

1 Heterotypic vaccination responses against SARS-CoV-2 Omicron BA.2

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36 **Abstract**

37 The Omicron sub-lineage BA.2 of SARS-CoV-2 has recently become dominant across many areas
38 in the world in the on-going waves of COVID-19. Compared to the ancestral/wild-type (WT) virus,
39 Omicron lineage variants, both BA.1 and BA.2, contain high number of mutations, especially in
40 the spike protein, causing significant immune escape that leads to substantial reduction of vaccine
41 and antibody efficacy. Because of this antigenic drift, BA.2 exhibited differential resistance profile
42 to monoclonal antibodies than BA.1. Thus, it is important to understand whether the immunity
43 elicited by currently available vaccines are effective against the BA.2 subvariant. We directly
44 tested the heterotypic vaccination responses against Omicron BA.2, using vaccinated serum from
45 animals receiving WT- and variant-specific mRNA vaccine in lipid nanoparticle (LNP)
46 formulations. Omicron BA.1 and BA.2 antigen showed similar reactivity to serum antibodies
47 elicited by two doses of WT, B.1.351 and B.1.617 LNP-mRNAs. Neutralizing antibody titers of
48 B.1.351 and B.1.617 LNP-mRNA were ~2-fold higher than that of WT LNP-mRNA. Both
49 homologous boosting with WT LNP-mRNA and heterologous boosting with BA.1 LNP-mRNA
50 substantially increased waning immunity of WT vaccinated mice against both BA.1 and BA.2
51 subvariants. The BA.1 LNP-mRNA booster was ~3-fold more efficient than WT LNP-mRNA at
52 elevating neutralizing antibody titers of BA.2. Together, these data provided a direct preclinical
53 evaluation of WT and variant-specific LNP-mRNAs in standard two-dose and as boosters against
54 BA.1 and BA.2 subvariants.

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58 Coronavirus disease 2019 (COVID-19) pandemic has taken away over 6 million lives in the past
59 two years, and continues to pose a significant threat to the world due to the increased
60 transmissibility, infectivity and immune evasion of continuously emerging variants of severe acute
61 respiratory syndrome coronavirus 2 (SARS-CoV-2)¹. Within weeks since its first identification in
62 southern Africa, the newly emerged variant of concern, Omicron (B.1.1.529) has become the
63 dominant variant and spread rapidly worldwide². The spread of Omicron initial form BA.1 was
64 followed by a rapid rise of an Omicron sub-lineage BA.2, which is now also designated as a variant
65 of concern (VoC)³ and has represented more than 70% North America cases and 80% global cases²,

66 eclipsing the once-dominant BA.1. The on-going “fifth wave” and “sixth wave” of COVID-19 are
67 predominantly associated with BA.2 and have claimed hundreds of thousands of lives to date,
68 especially in Asia and Europe at the time of this study^{4,5}.

69

70 Compared to the ancestral / wild-type (WT) virus, Omicron variants contain an alarming number
71 of mutations (over 30 mutations) in spike protein, which is the primary target of clinical antibodies
72 and vaccines. The substantial differences between WT and Omicron spike lead to extensive
73 immune escape of Omicron from WT mRNA vaccine⁶, which prompt the idea of developing
74 Omicron-specific vaccines. We generated several COVID variant-specific mRNA vaccine
75 candidates (including BA.1 subvariant)^{7,8} which were designed based on variants’ spike stabilized
76 by six proline mutations⁹. Variant-specific vaccine candidates, or lipid nanoparticle (LNP)-
77 mRNAs, unequivocally exhibited varying degrees of advantage over WT LNP-mRNA in terms of
78 eliciting neutralizing antibody against cognate variant antigens^{7,8}. Moreover, immune profiling of
79 Omicron BA.1 LNP-mRNA showed a significant boosting effect on waning immune response of
80 WT LNP-mRNA vaccinated mice to both Delta and Omicron BA.1 variants.

81

82 BA.1 and BA.2 subvariants share 21 mutations, but differ in 25 sites (**Fig. 1a-1b**). Because of
83 this antigenic drift, BA.2 exhibited differential resistance profile to monoclonal antibodies than
84 BA.1¹⁰. The significant difference of BA.1 and BA.2 spikes raises a number of profound questions.
85 For instance, how potent is the immunity elicited by heterotypic vaccination, with WT or variant
86 specific LNP-mRNAs, against BA.2 subvariant? How does this immune response compare to the
87 response to BA.1? Does heterologous boosting with WT plus BA.1 LNP-mRNA or homologous
88 boosting with WT LNP-mRNA remain effective against BA.2?

