

The innovation of the symbiosome has enhanced the evolutionary stability of nitrogen fixation in legumes

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Summary

- Nitrogen-fixing symbiosis is globally important in ecosystem functioning and agriculture, yet the evolutionary history of nodulation remains the focus of considerable debate. Recent evidence suggesting a single origin of nodulation followed by massive parallel evolutionary losses raises questions about why a few lineages in the N₂-fixing clade retained nodulation and diversified as stable nodulators while most did not. Within legumes, nodulation is restricted to the two most diverse subfamilies, Papilionoideae and Caesalpinioideae, which show stable retention of nodulation across their core clades.
- We characterize two nodule anatomy types across 128 species in 56 of the 150 genera of the legume subfamily Caesalpinioideae: 1) fixation thread nodules (FTs), where nitrogen-fixing bacteroids are retained within the apoplast in modified infection threads and 2) symbiosomes, where rhizobia are symplastically internalized in the host cell cytoplasm within membrane-bound symbiosomes.
- Using a robust phylogenomic tree based on 997 genes from 146 caesalpinoid genera, we show that losses of nodulation are more prevalent in lineages with FTs.

- We propose that evolution of the symbiosome allows for a more intimate and enduring symbiosis through greater compartmentalisation of their rhizobial microsymbionts, resulting in greater evolutionary stability of nodulation across this species-rich pantropical clade of legumes.

Introduction

The N₂-fixing clade of angiosperms includes all plants that form specialised organs known as nodules, within which they house intracellular diazotrophic bacteria (van Velzen *et al.*, 2018a). Within this clade, some species of Cucurbitales, Fagales and Rosales engage in nodulating symbiosis with the filamentous actinobacteria *Frankia*, while *Parasponia* (Rosales, Cannabaceae) and legumes (Fabales, Fabaceae) host phylogenetically diverse strains of *Alpha*- and *Betaproteobacteria* collectively known as rhizobia (Soltis *et al.*, 1995; Sprent *et al.*, 2017; Griesmann *et al.*, 2018; van Velzen *et al.*, 2018a). Strikingly, nodulation is mostly a rare trait across these four orders, having been reported in relatively few species except in Fabales, where the majority of the c. 20,000 species in the Fabaceae appear to be nodulated (Doyle, 2011). Across the legume family, nodulation is also very unevenly distributed, with most species in Papilionoideae and the Mimosoid clade (Caesalpinioideae *sensu* LPWG (2017)) being nodulated, whereas nodulation is less common in non-mimosoid Caesalpinioideae and absent in the other four smaller legume subfamilies (LPWG, 2017). The reasons for this uneven phylogenetic distribution of nodulation are unclear.

Despite the ecological and economic significance of N₂-fixing root nodule symbiosis in ecosystem functioning and agriculture (Peoples *et al.*, 1995; Batterman *et al.*, 2013; Vitousek *et al.*, 2013; Epihov *et al.*, 2017), there is no consensus about the evolutionary origins of this important trait. Hypotheses have shifted from a scenario of multiple origins (Doyle, 2011; Werner *et al.*, 2014), potentially predisposed by a cryptic precursor that evolved in the ancestor of the N₂-fixing clade (Soltis *et al.*, 1995; Werner *et al.*, 2014), to one of a single origin and massive parallel evolutionary losses (Griesmann *et al.*, 2018; van Velzen *et al.*, 2018a,b). This second hypothesis was generally dismissed because multiple independent origins provided a more parsimonious solution for the phylogenetic distribution of nodulating lineages, and because variation in nodule types and microsymbionts suggested that nodules are potentially non-homologous and arose multiple times. This clustered homoplasious occurrence of nodulation, confined to just one clade of angiosperms (Marazzi *et al.*, 2012), prompted the idea that a cryptic precursor evolved in the ancestor of the N₂-fixing clade, which conferred a propensity for nodulation that was expressed in just a subset of lineages (Soltis *et al.*, 1995; Doyle, 2011, 2016; Werner *et al.*, 2014). However, no

evidence for such a precursor, genetic or otherwise, has been found (Doyle, 2016; Griesmann *et al.*, 2018; van Velzen *et al.*, 2018a). Furthermore, nodulation involves structural and biochemical innovations underpinned by many genes, multiple developmental and signalling pathways, and coordination between the host and the microsymbiont (Brewin, 2004; Oldroyd & Downie, 2008; Oldroyd, 2013; Sprent *et al.*, 2017; Ardley & Sprent, 2021; Ledermann *et al.*, 2021), such that evolutionary gains of nodulation are likely to be more difficult than losses (van Velzen *et al.*, 2018a; Edwards, 2019). Recently, the alternative hypothesis of a single evolutionary origin of nodulation followed by numerous parallel evolutionary losses has gained traction, notably from comparative genomic studies documenting pseudogenization or loss of key nodulation genes in non-nodulating species, indicative of secondary losses of nodulation (Griesmann *et al.*, 2018; van Velzen *et al.*, 2018a; Zhao *et al.*, 2021). Re-examination of the structural and developmental homologies and commonalities in symbiotic gene function across nodulating lineages spanning the N₂-fixing clade suggested that these also provide more compelling evidence for the single gain and multiple losses hypothesis (van Velzen *et al.*, 2018a).

This shift in thinking prompts questions about how the numerous secondary losses of nodulation are distributed across lineages and through time, why certain lineages retained nodulation to diversify as stable N₂-fixers whereas many others lost this trait, and why, through time, N₂-fixing symbiosis apparently became non-advantageous for the large majority of N₂-fixing clade lineages.

One trait that has not been considered as a potential determinant of evolutionary stability of nodulation is the occurrence of two distinct anatomical arrangements of N₂-fixing bacteria within the nodule. In the majority of papilionoid legumes, such as pea and *Medicago*, an infection thread (IT), formed from invagination of a root hair cell wall, conveys rhizobia from the point of infection to the nodule primordium. Rhizobia within the IT are budded off once they reach the nodule cell and are retained within it only by the host plasmalemma-derived symbiosome (or peribacteroid) membrane, where they differentiate into their N₂-fixing bacteroid forms (Sprent, 2001, 2009; Brewin, 2004; Sprent *et al.*, 2017; Parniske, 2018; Ardley & Sprent, 2021; Tsyganova *et al.*, 2021). In contrast, in all actinorhizal symbioses and in a subset of nodulating legumes the N₂-fixing bacteria are retained within modified, thin-walled, infection threads called fixation threads (FTs), remaining enclosed within the plant cell wall and the plasmalemma. Hereafter, we refer to these as FT--type nodules, and those in which the bacteroids are enclosed in symbiosomes as SYM-type nodules.

