

1 Nef enhances HIV-1 replication and infectivity independently of

2 SERINC3 and SERINC5 in CEM T cells

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14 Running Head: Attenuation of HIV-1 Δ Nef without *serinc3* or 5

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21 **Abstract**

22 The lentiviral *nef* gene encodes several discrete activities aimed at co-opting or
23 antagonizing cellular proteins and pathways to defeat host defenses and maintain
24 persistent infection. Primary functions of Nef include downregulation of CD4 and MHC
25 class-I from the cell surface, disruption or mimicry of T-cell receptor signaling, and
26 enhancement of viral infectivity by counteraction of the host antiretroviral proteins
27 SERINC3 and SERINC5. In the absence of Nef, SERINC5 incorporates into virions and
28 inhibits viral fusion with target cells, decreasing infectivity. However, whether Nef's
29 counteraction of SERINC5 is the cause of its positive influence on viral growth-rate in
30 CD4-positive T cells is unclear. Here, we utilized CRISPR/Cas9 to knockout SERINC3
31 and SERINC5 in a leukemic CD4-positive T cell line (CEM) that displays relatively
32 robust *nef*-related infectivity and growth-rate phenotypes. As previously reported, viral
33 replication was attenuated in CEM cells infected with HIV-1 lacking Nef (HIV-1ΔNef).
34 This attenuated growth-rate phenotype was observed regardless of whether the coding
35 regions of the *serinc3* or *serinc5* genes were intact. Moreover, knockout of *serinc3* or
36 *serinc5* failed to restore the infectivity of HIV1ΔNef virions produced from infected CEM
37 cells. Taken together, our results corroborate a similar study using another T-lymphoid
38 cell line (MOLT-3) and indicate that the antagonism of SERINC3 and SERINC5 cannot
39 fully explain the virology of HIV-1 lacking Nef.

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44 **Introduction**

45 Primate lentiviruses encode several accessory gene products that facilitate viral
46 reproduction and persistence, in some cases by providing evasion of the host immune
47 response. The lentiviral Nef protein accelerates viral pathogenesis and progression to
48 AIDS in SIV infected rhesus macaques (1) and enhances disease progression in
49 humans infected with HIV-1 (2, 3). Nef is a small, myristoylated, peripheral membrane
50 protein with many well conserved activities, including the downregulation of cell surface
51 proteins such as CD4 and MHC class-I (MHC-I), the modulation of T cell activation, and
52 the enhancement of viral infectivity and growth-rate (4).

53

54 To downregulate CD4, Nef binds the cytoplasmic domain of CD4 and links it to the
55 clathrin Adaptor Protein 2 (AP-2) complex, internalizing CD4 from the plasma
56 membrane and delivering it to lysosomes for degradation (5–8). The targeting of CD4 by
57 Nef contributes to viral replication in several ways. CD4 downregulation prevents
58 superinfection of cells and consequent premature cell-death, ensuring adequate time for
59 viral replication (9). It also contributes to inhibiting antibody dependent cellular
60 cytotoxicity (ADCC) that recognizes CD4-induced epitopes with Env (10). Potentially
61 directly relevant to the work presented here, CD4 incorporates into virions and inhibits
62 virion-infectivity if not downregulated by Nef (11, 12).

63

64 To downregulate MHC-I, Nef has been proposed to use two non-mutually exclusive
65 mechanisms: 1) Nef and the clathrin-adaptor AP-1 intercept *de novo* synthesized MHC-I
66 molecules within the *trans*-Golgi network (TGN), leading to lysosomal degradation (13–

67 16) ; and/or 2) Nef retains internalized MHC-I molecules in the TGN via induction of a
68 Src family kinase/phosphoinositide 3-kinase signaling cascade (17–19). In either case,
69 reducing the expression of MHC-I molecules at the plasma membrane protects HIV-1
70 infected cells from lysis by cytotoxic T-lymphocytes, contributing to immune evasion
71 (20).

72
73 Nef interacts directly with Src-family kinases including the lymphocyte specific kinase
74 Lck, which it downregulates from the cell surface (21). The many studies of Nef's
75 influence on T cell activation are difficult to reconcile, but transcriptional profiling
76 suggests that the expression of Nef mimics signaling through the T cell receptor (22).
77 Apart from the model of MHC-I downregulation noted above, a clear mechanistic link
78 between the influence of HIV-1 Nef on T cell activation and its influence on the
79 expression of cell surface receptors is lacking.

80
81 Nef stimulates HIV-1 replication and enhances virion-infectivity in many cell culture
82 systems, including various T cell lines, primary CD4-positive T cells, and human
83 lymphoid tissue (23–26). Diverse *nef* alleles from humans and primates maintain the
84 ability to enhance HIV-1 replication and infectivity, suggesting these functions are
85 important for establishing and maintaining persistent infection (26–28). Expression of
86 Nef within virion-producer cells and encoded either in *cis* or in *trans* relative to the viral
87 genome enhances HIV-1 replication and yields virions of greater infectivity (29, 30).
88 Nef's ability to enhance infectivity requires cellular components involved in vesicular
89 trafficking (dynamin 2, AP-2, and clathrin) and is also determined by the Envelope (Env)

90 glycoprotein (31, 32). A survey of cell lines identified murine leukemia virus glycosylated
91 Gag (MLV glycoGag), a protein structurally unrelated to Nef, as an infectivity factor that
92 rescues Nef-deficient HIV-1 virions (33). These and other features suggested that Nef
93 counteracts a cellular factor that restricts viral infectivity and possibly replication.

94

95 Two groups identified the host transmembrane protein SERINC5, and to a lesser
96 degree
97 SERINC3, as an inhibitor of HIV-1 virion-infectivity that is counteracted by Nef (34, 35).
98 Nef downregulates SERINC5 from the plasma membrane via a clathrin/AP-2 and
99 Rab5/7 endo-lysosomal pathway (34, 36), reducing the incorporation of SERINC5 in
100 HIV-1 virions. This in turn correlates with more efficient fusion of virions with target cells
101 and greater infectivity (34, 35). Nef's ability to counteract SERINC5 is conserved across
102 primate lentiviruses and correlates with the prevalence of these viruses in the wild (34,
103 37). Modulation of SERINC5 extends to other retroviral proteins, including S2 from
104 equine infectious anemia virus (EIAV) as well as MLV glycoGag (34, 35, 38). HIV-1 Env
105 glycoproteins are differentially sensitive to SERINC-mediated restriction when produced
106 from CD4-negative cells in single-round replication assays; sensitivity correlates to
107 some extent with the degree of Env-trimer openness and instability (34, 35, 39, 40).