89

90 To answer these questions, we first characterized the antibody response induced by WT or
91 variant specific LNP-mRNAs to Omicron BA.2 sublineage and compared it with immune response
92 to BA.1. Samples used for BA.2 characterization were collected from mice that received two doses
93 of 1µg WT, B.1.351 or B.1.617 LNP-mRNAs⁸. All three LNP-mRNA including WT, B.1.351 and
94 B.1.617 elicited significant antibody response to BA.2 (**Fig. 1c-1d**). Both B.1.351 and B.1.617
95 LNP-mRNA treatment group showed a trend of higher binding and neutralizing titers than WT
96 group, albeit insignificant. Because of selection pressure, emerging variants often retain some

97 signature mutations conferring fitness advantage from past variants¹¹. BA.2 shares 3 mutations
98 with B.1.351 (K417N, N501Y, D614G) and B.1.617 (G142D, D614G, P681R), which may explain
99 why the antibody response to BA.2 was higher in these two variants LNP-mRNA groups compared
100 to WT LNP-mRNA (**Fig. 1a**). In all three vaccination groups, antibody response to BA.2 was
101 similar to that of BA.1 (**Fig. 1c**), suggesting approximately equal reactivity of BA.1 and BA.2 to
102 heterotypic vaccination by WT, B.1.351 and B.1.617 LNP-mRNA. It is worth noting that both
103 BA.1 and BA.2 share the same 3 mutations with B.1.351 and B.1.617, which contributed to the
104 conserved cross reactivity of variant LNP-mRNA to two Omicron sublineages.

105
106 Given the BA.2 neutralization titer advantage of variant LNP-mRNA over WT counterpart, we
107 went on to profile the antibody response of BA.1 LNP-mRNA to BA.2 subvariant. To model the
108 real-world scenario of boosting waning immunity of general population receiving WT mRNA
109 vaccines^{12,13}, we sought to investigate the effect of homologous boosting with WT LNP-mRNA
110 or heterologous boosting with BA.1 LNP-mRNA on waning immunity of WT vaccinated animals
111 against Omicron BA.2. The overall antibody titer changes over time in matched booster groups
112 showed similar trend within BA.1 and BA.2 ELISA datasets (**Fig. 1e**). A 20-fold time-dependent
113 decrease in antibody titer was observed over 4 months (day 35 vs. day 166) in both BA.1 and BA.2
114 datasets, suggesting evident and comparable waning immunity for the two Omicron sublineages.
115 When comparing the boosting effect of WT and BA.1 LNP-mRNA, BA.1 LNP-mRNA
116 consistently showed a better performance than WT in BA.1 and BA.2 datasets. The antibody titer
117 increases by BA.1 LNP-mRNA were 293-fold (fold change = titers ratio - 1) and 137-fold for
118 BA.1 and BA.2 antigens respectively, while the ones mediated by WT LNP-mRNA were 62-fold
119 and 48-fold. Comparing to BA.1 antigen, both WT and BA.1 LNP-mRNA showed weaker
120 boosting effects on BA.2 antigen and this effect reduction was more apparent for BA.1 LNP-
121 mRNA than WT LNP-mRNA. As the post-booster titers against BA.1 and BA.2 were quite similar,
122 this reduction was mainly due to higher pre-booster titers against BA.2 antigen, although such pre-
123 booster titer difference between BA.1 and BA.2 did not reach statistical significance. The data
124 from pseudovirus neutralization assay of BA.2 correlated well with corresponding ELISA data and
125 strengthened the forementioned findings in ELISA (**Fig. S3**). The neutralizing titer enhancement
126 mediated by WT and BA.1 boosters were 18-fold ($p < 0.001$) and 63-fold ($p < 0.0001$), respectively
127 (**Fig. 1f**). Importantly, the heterotypic vaccination by Omicron BA.1 LNP-mRNA vaccine booster

128 is more efficient at boosting neutralizing titers than WT LNP-mRNA booster (comparing boosting
129 effect of WT vs. BA.1, $64/18=3.6$, **Fig. 1f**). These data highlight the benefit of receiving booster
130 shots and advantage of BA.1-specific vaccine over WT vaccine against BA.2.

