

1                   **Infection characteristics among *Serratia marcescens* capsule**  
2                   **lineages.**

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5                   running title: differentiating *S. marcescens* capsule types

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24 **ABSTRACT**

25 *Serratia marcescens* is a healthcare-associated pathogen that can cause severe infections  
26 including bacteremia and pneumonia. The capsule polysaccharide of *S. marcescens* is a  
27 bacteremia fitness determinant and previous work defined capsule locus (KL) diversity within  
28 the species. Strains belonging to KL1 and KL2 capsule clades produce sialylated  
29 polysaccharides and represent the largest subpopulation of isolates from clinical origin. In this  
30 study, the contribution of these and other *S. marcescens* capsules to infection was determined  
31 in animal and cellular models. Using a murine model of primary bacteremia, clinical isolates of  
32 multiple KL types demonstrated capsule-dependent colonization of spleen, liver, and kidney  
33 following tail vein inoculation. Similar results were observed using a bacteremic pneumonia  
34 model, in that all tested strains of clinical origin demonstrated a requirement for capsule in both  
35 the primary lung infection site and for bloodstream dissemination to secondary organs. Finally,  
36 capsule from each KL clade was examined for the ability to resist internalization by bone-  
37 marrow-derived macrophages. Only the sialylated KL1 and KL2 clade strains exhibited capsule-  
38 dependent inhibition of internalization, including KL2 capsule produced in a heterologous  
39 background. Together these findings indicate that lineage-specific resistance to macrophage  
40 phagocytosis may enhance survival and antibacterial defenses of clinically-adapted *S.*  
41 *marcescens*.

42

43 **IMPORTANCE**

44 Bacteremia occurs when the host immune system fails to contain bacterial bloodstream  
45 replication following an initial inoculation event from either an internal or external source.  
46 Capsule polysaccharides play a protective role for *Serratia marcescens* during bacteremia but  
47 there is abundant genetic diversity at the capsule-encoding locus within the species. This study  
48 compares the infection characteristics of *S. marcescens* isolates belonging to five capsule types  
49 and defines the contributions to infection fitness for each. By characterizing the differences in

50 capsule dependence and infection potential between *S. marcescens* strains, efforts to combat  
51 these life-threatening infections can be focused toward identifying strategies that target the most  
52 critical genetic lineages of this important opportunistic pathogen.

53 **INTRODUCTION**

54 *Serratia marcescens* is one the common causes of bacteremia and pneumonia among  
55 Gram-negative bacterial species (1, 2) and an estimated 100,000 deaths (42,000 associated  
56 with drug resistance) were due to *Serratia* species in 2019 alone (3, 4). Many of these infections  
57 occur in individuals with pre-existing conditions or during prolonged hospital stays. Pediatric  
58 populations are also vulnerable to severe *S. marcescens* infections, often occurring as  
59 nosocomial outbreaks (5-7). In addition to the systemic and life-threatening infections that are  
60 the focus of this work, *S. marcescens* is capable of a wide range of other pathogenic  
61 interactions with both human and non-human hosts (8-11). The clinical significance of *S.*  
62 *marcescens* is contrasted by the prevalence of the species in many environments, with isolation  
63 sources ranging from soil, water, plants, and insects (8) and highlighting the range of niches in  
64 which the organism thrives.

65 Genomic studies investigating the population structure of *S. marcescens* have defined  
66 the species-level diversity and have identified distinct lineages within the species. Some  
67 lineages have stringent correlation with clinical sources, consistent with niche adaptation to the  
68 infection environment and supported by a discrete repertoire of accessory genomic elements  
69 enriched within these clades (12-15). Other lineages are conversely associated with non-clinical  
70 or environmental sources. The clinical lineages have a higher proportion of antimicrobial  
71 resistance genes and there is evidence for substantial propagation of drug-resistant clades over  
72 time and geographic location (12, 14, 16). The major infection-associated genotypes are now  
73 represented by hundreds of sequenced strains enabling experimental examination of  
74 phenotypes that are predicted to impact *S. marcescens* pathogenesis. Our own work has also  
75 demonstrated a distinction between clinical and environmental *S. marcescens* lineages strictly  
76 through comparison of the locus encoding capsule polysaccharide (CPS) (17).

77 The CPS for one *S. marcescens* capsule type was characterized as a critical fitness  
78 determinant during bloodstream infection (18). Like other encapsulated Enterobacterales

79 species, the CPS of *S. marcescens* is encoded in a genomic locus that varies extensively  
80 between isolates (17). Our comparison of capsule loci (KL) from infection isolates determined  
81 that clades KL1 and KL2 were overrepresented among a cohort of >300 genomes and that  
82 strains from both clades produced sialylated CPS. Ketodeoxynonulonic acid (KDN) was the  
83 predominant sialic acid identified from KL1 and KL2 strains but a minor proportion of N-  
84 acetylneuraminic acid (Neu5Ac) was also detected. In addition to these predominant clinical  
85 capsule types, less abundant capsule clades from clinical or non-infection sources were also  
86 defined. In this work, we sought to determine the infection characteristics of *S. marcescens*  
87 strains representing five different capsule clades using both animal and cellular model systems.

88

## 89 **RESULTS**

### 90 **Infectivity of *S. marcescens* isolates following bloodstream inoculation.**

91 Capsule was previously determined to be an important fitness factor based on  
92 experiments using a single *S. marcescens* bacteremia clinical isolate belonging to clade KL1  
93 (17, 18). As an initial assessment of infection capability for isolates differentiated by capsule  
94 type, strains selected from clades KL1-KL5 (Table 1) were inoculated into the bloodstream of  
95 mice via tail vein injection (TVI) and bacterial survival was measured at 24 h. KL1 strain UMH9  
96 stably colonized the spleen, liver, and kidneys in a manner consistent with previous results (19)  
97 (Fig. 1). The KL2-5 strains also colonized blood-filtering organs at 24 h, but significant variation  
98 in bacterial burdens was observed among the strains in both the spleen and liver (Fig. 1A and  
99 1B), with KL3 bacteremia isolate UMH7 consistently achieving the highest density in both  
100 organs. The KL2 (gn773) and KL4 (UMH11) bacteremia isolates were similarly elevated in liver  
101 compared to KL1 and the *S. marcescens* type strain ATCC 13880 (KL5), a pond water isolate.  
102 Although variability in the kidneys was higher than the other organs (Fig. 1C), the KL2 and KL5  
103 strains trended towards lower colonization levels, approaching the limit of detection. Thus, while

104 all clinical and non-clinical *S. marcescens* strains were capable of bacteremia, significant organ-  
105 specific colonization differences are observed.