108

109 SERINCs comprise a family of five genes that are evolutionarily conserved from yeast to
110 mammals (41). They encode multi-pass transmembrane proteins that support serine
111 specific phospholipid biosynthesis (hence their name: serine incorporator) (42), yet this
112 function does not seem to account for their anti-retroviral activity (43). Rather, SERINC5

113 appears to disrupt the formation of fusion pores between HIV-1 virions and target cells
114 (44) in an Env-conformation and CD4-dependent manner (45).

115 Initial studies of the Nef-SERINC relationship focused on the Jurkat T cell line, due to
116 the large defect in the infectivity of Nef-negative virions produced from these cells and
117 their relatively high levels of SERINC5 mRNA. Studies using another CD4-positive T cell
118 line, MOLT-3, have recently cast doubt on whether SERINC family proteins are
119 sufficient to explain the virologic phenotypes of Nef (46). In support of a SERINC-
120 dependent mechanism, Nef does not enhance HIV-1 infectivity and replication-rate in
121 Jurkat T cells when SERINC3 and SERINC5 are knocked out (34, 35). Moreover, a
122 minimal MLV glycoGag (termed glycoMA) can functionally replace Nef with respect to
123 viral replication rate and virion-infectivity when the virus is propagated using Jurkat cells
124 (46). In contrast, Nef, but not glycoMA, enhances HIV-1 replication in MOLT-3 cells. The
125 Nef-effect in MOLT-3 cells persists when the cells are knocked out for SERINC3 and
126 SERINC5, indicating that these restriction factors are not necessary for the virologic
127 effects of Nef in this setting (46). Remarkably, glycoMA cannot substitute functionally for
128 Nef with respect to stimulating viral replication in primary CD4-positive T cells. This
129 suggests that the growth rate enhancing effect of Nef in primary T cells is unrelated to
130 SERINC-antagonism (46), even though the virion-infectivity enhancing effect of Nef
131 reportedly is (34).

132
133 Given these conflicting results, we aimed to further test the hypothesis that Nef
134 enhances HIV-1 replication in a SERINC-dependent manner. To do this, we returned to
135 the CD4-positive T cell line in which we originally observed a stimulation of growth-rate

136 by Nef, an effect that was associated with Nef-mediated enhancement of virion-
137 infectivity (CEM) (23). Here, we show that neither the attenuated replication-rate of *nef*-
138 deficient HIV-1 in CEM T cells nor the reduced virion-infectivity of *nef*-deficient HIV-1
139 produced by these cells is rescued by CRISPR/cas9 editing of *serinc5*, either with or
140 without additional editing of *serinc3*. These results support those documented using
141 MOLT-3 and primary CD4-positive T cells and suggest that how Nef stimulates HIV-1
142 replication and virion infectivity remains unclear (46).

143

144 **Materials and Methods**

145 *Cell Lines and Plasmids:* HEK293T (a generous gift from Dr. Ned Landau) and HeLa
146 TZM-bl cells (Dr. John Kappes and Xiaoyun Wu,: NIH AIDS Reagent Program, Division
147 of AIDS, NIAID, NIH) (47, 48) were grown in DMEM media (Thermo Fisher Scientific)
148 supplemented with 10% FBS (Hyclone) and 1% Penicillin/Streptomycin (Thermo Fisher
149 Scientific). HeLa P4.R5 (obtained from Dr. Ned Landau) were cultured in 10% FBS, 1%
150 Penicillin/Streptomycin and 1 µg puromycin. Both HeLa cell line derivatives express
151 CD4, CXCR4 and CCR5 and contain either a Tat-inducible β-galactosidase (HeLa
152 P4.R5) or both the β-galactosidase and luciferase (HeLa TZM-bl) genes under the
153 transcriptional control of the HIV-1 LTR. CEM (a generous gift from Dr. Douglas
154 Richman) and JTAg cells expressing (JTAg WT) or lacking SERINC3 and SERINC5
155 (JTAg SERINC3/SERINC5 KO; kindly provided by Dr. Heinrich Gottlinger) are T cell
156 leukemic clones that were cultured in RPMI 1640 media plus 10% FBS and 1%
157 Penicillin/Streptomycin (Thermo Fisher Scientific). The proviral plasmids pNL4-3 and

158 pNL4-3ΔNef have been previously described (23). LentiCRISPRv2 (Addgene; Catalog
159 #: 52961) contains a single guide RNA (sgRNA) targeting Exon 2 of SERINC3
160 (5'ATAAATGAGGCGAGTCACCG-3') and was a gift from Dr. Massimo Pizzato (34).
161 The lentiviral packaging (pxRSV-Rev, pMDLg/pRRE) and envelope (pMD2.G) plasmids
162 were kindly provided by Dr. Dan Gibbs. LentiCRISPR-GFP encodes GFP in place of
163 puromycin (49). Five sgRNAs targeting either Exon 1 or Exon 2 of SERINC5 were
164 designed using an online CRISPR tool (benchling.com) and cloned into LentiCRISPR-
165 GFP using previously described methods (50, 51). The sgRNA sequences were as
166 follows: sgRNA-SERINC5(1), 5'- ACA GCACTGAGCTGACATCG-3' ; sgRNA-
167 SERINC5(2), 5'GCACTGAGCTGACATCGC GG-3' ; sgRNA-SERINC5(3), 5'-
168 CTTCGTTCAAGTGTGAGCTG'3' ; sgRNA-SERINC5(4), 5'-
169 CATCATGATGTCAACAAACCG-3' ; sgRNA-SERINC5(5), 5'-
170 TGAGGGACTGCCGAATCCTG-3'. Briefly, sgRNA oligos were designed to produce the
171 same overhangs after *BsmBI* digestion (5'- CACCG(sgRNA Oligo #1)-3' ; 3'-C(sgRNA
172 Oligo #2)-CAAA-3'). The oligos were phosphorylated (T4 Polynucleotide Kinase; NEB)
173 and annealed in a thermal cycler according to the following conditions: 37°C for 30
174 minutes; 95°C for 5 minutes with a ramp down to 25°C at 5°C/minute. Diluted oligos
175 (1:200) were ligated (T4 ligase; NEB) into dephosphorylated (Fast AP; Fermentas) and
176 *BsmBI* digested (Fast *BsmBI*; Fermentas) LentiCRISPR-GFP by overnight incubation at
177 16°C, followed by transformation into Stbl3 bacteria (Thermo Fisher Scientific). Plasmid
178 DNA was isolated from overnight bacterial cultures and verified via Sanger sequencing.
179 *Generation of stable cell lines using CRISPR-Cas9:* To produce 3rd generation lentiviral
180 stocks, HEK293T cells were transfected with a total of 22.5 µg total plasmid according

