

1 **Neuron-specific transcriptomic signatures indicate neuroinflammation and altered**
2 **neuronal activity in ASD temporal cortex**

3

4 Pan Zhang^{1,2,3}, Alicja Omanska^{4,5}, Bradley P. Ander^{5,6}, Michael J. Gandal^{1,2,3*}, Boryana
5 Stamova^{5,6*}, Cynthia M. Schumann^{4,5*}

6

7 **Affiliations:**

8 ¹Department of Psychiatry and Biobehavioral Sciences, David Geffen School of Medicine,
9 University of California, Los Angeles, Los Angeles, CA, USA

10 ²Department of Human Genetics, David Geffen School of Medicine, University of California, Los
11 Angeles, Los Angeles, CA, USA

12 ³Semel Institute for Neuroscience and Human Behavior, University of California, Los Angeles,
13 Los Angeles, CA, USA

14 ⁴Department of Psychiatry and Behavioral Sciences, University of California, Davis, School of
15 Medicine, Sacramento, CA, USA

16 ⁵University of California, Davis, MIND Institute, Sacramento, CA, USA

17 ⁶Department of Neurology, University of California, Davis, School of Medicine, Sacramento, CA,
18 USA

19 * Corresponding/Senior authors: Cynthia M. Schumann (censchumann@ucdavis.edu); Boryana
20 Stamova (bsstamova@ucdavis.edu); Michael J. Gandal (mgandal@mednet.ucla.edu).

21

22 Abstract

23 Autism spectrum disorder (ASD) is a highly heterogeneous disorder, yet transcriptomic profiling
24 of bulk brain tissue has identified substantial convergence among dysregulated genes and
25 pathways in ASD. However, this approach lacks cell-specific resolution. We performed
26 comprehensive transcriptomic analyses on bulk tissue and laser-capture microdissected (LCM)
27 neurons of 59 postmortem human brains (27 ASD and 32 matched controls) in the superior
28 temporal gyrus (STG) ranging from 2-73 years of age. In bulk tissue, synaptic signaling, heat
29 shock protein-related pathways and RNA splicing were significantly altered in ASD. There was
30 age-dependent dysregulation of genes involved in GABA (*GAD1* and *GAD2*) and glutamate
31 (*SLC38A1*) signaling pathways. In LCM neurons, AP-1 mediated neuroinflammation and
32 insulin/IGF-1 signaling pathways were upregulated in ASD, while mitochondrial function,
33 ribosome and spliceosome components were downregulated. GABA synthesizing enzymes
34 *GAD1* and *GAD2* were both downregulated in ASD neurons. Alterations in small nucleolar
35 RNAs (snoRNAs) associated with splicing events suggested interplay between snoRNA
36 dysregulation and splicing disruption in neurons of individuals with ASD. Our findings supported
37 the fundamental hypothesis of altered neuronal communication in ASD, demonstrated that
38 inflammation was elevated at least in part in ASD neurons, and may reveal windows of
39 opportunity for biotherapeutics to target the trajectory of gene expression and clinical
40 manifestation of ASD throughout the human lifespan.

41

42 Introduction

43 Autism spectrum disorder (ASD) defines a heterogeneous set of complex neurodevelopmental
44 disorders affecting 1 in 54 children in the United States according to current estimation (1, 2)

45 and confers lifelong challenges. ASD is characterized by difficulties with social communication
46 as well as a repetitive, restricted repertoire of behaviors and interests (3). Population, family and
47 twin studies all indicate a strong genetic component contributing to risk for ASDs (4, 5), with
48 heritability estimates of ~ 70% (6). However, the genetic causes and pathophysiology of ASD
49 are varied and often complex.

50 Despite this heterogeneity, transcriptomic analyses of postmortem human brain have elucidated
51 substantial convergent molecular-level pathology associated with idiopathic and syndromic forms
52 of ASD (7-13). Multiple studies have profiled the transcriptomes of postmortem brain regions
53 from individuals diagnosed with ASD (7, 8, 11, 14), including the temporal cortex implicated due
54 to its critical importance in speech and language function (7, 8, 11). The most consistent findings
55 include disruption of neuronal/synaptic activity and activation of innate immunity/glial markers (7,
56 8, 11). Dysregulation of alternative splicing and non-coding RNAs has also been shown to be
57 dysregulated in ASD brains (8).

58 Most previous transcriptomic studies, however, profiled homogenate brain tissue and have
59 therefore been unable to pinpoint the underlying specific cell-types in which gene expression is
60 altered. Recently, Velmeshev *et al.* have published the first single-nucleus RNA-sequencing (sn-
61 RNAseq) dataset in postmortem ASD cortex (13), identifying substantial changes in upper-layer
62 excitatory neurons and microglia, consistent with observations from bulk tissue. As such sn-
63 RNAseq datasets currently profile only the 3' end of highly expressed genes within each cell,
64 these data characterize neither lowly expressed coding and non-coding genes, nor splicing
65 alterations that may contribute to altered neuronal function in ASD.

66 Here, we performed the first systematic study using transcriptomic profiling to directly compare
67 both bulk cortical tissue and laser capture microdissected (LCM) neurons from anatomically well-
68 defined superior temporal gyrus (STG) samples from 59 subjects (27 with ASD and 32 age-

69 matched controls) ranging from 2-73 years of age (Figure 1, Supplementary Table 12). The STG
70 modulates language processing and social perception, thereby playing a critical role in integrating
71 a breadth of information to provide meaning to the surrounding world (15). Structural and
72 functional imaging studies have long implicated STG in ASD (15, 16), however molecular-level
73 changes in neurons remain unknown. This study aimed to identify neuron-specific transcriptomic
74 changes in ASD brain by identifying differentially expressed genes, differential splicing events,
75 age-related gene expression changes across the lifespan, as well as co-expression networks to
76 reveal gene modules altered in ASD (Figure 1).

77 **Results**

78 **Global gene expression changes in ASD superior temporal gyrus (STG)**

79 RNA sequencing was performed on bulk tissue STG of 59 human brains, 27 from individuals with
80 ASD and 32 from age-matched neurotypical controls, ranging from 2-73 years of age. Following
81 quality control, we performed a comprehensive characterization of differential gene expression
82 and local splicing alterations in ASD. After adjusting for known covariates and correcting for
83 multiple comparisons, we found 194 differentially expressed genes between individuals with ASD
84 and controls (FDR < 0.05). Of these, 143 were upregulated and 51 were downregulated (Figure
85 2A, Supplementary Table 1), with a median absolute fold change of 1.45 (range 1.11 - 4.04,
86 Figure 2A). We observed significant concordance between our differential gene expression (DGE)
87 results and previous data of the same region from different samples (12) (Supplementary Figure
88 1, Spearman $p = 0.37$ for t statistics, p -value $< 10^{-16}$).

89 Functional and pathway enrichment analyses indicated an over-representation of heat shock
90 proteins (HSPs) and HSP-related chaperones, which were upregulated in ASD subjects. This
91 included HSP70 family members *HSPA1A* and *HSPA1B*; HSP40 family members *DNAJB1* and

92 *DNAJB4*; small HSP20 family members *HSPB1* and *HSPB8*; and HSP-binding chaperons *BAG3*
93 and *PTGES3* (Figure 2C,D). HSPs are involved in stress-response, immune activation and
94 inflammation(17, 18), all of which were upregulated in ASD postmortem brain (7). Downregulated
95 genes were mainly enriched in pathways related to synaptic function (Figure 2D), consistent with
96 previous findings (7). Notably, two important voltage-gated potassium channel-related genes
97 *KCNH3* and *KCNIP1* were among the most downregulated (Figure 2C), which may relate to
98 disrupted neuronal excitability hypothesized in ASD (19, 20).

99 As age-dependent expression alterations have been reported in ASD brain (14), we employed an
100 analytical model accounting for age and the interaction between age and diagnosis. Fourteen
101 genes showed age-dependent DGE between ASD and control (Supplementary Table 2).
102 Interestingly, genes involved in gamma aminobutyric acid (GABA) synthesis (*GAD1* and *GAD2*)
103 (21) were downregulated in ASD only during late adulthood (Figure 2E). This may indicate an
104 age-dependent dysregulation of GABA signaling in ASD neurons, or a decrease in the proportion
105 of GABAergic neurons in ASD brains (22).

106 Differential splicing (DS) events in the bulk tissue transcriptome were evaluated using LeafCutter
107 (26). Among 35,505 intron clusters identified by LeafCutter, 308 clusters (297 unique genes)
108 showed significant DS between ASD patients and controls (FDR < 0.05). The 297 genes did not
109 show significant functional enrichment (Figure 2F, Supplementary Table 5).

110 To place subtle changes across the ASD STG transcriptome into a systems-level context, we
111 performed weighted gene correlation network analysis (WGCNA) to build gene co-expression
112 networks (23), identifying 31 modules of co-expressed genes (Methods; Supplementary Table 3).
113 Seven modules showed a significant association with ASD diagnosis, two of which were strongly
114 enriched for ASD-associated genetic risk factors (Modules Block-M1 and Block-M10, Figure 2B).

115 Module Block-M1 was upregulated in ASD STG and its gene members were enriched in RNA
116 splicing and mRNA metabolic pathways (Supplementary Table 4). Notably, significantly
117 upregulated HSPs were also members of the Block-M1 module (Supplementary Table 3). HSPs
118 contribute to RNA splicing during stress (24). Downregulated modules in ASD were mostly
119 enriched for synaptic functions (Block-M3, Block-M10, Block-M14, Block-M19, Block-M31;
120 Supplementary Table 4). Cell-type enrichment analysis also indicated these downregulated
121 modules were enriched in marker genes for both excitatory and inhibitory neurons (Figure 2B),
122 suggesting a broad disruption of neuronal and synaptic processes in ASD STG.

123 Genes in the upregulated module Block-M1 and one downregulated module (Block-M10) were
124 enriched in high-confidence ASD risk loci (25, 26), mutationally constrained (27) and highly
125 intolerant to mutations ($pLI > 0.99$) genes (28), as well as regulatory target genes of CHD8, which
126 has clear links to at least a subset of ASD cases (29) (Figure 2B). Many hub genes for module
127 Block-M10 encoded synaptic proteins (Supplementary Figure 2, Supplementary Table 3). This
128 module was also enriched for ASD common risk alleles from ASD GWAS data (30). Together
129 this suggested a causal role of synaptic dysfunction in ASD etiology.

130 **Neuron-specific gene expression and splicing alterations in ASD STG**

131 To provide cell-type specificity for the observed transcriptomic changes, we next performed laser
132 capture microdissection to capture neurons using STG sections taken from the same subjects
133 profiled using bulk RNA-seq. We then interrogated ASD-associated gene expression and splicing
134 alterations using the same bioinformatic pipelines as above. Across 13,458 neuron-expressed
135 genes, 83 were significantly differentially expressed between ASD subjects and controls at FDR
136 < 0.05 , of which 52 were upregulated and 31 downregulated (Figure 3A, Supplementary Table 6).
137 Median absolute fold change in expression between subjects with ASD and controls was 2.48
138 (range 1.29 - 9.72; Figure 3A). Surprisingly, concordance of neuronal DGE with bulk tissue DGE

139 was low (Spearman $\rho = 0.18$ for t statistics, Supplementary Figure 3), suggesting our analysis
140 captured ASD signatures unique to neurons.

141 Upregulated genes in ASD neurons were highly enriched in pathways related to growth and
142 differentiation (Figure 3E). Specifically, the AP-1 transcription factor complex components (31)
143 *FOS*, *JUN* and *JUNB* were all up-regulated in ASD neurons, among other growth/differentiation
144 regulators such as *SOX9*, *S1PR1* and *PPP1R16B* (Figure 3D). The AP-1 transcription factor
145 complex is known to regulate a number of downstream biological processes (31). Indeed, we
146 found upregulated B cell signaling adaptor gene *BCL10* and NF κ B inhibitor delta gene *NFKB1D*
147 in ASD neurons (Figure 3D). NF κ B and AP-1 may function together in regulating inflammatory
148 processes (32-34), and up-regulation of both *NFKB1D* and AP-1 point to dysregulated
149 inflammation in ASD neurons. In addition, the inward-rectifier potassium ion channel gene *KCNJ2*
150 was also up-regulated in ASD neurons (Figure 3D). Interestingly, both *KCNJ2* and AP-1 subunit
151 *FOS* were involved in regulating excitability and plasticity at the cholinergic synapse (35-37).

152 Downregulated genes in ASD neurons are primarily enriched in mitochondrial function and
153 oxidoreductase activity (Figure 3E). Specifically, comparing to bulk tissue STG, more subunits of
154 the NADH:ubiquinone oxidoreductase (complex I) were downregulated in neurons, and their
155 effect sizes were larger (Supplementary Figure 4). Indeed, oxidative phosphorylation (OXPHOS)
156 defects have been reported in ASD lymphocytes, muscle, and temporal lobe (38-40). Our results
157 provide evidence that compared to bulk tissue, mitochondrial dysfunction is much more profound
158 in STG neurons.