131

132 In summary, our data showed a significant drop of antibody titers over time and clear benefit of
133 heterotypic vaccination by WT and BA.1 LNP-mRNA boosters on both BA.1 and BA.2
134 subvariants, which justify and necessitate the use of homologous WT or heterologous BA.1
135 boosters in order to curb the fast spread of Omicron subvariants. The heterologous booster by BA.1
136 vaccination on top of the two-dose WT vaccination may provide stronger benefit against the BA.2
137 variant, which is the current global dominant VoC. The remarkable antigenic drift of emerging
138 variants from WT virus renders many existing clinical antibodies and vaccines suffer from efficacy
139 loss, which is especially evident for Omicron BA.1 and BA.2 subvariants. To prevent this ever-
140 evolving enemy breaking through our line of defense, we generated and characterized a number
141 of variant-specific LNP-mRNAs, including B.1.351, B.1.617 and BA.1. Because of shared
142 mutations with BA.1 or BA.2 sublineages, these variant-specific LNP-mRNA displayed better
143 performance of inducing neutralizing antibodies than WT LNP-mRNA in booster and non-booster
144 settings. Rapid development and preclinical characterization of these variant-specific LNP-
145 mRNAs would benefit the development of mRNA vaccines targeting the evolving variants.

146

147 **Figure legend**

148 **Figure 1. WT and variant-specific LNP-mRNA elicited potent antibody response against**
149 **Omicron BA.1 and BA.2 sublineages.**

150 **a**, Schematics showing variant mutation distribution on spike sequences used in the variant specific
151 vaccine design.

152 **b**, Omicron BA.1 and BA.2 mutations were displayed in one protomer of Omicron BA.1 spike
153 trimer (PDB: 7T9K).

154 **c**, Comparison of antibody response induced by two doses of 1 μ g WT, B.1.351 or B.1.617 LNP-
155 mRNA at 21 days interval. Vaccination scheme and blood collection time were shown on the time
156 axis (top). Antibody titers were determined by area under curve (AUC) of ELISA titration curves
157 in Figure S1. The number of animals in each vaccination group were shown as n in the bracket.

158 **d**, Neutralization of Omicron BA.2 pseudovirus by serum samples from mice vaccinated with 1 μ g
159 WT, B.1.351 or B.1.617 LNP-mRNA as illustrated in **Fig. 1c**. The neutralizing titers were
160 quantified by log₁₀ reciprocal IC₅₀ and calculated from titrations in **Fig. S2**.

161 **e**, BA.1 and WT boosters strengthened waning immunity against both Omicron BA.1 and BA.2
162 variants. Vaccination scheme and blood collection time were shown on the time axis (top). ELISA
163 antibody titers of samples from mice sequentially vaccinated with two doses of 1 μ g WT LNP-
164 mRNA followed by 10 μ g WT (WT x 3, n = 5) or Omicron BA.1 (WT x 2 + BA.1, n = 4) LNP-
165 mRNA boosters. The pre-booster groups (day 35 and day 166) to receive WT or BA.1 boosters
166 were denoted as WT x 2 (+ WT) and WT x 2 (+ BA.1) respectively.

167 **f**, Neutralization of Omicron BA.2 pseudovirus by plasma samples from mice before and after
168 receiving WT or Omicron BA.1 LNP-mRNA boosters as illustrated in **Fig. 1e**.

169 Individual data points represent value from each mouse sample and are shown on dot-bar plots as
170 mean \pm s.e.m.. Data points of PBS group showed no statistical difference between collection time
171 points and were combined to one group in graph EF. To assess statistical significance, two-way
172 ANOVA with Tukey's multiple comparisons test was used. Statistical significance labels: * p <
173 0.05; ** p < 0.01; *** p < 0.001; **** p < 0.0001. Non significant comparisons are not shown.

174 Only comparisons between adjacent time points or groups of same time point were shown in Fig.
175 1e-1f.

176 **Supplemental figure legend**

177 **Figure S1. ELISA dose-response curves of serially diluted plasma or sera collected at**
178 **indicated time points from mice vaccinated with WT or variant specific LNP-mRNA.**

179 **a**, Titration curves against BA.1 (left) and BA.2 (right) RBDs by samples from mice immunized
180 with two doses of 1ug WT, B.1.351 or B.1.617 LNP-mRNAs.