181 to the following equimolar ratios: 10 µg LentiCRISPR transfer plasmid (empty or
182 containing sgRNAs against either SERINC3 or SERINC5), 5.9 µg pMDLg/pRRE, 2.8 µg
183 pxRSV-Rev and 3.8 µg pMD2.G. Forty-eight hours later, concentrated lentivirus-
184 containing supernatant was harvested following low-speed centrifugation, filtration (0.45
185 µm), and mixture with Lenti-X concentrator (Takara Bio) according to the manufacturer's
186 instructions. Briefly, 1 volume Lenti-X concentrator was mixed with 3 volumes clarified
187 supernatant. The mixture was incubated for 30 minutes at 4°C, centrifuged at 1,500 x g
188 for 45 minutes at 4°C, resuspended in 1 ml complete DMEM media and immediately
189 stored at -80°C in single-use aliquots (100 µL).

190 We used previously validated sgRNAs to edit SERINC3 (34), whereas each of the five
191 sgRNAs targeting SERINC5 were screened and the sgRNA which caused the most
192 efficient editing in bulk transduced cells was chosen (data not shown). To create CEM
193 cells knocked out for SERINC3 (S3-KO), we spinoculated 1 x 10⁶ cells with 100 µL
194 lentivirus (LentiCRISPRv2-SERINC3 ; (34)) at 1,200 x g for 2 hours at 25°C. Puromycin
195 (1 µg/ml) was added to cell cultures 72 hours post transduction to select for positive
196 clones. Two weeks post-selection, genomic DNA was isolated from mock or
197 lentiCRISPRv2-SERINC3 transduced CEM cells using the DNeasy Blood and Tissue Kit
198 (Qiagen) according to the manufacturer's instructions. Genome editing was assessed by
199 Tracking of Indels by Decomposition (TIDE; (52)). PCR amplicons encompassing exon
200 2 of SERINC3 were produced using Taq 2x Master Mix (NEB) and the following primers:
201 5'-CAAATTACAACCAACTTGATTAAACAACGACG-3' and 5'-
202 CTATAAAGCCTGATTGCCTCGCTTCTCTTC-3'. Clonal cell lines were

203 isolated from bulk edited cultures using single-cell dilutions in a 96-well plate, followed
204 by genomic DNA isolation and PCR amplification. Genome editing was verified via TIDE
205 analysis.

206 To generate either single knockout or double-knockout cells lacking SERINC5, CEM WT
207 or CEM S3-KO cells were spinoculated as described above with either empty lentivirus
208 (LentiCRISPR-GFP) or virus containing sgRNA-SERINC5(4). Isolation of genomic DNA,
209 PCR amplification and TIDE analysis were carried out seventy-two hours post
210 transduction in a similar manner to the generation of S3-KO cells. We then expanded
211 cells, which yielded two single SERINC5 knockout (S5-KO) clonal lines, and one
212 SERINC3/SERINC5 knockout clonal cell line. We named these lines: SERINC5
213 knockout clone 8 (S5-KO (8)), SERINC5 knockout clone 11 (S5-KO (11)) and
214 SERINC3/SERINC5 knockout clone 9 (S3/S5-KO (9)). The following primers were used
215 to generate PCR amplicons for TIDE analysis: 5'-
216 AGTGCCTGGCCATGTTCTT-3' and 5'-CATAGAGCAGGCTTCAGGAA-3'.

217
218 *HIV-1 production and titer:* To produce replication-competent viruses, HEK293T cells (4
219 x 10⁶ /10 cm plate) were transfected with 24 µg of an infectious molecular clone of HIV-
220 1 (NL4-3) or a mutant lacking the *nef* gene (NL4-3Δ*Nef*) using Lipofectamine 2000
221 reagent according to the manufacturer's instructions (Thermo Fisher Scientific). Virus-
222 containing supernatants were collected forty-eight hours post transfection, clarified by
223 low-speed centrifugation, and stored at -80°C. Viral titers were measured by infecting
224 HeLa P4.R5 cells with diluted viral stocks in duplicate in a 48-well format for 48 hours.
225 The cells were then fixed (1% formaldehyde; 0.2% glutaraldehyde) for 5 minutes at

226 room-temperature, followed by overnight staining at 37°C with a solution composed of 4
227 mM potassium ferrocyanide, 4mM potassium ferricyanide, 2 mM MgCl₂ and 0.4 mg/ml
228 X-gal (5-bromo-4-chloro-3-indolyl-β-D-galactopyranoside). Infected cells (expressed as
229 infectious centers (IC)) were quantified using a computer image-based method (53).
230 (ABL Bioscience).

231
232 *HIV-1 infection and replication:* To conduct HIV-1 replication studies, 1 x 10⁶ CEM cells
233 (wildtype (WT); SERINC5 knockout clone 8 (S5KO (8)); SERINC5 knockout clone 11
234 (S5KO (11)); SERINC3/SERINC5 knockout clone 9 (S3/S5 K.O. (9))) were infected with
235 NL4-3 (hereafter termed Nef+) or NL4-3ΔNef (hereafter termed Nef-) at a multiplicity of
236 infection (MOI) of 0.01 overnight at 37°C in a 24-well plate format. The cells were then
237 washed 3 times with 1 ml PBS (Corning), resuspended in 4 ml complete growth media
238 (RPMI 1640), transferred to T25 labeled flasks and incubated at 37°C in an “upright”
239 position for the duration of the experiment. Every three days, cultures were split 1:4 (1
240 ml cells; 3 ml media) and an aliquot (1 ml viral supernatant) stored at -80°C for
241 quantification of HIV-1 replication (p24 antigen) by ELISA.