106

107 **Capsule contributions to bacterial survival following TVI.**

108 Acapsular mutants of each KL type were next generated to test the contribution of CPS  
109 to bacteremia across strains (Table 1). KL1, KL3, KL4, and KL5 strains were mutated such that  
110 the variable and clade specific region of each KL (CPS<sub>v</sub>) (Fig. S1A) (17) was deleted and  
111 replaced with an insert fragment encoding kanamycin resistance. Attempts to construct a similar  
112 ΔCPS<sub>v</sub> mutation in the KL2 strain were unsuccessful, despite multiple efforts using different  
113 mutagenic systems. As an alternative, a capsule-null phenotype was achieved by disrupting the  
114 *neuB* gene encoding the sialic acid synthase within the KL2 CPS<sub>v</sub> region, similar to a previously  
115 described capsule-null *neuB* mutant of KL1 (17). Initial assessments of these five mutants  
116 confirmed that the KL mutations disrupted high molecular weight CPS production, eliminated  
117 extracellular CPS uronic acids, and did not prevent O-antigen synthesis (Fig. S1). The only  
118 exception was KL5 ATCC 13880, which did not yield detectable CPS or O-antigen from either  
119 the wild-type or ΔCPS<sub>v</sub> mutant.

120 The relative fitness of each capsule mutant was first quantitated in comparison to the  
121 wild-type parent strain in TVI mixed infections via competitive index (CI). All KL types under  
122 these mixed inoculum parameters exhibited similar total burdens in the spleen, kidneys, and  
123 liver of infected mice (Fig. S2). For each strain with detectable CPS production *in vitro* (Fig. S1),  
124 a significant competitive disadvantage in survival after 24 h was observed for mutants lacking  
125 capsular genes (Fig. 2A-D). The fitness advantage provided by KL4 CPS was only significant for  
126 bacteria in the liver but showed a similar trend in kidneys. Combined, these results demonstrate  
127 that capsule is important for bacterial survival across multiple clinical *S. marcescens* isolates  
128 and capsular clades. In contrast, the KL5 strain demonstrated no significant change in fitness

129 upon CPS<sub>v</sub> mutation (Fig. 2E). This result was anticipated given the lack of CPS associated with  
130 this strain; however, the six genes present in the ATCC 13880 KL5 CPS<sub>v</sub> region (Fig. S1), all  
131 appear to be uninterrupted in the genome sequence (NZ\_CP072199.1) and thus their functional  
132 significance is unclear. To further evaluate contributions of each CPS type to bloodstream  
133 fitness, strains were exposed to human serum for 90 minutes followed by enumeration of viable  
134 bacteria. KL1-KL4 CPS provided resistance to the bactericidal activity of serum that was at least  
135 6-fold greater than acapsular mutant derivatives (Fig. 3A-D). No significant difference in serum  
136 susceptibility was observed between the KL5 strains (Fig. 3E).

137 **Capsule contributions to bacteremic pneumonia.**

138 Bacteremia frequently originates from localized infections that  
139 disseminate and become systemic (20), as opposed to primary bacteremia in which organisms  
140 gain direct access to the bloodstream via an exogenous source such as a hypodermic needle or  
141 intravenous catheter. A pneumonia model with secondary bacteremia was therefore developed  
142 based on a previously described *K. pneumoniae* model (21). Following retropharyngeal co-  
143 inoculation of wild-type and capsule mutant strains into mice, colonization of the lungs was  
144 observed at 24 h post-inoculation (Fig. 4A). The spleen, kidneys, and liver were also colonized  
145 at this time point (Fig. 4B-D) and to levels that approximated those observed in the primary  
146 bacteremia model (Fig. 1). *S. marcescens* escape from the lungs therefore occurs readily and  
147 results in stable organ colonization. The overall trends in bacterial burdens of the spleen,  
148 kidneys, and liver between this dissemination-dependent route and the TVI route were also  
149 similar for individual KL types in that KL3 bacteria exhibited the highest density followed by KL2  
150 and KL4, then KL1 and KL5 (Fig. 1A-C and Fig. 4 B-D). Bacterial accumulation at systemic sites  
151 also correlated to primary lung burden when assayed at the time of sacrifice (Fig. 4A).  
152 Therefore, primary lung burden may influence dissemination kinetics and subsequent organ  
153 colonization in addition to any differences in infection capacity between strains.

154 To define the requirement for capsule in pneumonia, the relative recovery of capsule  
155 mutant and wild-type bacteria for KL1-5 strains was determined. The four acapsular strains of  
156 clinical origin all demonstrated a severe competitive disadvantage compared to parental strains  
157 (Fig. 4E-H), with the mean recovery of capsule-deficient strains being ca. 100-fold or less than  
158 wild-type for most organ and strain combinations. Thus, in both primary and secondary  
159 bacteremia models, capsule is a critical fitness determinant across human-associated *S.*  
160 *marcescens*. Unexpectedly, a significant competitive disadvantage for the capsule mutant  
161 derivative of KL5 ATCC 13880 was also observed in the spleen, kidneys, and liver (Fig. 4I).  
162 Given the contrast between these results and those from TVI (Fig. 2E), the mutated KL5  
163 capsular genes could play an active role in bacterial survival during lung dissemination.  
164 However, wild-type ATCC 13880 bacteria lacked a competitive advantage in the lung whereas  
165 the clinical strains demonstrated at least an 8-fold advantage in the lung compared to isogenic  
166 acapsular derivatives (Fig. 4E-H). Additional efforts to identify ATCC 13880 CPS by microscopy  
167 during repeated passage under selective pressure in human serum failed to provide evidence  
168 that CPS synthesis could be induced in this strain (Fig. S3).

