

1 **Title:** Working memory by distributed neural oscillators in a simple nervous system  
2

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11 **Abstract:**

12 Working memory allows an animal to gather sensory evidence over time, integrate it  
13 with evolving internal needs, and make informed decisions about when and how to act.  
14 Simple nervous systems enable careful mechanistic dissection of neuronal micro-  
15 dynamics underlying putative conserved mechanisms of cognitive function. In this study,  
16 we show that the nematode *C. elegans* makes sensory-guided turns while foraging and  
17 can maintain a working memory of sensory activation prior to the execution of a turn.  
18 This information is integrated with body posture to localize appetitive stimuli. Using a  
19 virtual-reality whole-brain imaging and neural perturbation system, we find that this  
20 working memory is implemented by the coupled oscillations of two distributed neural  
21 motor command complexes. One complex decouples from motor output after sensory  
22 evidence accumulation, exhibits persistent oscillatory dynamics, and initiates turn  
23 execution. The second complex serves as a reference timer. We propose that the  
24 implementation of working memory via internalization of motor oscillations could  
25 represent the evolutionary origin of internal neural processing, i.e. thought, and a  
26 foundation of higher cognition.

29 **Main Text:**  
30 **Introduction**

31 Any animal navigating a complex environment stands to benefit from the ability to  
32 quickly form impressions of the world, retain them internally, and act on them later.  
33 Such a working memory system allows for deferred, contingent actions, which may  
34 serve the animal better than reflex actions by allowing for more nuanced behavior or  
35 cognitive processing. But how did nervous systems acquire this ability? Distributed brain  
36 oscillations and their interactions have long been hypothesized to be a building block of  
37 cognitive function in complex-brained animals (1). Considerable theoretical work has  
38 been devoted to understanding how these observed phenomena might implement  
39 various cognitive functions (2). However, experimental establishment of causal roles  
40 and mechanistic understanding has been elusive, due at least in part to the sheer  
41 complexity of mammalian brains and the challenge of observing these neural networks  
42 at sufficient sampling density or completeness.

43 By contrast, in simpler brained animals with vastly lower neuron counts,  
44 oscillators serving to produce repetitive bodily movement, i.e. central pattern  
45 generators, have been closely studied and systematically dissected, yielding  
46 mechanistic insight in the production of adaptive but robust rhythmic motor patterns (3).  
47 But cognitive functions such as sensory-driven decision-making have rarely been  
48 studied in simple animals (4).

49 The 1 mm long nematode *C. elegans* crawls on its right or left side when on a flat  
50 surface. During foraging, the worm crawls in a straight or curved forward direction and  
51 punctuates bouts of forward crawling with discrete reversal-then-turn reorientation  
52 maneuvers, known as pirouettes, either in the dorsal or ventral direction (5, Fig. 1A).  
53 The choice of this direction has traditionally been described as an unregulated random  
54 process, akin to the randomizing tumbles of a bacterium performing “biased-random-  
55 walk” chemotaxis (5). However, worm olfactory neurons signal reliably on fast  
56 timescales (6), and mutations which slow temporal dynamics of olfactory receptors  
57 drastically disrupt chemotaxis, suggesting worms can integrate sensory information with  
58 instantaneous body posture.

59 Here, we construct a closed-loop virtual olfactory environment (Fig. 1A,B), and  
60 show that worms integrate olfactory sensory dynamics with instantaneous body posture  
61 to navigate towards attractants, by executing directed turns that are deferred by tens of  
62 seconds, suggesting the existence of a working memory system. By monitoring neural  
63 activity across the brain, we find that this working memory is implemented by the phasic  
64 interaction of two distributed dynamical complexes associated with motor control. We  
65 contend that the internalization of motor command dynamics to direct deferred  
66 contingent actions may represent the evolutionary transition from reflex actions to  
67 actions guided by internal neural processing.

68  
69 **Results**

70 ***C. elegans* executes directed, sensory-guided reorientations**

71 We engineered a closed-loop virtual odor environment to emulate a directional  
72 odor signal from the worm’s frame of reference by delivering optogenetic stimulation  
73 timed to particular body postures of the worm while crawling on an agar surface. We

75 optogenetically stimulated the AWA sensory neuron, known to mediate chemotaxis to  
76 certain attractants, synchronized to either ventral or dorsal head swings during forward  
77 motion (Fig. 1A). In the absence of explicit sensory cues, when crawling on an agar  
78 surface, worm post-reversal turns are biased 60-75% towards their ventral side (7).  
79 After posture-timed optogenetic stimulation during bouts of forward crawling, individuals  
80 showed a strong preference for resolving the following reversal bout with a turn in the  
81 favored direction before resuming forward locomotion (Fig. 1B).

82 This observation suggests two key features of the sensory control of the  
83 behavior. First, to assign the stimulus to a particular spatial direction, the animal needs  
84 to integrate olfactory sensation with proprioceptive signals or efference signals of motor  
85 commands for head swings. Second, to turn in a favorable direction after an intervening  
86 reversal, the animal needs some form of working memory (Fig. 1C).

87

### 88 ***Distributed dynamical complexes encode motor command state and head*** 89 ***curvature***

90 Studies across the animal kingdom have established a universal phenomenon  
91 that high-level motor commands are encoded in the low-dimensional state space of  
92 broadly distributed neural dynamics (4, 8-12). We asked whether lower-level sub-  
93 commands may also be encoded across many neurons, such as the sinusoidal  
94 movement of head swings in *C. elegans*. Previous studies have observed several  
95 neurons which correlate with dorsal or ventral head bending, however to what extent  
96 this signal reflects head musculature proprioception, motor output, causal decision  
97 processes, or any combination thereof, is unresolved (8, 13-16).

98 To look for neurons encoding headswing commands while avoiding the potential  
99 confound of proprioceptive state encoding, we restrained worms in microfluidic chips  
100 that enable high-quality volumetric whole-brain calcium imaging at cellular resolution  
101 (Fig 1D,E). In all trials, we observed cycles of the fictive command state sequence  
102 (forward-backward-turn) widely distributed across many neurons. For convenience, we  
103 define command states (and corresponding fictive neural network states): forward  
104 (FWD), reversal (REV), and dorsal or ventral (DOR, VEN) turn (TURN). As reported  
105 previously, the first principal component of whole brain recordings reliably encodes the  
106 command state sequence (8, Fig. 1F,H,J). We refer to the neural sub-network which  
107 exhibits these distributed dynamics as the command state complex, and the first  
108 principal component of these dynamics as the command state projection. Since the  
109 command state signal dominates the variance of brain-wide neuronal activity, we then  
110 performed PCA on the residuals of the command state projection, restricting time series  
111 data to periods for fictive forward locomotion only, which yielded a second strong,  
112 stereotyped network oscillation (Fig. 1G,I,K).

113 We found this faster oscillating component to be distributed across 14-20  
114 neurons in the head of the animal that are variously implicated in motor control of the  
115 head muscles, sensorimotor integration, and proprioception (14, 17, Fig. 1G,I,K, S1A).  
116 The neuron class we observed with highest component loading was SMDD, and its  
117 contralateral neuron class SMDV had a large negative loading. Previous studies have  
118 shown SMDV reliably correlates with ventral head curvature during forward crawling  
119 and ventral post-reversal turns, and SMDD correlates with dorsal turns (8, 13, 15, Fig.

120 S1B,C). Their anti-phasic coupling during FWD suggests their activity corresponds to  
121 fictive dorsal-ventral head swings consistent with sinusoidal crawling during the forward  
122 command state. We name this component the headswing projection. While TURN  
123 trajectories of different directions are mixed together in a single bundle together when  
124 plotted in command projection phase space (Fig 1J), they strongly split when plotted in  
125 joint command/headswing state space (Fig. 1K,L, Fig. S2A-F).

