

Pathway activation model for personalized prediction of drug synergy

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Abstract Targeted monotherapies for cancer often fail due to inherent or acquired drug resistance. By aiming at multiple targets simultaneously, drug combinations can produce synergistic interactions that increase drug effectiveness and reduce resistance. Computational models based on the integration of omics data have been used to identify synergistic combinations, but predicting drug synergy remains a challenge. Here, we introduce DIPx, an algorithm for personalized prediction of drug synergy based on biologically motivated tumor- and drug-specific pathway activation scores (PASs). We trained and validated DIPx in the AstraZeneca-Sanger (AZS) DREAM Challenge dataset using two separate test sets: Test Set 1 comprised the combinations already present in the training set, while Test Set 2 contained combinations absent from the training set, thus indicating the model's ability to handle novel combinations. The Spearman correlation coefficients between predicted and observed drug synergy were 0.50 (95% CI: 0.47–0.53) in Test Set 1 and 0.26 (95% CI: 0.22–0.30) in Test Set 2, compared to 0.38 (95% CI: 0.34–0.42) and 0.18 (95% CI: 0.16–0.20), respectively, for the best performing method in the Challenge. We show evidence that higher synergy is associated with higher functional interaction between the drug targets, and this functional interaction information is captured by PAS. We illustrate the use of PAS to provide a potential biological explanation in terms of activated pathways that mediate the synergistic effects of combined drugs. In summary, DIPx can be a useful tool for personalized prediction of drug synergy and exploration of activated pathways related to the effects of combined drugs.

Introduction

Targeted therapies such as specific inhibitors are the most promising class of cancer drugs, but often fail or achieve only temporary remission due to inherent or acquired resistance. Theoretically, by aiming at multiple targets simultaneously, drug combinations can produce a synergistic

41 interaction that increases drug effectiveness and reduces resistance and the chances of relapse
42 (*Medicine, 2017; Pemovska et al., 2018; Plana et al., 2022*). This is illustrated in the combination of
43 a BRAF inhibitor dabrafenib with a MEK inhibitor trametinib, which suppresses paradoxical reac-
44 tivation and resistance observed in patients with BRAF-mutated melanoma treated with dabrafenib
45 alone (*Zhong et al., 2022; Banzi et al., 2016*). This recently approved combination has been shown
46 to improve progression-free and overall survival rates (*Subbiah et al., 2023*).

47 The discovery of effective drug combinations has traditionally relied on expert knowledge and
48 understanding of known biological mechanisms (*Li et al., 2015*). However, this expert-based ap-
49 proach has limited scope to come up with novel combinations. Furthermore, ideally, novel com-
50 binations are clinically tested, but it is practically impossible to test all reasonable combinations
51 in a clinical setting. Computational models of drug synergy have shown some potential for per-
52 sonalized prediction of synergistic combinations (*Güvenç Paltun et al., 2021; Wu et al., 2022; Kong*
53 *et al., 2022*). These models are typically based on the integration of patient-specific molecular
54 data, such as mutation profiles, gene expression, and drug response information (*Güvenç Paltun*
55 *et al., 2021*). For example, TAIJI, the best performing method in the AstraZeneca-Sanger DREAM
56 Challenge, uses these diverse data types to predict drug synergy (*Li et al., 2018*). The drug combina-
57 tions predicted to be effective will expand the therapeutic options while maintaining the same level
58 of adverse effects profile. However, despite the advantages offered by modern machine learning
59 methodologies and the availability of large-scale datasets, prediction of synergistic combinations
60 and validating computational models remains challenging. For example, drug screening protocols
61 often vary across studies, and there is a limited overlap in tested drugs and cell lines, complicating
62 the external validation of these models. Additionally, the reliance on 'black-box' machine learn-
63 ing approaches hinders the exploration of underlying molecular mechanisms driving synergistic
64 combinations.

65 To address this limitation, several studies have introduced statistical and computational ap-
66 proaches to infer the mechanisms of action of synergistic combinations within cancer signaling
67 pathways. For example, Liu et al. proposed TranSynergy, a drug synergy prediction model that
68 uses the interaction between drug target genes in a protein-protein interaction (PPI) network (*Liu*
69 *and Xie, 2021*). However, TranSynergy only relies on target gene information, neglecting informa-
70 tion on upstream and downstream activities of the targets and their differential contributions to
71 synergy. More recently, Tang et al. developed SynPathy, a deep learning model for drug synergy
72 prediction that incorporates drug-pathway associations (*Tang and Gottlieb, 2022*). SynPathy cal-
73 culates pathway enrichment scores as a measure of the distance between target genes of each
74 drug in a combination and pathway genes in the PPI network. These pathway enrichment scores,
75 along with chemical structure information, are then combined to fit the model and infer pathway
76 importance scores for each combination. More recently, Wu et al. introduced ForSyn (*Wu et al.,*
77 *2023*), a deep forest-based method. Although ForSyn implemented a gene enrichment analysis to
78 identify cancer-related pathways, it does not directly identify them through prediction.

79 Here we present a Drug synergy Interaction Prediction (DIPx) based on tumor- and drug-specific
80 pathway activation scores (PASs). PASs are biologically motivated features that provide potentially
81 relevant information on the underlying mechanisms of synergistic combinations. We trained and
82 validated DIPx using the AstraZeneca-Sanger (AZS) DREAM Challenge dataset (*Menden et al., 2019*),
83 and compared its performance with the best performing method in the Challenge. Furthermore,
84 we assessed the generalizability of the model by validating it on the O'Neil dataset (*O'Neil et al.,*
85 *2016*), and provided illustrations of pathways that could mediate the synergistic combinations
86 found by DIPx. DIPx is publicly available at <https://www.github.com/tracquangthinh/DIPx>.

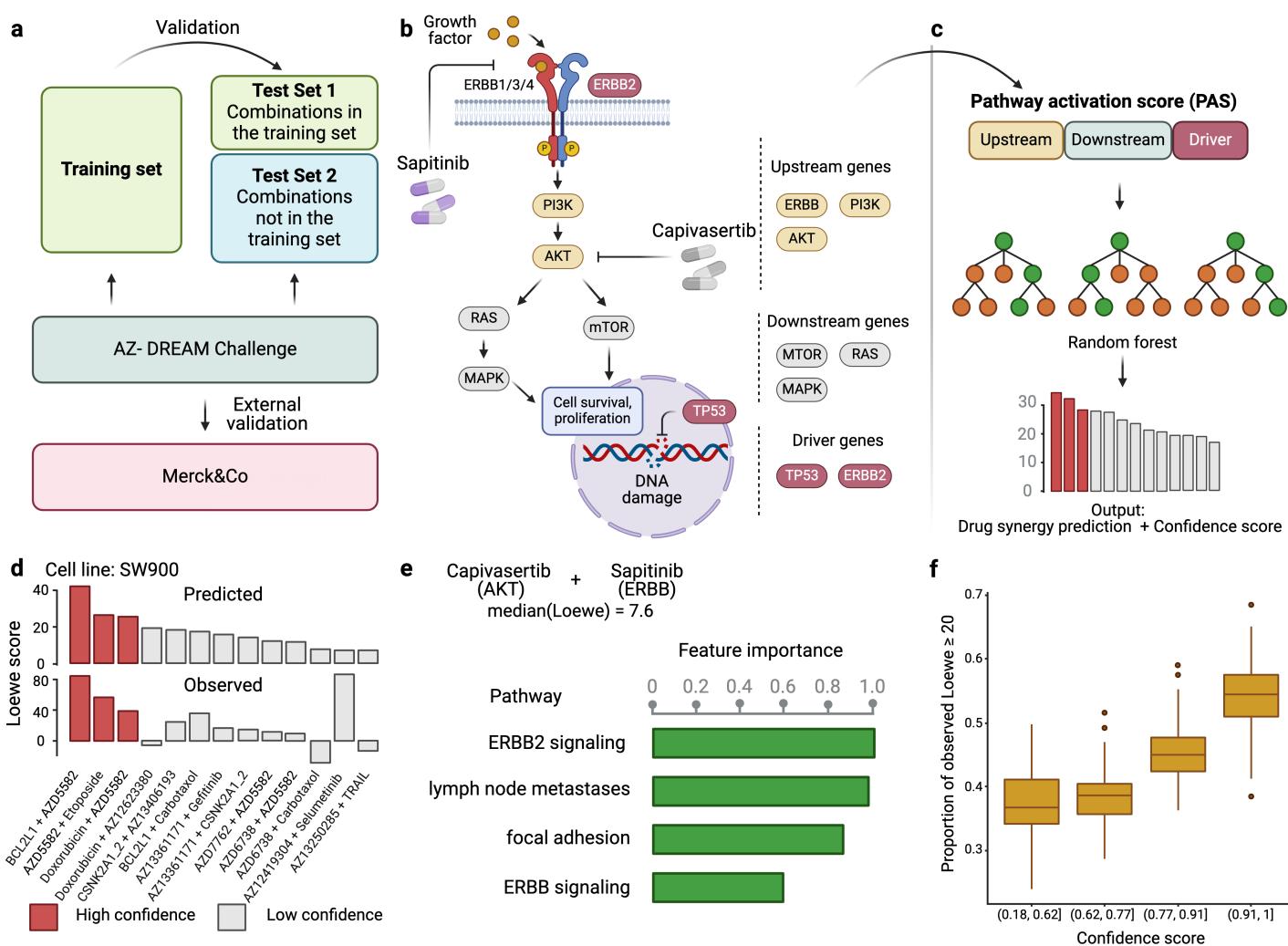


Figure 1. Overview of DIPx. **a**) The AZS omics data were used to train and validate the model. The test set was split into two subsets: Test Set 1 contained combinations found in the training set, while Set 2 comprised combinations not found in the training set. The model was also externally validated using the O'Neil dataset. **b**) A cartoon illustration of the ERBB pathway in a breast cancer cell line treated with the combination of Capivasertib + Sapitinib. Capivasertib targets the AKT gene, whereas Sapitinib targets the ERBB genes. Pathway genes were classified into upstream and downstream genes relative to the position of the target genes in the network. **c**) The drug synergy prediction model was trained using pathway activation scores (PAS) of the upstream, downstream and driver genes. **d**) The predicted and observed Loewe scores of a cell line achieved the median Spearman correlation in Test Set 1 of the AZS dataset. The color of each bar shows the confidence score information with the threshold of 0.75. **e**) The main pathways that contribute to the prediction of the synergy of the Capivasertib + Sapitinib combination. **f**) The proportion of validated high synergistic predictions (Loewe score ≥ 20) increases with higher confidence scores. The x-axis presents four groups defined by quartiles of confidence scores. This figure was created using [BioRender.com](https://biorender.com).