242
243 *Measurement of HIV-1 infectivity:* Viral infectivity was quantified from virions produced in
244 CEM cells infected with NL4-3 or NL4-3ΔNef at day 12 post-infection. A 20% sucrose
245 cushion was used to concentrate virions via centrifugation according to the following
246 parameters: 23,500 x g; 1 hour at 4°C. Viral pellets were resuspended in culture
247 medium and dilutions used to infect 1.25 x 10⁴ HeLa TZM-bl cells in triplicate in a 96-
248 well format. Forty-eight hours later, the culture medium was removed, and the cells
249 were lysed using a luciferase reporter gene assay reagent (Britelite, Perkin Elmer).

250 Infectivity (luciferase activity) was measured using a luminometer with data expressed
251 as relative light units (RLU). These values were normalized to the p24 concentration of
252 each sample and shown as RLU/p24.

253

254 *RT-qPCR and analysis:* Total cellular RNA was extracted from 1×10^6 JTAg WT, JTAg
255 S3/S5 KO, CEM WT, CEM S5KO (8), CEM S5KO (11), and CEM S3/S5 KO cells using
256 a Quick-RNA miniprep kit (Zymo Research), followed by treatment with RNase-free
257 DNase I (Zymo Research). Complementary DNA (cDNA) was generated from 250 ng of
258 all extracted RNA samples using M-MLV RT (Thermo Fisher Scientific) and treated with
259 RNaseOUT (Thermo Fisher Scientific). cDNA was mixed with the respective primer
260 pairs and SyGreen Blue Mix (PCR Biosystems) following the manufacturer's protocol in
261 biological triplicate and performed using a LightCycler 96 real-time PCR machine
262 (Roche). Quantification cycle values were normalized to a reference gene (*GAPDH*)
263 and relative *SERINC5* or *SERINC3* gene expression ratios were calculated using the $2^{-\Delta\Delta Ct}$
264 method (54). The following primers were used for analysis: *SERINC5*: 5'-
265 ATCGAGTTCTGACGCTCTGC-3' and 5'-GCTCTTCAGTGTCCCTCTCCAC-3'; *SERINC3*
266 5'-AATTAGGAACACCAGCCTC-3' and 5'- GGTTGGGATTGCAGGAACGA-3';
267 *GAPDH* 5'-TGCACCACTGCTTAGC-3' and 5'-GGCATGGACTGTGGTCATGAG-
268 3'.

269 *Data analysis and presentation:* Datasets were analyzed and combined in Microsoft
270 Excel and GraphPad Prism 8.0 software. Where indicated, two-tailed unpaired or paired
271 t-tests were performed. We utilized Adobe Photoshop and Illustrator CS6 for figure
272 production.

273 **Results**

274 *Generation of CEM cells lacking SERINC3 and SERINC5*

275 We utilized CRISPR/Cas9 gene editing to determine whether the modulation of
276 SERINC3 and SERINC5 is required for Nef to enhance HIV-1 replication in CEM cells.
277 We chose the CEM cell line due to its ability to support robust Nef-dependent HIV-1
278 replication and virion-infectivity (23). We hypothesized that Nef would remain an
279 important factor in promoting viral spread whether or not CEM cells expressed
280 SERINC3 and SERINC5, a result in conflict with data obtained using Jurkat T cells but
281 consistent with data recently obtained using MOLT-3 T cells. To test this, we created
282 clonal cell lines lacking either SERINC5 or both SERINC3 and SERINC5 (described in
283 Methods). We identified three clones containing indels within the SERINC5 target
284 region: “clone 8” (S5-KO (8)), containing 4 and 13 base pair deletions, “clone 11”,
285 containing 2, 11, and 13 base pair deletions (S5/KO (11)) and “clone 9”, containing 10
286 and 13 base pair deletions (S3/S5KO (9)) ; Figure 1A). SERINC3 knockout cells
287 consisted of indels bearing a single base pair insertion and a single base pair deletion
288 (S3/S5 KO (9); Figure 1C).

289 We attempted to validate editing in these cell lines using a monoclonal antibody
290 targeting the extracellular domain of SERINC (55). However, this antibody did not detect
291 endogenous SERINC5 in either Jurkat or CEM cells (data not shown). Instead, we
292 reasoned that CRISPR/Cas9 editing of *serinc3* and *serinc5* would lead to a quantifiable
293 decrease in mRNA transcripts due to nonsense mediated decay (NMD; (56)). To test
294 this, we isolated RNA from CEM WT, CEM S5-KO (8), CEM S5-KO (11), CEM S3/S5-
295 KO (9) and, as controls, JTAg WT and JTAg S3/S5-KO cells. In both JTAg and CEM,

296 knockout clones expressed less *serinc5* (Figure 1B) or *serinc3* (Figure 1D) compared to
297 wildtype clones. Given these results, these CEM cell lines served as the basis for our
298 viral replication and infectivity studies.

299
300 *Optimal HIV-1 spread and viral infectivity in CEM cells is dependent on Nef but*
301 *independent of SERINC5.*

302 To test whether Nef is required to counteract SERINC5 to enhance HIV-1 replication,
303 we infected CEM wildtype (WT), S5-KO (8) and S5-KO (11) cells with either Nef
304 expressing (hereafter termed Nef+: NL4-3) or Nef lacking (hereafter termed Nef-: NL4-
305 3 Δ Nef) HIV-1 viruses at a multiplicity of infection (MOI)=0.01 infectious units per cell.