126 We asked if there was a relationship between the oscillation periods of the two  
127 projections. Interestingly, we found that distribution of the duration of FWD/REV epochs  
128 of the command state projection was enriched at integer multiples of the headswing  
129 projection periods (Fig. 1L, 1M). This coupling of internal dynamics is consistent with  
130 the nesting of headswings within forward crawling bouts previously reported (15). The  
131 persistence of an interaction across multiple fictive headswing periods suggested to us  
132 a potential substrate for maintaining a stable value, i.e. a memory, via the phase of the  
133 headswing oscillation, that could be leveraged for executing deferred sensory-guided  
134 decisions. To test this hypothesis, we examined neural network dynamics while evoking  
135 directed TURN.

### 137 ***Decoding deferred, sensory-driven action in immobilized worms***

138 We next combined closed-loop perturbative optogenetic stimulation with whole-  
139 brain imaging (Fig. 2A-C), recapitulating our virtual olfactory setup in immobilized  
140 worms. Each 16-24 minute recording captured 10-30 FWD-REV-TURN sequences.  
141 Within a trial, repeated AWA stimulations of ~4-8s were delivered at a particular phase  
142 of the headswing projection oscillation (Fig. 2C,D).

143 Stimulation of AWA shifted the distribution of times until the next REV initiation  
144 (Fig. 2E,F), sometimes eliciting early reversals. We observed a secondary bump in the  
145 shifted distribution of time-to-REV for AWA stimulations, at ~20s, which roughly  
146 corresponds to one typical period of headswing oscillation under immobilization (Fig. 1I,  
147 2D), suggesting that we may observe the system as it maintains a sensory-evoked  
148 memory trace over the course of one or more fictive headswing oscillations.

149 We evaluated the direction of the TURN produced after the intervening REV  
150 state, about 30 to 90 seconds in the future (Fig. 2G), and found that our stimulations  
151 biased the DOR/VEN ratio in both directions, depending on the value of the estimated  
152 headswing phase at the time of last stimulation before a reversal (Fig. 2H).

153 Next, we trained a decoder on individual stimulation trials (see Methods) to  
154 predict TURN direction using activity leading up to the prior REV. We found that the  
155 dorsal/ventral identity of fictive post-reversal turns could be predicted by evoked AWA  
156 neural responses when combined with phase information of headswing projection at the  
157 time of stimulation (Fig. 2I), consistent with the observed effect of stimulation biasing  
158 turn choice (Fig. 2H). Prediction improved by including headswing state at REV onset  
159 (Fig. 2J), suggesting a post-stimulation role for headswing state in informing the  
160 deferred turn decision. Including features of individual headswing complex neuronal  
161 activity alongside the headswing projection further increased prediction performance,  
162 possibly suggesting that linear projection of headswing network dynamics may not fully  
163 capture the network dynamics underlying the sensation-to-action process.

164 The ability to predict deferred fictive turn decisions from prior neural activity in an  
165 immobilized, paralyzed setup demonstrates the existence of an internal neural working  
166 memory. Given the evidence of interaction between headswing projection oscillation  
167 and command state oscillation periods, we sought a closer study of oscillatory  
168 headswing dynamics during the intervening reversal.  
169

170 ***Internalized headswing oscillation phase is set after REV onset***

171 During reverse locomotion, the head oscillations present during forward crawling  
172 are largely suppressed – the animal’s head trails behind the body (18). We found that  
173 during REV epochs in paralyzed worms, headswing projection dynamics continue to  
174 display oscillatory behavior; however, the magnitude is typically attenuated and the  
175 regularity of the oscillation waveform appears reduced (Fig. 1G, 2D, S2D,E). We refer to  
176 these dynamics as internalized because they persist in the absence of both sensory  
177 input (i.e. in completely immobilized worms) and motor behavioral output (i.e. during  
178 reversal/REV). Toward the end of a reversal epoch, the magnitude of the headswing  
179 oscillation typically increases over the course of 1-2 oscillations, exhibited in SMDD/V  
180 as well as other neurons. These data suggest that the headswing projection oscillation  
181 decouples from motor output during reversals but does not disappear, and recouples  
182 leading up to a reversal termination in order to effect the post-reversal turn.

183 To compare headswing oscillation amplitude, frequency, and phase during FWD  
184 and the following REV epoch, we fit single sinusoids to smoothed derivatives of the  
185 headswing projection as well as a set of high-loading neurons which participate in the  
186 headswing complex (Fig. 3A, Fig. S3). We observed statistically significant correlations  
187 between amplitude and frequency between headswing during FWD and REV (Fig  
188 3B,C), suggesting they may be stable system properties independent of actual  
189 movement production, but we found no correlation between phase during FWD and  
190 REV, suggesting reset of phase (Fig 3D).

191 Despite the irregularity and reduced magnitude of the headswing projection  
192 during the initial segment of a REV epoch, we wondered if the headswing complex  
193 maintained the ability to convey information in its oscillatory activity until the time of REV  
194 termination. We again fit sinusoids to activity during REV; however, this time we  
195 separately fit on the first and second halves of REV (Fig. 3E) and compared fit  
196 parameters. We found small but statistically significant correlations in amplitude,  
197 frequency, and phase between early and late REV, suggesting that the internalized  
198 headswing oscillation can be stable over the course of a REV epoch (Fig. 3F-H).

199 Returning to headswing dynamics during FWD or REV segments, we found that  
200 the phase of our sinusoid fits stratified turn choice, especially during REV (Fig. 3J,K).  
201 Therefore, we conclude that the phase of the headswing complex is clustered to one of  
202 two intervals  $[0, \pi]$  or  $[\pi, 2\pi]$  when internalized to store the intent of future turns.  
203

204 ***Headswing-complex neurons terminate the REV command state***

205 While the head projection oscillation is distributed across many neurons and is  
206 implicated in the memory process, it is possible that the locus of the memory that is  
207 causal for action is limited to a subset of neurons or even one neuron. To probe the  
208 sufficiency of individual neurons in holding a sensory memory or driving turn identity, we

209 selectively stimulated headswing complex neurons. We used a digital micromirror  
210 device to spatially restrict our laser stimulation to selectively stimulate either SMDD or  
211 SMDV neurons, timing stimulations to occur during fictive reversals (Fig. 4A,B).  
212 Surprisingly, selective optogenetic stimulation, though demonstrably depolarizing the  
213 neurons (SMDV shown in Fig. 4B,L), did not affect the resulting TURN direction (Fig.  
214 4M, Fig. S4A). This suggests that the activity of SMD neurons, while clearly signaling  
215 TURN identity, are not the causal locus of the memory trace. Interestingly, despite the  
216 inability to bias turn direction, stimulation of either SMD neuron class often elicited  
217 immediate reversal terminations (Fig. 4C,D,H).