159 While LCM captured both excitatory and inhibitory neurons, we note that *GAD1* and *GAD2* genes
160 are among the most downregulated in ASD neurons (Figure 3D). The coordinated down-
161 regulation of both GABA synthesizing enzymes suggest that the level of GABA neurotransmitter

162 may be decreased in ASD neurons, providing support to the excitation to inhibition (E/I) imbalance
163 hypothesis of ASD (19, 20, 41).

164 By testing the interaction between diagnosis and age, 3 genes (*HTRA2*, aka *OMI*; *ZNF765*; and
165 *PCDHB18P*) showed age-dependent differential expression in ASD neurons (Supplementary
166 Table 7). For example, age trajectories of serine peptidase *HTRA2* were opposite in ASD brains
167 compared to controls (Figure 3B). In healthy brains, the expression of *HTRA2* was much higher
168 before age 30 and decreases with age, while its expression levels begin lower and increase with
169 age in ASD STG neurons. Attenuated *HTRA2* activity may lead to neuronal cell death, altered
170 chaperon activity and autophagy and has been linked to Parkinson's disease (42). In addition,
171 increased active form of the *OMI/HTRA2* serine protease has been positively correlated with
172 cholinergic alterations in AD brain (43). Thus, it is plausible that the altered expression of *HTRA2*
173 with age we observed in ASD brain may be associated with neuronal alterations during
174 development.

175 We also quantified local splicing events in the neuronal transcriptome. After adjusting for multiple
176 testing, LeafCutter identified 1292 significant differential spliced intron clusters (1177 unique
177 genes) out of 17,250 total intron clusters at FDR < 0.05 (Figure 3C, Supplementary Table 8). No
178 functional enrichment was observed for the 1177 genes. We observed more disruptions in local
179 splicing events in ASD neurons than in bulk tissue (308 DS out of 35505 events in bulk tissue,
180 1292 DS out of 17250 events in neurons; $p < 2 \times 10^{-16}$ test of proportions).

181 **Neuron-specific networks pinpoint subtle changes in the neuronal transcriptome in ASD**

182 Co-expression network analysis on neuronal data identified 18 modules, each containing between
183 101 and 998 co-expressed genes (Supplementary Table 9). Four modules were significantly
184 upregulated in ASD neurons, while one module was downregulated (Figure 4A).

185 The upregulated neu-M5 co-expression module was highly represented by the DGE analysis
186 signal. Upregulated genes *JUN*, *JUNB*, *NFKB1D* were all hub genes of neu-M5 module. Neu-M5
187 module also captured additional AP-1 subunits and interactors, such as *FOSL2* (44) and *IRF3*
188 (34, 45). Neu-M5 module was enriched in immune response pathways, providing further evidence
189 that AP-1 mediated neuroinflammation was elevated in ASD neurons (Figure 4C, Supplementary
190 Table 10). Hubs of Neu-M5 also contained multiple ion channel-related genes, such as sodium
191 ion channel gene *SCN1B*, potassium channel genes *KCNJ2* and *KCNJ10*, and solute carrier gene
192 *SLC40A1* (Figure 4C, Supplementary Table 9). Coordinated upregulation of various ion channels
193 suggested that membrane transport was activated in ASD neurons, consistent with heightened
194 excitability. Neu-M5 was significantly overlapped with module M16 from Voineagu *et al.* (7). M16
195 was also enriched in immune/inflammatory response and was up-regulated in ASD (7). Our data
196 refined our understanding of the neuroinflammatory changes in ASD to include a neuronal
197 component. Additionally, downregulated neu-M17 module was enriched in mitochondrial function
198 and contained most differentially expressed mitochondrial genes, such as ATP synthase subunits
199 *ATP5F1B* and *ATP5PF* (Figure 4F, Supplementary Table 9).

200 Neuronal co-expression networks further captured signals that were not detected by DGE
201 analysis. Neu-M6 module was upregulated in ASD, and among its hub genes were several insulin
202 signaling pathway components, including insulin-like growth factor (IGF) receptor *IGF1R*, IGF
203 binding protein *IGFBP5*, insulin receptor substrate *IRS2* as well as CBL-associated *SORBS1*
204 (Figure 4D, Supplementary Table 9). Insulin signaling is associated with multiple
205 neurodevelopmental disorders, including monogenetic ASD syndromes such as Rett and Phelan-
206 McDermid syndromes (46-49). Our results provided direct molecular-level evidence that insulin
207 signaling was altered in ASD neurons.

208 Among all five significantly disrupted modules, none were enriched for ASD common variants and
209 only one upregulated module (Neu-M16) showed enrichment in highly confident ASD risk genes,

210 as well as in several other curated gene sets (Figure 4A). Neu-M16 was enriched in synaptic
211 functions (Figure 4E, Supplementary Table 10). Further, cell-type analysis showed that Neu-M16
212 was also highly enriched in excitatory neurons (Figure 4B), with *CAMK2A* and *CAMK2B* among
213 its hub genes (Supplementary Table 9). The upregulation of Neu-M16 suggested elevated
214 excitatory signal in ASD neurons.

215 We further tested if significantly disrupted neuronal modules were enriched in any neuron
216 subtypes. Upregulated neuronal modules in ASD were only enriched in excitatory neuron
217 subtypes while enrichment of inhibitory neurons was only observed for downregulated modules
218 (Figure 4B). This provides additional evidence for altered neuronal activity in ASD neurons,
219 consistent with the findings in our DGE analysis.

220 **Small non-coding RNAs are selectively down-regulated in ASD neurons and correlate with
221 altered local splicing**

222 When investigating the genes downregulated in ASD neurons more closely, we noticed a striking
223 pattern that, 51 out of the 59 neuron-expressed small nucleolar RNA (snoRNA) (50) genes were
224 down-regulated in ASD neurons, and 13 were significantly down-regulated at $p\text{-value} < 0.05$
225 (Figure 5A, Supplementary Table 6). Dysregulation of snoRNAs was not observed in bulk tissue
226 (Supplementary Table 1), and snoRNAs were undetectable in a recent ASD single-cell study (13).
227 snoRNAs are involved in the modification and maturation of ribosomal RNAs (rRNAs) and small
228 nuclear RNAs (snRNAs) (51). Interestingly, both ribosome and spliceosome components were
229 among the most downregulated in ASD neurons (Figure 3E). Moreover, snRNAs were also
230 downregulated in ASD neurons, with 23 out of 24 snRNA genes down-regulated in ASD and 13
231 significantly down-regulated at $p\text{-value} < 0.05$ (Supplementary Figure 5, Supplementary Table 6).

232 As snoRNAs and snRNAs are known to be critical regulators of alternative splicing (52-55), and
233 splicing alterations are strongly implicated in ASD and observed in LCM-captured neurons, we
234 next examined alterations in splicing events that may be associated with snoRNA dysregulation.
235 We calculated the correlation between snoRNA expression level and each local splicing event in
236 neuronal data, followed by FDR correction for multiple comparisons. We identified 835 gene loci
237 in neurons with at least one intron whose percent spliced in (PSI) was significantly correlated with
238 snoRNA gene expression (Supplementary Table 11). Of these 835 intron clusters, 196 were
239 significantly dysregulated in ASD neurons (Supplementary Table 11). Several intron clusters
240 correlated with multiple snoRNAs and corresponded to genes involved in synaptic functions
241 (Figure 5B). For example, *GOT1* encodes the glutamic-oxaloacetic transaminase known to
242 function as an important regulator of glutamate level (56). PSI of intron 5 of *GOT1* was highly
243 correlated with the expression level of multiple snoRNA genes (Figure 5C). *GOT1* intron 5 was
244 also differentially spliced between ASD and control (Figure 5D). Differential splicing of *GOT1*
245 gene may change the level of glutamate, and thus leads to an imbalance of E/I in neuronal
246 communication in ASD neurons.

247 **Discussion**

248 Altered neuronal processes and synaptic function are consistent findings in transcriptomic
249 analyses of ASD brain (7, 8, 11). However, transcriptomic studies of autistic brains are mostly
250 limited to bulk tissue (7, 8, 11). Cell-type-specific molecular alterations in neurons are still largely
251 unexplored. A recent single-cell RNA-seq study sheds light on the cell-type-specific transcriptomic
252 changes in ASD (13), however, due to technology limitations, single-cell studies currently cannot
253 reliably quantify low-expressed genes and local splicing events. Thus, in this study, we performed
254 comprehensive analyses of gene expression and alternative splicing by short-read paired-end

255 RNA-seq on both bulk tissue and LCM-isolated neurons from the STG brain region in a cohort of
256 59 human brains ranging from 2-73 years of age.

257 **Bulk tissue transcriptome findings reveal downregulated neuronal and synaptic function**
258 **processes, upregulation of heat shock proteins, and unfolded protein response in ASD**
259 **temporal cortex**

260 Our bulk tissue analyses revealed a potential causal role of downregulated neuronal processes
261 and synaptic functions in ASD etiology, consistent with findings from previous bulk-tissue
262 transcriptomic studies on the same brain region (7). Previous studies also reported dysregulated
263 alternative splicing events in ASD brain (8, 57). Differential splicing analysis in bulk STG found
264 several synaptic genes, including *CANCA2D1*, *CAMK4*, *CLASP2*, *CNTNAP1*, *EPHB1*, *KALRN*,
265 *NRXN3*, *SOS2* and *SYNGAP1*, differentially spliced in ASD. *SYNGAP1* isoforms have been
266 shown to differentially regulate synaptic plasticity and dendritic development (58). This further
267 signifies the importance of studying alternatively spliced isoforms in ASD brain.

268 We also observed a coordinated upregulation of multiple HSPs and HSP-related chaperones in
269 ASD STG. HSPs can serve as activators and regulators of the immune system (18), and
270 upregulated HSPs may induce immune responses in ASD brain. They also play a role in
271 facilitating alternative RNA splicing (24). Previous studies found that both immune response and
272 RNA splicing are upregulated in ASD brain (7, 8, 11), and our results signify that upregulated
273 HSP-related pathways are a potential contributor to these observations. HSPs and HSP-related
274 chaperones are normally induced in response to stress. The upregulation of HSPs in ASD
275 neurons may relate to elevated endoplasmic reticulum (ER) stress since both unfolded protein
276 response (UPR) and apoptosis are also upregulated in our ASD bulk data (Figure 2D). ASD-linked
277 rare or *de novo* mutations in synaptic genes can lead to misfolded proteins and cause ER stress
278 (59), itself coupled to heightened inflammation and neurotoxic cell death (60). ER stress-related

279 genes are also dysregulated in the middle frontal cortex of subjects with ASD (61). Our data
280 suggest that ER stress serves as a major response to ASD genetic mutations, and ER stress
281 activates UPR, including the production of HSPs and chaperones. UPR further induces multiple
282 downstream processes such as inflammation and immune response (62). Limiting the effect of
283 ER stress and UPR may be a promising therapeutic avenue for ASD.

284 **ASD neuronal transcriptome reveals upregulated neuroinflammation and altered**
285 **neuronal activity**

286 We observed a strong upregulation of AP-1 transcription factor components in ASD neurons. AP-
287 1 subunits *FOS*, *JUN* and *JUNB* were upregulated at FDR < 0.05, and *FOSL2* was upregulated
288 at nominal p < 0.05. AP-1 regulated gene expression in response to a variety of stimuli, including
289 cytokines, growth factors, stress signals, infections and inflammation/neuroinflammation (31). In
290 ASD neurons, it is likely that AP-1 activation induces broad inflammatory response, since several
291 immune and inflammation-related genes were also strongly upregulated. These included *NFKB1D*
292 and *BCL10*, both of which were involved in the NF- κ B pathway and were upregulated at FDR <
293 0.05. In addition, the interferon regulatory factor *IRF3* is upregulated at p < 0.05, and *IRF3* is co-
294 expressed with AP-1 subunits. The simultaneous upregulation of AP-1 subunits, NF κ B-related
295 genes and interferon regulatory factors suggested that immune and inflammation responses were
296 activated in ASD neurons. Upregulated immune response and neuroinflammation have been
297 consistently observed in ASD patients by bulk tissue transcriptomic studies largely implicating
298 glial cells (7, 8). However, our results demonstrated that immune/neuroinflammatory response
299 was clearly activated in ASD neurons, and may be mediated by transcription factor AP-1. In
300 addition, analysis of the potential upstream regulators of the observed changes in the ASD
301 neuronal transcriptome with Ingenuity Pathway Analysis predicted their activation. This includes

302 MTORC2 member *R/CTOR*, growth factors *FGF2* and *BMP4*, and *OSM* cytokine, most of which
303 were implicated in ASD (63).

