

1 **Patient derived model of *UBA5*-associated encephalopathy identifies defects in neurodevelopment**
2 **and highlights potential therapies**

3 Helen Chen¹, Yong-Dong Wang², Aidan W. Blan¹, Edith P. Almanza-Fuerte¹, Emily S. Bonkowski¹, Richa
4 Bajpai^{2,3}, Shondra M. Pruett-Miller^{2,3} and Heather C. Mefford^{1*}

5 1. Center for Pediatric Neurological Disease Research, St. Jude Children's Research Hospital,
6 Memphis, TN, USA.

7 2. Department of Cell and Molecular Biology, St. Jude Children's Research Hospital, Memphis TN,
8 USA.

9 3. Center for Advanced Genome Engineering, St. Jude Children's Research Hospital, Memphis TN,
10 USA.

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12 Address correspondence to: Heather C. Mefford, MD, PhD

13 262 Danny Thomas Place, Memphis, Tennessee 38105, USA.

14 Email: heather.mefford@stjude.org.

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16 **One-sentence summary:** Patient derived model of UBA5-associated DEE recapitulated disease
17 phenotype, revealed defects in neurodevelopment, and highlighted potential therapies.

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19

20 **Abstract**

21 *UBA5* encodes for the E1 enzyme of the UFMylation cascade, which plays an essential role in ER
22 homeostasis. The clinical phenotypes of *UBA5*-associated encephalopathy include developmental delays,
23 epilepsy and intellectual disability. To date, there is no humanized neuronal model to study the cellular
24 and molecular consequences of *UBA5* pathogenic variants. We developed and characterized patient-
25 derived cortical organoid cultures and identified defects in GABAergic interneuron development. We
26 demonstrated aberrant neuronal firing and microcephaly phenotypes in patient-derived organoids.
27 Mechanistically, we show that ER homeostasis is perturbed along with exacerbated unfolded protein
28 response pathway in cells and organoids expressing *UBA5* pathogenic variants. We also assessed two gene
29 expression modalities that augmented *UBA5* expression to rescue aberrant molecular and cellular
30 phenotypes. Our study provides a novel humanized model that allows further investigations of *UBA5*
31 variants in the brain and highlights novel systemic approaches to alleviate cellular aberrations for this rare,
32 developmental disorder.

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43 **Introduction**

44 Mutations in the ubiquitin like modifier activating enzyme 5 (*UBA5*) gene have been associated with
45 developmental and epileptic encephalopathy 44 (DEE44, OMIM: 617132), an autosomal recessive
46 neurodevelopmental disorder characterized by severe developmental delay, hypotonia, spasticity,
47 microcephaly, growth failure, and epilepsy (1-11). Most affected individuals achieve few developmental
48 milestones and have intractable, early-onset seizures including infantile spasms, myoclonic, and motor
49 seizures that can be life limiting. *UBA5* encodes a ubiquitously expressed E1 activating enzyme, first of a
50 series of enzymes that is required for a process called ufmylation, a ubiquitin-like post-translational
51 modification of proteins that results in the addition of ubiquitin fold modifier 1 (UFM1) (12-14). The
52 ufmylation cascade involves three sequential steps to activate, conjugate, and ligate UFM1 onto substrates.
53 First, proUFM1 is cleaved by a UFM1 specific peptidase 1/2 (UFSP1/2) to expose a C-terminal Gly;
54 UBA5 activates UFM1 through an ATP-dependent adenylation of the newly exposed C-terminal Gly,
55 forming a thioester intermediate (12). Next, UBA5 facilitates the conjugation of UFM1 onto the catalytic
56 cysteine of the E2 UFM1-conjugase 1 (UFC1) through a transthiolation reaction (12). Lastly, the E3
57 UFM1-ligase 1 (UFL1) functions as a scaffold to bring UFC1-UFM1 conjugate to substrates that results
58 in conjugation of UFM1 onto Lys residues on substrates (13). Much like ubiquitination, UFM1
59 modifications are reversible and can influence target protein interactions, stability, localization, and
60 function (14, 15). Unlike ubiquitination, only one enzyme for each step of this cascade is known so far,
61 thus no compensatory mechanisms are present (12, 13). Ufmylation has been implicated in a number of
62 cellular processes including genome stability, vesicle trafficking, cell cycle progression, gene expression,
63 and erythroid differentiation (16-21). The most prominent role for the ufmylation cascade is regulation of
64 endoplasmic reticulum (ER) homeostasis and the unfolded protein response (UPR) pathway (16, 21-26).

65 Conditional knockout of the ufmylation pathway components in mouse results in elevated ER stress and
66 cell death (8, 26).

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68 Complete lack of UBA5 activity is embryonic lethal in mice (27) and is likely lethal in humans. Recently,
69 biallelic pathogenic variants in *UFSP2*, *UFM1* and *UFC1* have also been reported in individuals with
70 microcephaly, global delays and seizures (28, 29). The ufmylation pathway is ubiquitous to all tissue, yet
71 it is unclear why the major defects impact the central nervous system. To date, there are 38 individuals
72 reported with *UBA5*-associated DEE (1-11). In nearly all cases, one variant is missense, resulting in a
73 hypomorphic gene product, while the second variant is more deleterious, often causing premature
74 truncation and resulting in nonsense mediated decay or producing a nearly non-functional gene product.
75 Interestingly, over 65% of patients share the same variant for one of their two causative alleles: the
76 missense variant, p.A371T, produces a hypomorphic protein that retains some normal function (5, 7, 8)
77 (Fig. 1A). The p.A371T variant is present in the general population at a frequency of 0.27%, with a
78 maximum allele frequency of 0.54% in the European Finnish population (Genome Aggregation Database,
79 gnomAD v4.0 (30)). Furthermore, seven healthy adults with homozygous p.A371T variants have been
80 reported; therefore, despite the decreased activity of the p.A371T variant, the combined activity of two
81 p.A371T variants apparently provides enough activity to allow for normal cellular functions and prevents
82 disease manifestation. This suggests that ‘boosting’ the amount of the p.A371T hypomorphic allele in
83 affected individuals may be an effective therapy.

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85 There have been major developments in therapies that modulate gene expression, with intervention at the
86 gene, mRNA and protein stage. An important consideration in synthetic restoration of gene expression is

87 ensuring that the level of upregulation is physiologically relevant. SINEUPs (Short Interspersed Nuclear
88 Element UP regulation) are naturally occurring long noncoding antisense RNA molecules that modestly
89 increase translation of overlapping mRNAs (31-33). Synthetic SINEUPs have been used to rescue motor
90 deficits in a mouse model of GDNF-related Parkinson disease (34) and expression of FXN in a cellular
91 model of Friedreich's Ataxia (35). CRISPR (clustered regularly interspaced short palindromic repeat) and
92 CRISPR-associated (Cas) protein system has been adapted for human genome editing (36). Combining
93 single guide RNA (sgRNA) along with a nuclease-null Cas9 (dCas9) can up- or down-modulate
94 transcription of target genes without inducing a double-stranded break (37). The CRISPRa-dCas9 system
95 has been utilized to rescue haploinsufficient obesity disorder (38) and Smith-Magenis syndrome, a severe
96 neurodevelopmental disorder (39), in mice.

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98 Due to the limited number of patients and the inaccessibility of affected brain tissue, investigations of
99 *UBA5*-associated DEE have thus far only been performed using non-mammalian models (7, 11, 40) or
100 non-neuronal human tissue (6-8). Thus, there is a gap in knowledge regarding how *UBA5* pathogenic
101 variants affect human neurodevelopment. More importantly, there is no systemic treatment available for
102 patients, only selected drugs to combat symptoms. In this study, we identified two previously unpublished
103 probands with biallelic *UBA5* pathogenic variants and generated 3D cortical organoids (CO) from
104 proband-derived induced pluripotent stem cells (iPSC) along with healthy parental controls to investigate
105 molecular and functional alterations during cortical development. Our findings demonstrate that *UBA5*
106 proband COs are smaller in size and exhibit aberrant neuronal firing phenotypes in these *in vitro*
107 humanized models. We identify a significant reduction of γ -aminobutyric acid (GABA)ergic interneurons
108 in *UBA5* proband CO. Along with proband-derived CO, we also engineered U-87 MG cell lines that
109 represent *UBA5* patient variants and show exacerbation of the UPR pathway and perturbation of ER

110 homeostasis. Given the hypothesis that the p.A371T variant does not cause DEE in the homozygous state
111 (5), we generated a cell line homozygous for the p.A371T variant and show that it behave as wildtype
112 cells. As a proof of concept, we utilized two approaches, SINEUP and CRISPRa, to moderately increase
113 the expression of p.A371T, and we were able to ameliorate defects associated with ER homeostasis and
114 neuronal firing in *UBA5* proband CO models. Collectively, our study provides a novel reliable human
115 model to investigate *UBA5*-associated DEE, recapitulating hallmarks of the disease while providing new
116 insights into the molecular mechanisms of this ultrarare disorder. Most importantly, we introduce two
117 novel therapeutic approaches that could potentially be used in treatment development for *UBA5*-associated
118 DEE.

119

120 RESULTS

121 **Identification of two patients with compound heterozygous pathogenic variants in the *UBA5* gene**

122 We report two previously unpublished affected individuals with biallelic pathogenic variants in *UBA5*
123 (Fig. 1A and 1B). Individual 1 is a 6-year-old with intractable epilepsy, severe developmental delay,
124 spasticity, dystonia, and cortical visual impairment. The individual was noted to have early delays with
125 feeding difficulties shortly after birth, poor head control, hypotonia, and decreased engagement in the first
126 few months after birth. The individual developed seizures at 3 months that have been intractable to
127 multiple antiseizure medications and vagal nerve stimulation. Seizures include ‘jack knife’ seizure with
128 flexion and stiffening of his body, startle seizures, and tonic seizures. Initial EEG showed hypsarrhythmia;
129 most recent EEG shows multifocal epileptiform discharges, paroxysmal fast activity, and abnormal
130 background with generalized slowing. MRI shows thin corpus callosum and delayed myelination. Head
131 circumference at 4 and 5 years is at ~25th percentile. The individual has excessive drooling treated with

132 botulinum toxin injections and clonus treated with baclofen. Feeding is by gastrostomy-jejunostomy tube
133 and BiPAP is used at night for obstructive sleep apnea. Developmentally, the individual is severely
134 delayed: makes sounds but is nonverbal; uses eye gaze for “yes” and “no” communication; and is
135 nonambulatory but uses a stander with assistance. Trio exome sequencing revealed biallelic variants in
136 the *UBA5* gene (transcript NM_024818.3): c.367_368insGA, p.(A123Gfs*4) / c.1111G>A, p.(A71T).

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138 Individual 2 is a 9-year-old with intractable epilepsy, profound developmental delay, cortical visual
139 impairment, and spastic quadriplegia. This individual developed infantile spasms at 4 months of age, later
140 developing tonic seizures, myoclonic jerks, and atypical absence seizures, all of which have been
141 refractory to antiseizure medications and the ketogenic diet. EEG shows slow spike and wave discharges
142 with increase in slow-wave sleep; she exhibits paroxysmal fast activity with tonic seizures. Brain MRI
143 shows thinning of the corpus callosum and findings consistent with slowly progressive cerebral volume
144 loss. The individual has microcephaly, with head circumference below 3rd percentile since at least 6
145 months old. Developmentally, the individual is profoundly delayed: has minimal interaction, is nonverbal
146 and unable to sit, stand or walk independently; is fed by gastrostomy tube. Spastic quadriplegia is treated
147 with botulinum toxin injections to pectoralis major and hip adductors bilaterally. Trio exome sequencing
148 revealed biallelic variants in the *UBA5* gene (transcript NM_024818.3): c.799C>T, p.(G267*) /
149 c.1111G>A, p.(A371T).

