

Heat Stable and Intrinsically Sterile Liquid Protein Formulations

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Abstract

Over 80% of biologic drugs, and 90% of vaccines, require temperature-controlled conditions throughout the supply chain to minimize thermal inactivation and contamination. This cold chain is costly, requires stringent oversight, and is impractical in remote environments. Here, we report chemical dispersants that non-covalently solvate proteins within fluorous liquids to alter their thermodynamic equilibrium and reduce conformational flexibility. This generates non-aqueous, fluorine-based liquid protein formulations that biochemically rigidify protein structure to yield thermally stable biologics at extreme temperatures (up to 90°C). These non-aqueous formulations are impervious to contamination by microorganismal pathogens, degradative enzymes, and environmental impurities, and display comparable pre-clinical serum half-life and safety profiles to standard saline protein samples. As a result, we deliver a fluorochemical formulation paradigm that may limit the need for cold chain logistics of protein reagents and biopharmaceuticals.

Main

Environmentally sensitive proteins, which include vaccines, hormones, cytokines, enzymes, and antibodies, require temperature control throughout the supply chain to avoid thermal inactivation, enzymatic degradation, oxidative damage and microorganismal contamination¹⁻³. Maintaining cold chain logistics is expensive (>\$58 billion projected by 2026)⁴, and failures can lead to significant patient harm. Several strategies have sought to address the thermal instability of proteins via sequence engineering^{5,6}, immobilization within synthetic scaffolds^{7,8}, and addition of molecular stabilizers^{9,10}. While these methods have achieved success, they are not broadly applicable across protein classes and must be empirically tailored to the specific biologic of interest, at considerable effort and cost. Conversely, removing water to create lyophilized powders improves thermal stability as, in the dry state, proteins are forced to occupy a static structure with restricted polypeptide chain mobility¹¹. However, removal of the solvation shell can lead to protein crowding and irreversible aggregation, making drying methods unsuitable for many proteins. In all cases, cold storage is required to maintain product sterility and minimize protein degradation.

Water solvent molecules are the medium through which these adverse unfolding, inactivation, and contamination processes occur. During thermal denaturation, for example, increased kinetic energy of water molecules disrupts the intramolecular hydrogen bonds, hydrophobic interactions, and Van der Waals forces that maintain the protein's folded state. This, combined with increasing conformational entropy of extended polypeptide chains, causes a loss of protein secondary, tertiary, and quaternary structure. Solvation of unfolded regions by water further promotes structural collapse and stabilizes the denatured, inactive state^{12,13}.

Herein, we explore the replacement of traditional aqueous solvents in protein formulations with a non-aqueous and nonpolar perfluorocarbon (PFC) liquid. Unlike water, PFCs rarely accept hydrogen bonds, due to their low polarizability, and are too bulky to readily penetrate the internal hydrophobic structures of proteins. Such a solvent restricts the conformational plasticity of proteins and subsequently alters their thermodynamic equilibrium. However, proteins are generally insoluble in PFC liquids. To overcome this immiscibility, we identified a privileged fluorochemical compound that promiscuously adsorbs to protein surfaces to provide a fluorophilic coating that enables the protein's efficient dispersion within non-aqueous PFC liquids, without disrupting the structure or function of the biologic. We show this strategy yields liquid protein formulations that remain stable and bioactive at temperatures up to 90°C, while maintaining a solvation shell at the protein surface to avoid irreversible aggregation. Additionally, these non-aqueous samples cannot be contaminated by bacterial and fungal pathogens that require aqueous solvents for survival, and demonstrate an enhanced resistance to degradation by proteolytic enzymes and noxious oxidative compounds relative to aqueous controls.

Protein Dispersant Characterization

We initially set out to identify a reagent that promiscuously binds to protein surfaces to create a generalizable coating strategy suitable for PFC dispersion (**Fig. 1a**). Our prior work showed that perfluorononanoic acid (PFNA) noncovalently adsorbs to proteins via hydrogen bonding between the ligand carboxylate and solvent accessible backbone and amino acid side chains of the protein (**Fig. 1b**)¹⁴. We therefore sought to validate the PFC dispersion performance of PFNA using five model proteins: green fluorescent protein (GFP), bovine serum albumin (BSA), human hemoglobin (Hb), β -Galactosidase (β -Gal) and rabbit serum immunoglobulin (IgG). This group was selected as it represents a broad variety of protein classes, including carrier proteins, enzymes, and antibodies. Using perfluorohexane (PFH) as an exemplary fluorous solvent, solubilization assays demonstrated PFNA-mediated dispersion ranged from 69% – 100% efficiency, depending on protein identity (**Fig. 1c**). To identify the biophysical determinants of protein dispersion into PFH we used *in silico* protein-ligand docking to compare PFNA's

