

1   **Long-read-resolved, ecosystem-wide exploration of nucleotide and**  
2   **structural microdiversity of lake bacterioplankton genomes**

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18 **Abstract**

19 Reconstruction of metagenome-assembled genomes (MAGs) has become a fundamental approach in  
20 microbial ecology. However, an MAG is hardly complete and overlooks genomic microdiversity  
21 because metagenomic assembly fails to resolve microvariants among closely related genotypes.  
22 Aiming at understanding the universal factors that drive or constrain prokaryotic genome  
23 diversification, we performed an ecosystem-wide high-resolution metagenomic exploration of  
24 microdiversity by combining spatiotemporal (2 depths × 12 samples) sampling from a pelagic  
25 freshwater system, MAG reconstruction using long- and short-read metagenomic sequences, and  
26 profiling of single nucleotide variants (SNVs) and structural variants (SVs) through mapping of short  
27 and long reads to the MAGs, respectively. We reconstructed 575 MAGs, including 29 circular  
28 assemblies, providing high-quality reference genomes of freshwater bacterioplankton. Read mapping  
29 against these MAGs identified 100–101,781 SNVs/Mb, 0–305 insertions, 0–467 deletions, 0–41  
30 duplications, and 0–6 inversions for each MAG. Nonsynonymous SNVs were accumulated in genes  
31 potentially involved in cell surface structural modification to evade phage recognition. Most (80.2%)  
32 deletions overlapped with a gene-coding region, and genes of prokaryotic defense systems were most  
33 frequently (>8% of the genes) involved in a deletion. Some such deletions exhibited a monthly shift  
34 in their allele frequency, suggesting a rapid turnover of genotypes in response to phage predation.  
35 MAGs with extremely low microdiversity were either rare or opportunistic bloomers, suggesting that  
36 population persistency is key to their genomic diversification. The results lead to the conclusion that  
37 prokaryotic genomic diversification is primarily driven by viral load and constrained by a population  
38 bottleneck.

39 **Introduction**

40 In microbial ecology, reconstruction of metagenome-assembled genomes (MAGs) from an uncultured  
41 microbial assemblage has become a routine technique that has reshaped and substantially expanded  
42 our understanding of prokaryotic diversity (1, 2). However, MAGs are hardly complete (i.e., circularly  
43 assembled) due to difficulties in assembling repetitive (e.g., rRNA genes) and hyper-variable  
44 (microdiverse) regions in a genome coexisting in the same sample (3, 4). In particular, genomic  
45 microdiversity hampers metagenomic assembly and results in incompleteness or absence of an MAG  
46 even at deep sequencing depths, which has been recognized as “the great metagenomics anomaly” (5).  
47 Moreover, a metagenomic assembler generally tries to generate a consensus long contig rather than  
48 fragmented assemblies reflecting different microvariants (3, 6). Consequently, in a metagenomic  
49 assembly, genomic microdiversity is either unassembled or masked by a consensus sequence.

50 Genomic microdiversity provides information essential to understanding microbial ecology  
51 and evolution. Hypervariability of genes involved in cell surface structural modification is thought to  
52 be a consequence of the virus–host arms race (7, 8). Intraspecies flexibility of genes responsible for  
53 the availability of substrates and nutrients suggests that functionally diversified populations  
54 collectively occupy the diverse microniches (9). The degree of genomic microdiversification varies  
55 among lineages and is thought to depend on a number of ecological and evolutionary factors such as  
56 mutation rate, generation time, population size, genetic mobility, fitness, and drift (10, 11). However,  
57 due to the aforementioned difficulties, a comprehensive investigation of genomic microdiversity  
58 covering a consortium of microbes in an ecosystem is challenging, and the universal factors that drive  
59 or constrain their genomic diversification remain to be elucidated.

60 To address this, the present study took a three-step approach. The first was comprehensive  
61 metagenomic sampling in an ecosystem. We targeted freshwater bacterioplankton assemblages  
62 sampled spatiotemporally (2 depths × 12 months) at a pelagic station on Lake Biwa, a monomictic

63 lake with an oxygenated hypolimnion that harbors one of the best-studied freshwater microbial  
64 ecosystems (12–16). The second step was long-read metagenomic assembly, which can overcome the  
65 problem of fragmented assembly using reads longer than a repeat or hypervariable region (17–20).  
66 This was done to generate high-quality reference MAGs covering the diversity of bacterioplankton in  
67 the lake. The third step was short- and long-read metagenomic read mapping to the MAGs, in which  
68 genomic microvariants were identified as inconsistencies between a consensus assembly and mapped  
69 reads (21–23). Notably, we aimed to detect two different types of microvariants, single nucleotide  
70 variants (SNVs) and structural variants (SVs), namely, insertion, deletion, duplication, or inversion of  
71 a genomic sequence. While short-read mapping efficiently detects SNVs due to its high base accuracy  
72 (24, 25), it cannot resolve most SVs that are longer than the canonical short read length (i.e., 150–250  
73 bp). SVs are often associated with gains and losses of genes, which account for a large part of genomic  
74 and functional heterogeneity among closely related genotypes (9, 10). Here, the limitation of short-  
75 read mapping is complemented by long-read mapping, in which SVs can be located with reads  
76 discontinuously aligned to a consensus assembly (26–28). Our three-step approach allowed a high-  
77 resolution, ecosystem-wide exploration of SNVs and SVs covering the broad spectrum of prokaryotic  
78 diversity in the lake. The results were comparatively analyzed from spatiotemporal, phylogenetic, and  
79 gene functionality perspectives, aiming at characterizing factors behind the genomic  
80 microdiversification.

## 81 **Materials and Methods**

### 82 **Sample collection**

83 Water samples were collected monthly from May 2018 to April 2019 at a pelagic station (water depth  
84 ca. 73 m) on Lake Biwa (35°13'09.5" N, 135°59'44.7" E) from two water depths, representing the  
85 epilimnion (5 m) and hypolimnion (65 m) (24 samples in total). Vertical profiles of chlorophyll-a  
86 concentration, temperature, and dissolved oxygen were collected using a RINKO CTD profiler

87 (ASTD102; JFE Advantech). The collected lake water was immediately sequentially filtered through  
88 a 200  $\mu\text{m}$  mesh, 5  $\mu\text{m}$  polycarbonate filter (TMTP14250; Merck Millipore), and 0.22  $\mu\text{m}$  pore Sterivex  
89 cartridge (SVGP01050; Merck Millipore), using a peristaltic pump system onboard. Filtration was  
90 performed until the Sterivex cartridge was clogged (1–2.5 liters of lake water were filtered for each  
91 cartridge), and at least four Sterivex cartridges were collected for each sample. The filters were flash-  
92 frozen in a dry-ice ethanol bath, transported to the laboratory on dry ice, and stored at  $-80^\circ\text{C}$  until  
93 further processing. Water samples were collected between 8:00 am and 11:00 am on each sampling  
94 day and processed to the freezing step within 1 h after collection. Prokaryotic cell abundance was  
95 determined for each sample using a flow cytometer (CytoFLEX; Beckman Coulter) following fixation  
96 of the water sample with 1% glutaraldehyde and staining with 0.25 $\times$  SYBR Green solution (S7563;  
97 Invitrogen).

