

1 Title: Disseminating cells in human oral tumours acquire an EMT cancer stem cell state that is  
2 predictive of metastasis

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13

14 **Abstract**

15 Cancer stem cells (CSCs) undergo epithelial-mesenchymal transition (EMT) to drive metastatic  
16 dissemination in experimental cancer models. However, tumour cells undergoing EMT have not been  
17 observed disseminating into the tissue surrounding human tumour specimens, leaving the relevance  
18 to human cancer uncertain. We have previously identified both EpCAM and CD24 as markers of EMT  
19 CSCs with enhanced plasticity. This afforded the opportunity to investigate whether retention of  
20 EpCAM and CD24 alongside upregulation of the EMT marker Vimentin can identify disseminating EMT  
21 CSCs in human tumours. Examining disseminating tumour cells in over 12,000 imaging fields from 84  
22 human oral cancer specimens, we see a significant enrichment of single EpCAM, CD24 and Vimentin  
23 co-stained cells disseminating beyond the tumour body in metastatic specimens. Through training an

24 artificial neural network, these predict metastasis with high accuracy (cross-validated accuracy of 87-  
25 89%). In this study, we have observed single disseminating EMT CSCs in human oral cancer specimens,  
26 and these are highly predictive of metastatic disease.

27

28 **Introduction**

29 In multiple types of carcinoma, cancer stem cells (CSCs) undergo epithelial-mesenchymal transition  
30 (EMT) to enable metastatic dissemination from the primary tumour (Biddle et al., 2011; Lawson et al.,  
31 2015; Liu et al., 2014; Ruscetti et al., 2016). This model of metastatic dissemination has been built  
32 from studies using murine models and human cancer cell line models. However, this process has not  
33 been observed in human tumours in the *in vivo* setting, leading to uncertainty over the relevance of  
34 these findings to human tumour metastasis (Bill and Christofori, 2015; Williams et al., 2019). A key  
35 complication with efforts to study metastatic processes in human tumours is the inability to trace cell  
36 lineage. As cancer cells exiting the tumour downregulate epithelial markers whilst undergoing EMT,  
37 they become indistinguishable from the mesenchymal non-tumour cells surrounding the tumour (Li  
38 and Kang, 2016). Therefore, once these cells detach from the tumour body and move away they are  
39 lost to analysis. Attempts have been made to use the retention of epithelial markers alongside  
40 acquisition of mesenchymal markers to identify cells undergoing EMT in human tumours (Bronsert et  
41 al., 2014; Jensen et al., 2015; Puram et al., 2017). However, these studies were limited to  
42 characterising cells undergoing the earliest stages of EMT whilst still attached to the cohesive body of  
43 the primary tumour.

44

45 EMT must be followed by the reverse process of mesenchymal-to-epithelial transition (MET) to enable  
46 new tumour growth at secondary sites, and therefore retained plasticity manifested as ability to revert  
47 to an epithelial phenotype is an important feature of metastatic CSCs (Ocana et al., 2012; Tsai et al.,

48 2012). We have previously demonstrated that a CD44<sup>high</sup>EpCAM<sup>low/-</sup> EMT population can be separated  
49 from the main CD44<sup>low</sup>EpCAM<sup>high</sup> epithelial population in flow cytometric analysis of oral squamous  
50 cell carcinoma (OSCC) cell lines and fresh tumour specimens (Biddle et al., 2016; Biddle et al., 2011).  
51 We identified retained cell surface expression of EpCAM (Biddle et al., 2011) and CD24 (Biddle et al.,  
52 2016) in a minority of cells that have undergone a full morphological EMT. Both EpCAM and CD24  
53 were individually associated with enhanced ability to undergo MET, and thus are markers of EMT CSCs  
54 exhibiting retained plasticity. We therefore reasoned that retention of one or both of these markers  
55 may identify an important population of tumour cells that have undergone EMT and disseminated  
56 from the primary tumour in human tumour specimens, and are responsible for subsequent metastatic  
57 seeding. Here, we characterise the combined role of EpCAM and CD24 in marking a population of  
58 disseminating tumour cells in human OSCC specimens. Staining for EpCAM and CD24 alongside the  
59 mesenchymal marker Vimentin in over 12,000 imaging fields from 84 human tumour specimens,  
60 stratified on metastatic status, identifies cells that have undergone EMT and disseminated into the  
61 stromal region surrounding metastatic primary tumours. Using a machine learning approach, we show  
62 that the presence of these EMT CSCs in the tumour stroma is predictive of metastasis.

63

## 64 **Results**

65

### 66 **Identification of human tumour cells that have undergone an EMT and disseminated into the** 67 **surrounding stromal region**

68 The retention of EpCAM expression in a sub-population of tumour cells that have undergone EMT  
69 raised the prospect that we may be able to identify these cells outside of the tumour body in human  
70 tumour specimens, as EpCAM is a specific epithelial marker that would not normally be found in the  
71 surrounding stromal region. In combination with EpCAM, we stained tumour specimens for CD24 as a

72 second marker of plastic EMT CSCs, and Vimentin as a mesenchymal marker to identify cells that have  
73 undergone EMT. Notably, CD44 cannot be used as an EMT marker in the context of intact tissue as it  
74 requires trypsin degradation in order to yield differential expression in EMT and epithelial populations  
75 (Biddle et al., 2013; Mack and Gires, 2008). Vimentin, on the other hand, accurately distinguishes EMT  
76 from epithelial tumour cells in immunofluorescent staining protocols (Biddle et al., 2016). By  
77 combining EpCAM as a tumour lineage and EMT CSC marker, Vimentin as a mesenchymal marker, and  
78 CD24 as a plastic EMT CSC marker, we aimed to identify tumour cells that have undergone EMT and  
79 disseminated into the surrounding stromal region. For this, we developed a protocol for automated  
80 4-colour (3 markers + nuclear stain) immunofluorescent imaging and analysis of entire  
81 histopathological slide specimens, to test for co-localisation of the 3 markers in each individual cell  
82 across each specimen.

