

1 **10x GENOMICS GENE EXPRESSION FLEX IS A POWERFUL TOOL FOR SINGLE-CELL**  
2 **TRANSCRIPTOMICS OF XENOGRAFT MODELS**

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12 **ABSTRACT**

13 The 10x Genomics Gene Expression Flex protocol allows profiling of fixed or frozen  
14 material, greatly simplifying the logistics of sample collection, storage and transfer prior to  
15 single -cell sequencing. The method makes single-cell transcriptomics possible for existing  
16 fresh-frozen or FFPE tissue samples, but also facilitates the logistics of the sampling process,  
17 allowing instant preservation of samples. The technology relies on species-specific probes  
18 available for human and mouse. Nevertheless, processing of patient-derived (PDX) or cell  
19 line (CDX) xenografts, which contain mixed human and mouse cells, is currently not  
20 supported by this protocol due to the high degree of homology between the probe sets.

21 Here we show that it is feasible to simultaneously profile populations containing both  
22 human and mouse cells by mixing the transcriptome probe sets of both species. *Cellranger*  
23 outputs a count table for each of the species allowing evaluation of the performance of the  
24 different probe sets. Cross-reactive probes are greatly outperformed by the specific probe  
25 hybridizations leading to a clear difference in the recovery of UMIs and unique genes per  
26 cell. Furthermore, we developed a pipeline that removes cross-reactive signal from the data  
27 and provides species-specific count tables for further downstream analysis. Hence, the 10x

28 Genomics Gene Expression Flex protocol can be used to process xenograft samples without  
29 the need for separation of human and mouse cells by flow sorting and allows analysis of the  
30 human and mouse single-cell transcriptome from each sample. We anticipate it will be  
31 increasingly used for single-cell sequencing of cancer cell line and patient-derived  
32 xenografts, facilitating the preservation of the samples and allowing the interrogation of  
33 both the (human) xenograft and the (mouse) tumor microenvironment at single-cell  
34 resolution.

### 35 **INTRODUCTION**

36 In 2022, 10x Genomics introduced RNA Flex (formerly known as Fixed RNA Profiling), a  
37 probe based single-cell RNA detection method compatible with human and mouse cells.  
38 Probe based gene expression analysis dates back to 1977, with the development of  
39 Northern blotting, where radioactively labeled DNA probes are used to detect transcripts on  
40 a blot<sup>1</sup>. 15 years later, Jim Eberwine used a combination of *in vitro* transcription and  
41 Northern blotting to perform the first single-cell RNA detection experiment<sup>2</sup>. It was not until  
42 the introduction of microarray technology that probe-based high throughput gene  
43 expression analysis became commonplace<sup>3</sup> and allowed for single-cell RNA gene expression  
44 profiling<sup>4</sup>. Second generation sequencing technologies largely made probe-based gene  
45 expression analysis obsolete, except for Padlock probe technologies which still allowed for  
46 cost effective SNP detection<sup>5</sup>.

47 In recent years, the field of spatial biology has revisited the use of probe-based methods  
48 building from technologies such as single-molecule FISH (smFISH)<sup>6</sup> and combinatorial  
49 barcoding<sup>7</sup>. By coupling the probes to different colors, the spatial location of the RNA of  
50 interest can be determined, nevertheless these are limited in multiplexing and  
51 quantification capabilities. To circumvent these limitations, modern spatial transcriptomic  
52 techniques rely on evaluating tissue samples in a sequential manner after cycles of reagent  
53 incubations (i.e. SeqFISH<sup>8</sup>, MERFISH<sup>9</sup>, Xenium<sup>10</sup>) or rely on highly multiplexed approaches  
54 that profile regions of interest simultaneously and can be independent of fluorescently  
55 labelled probes (i.e. GeoMx<sup>11</sup>, Visium for FFPE samples )<sup>12</sup>. In general, probe-based methods  
56 allow for a more sensitive and specific analysis but have limited coverage to the transcripts  
57 bound by the probes. Nevertheless, probe-based methods have expanded the capacity to

58 efficiently profile tissue samples preserved as formalin-fixed paraffin-embedded (FFPE) or  
59 formalin-fixed (FF). Single-cell methods covering FFPE, FF and snap frozen tissues  
60 represented an unmet need for the field until very recently. The 10x Gene Expression Flex  
61 kit is one solution to obtain single-cell transcriptomic data from these types of samples<sup>13</sup>.

