

1 **The application of mixed linear models for the estimation of functional effects on bovine
2 stature based on SNP summary statistics from a whole-genome association study**

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19 Running title:

20 KEGG effects on bovine stature based on WGS

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23 **Abstract**

24 Genome-Wide Association Studies (GWAS) help identify polymorphic sites or genes linked
25 to phenotypic variance, but a few identified genes / Single Nucleotide Polymorphisms are
26 unlikely to explain a large part of the phenotypic variability of complex traits. In this study,
27 the focus was moved from single loci to functional units, expressed by the metabolic
28 pathways: Kyoto Encyclopaedia of Genes and Genomes (KEGG). Consequently, this study
29 aimed to estimate KEGG effects on stature in three Nordic dairy cattle breeds using SNPs
30 effects from GWAS as the dependent variable. The SNPs were annotated to genes, then the
31 genes to KEGG pathways. The effects of KEGG were estimated separately for each breed
32 using a mixed linear model incorporating the similarity between pathways expressed by
33 common genes. The KEGG pathway D-amino acid metabolism (map00473) was estimated as
34 significant on stature in two of the analysed breeds and revealed a borderline significance in
35 the third breed. Interestingly, biological evidence exists that described the importance of D-
36 amino acids for growth in experimental organisms as well as in cattle.

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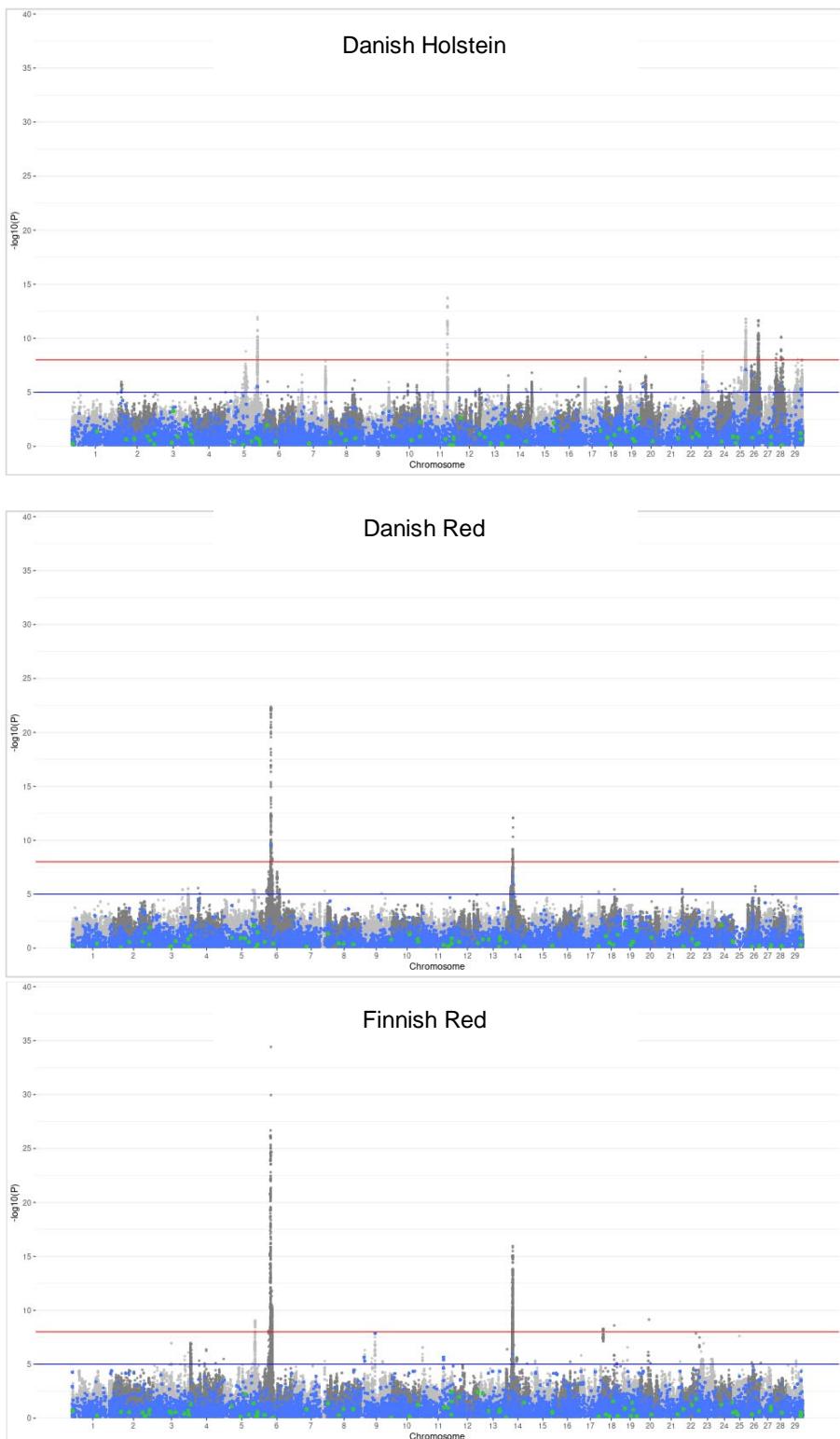
39 **Introduction**