83

84 To determine whether this marker combination identifies EMT CSCs, we initially tested the protocol  
85 on the CA1 OSCC cell line and an EMT CSC sub-line that is a derivative of this cell line (EMT-stem sub-  
86 line) (Biddle et al., 2016). EpCAM<sup>+</sup>Vim<sup>+</sup>CD24<sup>+</sup> cells were greatly enriched in the EMT-stem sub-line,  
87 comprising 41% of the population, compared to 2.1% in the CA1 line (Figure 1A, B, E). Cells with this  
88 staining profile were absent from normal keratinocyte culture and cancer associated fibroblast culture  
89 (Supplementary Figure S1). To test the specific role of EpCAM retention, we replaced EpCAM with a  
90 pan-keratin antibody against epithelial keratins. There was very little Pan-keratin<sup>+</sup>Vim<sup>+</sup>CD24<sup>+</sup> staining,  
91 and no enrichment for Pan-keratin<sup>+</sup>Vim<sup>+</sup>CD24<sup>+</sup> cells in the EMT-stem sub-line (Figure 1C, D, E).  
92 Therefore, whilst epithelial keratins are lost, EpCAM is retained in cells undergoing EMT and an  
93 EpCAM<sup>+</sup>Vim<sup>+</sup>CD24<sup>+</sup> staining profile can be used as a marker for EMT CSCs in immunofluorescent  
94 staining protocols.

95

96 Imaging the tumour body and adjacent stroma in sections of human OSCC specimens, we detected  
97 single cells co-expressing EpCAM, Vimentin and CD24 in the stromal region surrounding the tumour  
98 (Figure 1F), confirming that these cells can be detected in human tumour specimens. We next  
99 stratified 24 human primary OSCC specimens into 12 tumours that had evidence of lymph node  
100 metastasis or perineural spread, and 12 that remained metastasis free (Supplementary Figure S2), and  
101 stained them for EpCAM, Vimentin and CD24. Single cells co-expressing EpCAM, Vimentin and CD24  
102 were abundant in the stroma surrounding metastatic tumours. This was not the case in non-metastatic  
103 tumours or normal epithelial regions (Figure 2, A-C). In contrast to EpCAM, pan-keratin staining did  
104 not identify cells in the stroma surrounding metastatic tumours (Figure 2D).

105

106 We developed an image segmentation protocol that separated the tumour body from the adjacent  
107 stroma, thus enabling each nucleated cell to be assigned to either the tumour or stromal region in  
108 automated image analysis (Figure 2E). Expression of EpCAM, Vimentin and CD24 was then analysed  
109 for every nucleated cell in every imaging field that included both tumour and stroma (3500 manually  
110 curated imaging fields across the 24 tumours). This enabled the proportion of each cell type in each  
111 region to be quantified (Figure 2F). EpCAM<sup>+</sup>Vim<sup>+</sup>CD24<sup>+</sup> cells were enriched in the stroma compared to  
112 the tumour body, and there was a much greater accumulation of EpCAM<sup>+</sup>Vim<sup>+</sup>CD24<sup>+</sup> cells in the  
113 stroma of metastatic tumours compared to non-metastatic tumours. Interestingly, this was not the  
114 case for EpCAM<sup>+</sup>Vim<sup>+</sup>CD24<sup>-</sup> cells, which were also enriched in the stroma but showed no difference  
115 between metastatic and non-metastatic tumours. Pan-keratin<sup>+</sup>Vim<sup>+</sup>CD24<sup>+</sup> cells were not detected.

116

117 To extend this analysis, we stained and imaged a further 60 tumours, evenly stratified on the same  
118 criteria. These displayed the same evidence of individual disseminating cells co-expressing EpCAM,  
119 Vimentin and CD24 in metastatic tumours only (Figure 2G and Supplementary Figure S3F, G). For these  
120 tumours, using a variation on the previous image segmentation protocol (Supplementary Figure S3,

121 A-D), the proportion of EpCAM<sup>+</sup>Vim<sup>+</sup>CD24<sup>+</sup> and EpCAM<sup>+</sup>Vim<sup>+</sup>CD24<sup>-</sup> cells was quantified for each cell in  
122 over 9000 imaging fields at the tumour-stroma boundary (Supplementary Figure S3E). Consistent with  
123 the previous set of tumours, only EpCAM<sup>+</sup>Vim<sup>+</sup>CD24<sup>+</sup> cells were specifically enriched in the stroma of  
124 metastatic tumours.