304 We also observed strong downregulation in ASD STG neurons of *GAD1* and *GAD2* genes,
305 involved in the biosynthesis of the inhibitory neurotransmitter GABA. In contrast, *CAMK2A* and
306 *CAMK2B* genes, which are essential for aspects of plasticity at glutamatergic excitatory synapses,
307 are upregulated at nominal significance. In addition, co-expressed gene modules that were
308 upregulated in ASD neurons were mainly enriched in excitatory neurons, while the downregulated
309 module was primarily enriched in inhibitory neurons. These data provided further support for the
310 hypothesis that ASD reflects imbalance of E/I in neuronal communication, also reported in several
311 brain regions in ASD (19, 20, 41). To our knowledge this is the first report providing molecular-
312 level evidence for imbalance of E/I in neuronal communication specifically in STG neurons in ASD.

313 Multiple insulin signaling pathway components, such as *IGFBP5*, *IRS2* and *SORBS1*, are
314 coordinately upregulated in ASD neurons. Insulin signaling pathway is implicated in several
315 neurodevelopmental disorders, likely due to its role in protein homeostasis and synaptic plasticity
316 (46-49). Although the insulin-like peptide IGF-1 is currently in clinical trial for ASD, the direction
317 of change in ASD brain is still controversial (49). In our data, the expression level of IGF-1 is
318 downregulated in ASD neurons at nominal significance; upregulation of the aforementioned
319 insulin signaling pathway components may reflect a compensatory reaction to the lack of IGF-1
320 ligand.

321 Future studies will focus on the role of snoRNAs in ASD neurons, as well as other long and small
322 modulatory non-coding RNAs. Given the emerging role of snoRNAs as alternative splicing
323 regulators (54, 55), we hypothesize that a coordinated downregulation of multiple snoRNAs
324 correlates with elevated dysregulation of local splicing events in ASD neurons. Our data provide
325 evidence supporting this hypothesis, however no causal relationship can be determined. It will be

326 critical to determine if snoRNA dysregulation plays a causal role in ASD etiology, and if so,
327 pinpoint the underlying mechanism and possibly relate to transcript isoforms driving ASD brain
328 and neuronal phenotype.

329 **Age-associated differential expression in STG points to altered neuronal activity in ASD**

330 Age-dependent gene expression changes have been observed in the ASD brains (64, 65). We
331 previously reported age-dependent miRNA alterations in the superior temporal sulcus (STS) and
332 adjacent primary auditory cortex (PAC) (64). In this study, a number of genes show varying age
333 trajectories in ASD bulk tissue and isolated neurons.

334 In bulk tissue, genes involved in GABAergic signaling (*GAD1* and *GAD2*) were upregulated with
335 age in controls, while downregulated with age in ASD. Multiple lines of evidence have pointed to
336 reduced neuronal inhibitory signal as a hallmark of ASD, including decreased number of
337 GABAergic interneuron (especially Parvalbumin neurons) (66) and reduced density of GABA
338 receptors (67-70). These cellular phenotypes are mainly observed in adults. Our findings
339 indicated that the reduction of *GAD1* and *GAD2* mRNA levels in ASD brain became more
340 profound with increasing age, consistent with the observations at cellular level. In addition,
341 *SLC38A1*, involved in neurotransmission at glutaminergic and GABAergic synapses (71), was
342 downregulated in ASD relative to control STG. *SLC38A1* is implicated in Rett Syndrome (72) and
343 mitochondrial disorders, and its decrease may contribute to the observed alterations in synapse
344 formation and neural connectivity.

345 In LCM neurons, the expression of *HTRA2* was higher below age 30 and decreases with age in
346 control neurons, while lower at younger ages and increasing with age in ASD neurons. *HTRA2* is
347 important in maintaining mitochondrial homeostasis (73) and inducing apoptosis. It is implicated
348 in pathogenesis of neurodegeneration, hypoxic-ischemic damage, and is proposed as a potential
349 treatment target in neurological diseases (74). These findings further support the hypothesis of

350 altered neuronal E/I activity, neuroinflammation, cell death, and mitochondrial dysfunction,
351 implicated in ASD (75) and suggest treatment windows to target specific genes to alter their
352 expression trajectories with age.

353 **Closing**

354 Our study examined both bulk cortical tissue and isolated neurons from STG of autism and
355 control brain. We found expression patterns in neurons that are not detectable when aggregate
356 transcriptomes of multiple cells are profiled. In both cases, transcriptomic evidence suggested
357 alteration in E/I balance could contribute to asynchronous activity in the brain which may be a
358 contributing factor to autism in early development. Neuronal transcriptomes revealed further and
359 more specific evidence of divergent activity and molecular signaling pathways. Future studies
360 will need to examine the roles of other cell types in the brain and their contribution to ASD
361 phenotype. As research into ASD continues to focus on more precise data, it is apparent that
362 the complexity of factors that produce an ASD phenotype are slowly becoming clearer. As
363 recent technologies are applied to the valuable collections of banked brain tissue, better
364 definitions of specific subsets of ASD will become possible.

365 **Figure Legend**

366 **Figure 1 Overview of experiment design and data analysis pipeline**

367 **Figure 2 Transcriptomic difference between ASD cases and controls in bulk tissue STG.**

368 **A**, Distribution of fold-change of differential expression for 194 differentially expressed genes.
369 Case:control fold-changes for upregulated genes are plotted in gold (N = 143, positive values)
370 and control:case fold-changes for downregulated genes in blue (N = 51, negative values). **B**, Co-
371 expressed gene modules that were significantly disrupted in ASD. Modules were hierarchically

372 clustered by module eigengene. Module-diagnosis associations were shown on the right of each
373 module (*FDR < 0.05). Additional enrichment analyses were also shown for each module,
374 including: Enrichment for ASD GWAS common variants (30)(*FDR < 0.1); Enrichment for major
375 CNS cell types(76)(*FDR < 0.05); Enrichment against literature-curated gene lists (*FDR < 0.05)
376 including pre- and postsynaptic marker genes (77), genes with likely-gene-disruption (LGD) or
377 LGD plus missense de novo mutations(DNMs) found in patients with neurodevelopmental
378 disorders(78), genes with probability of loss-of-function intolerance (pLI) > 0.99 as reported by
379 the Exome Aggregation Consortium (28), mutationally constrained genes(27), vulnerable ASD
380 genes(79), CHD8 targets(29), FMRP targets (80), syndromic and highly ranked (1 and 2) genes
381 from SFARI Gene database. Abbreviations: Per, pericytes; OPC, oligodendrocyte progenitor cells;
382 InNeu, inhibitory neuron; ExcNeu, excitatory neuron; Oligo, oligodendrocytes; Endo, endothelial
383 cells; Astro, astrocytes. **C**, Volcano plot showing significantly up- (gold) and down-regulated (blue)
384 genes (FDR < 0.05). Genes discussed in the main text are colored red. **D**, Functional enrichment
385 of differentially expressed genes in ASD cases compared to controls. Top ten significantly
386 enriched up- and down-regulated categories were shown. Categories were ranked by normalized
387 enrichment score (NES), and NES for down-regulated categories were set to negative for
388 displaying purpose solely. The color of each dot reflects FDR-corrected q-value, and the size of
389 each dot reflects the number of overlapped genes between our gene list and the corresponding
390 GO category. **E**, Age trajectory of GAD1 (left) and GAD2 (right) gene expression, stratified by
391 ASD diagnosis. **F**, Quantile-quantile plot of observed p-values vs expected p-values for
392 differentially spliced intron clusters. Significant DS events (FDR < 0.05) were colored red, and
393 overlapping gene names were labeled for top clusters.

394 **Figure 3 Differential gene expression and differential splicing between ASD cases and**
395 **controls in LCM neurons from STG.**

396 **A**, Distribution of fold-change of differential expression for 83 differentially expressed genes.
397 Case:control fold-changes for upregulated genes are plotted in gold (N = 52, positive values) and
398 control:case fold-changes for downregulated genes in blue (N = 31, negative values). **B**, Age
399 trajectory of HTRA2 gene expression, stratified by ASD diagnosis. **C**, Quantile-quantile plot of
400 observed p-values vs expected p-values for differentially spliced intron clusters. Significant DS
401 events (FDR < 0.05) were colored red, and overlapping gene names were labeled for top clusters.
402 DS results from bulk tissue were also plotted for comparison. **D**, Volcano plot showing up- (gold)
403 and down-regulated (blue) genes. Genes discussed in the main text are colored red. **E**, Functional
404 enrichment of differentially expressed genes in ASD cases compared to controls. Top ten
405 significantly enriched up- and down-regulated categories are shown. Categories were ranked by
406 normalized enrichment score (NES), and NES for down-regulated categories were set to negative
407 for display purposes solely. The color of each dot reflects FDR-corrected q-value, and the size of
408 each dot reflects the number of overlapped genes between our gene list and the corresponding
409 GO category.

410 **Figure 4 Gene co-expression network analysis of the ASD neuronal transcriptome.**

411 **A**, Hierarchical clustering of neuronal gene co-expression modules by module eigengenes.
412 Module-diagnosis associations were shown below each module. Enrichment for ASD GWAS
413 common variants is shown for each module. Enrichment against literature-curated gene lists is
414 shown on the bottom. **B**, Module enrichment for neuron subtypes. Expression profiles of neuron
415 subtypes were obtained from ref. (76). Red asterisks indicate significant enrichment. **C-F**,
416 Functional enrichment (top panel) and top 50 hub genes (bottom panel) for module neu-M5 (C),
417 neu-M6 (D), neu-M16 (E) and neu-M17 (F). Edges represent co-expression (Pearson correlation >
418 0.5). Co-expressed partners with evidence of protein-protein interaction were connected by solid

419 black lines. PPI data was compiled from well-characterized PPI databases, including Bioplex,
420 HPRD, Inweb, HINT, Biogrid, GeneMANIA, STRING and CORUM. Only physical interactions and
421 co-complex associations were kept.

422 **Figure 5 Coordinated dysregulation of snoRNAs in ASD neurons.**

423 **A**, Volcano plot showing differentially expressed genes in ASD neurons compared to control.
424 snoRNA genes were colored red. **B**, Significant correlation between snoRNA expression (x-axis)
425 and intron PSI (y-axis). Introns are labeled with the name of overlapping gene locus. Gene loci
426 that are correlated with more than 3 snoRNAs were shown. **C**, Scatter plot showing the correlation
427 between GOT1 intron 5 PSI and expression levels of multiple snoRNAs across all neuron samples.
428 Also shown were fitted regression lines with 95% confidence intervals. Intron coordinates were
429 based on GRCh37. **D**, PSI of GOT1 intron 5 is downregulated in ASD neurons.

430 **Supplementary Figure 1**

431 Binned density scatter plot comparing the t-statistics for case versus control differential
432 expression between this study and another study (De Bree *et al.*, unpublished) comparing gene
433 expression between ASD and controls in BA41, BA42, and BA22 bulk tissues; correlation
434 between the statistics is 0.37 ($P < 10^{-16}$).

435 **Supplementary Figure 2**

436 Representative genes in module Block-M10. Known ASD risk genes were colored red. Synaptic
437 genes that are intolerant to LOF mutation were colored pink. Edges represent co-expression.

438 **Supplementary Figure 3**

439 Binned density scatter plot comparing the t-statistics for case versus control differential
440 expression between neurons and bulk tissue; correlation between the statistics is 0.18 ($P < 10^{-16}$).

441 **Supplementary Figure 4**

442 Fold changes (ASD vs. CTL) of NADH:ubiquinone oxidoreductase (complex I) subunits in block
443 tissue (red) and neurons (green).

444 **Supplementary Figure 5**

445 Volcano plot showing differentially expressed genes in ASD neurons compared to control. snRNA
446 genes were colored red.

447 **Supplementary Table 1**

448 DGE summary statistics for block tissue

449 **Supplementary Table 2**

450 DGE summary statistics for age-diagnosis-interaction in block tissue

451 **Supplementary Table 3**

452 Gene co-expression network module membership for block tissue

453 **Supplementary Table 4**

454 Gene co-expression network module functional enrichment for block tissue

455 **Supplementary Table 5**

456 DS summary statistics for block tissue

457 **Supplementary Table 6**

458 DGE summary statistics for LCM neuron

459 **Supplementary Table 7**

460 DGE summary statistics for age-diagnosis-interaction in LCM neuron

461 **Supplementary Table 8**

462 DS summary statistics for LCM neuron

463 **Supplementary Table 9**

464 Gene co-expression network module membership for LCM neuron

465 **Supplementary Table 10**

466 Gene co-expression network module functional enrichment for LCM neuron

467 **Supplementary Table 11**

468 Significant correlations between snoRNA gene expression and local splicing events

469 **Supplementary Table 12**

470 Donor information

471 **Methods**

472 **Block tissue RNA extraction and library preparation**

473 Human brain tissue was collected, sectioned coronally and flash frozen. STG from 32 controls

474 and 27 ASD cases (2-73 years old) was identified anatomically according to "Atlas of the Human

475 Brain" 4th edition (Maj, Majtanik, Paxinos 2015). Brain tissue (18-25 mg) was excised from the

476 STG and put directly into 600 μ l of Tri Reagent lysis buffer. Total RNA was extracted using the
477 Direct-zol RNA MiniPrep (Zymo Research #R2051) following manufacturer's protocol, with the
478 inclusion of DNase I treatment and eluted in DNase/RNase-free water. Quality and quantity of
479 RNA were determined via RNA 6000 Nano chip on 2100 Bioanalyzer (Agilent), NanoDrop 2000
480 spectrophotometer (ThermoFisher Scientific), and Qubit fluorometer (ThermoFisher Scientific).

