3B shows the distribution of  
270 the weighted average EFO-Batet scores for the top 10 EFO predictions for each method (see  
271 **Supplementary Figure S4** for violin plot and **Supplementary Table S3** for descriptive statistics on the  
272 same data). For top 10 predicted EFO terms, we computed the EFO-Batet score vis-a-vis the manual  
273 annotation counterpart, then averaged with the ranking weights (i.e. top prediction getting a  
274 weighting of 10, second 9 and so on) to show the aggregate ontology relevance of the retrieved  
275 candidates. **Figure 3C** shows the averaged sum of the weighted average scores for each top N level  
276 to provide an overall measure on the general ontology relevance of the candidate retrieval for a  
277 subset of methods (see supplementary figure S10 for full results). The results suggest that BlueBERT-  
278 EFO will generally return a set of traits that are more closely associated with the correct part of the  
279 EFO ontology compared to other methods, and corroborates with earlier analysis findings that the  
280 finetuning of the BlueBERT language model with EFO structure information will notably improve EFO  
281 candidate retrieval.

282 We also investigated on the performance of a hybrid method (BioSentVec-X-BlueBERT-EFO) where  
283 BioSentVec is applied in the first stage to select the top X (e.g. 30) candidates, then BlueBERT-EFO is  
284 applied in the second stage to select the top N (e.g. 5) candidates, with the aim to improve inference  
285 efficiency as transformer models are more computationally expensive than simpler model  
286 architectures such as BioSentVec. **Supplementary figures S5-S7** show the weighted average score  
287 distribution for top 1, 5, and 10 matches, and supplementary figure S8 show the averaged sum of  
288 weighted average scores for generalised top N levels. These results suggest that top matching results  
289 produced by the second stage BlueBERT-EFO in the hybrid methods retain the overall behaviour of  
290 BlueBERT-EFO, and is robust to the first stage filtering via BioSentVec.

291 To try and understand why certain traits are challenging to map, and why others are not, we  
292 extracted the top UK Biobank queries which were most and least variable in EFO-Batet score  
293 between methods. Details of this can be found in **supplementary text S2**.

294

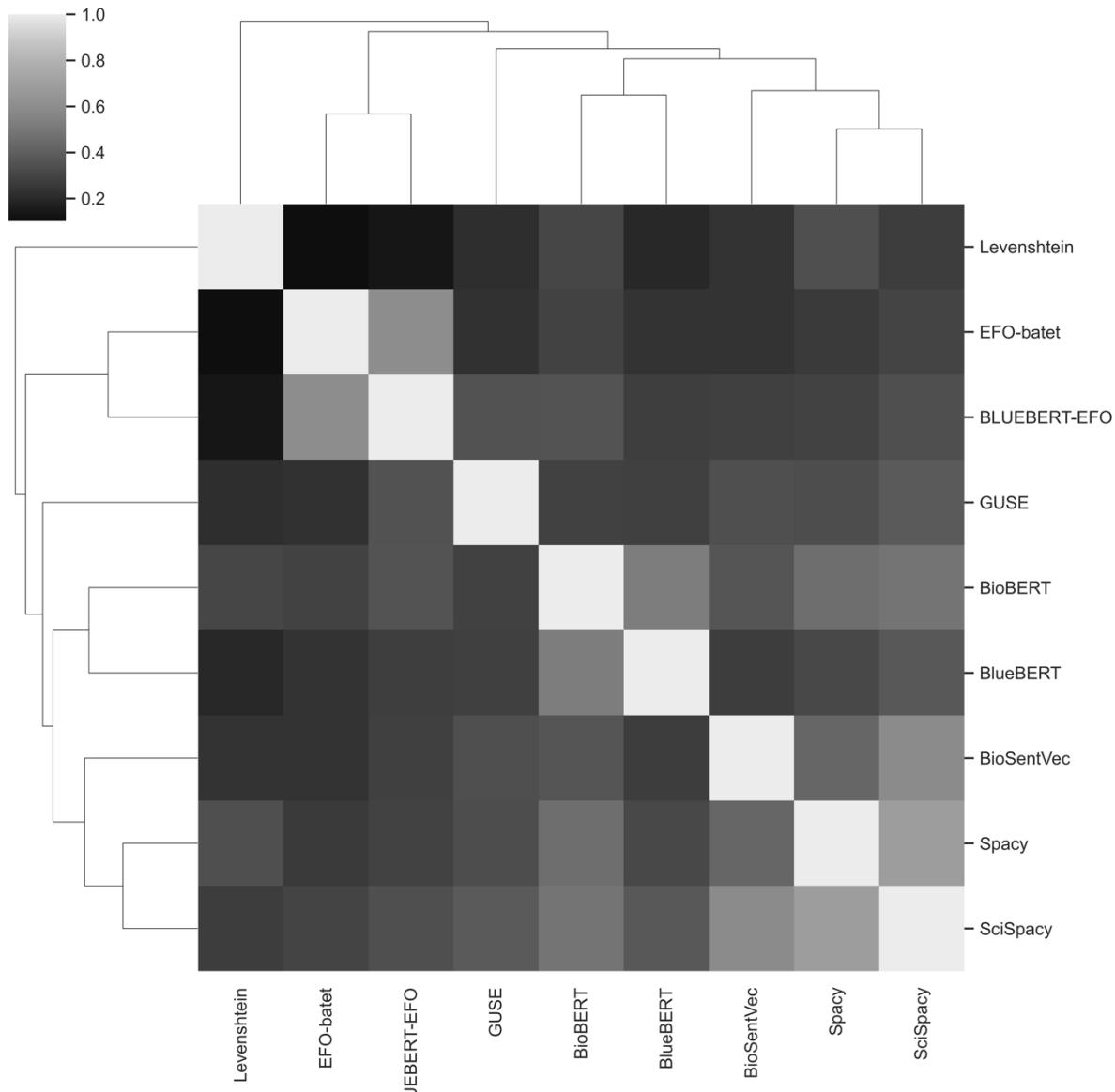
### 295 *Comparison to other approaches for trait-to-trait mapping*