FT-type nodules were first described in actinorhizal plants (enclosing their *Frankia* microsymbionts), and in *Parasponia*, the only non-legume known to form nodules with rhizobia (Trinick, 1980; Lancelle & Torrey, 1985; Smith *et al.*, 1986). They were later observed in legumes, mostly in woody Caesalpinioideae (*sensu* LPWG (2017)) where they appeared to be relatively common (de Faria *et al.*, 1986, 1987; Naisbitt *et al.*, 1992; Sprent, 2001; Fonseca *et al.*, 2012). FT-type nodules have also been reported in a few legumes belonging to subfamily Papilionoideae, which is sister to Caesalpinioideae (Koenen *et al.*, 2020b; Zhao *et al.*, 2021), including tree genera such as *Andira*, *Dahlstedtia* and *Hymenolobium*, and members of tribe Brongniartieae (de Faria *et al.*, 1986, 1987; Sprent, 2001, 2009; Sprent *et al.*, 2013, 2017). Ultrastructural and histochemical analyses of FT-type nodules in *Parasponia* with rhizobia (Smith *et al.*, 1986), actinorhizal nodules with *Frankia* (Pawlowski & Demchenko, 2012), and in some legumes (de Faria *et al.*, 1986, 1987; Naisbitt *et al.*, 1992), revealed that FTs are superficially similar to the cell wall-bound “invasive” IT *e.g.* in harbouring some pectin (Fonseca *et al.*, 2012). The IT is an extension of the host cell wall and comprises mainly cellulose and pectin; its role appears to be largely protective, preventing the bacteria from invading the plant in a disorganised or pathogenic manner (Brewin, 2004; Tsyganova *et al.*, 2021). However, the composition and role of the FT remain uncertain. Moreover, the precise nature of the FT in relation to the symbiosome membrane, which in SYM-type nodules is essential for the exchange of nutrients between the host cytoplasm and the bacteroid (White *et al.*, 2007), remains unknown.

Within legumes, nodulation is restricted to the two largest subfamilies, Caesalpinioideae (including the Mimosoid clade, formerly Mimosoideae) and Papilionoideae (Sprent *et al.*, 2017; Ardley & Sprent, 2021). Here we investigate the occurrence of FT- and SYM-type nodulation across Caesalpinioideae, the second largest subfamily of legumes, with 151 genera and c. 4600 species distributed pantropically across all lowland tropical biomes, with minor incursions into temperate regions. We provide an updated census of nodulation occurrence, including three new records in genera of previously unknown nodulation status, plus extensive new data about FT- and SYM-type nodules across genera. We investigate whether these two nodule types represent different degrees of ‘compartmentalisation’ *sensu* Chomicki *et al.* (2020), by examining the anatomy and structure of nodules in *Chidlowia*, *Pentaclethra* and *Erythrophleum*, genera that span one of two evolutionary transitions from FT-type to SYM-type nodules that we hypothesize to have occurred within Caesalpinioideae along the branch subtending the Mimosoid clade. *Erythrophleum*, which is sister to the Mimosoid clade, has FT-type nodules, while *Pentaclethra* and *Chidlowia*, as well as all other studied taxa in the Mimosoid clade (Manzanilla & Bruneau, 2012; Koenen *et al.*, 2020a), have SYM-type nodules.

We test the hypothesis that the transition in nodule anatomy from FT- to SYM-type nodules constituted an evolutionary innovation that led to more stable retention of nodulation, whereas lineages in which FT-type nodules occur are more prone to evolutionary losses of nodulation. For this, we explore the number and phylogenetic distribution of evolutionary losses of nodulation using a robust phylogenomic backbone that includes 97% of Caesalpinioideae genera. We also examined the composition of the FT wall in more detail than has hitherto been achieved using immunohistochemical methods that have been used for ITs (Brewin, 2004; Tsyganova *et al.*, 2021) in order to better elucidate its possible role in symbiosis.

Materials and Methods

Nodulation and nodule anatomy

Basic nodulation data (nodulated or non-nodulated) were obtained from Sprent (2001, 2009), from papers or reports published since 2009, and from previously unpublished records in the databases of the authors, including new reports of nodulation status (Tables S1 and S2). Where no data are available the nodulation status of a genus is listed as Uncertain (Un) (Table S1).

Anatomical types (FT or SYM) were determined from published data for the specific taxa that were used to construct the phylogeny, or related species in the same genus (based on substantial data that indicate that nodulation is almost always a generic trait (Sprent *et al.*, 2017)), alongside extensive data newly obtained here (Tables S1 & S2). Samples were prepared for light and electron microscopy according to de Faria *et al.* (1986, 1987) and Fonseca *et al.* (2012), unless otherwise stated. Additional samples of nodules from *Chidlowia sanguinea*, *Entada polystachya*, *Erythrophleum* spp., *Moldenhawera* spp., and *Pentaclethra macroloba* were prepared specifically to examine in detail the presence of FTs or symbiosomes (Table S2). For these samples, slices from four or more nodules per species were fixed in 2.5% glutaraldehyde and processed in two ways: (1) for light microscopy and immunogold transmission electron microscopy (TEM) with the monoclonal antibody JIM5, which recognises unesterified pectin (VandenBosch *et al.*, 1989; Tsyganova *et al.*, 2021), according to Fonseca *et al.* (2012), and (2) for identifying the symbiosome membrane by TEM using additional post-fixation in osmium tetroxide followed by embedding in epoxy resin according to Rubio *et al.* (2009). Ultramicrotomy, staining of sections for light microscopy and for TEM, and immunogold labelling with JIM5 for TEM were as described in Fonseca *et al.* (2012).