169 **KL5 CPS does not contribute to primary bacteremia.**

170 The lack of KL5 CPS from ATCC 13880 was a limitation to understanding the role of  
171 CPS from non-clinical *S. marcescens*. To assess whether other KL5 strains produce CPS, a  
172 second representative designated 19F (Table 1) was acquired and characterized. High  
173 molecular weight polysaccharide was detected from 19F (Fig. 5A) and this strain had abundant  
174 surface-associated uronic acids (Fig. 5B), demonstrating that 19F synthesizes CPS. Three  
175 additional environmental strains that were originally isolated from honeybees (Table 1) also  
176 produce acidic CPS (Fig. S4), solidifying the conclusion that the acapsular phenotype of ATCC  
177 13880 is not conserved among environmental strains. No significant fitness difference in TVI CI  
178 was observed between wild-type 19F and a  $\Delta$ CPS<sub>v</sub> mutant derivative (Fig. 5C), supporting the

179 conclusion that the KL5 CPS contributes minimally to 19F bacteremia fitness. We hypothesized  
180 that the lack of a CPS-mediated fitness advantage for either ATCC 13880 or 19F would result in  
181 enhanced clearance of these strains compared to an encapsulated strain such as KL1 UMH9 and  
182 therefore survival following TVI was assessed over time. Both KL5 strains trended toward  
183 enhanced clearance compared to KL1, with ATCC 13880 showing significantly lower bacterial  
184 burdens than KL1 in all organs at 48 h post-inoculation and an overall loss of bacteria over time  
185 in the liver (Fig. 5D-F). Recovery of strain 19F was also lower than KL1 at 24 h in the spleen  
186 and kidney but did not show significant differences in the liver at any time point. Additionally,  
187 KL1 was the only strain capable of significant expansion over the course of the experiment, with  
188 high levels of bacteria found in the kidney by 48 h (Fig. 5E). Clearance of all strains appeared to  
189 be most effective in the spleen, since bacterial burdens decreased significantly over time (Fig.  
190 5D).

191 **Sialylated CPS protect *S. marcescens* during macrophage interactions.**

192 We previously concluded that KL1 CPS has anti-phagocytic properties based on data  
193 demonstrating that a KL1 acapsular derivative was internalized more readily by the U937  
194 monocytic cell line compared to wild-type bacteria (17). To determine whether CPS from other  
195 KL types also inhibited cellular uptake, intracellular bacteria were quantitated after incubation  
196 with murine bone marrow-derived macrophages (BMDM). The relative number of viable  
197 intracellular acapsular mutants was compared to wild-type bacteria at three time points following  
198 treatment with gentamicin to kill extracellular bacteria and calculated as an internalization index  
199 (Fig. 6A). For both KL1 and KL2 sialylated capsule types, higher numbers of viable and  
200 internalized acapsular bacteria were recovered compared to wild-type (Fig. 6B and C).  
201 Therefore, both KL1 and KL2 CPS contribute to macrophage phagocytosis resistance. In  
202 contrast, none of the non-sialylated CPS KL types exhibited a significant difference under the  
203 same conditions, as evidenced by neutral internalization indices for KL3, KL4, and KL5 bacteria

204 (Fig. 6D-F). The comparative lack of CPS-dependent phagocytosis resistance for the KL3 and  
205 KL4 clinical strains, in particular, suggests an important role for sialylated *S. marcescens* CPS in  
206 innate immune interactions and may be one of multiple contributing factors to the successful  
207 adaptation of these lineages to infection.

208 **KL cloning and non-native CPS synthesis.**

209 KL1-4 and two KL5 loci were cloned into the bacterial artificial chromosome (BAC)  
210 pGNS-BAC1 (22). The cloned regions ranged from 15-23 kb in length (Table 1) and consisted of  
211 the entire intergenic sequence upstream of *galU*, the five-gene conserved KL region, and all  
212 clade-specific KL open reading frames (Fig. S1A). Transformation of the pBAC-KL plasmids into  
213 their respective capsule mutant strains resulted in complete restoration of extracellular uronic  
214 acid production for strains KL1-4 (Fig. 7A-D) and the 19F strain of KL5 (Fig. 5B), but not ATCC  
215 13880 (Fig. 7E). Thus, the engineered pBAC-KL constructs are functional and sufficient to  
216 restore CPS production in their cognate strains.

217 Heterologous CPS synthesis was first attempted in KL1 UMH9 because it is the strain  
218 that we have characterized most extensively. A second acapsular KL1 derivative was generated  
219 for this purpose that harbored a deletion of the entire KL1 from the five-gene conserved region  
220 (*galU*, *galF*, *wza*, *wzb*, *wzc*) through the clade-specific variable locus (Fig. S1A). BAC constructs  
221 harboring KL1-4 were transformed into the UMH9  $\Delta$ KL1 strain and CPS production was  
222 quantitated via uronic acids. Unexpectedly, none of the BAC constructs harboring KL2-4 yielded  
223 significant increases in uronic acids compared to the  $\Delta$ KL1 vector control (Fig. 8A). Total  
224 extracellular polysaccharides were also isolated from these strains and resolved by SDS-PAGE.  
225 Consistent with the uronic acid quantitation, each of the pBAC-KL constructs was able to restore  
226 production of CPS when introduced into the native acapsular strains but not in the  $\Delta$ KL1  
227 background (Fig. 8B-E). We hypothesized that the Wzi protein, required for surface attachment  
228 of CPS and encoded outside the KL (17), may be involved in strain specific CPS display.

229 However, a cloned copy of the KL3 *wzi* gene expressed in the  $\Delta$ KL1/pBAC-KL3 strain does not  
230 restore production of either cell-free or cell-associated uronic acids in this background (Fig.  
231 S5A) but does restore surface association of KL1 CPS in a KL1  $\Delta$ wzi mutant (Fig. S5B).  
232 Therefore, the inability to heterologously produce CPS in KL1 UMH9 is due to a presently  
233 unknown limitation but may occur prior to polysaccharide surface translocation and attachment.