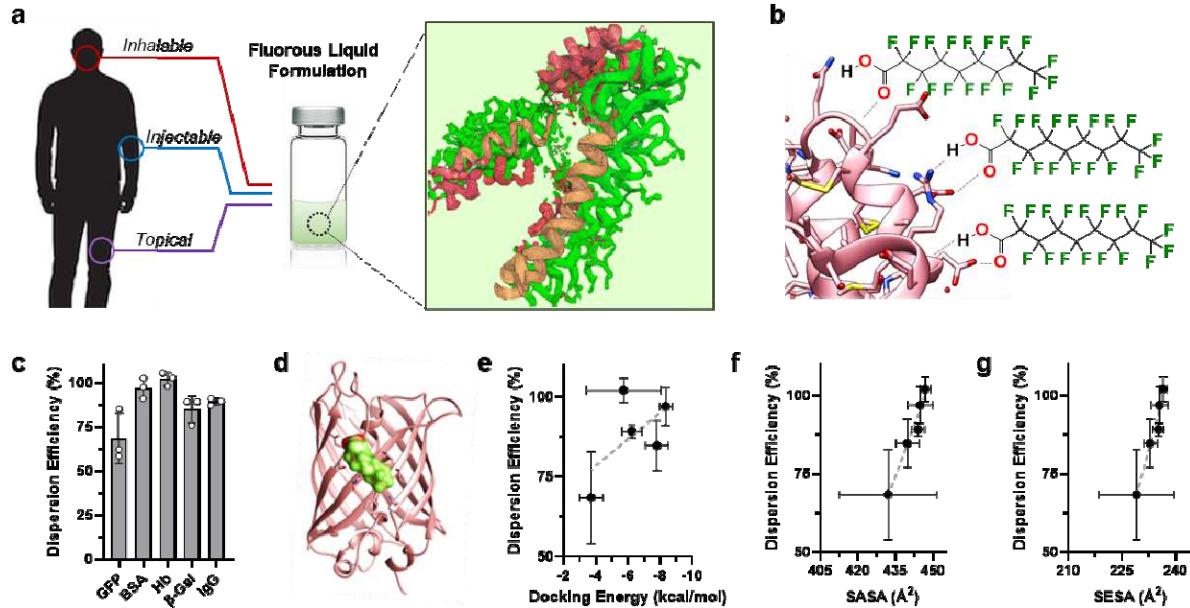


Fig. 1: Fluorous dispersion of proteins via PFNA coatings. **a**, Conceptual schematic showing proteins (pink/orange) coated by the fluorine-rich amphiphile, PFNA (green), to enable its dispersion into non-aqueous PFCs liquids (light green background). This strategy yields thermally stable and intrinsically sterile protein formulations that we envision can be inhaled, injected, or topically applied. Graphic adapted from an image created with assistance of AI through DALL-E 2. **b**, Graphical representation of hydrogen bonding (dashed line) between PFNA's carboxylate and the surface of an exemplary protein (pink). **c**, Dispersion efficiency of green fluorescent protein (GFP), bovine serum albumin (BSA), Hemoglobin (Hb), β -Galactosidase (β -Gal) or rabbit serum immunoglobulin G (IgG) into perfluorohexane in the presence of the PFNA additive (PFNA:protein molar ratio of 1000:1). Results displayed as percent soluble protein relative to initial loading. **d**, Representative result from MedusaDock 2.0 *in silico* protein-ligand docking showing adsorption of a single PFNA ligand (green/red) to the surface of GFP (pink). **e-g**, Correlation of protein dispersion efficiency to predicted docking energy (**e**), solvent accessible surface area (SASA, **f**) or solvent excluded surface area (SESA, **g**) of the PFNA ligand. Linear trends are shown by dashed lines, with R^2 values of 0.35, 0.96, and 0.95 for panels e, f, and g, respectively. Data shown in panels c and e – g represent the average \pm s.d. of $n = 3$ replicate analyses.

dispersion efficiency with its predicted protein binding energies and solvent exposure (**Fig. 1d-g**). Interestingly, these analyses did not show a statistically significant correlation between PFNA dispersion performance and its docking energy with the protein surface (**Fig. 1e**). This suggests that PFNA binding avidity has little impact on dispersion efficiency of the biologic. Instead, the solvent-accessible and solvent-excluded surface areas (SASA, **Fig. 1f**, and SESA, **Fig. 1g**, respectively) of bound PFNA were found to directly correlate to PFH solubilization efficiency. Mechanistically, this suggests that the contact area between PFNA's polar carboxylate and the protein surface, as well as the exposure of PFNA's fluorinated tail within the bulk PFC solvent, jointly determine the ligands' ability to partition coated biologics into the fluorous phase. These structure-performance relationships may be useful in predicting the dispersion efficiency of future, application specific, protein candidates not tested here.

Thermal Stabilization of Proteins via Fluorous Dispersion

Thermal analysis of fluorous-dispersed samples began using circular dichroism (CD) spectroscopy, an optical technique that monitors protein secondary structure. Initial studies found that PFH ($bp = 56$ °C) was not a compatible solvent as it evaporated before a melting temperature of the dispersed protein could be reached. We therefore used perfluorooctane (PFOc, $bp = 103$ °C), and demonstrated minimal change in secondary structure when PFNA-dispersed proteins were heated to 85 °C (**Fig. 2a**). Conversely, saline control formulations

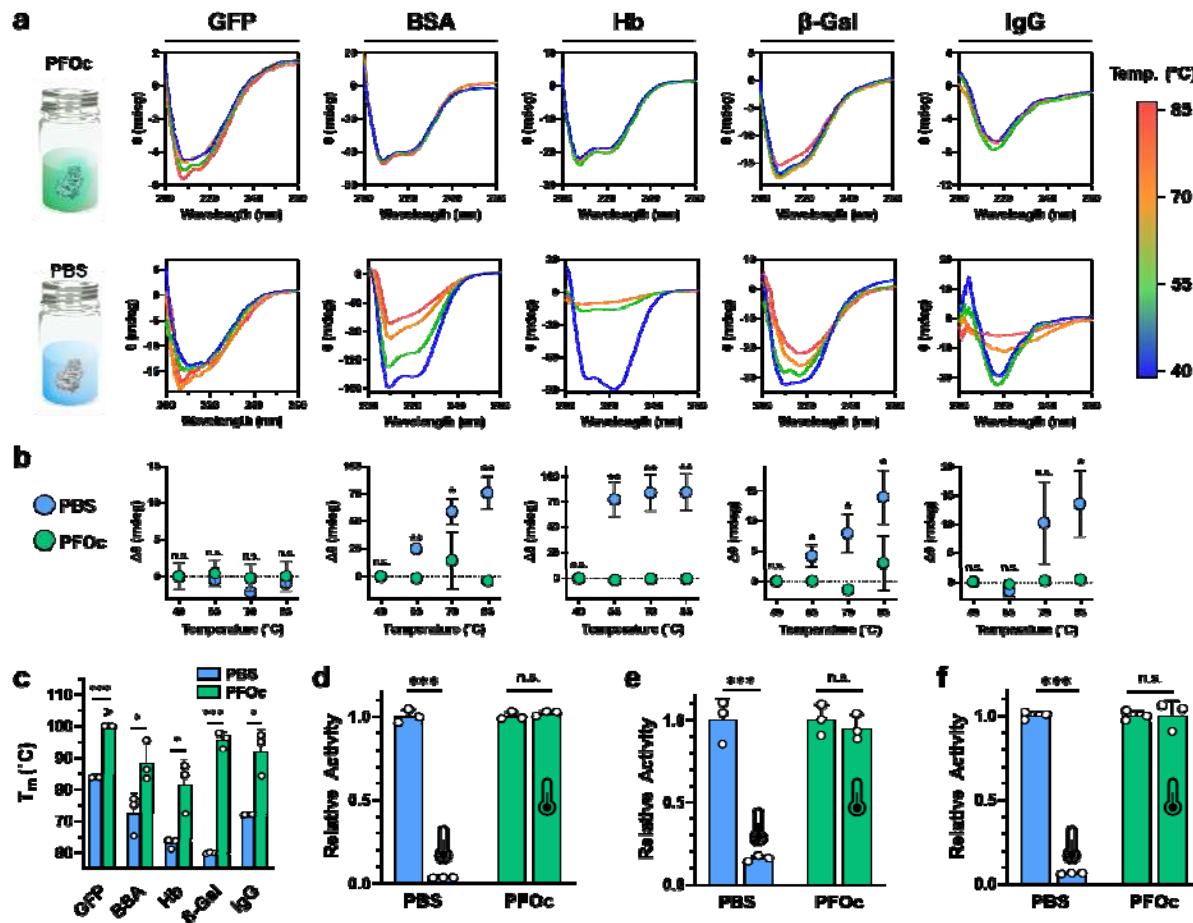


Fig. 2: Protein thermal stability. **a**, Temperature-dependent CD spectra of the indicated test protein solubilized in PFOc (top row) or PBS (bottom row). **b**, Change in minimum CD ellipticity for each test protein solubilized in PBS (blue) or PFOc (green) at the indicated temperature. Protein identity is identified by the in-register labels at the top of panel a. **c**, DSC measured melting temperature (T_m) for each of the indicated test proteins. ' > symbol indicates T_m could not be reached at the highest achievable cell temperature of 100 °C. **d - f**, Bioactivity of β -gal (d), GFP (e) and trypsin (f) in PBS (blue) or PFOc before (25 °C) and after incubation at 90 °C for 30 minutes. Heat treated samples are indicated by the thermometer icon. Data shown in panels b - g represent the average \pm s.d. of $n = 3$ technical replicates. Statistical significance between conditions is indicated by a line, or in the case of panel b is measured for PBS relative to PFOc at each temperature interval, using unpaired t-test with n.s. = not significant, * $p < 0.05$, ** $p < 0.01$, and *** $p < 0.001$.