125

126 To explore whether these EpCAM<sup>+</sup>Vim<sup>+</sup>CD24<sup>+</sup> cells in the stroma may in fact be non-tumour cell types,  
127 we analysed a published scRNAseq dataset for human head and neck cancer (Puram et al., 2017). In  
128 this dataset, tumour and non-tumour cells were separated using bioinformatic techniques (principally  
129 inferred CNV and a ‘tumour-epithelial’ expression signature). Analysing this dataset for EpCAM,  
130 Vimentin and CD24 co-expression, we found that 12% of tumour cells (267/2215) were  
131 EpCAM<sup>+</sup>Vim<sup>+</sup>CD24<sup>+</sup>. In the non-tumour cells, only 0.8% (29/3687) were EpCAM<sup>+</sup>Vim<sup>+</sup>CD24<sup>+</sup>  
132 (Supplementary Figure S4). Therefore, the observed EpCAM<sup>+</sup>Vim<sup>+</sup>CD24<sup>+</sup> cells in our tumour specimens  
133 are highly likely to be a tumour cell population. Indeed, use of EpCAM as a tumour lineage marker is  
134 specifically intended to exclude staining for stromal constituents. EpCAM is a specific epithelial  
135 marker, that is not expressed in stromal or immune cells – it is expressed exclusively in epithelia and  
136 epithelial-derived tumours (Keller et al., 2019).

137

138 These findings demonstrate that an EpCAM<sup>+</sup>Vim<sup>+</sup>CD24<sup>+</sup> staining profile marks tumour cells  
139 disseminating into the surrounding stroma, and that these cells are enriched specifically in metastatic  
140 tumours. The presence of disseminating tumour cells that express EpCAM but not CD24 did not  
141 correlate with metastasis. This highlights a requirement for the plasticity marker CD24, when  
142 identifying disseminating metastatic CSCs.

143

144 **Identification of EpCAM<sup>+</sup>CD24<sup>+</sup>Vim<sup>+</sup> CSCs enables clinical prediction using a machine learning  
145 approach**

146 OSCC are an important health burden and one of the top ten cancers worldwide, with over 300,000  
147 cases annually and a 50% 5-year survival rate. There is frequent metastatic spread to the lymph nodes  
148 of the neck; this is the single most important predictor of outcome and an important factor in  
149 treatment decisions (Sano and Myers, 2007). If spread to the lymph nodes is suspected, OSCC  
150 resection is accompanied by neck dissection to remove the draining lymph nodes, a procedure with  
151 significant morbidity. At presentation it is currently very difficult to determine which tumours are  
152 metastatic and this results in sub-optimal tailoring of treatment decisions. Accurate prediction of  
153 metastasis would therefore have great potential to improve clinical management of the disease to  
154 reduce both mortality and treatment-related morbidity. We sought to determine whether the  
155 EpCAM<sup>+</sup>CD24<sup>+</sup>Vim<sup>+</sup> staining pattern could be predictive of metastasis.

156

157 Starting with the EpCAM, Vimentin and CD24 immunofluorescence grey levels for each nucleated cell,  
158 we used a supervised machine learning approach to predict whether an imaging field comes from a  
159 metastatic or non-metastatic tumour (Figure 5A). As a benchmark we used the pan-keratin, Vimentin  
160 and CD24 immunofluorescence grey levels, as we hypothesised that pan-keratin would provide an  
161 inferior predictive value than EpCAM given that there was no dissemination of pan-keratin expressing  
162 cells in the stroma. 3500 imaging fields containing 2,640,000 total nucleated cells from 24 tumour  
163 specimens were used in the machine learning task. We compared the performance accuracy (10-fold  
164 cross-validated F-score) of different machine learning classification algorithms. The best performing  
165 classifiers for EpCAM, Vimentin and CD24 were the artificial neural network (ANN) and support vector  
166 machine (SVM), with F1 accuracy scores of 91% and 87% respectfully (Figure 5B). For the ANN, the  
167 area under the curve (AUC) accuracy score was 87%, with 94% sensitivity and 82% specificity. Training  
168 with Pan-keratin, Vimentin and CD24 gave much worse prediction across all classifiers (Figure 5C).

169 These findings demonstrate that, utilising a machine learning algorithm, staining for EpCAM, Vimentin  
170 and CD24 can predict metastatic status with high accuracy and may therefore have clinical utility.

171

172 To extend this analysis of utility for metastasis prediction, we stained and imaged a further 60  
173 tumours, evenly stratified on the same criteria, for EpCAM, Vimentin and CD24. Over 9000 imaging  
174 fields at the tumour-stroma boundary from 60 evenly stratified tumour specimens, containing over  
175 8.5 million nucleated cells, were fed into an artificial neural network machine learning task. For this  
176 task, we recorded the predictive accuracy from the training and validation sets after each training  
177 epoch, which showed good alignment and an 89% accuracy score after 12 training epochs (Figure 5D).

178

179 To our knowledge, this is the first time immunofluorescent staining of human tumour tissue specimens  
180 has been used in a machine learning pipeline for clinical prediction. Previous studies using cytokeratin  
181 immunohistochemistry, clinicopathological data and serum biomarkers for clinical prediction via  
182 machine learning have achieved AUCs of 75% in breast cancer (Tseng et al., 2019), 80% in OSCC (Bur  
183 et al., 2019), and 82% in colorectal cancer (Takamatsu et al., 2019).