234 To determine if non-native CPS production was possible in other lineages, BAC  
235 constructs containing KL1 and KL2 were transformed into the KL3 and KL4  $\Delta$ CPS<sub>v</sub> mutants.  
236 KL1 and KL2 CPS were quantitated at or above the level of wild-type strains and the native  
237 pBAC-KL3 or pBAC-KL4 complemented  $\Delta$ CPS<sub>v</sub> mutants as measured by total cell-associated  
238 plus extracellular uronic acids in both backgrounds (Fig. 9A and 9C). However, only a minor  
239 fraction of KL1 CPS was surface associated compared to CPS from the other BAC constructs  
240 (Fig. 9B and 9D). Since abundant non-native KL1 CPS is likely released from the surface in  
241 these scenarios, further KL1 genetic combinations were not pursued. The pBAC-KL2 construct  
242 in contrast yielded surface-associated KL2 CPS at levels similar to the native pBAC-KL3 and  
243 pBAC-KL4 constructs. Sialic acids were next quantitated as an additional measure of KL2 CPS  
244 in KL3 and KL4 strains. Wild-type KL3 and KL4 strains yielded background levels of sialic acids  
245 by the thiobarbituric acid assay and both strains carrying pBAC-KL2 yielded a significant  
246 increase in extracellular sialic acids compared capsule mutant bacteria harboring the vector  
247 control plasmid (Fig. 9E). These combined results demonstrate that sialylated and surface-  
248 associated KL2 CPS can be synthesized in both KL3 and KL4 strains.

249 **Non-native KL2 CPS limits macrophage internalization.**

250 To further investigate the specific impact of *S. marcescens* sialylated CPS on  
251 macrophage interactions, immunofluorescence microscopy was used to quantitate intracellular  
252 and extracellular bacteria associated with BMDM (Fig. 10A). KL1 bacteria with and without the

253 native KL1 CPS were first analyzed to establish the approach. Both wild-type and the  $\Delta$ KL1  
254 mutant had a similar number of total bacteria (extracellular + intracellular) associated with  
255 BMDM on a per-cell basis (Fig. 10B). However, a significantly higher proportion of these  
256 bacteria were extracellular for wild-type whereas the  $\Delta$ KL1 derivative was predominantly  
257 intracellular (Fig. 10C and D, Fig. S6). These results confirm the previous gentamicin protection  
258 assay findings (Fig. 6) and solidify the role of KL1 CPS in resisting macrophage phagocytosis.  
259 Genetic complementation with pBAC-KL1 restored the extracellular-to-intracellular relationship  
260 observed for the wild-type strain (Fig. 10E), but substantially reduced the total number of  
261 bacteria per BMDM (Fig. 10B), potentially due to CPS hyperproduction (Fig. 7A).

262 The ability to synthesize KL2 CPS in both the KL3 and KL4 strains allowed us to test the  
263 hypothesis that sialylated KL2 CPS confers phagocytosis resistance to these normally non-  
264 sialylated lineages. Loss of the native KL3 and KL4 CPS significantly increased the per-cell  
265 number of total BMDM-associated bacteria compared to the parental strains (Fig. 10F and S7)  
266 but as expected, the relationship between the number of extracellular and intracellular bacteria  
267 remained largely unchanged between wild-type and  $\Delta$ CPS<sub>v</sub> mutant bacteria (Fig. 10G and H,  
268 Fig. S7B and C). Thus, the KL3 and KL4 CPS have minimal impact on BMDM phagocytosis, as  
269 demonstrated in Fig. 6. Unfortunately, complementation of the KL3  $\Delta$ CPS<sub>v</sub> mutant with either  
270 pBAC-KL3 or pBAC-KL2 significantly reduced the overall detectable number of cell-associated  
271 bacteria to a level that prevented reliable assessment of intracellular and extracellular trends  
272 (Fig. S7). This was not the case for KL4 and in fact, complementation with pBAC-KL4 confirmed  
273 that native KL4 synthesis does not result in significant differences between the number of  
274 intracellular and extracellular bacteria per cell (Fig. 10I), similar to the KL4  $\Delta$ CPS<sub>v</sub> mutant  
275 harboring the vector control (Fig. 10H). In contrast, KL4  $\Delta$ CPS<sub>v</sub> harboring pBAC-KL2 shifted  
276 localization to a significant majority of extracellular bacteria compared to nearly undetectable  
277 numbers of intracellular bacteria (Fig. 10J). Sialylated KL2 CPS is therefore capable of

278 restricting macrophage phagocytosis of both its native strain and a normally non-sialylated *S.*  
279 *marcescens* lineage.

280 **DISCUSSION**

281 In this study, *S. marcescens* strains isolated from clinical and non-clinical sources were  
282 assayed for survival characteristics during infection. These strains were selected as  
283 representatives of five sequence-defined capsule clades. While all strains demonstrated an  
284 ability to infect mice using two bacteremia models, significant differences in organ colonization  
285 and immune cell interactions were observed. Though we attempted to capture a range of  
286 diverse isolates within the species, one acknowledged limitation of the study is the use of a  
287 single KL representative in most cases. Nonetheless, capsule is established here as a critical  
288 fitness determinant for each of the clinical clades assessed. Given the mounting genomic  
289 evidence distinguishing *S. marcescens* clinical lineages from environmental isolates (12, 14,  
290 15), it's likely that other factors also contribute the infection fitness of healthcare-associated  
291 strains and our ongoing work aims to identify and characterize such factors encoded within  
292 these clinical accessory genomes. Strains belonging to the environmental capsule clade KL5  
293 generally exhibited a lower infection capacity and less dependence on CPS in our models. For  
294 type strain ATCC 13880, these observations could be attributed to an inability to synthesize  
295 CPS. Repeated laboratory passage of encapsulated bacteria can result in spontaneous loss of  
296 capsule due to mutation, but whether this is the case for ATCC 13880 remains to be  
297 determined. However, KL5 strain 19F yields abundant CPS yet exhibited no significant fitness  
298 cost when capsule was lost, supporting the conclusion that KL5 CPS plays a minor role  
299 bacteremia.

300 Two approaches were used to demonstrate that sialic acid containing CPS of KL1 and  
301 KL2 *S. marcescens* had the greatest protective effect against BMDM internalization. We

302 hypothesize that KDN and/or Neu5Ac uniquely associated with these capsule clades (17) may  
303 therefore have a specific role in influencing *Serratia*-macrophage interactions. While such  
304 molecular interactions, particularly for the more abundant and understudied KDN component,  
305 have yet to be demonstrated for *S. marcescens*, this hypothesis is indirectly supported by  
306 experimentally established roles for Neu5Ac-mediated modulation of innate immune cells in  
307 other systems (23-25). It's notable that non-sialylated clinical CPS types also have a  
308 meaningful, but perhaps different, role in infection as demonstrated by the significant fitness  
309 cost of acapsular KL3 and KL4 derivatives. With exception of the aforementioned *wzi* gene,  
310 each *S. marcescens* KL was expected to be sufficient for type-specific CPS synthesis; however,  
311 the limited ability or inability to produce non-native CPS in some strains indicates that additional  
312 unknown factors are required. KL1 bacteria in particular failed to synthesize any of the other  
313 CPS, including the closely related CPS of KL2, and KL1 CPS was only poorly surface-  
314 associated in the other lineages.