For immunohistochemical analysis of the FT wall confocal laser scanning microscopy (CLSM) was performed on slides containing semi-thin sections (1 μm thickness) of *Erythrophleum* and *Pentaclethra* nodules fixed and embedded as per method (1) above. The sections were incubated for 2 h in 1:10 dilutions of monoclonal antibodies raised against various plant cell wall components (all obtained from Plant Probes, Centre for Plant Sciences, University of Leeds, UK): Lm2, which labels β -linked-GlcA in arabinogalactose protein (AGP) glycan; Lm5, which labels the pectic polysaccharide rhamnogalacturonan; and Lm15, which labels the XXXG motif of the non-pectic, non-cellulosic polysaccharide xyloglucan. Then, after washing twice in distilled water (dH_2O) the sections were incubated for 1 h in 1:500 dilution of goat anti-rat Alexa 488 secondary antibody (ThermoFisher, Loughborough, UK) followed by several rinses with dH_2O . After mounting in coverslips and Fluoromount (ThermoFisher, Loughborough, UK), the sections were examined using a Zeiss LSM 710 confocal laser scanning microscope (Carl Zeiss Microscopy Limited, Cambridge, UK), fitted with a W Plan-Apochromat 40x lens, using spectral imaging with excitation at 488 nm and emissions between 494 nm and 727 nm. The images were colour-coded according to wavelength and enhanced using the Min/Max function in Zen 2010 software. Ultrathin sections (80 nm) of the same samples were then immunogold labelled for TEM using the same monoclonal antibodies as those for CLSM (Lm2, Lm5, Lm15) according to Fonseca *et al.* (2012).

Phylogeny and ancestral trait estimation

We used a recently constructed time-calibrated phylogeny of Caesalpinioideae that included 146 of the 151 genera, which was based on targeted enrichment of 997 nuclear genes using the Mimobaits gene set (Koenen *et al.*, 2020a) to generate a large phylogenomic Hybseq dataset (Ringelberg *et al.*, unpublished). Using this much larger gene set allowed us to overcome lack of resolution prevalent across the backbone of the non-mimosoid grade in previous phylogenies that were based on traditional Sanger DNA sequence datasets (Bruneau *et al.*, 2008; Manzanilla & Bruneau, 2012; LPWG, 2017) to generate a robust and densely sampled phylogenetic hypothesis. The five unsampled genera are *Hultholia*, a member of the Caesalpinia clade (Gagnon *et al.*, 2016), a group of 27 genera that are all either non-nodulating or of unknown nodulation status; *Stenodrepanum*, which is sister to and doubtfully distinct from *Hoffmannseggia*, and is also placed in the Caesalpinia clade (Gagnon *et al.*, 2016); *Pterogyne*, which is also non-nodulating and likely forms a phylogenetically isolated monogeneric lineage in the non-mimosoid grade of Caesalpinioideae that is potentially sister to a large clade comprising all Caesalpinioideae

except the Umtiza and Ceratonia clades (Zhao *et al.*, 2021); *Microlobius*, which is nodulating with SYM-type nodules and likely nested within the genus *Stryphnodendron* (Simon *et al.*, 2016; Ribeiro *et al.*, 2018), and finally the non-mimosoid *Vouacapoua*, which is non-nodulating, but is likely placed in the Cassia clade which contains both nodulating and non-nodulating lineages (Bruneau *et al.*, 2008).

The original 420-taxon Caesalpinioideae phylogeny was time-calibrated in BEAST (Drummond & Rambaut, 2007), using a species tree topology estimated by ASTRAL (Zhang *et al.*, 2018) based on gene trees of 821 single- or low-copy genes (Ringelberg *et al.*, unpublished). We trimmed this chronogram until each genus was represented by just a single taxon, with two exceptions. First, the genus *Chamaecrista*, for which we retained four species due to known variation in nodule type within that genus (Naisbitt *et al.*, 1992; Santos *et al.*, 2017). Second, for non-monophyletic genera (Ringelberg *et al.*, unpublished) we retained representative taxa for each para-/polyphyletic lineage. Nodulation data (Table S1) were matched to the tips of this tree in as conservative a way as possible. For example, in the case of *Prosopis*, which is polyphyletic and is thus represented by four taxa in the tree, these were scored as follows: *P. juliflora*, *P. cineraria*, and *P. africana*, which represent three independent lineages, are scored as SYM, as the nodule type of these taxa is known. In contrast, the taxon representing the fourth lineage, *P. ferox*, is scored as nodulating but with an unknown nodule type, because *P. ferox* and other taxa in this clade are nodulating, but their nodule types are unknown. The extensive generic non-monophyly visible in Caesalpinioideae, especially within the mimosoid clade (Fig. 1) is the focus of current taxonomic work reported elsewhere (Ringelberg *et al.*, unpublished).

Nodulation status was estimated across this phylogeny using a model with three character states: non-nodulating, fixation thread (FT), and symbiosome (SYM). We explicitly followed the single gain, multiple losses evolutionary model for the origins of nodulation, i.e., nodulation evolved only once in angiosperms and was subsequently lost many times. To do this, we constrained the model so that the root state of the tree was set to FT and allowed only three types of transitions: from FT to non-nodulating, from FT to SYM, and from SYM to non-nodulating. Any transitions from a non-nodulating to a nodulating state were thus not allowed. We used stochastic character mapping implemented in the function `make.simmap` in the PHYTOOLS R package (Revell, 2012) to simulate 500 independent evolutionary trajectories of nodulation across the time-calibrated phylogeny. Results were summarised onto this tree across all simulations, with transitions inferred along branches connecting nodes that have different character states in the majority of the 500 simulations. Taxa for which the nodulation status is unknown were assigned equal probabilities for all three character states.

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280 Results

281 Nodulation and nodule anatomy

282 Data on nodule anatomy for 128 species of 56 genera of Caesalpinioideae, including more
283 than 80 records newly reported here (Table S1, S2), show that no species belonging to the
284 Mimosoid clade have FT-type nodules, i.e. all known nodulating mimosoids have SYM-type
285 nodules (Fig. S1). In contrast, all nodulating species from the grade subtending the
286 Mimosoid clade have FT-type nodules (Fig. S2), except for a subset of species of
287 *Chamaecrista*. In that sense *Chamaecrista* is exceptional among the taxa of the non-
288 mimosoid grade, as it harbours species with either FT or SYM-type nodules (Naisbitt *et al.*,
289 1992).

