

1 **Title: Prioritizing Candidate eQTL Causal Genes in *Arabidopsis* using Random Forests**

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13 **Running title: Candidate eQTL Causal Genes Prioritization**

14

15 **Abstract**

16 Expression quantitative trait locus (eQTL) mapping has been widely used to study the genetic
17 regulation of gene expression in *Arabidopsis thaliana*. As a result, a large amount of eQTL data
18 has been generated for this model plant; however, only a few causal eQTL genes have been
19 identified, and experimental validation is costly and laborious. A prioritization method could
20 help speed up the identification of causal eQTL genes. This study extends the machine-learning-
21 based QTG-Finder2 method for prioritizing candidate causal genes in phenotype QTLs to be
22 used for eQTLs by adding gene structure, protein interaction, and gene expression. Independent
23 validation shows that the new algorithm can prioritize sixteen out of twenty-five potential eQTL

24 causal genes within the 20% rank percentile. Several new features are important in prioritizing
25 causal eQTL genes, including the number of protein-protein interactions, unique domains, and
26 introns. Overall, this study provides a foundation for developing computational methods to
27 prioritize candidate eQTL causal genes. The prediction of all genes is available in the AraQTL
28 workbench (<https://www.bioinformatics.nl/AraQTL/>) to support the identification of gene
29 expression regulators in Arabidopsis.

30

31 INTRODUCTION

32 One of the main objectives of genetic research is to link traits to genotypic variation. However,
33 the path from genetics to observable traits is not straightforward; instead, it goes through a
34 network of interconnecting intermediate phenotypes, such as gene expression, protein levels,
35 and metabolite levels (Civelek and Lusis 2013). Studying the effect of the genetic perturbation
36 on these intermediate phenotypes could improve our understanding of how a trait is regulated.
37 Following recent advances in omics technology, the effect of multiple genetic perturbations can
38 now be studied in a single experiment using linkage mapping or association studies. One
39 example is genetical genomics, where variation in transcript levels is statistically associated
40 with genetic variation in a population (Jansen and Nap 2001) to find so-called expression
41 quantitative trait loci (eQTLs).

42 A mapped eQTL can be categorized as *cis* or *trans* based on its location relative to the affected
43 gene. *Cis*-eQTLs are mapped close to the gene and are assumed to arise due to sequence
44 polymorphisms in or near the gene itself, for instance, in *cis*-regulatory elements (e.g., the
45 promoter). In contrast, *trans*-eQTLs are mapped far away from the target gene and emerge due
46 to polymorphisms in *trans*-acting factors (e.g., transcription factors) called expression
47 quantitative trait genes or eQTGs (Rockman and Kruglyak 2006; Brem et al. 2002). However,

48 a *trans*-eQTL typically spans a large genomic region with hundreds of candidate eQTGs.
49 Experimental fine mapping to narrow down the region (*e.g.*, in Eshed and Zamir 1995) is costly
50 and laborious. As a result, only a few causal genes have been identified in the thousands of
51 eQTLs that have been mapped for *Arabidopsis thaliana*, using different populations and
52 experimental conditions (Keurentjes et al. 2007; West et al. 2007; Cubillos et al. 2012; Snoek
53 et al. 2012; Lowry et al. 2013; Hartanto et al. 2020). As an *in silico* alternative, a prioritization
54 method can help to limit the number of candidate eQTGs for further validation.

55 Several network-based methods have been used to find eQTGs (*e.g.*, in Keurentjes et al. 2007;
56 Jimenez-Gomez et al. 2010; Hartanto et al. 2020). These methods primarily aim to find master
57 regulator(s) at loci where *trans*-eQTLs for many genes are collocated, known as eQTL hotspots
58 (Breitling et al. 2008). In general, these methods utilize a coexpression network built using
59 genes having an eQTL on the hotspot (called *targets*) and genes located in the hotspot (called
60 *candidate eQTGs*). Candidates are then usually prioritized based on a network centrality
61 measure, such as degree or closeness centrality (Serin et al. 2016). Several candidate eQTGs
62 have been identified in this way, for example, *GIGANTEA* (Keurentjes et al. 2007), *ELF3*
63 (Jimenez-Gomez et al. 2010), *ICE1*, and *DEWAX* (Hartanto et al. 2020). This approach,
64 unfortunately, only works for eQTL hotspots, not for regions that only have a small number of
65 eQTLs. Another limitation is the sole reliance on coexpression data: given the complexity of
66 gene expression regulation, the expression of the regulator is not necessarily correlated to that
67 of its targets, particularly in eukaryotes (Marbach et al. 2012; Lelli et al. 2012). Therefore,
68 additional data sources should be considered to capture possible interactions between the
69 regulator and its target.

70 Previously, a machine-learning-based method, QTG-Finder, was developed to prioritize
71 candidate genes for phenotype QTLs in *Arabidopsis* (Lin et al. 2019). This method used features
72 derived from various gene properties, such as paralog copy number, gene ontology, and the

73 number of SNPs, to rank the candidate genes in the QTL interval. The model could recall 64%
74 of Arabidopsis QTGs when the top 20% ranked genes were considered. Further development
75 of this method led to QTG-Finder2, which used orthology information and allowed for gene
76 prioritization in species with no or few known QTGs (Lin et al. 2020). We were curious about
77 the capability of this algorithm to prioritize eQTGs, given that some QTGs are involved in gene
78 expression regulation, for example, *ELF3* (Jimenez-Gomez et al. 2010), *ERECTA* (Terpstra et
79 al. 2010), *FRI* (Lowry et al. 2013), *MAMI* (Jansen et al. 2009), and *AOP2* (Jansen et al. 2009).
80 We propose eQTG-Finder, an extended version of QTG-Finder2 for eQTG prioritization, and
81 apply the new algorithm to prioritize eQTGs in Arabidopsis. eQTG-Finder contains twelve new
82 features based on protein-protein interaction, gene structure, and expression variation. These
83 features significantly improve model performance, which is underscored by a feature
84 importance analysis. We demonstrate the efficacy of this algorithm in prioritizing eQTGs using
85 an independent test set. Finally, we use the new model to predict all Arabidopsis genes and
86 make these available in our Arabidopsis eQTL analysis platform AraQTL
87 (<https://www.bioinformatics.nl/AraQTL/>) (Nijveen et al. 2017) to help identify gene expression
88 regulators.

89

90 MATERIALS AND METHODS

91 QTG-Finder2 was developed for prioritizing causal phenotype QTL genes (QTG) in
92 Arabidopsis (Lin et al. 2020). This algorithm consists of 5,000 Random Forest classifiers (Ho
93 1998) trained using known QTGs and Arabidopsis orthologs of QTGs from other species as
94 positives and other genes as negatives. QTG-Finder2 prioritizes candidate genes based on
95 features generated from polymorphism data, functional annotation, co-function networks, and
96 paralog copy numbers. Our method extends QTG-Finder2 with new features, and we train the

97 resulting model using the same sets of positive and negative genes. We evaluate the
98 performance in prioritizing candidate causal eQTL genes (eQTGs) in *Arabidopsis*.

99

100 **New features**

101 We generate and include twelve new features in addition to the ones already used by QTG-
102 Finder2. These new features are based on protein-protein interactions, gene expression, and
103 gene/protein structure.

104 1. Protein-protein interaction feature

105 Genes can be associated with other genes, for instance, because the encoded proteins
106 participate in the same pathway or are mentioned in the same publication. The number
107 of such interactions a gene has could measure its propensity to be an eQTL causal gene.
108 We generate a network-based feature using *Arabidopsis* protein-protein interaction
109 (PPI) data from STRING-DB (Szklarczyk et al. 2019). The data were downloaded from
110 the download page of STRING-DB version 11 (<https://string-db.org/cgi/download>). We
111 only keep high-confident interactions by removing those with STRING scores below
112 700. We count the number of interactions of each *Arabidopsis* gene as a feature.

113 2. Gene expression features

114 The consequence of genetic variation in causal genes might be detected as early as in
115 gene expression variability. We, therefore, generate features based on gene expression
116 variation. We use the standard deviation of expression levels across different tissues
117 from CoNekT (<http://www.evorepro.plant.tools/>) (Julca et al. 2020). We also use the
118 average and standard deviation of *Arabidopsis thaliana* Columbia ecotype expression
119 data from different samples as features. These data were retrieved from the Athrna-
120 database (<http://ipf.sustc.edu.cn/pub/athrna/>) (Zhang et al. 2020).

121 3. Structural features

122 The structure of causal genes and encoded proteins might differ from the other genes.
123 Therefore, we generate structural features: the numbers of introns, splice variants, total
124 protein domains, unique protein domains, and splice variants per gene. Data were
125 retrieved from <https://www.arabidopsis.org/> (accessed May 2021). The number of
126 introns and splice variants are counted in TAIR10's BLAST datasets. The other two
127 features are generated from all.domains.txt by counting each Arabidopsis gene's total
128 number of domains and the number of unique domains.

129

130 **Hyperparameter tuning**

131 Model evaluation is based on QTG-Finder (Lin et al. 2019) and QTG-Finder2 (Lin et al. 2020).
132 Similar to QTG-Finder2, we use known QTGs and Arabidopsis orthologs of QTGs found in
133 other species as positives and other genes as negatives. We use hyperparameter tuning to
134 determine the best parameter combination (the number of trees, minimal samples split, and
135 maximum number of features) using grid search and assess the area under the curve (AUC) of
136 the receiver characteristics operator (ROC) curve in an extended version of the 5-fold cross-
137 validation framework. In this framework, the positives are randomly re-split into a training and
138 validation set in a 4:1 ratio iteratively. Next, each set is combined with randomly selected
139 negatives. The ratio of positives and negatives is an optimized hyperparameter. This splitting
140 of positives is done 50 times, and for each positive set random selection of the negatives was
141 conducted 50 times. This extensive procedure (2,500 evaluations) makes that positive co-occurs
142 with all negative at least once with high probability. All machine-learning model training and
143 testing in this study is performed using Python's scikit-learn library version 1.0.2.

144

145 **Selection of candidate eQTL genes and independent validation of model performance**

146 A list of candidate eQTGs in *Arabidopsis* is manually selected from the literature. These genes
147 are categorized as confirmed/strong-candidate, hypothetical, or hypothetical-ortholog. Genes
148 that have been through experimental validation or have strong evidence as eQTL are
149 categorized into the confirmed/strong-candidate group, for example, *GIGANTEA* (Keurentjes
150 et al. 2007; Snoek et al. 2012). Some confirmed/strong-candidate eQTGs are used as positive
151 in QTG-Finder2, and we remove these from the positive instances to be used as validation
152 genes. Meanwhile, genes that were not experimentally validated but are predicted to play a role
153 as eQTL through *in silico* analysis (e.g., network analysis) are categorized as hypothetical, for
154 example, *ICE1* and *DEWAX* (Hartanto et al. 2020). If a gene's ortholog is considered an eQTL
155 in another species, it is categorized as hypothetical-ortholog; for example, *NF-YC4* is found as
156 an eQTL in potatoes (van Muijen et al. 2016). In total, this yields twenty-five candidate eQTGs
157 in *Arabidopsis*: six confirmed/strong-candidate, four hypothetical, and fifteen hypothetical-
158 ortholog genes (Supplementary Table 1). We ensure that these candidates are not used for
159 hyperparameter tuning or cross-validation.