218 We next turned to the head neurons RIA and RIV. Previous studies have  
219 implicated RIA in sensory-proprioceptive integration; it exhibits compartmentalized  
220 calcium dynamics along the nrV and nrD regions of its nerve ring neurite, which tightly  
221 correlate with SMDV and SMDD activation during ventral and dorsal head bending,  
222 respectively (13). The motor neuron RIV has high correlation with SMDV but few shared  
223 synaptic outputs (17, 19). We found that spatially localized optogenetic stimulations of  
224 sub-compartments of RIA, and as well as RIV, also immediately terminated reversals  
225 (Fig. 4E-G). Previous studies have observed that chronic inhibition of SMDs or  
226 optogenetic activation of SAAV and SAAD, which correlate with head curvature during  
227 reverse crawling, can impact reversal timing as well (15, 20). The observations that 5  
228 different tested neuron classes of the headswing complex can terminate reversals but  
229 those investigated do not bias turn direction suggest that the command intent of turn  
230 direction is maintained by a dynamical complex distributed across several neurons.  
231

### 232 ***Command state dynamics serve as a reference clock for headswing oscillations***

233 How does the animal know when to recouple the internalized headswing  
234 oscillation and terminate a reversal to produce the correct turn direction? To store a  
235 persistent value (i.e. memory) reliably using the phase of an oscillator over several  
236 oscillation periods, a reference clock with a predictable relationship to the oscillator is  
237 needed. Interestingly, the capability of RIA subcompartments to terminate fictive  
238 reversal was gated by the instantaneous activity of the command state projection at the  
239 time of stimulation on a per-trial basis (Fig. 4I,J). Furthermore, individual neuron  
240 predictivity was positively correlated with command state projection loading (Fig. 4I,J).  
241 One interpretation of the ability of headswing neurons to immediately terminate fictive  
242 reversals is proprioceptive; they signal the successful execution of physical bending that  
243 produces reverse movement. As the command projection evolves, the system becomes  
244 permissive for proprioception-driven reversal termination. We fit an exponential to the  
245 command projection during REV (Fig. 4K, Fig. S4B) and found that the time constant of  
246 command projection ramping is correlated with reversal duration, the timescale over  
247 which the memory must be maintained, suggesting that the command complex may  
248 function as a reliable time reference. The decay in activity of positive loading neurons  
249 such as OLQ, URX, AIB or the ramping of neurons with negative loading such as RME,  
250 could potentially function as behavioral timers in conjunction with other sensory or motor  
251 roles previously proposed (21-23).

252 Focusing next on stimulations that elicited a TURN by terminating REV, we found  
253 that the activity level of the headswing projection, or alternatively individual neurons with

254 high headswing loadings, was predictive of the TURN direction (Fig. 4L,M). Using our  
255 decoding framework, we were unable to find neurons whose static, monotonic, or  
256 differential activity levels were predictive of turn identity. We suspect the non-monotonic  
257 time course of predictivity is a consequence of oscillatory dynamics in these neurons;  
258 the headswing projection captures both DOR and VEN depolarizations, whereas  
259 individual headswing complex neurons display strongly turn-direction-dependent  
260 differential activity only during half of their oscillation cycle. The simultaneous ramping  
261 of the command state complex and period nesting with the headswing complex (Fig.  
262 1M) may allow the animal to balance two normative functions: drive to terminate  
263 reversal and turn in the correct direction.

264

### 265 **A dual half-center oscillator model reproduces nested projection dynamics**

266 The emergence of stable, spontaneous oscillations from mutually inhibiting  
267 neurons or groups of neurons has been extensively modeled, exemplified by the half-  
268 center oscillator (HCO) (24, Fig. 5A). The HCO model produces push-pull oscillatory  
269 dynamics strongly reminiscent of the waveforms of the command state projections and  
270 headswing projections (Fig. 1H,I). We modeled command state and headswing  
271 projections as separate HCOs (Fig. 5B, see Methods), and found that the incorporation  
272 of an interaction term between the two HCOs, modeling the influence on command  
273 state projection by the headswing complex (Fig. 4), produced a nested distribution of  
274 state durations resembling the experimental distribution (Fig. 1M) when random current  
275 is injected into a unit, representing fluctuations from sensory input and other internal  
276 processes (Fig. 5C). This model raises an intriguing mechanistic hypothesis: the worm  
277 brain may be composed of multiple loosely coupled dynamical complexes (e.g. HCOs)  
278 whose coordination implements cognitive functions such as working memory and  
279 supports purposeful behavior.

280

281

## 282 **Discussion**

283 We found that worms are capable of producing directed turns by gathering  
284 sensory evidence and integrating it with body posture, revising a longstanding notion  
285 that foraging reorientations are undirected. Our data suggest that worms can navigate  
286 odor environments based on the following mechanism: (a) sensory input is received  
287 during crawling sinusoidal head swings and integrated with body posture to form  
288 sensory memory, (b) headswing and command state dynamics are coordinated, and  
289 headswing phase is set to the interval  $[0, \pi]$  or  $[\pi, 2\pi]$ , (c) during reversal, a ramping  
290 process in the command state complex gates reversal termination, until (d) a  
291 proprioceptive signal indicates successful movement execution, terminating REV, and  
292 finally (e) a directed turn is produced based on whether the headswing complex is in  
293 DOR- or VEN- associated phase at the time of headswing recoupling.

294 Our experiments argue against the encoding of working memory in static neural  
295 activity levels for the sensory-guided decision-making capability. They instead suggest  
296 that working memory is implemented by the maintenance of the relative phase of two  
297 coordinated dynamical complexes.

298 We surmise that the original function of the head neural oscillator was to produce  
299 physical movement, and the motor-decoupled mode of operation only arose later to  
300 support the function of working memory, endowing the animal the ability to perform  
301 deferred sensory-guided action selection. This evolutionary step, from embodied to  
302 internal neural oscillations, may represent the origin of a functional primitive of cognition  
303 subsequently recruited to do far more complex forms of thinking.

304 The dynamical model we present in Figure 5 provides an expressive basis for  
305 building more sophisticated models. Features such as additional neural subnetworks or  
306 neuropeptide signaling could be incorporated to capture the cognitive processes  
307 enabling the worm's full behavioral repertoire.

## 310 **Methods**

### 311 Behavior imaging and quantification

312 Worms were prepared 24 hours in advance of imaging: L4 worms were picked onto  
313 fresh NGM agar plates with an OP50 lawn. Immediately before imaging, worms were  
314 picked onto a fresh NGM agar plate without food, into a drop of M9. Single worms were  
315 aspirated out of that drop and washed 3x by aspirating into new drops of M9. Finally,  
316 the worm was aspirated onto an NGM agar plate without food, with care taken to re-  
317 aspirate excess M9. Worms were imaged while crawling on the surface of the agar  
318 without lid for 1-2 recordings of 12 minutes each. Data were acquired on an M205FCA  
319 motorized stereo microscope equipped with a LMT260 XY-Scanning stage, a TL5000  
320 transmitted light base, an LED3 epifluorescence light source (Leica Microsystems) and  
321 combined with an ORCA-FLASH V3 sCMOS camera (Hamamatsu). Hardware was set  
322 up for control in micro-manager (25). Zoom was set to result in a field-of-view of  
323 4.78mm. Worms were tracked over the course of an experiment with the microscope's  
324 motorized stage centered on the worm's centroid.

325 For experiments involving optogenetics, care was taken to reduce activation of the  
326 optogenetic channel from brightfield illumination light. About 24 hours prior to imaging,  
327 80ul of 100uM all-trans retinal in M9 was added to the bacteria lawn of L4s. Worms  
328 were then placed in foil at 20C until imaging. During an imaging session, worms were  
329 partially covered if not being immediately prepared for an experiment. Optogenetic  
330 illumination was made in widefield mode with the LED3 set to 100%.