315 In our previous KL comparison, the KL1 and KL2 lineages had the greatest number of  
316 representatives in our genome cohort and both were overwhelmingly comprised of infection  
317 isolates (17). In the context of the comprehensive *S. marcescens* genomic architecture  
318 published by Ono *et al.* (12), the UMH9 KL1 and gn773 KL2 strains characterized here both  
319 segregate into clade 1. This is notable because clade 1 *S. marcescens* are almost exclusively  
320 hospital associated or clinical isolates, have a higher number of antimicrobial resistance alleles,  
321 and encode a distinct set of accessory genes compared to other lineages. Furthermore, of the  
322 215 strains identified as either KL1 or KL2 in our study, 188 were also included in the Ono study  
323 and all of them were assigned to the clade 1 genomic lineage. This observation independently  
324 confirms our conclusion that KL1 and KL2 CPS are a differential component of these infection-  
325 adapted *S. marcescens* and together with the results reported here indicate that sialylated CPS

326 contribute to the niche-specific characteristics that provide these isolates with a selective  
327 advantage during infection.

328

## 329 MATERIALS AND METHODS

330 **Bacterial strains and culture conditions.** The *S. marcescens* strains used in this study are  
331 listed in Table 1. *Escherichia coli* DH10B and DH5 $\alpha$  were routinely used for cloning purposes.  
332 DH5 $\alpha$  harboring helper plasmid pRK2013 (26) or *E. coli* BW29427 (B. Wanner, unpublished)  
333 cultured in 0.3 mM diaminopimelic acid were used as donor strains for conjugation. Bacteria  
334 were cultured in either LB medium (27) with or without 20 mM glucose or M9 (28) medium  
335 supplemented with 1 mM MgSO<sub>4</sub>, 36  $\mu$ M FeSO<sub>4</sub>, 100  $\mu$ M CaCl<sub>2</sub> and 20 mM glucose. Antibiotics  
336 for bacterial culture were used at the following concentrations: kanamycin, 50  $\mu$ g/mL;  
337 hygromycin, 200  $\mu$ g/mL; spectinomycin, 100  $\mu$ g/mL; gentamicin, 10 and 20  $\mu$ g/mL; and  
338 ampicillin, 100  $\mu$ g/mL.

339

340 **Generation of mutants.** The *S. marcescens* ATCC 13880 and UMH7 KL mutations (Table 1)  
341 were constructed by recombineering as previously described (18, 29). Briefly, the *nptII* gene  
342 from pKD4 (30) was PCR-amplified with oligonucleotides possessing ~50-bp of 5' sequence  
343 homology to the targeted CPS<sub>v</sub> region. Electrocompetent recipient strains harboring pSIM18 or  
344 pSIM19 (31) were transformed with DpnI-treated PCR products. Kanamycin-resistant  
345 transformants were genotyped by PCR and sequenced, then cured of pSIM plasmids prior to  
346 use in phenotypic assays. KL mutations in strains UMH11, gn773, and 19F (Table 1) were  
347 accomplished via allelic exchange with pTOX11 (32) derivatives as previously described (17).  
348 Plasmids were constructed from PCR-amplified fragments using NEB HiFi Assembly and  
349 harbored ~700 bp of homologous sequence flanking the *nptII* allele to facilitate recombination.  
350 The unmarked  $\Delta$ KL1 mutation in strain UMH9 was generated using a similar approach, but did

351 not include the *nptII* insertion. Allelic exchange was performed following conjugation of pTOX  
352 plasmids from *E. coli* donor strain BW29427 into recipient *S. marcescens* strains as previously  
353 described (17, 32). The presence of mutant alleles was confirmed by PCR amplification and  
354 sequencing. Transconjugants were also assessed by PCR to ensure Mu phage was not  
355 transferred from the BW29427 donor (33). All primer sequences used for PCR amplification in  
356 the procedures described above are listed in Table S1.

357

358 **Genetic complementation.** KL sequences were cloned into BAC vector pGNS-BAC1 (22).  
359 Each KL region with upstream intergenic sequence was PCR amplified in two fragments using  
360 the primers listed in Table S1. Fragments were then cloned into HindIII-digested pGNS-BAC1  
361 using HiFi DNA Assembly and transformed into electrocompetent *E. coli* DH10B. Recombinant  
362 pGNS-BAC1 plasmids were purified by alkaline lysis and whole-plasmid sequences were  
363 determined by Nanopore (SNPsaurus). The resulting pBAC-KL plasmids (Table 1) were  
364 transferred to *S. marcescens* recipient strains via tri-parental mating using helper plasmid  
365 pRK2013 (26). Ampicillin and gentamicin were used to select for the loss of *E. coli* donor strains  
366 and the presence of pBAC-KL plasmids in *S. marcescens*, respectively.

367

368 **Quantitation of uronic acids and polysaccharide analysis.** Extracellular uronic acids of *S.*  
369 *marcescens* were measured using previously described methods (17, 34, 35). Measurements  
370 were based on a standard curve of glucuronic acid and normalized to culture optical density  
371 (600 nm). Results are the means from three biological replicates and are representative of at  
372 least two independent experiments. Isolation of *S. marcescens* extracellular polysaccharides  
373 and visualization by SDS-PAGE was also performed according to published methods (17, 36)  
374 and the results are representative of at least two independent experiments.

375

376 **Human serum exposure.** Bacterial viability following a 90-minute incubation in 40% pooled  
377 human serum (Innovative Research) was determined as previously described (17). Results are  
378 the means from three biological replicates and are representative of three independent  
379 experiments.