40 Genome-Wide Association Studies (GWAS) are very useful for the identification of
41 polymorphic sites, typically Single Nucleotide Polymorphisms (SNPs), or sometimes genes
42 associated with a phenotypic variation or with a disease. Nowadays, the common availability
43 of SNPs obtained based on whole-genome sequencing allows for a very good resolution of the
44 estimation of those associations. However, in the context of phenotypes undergoing a
45 complex mode of inheritance, it is not expected that a few genes / SNPs suffice to explain the
46 variability on a phenotypic level. As a consequence, we often manage to identify loci with a
47 very high effect on the phenotypic variation, but still, a predominant proportion of this
48 variation remains unexplained (Manolio et al. 2009), since it is often due to a combined effect
49 of many loci, each with a moderate or small impact. Therefore, in our study, we moved the
50 focus from individual locus to functional units, here expressed by the metabolic pathways
51 defined by the Kyoto Encyclopaedia of Genes and Genomes (KEGG) database. This approach
52 allows us to better understand the physiological mechanisms underlying complex phenotypes.
53 For this purpose, we used SNP summary statistics originating from the GWAS conducted for
54 stature and based on whole-genome sequence data of three Nordic dairy cattle breeds.

55 **Results**

56 The effects of 179 KEGG pathways were estimated based on the effects of selected SNPs
57 from a whole-genome sequence-based GWAS of Bouwman et al. (2018), separately for three
58 Nordic cattle breeds - Danish Holstein (DH with 366,877 SNPs), Danish Red Dairy Cattle
59 (DR with 299,723 SNPs), and Finnish Red Dairy Cattle (FR with 396,224 SNPs) (Figure 1).
60 In two breeds, the same pathway - D-amino acid metabolism (map00473) revealed a
61 significant effect on stature with moderate P-values of 0.035 in FR and 0.049 in DH. In DR it
62 also reached a borderline significance of 0.133. Depending on the breed, the effect of
63 map00473 was estimated based on 78 SNPs in DH and FR, and 76 SNPs in DR (Figure 2,

64 Supplemental Data S1). The differences in SNP counts resulted from the fact that the input
65 SNP panel in Bouwman et al. (2018) was pre-processed separately for each breed, which
66 resulted in breed-specific SNP exclusion.



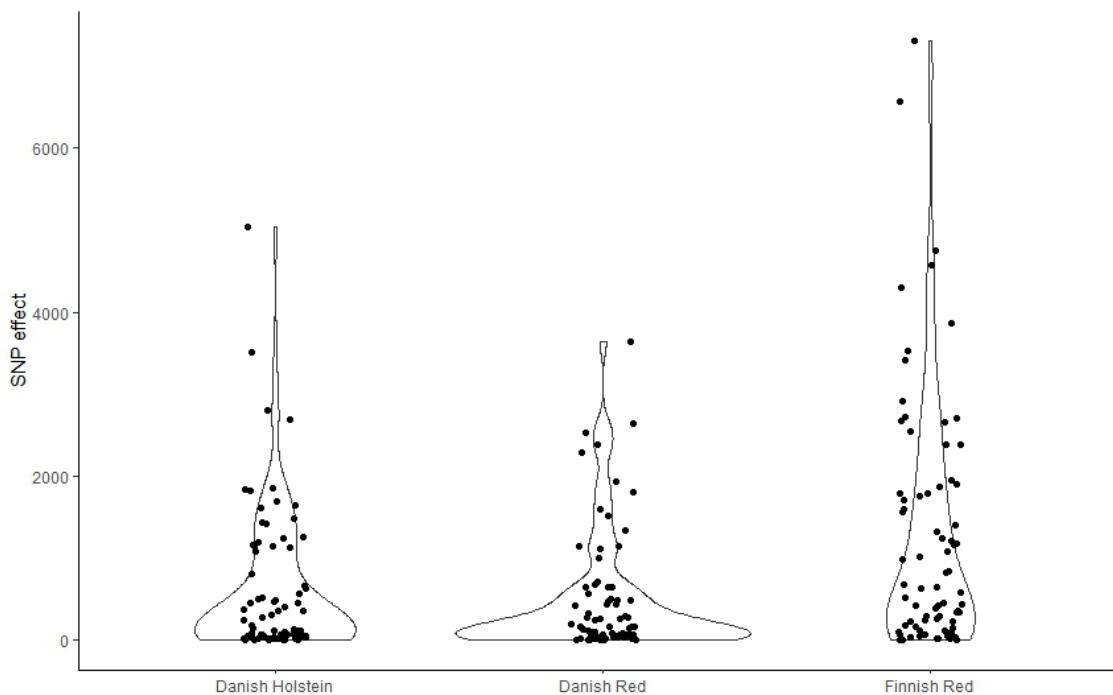
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70 Figure 1. SNP significance from the whole-genome sequencing study of Bouwman et al.
71 (2018). Blue dots correspond to genic SNPs used for the estimation of KEGG pathway effects