184

## 185 **Discussion**

186 The role of EMT in tumour dissemination has long been debated but, lacking evidence of cells  
187 undergoing EMT whilst disseminating from human tumours *in vivo*, this role has had to be inferred  
188 from mouse models and human cell line models. Here, through applying our understanding of EMT  
189 cancer cell heterogeneity and markers for plastic EMT CSCs, we have identified EMT CSCs  
190 disseminating from the primary tumour in human pathological specimens. Importantly, the presence  
191 of these disseminating stem cells is strongly correlated with tumour metastasis. Using a machine

192 learning approach, we have demonstrated the ability to predict metastasis with high accuracy through  
193 staining for these EMT CSCs.

194

195 A partial EMT state has previously been identified in an OSCC scRNAseq dataset; this state retained  
196 epithelial gene expression alongside expression of mesenchymal genes, and was correlated with nodal  
197 metastasis and adverse pathological features (Puram et al., 2017). Here, using immunofluorescent  
198 staining for EMT CSCs that retain the epithelial marker EpCAM alongside the mesenchymal marker  
199 Vimentin and the CSC plasticity marker CD24, we have identified single EMT CSCs disseminating into  
200 the stroma surrounding oral tumours. However, epithelial keratins are not retained. We have also  
201 shown that retention of EpCAM is not on its own sufficient alongside Vimentin to mark disseminating  
202 EMT CSCs that correlate with metastasis. There is a requirement for CD24, which we have previously  
203 shown to be a plasticity marker within the EMT population even when driven into full morphological  
204 EMT under TGF $\beta$  treatment (Biddle et al., 2016). This suggests that the EMT CSC state may be more  
205 complex than a simple coalescence of epithelial and mesenchymal characteristics.

206

207 We have identified an EMT CSC state that disseminates as single cells from human tumours and is  
208 correlated with metastasis. Immunofluorescent antibody co-staining for EpCAM, CD24 and Vimentin  
209 identifies these EMT CSCs in human tumour specimens and is predictive of metastasis. The ability of  
210 this co-staining to separate disseminating tumour cells from the stromal content of human tumours,  
211 which has confounded previous attempts to develop a predictive EMT signature (Tan et al., 2014), is  
212 one important factor in this success. However, we also show that EpCAM $^+$ CD24 $^-$ Vim $^+$  tumour cells in  
213 the stroma do not correlate with metastasis, and therefore the clinically predictive utility of tumour  
214 cell staining in the stroma can be isolated specifically to the EpCAM $^+$ CD24 $^+$ Vim $^+$  EMT CSCs. This  
215 highlights the value of using techniques that give single cell resolution, enabling isolation of the signal  
216 to the specific cell type of interest within a highly heterogeneous cellular environment. An important

217 strength of our study has been the ability to look at the single cell level in an automated fashion across  
218 thousands of fields of view from human tumours, enabling us to observe and quantify human tumour  
219 cells disseminating into the surrounding tissue. In doing so, we have identified single disseminating  
220 EMT CSCs that are predictive of metastasis.

221

222 **Conflict of interest**

223 The authors declare no conflicts of interest.

224

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230

231 **Methods**

232 **Cell culture**

233 The CA1 OSCC cell line and oral cancer associated fibroblasts were both previously derived in our  
234 laboratory, from separate biopsies of OSCC of the floor of the mouth. The EMT-stem sub-line was  
235 derived as a single cell clone from the CA1 cell line (Biddle et al., 2016). Normal keratinocytes were  
236 the N/TERT hTERT-immortalised epidermal keratinocyte cell line (Smits et al., 2017). Cell culture was  
237 performed as previously described (Biddle et al., 2011). Cell removal from adherent culture was  
238 performed using 1x Trypsin-EDTA (Sigma, T3924) at 37°C.

239

240

241 **Immunofluorescent staining of cell lines and tumour tissue sections**

242 Tumour specimens were obtained from the pathology department at Barts Health NHS Trust, with full  
243 local ethical approval and patients' informed consent. Sections of formalin fixed paraffin embedded  
244 (FFPE) archival specimens were dewaxed by clearing twice in xylene for 5 minutes then gradually  
245 hydrating the specimens in an alcohol gradient (100%, 90%, 70%) for 3 minutes each. The sections  
246 were then washed under running tap water before immersing the slides in Tris-EDTA pH9 for antigen  
247 retrieval using a standard microwave at high power for 2 minutes and then 8 minutes at low power.

248

249 Four-colour immunofluorescent staining was performed by firstly staining the membranous proteins  
250 prior to the permeabilisation and blocking steps. The sections were incubated with an IgG2a mouse  
251 monoclonal CD24 antibody (clone ML5, BD Bioscience) and IgG rabbit recombinant monoclonal  
252 EpCAM antibody (EPR20532-225, Abcam) in PBS overnight at 4°C (1/100 dilution). The sections were  
253 then washed three times in PBS and incubated for 1 hour at room temperature with anti-mouse IgG2  
254 Alexa Fluor 488 and anti-rabbit IgG Alexa Fluor 555 secondary antibodies (1/500 dilution). The sections  
255 were then washed in PBS and permeabilised with 0.5% triton-X in PBS for 10 minutes followed by  
256 blocking for 1 hour with blocking buffer (3% goat serum, 2% bovine serum albumin in PBS). The  
257 sections were then incubated with an IgG1 mouse monoclonal Vimentin antibody (clone V9, Dako)  
258 and (optionally, in place of EpCAM) IgG rabbit polyclonal wide spectrum cytokeratin antibody (ab9377,  
259 Abcam) overnight at 4°C in blocking buffer (1/100 dilution). After washing with PBS, the sections were  
260 incubated with anti-mouse IgG1 Alexa Fluor 647 antibody and (optionally) anti-rabbit IgG Alexa Fluor  
261 555 for 1hr at 4°C (1/500 dilution). After washing three times with PBS, cell nuclei were stained with  
262 DAPI (1/1000 dilution in PBS) for 10 minutes.