306 The inocula for these growth-rate experiments were produced from HEK293T cells
307 transfected with proviral plasmids, and the MOI was based on the infectivity of the virus
308 stocks measured as infectious centers in cultures of CD4-positive HeLa-P4.R5 indicator
309 cells (53). To infect 1×10^6 CEM cells, we used amounts of Nef+ or Nef- virus stocks that
310 yielded 10,000 infectious centers in the HeLa indicator assay. Although the infectivity of
311 the viruses to CEM cells might be different than to CD4-HeLa cells, we chose this
312 approach rather than normalizing the inocula to the content of p24 capsid antigen to
313 adjust for the reduced infectivity of Nef- virions produced by the HEK293T cells (data
314 not shown). The CEM cell cultures were split every 3 days and viral replication
315 quantified by the amount of viral capsid (p24) within the supernatant (Figure 2A)
316 measured by ELISA. The Nef+ viruses propagated more rapidly than the Nef- viruses,
317 accumulating around 8.5-fold more p24 antigen in the culture supernates at 12 days
318 post-infection in CEM WT cells (Figure 2B: Left panel). This corroborated the
319 importance of Nef in enhancing viral replication in this *in vitro* system. If Nef-mediated

320 modulation of SERINC5 were important for this phenotype, then the attenuated
321 replication of Nef- virus should be “rescued” in cells lacking SERINC5. Instead, Nef
322 enhanced HIV-1 replication in the CEM S5KO (8) and CEM S5KO (11) cell lines (Figure
323 2B: middle and right panels).

324 We next asked whether counteraction of SERINCs is necessary for Nef to
325 enhance the infectiousness of virions produced by CEM cells, since Nef enhances
326 virion-infectivity in a SERINC- and cell-type dependent manner (34). To test this, we
327 collected virions from infected CEM cells at day 12 post-infection and measured single-
328 cycle infectivity using HeLa TZM-bl reporter cells, which express luciferase under the
329 transcriptional control of the HIV-1 LTR (Figure 2C). We used these cells because the
330 luciferase read-out is more sensitive than the infectious center read-out of the HeLa-
331 P4.R5 cells, and the concentration of Nef- virus produced by the CEM cultures was
332 relatively low. Virions produced at day 12 post infection by Nef+ virus were around 8-
333 fold more infectious per amount of p24 capsid antigen than those produced by Nef-
334 virus, and this trend was observed regardless of SERINC5 expression (Figure 2D).
335 Taken together, these data indicate that modulation of SERINC5 is not the primary
336 mechanism by which Nef increases viral spread or infectivity in CEM cells.

337

338 *Nef enhances HIV-1 spread and virion-infectivity independently of SERINC3 in CEM*
339 *cells.*

340 Whereas CEM cells express slightly less *serinc5* RNA than Jurkats, they express
341 markedly more *serinc3* RNA (Figure 1, B and D). Thus, we sought to determine
342 whether Nef-mediated modulation of SERINC3 influences viral growth rate and

343 infectivity in these cells and might explain the *nef*-phenotype. We infected CEM WT and
344 CEM cells lacking both SERINC3 and SERINC5 (S3/SKO (9)) with Nef+ and Nef –
345 viruses and measured viral replication and infectivity in a similar manner as shown in
346 Figure 2A and 2C. The absence of SERINC3 in CEM cells did not “rescue” Nef- virus in
347 terms of either viral replication rate (Figure 3A, compare left and right panels) or virion
348 infectivity (Figure 3B). Altogether, this data indicates that Nef increases virion infectivity
349 as well as growth-rate in CEM T cells independently of SERINC3 and SERINC5.

350

351

352

353 **Discussion**

354

355 In this study, we sought to determine whether Nef’s ability to enhance viral replication
356 and/or infectivity in CEM T cells is primarily linked to modulation of SERINC3 and/or
357 SERINC5. We present evidence that argues against this by showing that Nef increases
358 viral replication rate in CEM cells lacking SERINC3 and SERINC5 and that virions of
359 Nef+ virus are more infectious than those of Nef- virus regardless of whether SERINC3
360 and SERINC5 are expressed in the CEM cells that produced them.

361

362 Our finding that Nef enhances virion-infectivity independently of SERINCs in CEM T
363 cells contrasts with reports in Jurkat and primary CD4-positive cells, where Nef
364 mediated enhancement of infectivity appears to correlate with SERINC5 expression (34,
365 35). On the other hand, our observations herein using CEM cells are similar to recent

366 results reported using MOLT-3 cells (46). In MOLT-3 cells, a chimeric HIV-1 virus
367 bearing the SERINC5 antagonist (glycoMA) failed to substitute for Nef in rescuing HIV-1
368 infectivity (a property glycoMA should have if the sole function of Nef were to counteract
369 SERINC5), and knockout of SERINC5 did not rescue the reduced growth rate of Nef-
370 virus (46). Together, these data suggest that the SERINC-dependence of the Nef
371 infectivity phenotype is cell-type dependent (34, 35, 46), with Jurkat being SERINC-
372 dependent and CEM and MOLT-3 cells being SERINC-independent. Comparing virions
373 produced from infected primary CD4-positive T cells either lacking or expressing
374 SERINC5 seems essential to adjudicate the relevance of observations made using
375 these T cell lines.

376

377 What mechanisms might explain Nef-mediated enhancement of infectivity and/or viral
378 replication independently of SERINC5s? One potential explanation could be Nef-
379 mediated modulation of Src family kinases (SFKs). Nef binds several SFK members
380 such as Lck, Hck, Lyn, and c-Src through a conserved proline-rich (PxxP) motif
381 contained within Nef's
382 Src homology region 3 (SH3) binding domain (57, 58), and primary Nef isolates from
383 HIV-1 Group M display a conserved ability to activate SFK's (59). Nef mutants lacking
384 the PxxP motif were reportedly unable to enhance HIV-1 replication in peripheral blood
385 mononuclear cells (58). However, other studies reported that mutation of Nef's PxxP
386 motif yielded little to no difference in HIV-1 replication within MOLT-3 or primary CD4-
387 positive T cells, and in CEM T cells the attenuated phenotype of mutants of the SH3
388 binding domain was modest and seemed attributable to reduced expression of Nef (25,

389 46, 60). Analyzing HIV-1 replication in T cells lacking one or more SFKs may be
390 necessary to adequately assess whether Nef's ability to enhance HIV-1 replication is
391 mediated by these interactions.