## 98 **DNA extraction**

99 DNA was extracted from the Sterivex filters (i.e., 0.22–5  $\mu\text{m}$  size fraction) using an AllPrep  
100 DNA/RNA Mini Kit (80204; Qiagen) with a modified protocol: the filter paper removed from a  
101 Sterivex cartridge was put into a Lysing Matrix E tube (6914050; MP Biomedicals) with a mixture of  
102 400  $\mu\text{L}$  RLT plus buffer (containing 1%  $\beta$ -mercaptoethanol following the kit's protocol) and 400  $\mu\text{L}$   
103 phenol/chloroform/isoamyl alcohol (25:24:1 v/v/v); bead-beating was performed at 3500 rpm for 30 s  
104 (MS-100; TOMY Digital Biology), followed by cooling on ice for 1 min, then again at 3500 rpm for  
105 30 sec; the supernatant after centrifugation (16,000 g for 5 min at room temperature) was mixed with  
106 500  $\mu\text{L}$  chloroform/isoamyl alcohol (24:1 v/v) to remove the residual phenol, then centrifuged again;  
107 then the supernatant was used as the loading material for the AllPrep DNA spin column and processed  
108 following the manufacturer's instruction. The quantity and quality of the DNA were measured using  
109 a Qubit dsDNA HS Assay kit (Q32851; Thermo Fisher Scientific) and a spectrophotometer (NanoDrop  
110 2000; Thermo Fisher Scientific). Consequently, at least 2  $\mu\text{g}$  purified DNA were obtained from each

111 sample.

## 112 **Sequencing**

113 The extracted DNA was used for both short- and long-read shotgun metagenomic sequencing. For  
114 short-read sequencing, the DNA was sheared to 500 bp on average using an ultrasonicator (Covaris),  
115 and a 24-sample multiplexed library was prepared using a MGIEasy Universal DNA Library Prep Set  
116 (1000006986; MGI), Circularization Kit (1000005259; MGI), and MGISEQ 2000RS High-throughput  
117 Sequencing Set (1000013857; MGI) with seven cycles of PCR amplification. A 1 × 400 bp single-end  
118 sequencing was run using one lane of the MGI DNBSEQ-G400 platform. For long-read sequencing,  
119 long DNA molecules were purified using diluted (0.45×) AMPure XP beads, and a sequencing library  
120 was prepared using a Ligation Sequencing Kit (LSK-109; Oxford Nanopore). Each of the 24 samples  
121 was sequenced by an R9.4.1 flow-cell (FLO-MIN106D; Oxford Nanopore) using the Oxford  
122 Nanopore GridION platform for 72 h. Base-calling was performed using Guppy (v3.2.10; high  
123 accuracy mode).

## 124 **Read assembly and contig polishing**

125 Each of the 24 raw long-read libraries was assembled using two different assemblers: Flye (v2.8; --  
126 plasmids --meta) (29) and Raven (v1.5.0) (30). The assembled contigs were polished with long reads  
127 using Racon (v1.4.13) (31) and Medaka (v1.0.3) (<https://github.com/nanoporetech/medaka>), and then  
128 with short reads using Pilon (v1.23) (32) and two rounds of Racon. Read mapping for polishing was  
129 performed using Minimap2 (v2.17) (33) and Bowtie2 (v2.3.5.1) (34). Quality control of short reads  
130 was performed using Cutadapt (v2.5) (35) and fastp (v0.20.0) (36). The detailed workflow and  
131 parameters are available in Figure S1.

## 132 **Binning and bin curation**

133 Contigs longer than 2.5 kb were selected using SeqKit (v0.13.2) (37) and their read coverage across  
134 the 24 samples was calculated by mapping the quality-controlled short reads using CoverM (v0.4.0; -

135 m metabat) (<https://github.com/wwood/CoverM>). The coverage table was input to MetaBAT (v2.12.1)  
136 (38) and MaxBin (v2.2.7) (39) to bin the contigs from each of the 24 Flye and Raven assemblies. The  
137 resulting 18,621 bins, containing redundancy derived from 24 samples (2 depths  $\times$  12 months), two  
138 assemblers (Flye and Raven), and two binners (MetaBAT and MaxBin) (Fig. S1), were curated by the  
139 following procedures. Bins sharing an average nucleotide identity (ANI)  $> 95\%$  were clustered using  
140 FastANI (v1.31) (40) and the hclust function (method = “average”) of R v4.0.0 (<https://www.r-project.org/>). This resulted in 3053 bin clusters and 1595 singletons, hereinafter referred to as  
141 superbins. Next, bins in the same superbin were merged as follows. First, bin quality score (BQS) was  
142 determined as (completeness – [5  $\times$  contamination]), referring to the output of checkM (v1.1.3) (41)  
143 for each bin. Then, bins derived from the same sample (i.e., only different in the assembler or binner)  
144 were merged using quickmerge (v0.3), which bridges gaps in one assembly (acceptor) using sequences  
145 of another assembly (donor) based on alignment overlaps (42). Starting from the bin with the highest  
146 BQS as an acceptor, bins were iteratively merged by providing a donor bin in the order of BQS. For  
147 bins with the same BQS, the bin with fewer contigs was selected in priority. The “--hco” parameter  
148 was set to 20, which means that the aligned length should be more than 20 times longer than the  
149 unaligned length to merge two contigs. Next, if multiple merged bins in the same superbin (i.e., those  
150 from different samples) showed a BQS  $> 50$ , they were further merged in the same manner as above.  
151 Notably, inter-sample merges did not always generate a better bin than intra-sample merged bins,  
152 presumably because of the genomic compositional heterogeneity between samples. Finally, a  
153 representative bin was determined for each of the 4648 superbins by selecting the one with the highest  
154 BQS among the original and merged bins.

156 Among the 4648 representative bins, 331 consisted of a single contig. Because quickmerge  
157 does not consider genome circularity, we attempted their circularization in the following procedure.  
158 First, using nucmer (v3.1) (43), the first and last 50 kb of the contig were aligned against the set of

159 contigs in the same superbin to find a “bridging contig” that may close the gap between the ends. Next,  
160 if a bridging contig was found, it was supplied as “new\_assembly.fasta” to the circlator (v1.5.5) merge  
161 function with the “--ref\_end 50000” parameter (44). If the circularization was successful, the contig  
162 was rotated to start from a dnaA gene using the circlator fixstart (--min\_id 30) function.

163 Finally, the 4648 representative bins were quality-filtered at BQS > 50, followed by  
164 dereplication using dRep (v3.0.1; -comp 0 -con 100 -sa 0.95 --SkipMash --S\_algorithm fastANI) (45).  
165 The resulting 575 bins were designated as representative/reference metagenome-assembled genomes  
166 (rMAGs).

## 167 **Analysis of rMAGs**

168 The 575 rMAGs were taxonomically classified using GTDB-Tk (v1.5.0) with the reference data  
169 version r202 (46), and the genes were annotated using prokka (v1.14.6) (47) and eggNOGmapper  
170 (v2.1.5) (48). Annotated genes were functionally categorized according to KEGG PATHWAY and  
171 KEGG BRITE hierarchies (49) assigned to each gene by eggNOGmapper. For further analysis, we  
172 selected the top 25 functional categories that covered 33% of the genes. To evaluate the frequency of  
173 indel errors that eluded polishing, we followed the idea of the IDEEL software—interrupted open  
174 reading frames (ORFs), which are often introduced by a frameshift, were used as an indicator of indel  
175 errors (18). Specifically, amino acid sequences of each rMAG predicted by prodigal (v2.6.3) (50) were  
176 aligned against the Uniref90 database (release-2020\_06) (51) using DIAMOND blastp (v2.0.6; -k 1 -  
177 e 1e-5) (52). Based on the results, the proportion of amino acid sequences in which > 90% of the length  
178 was aligned to a Uniref90 sequence was determined for each rMAG and designated as the proportion  
179 of ORFs aligned > 90% (POA90) score. Coverage-based abundance relative to the total sequenced  
180 DNA in each of the 24 samples was determined as reads per kilobase of genome per million reads  
181 sequenced (RPKMS), which was generated by mapping the quality-controlled short reads to the 575  
182 rMAGs using bowtie2 (v2.4.2) (34), followed by counting of mapped and unmapped reads using

183 CoverM (--min-read-percent-identity 92). Habitat preference (epilimnion or hypolimnion) of each  
184 rMAG was determined using the metric  $P_{\text{epi}}$ , which was defined as the quotient of RPKMS in the  
185 epilimnion versus the sum of the value in the epilimnion and hypolimnion (i.e., epilimnion  
186 /[epilimnion + hypolimnion]) during the stratification period (May to December). When  $P_{\text{epi}}$  was  $>$   
187 0.95 or  $< 0.05$ , the rMAG was determined as an epilimnion or hypolimnion specialist, respectively  
188 (13).

