

1 **Intranasal Nanoemulsion Adjuvanted S-2P Vaccine Demonstrates Protection in Hamsters**
2 **and Induces Systemic, Cell-Mediated and Mucosal Immunity in Mice**

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20 **Abstract**

21 With the rapid progress made in the development of vaccines to fight the SARS-CoV-2
22 pandemic, almost >90% of vaccine candidates under development and a 100% of the licensed
23 vaccines are delivered intramuscularly (IM). While these vaccines are highly efficacious against
24 COVID-19 disease, their efficacy against SARS-CoV-2 infection of upper respiratory tract and
25 transmission is at best temporary. Development of safe and efficacious vaccines that are able to
26 induce robust mucosal and systemic immune responses are needed to control new variants. In
27 this study, we have used our nanoemulsion adjuvant (NE01) to intranasally (IN) deliver
28 stabilized spike protein (S-2P) to induce immunogenicity in mouse and hamster models. Data
29 presented demonstrate the induction of robust immunity in mice resulting in 100%
30 seroconversion and protection against SARS-CoV-2 in a hamster challenge model. There was a
31 significant induction of mucosal immune responses as demonstrated by IgA- and IgG-producing
32 memory B cells in the lungs of animals that received intranasal immunizations compared to an
33 alum adjuvanted intramuscular vaccine. The efficacy of the S-2P/NE01 vaccine was also
34 demonstrated in an intranasal hamster challenge model with SARS-CoV-2 and conferred
35 significant protection against weight loss, lung pathology, and viral clearance from both upper
36 and lower respiratory tract. Our findings demonstrate that intranasal NE01-adjuvanted vaccine
37 promotes protective immunity against SARS-CoV-2 infection and disease through activation of
38 three arms of immune system: humoral, cellular, and mucosal, suggesting that an intranasal
39 SARS-CoV-2 vaccine may play a role in addressing a unique public health problem and unmet
40 medical need.

41 *Keywords:* intranasal immunization; SARS-CoV-2; nanoemulsion; vaccine; mucosal immunity

42

43 **Main Text**

44 **Introduction**

45 The respiratory virus causing COVID-19 is a zoonotic betacoronavirus known as SARS-CoV-2
46 (Severe Acute Respiratory Syndrome Coronavirus 2).¹ SARS-CoV-2 is an enveloped positive-
47 sense RNA virus related to the previous coronavirus infections caused by Middle East
48 Respiratory Syndrome MERS-CoV and SARS-CoV with ~50% and ~80 % nucleotide sequence
49 identity, respectively.

50 SARS-CoV-2 infection is predominantly initiated by entry of aerosolized respiratory droplets to
51 the upper respiratory tract (URT) through the nasal passages.² In the nasal passages, the viral
52 spike protein (S) facilitates entry into cells by binding to the angiotensin-converting enzyme 2
53 (ACE2) receptor. The S protein is a trimeric glycoprotein (180-200 kDa) whose ectodomain is
54 composed of two subunits, S1 and S2. The S1 subunit contains the receptor-binding domain
55 (RBD). The S2 subunit is responsible for initiating the viral-host membrane fusion and is
56 activated by cleavage of the pre-fusion protein through transmembrane protease serine 2
57 (TMPRSS2). Increased viral load and subsequent host dissemination is supported by elevated
58 levels of ACE2 co-expressed with TMPRSS2 in nasal ciliated cells and localization in the URT.³⁻⁵
59 Viral seeding in the nasal cavity supports efficient initiation of infection and propagation of the
60 virus to a high viral titer prior to inoculation of the lungs and initiation of SARS-CoV-2 infection

61 cascade.⁵⁻⁷ The URT infection phase is the most infectious and, key in disseminating viral
62 spread. Developing a vaccine to induce mucosal immunity at the port of viral entry will prevent
63 viral colonization and prevent subsequent infection of the lungs and disease transmission.

64 The introduction of highly effective SARS-CoV-2 vaccines early in the pandemic has curbed the
65 pandemic and saved millions of human lives.^{8, 9} Different technologies, new and old, were
66 employed to develop these vaccines. The first approach utilizes mRNA delivery systems
67 produced by Pfizer-BioNTech (BNT162b2) and Moderna (mRNA-1273). Both mRNA vaccines
68 consist of lipid nanoparticle encapsulating modified mRNA encoding a stable prefusion S
69 protein. The BNT162b2 and mRNA-1273 vaccines reported a vaccine efficacy of ~95% and
70 ~94%, respectively, after the administration of two doses.^{10, 11} The second vaccine approach
71 produced by Johnson & Johnson (Ad26.COV2.S) and Oxford/AstraZeneca
72 (AZD1222/ChAdOx1 nCoV-19) use adenoviral vectors encoding the spike protein.^{12, 13}
73 Collectively, all these vaccines are highly effective in inducing protective neutralizing antibodies
74 in serum, thereby preventing severe COVID-19 disease, which leads to hospitalizations.
75 However, these highly efficacious intramuscularly delivered vaccines only provide partial
76 protection against URT infection and transmission of the virus, which possibly added to the
77 subsequent waves of SARS-CoV-2 variant infections.^{14, 15} Only intranasal vaccines capable of
78 inducing mucosal immunity can prevent nasal infection, shedding and further transmission of the
79 virus effectively, via localized URT immunity and memory responses in addition to inducing
80 systemic immune responses.

81 Intranasal immunization introduces antigens to immune cells that will process and drain them to
82 the nasal-associated lymphoid tissue (NALT). Subsequently, a mucosal and systemic immune
83 response is elicited that includes secretory IgA and IgG, homing of B and T cells to mucosal
84 tissues, and induction Th17 cells. Serum neutralizing and systemic memory B and T-cells are
85 also induced. Induction of both mucosal and systemic immunity are essential for complete
86 protection against infection, disease, and spread to others.

87 In this study, we employed mouse and hamster models to evaluate an intranasal S-2P
88 nanoemulsion-adjuvanted vaccine to generate a complete and potent immune response to SARS-
89 CoV-2 that protects against colonization, viral spread, and disease.