296 Our final set of analyses explores the differences in direct trait-to-trait mapping of the different  
297 models. For each model we estimated trait similarity scores between each trait (n=530, see **System**  
298 and **Methods**) and all others (excluding itself). **Figure 4** shows the results of a Spearman rank  
299 correlation analysis comparing the matrices of these pairwise trait-mapping scores between each  
300 pair of models. The results broadly indicate three clusters of models. One contains the EFO-Batet  
301 (manual mapping) and BlueBERT-EFO scores, suggesting again that the BlueBERT-EFO model, as  
302 expected, is predicting distances most similar to that which we find in the EFO hierarchy. A second  
303 group contains the other BERT models (BioBERT and BlueBERT) highlighting the similarity between  
304 those two transformer models. A third group contains the spaCy, ScispaCy and BioSentVec models,  
305 which may represent their shared underlying methodology, (i.e. variations of word2vec). Whilst this  
306 analysis can't tell us which method performs "best" at trait-to-trait mapping, it highlights that these  
307 models do perform differently at this task, which should be taken into account in the development  
308 of future automated trait-to-trait mapping methods.

309

310 **Figure 4:** Pairwise plot of spearman correlations between methods based on a matrix of cosine  
311 similarity (or equivalent) scores for all pairwise combines of traits (excluding self).

312



313

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316 Finally, we present visualizations of the trait similarity scores for all pairwise trait-to-trait mappings  
317 for a selected set of 43 traits (representing a mixture of disease, continuous traits and medications)  
318 to illustrate how these models perform at this task. **Supplementary Figure S11** is provided as a  
319 reference and shows a clustered dendrogram of EFO-Batet scores for the distance between traits in  
320 the EFO hierarchy. The clusters represent the relationships between EFO terms as determined by the  
321 EFO hierarchy and batet scores. We observe a sharp separation between measurement based  
322 quantitative traits and disease traits. This reflects the structure of the EFO, with quantitative traits  
323 falling into the “information entity” and disease traits into the “material property” top-level  
324 branches of EFO (<https://www.ebi.ac.uk/ols/ontologies/efo>).