87 Results

88 A pathway based drug synergy prediction model

89 Figure 1 provides an overview of DIPx, which uses gene expression, mutation profiles, gene-interaction
90 network and drug synergy data to generate PAS of upstream, downstream and driver genes. Based
91 on the PAS combination of these gene sets, we train a random forest prediction model for DIPx
92 and validate its predictive performance in the AZS dataset; see, e.g., [Ishwaran and Lu \(2019\)](#) for a
93 more detailed description of the random forest model. For a new experiment (drug A + drug B, cell
94 line C), DIPx provides the predicted Loewe score and a confidence score. The test set consisted of
95 two subsets: (i) Test Set 1 includes combinations from the training set, and (ii) Test Set 2 includes
96 combinations absent from the training set. Together, both sets assess the generalizability of the

97 prediction for new patients and new combinations. The analysis involved a total of 75 cell lines
98 tested in 910 combinations in the AZS dataset. DIPx was also validated using an external dataset,
99 as shown in Figure 1a.

100 Figure 1b illustrates the ERBB signaling pathway in relation to the Capivasertib + Sapitinib com-
101 bination, where the genes belonging to the pathway are classified into upstream and downstream
102 genes relative to the position of the target genes: AKT targeted by Capivasertib and ERBB tar-
103 geted by Sapitinib. Putative driver mutations were identified in each sample based on a well-
104 characterized list of frequently mutated genes in cancer; see Section 4.3. We first calculate the
105 PAS of the upstream and downstream part of the pathway relative to the driver genes; see the
106 Methods section for details. The PAS values are then combined to train a random forest regres-
107 sion model. Given a new drug combination experiment, DIPx predicts the Loewe score for drug
108 synergy, as shown in Figure 1c.

109 Figure 1d presents the predicted and observed synergies for the SW900 lung cancer cell line,
110 which has a median correlation of 0.50 among the cell lines in Test Set 1; each bar in the figure rep-
111 resents a drug combination. The best predicted combinations include BCL2L1 + AZD5582, AZD5582
112 + etoposide and doxorubicin + AZD5582, with predicted Loewe scores of 42.34, 26.60, and 25.72,
113 respectively, and high confidence scores of 1.0, 0.90, and 0.82, respectively. A combination with
114 Loewe score greater than 20 is considered highly synergistic (*Menden et al., 2019*). Although the
115 combination of doxorubicin + AZ12623380 is predicted to have high synergy, it is a low confidence
116 prediction with a confidence score of 0.33. Indeed, the observed Loewe synergy score for this
117 combination is near zero.

118 The use of PAS allows DIPx to infer the potential biological mechanisms of synergistic drug
119 combinations. Figure 1e shows pathways with the highest contribution to prediction of drug syn-
120 ergy of the Capivasertib + Sapitinib combination: these include the ERBB-related pathways (ERBB2
121 signaling pathway, ERBB pathway), and tumor-related pathway (lymph-node metastases, focal ad-
122 hesion).

123 Figure 1f demonstrates the association between the confidence scores and the validation of
124 predictions. The x-axis represents the confidence scores grouped into quartiles, while the y-axis
125 displays the proportion of validated high synergy (Loewe score ≥ 20). Predictions with higher con-
126 fidence scores are expected to exhibit a greater level of validation. Indeed, in this figure, the pro-
127 portion of high synergistic predictions that are validated in the combination of Test Set 1 and 2 of
128 the AZS dataset increases as the confidence score rises.

129 **Validation and comparisons in the AZS dataset**

130 We evaluated the performance of DIPx in the AZS test sets and compared it with TAIJI, which was the
131 best performing method in the AZS DREAM Challenge (*Li et al., 2018*). TAIJI was trained using both
132 monotherapy drug-response and molecular data. Since DIPx uses only molecular data, to make a
133 fair comparison, we trained TAIJI using only molecular features and referred to it as TAIJI-M.

134 Figure 2a shows the correlation between the predicted and observed Loewe scores of 963 ex-
135 periments in Test Set 1 ($r = 0.5$, 95% CI: 0.47–0.53), where each experiment represents a combi-
136 nation drug A + drug B tried on cell line C, yielding one data point. In comparison, TAIJI-M gives $r = 0.38$
137 (95% CI: 0.34–0.42). We also bootstrapped the training set ($n = 100$ times) and for each bootstrap
138 replicate calculated the Spearman correlation between the predicted and observed scores of all
139 experiments. As illustrated in Figure 2b, DIPx achieved stable Spearman correlations across all
140 bootstrap replicates, which are significantly higher than that of TAIJI-M. The bootstrap distribution
141 actually indicates that the Spearman correlation from DIPx is negatively biased, while from TAIJI it
142 is slightly positively biased. This means that the gap between the bias-corrected estimates of the
143 Spearman correlations from DIPx and TAIJI-M would be even larger; see the Method section for a
144 theoretical explanation.

145 To demonstrate that DIPx does not overfit the training set, we performed a 10-fold cross-validation
146 for DIPx. Figure 2-figure supplement 1 shows the Spearman correlation between the predicted and