181 **b**, Titration curves against BA.1 (left) and BA.2 (right) RBDs by mice samples before and after
182 10ug WT or BA.1 LNP-mRNA booster shots.

183 The average OD450 response were shown as mean \pm s.e.m. and plotted against serial log₁₀-
184 transformed sample dilution points.

185

186 **Figure S2. Neutralization titration curves of serially diluted plasma or sera collected at**
187 **indicated time points from mice vaccinated with WT or variant specific LNP-mRNA.**

188 **a**, Neutralization curves of BA.2 pseudovirus by samples from mice immunized with two doses of
189 1ug WT, B.1.351 or B.1.617 LNP-mRNAs.

190 **b**, Neutralization curves of BA.2 pseudovirus by samples before and after 10ug WT or BA.1 LNP-
191 mRNA booster shots.

192 The average GFP positive rates or pseudovirus infection rates were shown as mean \pm s.e.m. and
193 plotted against serial log₁₀-transformed sample dilution points.

194

195 **Figure S3. Correlation between antibody titers measured by ELISA and pseudovirus**
196 **neutralization assay.**

197 Pseudovirus neutralizing antibody titers were shown on y axis as log₁₀ reciprocal IC50 and plotted
198 against ELISA binding antibody titers on x axis (log₁₀ AUC). Titer values were either from mean
199 of matched vaccination group (a) or individual animal (b).

200

201 **Figure S4. Representative flow cytometry gating strategy used in pseudovirus neutralization**
202 **assay for detecting GFP positive or infected cells.**

203

204 **Methods**

205 **Molecular cloning and mRNA transcription**

206 The coding sequence of Omicron BA.2 spike were derived from isolates in GISAID EpiCoV
207 database (EPI_ISL_6795834.2). The spike plasmids were linearized by restriction enzymes and
208 transcribed to mRNA by in vitro T7 RNA polymerase (NEB, Cat # E2060S) as previously
209 described^{7,8}.

210

211 **Cell culture**

212 293T and hACE2-293FT cells were maintained in Dulbecco's minimal essential medium (DMEM,
213 Fisher) supplemented with 10% fetal bovine serum (Hyclone) and penicillin (100 U/ml)-
214 streptomycin (100 ug/ml). Cells were split ever other day at a 1:4 ratio when confluency is over
215 90%.

216

217 **Lipid nanoparticle mRNA preparation**

218 The lipid nanoparticle mRNA were prepared as previously described^{7,8}. In brief, lipid mixture was
219 dissolved in ethanol and mixed with mRNA in pH 5.2 sodium acetate. The mRNA encapsulated
220 by LNP (LNP-mRNA) was then exchanged to PBS using 100kDa Amicon filter (Macrosep
221 Centrifugal Devices 100K, 89131-992). The DLS device was used to validate the size distribution
222 of LNP-mRNA (DynaPro NanoStar, Wyatt, WDPN-06). The encapsulation rate and mRNA
223 amount were determined by Quant-iT™ RiboGreen™ RNA Assay (Thermo Fisher).

224

225 **Animal vaccination**

226 Animal immunization were performed previously on 6-8 weeks female C57BL/6Ncr mice
227 purchased from Charles River in two sets of experiments: 1) sequential vaccination with two doses
228 of 1µg WT LNP-mRNA followed by 10µg Omicron BA.1 or WT boosters⁷ ; 2) vaccination with
229 two doses of 1µg WT, B.1.351, B.1.617 LNP-mRNA⁸. Retro-orbital blood were collected two
230 weeks post boost (2nd dose, day 35), right before boosters (day 166), and two weeks post boosters
231 (3rd dose, day 180).

232

233 **ELISA and Neutralization assay**

234 The binding and neutralizing antibody titers were determined by ELISA and pseudovirus
235 neutralization assay as previously described^{7,8}. The Omicron BA.1 RBD and BA.2 RBD used in
236 ELISA were purchased from Sino Biological (Cat. No. 40592-V08H121) and AcroBiosystems
237 (Cat. No. SPD-C522g-100ug) respectively. The pseudovirus plasmids were generated based on
238 the WT plasmid which was a gift from Dr. Bieniasz's lab¹⁴.