160 Independent validation is performed using the best combination of parameters (Supplementary
161 Table 1). We train 5,000 Random Forest classifiers using all positives but different sets of
162 negatives, with a positive: negative ratio of 1:200 to approximate the ratio of causal and non-
163 causal genes in real eQTLs. The models are then applied to each candidate eQTL and other
164 genes located 1 Mbp around it. These genes are ranked based on the average probability of
165 being causal genes over 5,000 models.

166

167 **Feature importance analysis**

168 Feature importance is determined using a leave-one-out analysis. Iteratively, each feature is
169 removed from the dataset, and a model is trained using the reduced dataset. The AUC difference
170 in the full model (with all features) and the reduced model is then calculated and used to indicate
171 the feature importance. We use the previous cross-validation framework and the best
172 parameters to measure the model performance in this analysis.

173

174 **Data analyses and code availability**

175 Pairwise Pearson correlation coefficients between features are calculated using the Pandas
176 (version 1.3.5) DataFrame.corr method in Python. Pearson Wilcoxon Rank Sum Test tests
177 differences in the median between positive and negative genes for the twelve new features. The
178 test is conducted in R using the base ‘wilcox.test’ function. Gene ontology enrichment analysis
179 for the top and bottom 5% predicted causal genes is performed using TopGO in R (Alexa et al.
180 2006) using the algorithm’s default ‘weight01’ parameter, which is the mixture of ‘elim’ and
181 ‘weight’ methods. The Python version used for the analyses is 3.8.12, and the R version is 4.0.2.
182 The source code and data are available at <https://git.wur.nl/harta003/eqtg-finder>.

183

184 **RESULTS**

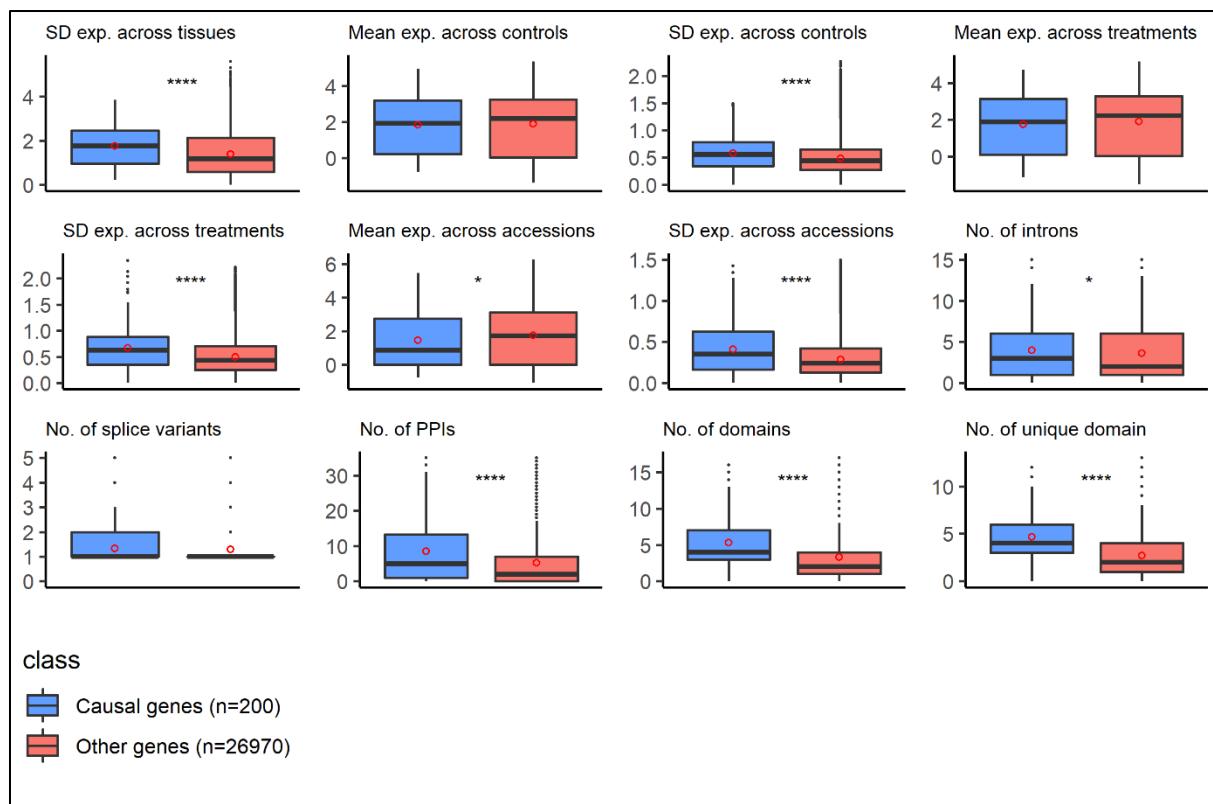
185 The QTG-Finder2 algorithm could rank phenotype QTL causal genes higher than other genes
186 in a cross-validation setting (AUC = 0.81) and recall 80% independent curated causal genes
187 when the top 20% of genes in the QTL are considered (Lin et al. 2020). In this study, we extend
188 QTG-Finder2 with a set of new features and evaluate its performance in prioritizing expression
189 QTGs.

190

191 **New features improve causal gene prediction performance**

192 To improve model performance and better tailor it fit for eQTG prioritization, we added twelve
193 new features based on gene expression, structure, and protein-protein interactions in the QTG-
194 Finder2 algorithm. Most new features only show a low to moderate correlation with the existing
195 ones (Supplementary Figure 1), indicating that we add new information to the model. Figure 1
196 shows feature distributions for the causal genes as the positive class (55 known QTGs and 145
197 *Arabidopsis* ortholog of QTGs from other species) and the other genes in the genome as the
198 negative class (n=26,970). For most features, the causal genes' median value is significantly
199 different from that of the other genes in the genome (see Supplementary Table 3). The
200 expression of causal genes is more variable than that of other genes. Moreover, causal genes
201 tend to have more and varied protein domains. Causal genes also have slightly more introns
202 than other genes. These differences between the causal genes and the other genes in the genome
203 provide a first indication of potential discriminating features for the machine learning model.
204 We assess the performance of the model with and without new features using a cross-validation
205 framework.

206



207

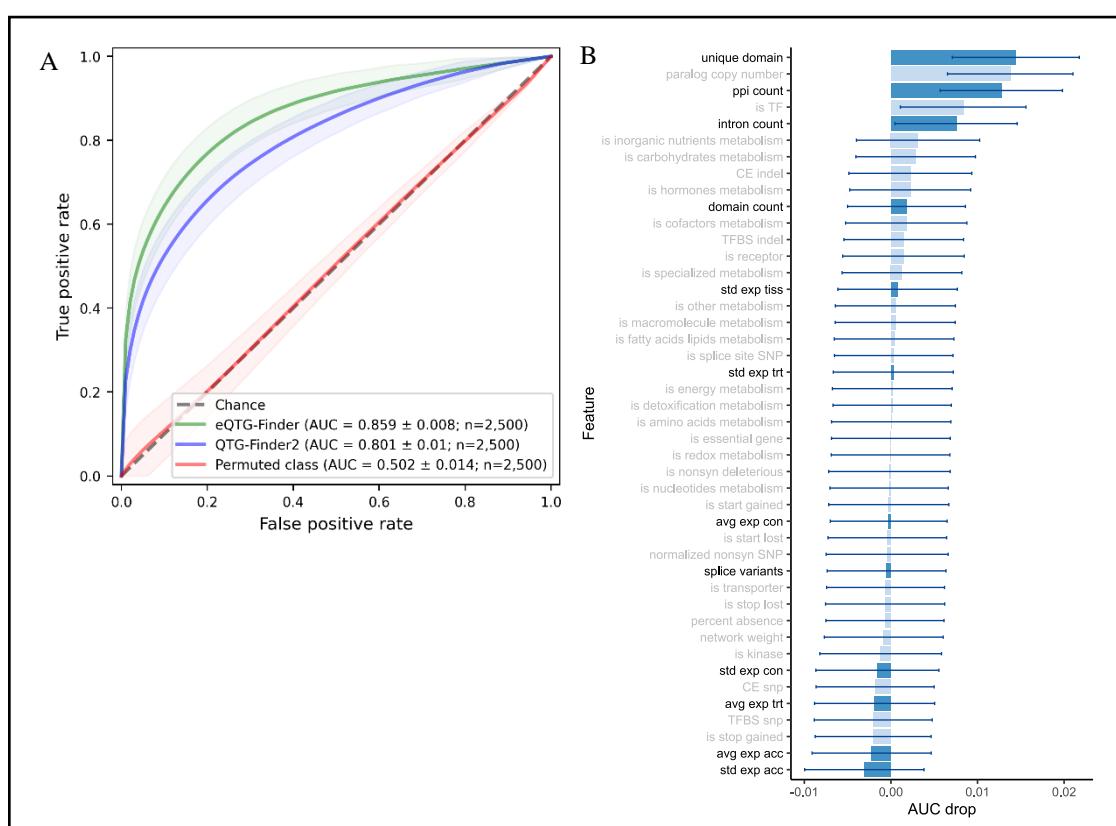
208 **Figure 1.** Distribution of twelve new features for known causal genes as the positive class (blue: n=200; 55 known QTGs and 145 orthologs of QTGs from other species) and the remaining genes in the genome as the negative class (red: n=26,970). Significance of differences in 211 medians was assessed using the Wilcoxon Rank Sum Test (*: p <= 0.05; ****: p <= 0.0001). 212 Red dots indicate means. SD = standard deviation. Exp. = gene expression. PPIs = protein- 213 protein interactions.

214

215 To assess the contribution of new features to the model performance, we compare the area under 216 the receiver-operating characteristic curve (AUC) between the original QTG-Finder2 with the 217 extended model that we labeled eQTG-Finder, and for the extended model with the class labels 218 permuted, as a control (Figure 2 left). The AUC was measured in an extended cross-validation 219 setting over 2,500 different combinations of positive and negative gene sets. The results show 220 that eQTG-Finder (AUC = 0.859 ± 0.008) performs better than QTG-Finder2 (AUC = 0.801 ±

221 0.01) and the control model ($AUC = 0.502 \pm 0.014$). Adding new features thus allows the model
222 to rank causal genes higher than the other genes. The next section analyzed model performance
223 in prioritizing eQTG using selected candidate eQTGs.