showed denaturation of nearly all the proteins tested at this temperature, as exemplified by the significant loss of β -sheet (212 nm) and α -helix (208 & 222 nm) canonical signals (see bottom row in Fig. 2a). The notable exception was GFP, which is natively heat stable with a reported melting temperature of >80 °C¹⁵. To further quantitate these results, we plot the change in minimum CD ellipticity for each protein formulation as a function of temperature (Fig. 2b). These analyses show that, in saline, unfolding begins to occur between 55 – 70 °C for most of the protein candidates, while PFOc formulations demonstrate negligible changes in ellipticity at all tested temperatures. To support these findings, we performed differential scanning calorimetry (DSC) analyses for all five test proteins dissolved in PBS or PFOc (Fig. 2c, Supplementary Figure 1). Here, again, we could not obtain a discrete melting temperature (T_m) for GFP in the PFOc solvent, as we surpassed the 100 °C limit of the instrument before a T_m could be reached. However, in all other cases, protein T_m was determined to be 1.2 – 1.6 times higher in PFOc than it was in the saline control solvent.

While these results are encouraging, even slight changes in protein structure can have profound consequences on bioactivity. Therefore, we next performed additional bioactivity assays to test whether PFNA-dispersed PFOc protein formulations retain their functionality at elevated temperatures (**Fig. 2d-f**). These experiments required us to select proteins with an established functional assay, and so we therefore monitored β -gal conversion of a colorimetric substrate (Fig. 2d) and the intrinsic fluorescence of GFP (Fig. 2e) pre- (25°C) and post (90 °C) heating for 30 minutes. As expected, β -gal and GFP in saline lost >85% of their bioactivity after heating, while no statistically significant change in activity of the PFOc dispersed proteins was detected under the same conditions. To further demonstrate the generalizability of this platform, we also prepared PFNA-dispersed samples of bovine trypsin in PFOc, and tested its heat-dependent bioactivity via a colorimetric substrate conversion assay (Fig. 2f). Like β -gal and GFP, trypsin maintained its enzymatic activity after being heated to 90 °C in PFOc, while saline controls were inactivated under the same conditions.

Next, we utilized a series of imaging and spectroscopy techniques to gain a deeper mechanistic understanding of how the PFNA additive and PFOc solvent work together to thermally stabilize dispersed proteins, using BSA as an exemplary candidate. Transmission electron micrographs shown in **Fig. 3a-b** demonstrate that, without the PFNA additive, BSA aggregates into amorphous flocculates when dispersed into PFOc. When coated with PFNA, however, BSA assembles into a fibrillar network within PFOc, where each fiber has a 'beads-on-a-string' morphology (**Fig. 3c**). Magnification of the regions between the higher ordered fibrillar mesh shows lower order globules composed of PFNA-coated protein clusters (**Fig. 3d**). Together, this suggests that PFNA-coated proteins ('beads') are in equilibrium between incorporation within the fibrillar network ('string') and delocalization within the bulk solvent. The assembled structures likely sterically constrain the conformational dynamics of the incorporated protein and, thereby, restrict thermal unfolding. To further test this assertion, scanning electron microscopy (SEM) was used to probe the assembled state before and after heating (**Fig. 3e,f**). To calibrate our interpretation of these images, we began by collecting a baseline of heat denatured BSA in sterile saline (Fig. 3e). As expected, BSA is monomeric at room temperature (25 °C) but readily denatures and aggregates after incubation at 100 °C for 30 minutes. Protein coated with PFNA and dissolved in PFOc, however, maintained their fibrillar morphology after heat treatment (Fig. 3f), indicating these stabilizing structures likely represent a thermodynamic minimum state.

¹H (**Fig. 3g**) and ¹⁹F (**Fig. 3h**) NMR spectroscopy (see Supplementary Figs. 2 and 3 for full spectrum) was next used to mechanistically interrogate the interaction of PFNA's carboxylic acid and fluorinated tail, respectively, with BSA. Proton NMR shows a 0.59 ppm downfield shift of PFNA's carboxy proton upon interaction with BSA, indicating the ligand adsorbs to the protein surface via hydrogen bonding. Conversely, a negligible change in the proximal CF₂ peak suggests PFNA's perfluorinated tail weakly interacts with the protein surface, instead more likely extended into PFOc to enable solvation by the bulk solution. Unfortunately, distal CF₂ and CF₃ groups could not be interrogated due to overlap of their ¹⁹F NMR signals with the PFOc solvent peaks. We therefore performed Fourier transform infrared (FTIR) spectroscopy to further probe changes to the BSA and PFNA structure upon coupling (**Fig. 3i-l**, see Supplementary Figs. 4 and 5 for full FTIR spectra). In the presence of the PFNA ligand, BSA's amide I (Fig. 3i) and amide II (Fig. 3j) bands show a blue shift of ~2 cm⁻¹ relative to the unfunctionalized protein. Prior studies of protein vibrational spectra indicate these types of band shifts may result from changes in electrostatic environment and/or β -sheet and α -helix structure^{16,17}. We have previously shown that, in aqueous systems, PFNA adsorption can lead to a significant stabilization of protein β -sheet structures¹⁴.