72 in model (1), green dots correspond to SNPs marking genes constituting the map00473
73 pathway, and gray SNPs are the remainder.



75 Figure 2. Estimated effects of SNPs marking genes from the map00473 pathway.
76 Additionally, the pathway responsible for the metabolism of terpenoids and polyketides
77 (map01059) was significant ($P=0.041$) in DH, while the synthesis and degradation of ketone
78 bodies pathway (map00072) and the pathway of biosynthesis of various plant secondary
79 metabolites (map00999) were significant in DR with $P=0.037$ and $P=0.047$ respectively.

80 Discussion

81 While interpreting KEGG pathway effects two scenarios emerge. On the one hand, the overall
82 high effect of a pathway may be driven by a high effect of a single gene that is this pathway's
83 component – a situation that could have been detected in a conventional genome-wide
84 association study (GWAS). On the other hand, the high pathway effect may be due to the
85 combined effects of many genes constituting this pathway – a situation that may easily be
86 missed in GWAS due to the small or moderate effects of particular genes from the pathway.

87 In the case of our data – none of the genes harbouring the most significant SNPs in GWAS
88 performed by Bouwman et al. (2018) was the component of the D-amino acid metabolism
89 pathway, which therefore leads to the conclusion that the whole pathway is a significant
90 component of the genetic determination of stature. Biologically, an outstanding pattern of our
91 study was that the pathway associated with the metabolism of D-amino acids in all three
92 breeds is significant for two breeds and on the border of claimed significance in the third
93 breed. Although D-amino acids do not occur in naturally translated proteins, the link between
94 D-amino acids metabolism and growth has long been recognised. Experimentally, a
95 supplementation of mice with D-amino acids resulted in increased weight observed with the
96 increased concentration of D-Phenylalanine and D-Tryptophan in the diet (Friedman and
97 Levin, 2012). Moreover, D'Aniello (2007) reported that, in the pituitary gland, D-aspartic
98 acid stimulates the secretion of the growth hormone in rats. In cattle, a supplementation of
99 food with synthetic amino acids is a very common practice with commercial diet supplements
100 containing a mixture of naturally occurring L-versions as well as not naturally occurring D-
101 version. Campbell et al. (1996) observed that D-amino acids are somewhat less efficiently
102 metabolised than their naturally occurring synonyms. Since methionine is often the first
103 limiting amino acid for growth in cattle (Richardson and Hatfield, 1978) individuals that
104 possess a more efficient mechanism of D-amino acid metabolism are expected to grow better
105 which may result in higher stature in adults.

106 Another metabolic pathway demonstrating potential importance on stature is the synthesis and
107 degradation of the ketone bodies pathway (map00072) that was significant in DR. It has been
108 demonstrated that ketone bodies metabolism is related to growth on the whole organism
109 (mainly through the *SLC16A6* gene as reported by Kichaev et al. (2019) and Karanth et al.
110 (2019)) as well as on the single-cell level (Kolb et al. 2021). Moreover, although the other
111 significant pathway of biosynthesis of various plant secondary metabolites does not directly

112 relate to animal metabolism, it can be hypothesised that genes playing a role in the
113 biochemical processing of metabolites originating from plants lead to higher feed efficiency
114 in cattle and furthermore influence animals growth, but experimental evidence is lacking.

115 Still, our results demonstrate that considering higher-order components of biological systems,
116 such as metabolic pathways, provides a valuable insight into the basis of the variation of
117 complex phenotypes, that may be missed by conventional GWAS analyses and should be
118 used as an enhancement thereof.