224 To determine how the new features contribute to causal gene prediction, we calculate feature
225 importance using a leave-one-out approach. Each feature is iteratively removed from the
226 dataset, and the reduced model's performance is compared to that of the model containing all
227 features. The drop in AUC indicates a feature's importance. A positive AUC drop means
228 removing that feature decreases the model's predictive capability. The result shows that four of
229 the most important features in the model are the new ones: the number of unique domains, the
230 PPI count, the intron count, and the domain count. However, the large standard deviation for
231 the domain count AUC drop indicates that the contribution of this feature is not consistent over
232 different samples of positive and negative sets.



233 **Figure 2.** (A) Receiver operating characteristic (ROC) curves of the original QTG-
234 Finder2 model (blue) and extended eQTG-Finder model (green), and eQTG-Finder
235 trained with randomized class labels (red) as a control. Transparent areas indicate
236 standard deviations over 2,500 repetitions. (B) Feature importance is measured using
237 leave-one-out analysis. A positive AUC drop indicates that the removal of the feature
238 reduces the model's predictive capability. Feature names in bold and with dark blue
239 bars indicate new features. Error bars indicate standard deviations over 2,500
240 repetitions.

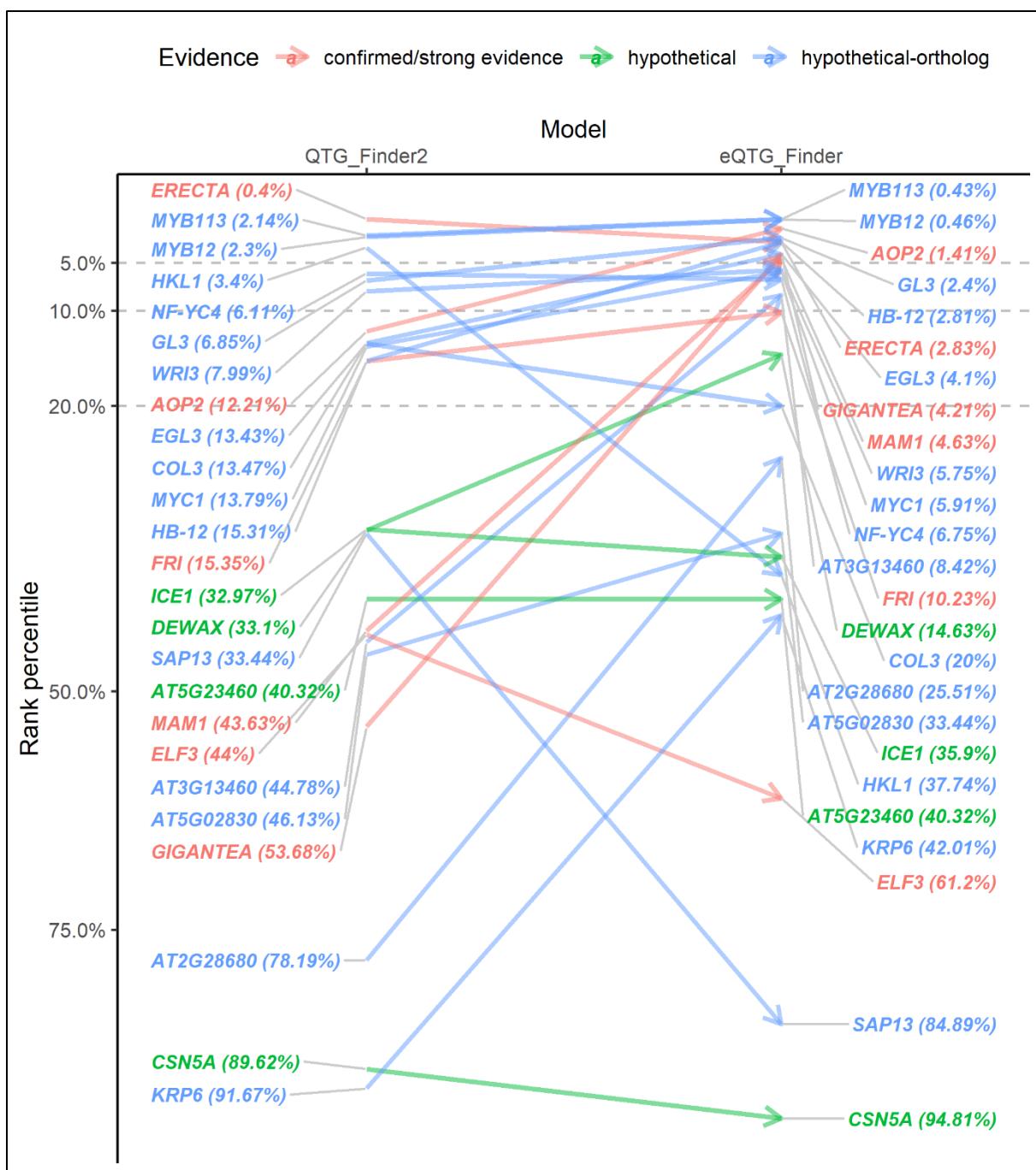
241

242 **eQTG-Finder ranks most strong eQTG candidates better than QTG-Finder2**

243 To evaluate eQTG prioritization performance, we again train the original QTG-Finder2 and the
244 extended eQTG-Finder model and use them to rank selected potential eQTGs (Supplementary
245 Table 1). Models are trained using all positives (known QTGs and *Arabidopsis* ortholog QTGs
246 from other species). We repeated the training 5,000 times with different negative samples to
247 select each negative gene at least once in training with high probability. These models rank
248 each of the twenty-five potential eQTGs with their surrounding genes within a 2 Mbp window
249 as a hypothetical eQTL region. These potential eQTGs are selected manually from the literature
250 and grouped based on the evidence of being causal eQTL genes (see Methods for detail). Gene
251 ranking is based on the average probability of a gene being causal, as predicted by the 5,000
252 models. We use the rank percentile to indicate the percentage of genes on the eQTL with higher
253 ranks than the gene of interest (*i.e.*, a rank percentile of 0.1 indicates that 10% of genes in the
254 eQTL region rank higher than the gene of interest). We predefine cutoffs of 5%, 10%, and 20%,
255 in each of which we compare recall between QTG-Finder2 and eQTG-Finder. These recalls for
256 different cutoffs can be used by researchers to decide the proportion of top prioritized genes for
257 further experimental validation.

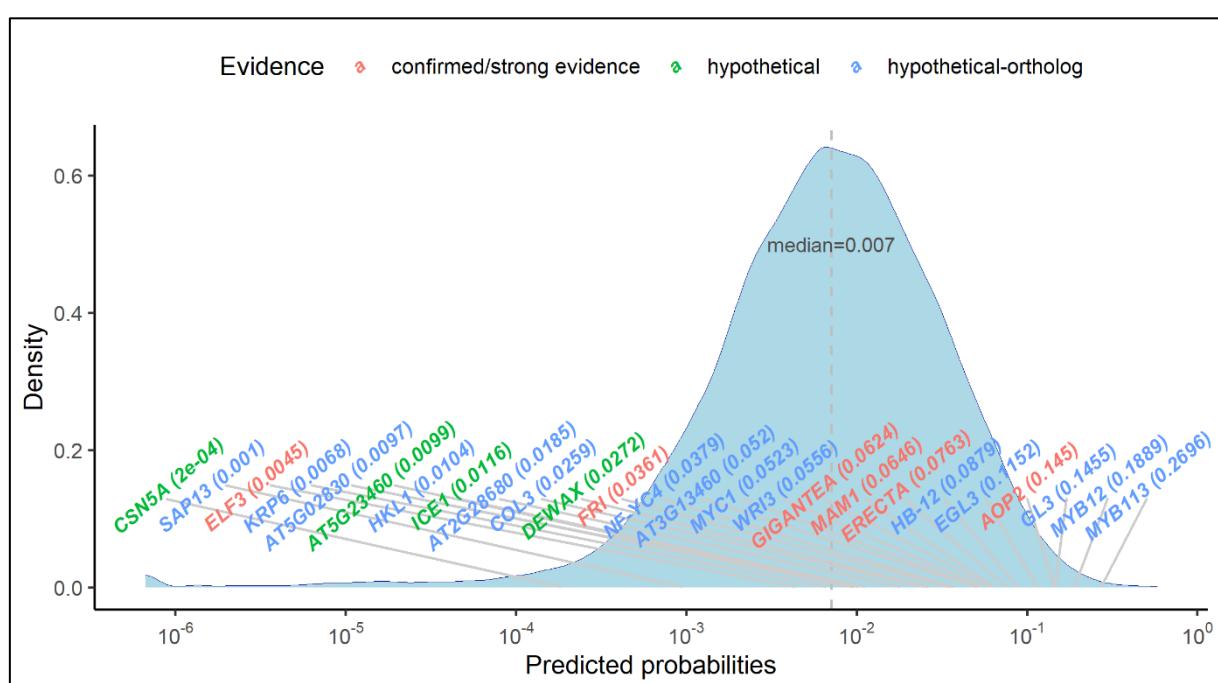
258 The QTG-Finder2 model recalls 16%, 28%, and 52% of eQTG candidates if the top 5%, 10%,
259 and 20% ranked genes are considered (Figure 3). With added features, eQTG-Finder ranks
260 eQTGs slightly better with percentages of 36%, 52%, and 64% respectively. The eQTGs vary
261 in their evidence of being causal genes (see Methods). Four out of six strong eQTG candidates
262 (*AOP2*, *ERECTA*, *GIGANTEA*, and *MAMI*) rank within the 5% rank percentile by eQTG-
263 Finder compared to only one (*ERECTA*) by QTG-Finder2. The other two strong candidates,
264 *FRI* and *ELF3*, were ranked at the 10.2% and 61.2% percentile by eQTG-Finder. The ranks of
265 sixteen genes are improved by eQTG-Finder, eight are worse, and one stays the same
266 (Supplementary Table 4). The rank of four out of six strong eQTG candidates improves, with
267 *GIGANTEA* one of the most drastic improvements, moving from 53,7.7% to 4.2%. On the other
268 hand, the rank of *ERECTA* drops (0.4% to 2.8%) but remained falls in the 5% rank percentile.
269 Both models rank another strong eQTG candidate *ELF3* poorly (at 44% rank percentile by
270 QTG-Finder2 and 61.2% by eQTG-Finder). Despite the decent overall performance in eQTG
271 prioritization, we notice that eQTG-Finder performance in prioritizing phenotype QTGs is still
272 inconsistent. Using the initial independent validation set, only seven out of eleven QTGs are
273 ranked within the 20% rank percentile by eQTG-Finder, compared to nine by QTG-Finder2
274 (Supplementary Figure 2).

275



283 Twenty-one of the twenty-five genes in the validation set have a predicted probability higher
284 than the median. *ELF3* (probability=0.0045) is the only strong eQTG candidate with a predicted
285 probability lower than the median. A Gene Ontology (GO) enrichment analysis shows that the
286 top 5% genes in the distribution are significantly enriched (FDR p-value < 0.05) for 67 GO
287 terms (Supplementary Figure 5), most of which are related to response to abiotic and biotic
288 stresses, such as "defense response to bacterium", "defense response to fungus", and "response
289 to wounding". The term "regulation of transcription" is also enriched, suggesting that
290 transcription factors are likely to be causal, consistent with the feature importance analysis
291 result where *is_TF* is among the most important features. Meanwhile, the bottom 5% are not
292 enriched for any term.