239

240 **Data availability**

241 All source data and statistics are provided in this article and its supplementary table excel file.
242 Additional information related to this study are available from the corresponding author upon
243 reasonable request.

244

245 **Code availability**

246 No custom code was used in this study.

247

248

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256

257 **Author Contributions**

258 ZF: design of the study groups, constructs, cloning, vaccine system development, ELISA, data
259 analysis, figure prep, and writing

260 LP: vaccination system development, immunization, sample collection, neutralization, and data
261 analysis

262 QL, LZ, LY, YF, PR: assisting experiments, resources

263 PAR, JJP, XZ: vaccination system development

264 CBW: resources, supervision

265 SC: conceptualization, overall design, funding, supervision

266

267 Reference

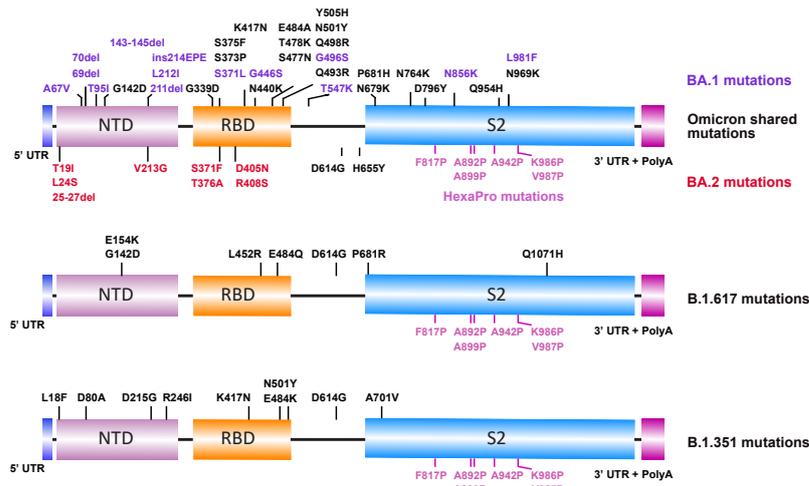
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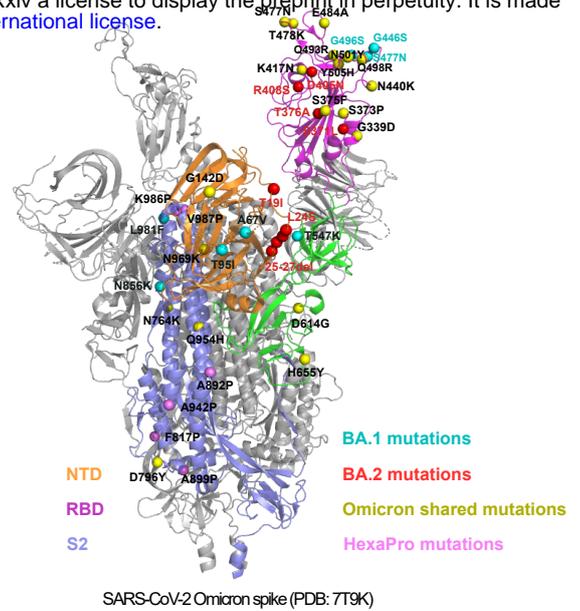
Figure 1