481 From each of the 48 STG samples, 50 ng of RNA were used to create strand-specific total RNA
482 libraries with the NuGEN Ovation Universal RNA-Seq System v2 and processed in parallel on the
483 Sciclone NGS automated workstation (Perkin Elmer) according to manufacturer protocol.
484 Following second-strand cDNA synthesis, samples were sheared by sonication on the Covaris
485 E220. InDA-C (aka AnyDeplete) primers were used to target and cleave adapters from rRNA
486 transcripts before amplification of libraries through 16 cycles of PCR. Final barcoded libraries
487 were bead purified and examined for QC using 2100 BioAnalyzer DNA High Sensitivity chips.
488 Library concentration was calculated based on fragment size and normalized to 15 nM for
489 sequencing.

490 **Laser capture microdissection, RNA extraction and library preparation**

491 Fresh-frozen STG tissue samples from 22 controls and 18 ASD cases (8-73 years old) were
492 carefully dissected and embedded in OCT compound. The specimens were sectioned on a
493 Microm HM550 cryostat (Thermo Scientific) at 12 μ m and mounted on PEN membrane slides
494 (ThermoFisher Scientific #LCM0522). Sections were hydrated with an ice-chilled ethanol series
495 (100%, 75%, 50%) for 2 min each followed by HistoGene staining solution (ThermoFisher
496 Scientific #KIT0415) for 30 seconds, 2 rinses in nuclease-free water, and alcohol dehydration
497 (50%, 75%, 95%, 100% with molecular sieves). Slides were air dried and maintained on dry ice
498 until laser capture microdissection.

499 Using a Leica LMD-6000 laser capture microdissection system, 100 neurons from each sample
500 were laser captured directly into lysis buffer (PicoPure RNA Isolation Kit, ThermoFisher Scientific
501 KIT0204). RNA was extracted using the PicoPure total RNA kit with inclusion of DNase I digest
502 according to manufacturer protocol.

503 Strand-specific rRNA depleted RNA libraries were prepared from 10 µl of the final neuronal RNA
504 eluate using the NuGEN Ovation SoLo Kit (NuGen #0500) for ultra-low input following
505 manufacturer protocol with final amplification of 18 PCR cycles. Barcoded bead purified libraries
506 were examined for QC using Qubit Fluorometer (ThermoFisher Scientific) and 2100 BioAnalyzer
507 DNA High Sensitivity chips. Library concentration was calculated based on fragment size and
508 normalized to 15 nM for sequencing.

509 **RNA sequencing**

510 Library concentrations were confirmed with qPCR and pooled before RNA-Seq was performed
511 on Illumina HiSeq4000 at the Vincent J. Coates Genomics Sequencing Laboratory at the
512 California Institute for Quantitative Biosciences (QB3) at University of California, Berkeley.
513 Libraries from LCM samples and STG blocks were sequenced to about 50 million 2x150bp reads
514 per sample. For libraries prepared with the NuGEN Ovation SoLo kit a Custom R1 primer
515 (NuGEN) was used in place of the standard Illumina forward read primer.

516 **Mapping, quantification of gene expression, and QC**

517 RNA-seq reads were aligned to the GRCH37.p13 (hg19) reference genome via STAR (2.7.2a)
518 using comprehensive gene annotations from GENCODE (v29 lifted over to hg19). Gene-level
519 quantifications were calculated using featureCounts (v1.6.4), considering only uniquely-mapped
520 reads. Quality control metrics were calculated using PicardTools (v2.21.2).

521

522 Gene-level counts were compiled and imported into R for downstream analyses. Expressed
523 genes were defined as genes with non-zero count in at least 80% of samples. A total of 22,729
524 and 13,458 expressed genes from block tissue and LCM neurons, respectively, were used in the
525 downstream analysis. Sample outliers were defined as samples with standardized sample
526 network connectivity Z scores < -2 (81), and were removed.

527

528 A set of 105 RNA-Seq quality control metrics from the outputs of PicardTools
529 (CollectAlignmentSummaryMetrics, CollectInsertSizeMetrics, CollectRnaSeqMetrics,
530 CollectGcBiasMetrics, MarkDuplicates) were compiled for each group of samples (block tissue
531 and LCM neurons). These measures were summarized by the top principal components (termed
532 seqPCs), which explained a significant portion of the total variance of each dataset. These
533 seqPCs were used as potential covariates for downstream analysis.

534 **Differential gene expression**

535 Differential Gene Expression (DGE) analyses were performed using DESeq2 (1.22.2)(82) with
536 default parameters. For block tissue data, diagnosis, sex, age, RNA integrity number (RIN),
537 absorbance 260/280 ratio (A260/280) and top 3 seqPCs were used as covariates. For neuron
538 data, diagnosis, sex, age, RNA library batch and top 3 seqPCs were used as covariates. To
539 identify age-dependent differential expression, an interaction term between age and diagnosis
540 was added to the above DESeq2 models.

541 **Differential alternative splicing**

542 Local splicing analysis was performed using LeafCutter(83) as previously described(10). In brief,
543 Clusters of variable spliced introns across all samples were called first. Then differential splicing
544 between ASD and control group was identified in each data set (bulk tissue and neuron) by jointly

545 modeling intron clusters using the Dirichlet-Multinomial generalized linear model (GLM). We
546 controlled for the same covariates as above in the DGE analysis.

547 Intron clusters were first filtered to only keep clusters supported by at least 50 split reads across
548 all samples, retaining introns of up to 100 kb and accounting for at least 1% of the total number
549 of reads in the entire cluster. This intron count file was then used in the differential splicing (DS)
550 analysis. For DS analysis, we further discarded introns that were not supported by at least one
551 read in 5 or more samples. Clusters were then analyzed for DS if at least 3 samples in each
552 comparison group (i.e. ASD or controls) had an overall coverage of 20 or more reads. P-values
553 were corrected for multiple testing using the Benjamini-Hochberg (BH) method and used to select
554 clusters with significant splicing differences (FDR < 0.1).

555 **Co-expression network analysis**

556 Weighted gene co-expression network analysis (WGCNA)(23) defined modules of co-expressed
557 genes from RNA-seq data. All covariates except for ASD diagnosis, sex and age were first
558 regressed out from the expression datasets. The co-expression networks and modules were
559 estimated using the blockwiseModules function with the following parameters: corType=bicorr;
560 networkType=signed; pamRespectsDendro=F; mergeCutHeight=0.1, power=8, deepSplit=2,
561 minModuleSize=40. Module eigengene/genotype associations were calculated using a linear
562 model. Significance p-values were FDR-corrected to account for multiple comparisons. Genes
563 within each module were prioritized based on their module membership (kME), defined as
564 correlation to the module eigengene. For selected modules, the top hub genes were shown.

565 **Functional enrichment analysis**

566 For co-expressed gene modules, enrichment for Gene Ontology (GO; Biological Process and
567 Molecular Function) was performed using gProfileR R package(84). Background was restricted

568 to the expressed set of genes. An ordered query was used, ranking genes by kME for WGCNA
569 analyses.

570

571 For DGE, GO enrichment was performed using the GSEA algorithm as implemented in the
572 clusterProfiler R package(85). All genes were ranked by log2 fold change.

573

574 Enrichment analyses were also performed using several established, hypothesis-driven gene sets
575 including pre- and postsynaptic marker genes (77), genes with likely-gene-disruption (LGD) or
576 LGD plus missense de novo mutations(DNMs) found in patients with neurodevelopmental
577 disorders(78), genes with probability of loss-of-function intolerance (pLI) > 0.99 as reported by
578 the Exome Aggregation Consortium (28), mutationally constrained genes(27), vulnerable ASD
579 genes(79), CHD8 targets(29), FMRP targets (80), syndromic and highly ranked (1 and 2) genes
580 from SFARI Gene database. Statistical enrichment analyses were performed using permutation
581 test. One thousand simulated lists with similar number of genes, gene length distribution and GC-
582 content distribution as the target gene list were generated, and the overlaps between each of the
583 simulated list and the hypothesis-driven gene sets were calculated to form the null distribution.
584 Significance p-value was calculated by comparing the actual overlap between target list and
585 hypothesis-driven gene sets to the null distribution. All results were FDR-corrected for multiple
586 comparisons.

587 **Ingenuity pathway analysis**

588 We performed Ingenuity Pathway Analysis (IPA®, QIAGEN) to identify significantly over-
589 represented pathways and to determine if they are activated or inhibited in ASD brain compared
590 to control brain. IPA predicts the overall direction of the pathway (activation or inhibition) using a
591 Z-score to statistically compare our datasets with expression patterns in the IPA knowledge
592 base (86). This is achieved by considering the activation state of key molecules when the

593 Pathway is activated and the molecules' causal relationships. $Z \geq 2$ signifies a pathway that is
594 significantly activated, while $Z \leq -2$ - significantly suppressed in ASD compared to control
595 brain.

596 **Cell type enrichment analysis**

597 Cell-type enrichment analysis for each co-expression module was performed using the
598 Expression Weighted Cell Type Enrichment (EWCE) package in R(87). Cell type-specific gene
599 expression data was obtained from single nucleus sequencing of adult human brains (88). The
600 specificity metric of each gene for each cell type was computed as described(87). Enrichment
601 was evaluated using bootstrapping. Z-score was estimated by the distance of the mean
602 expression of the target gene set from the mean expression of bootstrapping replicates. P-values
603 were corrected for multiple comparisons using FDR.

604 **GWAS enrichment analysis**

605 The most recent ASD GWAS summary statistics were obtained from Grove *et al.*(30) Stratified
606 LD score regression (sLDSC)(89) was used to test whether a gene set of interest is enriched for
607 SNP-heritability in a given GWAS dataset. In brief, SNPs were assigned to custom gene
608 categories if they fell within ± 100 kb of any gene in a set. These categories were added to a full
609 baseline model that includes 53 functional categories capturing a broad set of genomic
610 annotations. The MHC region was excluded from all analyses. Enrichment was calculated as the
611 proportion of SNP-heritability accounted for by each category divided by the proportion of total
612 SNPs within the category. Significance was assessed using a block jackknife procedure, followed
613 by Bonferroni correction for the number of gene sets.

614 **Data Availability**

615 RNA-seq data will be submitted to the NCBI Sequence Read Archive (SRA), and accession
616 code will be available before publication.

617 **Code Availability**

618 All custom code used in this manuscript is available at
619 https://github.com/gandallab/ASD_STG_LCM_RNAseq

620 **Acknowledgments**

621 We are grateful to the families of our brain donors for their invaluable gift to autism research.
622 We appreciate the contributions of Carolyn Komich Hare, clinical coordinator for BrainNet, for
623 collection of the ADI-R as well as Robin Riedel, UC Davis, for figure design. Tissue was
624 provided by the University of Maryland Brain and Tissue Bank, Dr. Daniel Campbell at Michigan
625 State University, Autism Tissue Program (now Autism BrainNet, supported by the Simons
626 Foundation), Brain Endowment for Autism Research Sciences (BEARS) at the UC Davis MIND
627 Institute, and the Harvard Brain Tissue Resource Center. Laser capture microdissection was
628 conducted using the CAMI core facility at UC Davis Center for Health and the Environment with
629 instrumentation funding by NIH S10RR-023555. RNA sequencing was conducted using the
630 Vincent J. Coates Genomics Sequencing Laboratory at UC Berkeley, supported by NIH S10
631 OD018174 Instrumentation Grant. This work is supported by NIH grants MH108909 (MPI
632 Schumann, Stamova) and the Intellectual and Developmental Disabilities Research Center at
633 UC Davis (1U54HD079125).

634 **Author Contributions**

635 C.M.S., B.S. and B.P.A. conceived the project. C.M.S., B.S. and M.J.G. supervised the study.
636 A.O., B.P.A., B.S. and C.M.S. designed and performed the experiments. P.Z., B.S. and M.J.G.
637 designed and performed computational analyses. P.Z. wrote the paper with input from all co-
638 authors.