293



294 **Figure 4.** The density plot of probabilities of being causal predicted by eQTG-Finder for all
295 Arabidopsis genes. Text labels point to the probability of the gene in the plot. The x-axis is on
296 a log₁₀ scale.

297

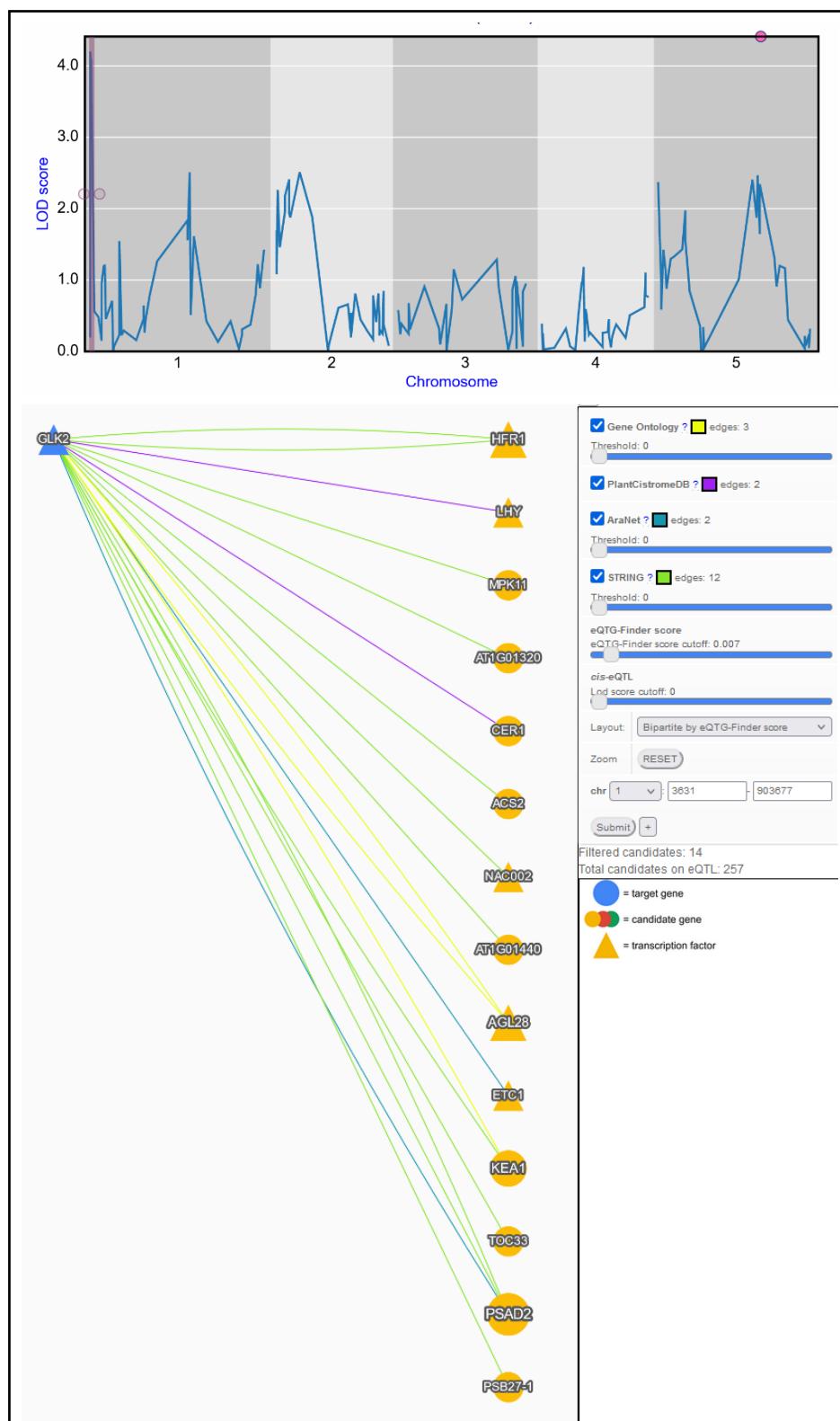
298 **eQTG-Finder is available in AraQTL to support new hypotheses on the gene expression**
299 **regulation**

300 To make eQTG-Finder results easily accessible for researchers, we include predicted
301 probabilities of causality (herewith referred to as eQTG-Finder score) for all *Arabidopsis* genes
302 in AraQTL, our *Arabidopsis* eQTL data workbench (Nijveen et al. 2017). Prioritizing genes
303 using QTG-Finder2 is not straightforward as it requires users to prepare a list of candidate genes
304 and command-line usage skills. Integrating the eQTG-Finder score in AraQTL facilitates users
305 to interactively identify gene expression regulators. For example, we here discuss a case on
306 predicting a new potential regulator for *GLK2* using the eQTG-Finder score and other
307 interaction evidence in AraQTL. *GLK2* is a GARP nuclear transcription factor involved in light-
308 controlled signaling (Waters et al. 2009). Liu et al. (2021) recently found that *HY5* is the
309 regulator of *GLK2* based on the fact that *HY5* is a well-known regulatory switch for light
310 signaling in literature. The same conclusion can also be derived using the Serin et al.
311 (manuscript in preparation) eQTL experiment and prior knowledge data in AraQTL. Another
312 approach to finding potential regulators of *GLK2* can be made in AraQTL using the eQTG-
313 Finder score. In a Kas x Tsu eQTL experiment on leaf tissue (Lowry et al. 2013), *GLK2* has an
314 eQTL on the beginning of chromosome 1, indicating the location of the potential regulator(s)
315 (Figure 5, top). As many as 257 candidate regulatory genes are present in the eQTL (Figure 5,
316 bottom). We can filter out weak candidates by constructing a network of *GLK2* connected to its
317 potential regulators on the eQTL based on prior knowledge, such as protein-protein interaction
318 and gene annotation (Hartanto et al., manuscript in preparation). Here, we threshold the eQTG-
319 Finder score to remove weak candidates. Moreover, eQTG-Finder can prioritize the remaining
320 fourteen genes by selecting the “Bipartite by eQTG-Finder score” network layout and ordering
321 genes by their score. The result suggests some promising *GLK2* regulator candidates ranked at
322 the top, for example, a transcription factor *LHY* in second place. Until now, *LHY* has not been

323 reported to regulate *GLK2*. However, this gene is a promising *GLK2* regulator candidate as the
324 network shows that it has a transcription factor binding site(s) on the *GLK2* promoter (O'Malley
325 et al. 2016). Moreover, *LHY* is involved in light signaling (Joo et al. 2017; Kim et al. 2003).
326 This example suggests that integrating the eQTG-Finder score in AraQTL can help infer new
327 regulatory interactions.

328

329



330

FIGURE 5. Prioritization of *GLK2* regulator using the eQTL-Finder score in AraQTL. (top) eQTL profile of *GLK2* from the Lowry et al. (2013) experiment. The eQTL region on chromosome 1 (shaded in pink)

331

332

333 pinpoints the location of potential *GLK2* regulator(s). (bottom) Prior-
334 knowledge network connecting *GLK2* (blue node) with candidate
335 regulators (yellow nodes) based on prior knowledge data. Here, the
336 eQTG-Finder score is used to order candidates based on their probability
337 of being causal.

338

339 **DISCUSSION**

340 The concept of genetical genomics was first coined two decades ago (Jansen and Nap 2001),
341 and numerous *Arabidopsis* eQTL data sets have been published since then (Nijveen et al. 2017).
342 The aim of genetical genomics is to pinpoint genomic regions associated with gene expression
343 variation (eQTL) and ultimately unravel genes involved in expression regulation. However,
344 identifying causal genes (eQTGs) is difficult because of the often large genomic regions they
345 span, regularly harboring dozens or even hundreds of candidates. The regions can be narrowed
346 down by experimental fine-mapping (Eshed and Zamir 1995), and the remaining candidate
347 genes can then be validated using functional genomics methods (*e.g.*, using CRISPR-Cas9-
348 mediated deletions as in Evans and Andersen 2020). However, performing these experiments
349 for thousands of eQTLs is very costly. Using genomics and annotation data, a computational
350 prioritization method can help identify candidate eQTGs. This study extends an existing
351 machine-learning algorithm, QTG-Finder2, to address this issue and evaluates its performance
352 for prioritizing eQTG. eQTG-Finder outperforms its predecessor in a cross-validation setting
353 and independent validation test. We make eQTG-Finder scores available in AraQTL to help
354 researchers interactively identify key regulators.

355 The key improvement of eQTG-Finder lies in the inclusion of twelve new features based on
356 gene expression, structure, and interactions. Given the complexity of the resulting model, it is

357 not straightforward to assess how these features improve eQTG-Finder in gene prioritization
358 (Petch et al. 2022). We calculated the contribution of each feature in the model using a leave-
359 one-out feature importance analysis (see Materials and Methods). This showed that the number
360 of unique protein domains, the number of protein-protein interactions (PPI), and the number of
361 introns are in the top five most contributing features in the model. We showed that known causal
362 genes tend to have more domains, protein-protein interaction partners, and introns than other
363 genes (Figure 1). These new features may provide insight into what distinguishes causal and
364 non-causal genes. For instance, since protein domains determine protein functions (Vogel et al.
365 2004; Enright and Ouzounis 2001), the presence of multiple domains in a causal gene could
366 indicate involvement in a wide range of biological functions. The diverse functions of causal
367 genes could also be reflected in their larger number of protein-protein interaction partners than
368 non-causal as genes perform their function in concert with other genes (Ito et al. 2001). The
369 number of introns reflects the number of exons in a gene. Several studies demonstrated that
370 exons play a role in the evolution of domain architectures through exon-shuffling, leading to
371 new combinations of domains with new functions.

372 Variation in phenotype can be traced back to variation in gene expression (Skelly et al. 2009;
373 Albert and Kruglyak 2015). For this reason, we included features based on the standard
374 deviation (SD) of gene expression across different *Arabidopsis* accessions and conditions. Even
375 though the medians between causal and other genes are significantly different (Figure 1),
376 features based on SD of expression have low importance in the model. A study showed that
377 correlations between features decrease the importance to zero (Gregorutti et al. 2016). Given
378 that three SD features are highly correlated (Supplementary Figure 1), their importance in the
379 model might be underestimated. Nevertheless, we do not have evidence that these features
380 negatively affect the prediction performance; hence, we kept them in the model.