### 189 **Analysis of SNVs and SVs**

190 The gene loci and mapping results (i.e., bam files) generated above were input to inStrain (v1.0.0;  
191 profile --database\_mode --pairing\_filter all\_reads), which provides genome- and gene-wide SNV  
192 profiles based on the short-read alignment (24). SVs were detected by mapping the raw long reads to  
193 the rMAGs using NGMLR (v0.2.7) (26) and inputting the resulting bam files to Sniffles (v1.0.12) (26).  
194 Among the five types of SVs reported by Sniffles, deletion, insertion, duplication, and inversion were  
195 further analyzed, while translocation was removed in the downstream analyses because translocation  
196 can involve multiple contigs in different bins and is hard to interpret in metagenomic data.  
197 Subsequently, SVs with low ( $< 0.1$ ) allele frequency (reported by Sniffle) were filtered out. SVs longer  
198 than 100 kb were also removed as they were seemingly artifacts introduced by genome circularity,  
199 which Sniffles does not account for.

200 The representative sample providing the highest short-read coverage among the 24 samples  
201 was determined for each rMAG, and the result from the representative sample was used for  
202 representative SNV and SV profiles. To remove low-quality data derived from low read coverage,  
203 rMAGs that showed  $> 10\times$  short-read coverage in the representative sample ( $n = 178$ ) were selected  
204 and analyzed in detail.

205 **Results**

206 **General characteristics of the rMAGs**

207 The 24 samples were associated with broad physicochemical conditions. Thermal stratification  
208 occurred from May to December, and the prokaryotic cell abundance was 0.82–4.30 (average = 2.00)  
209  $\times 10^6$  cells mL<sup>-1</sup> (Table S1). For each of the samples, 10.9–27.5-Gb long reads (N50 = 4360–5807 bp)  
210 were assembled, and the resulting contigs were polished using 7.0–9.3-Gb short reads (Table S1 and  
211 Fig. S1). From the 24 polished contig sets, our pipeline generated 575 nonredundant rMAGs covering  
212 21 phyla of bacteria and archaea (Table S2). The number of contigs, POA90 (indel correction score,  
213 see Materials and Methods for detail), and completeness of the rRNA genes all showed better results  
214 in rMAGs with higher short-read coverage (Fig. 1a–c). For each of the 24 samples, 45.4–72.1% (mean  
215 = 60.4%) of the short-reads were mapped to any of the 575 rMAGs (Fig. S2), indicating that the  
216 rMAGs accounted for the majority of the extracted DNA. A ubiquity–abundance plot (Fig. 1d)  
217 demonstrated that the rMAGs included common freshwater bacterioplankton lineages known to  
218 dominate in Lake Biwa (12, 13, 53). Relative abundance of the rMAGs revealed their diverse  
219 distribution pattern across the months and depths (Fig. S3).

220 **SNVs and SVs detected in the rMAGs**

221 The 178 rMAGs with  $> 10\times$  short-read coverage in at least one sample were further analyzed for  
222 detection of SNVs and SVs. The results revealed the broad spectrum of genomic microdiversity across  
223 the rMAGs (Fig. 2). The number of SNVs per 1 Mb ranged from 100 to 101,781 and significantly  
224 varied among the habitat preferences (Fig. 2b). Among the four types of SVs detected, insertion (0–  
225 305 sites per rMAG) and deletion (0–467) dominated over duplication (0–41) and inversion (0–6) (Fig.  
226 2d). The numbers of insertions and deletions were strongly correlated (Pearson's  $r = 0.925$ ), while they  
227 showed weaker correlations (Pearson's  $r = 0.241$  and  $0.285$ ) with the number of SNVs (Fig. S4).  
228 Unlike SNVs, the number of SVs (deletions) did not significantly vary among the habitat preferences

229 (Fig. 2e). Both the numbers of SNVs and SVs (deletions) varied among and within the phyla (Fig. 2c  
230 and f).

231 **Genes involved in SNVs and SVs**

232 On average, 66.5%, 24.3%, and 7.5% of SNVs were synonymous, nonsynonymous, and intergenic,  
233 respectively (Fig. 2a). The nonsynonymous SNV ratio exhibited a negative correlation with the SNV  
234 numbers, and exceptionally high ratios (> 35%) were observed among rMAGs (n = 15) with low SNV  
235 numbers (< 7500 per 1 Mb) (Fig. 3a). The nonsynonymous SNV ratio was positively correlated with  
236 genome size (Fig. 3b). Gene-resolved SNV frequency and pN/pS exhibited differences among  
237 different functional categories (Fig. 4).

238 Among the four types of SVs, we further focused on deletions because deletion was the most  
239 prevalent SV type (Fig. 2d), and genes involved in deletions can be simply characterized on a genome.  
240 The second is not the case for insertion, in which the involved genes appear in the mapped long reads,  
241 which are unpolished and unannotated. On average, 80.2% of deletions overlapped with a gene-coding  
242 region (Fig. 5a), and the ratio of gene-coding deletions showed a wide range within and among the  
243 phyla (Fig. 5b). Gene-coding deletions were most frequently overlapped with transporter genes, which  
244 reflects the large number of transporter genes in the rMAGs (Fig. S5). Normalized by the gene counts,  
245 genes associated with the prokaryotic defense system were most often (> 8% of the genes) involved  
246 in deletions (Fig. 6a). Among the genes affiliated with the prokaryotic defense system, those associated  
247 with the type I restriction and modification (RM) system were most abundant in deletion, followed by  
248 genes comprising toxin–antitoxin (TA) systems, other RM systems, and CRISPR–Cas systems (Fig.  
249 6b).

250 **Discussion**

251 **Long-read metagenomes generated an ecosystem-wide, high-quality prokaryotic**

252 **genome collection from Lake Biwa**

253 Long-read metagenomics successfully reconstructed high-quality MAGs (Fig. 1) representing the  
254 majority of the prokaryotic diversity in the lake across seasons and depths (Fig. 1d and Fig. S2), which  
255 was not possible by conventional short-read metagenomics in Lake Biwa (13) or other deep freshwater  
256 lakes (54–56). The MAGs included 29 closed assemblies, including the first circular representatives  
257 of predominant hypolimnetic bacterioplankton lineages, namely Chloroflexi CL500–11 (rMAG\_38),  
258 *Nitrosoarchaeum* (rMAG\_256), Verrucomicrobia CL120–10 (rMAG\_78), Kapabacteria LiUU-9-330  
259 (rMAG\_1819), and a member of Nitrosomonadaceae (rMAG\_1024) (57, 58).

260 We should note that we aimed to generate continuous consensus contigs by merging results  
261 from different assemblers and samples rather than disjoining microvariants of each genotype. We took  
262 this “consensus-first” approach because our subsequent aim was to detect microdiversity masked by  
263 the consensus assembly through read mapping. Caveats in analyzing our rMAGs are that they may not  
264 represent a single genotype existing in the environment, and they may still contain base errors left  
265 unpolished due to inadequate short-read coverage. The POA90 score suggested that fragmented ORFs  
266 introduced by uncorrected indel error are common in the majority of genomes with  $< 10\times$  short-read  
267 coverage (Fig. 1b). In light of these limitations, we designate our MAGs as rMAGs  
268 (representative/reference MAGs) to differentiate them from those generated by conventional short-  
269 read metagenomics and focused on those with  $> 10\times$  short-read coverage ( $n = 178$ ) for further analyses.