380 For the attempted selection of ATCC 13880 CPS synthesis, wild-type and the ΔCPS<sub>V</sub>  
381 derivative were passaged every 24 h in LB medium or LB medium supplemented with  
382 increasing concentrations of pooled normal human serum (5%, 10%, 20%) over the course of  
383 72 h. The presence of CPS was assessed via negative stain with Maneval's reagent (37) and  
384 visualized using a Nikon Ti2 widefield microscope with a 100x objective (University of Michigan  
385 Microscopy Core). KL2 bacteria harvested from LB agar was used as a positive control.

386

387 **Quantitation of sialic acids.** Sialic acids were quantitated from *S. marcescens* strains by  
388 thiobarbituric assay as previously described (17, 38). Extracellular polysaccharides were  
389 subjected to acid hydrolysis with 0.1 N HCl at 80°C for 60 min and bacteria were subsequently  
390 removed by centrifugation. Hydrolyzed solutions were subjected to periodate oxidation  
391 according to the protocol and reacted with thiobarbituric acid. Chromophore extraction was  
392 performed with an equal volume of cyclohexanone and absorbance was measured at 549 nm.  
393 The amount of sialic acid was determined with the following formula: (Volume (mL) prior to  
394 extraction x OD<sub>549</sub>) / 57 = μmole Neu5Ac equivalents. The amount of sialic acid detected was  
395 normalized to the bacterial culture density and 100 μM Neu5Ac served as the positive control.  
396 Results are reported as the mean from three biological replicates and representative of two  
397 independent experiments.

398

399 **Murine infections.** Murine infections were performed with protocols approved by the University  
400 of Michigan Institutional Animal Care and Use Committee and in accordance with Office of  
401 Laboratory Animal Welfare guidelines. For TVI mono-infections, male and female 7-8 week-old

402 C57BL/6J mice (Jackson Laboratories) were inoculated with 0.1 mL bacterial suspensions in  
403 PBS containing a target dose of  $5 \times 10^6$  CFU. For TVI competition infections, wild-type bacteria  
404 were mixed at a 1:1 ratio with mutant strains and delivered at a dose of  $5 \times 10^6$  total CFU. Mice  
405 were euthanized 24 h post-infection, unless otherwise specified, and the spleen, liver, and  
406 kidneys were harvested and homogenized. Bacterial counts of the inoculum (input) and organ  
407 homogenates (output) were determined by plating serial dilutions on LB agar with or without  
408 kanamycin. The CI was determined by the following calculation:  
409  $(CFU_{\text{mutant}}/CFU_{\text{wildtype}})^{\text{output}}/(CFU_{\text{mutant}}/CFU_{\text{wildtype}})^{\text{input}}$ . A log transformed CI of less than zero  
410 indicates a competitive disadvantage for mutant bacteria compared to the parental strain.

411 For the bacteremic pneumonia model, 0.05 ml bacterial suspensions were delivered to  
412 the retropharyngeal space of anesthetized 7-8 week-old mice at a target dose of  $1 \times 10^7$  total  
413 CFU. Mice were euthanized 24 h post-inoculation and the spleen, liver, kidneys, and lung were  
414 harvested. CI was determined as described above.

415  
416 **Gentamicin protection assays.** Isolation and propagation of BMDM was accomplished using  
417 established protocols (39). Monocytes from the femur and tibia bone marrow of 7- to 8-week-old  
418 C57BL/6J mice were diluted to  $1 \times 10^6$  cells/mL in medium containing 15% L929 cell supernatant.  
419 At 7 days post-harvest, BMDM were dissociated from wells with ice-cold 2 mM EDTA in DPBS  
420 and collected by centrifugation. BMDM were seeded into 96-well flat bottom plates at  $1 \times 10^5$   
421 cells/well and incubated at  $37^\circ\text{C}$  at 5%  $\text{CO}_2$  for 24 h prior to inoculation with bacteria. Wild-type  
422 and kanamycin-resistant mutant strains were mixed in 1:1 ratio and added to BMDM at target  
423 MOI of 20. Plates were centrifuged briefly and incubated at  $37^\circ\text{C}$  for 60 min in 5%  $\text{CO}_2$ . The  
424 medium was then aspirated and wells were washed with DPBS. DMEM containing 10% FBS  
425 and 100  $\mu\text{g}/\text{mL}$  gentamicin was added to wells for 30 min at  $37^\circ\text{C}$  in 5%  $\text{CO}_2$  followed by  
426 removal of gentamicin-containing medium and washing. For time point zero, BMDM were  
427 exposed to 1% saponin at  $37^\circ\text{C}$  for 10 min, mixed with 0.1 mL LB medium, then serially diluted

428 and plated on LB agar plate with and without kanamycin for CFU determination. All other time  
429 points were incubated in medium containing 10 µg/mL gentamicin until permeabilization and  
430 CFU determination. Internalization indices from two independent experiments were calculated  
431 as described for CI with internalized bacteria substituting for the infection output parameter.

432

433 **Immunofluorescence.** BMDM were allowed to adhere to glass coverslips overnight and then  
434 infected as described for the gentamicin protection assays with single *S. marcescens* strains.  
435 After 60 min incubation, coverslips were washed with PBS and fixed with 4% paraformaldehyde.  
436 PBS with 10% goat serum was used to block coverslips prior to incubation with a 1:100 dilution  
437 of the primary antibody, an anti-*E. coli* polyclonal antibody (Invitrogen, AB\_780488) that cross-  
438 reacts with *S. marcescens*. After washing, a 1:400 dilution of goat anti-rabbit secondary  
439 antibody conjugated to Alexa Fluor 647 (Invitrogen, AB\_2535813) was applied. Coverslips were  
440 thoroughly washed and fixed again in paraformaldehyde, followed by permeabilization of BMDM  
441 with 0.2% saponin and re-application of the primary antibody. A 1:400 goat anti-rabbit  
442 secondary antibody conjugated to Alexa Fluor 488 (Invitrogen, AB\_143165) was applied to  
443 differentiate internalized bacteria. Imaging was conducted on a Nikon N-SIM A1R confocal  
444 microscope (University of Michigan Microscopy Core) using a 60x objective. All image analysis  
445 was performed in the Fiji distribution of ImageJ (40). Intracellular and extracellular bacteria were  
446 differentiated by single (488 nm) or dual fluorescence, respectively, and only cell-associated  
447 bacteria were counted. Each strain was assayed in at least two independent experiments and  
448 images were collected from a minimum of 15 fields per coverslip.

449

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456 collection and analysis, or preparation of the manuscript.