381 eQTG-Finder uses known QTGs (*i.e.*, causal genes for a phenotype QTL) as positive instances
382 for model training because of the limited number of known eQTGs. We argue that QTGs are
383 relevant for prioritizing eQTG since variation at the molecular level (*e.g.*, in gene expression,
384 metabolite, or protein level) can be propagated and cause variation at higher phenotypic levels
385 (Fu et al. 2009; Civelek and Lusis 2013). For example, genetic variations in *AOP2* and *MAMI*
386 cause *cis*-eQTLs for gene expression and metabolite QTLs for aliphatic glucosinolate
387 biosynthesis, which confer insect resistance in *Arabidopsis* (Wentzell et al. 2007; Jansen et al.
388 2009). Both genes were prioritized in the top 5% rank percentile by eQTG-Finder. This result
389 suggests that eQTG-Finder can identify QTLs for other molecular phenotypes, including
390 metabolite and protein.

391 A lack of model interpretability may hamper a user's comprehensive evaluation and assessment
392 of the prioritization results. Regardless of the good performance, it is difficult to precisely
393 understand how eQTG-Finder classifies certain genes as causal and others as non-causal, a
394 typical issue for a complex model like Random Forest (Petch et al. 2022). Instead, in AraQTL,
395 we provide additional sources of evidence to support the eQTG-Finder prioritization results
396 (Hartanto *et al.*, unpublished). For example, eQTG-Finder prioritizes transcription factor *LHY*
397 as the regulator of *GLK2* (Figure 5). The network visualization in AraQTL showed that *LHY* is
398 connected to *GLK2* by transcription factor binding site evidence, indicating that *LHY* may bind
399 to the *GLK2* promoter and modulate its expression. Incorporating eQTG-Finder in the AraQTL
400 web interface facilitates researchers to identify key regulators for genes of interest without the
401 need for computational skills.

402 In the independent validation, some eQTG candidates were ranked poorly by eQTL-Finder
403 (Figure 3). Low ranked assumed eQTG genes from the hypothetical and hypothetical-orthologs
404 groups might not be actual eQTGs; however, the strong eQTG candidate *ELF3* was also ranked
405 poorly by both eQTG-Finder (61.2%) and QTG-Finder (44%). *ELF3* encodes a nuclear protein

406 and was demonstrated to regulate gene expression leading to shade-avoidance response
407 (Jimenez-Gomez et al. 2010). The complexity of the eQTF-Finder algorithm makes it difficult
408 to dissect the prediction for *ELF3*. We investigated two of the most important features and
409 noticed that this gene only has one identified protein domain and one paralog copy number,
410 which is lower than the median values of causal genes (four and seventeen, respectively).

411 Likely, some features associated with eQTF are still missing in our model or underrepresented
412 in our set of positive instances. Since the regulator-target relationship is specific, we expect that
413 features representing gene-gene/protein-protein relationships (for example, STRING scores
414 (Szklarczyk et al. 2019), transcription factor binding sites (Tian et al. 2020), and gene ontology
415 semantic similarity (Yu 2020)) are relevant for prioritizing eQTF. Including these would shift
416 the prioritization of generic eQTFs based on gene properties to the prioritization of eQTFs for
417 a specific target using features based on gene-pair relationships. This is similar to the
418 approaches of Wong et al. (2004) and Pandey et al. (2010), who predicted genetic interaction
419 using gene pair relationships in yeast. The number of positive examples (*i.e.*, confirmed eQTF-
420 target pairs) is currently too small to properly train such a model for *Arabidopsis*. However, as
421 data regarding genetic regulation is steadily increasing, we are optimistic that this strategy will
422 be possible in the future.

423

424 **Data availability**

425 The code and data for the analysis and visualization is available at the Wageningen University
426 GitLab repository (<https://git.wur.nl/harta003/eqtf-finder>). eQTF-Finder prioritization is
427 available at AraQTL (<https://www.bioinformatics.nl/AraQTL/>; Nijveen et al. 2017)

428

429 **Acknowledgments**

430 We thank members of the Bioinformatics Group, Wageningen University, for feedback and
431 suggestions.

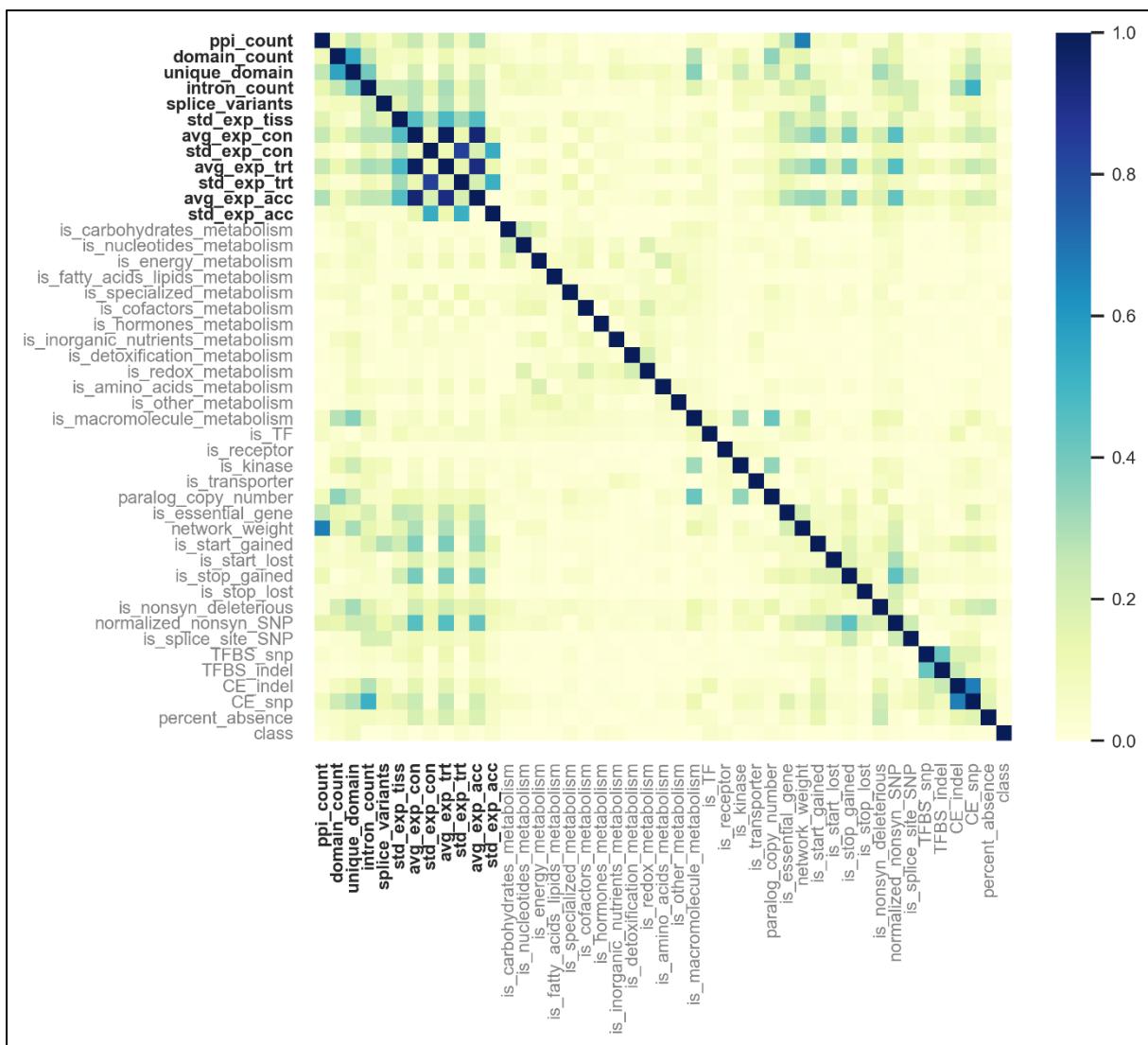
432

433 **Conflict of Interest**

434 We declare no conflict of interest.

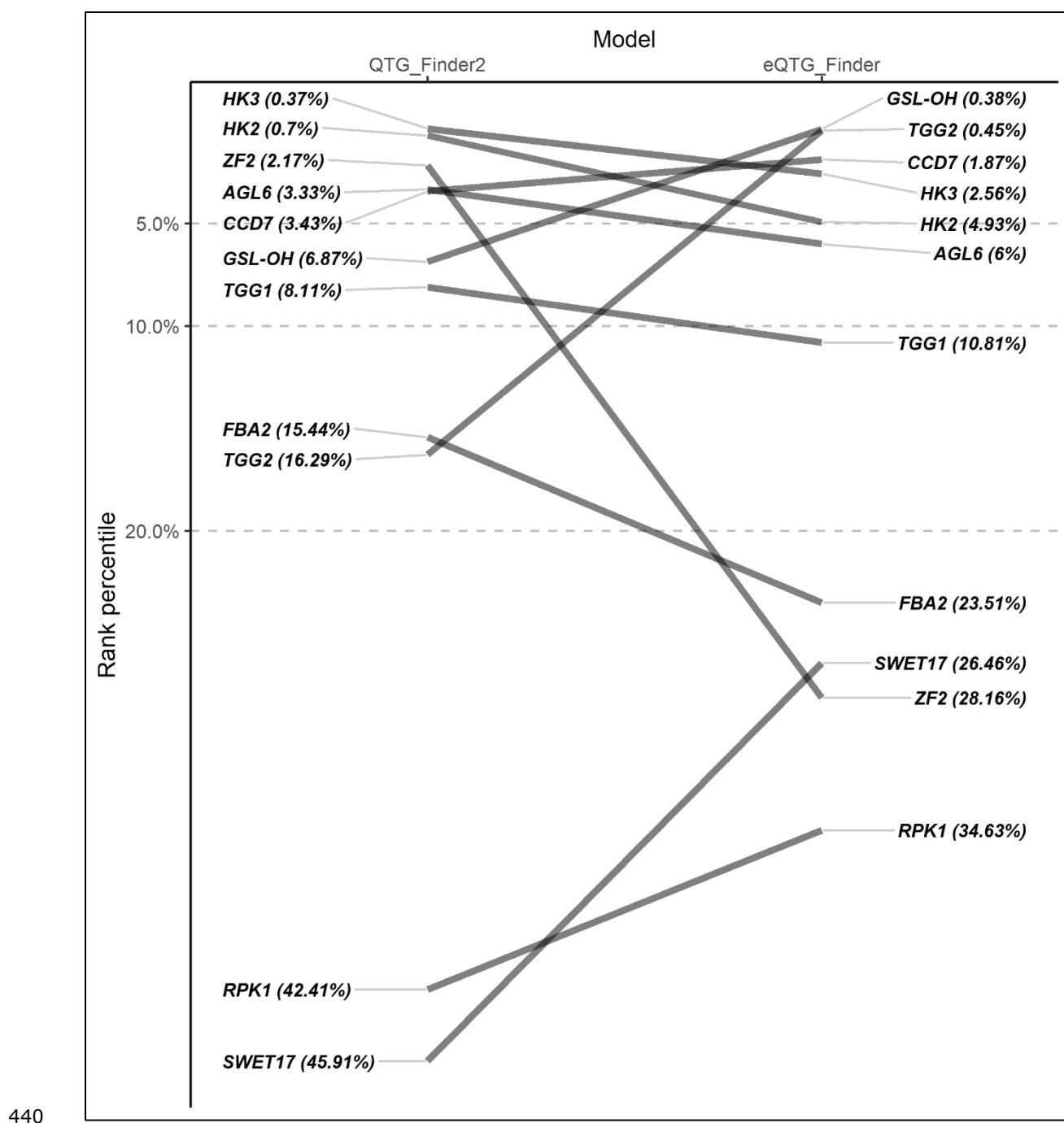
435

436 **SUPPLEMENTARY FIGURES**



438 **Supplementary Figure 1.** Correlation matrix of features used in the machine learning model.