639 **Competing Interests**

640 The authors declare no competing interests.

641

642 **References**

- 643 1. Kim YS, Leventhal BL, Koh Y-J, Fombonne E, Laska E, Lim E-C, et al. Prevalence of
644 autism spectrum disorders in a total population sample. *Am J Psychiatry*. 2011;168(9):904-12.
- 645 2. Maenner MJ, Shaw KA, Baio J, EdS, Washington A, Patrick M, et al. Prevalence of
646 Autism Spectrum Disorder Among Children Aged 8 Years - Autism and Developmental
647 Disabilities Monitoring Network, 11 Sites, United States, 2016. *MMWR Surveill Summ*.
648 2020;69(4):1-12.
- 649 3. Lord C, Brugha TS, Charman T, Cusack J, Dumas G, Frazier T, et al. Autism spectrum
650 disorder. *Nat Rev Dis Primers*. 2020;6(1):5.
- 651 4. Hansen SN, Schendel DE, Francis RW, Windham GC, Bresnahan M, Levine SZ, et al.
652 Recurrence Risk of Autism in Siblings and Cousins: A Multinational, Population-Based Study. *J*
653 *Am Acad Child Adolesc Psychiatry*. 2019;58(9):866-75.

- 654 5. Castelbaum L, Sylvester CM, Zhang Y, Yu Q, Constantino JN. On the Nature of
655 Monozygotic Twin Concordance and Discordance for Autistic Trait Severity: A Quantitative
656 Analysis. *Behav Genet.* 2020;50(4):263-72.
- 657 6. Gaugler T, Klei L, Sanders SJ, Bodea CA, Goldberg AP, Lee AB, et al. Most genetic risk
658 for autism resides with common variation. *Nat Genet.* 2014;46(8):881-5.
- 659 7. Voineagu I, Wang X, Johnston P, Lowe JK, Tian Y, Horvath S, et al. Transcriptomic
660 analysis of autistic brain reveals convergent molecular pathology. *Nature.* 2011;474(7351):380-
661 4.
- 662 8. Parikshak NN, Swarup V, Belgard TG, Irimia M, Ramaswami G, Gandal MJ, et al.
663 Genome-wide changes in lncRNA, splicing, and regional gene expression patterns in autism.
664 *Nature.* 2016;540(7633):423-7.
- 665 9. Gandal MJ, Haney JR, Parikshak NN, Leppa V, Ramaswami G, Hartl C, et al. Shared
666 molecular neuropathology across major psychiatric disorders parallels polygenic overlap.
667 *Science.* 2018;359(6376):693-7.
- 668 10. Gandal MJ, Zhang P, Hadjimichael E, Walker RL, Chen C, Liu S, et al. Transcriptome-
669 wide isoform-level dysregulation in ASD, schizophrenia, and bipolar disorder. *Science.*
670 2018;362(6420).
- 671 11. Gupta S, Ellis SE, Ashar FN, Moes A, Bader JS, Zhan J, et al. Transcriptome analysis
672 reveals dysregulation of innate immune response genes and neuronal activity-dependent genes
673 in autism. *Nat Commun.* 2014;5:5748.
- 674 12. Haney JR, Wamsley B, Chen GT, Parhami S, Emani PS, Chang N, et al. Broad
675 transcriptomic dysregulation across the cerebral cortex in ASD. *bioRxiv.*
676 2020:2020.12.17.423129.
- 677 13. Velmeshev D, Schirmer L, Jung D, Haeussler M, Perez Y, Mayer S, et al. Single-cell
678 genomics identifies cell type-specific molecular changes in autism. *Science.*
679 2019;364(6441):685-9.

- 680 14. Chow ML, Pramparo T, Winn ME, Barnes CC, Li H-R, Weiss L, et al. Age-dependent
681 brain gene expression and copy number anomalies in autism suggest distinct pathological
682 processes at young versus mature ages. *PLoS Genet.* 2012;8(3):e1002592.
- 683 15. Jou RJ, Minshew NJ, Keshavan MS, Vitale MP, Hardan AY. Enlarged right superior
684 temporal gyrus in children and adolescents with autism. *Brain Res.* 2010;1360:205-12.
- 685 16. Amaral DG, Schumann CM, Nordahl CW. Neuroanatomy of autism. *Trends Neurosci.*
686 2008;31(3):137-45.
- 687 17. Feder ME, Hofmann GE. Heat-shock proteins, molecular chaperones, and the stress
688 response: evolutionary and ecological physiology. *Annu Rev Physiol.* 1999;61:243-82.
- 689 18. Binder RJ. Functions of heat shock proteins in pathways of the innate and adaptive
690 immune system. *J Immunol.* 2014;193(12):5765-71.
- 691 19. Sohal VS, Rubenstein JLR. Excitation-inhibition balance as a framework for investigating
692 mechanisms in neuropsychiatric disorders. *Mol Psychiatry.* 2019;24(9):1248-57.
- 693 20. Nelson SB, Valakh V. Excitatory/Inhibitory Balance and Circuit Homeostasis in Autism
694 Spectrum Disorders. *Neuron.* 2015;87(4):684-98.
- 695 21. Grone BP, Maruska KP. Three Distinct Glutamate Decarboxylase Genes in Vertebrates.
696 *Sci Rep.* 2016;6:30507.
- 697 22. Patrick E, Taga M, Ergun A, Ng B, Casazza W, Cimpean M, et al. Deconvolving the
698 contributions of cell-type heterogeneity on cortical gene expression. *PLoS Comput Biol.*
699 2020;16(8):e1008120.
- 700 23. Langfelder P, Horvath S. WGCNA: an R package for weighted correlation network
701 analysis. *BMC Bioinformatics.* 2008;9:559.
- 702 24. Yost HJ, Lindquist S. RNA splicing is interrupted by heat shock and is rescued by heat
703 shock protein synthesis. *Cell.* 1986;45(2):185-93.

- 704 25. Satterstrom FK, Kosmicki JA, Wang J, Breen MS, De Rubeis S, An J-Y, et al. Large-
705 Scale Exome Sequencing Study Implicates Both Developmental and Functional Changes in the
706 Neurobiology of Autism. *Cell*. 2020;180(3):568-84.e23.
- 707 26. Abrahams BS, Arking DE, Campbell DB, Mefford HC, Morrow EM, Weiss LA, et al.
708 SFARI Gene 2.0: a community-driven knowledgebase for the autism spectrum disorders
709 (ASDs). *Mol Autism*. 2013;4(1):36.
- 710 27. Samocha KE, Robinson EB, Sanders SJ, Stevens C, Sabo A, McGrath LM, et al. A
711 framework for the interpretation of de novo mutation in human disease. *Nat Genet*.
712 2014;46(9):944-50.
- 713 28. Karczewski KJ, Weisburd B, Thomas B, Solomonson M, Ruderfer DM, Kavanagh D, et
714 al. The ExAC browser: displaying reference data information from over 60 000 exomes. *Nucleic
715 Acids Res*. 2017;45(D1):D840-D5.
- 716 29. Wilkinson B, Grepo N, Thompson BL, Kim J, Wang K, Evgrafov OV, et al. The autism-
717 associated gene chromodomain helicase DNA-binding protein 8 (CHD8) regulates noncoding
718 RNAs and autism-related genes. *Transl Psychiatry*. 2015;5:e568.
- 719 30. Grove J, Ripke S, Als TD, Mattheisen M, Walters RK, Won H, et al. Identification of
720 common genetic risk variants for autism spectrum disorder. *Nat Genet*. 2019;51(3):431-44.
- 721 31. Hess J, Angel P, Schorpp-Kistner M. AP-1 subunits: quarrel and harmony among
722 siblings. *J Cell Sci*. 2004;117(Pt 25):5965-73.
- 723 32. Gehring T, Seeholzer T, Krappmann D. BCL10 - Bridging CARDs to Immune Activation.
724 *Front Immunol*. 2018;9:1539.
- 725 33. Ji Z, He L, Regev A, Struhl K. Inflammatory regulatory network mediated by the joint
726 action of NF- κ B, STAT3, and AP-1 factors is involved in many human cancers. *Proc Natl Acad
727 Sci U S A*. 2019;116(19):9453-62.
- 728 34. Iwanaszko M, Kimmel M. NF- κ B and IRF pathways: cross-regulation on target genes
729 promoter level. *BMC Genomics*. 2015;16:307.

- 730 35. Warburton EC, Koder T, Cho K, Massey PV, Duguid G, Barker GRI, et al. Cholinergic
731 neurotransmission is essential for perirhinal cortical plasticity and recognition memory. *Neuron*.
732 2003;38(6):987-96.
- 733 36. Binda A, Rivolta I, Villa C, Chisci E, Beghi M, Cornaggia CM, et al. A Novel KCNJ2
734 Mutation Identified in an Autistic Proband Affects the Single Channel Properties of Kir2.1. *Front*
735 *Cell Neurosci*. 2018;12:76.
- 736 37. Ambrosini E, Sicca F, Brignone MS, D'Adamo MC, Napolitano C, Servettini I, et al.
737 Genetically induced dysfunctions of Kir2.1 channels: implications for short QT3 syndrome and
738 autism-epilepsy phenotype. *Hum Mol Genet*. 2014;23(18):4875-86.
- 739 38. Siddiqui MF, Elwell C, Johnson MH. Mitochondrial Dysfunction in Autism Spectrum
740 Disorders. *Autism Open Access*. 2016;6(5).
- 741 39. Rossignol DA, Frye RE. Mitochondrial dysfunction in autism spectrum disorders: a
742 systematic review and meta-analysis. *Mol Psychiatry*. 2012;17(3):290-314.
- 743 40. Tang G, Gutierrez Rios P, Kuo S-H, Akman HO, Rosoklija G, Tanji K, et al.
744 Mitochondrial abnormalities in temporal lobe of autistic brain. *Neurobiol Dis*. 2013;54:349-61.
- 745 41. Rubenstein JLR, Merzenich MM. Model of autism: increased ratio of excitation/inhibition
746 in key neural systems. *Genes Brain Behav*. 2003;2(5):255-67.
- 747 42. Plun-Favreau H, Klupsch K, Moisoi N, Gandhi S, Kjaer S, Frith D, et al. The
748 mitochondrial protease HtrA2 is regulated by Parkinson's disease-associated kinase PINK1. *Nat*
749 *Cell Biol*. 2007;9(11):1243-52.
- 750 43. Darreh-Shori T, Rezaeianyazdi S, Lana E, Mitra S, Gellerbring A, Karami A, et al.
751 Increased active OMI/HTRA2 serine protease displays a positive correlation with cholinergic
752 alterations in the Alzheimer's disease brain. *Molecular neurobiology*. 2019;56(7):4601-19.
- 753 44. Renoux F, Stellato M, Haftmann C, Vogetseder A, Huang R, Subramaniam A, et al. The
754 AP1 Transcription Factor Fosl2 Promotes Systemic Autoimmunity and Inflammation by
755 Repressing Treg Development. *Cell Rep*. 2020;31(13):107826.

- 756 45. Sweeney SE, Kimbler TB, Firestein GS. Synoviocyte innate immune responses: II.
757 Pivotal role of IFN regulatory factor 3. *J Immunol.* 2010;184(12):7162-8.
- 758 46. Marchetto MCN, Carromeu C, Acab A, Yu D, Yeo GW, Mu Y, et al. A model for neural
759 development and treatment of Rett syndrome using human induced pluripotent stem cells. *Cell.*
760 2010;143(4):527-39.
- 761 47. Shcheglovitov A, Shcheglovitova O, Yazawa M, Portmann T, Shu R, Sebastian V, et al.
762 SHANK3 and IGF1 restore synaptic deficits in neurons from 22q13 deletion syndrome patients.
763 *Nature.* 2013;503(7475):267-71.
- 764 48. Marchetto MC, Belinson H, Tian Y, Freitas BC, Fu C, Vadodaria K, et al. Altered
765 proliferation and networks in neural cells derived from idiopathic autistic individuals. *Mol
766 Psychiatry.* 2017;22(6):820-35.
- 767 49. Vahdatpour C, Dyer AH, Tropea D. Insulin-Like Growth Factor 1 and Related
768 Compounds in the Treatment of Childhood-Onset Neurodevelopmental Disorders. *Front
769 Neurosci.* 2016;10:450.
- 770 50. Kiss T. Small nucleolar RNAs: an abundant group of noncoding RNAs with diverse
771 cellular functions. *Cell.* 2002;109(2):145-8.
- 772 51. Bratkovič T, Božič J, Rogelj B. Functional diversity of small nucleolar RNAs. *Nucleic
773 Acids Res.* 2020;48(4):1627-51.
- 774 52. Dvinge H, Guenthoer J, Porter PL, Bradley RK. RNA components of the spliceosome
775 regulate tissue- and cancer-specific alternative splicing. *Genome Res.* 2019;29(10):1591-604.
- 776 53. Valadkhan S, Gunawardane LS. Role of small nuclear RNAs in eukaryotic gene
777 expression. *Essays Biochem.* 2013;54:79-90.
- 778 54. Kishore S, Stamm S. The snoRNA HBII-52 regulates alternative splicing of the serotonin
779 receptor 2C. *Science.* 2006;311(5758):230-2.