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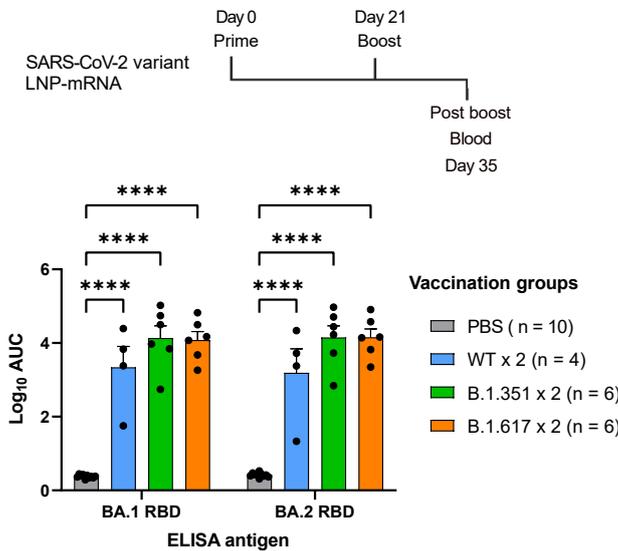
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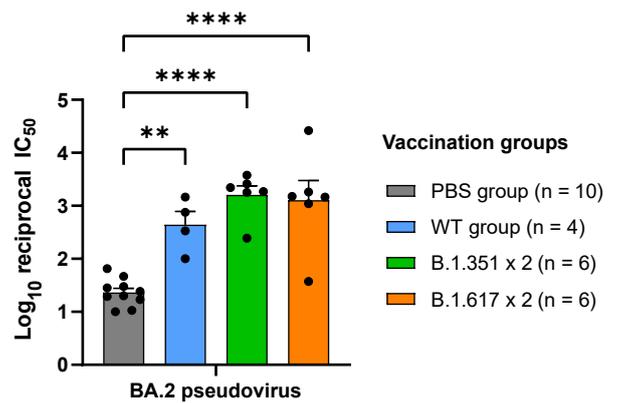
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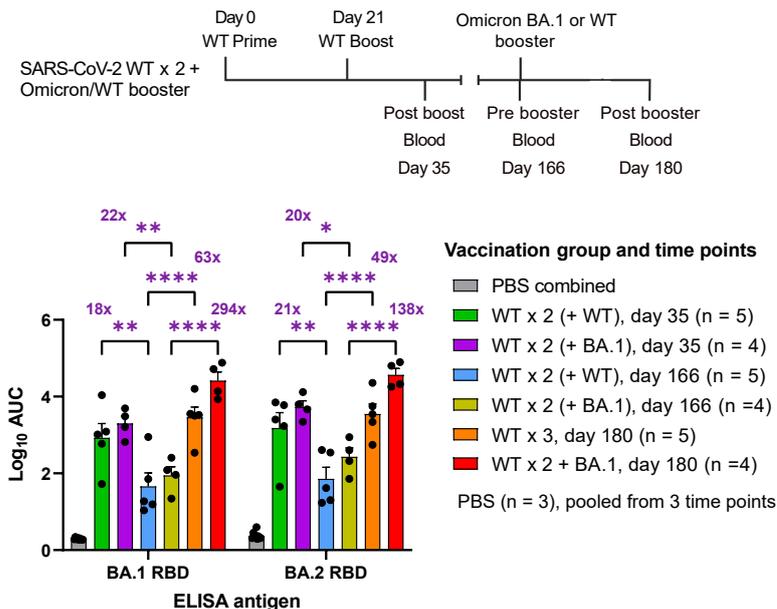
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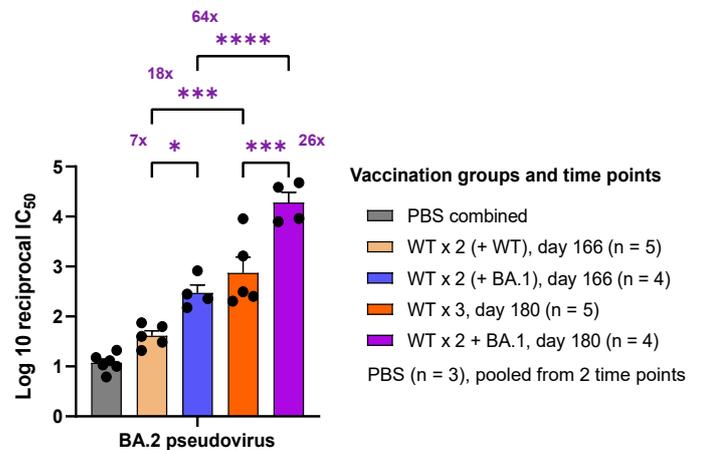
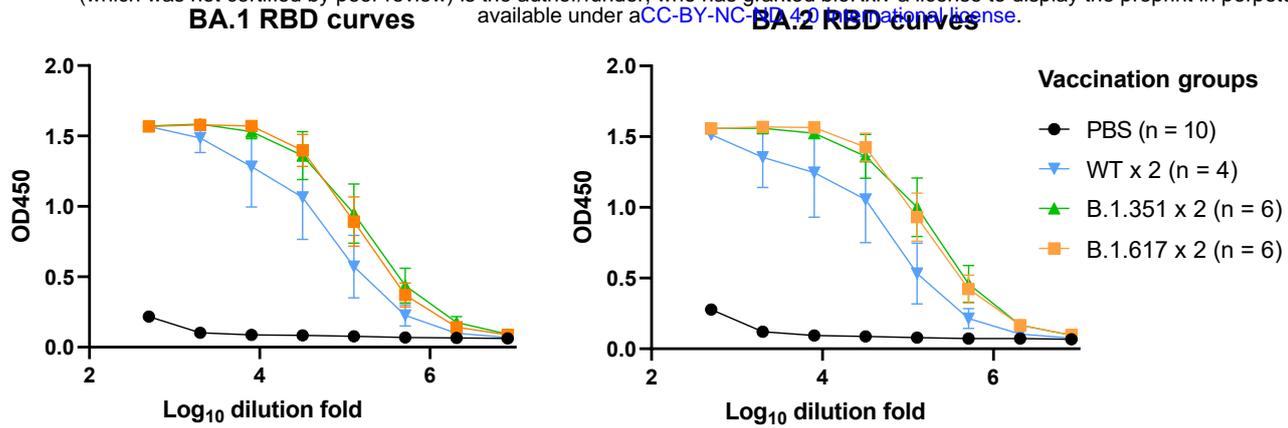