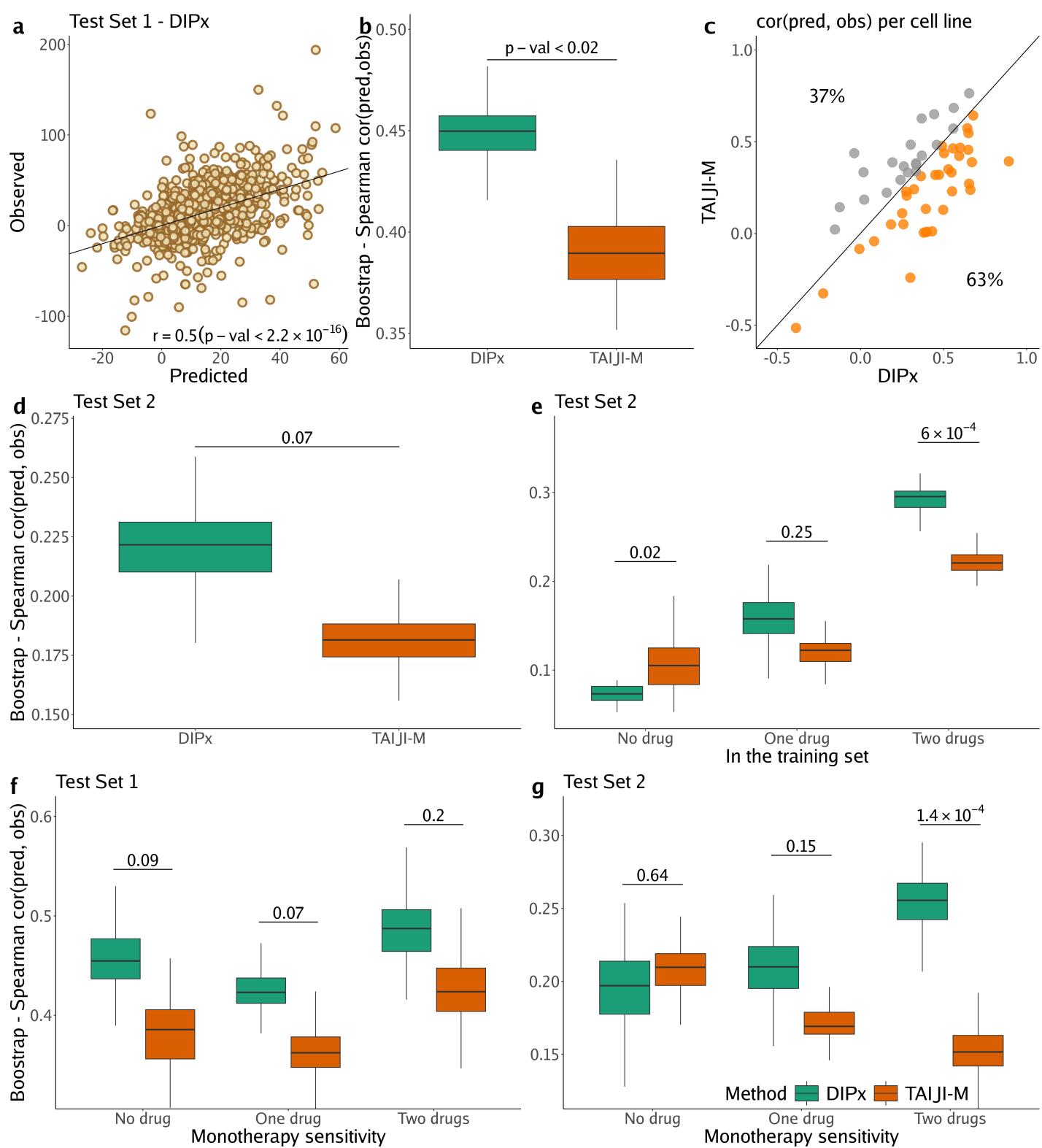


Figure 2. Performance of DIPx in the AZS dataset. This includes both Test Set 1 (panels a, b, c, f) and Test Set 2 (panels d, e, g). **a**) Comparison of predicted vs observed synergy scores for all experiments in Test Set 1. **b**) Comparison of DIPx vs TAIJI-M in terms of the correlation between predicted and observed synergy scores from all experiments in Test Set 1. Each boxplot shows the results based on 100 bootstrap replicates of the training set. **c**) Comparison of DIPx and TAIJI-M performance across cell lines in Test Set 1. Each point represents the correlation between the predicted and observed synergy for a given cell line. **d**) Comparison of DIPx vs TAIJI-M in Test Set 2. Each boxplot displays the correlations between the predicted and observed values obtained from 100 bootstrap replicates of the training set. **e**) Comparison of performance between DIPx and TAIJI-M in Test Set 2 in relation to the number of drugs in common (x-axis) between the combinations in the test set and the training set. **f**) and **g**) DIPx vs TAIJI-M in three groups classified by monotherapy sensitivity of two drugs in a combination in Test Set 1 (**f**) and Test Set 2 (**g**).

Figure 2—figure supplement 1. 10-fold cross validation of DIPx in the training set of the ASZ DREAM dataset

147 observed Loewe scores across the ten folds. DIPx achieved a median correlation of 0.48, which is
148 comparable to the correlation of 0.50 in Test Set 1. This indicates that there is no evidence of
149 overfitting in the training set.

150 Furthermore, we compared the performance of DIPx and TAIJI-M across all cell lines in Test Set
151 1 using a Spearman correlation between the predicted and observed synergy scores, as shown
152 in Figure 2c. A majority of the cell lines (63%) are below the diagonal line, indicating that DIPx
153 outperforms TAIJI-M in predicting synergy scores for these cell lines.

154 We also compared the performance of DIPx and TAIJI-M in Test Set 2. As expected, the pre-
155 diction performance of both methods was worse in Test Set 2 than in Test Set 1 since Test Set 2
156 consists of new combinations absent from the training set. The Spearman correlation of the ob-
157 served vs predicted synergy using DIPx is 0.26 (95% CI: 0.22–0.30), which is greater than 0.18 (95%
158 CI: 0.16–0.20) using TAIJI-M. However, the difference is not statistically significant. Figure 2d show
159 that this result is stable across 100 bootstrap replications of the training set. A similar downward
160 bias for DIPx is observed in the bootstrap distribution.

161 To investigate the effect of unseen combinations on prediction performance, we divided each
162 combination (drug A + drug B) in Test Set 2 into one of three groups based on the number of
163 individual drugs present in the training set: (i) neither drug A nor drug B in the training set ("no
164 drug"), (ii) either drug A or drug B in the training set ('one drug'), (iii) and both drugs A and B in the
165 training set ('two drugs'), as shown in Figure 2e. Overall, both DIPx and TAIJI-M showed improved
166 performance as the number of drugs present in the training set increased. For experiments in
167 which both drugs were not in the training set ($n = 262$), TAIJI-M achieved a median correlation of
168 0.11, while DIPx performed worse with a median correlation of -0.03 . For experiments with at least
169 one drug in the training set ($n = 2,499$), both methods showed improved performance with median
170 correlations of 0.16 and 0.12 for DIPx and TAIJI-M, respectively. When both drugs in an experiment
171 were present in the training set ($n = 4,370$), DIPx achieved a median correlation of 0.30, which was
172 better than TAIJI-M's performance ($r = 0.22$, p -value $< 6 \times 10^{-4}$).

173 Monotherapy drug response profiles have been shown to correlate with synergistic effects and
174 contribute to improving prediction performance, e.g., in TAIJI (*Li et al., 2018*). Here, we compared
175 the performance of DIPx and TAIJI-M in relation to monotherapy sensitivity as measured by the
176 IC50 value. We categorized each experiment in the AZS test sets into three groups according to
177 the monotherapy response. Briefly, we first calculated the median sensitivity to monotherapy for
178 each drug A (T_A) across all experiments. Measuring the response of a cell line to drug A in an
179 experiment by S_A , the drug is considered sensitive if $S_A \geq T_A$. We then compared the synergy of
180 a combination of drugs A and B in relation to the monotherapy sensitivity to both drugs, only one
181 drug, or neither drug.

182 In Test Set 1, we observe some improvement by DIPx in all three groups of monotherapy sensi-
183 tivity, with the highest performance in the group sensitive to both drugs (median $r = 0.48$), but they
184 are not statistically significant, see Figure 2f. In Test Set 2, TAIJI-M and DIPx performed similar in
185 the group with no sensitive drug (median $r = 0.21$ vs $r = 0.20$ by DIPx, p -value ~ 0.68). Interestingly,
186 we found that, while the performance of DIPx improved as the number of monotherapy-sensitive
187 drugs in a combination increased, the performance of TAIJI-M decreased, see Figure 2g. All predic-
188 tion results are provided in Supplementary Table S1.

189 **External validation of DIPx in the O'Neil dataset**

190 We used a similar computational approach to evaluate the prediction performance of DIPx in re-
191 lation to the sensitivity of the constituent monotherapies and the impact of unseen combinations
192 in the O'Neil dataset. As shown in Figure 3a, the performance of DIPx improved with an increasing
193 number of monotherapy-sensitive drugs in the combination, consistent with the results of Test Set
194 2 of the AZS data. The highest Spearman correlation between the predicted and observed scores
195 was seen in combinations with two sensitive drugs (median $r = 0.11$). In relation to the number
196 of drugs in a combination present in the training set, DIPx achieved better performance for com-

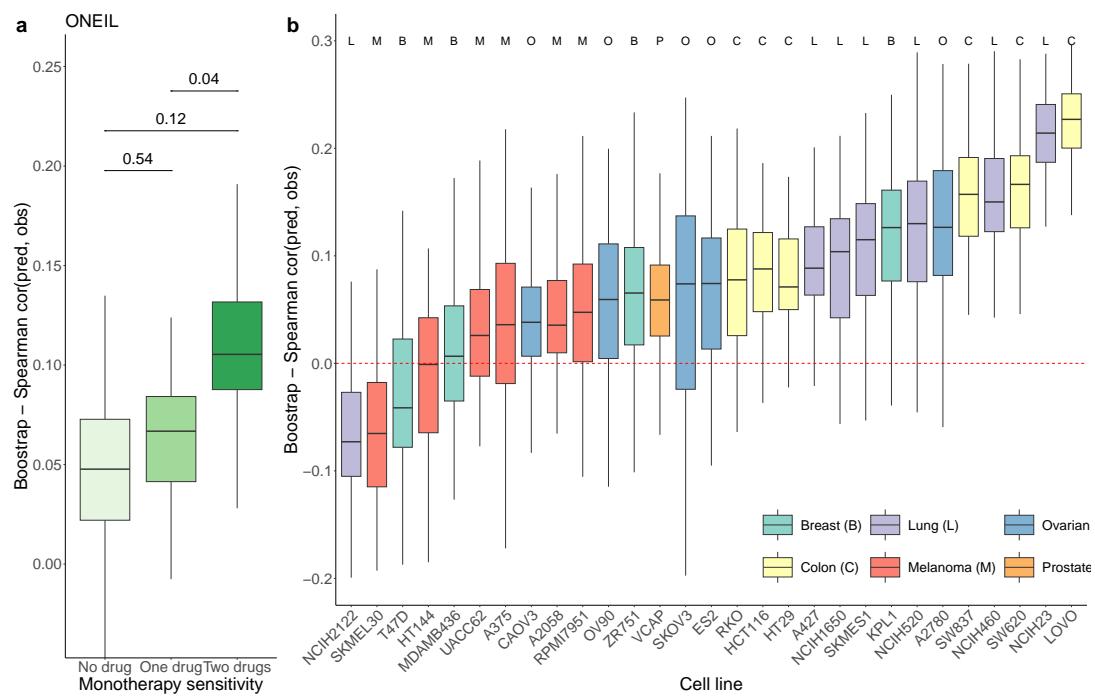


Figure 3. Prediction performance of DIPx in the O'Neil dataset. **a)** monotherapy sensitivity, **b)** 29 cell lines from 6 cancer tissues. The y-axis in all box plots shows the Spearman correlation between predicted and observed values in 100 bootstrap replicates.

Figure 3—figure supplement 2. Prediction performance of DIPx in the O'Neil dataset, grouped by unseen combinations.

197 binations with none or one drug in the training set (middle box plot, Figure 3 - figure supplement
198 2).

199 We also obtained TAIJI-M's results in the O'Neil dataset. The original version of TAIJI-M uses
200 gene expression, CNV, mutation, and methylation data. However, due to the lack of methylation
201 data in the O'Neil dataset, we retrained TAIJI-M by excluding the methylation features. According to
202 the final report of TAIJI in the challenge (<https://www.synapse.org/Synapse:syn5614689/wiki/396206>),
203 Guan et al. reported that methylation features do not contribute to prediction performance in the
204 post-challenge analysis. This means that retraining TAIJI-M without methylation data will not affect
205 the comparison between DIPx and TAIJI-M on the O'Neil dataset.