290 Mature nodules of *Erythrophleum ivorense* and *E. suaveolens*, placed in the sister group of
291 the Mimosoid clade (Fig. 1), are indeterminate with a meristem at the tip and a large invasion
292 zone (IZ) (Fig. 2a), containing cells being invaded by rhizobia (Fig. 2b), and an N₂-fixing
293 zone occupying most of the nodule volume; this contains a mix of infected and uninfected
294 cells (Fig. 2a). Bacteria can be seen to invade the IZ cells via ITs emerging from between
295 the cells (Fig. 2c); these ITs are intensely immunogold labelled with the monoclonal antibody
296 JIM5 indicating that they contain unesterified pectin, specifically partially methylated
297 homogalacturonan (VandenBosch *et al.*, 1989; Tsyganova *et al.*, 2021), and are similar in
298 that respect to the host cell wall (Fig. 2c, d). Infected cells in the N₂-fixing zone contain
299 numerous FTs (Fig. 2e), bound by cell walls that are thinner than those of the ITs, and either
300 have sparser labelling with JIM5 (Fig. 2d, f) or have no apparent labelling (Fig. 2f). Higher
301 definition TEM with osmicated samples reveals that the FTs are surrounded by a cell
302 membrane (Fig. 2g, h), that appears to be derived from the host endoplasmic reticulum (ER).
303 This shows that the FT comprises a multi-layered compartment consisting of a cell
304 membrane, the FT cell wall, the lumen of the FT, and the bacteroid (Fig. 2g). Infected cells of
305 nodules on the neotropical legumes *Moldenhawera floribunda* and *M. blanchetiana* var.
306 *multijuga*, also placed in the non-mimosoid grade of caesalpinioids (Fig. 1), are packed with
307 bacteroids enclosed within FTs (Fig. S2a, b). As with *Erythrophleum*, the ITs were intensely
308 labelled with JIM5 (Fig. S2c), but the FTs considerably less so (Fig. S2d); the FTs in
309 *Moldenhawera* were also associated with membranes arising from the ER (Fig. S2e, f).
310 Another three non-mimosoid neotropical caesalpinoid genera (*Jacqueshuberia purpurea*,
311 Fig. S2g, h; *Tachigali rugosa*, Fig. S2i, j; and *Campsiandra comosa*, Fig. S2k, l), also have
312 their bacteroids enclosed in FTs labelled to various degrees with JIM5. Together with

published reports on *Chamaecrista* (de Faria *et al.*, 1987; Naisbitt *et al.*, 1992) and *Dimorphandra* (Fonseca *et al.*, 2012), these observations of five additional genera demonstrate the ubiquity of FT-type nodules across nodulating lineages in the non-mimosoid grade of Caesalpinioideae.

In contrast, *Pentaclethra maculosa* nodules are indeterminate (Fig. 3a) with infected cells in the N₂-fixing zone surrounded by uninfected cells (Fig. 3b) containing bacteroids that are not surrounded by a cell wall (Fig. 3c) but are clearly enclosed within symbiosomes (Fig. 3d). In the same clade, *Xylia xylocarpa* also has SYM-type nodules (Fig. S1g, h). *Chidlowia sanguinea* nodules are similar to those of *P. maculosa*, except that the IZ is more prominent (Fig. 3e); the bacteroids are also enclosed in symbiosomes (Fig. 3f). *Chidlowia sanguinea* is sister to a large clade containing the bulk of mimosoid species, wherein SYM-type nodules are consistently present, as illustrated by *Entada polystachya* (Fig. S1a, b), *Enterolobium cyclocarpum* (Fig. S1c, d) and *Lachesiodendron viridiflorum* (Fig. S1e, f), showing that symbiosomes are universally found in nodulating lineages across the entire Mimosoid clade (Fig. 1, Table S1, S2).

The FT wall in *Erythrophleum* nodules was investigated further using monoclonal antibodies against arabinogalactose protein (AGP) glycan (Lm2), the pectic polysaccharide rhamnogalacturonan (Lm5), and the non-pectic, non-cellulosic polysaccharide xyloglucan (Lm15). These probes were capable of clearly delineating FTs in both confocal laser scanning microscopy (CLSM) (Fig. 4a – d) and TEM (Fig. 4e – h), indicating that the walls of FTs contain all three of these components. In contrast, the symbiosomes in *Pentaclethra* nodule sections treated identically were very difficult to discern using the CLSM (Fig. 4j – l), and although they could be observed under the TEM they had few or no gold particles associated with them indicating an absence of cell wall components (Fig. 4n – p). The exception was Lm2 (AGP glycan), which labelled *Pentaclethra* symbiosomes (Fig. 4i, m), and thus confirmed previous observations of AGP in the symbiosome membrane made with pea (*Pisum sativum* L.) nodules (Tsyganova *et al.*, 2021).

Phylogeny and evolution of nodule types

Ancestral estimation of nodulation and nodule types across the caesalpinoid phylogeny reveals two independent transitions from FT- to SYM-type nodules, first on the branch subtending the Mimosoid clade in the mid-Eocene, 45–40 Myr, and later within the genus *Chamaecrista* (Casaes *et al.* unpublished) in the early to mid-Miocene, 22–12 Myr (Fig. 1). Seventeen losses of nodulation are hypothesized across Caesalpinioideae, 12 in ancestrally FT-type, and five in ancestrally SYM-type lineages (Fig. 1, Table S3), suggesting that nodulation based on FT-type nodules is significantly more prone to loss than nodulation

based on SYM-type nodules (Fig. 1). Exploratory analyses show that alternative scoring of character states for taxa with missing data, e.g. assigning equal weight to nodulation and non-nodulation states, does not significantly affect the outcome (Fig. S3).

Maximum ages of evolutionary losses of nodulation from FT-type nodule ancestry span the late Paleocene to the late Oligocene (59–24 Myr) and from SYM-type nodule ancestry from the late Eocene to the late Oligocene (37–25 Myr) (Fig. 1, Table S3).