Complementary FTIR analyses of PFNA's fluorinated groups showed a 3 cm⁻¹ and 15 cm⁻¹ blue shift in CF₂ (Fig. 3k) and CF₃ (Fig. 3l) bands, respectively, upon interaction with BSA. Given that we observed a minimal change in the environment of PFNA's CF₂ group adjacent to

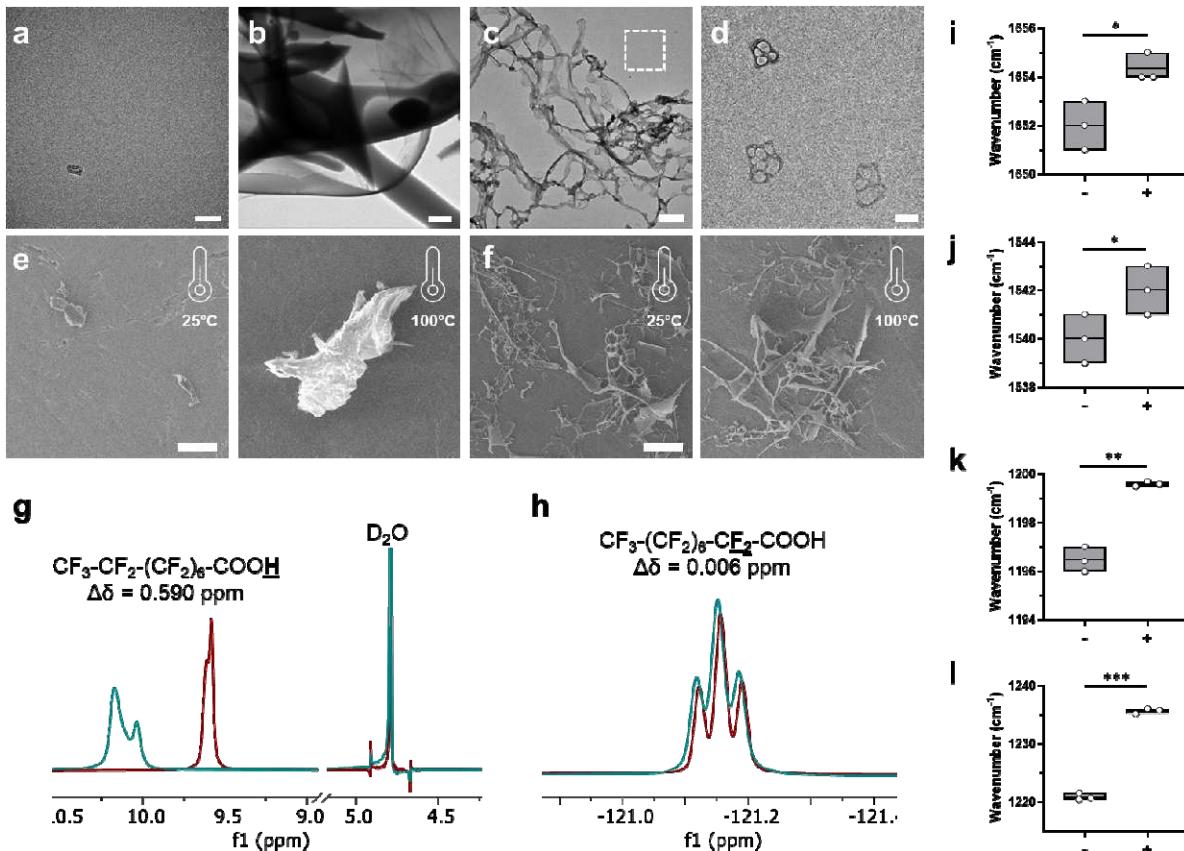


Fig. 3: Biophysical analysis of PFNA-protein interactions. **a, b:** Representative transmission electron micrograph of monomeric BSA in saline (a) and amorphous protein aggregates (b) following unaided addition of the protein to PFOc. Scale bar in panels a and b represent 50 nm and 2 μ m, respectively. **c:** Representative transmission electron micrograph of PFNA-coated BSA assemblies in PFOc. Scale bar = 500 nm. **d:** Magnified region of interest in panel c (white dashed box) showing individual PFNA-functionalized protein globules. Scale bar = 100 nm. **e, f:** Representative scanning electron micrographs of BSA in saline (e) or dispersed into PFOc via PFNA (f) before (25 °C) and after (100 °C) heating for 30 minutes. Scale bars = 50 μ m. **g, h:** ^1H (g) and ^{19}F (h) NMR spectral regions of PFNA in PFOc before (maroon) and after (teal) coupling to BSA. **i, j:** Average wavenumber of BSA amide I (i) and amide II (j) bands before (-) and after (+) addition of PFNA. **k, l:** Average wavenumber of PFNA CF₂ (i) and CF₃ (j) bands before (-) and after (+) addition of BSA. Box plots shown in panels i - l represent data from n = 3 technical replicates.

the carboxylic acid by NMR (Fig. 3h), we interpret these band shifts to indicate local aggregation of PFNA bound to the protein surface. This assembly is most likely driven by favored fluorine-fluorine interactions between adjacent ligands. We believe this assembled PFNA intermediary layer potentiates the formation of a PFOc hydration shell around the coated protein, thereby reducing its conformational dynamics and improving its resistance to thermal denaturation.

Shelf Sterility and Stability of Fluorous Protein Formulations

We hypothesized that removal of the water solvent should enable our fluorous protein formulations to resist contamination by bacterial and fungal contaminants that require aqueous environments to survive. To test this, we streaked a hypodermic needle across a lawn of each pathogen prepared on agar, and then submerged the contaminated needle into BSA protein formulations prepared in either PBS or PFOc solvents. To model the types of organisms that may be encountered in a healthcare setting, we tested the human bacterial pathogens *E. coli*, *P. aeruginosa*, *K. pneumoniae* and Methicillin-resistant *S. aureus* (MRSA), as well as the