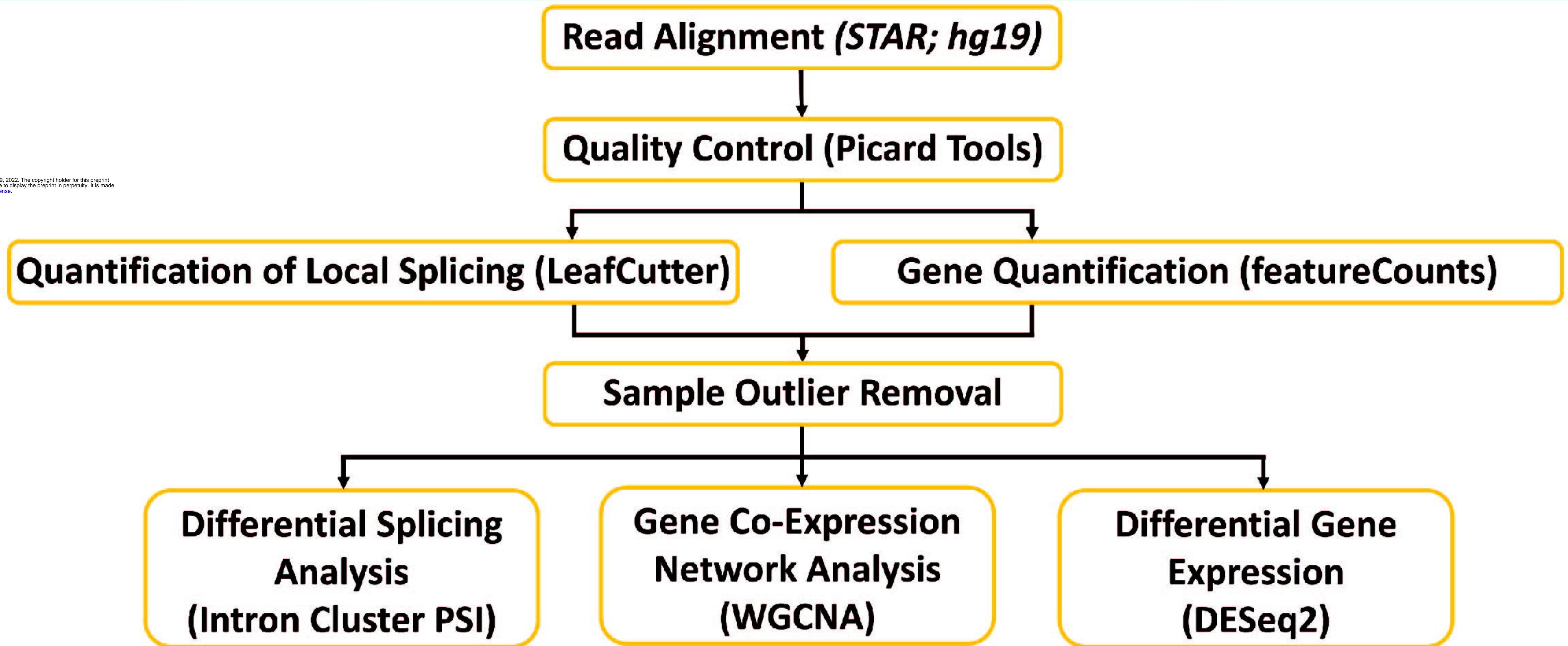
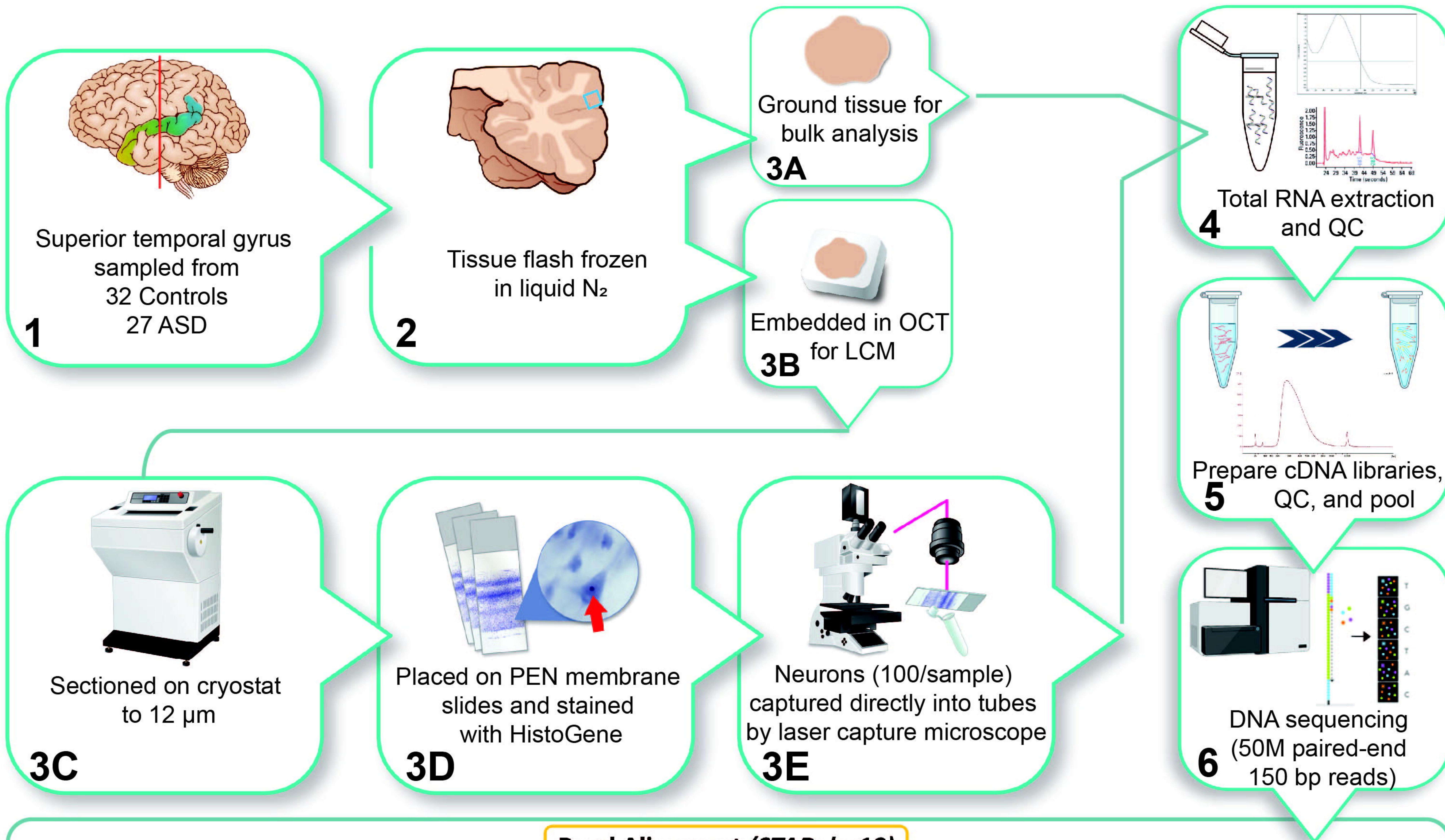
- 780 55. Falaleeva M, Pages A, Matuszek Z, Hidmi S, Agranat-Tamir L, Korotkov K, et al. Dual
781 function of C/D box small nucleolar RNAs in rRNA modification and alternative pre-mRNA
782 splicing. *Proc Natl Acad Sci U S A.* 2016;113(12):E1625-34.
- 783 56. D'Aniello A, Fisher G, Migliaccio N, Cammisa G, D'Aniello E, Spinelli P. Amino acids and
784 transaminases activity in ventricular CSF and in brain of normal and Alzheimer patients.
785 *Neurosci Lett.* 2005;388(1):49-53.
- 786 57. Stamova BS, Tian Y, Nordahl CW, Shen MD, Rogers S, Amaral DG, et al. Evidence for
787 differential alternative splicing in blood of young boys with autism spectrum disorders. *Molecular
788 autism.* 2013;4(1):1-16.
- 789 58. Araki Y, Hong I, Gamache TR, Ju S, Collado-Torres L, Shin JH, et al. SynGAP isoforms
790 differentially regulate synaptic plasticity and dendritic development. *Elife.* 2020;9:e56273.
- 791 59. Comoletti D, De Jaco A, Jennings LL, Flynn RE, Gaietta G, Tsigelny I, et al. The
792 Arg451Cys-neuroligin-3 mutation associated with autism reveals a defect in protein processing.
793 *J Neurosci.* 2004;24(20):4889-93.
- 794 60. Sprenkle NT, Sims SG, Sánchez CL, Meares GP. Endoplasmic reticulum stress and
795 inflammation in the central nervous system. *Molecular neurodegeneration.* 2017;12(1):1-18.
- 796 61. Crider A, Ahmed AO, Pillai A. Altered Expression of Endoplasmic Reticulum Stress-
797 Related Genes in the Middle Frontal Cortex of Subjects with Autism Spectrum Disorder. *Mol
798 Neuropsychiatry.* 2017;3(2):85-91.
- 799 62. Li Timberlake M, Dwivedi Y. Linking unfolded protein response to inflammation and
800 depression: potential pathologic and therapeutic implications. *Mol Psychiatry.* 2019;24(7):987-
801 94.
- 802 63. Kelleher III RJ, Bear MF. The autistic neuron: troubled translation? *Cell.*
803 2008;135(3):401-6.
- 804 64. Stamova B, Ander BP, Barger N, Sharp FR, Schumann CM. Specific regional and age-
805 related small noncoding RNA expression patterns within superior temporal gyrus of typical

- 806 human brains are less distinct in autism brains. *Journal of child neurology*. 2015;30(14):1930-
807 46.
- 808 65. Chow ML, Prampano T, Winn ME, Barnes CC, Li H-R, Weiss L, et al. Age-dependent
809 brain gene expression and copy number anomalies in autism suggest distinct pathological
810 processes at young versus mature ages. *PLoS Genet*. 2012;8(3):e1002592.
- 811 66. Hashemi E, Ariza J, Rogers H, Noctor SC, Martínez-Cerdeño V. The number of
812 parvalbumin-expressing interneurons is decreased in the prefrontal cortex in autism. *Cerebral
813 cortex*. 2017;27(3):1931-43.
- 814 67. Blatt GJ, Fitzgerald CM, Guptill JT, Booker AB, Kemper TL, Bauman ML. Density and
815 distribution of hippocampal neurotransmitter receptors in autism: an autoradiographic study.
816 *Journal of autism and developmental disorders*. 2001;31(6):537-43.
- 817 68. Oblak AL, Gibbs TT, Blatt GJ. Reduced GABA_A receptors and benzodiazepine binding
818 sites in the posterior cingulate cortex and fusiform gyrus in autism. *Brain research*.
819 2011;1380:218-28.
- 820 69. Oblak AL, Gibbs TT, Blatt GJ. Decreased GABA_B receptors in the cingulate cortex and
821 fusiform gyrus in autism. *Journal of neurochemistry*. 2010;114(5):1414-23.
- 822 70. Oblak A, Gibbs T, Blatt G. Decreased GABA_A receptors and benzodiazepine binding
823 sites in the anterior cingulate cortex in autism. *Autism Research*. 2009;2(4):205-19.
- 824 71. Qureshi T, Sørensen C, Berghuis P, Jensen V, Dobszay MB, Farkas T, et al. The
825 glutamine transporter Slc38a1 regulates GABAergic neurotransmission and synaptic plasticity.
826 *Cerebral Cortex*. 2019;29(12):5166-79.
- 827 72. Jin L-W, Horiuchi M, Wulff H, Liu X-B, Cortopassi GA, Erickson JD, et al. Dysregulation
828 of glutamine transporter SNAT1 in Rett syndrome microglia: a mechanism for mitochondrial
829 dysfunction and neurotoxicity. *Journal of Neuroscience*. 2015;35(6):2516-29.
- 830 73. Walle LV, Lamkanfi M, Vandenebeele P. The mitochondrial serine protease HtrA2/Omi:
831 an overview. *Cell Death & Differentiation*. 2008;15(3):453-60.