Discussion

Within legumes, nodulation is restricted to the two largest subfamilies, Caesalpinioideae (*sensu* LPWG (2017)) and Papilionoideae. The idea that nodulation was ‘stabilized’ in these two lineages was noted by Werner *et al.* (2014), who referred to core clades of Papilionoideae as ‘stable fixers’ i.e. a clade across which losses of nodulation were almost absent, and to the Mimosoid clade (Mimosoideae in Werner *et al.* (2014)) as having a ‘moderately stable fixing state’, where losses of nodulation were infrequent. Here we provide an explanation for this pattern: that the evolution of SYM-type nodules, with bacteroids free within a symbiosome, accounts for this greater stability. FT-type nodules characterize almost all nodulating non-mimosoid Caesalpinioideae genera, while the transition to SYM-type nodules on the stem lineage of the Mimosoid clade (Fig. 1) coincides with a shift to fewer losses of nodulation and greater stability of N₂-fixation. Furthermore, the Mimosoid clade (c. 3500 species) is considerably more species-rich than the non-mimosoid grade (c. 1100 species), demonstrating a much higher number of losses of FT than SYM in a much lower number of species, i.e. a significantly lower rate of evolutionary losses per lineage per million years, further stressing the evolutionary stability of SYM compared to FT-type nodules (and/or higher diversification rates in SYM lineages).

In Papilionoideae, the sister group of Caesalpinioideae (LPWG, 2017; Koenen *et al.*, 2020b), nodulating and non-nodulating genera appear to be similarly intermingled phylogenetically across the initial divergences, while later stabilizing as nodulating across the core clades (Werner *et al.*, 2014; Doyle, 2016; Epihov *et al.*, 2017; van Velzen *et al.*, 2018a). It remains to be tested whether there is a similar association between more frequent losses of nodulation and FT-type nodules in Papilionoideae, where FT-type nodules are also found sporadically, but the vast majority of nodulating lineages and all the species-rich lineages – the ‘stable fixers’ (Werner *et al.*, 2014) – have SYM-type nodules. Lack of phylogenetic resolution among the initial divergences in Papilionoideae (Cardoso *et al.*, 2012, 2013; LPWG, 2017), mean that this test must await a more robust phylogeny.

The consistent occurrence of FT-type nodules across nodulating lineages in the non-mimosoid grade of Caesalpinioideae demonstrates that FT-type nodules are ancestral within Caesalpinioideae and persisted through early evolution of that subfamily (Fig. 1). The FT-type nodule also characterizes actinorhizal nodules (Pawlowski & Demchenko, 2012) and rhizobial nodules in *Parasponia* (Lancelle & Torrey, 1985) and is thus most likely ancestral across the N₂-fixing clade (Shen & Bisseling, 2020) and legumes as a whole, where, as noted by Koenen *et al.* (2020b), rapid initial divergence of the six legume subfamilies implies additional losses of nodulation along the stem lineages or early in the crown group divergences of subfamilies Cercidoideae, Detarioideae, Dialioideae and Duparquetioideae, as no extant members of these subfamilies are known to nodulate. Although we have not looked at actinorhizal symbioses here, the very low proportion of nodulated species within Cucurbitales, Fagales and Rosales (Ardley & Sprent, 2021) further supports the hypothesis that lineages with FT-type nodules are more prone to evolutionary losses of nodulation.

It is well established that N₂-fixation is energy-demanding, limited by photosynthesis, and confers fitness advantages only when nitrogen is limiting and when the benefits derived from greater availability of nitrogen, e.g. in fostering higher photosynthetic rates in N₂-fixing plants, are greater than the costs of photosynthetic carbon (McKey, 1994; Hoffman *et al.*, 2014; Taylor & Menge, 2018; van Velzen *et al.*, 2018a). Additionally, there is experimental evidence showing that legumes increase N₂-fixation at elevated CO₂ levels and that nitrogenase activity declines rapidly above 35°C and below 25°C (Trinick, 1980), suggesting a greater advantage in being a N₂-fixer under early Cenozoic CO₂ levels and temperatures (Rogers *et al.*, 2009; Chen & Markham, 2021), and for those advantages to be preferentially retained in the tropics and subtropics, where FT-type nodulators are largely restricted. Falling atmospheric CO₂ levels and temperatures through the Cenozoic could have triggered global evolutionary losses of nodulation across the N₂-fixing clade (as suggested by van Velzen *et al.* (2018a)) and we show that maximum ages of losses of nodulation across Caesalpinioideae are widely scattered from the late Paleocene to the late Oligocene, 59 to 24 Myr (Fig. 1). However, it is important to consider that these are maximum ages rather than precise indicators of the timing of losses, and furthermore, the number of estimated losses per lineage is the minimum number of losses to explain the observed pattern at the tips, given the taxa sampled here.

It has long been recognized that evolutionary conflicts arise between hosts and symbionts over symbiont mixing, proliferation, and transmission (Frank, 1996) because the presence of multiple, genetically-heterogeneous symbiont strains within a host will cause symbionts to evolve traits that increase symbiont proliferation, competition, and conflict, but decrease the efficiency of the symbiosis (Frank, 1996). There is clear evidence that this