263

264 For cell line staining, cells were fixed in 4% PFA for 10 minutes then washed with PBS. Staining was  
265 performed in the same manner as described above, however permeabilisation was performed with  
266 0.25% Triton-X for 10 minutes and DAPI incubation was reduced to 1 minute.

267

268 **Quantifying the abundance of stained sub-populations in cell lines and tumour tissue sections**

269 Imaging of the stained slides was performed using the In Cell Analyzer 2200 (GE), a high content  
270 automated fluorescence microscope with four-colour imaging capability. The slides were imaged at  
271 x20 and x40 magnification. An image segmentation protocol was developed to extract grey level  
272 intensities corresponding to EpCAM, Vimentin and CD24 expression for every DAPI stained nucleated  
273 cell in the tumour body and the adjacent stroma separately. Segmentation was performed using the  
274 Developer Toolbook software (GE). As shown in figure 2E and Supplementary Figure S3, an 'EpCAM  
275 dense cloud' or 'Vimentin dense cloud' was generated to isolate individual nucleated cells in the  
276 tumour body from the adjacent stroma and analyse them separately.

277

278 Grey level intensities obtained from the imaging analysis were processed in the following way. Firstly,  
279 the median number of nucleated cells was calculated and imaging fields with fewer than 20% of the  
280 median nucleated cells were excluded from the analysis pipeline. The folded edges of a specimen were  
281 also excluded. The median grey level intensity of the FITC, CY3 and CY5 fluorescence channels  
282 corresponding to CD24, EpCAM and Vimentin expression were computed for the negative control  
283 stained slides. A nucleated cell was deemed to have positive CD24, EpCAM or Vimentin expression if  
284 its grey level intensity exceeded the background threshold value ( $1.5 \times$  median grey level intensity of  
285 negative control slide) for the FITC, CY3 and CY5 channels respectively. If a nucleated cell surpassed  
286 the background threshold for all three fluorescence channels it was termed a triple positive cell  
287 ( $CD24^+EpCAM^+Vim^+$ ) and denoted with 1 and if this criteria was not met the nucleated cell was

288 denoted with a 0. For EpCAM<sup>+</sup>Vim<sup>+</sup>CD24<sup>-</sup> cells (termed double positive), the nucleated cell must  
289 exceed the background threshold for the CY3 and CY5 channels but not the FITC.

290

291 The scRNAseq dataset (Puram et al., 2017) was analysed using a threshold (median or quartile) using  
292 the normalised count expression for EpCAM, CD24 and Vimentin for each cell.

293

294 **Machine learning for prognostic prediction using immunofluorescent staining data**

295 A dataset was created of a pool of 2,640,000 nucleated cells across 3500 imaging fields from 24 tumour  
296 specimens (12 with lymph node metastasis or perineural spread, and 12 without) (batch 1) or  
297 8,563,000 nucleated cells across 9,200 imaging fields from 60 tumour specimens (30 with lymph node  
298 metastasis or perineural spread, and 30 without) (batch 2). The background threshold for the FITC,  
299 CY3 and CY5 channels was subtracted from the grey level intensities for each nucleated cell. The  
300 supervised machine learning task was to classify each imaging field into whether it belonged to a  
301 metastatic or non-metastatic tumour.

302

303 The dataset was stratified into a training and validation cohort in a 70%:30% ratio using a random seed  
304 split. Supervised machine learning approaches were implemented using the scikit-learn Python 3.6  
305 libraries (Pedregosa et al., 2011) and Tensorflow/Keras framework  
306 ([https://www.tensorflow.org/api\\_docs/python/tf/keras/models](https://www.tensorflow.org/api_docs/python/tf/keras/models)). Hyper-parameter optimisation was  
307 performed by an exhaustive grid search and computed on Apocrita, a high performance cluster (HPC)  
308 facility at Queen Mary University of London (<http://doi.org/10.5281/zenodo.438045>). To further  
309 minimise overfitting, 10-fold cross-validation was performed and the mean accuracy metric, F1 score,  
310 was obtained for each learning iteration. Receiver-of-operator (ROC) curves and the area-under the-  
311 curve (AUC) were computed for the optimum supervised learning algorithm. Supervised approaches

312 used were logistic regression, support vector machines (Smola and Scholkopf, 2004), Naïve Bayes  
313 (Zhang, 2005), K-Nearest Neighbours (Bentley, 1975), decision trees (Dumont et al., 2009), and  
314 artificial neural networks (Rumelhart et al., 1986).