439 New features are indicated in bold.



441 **Supplementary Figure 2.** Rank percentile comparison of eleven original validation gene sets

442 using the model with new features and the original model (QTG-Finder2).

SUPPLEMENTARY TABLES

Supplementary Table 2. The list of candidate eQTGs used for independent validation

Gene ID	Gene name	evidence	Species origin	Label in species origin	Gene class	Associated trait	Trait category	Reference (PMID)
AT5G61590	DEWAX	hypothetical	<i>Arabidopsis thaliana</i>	DEWAX	transcription factor	seed germination	development	32963085
AT1G22770	GIGANTEA	confirmed/ strong evidence	<i>Arabidopsis thaliana</i>	GIGANTEA	nuclear protein	seed germination	development	32963085
AT3G26744	ICE1	hypothetical	<i>Arabidopsis thaliana</i>	ICE1	transcription factor	flowering	development	23335938/ 17237218
AT5G63470	NF-YC4	hypothetical-ortholog	<i>Solanum tuberosum</i>	NF-YC4	transcription factor	drought responce	abiotic stress	27353051
AT3G61890	HB-12	hypothetical-ortholog	<i>Solanum tuberosum</i>	HB-12	transcription factor	drought responce	abiotic stress	27353051
AT2G28680	-	hypothetical-ortholog	<i>Solanum tuberosum</i>	PGCURSE5	Rm1C-like cupins superfamily protein	tuber starch content	development	33051578
AT5G02830	-	hypothetical-ortholog	<i>Cucumis melo</i>	cmPPR1/ Melo3C003069	Tetratricopeptide repeat (TPR)-like superfamily protein	flesh color intensity	development	29385635
AT3G13460	-	hypothetical-ortholog	<i>Zea mays</i>	ECT2	YTH domain-containing protein	kernel size	development	30548709
AT1G50460	HKL1	hypothetical-ortholog	<i>Zea mays</i>	HEX9	hexokinase-like	glycolysis	development	29275164
AT1G63650	EGL3	hypothetical-ortholog	<i>Zea mays</i>	R1/COLORED1	transcription factor	flavonoid biosynthesis	development	32184350/ 29275164
AT5G41315	GL3	hypothetical-ortholog	<i>Zea mays/ Hordeum vulgare</i>	R1/COLORED1	transcription factor	flavonoid biosynthesis	development	21115826/ 32184350/ 29275164

AT4G00480	MYC1	hypothetical-ortholog	<i>Zea mays</i>	R1/COLORED1	transcription factor	flavonoid	development	32184350/
						biosynthesis		29275164
AT2G47460	MYB12	hypothetical-ortholog	<i>Solanum lycopersicum/</i> <i>Populus trichocarpa</i>	MYB12	transcription factor	flavonoid	development	33199703/
						biosynthesis		6888306
AT3G57480	SAP13	hypothetical-ortholog	<i>Solanum lycopersicum</i>	PtSAP13	stress-associated protein	flavonoid	development	33199703
						biosynthesis		
AT1G16060	WRI3	hypothetical-ortholog	<i>Solanum lycopersicum</i>	WRI3	transcription factor	lipid metabolism	development	33199703
AT1G22920	CSN5A	hypothetical	<i>Arabidopsis thaliana</i>	CSN5A	transcription coactivator	low-light response	abiotic stress	23335938
AT1G66370	MYB113	hypothetical-ortholog	<i>Ipomoea batatas</i>	IbMYB1-2	transcription factor	flavonoid	development	32528702
						biosynthesis		
AT3G19150	KRP6	hypothetical-ortholog	<i>Gossypium hirsutum</i>	KRP6	kip-related protein	fibre-cell length	development	32017125
AT2G24790	COL3	hypothetical-ortholog	<i>Zea mays</i>	COL11	transcription factor	photosynthesis	development	32184350
AT5G23460	-	hypothetical	<i>Arabidopsis thaliana</i>	-	-	flowering	development	17237218
AT2G25930	ELF3	confirmed/ strong evidence	<i>Arabidopsis thaliana</i>	ELF3	nuclear protein	shade avoidance	abiotic stress	20838594
AT2G26330	ERECTA	confirmed/ strong evidence	<i>Arabidopsis thaliana</i>	ERECTA	kinase			20833726
AT4G00650	FRI	confirmed/ strong evidence	<i>Arabidopsis thaliana</i>	FRI	-		development	24045022
AT5G23010	MAM1	confirmed/ strong evidence	<i>Arabidopsis thaliana</i>	MAM1	methylthioalkylmalate synthase	insect resistance	biotic stress	19196544
AT4G03050	AOP2	confirmed/ strong evidence	<i>Arabidopsis thaliana</i>	AOP2	2-oxoglutarate-dependent dioxygenase	insect resistance	biotic stress	19196544

Supplementary Table 3. Wilcoxon Rank

Sum Test statistics and p values for the difference in median of new features between causal genes and the other genes in the genome.

Feature	statistics	p-value
std exp tiss	3312857	2.51 x 10 ⁸
avg exp con	2624347	0.51
std exp con	3207362	3.86 x 10 ⁶
avg exp trt	2531055	0.13
std exp trt	3354636	2.64 x 10 ⁹
avg exp acc	2445143	0.02
std exp acc	3287936	8.19 x 10 ⁸
intron count	2937908	0.03
splice variants	2805881	0.17
ppi count	3142925	3.75 x 10 ⁵
domain count	3705449	3 x 10 ²⁰
unique domain	3944166	3.12 x 10 ³⁰

Supplementary Table 4. Candidate eQTL genes and their rank percentile based on the original

QTG-Finder2 and eQTG-Finder.

ID	Name	Type	Evidence	Rank		Total gene in QTL	Rank percentile		Rank improvement
				QTG_Finder2	eQTG_Finder		QTG_Finder2	eQTG_Finder	
AT2G28680	-	eQTG	hypothetical ortholog	190	62	243	78.189	25.514	52.675
AT3G19150	KRP6	eQTG	hypothetical ortholog	264	121	288	91.667	42.014	49.653
AT1G22770	GiGANTEA	eQTG	confirmed/ strong evidence	153	12	285	53.684	4.211	49.474
AT5G23010	MAM1	eQTG	confirmed/ strong evidence	113	12	259	43.629	4.633	38.996
AT3G13460	-	eQTG	hypothetical ortholog	133	25	297	44.781	8.418	36.364
AT5G61590	DEWAX	eQTG	hypothetical	95	42	287	33.101	14.634	18.467
AT5G02830	-	eQTG	hypothetical ortholog	149	108	323	46.130	33.437	12.693
AT3G61890	HB-12	eQTG	hypothetical ortholog	49	9	320	15.313	2.813	12.500
AT4G03050	AOP2	eQTG	confirmed/ strong evidence	26	3	213	12.207	1.408	10.798
AT1G63650	EGL3	eQTG	hypothetical ortholog	36	11	268	13.433	4.104	9.328
AT4G00480	MYC1	eQTG	hypothetical ortholog	28	12	203	13.793	5.911	7.882
AT4G00650	FRI	eQTG	confirmed/ strong evidence	33	22	215	15.349	10.233	5.116
AT5G41315	GL3	eQTG	hypothetical ortholog	20	7	292	6.849	2.397	4.452
AT1G16060	WR13	eQTG	hypothetical ortholog	25	18	313	7.987	5.751	2.236
AT2G47460	MYB12	eQTG	hypothetical ortholog	5	1	217	2.304	0.461	1.843
AT1G66370	MYB113	eQTG	hypothetical ortholog	5	1	234	2.137	0.427	1.709
AT5G23460	-	eQTG	hypothetical	100	100	248	40.323	40.323	0.000
AT5G63470	NF-YC4	eQTG	hypothetical ortholog	19	21	311	6.109	6.752	-0.643
AT2G26330	ERECTA	eQTG	confirmed/ strong evidence	1	7	247	0.405	2.834	-2.429
AT3G26744	ICE1	eQTG	hypothetical	90	98	273	32.967	35.897	-2.930
AT1G22920	CSN5A	eQTG	hypothetical	259	274	289	89.619	94.810	-5.190
AT2G24790	COL3	eQTG	hypothetical ortholog	33	49	245	13.469	20.000	-6.531
AT2G25930	ELF3	eQTG	confirmed/ strong evidence	110	153	250	44.000	61.200	-17.200
AT1G50460	HKL1	eQTG	hypothetical ortholog	9	100	265	3.396	37.736	-34.340
AT3G57480	SAP13	eQTG	hypothetical ortholog	104	264	311	33.441	84.887	-51.447
AT4G15920	SWET17	QTG	n/a	118	68	257	45.914	26.459	19.455
AT5G25980	TGG2	QTG	n/a	36	1	221	16.290	0.452	15.837
AT1G69270	RPK1	QTG	n/a	109	89	257	42.412	34.630	7.782
AT2G25450	GSL-OH	QTG	n/a	18	1	262	6.870	0.382	6.489
AT2G44990	CCD7	QTG	n/a	11	6	321	3.427	1.869	1.558
AT1G27320	HK3	QTG	n/a	1	7	273	0.366	2.564	-2.198
AT2G45650	AGL6	QTG	n/a	10	18	300	3.333	6.000	-2.667
AT5G26000	TGG1	QTG	n/a	18	24	222	8.108	10.811	-2.703
AT5G35750	HK2	QTG	n/a	1	7	142	0.704	4.930	-4.225
AT4G38970	FBA2	QTG	n/a	44	67	285	15.439	23.509	-8.070
AT3G19580	ZF2	QTG	n/a	6	78	277	2.166	28.159	-25.993

Supplementary Table 5. Gene ontology terms enriched in the top 5% genes predicted as causal.