90 **Materials and Methods**

91 ***SARS-CoV-2S (S-2P) protein***

92 Recombinant stabilized trimeric full length S protein was provided by Medigen Vaccine
93 Biologics Corporation. The SARS-CoV-2 (Wuhan-Hu-1 strain, GenBank: MN908947) S-2P
94 protein contains the residues 1-1208 with a C-terminal T4 fibritin trimerization domain, an
95 HRV3C cleavage site, an 8X His-tag and a Twin-Strep-tag. The stabilized S-2P form was
96 achieved by mutation of the S1/S2 furin-recognition site 682-RRAR-685 to GSAS to produce a
97 single chain S protein, and the 986-kV-987 was mutated to PP. The protein was produced in
98 Expi-CHO-S cells as described previously.^{16, 17}

99 ***Nanoemulsion Adjuvant and Vaccine Preparation***

100 The 60% NE01 was prepared by high shear homogenization of water, ethanol, cetylpyridinium
101 chloride, Tween-80 (non-ionic surfactant), and highly refined soybean oil to form an oil-in-water
102 nanoemulsion with a mean particle size of ~400 nm as described previously.¹⁸ The vaccine was
103 prepared by mixing S-2P with NE01 adjuvant for a final concentration of 2.5 µg of S-2P (mouse
104 studies) or 10 µg of S-2P (hamster studies) with 20% NE01/dose.

105
106 Alum adsorbed intramuscular vaccine was prepared by mixing 2.5 µg of S-2P with 30 µg of
107 alum (Croda, Cat# AJV3012) in a 50 µL dose volume. The prepared vaccine was mixed
108 thoroughly before administering to animals.

109 ***Mouse Study***

110 Mouse immunization studies were performed at IBT Bioservices, Rockville, MD, USA under the
111 approved IACUC animal study protocol # AP-160805. Six- to eight-week-old female CD-1
112 mice were randomly assigned to each of the five groups, with 8 animals in each, except for group
113 with two intranasal vaccinations, where 7 animals were assigned. Mice were immunized
114 intranasally with S-2P/NE01 either three or two times, or three times with S-2P alone, or
115 intramuscularly with S-2P/alum, and an unimmunized control group. All vaccinated animals
116 received 2.5 µg of S-2P protein/dose either in 12 µL (intranasal dose), or 50 µL (intramuscular
117 dose). Vaccines were administered three weeks apart and blood was collected two weeks post
118 last vaccination. Bronchio-alveolar lavage (BAL) was collected prior to collection of lungs on
119 week 8 (day 56), followed by collection of lungs and spleens.

120 ***Hamster Study***

121 Hamster challenge studies were performed at Testing Facility for Biological Safety, TFBS
122 Bioscience Inc., Taiwan and Academia Sinica, Taiwan. Six- to nine-week-old female golden
123 Syrian hamsters were randomized into four groups. Groups of 12 hamsters were immunized
124 either with three or one intranasal dose, while the group vaccinated two times had 10 animals.
125 There were six animals assigned to the negative control group (PBS vaccination). Hamsters
126 were immunized three weeks apart with 10µg/20µL (10µL/nare) of S-2P/NE01 per each dose.
127 Animals were challenged with SARS-CoV-2 10 days post last dose (as described below) and
128 finally they were bled three weeks after the last vaccine dose. The studies were performed with
129 approval by the IACUC with animal study protocol approval number TFBS2020-019 and
130 Academia Sinica (approval number:20-10-1526)

131 ***Hamster challenge with SARS-CoV-2***

132 Hamsters were challenged at 4-5 weeks after the last dose with 1 x 10⁴ PFU of SARS-CoV-2 as
133 described previously.¹⁷ In brief, hamsters in each group were divided into two cohorts and
134 sacrificed three- or six-days post-challenge for viral load and pathology in lungs along with
135 collection of nasal wash for upper respiratory viral load. Bodyweight and survival for each
136 hamster was recorded daily post challenge until sacrifice. Euthanization, viral load, and
137 histopathological examination were performed as described earlier.¹⁷

138 ***Quantification of viral titer by cell culture infectious assay (TCID₅₀)***

139 The viral titer determination from lung tissue was performed as described previously.¹⁷ In brief,
140 the lungs were homogenized, clarified by centrifugation, and supernatant was diluted 10-fold and
141 plated onto Vero cells in quadruplicate for live virus estimation. Similarly for nasal wash, the
142 sample was centrifuged, diluted, and plated onto Vero cells. Cells were fixed, stained, and
143 TCID₅₀/mL was calculated by the Reed and Muench method.

144 ***Real-time PCR for SARS-CoV-2 RNA Quantification***

145 The SARS-CoV-2 RNA levels were measured using the established RT-PCR method to detect
146 envelope gene of SARS-CoV-2 genome. RNA obtained from both lungs and nasal washes were
147 analyzed for SARS-CoV-2 RNA levels as described previously.^{17,19}

148 ***Histopathology***

149 As described previously,^{20, 21} the left lungs of the hamsters were fixed with 4%
150 paraformaldehyde for 1-week. The lungs were trimmed, processed, paraffin embedded,
151 sectioned, and stained with Hematoxylin and Eosin (H&E) followed by microscopic scoring.
152 The assessment of the pathological changes was done using scoring system that was used in the
153 previous experiments where nine different areas of the lung sections are scored individually and
154 averaged. In brief, a score of 0, was given to sections with no significant findings, score of 1 -
155 for minor inflammation with slight thickening of alveolar septa and sparse monocyte infiltration,
156 score of 2 - for apparent inflammation with alveolus septa thickening and interstitial
157 mononuclear inflammatory infiltration, score of 3 and above - for diffuse alveolar damage with
158 increased infiltration.¹⁷

159 ***Determination of serum and BAL S-2P specific IgG and IgA by ELISA***

160 Serum and bronchoalveolar lavage samples (BAL) were evaluated for S-2P specific IgG and IgA
161 antibody responses by ELISA. Briefly, 96-well Immulon 4HBX plates (Thermo Scientific, Cat#
162 3855) were coated with 1 µg/ml of S-2P, blocked using 5% BSA in PBS and, two- fold serially
163 diluted serum or BAL samples were added onto the plate. Titers were determined using Sheep
164 Anti-Mouse IgG-HRP (Jackson Immunoresearch, Cat # 515-035-071) or Rabbit Anti-Mouse
165 IgA-HRP (Rockland, Cat # 610-4306). The endpoint titer (EPT) was determined by
166 extrapolating from the closest OD values above and below the cutoff value (three times the mean
167 background) and calculating the average of these two values.