457 **Table 1. *S. marcescens* strains and recombinant CPS plasmids.**

Name	Genotype/description	Source
<i>bacteria, KL clade</i>		
UMH9, KL1	wild-type	(18)
	$\Delta$ CPS <sub>v</sub> :: <i>nptII</i>	(17)
	$\Delta$ KL1	this study
	$\Delta$ wzi:: <i>nptII</i>	(17)
gn773, KL2	wild-type	(16)
	$\Delta$ neuB:: <i>nptII</i>	this study
UMH7, KL3	wild-type	(18)
	$\Delta$ CPS <sub>v</sub> :: <i>nptII</i>	this study
UMH11, KL4	wild-type	(18)
	$\Delta$ CPS <sub>v</sub> :: <i>nptII</i>	this study
ATCC 13880, KL5	wild-type	pond water, American Type Culture Collection
	$\Delta$ CPS <sub>v</sub> :: <i>nptII</i>	this study
19F, KL5	wild-type	frog, USDA-ARS Culture Collection (NRRL)
	$\Delta$ CPS <sub>v</sub> :: <i>nptII</i>	this study
KZ2, ND <sup>a</sup>	wild-type	honeybee (9)
KZ11, ND	wild-type	honeybee (9)
KZ19, ND	wild-type	honeybee (9)
plasmids		
pBAC-KL1	23.0-kb, 18-ORF insert encoding KL1	this study
pBAC-KL2	20.5-kb, 16-ORF insert encoding KL2	this study
pBAC-KL3	16.7-kb, 13-ORF insert encoding KL3	this study

pBAC-KL4	18.3-kb, 15-ORF insert encoding KL4	this study
pBAC-KL5 (ATCC 13880)	15.4-kb, 11-ORF insert encoding KL5	this study
pBAC-KL5 (19F)	15.4-kb, 11-ORF insert encoding KL5	this study
pBAD $wzi^+$	pBAD18-km with a 1.7-kb insert containing the <i>wzi</i> gene from KL3 strain UMH7	this study

458 <sup>a</sup>, not designated

459 **FIGURE LEGENDS**

460 **Figure 1. Strain variations in organ colonization following TVI bacteremia.** *S. marcescens*  
461 strains were inoculated into C57BL/6J mice (n=5) via TVI and bacterial colonization in spleen  
462 (A), liver (B), and kidneys (C) was determined by viable counts. Log transformed mean bacterial  
463 burdens are indicated by the solid lines. Statistical significance was assessed by ordinary one-  
464 way ANOVA with Tukey's multiple comparisons test: \*, P < 0.05; \*\*, P < 0.01; \*\*\*, P < 0.001;  
465 \*\*\*\*, P < 0.0001. The dotted line in panel C represents the highest value among samples that  
466 were at or below the limit of detection.

467

468 **Figure 2. Requirement for capsule during TVI bacteremia.** A-E. CI for bacteria recovered  
469 from 24 h mixed strain competition TVI infections in C57BL/6J mice (two cohorts of five mice,  
470 n=10). Solid lines represent the mean of log transformed values. Red outlined symbols indicate  
471 CI for which mutant bacteria were recovered at or below the limit of detection. Statistical  
472 significance was determined by one sample t-test with a hypothesized mean value of zero  
473 (dotted line), representing neutral fitness. Points below the dotted line represent samples in  
474 which the mutant strain was outcompeted by wild-type bacteria. Symbols and abbreviations: \*, P  
475 < 0.05; \*\*, P < 0.01; \*\*\*, P < 0.001. S, spleen; K, kidney; L, liver.

476

477 **Figure 3. Capsules of clinical isolates protect from serum bactericidal activity.** The  
478 survival of wild-type (WT) and acapsular (A) mutant strains was determined in the presence of  
479 40% human serum after 90 minutes exposure relative to time zero with bars representing mean  
480 values (n=3). The dashed line indicates the limit of detection, where relevant. Statistical  
481 significance was assessed by Student's t-test: \*\*, P < 0.01; \*\*\*, P < 0.001.

482

483 **Figure 4. Requirement for capsule during bacteremic pneumonia.** Combined wild-type and  
484 capsule mutant bacteria recovered from the lung (A), spleen (B), liver (C), and kidneys (D) of

485 C57BL/6J mice (two cohorts of five mice, n=10) following mixed strain competition infections (24  
486 h). Solid lines represent the mean of log transformed viable bacteria and the dotted line in panel  
487 D indicates the highest value among samples that were at or below the limit of detection from  
488 kidneys. Differences in bacterial burdens between strains were assessed by one-way ANOVA  
489 with Tukey's multiple comparisons test: \*, P < 0.05; \*\*, P < 0.01; \*\*\*, P < 0.001; \*\*\*\*, P < 0.0001.  
490 E-I. CI comparing relative survival of capsule mutant and wild-type bacteria in lung (Lg), spleen  
491 (S), kidneys (K), and liver (L) for the infections shown in panels A-D. Symbols with red outlines  
492 denote CI from which capsule mutant strains were recovered at or below the limit of detection.  
493 Fitness defects were assessed by one-sample t-test against the hypothetical null value of zero  
494 (dotted lines) representing neutral fitness. Points below the dotted line represent samples in  
495 which the mutant strain was outcompeted by wild-type bacteria. Symbols: \*, P < 0.05; \*\*, P <  
496 0.01; \*\*\*, P < 0.001; \*\*\*\*, P < 0.0001.