270 The general trend that a higher read coverage resulted in a higher-quality rMAG (Fig. 1)  
271 suggests that our sequencing effort (Table S1) was unsaturated and deeper sequencing would generate  
272 a greater number of high-quality rMAGs. However, read coverage alone was not sufficient to  
273 reconstruct a high-quality rMAG. For example, an rMAG of LD12 (*Candidatus Fonsibacter*), which  
274 is among the most abundant freshwater bacterioplankton lineages (59, 60), was fragmented and lacked  
275 rRNA genes, despite their extremely high read coverage ( $> 400\times$  in short reads). Members of

276 Pelagibacterales (also known as the SAR11 clade), including LD12, harbor high genomic  
277 microdiversity in the flanking region of the rRNA gene operon that is presumably responsible for  
278 immunity against their phage (21, 59, 61, 62). Our results indicate that long-read sequencing generally  
279 deals well with “the great metagenomics anomaly” (5) but is still unable to solve the issue in extreme  
280 cases. Nonetheless, rMAGs provided an unprecedentedly high-quality lake prokaryotic genome  
281 collection, which allowed ecosystem-wide exploration of their genomic microdiversity through read  
282 mapping.

### 283 **Broad spectrum of genomic microdiversity resolved by SNVs and SVs**

284 We found more than 1000-fold differences in the SNV frequency across the rMAGs (Fig. 2a), which  
285 is in line with a report on another freshwater system (63). The dominance of synonymous SNVs (Fig.  
286 2a) is also in agreement with previous works in freshwater (63) and marine (21, 64) systems,  
287 supporting the idea that the bacterioplankton assemblage is generally under purifying selection with  
288 most of the nucleotide variation being neutral. The positive correlation between nonsynonymous SNV  
289 ratio and genome size (Fig. 3b) agrees with the hypothesis that genome streamlining is associated with  
290 strong purifying selection (65–67). We further found that the frequency of SNVs was lower (Fig. 2b)  
291 and also more temporally stable (Fig. S6) in genomes of hypolimnion inhabitants than those of  
292 epilimnion inhabitants. These results imply a lower mutation rate in the deeper water layer, presumably  
293 due to the lower biological productivity owing to the lower temperature and resource availability in  
294 the hypolimnion.

295 One of the major achievements of the present study was the detection of SVs in a  
296 metagenomic sample facilitated by long-read mapping. Compared to the SV analysis for an isolated  
297 clonal genome, that for metagenomic assembly generates more complex outputs as it refers to a  
298 consensus assembly derived from a highly heterogeneous population. Notably, our approach was not  
299 efficient in detecting SVs with a high allele variation or frequency because such a highly

300 heterogeneous region often eludes metagenomic assembly. Given these technical limitations, our goal  
301 was not to resolve all SVs, but rather to discover patterns of SV distribution among environmental  
302 prokaryotic genomes under the same methodological criteria. Indeed, most SVs in a genome were  
303 consecutively detected across samples of different months (Fig. S7), supporting the reproducibility  
304 and robustness of our analysis.

305 Similar to SNVs, we observed significant variation in SV frequency among the rMAGs (Fig.  
306 2d). The relationship between the number of SNVs and SVs was weak because several rMAGs had an  
307 extremely high number of SVs (Fig. S4). Notably, members of Planctomycetes harbored  
308 disproportionately high numbers of SVs (Fig. 2f) and a lower frequency (55.9–81.0%) of coding  
309 deletions (i.e., those overlapping with an ORF) than the average (80.2%) (Fig. 5b). Further  
310 investigation found that their non-coding deletions were often associated with intergenic tandem  
311 repeats (Fig. S8). Such duplications and deletions can be introduced by slippage of DNA polymerase  
312 during replication and can regulate the transcriptional activity or act as a recombination site (68).  
313 Planctomycetes generally harbor a large genome with a high number of genes with unknown functions  
314 (69). A recent exploration of freshwater Planctomycetes MAGs reported a correlation between their  
315 genome size and intergenic nucleotide length (70). Together, their intergenic plasticity might play an  
316 essential role in maintaining their genomic integrity. Although characterization of individual SVs is  
317 beyond the scope of the present study, overall, our long-read–resolved ecosystem-wide analysis  
318 reveals the ubiquity of SVs in environmental prokaryotic genomes and sheds light on their role in  
319 regulating genomic structure and function.

320 **Genetic bottleneck as a major constraint of genomic microdiversity**

321 The negative relationship between SNV frequency and their nonsynonymous rate (Fig. 3a) suggests  
322 that stronger purifying selection acts on a genome in which more mutations are accumulated. Based  
323 on this assumption, the lineages with a high nonsynonymous SNV ratio and a low number of SNVs

324 may have experienced a recent population bottleneck and not mutated sufficiently to be negatively  
325 selected. In other words, their diversification process might still be dominated by random drift or  
326 positive selection. Indeed, the top 15 rMAGs with the highest nonsynonymous SNV ratio (delineated  
327 in Fig. 3a) were either continuously rare in the hypolimnion or mostly rare but predominant in a short  
328 period (boom-and-bust) in either of the water layers (Fig. S3). The former case could be the  
329 consequence of the low growth and mutation rates in the hypolimnion, which makes their genome  
330 diversification slow enough to be observed before purifying selection dominates. Notably, among  
331 these cases, the highest nonsynonymous SNV ratio was observed in rMAG\_34, which is affiliated  
332 with Levybacteria (OP11), a member of the Candidate phyla radiation (CPR) (71). Recently, a  
333 comprehensive exploration of freshwater CPR MAGs (72) reported exceptionally high ANI (99.53%)  
334 between Levybacterial MAGs reconstructed from Lake Biwa (13) and Lake Baikal (55) metagenomes.  
335 We confirmed that our Levybacterial rMAG also belonged to the same species (ANI > 99.5% to both).  
336 Collectively, it is possible that Levybacteria was recently migrated from the Eurasian continent to Lake  
337 Biwa, and their genomic microdiversity was still constrained by the genetic bottleneck.

338 Among the latter (boom-and-bust) cases, prominent examples were two Verrucomicrobial  
339 rMAGs (rMAG\_2736 and rMAG\_29), which had extremely low numbers of SNVs and SVs (Figs. 3a  
340 and Table S2) and transiently dominated in the either of the water layers (Fig. S3). Both rMAGs were  
341 circular, indicating that long-read metagenomes generate a complete assembly unless hampered by  
342 high microdiversity or low read coverage. The boom-and-bust dynamics of Verrucomicrobia agrees  
343 with the general assumption that they are opportunistic strategists rapidly responding to a supply of  
344 carbohydrates (73, 74). Notably, rMAG\_29 (taxonomically assigned to the genus “CAINDI01” by  
345 GTDB) was among the most abundant bacterioplankton lineages in the lake during their bloom (Figs.  
346 1d and S3), with their relative abundance (RPKMS) increasing over 12-fold in just 1 month (1.39 in  
347 November to 16.92 in December). Because their bloom was observed from May to June and from

348 December to January in the hypolimnion (Fig. S3), their growth was likely triggered by a supply of  
349 polysaccharides exudated from sinking phytoplankton cells derived from the spring and autumn algal  
350 blooms in the epilimnion, as observed in a previous study in the lake (75). Taken together, the  
351 ecological strategy of CAINDI01 (to rapidly exploit intermittent resources) produced periodic genetic  
352 bottlenecks and effectively eluded selective processes, which resulted in their extremely low genomic  
353 microdiversity in the lake despite their quantitative dominance. Interestingly, CAINDI01 encoded as  
354 many as 236 transposase genes (annotated by prokka), but none of them were associated with SVs,  
355 except for an inversion involving IS21 transposases (data not shown). The results further suggest that  
356 their rapid population turnover prevented invasions of mobile genetic elements (MGEs). Collectively,  
357 we conclude that a genetic bottleneck is a primary factor constraining genomic microdiversification.