### 332 Whole-brain Ca2+ imaging of *C. elegans*

333 Two-layer PDMS microfluidic devices were fabricated as described previously (23,  
334 26). Worms were prepared 24 hours in advance of imaging: L4 worms were picked on  
335 to fresh NGM agar plates with an OP50 lawn. Immediately prior to imaging, worms were  
336 picked onto fresh NGM agar plates without food and placed in a drop of M9 solution  
337 with 5mM tetramisole. Worms were left in tetramisole for 10 minutes prior to being  
338 aspirated into the microfluidic channel. Worms were imaged for 16-24 minutes. Data  
339 were acquired on an inverted spinning disk microscope (Yokogawa W1-SoRa) set up on  
340 a DMI8 inverted microscope stand (Leica Microsystems) equipped with a Kinetix  
341 sCMOS camera (Telydyne Photometrics) and a Versalase Laser combiner (Vortran).  
342 Roughly half of recordings were acquired at 2x2 camera binning, the rest without

343 binning. The microscope objective lens was 40x 1.25NA WI. Sample volumetric scans  
344 were performed using a piezo stage (ASI) with 10-12 z-planes with z-spacing 2.5-3um.  
345 In select recordings, 4 z-planes with z-spacing 3um were used to measure neurons in  
346 lateralized anterior, lateral, dorsal, and ventral ganglia at higher temporal resolution  
347 under equivalent optical conditions. Prior to acquiring videos for calcium timeseries, a  
348 reference high-resolution structural image was acquired using 40x1um z-planes under  
349 4 different optical conditions to measure NeuroPAL fluorescence (27). Exposures  
350 ranged from 30ms - 80ms under 100 - 200uW/mm<sup>2</sup> illumination to minimize  
351 photobleaching and optogenetics/fluorescence crosstalk.

352 For experiments involving optogenetics, care was taken to reduce activation of the  
353 optogenetic channel via illumination for measuring GCaMP fluorescence. About 24  
354 hours prior to imaging, 80ul of 1uM ATR in M9 was added to the bacterial lawn of L4s.  
355 Worms were then placed in foil at 20 C until imaging. During an imaging session, worms  
356 were partially covered if not being immediately prepared for an experiment. Worms  
357 were imaged at roughly 70-140uW/mm<sup>2</sup> (measured at sample plane) of light at the  
358 sample, for 20-30ms exposures within an 80 ms duty cycle - 20 ms-30 ms of light  
359 exposure and 50-60 ms of blank time. Optogenetic illumination was performed using a  
360 digital micromirror device (Mightex Polygon P1000) combined with an LDI-7 laser  
361 combiner (89North) at 640 nm, powered to 10-20% of maximum laser  
362 intensity. Illumination was performed in widefield for worms with optogenetic construct  
363 expressed under a single-neuron promoter, and localized to about 5x5 um for selective  
364 single-neuron illumination of optogenetic constructs driven by multi-neuronal  
365 promoters.

366 Behavioral decoding of whole-brain recordings was performed as previously  
367 described (8).

#### 369 Region of interest (ROI) detection in volumetric Ca<sup>2+</sup> imaging data

370 ROI detection from neural timeseries videos was adapted from (8), implemented by  
371 the Napari (28) **eats-worm** plugin. Briefly, interframe motion was first registered using  
372 manual tracking (29). A reference ROI movie was then generated composed of each  
373 image plane by averaging successive blocks of 20-200 movie frames to reduce noise.  
374 Each frame of the reference was adaptively thresholded based on median image  
375 brightness, median filtered, then convolved with a gaussian kernel. Local maxima were  
376 found and merged if peaks were adjacent within a greedy threshold. For each ROI  
377 center, a surrounding region with radius 5-7 was defined, with overlapping adjacent  
378 regions excluded via Voronoi tessellation with area shrinkage of 0.5 pixels. ROIs in  
379 adjacent timepoints were linked via local greedy matching. Cells below detection threshold  
380 were extrapolated based on the motion of neighboring ROIs. Finally, time-varying multi-  
381 plane ROIs were adjoined based on overlap. Each neuron was manually inspected for  
382 artifacts and overlapping fluorescence with adjacent neurons by R.L.D. For high  
383 temporal resolution half-brain imaging experiments, images were compressed along the  
384 Z axis via maximum intensity projection, becoming 3D ROIs. Neural time series  
385 extraction was adapted from (8). Briefly, for each 4D ROI, a single-cell fluorescence  
386 intensity was computed taking the average of the brightest 30-60 voxels at every time  
387 point after subtracting z-plane specific background intensity. Background values were

388 computed by averaging pixels not belonging to any ROI within a radius of 21 pixels.  
389 DF/F0 was computed for each neuron, with F0 as mean background fluorescence.  
390

391 *Identification of neuron genetic identities*

392 In each recording, we detected 45-140 neurons. Neurons were identified by  
393 assessing their anatomical position, relationship to surrounding neurons, and their  
394 established activity patterns. Furthermore the strains used for experiments here express  
395 the NeuroPAL genetic cassette (27), which uses a genetically defined combination of 4  
396 fluorophores to discriminate neurons based on multi-color reporter expression. Neuron  
397 labels were assigned by hand, using NeuroPAL documentation for guidance. In many  
398 cases ambiguity still existed, so here we opted for a more conservative approach and  
399 chose not to ID neurons which could not be identified beyond reasonable doubt. In rare  
400 cases, ambiguous identities are denoted in parentheses.

401  
402 *Neural time series derivatives and embeddings*

403 Derivatives and PCA on neural time series data was performed as previously  
404 described (8, 30). Specifically, total-variation regularization was used to compute de-  
405 noised time derivatives while resolving the accuracy of command state transitions to  
406 single frames. Differentiation ensures some degree of stationarity to the signal,  
407 improving subsequent analyses. This approach was also used for the calculation of  
408 proj(headswing). In more detail, for calculating proj(headswing), we first subtracted the  
409 sums of projections to the derivative time series onto temporal PC1. Next, we sub-  
410 segment forward command states and remove unsustained forward states defined by a  
411 threshold (<4s). The resulting segments are concatenated for PCA (31) to compute  
412 loadings. Full time series are then projected onto these loadings. Prior to PCA, time  
413 series were detrended and regularized. For comparison across animals, we applied PC  
414 matching by inverting projections such that the genetically identified neuron SMDV  
415 would be negative. In some cases, such as a large number of neurons drifting out of the  
416 focal plane contributing high variance, this procedure was adjusted by first filtering  
417 neurons with thresholded (0.5) normalized covariance to SMDV or by cropping the  
418 beginning, or end, of the full time series.

419  
420 *Linear predictive modeling framework*

421 For predictive modeling, we used KNN and SVM classifiers, respectively,  
422 implemented by **scikit-learn** (32) with 20-fold cross-validation to limit overfitting. To  
423 account for class balance, we report the balanced accuracy metric, which is equivalent  
424 to accuracy score with class-balanced sample weights. Model performance was  
425 averaged over 100 iterations with randomized seeds for cross-validation. For timepoint  
426 predictive analysis, separate models were fit on neural activity (DF/F and derivative as  
427 independent features) at single timepoints relative to stimulation. In both cases, control  
428 distributions were generated by shuffling class labels.

429  
430 *Evaluating the effect of neuron stimulation on global state transition*

431 The significance of the effect of neuron stimulation on command state transitions  
432 (time to REV initiation or REV termination) was assessed using a Kolmogorov-Smirnov

433 test. The comparable control distribution must account for spontaneous command state  
434 transitions unaffected by stimulation. To calculate control null distribution, first we took  
435 unstimulated states from control worms and computed the marginal distribution of time  
436 until state transition. We then accumulated shifted state durations selected from our  
437 experimental group, based on time from stimulation, to generate the marginal  
438 distribution of time until state transition.