62 Tissue samples are usually dissociated and cryopreserved as single-cell suspensions or snap-  
63 frozen for nuclei extraction. Tissue dissociation can have a detrimental effect on cell viability  
64 and also induce stress responses with resulting changes in gene expression<sup>14</sup>. Additionally,  
65 cryopreservation also requires a thawing procedure that usually leads to some cell death  
66 (Fig. 1A). Therefore, together with the dissociation stress, samples usually require extra  
67 sample processing such as dead cell removal solutions, which extends the time to which  
68 cells are exposed<sup>15</sup>. On the other hand, nuclei extraction circumvents some of these hurdles,  
69 but only recovers nuclear RNA, which leads to a lower number of genes recovered<sup>16</sup>. With  
70 the new Gene Expression Flex kit, tissue samples can be fixed on-site or snap frozen for later  
71 processing, therefore preventing sample degradation and solving the logistical challenges  
72 often associated with clinical settings; including the inactivation of pathogens present in the  
73 samples (Fig 1B).

74 The 10x Gene Expression Flex kit can be used with either human or mouse whole  
75 transcriptome probe sets and the barcoded probes allow for sample multiplexing. Each  
76 probe hybridization can target 8,000-10,000 cells and up to 16 samples can be pooled in a  
77 single encapsulation reaction in the Chromium X, making the Flex protocol more cost-  
78 effective than Single-cell 3' v3.1 sequencing. Officially the use of human and mouse probe  
79 sets in samples containing a mixture of the two species is not supported given the high  
80 degree of homology at the transcript level, increasing the chances of probe cross-reactivity.  
81 Therefore, despite the advantages in sample preservation and the logistics provided by the  
82 Flex kit, PDX models are, in theory, not suitable for this kit.

83 PDX and CDX consist of the engraftment of human tumoral tissue into immunocompromised  
84 mice where the engrafted tissue is sustained by the host and will further develop, simulating  
85 the progression and evolution of the tumor. These xenograft models have become widely  
86 used in preclinical studies for the identification of biomarkers or testing of new drugs. In  
87 PDX models, following engraftment, the human tumor microenvironment (TME) is

88 eventually replaced by the murine host cells. This leads to samples containing a mixture of  
89 both human and murine cells. The TME plays a key role in the growth and progression of the  
90 tumor<sup>17</sup>, but also has an impact on the sensitivity of the tumors to drugs<sup>18</sup>. Despite the slow  
91 replacement of the human stromal cells, there is evidence that the tumor can educate the  
92 murine cells to promote its development<sup>19</sup>. Therefore, characterizing the murine TME  
93 during therapeutic interventions may be key to understanding the response of the xenograft  
94 to the treatment<sup>17</sup>.

95 To address this question, we tested the feasibility of using the Flex kit to profile samples  
96 containing both human and mouse cells and devised the best approach to profile PDX or  
97 CDX models with varying degrees of mouse cell infiltration. These situations can be common  
98 in models treated with oncology drugs where the tumors shrink and most cells in the  
99 sample belong to the mouse host. The barcoding of the probes allows multiplexing samples  
100 but also provides a lot of flexibility on the number of cells one can target per sample by  
101 altering the representation of each of the samples in the pool.

102 Here we demonstrate the suitability of the 10x Genomics Gene Expression Flex kit in the  
103 profiling of xenograft models and characterizing both the tumor and the TME. The capacity  
104 to directly preserve tissue samples has greatly improved sample handling and shipment to  
105 the processing laboratories. We foresee that protocols such as the Flex offer the  
106 opportunity to simplify the sample collection process for single-cell sequencing, minimize  
107 any potential detrimental effects of cell dissociation and cryopreservation and additionally  
108 allow the analysis of human and mouse cells from the same sample. Of note, the purpose of  
109 this study was not to exhaustively benchmark this protocol against other single-cell fixation  
110 processes, and it is likely that similar outcomes can be achieved using other similar  
111 commercial applications.