206 TAIJI-M relies on a gene-gene interaction network to calculate post-treatment gene expression.
207 This approach limits its applicability to new datasets, as TAIJI-M can only predict synergy scores
208 for drug combinations present in the training dataset. Among the set of drug combinations with
209 both drugs present in the training set, both DIPx and TAIJI-M perform poorly, with Spearman cor-
210 relations between predicted and observed synergy scores of 0.09 and 0.05, respectively. The poor
211 performance could be due to the limited number of drug combinations (42/583).

212 We also analyzed the prediction performance of DIPx in the 29 cell lines from 6 different can-
213 cer tissues (Figure 3b). Colon cancer (yellow boxplots) and lung cancer cell lines (purple boxplots)
214 showed better validation compared to cell lines from breast, ovarian, melanoma, and prostate
215 cancers.

216 **Inference of the mechanism of action based on PAS**

217 The use of PAS in DIPx allows us to infer the potential mechanisms of action of drug combi-
218 nations while maintaining the prediction performance of the model. For instance, in Test Set 1 of the
219 AZS data, DIPx suggests the involvement of ERBB2 signaling pathways in the Capivasertib + Sapi-
220 tinib combination, as illustrated by the top pathways depicted in Figure 1e and marked yellow in

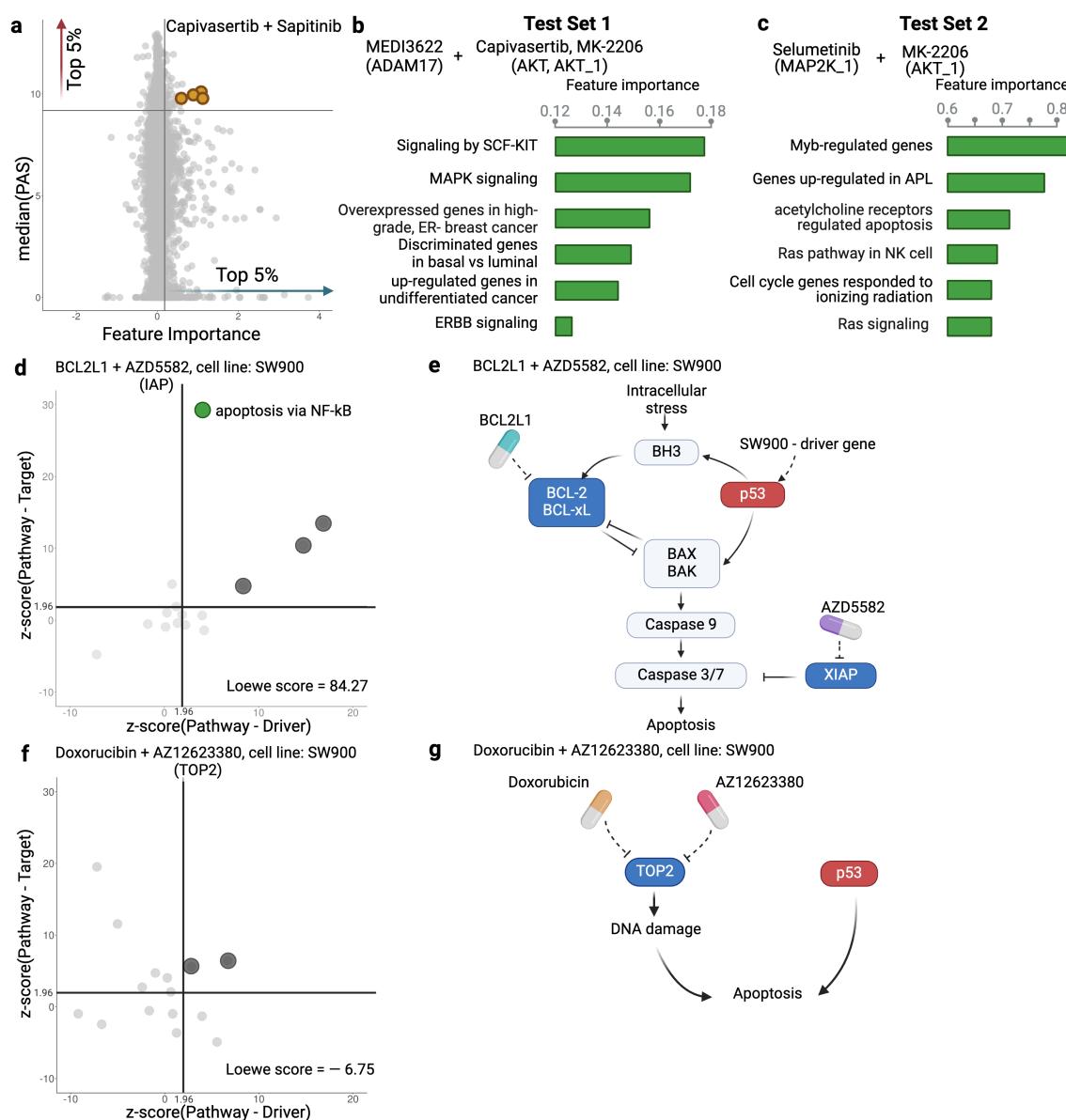


Figure 4. Inference of pathway importance scores in the AZS dataset. **a)** Scatter plot showing feature importance (x-axis) vs PAS (y-axis) for the Capivasertib + Sapitinib combination. Pathways with high PAS and feature importance (top 5%) are of particular interest. **b, c)** Top pathways contributing to the prediction of the combinations in Test Set 1 (b) and Test Set 2 (c). For each pathway, the bar plots show its feature importance. **d, f)** Functional interaction between the pathway vs driver genes (x-axis) and the pathway vs target genes (y-axis) of the top pathways suggested by DIPx in the SW900 cell line treated with synergistic combination BCL2L1 + AZD5582 (d) and the non-synergistic combination Doxorubicin + AZ12623380 (f). The z-score from network enrichment analysis (NEA) is a measure of functional interaction between two gene sets. A higher z-score indicates a stronger interaction compared to a random permutation of the network. The upper right quadrant (z-score > 1.96) represents pathways that are potentially interesting. **e, g)** Cartoon illustration of the potential pathways mediated by the synergistic combination of BCL2L1 + AZD5582 (e) and the non-synergistic combination Doxorubicin + AZ12623380 (g). This figure was created using [BioRender.com](https://biorender.com).

Figure 4—figure supplement 3. A cartoon illustration of the RAS pathway mediated by the Selumetinib + MK-2206 combination

Figure 4—figure supplement 4. Observed vs predicted inhibition in the SW900 cell line treated by BCL2L1 + AZD5582 and Doxorubicin + AZ12623380 combinations

Figure 4—figure supplement 5. Functional interaction between driver genes, target genes, and top pathways suggested by DIPx in the SW900 cell line treated with BCL2L1 + AZD5582.

Figure 4—figure supplement 6. Functional interaction between driver genes, target genes, and top pathways suggested by DIPx in the SW900 cell line treated with Doxorubicin + AZ12623380.

Figure 4—figure supplement 7. Top pathways contributing to the prediction of the MK2206 + Erlotinib combination in the O'Neil dataset

221 Figure 4a. This combination therapy has shown promise in overcoming resistance to anti-ERBB2
222 monotherapy in HER2+ breast cancer (**Fujimoto et al., 2020**), and ERBB2 has been identified as a
223 key biomarker associated with synergistic responses to this combination in the AZS DREAM Chal-
224 lenge study (**Menden et al., 2019**).

225 Figure 4a further shows the distribution of feature importance versus PAS for all pathways for
226 Capivasertib + Sapitinib combination. The feature importance value (x-axis) is calculated using the
227 permutation method of **Ishwaran and Lu (2019)**. The PAS value (y-axis) represents the median
228 PAS across samples treated with this combination in two test sets. Our focus is on pathways with
229 high feature importance (e.g., the top 5%) as well as highly activated (top 5% PAS). Therefore, the
230 top-right section of Figure 4a is the interesting region. We present additional examples to further
231 demonstrate the capabilities of DIPx. Figure 4b gives the top pathways of MEDI3622, an ADAM17 in-
232 hibitor, in combinations with AKT inhibitors including Capivasertib and MK-2206. These ADAM17 +
233 AKT combinations target multiple parts of the PI3K/AKT pathway through ERBB activation (**Menden**
234 **et al., 2019**), which aligns with the potential pathway candidates suggested by DIPx.

235 One of the key strengths of DIPx is its ability to infer potential mechanisms of both known and
236 novel drug combinations, even in cases where limited biological or clinical information is available.
237 This capability is particularly valuable for new combinations that have not been included in the
238 training set. For instance, in Figure 4c, we present the key pathways identified for the Selumetinib
239 + MK-2206 combination from Test Set 2 of the AZS data. We observe the involvement of RAS sig-
240 naling, with Selumetinib targeting MEK and MK-2206 targeting AKT, as shown in Figure 4-figure
241 supplement 3. A recent clinical study has used Selumetinib + MK-2206 to target downstream com-
242 ponents of the RAS pathway (**Chung et al., 2017**).