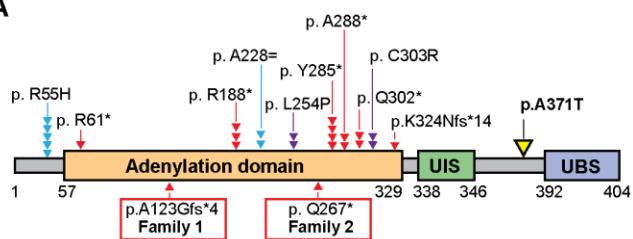
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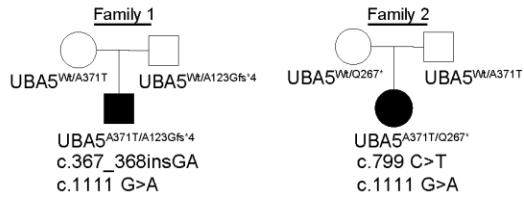
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Figure 1

A



B



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Figure 1. UBA5 pathogenic variants and cell models included in this study. (A) Schematic representation of UBA5 structure with functional domains, UIS (UFM1-interacting motif); UBS (UFC1-binding sequence). All *UBA5*-DEE patients sharing the p.A371T variant (yellow) are listed. The second allele being either premature truncation (red) or splicing defect variants (blue) predicted to undergo nonsense mediated decay or missense (purple). Each red, blue or purple symbol represents one patient. **(B)** Family pedigree of probands included in this study. Circle: female; square: male; filled: affected proband. **(C)** Genotypes of *UBA5* cell models used in this study; all iPSC/CO are derived from patient or parent fibroblasts.

162

**163 Modelling *UBA5* DEE using patient-derived cortical organoids shows deficit in GABAergic
164 pathway processes**

165 Previous investigations of *UBA5* pathogenic variants utilized patient-derived fibroblasts (7, 8), HEK293
166 cells (7), and *Drosophila* (7, 8, 40) to model various aspects of the disorder. However, these models are
167 not able to recapitulate human neurodevelopment. To establish a model that is suitable to study the impact

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Genotype	Affected	Unaffected control	iPSC/CO	U-87 MG
p.A371T/p.A123Gfs*4	+		+	
p.A371T/p.Q267*	+		+	
p.A371T/p.R55H	+			+
p.A371T/p.F292*	+			+
Wt/p.A371T		+	+	+
Wt/p.A123Gfs*4		+	+	
Wt/p.Q267*		+	+	+
Wt/p.R55H		+		+
Wt/p.C303*		+		+
p.A371T/p.A371T	+		+	+

168 on human neurodevelopment, we generated cortical organoids (CO) from patient-derived iPSC by
169 reprogramming fibroblasts from the $UBA5^{A371T/A123Gfs*4}$ and $UBA5^{A371T/Q267*}$ patients and their healthy
170 parents (as controls) (Fig. 1C). iPSC lines were assessed for the expression and localization of key
171 pluripotency markers, and chromosomal abnormalities (Fig. S1).

172

173 We first confirmed decreased expression of *UBA5* mRNA in proband CO. We observed no changes in
174 *UFM1*, *UFC1* and *UFBP1*, which are members in the ufmylation pathway (Fig. S2A), consistent with
175 previous investigation of fibroblasts from other *UBA5* patients (8). Next, we confirmed expressions of
176 cortical markers, including *FEZF2*, *BRN2*, *DCX*, *SATB2* and *CTIP2* (Fig. S2B). To interrogate potential
177 defects in corticogenesis, we performed the Gene Set Enrichment Analysis (GSEA), comparing bulk
178 RNAseq findings from proband and control (unaffected parents) CO. Pathway analysis revealed that
179 GABA neurotransmission and receptor signaling were among the top processes that were significantly
180 downregulated in both proband CO compared to controls (Fig. 2A), and the genes involved in GABA
181 receptor signaling were significantly downregulated in proband CO in both families (Fig. 2B). In
182 $UBA5^{A371T/Q267*}$ proband CO (Family 2), we also noted a significant increase in processes related to ER
183 homeostasis and UPR (Fig. 2A).

184

185 **Single-cell profiling of *UBA5* proband-derived CO shows deficit in GABAergic neuron population**

186 To better understand potential defects in cortical development, we performed single-cell RNA sequencing
187 (scRNAseq) to assess cellular diversity and cell-type specific transcriptomic signatures in day 100 CO
188 from Family 1. We included 2 different iPSC clones from $UBA5^{A371T/A123Gfs*4}$ proband and $UBA5^{Wt/A371T}$
189 control. Using unsupervised clustering, we identified 12 composite clusters using canonical marker genes

190 (Fig. 2C, Table S1). We identified two clusters of inhibitory GABAergic interneurons of the ganglionic
191 eminence and four clusters of excitatory neurons with identity matching specific cortical layers. We
192 identified three clusters of radial glial population, with a predominant late-stage, outer radial glial
193 population (cluster 4) expressing *TNC*, *FAM107A* and *HOPX*; early-stage radial glial population (cluster
194 6) expressing *TAGLN2* and *CRYAB*, and apical radial glia population expressing *BHLHE40* and *PLOD2*
195 (cluster 9) (41). Astrocytes are present in cluster 6 as identified by *S100B* and *GFAP*. Intermediate
196 progenitors (cluster 10) were identified based on expressions of *NEUROG1*, *EOMES* and *MKI67* (42). We
197 also detected a small cluster of cells (<1%) with choroid plexus identity (cluster 12) that expressed *MGST1*
198 and *FIBIN*(43). Cells within cluster 11 (<1%) expressed markers indicating cerebellum/rostral hindbrain
199 identity, such as *PAX2* and *BARHL* (44, 45).

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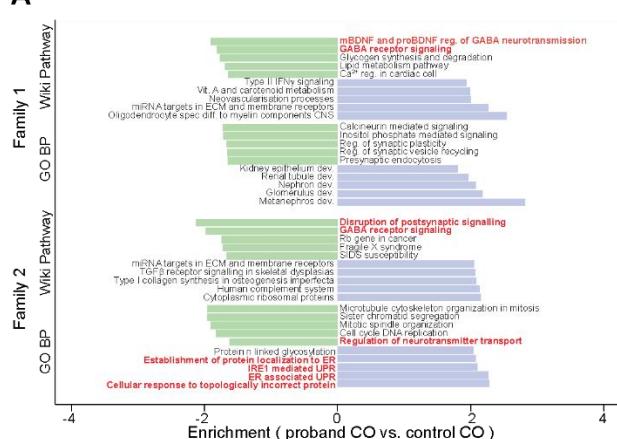
201 Most strikingly, we observed a drastic reduction in the relative proportion of GABAergic interneurons of
202 the ganglionic eminence in *UBA5* proband CO (~15%) compared to healthy parental controls (~40%)
203 (Fig. 2C and 2D). We identified two clusters of GABAergic interneurons expressing *GAD1*, *GAD2*,
204 *CALB2*, *DLX5*, *DLX2* and lacking *NEUROD2* and *NEUROD6* (46-49). These two clusters of GABAergic
205 interneurons are classified as early progenitors expressing *GAD2*, *NPY* and *GSX2* (cluster 7) and mature
206 interneurons expressing *CALB2* and *SCGN* (cluster 1). Expression analysis of GABAergic markers
207 showed reduction in proband CO, with *GAD2*, *SCGN* and *CALB2* being most drastically decreased (Fig.
208 2E and Fig. S4). On the other hand, we identified subtypes of glutamatergic excitatory neurons residing
209 in specific cortical layers, which are significantly increased in proband CO compared to control CO (Fig.
210 2C and 2D). For example, cluster 2 represents differentiating excitatory neurons as identified by *MEF2C*,
211 *TBR1* and *SATB2* and constituted ~23% of cells within proband CO, but only 12% in control CO. The
212 proportion of *TBR1* and *NRNI*-positive deep layer excitatory neurons in cluster 3 was also increased from

213 15% in control CO to 22% in proband CO. We did not observe any differences in cluster 5 and 8, which
214 were differentiating upper-layer excitatory neuron and upper-layer excitatory neurons, respectively, and
215 together constituted ~10% of cells. Collectively, these findings further highlight aberrant neocortex
216 development due to *UBA5* pathogenic variants. Lastly, we noted no difference in the proportion of
217 intermediate progenitors or radial glial cells between proband and control CO (Fig. 2C and 2D). Our
218 findings were reproducible across both clones in proband and healthy parental control.

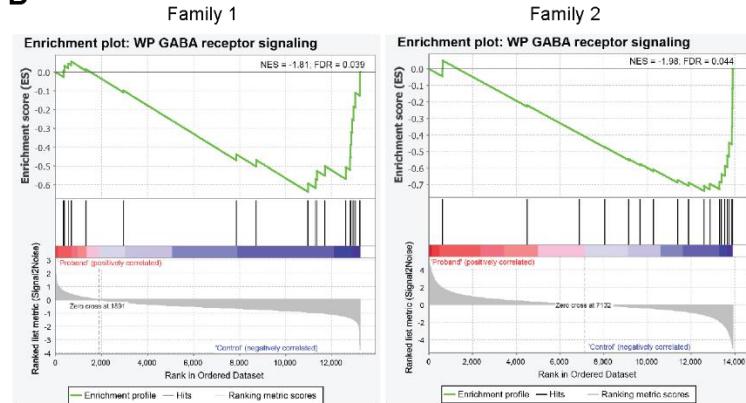
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Figure 2

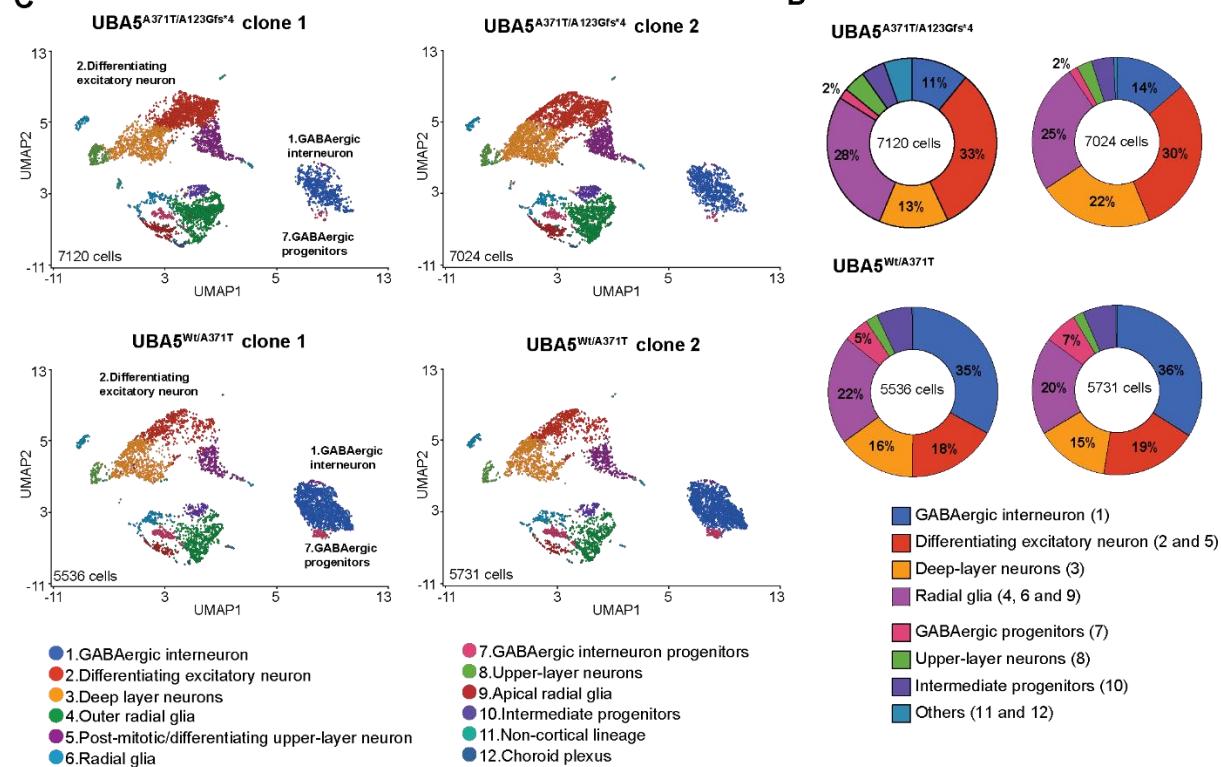
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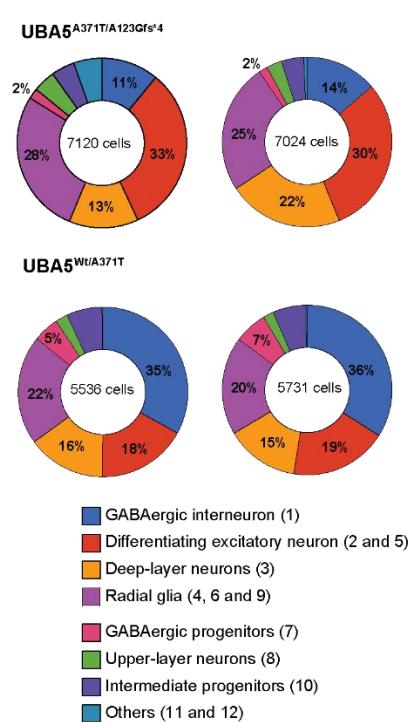
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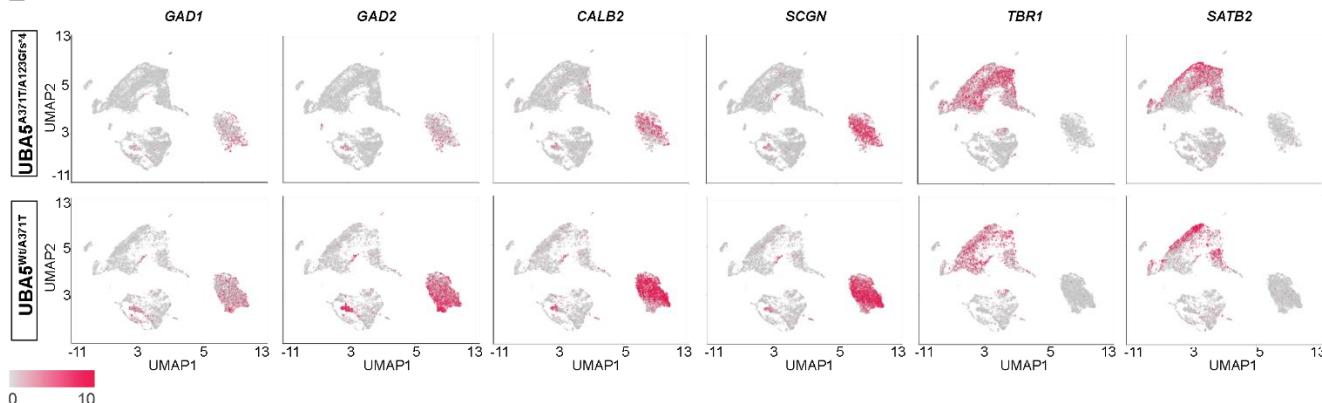
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D