168 ***Neutralization Assays***

169 The SARS-CoV-2 VSV pseudotype neutralization assay was performed at IBT Bioservices. In
170 brief, the serum samples from mouse immunogenicity study were serially diluted two-fold,
171 mixed with 10,000 RLU of rVSV-SARS-CoV-2 pseudovirus in which G gene of VSV is
172 replaced with the firefly luciferase reporter gene and the S protein of SARS-CoV-2 is
173 incorporated as the membrane protein on the surface of the VSV pseudotyped virus. The
174 mixture was incubated at 37°C for 1 hour. Following incubation, the mixture was added to
175 monolayer of Vero cells in triplicates and incubated for 24 hours at 37°C. After 24 h, firefly
176 luciferase activity was detected using the Bright-Glo™ luciferase assay system (Promega
177 Corporation, Cat # E2610). ID₅₀ were calculated using XLfit dose response model.

178 The serum samples from hamsters were analyzed for neutralizing antibody titers using lentivirus
179 expressing full-length wild type Wuhan-Hu-1 strain SARS-CoV-2 spike protein as described
180 previously.¹⁶ Briefly, serum samples were heat-inactivated, serially diluted 2-fold in MEM with
181 2% FBS and mixed with equal volumes of pseudovirus. The samples were incubated at 37°C for
182 1 hour before adding to the HEK293-hACE2 plated cells. Cells were lysed 72 hours post
183 incubation and relative luciferase units (RLU) were measured. ID50 and ID 90 (50% and 90%
184 inhibition dilution titers) were calculated deeming uninfected cells as 100% and virus transduced
185 control as 0%.

186 ***Lung and Spleen Cytokine Assay***

187 Lungs and spleens were dissected and manually disrupted to generate single-cell suspensions to
188 be used in the Luminex and ELISpot assays. The contaminating red blood cells were lysed using
189 0.8% ammonium chloride with EDTA. The lymphocytes were washed with media, resuspended,
190 and plated at 5 X 10⁵ cells per well in a 96-well flat bottom plate. The cells were stimulated with
191 or without S-2P (5 µg/mL) and incubated at 37°C incubator with 5% CO₂. After 72-hour
192 incubation, the culture supernatants were collected and Luminex assay was performed according
193 to the manufacturer's protocol (EMD Millipore, Cat# MCYTOMAG-70K).

194 ***Lung and Spleen B-cell ELISpot***

195 Single cell suspensions from lungs and spleens of mice were stimulated with mouse IL-2 (R & D
196 Systems, Cat # 402-ML; 0.5 µg/mL) and RD848 (Mabtech, Cat # 3611-5X; 1 µg/mL) for 3 days
197 to induce nonspecific polyclonal expansion. At the end of 3 days, the cells were washed and
198 plated onto PVDF ELISpot filter plates coated with anti-mouse IgG or IgA capture antibody
199 (Mabtech, Cat# BASIC 3825-2H and BASIC 3835-2H). The plates were incubated at 37°C for
200 24 hours, following which the cells were stained with biotinylated S-2P antigen. Antigen-
201 specific IgG- or IgA-producing B cells were detected using streptavidin-HRP. The spots were
202 counted in AID ELISpot reader and expressed as spot forming units/million cells.

203 **Results**

204 ***Intranasal Immunization with S-2P/NE01 Induces Humoral Immune response in Mice***

205 Data presented in **Figure 1** show a significant induction of serum S-2P-specific IgG after either
206 intranasal or intramuscular vaccination. The route of vaccination did not impact seroconversion
207 as all animals generated similar levels of anti-S-2P antibodies. However, increased levels of IgA
208 were detected only after intranasal vaccination, with no detectable levels of antigen-specific IgA
209 in any of IM vaccinated animals. A cell-based neutralization assay utilizing an rVSV-
210 pseudotype SARS-CoV-2 (**Table 1**), revealed that after 3 IN immunizations with S-2P/NE01,
211 neutralizing antibodies were generated in the sera of all mice (8/8) with a GM IC₅₀ >8000.
212 Additionally, all mice (7/7) from the 2 IN S-2P/NE01 immunization group generated
213 neutralizing antibodies but had a substantially lower GM IC₅₀ of 1375.

214 Antibodies generated from 3 IM S-2P/Alum vaccinations had equivalent neutralizing activity to
215 3 IN immunizations.

216

217

218 ***Intranasal Immunization Induces Mucosal Immunity in Mice***

219 Mucosal immunity is defined by the induction of secretory IgA in mucosal surfaces and homing
220 of immune cells to these tissues. Antigen-specific homing of B cells to mouse lungs and spleens
221 were measured by ELISpot assay. There was a significant increase in homing of S-2P-specific
222 IgG-producing B cells to the lungs after intranasal vaccination (2.5-fold increase in spot-forming
223 units) and spleens (over 3.5 -fold increase) compared to intramuscularly S-2P/alum vaccinated
224 animals. In addition, only intranasal immunizations selectively produced B cells secreting S-2P-
225 specific IgA in both spleens and lungs, suggesting a tissue resident memory B-cell response to
226 the antigen, which supports a strong mucosal immune response conferred by this adjuvanted
227 vaccine. (**Figure 2**).