GO ID	Term	Annotated	Significant	Expected	p-value	FDR
GO:0030154	cell differentiation	675	118	37.74	7.50 x 10 ³⁰	4.51 x 10 ²⁶
GO:0006468	protein phosphorylation	1037	141	57.98	3.00 x 10 ²²	9.02 x 10 ¹⁹
GO:0051762	sesquiterpene biosynthetic process	25	19	1.4	1.80 x 10 ¹⁹	3.61 x 10 ¹⁶
GO:0006355	regulation of transcription, DNA-templated...	2053	279	114.78	2.60 x 10 ¹⁹	3.91 x 10 ¹⁶
GO:0007165	signal transduction	1429	167	79.89	7.80 x 10 ¹⁹	9.38 x 10 ¹⁶
GO:0048544	recognition of pollen	43	23	2.4	4.20 x 10 ¹⁸	4.21 x 10 ¹⁵
GO:0045893	positive regulation of transcription, DNA...	509	96	28.46	1.40 x 10 ¹⁶	1.20 x 10 ¹³
GO:0042742	defense response to bacterium	430	69	24.04	1.70 x 10 ¹⁴	1.28 x 10 ¹¹
GO:0009686	gibberellin biosynthetic process	29	14	1.62	3.00 x 10 ¹¹	2.01 x 10 ⁸
GO:0070588	calcium ion transmembrane transport	45	16	2.52	2.70 x 10 ¹⁰	1.62 x 10 ⁷
GO:0050832	defense response to fungus	257	41	14.37	3.20 x 10 ¹⁰	1.63 x 10 ⁷
GO:0009753	response to jasmonic acid	189	37	10.57	3.70 x 10 ¹⁰	1.63 x 10 ⁷
GO:0009611	response to wounding	215	37	12.02	3.70 x 10 ¹⁰	1.63 x 10 ⁷
GO:0016114	terpenoid biosynthetic process	146	49	8.16	3.80 x 10 ¹⁰	1.63 x 10 ⁷
GO:0061408	positive regulation of transcription from...	24	12	1.34	1.30 x 10 ⁹	5.21 x 10 ⁷
GO:0009414	response to water deprivation	381	52	21.3	1.50 x 10 ⁹	5.64 x 10 ⁷
GO:0045087	innate immune response	348	55	19.46	7.00 x 10 ⁹	2.48 x 10 ⁶
GO:0009617	response to bacterium	508	87	28.4	2.90 x 10 ⁸	9.69 x 10 ⁶
GO:0006952	defense response	1046	166	58.48	4.80 x 10 ⁸	1.52 x 10 ⁵
GO:2000652	regulation of secondary cell wall biogenesis	28	13	1.57	5.60 x 10 ⁸	1.68 x 10 ⁵
GO:0045490	pectin catabolic process	96	21	5.37	5.90 x 10 ⁸	1.69 x 10 ⁵

GO:0002229	defense response to oomycetes	76	18	4.25	6.60 x 10 ⁸	1.78 x 10 ⁵
GO:0010200	response to chitin	141	26	7.88	6.80 x 10 ⁸	1.78 x 10 ⁵
GO:0046777	protein autophosphorylation	191	31	10.68	8.40 x 10 ⁸	2.11 x 10 ⁵
GO:0045487	gibberellin catabolic process	7	6	0.39	2.00 x 10 ⁷	4.81 x 10 ⁵
GO:0042545	cell wall modification	168	33	9.39	2.40 x 10 ⁷	5.35 x 10 ⁵
GO:0045944	positive regulation of transcription by ...	220	42	12.3	2.40 x 10 ⁷	5.35 x 10 ⁵
GO:0009735	response to cytokinin	104	20	5.81	2.60 x 10 ⁷	5.59 x 10 ⁵
GO:0080027	response to herbivore	15	8	0.84	4.20 x 10 ⁷	8.71 x 10 ⁵
GO:0019264	glycine biosynthetic process from serine	5	5	0.28	5.40 x 10 ⁷	0.0001
GO:1904482	cellular response to tetrahydrofolate	5	5	0.28	5.40 x 10 ⁷	0.0001
GO:0006565	L-serine catabolic process	5	5	0.28	5.40 x 10 ⁷	0.0001
GO:0045892	negative regulation of transcription, DN...	296	35	16.55	1.00 x 10 ⁶	0.0002
GO:0048481	plant ovule development	52	15	2.91	2.00 x 10 ⁶	0.0004
GO:0009625	response to insect	30	10	1.68	3.10 x 10 ⁶	0.0005
GO:0046655	folic acid metabolic process	6	5	0.34	3.10 x 10 ⁶	0.0005
GO:0009416	response to light stimulus	741	90	41.43	7.80 x 10 ⁶	0.001
GO:0009809	lignin biosynthetic process	49	13	2.74	8.10 x 10 ⁶	0.001
GO:0007166	cell surface receptor signaling pathway	49	13	2.74	9.30 x 10 ⁶	0.001
GO:0010114	response to red light	60	13	3.35	1.50 x 10 ⁵	0.002
GO:0009620	response to fungus	327	57	18.28	1.80 x 10 ⁵	0.003
GO:0005983	starch catabolic process	17	7	0.95	2.00 x 10 ⁵	0.003
GO:0010093	specification of floral organ identity	13	7	0.73	2.10 x 10 ⁵	0.003
GO:0010951	negative regulation of endopeptidase act...	12	6	0.67	2.10 x 10 ⁵	0.003
GO:0009944	polarity specification of adaxial/abaxia...	23	8	1.29	2.10 x 10 ⁵	0.003

GO:0009828	plant-type cell wall loosening	37	10	2.07	2.50×10^5	0.003
GO:0009693	ethylene biosynthetic process	30	9	1.68	2.50×10^5	0.003
GO:0006979	response to oxidative stress	453	57	25.33	4.30×10^5	0.005
GO:0055114	oxidation-reduction process	645	63	36.06	4.40×10^5	0.005
GO:0030574	collagen catabolic process	5	4	0.28	4.60×10^5	0.006
GO:0000266	mitochondrial fission	14	6	0.78	6.10×10^5	0.007
GO:0010087	phloem or xylem histogenesis	101	19	5.65	9.80×10^5	0.011
GO:0080086	stamen filament development	10	5	0.56	0.0001	0.012
GO:0070370	cellular heat acclimation	10	5	0.56	0.0001	0.012
GO:0009957	epidermal cell fate specification	6	4	0.34	0.0001	0.014
GO:0010106	cellular response to iron ion starvation	6	4	0.34	0.0001	0.014
GO:0009651	response to salt stress	461	47	25.77	0.0002	0.016
GO:0097054	L-glutamate biosynthetic process	3	3	0.17	0.0002	0.017
GO:0016099	monoterpeneoid biosynthetic process	3	3	0.17	0.0002	0.017
GO:0009823	cytokinin catabolic process	3	3	0.17	0.0002	0.017
GO:1900386	positive regulation of flavonol biosynth...	3	3	0.17	0.0002	0.017
GO:0010311	lateral root formation	55	11	3.07	0.0002	0.019
GO:0031408	oxylipin biosynthetic process	17	6	0.95	0.0002	0.021
GO:0051301	cell division	258	26	14.42	0.0003	0.025
GO:0006826	iron ion transport	63	12	3.52	0.0003	0.03
GO:0009737	response to abscisic acid	541	55	30.25	0.0004	0.032
GO:0055072	iron ion homeostasis	93	16	5.2	0.0005	0.047