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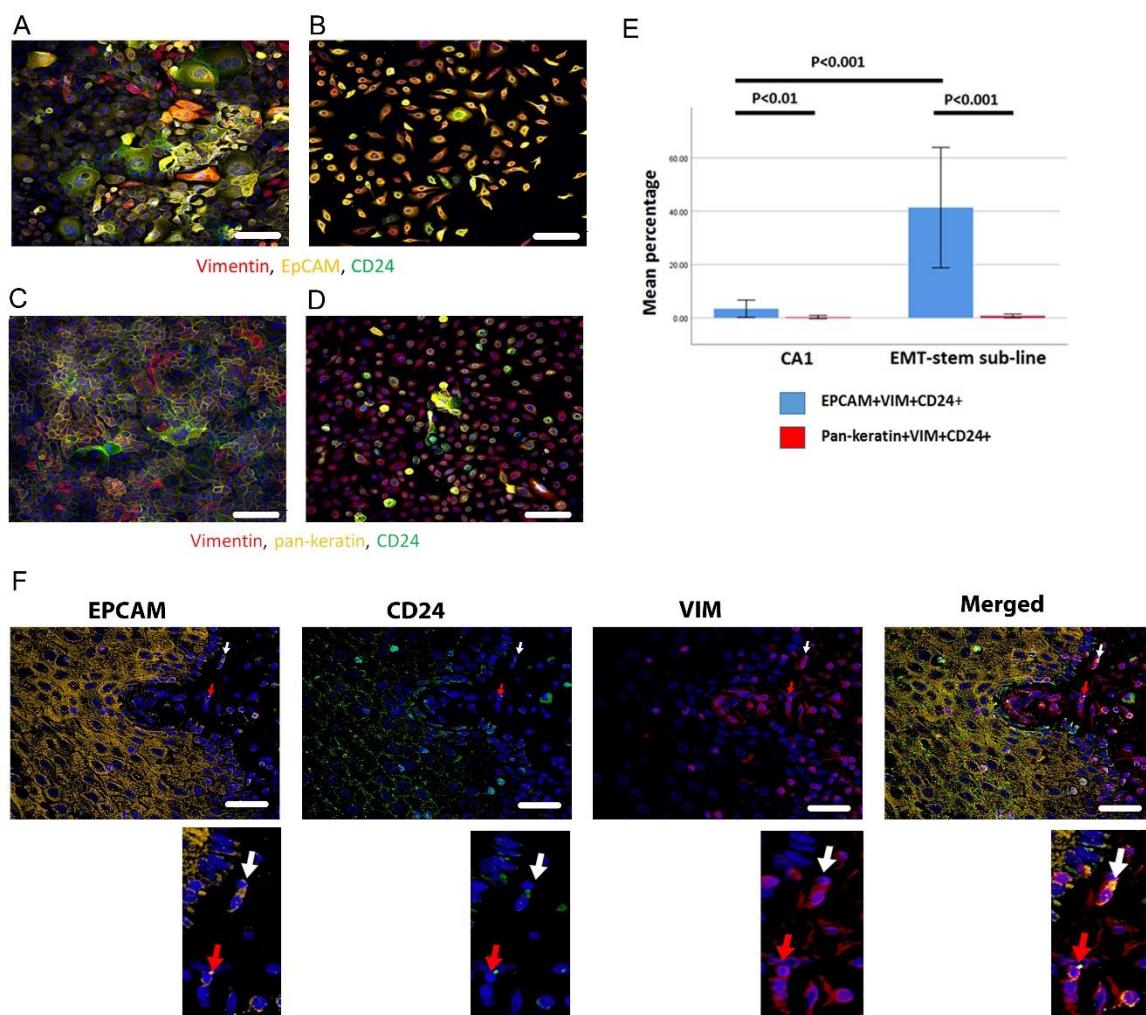
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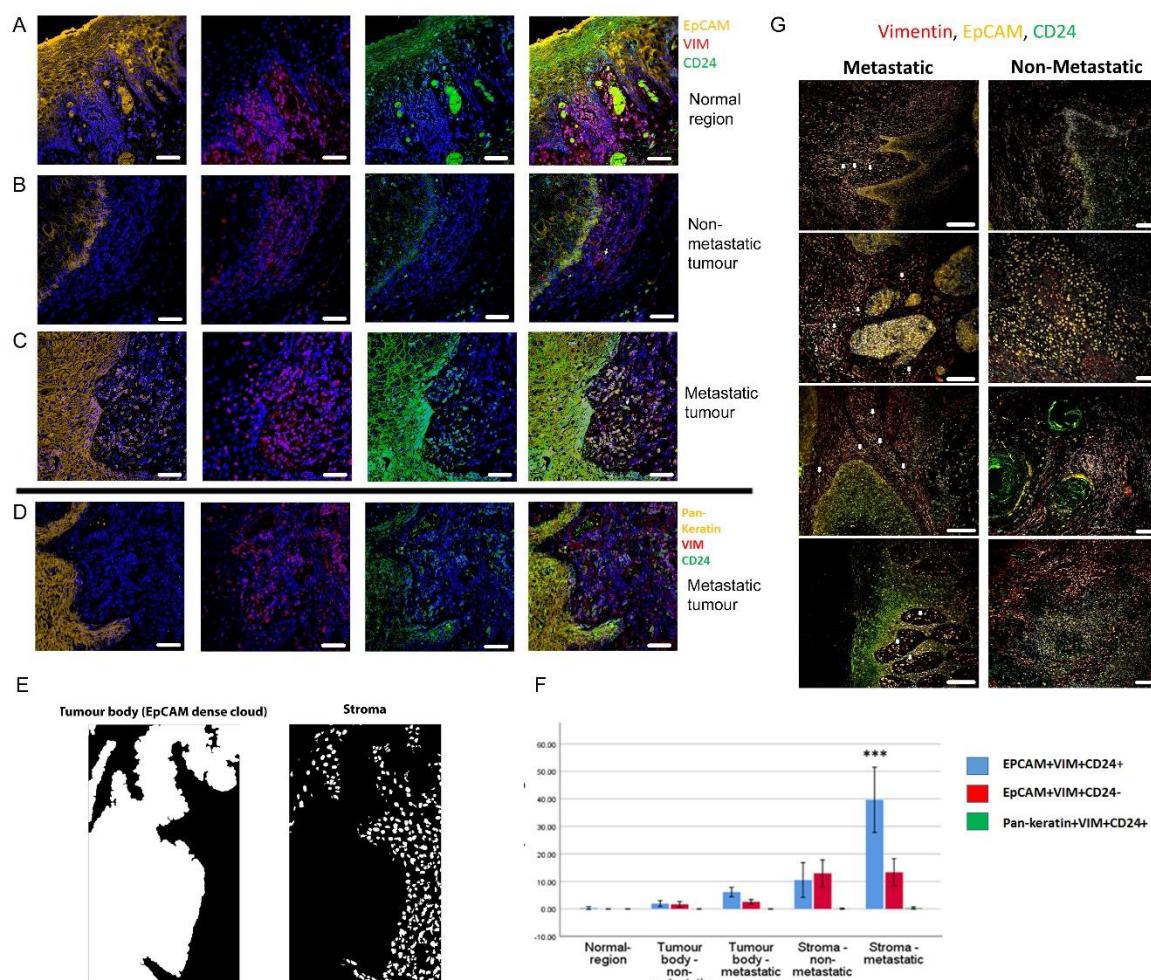
399 **Figure 1**