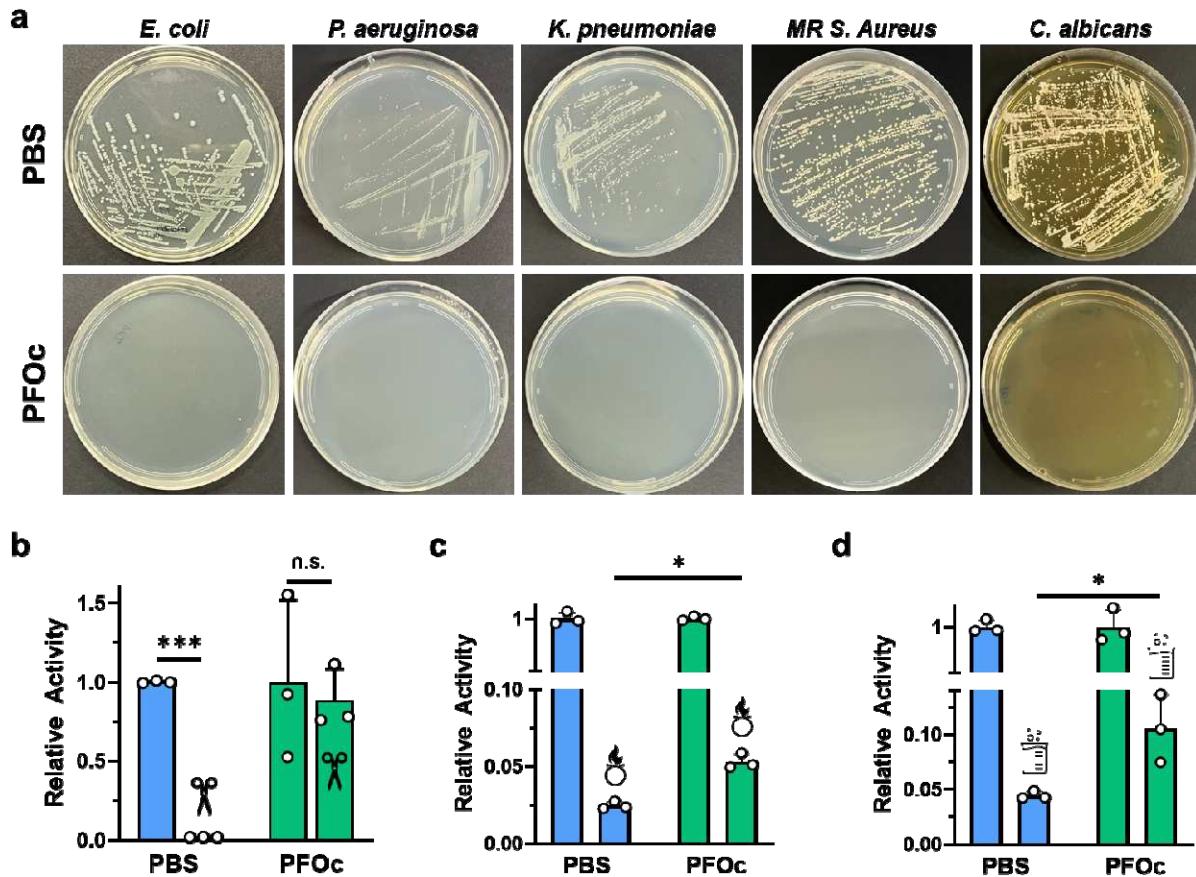


Fig. 4: Shelf sterility and stability of fluorous protein formulations. **a**, Representative optical images of agar plates after inoculation with PBS (top) or PFOc (bottom) BSA samples contaminated with the indicated pathogen. MR = methicillin resistant. **b** - **d**, Relative activity of β-Gal protein dispersed in PBS (blue) or PFOc (green) solvents without (no icon) and with (icon) contamination by proteinase K (**b**), bleach (**c**) or hydrochloric acid (**d**). Data shown as average \pm s.d. of $n = 3$ technical replicates. Statistical significance between conditions is indicated by a line using unpaired t-test with n.s. = not significant, * $p < 0.05$ and *** $p < 0.001$.

human fungal pathogen *C. albicans*. Contaminated liquid formulations were then incubated at 37 °C overnight and replated onto agar plates to assess growth. As anticipated, BSA prepared in PBS was readily contaminated by all five pathogens, as indicated by the visible growth of viable colonies, while PFOc samples remained sterile for over a month (Fig. 4a, Supplementary Fig. 6). It is important to note that these studies employed pathogen concentrations well above what would be normally encountered in a healthcare setting. Nevertheless, PFOc formulations resisted contamination, possibly due to dehydration of the microorganisms upon introduction to the fluorous solvent.

In addition to bacterial and fungal pathogens, protein therapies can be exposed to inactivating environmental agents, including bacterial proteases, oxidizing cleaners, and acidic disinfectants. We experimentally modeled these conditions by adding an aliquot of proteinase K, a chlorine and sodium hydroxide mixture (e.g., bleach), or hydrochloric acid, respectively, to PFOc and PBS protein samples (Fig. 4b-d). These studies employed β-Gal as an exemplary biologic and substrate conversion assays used to evaluate protein activity after incubation with the denaturant. In the presence of proteinase K, β-Gal dissolved in PBS was completely inactivated, while there was no statistically significant change of protein activity in PFOc under similar conditions (Fig. 4b). A possible explanation for this is that proteinase K is coated by the PFNA additive after addition to the PFOc solvent, leading to its spatial segregation from the co-

dispersed β -Gal protein. While fluorous protein formulations are impervious to degradation by proteases, they were found to be less resistant to chemical denaturants (Figs. 4c,d). Although the activity of β -Gal dispersed in PFOc, and then treated with an oxidizer (Fig. 4c) or acid (Fig. 4d), was statistically higher than that of the PBS controls, both conditions lead to a $\geq 90\%$ loss in functionality after only a few minutes of incubation. It is worth noting that denaturant concentrations employed here are significantly higher than what would typically be encountered in a healthcare setting. In a typical incidental exposure, protein therapies would be contaminated by noxious vapors, rather than directly spiked with a solution of the denaturant as done in our experiments. Nevertheless, the improvement in stability for our PFOc protein samples highlights the potential of this platform in these applications and encourages further development.

***In vivo* Functional Half-Life and Toxicity**

A final technologic milestone is to evaluate differences in serum bioavailability of coated proteins, as well as assess toxicity of fluorous formulations relative to saline controls. To demonstrate that PFOc dispersion does not alter the circulatory half-life of proteins we intravenously administered β -Gal formulations to C57BL/6 mice and monitored serum bioavailability over time (**Fig. 5a**). Due to the large solution volumes given (150 μ L, $\sim 15\%$ of mouse blood volume), we elected to first extract the PFOc dispersed proteins into sterile saline before injection to avoid hyponatremia. This was accomplished by separating β -Gal from PFOc via a simple aqueous solvent exchange process (see methods; extraction was quantitative). Despite this additional formulation step, we envision that future studies in large animals and humans will allow for direct injection of the fluorous dispersion, without pre-extraction, given the feasibility of reducing infusion volume ratios and the non-toxic nature of most perfluorocarbon solvents¹⁸. Results in **Fig. 5a** show that the serum half-life of β -Gal delivered from PFOc dispersion possesses a nearly identical half-life ($t_{1/2} = 7.9$ min.) to native β -Gal administered in saline ($t_{1/2} = 6.6$ min.). It is important to note that half-life is measured in our studies via a fluorescent substrate conversion assay to evaluate functional changes in enzyme activity as a result of fluorous dispersion. It does not report on total protein content. Nevertheless, analogous functional half-lives of β -Gal_{Ext. PFOc} and β -Gal_{Saline} suggest that fluorous dispersion does not change the enzymatic function or circulatory kinetics of the complexed protein.

Finally, to determine any acute toxic effects of the fluorous dispersant, blood chemistry and histology were performed 24 hours after treating mice with β -Gal delivered from saline or PFOc extractions. Serological renal, hepatic, and hematologic toxicology screens showed statistically significant changes in blood urea nitrogen (BUN), red blood cell count (RCB), hemoglobin (HGB), and hematocrit (HCT) between β -Gal_{Ext. PFOc} and β -Gal_{Saline} (**Fig. 5b**, Supplementary Fig. 7 and Table S1). However, none of these markers were statistically different between PFOc β -Gal and the sham saline injection control, suggesting these small changes are not clinically meaningful. This is further corroborated by histologic organ analyses that did not identify signs of necrosis, cellular infiltration, inflammation, or hemorrhage in lung, kidney, liver, and spleen tissue excised from treated animals (**Fig. 5c**, Supplementary Fig. 8). Collectively, these results suggest the fluorous media used in our protein dispersion formulations are unlikely to induce acute toxic side effects. This corroborates a body of clinical evidence showing that intravenously injected perfluorocarbon solvents are cleared from the lungs during respiration to avoid adverse effects due to long term tissue bioaccumulation¹⁹.