Figure S1

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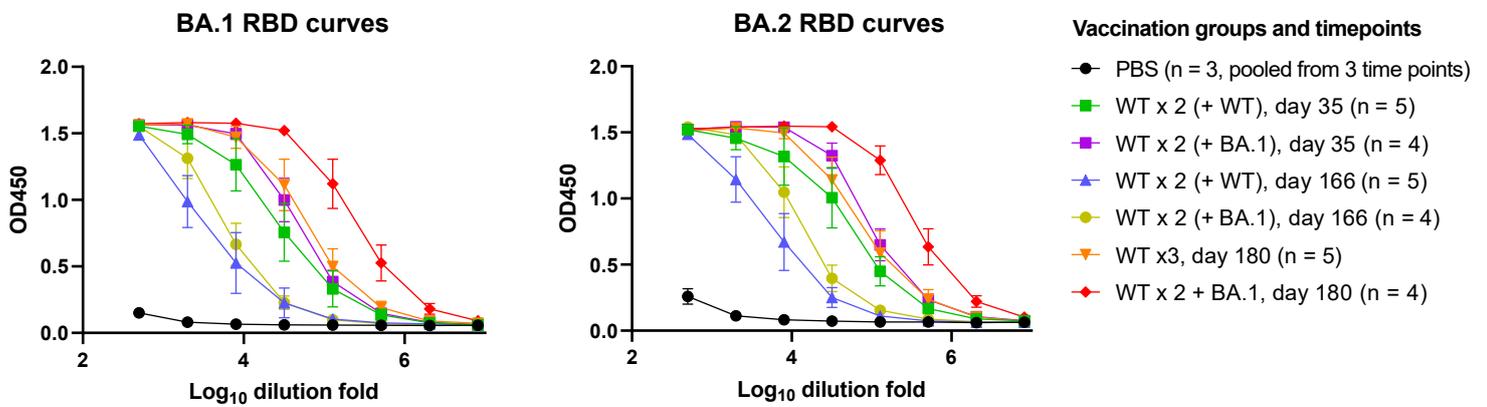
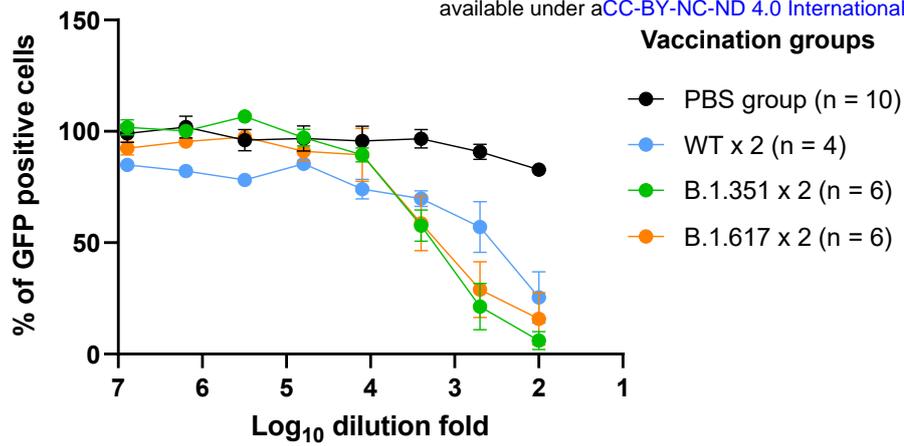