358 Conversely, the extent of genomic microdiversification may be used to predict the existence  
359 or absence of a recent bottleneck event. For instance, rMAG\_739 (Chitinophagaceae of the phylum  
360 Bacteroidetes) was the fourth-most SNV-rich rMAG, with a low nonsynonymous rate (Fig. 3a), despite  
361 the fact that they were detectable only from June to October in the epilimnion (Fig. S3). These results  
362 suggest that they did not experience a recent genetic bottleneck and thus are allochthonous,  
363 presumably maintaining their large genetic pool in the inflowing river, sediment, or the water column  
364 horizontally distant from our sampling site. It should also be noted that no sign of a recent bottleneck  
365 event was found among common and abundant freshwater bacterioplankton lineages (e.g., LD12, acI,  
366 acIV, and CL500–11). Interestingly, the two most SNV-rich members, rMAG\_1314 and rMAG\_102,  
367 were continuously and ubiquitously abundant species of LD12 and acI, respectively, rather than the  
368 most abundant ones (i.e., rMAG\_300 and rMAG\_28) of the lineage (Figs. 3a and S3). These facts  
369 further support the hypothesis that persistent rather than abundant populations exhibit higher intra-  
370 population sequence variation (76).

371 **Phage predation as a major driving force of genomic microdiversification**

372 The lowest pN/pS in housekeeping genes involved in replication, transcription, translation, and  
373 oxidative phosphorylation (Fig. 4b) agreed with a previous study in the Baltic Sea (25) and indicated  
374 that genes involved in core functions are under stronger purifying selection. By contrast, high pN/pS  
375 were observed among genes potentially involved in cell surface structural modification, namely  
376 glycosyltransferases, lipopolysaccharide biosynthesis, and peptidoglycan biosynthesis proteins (Fig.  
377 4b). Hypervariability of such genes has been observed in genomes of ubiquitous marine and freshwater  
378 bacterioplankton and is considered beneficial in evading the host recognition system of their phage  
379 (7–9). Our results further demonstrate that these traits are universal in the ecosystem and suggest that  
380 phage predation is the most prevalent selective pressure generating amino acid-level gene diversity.

381 The SV profiling demonstrated that deletion was overrepresented in genes involved in  
382 prokaryotic defense systems, namely, RM systems, TA systems, and CRISPR–Cas systems (Fig. 6a).  
383 Among them, the three proteins making up the Type I RM system (R, M, and S) were the most  
384 represented (Fig. 6b). A previous metaepigenomic exploration revealed the diversity of DNA  
385 methylated motifs and methyltransferase genes among Lake Biwa bacterioplankton assemblages (77).  
386 Interestingly, the study reported a corresponding pair of a methylated motif and a methyltransferase  
387 gene is often absent in MAGs, which could be attributable to the incompleteness of MAGs or to the  
388 limited sensitivity of the method. Further, the study found that the ratio of methylation in each motif  
389 in a genome varied considerably from 19% to 99%, for which the authors reasoned the methodological  
390 limitation of modification detection power (77). Our results introduce another possible explanation for  
391 these observations: the mobility of RM-related genes within a sequence-discrete population might  
392 have resulted in the heterogeneous recovery of methylated motifs or methyltransferase genes in an  
393 MAG. The variable nature of epigenetic modification proposes another layer of genomic  
394 microdiversity, which will be key to revealing the mechanism behind the virus–host arms race.

395 The next most represented defense genes in deletions were those involved in TA systems  
396 (Fig. 6b), which can also act as an antiphage system (78). Recent experimental work has demonstrated  
397 that mobility and rapid turnover of genes involved in intracellular defense machinery are essential  
398 mechanisms to maintaining the core genome in the face of phage predation (79). Our results that RM  
399 and TA systems are highly mobile (Fig. 6b) suggest the prevalence of such mechanisms in the  
400 ecosystem. In addition, SNV analysis revealed that the prokaryotic defense system was the gene  
401 category with the lowest nucleotide diversity (Fig. 4a) and among the highest pN/pS ratios (Fig. 4b),  
402 which implies that the defense genes are positively selected by phage predation. Meanwhile, both RM  
403 and TA systems can behave as selfish and addictive elements and are prone to be horizontally  
404 transferred with an MGE (78, 80, 81). Their beneficial and parasitic aspects are not mutually exclusive,  
405 and the relative contribution of the two remains unresolved. Thus, we cannot rule out the possibility  
406 that some defense genes are rather parasitic and nonbeneficial or even detrimental for the host. In any  
407 case, these genes are among the most prevalent mobile genes generating genomic heterogeneity within  
408 a sequence-discrete population.

409 Although not as frequent as RM and TA systems, we also found deletions associated with  
410 genes involved in the CRISPR–Cas system (Fig. 6b). Further investigation revealed individual cases  
411 in which the whole CRISPR–Cas system was involved in a deletion, and one of them further included  
412 TA system genes (Fig. S9). Experimental studies have suggested that the CRISPR–Cas system can  
413 disseminate horizontally (82, 83) and is sometimes encoded in an MGE, which facilitates not only  
414 adaptive immunity against phages but also inter-MGE competition and guided transposition of the  
415 MGE (84–86). Our results provide evidence of the mobility of the CRISPR–Cas system in an  
416 ecosystem, although it remains unknown whether it is beneficial or parasitic for the host.

417 Finally, we note that our monthly investigation revealed a shift in the allele frequency of  
418 deletions or insertions involving the CRISPR–Cas system and CRISPR spacers during the study period

419 (Figs. S9 and S10). The results suggest monthly turnover of the population composition driven by the  
420 virus–host arms race. Such a rapid shift of population composition has been demonstrated from the  
421 virus side in the marine system (22). Our results are the demonstration from the host side and propose  
422 the significance of not only sympatric but also temporal microdiversity. In summary, our ecosystem-  
423 wide investigation of SNVs and SVs suggests that phage predation is the major driving force of  
424 genomic microdiversification among the environmental microbial assemblage. The key question for  
425 future works is whether and how the mobility of defense genes is beneficial for the host, for which the  
426 microdiversity of the counteracting viral genome must be explored.

## 427 Conclusion

428 Our ecosystem-wide high-resolution approach combining spatiotemporal sampling and long- and  
429 short-read metagenomics resulted in two major achievements. First, we presented a collection of high-  
430 quality MAGs covering the majority of the prokaryotic diversity in a deep freshwater lake, which will  
431 be a valuable reference for future studies in freshwater microbial ecology. Then the broad spectrum of  
432 SNVs and SVs masked in the MAGs were detected by short- and long-read mapping, respectively,  
433 which is the second and greater achievement of this work. Based on the results, we conclude that  
434 genomic microdiversification is primarily driven by viral load and constrained by genetic bottlenecks.

435 We also demonstrated the performance and limitation of our “consensus-first” approach (Fig.  
436 1). To push the consensus-first approach further, future works can consider gaining a deeper  
437 sequencing depth (for instance, using the PromethION platform (87, 88)) and obtaining longer  
438 sequencing reads with a more sophisticated DNA extraction method (89). Alternative possible  
439 approaches include genome-free metagenomics, which directly handles pan-metagenomic graphs  
440 without the prerequisite of a linear genomic assembly (90). The ultimate approach will be a strain-  
441 resolved assembly, which usually requires an isolated culture or single cell but was recently  
442 accomplished in a metagenomic assembly using highly accurate long reads (i.e., PacBio HiFi reads)

443 (20), although it is still too costly for common application.