439

#### 440 proj(command) ramping and phase analysis

441 The time constant of proj(command) ramping was calculated by fitting a saturated  
442 curve to proj(command) traces scaled to each reversal. proj(command) and  
443 proj(headswing) phase were calculated by smoothing both traces with a gaussian filter,  
444 identifying extrema (scipy.signal.find\_peaks), and linearly interpolating between peaks  
445 and valleys. The ratio of proj(command) to proj(headswing) period was calculated using  
446 the inter-peak-intervals of each signal for the corresponding cycles.

447

#### 448 Function fitting

449 For fitting sinusoids to headswing-associated neurons, we selected a subset of  
450 neurons with high average embedding in proj(headswing) to fit on: SMDVL, SMDVR,  
451 SMDDL, SMDDR, RIVL, RIVR, and additionally proj(headswing) and fit the following  
452 functional form:  $A \sin(\omega t + \varphi)$ , Where A is a vector of neuron weights, omega is the frequency  
453 of the sine, and phi is the phase offset. Parameters were fit with the Nelder optimization  
454 procedure using the python package lmfit. Minimum and maximum constraints were placed on  
455 fit parameters depending on the parameter being evaluated; for example, when evaluating  
456 omega or phi, dorsal and ventral head curvature associated neurons were constrained to have  
457 non-zero amplitudes of opposite sign and curves were normalized to the interval {-1, 1}. We  
458 further conducted these analyses on trials with and without AWA stimulation. For specifics,  
459 reference the accompanying code. In all cases, we fit on the numerical derivative of neuron  
460 curves, estimated with total-variance regularization (30), and these curves were then  
461 smoothed with a box filter. We found our results were similar without temporal smoothing. For  
462 parameter initial values, omega = 0.18, phi = pi, and amplitudes were as follows:  
463 proj(headswing), SMDDL, and SMDDR: 1, SMDVL, SMDVR: -1, RIVL, RIVR: -0.5.

464

#### 465 Dynamical model of nested oscillators

466 We implemented a model with two connected half-center oscillators (HCOs)  
467 described in (24). Each HCO is composed of two Morris-Lecar neurons (33), i.e. models  
468 of graded conductances. Each Morris-Lecar neuron consists of two voltage dependent  
469 conductances, a leak current, and an inhibitory synaptic current:

470

$$471 C \frac{dV^1}{dt} = I_{\text{ext}} - (g_L(V^1 - V_L) + g_{\text{Ca}}M_{\infty}^1(V^1 - V_{\text{Ca}}) + g_KN^1(V^1 - V_K) + g_{\text{syn}}S_{\infty}^1(V^1 - V_{\text{syn}})) \quad (1)$$

472

$$\frac{dN^1}{dt} = \lambda_N^1(N_{\infty}^1 - N^1) \quad (2)$$

473

and

$$474 N_{\infty}^1(V^1) = \frac{1}{2} \left( 1 + \tanh \left( \frac{V^1 - V_3}{V_4} \right) \right) \quad (3)$$

475

$$M_{\infty}^1(V^1) = \frac{1}{2} \left( 1 + \tanh \left( \frac{V^1 - V_1}{V_2} \right) \right) \quad (4)$$

476

$$\lambda_N^1(V^1) = \phi_N \cosh \left( \frac{V^1 - V_3}{2V_4} \right) \quad (5)$$

477

$$S_\infty^1(V^2) = \frac{1}{2} \left( 1 + \tanh \left( \frac{V^2 - V_{\text{thresh}}}{V_{\text{slope}}} \right) \right) \quad (6)$$

478

479 We parameterize two HCOs, one to model the dynamics seen in the command  
480 projection, and one for the headswing projection. We simulated this system using a 5th-  
481 order Runge-Kutta solver or, for expediency, the Euler method, which did not affect the  
482 qualitative results. In this study, we extend this model by adding a noise process and  
483 interaction term to the external applied current to one unit in the slow HCO:

484

485

$$I_{\text{ext}} = I_{\text{HCO}} + OU + \kappa(V1_{\text{fast}} - V2_{\text{fast}}) \quad (7)$$

486

487 Where  $I_{\text{HCO}}$  is external applied voltage,  $OU$  is an Ornstein–Uhlenbeck process,  
488 and  $V1$  and  $V2$  are the voltages of the two units in the fast HCO. Together these  
489 equations produce a distribution of spontaneous state durations, which exhibit nesting  
490 at  $\kappa > 0$ . For all model parameters, please see accompanying code.

491

492 *Table of statistical comparisons:*

493 Please see **Table S2** (Supplementary Information) for details of statistical comparisons  
494 made in this study.

495

496 *Data and materials availability:*

497 All data and code are available at WormID.org.

498

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578

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589 Conceptualization: RLD, SK  
590 Resources: JMM, GCC  
591 Formal Analysis: RLD, CMC, SK  
592 Methodology: RLD, JB, SK  
593 Investigation: RLD, DYS, GCC  
594 Funding acquisition: RLD, NDL, SK  
595 Writing – original draft: RLD, SK  
596 Writing – review & editing: RLD, SK, CMC, NDL

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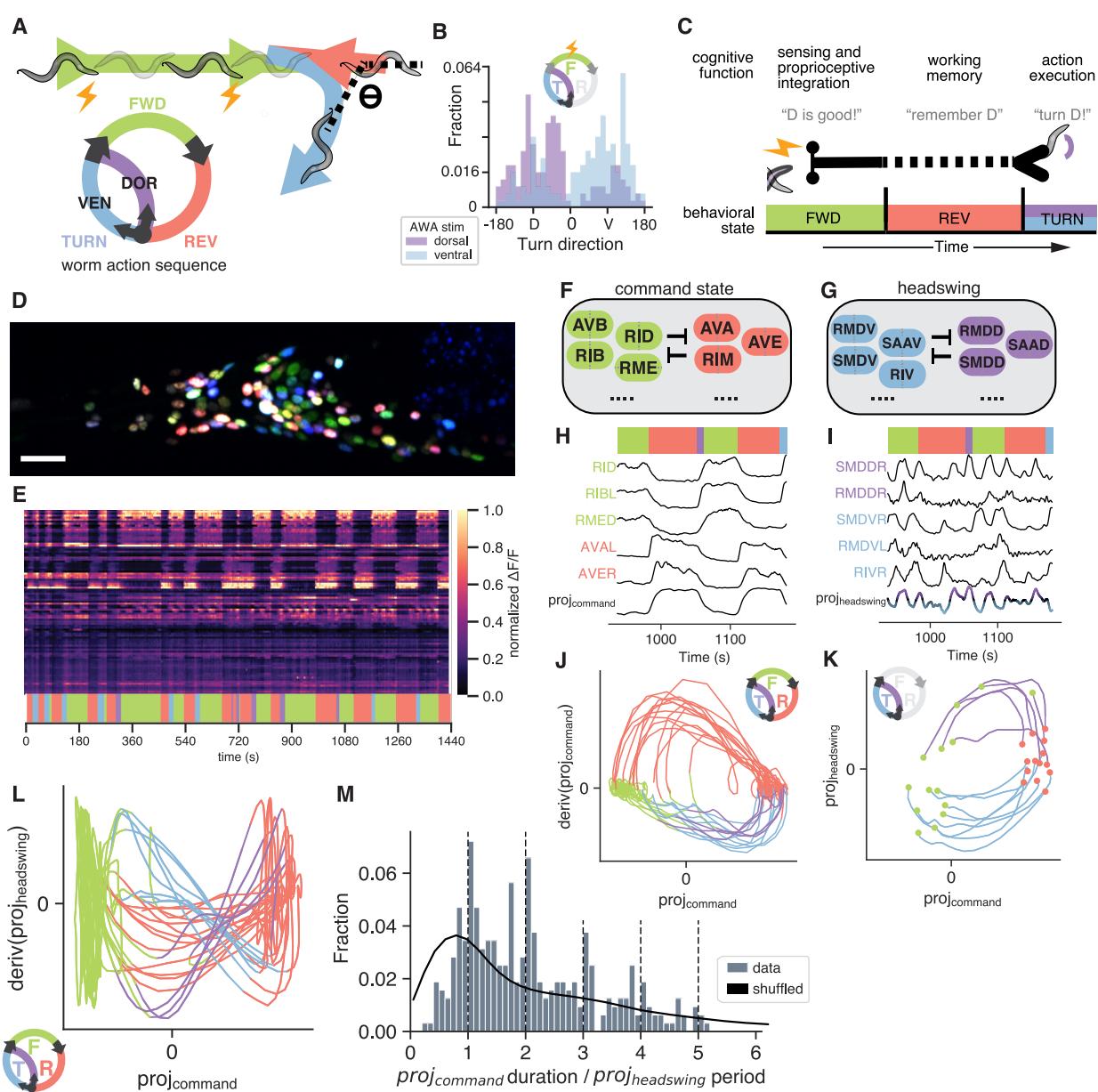
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**Supplementary Information:** Supplemental information is available for this paper. See supplemental information for Figures S1-4, and Table S1-2.