228 ***Balanced Th1/Th2 and Th17 Immune Response Induced by Intranasal Immunization in Mice***

229 Cell-mediated immune responses were assessed in lung cells stimulated with S-2P antigen in a
230 cytokine release assay. T_{H1} immune responses were evaluated by measuring IFN γ and TNF α
231 production. IL-4 and IL-5 levels were used to assess T_{H2} responses. T_{H17} activity was
232 measured by the release of IL-17A, the hallmark of mucosal immunity. As seen in **Figure 3A**, a
233 significant induction of IFN γ was seen in lung tissue from S-2P/NE01 IN immunized animals.
234 These levels were statistically significant when compared to the levels in the lungs of S-2P/alum
235 immunized mice. Similarly, an increased trend in TNF- α was also seen in IN immunized
236 animals (data not shown). The T_{H2} immune response was significantly increased by both
237 intranasal and intramuscular immunizations (**Figure 3B**). However, there was statistically
238 significant induction of IL-4 in the lungs was seen in S-2P/alum (*p*-value <0.05) immunized
239 mice compared to S-2P/NE01, although this result is not surprising as alum is known as a strong
240 T_{H2} stimulating adjuvant. Mucosal immunity in the lungs was significantly stimulated by
241 intranasal vaccination as evidenced by increased IL-17A levels in the immunized animals
242 (**Figure 3C**): S-2P/NE01 immunized mice demonstrated more robust IL-17A with 100-fold
243 higher increase compared to S-2P/alum immunized mice. Together, these data suggest that
244 intranasal immunization with NE01-adjuvanted vaccine elicited a balanced Th1/Th2/Th17
245 immune response with production of tissue-resident memory T cells in the lung that will be
246 beneficial for strong mucosal immunity.

247

248 ***Intranasal Immunizations Induce Highly Efficient Neutralizing Antibodies in Hamsters***

249 To examine the vaccine efficacy of IN S-2P/NE01, a Syrian hamster model was selected due to
250 SARS-CoV-2 pathogenesis and clinical symptoms of weight loss and fulminant pneumonia²². In
251 this study, the same immunization protocol used in the mouse study was followed by dosing
252 three weeks apart. Only the IN immunizations were performed in this study, comparing the
253 efficacy of one versus two and three doses. Hamsters were challenged intranasally four weeks
254 post last dose with 10^4 PFU/hamster of SARS-CoV-2 isolate hCoV-19/Taiwan/4/2020. Animals

255 were bled for serology prior to viral challenge to determine the systemic immune response.
256 Although two different animal models were assessed, the convention of the immune response
257 was similar as both models developed neutralizing antibodies after at least two doses.
258 Statistically significant induction of neutralizing antibodies was seen in hamsters that received
259 either two or three S-2P/NE01 immunizations with GMT for fifty-percent inhibition dose (ID_{50})
260 at 825 after three IN vaccinations and 493 after two and GMT for ID_{90} at 195 and 104
261 respectively as assessed by pseudovirus neutralization assay. No induction of neutralizing
262 antibodies was seen after one intranasal dose of the S-2P/NE01 vaccine (**Figure 4**).

263

264 ***Intranasal Immunizations Protect Hamsters from SARS-CoV-2 Challenge***

265 Protection in the hamster challenge model is measured as a change in body weight after SARS-
266 CoV-2 infection. In this study, hamsters that received either 2 or 3 IN doses of S-2P/NE01
267 gained between 1 and 2% of body weight measured every day until six days post-challenge. In
268 contrast, animals immunized with 1 dose of S-2P/NE01 showed a weight loss similar to the
269 control animals. Lung viral load at three- and six-days post-challenge measured by RT-PCR to
270 detect viral RNA and by cell culture infectious assay ($TCID_{50}$) showed a significant decrease in
271 viral load in hamsters that received 2 or 3 IN doses of S-2P/NE01. Upper respiratory tract
272 infection was measured in nasal washes collected at 3- and 6-days post-challenge. Both two- and
273 three-dose immunized hamsters showed a two-fold decrease in viral load as measured by
274 $TCID_{50}$, 3-days post-challenge compared to control. However, six days post-challenge, the viral
275 loads were below the limit of detection even in control. A significant decline in the number of
276 copies of viral genome was observed six days post challenge in the nasal washes collected from
277 three dose group (**Figure 5**). These results correlated with the bodyweight change and levels of
278 neutralization antibodies, indicating two or three intranasal doses can provide protection to
279 hamsters from both upper and lower respiratory tract infections by SARS-CoV-2.

280

281 ***Intranasal Immunizations Do Not Induce Lung Pathology***

282 Lung sections from the hamsters were scored and analyzed for any pathological changes after
283 infection. No differences in pathology were seen between the immunized groups and control
284 after three days post-challenge. At 6 days post-challenge, animals immunized with either 2 or 3
285 times still had no detectable lung abnormalities, while animals in the control group and 1 dose
286 immunized group showed significantly increased lung pathology with extensive immune cell
287 infiltration and diffuse alveolar damage (**Figure 6 and Figure S1**). These results indicate that
288 two or three doses of S-2P/NE01 vaccine induce a robust systemic immune response, in addition
289 to local immunity, thereby enhancing viral clearance from lungs and nasal cavity and protecting
290 hamsters from SARS-CoV-2 infection.

291 **Discussion**

292 Licensed SARS-CoV-2 vaccines had shown remarkable efficacy against infection and
293 hospitalization. However, an increased rate of infections have been observed in vaccinated

294 people contributing to the rise of a fourth and fifth wave of infections in countries that achieved
295 high rates of vaccination post second or third immunizations. The rise of infections coincided
296 with reduced SARS-CoV-2 antibody titers as well as spread of new variants of concern,
297 especially the highly contagious Omicron (BA.1) variant in addition to other localized variants:
298 Alpha (B.1.1.7), Beta (B.1.351), Gamma (P1), and Delta (B.1.617.1). Immune evasion can be
299 observed with these variants by antigenic drift in the receptor-binding domain leading to reduced
300 efficacy of vaccine-induced neutralizing antibodies. In spite of these observations, all COVID-
301 19 vaccines still exhibit high efficacy against hospitalization and severe disease.^{23, 24}
302 Administration of a booster dose to those vaccinated six months or more following the last dose
303 of vaccination is proposed as a remedy to boost serum antibodies which in turn could reduce
304 SARS-CoV-2 infections and transmission,²⁵ thus reducing chances for the emergence of new
305 variants. We believe that any proposed solution based on boosting serum antibodies by
306 administration of a third vaccination to influence nasal colonization and spread of the virus is a
307 temporary solution as intramuscular immunization does not elicit mucosal immunity, the only
308 permanent and efficient solution to the problem.