243 If the drugs in a combination have the same target, the efficacy of the combination is likely
244 similar to that of each individual drug at higher doses, i.e., they will only have an additive effect.
245 So it seems reasonable to hypothesize that a synergistic combination is more likely to occur when
246 the two drugs have different targets (**Chen et al., 2015**). But how should the targets be related to
247 each other? To investigate this, we examine the pathways suggested by DIPx. First, we choose a
248 synergistic combination of BCL2L1 + AZD5582 in the SW900 cell line for further illustration. The
249 contour plot of the BCL2L1 + AZD5582 inhibition in the SW900 cell line is illustrated in Figure 4 -
250 figure supplement 4a. We first collected the top 15 pathways (ranked by feature importance) for
251 this BCL2L1 + AZD5582 combination suggested by DIPx. The full list of these pathways is shown in
252 Figure 4 - figure supplement 5. Figure 4d illustrates the functional interaction between the genes
253 of the top 15 pathways and the driver genes of the SW900 cell line (x-axis); the target genes of the
254 combination BCL2L1 + AZD5582 (y-axis). To assess the strength of this interaction, we used the
255 network enrichment analysis (NEA) (**Alexeyenko et al., 2012**), which provides z-score, an enrich-
256 ment score, indicating the degree of interaction. A higher z-score reflects a stronger interaction
257 between the two gene sets. The top pathways exhibiting high functional interaction with both the
258 driver genes and target genes (z-score > 1.96) are particularly notable, located in the upper right
259 quadrant of Figure 4d. In particular, the apoptosis pathway via NF- κ B (highlighted in green) has
260 the highest pathway-target interaction among these pathways. Figure 4e shows the cartoon illus-
261 tration of the pathway in which the drug BCL2L1 targets BCL-xL and AZD5582 targets XIAP. This
262 suggests an explanation for the observed synergy between the two drugs. Thus, it appears that in
263 this case we get synergy when the drugs target different parts of a driving pathway, either directly
264 or via other functional interactions.

265 As a negative control, we examine the non-synergistic combination Doxorubicin + AZ12623380,
266 which targets the same gene TOP2; see Figure 4f and g and Figure 4 - figure supplement 4b. We
267 similarly obtain 15 top-ranking pathways according to feature importance, but now we do not
268 expect to see anything obviously relevant to the SW900 cell line (more details in Figure 4 - fig-
269 ure supplement 6). Some pathways that have a high functional interaction with the target genes
270 (upper-left quadrant) have little interaction with the drivers. There are no clearly outlying points in
271 the upper-right quadrant; the two pathways near the boundary are (i) Shen_Smarca2_targets_up,

272 containing genes whose expression negatively correlated with the expression of the SMARCA2
273 gene in prostate cancer samples, discovered in relation to androgen-induced proliferation in the
274 prostate; and (ii) Kokkinakis_Methione_deprivation_48hr_up, which contains up-regulated genes
275 in melanoma cell-line MEWO cells after 48h of methionine deprivation. They do not appear to be
276 relevant for the lung cancer cell line SW900.

277 We also applied DIPx to identify potential activated pathways in the O'Neil dataset. Figure 4 -
278 figure supplementary 7 highlights the key pathways contributing to the MK2206 + Erlotinib com-
279 bination. The most significant pathway is 'Metabolism by CYP Enzymes.' Previous studies (*Molife*
280 *et al., 2014*) have reported that both MK2206 and Erlotinib are metabolized by the CYP enzyme
281 family, further supporting this finding.

282 **PAS captures the functional interaction of drug targets**

283 In Figure 5a, using the AZS data, we compare the observed drug synergy of combinations of two
284 drugs that share some target genes vs those that do not share any target genes. No significant
285 differences were observed (p -value > 0.72), suggesting that non-overlapping drugs in terms of
286 their targets do not necessarily result in improved drug synergy.

287 However, we also observed synergy when the two drugs target different genes in the same
288 pathway. More generally, we hypothesize that synergistic effects occur when the targets have
289 functional interaction. As before, the functional interaction is assessed using NEA (*Alexeyenko*
290 *et al., 2012*), where a higher z-score value indicates a stronger functional interaction between the
291 two drugs. Figure 5b shows the observed drug synergy (y-axis) in the AZS data for the four groups
292 defined by the quartile values of the z-scores (x-axis). It indicates that combinations with higher
293 functional interaction are more likely to achieve higher drug synergy, with the highest z-score group
294 ($z \in (2.97, 29.3]$) exhibiting the most favorable drug synergy (median Loewe score = 10.34).

295 However, when added to the prediction model, the functional interaction z-score did not im-
296 prove the prediction of synergy (data not shown). Statistically, this can happen if PAS already cap-
297 tures the functional interaction information. To show this, using the AZS training data, we trained a
298 prediction model using PAS as the feature and the functional interaction z-score as the output. We
299 then evaluated the performance of the model in the test set. As shown in Figure 5c, we observed a
300 significant correlation between the predicted and observed z-scores, with a Spearman correlation
301 coefficient of 0.46. This explains why the functional interaction does not give additional predictive
302 power in our model. All medians of predicted and observed Loewe scores related to Figure 5c are
303 provided in Supplementary Table S2.

304 **Discussion**

305 We have developed and validated DIPx, an advanced computational model that incorporates gene
306 expression and mutation profiles to predict synergistic drug combinations. DIPx performs well
307 against the best performing method in the AstraZeneca-Sanger DREAM Challenge. Through the use
308 of tumor- and patient-specific pathway activation scores, DIPx also provides valuable information
309 on the potential underlying pathways associated with an observed synergistic drug interaction. In
310 addition to rigorous validation using the AZS dataset, DIPx is further validated on the independent
311 O'Neil dataset. This comprehensive validation ensures the robustness and reliability of DIPx in
312 predicting drug synergy across different cancers.

313 We have compared the performance of DIPx to TAIJI-M, the molecular-based model of TAIJI (*Li*
314 *et al., 2018*). The extra information from the use of monotherapy data in TAIJI is rather small, ap-
315 proximately 10% increase in the overall Spearman correlation (*Li et al., 2018*), and, of course, we
316 could also use such data in DIPx, but it is more convenient and informative to focus the compar-
317 isons on prediction based on molecular data alone. For instance, this allows us to compare DIPx
318 with TAIJI-M on the prediction of combinations that contain untrained drug(s), which is not possible
319 with the full TAIJI.

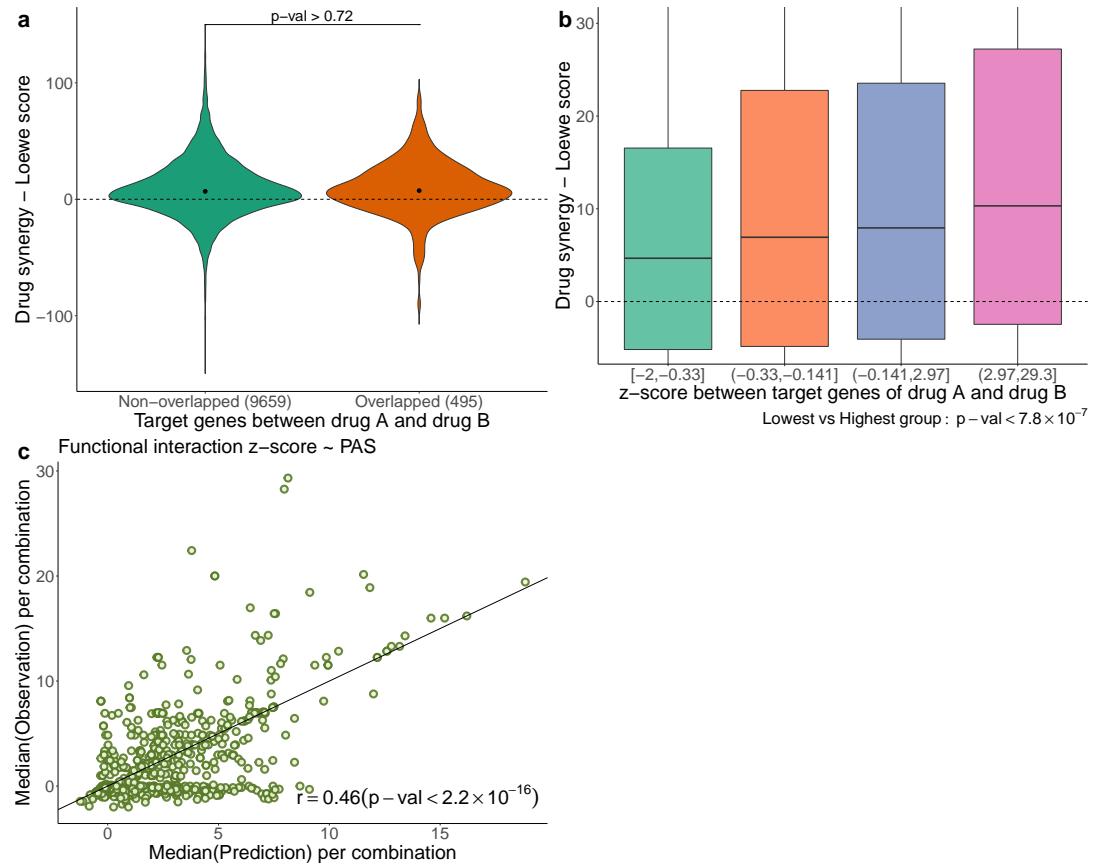


Figure 5. **a)** Comparison of drug synergy between combinations (drug A + drug B) with vs without overlapping target genes. The numbers in parentheses show the sample sizes of each group. **b)** Drug synergy between four groups in relation to increased functional interaction between the target genes of the two drugs. **c)** Comparison between the observed functional interaction (z-score in the network enrichment analysis) and the predicted z-score by PAS.