444 Lakes are physically separated unique ecosystems and thus harbor genetically isolated  
445 microbiomes (91), while those in the marine system are likely distributed globally (64, 92) presumably  
446 following the rapid circulation of global surface seawater (93). This implies that we can further  
447 perform a comparative study among different lakes, in which each lake can be considered as a replicate  
448 or control of an ecosystem. The two main factors affecting genome microdiversification (genetic  
449 bottlenecks and virus–host interactions) are both lake-specific. The microbiomes in different lakes  
450 have a different history of biological interactions in different physicochemical conditions, which  
451 would result in different trajectories of genome microdiversification. For instance, we hypothesize that  
452 a larger and older lake is less affected by genetic bottlenecks in terms of time and space. That is, the  
453 extent of bacterioplankton microdiversification in Lake Biwa (the largest and oldest lake in Japan)  
454 might be the greatest among the lakes in the country but might be lower than that of Lake Baikal, the  
455 largest and oldest freshwater lake on the earth. Such inter-lake comparative analyses will be an  
456 effective approach to further validate the findings in the present study and unveil the universal  
457 mechanisms in the diversification and evolution of the microbial genome.

## 458 **Data availability**

459 The raw sequencing reads generated in the present study are available under accession numbers  
460 DRR333363–DRR333410 (BioProject ID: PRJDB12736) as summarized in Table S1. Nucleotide  
461 fasta files of the rMAGs are available in <https://doi.org/10.6084/m9.figshare.19165673.v1>

## 462 **Author contributions**

463 YO and HT conceived the study and performed experimental work. YO and SN performed field  
464 sampling. AT performed DNA sequencing. YO conducted data analysis and wrote the draft. All authors  
465 contributed to finalizing the draft and approved for the final version.

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474 **Conflict of interest**