**Competing Interests:** Authors declare that they have no competing interests.

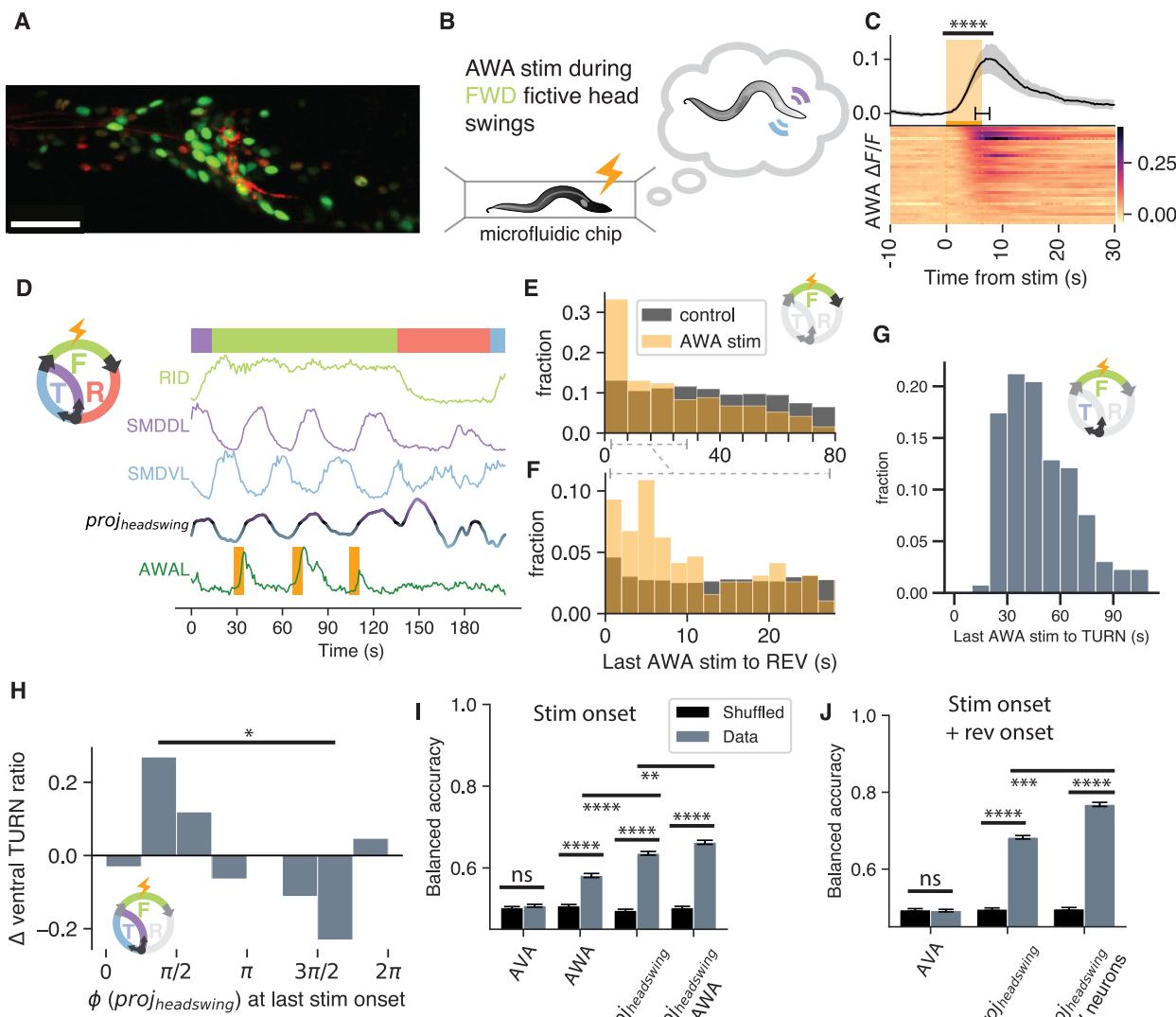
**Materials and Correspondence:** Correspondence and requests for materials should be addressed to [Saul.Kato@UCSF.edu](mailto:Saul.Kato@UCSF.edu).



**Fig. 1. Worms make directed turns in a virtual olfactory environment, and discovery of a distributed headswing complex in whole-brain dynamics in paralyzed worms.** **(A)** Schematic of closed-loop optogenetic stimulation of attractant sensory neuron with respect to instantaneous head curvature during forward crawling. [Inset] stereotypical motor command state sequence during foraging. **(B)** Angle of post-reversal turn following closed-loop stimulation, colored by whether stimulation was triggered by dorsal or ventral head bending during preceding bout of forward crawling. Test statistic (Tstat) = 77.32,  $p < 0.0001$ . **(C)** Schema for deferred actions as a model for proto cognitive behavior. Memory of sensory experience is maintained across the reversal interval to turn execution. **(D)** NeuroPAL and pan-neuronal nuclear-localized GCaMP6s enable whole-brain imaging at cellular resolution with unambiguous neuron identification in restrained worms. Scale bar 20um. **(E)** Example heat plot of fluorescence ( $\Delta F/F$ ) time series of 109 segmented head neurons, one neuron per row. Top horizontal bars specify time intervals in (F, G). Bottom colored bar specifies the behavioral state. **(F)** command state. **(G)** headswing. **(H)** **(I)** neural traces. **(J)** **(K)** derivative plots. **(L)** scatter plot. **(M)** histogram.