E



221 **Figure 2. Bulk and single-cell RNA sequencing reveal a deficit in GABAergic interneurons processes**
222 **in 100-day old CO derived from *UBA5* proband. (A)** Normalized enrichment scores of gene sets
223 between proband and control CO from families 1 ($UBA5^{A371T/A123Gfs*4}$) and 2 ($UBA5^{A371T/Q267*}$) as
224 determined by GSEA. The top five pathways from GO Biological Process and Wiki pathways databases
225 are shown. **(B)** The enrichment plots for GABA receptor signaling from Wiki pathways in families 1
226 ($UBA5^{A371T/A123Gfs*4}$) and 2 ($UBA5^{A371T/Q267*}$). **(C)** UMAP plot showing unbiased clustering of cell types,
227 31,950 cells. **(D)** Organoid cell type contribution for between genotypes, cluster identify from **(C)** as
228 indicated. **(E)** Feature plots showing reduced expression of GABAergic interneuron genes in *UBA5*
229 proband compared to control CO (both clones are combined in each plot).

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231 **GABAergic markers are reduced in D100 CO derived from *UBA5* probands**

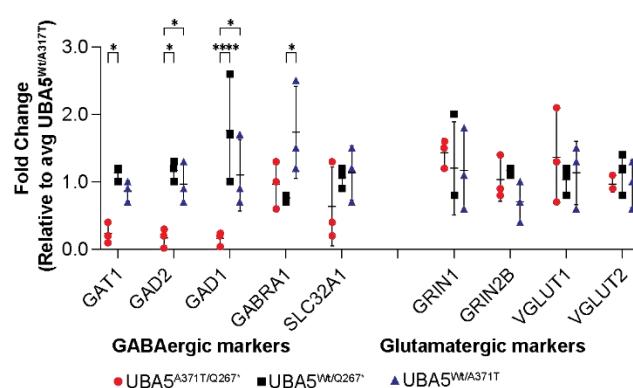
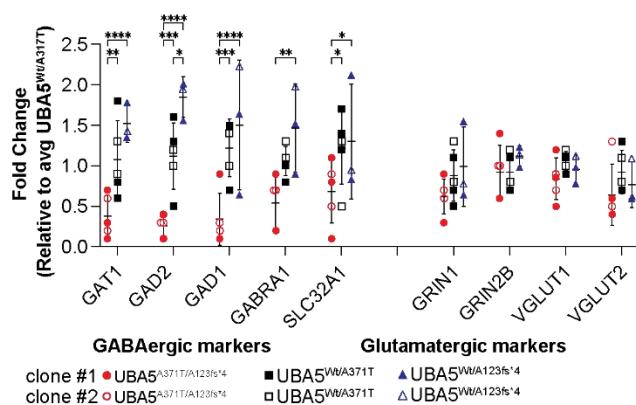
232 To validate whether expression of GABAergic and glutamatergic markers are altered in CO derived from
233 *UBA5* probands, we performed qRT-PCR and immunoblotting analyses on 100-day old CO.
234 Immunoblotting identified two isoforms of UBA5: a ~45kDa protein (NP_079094.1) encoded by a 4692-
235 nt canonical transcript (NM_024818.6), and a ~39kDa protein (NP_938143.1) encoded by a 4563-nt
236 transcript (NM_198329.4), which is the minor contributor. Both isoforms of UBA5 are significantly
237 reduced in $UBA5^{A371T/A123Gfs*4}$ and $UBA5^{A371T/Q267*}$ proband CO compared to $UBA5^{Wt/A371T}$ CO (Fig. 3C).
238 In the two other healthy parental control CO, UBA5 expression is also reduced to half, likely due to
239 nonsense mediated decay of the mutant allele. In proband CO, qRT-PCR analysis revealed significant
240 decreased levels of GABAergic markers, *GAT1*, *GAD2*, *GAD1*, *GABRA1* and *SLC32A1* (Fig. 3A), findings
241 supported by orthogonal immunoblotting assays (Fig. 3B and Fig. S2C). Analysis of glutamatergic
242 markers showed no differences between proband and control CO. Thus, the observed increase in
243 glutamatergic excitatory neurons in our scRNASeq analysis is likely proportional, not absolute change,

244 whereas the total number of GABAergic interneurons are reduced in proband CO. Next, we performed
 245 whole mount immunofluorescent staining of SATB2 and CTIP2 after tissue clearing to assess CO
 246 structures (Fig. 3C). Quantification of layer-specific neurons showed the proportion of both SATB2+ and
 247 CTIP2+ cells are similar between proband and healthy control CO (Fig. 3D)

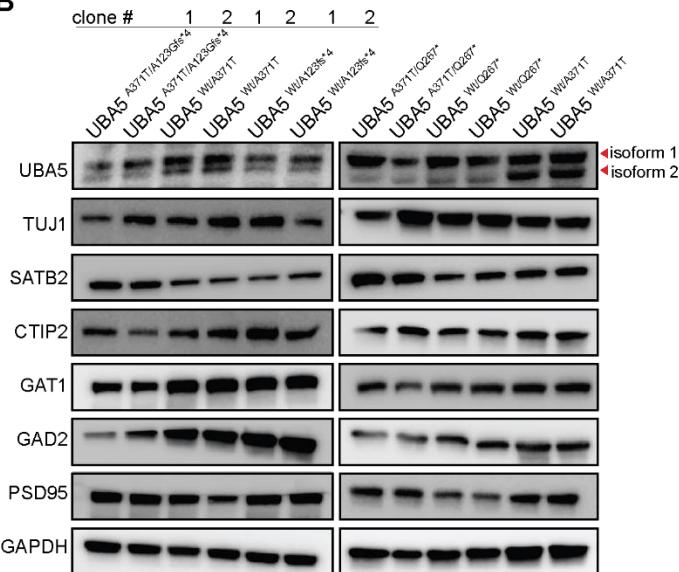
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Figure 3

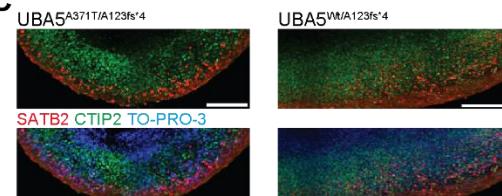
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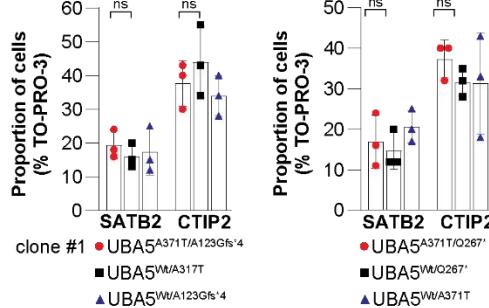
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250 **Figure 3. GABAergic markers are reduced in 100-day old CO derived from *UBA5* probands. (A)**
251 Transcript level of GABAergic markers are significantly reduced in CO derived from *UBA5* probands
252 compared to control CO, normalized to *UBA5*^{WT/A371T}. Each data point represents three CO, plotted as
253 mean \pm SD. *P<0.05, **P<0.01, ***P<0.001 and ****P<0.0001. **(B)** Immunoblot analysis shows reduced
254 expression of both isoforms of *UBA5* and confirms reduced expression of GAD2 in CO derived from
255 *UBA5* probands compared to control CO. GAPDH served as loading control. All images shown in the
256 manuscript are representative images of 3 independent experiments. **(C)** Staining for cortical layer
257 identities of CO shows later-born surface-layer neurons (SATB2) populate the superficial regions of the
258 organoid, whereas early-born deep-layer neurons (CTIP2) populate the inner regions of the organoid.
259 Scale bars: 50 μ m. **(D)** Proportion of cells expressing SATB2 or CTIP2 in CO. Each data point represents
260 one CO, plotted as mean \pm SD. ns: not significant.

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262 **CO derived from *UBA5* probands showed reduced growth and aberrant neuronal activity**