309 Our mucosal adjuvant NE01 demonstrates a potential long-lasting induction of mucosal and
310 systemic immunity, achieved by an intranasal administration of a NE01 formulated/adjuvanted
311 vaccine. Intranasal vaccination using nasal NE01 adjuvant/delivery had shown unique attributes
312 including elicitation of mucosal Th17, IgA, serum IgG, and homing of IgG and IgA B- and T-
313 cells to reside in mucosal tissues. These attributes were absent when vaccines delivered
314 intramuscularly. In addition, our adjuvant induces IL-17. Current clinical evidence has shown
315 that Th17 polarization in COVID-19 patients can be associated with poor disease outcomes
316 facilitated by eosinophilic infiltrates in the lungs.²⁶ However, NE01-intranasal vaccines has been
317 previously evaluated in primary animal models for RSV (cotton rats) and pandemic flu (ferrets)
318 eliciting mucosal and systemic immunity that not only prevented disease, but also prevented
319 nasal colonization following intranasal and intratracheal viral challenge.^{27, 28} In these studies,
320 local, but not systemic increases of IL-17 were observed in the lung without co-expression of IL-
321 13, where IL-13 has been associated with severe disease progression with COVID-19 in mouse
322 models.²⁹ Moreover, pre-clinical mouse studies using nanoemulsion-inactivated RSV
323 demonstrated no immunopotentiation, with absence of mucus hypersecretion and lack of airway
324 eosinophilia.³⁰ Since our vaccine platform consistently contributes to balanced T-cell immunity
325 (Th1/Th2/Th17), skewed and potentially damaging T-cell polarizations are likely negated due to
326 NE01's unique adjuvant mechanism of action that induces homing of memory cells and
327 induction of mucosal immunity at distant mucosal tissues. Our previously reported data showed
328 that intranasal immunization with a bivalent gD2/gB2/NE01 vaccine elicited mucosal immunity
329 that prevented colonization and infection following intravaginal HSV2 challenge in a guinea pig
330 model.³¹ Data presented in the current study show that formulation of SARS-CoV-2 S-2P
331 antigen in NE01 elicited protective immune responses against lung infection and disease
332 evidenced by histopathologic scoring. Further, intranasally vaccinated animals exhibited an
333 enhanced reduction of SARS-CoV-2 viral load in the lungs and nasal washes. With the caveat
334 that IM vaccination temporarily reduced nasal colonization following vaccination, our intranasal
335 vaccination outcomes were in line with other data generated in the same hamster model using an
336 S-2P vaccine adjuvanted with a combination of Alum and CpG 1018,^{16, 17} suggesting that

337 intranasal immunization could be as efficient as intramuscular vaccination with the potential
338 advantage of induction of mucosal immunity that would eliminate the virus at its port of entry.

339 The NE01 adjuvant is a clinical-stage adjuvant and has been evaluated in several clinical trials,
340 including a phase 1 anthrax vaccine trial and a seasonal flu trial.³² NE01- adjuvanted vaccines
341 demonstrated a remarkable safety profile and a robust mucosal and systemic immunity.
342 Additionally, the exceptional stability (at 5°C) and ease of administration, reduces the
343 complexities involved with ultra-low cold chain storage and needle-less administration, making
344 this vaccine attractive to low-income countries. We believe our NE01 technology can play a role
345 in providing safe and efficacious standalone vaccine to protect against infection and disease. In
346 the light of the fact that billions of people had already received IM vaccines and that many
347 vaccines are already licensed and have been used, our future development plan includes using
348 this unique intranasal vaccine as a booster vaccine to those who had received IM vaccines in
349 order to boost their systemic immunity and to confer complementary mucosal immunity to
350 achieve the ultimate goal of eliciting immunity for the prevention of colonization, spread,
351 infection, and disease caused by SARS-CoV2.

352

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359 the pseudovirus neutralization assay.

360

361 **Declaration of potential conflicts of interest**

362 SG, HA, CB, KO, KT and VB are full time employees at BlueWillow Biologics. C.C. and C.-E.
363 L. are employees of Medigen Vaccine Biologics (Taipei, Taiwan) and they report receiving
364 grants from Taiwan Centres for Disease Control, Ministry of Health and Welfare, during the
365 conduct of the study. CC also has a patent pending relating to the MVC-COV1901 vaccine
366 against SARS-CoV-2 (US17/351,363). All other authors declare no competing interests.