392
393 One notable similarity between MOLT-3 and CEM lymphoblastoid cells that
394 distinguishes them from Jurkat cells is that they do not express the T cell receptor
395 (TCR) at their surfaces (61, 62). Given that Nef reportedly mimics TCR-signaling (22,
396 63), another possibility is that MOLT-3 and CEM cells reveal a SERINC-independent
397 growth-rate Nef phenotype that is exaggerated by the absence of constitutive TCR
398 signaling in these cells. This could be consistent with the initial observations that the
399 activation-state of primary CD4-positive T cells affects the Nef growth-rate phenotype
400 (24). Nonetheless, how TCR signaling would affect virion-infectivity is obscure.

401
402 Lastly, the explanation might reside in Nef's ability to interact with components of
403 clathrin-mediated trafficking pathways and to modulate many cellular membrane
404 proteins, one of which might be currently unidentified but underlie the infectivity
405 phenotype in MOLT-3 and CEM cells. Nef binds AP complexes via a conserved sorting
406 signal near its C-terminus: 160ExxxLL164,165 (64). This "di-leucine motif" is required
407 for both Nef-mediated CD4 downregulation and optimal viral infectivity in CEM cells
408 (65). The Nef LL164/165AA mutant, which is unable to bind AP-2 (66), replicates poorly
409 in MOLT-3 cells (46). Residues located within Nef's core domain and required for
410 downregulating CD4 and interacting with Dynamin-2, a "pinchase" of clathrin coated
411 pits, are also required for enhancement of viral replication in MOLT-3 cells (46, 67, 68).
412 Finally, Nef enhances HIV-1 replication in the absence of CD4 downregulation in MOLT-

413 3 cells (46) and in CEM-derived cells (A2.01) engineered to express a CD4 lacking a
414 cytoplasmic domain that is unresponsive to Nef (69). These observations regarding CD4
415 are critically important, since CD4 is itself a potent inhibitor of infectivity that is
416 counteracted by Nef (11, 12). In the CEM experiments herein, CD4 downregulation by
417 Nef could conceivably account for the observed infectivity and growth-rate phenotypes.
418 Nonetheless, even if that were the case, the data would indicate that the contribution of
419 CD4 to these phenotypes far outweighs the contribution of SERINC3 and SERINC5 in
420 these cells.

421

422 Our study has several caveats. As noted above, CEM cells, like MOLT3 cells, might not
423 reflect the role of Nef in primary T cells or macrophages. Also, quantitative experimental
424 variation is evident in the CEM system, with the *nef* virion-infectivity phenotype varying
425 between 2- and 8-fold (compare Figures 2 and 3). Nonetheless, a *nef*-phenotype was
426 always apparent in these cells, and it was unaffected by knock-out of *serinc5* and
427 *serinc3*.

428

429 Overall, whether Nef provides a direct, positive effect on viral replication or instead is
430 counteracting a still unidentified restriction factor remains to be determined. Utilizing
431 both MOLT-3 and CEM cells might facilitate answering this question, providing a more
432 complete understanding of the enigmatic yet important virologic effects of Nef.

433

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655 **Author Contributions**

656 **Peter W. Ramirez:** Conceptualization, Methodology, Investigation, Writing – original
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658 **Angerstein, Thomas Vollbrecht:** Validation, Methodology, Investigation, Writing –
659 review and editing **Jared Wallace, Ryan M. O' Connell:** Resources **John Guatelli:**
660 Conceptualization, Methodology, Writing – review and editing.

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665

666 **Figure Legends**

667 *Figure 1: CRISPR/Cas9 editing of SERINC3 and SERINC5 in CEM cells. A.) Left:*
668 Chromatograms depicting a portion of exon 2 from SERINC5 wildtype (WT) or three
669 SERINC5 knockout clones: clone 8 (S5KO (8)), clone 11 (S5KO (11)) or clone 9 (S3/S5
670 KO (9)). The guide RNA (gRNA) target site is shown as a blue arrow/annotation.
671 Inserted nucleotides are highlighted red. Deletions are depicted as dashed line(s).
672 *Right: Tracking of Indels by Decomposition (TIDE) analysis of S5 KO clones. S5 KO (8)*
673 *had 4 and 13bp deletions, S5KO (11) had 2,11 and 13 bp deletions and S3/S5 KO (9)*
674 *had 10 and 13bp deletions. B.) Quantitative PCR (qPCR) showing the ratio of SERINC5*
675 *to GAPDH mRNA in JTAG and CEM cells expressing or lacking SERINC5. Results*
676 *depict two independent experiments performed in triplicate. C.) Left: Chromatograms*
677 *depicting a portion of exon 2 from SERINC3 wildtype (WT) or SERINC3/SERINC5*
678 *knockout clone 9 (S3/S5 KO (9)). The guide RNA (gRNA) target site is shown as a blue*
679 *arrow/annotation. Inserted nucleotides are highlighted red. Right: TIDE analysis of S5*
680 *KO (9) predicted a 1bp insertion and 1bp deletion. D.) Quantitative PCR (qPCR)*
681 *showing the ratio of SERINC3 to GAPDH mRNA in JTAG and CEM cells expressing or*
682 *lacking SERINC3. Results depict two independent experiments performed in triplicate.*
683 Two-tailed unpaired t-tests were performed where indicated.