325 Using the same 43 traits, we then produced a matrix of trait-to-trait distance scores for each model,  
326 but this time based on cosine distances (or equivalent – see **System and Methods**). These matrices  
327 were compared to each other using the Mantel test<sup>51</sup>, a method to compute correlation distances  
328 between matrices (**supplementary Figure 12**). Here we see a similar pattern, with the BlueBERT-EFO

329 and EFO-Batet (i.e. position in the EFO hierarchy) scores clustered together. This similarity is obvious  
330 in the BlueBERT-EFO clustermap (**supplementary Figure 13**) where there are some clear differences,  
331 but the major distinction between quantitative traits and disease is present, with almost exactly the  
332 same traits clustering into the same two groups. This likely reflect the finetuning of this model to  
333 EFO.

334

## 335 Discussion

336 A number of approaches exist for text matching and semantic representation of text. We set out to  
337 investigate the use of these approaches for the automated mapping of human trait names to  
338 ontologies (using the specific example of EFO) and explore how they perform at direct trait-to-trait  
339 mapping.

### 340 *Comparison of approaches for automated mapping to ontology*

341 Our analyses illustrate that using text embeddings to map biomedical variables to EFO has a fairly  
342 high error rate, but is at least comparable to existing approaches (e.g. Zooma<sup>14</sup>). Given the ease of  
343 use and scalability of some of the models, we recommend this approach when tackling problems  
344 that involve many thousands of variables and manual annotation is not feasible. When attempting  
345 an exact match (i.e. top match) BioSentVec<sup>21</sup> appears to perform best in terms of speed, precision  
346 and accuracy. However, if it is more important that the top  $N$  predictions are close to the truth, then  
347 BlueBERT-EFO consistently out-performed all other models.

348 It is important to note that several of the models had similar performance at finding a top match,  
349 with the group including BioSentVec, BLUEBERT-EFO, Zooma and ScispaCy<sup>46</sup> showing little statistical  
350 evidence of a difference. It is important to note that the standard Zooma tool also brings the benefit  
351 of continually updated manually curated mappings<sup>14</sup>.

352 Embedding methods appear to perform well when the query string describes a single event or  
353 entity, e.g. “whooping cough / pertussis”. They perform poorly when the query string describes  
354 multiple entities, e.g. “hiv disease resulting in malignant neoplasms”. This is perhaps not surprising,  
355 as the embedding of this phrase is unlikely to be close to either HIV or cancer terms. Addressing such  
356 traits therefore remains a complex challenge, i.e. properly identifying mentioned concepts via  
357 named entity recognition (NER) and then incorporating pretrained concept embeddings from the  
358 knowledge base to the document embeddings<sup>52,53</sup>. In other words, a complex processing system  
359 which includes major components of NER, document level embeddings, and concept embeddings is  
360 required to approach mapping of complex traits in a generalised and robust manner, though we are  
361 keen to explore this aspect in future research.

362 We compared our models to a manually mapped set of trait names, but it is important to recognise  
363 this may itself contain errors. Supplementary file S7 lists examples where no models predicted an  
364 EFO term with an EFO-Batet score  $>0.95$ . Here, for example the query term “malignant neoplasm of  
365 colon” was manually mapped to “colon carcinoma”. However, six of the models predicted the EFO  
366 term “malignant colon neoplasm” which has an EFO-Batet score of 0.86 and is therefore a better fit.  
367 (It is possible these differences reflect changes in the EFO since the initial mapping rather than a  
368 mapping error).

369 *Comparison of approaches for trait-to-trait mapping*

370 Mapping traits directly between two datasets has potential value, but in the absence of a  
371 benchmark it is hard to validate. We therefore focused on variables that had been mapped to a  
372 single EFO term, and then refined that further for closer inspection. The use of clustering methods  
373 enabled us to manually inspect groups of traits and describe events that agree with standard  
374 biomedical knowledge. Our analyses show that by including topological information from a well  
375 established ontology like the EFO, the BlueBERT-EFO model can create sensible pairwise distances  
376 between variables, without actually mapping to ontology.