367

368 **Data availability**

369 The datasets generated in this manuscript are available from the corresponding author on
370 reasonable request.

371

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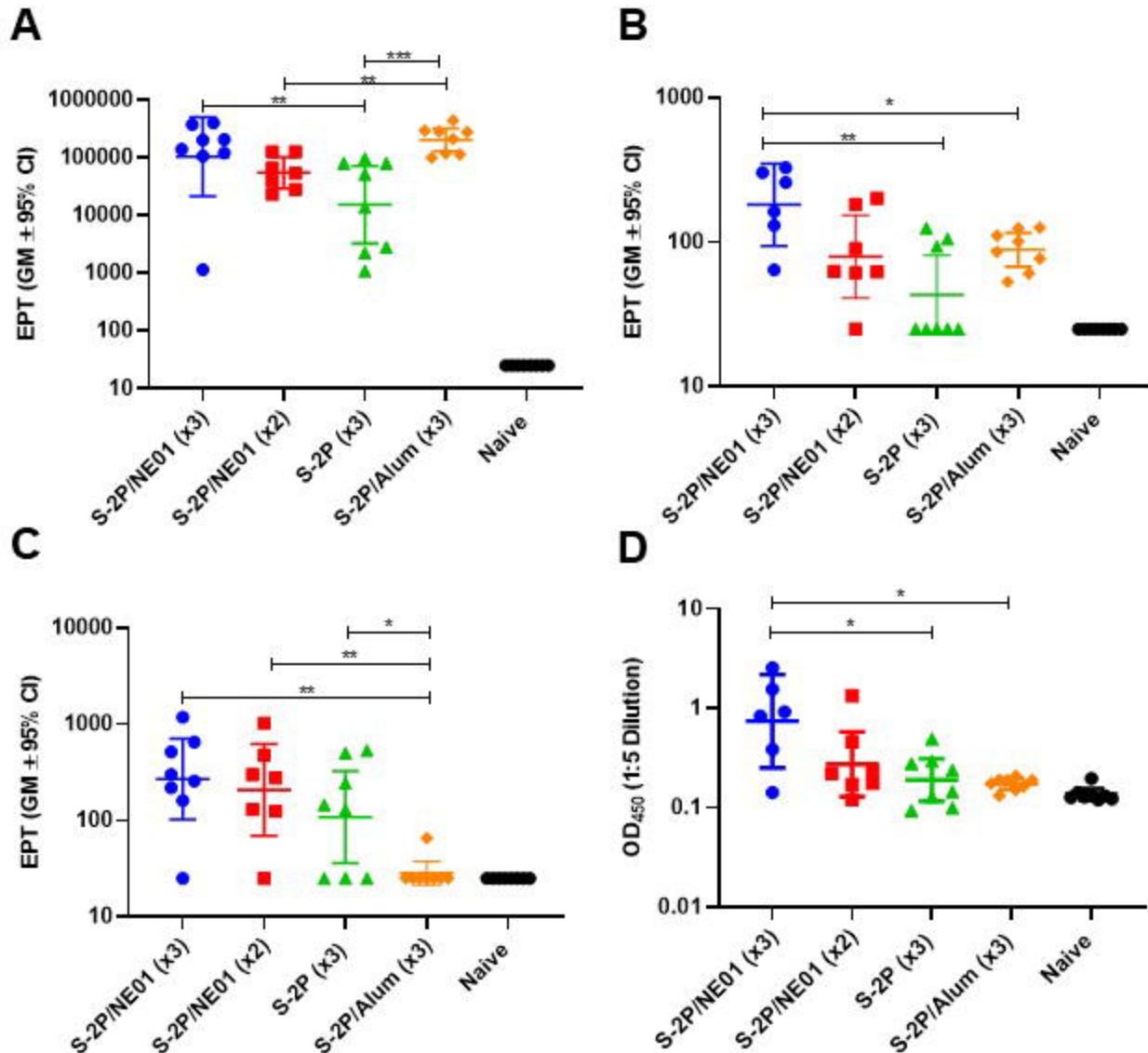


Figure 1. Humoral Immune Response in Mice Following Immunizations with S-2P. Humoral immune responses elicited in mice after immunizations with IN or IM formulations of S-2P as determined by A-B) Serum and BAL S-2P specific IgG End Point Titers (EPT). C) Serum IgA EPT and D) BAL IgA OD values. Statistical analysis was performed using Mann-Whitney nonparametric test for unpaired data, * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$.

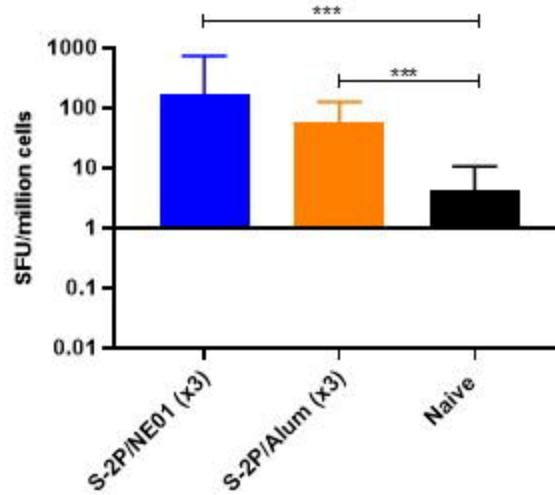
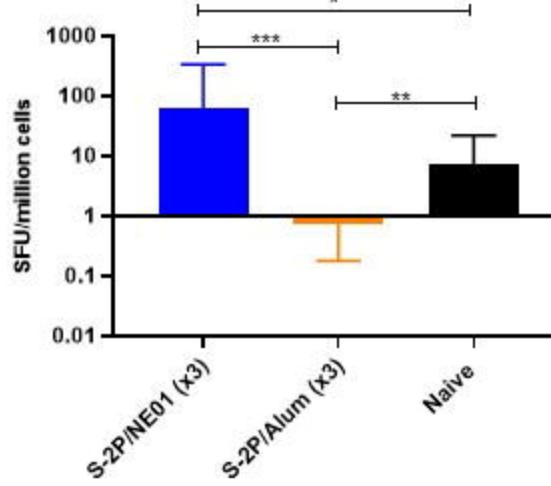
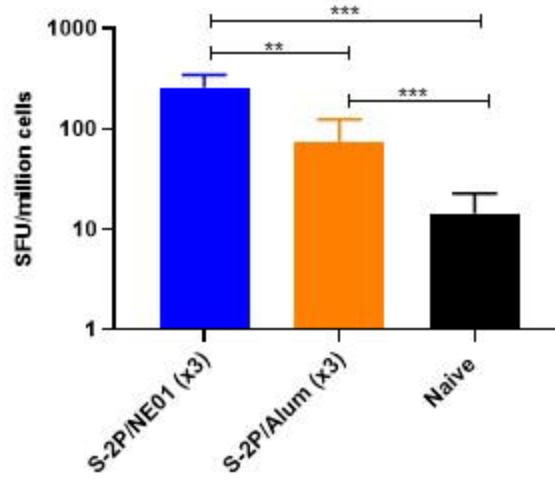
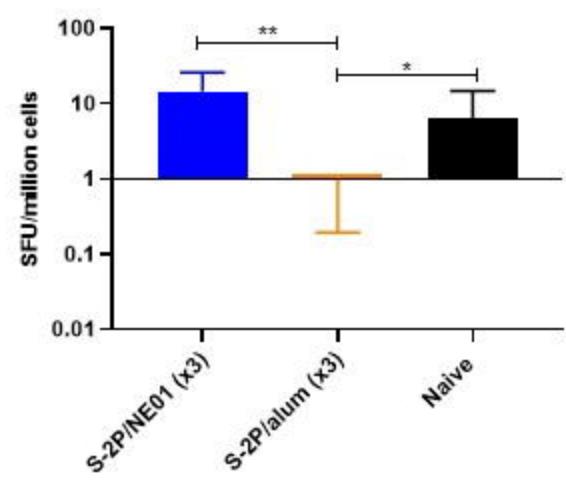
A**B****C****D**

Figure 2. S-2P/NE01 Immunization Induces B-Cell Homing to Lungs and Spleen. Lungs and spleens were collected two weeks post last immunization and assessed for B cell homing by ELISpot. S-2P specific homing of IgG in lungs (A) or spleen (C); S-2P specific homing of IgA in lungs (B) or spleen (D). Data are presented as the geometric mean with a 95% confidence interval and statistical analysis was calculated using Mann-Whitney nonparametric test for unpaired data: * $p<0.05$, ** $p<0.01$, *** $p<0.001$.