Conclusion

Scalable strategies to limit the cold chain dependence of protein biopharmaceuticals rely on co-solvent additives (e.g., glycerol) or freeze-drying. In both cases, the goal is to drive the protein into a more compact, and thermally stable, state. However, there are several limitations inherent to current state-of-the art formulation methodologies, including the need to empirically optimize

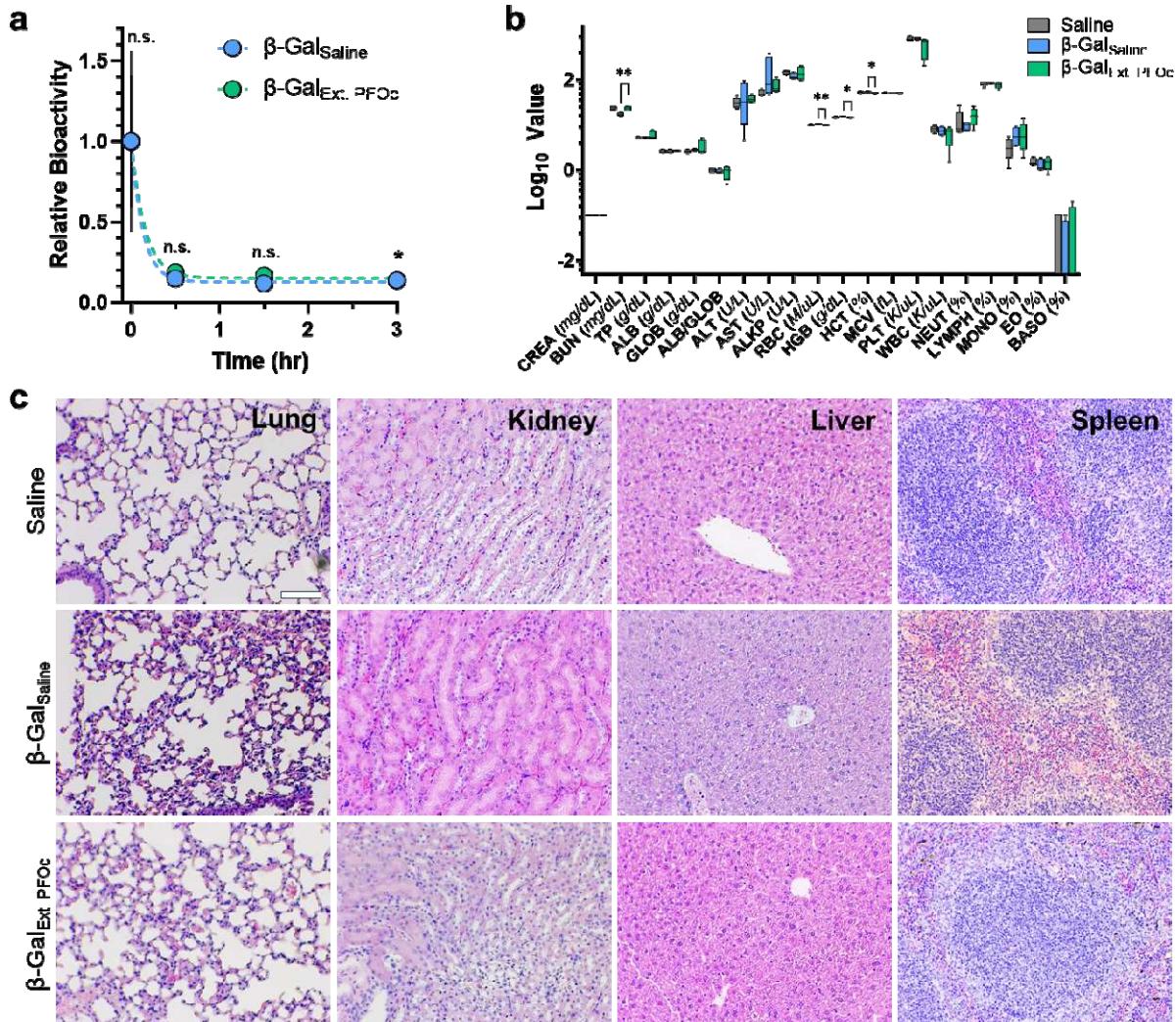


Fig. 5: In vivo half-life and safety of fluorous protein formulations. **a**, Time-dependent enzymatic activity curves of β -Gal in plasma delivered systemically in either saline ($\beta\text{-Gal}_{\text{Saline}}$, blue) or extracted PFOc ($\beta\text{-Gal}_{\text{Ext. PFOc}}$, green) vehicle. Data shown as average \pm s.d. of $n = 5$ technical replicates. Statistical significance determined using Student's t-test and represented as n.s. = not significant, * $p < 0.05$. **b**, Serologic toxicology results from C57BL/6J mice 24 hours after administration of saline (control), $\beta\text{-Gal}_{\text{Saline}}$ or $\beta\text{-Gal}_{\text{Ext. PFOc}}$. Data shown as box and whisker plot \pm s.d. of $n = 4-5$ technical replicates. Statistical significance determined using Student's t-test and represented as * $p < 0.05$; all other comparisons were found not to be significant ($p > 0.05$). Full size image and tabulated results can be found in Supplementary Fig. 7 and Supplementary Table S1, respectively. **c**, Representative histopathologic images of lung, kidney, liver, and spleen tissue section from C57BL/6J mice 24 hours after administration of saline (control), $\beta\text{-Gal}_{\text{Saline}}$ or $\beta\text{-Gal}_{\text{Ext. PFOc}}$. Each imaging group consisted of $n = 4$ mice, with 4 random fields per section collected at 10X magnification in a blinded manner. Scale bar = 100 μm . Full size image can be found in Supplementary Fig. 8.

additive conditions for each protein candidate, propensity for many biologics to irreversibly aggregate during drying, and the liability for contamination at each stage of the cold chain network. Liquid fluorous formulations hold promise to comprehensively address these barriers by presenting an indiscriminate dispersion methodology with little-to-no requirements for protein-specific optimization, maintains a bulk solvent medium to avoid protein desolvation, and is intrinsically impervious to contamination due to its non-aqueous nature. We envision that translation of this formulation technology to other proteins can be accomplished by simply mixing a lyophilized sample, or concentrated aqueous fraction, with a PFC solvent containing

PFNA to generate the fluorous dispersion. The identity of the PFC solvent can be rationally chosen to control the viscosity and boiling point, depending on application-specific needs. In our hands, we observed that use of lyophilized starting material, and agitation of solutions via a rotisserie mixer, yields higher dispersion efficiencies relative to concentrated aqueous samples and vortex mixing, respectively. Our mechanistic studies show the assembled dispersant complex at the surface of the protein thermally stabilizes the biologic without compromising its structure and biologic function. Animal studies further demonstrate this approach does not alter the serum half-life and safety profile of the dispersed proteins, warranting future pre-clinical pharmacokinetic and pharmacodynamic studies.