happens in the rhizobia–legume symbiosis (Oono *et al.*, 2009; Sachs *et al.*, 2018). To resolve such conflicts, hosts have evolved ways to control symbiont proliferation (i.e., terminally differentiated bacteroids lose their ability to reproduce) (Mergaert *et al.*, 2006; De La Peña *et al.*, 2018; Ardley & Sprent, 2021), discriminate among symbionts (Yang *et al.*, 2017; De La Peña *et al.*, 2018; Ardley & Sprent, 2021), and penalise non-cooperating symbionts (Kiers *et al.*, 2003; Oono *et al.*, 2009; Ardley & Sprent, 2021). All these approaches are more effective when symbionts are compartmentalised within hosts, and all occur in the rhizobia–legume symbiosis (Sachs *et al.*, 2018), although almost all evidence is from SYM-type papilionoids. We suggest that the anatomical differences between legume FTs and SYMs represent different degrees to which symbionts are effectively compartmentalised, as mooted by Chomicki *et al.* (2020). The SYM-type nodule, in which the microsymbiont is released from the wall-bound IT into membrane-bound symbiosomes within the host cell, allows for a more intimate and potentially more effective and enduring symbiotic partnership where the plant has invested in the establishment of an N₂-fixing “organelle”, which Parniske (2018) argued has only occurred in legumes and in the *Gunnera-Nostoc* symbiosis. In SYM-type nodules, the plant host assumes greater control of the microsymbiont and supplies more of the components required for bacteroid metabolism and N₂-fixation (Hakoyama *et al.*, 2009; Udvardi & Poole, 2013). This more intimate endosymbiosis reaches its pinnacle in the Inverted Repeat Lacking Clade of Papilionoideae, in which swollen endo-reduplicated bacteroids that have lost their capacity for free-living growth, but which are highly efficient at fixing N, are prevalent (Oono *et al.*, 2009; Ardley & Sprent, 2021). The bacteroid in the FT, although also surrounded by a membrane analogous to the SYM membrane, remains surrounded by a cell wall, albeit a thin one which contains little pectin, which means that it is extracellular i.e. in the apoplast. Thus, while FTs represent a modest degree of compartmentalisation, at least in *Parasponia* there is evidence to suggest that FTs are not effective in controlling growth of inefficient rhizobial strains (Op den Camp *et al.*, 2012). These differing degrees of compartmentalisation provide a compelling reason why SYM-type nodulators are less likely to be affected by cheating or infiltration by inefficient microsymbionts compared to FT-type nodulator hosts, which remain in a ‘looser’ relationship with their symbionts.

There appear to be few documented examples of such extensive evolutionary losses of a key plant functional trait as those observed here for losses of nodulation. However, it is perhaps notable that one other trait that has also been repeatedly lost across mimosoid legumes, the occurrence of extrafloral nectaries (EFNs) on the leaves (Marazzi *et al.*, 2019), is also related to another plant mutualism whereby EFNs indirectly mediate ecologically

important plant defence mechanisms against herbivores by attracting ants, suggesting that mutualisms are perhaps especially vulnerable to evolutionary loss.

It might be assumed that the wall of the FT would also present an additional barrier in terms of nodule O_2 relations and host-symbiont nutrient exchange. However, the FT wall is thin, comprised mainly of cellulose, hemicelluloses, and AGP, but with reduced levels of homogalacturonan (HG) pectin components compared to the thicker and stiffer IT wall (this study), and hence presumably relatively permeable to C_4 -dicarboxylates and ammonia (Brewin, 2004). The presence of abundant leghaemoglobin (Lb) suggests that rhizobial FT nodules are not obviously different from SYM-type nodules in their O_2 exchange. We propose that the role of the thin-walled FT appears to be protective i.e. it prevents the undifferentiated bacteroids going “rogue” and proliferating within the host cell, but in parallel, prevents the plant from identifying them as pathogens and attacking them. In all other respects, FT-type nodules are similar to SYM-types in still possessing a symbiosome membrane surmounting the FT which is the real point of nutrient exchange between the two partners. In short, the FT represents a kind of compromise in which the symbiosis functions well enough to benefit both partners, but in which neither partner is fully committed to.

An additional advantage of SYM-type nodules may be that it is easier for hosts to select symbionts better adapted to specific edaphic conditions, or which afford more efficient N_2 -fixation. This is in line with the greater diversity of Alpha- and Beta-rhizobial types in SYM-type nodules and the wider geographic distribution and environmental span of SYM-type nodulating legumes (Sprent *et al.*, 2017; Ardley & Sprent, 2021), compared to FT-type nodules whose microsymbionts appear to be largely limited to *Bradyrhizobium* (Fonseca *et al.*, 2012; Parker, 2015; Ardley & Sprent, 2021) and which are mostly confined to the tropics and subtropics where bradyrhizobia are dominant and widespread (Parker, 2015; Meng *et al.*, 2019).

Conclusions

The evolution of the symbiosome in species-rich nodulating legume lineages offers a compelling explanation for the well-known but poorly understood highly uneven distribution of nodulating species richness across the N_2 -fixing clade. While nodulation has been suggested as a possible key innovation underpinning the evolutionary success of legumes, our results suggest that it was adoption of SYM-type nodules and the innovation of the symbiosome that underpinned the stabilization of N_2 -fixation and potentially contributed to massive diversification of species within Caesalpinioideae and Papilionoideae, the two most

diverse and geographically widespread subfamilies of legumes. Furthermore, the greater propensity of the FT-type nodule to be secondarily lost and for SYM-type lineages to persist and diversify provides a potent example of the long-term evolutionary benefits and outcomes of stricter compartmentalisation in symbiotic cooperation (Chomicki *et al.*, 2020).

We show that the grade of caesalpinioideae lineages subtending the Mimosoid clade is a hotspot of evolutionary transitions between phylogenetically intermingled nodulating and non-nodulating lineages (Fig. 1), including two independent transitions from FT- to SYM-type nodules as well as numerous losses of nodulation. The phylogeny and detailed evolutionary trajectories of nodulation and nodule anatomies presented here provide a robust framework for comparative genomic analyses of FT and SYM nodulating and non-nodulating lineages across Caesalpinioideae.

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Author Contributions

EJMK, JJR, CEH & EJK designed the study and interpreted the results, JJR carried out the phylogenetic analysis, SMF, EKJ, EG, KW, and YP performed anatomical analyses, SMF, EKJ, EG, DC, GKDA, JA (Ghana), NT, HSG, YP, MM, NT, PS, HL, and CZ sampled nodules in the field, SMF, EJMK, JJR, EKJ, JA (Australia), DC, EG, YP, JIS & CEH wrote the paper.