## 112 **RESULTS**

### 113 ***The Gene Expression Flex kit can profile human cells in samples containing both human 114 and mouse cells***

115 We first tested whether we could reliably profile human cells in samples containing both  
116 human and mouse cells. To do so, we processed cultured PC9 human lung cancer cells spiked

117 with 25% or 50% NIH-3T3 mouse cells using the 10x Genomics Gene Expression Flex kit. The  
118 count tables obtained were evaluated using Seurat v4.3<sup>20</sup>, which revealed a clear bi-modal  
119 distribution in number of genes and UMI counts per cell in the spiked samples (Fig. 2A). After  
120 normalization, highly variable gene selection, scaling and dimensionality reduction, we  
121 clustered the cells, obtaining 2 main regions where cells cluster in the spiked samples (Fig.  
122 2B). The clustering reflects the presence of cells with a low number of UMI counts (Fig. 2C).  
123 Therefore, we hypothesized that the human probes bind to mouse genes with much lower  
124 efficiency and are recovered during the library preparation, resulting in low UMI counts.

125 We noticed that the number of cells present in the clusters with low UMI counts increased  
126 according to the number of mouse cells in the sample (Fig. 2D). In fact, the percentage of cells  
127 present within the low UMI clusters matches the number of spiked mouse cells in the sample,  
128 with 25.39% and 43.11% of cells for the 25% and 50% spiked samples respectively (Fig. 2E).  
129 Therefore, mouse cells are recovered when using human probes but can be easily identified  
130 based on the number of UMI counts and genes recovered. This likely reflects the lower  
131 efficiency of the cross-reactive probes.

132 We also asked whether the signal recovered from the mouse cells was due to a subset of  
133 probes or not. When looking at the average gene counts in the putative mouse cells cluster,  
134 there are around 200 genes with average counts higher than 1, with most of the probes  
135 otherwise providing spurious counts (Fig. S1A, Suppl. Table 1).

136 Next, we tested whether the presence of mouse cells has an impact on the profiling of the  
137 human cells. To do so, we compared the average gene counts of the PC9 cells to the ones  
138 present in spiked samples, which showed a good correlation between them. Only 15 genes  
139 show a significant difference between conditions and most are mitochondrial genes (Fig.  
140 S1B-E). When looking at the expression profile of the differentially expressed genes among  
141 samples and clusters, no clear pattern emerges, which suggests that none of the clusters is  
142 driven by these gene expression differences (Fig. S1E). Additionally, most of the genes  
143 showing differential expression in the human cells are very lowly expressed in the putative  
144 mouse clusters and the expression levels also correlate with the amount of mouse cells  
145 present (Fig. S1F-G). A plausible explanation for the lower gene expression levels could be  
146 the competition between human and mouse transcripts for the binding of the probes,  
147 leading to lower counts. In fact, mitochondrial genes and some of the other genes detected

148 are mostly profiled by a single probe, which could make the effect of competition more  
149 noticeable.

150 We also assessed whether the reads obtained from the human probes would map to the  
151 mouse genome. When using a mouse reference genome, less than 3% of the reads map to  
152 the probe set. Overall, the profiling of human cells with the 10x Genomics Flex kit in mixed  
153 populations is feasible and provides good quality data.

154 ***Probe mixing and sub-pooling strategies are instrumental to profile both human and  
155 mouse cells in mixed samples***

156 We next tested whether profiling of both human and mouse cells within a mixed population  
157 is feasible. To do so, we devised 3 strategies (Fig. 3A): The first option is to mix species'  
158 probes tagged with the same barcode; the rationale being that probe mixing is not  
159 supported by 10x Genomics and cellranger might not work properly when a cell contains  
160 reads from two different probes with distinct barcodes. The second option is to mix species  
161 probes with distinct barcodes in the hope that cellranger would work without issues as it  
162 should interpret this as having two different samples thereby allowing us to easily identify  
163 the origin of the reads. Finally, the third option is to split the sample in two and perform  
164 separate hybridizations with the human and mouse probes. This option would provide a  
165 reference to which compare the results of option 1 and 2.