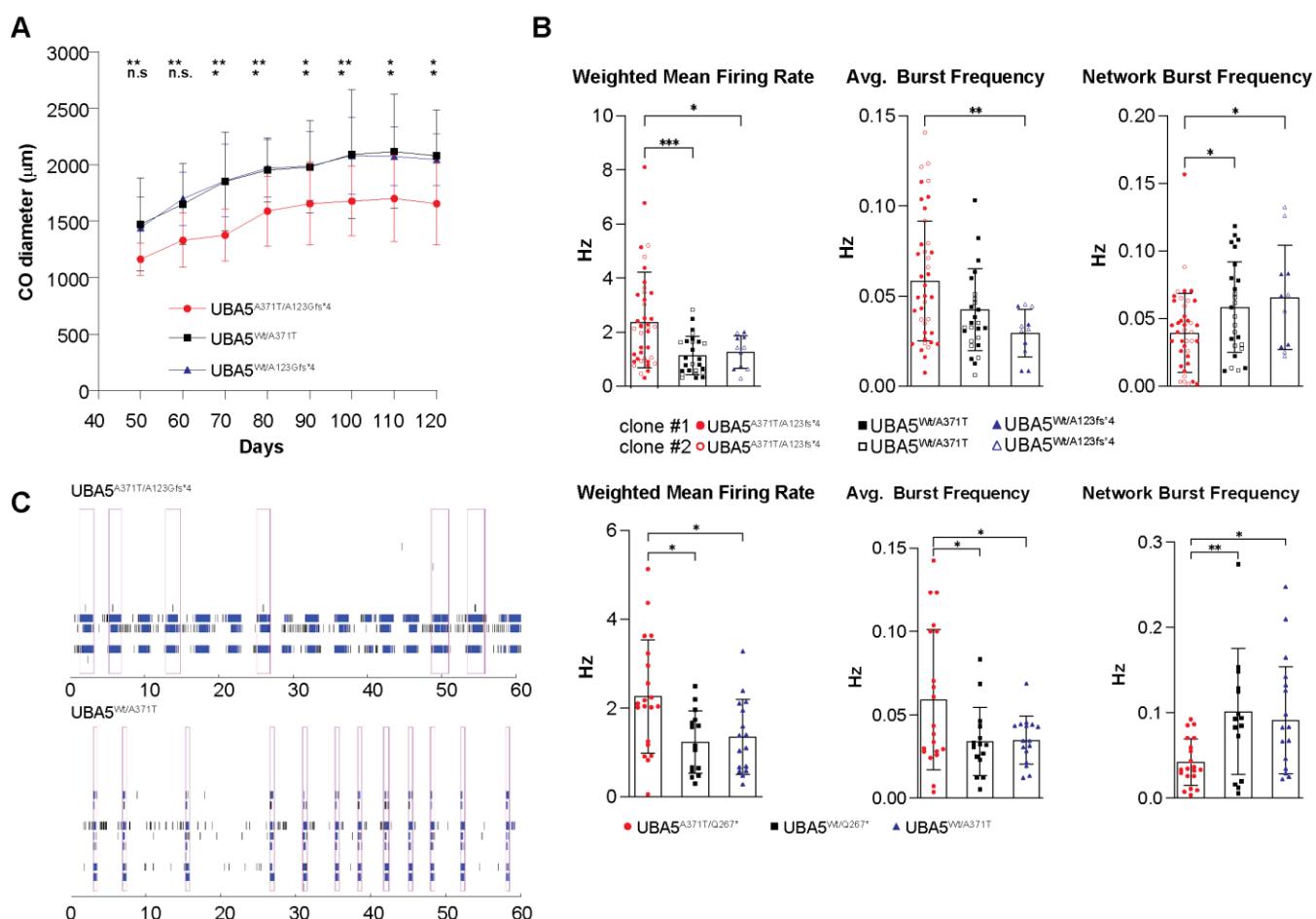
263 To investigate whether proband CO modelled progressive microcephaly as seen in *UBA5* patients (7, 8),
264 we measured the diameter of CO from day 50 to 120 (Fig. 4A). Proband CO was consistently 25% smaller
265 than control CO. Between day 50 and 120, proband and control CO all grew by ~40%, with no differences
266 in the growth rate. Using our differentiation protocol, we demonstrated that the proband CO recapitulates
267 morphological features of microcephaly seen in *UBA5* patients (4, 5, 7, 8).

268

269 One clinical feature of *UBA5* associated DEE is abnormal EEG and seizures (5, 7, 8). To assess
270 spontaneous neuronal activity, we performed recordings of CO using a multi-electrode array (MEA) (Fig.
271 4B). On day 100, one CO was plated per well and recordings were performed beginning on day 110.

272 Proband CO exhibited consistent increases in weighted mean firing rate and averaged burst frequency.
273 Interestingly, we noted proband CO exhibited reduced network burst frequency (Fig. 4C). Network
274 behavior is an important aspect of neurodevelopment (50-52), and epileptic seizure is not a synchronous
275 hyperactive state (53-55). We performed recording of the same CO every 10 days for a 35-day period and
276 observed persistent aberrant neuronal activity (Fig. S5). Moreover, we noted these neuronal defects in
277 both probands. Thus, increased neuronal firing and burst frequency, along with decreased network bursts,
278 are shared features in *UBA5* cellular models.

Figure 4



279

280 **Figure 4. CO derived from *UBA5* proband showed reduced size and aberrant network activity. (A)**
281 Growth trajectory of CO as measured by CO diameter. The same 11-15 CO were measured from D50 to

282 120, plotted as mean \pm SD. *P<0.05 and **P<0.01. ns: not significant. **(B)** Functional characterization of
283 D110 CO derived from *UBA5* probands using MEA showing changes in weighted mean firing rate,
284 averaged burst frequency and network burst frequency compared to control CO. Each data point represents
285 one CO, plotted as mean \pm SD. *P<0.05, **P<0.01 and ***P <0.001. **(C)** Representative raster plot of
286 neural network activity. Each black tick indicates the time a spike occurred; blue tick indicates the spikes
287 are part of a burst and magenta rectangles indicate a network burst event. Each row represents a recording
288 from an electrode.

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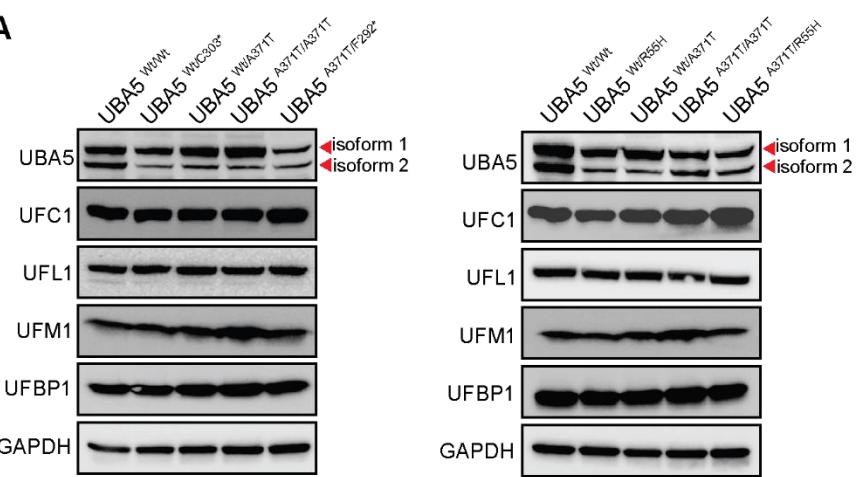
290 ***UBA5* pathogenic variants disrupt the ufmylation pathway in engineered U-87 MG cells**

291 To investigate the molecular aberrations of *UBA5* pathogenic variants, we generated U-87 MG cells
292 carrying compound heterozygous pathogenic variants in *UBA5* (Fig. 1C). We generated two cell lines,
293 *UBA5*^{A371T/R55H} and *UBA5*^{A371T/F292*}, to represent p.A371T/loss-of-function genotypes, along with
294 heterozygous control lines (*UBA5*^{Wt/A371T}, *UBA5*^{Wt/R55H} and *UBA5*^{Wt/C303*}). The *UBA5*^{A371T/R55H} variant
295 was previously identified in five individuals in two families; the R55H missense variant produced by the
296 c.164G>A point mutation alters splicing and causes exon skipping of exon 2, leading to nonsense-
297 mediated decay (8, 56). *UBA5*^{A371T/F292*} was generated to model compound heterozygous p.A371T with
298 a premature truncation variant, present in 12 individuals (3, 6-8). Most importantly, we generated a
299 *UBA5*^{A371T/A371T} line to compare homozygous p.A371T variant with wildtype *UBA5*, given that
300 individuals homozygous for p.A371T do not present features associated with *UBA5*-DEE (5, 7, 8).
301 Immunoblotting analysis detected reduced abundance of both isoforms of *UBA5* in *UBA5*^{A371T/R55H},
302 *UBA5*^{A371T/F292*}, *UBA5*^{Wt/R55H}, and *UBA5*^{Wt/C303*} compared to *UBA5*^{Wt/Wt} cells as predicted (Fig. 5A and
303 Fig. S6C). Transcript level and protein abundance of other components of the ufmylation pathway were

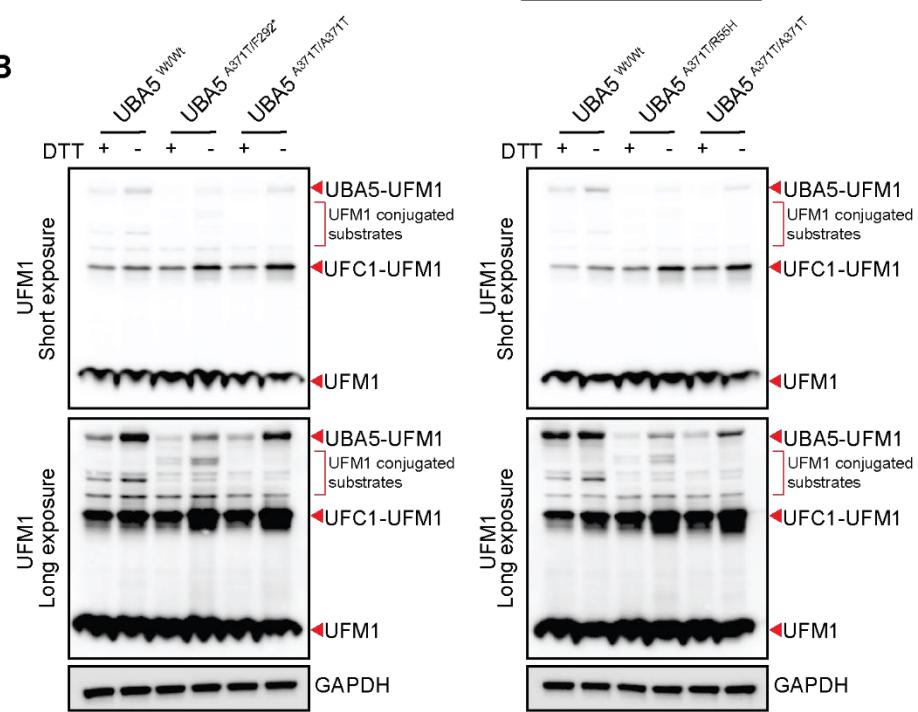
304 not altered in UBA5^{A371T/R55H} and UBA5^{A371T/F292*} cells (Fig. 5A and Fig. S6A). Immunofluorescence
305 staining showed similar cytoplasmic localization of UBA5 in all cell lines (Fig. S6B). To determine the
306 functional capacity of the E1-like activity of UBA5 in cells, we performed immunoblotting assays with
307 both reduced and non-reduced lysates to detect UFM1-UBA5 and UFM1-UFC1 conjugates (Fig. 5B).
308 Together, these findings demonstrate that cells expressing compound heterozygous *UBA5* mutations
309 exhibit significant reduction of ufmylation activity, while homozygous UBA5^{A371T/A371T} cells showed
310 slight reduction, though not significant, further highlighting the milder effects of the p.A371T variant.

Figure 5

A



B



312 **Figure 5. Characterization of the ufmylation pathway in U-87 MG cells expressing *UBA5* pathogenic**
313 **variants. (A)** Immunoblot analysis of various components of the ufmylation pathway, showing decreased
314 UBA5 levels for both isoforms in cells expressing UBA5^{A371T/F292*} and UBA5^{A371T/R55H}, with no changes
315 observed in cells expressing UBA5^{A371T/A371T} compared to wildtype. GAPDH served as loading control.
316 All images shown in the manuscript are representative images of 3 independent experiments. **(B)**
317 Immunoblot analysis of UFM1 conjugates with and without reducing agent, DTT. GAPDH served as

318 loading control. All images shown in the manuscript are representative images of 4 independent
319 experiments.