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Figure Legends

Fig. 1 Evolutionary trajectories of nodulation and nodule type across a time-calibrated phylogeny of the Caesalpinioideae legumes. Pie charts on nodes show the proportions of the most likely reconstructed character states: non-nodulating, fixation thread (FT-type nodules), symbiosome (SYM-type nodules), nodulating but of unknown type, and nodulation status unknown, summarised over 500 simulations. Branch colours denote the nodulation status of the node or tip it subtends and the coloured boxes in front of each taxon name show the character state for that species. Note that in three clades (the *Senna* + *Cassia* clade, the *Arcoa* + *Acrocarpus* clade and the *Peltophorum* clade) ‘double’ losses of FT-types nodules are inferred to have occurred simultaneously in both descendant lineages of that node. For example, the crown node of the *Senna* + *Cassia* clade is inferred to be nodulating with FT-type nodules even though *Senna* and *Cassia* are non-nodulating. The dashed orange, blue, and dark green vertical lines show the phylogenetic locations and maximum ages of the various character state transitions on the tree. Using the same colours, the histogram shows the frequencies of the number of transitions from FT to SYM (blue), from SYM to non-nodulation (green) and from FT to non-nodulation (orange) across 500 independent character estimations. Note that the three other character state transitions, from non-nodulating to FT or SYM-type nodules, and from SYM to FT, were not allowed under our model, and were therefore fixed at zero. Pli = Pliocene; Ple = Pleistocene.

Fig. 2 Non-mimosoid grade caesalpinoid nodules in the genus *Erythrophleum* contain bacteroids enclosed within fixation threads (FTs). Light (a, b) and transmission electron microscope (c-h) images of sections of nodules from *E. ivorense* (a-f) and *E. suaveolens* (g, h). **a**, whole nodule longitudinal profile illustrating the zonation typical of an indeterminate nodule (m = meristem, iz = invasion zone, nf = nitrogen fixing zone). Bar = 250 µm. **b**, higher magnification view of the iz in which newly-divided host cells derived from the meristem (m) are being invaded by numerous infection threads (arrows). Bar = 10 µm. **c**, large infection thread containing bacteria (b) invading cells in the iz; the walls of the infection thread are densely immunogold labelled with 10 nm gold particles linked to JIM5 (arrows), a monoclonal antibody which recognises non-esterified pectin. v = vacuole. Bar = 1 µm. **d**, infection thread in the iz-nf boundary with its cell walls labelled with JIM5 (large arrows) adjacent to an FT (*) with a thinner cell wall that is very sparsely labelled with JIM5 (arrowheads). Bar = 500 nm. **e**, cell in the nf zone packed with FTs (arrows), including within the nucleus (n). Bar = 2 µm. **f**, detail of FTs in the nf zone containing N-fixing bacteroids (b); the FT walls range from being sparsely labelled with JIM5 (arrowheads) to exhibiting little or

no obvious labelling (single gold particles are indicated by arrows). Bar = 500 nm. **g**, high resolution image of a bacteroid (b) forming within a strand of cytoplasm between vacuoles (v) in an iz cell; the bacteroid is surrounded by a cell wall (w) that is being enveloped in a membrane (arrowheads), stretches of which (arrows) appear to be derived from nearby endoplasmic reticulum/Golgi bodies (er). The intense metabolic activity of this process is suggested by the nearby mitochondria (m) and peroxisomes (p). Bar = 1 μ m. **h**, bacteroids (b) in newly-formed FTs packed into a new N-fixing cell in the early nf zone adjacent to the iz; the bacteroids are surrounded by the FT wall (w), which is itself surmounted by a symbiosome membrane (arrowheads). Note the membranes within the cytoplasm that are associated with the FTs (arrows). n = nucleus, m = mitochondrion. Bar = 1 μ m.

Fig. 3 Nodules of caesalpinoids from the Mimosoid clade contain bacteroids enclosed within symbiosomes (SYMs). Light (a, b, e) and transmission electron microscope (c, d, f) images of sections of nodules from *Pentaclethra macroloba* (a – d) and *Chidlowia sanguinea* (e, f). **a**, whole *P. macroloba* nodule longitudinal profile illustrating the zonation typical of an indeterminate nodule (m = meristem, nf = nitrogen fixing zone). Bar = 500 μ m. **b**, higher magnification view of the nf zone showing large bacteroid-containing cells (b) surrounded by smaller and more numerous uninfected cells (u). n = nucleus. Bar = 25 μ m. **c**, bacteroids (b) within a symbiosome adjacent to the host cell wall (w) which is immunogold labelled with 10 nm gold particles linked to JIM5 (arrows). The symbiosome peribacteroid space is marked with *; note that there is no cell wall separating the symbiosome from the host cytoplasm (c). Bar = 500 nm. **d**, high resolution image of bacteroids (b) housed in symbiosomes; the symbiosome membrane separating it from the cytoplasm (c) are marked with arrows, and the peribacteroid space by *. m = mitochondrion. Bar = 500 nm. **e**, whole *C. sanguinea* nodule longitudinal profile illustrating the zonation typical of an indeterminate nodule (m = meristem, iz = invasion zone, nf = nitrogen fixing zone). Bar = 200 μ m. **f**, high resolution image of bacteroids (b) housed in symbiosomes; the symbiosome membrane separating it from the cytoplasm (c) are marked with arrows, and the peribacteroid space by *. Bar = 500 nm.

Fig. 4 Fixation threads contain other cell wall components. Confocal laser scanning microscopy (CLSM) with anti-rat Alexa Fluor 488 (a – d, i – l) and immunogold TEM with anti-rat 10 nm gold (e – h, m – p) of *Erythrophleum* (a – h) and *Pentaclethra* (i – p) nodules incubated in monoclonal antibodies raised in rat against various plant cell wall components: Lm2 (a, e, i, m), which labels arabinogalactose protein (AGP) glycan; Lm5 (b, f, j, n) which

labels the pectic polysaccharide rhamnogalacturonan; and Lm15 (c, g, k, o), which labels the XXXG motif of the non-pectic, non-cellulosic polysaccharide xyloglucan. Control sections incubated in buffer alone without a primary antibody are presented in (d, h, l, p). FTs are indicated by arrows in a – h, and symbiosomes by arrowheads in i – p. w = host cell wall separating plant cells, b = bacteroid. Bar = 5 μ m (a – d, i – l), Bar = 1 μ m (e – h, m – p).