166 To test the different options, we first profiled cell cultures containing 50% human and  
167 mouse cells (PC9 and NIH-3T3). For de-multiplexing and mapping of the reads, cellranger  
168 was set to use a combined human and mouse reference genome and a combined human  
169 and mouse probe set. For samples hybridized with different barcodes, the input command  
170 was set as if we did 2 hybridizations for the same sample. This allowed us to obtain an  
171 individual output for each of the barcodes and to evaluate the contribution of each of the  
172 species' probes independently. Cellranger worked without issues for all the strategies  
173 tested. It just provided a warning for samples profiled with the option 2 strategy, given the  
174 presence of two barcodes within the same sample.

175 By inspecting the number of genes and counts per cell, we observed again a bimodal  
176 distribution, which is absent in the samples where the same barcode was used (option 1)

177 (Fig. 3B). The clustering revealed again the presence of cells with very low UMI counts that  
178 cluster apart in options 2 and 3 (Fig. 3C-D). Therefore, mixing probes with the same barcode  
179 is not a suitable option as the cells profiled by the cross-reactive probes cannot be easily  
180 identified.

181 Some mouse probes also cross-react to human transcripts, as shown by the presence of low  
182 UMI count clusters in the samples where mouse probes were used. The clustering also  
183 shows a perfect overlap of human and mouse cells profiled with the different strategies,  
184 respectively (Fig. 3C-D). This suggests that the profiling of the human and mouse cells is not  
185 affected by the strategy of choice. In fact, the clustering of the PC9 cells profiled in this  
186 experiment is driven by the same genes as in the original sample with only PC9 cells, shown  
187 by the top 10 genes expressed per cluster (Fig. S2A-C). Additionally, only 6 genes show  
188 significant expression changes between the PC9 cells profiled in these experiments and the  
189 PC9 cells alone (Fig. S2D-E), with a good correlation between the average gene counts  
190 among samples (Fig. S2F-H). Therefore, both probe mixing with distinct barcodes (option 2)  
191 and sub-pooling (option 3) are reliable strategies to profile both human and mouse cells,  
192 without severely impacting the profiling of each of the species.

193 ***Cells profiled by the cross-reactive probes are also correctly profiled by the species' specific  
194 probes***

195 One could argue that the cells showing low UMI counts and low number of genes are sub-  
196 optimally profiled cells due to the cross-reactivity of the probes, rather than cells  
197 corresponding to the other species, or are a product of cross-reactivity artifacts.

198 To test this, we focused on the sample where we mixed the species probes with different  
199 barcodes (option 2). Cellranger profiled this sample as if it consisted of two different  
200 hybridizations and therefore produced a separate count table for each of the species'  
201 probes. Any cell present in this sample can interact with only one species probe or with both  
202 of them. If this happens, during the single-cell encapsulation, the GEM barcode identifying  
203 that single-cell will be incorporated into both the human and mouse probes present in the  
204 cell and therefore both the human and mouse count table should contain the same GEM  
205 barcode (Fig. 4A). Indeed, in this experiment, most of the cells were present in both the

206 human and mouse count table (Fig. 4B). This means that the cells profiled by cross-reactive  
207 probes, which contain low UMI counts in one of the count tables, are also profiled by the  
208 correct species probes and can be recovered in the other species' count table (Fig. 4C-D).

209 We next set out to develop a pipeline to correctly classify each cell in each of the count  
210 tables. Our assumption is that the true organism probes will have much higher affinity for  
211 the transcripts than the cross-hybridized ones. Therefore, our pipeline stringently evaluates  
212 the signal in UMI and unique genes for each GEM barcode on each species count table and  
213 sorts them accordingly. By doing that, we can classify the cells that are being profiled by  
214 cross-hybridized probes for each of the count tables (Fig. 5A). Interestingly the % of cross-  
215 reactivity reflects the population structure and the number of true human and mouse cells  
216 profiled overall also shows the 50:50 ratio of human and mouse cells present in the sample  
217 (Fig. 5B-C). Again, the clustering and UMAPs visualizations confirm that cross-hybridized  
218 cells tend to cluster apart from the correctly profiled cells, with lower UMI counts (Fig. 5D-  
219 E). In some cases, the classification is unclear because of disagreement between the UMI  
220 evaluation and the genes per cell (Fig. 5A). Nevertheless, these are usually low-quality cells  
221 that would be anyway filter out during downstream analysis. Our pipeline, therefore, can  
222 classify each GEM into the correct species and provide count tables free of cross-reactive  
223 signal. It is worth mentioning that the removal of the cross-reactive cells from each count  
224 table does not lead to loss of cell numbers as these cells will be correctly profiled in the  
225 other count table.