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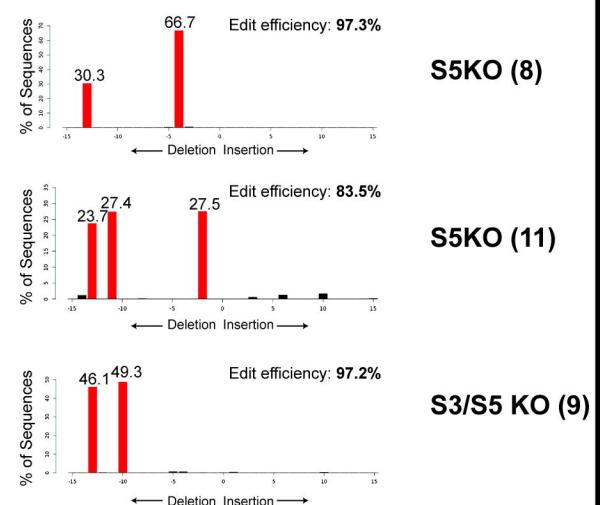
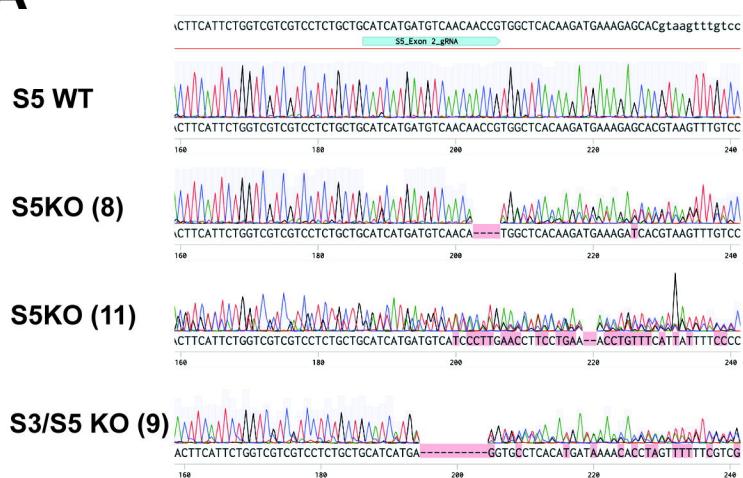
689 *Figure 2: Nef enhances HIV-1 replication independently of SERINC5 in*
690 *CEM cells. A.) Schematic of viral replication studies performed in CEM wildtype (WT),*
691 *SERINC5 knockout clone 8 (S5KO (8)) or SERINC5 knockout clone 11 (S5KO (11))*
692 *cells. Each cell line was infected with either NL4-3 (termed Nef+) or HIV-1 Δ Nef (termed*
693 *Nef-) at an MOI of 0.01. The cultures were split every 3-4 days and viral growth*
694 *measured as indicated. Created with [BioRender.com](#). B.) Viral replication quantified by*
695 *p24 Capsid ELISA in the supernatants of CEM WT, S5KO (8) and S5KO (11) cultures.*
696 *Results depict either two (WT, S5KO (8)) or one (S5KO (11)) independent infection*
697 *measured in duplicate at each time point. C.) Schematic depicting measurement of*
698 *single-cycle infectivity with virions produced from infected CEM WT, S5KO (8) or S5KO*
699 *(11) cultures. HeLa TZM-bl indicator cells contain a luciferase gene under the*
700 *transcriptional control of the HIV-1 LTR. Created with [BioRender.com](#). D.) Infectivity*
701 *data (relative luciferase units (RLU) normalized to p24 (RLU/p24)) from virions collected*
702 *at day 12 post-infection from cultures of either CEM WT or S5KO (8) and S5KO (11)*
703 *cells. The virions were partially purified by centrifugation through a 20% sucrose*
704 *cushion before measuring infectivity (RLU in the HeLa TZM-bl assay) and p24*
705 *concentration (ELISA). Results are representative of one experiment performed in*
706 *quadruplicate. Two-tailed paired t-tests were performed where indicated.*
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712 *Figure 3: Nef enhances HIV-1 replication independently of SERINC3 in*
713 *CEM cells. A.) Viral replication quantified by p24 Capsid ELISA in the supernatants of*
714 *CEM WT, S5KO (8) and S5KO (11) cultures. Results depict one independent infection*
715 *measured in quadruplicate at each time point. B.) Infectivity data (relative luciferase*
716 *units (RLU) normalized to p24 (RLU/p24)) from virions collected at Day 12 post-infection*
717 *from cultures of either CEM WT or S3/S5KO (9) cells. Results are representative of one*
718 *experiment performed in quadruplicate. Two-tailed paired t-tests were performed where*
719 *indicated.*

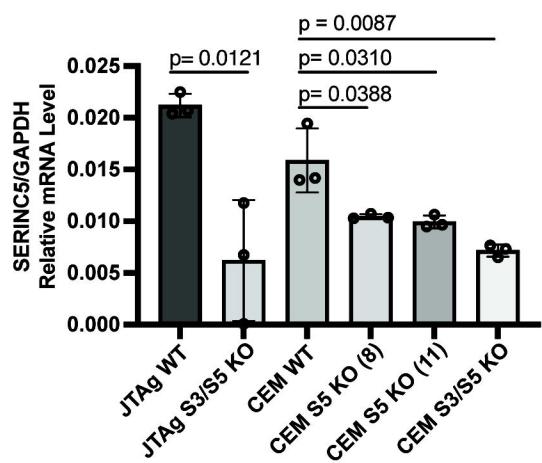
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Figure 1

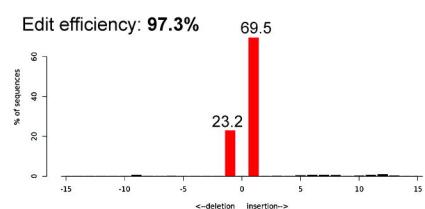
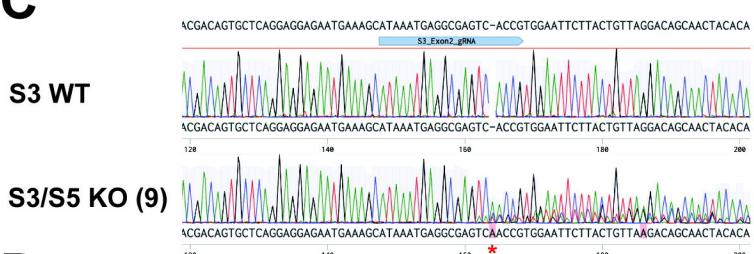
A