Supporting Information

Fig. S1 Symbiosomes are standard in nodules of caesalpinoids from the Mimosoid clade. Light (a, c, e, g, k) and transmission electron microscope (TEM) (b, d, f, h, l) images of sections of nodules from various mimosoid nodules. **a**, *Entada polystachya* nodule longitudinal profile illustrating the zonation typical of an indeterminate nodule (m = meristem, iz = invasion zone, nf = nitrogen fixing zone). Bar = 50 μ m. **b**, TEM of a *E. polystachya* nf zone cell with its N-fixing bacteroids (b) contained in symbiosomes with distinct membranes (arrows) separating them from the host cytoplasm (c). Bar = 1 μ m. **c**, *Enterolobium cyclocarpum* nodule longitudinal profile illustrating the zonation typical of an indeterminate nodule (m = meristem, nf = nitrogen fixing zone). Bar = 200 μ m. **d**, TEM of an *E. cyclocarpum* nf zone cell with its bacteroids (b) enclosed in symbiosomes (*) that are separated from the host cytoplasm (c) by the symbiosome membrane (arrows). An IT is also present in the cell; its wall (w) is immunogold labelled with 10 nm gold particles linked to JIM5 (arrowheads). Bar = 1 μ m. **e**, *Lachesiodendron viridiflorum* nodule longitudinal profile illustrating the zonation typical of an indeterminate nodule (m = meristem, nf = nitrogen fixing zone). Bar = 200 μ m. **f**, TEM of an *L. viridiflorum* nf zone cell with its bacteroids (b) enclosed in symbiosomes (*) that are separated from the host cytoplasm (c) by the symbiosome membrane (arrows). Bar = 500 nm. **g**, high magnification view of the nf zone of a *Xylocarpa xylocarpa* nodule showing large bacteroid-containing cells (b) surrounded by smaller and more numerous uninfected cells (u). Bar = 25 μ m. **h**, TEM of an *X. xylocarpa* nf zone cell with its bacteroids (b) enclosed in symbiosomes that are separated from the host cytoplasm (c) by the symbiosome membrane (arrows). Bar = 500 nm.

Fig. S2 Fixation threads (FTs) are standard in non-mimosoid grade Caesalpinoid nodules. Light (a, c, e, g, k) and transmission electron microscope (b, d, f, h, l) images of sections of nodules from *Moldenhawera* spp. (a – f), and various other caesalpinoid nodules (g – l). **a**, whole *M. blanchetiana* var. *multijuga* nodule longitudinal profile illustrating the zonation typical of an indeterminate nodule (m = meristem, iz = invasion zone, nf = nitrogen fixing

zone). Bar = 200 μ m. **b**, higher magnification view of the nf zone of an *M. multijuga* nodule showing large bacteroid-containing cells (b) surrounded by smaller and more numerous uninfected cells (u). Bar = 25 μ m. **c**, *M. floribunda* cell with an infection thread (IT) containing a bacterium (b) within a strand of cytoplasm (c); the wall of the IT is densely immunogold labelled with 10 nm gold particles linked to JIM5 (arrows). v = vacuole. Bar = 1 μ m. **d**, *M. blanchetiana* var. *multijuga* bacteroids (b) within FTs adjacent to the host cell wall (w) which is immunogold labelled with 10 nm gold particles linked to JIM5 (arrows); note that the FT walls are almost completely unlabelled (a few solitary gold particles are indicated by arrowheads). c = host cytoplasm. Bar = 500 nm. **e**, young nf cell in a *M. floribunda* nodule in which the few bacteria-containing FTs within it are still confined to strands of cytoplasm (c) adjacent to the nucleus (n). Note the strand of plasma membrane (double arrowhead) associated with an FT; it appears to be derived from the nuclear membrane (arrowheads). v = vacuole. Bar = 1 μ m. **f**, mature FTs in the nf of a *M. floribunda* nodule. Note the thick wall (w) of the FTs and the membranes associated with them (arrows). c = cytoplasm, m = mitochondrion. Bar = 500 nm. **g**, high magnification view of the nf zone of a *Jacqueshuberia purpurea* nodule showing large bacteroid-containing cells (b) surrounded by smaller and more numerous uninfected cells (u). Bar = 25 μ m. **h**, TEM of a *J. purpurea* nf zone cell with its bacteroids (b) enclosed in FTs that are separated from the host cytoplasm (c) by electron-dense walls (arrows) that are almost completely unlabelled with JIM5, except for some thickened areas (arrowheads). Bar = 500 nm. **i**, high magnification view of the nf zone of a *Tachigali rugosa* nodule showing large bacteroid-containing cells (b) surrounded by smaller uninfected cells (u). Bar = 25 μ m. **j**, TEM of a *T. rugosa* nf zone cell with its bacteroids (b) enclosed in FTs that are separated from the host cytoplasm (c) by cell walls that are labelled with JIM5 (arrows). v = vacuole, m = mitochondrion. Bar = 1 μ m. **k**, high magnification view of the nf zone of a *Campsiandra comosa* nodule showing large bacteroid-containing cells (b) surrounded by smaller uninfected cells (u). Bar = 25 μ m. **l**, TEM of a *C. comosa* nf zone cell with its bacteroids (b) enclosed in FTs that are separated from the host cytoplasm (c) by cell walls that are labelled with JIM5 (arrows). Bar = 500 nm.

Fig. S3 Evolutionary trajectory of nodulation and nodule type when taxa with missing data have been assigned equal weight to nodulation and non-nodulation states. Methods and legend otherwise as for Figure 1.

Table S1. Caesalpinioideae and outgroup taxa used in the time-calibrated phylogeny depicting evolutionary trajectories of nodulation and nodule type (Fig. 1, Fig. S3). The

830 nodulation status of each genus is recorded as confirmed nodulated (Green), Non-nodulated
831 (blue) or Unknown (orange). Nodule anatomy is recorded (if known) with regard to the
832 presence of fixation threads (FTs) or symbiosomes.

833 **Table S2.** Occurrence of fixation threads (FTs) and/or symbiosomes in nodules from
834 Caesalpinioideae (Mim, belongs to the Mimosoid clade) extracted from the literature and
835 from the unpublished observations of the authors. Details of the references are in Notes S1.

836 **Table S3.** Type, location, and age of transitions in nodulation status as depicted in Figure 1.
837 Transitions are inferred to have occurred on the stem of each taxon or clade listed in the
838 Location column.

839 **Notes S1.** References for Table S2.

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841 **Notes S1.** References for Table S2.

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