377 When focusing on a specific set of traits, we see that whilst the finetuning of BlueBERT-EFO has  
378 produced a model which reflects major patterns in the EFO hierarchy, there are some differences.  
379 One example is the loss of the “angina”, “worrier / anxious feeling” cluster (present in EFO,  
380 **Supplementary Figure S11**), with “angina” joining the larger disease cluster next to “atrial fibrillation  
381 and flutter” and “worrier / anxious feeling” moving next to “neuroticism score” (**Supplementary**  
382 **Figure S13**). The manual EFO term assigned to “angina” was “EFO\_0003913” (angina pectoris,  
383 [http://www.ebi.ac.uk/efo/EFO\\_0003913](http://www.ebi.ac.uk/efo/EFO_0003913)) which can be found within the “material phenotype” EFO  
384 group as it is listed as a “Phenotype abnormality” and not a disease. Even though the BLUEBERT-EFO  
385 model has been finetuned on the EFO hierarchy, the biomedical literature underpinning the model  
386 has created distances placing “angina” with other diseases rather than measurements. This  
387 highlights the subtle balance of information contained within this model.

388 Interestingly, the BlueBERT-EFO model fails to group together the neurological illnesses  
389 (“parkinson’s disease”, “alzheimer’s disease” and “secondary parkinsonism”). Looking at the other  
390 models, several also fail to do this, often grouping traits with the word “disease” together  
391 (**Supplementary Figures S14-20**). However, BioSentVec, BlueBERT and BioBERT appear to group  
392 these appropriately. This highlights one of the key challenges that the developers of these models  
393 face: how to distinguish between informative words and ignore the generic (e.g. “disease”). This  
394 point is again present in the BioBERT cluster map (**Supplementary Figure S19**), with “weight” an  
395 outlier to all other traits, suggesting this term was not sufficiently similar to anthropometric traits.

396 It is worth noting, that the alternative methods to using language models for this type of distance  
397 analysis appear to perform less well (e.g. Levenshtein edit distance, **Supplementary Figure S14**).  
398 Other established methods, such as Zooma, are just not possible to use when comparing data in this  
399 way.

400 At the moment there is no practical alternative automated approach to trait to trait mapping, so our  
401 results using language models are promising. However, they are far from perfect with many cases of  
402 traits not grouping together as we might expect, and the models often focusing on generic words  
403 such as disease over and above other more defining terms. This approach therefore requires further  
404 development before it can be of practical use.

405 *Use cases of these models*

406 The models are imperfect but are still successful in mapping 40% of trait names in the dataset we  
407 used. One obvious use case would be a semi-automated mapping tool which would provide a  
408 suggestion for the user to approve or edit. As highlighted above, many simple trait names map well,  
409 and it is the more complex traits (e.g. combinations of entities) that would need manual  
410 intervention.

411 Another scenario in which an imperfect one-to-many mapping tool like those presented here may be  
412 useful is in a “trait name recommender”. One example of this is our OpenGWAS<sup>54</sup> recommender,  
413 which provides recommended trait matches from amongst thousands of GWAS datasets to enable a  
414 user to see other relevant GWAS traits they may be interested in. The OpenGWAS recommender  
415 uses a combination of ScispaCy and BlueBERT-EFO to search for the top matching GWAS traits in the  
416 semantic embedding vector space and optionally predict the ontology relationships between the  
417 query term and the match candidates<sup>55</sup>.

418 In a follow-up study<sup>56</sup> we applied ScispaCy and BlueBERT-EFO as an ontology mapper in a hybrid  
419 architecture, where a first stage model is used to efficiently filter EFO ontology candidates  
420 associated with the query ULMS terms, and in the second stage BlueBERT-EFO is then used to  
421 predict the ranking of the top N results (similar to results in supplementary figures S5-S8 where  
422 BioSentVec was applied as the first stage model). The retrieval results have shown to be sensible for  
423 the systematic analysis on medRxiv submission abstracts, without sacrificing inference performance  
424 due to the computationally expensive nature of transformer models whilst retaining relevancy in  
425 candidate retrieval.

426

## 427 *Conclusions*

428 We have shown that current text matching and embedding approaches offer some promise in the  
429 task of mapping traits to ontologies and to each other. However, the mapping is imperfect and  
430 unsuitable for fully automated mapping. Models trained on the biomedical literature perform better  
431 than more generalised models. Some trait names present in population health datasets such as UK  
432 Biobank are complex and their embeddings are unlikely to be very representative; future work  
433 should focus on how to handle such trait names.

434

## 435 *Availability*

436 Code is available at <https://github.com/MRCIEU/vectology>. This contains general methods, examples  
437 and the code used to perform the analyses discussed here.

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