475 The authors declare no conflict of interest.

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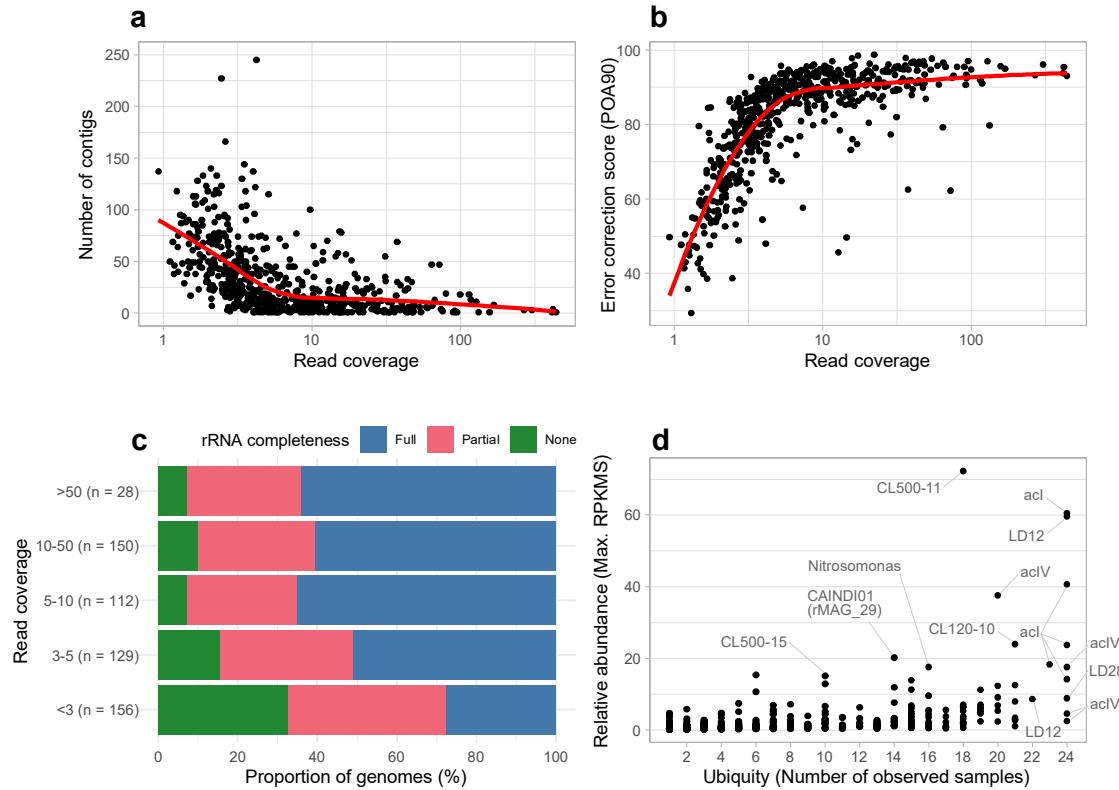
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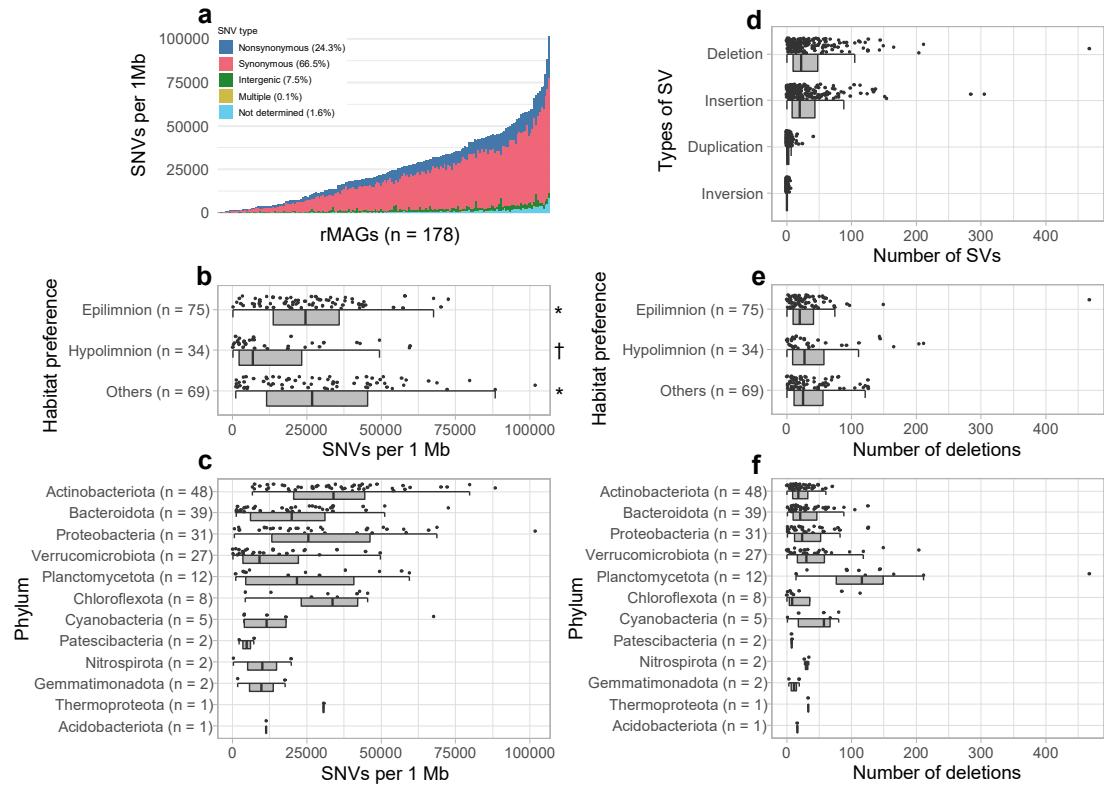
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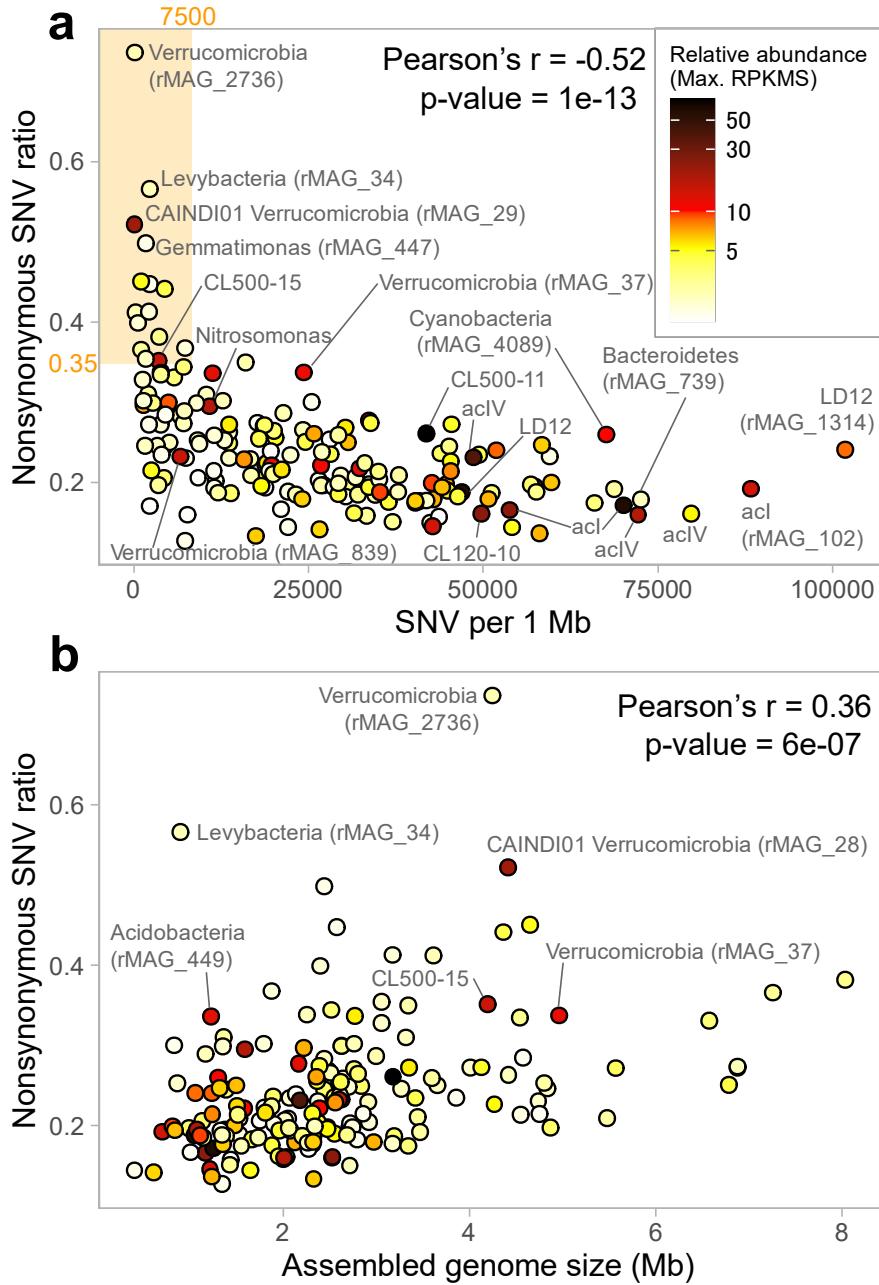
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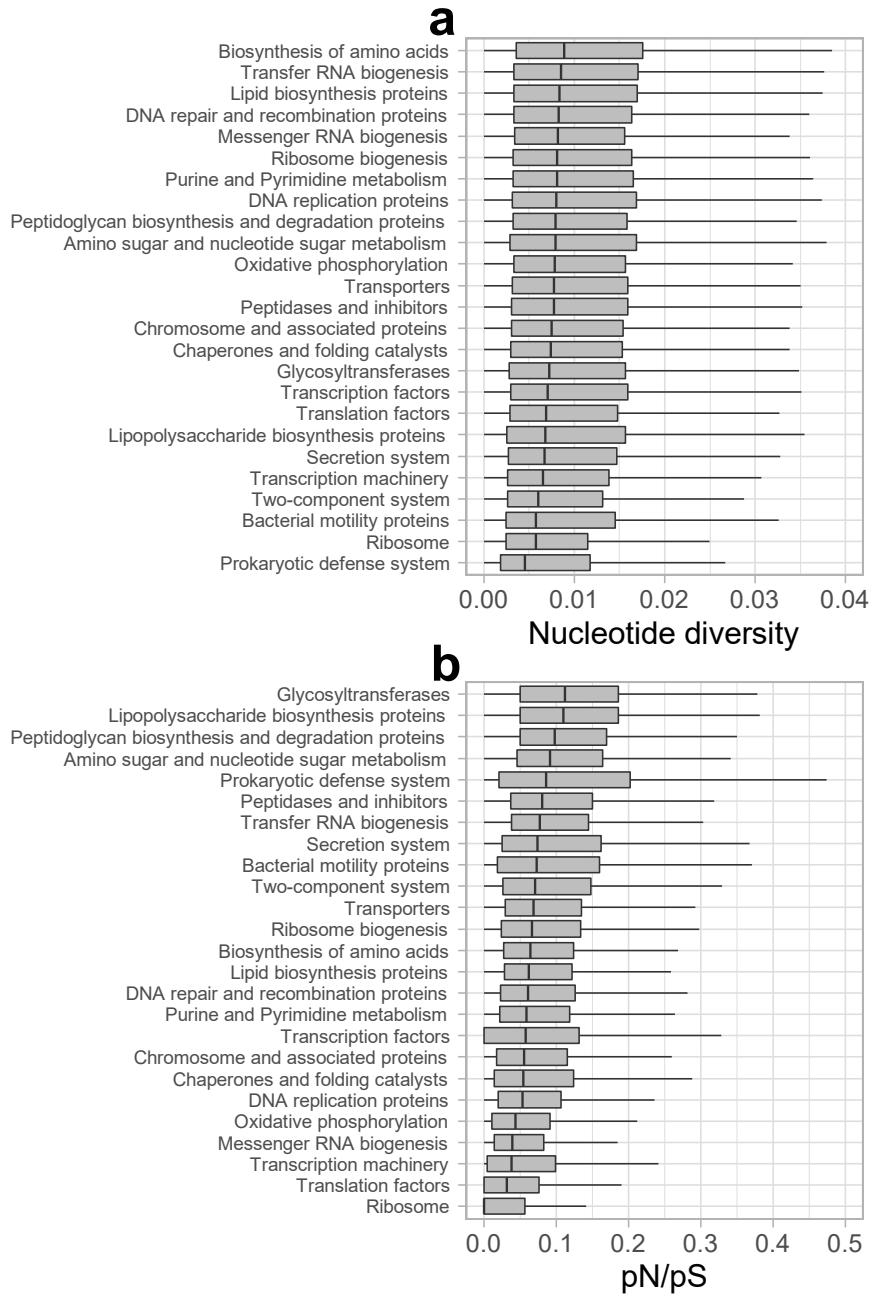
**Figure 1.** Overview of the 575 rMAGs. Individual rMAGs are represented by each point. Distribution of the (a) number of contigs and (b) error correction score (POA90; proportion of open reading frames [ORFs] aligned > 90% of its length to the reference database) plotted against the read coverage. Solid red lines represent local regression (loess). Read coverage was defined as the average short-read coverage in the representative sample for each rMAG. (c) Proportion of rMAGs with different rRNA gene (i.e., 5S, 16S, and 23S) completeness grouped by read coverage value. (d) Ubiquity–abundance plot of the rMAGs. Relative abundance was defined as maximum reads per kilobase of genome per million reads sequenced (RPKMS) recorded among the 24 samples (i.e., those recorded in the representative sample of the rMAG). Ubiquity was defined as the number of samples in which short reads were mapped to > 50% of the length of the rMAG sequence. Abundant and ubiquitous members are labeled. Detailed statistics for the rMAGs are available in Table S2.