320 The recent availability of large-scale drug combination assay data has allowed the development
321 of realistic prediction models for drug synergy. These datasets offer a substantial number of sam-
322 ples encompassing hundreds of combinations, allowing for extensive validation studies. However,
323 it is important to note that these datasets were generated using different protocols and drug
324 screening techniques. For instance, the AZS data used a 5-by-5 concentration matrix, while the
325 study by O’Neil et al. used a 4-by-4 format. In addition, there is limited overlap in the cell lines
326 used among the datasets. These differences pose challenges to the proper validation of predic-
327 tion methods (*Menden et al., 2019*). Exploring new datasets or applying novel techniques in the
328 training process (e.g., transfer learning) will be our future direction to improve the performance of
329 DIPx.

330 A particular strength of our study is that we use the best-performing method in the Challenge
331 as a benchmark. This is a convenient and robust benchmarking, as there were 160 teams that
332 participated in the Challenge (73 teams submitted in the final round). Altogether, these teams
333 used practically all of the commonly used machine learning tools; see the summary in *Menden et al.*
334 (*2019*). Another strength is our use and validation of the confidence score metric, which captures
335 the statistical uncertainty in the predicted synergy by a single number. This is more convenient
336 for clinical interpretation than the standard prediction interval, because there is a target level for
337 which a combination is considered synergistic, so the score measures our confidence in achieving
338 the target.

339 Despite promising results, our study has several limitations. First, the use of cell lines as training
340 and validation samples from the AZS and O’Neil datasets may not fully capture the heterogeneity
341 present in actual tumors. Second, the computation of PAS relies solely on the primary target genes
342 of the drug combinations, potentially disregarding valuable information from non-primary targets.
343 There could also be off-targets that we do not know about. This limitation might lead to the loss
344 of information about the broader effects of drug combinations. Third, cancer is a heterogeneous
345 disease that occurs in many tissues. Even within a single tissue, cancer exhibits distinct (molecular)
346 subtypes with varying biological mechanisms and clinical outcomes. Since DIPx was developed
347 using pan-cancer datasets, it may not be optimal for tissue-specific predictions. Our future plan
348 for DIPx would be building cancer-specific models.

349 Last but not least, prediction of previously untrained combinations remains a great challenge.
350 The worst case is for combinations of drugs that were not previously trained, with the Spearman
351 correlation only around 0.1. However, from a clinical perspective, it is perhaps more realistic to
352 look for combinations among drugs previously trained in monotherapy or in other combinations.
353 Improving the prediction for the combination of such drugs would be worthwhile.

354 Methods and Materials

355 Pathway activation score for drug combinations

356 Pathway activation scores (PASs) are the key features in DIPx. The PAS of pathway P in cell line C
357 is calculated for each drug combination (drug A + drug B) and pathway P. Genes in pathway P are
358 grouped into three subgroups: (a) G_u , which includes all the target genes of drugs A and B, as well
359 as the upstream genes of pathway P; (b) G_d , which includes the downstream genes of pathway P;
360 and (c) G_{dr} , which consists of all the driver genes of cell line C in pathway P. In the example of the
361 ERBB pathway targeted by Capivasertib + Sapitinib. (Figure 1b), G_u consists of ERBB, PI3K, and also
362 AKT; G_d contains MTOR, RAS and MAPK, while G_{dr} includes TP53 and ERBB2.

363 The score for upstream activity (PAS_u) is calculated by the sum of mRNA expression for genes
364 in G_u . Similarly, the scores for the downstream activity (PAS_d) and the set of driver genes (PAS_{dr})
365 are calculated from G_d and G_{dr} . In practice, the genes of the $N = 4,762$ curated human pathways
366 are provided from the MsigDB database (version 6.2) (*Liberzon et al., 2015*). The target genes of
367 the drugs are collected from the AZS dataset and extended from the DrugBank database (*Wishart*
368 *et al., 2018*) and the ChEMBL database (*Zdrazil et al., 2024*). The extraction of the driver genes of

369 the cell lines is described in the Datasets section.

370 **A pathway based model for drug synergy prediction**

371 The training features of DIPx consist of three components: upstream activity (PAS_u), downstream
372 activity (PAS_d), and driver genes (PAS_{dr}), as shown in Figure 1b. The final training matrix has a size
373 of K experiments by 14,286 PASs, where each row corresponds to a specific experiment (drug A +
374 drug B, cell line C).

375 To address potential sparsity in the training matrix caused by pathways with no target or driver
376 genes, we explored an alternative model with $N = 4,762$ additional features. Each feature corre-
377 sponds to a pathway P and is calculated as $S(g) * (w_1 + w_2)$, where $S(g)$ represents the sum of mRNA
378 expression for all genes in pathway P, and w_1 and w_2 denote the functional interactions between
379 gene sets: (pathway genes \leftrightarrow target genes) and (pathway genes \leftrightarrow driver genes), respectively. The
380 functional interactions were estimated using NEA and converted into normal probability scores for
381 w_1 and w_2 . The feature value is zero only when the pathway lacks both targets and driver genes,
382 as well as any interactions with drug targets and driver genes. Additionally, we incorporated the
383 NEA enrichment score between target genes and driver genes into the final matrix. Despite adding
384 these new features, the alternative model did not exhibit any significant improvements in predic-
385 tive power (data not shown).

386 For the predictor, we used the random forest algorithm implemented in the randomforestRSC
387 package (with default parameters) in R version 4.0.4. During the development of DIPx, we exper-
388 imented with various machine learning methods, such as the support vector machine (SVM) and
389 the elastic net. However, we found that these other methods yielded comparable results and that
390 tuning their parameters did not significantly improve prediction performance while requiring ex-
391 tensive additional computations (data not shown). The random forest algorithm in the random-
392 forestRSC package also offers multiple options to calculate the importance of features. In this
393 study, we used the permutation (or Breiman-Cutler) method (*Ishwaran and Lu, 2019*) to infer the
394 importance of each PAS.

395 The confidence score (CS) is used to assess the statistical quality of synergy prediction; see
396 Section 5.6 in *Pawitan (2001)* for the confidence concept in general. First, as previously defined
397 for example in (*Menden et al., 2019*), a combination is considered synergistic if the Loewe score
398 is greater than or equal to 20. For each sample s , we have the actual predicted synergy P_s (the
399 output of the regression random forest model). We then generate $N_b = 100$ bootstrap replicates
400 of the training data and obtain the bootstrap predictions for the sample: $P_{s1}^*, \dots, P_{s100}^*$. The CS of P_s
401 is defined as follows:

$$CS(P_s) = \frac{\#(P_{si}^* \geq 20)}{N_b}.$$

402 The bootstrap replicates are also used to evaluate the standard errors (se) of the Spearman
403 correlation between the observed and predicted synergy scores in the test sets. The 95% confi-
404 dence intervals are computed by the usual formula: $\hat{\rho} \pm 1.96se$, where $\hat{\rho}$ is the observed Spearman
405 correlation. Though less frequently used, the bootstrap can also be used for bias correction (Paw-
406 itan, 2001, Section 5.2). Bias occurs if there is a nontrivial gap between the observed estimate and
407 the mean of the bootstrap replications and bias correction is used to adjust the original estimate.
408 Theoretically,

$$Bias = E_F(\hat{\rho}) - \rho,$$

409 where F is the underlying data distribution. So, the bias-corrected estimate should be

$$\hat{\hat{\rho}} = \hat{\rho} - Bias$$

410 In practice, the bias is estimated by

$$\begin{aligned}\widehat{\text{Bias}} &= E_{\hat{F}}(\hat{\rho}) - \hat{\rho} \\ &= \text{average}\{\hat{\rho}_1^* \dots \hat{\rho}_n^*\} - \hat{\rho},\end{aligned}$$

411 where $\hat{\rho}_1^* \dots \hat{\rho}_n^*$ are the bootstrap replicates of $\hat{\rho}$. When the estimated bias is negative, as we ob-
412 served for DIPx, the bias-corrected estimate is shifted upward. And vice versa, if the bias is positive,
413 as observed for TAIJI-M, the corrected estimate is shifted downward.

414 Computing p-values using the bootstrap

415 To compare the predictive performance of DIPx and TAIJI-M (e.g., as shown in Figure 2), the boot-
416 strap method can be used to compute a confidence interval for differential correlation in the test
417 set. However, there is a close relationship between p-values and confidence intervals; see **Pawitan**
418 (2001), Chapter 5; particularly p.134. In this case, we compute the p-value as follows:

- 419 (1) For each bootstrap replication, (i) compute the Spearman correlation between the predicted
420 and observed scores in the test set for DIPx and TAIJI-M. Denote this by r_1 and r_2 . (ii) Compute
421 the difference in the Spearman correlations $d = (r_1 - r_2)$.
- 422 (2). Repeat the bootstrap $n = 100$ times.
- 423 (3). Compute the minimum of these two proportions: proportion of $d < 0$ or proportion of $d > 0$. To
424 overcome the limited bootstrap sample size, we use the normal approximation in computing
425 the proportions.
- 426 (4). The two-sided p-value = $2 \times$ the minimum proportion in (3).