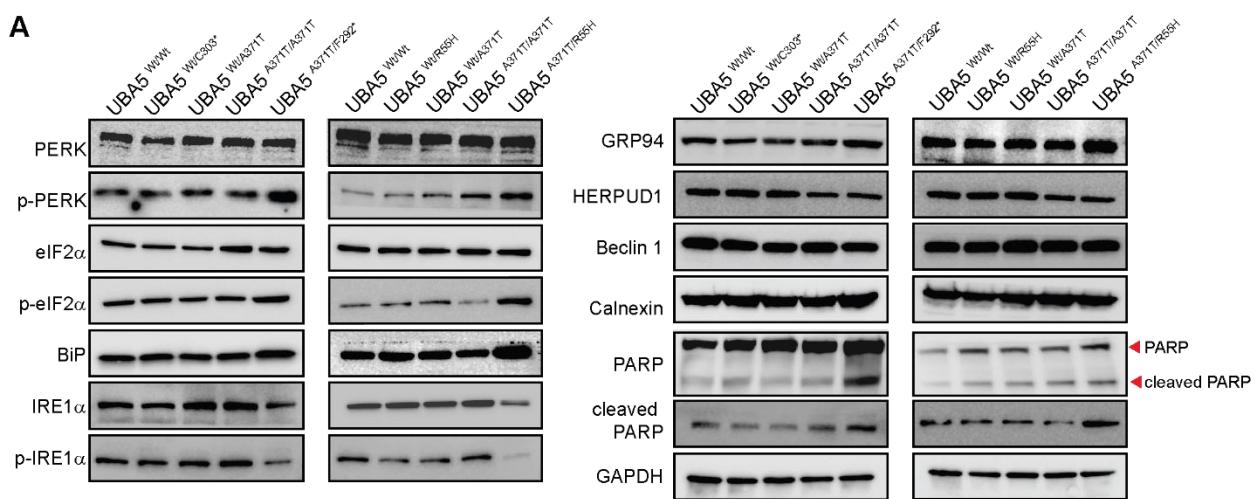
320 ***UBA5* pathogenic variants disturb ER homeostasis in U-87 MG cells and CO**

321 ER homeostasis is essential to the maintenance of intracellular calcium and the biosynthesis, folding and
322 transport of proteins, lipids and sterols (57). Various cellular aberrations can lead to ER stress and trigger
323 the unfolded protein response (UPR) to alter transcriptional and translational programs in cells (58).
324 However, if UPR fails to restore ER homeostasis, apoptotic programs are then activated as a result (58).
325 UPR relays four interlinked mechanisms to accommodate protein folding during ER stress: 1) translation
326 attenuation (59, 60); 2) increase expression of ER chaperones (60); 3) induction of ER-associated
327 degradation (ERAD) machinery (61) and 4) ER size expansion. The ufmylation pathway is implicated in
328 the maintenance of ER homeostasis and stress response (16, 21-26). To better understand the cellular
329 consequences of *UBA5* variants, we examined signaling pathways dictated by the three main proteins that
330 relay UPR at the ER membrane: 1) double-stranded RNA-activated protein kinase (PKR)-like
331 endoplasmic reticulum kinase (PERK); 2) activating transcription factor 6 (ATF6) and 3) inositol requiring
332 kinase 1 (IRE1). PERK phosphorylates ribosomal initiating factor (eIF2 α), and p-eIF2 α will attenuate
333 protein translation and promote the translation of activating transcription factor 4 (ATF4), which promotes
334 the expression of ER chaperones, ERAD proteins and apoptosis factors (59, 60). We observed a significant
335 increase in the active form of PERK (p-PERK) and p-eIF2 α in cells expressing *UBA5* pathogenic variants,
336 *UBA5*^{A371T/R55H} and *UBA5*^{A371T/F292*} (Fig. 6A and Fig. S7A). The second effector is ATF6, which
337 translocates to the nucleus to elicit transcription upregulation of UPR target genes that overlap with those
338 activated by ATF4 (61-63). There is increased nuclear localization of ATF6 in *UBA5*^{A371T/R55H} and
339 *UBA5*^{A371T/F292*} cells (Fig. 6B), along with increased nuclear expression of a downstream target, CHOP
340 (Fig. 6C). We observed augmented expression of other target genes such as BiP and GRP94 (Fig. 6A and

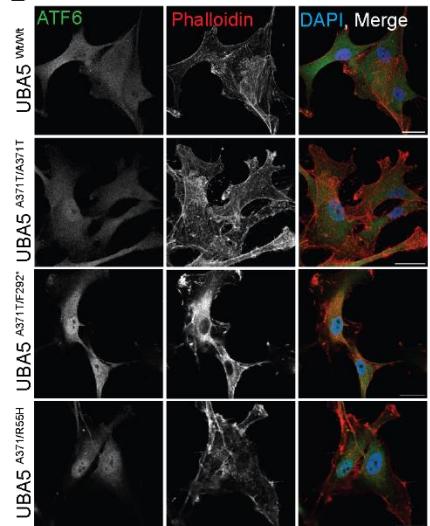
341 Fig. S7A). The last main branch of UPR is via IRE1, which autophosphorylates to activate its
342 endoribonuclease activity, and then splices *XBPI* mRNA to produce a transcription factor that promotes
343 gene expression of UPR target genes (64). In *UBA5^{A371T/R55H}* and *UBA5^{A371T/F292*}* cells, we noted
344 significant decrease in the abundance of both IRE1 α and phosphorylated IRE1 α (p-IRE1 α) (Fig. 6A and
345 Fig. S7A), consistent with previous studies showing IRE1 α stability is dependent on the ufmylation
346 pathway (65, 66). We quantified that the amount of spliced *XBPI* is significantly less in both
347 *UBA5^{A371T/R55H}* and *UBA5^{A371T/F292*}* cells (Fig. S7B), consistent with the notion that the endoribonuclease
348 activity of IRE1 α is reduced. Lastly, we measured ER size using calnexin (Fig. 6D and 6E), a membrane
349 chaperon protein whose abundance was not altered by *UBA5* pathogenic variants (Fig. 6A and 6D) and
350 noted a significant increase in relative ER size in *UBA5^{A371T/R55H}* and *UBA5^{A371T/F292*}* cells. Expansion of
351 ER volume was previously observed in fibroblasts from *UBA5* patients (7). Prolonged, unresolved ER
352 stress results in activation of the caspase cascade and then apoptosis (67); immunoblotting analysis
353 showed increase in the cleavage of poly(ADP)-ribose polymerase (PARP) in *UBA5^{A371T/R55H}* and
354 *UBA5^{A371T/F292*}* lysates (Fig. 6A and Fig. S7A), suggesting that *UBA5* pathogenic variants cause apoptosis
355 due to unresolved ER stress. Examination of *UBA5^{A371T/A371T}* cells showed no differences in the
356 expression of UPR proteins and ER swelling (Fig. 6A-E and Fig. S7A) when compared to wildtype cells,
357 further contributing to the notion that homozygous expression of the p.A371T is not sufficient to cause
358 cellular defects. Furthermore, we observed a similar increase in p-PERK and p-eIF2 α levels and decrease
359 in IRE1 α level in day-100 proband CO compared to control CO (Fig. 6F and Fig. S7C). Together, these
360 findings suggest that ER homeostasis is perturbed with exacerbated UPR in cells expressing pathogenic
361 *UBA5* variants.

Figure 6

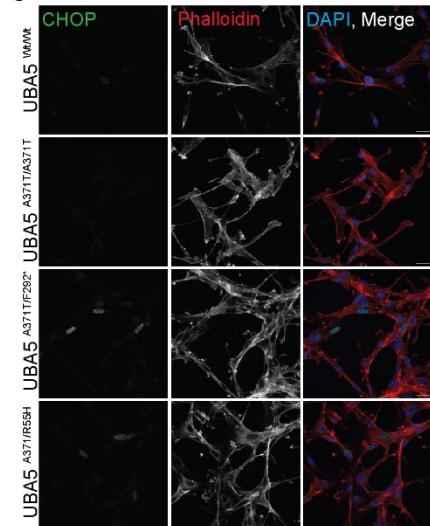
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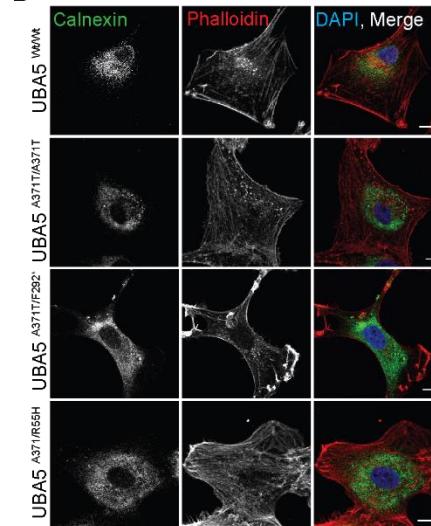
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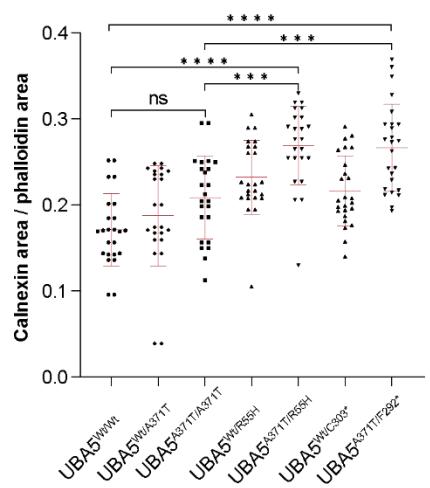
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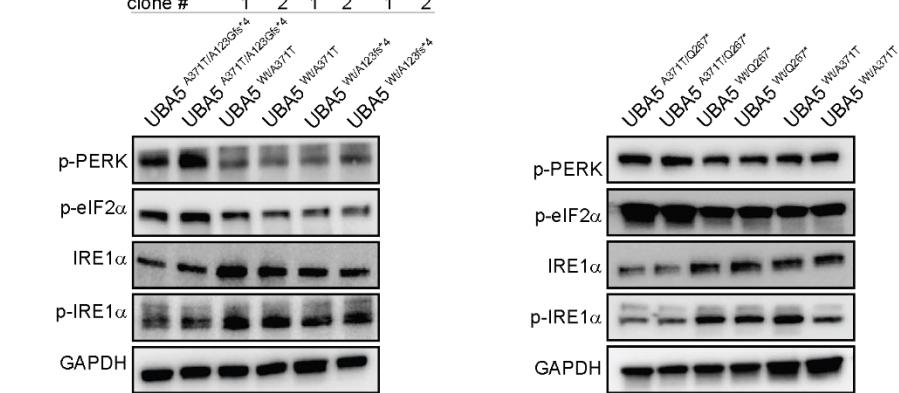
D



E



F



363 **Figure 6. U-87 MG cells expressing *UBA5* pathogenic variants exhibit perturbed ER homeostasis.**

364 **(A)** Immunoblot analysis showing elevated expression of various components of the UPR pathway in cells
365 expressing $UBA5^{A371T/F292*}$ and $UBA5^{A371T/R55H}$, with no changes observed in cells expressing $UBA5^{A371T/A371T}$
366 compared to wildtype. GAPDH served as loading control. All images shown in the manuscript are
367 representative images of 3 independent experiments. **(B- D)** Representative images of immunofluorescent
368 staining with **(B)** ATF6 and phalloidin, **(C)** CHOP and phalloidin and **(D)** calnexin and phalloidin in U-
369 87 MG cells. Scale bars: 10 μ m. **(E)** Quantitation of relative ER area as measured by calnexin
370 area/phalloidin area from **(D)**. Each data point represents one image, plotted as mean \pm SD. ***P<0.001,
371 ****P<0.0001 and ns: not significant. **(F)** Immunoblot analysis showing elevated expression of various
372 components of the UPR pathway in 100-day old proband CO. GAPDH served as loading control. All
373 images shown in the manuscript are representative images of 3 independent experiments.

374

375 **Pathogenic *UBA5* variants respond to small molecule-induced ER stress similar to wildtype *UBA5***

376 Next, we sought to determine whether cells expressing *UBA5* pathogenic variants can recognize and
377 respond to induced ER stress given that ER homeostasis is disturbed. We utilized two small molecules to
378 induce two different sources of ER stress: Thapsigargin (TG) raises intracellular calcium while depleting
379 ER calcium storage, and Bafilomycin A (BFA) causes cytoplasmic accumulation of H^+ by inhibiting V-
380 ATPase. We added either small molecule to induce ER stress in U-87 MG cells, then quantified key UPR
381 markers by immunoblotting or immunofluorescence. We noted significant increase in BiP, HERPUD1, p-
382 PERK and p-eIF2 α upon TG or BFA stimulation in all cell lines examined ($UBA5^{Wt/Wt}$, $UBA5^{A371T/A371T}$,
383 $UBA5^{A371T/R55H}$ and $UBA5^{A371T/F292*}$; Fig. S8A). Immunofluorescence images show increased nuclear
384 ATF6 and CHOP in all cell lines, suggesting that response to TG- or BFA-induced ER stress is similar
385 regardless of *UBA5* genotype (Fig. S8B and S8C). Together, these findings show that despite perturbed

386 ER homeostasis caused by *UBA5* pathogenic variants, the cells are still able to generate an appropriate
387 response upon small molecule-induced ER stress.