- 832 74. Su XJ, Huang L, Qu Y, Mu D. Progress in research on the role of Omi/HtrA2 in
833 neurological diseases. *Reviews in the Neurosciences*. 2019;30(3):279-87.
- 834 75. Kawada K, Kuramoto N, Mimori S. Possibility that the Onset of Autism Spectrum
835 Disorder is Induced by Failure of the Glutamine-Glutamate Cycle. *Current molecular*
836 *pharmacology*. 2021;14(2):170-4.
- 837 76. Wang D, Liu S, Warrell J, Won H, Shi X, Navarro FCP, et al. Comprehensive functional
838 genomic resource and integrative model for the human brain. *Science*. 2018;362(6420).
- 839 77. Pirooznia M, Wang T, Avramopoulos D, Valle D, Thomas G, Huganir RL, et al.
840 SynaptomeDB: an ontology-based knowledgebase for synaptic genes. *Bioinformatics*.
841 2012;28(6):897-9.
- 842 78. Turner TN, Yi Q, Krumm N, Huddleston J, Hoekzema K, F Stessman HA, et al. denovo-
843 db: a compendium of human de novo variants. *Nucleic Acids Res*. 2017;45(D1):D804-D11.
- 844 79. Iossifov I, Levy D, Allen J, Ye K, Ronemus M, Lee Y-H, et al. Low load for disruptive
845 mutations in autism genes and their biased transmission. *Proc Natl Acad Sci U S A*.
846 2015;112(41):E5600-7.
- 847 80. Darnell JC, Van Driesche SJ, Zhang C, Hung KYS, Mele A, Fraser CE, et al. FMRP
848 stalls ribosomal translocation on mRNAs linked to synaptic function and autism. *Cell*.
849 2011;146(2):247-61.
- 850 81. Oldham MC, Langfelder P, Horvath S. Network methods for describing sample
851 relationships in genomic datasets: application to Huntington's disease. *BMC Syst Biol*.
852 2012;6:63.
- 853 82. Love MI, Huber W, Anders S. Moderated estimation of fold change and dispersion for
854 RNA-seq data with DESeq2. *Genome biology*. 2014;15(12):1-21.
- 855 83. Li YI, Knowles DA, Humphrey J, Barbeira AN, Dickinson SP, Im HK, et al. Annotation-
856 free quantification of RNA splicing using LeafCutter. *Nature genetics*. 2018;50(1):151-8.

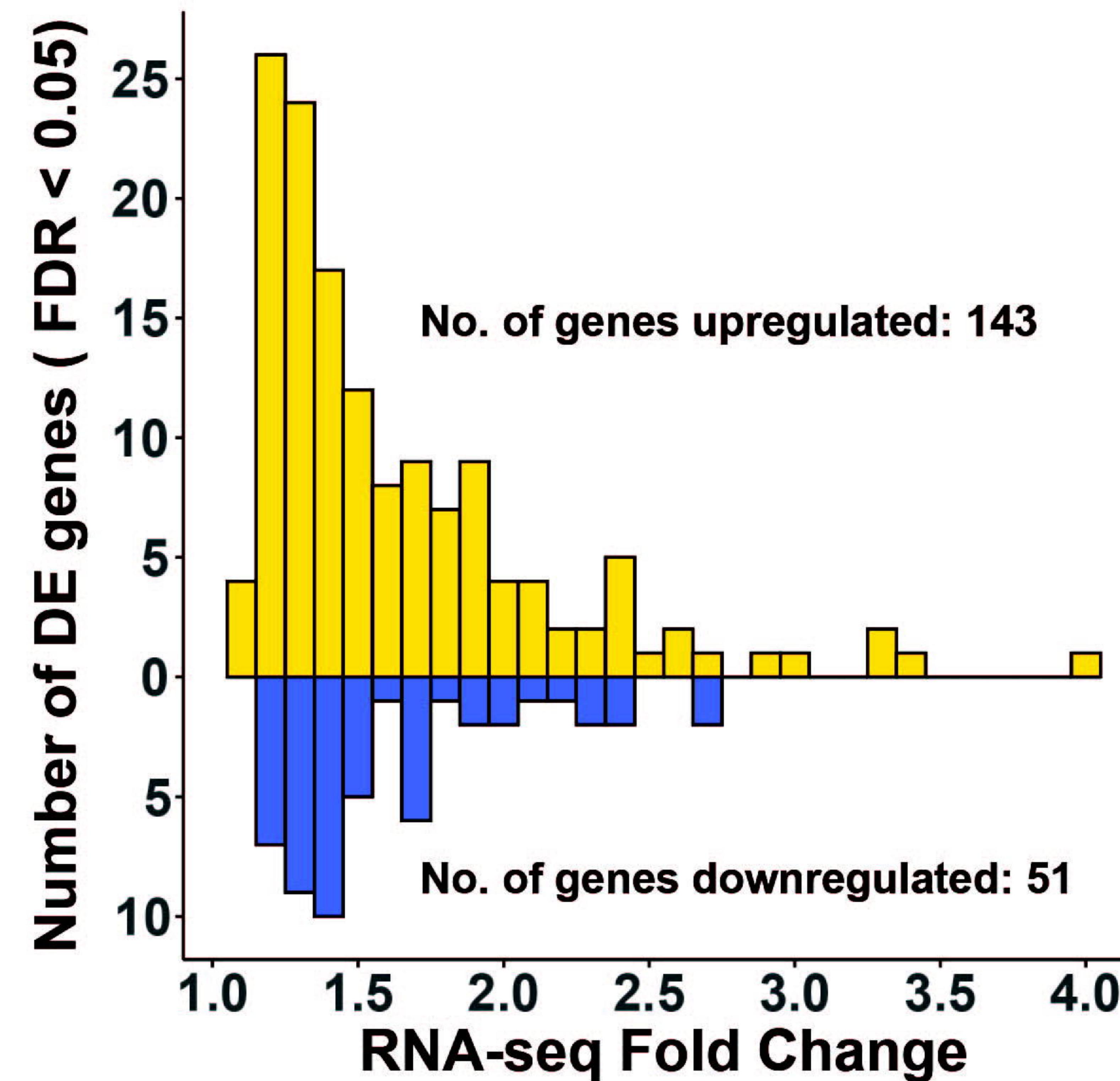
- 857 84. Raudvere U, Kolberg L, Kuzmin I, Arak T, Adler P, Peterson H, et al. g: Profiler: a web
858 server for functional enrichment analysis and conversions of gene lists (2019 update). Nucleic
859 acids research. 2019;47(W1):W191-W8.
- 860 85. Yu G, Wang L-G, Han Y, He Q-Y. clusterProfiler: an R package for comparing biological
861 themes among gene clusters. Omics: a journal of integrative biology. 2012;16(5):284-7.
- 862 86. Krämer A, Green J, Pollard Jr J, Tugendreich S. Causal analysis approaches in
863 ingenuity pathway analysis. Bioinformatics. 2014;30(4):523-30.
- 864 87. Skene NG, Grant SG. Identification of vulnerable cell types in major brain disorders
865 using single cell transcriptomes and expression weighted cell type enrichment. Frontiers in
866 neuroscience. 2016;10:16.
- 867 88. Lake BB, Chen S, Sos BC, Fan J, Kaeser GE, Yung YC, et al. Integrative single-cell
868 analysis of transcriptional and epigenetic states in the human adult brain. Nature biotechnology.
869 2018;36(1):70-80.
- 870 89. Finucane HK, Bulik-Sullivan B, Gusev A, Trynka G, Reshef Y, Loh P-R, et al. Partitioning
871 heritability by functional annotation using genome-wide association summary statistics. Nature
872 genetics. 2015;47(11):1228-35.