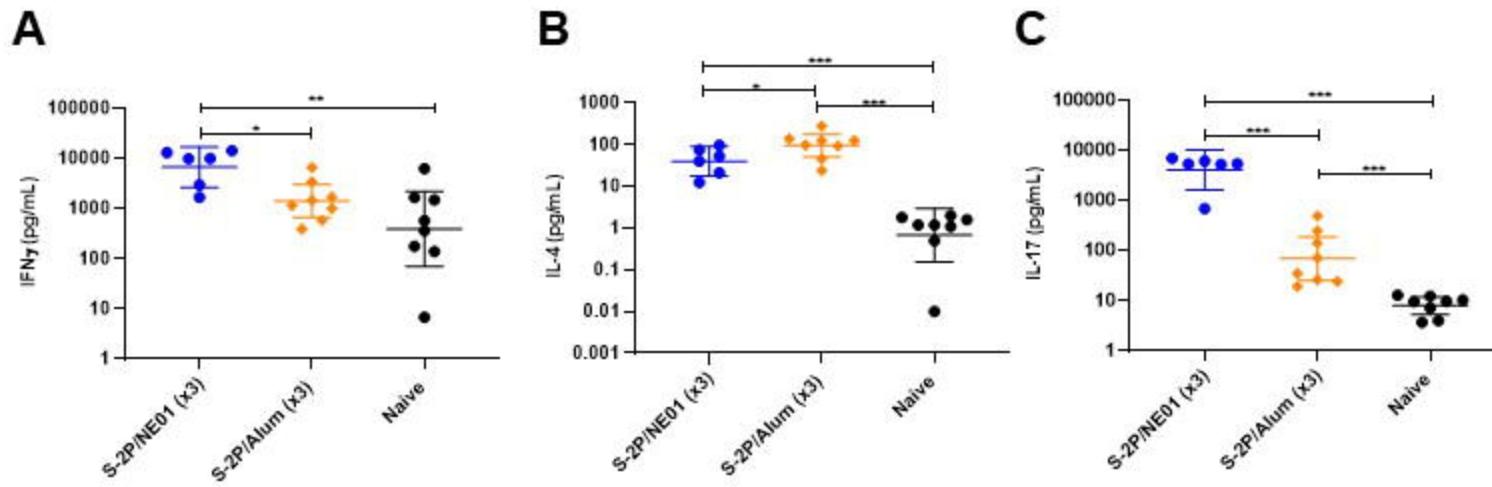


Figure 3. S-2P/NE01 Immunization Promotes Th1/Th17 Cytokines in Lungs. Release of INF γ (A), IL-4 (B), and IL-17 (C) cytokines from S-2P stimulated single cell suspension of lungs. Data are presented as the geometric mean with a 95% confidence interval and statistical analysis was calculated between groups using Mann-Whitney nonparametric test for unpaired data, * $p<0.05$, ** $p<0.01$, *** $p<0.001$.

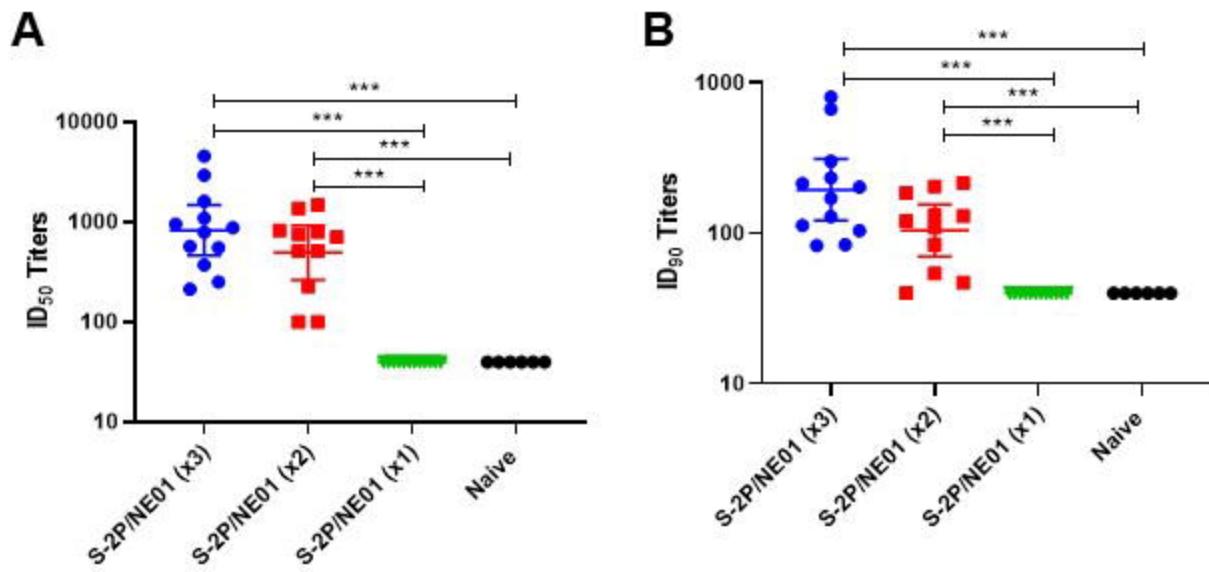


Figure 4. Neutralizing antibody titers from hamsters prior to challenge. Sera obtained from hamsters 10 days prior to challenge were analyzed by neutralization assay with pseudovirus expressing SARS-CoV-2 spike protein to determine the ID₅₀ (A) and ID₉₀ (B) titers. Statistical significance between groups was calculated by one-way ANOVA; * $p<0.05$, ** $p<0.01$, *** $p<0.001$.