226 ***Sample sub-pooling and differential loading allow to correct for low input samples***

227 Our next step was to evaluate whether differential pooling of a sub-pool would allow us to  
228 recover cells present in lower percentages. This solution would be very helpful in situations  
229 where the species of interest represents a low percentage of the initial sample, such as  
230 human cells in PDX samples undergoing treatment.

231 To test this, we used samples with 10% and 25% human cells (PC9), with the rest being  
232 mouse cells (NIH-3T3). For each of the samples, we performed a hybridization against  
233 human and mouse probes separately (Fig. 6A). Cells were differentially pooled before  
234 proceeding to washing and loading into the Chromium X. We aimed to recover similar

235 amounts of cells from both species, thus we adjusted the pooling so that the number of  
236 targeted cells would be close to the number recovered in a 50:50 sample. For instance, for  
237 the 10:90 sample, we loaded the human hybridization to be a 4.5/8 of the sample and the  
238 mouse hybridization was loaded to be a 0.5/8. For the overall pool, we aimed to recover  
239 40,000 cells in total. Therefore, for the 10:90 sample we expected a total recovery of 22,500  
240 cells from the human hybridization and 2,500 cells from the mouse hybridization.  
241 Considering the actual percentage of human and mouse cells in the sample, this should  
242 produce data for 2,250 human and mouse cells (Fig. 6B).

243 When loading the count matrices into a Seurat object we clearly observed the bimodal  
244 distribution of UMI counts and genes recovered per cell (Fig. 6C). After processing and  
245 clustering we obtained a good overlap between samples and a clear distinction of the cells  
246 with low UMI counts, profiled by the cross-reactive probes (Fig. 6D-E). We extracted the  
247 number of cells present in the distinct clusters and classified them as human or mouse cells.  
248 Cells present in the low UMI counts clusters in the human hybridizations were counted as  
249 mouse cells, and vice-versa for the mouse hybridizations. For all the samples, except for the  
250 10:90 human hybridization, the % of human and mouse cells matched the amounts present  
251 in the starting material (Fig. 6F). For the 10:90 human hybridization, cellranger set a very  
252 conservative threshold on which droplets contain cells, which excludes many cells with low  
253 UMI counts from the count matrices. Nevertheless, we recovered the expected number of  
254 human cells: we were expecting 2,250 human cells and we recovered 1,700 (Fig. 6G). For  
255 the other hybridizations we also recovered most of the expected cells, with a good balance  
256 of human and mouse cells targeted by each of the sub-pools (Fig. 6G). Therefore, sample  
257 sub-pooling and differential loading allows to compensate uneven species distribution  
258 within a sample.

## 259 ***Profiling of a CDX sample with the 10x Flex kit***

260 Next, we put our pipeline to the test with a PC-9 lung cancer cell-line derived xenograft  
261 (CDX) model treated for 7 days with vehicle or an IC90 concentration of an EGFR tyrosine  
262 kinase inhibitor (Fig. 7A). We profiled them using option 2 strategy, which demonstrated to  
263 be the best approach in the cell line experiments. In this case, around 50% of cells were  
264 present in both human and mouse count table (Fig. 7B). The bimodal distribution of the data

265 is also present at the UMI and genes per cell level (Fig. 7C). We applied our classification  
266 pipeline and noticed that in the mouse count table, some of these cross-reactive human  
267 probes provided levels of UMI counts similar to the ones provided by mouse probes in  
268 mouse cells. This highlights the importance of running a more stringent classification like  
269 our pipeline does rather than setting UMI or gene thresholds on the bimodal distribution  
270 (Fig. 7D). After classifying each GEM into their true species, around 30% of cells in the  
271 vehicle sample were mouse cells and we recovered up to 60% in the treated condition (Fig.  
272 7E). The clustering and UMAP visualization also resolved very well the cells with cross-  
273 reactive signal with distinctive clusters of cells with low UMI and gene counts (Fig. 7F-G).  
274 Once the right species is identified for each GEM barcode, we can generate species' specific  
275 count tables, free of cross-reactive signal, which allows downstream analysis for each  
276 species separately (Fig. 7H-I).