427 Datasets

428 **AstraZeneca-Sanger (AZS) DREAM Challenge dataset** The AZS DREAM Challenge is a rigorous
429 competition in the effort to systematically develop and validate drug synergy prediction methods.
430 Indicating the strong interest in the topic, 160 international teams (Menden et al., 2019) partic-
431 pated in the Challenge. It was organized into two subchallenges: i) Prediction for known (tested)
432 combination and ii) Prediction for unknown (untested) drug combinations. The final dataset com-
433 prised 11,576 experiments from 85 cell lines and 910 combinations. The gene expression data of
434 these cell lines was obtained from Affymetrix microarray (Menden et al., 2019). However, to en-
435 sure consistency between the AZS dataset and the Oneil dataset (O'Neil et al., 2016) (which did not
436 provide gene expression profiles of cell lines), we utilized gene expression data from the Cancer
437 Cell Line Encyclopedia (CCLE) cohort (Ghandi et al., 2019).

438 Out of the 85 cell lines, we identified 75 cell lines with available gene expression data in the
439 CCLE cohort, resulting in a total of 10,154 experiments involving 903 combinations used in our
440 study. Supplementary Table S3 shows the list of 75 cell lines. For the validation of the prediction
441 model, the data were split into a training set ($n = 2,060$) and two test sets ($n = 963$ and 7,131)
442 according to subchallenges 1 and 2, respectively. The first test set contains experiments from 167
443 combinations (of 69 single drugs) that are also in the training set. The second test set includes
444 experiments with 736 drug combinations that are not in the training set.

445 We collected gene expression data of 75 cell lines, measuring the transcript per million (TPM) of
446 37,222 genes, of the CCLE cohort downloaded from the DepMap Portal (Tsherniak et al., 2017). The
447 gene expression data was logarithmically transformed to the base 2 scale for downstream analysis.
448 Additionally, we obtained potential driver genes for these cell lines, including both mutations and
449 fusion genes, from the DepMap Portal. The portal provides information on mutations in 1,637
450 protein-coding genes associated with cancer biology in a collection of 1,030 cell lines.

451 To filter the list of mutations, we focused on those occurring in at least 2.5% of the total cell lines.
452 Subsequently, we extracted the list of mutations specific to the 75 cell lines under investigation.
453 For fusion genes, we focused on those present in the Miltelman database (Mitelman, 2022) and
454 occurring at least twice, considering them as relevant for our analysis. The final list of potential

455 driver genes for the 75 cell lines can be found in Supplementary Table S3. On average, each cell
456 line had a median of 29 potential driver genes.

457 For the drug synergy data, we used a 5-by-5 concentration matrix provided by the Challenge.
458 Drug synergy values were estimated using the Loewe reference model from Combenefit (*Di Veroli*
459 *et al.*, 2016).

460 **ONeil dataset** ONeil dataset is a large-scale drug synergy screening dataset from Merck&Co com-
461 pany (*O'Neil et al.*, 2016). A total of 23,062 experiments with 583 unique drug combinations (38
462 monotherapy drugs) was carried out on 38 cancer cell lines by a 4-by-4 drug concentration matrix.
463 Out of 38 cell lines, we found 29 cell lines with available gene expression data from the DepMap
464 Portal. The detail of 29 cell lines is described in Supplementary Table S4. The gene expression data
465 of 37,222 genes from 29 cell lines, as well as the driver genes of these cell lines, were collected from
466 the DepMap Portal using the same procedure as in the AZS dataset. The original release of this
467 dataset provides only the raw data on drug synergy. Here, we calculated the Loewe synergy score
468 for each experiment using Combenefit (*Di Veroli et al.*, 2016). In total, we obtained 16,907 exper-
469 iments for 583 combinations in 29 cell lines for further analysis. Drug targets of 38 monotherapy
470 drugs were collected from the DrugBank database (*Wishart et al.*, 2018) and the ChEMBL database
471 (*Zdrazil et al.*, 2024). The original names of all pathways mentioned in the manuscript are listed in
472 Supplementary Table S5.

473 **Data Availability**

474 The implementation of DIPx, and related data are publicly available in <https://www.github.com/tracquangthinh/DIPx>. Drug synergy data are available from their original studies: Synapse database
475 at synapse.org/DrugCombinationChallenge for the AZS dataset (*Menden et al.*, 2019), raw data from
476 the supplementary data for the ONeil dataset (*O'Neil et al.*, 2016). The implementation of TAIJI-M
477 as the molecular model is available at <https://github.com/GuanLab/TAIJI/>.
478

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485 data access.

486 **Conflict of interest statement.**

487 The authors declare no competing interests.

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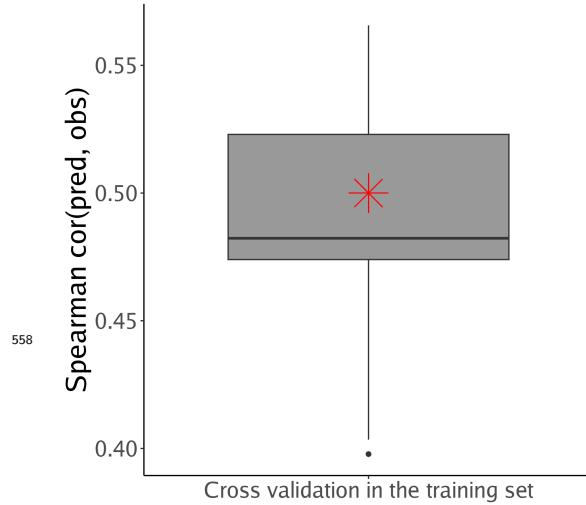


Figure 2—figure supplement 1. Cross-validation of DIPx on the training set of the ASZ DREAM dataset. The y-axis of the boxplot represents the Spearman correlation between predicted and observed values across ten folds. The red star indicates the corresponding DIPx correlation achieved in Test Set 1.

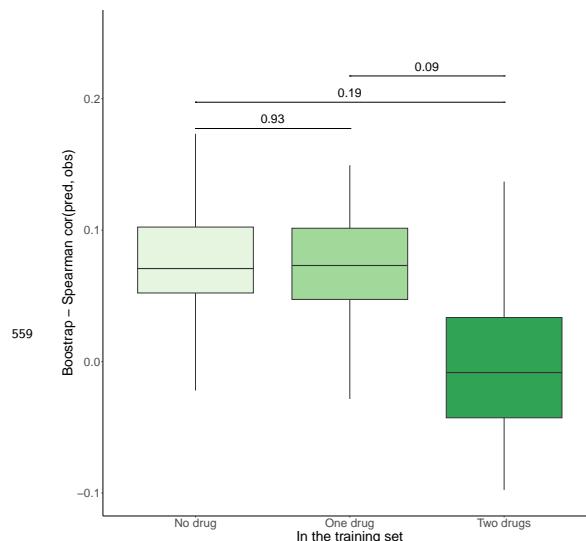


Figure 3—figure supplement 2. Prediction performance of DIPx in the O'Neil dataset, grouped by unseen combinations in the training set (x-axis). The y-axis in all box plots shows the Spearman correlation between predicted and observed values in 100 bootstrap replicates.

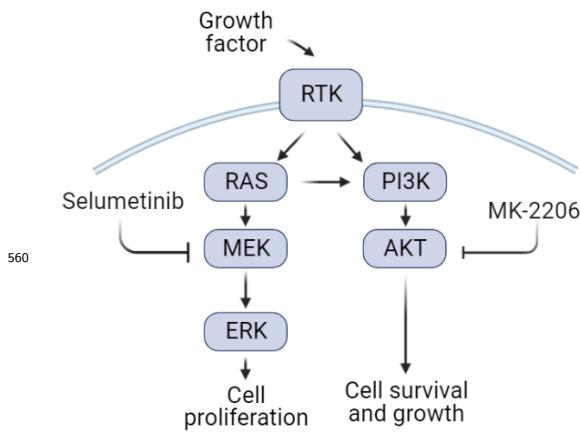


Figure 4—figure supplement 3. A cartoon illustration of the RAS pathway mediated by the Selumetinib + MK-2206 combination

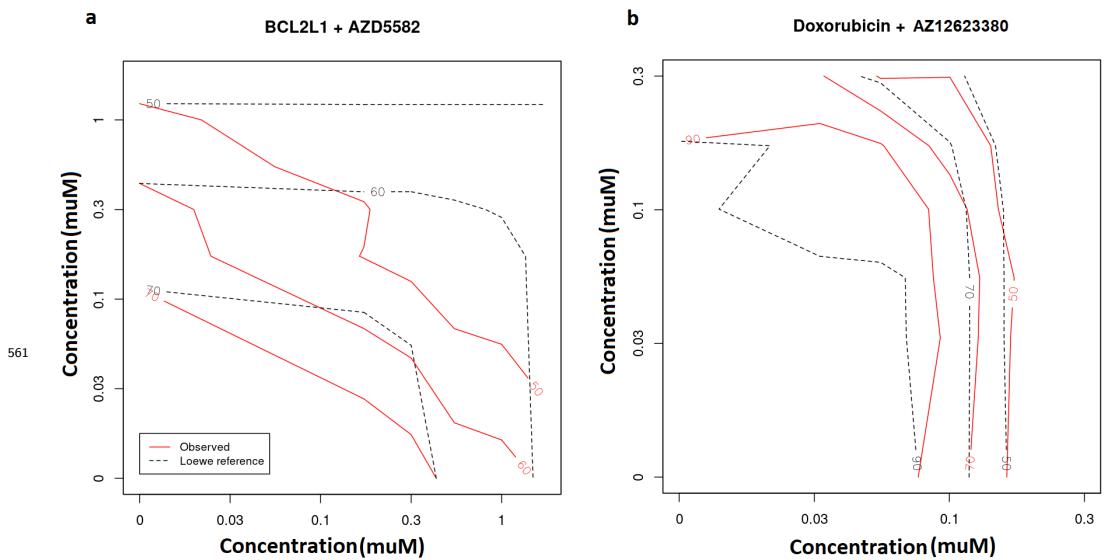


Figure 4—figure supplement 4. Observed (red lines) vs predicted inhibition (black, dash lines) from Loewe reference model in the SW900 cell line treated by the synergistic BCL2L1 + AZD5582 combination (a) and the non-synergistic Doxorubicin + AZ12623380 combination (b). The number in each line presents the percentage of inhibition..