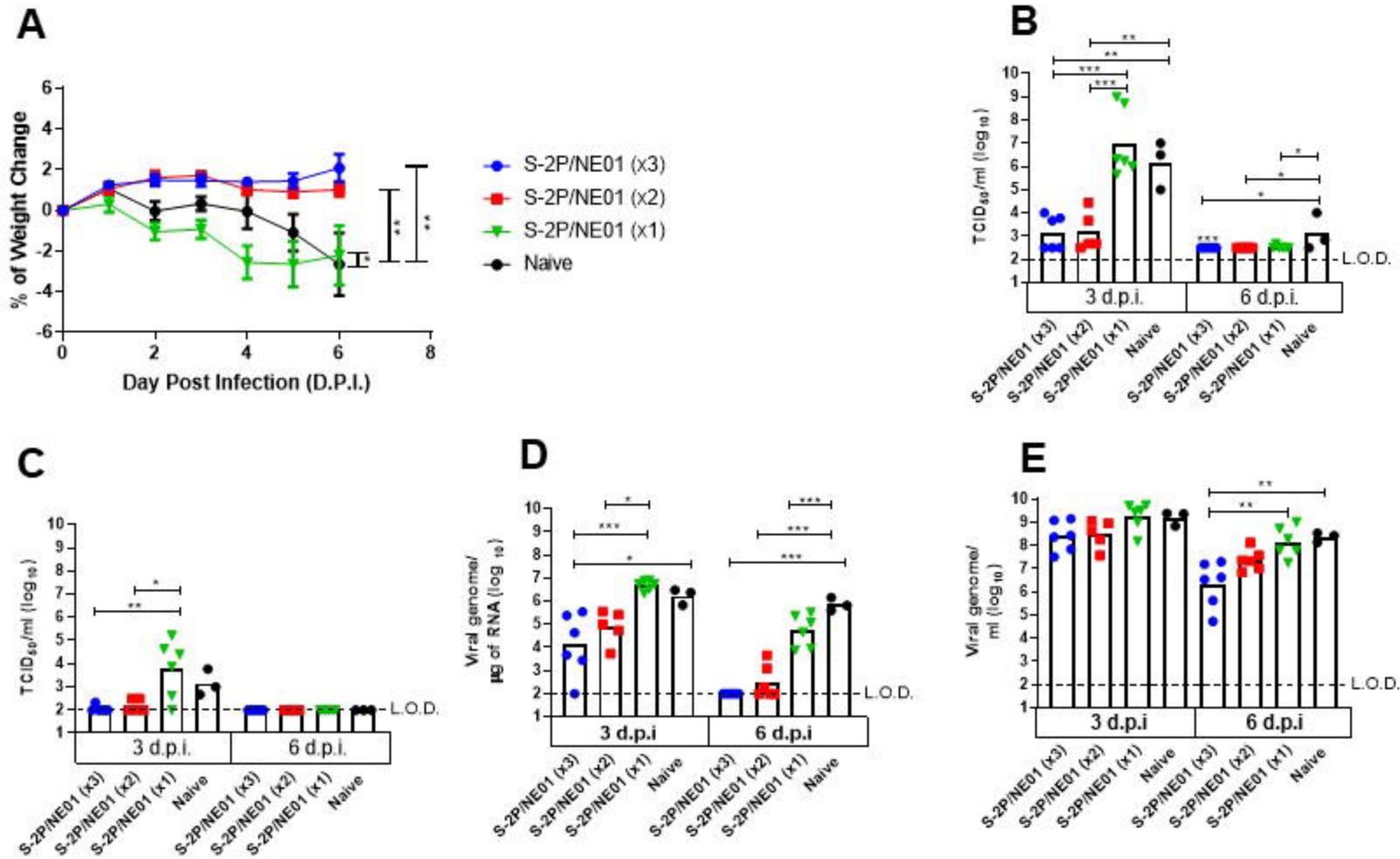


Figure 5. Intranasal S-2P/NE01 protects hamsters from SARS-CoV-2 Infection. Hamsters were challenged with 10^4 PFU of SARS-CoV-2 1-month post last immunization. Protection from infection is demonstrated by (A) daily measurement of body weights (B) viral load determination in lungs and (C) nasal wash by TCID₅₀ and (D) quantitative PCR of viral genome in lungs and (E) nasal wash. Dotted lines represent lower limit of detection. Statistical analysis for percent change in body weight was calculated with one-way ANOVA with Tukey's multiple comparison test while viral load by TCID₅₀ and viral genome was performed using Kruskal-Wallis with corrected Dunn's multiple comparison test * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$.

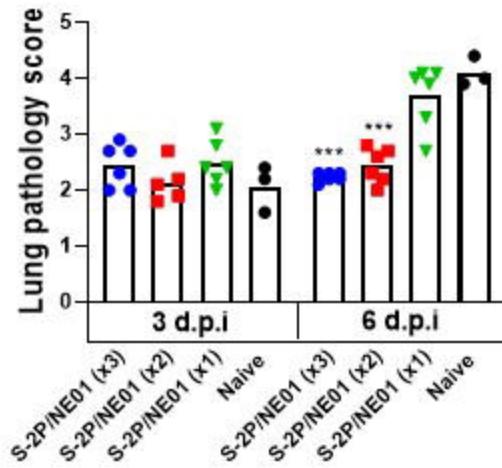


Figure 6. Intranasal S-2P/NE01 protects hamsters from lung pathology following infection with SARS-CoV-2. Hamsters were euthanized 3- and 6-days post challenge and lungs were collected for histopathological analysis. The lung sections were scored, and mean results are presented with error bars representing standard error. Statistical analysis calculated using one-way ANOVA with Tukey's multiple comparison test.

Table 1. Pseudovirus SARS-CoV-2 Neutralization activity of Serum from Mice

Groups	Vaccine	IC50 (Responders)
1	S-2P/NE01 (3X)	8/8 (7/8 have IC50>8000)
2	S-2P/NE01 (2X)	7/7 (1/7 have IC50>8000)
3	S-2P	3/8 (0/8 have IC50>8000)
4	S-2P/Alum (3X)	8/8 (6/8 have IC50>8000)
5	Naive	0/8