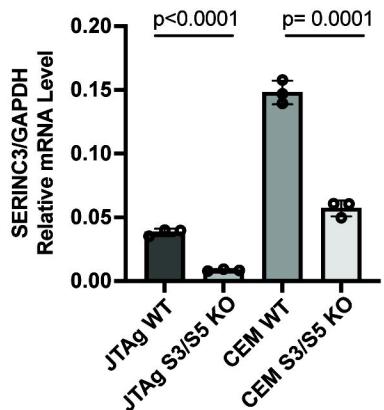
B



C



D



A

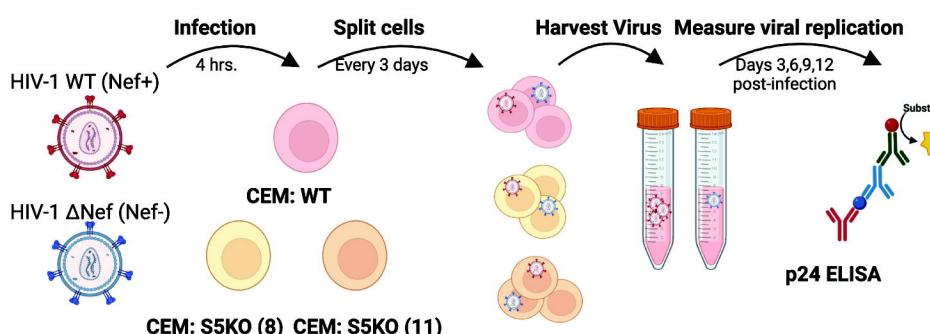
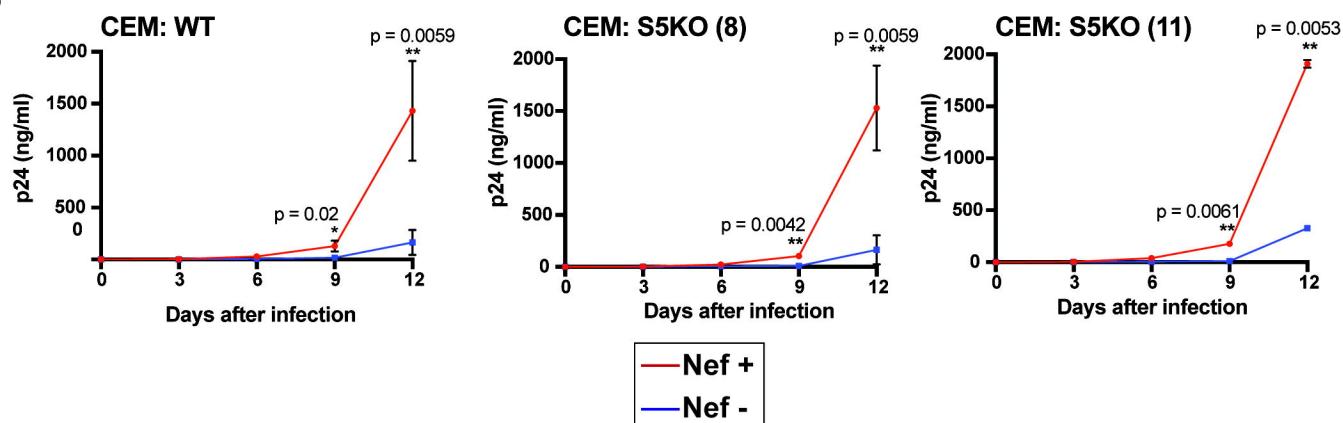
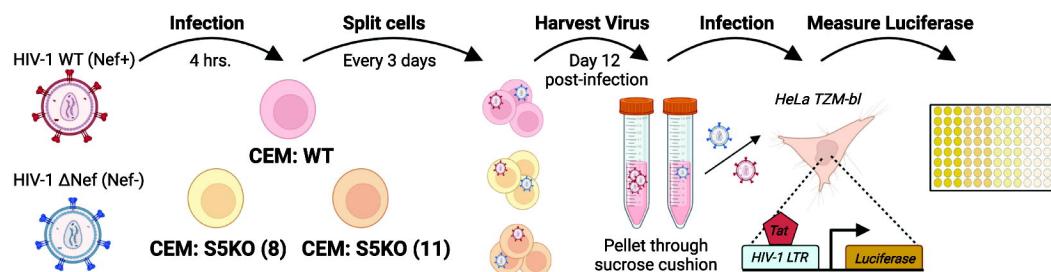


Figure 2

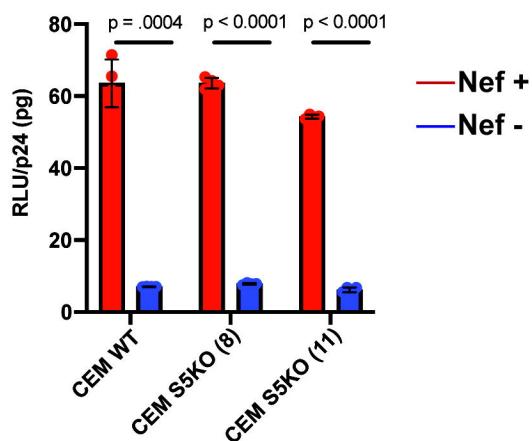
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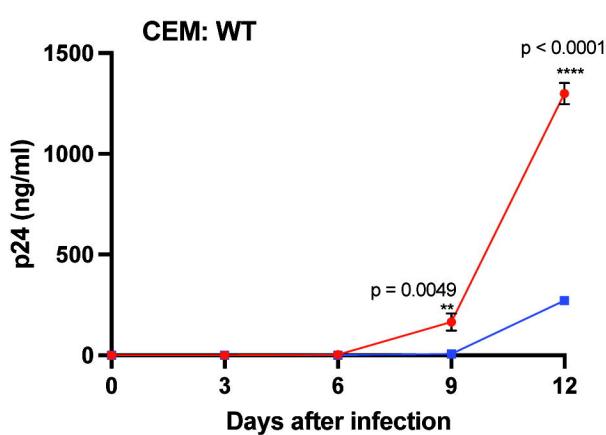
C



D



A



CEM: S3/5KO (9)

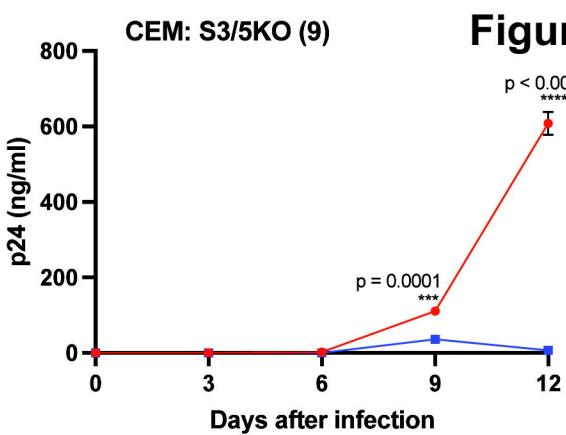


Figure 3

— Nef +
— Nef -

B