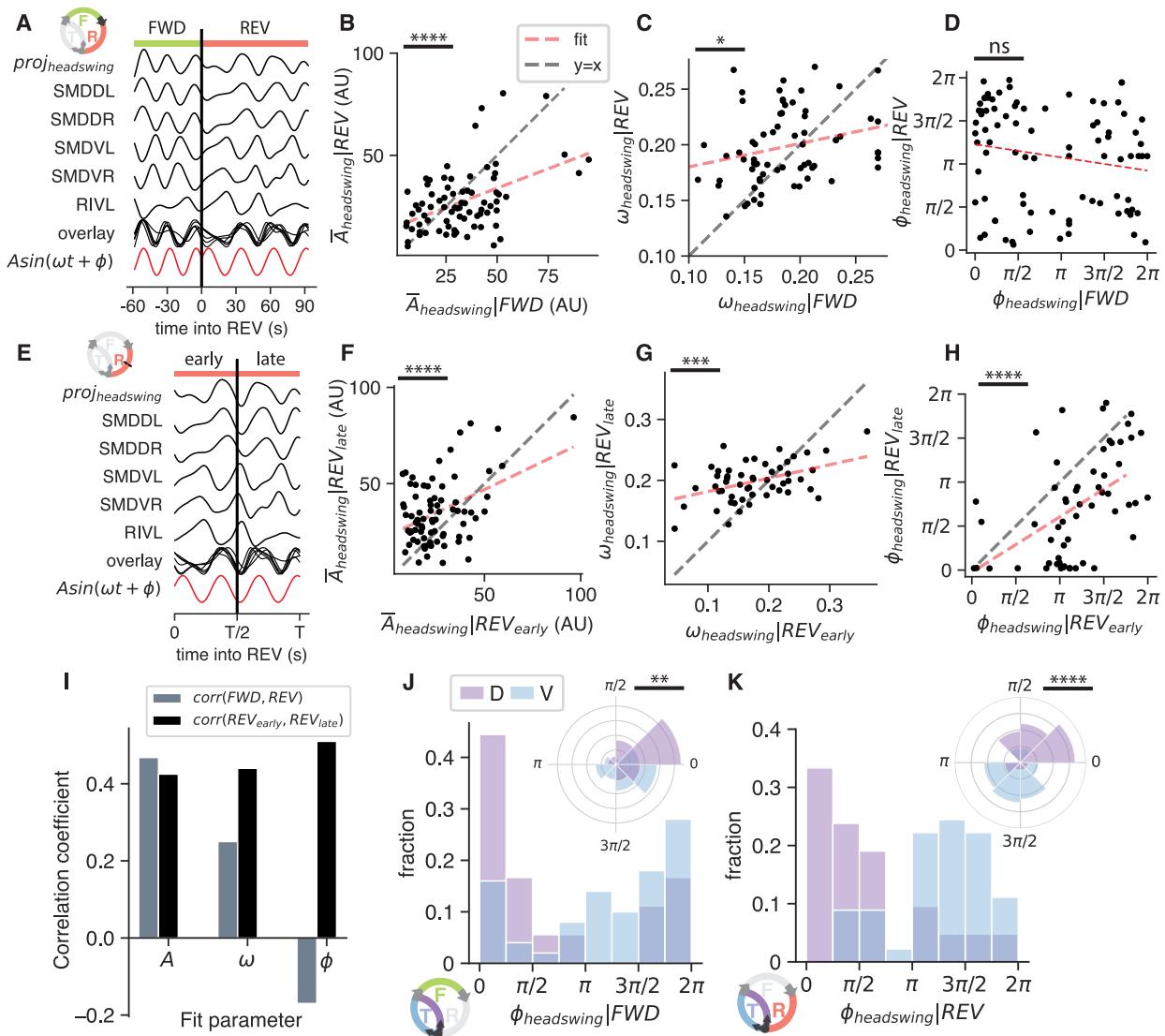
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633 inferred command state. **(F-G)** Diagram of neuron classes with high magnitude in what  
634 we term the command state projection, which encodes FWD/REV, or the headswing  
635 projection, which encodes head curvature commands. **(H-L)** Representative neuron  
636 traces and phase plots from (E) of  $\text{proj}(\text{command})$  or  $\text{proj}(\text{headswing})$ , and several  
637 neurons which participate in these complexes. Trajectories in (K) go from termination of  
638 preceding REV (red), until initiation of subsequent FWD (green) state. In trajectories of  
639  $\text{deriv}(\text{proj}(\text{headswing}))$  vs.  $\text{proj}(\text{command})$  state space (L), we see that there is a  
640 relationship between the onset timing, or phase of the post-reversal turn and the turn  
641 identity. **(M)** Distribution of  $\text{proj}(\text{command})$  states (FWD or REV epochs), expressed in  
642 terms of contemporaneous headswing oscillation period. Shuffled (i.e.  $\text{proj}(\text{command})$   
643 and  $\text{proj}(\text{headswing})$  periods are selected from random recordings) data do not show  
644 phase nesting.  $T_{\text{stat}} = 0.15$ ,  $p < 0.0001$ .