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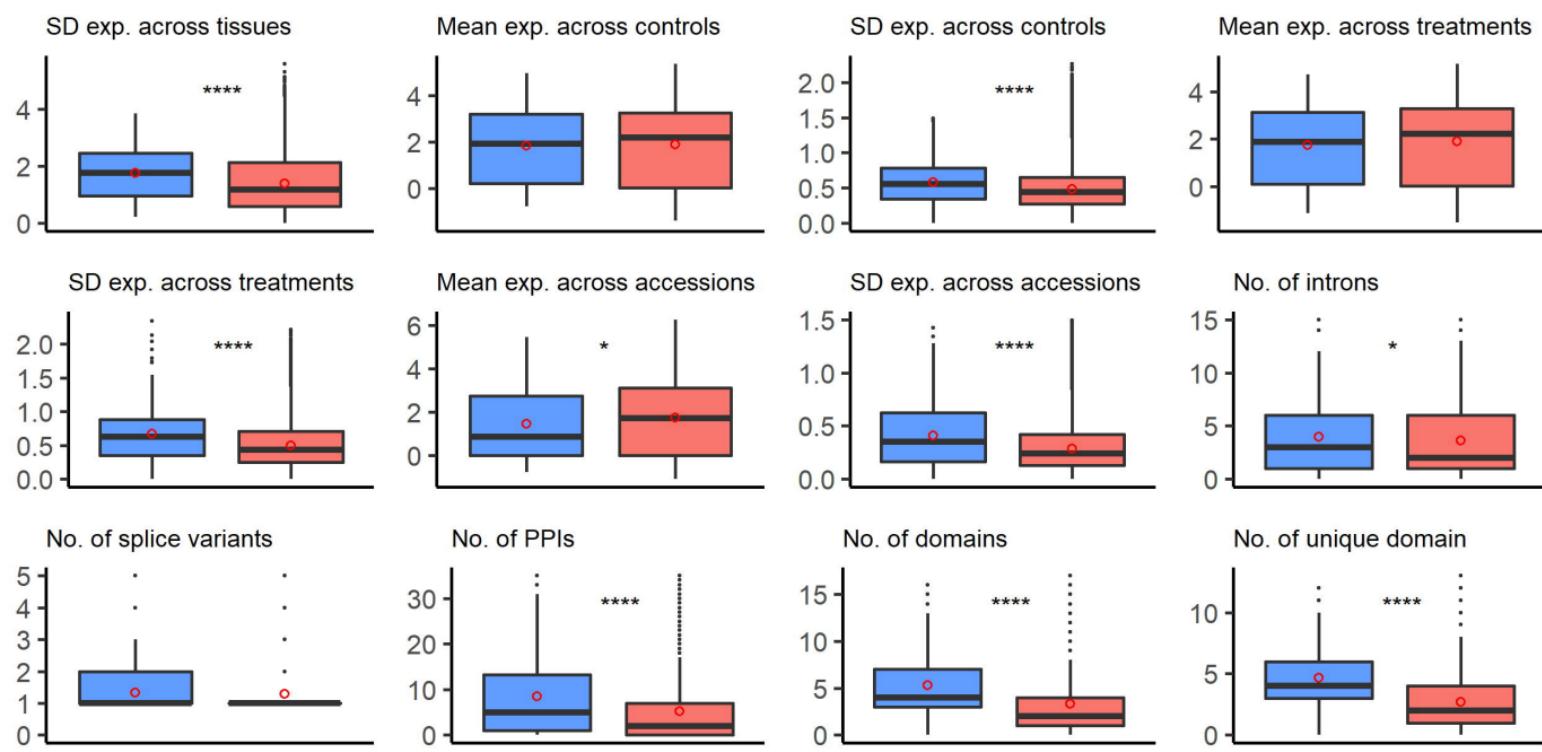
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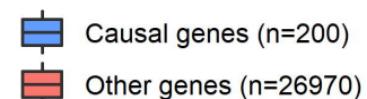
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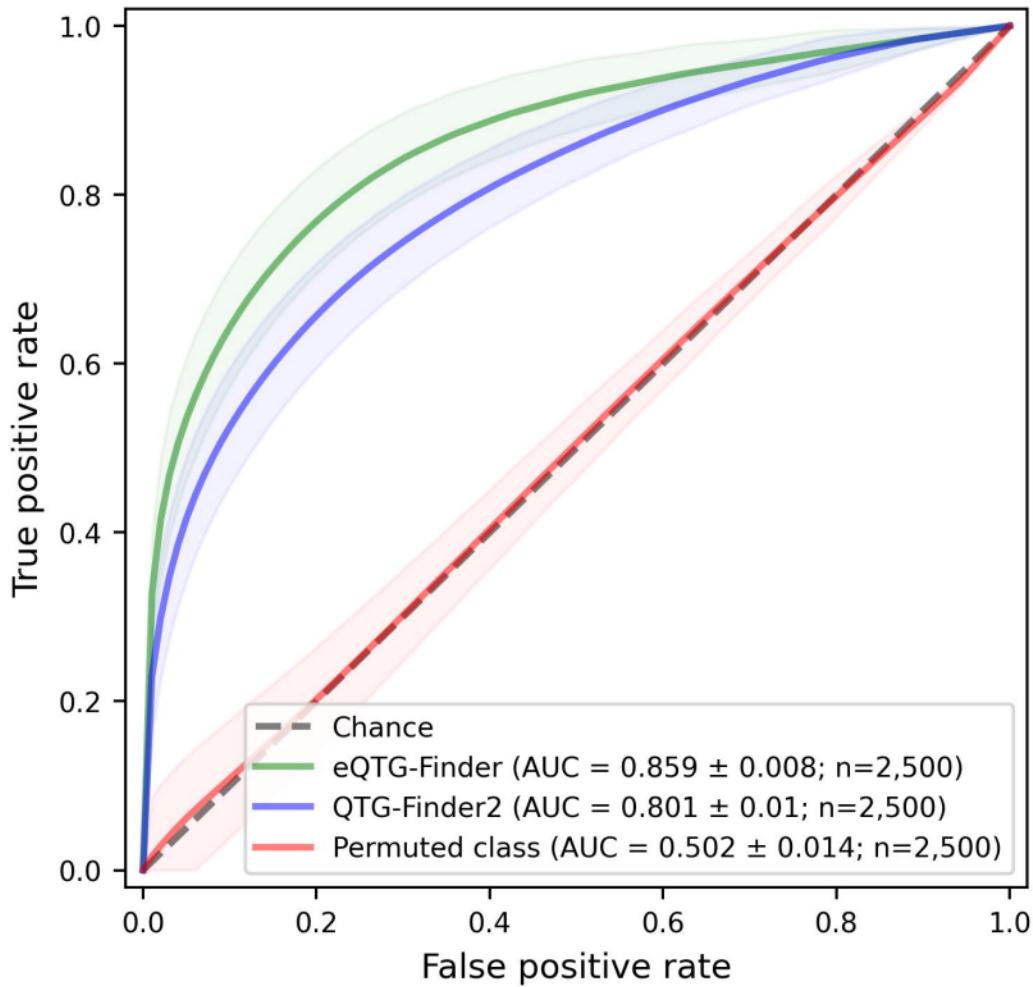
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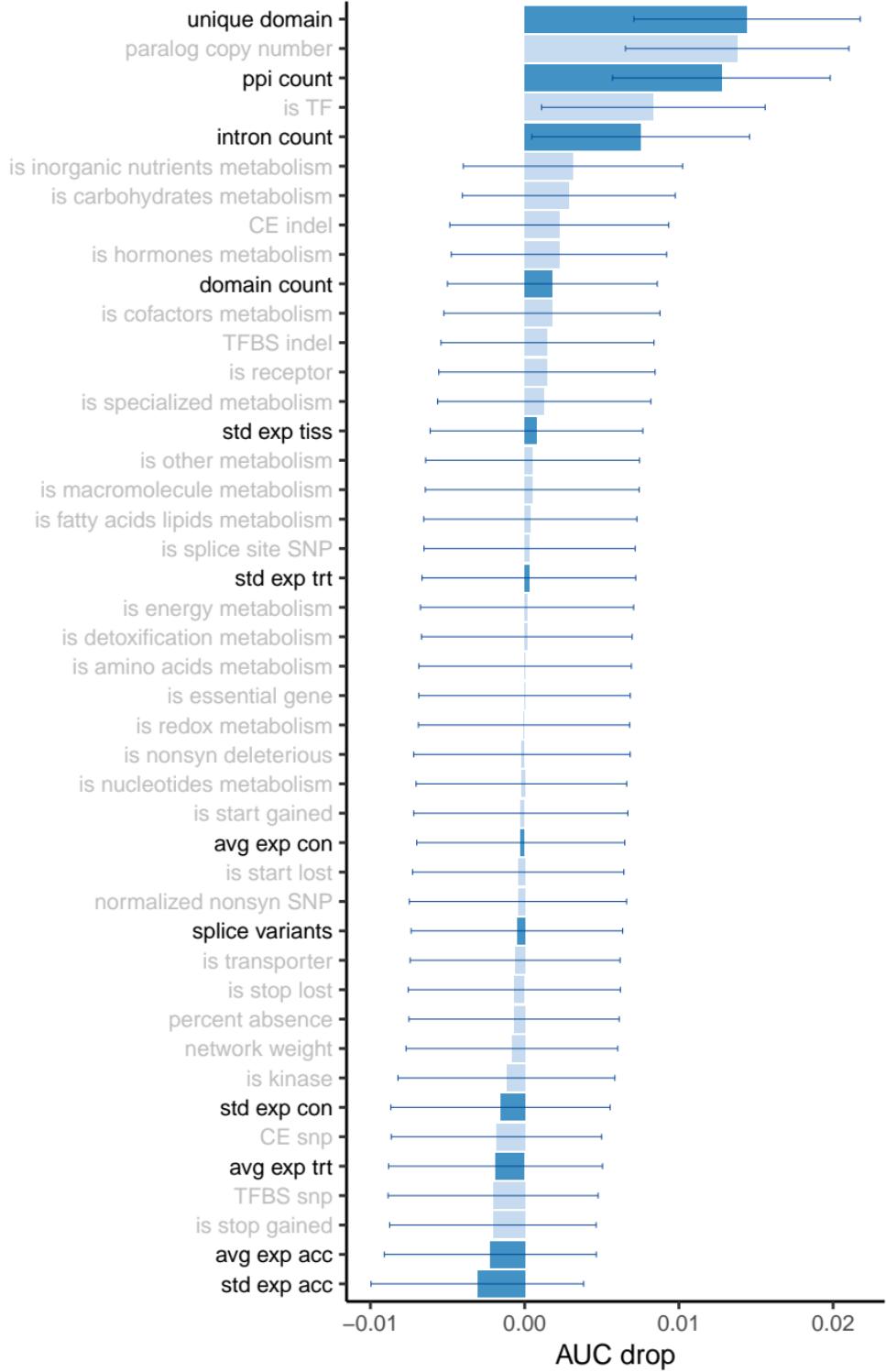


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Feature



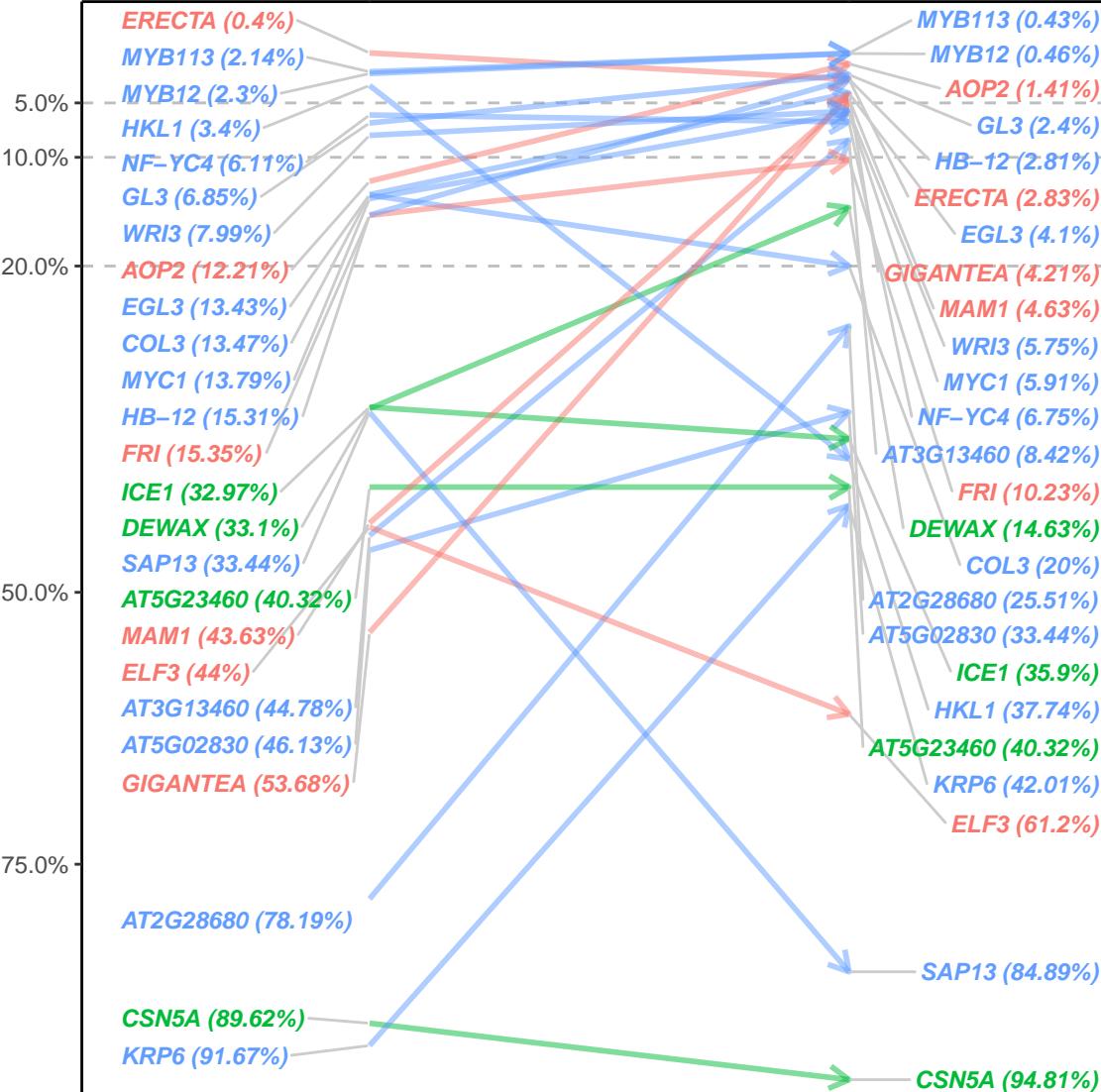
Evidence  confirmed/strong evidence  hypothetical  hypothetical-ortholog

Model

QTG_Finder2

eQTG_Finder

Rank percentile



Evidence  confirmed/strong evidence  hypothetical  hypothetical-ortholog