119 **Materials and Methods**

120 ***Material***

121 The analysed data comprised SNP summary statistics from GWAS performed on 5,062
122 Danish Holstein bulls, 924 Danish Red Dairy Cattle bulls, and 2,122 Finnish Red Dairy Cattle
123 bulls (Bouwman et al. 2018). The association was calculated for 25.4 million variants imputed
124 with Minimac2 (Fuchsberger et al. 2015) from 630,000 SNPs using the 1000 Bull Genomes
125 reference population from Run4, consisting of 1,147 individuals. SNP additive effects were
126 estimated for deregressed EBVs serving as pseudophenotypes, separately for each breed with
127 a single SNP mixed linear model including an additive polygenic effect with a covariance
128 described by a genomic relationship matrix. The model was implemented via the EMMAX
129 software (Kang et al. 2010).

130 ***Statistical model***

131 Based on their IDs, SNPs were annotated to genes corresponding to the ARS-UCD1.2
132 reference genome using Bioconductor BioMart tool version 3.14 (Smedley et al. 2009) and
133 then genes were annotated to KEGG reference pathways (map) using the David software
134 version 6.8 (Huang et al. 2007). The effects of KEGG pathways on stature were estimated
135 separately for each breed using the following mixed linear model that accounted for the
136 similarity between pathways:

137 $\mathbf{y} = \boldsymbol{\mu} + \mathbf{Z}\mathbf{t} + \boldsymbol{\epsilon}$ (1),

138 where \mathbf{y} is the vector of absolute values of SNP additive effects on stature estimated in
139 GWAS of Bouwman et al. (2018), $\boldsymbol{\mu}$ represents the general mean, \mathbf{t} is the random effect of
140 KEGG pathways with a preimposed normal distribution defined by $N(0, \mathbf{V}\sigma_t^2)$, $\boldsymbol{\epsilon}$ is a vector
141 of residuals distributed as $N(0, \mathbf{I}\sigma_e^2)$, \mathbf{Z} is an incidence matrix for \mathbf{t} . Note that if multiple
142 SNPs were identified within a gene only one SNP with the highest effect was included in \mathbf{y} ,
143 so that each gene is represented by a single variant. The similarity between KEGGs i and j ,
144 was introduced into the model by incorporating a nondiagonal KEGG covariance matrix \mathbf{V} .
145 This covariance was expressed by the Jaccard similarity coefficient:

146 $J(i, j) = \frac{M}{N}$, (2),

147 where M represents the number of genes shared between KEGG i and j , while N represents
148 the total number of genes involved in KEGG i and j . Variance components were assumed as
149 known, amounting $\sigma_t^2 = 0.3\sigma_y^2$ and $\sigma_e^2 = 0.7\sigma_y^2$.

150 **Solutions**

151 The mixed model equations (Henderson 1984) were used to obtain solutions for $\boldsymbol{\mu}$ and \mathbf{t} :

152
$$\begin{bmatrix} \hat{\boldsymbol{\mu}} \\ \hat{\mathbf{t}} \end{bmatrix} = \begin{bmatrix} \mathbf{1}^T \mathbf{R}^{-1} \mathbf{1} & \mathbf{1}^T \mathbf{R}^{-1} \mathbf{Z} \\ \mathbf{Z}^T \mathbf{R}^{-1} \mathbf{1} & \mathbf{Z}^T \mathbf{R}^{-1} \mathbf{Z} + \mathbf{G}^{-1} \end{bmatrix}^{-1} \begin{bmatrix} \mathbf{1}^T \mathbf{R}^{-1} \mathbf{y} \\ \mathbf{Z}^T \mathbf{R}^{-1} \mathbf{y} \end{bmatrix}, \text{ where } \mathbf{R} = \mathbf{I}\hat{\sigma}_e^2 \text{ and } \mathbf{G} = \mathbf{V}\hat{\sigma}_t^2. \quad (3)$$

153 To maximise the computational performance of the estimation/prediction process, a custom
154 Python program implementing the NumPy 1.19.5 library (Harris et al. 2020) was used. Since
155 all calculations were carried out on a high-performance server, the NumPy library was also
156 used to set the array indexing and order which further improved the computing time compared
157 to a native Python application. Each element of $\hat{\mathbf{t}}$ was assessed for significance ($H_0: \hat{t}_i \leq 0$ vs.
158 $H_1: \hat{t}_i > 0$) by calculating the probability of obtaining a more extreme value from the
159 $N(0, \sigma_t^2)$ density function.

160 Since NumPy and SciPy APIs are implemented with LAPACK and BLAS, which require
161 Fortran memory layout, all input matrices were transformed to Fortran order to avoid costly
162 transposing. In comparison to a fixed matrix input, this approach results in a ten times faster
163 estimation process.

164 **Competing Interest**

165 The authors declare no competing interests.

166 **Data Access**

167 Accession codes are available at <https://doi.org/10.1038/s41588-018-0056-5>.

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