Figure S2

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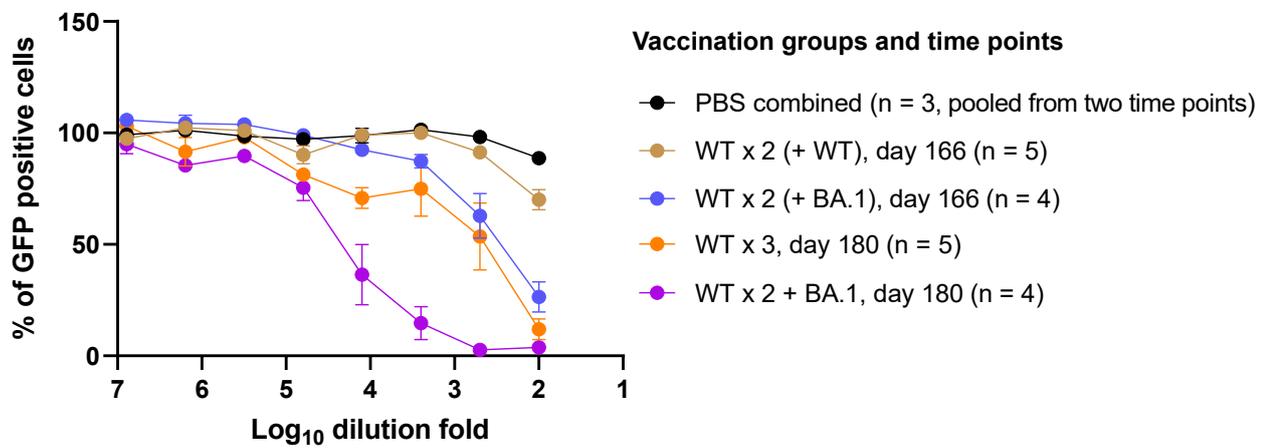
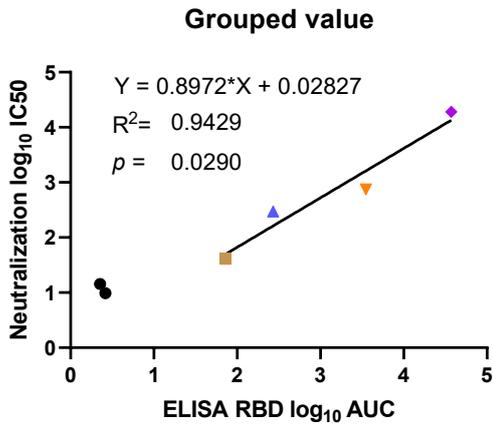


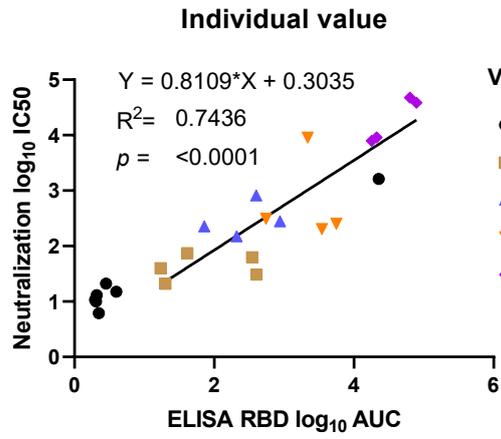
Figure S3

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a



b



Vaccination groups and time points

- PBS (n = 3, pooled from 2 time points)
- WT x 2 (+ WT), day 166 (n = 5)
- ▲ WT x 2 (+ BA.1), day 166 (n = 4)
- ▼ WT x 3, day 180 (n = 5)
- ◆ WT x 2 + BA.1, day 180 (n = 4)

Figure S4