This formulation approach is a contemporary alternative to hydrophobic ion pairing (HIP) methods reported several decades ago for protein dissolution in organic solvents^{20,21}. In HIP strategies, replacement of polar counterions at the protein surface with anionic surfactants creates a hydrophobic coating that transitions the biologic's solubility from aqueous systems to nonpolar organic solvents. Meyer *et al.*, for example, demonstrated that the enzyme α-chymotrypsin could be stably dispersed within alkane and chlorocarbon solvents when modified with the detergent sodium bis(2-octyl)sulfouccinate²⁰. Like the work reported here, this strategy retained the globular structure of the protein and significantly enhanced its thermal stability. Similar results were reported for peptides complexed with sodium dodecyl sulfate.²¹ This work came out of a broader interest in nonaqueous enzymology, where substrate biotransformations could be conducted in organic solvents containing little or no water, as pioneered by Klibanov, Russell, Dordick and others²²⁻²⁵. The efficiency of HIP, however, is limited by the availability of basic residues on the solvent accessible protein surface to electrostatically interact with anionic detergents. Fluorous dispersion, presented here, offers a promiscuity advantage in that it exploits general hydrogen bonding between the dispersant and the protein backbone to mediate solubilization in nonaqueous solvents, rather than electrostatic interactions. As a result, this methodology may open a diverse new area of formulation technologies that yield thermally stable and intrinsically sterile protein biopharmaceuticals.

Data Availability

The main data supporting the results in this study are available for research purposes from the corresponding authors on reasonable request.

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Author Contributions

A.L. and S.H.M. conceived this work and wrote the manuscript. A.L. and S.P. performed and analyzed most experiments. H.S. performed dispersion screenings, ¹⁹F NMR and ¹H NMR. M.G.V. performed computational studies. Correspondence and requests for materials should be addressed to Scott H. Medina (shm126@psu.edu).

Methods

Protein dispersion. Solubilizing proteins in PHF or PFOc was performed following a previously developed protocol,¹⁴ with minor modification. In brief, 1 mM PFNA dissolved in the fluorous solvent was added to a lyophilized protein stocks in a 1.5 mL centrifuge tube to achieve a final concentration of 10 μ M for BSA, GFP, and Hb, 5 μ M for IgG, and 1 μ M for β -Gal. To ensure proper mixing, each sample was parafilmed and then sequentially vortexed (30 seconds), sonicated (1 minute), and again vortexed (30 seconds). Samples were centrifuged for 5 min at 1950 x g to remove insoluble aggregates. To quantify percentage of dispersed protein, 100 μ L of the supernatant was transferred into each well of 96-well plate and solvent allowed to evaporate at 37 °C. Dried protein residue was resuspended in 100 μ L 1X PBS with equal parts Coomassie blue reagent for Bradford Assay. Samples were shaken at 25°C for 10 min and read for absorbance at 595 nm using a plate reader (Bitek Cytation 3). Protein concentration was calculated based on calibration curves generated in PBS. Protein in PBS and PFH were used as positive and negative controls, respectively, at the appropriate concentration. PBS was used as a blank. Dispersion efficiency (%) was calculated from protein concentration measurements using the following equation:

$$\text{Dispersion Efficiency (\%)} = \frac{([\text{Sample}] - [\text{Negative Control}])}{([\text{Positive Control}] - [\text{Negative Control}])} \times 100\%$$

Molecular docking. COACH-D²⁶ molecular docking and consensus algorithm was used to predict docking site and PFNA ligand docking thermodynamic properties. In brief, protein structures obtained from the PDB (GFP: 3UFZ; BSA: 4F5S; Hb: 6FQF; β -Gal: 3VDB; IgG: 2VUO) were imported and five pockets for PFNA ligand binding were generated based on minimum energy. PFNA ligand was retrieved from Zinc Database with the ID: 38141429. Docking energy was computed for each rotamer-pocket combination. Solvent accessible surface area (SASA) and solvent excluded surface area (SESA) of the bound PFNA ligand were calculated for each prediction using UCSF Chimera²⁷.

Spectroscopy. Circular dichroism (CD) spectroscopy was performed on proteins prepared in PBS, or dissolved in PFOc using 1 mM PFNA, with concentrations based on the previously established quantities in the protein dispersion experiments. Samples were then heated to a designated temperature between 40°C - 85°C for 15 minutes. Before analysis, PFOc dispersed samples were extracted into room temperature PBS buffer for detection, as the fluorous solvent caused solvent-dependent dichroic aberrations. Extraction of protein was quantitative, as determined by Bradford assay. Sample measurements were taken at the indicated temperature using a Jasco J-1500 Circular Dichroism Spectrometer (Easton, MD). Replicates (n = 3) were performed for each condition with representative spectra reported.

Nuclear Magnetic Resonance (NMR) spectroscopy was performed by adding a 60 mM PFNA in PFOc solution to lyophilized BSA to achieve a final 1000:1 PFNA:BSA molar ratio. Samples were then transferred to thin wall precision tube (Wilmad-LabGlass; Vineland, NJ), with Norell Coaxial inserts containing D₂O as the locking solvent. NMR spectra were collected on a Bruker NEO-400, equipped with a double resonance broadband observe iProbe (capable of automatic tuning and matching) for ¹H and ¹⁹F nuclei observation. All spectra were recorded by using zg30 (1D sequence with 30° flip angle for ¹H NMR consisting of 32 scans, sweep width = 20.4850 ppm; origin point = 6.175 ppm) and zgig (inverse gated-decoupling 1D pulse sequence for ¹⁹F NMR consisting of 512 scans, sweep width = 241.4836 ppm; origin point = -100.0 ppm) in the Bruker library at 298 °K. Data was analyzed with Mnova software.

Fourier Transform Infrared (FTIR) spectrometry was performed on protein samples prepared by mixing an equivalent volume of BSA (20 μ M) and PFNA (2 mM) in PBS with each other and allowing the sample to incubate at 37°C for 1 hour. Samples were then frozen at -80°C and lyophilized overnight before analysis using a Bruker VERTEX 70 FTIR spectrophotometer (Billerica, MA) outfitted with an LN-MCT detector (4000 – 800 cm^{-1} , backward output). Replicates (n = 3) were performed for each condition with representative spectra reported.

Differential scanning calorimetry. Solutions of proteins (10 μ M) prepared in PBS or PFOc (1mM PFNA) were added to sample holders appropriate for each instrument. A Malvern MicroCal VP-capillary DSC (Malvern, PA) was employed for aqueous samples. TA Instruments DSC Q2000 (New Castle, DE) was utilized for PFOc samples. Different instruments were required for these assays due to solvent incompatibility issues. Samples were subjected to a heat ramp at 2°C/min from 40°C – 100°C during which heat capacity (C_p) was measured following normalization to the reference cell containing blank solvent. Melting temperatures were determined as the peak of the C_p curve.