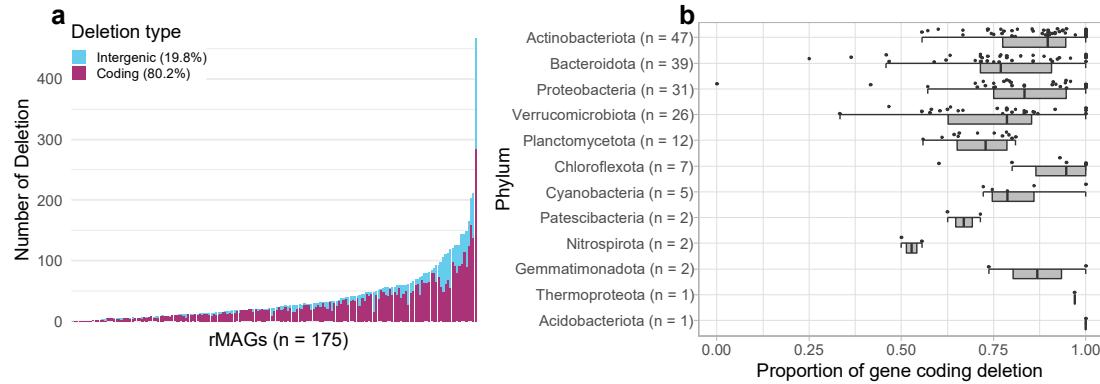
**Figure 2.** Overview of SNVs and SVs among the 178 rMAGs with  $> 10\times$  short-read coverage. (a) Each bar represents an individual rMAG, sorted by the number of SNVs per 1 Mb. SNV types determined by inStrain are shown in different colors. The mean proportion of each SNV type among the rMAGs is shown in the color legend. (b–f) Individual rMAGs are represented by each point. Distribution of the number of SNVs per 1 Mb grouped by (b) habitat preference and (c) phylum. (d) Distribution of the number of the four types of SVs in an rMAG. Distribution of the number of deletions in an rMAG grouped by (e) habitat preference and (f) phylum. The same symbol (\* or †) in (b) and (e) indicates no significant difference ( $p > 0.05$  in the Wilcoxon rank-sum test) among the groups.



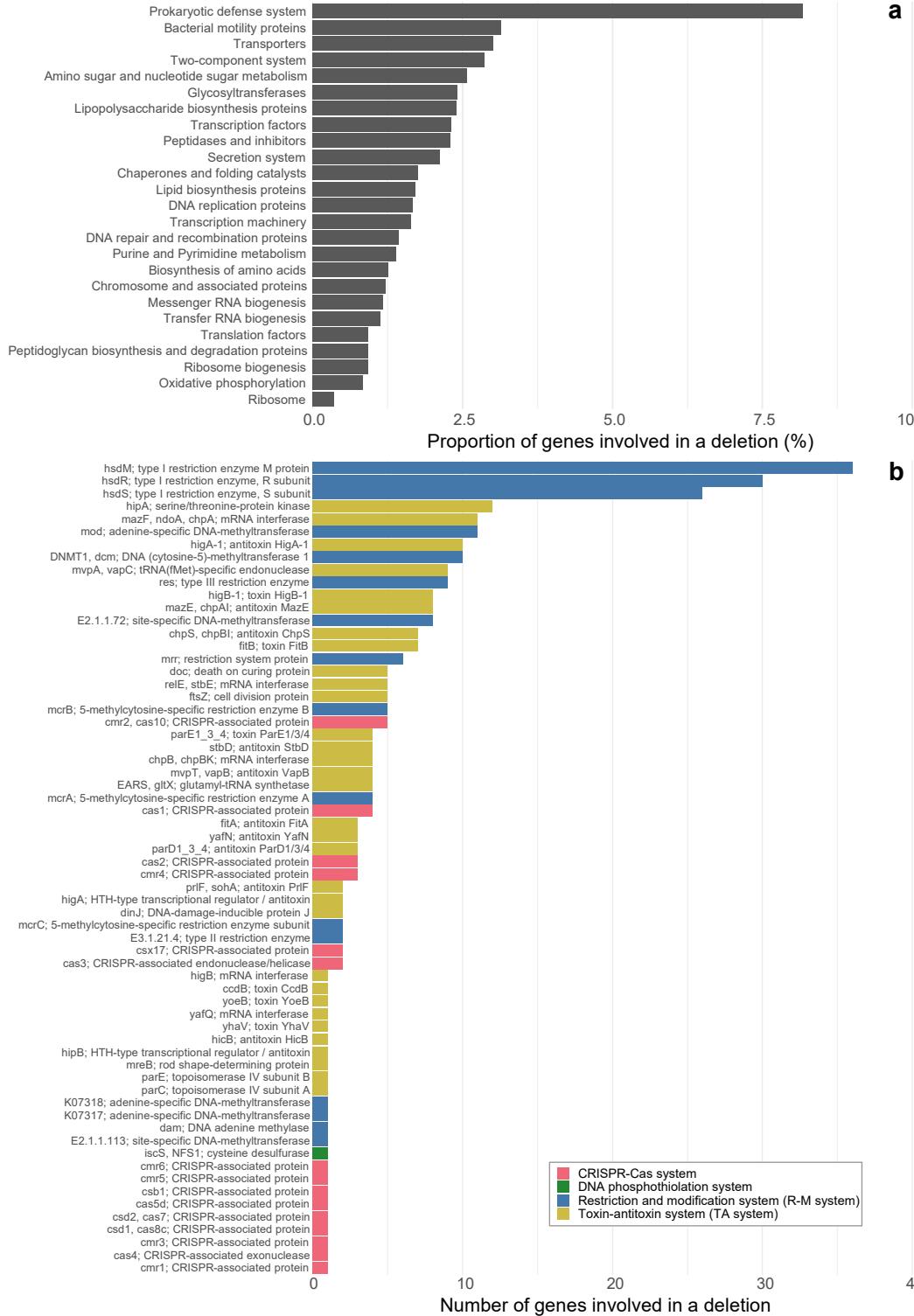
**Figure 3.** Nonsynonymous SNV ratio of each rMAG plotted against the (a) number of SNV per 1 Mb and (b) assembled genome size. Plot color indicates the relative abundance (maximum RPKMS) of each rMAG defined same as in Figure 1. Representative rMAGs with a high relative abundance or nonsynonymous SNV ratio are labeled. The orange-shaded area on (a) delineates the 15 rMAGs with outstandingly high nonsynonymous SNV ratios ( $> 35\%$ ) and a low number of SNVs ( $< 7500$  per 1 Mb).



**Figure 4.** Boxplots indicating the distribution of the (a) nucleotide diversity and (b) pN/pS of genes among the 178 high coverage rMAGs grouped by gene categories. The categories are sorted by the median. Both nucleotide diversity and pN/pS were determined by inStrain. The nucleotide diversity of a gene is defined as a gene-wide average of base-wise nucleotide diversity defined as  $1 - (F_A^2 + F_C^2 + F_G^2 + F_T^2)$ , where  $F_X$  is the frequency of base X in the given nucleotide position.



**Figure 5.** Overview of deletions among rMAGs. Three rMAGs with no deletions were removed from the analysis; the remaining 175 high-coverage rMAGs are shown. (a) Each bar represents an individual rMAG, sorted by the number of deletions. Coding (i.e., overlapping with a gene-coding region) and intergenic deletions are shown in different colors. The mean proportion of each deletion type among the rMAGs is shown in the color legend. (b) Distribution of the proportion of gene-coding deletions grouped by phylum. Individual rMAGs are represented by each point.



**Figure 6.** Genes involved in deletions among the 178 high-coverage rMAGs. (a) Proportion of genes involved in a deletion, grouped by gene categories. The same data shown by the number of genes are available in Figure S5. (b) Number of prokaryotic defense system genes involved in a deletion, colored by the type of defense system.