873

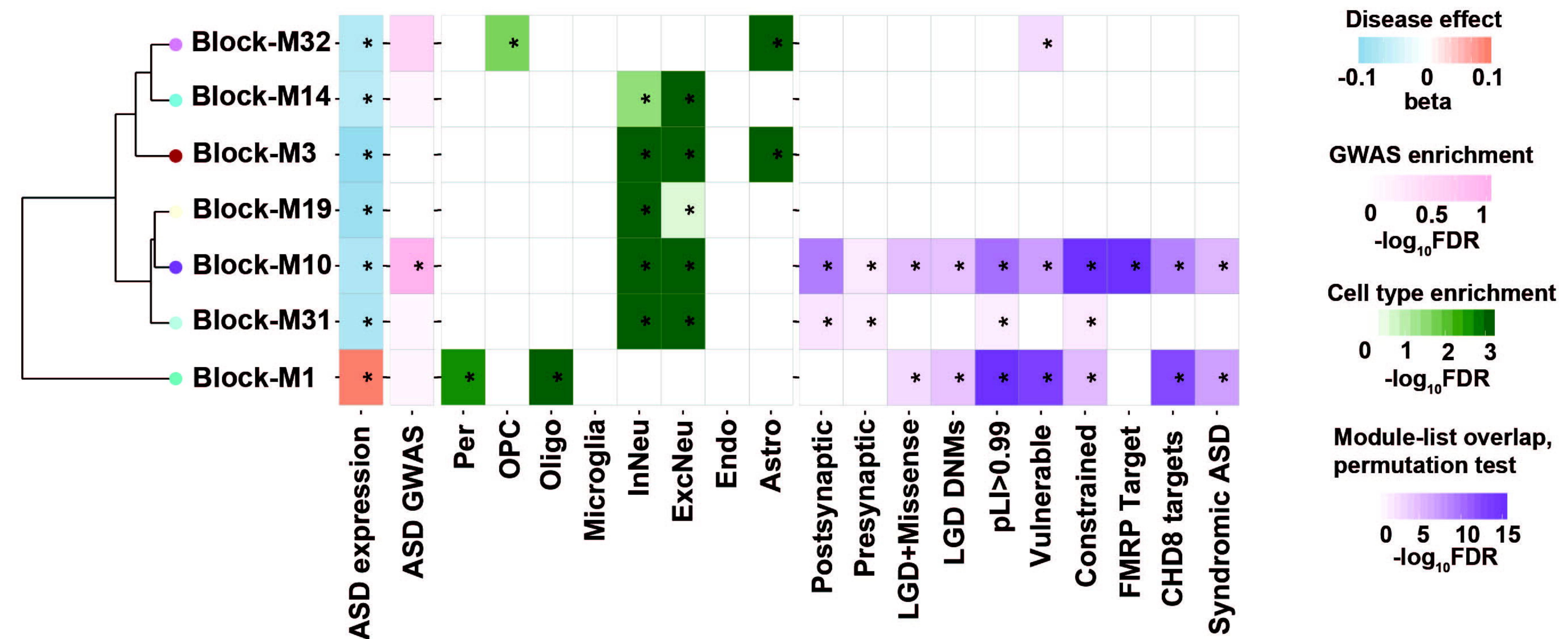


7 Data analysis pipeline

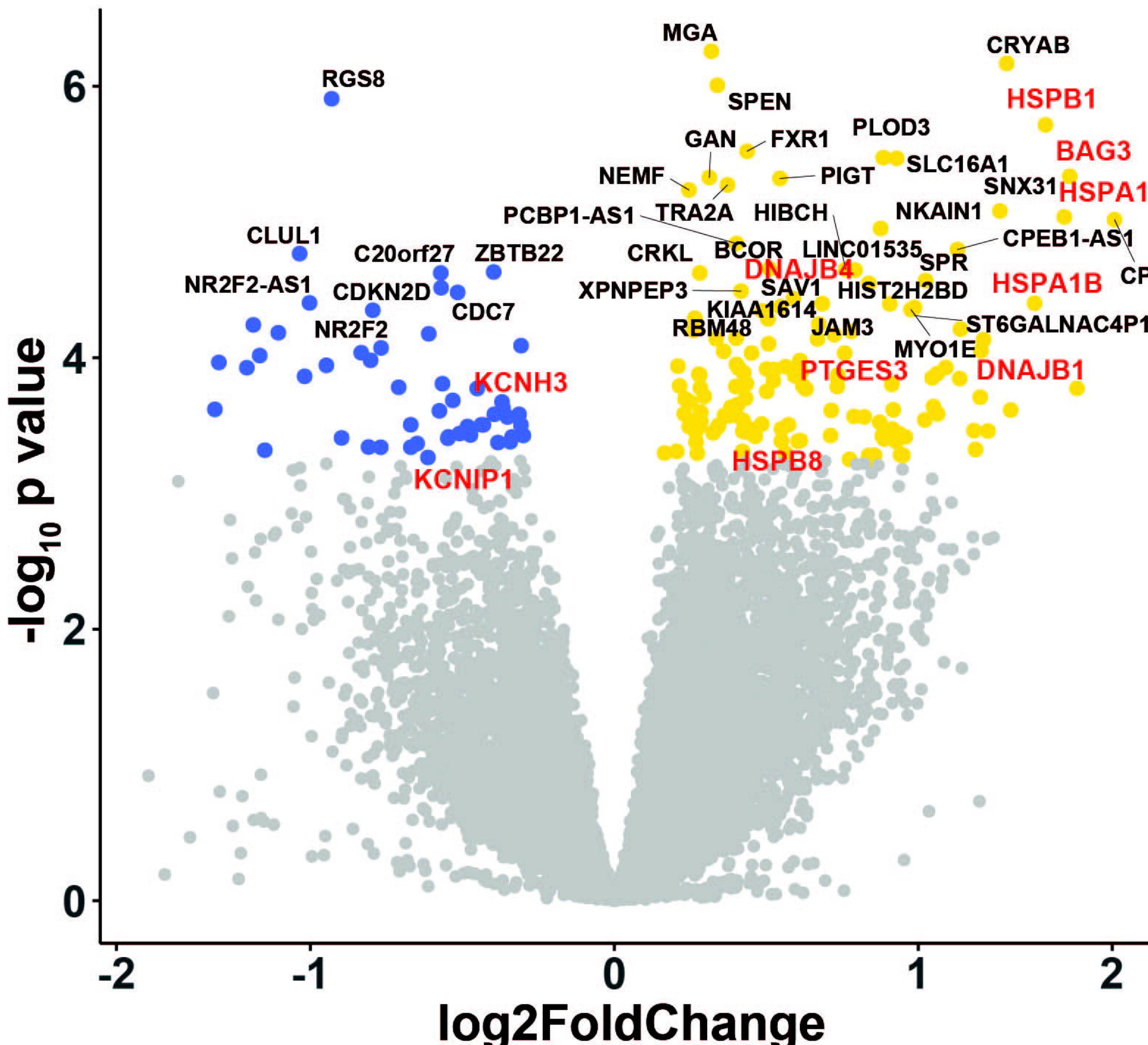
A



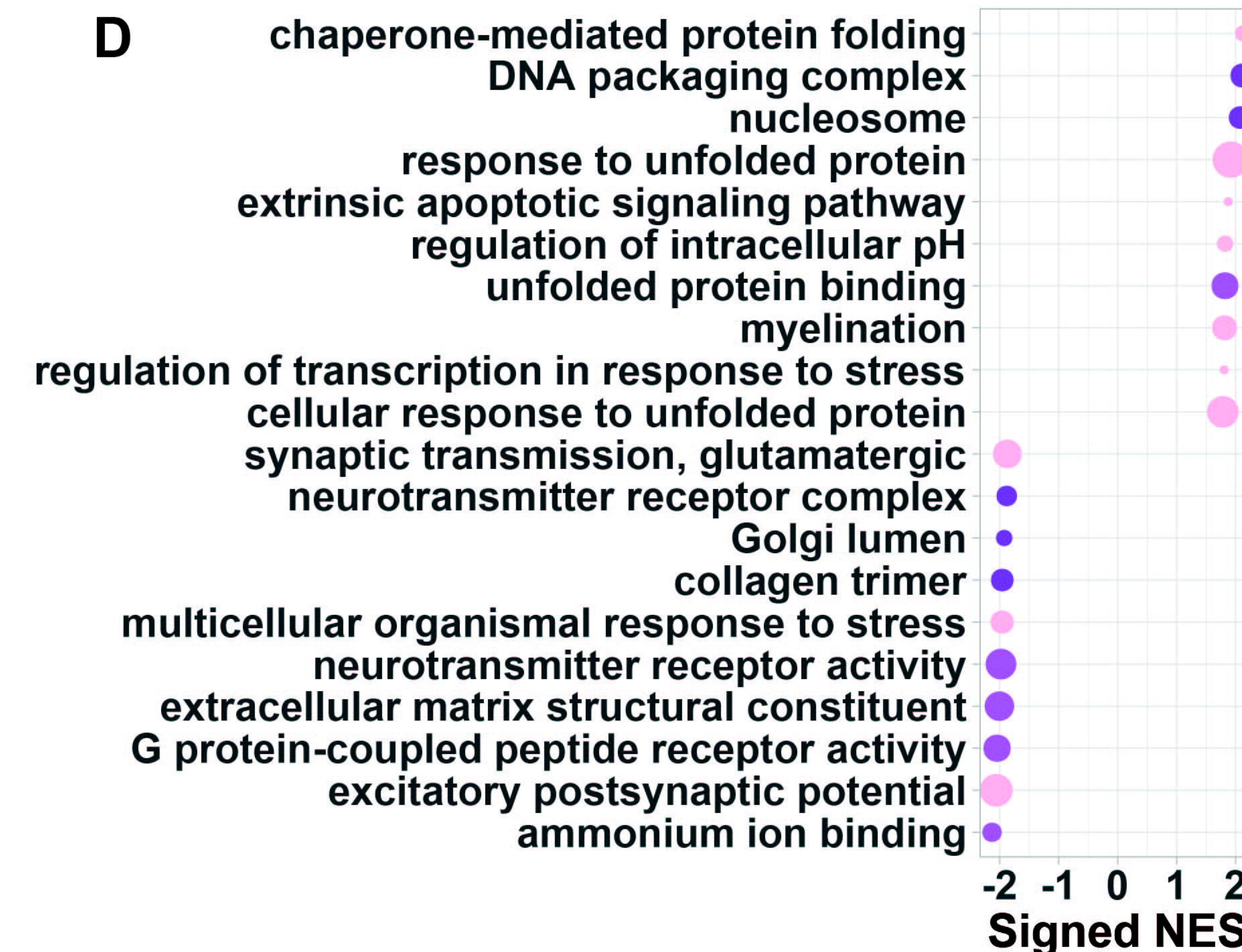
B



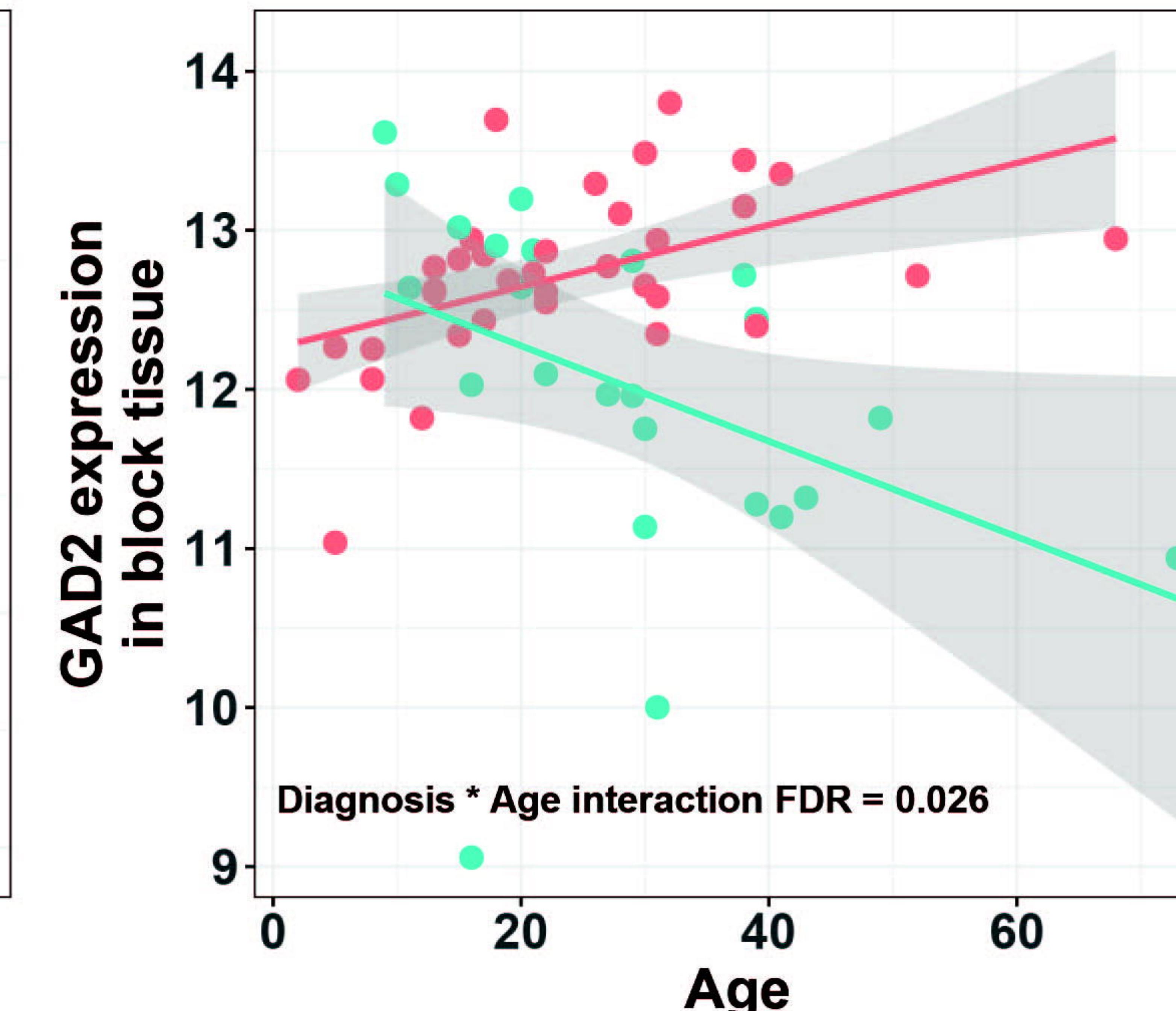
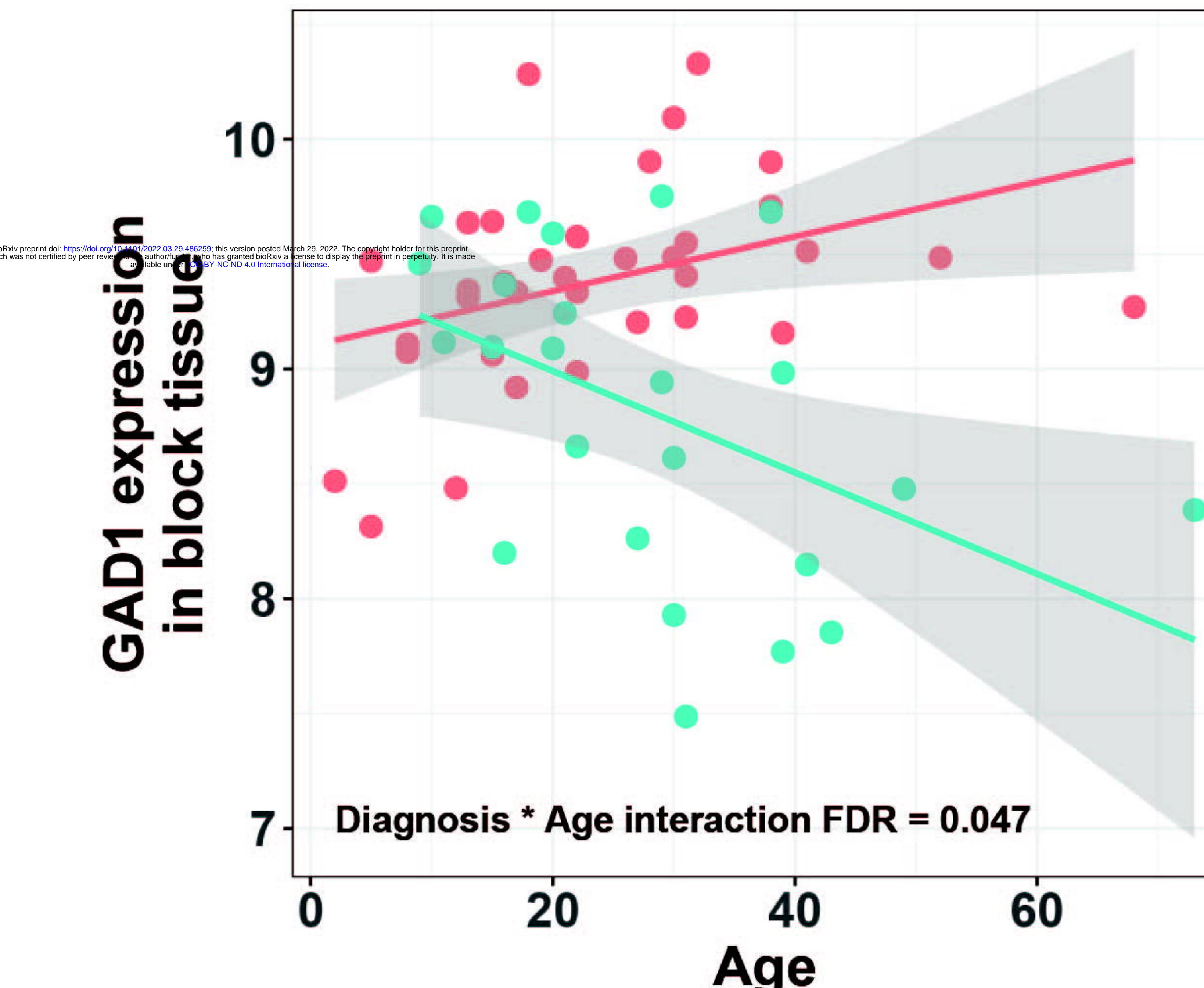
C



D



E



F