400

401 Figure 1 – Immunofluorescent co-staining for EpCAM, Vimentin and CD24 identifies the EMT stem cell  
402 state. **A-D**, Immunofluorescent staining for EpCAM, Vimentin and CD24 (A, B) and pan-keratin,  
403 Vimentin and CD24 (C, D) in the CA1 cell line (A, C) and the EMT-stem CA1 sub-line (B, D). **E**,  
404 Quantification of the percentage of EpCAM<sup>+</sup>Vim<sup>+</sup>CD24<sup>+</sup> and pan-keratin<sup>+</sup>Vim<sup>+</sup>CD24<sup>+</sup> cells in the CA1  
405 cell line and EMT-stem sub-line. Significance is obtained from a two-tailed student t-test. The graph  
406 shows mean +/- 95% confidence interval. **F**, Detection of EpCAM<sup>+</sup>Vim<sup>+</sup>CD24<sup>+</sup> cells in the stroma  
407 surrounding an oral cancer tumour specimen. The white arrow highlights an EpCAM<sup>+</sup>Vim<sup>+</sup>CD24<sup>+</sup> cell in  
408 the stroma. The red arrow highlights an EpCAM<sup>+</sup>Vim<sup>+</sup>CD24<sup>-</sup> cell in the stroma. DAPI nuclear stain is  
409 blue. Below inset; enlargement of the highlighted cells for each marker. Scale bars = 100μm.

410 **Figure 2**



411

412 Figure 2 – Enrichment of EpCAM<sup>+</sup>Vim<sup>+</sup>CD24<sup>+</sup> cells in the stroma surrounding metastatic tumours. **A-C**,  
413 Immunofluorescent four-colour staining of oral tumour specimens for EpCAM (yellow), Vimentin (red)  
414 and CD24 (green) with DAPI nuclear stain (blue). Representative imaging fields from a normal  
415 epithelial region (A), a non-metastatic tumour (B) and a metastatic tumour (C). **D**, Staining of a  
416 metastatic tumour for pan-keratin, Vimentin and CD24. **E**, Image segmentation was performed, with  
417 generation of an ‘EpCAM dense cloud’ to distinguish the tumour body from the stroma. Grey level  
418 intensities for EpCAM, Vimentin and CD24 were obtained for every nucleated cell in each imaging  
419 field. **F**, Quantification of the percentage of EpCAM<sup>+</sup>Vim<sup>+</sup>CD24<sup>+</sup>, EpCAM<sup>+</sup>Vim<sup>+</sup>CD24<sup>-</sup> and pan-  
420 keratin<sup>+</sup>Vim<sup>+</sup>CD24<sup>+</sup> cells in normal region (epithelium distant from the tumour), tumour body, and  
421 stromal region from metastatic and non-metastatic tumours in the first batch of specimens. A student

422 t-test was performed comparing the mean percentage of EpCAM<sup>+</sup>Vim<sup>+</sup>CD24<sup>+</sup> co-expressing cells in the  
423 metastatic stroma compared to the other fractions. \*\*\* signifies p < 0.001. The graph shows mean  
424 +/- 95% confidence interval. **G**, Immunofluorescent four-colour staining of oral tumours from the  
425 second batch of specimens, showing tumours with a range of invasive front presentations. White  
426 arrows highlight single EpCAM<sup>+</sup>Vim<sup>+</sup>CD24<sup>+</sup> cells in the stroma. Scale bars = 100 µm.