497  
498 **Figure 5. CPS does not contribute to fitness of KL5 strain 19F.** A. Total polysaccharides  
499 prepared from wild-type and capsule-null derivatives of KL5 strain 19F were separated by SDS-  
500 PAGE and stained with alcian blue. The 19F capsule mutant harbored the pGNS-BAC1 vector  
501 control plasmid or recombinant plasmid containing a cloned copy of 19F KL. Pre-stained protein  
502 molecular weight standards (S) of known molecular weight are shown (kDa). B. Cell-associated  
503 uronic acids were quantitated from 19F strains in comparison to a glucuronic acid standard  
504 curve. Statistical significance was calculated by one-way ANOVA with Dunnett's multiple  
505 comparisons test relative to 19F  $\Delta$ CPS<sub>v</sub>/pGNS-BAC1. C. Strains 19F and 19F  $\Delta$ CPS<sub>v</sub> were  
506 inoculated at an equal ratio into C57BL/6J mice (n=10) and CI was determined from bacteria  
507 recovered from spleen (S), kidney (K), and liver (L). Red outlined symbols indicate CI for which  
508 mutant bacteria were recovered at or below the limit of detection. Points below the dotted line  
509 represent samples in which the mutant strain was outcompeted by wild-type bacteria. Mean log  
510 competitive indices (solid lines) were not significantly different from the hypothesized value of

511 zero representing neutral fitness, as determined by one-sample t-test. D-F. Mice from at least  
512 two independent cohorts (n=10-20) were inoculated with the indicated strains via the TVI route  
513 and bacterial burdens in the spleen, kidneys, and liver were determined. The mean of log  
514 transformed numbers of viable bacteria recovered are indicated by the bars and dashed lines  
515 denote the highest value among samples that were at or below the limit of detection. Statistical  
516 significance was assessed by one-way ANOVA with Dunnett's multiple comparisons test: \*, Adj.  
517 P < 0.05; \*\*, Adj. P < 0.01; \*\*\*, Adj. P < 0.001; \*\*\*\*, Adj. P < 0.0001.

518

519 **Figure 6. Sialylated CPS protects *S. marcescens* from macrophage internalization.** A.  
520 Schematic for design and interpretation of BMDM competition infection experiments. B-E.  
521 Murine BMDM were co-infected at a 1:1 ratio with wild-type and capsule mutant derivatives of  
522 each clade followed by enumeration of viable intracellular bacteria. The relative number of  
523 intracellular mutant and wild-type (WT) bacteria was calculated as an internalization index. Bars  
524 represent the mean of log transformed internalization indices and significant deviation from the  
525 hypothetical value of zero representing equivalent internalization was determined by one-  
526 sample t-test: \*, P < 0.05; \*\*, P < 0.01.

527

528 **Figure 7. Genetic complementation of KL mutations.** A-E. Capsule production by wild-type  
529 (WT) and capsule mutant *S. marcescens* strains representative of clades KL1-5 was measured  
530 by quantitating extracellular uronic acids and based on a standard curve of glucuronic acid.  
531 Statistical significance was assessed relative to mutant strains harboring the vector control  
532 plasmid by one-way ANOVA with Dunnett's multiple comparisons test: \*\*, Adj. P < 0.01; \*\*\*, Adj.  
533 P < 0.001; \*\*\*\*, Adj. P < 0.0001.

534

535 **Figure 8. Synthesis of non-native CPS is restricted in KL1.** A. Extracellular uronic acids  
536 were quantitated from the wild-type KL1 strain and a KL1 deletion mutant ( $\Delta$ KL1) harboring the

537 vector control plasmid pGNS-BAC1 or plasmids containing the KL1-4 regions. Uronic acids  
538 were quantitated in comparison to a glucuronic acid standard curve. Statistical significance was  
539 determined using ordinary one-way ANOVA with Dunnett's multiple comparisons test against  
540 the negative control strain: \*\*\*\*, Adj. P<0.0001. B-E. Total bacterial polysaccharides from wild-  
541 type (WT) and capsule-null derivatives were separated by SDS-PAGE and stained with alcian  
542 blue. Capsule mutants harbored either the pGNS-BAC1 vector control plasmid or a recombinant  
543 plasmid with a cloned copy of the native KL. Recombinant KL plasmids were also expressed  
544 from a capsule-null mutant derivative of KL1 ( $\Delta$ KL1). Pre-stained protein molecular weight  
545 standards (S) were electrophoresed on each gel with molecular weights shown in kDa.

546

547 **Figure 9. Non-native synthesis of sialylated CPS in KL3 and KL4 strains.** A-D. Total uronic  
548 acids (A and C) and surface-associated uronic acids (B and D) were quantitated from wild-type  
549 (WT) and  $\Delta$ CPS<sub>v</sub> mutant strains. Mutant bacteria harbored either the control plasmid pGNS-  
550 BAC (vector) or recombinant BAC plasmids containing the indicated KL. Quantitation was based  
551 on a standard curve with glucuronic acid. Statistical significance was assessed by one-way  
552 ANOVA with Dunnett's multiple comparisons test against  $\Delta$ CPS<sub>v</sub> mutants carrying the vector  
553 control plasmid. Adj. P: \*, <0.05; \*\*, <0.01; \*\*\*, <0.001; \*\*\*\*, <0.0001. E. Sialic acids were  
554 quantitated by thiobarbituric acid assay and normalized by CFU between strains. Purified  
555 Neu5Ac and CPS from wild-type KL2 bacteria were used as positive controls. KL3 and KL4  
556  $\Delta$ CPS<sub>v</sub> mutants harbored either the empty pGNS-BAC plasmid or recombinant plasmids  
557 expressing KL2. Statistical analysis was constrained within the dotted lines and was performed  
558 as described for panels A-D.

559

560 **Figure 10. Ectopic expression of KL2 genes confers phagocytosis resistance to a non-**  
561 **sialylated strain.** A. Representative images of BMDM infected with the wild-type KL1 strain for  
562 60 min followed by differential immunofluorescence and microscopy. Extracellular bacteria were

563 labeled with an AlexaFluor-647 conjugated secondary antibody, BMDM were then  
564 permeabilized and all bacteria were exposed to an AlexaFluor-488 conjugated secondary  
565 antibody. Extracellular bacteria fluoresce in both channels and appear white in the composite  
566 image while intracellular bacteria appear green. Scale bars are 5  $\mu$ m. B and F. Quantitation of  
567 total BMDM-associated bacteria (extracellular + intracellular). Points represent the number of  
568 bacteria associated with individual BMDM cells and statistical significance was assessed by  
569 one-way ANOVA with Dunnett's multiple comparisons test against the KL1 and KL4 wild-type  
570 strains, respectively. Adj. P values: \*\*\*, <0.001; \*\*\*\*, <0.0001. C-E and G-J. Quantitation of  
571 extracellular (E) and intracellular (I) BMDM-associated bacteria for KL1 and KL4 wild-type and  
572 CPS mutant derivative strains. Statistical significance was assessed by unpaired t-test. P  
573 values: \*, <0.05; \*\*, <0.01; \*\*\*, <0.001; \*\*\*\*, <0.0001; ns, non-significant.

574

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