388

389 **Application of synthetic SINEUP increases abundance of *UBA5* gene product and restores ER
390 homeostasis in U-87 MG cells**

391 We designed two synthetic SINEUP constructs that are specific for *UBA5* mRNA (isoform 1:
392 NM_024818.6) based on a previously published design that targets *eGFP* mRNA (33). Both synthetic
393 SINEUP constructs contains a 72-nucleotide 5' pairing sequence as the binding domain that confers *UBA5*
394 specificity, and a 167-nucleotide interspersed nuclear element (SINE) B2 sequence as the effector domain
395 together on a lentivirus-ready plasmid (Fig. 7A). We introduced a SINEUP construct or a non-targeting
396 control (NTC) to cells expressing *UBA5*^{A371T/R55H} and *UBA5*^{A371T/F292*} via lentiviral transduction and
397 examined *UBA5* expression after 72 hours (Fig. 7B). We noted a modest ~1.5-fold increase in *UBA5*
398 expression with SINEUP treatment compared to NTC (Fig. 7C). *UBA5* expression following SINEUP is
399 similar to cells expressing *UBA5*^{A371T/A371T} (Fig. 7B), and response to SINEUP treatment is specific for
400 isoform 1 (as designed). As expected, we see no changes to *UBA5* mRNA with SINEUP treatment,
401 indicating a translational enhancement (Fig. S9A). We observed increased *UBA5*-UFM1 conjugates after
402 SINEUP application (Fig. S9B). Additionally, we continue to see augmented *UBA5* expression up to 12
403 days after application of SINEUP, but with a minimum 3.5-fold increase instead, likely due to multiple
404 integration of the SINEUP constructs into the genome (Fig. S9C).

405

406 Immunoblotting analyses showed after 72 hours of SINEUP application in U-87 MG cells expressing
407 *UBA5* pathogenic variants restored appropriate expression of UPR proteins, reducing p-PERK and p-

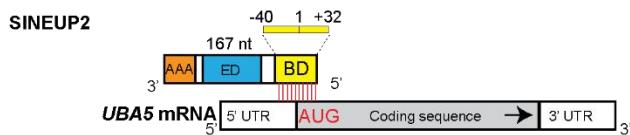
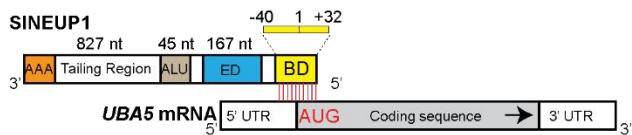
408 eIF2 α and increasing IRE1 α (Fig. 7B). Target genes including BiP and GRP94 are also downregulated as
409 a result, and PARP cleavage is also significantly reduced, similar to levels observed in UBA5^{A371T/A371T}.
410 Quantification of ER size also showed reduction in ER swelling in UBA5^{A371T/R55H} and UBA5^{A371T/F292}
411 cells after SINEUP application (Fig. 7D). Together, these findings show that application of synthetic
412 SINEUP constructs can modestly augment the expression of UBA5 and UBA5-UFM1 conjugates, thus
413 rescuing the defects in ER homeostasis.

414

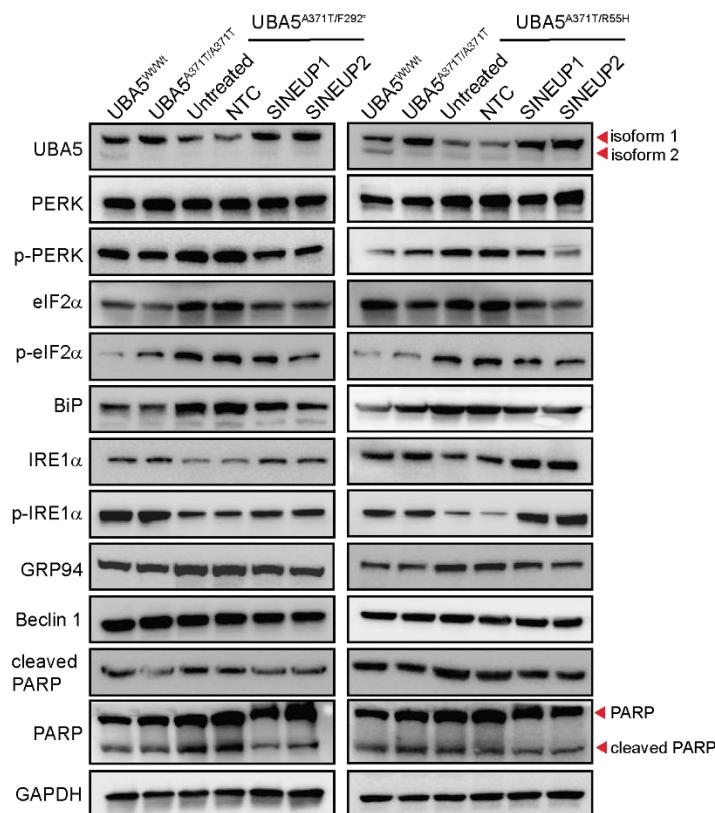
415 Next, we used CRISPRa-dCas9 method to increase *UBA5* gene expression as an alternative mechanism
416 for rescue. We established UBA5^{A371T/R55H} and UBA5^{A371T/F292*} cells that stably express dCas9^{-VPR}, where
417 dCas9 is fused to a tripartite activator, VP64-p65-Rta (VPR) (68) (Fig. S9D), and designed 5 different
418 sgRNA within the promoter element of *UBA5*. *UBA5* sgRNA were delivered via lentiviral transduction,
419 and after 72 hours, all five sgRNA led to increased UBA5 expression when compared to the NTC construct
420 in both compound heterozygous cell lines (Fig. 7E, 7F and Fig. S9E). At the protein level, we quantified
421 a modest 2-fold increase for all sgRNA in both cell lines (Fig. 7F). It's important to note that all sgRNA
422 target *UBA5* genomic sequence, thus enhancing the expression of both isoforms, unlike SINEUP that is
423 designed to target the processed mRNA of isoform 1. Moreover, we noted restoration of UPR protein
424 expressions following augmented UBA5 expression, suggesting ER homeostasis is restored (Fig. 7E).

Figure 7

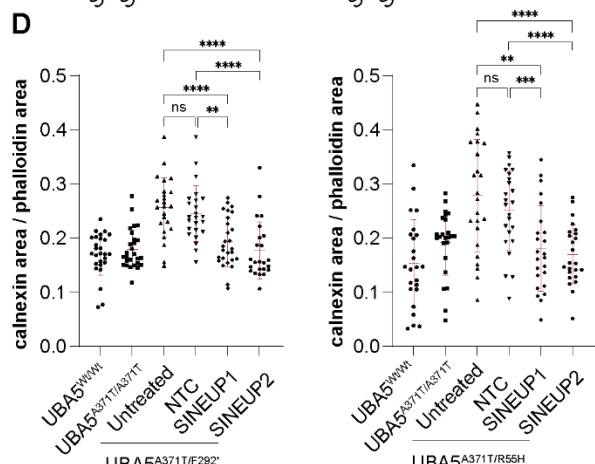
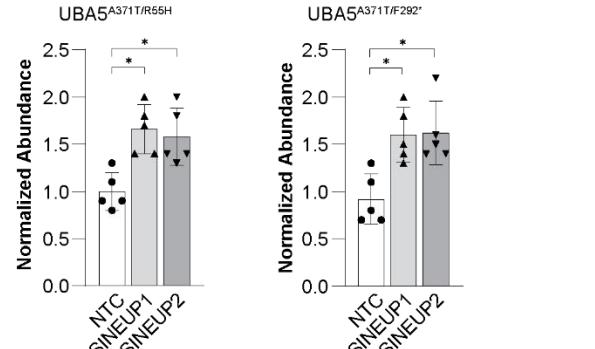
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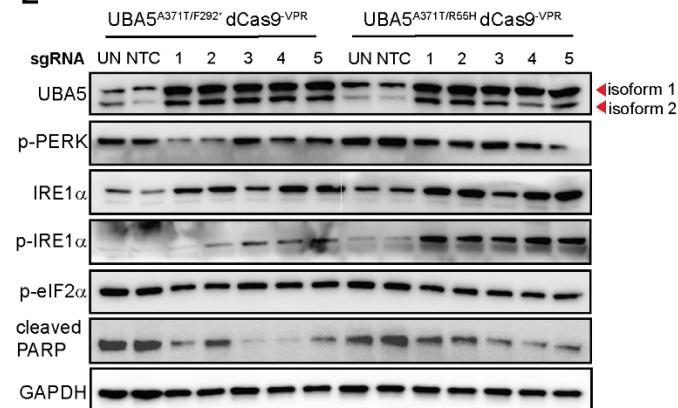
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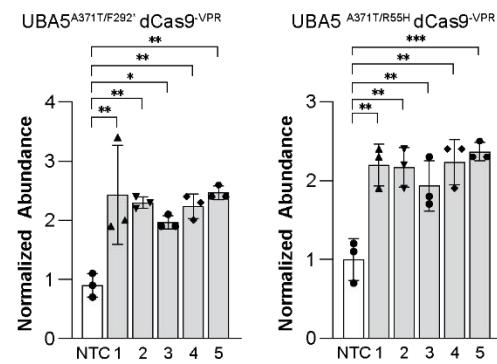
C



E



F



426 **Figure 7. Genetic rescue of *UBA5* expression restores ER homeostasis in U-87 MG cells expressing**
427 ***UBA5* pathogenic variants. (A)** Schematic representation of synthetic SINEUP. SINEUP binding domain
428 (yellow box: BD; 72nt) overlaps, in antisense orientation to sense coding sequence *UBA5* mRNA (grey
429 box). SINEUP effector domain (blue box: ED; 167nt) contains an inverted SINBE2 element. Other
430 components include partial ALU repeats (brown box: ALU; 45nt), tailing region (white box; 827nt) and a
431 poly (A) tail (orange box: AAA). **(B)** Immunoblot analysis showed restored expression UBA5 and UPR
432 pathway in cells following 72 hr of SINEUP treatment. NTC served as negative control for SINEUP.
433 GAPDH served as loading control. All images shown in the manuscript are representative images of 3-5
434 independent experiments. **(C)** Quantification of UBA5 protein abundance following SINEUP treatment,
435 first normalized to GAPDH, and then to averaged NTC treatment. Each data point represents one
436 experiment, plotted as mean \pm SD. *P<0.05. **(D)** Quantitation of relative ER area as measured by calnexin
437 area/phalloidin area in cells following 72 hr of SINEUP treatment showed reduction in ER expansion.
438 NTC served as negative control for SINEUP. Each data point represents one image, plotted as mean \pm SD.
439 **P<0.01, ***P<0.001, ****P<0.0001 and ns: not significant. **(E)** Immunoblot analysis showed restored
440 expression UBA5 and UPR pathway in cells following 72 hr of sgRNA treatment. NTC served as negative
441 control for sgRNA. GAPDH served as loading control. All images shown in the manuscript are
442 representative images of 3 independent experiments. **(F)** Quantification of UBA5 protein abundance
443 following sgRNA treatment, first normalized to GAPDH and then to NTC treatment. Each data point
444 represents one experiment, plotted as mean \pm SD. *P<0.05, **P<0.01 and ***P < 0.001.

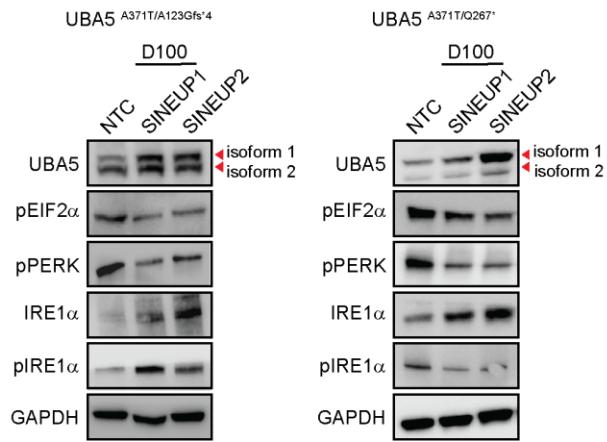
445

446 **Application of synthetic SINEUP increases abundance of *UBA5* gene product and transiently**
447 **rescues aberrant neuronal activity in patient-derived CO**

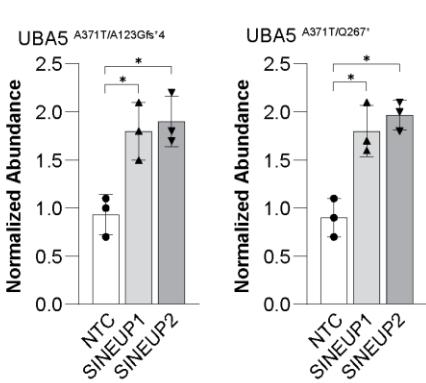
448 Given that restoring *UBA5* expression in U-87 MG cells was able to rescue associated cellular defects, we
449 then asked whether application of SINEUP in patient-derived CO can rescue aberrant neuronal firing. We
450 delivered synthetic SINEUP constructs to 100-day old CO cultures via lentiviral transduction and
451 quantified a 1.5-fold increase in *UBA5* (isoform 1) expression at 72 hours after transduction (Fig. 8A and
452 8B). We also observed rescue of UPR protein expression in proband CO following SINEUP application
453 (Fig. 8A). Next, we measured the weighted mean firing rate of CO during a 2.5 week time span (Fig. 8C).
454 On day 115, one CO was plated per well in an MEA plate and allowed to attach for the next 10 days. On
455 day 125 (D-5), a recording was taken to establish baseline activity, then on day 130 (D0), SINEUP
456 constructs were introduced, and another recording was taken immediately, then at D2, 3, 4 and 12 after
457 SINEUP application. We observed a reduction in weighted mean firing rate for both proband CO between
458 D2 to D4 after SINEUP application, in comparison to untreated CO or CO treated with NTC. Interestingly,
459 we observed this rescue is only transient as SINEUP treated CO showed similar weighted mean firing rate
460 as untreated or NTC at D12. Collectively, these findings suggest that increasing expression of the shared
461 p.A371T allele may alleviate *UBA5*-associated disease phenotypes.