Colorimetric conversion assay. β -Gal and Trypsin were diluted in PBS or dispersed in PFOc, using 1mM PFNA, at 1 μ M and 10 μ M concentrations, respectively. Samples were then either incubated at room temperature (25°C) or subjected to elevated temperature (90 °C) for 30 minutes, before cooling samples back to room temperature over another 30-minute interval. For PFOc samples, proteins were extracted into PBS before analysis as both enzymatic assays require an aqueous environment to be operational. This was accomplished by adding an equal volume of the buffer to the PFOc sample, vortexing for 15 seconds, and then removing the PBS supernatant containing protein samples for analysis. Extraction of proteins into PBS is quantitative. An equal volume of PBS containing 4 mg/mL ONPG for β -Gal or 1 mg/mL BAEE for trypsin, was added to the appropriate sample and incubated following manufacturers instructions. Substrate conversion was then measured at 420 nm or 400 nm for ONPG and BAEE, respectively, using a BioTek Cytation 3 microplate reader (Winooski, VT). Relative activity was calculated by normalizing test sample data to enzymatic activity of proteins at room temperature in their respective solvent environment.

Electron microscopy. A 5 μ L aliquot of BSA (10 μ M) prepared in PFOc using PFNA (1mM) was added protein to a copper grid, dried overnight, and TEM imaging performed at 200kV using a FEI Tecnai LaB6 electron microscope (Hillsboro, OR). BSA (1 μ M) diluted in water was included as a control. SEM imaging was performed following a similar protocol, with the modification that heat-treated samples were incubated at 100°C for 1 hour. After samples had cooled to room temperature, 10 μ L of each samples was added to a stub and allowed to dry overnight. Residues were coated with Au/Pd and imaged at 10kV using a Zeiss SIGMA VP-SEM with VPSE G3 detector (Dublin, CA).

Contamination assays. For pathogen contamination experiments, *E. coli* (101-1), *P. aeruginosa* (PAO1), *K. pneumoniae* (NCTC 9633), and Methicillin-resistant *S. aureus* (MRSA; USA300) were cultured in MHB broth. *C. albicans* (3147) cultured in YPD broth at 37°C. Pathogens were plated on MHB (*E. coli*, *P. aeruginosa*, *K. pneumoniae*, MR *S. aureus*) or YPD (*C. albicans*) agar to develop lawns. All broth cultures were grown at 37°C in a shaking incubator (200 rpm, and plates cultured in a static incubator, as advised by the Clinical and Laboratory Standards Institute (CLSI). To contaminate PBS or PFOc liquid samples, a 21g needle was streaked in a single pass across the microbial lawn, and dipped into liquid samples containing BSA (10 μ M). Samples were then incubated at 37°C for up to four weeks before

streaking onto an agar plate. Plates were incubated at 37°C overnight before qualitatively visualizing colony formation.

Enzymatic degradation was investigated by preparing solutions of β -Gal (1 μ M) and proteinase K (10 μ M) in PBS or dispersed in PFOc (1 mM PFNA). An equal volume of each solution was mixed to induce degradation, and the sample incubated at 37°C for 24 hours. After incubation, PFOc samples were extracted into PBS for bioactivity determination by adding an equal volume of PBS and vortexing for 15 seconds. An equal volume of PBS containing 4 mg/mL ONPG was added to each sample, incubated for 15 minutes at 37°C, and absorbance measured at 420 nm using a BioTek Cytation 3 microplate reader (Winooski, VT).

Environmental degradation was performed by preparing a solution of β -Gal (1 μ M) in PBS or dispersed in PFOc (1 mM PFNA). An aliquot of 1% v/v of 10% bleach or 4% v/v of 0.1 M HCl was added to each sample to assess oxidation or acid mediated denaturation, respectively. After 30 seconds of incubation at 37°C, an equal volume of PBS containing 4 mg/mL ONPG substrate was added to the sample and incubated for 3 - 5 minutes to allow for complete substrate conversion. Absorbance was measured at 420 nm using a BioTek Cytation 3 microplate reader (Winooski, VT), and compared to blank buffer, or a stock β -Gal (1 μ M) solution, as negative and positive controls, respectively, to calculate relative activity.

Animal experiments. Murine studies were performed under IACUC protocol 202101978. Two groups ($n = 5$) received 200 μ L of 10 μ M solutions of β -Gal either prepared in sterile PBS, or dissolved in PFOc (1mM PFNA) and extracted into sterile PBS. Samples were administered by tail vein injection to C57BL/6J mice. At each time point, mice were sacrificed, and blood samples collected via cardiac puncture. Bioactivity and bioavailability of β -Gal was determined by conversion of the fluorescent substrate, 4-Methylumbelliferyl- α -D-galactopyranoside (μ -GAL). In brief, the collected whole blood in a serum tube was centrifuged at 2000 rcf for 4 minutes to extract serum containing β -Gal protein. 20 μ L of the serum was then mixed with 180 μ L μ -GAL (final substrate concentration of 1 μ M). Samples were quickly pipette mixed and incubated in dark at room temperature for 5 minutes. Fluorescent intensity was measured with $\lambda_{ex} = 360$ nm and $\lambda_{em} = 440$ nm using a BioTek Cytation 3 microplate reader (Winooski, VT). The background fluorescent intensity from serum was determined from serum dilution in PBS without μ -GAL substrate.

To assess acute toxicity, animals were administered i.v. solutions of β -Gal either prepared in sterile PBS, or dissolved in PFOc (1mM PFNA) and extracted into sterile PBS, as described above. After 24 hours mice were sacrificed, and blood collected via cardiac puncture. A minimum of 100 μ L of whole blood was added to EDTA for complete blood count. The remainder was centrifuged (1000 rcf, 10 minutes) to isolate serum for chemistry analysis. Serological analyses were performed by the Pennsylvania State University Animal Resources Program. Tissues (lungs, livers, kidneys, and spleens) from euthanized animals were collected and stored in 10% buffered-formalin immediately after euthanasia. Four 5 μ M sections from each organ were mounted onto glass slides and stained with Hematoxylin and Eosin (H&E). Four random fields in each section were examined under a microscope at 5X and 40X magnification. Tissue relevant clinical features were compared across samples. Specifically, lungs were examined for infiltration of poly and mono nuclear cells, signs of hemorrhage, and perivascular infiltration. Kidneys were examined for signs of necrosis, cellular infiltration, and hemorrhage. Livers were examined for hepatic cell necrosis, inflammation, and hemorrhage. Spleens were examined for changes in white and red pulp structure, as well as signs of abnormal cellular infiltration.

Statistical analysis

Unless stated otherwise in the manuscript, data represents $n \geq 3$ technical replicates and presented as mean \pm standard deviation. Significance between groups was determined by Student's T-Test, where n.s. = not significant, * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$.

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