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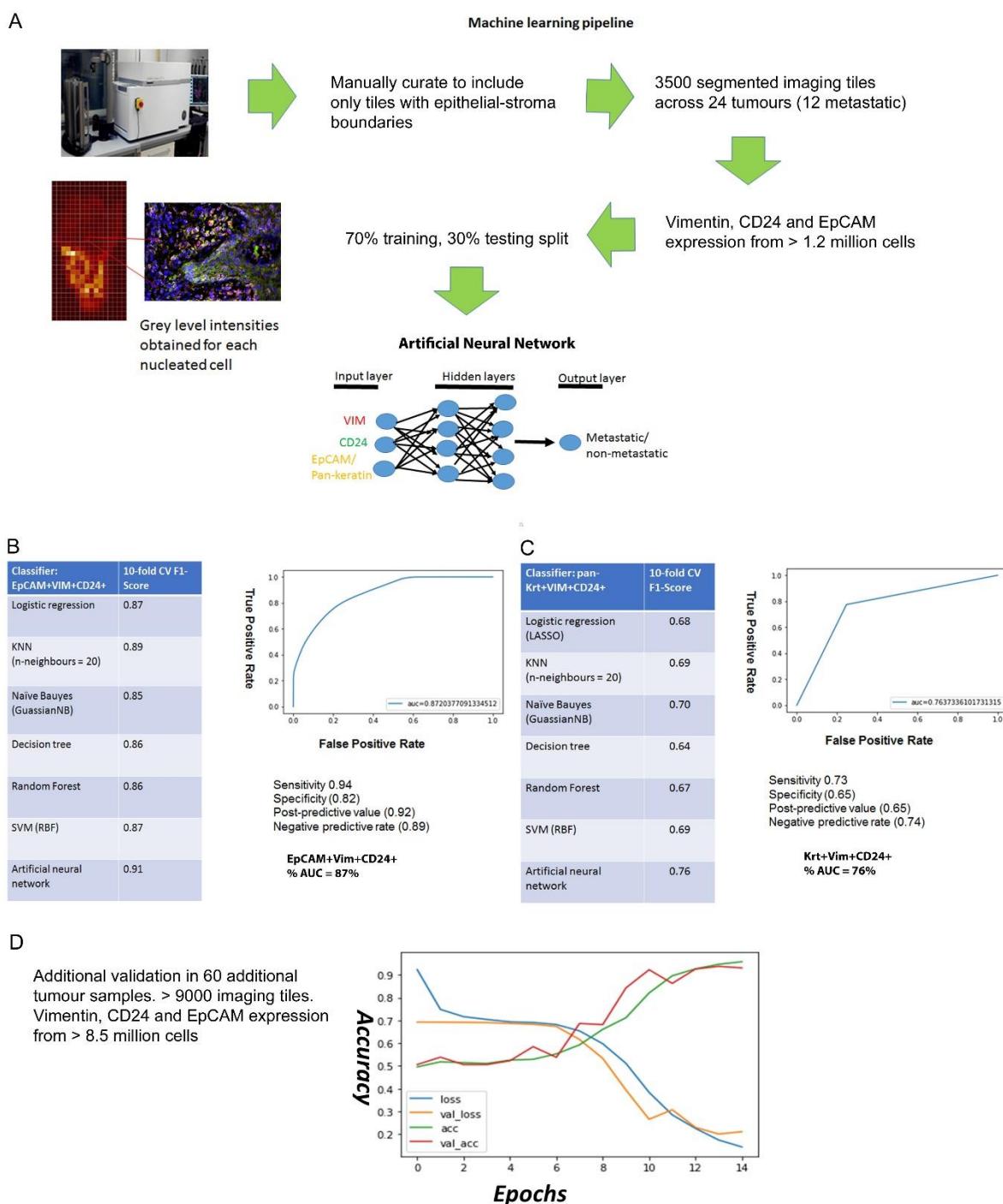
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443 **Figure 3**



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445 Figure 3 – Predicting metastasis using EpCAM, Vimentin and CD24 immunofluorescent staining and a  
 446 supervised machine learning approach. **A**, Pipeline for machine learning based on grey level intensities  
 447 for the three markers in tumour batch 1. The training tiles were classified as coming from a metastatic  
 448 or non-metastatic tumour. **B, C**, Performance of EpCAM, Vimentin and CD24 (B) and pan-keratin,

449 Vimentin and CD24 (C) in the supervised learning task on tumour batch 1. The tables show the 10-fold  
450 cross-validation F1 scores of different machine learning classification algorithms. To the right of each  
451 table is a receiver-of-operator curve (ROC) showing the area under the curve (AUC) of the artificial  
452 neural network (ANN) classifier. **D**, Performance of EpCAM, Vimentin and CD24 in the supervised  
453 learning task on tumour batch 2. An ANN classifier was trained and tested on batch 2, independently  
454 of tumour batch 1. Accuracy and loss scores are displayed for the training set (green and blue lines)  
455 and the validation set (red and yellow lines) drawn from within this batch, for 14 training epochs on  
456 the ANN classifier.

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