Figure 8

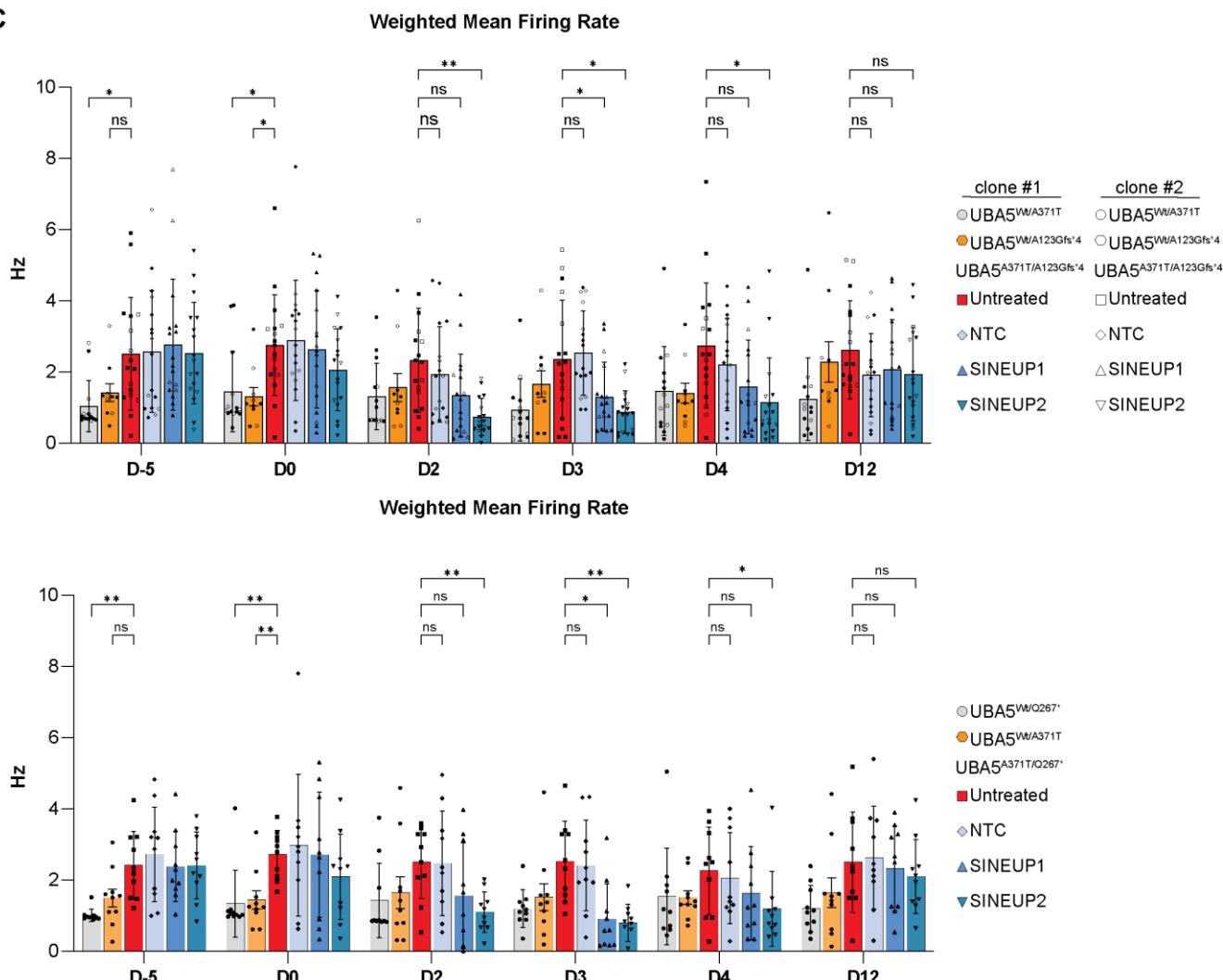
A



B



C



463 **Figure 8. Genetic rescue of *UBA5* expression by SINEUP rescues electrophysiological defects in**
464 **proband CO. (A)** Immunoblot analysis restored expression UBA5 and UPR pathway in 100-day old CO
465 derived from UBA5 probands after 72 hr of SINEUP treatment. NTC served as negative control for
466 SINEUP. GAPDH served as loading control. All images shown in the manuscript are representative
467 images of 3 independent experiments. **(B)** Quantification of UBA5 protein abundance following SINEUP
468 treatment, first normalized to GAPDH, and then to averaged NTC treatment. Each data point represents
469 one experiment, plotted as mean \pm SD. *P<0.05. **(C)** Weighted mean firing rate of proband CO as
470 measured by MEA. The same set of 125-day old CO were measured 5 days prior (D-5) to SINEUP
471 treatment (D0), then measured at D2, D3, D4 and D12 after SINEUP treatment. Each data point represents
472 one CO, plotted as mean \pm SD. *P<0.05, **P<0.01 and ns: not significant.

473

474 **Discussion**

475 The clinical phenotypes presented in *UBA5*-associated DEE most strikingly affect the brain (1-8), despite
476 *UBA5* being ubiquitously expressed in all tissues and during all stages of life. Germline knockout of *Uba5*
477 in mice results in embryonic lethality (27) and there are no known individuals with UBA5-associated DEE
478 with biallelic null variants. A recent study utilized humanized *Drosophila* models to assess the molecular
479 strength of patient variants and was able to empirically classify the severity of disease-causing alleles, but
480 did not investigate neuronal defects (40). Thus, there is still no patient-derived disease model to investigate
481 development of the brain, assess clinical phenotypes and test potential therapy. In this study, we identified
482 two patients with previously unpublished nonsense *UBA5* variants on one allele (p.Q267* and
483 p.A123Gfs*4) and a recurrent hypomorphic variant on the other allele (p.A371T) that presented with
484 *UBA5*-associated DEE phenotypes. We established cortical organoid models of these patients, along with

485 healthy parental controls to investigate the human-specific pathogenesis of *UBA5*^{A371T/Q267*} and
486 *UBA5*^{A371T/A123Gfs*}⁴. We applied unbiased bulk and single-cell RNAseq analyses that identify significant
487 reduction in GABAergic inhibitory processes. Our scRNAseq analysis uncovered a striking deficit in both
488 progenitors and mature inhibitory GABAergic interneurons.

489

490 We noted a significant reduction of GABAergic markers *GAD1* and *GAD2*, which encode the enzymes
491 that convert glutamate to GABA; expression of *GAD2* is necessary for promoting the differentiation of
492 GABAergic interneurons (69, 70). This suggests that the loss of GABAergic neuron population observed
493 in CO derived from *UBA5* proband may be due to insufficient expression of *GAD1* and *GAD2* during
494 differentiation. Interestingly, we noted a more significant reduction in the expression of *GAD2* compared
495 to *GAD1* in proband CO (Fig. 2E). In mature GABAergic interneurons, GAD1 and GAD2 perform distinct
496 functions within the same cell. GAD1 synthesizes GABA for tonic, non-vesicle neurotransmitter release,
497 whereas GAD2 is localized in the axon terminals on membranes and synaptic vesicles, for activity-
498 dependent GABA synthesis and vesicle release of GABA during intense synaptic activities (71, 72). In
499 this regard, decreased *GAD2* expression may further contribute to impairment in GABA synaptic release
500 and inhibitory GABA function in the limited number of mature GABAergic interneurons present in *UBA5*
501 proband CO. Thus, reduced *GAD2* expression can first affect interneuron differentiation and then affect
502 synaptic GABA release in the remaining mature interneurons. These findings all show changes in the
503 excitatory-inhibitory balance (73, 74). In support of this, we observed augmented excitability in proband
504 CO, with increased firing rate but decreased network activity. GABAergic interneurons counteract
505 excitation through local circuitry that connects excitatory and inhibitory neurons, and impaired
506 interneuron function can lead to epilepsy (75, 76). Loss of GABAergic interneurons can also account for
507 the smaller proband CO size. Interestingly, progressive microcephaly is also a clinical feature DEE caused

508 by biallelic mutations of *UFC1* or *UFM1* (28). More detailed analysis of corticogenesis is vital to
509 understand how *UBA5* pathogenic variants lead to microcephaly and epilepsy in patients. Moreover,
510 pinpointing the onset and evolution of aberrant neurodevelopment will provide information about the most
511 effective therapeutic window(s). The most effective approach to treatment may require fetal therapy,
512 similar to *in utero* enzyme-replacement therapy to successfully treat infantile-onset Pompe's disease (77).

513

514 Our protocol generated CO that contained GABAergic interneurons that originated from the caudal
515 ganglion eminence (CGE), evident by high expression of *CALB*, and low expression of *PV* and *SST* (Fig.
516 2E and Fig. S4). This group accounts for 30-40% of GABAergic cortical neuron population, whereas the
517 rest are originated from the medial ganglion eminence (MGE) (78). Interneurons from both CGE and
518 MGE differ in their axonal targets, morphology and firing patterns (78). Thus, to better understand how
519 *UBA5* pathogenic variants affect corticogenesis, it would be necessary to investigate differentiation of
520 GABAergic interneuron in the MGE using other organoid models.

521

522 At the molecular level, we confirmed U-87 MG cells expressing *UBA5* pathogenic variants
523 (*UBA5*^{A371T/R55H} and *UBA5*^{A371T/F292*}) exhibit impaired ufmylation pathway function shown by decreased
524 *UBA5*-*UFM1* conjugates. The *UBA5*-*UFM1* conjugation was shown to be the rate-limiting step in the
525 ufmylation pathway that determines pathway activity (79). We then showed *UBA5* pathogenic variants
526 perturbed ER homeostasis, leading to sustained activation of the UPR pathway, including PERK and
527 ATF6. Regulation of protein synthesis, folding and quality control is important for synaptic plasticity in
528 the adult brain. During long-term potentiation and depression, acute changes in protein synthesis are
529 necessary to modulate the efficacy of synaptic connections (80, 81). In the developing brain, protein

530 synthesis is much higher in progenitors to meet the demands of proliferating and maturing neurons, and
531 UPR pathway is involved in different aspects of neurogenesis (82-86). For example, exacerbated PERK-
532 eIF2 α signaling interferes with the generation of intermediate progenitors and cortical layering, leading to
533 microcephaly (85). ATF6-directed transcription also contributes to neurodevelopment (82). Thus, in
534 *UBA5*-associated DEE, exacerbated UPR pathway activity may result in insufficient protein production,
535 such as *GAD1* and *GAD2* during neuronal development that leads to loss of interneurons. Post
536 development, neuronal activity dependent *GAD2* protein production may also be hindered, preventing
537 proper interneuron response. Collectively, these findings all highlight the importance for both temporal
538 and spatial regulation of the UPR pathway. While there's no doubt that pathogenic variants of *UBA5* lead
539 to neurodevelopmental disorders (1-8, 28), there are still important outstanding questions that need to be
540 addressed to better understand disease manifestation and highlight potential therapeutic avenues. How is
541 the expression of *UBA5* and activity of the ufmylation pathway regulated during neurogenesis? Are there
542 additional perturbations to gene expression besides *GAD1* and *GAD2* that affect neurodevelopment in
543 *UBA5*-associated DEE? We observed exacerbated UPR pathway activity in cells expressing *UBA5*
544 pathogenic variants, but how does impaired ufmylation pathway lead to increased PERK and ATF6
545 activation, and what are the substrates involved? Can we target so-said substrates of the ufmylation
546 pathway or UPR pathway as potential therapeutic outlets?