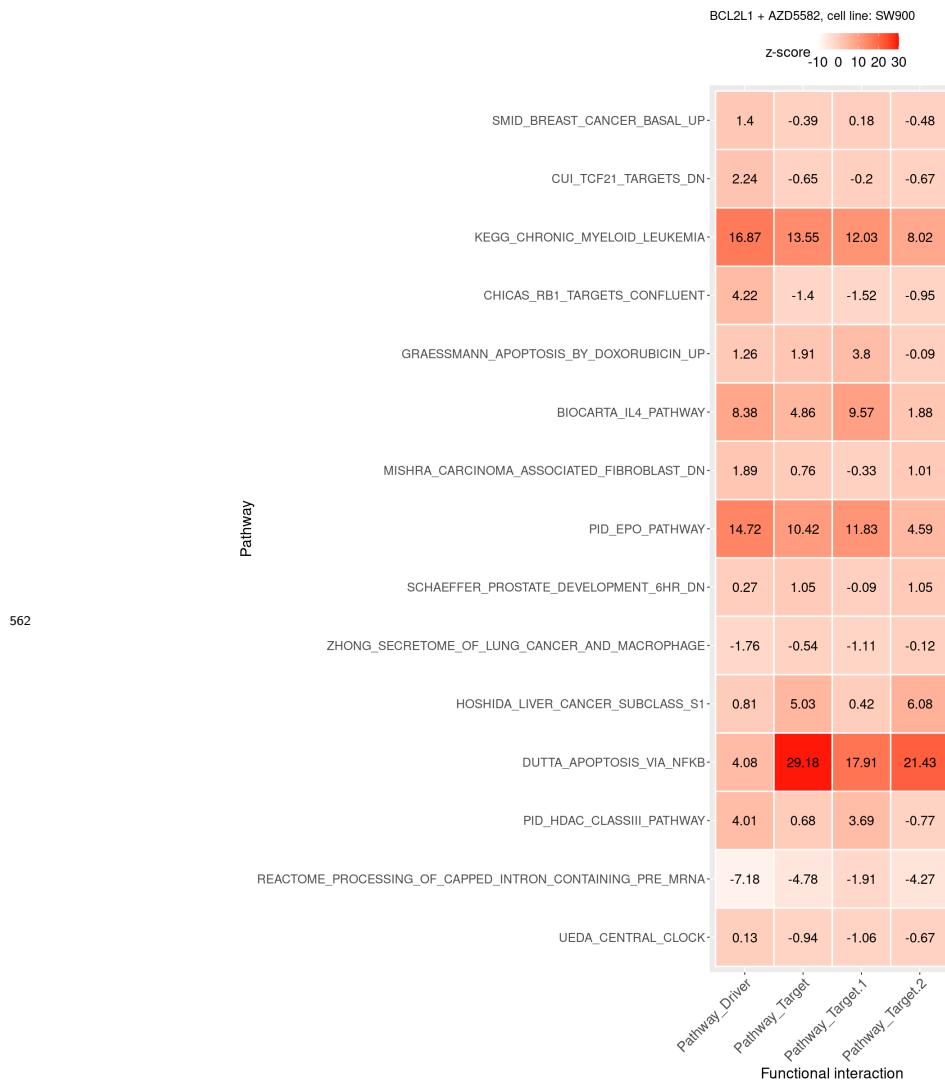


Figure 4—figure supplement 5. Functional interaction (x-axis) between the pathway vs driver genes (1st column), the pathway vs all target genes (2nd), the pathway vs BCL2L1 target genes (3th), and the pathway vs AZD5582 target genes (4th) of the top pathways suggested by DIPx in the SW900 cell line treated with synergistic combination BCL2L1 + AZD5582.

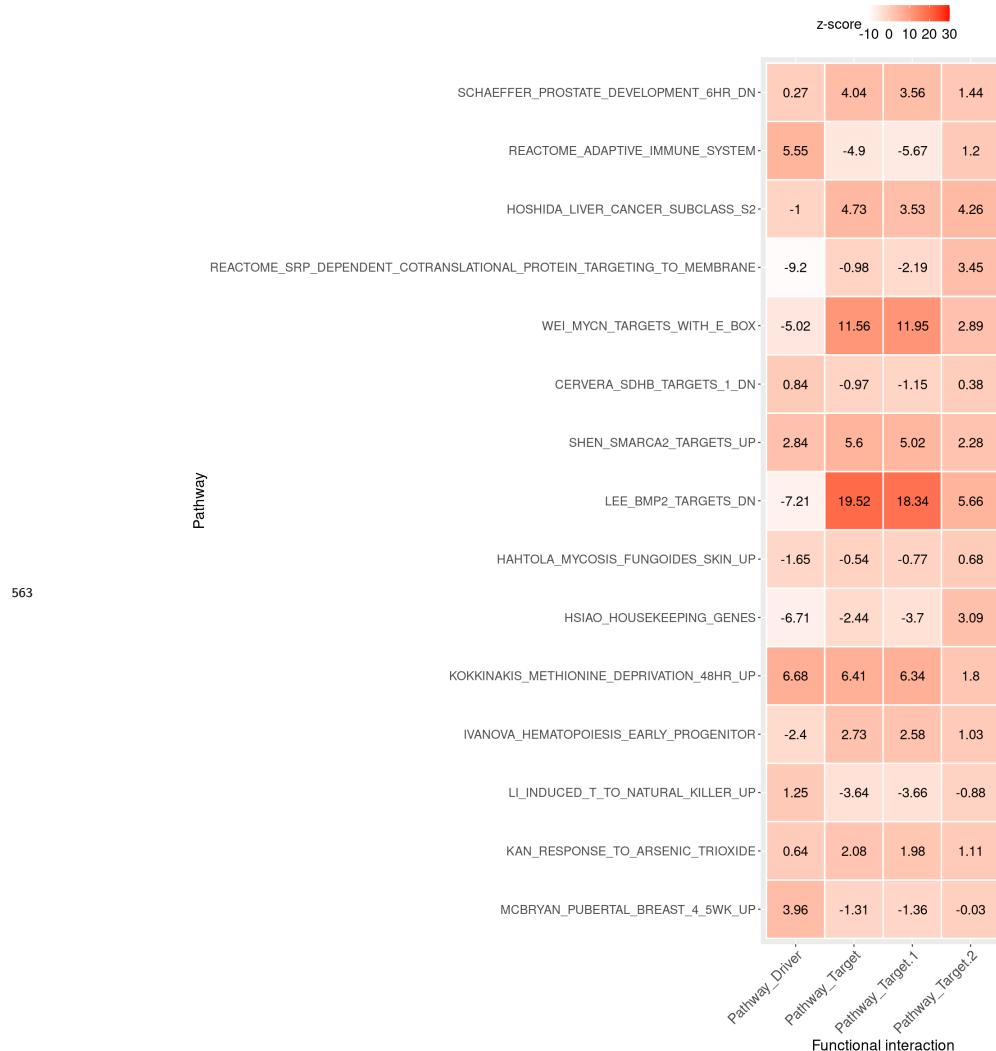


Figure 4—figure supplement 6. Functional interaction (x-axis) between the pathway vs driver genes (1st column), the pathway vs all target genes (2nd), the pathway vs Doxorubicin target genes (3th), and the pathway vs AZ12623380 target genes (4th) of the top pathways suggested by DIPx in the SW900 cell line treated with non-synergistic combination Doxorubicin + AZ12623380.

Dataset: ONEIL

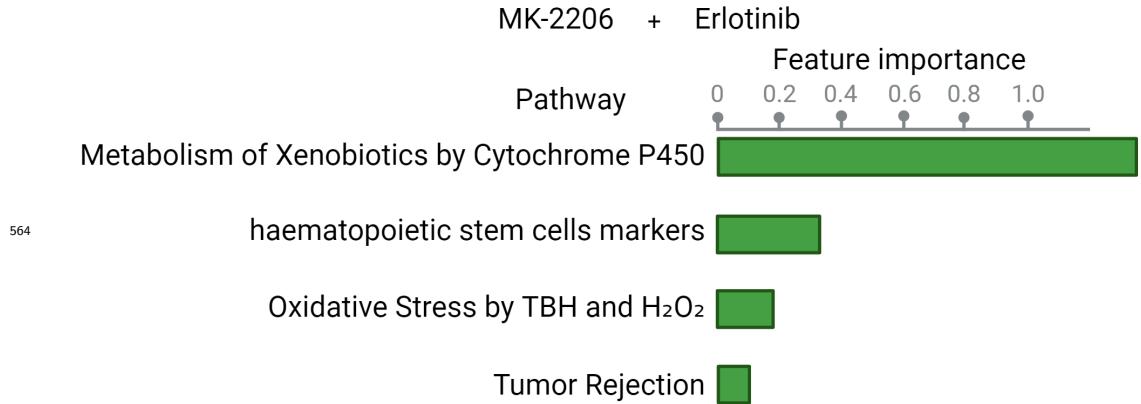


Figure 4—figure supplement 7. Top pathways contributing to the prediction of the MK2206 + Erlotinib combination in the ONEIL dataset.