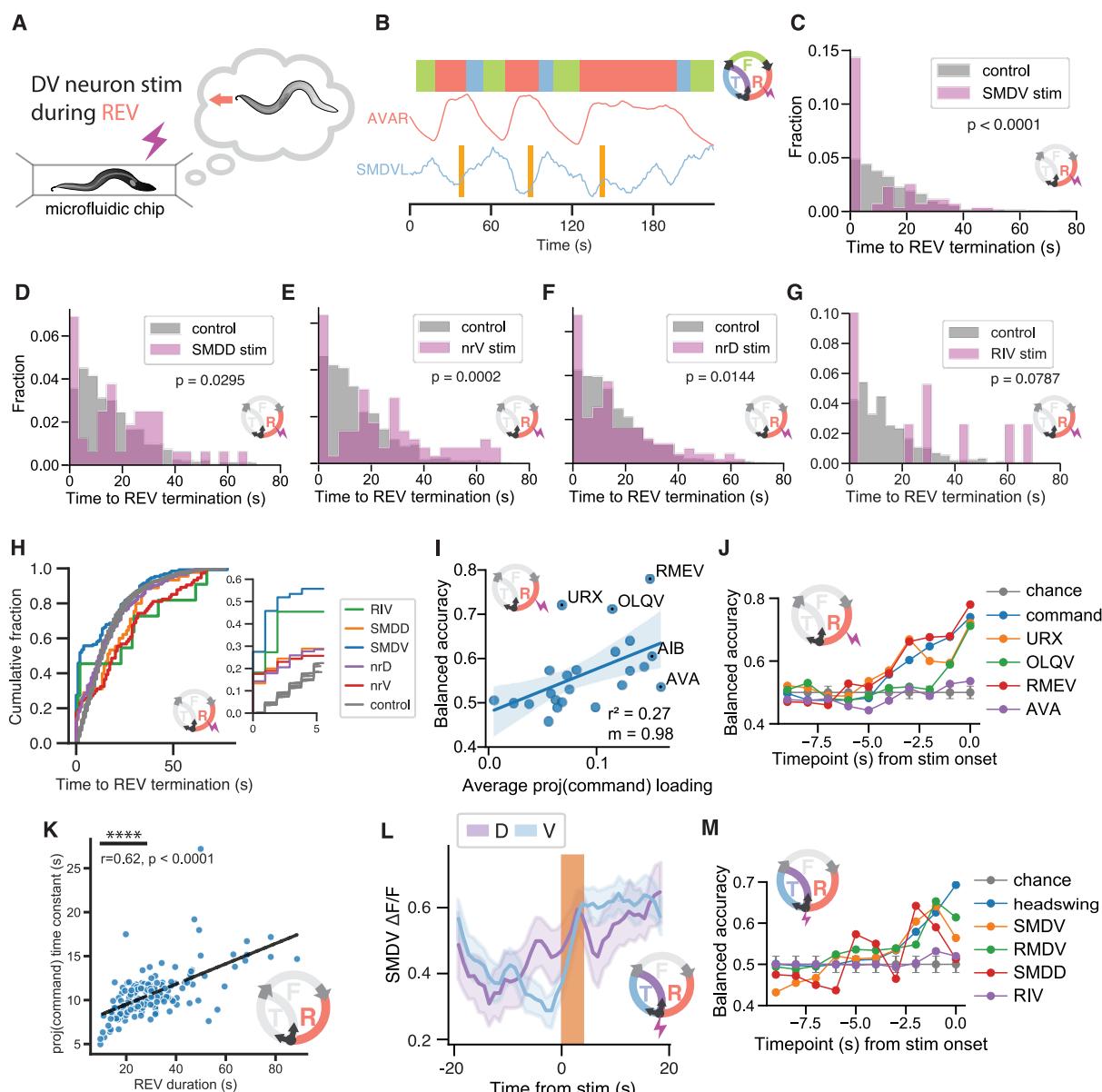


645  
646 **Fig. 2. Decoding deferred, sensory-driven action in immobilized worms. (A)**  
647 Maximum intensity projection of panneuronal GCaMP with WOrMsChRmine expressed  
648 under an AWA-specific promoter for acute, transient activation during whole-brain  
649 imaging. **(B)** Diagram of paralyzed worm under whole-brain volumetric calcium imaging  
650 with brain-state dependent AWA stimulation. Additional red expression is due to  
651 panneuronal tag-RFP present in NeuroPAL. **(C)** Heatmap of sorted individual AWA ~4-  
652 8s stimulations during whole-brain imaging. Each row represents a single AWA from a  
653 different worm, and trial average is shown above. Tstat=-8.12, p<0.0001. **(D)** Example  
654 trial of simultaneous whole-brain imaging and closed-loop stimulation in paralyzed  
655 worms, recapitulating our simulated virtual olfactory environment in Fig. 1A. Olfactory  
656 activation was repeatedly embedded within spontaneous head-swing dynamics during  
657 forward crawling. Upon reversal initiation, stimulation was then withheld. **(E-F)**  
658 Distribution of time after AWA stimulation until next fictive reversal (REV), compared to  
659 spontaneous state transition computational control. Tstat=0.29, p<0.0001. **(G)**  
660 Distribution of time after AWA stimulation until dorsal or ventral TURN. **(H)** Change in  
661 ventral TURNs ratio, relative to unstimulated control and stratified by phase of

662 proj(headswing) at the time of stimulation onset. A logistic regression model predicting  
663 turn direction based on the sine of phase was significant (LLR  $p=0.018$ ,  $n=132$ ). The  
664 fitted model showed that dorsal turns were more likely near  $3\pi/2$  and ventral turns were  
665 more likely near  $\pi/2$  ( $\beta = -0.724$ ,  $z = -2.294$ ,  $p = 0.022$ ). **(I)** Decoder balanced accuracy  
666 for TURN choice (VEN or DOR). Decoder was fit to neural activity at stimulation onset  
667 of AVA, AWA, proj(DOR/VEN), or AWA+DOR/VEN. Error bars are SEM after cross  
668 validation. For comparisons in (I,J), please see Table S1. **(J)** Same as in (I) but for  
669 decoder fit on features from both stimulation and reversal onsets.



**Fig. 3. Internalized headswing oscillation phase is reset at REV onset. (A)**  
 Schematic of functional form fitting. A single sine wave was fit to the smoothed derivative of  $\text{proj}(\text{headswing})$  as well as high-loading individual neurons composing it, for each paired FWD and subsequent REV segment. By convention, the sign of dorsal-associated neuron loadings was fixed to be positive. Sines were fit on continuous time coordinates for direct comparison of fit parameters. **(B-D)** Correlation between sine wave neuron loading (B), frequency (C) and phase (D) in FWD and corresponding REV segment. **(E)** Schematic for fitting sine separately to first (early) and second (late) halves of a REV segment. **(F-H)** Correlation between neuron loading onto the sine, frequency, and phase between first and second halves of a REV segment. **(I)** Summary of correlation coefficients calculated in (B-D, F-H), see Table S1 for each comparison. **(J-L)** Sine wave phase in FWD and REV segments, colored by future TURN direction.



**Fig. 4. Headswing-complex neurons terminate the REV command state. (A)** Diagram of paralyzed worm under whole-brain volumetric calcium imaging with brain-state dependent activation of neurons with high magnitude loading in the headswing complex. **(B)** Example trial of single neuron optogenetics targeting SMDV timed to fictive reversals, denoted by AVA depolarization. **(C-G)** Distribution of time after stimulation until REV termination following stimulation of headswing neurons (SMDV/SMDD/RIV or nrV/nrD subcompartments of RIA). Computational control for spontaneous state transition is shown in grey. **(H)** Empirical cumulative distributions detailing the effect of stimulating various DOR/VEN neurons (SMDV/SMDD/RIV or nrV/nrD subcompartments of RIA) on time after stimulation until REV termination. Inset: expansion of timescale immediately after stimulation. See Table S1 for each statistical comparison. **(I)** Balanced accuracy of decoding REV termination after activation of RIA nrV or nrD, based on neuron features at the time of stimulation correlates with proj(command state) loading. **(J)** Balanced accuracy of decoding successful REV

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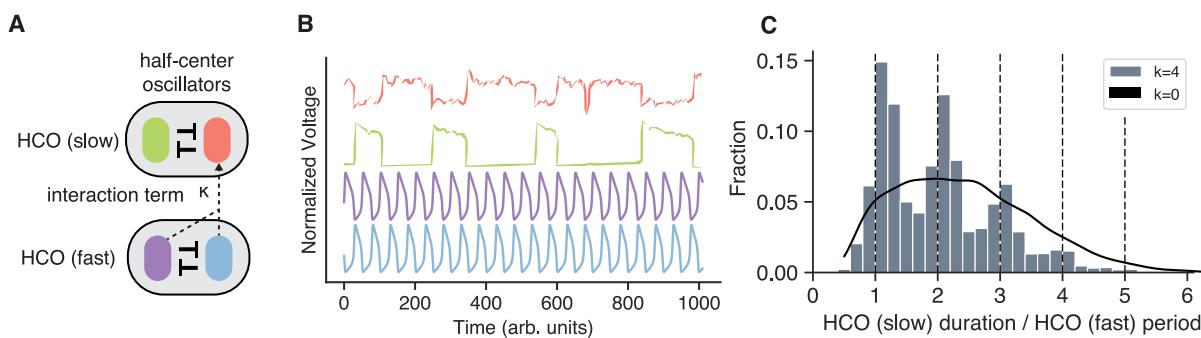
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698 termination, by fitting a decoder on activity prior to stimulation. Same data as (I). **(K)**  
699 The rise constant of the REV command state correlates with the reversal duration  
700 ( $r=0.62$ ). **(L)** Average evoked SMDV activity on trials where stimulation was immediately  
701 followed by dorsal (purple) or ventral (blue) TURN. Stimulation had no effect on future  
702 turn identity (see Supplementary Information). Shaded regions indicated 95% CI. Note  
703 that given future D/V turn identity, SMDV activity has already diverged prior to  
704 stimulation. Following stimulation, SMDV shows prolonged and sustained depolarization  
705 for ventral but not dorsal TURNS, where depolarization is confined to light-on period. **(M)**  
706 Balanced accuracy of decoding TURN direction following activation of RIA nrV or nrD,  
707 by fitting a classifier on activity of various neurons features prior to stimulation.



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**Fig. 5. Dynamical model of interacting nested oscillators.** (A) Diagram illustrating model components; two half-center oscillators (HCOs), and a coupling coefficient  $k$  reflecting the input from one HCO onto a neuron in the other. (B) Example parameterized dynamics of the Morris-Lecar neurons which compose each HCO. (C) Nonzero interaction between the two HCOs recapitulates state duration nesting reminiscent of Fig. 1M.