547

548 The observation of healthy individuals with homozygous p.A371T allele is compelling, offering a unique
549 therapeutic avenue for the >75% of *UBA5* patients that all share this common hypomorphic allele (5, 7,
550 8). Furthermore, if not p.A371T, it is likely that all affected individuals have at least one hypomorphic
551 allele, so upregulation of transcription or translation could be effective for all affected individuals (4, 6-8,
552 40). We demonstrated that cells expressing two copies of the p.A371T allele function nearly identically to

553 those expressing wildtype *UBA5*, with no alterations to UPR pathway activities. We utilized two
554 modalities to upregulate the expression of the p.A371T variant, first using synthetic SINEUP to increase
555 translation and then using CRISPRa-dCas9^{VPR} to increase transcription. SINEUP offered the more modest
556 level of upregulation compared to CRISPRa-dCas9^{VPR}, which is therapeutically important for *UBA5*-
557 associated DEE and other similar haploinsufficient disorders (87). Overexpression of UBA5 is detrimental
558 to proper ufmylation, mimicking *UBA5* deletion with loss of UBA5-UFM1 conjugation (88). This could
559 explain why we only observed a transient rescue of neuronal firing in proband CO treated with SINEUP.
560 As a proof of concept, we packaged SINEUP in a lentiviral plasmid for ease of delivery, which could
561 result in overexpression of UBA5 following genome integration of SINEUP. Indeed, we observed
562 overexpression of UBA5 at 9 days following SINEUP application, with >3.5 fold increase. It would be
563 beneficial to explore delivery of SINEUP via other delivery methods including adeno-associated virus and
564 lipid nanoparticles (89-92). These delivery vehicles do not allow for genome integration and have been
565 approved for human trials by the FDA (89-92).

566

567 It is important to note that there are other modalities regulating gene expression that can be utilized to
568 boost UBA5 abundance, and it would be beneficial to assess their utility. Tethered mRNA Amplifier
569 utilizes the 3'UTR and enhances transcript stability to promote translation (93). Similarly, translation-
570 activating RNA is a bifunctional RNA-based molecule that brings together target mRNA and translation
571 machinery to enhance protein output (94). All of these technologies, including SINEUP and CRISPRa,
572 are mutation agnostic and can be broadly considered for any haploinsufficient disorders. However,
573 detailed investigation of delivery methods, efficacy and outcome is warranted. Collectively, our findings
574 outline the first patient-derived neuronal model for investigating *UBA5*-associated DEE and we were able
575 to identify defects in corticogenesis with regards to GABAergic interneuron differentiation. *UBA5*

576 pathogenic variants perturb ER homeostasis by exacerbating UPR pathway activity. We characterized the
577 hypomorphic p.A371T allele and showed cells expressing two copies of p.A371T functions similarly to
578 the wildtype. We took advantage of this finding and boosted the expression of the one copy of p.A371T
579 allele in patient-derived cells using SINEUP and CRISPRa-dCas9^{VPR}, rescuing various disease-associated
580 defects. Our study illustrates the importance of disease modeling using patient derived samples and
581 highlighted a potential therapeutic intervention that can be mutation agnostic.

582

583 **Materials and methods**

584

585 **Human subjects**

586 All protocols and consenting procedures were approved by the IRBs of University of Washington and St.
587 Jude Children's Research Hospital. Informed consent was obtained from the parents or legal guardians of
588 all patients and adult parental control participants consented for themselves. Skin biopsies from UBA5
589 patients and healthy parental controls were collected according to standard collection procedures by a
590 qualified healthcare professional.

591

592 **Generation and maintenance of cortical organoids (CO)**

593 Cortical organoids (CO) were generated by modifying a dorsal forebrain differentiation protocol (95).
594 iPSC lines were dissociated to single cell using Accutase (STEMCELL Technologies). Cells were then
595 aggregated into embryoid bodies (EB) at a density of 10,000 cells/well in 96-well v-bottom low attachment
596 plates. DMEM-F12 (Gibco) based EB medium included 20% Knock-out Serum (Gibco), 3% ES-FBS

597 (Gibco), 0.1 mM β -Mercaptoethanol (Gibco), 5 μ M SB-431542 (Tocris), 2 μ M Dorsomorphin (Sigma
598 Aldrich) and 3 μ M IWR1-endo (Millipore). Media was supplemented with 20 μ M Y-27632 for the first
599 week. From day 4 to day 16, CO were cultured in GMEM-based neural induction medium with 20%
600 Knock-out Serum, 5 μ M SB-431542 and 3 μ M IWR1-endo. From day 18 to day 28, media was
601 supplemented with 1 N2 (Gibco), 1X B-27 without Vitamin A (Gibco), 1X CD Lipid Concentrate (Gibco),
602 20 ng/mL human-recombinant FGF2 (PeproTech) and 20 ng/mL human-recombinant EGF (PeproTech).
603 On day 22, CO were transferred to bioreactors spinning at 40 rpm. From day 30 to day 50, media was
604 supplemented with 10% ES-FBS, 1X N2, 1X B-27 without Vitamin A, 1X CD Lipid Concentrate and 5
605 μ g/mL Heparin (Sigma Aldrich). From day 50 and onwards, CO were maintained in BrainPhys Neuronal
606 Media with 10% ES-FBS, 1X N2, 1X B-27 without Vitamin A, 10 ng/mL human-recombinant brain-
607 derived neurotrophic factor, BDNF (PeproTech) and 10ng/mL human-recombinant glial cell-derived
608 neurotrophic factor, GDNF (PeproTech).
609

610 **Generation of U-87 MG cells with UBA5 pathogenic variants**

611 U-87 MG *UBA5* genetically modified cell lines were generated using CRISPR technology in the Center
612 for Advanced Genome Engineering (St. Jude). All cell lines were maintained at 37°C with 5% CO₂ and
613 passaged using 0.05% Trypsin (Gibco) when reaching 70–90% confluence. Cells were grown in DMEM
614 supplemented with 10% fetal bovine serum (Gibco), 2 mM L-glutatmax (Gibco), 100 U/mL antibiotic-
615 antimycotic (Gibco). Additional details are available in Supplemental Methods, Supplemental Table 2.

616

617 **Cortical organoid single cell capture and scRNASeq**

618 CO were collected for single cell capture (N=3) at 100 days after initial seeding of embryoid body. CO
619 were dissociated using papain solution containing DNase (Worthington). CO were washed 3 times using
620 PBS, and then cut up using Vannas Spring Scissors (Fine Science Tools). Samples were then incubated in
621 2 mL papain solution at 37°C for 1 hr, and samples were triturated by manually pipetting every 20 mins
622 during the 1 hr incubation period. Dissociated cells were then filtered through a 40 µm cell strainer, and
623 centrifuged at 300G for 5 mins, papain removed and resuspended in 1% FBS in PBS. Using the Chromium
624 Single Cell 3' Reagent Kits v3.1 (10X Genomics), 4000-7000 cells were captured per lane, single-cell
625 libraries were sequenced on a NovaSeq S2 flow cell.

626

627 **10X Genomics CellRanger software and data filtering**

628 Illumina 10X Genomics scRNAseq base-call files were converted to FASTQ, which aligned to the hg38
629 genome and transcriptome using the CellRanger v3.0.2 pipeline, for a gene–cell expression matrix. The
630 count matrices of 4 samples (2 proband CO and 2 control CO) were processed for filtering and downstream
631 analysis using Partek Flow software. In short, the quality controls for cell filtering were performed on the
632 gene expression matrices as follows: 1) cells with fewer than 1,000 or more than 20,000 unique molecular
633 identifiers were removed; 2) cells expressing less than 500 or larger than 5,000 unique genes were
634 considered outliers and discarded; and 3) cells with a mitochondrial transcript proportion higher than 20%
635 were filtered out. In addition, the genes with maximum count of 1 among all cells were excluded for
636 further analysis. We used a commonly applied normalization approach count-per-million (CPM)
637 normalization followed by log1p transformation. All features were subjected to principal component
638 analysis (PCA), calculating top 100 principal components (PCs) by variance. The top 30 PCs were used
639 for graph-based clustering using Smart Local Moving (SLM) algorithm and visualized in Uniform

640 Manifold Approximation and Projection (UMAP). Finally, the biomarkers were identified by computing
641 the features that were expressed highly when comparing each cluster.

642

643 **Bulk RNA sequencing of CO**

644 CO (n=3) were pooled together for RNA extraction using *Quick-RNA Miniprep Kit* (Zymo Research)
645 according to the manufacturer's instructions. Libraries were prepared from total RNA with the TruSeq
646 Stranded mRNA Library Prep Kit according to the manufacturer's instructions (Illumina). Paired-end 100-
647 cycle sequencing was performed on a NovaSeq 6000 (Illumina). The 100-bp paired-end reads were
648 trimmed, filtered against quality (Phred-like Q20 or greater) and length (50-bp or longer), and aligned to
649 a human reference sequence GRCh38/hg38 by using CLC Genomics Workbench v20 (Qiagen). The TPM
650 (transcript per million) counts were generated from the CLC RNA-Seq Analysis tool. The differential gene
651 expression analysis was performed by using the non-parametric ANOVA using the Kruskal-Wallis and
652 Dunn's tests on log-transformed TPM between biological replicates from proband CO and control CO,
653 implemented in Partek Genomics Suite v7.0 software (Partek Inc.). The cutoff for significance is $p < 0.01$
654 and $|\log_2 R| > 1$ (two-fold change) between two experimental sets. The gene sets enrichment and pathway
655 analysis were performed using GSEA (<https://www.gsea-msigdb.org/>).

656

657 **Multielectrode array reading and analysis**

658 CO were plated per well in Matrigel-coated 24-well CytoView plates (Axion Biosystems), media was
659 half-changed every 4 days with 2 μ g/mL Natural Mouse Laminin (ThermoFisher Scientifics). Recordings
660 were performed starting on day 115 using a Maestro Edge MEA system and AxIS Software Spontaneous
661 Neural Configuration and Viability Module. Cytoview plates were maintained with 5% CO₂ at 37°C

662 during recording. CO were equilibrated for 5 mins in the MEA system, and then >10.5 mins of data were
663 recorded. Spikes were detected with AxIS software using an adaptive threshold crossing set to 5.5 times
664 the standard deviation of the estimated noise for each electrode (channel). For the MEA analysis, the
665 electrodes that detected at least 5 spikes/min were classified as active electrodes using Axion Biosystems'
666 Neural Metrics Tool. Bursts were identified in the data recorded from each individual electrode using an
667 inter-spike interval (ISI) threshold requiring a minimum number of five spikes with a maximum ISI of
668 100 ms. At least ten spikes under the same ISI with a minimum of 25% active electrodes were required
669 for network bursts in the well.

670

671 **Statistics**

672 All graphs display the mean \pm SD and each data point representing a unique sample or experiment as
673 indicated. Statistical analysis was performed by 2-way ANOVA with Tukey's multiple comparisons test,
674 with P value indicated as *P < 0.05, **P < 0.01, ***P < 0.001, ****P < 0.0001 and ns: not significant.

675

676 **List of Supplemental Materials**

677 Materials and Methods

678 Fig S1-S9

679 Table S1-S5

680 References (96-98)

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873 **Author Contributions**

874 HC and HCM conceived and designed the study. YDW performed data analysis for bulk and single-cell
875 RNAseq. HC acquired data, performed experiments, and analyzed all data, with help from AWB. EAF
876 and ESB organized patient data and consent. RB and SPM designed and generated CRISPR-edited U-87
877 MG cells. HC and HCM wrote the manuscript with input from all authors.

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891 **Competing interests:** The authors have declared that no conflict of interest exists.

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893 **Data and materials availability:** All data associated with this study are present in the paper or the
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