277 ***Sample sub-pooling in a PDX sample***

278 We used a lung cancer PDX model for which usually around 3% of the cells correspond to  
279 the mouse population to test whether we can recover enough cells by differential pooling  
280 (Fig. 8A). We tried loading 16 times more volume of the mouse hybridization compared to  
281 the human hybridization into the reaction. Therefore, for a target recovery of 30,000 cells  
282 we used a 1/17 for the human hybridization leading to an expected recovery of 1,764 cells  
283 with 97% being human and a target human cell number of 1,712. For the mouse cells we  
284 loaded a 16/17 of the mouse hybridization leading to 28,235 cells with 3% being mouse and  
285 a target mouse cell number of 847 cells (Fig. 8B).

286 After running cellranger and exploring the count tables we noticed that again, in the sample  
287 being overloaded, many cells got excluded: mostly human cells profiled by cross-reactive  
288 probes that did not pass the threshold. Interestingly, when looking at the distribution of  
289 genes and UMI per cell the bimodal distribution is not so striking, especially in the human  
290 data (Fig. 8C). This is also reflected in the UMAP visualization where the clustering does not  
291 allow to identify the cells profiled by cross-reactive probes as easily as with the cell lines  
292 (Fig. 8D-E).

293 Thus, we decided to set a threshold at 3,000 UMI per cell to define the cells profiled by  
294 cross-reactive probes. We calculated the number of cells being filtered to calculate the % of  
295 human and mouse cells in each of the count tables. For the human count table, 12% of the  
296 cells corresponded to mouse, whereas in the mouse count table 80% were mouse cells (Fig.  
297 8F). Again, this reflects the number of cells discarded by cellranger in the mouse count  
298 table. The results suggest that the original sample probably contained more mouse cells  
299 than the estimated 3%. Therefore, we adjusted the expected cell recovery based on the 12%  
300 observed. By doing that, our recovered cell numbers are closer to the expected values (Fig.  
301 8G). Therefore, despite the filtering of cells by cell ranger and the difficulties to establish  
302 cross-reactive cells clusters; we are able to discard low quality cells and recover the  
303 expected number of human and mouse cells by the differential loading. However, the  
304 results suggest that when using a single species probe set per hybridization in PDX samples,  
305 it might be difficult to distinguish the cross-reactive signal and therefore the use of both  
306 species' probe sets would be advised.

## 307 **DISCUSSION**

308 In vivo xenograft models (whether cell line or patient-derived) are widely used in cancer  
309 research to characterise cancer biology, drug resistance and pharmacology. In single-cell  
310 sequencing experiments, xenograft samples must typically be dissociated to single-cell and  
311 flow sorted to enrich for human cells prior to cryopreservation. These steps may induce  
312 transcriptional programs in the sorted cells as well as loss of viable cells. A simplified  
313 protocol that does not compromise the quality or depth of single-cell sequencing but avoids  
314 these cell sorting steps would have logistic, biological and financial advantages.

315 We therefore sought to test the feasibility of using a novel application of the existing Gene  
316 Expression Flex protocol solution that combines the human and mouse gene probes in the  
317 same sample.

318 Our results point to cross-reactivity levels being sufficiently low to correctly identify the  
319 correct species for each of the cells present in a sample. By mixing the human and mouse  
320 probe sets within the same hybridization, we can profile both populations while discarding  
321 the signal provided by the cross-reactive probes. Cellranger facilitates this classification by

322 providing a count table for each of the barcodes used in a particular sample, in this case, a  
323 count table from the human probes and a count table from the mouse probes. The presence  
324 of cross-reactive probes has minimal effects on the gene expression profiles obtained. Most  
325 of the genes showing gene expression differences are targeted by single probes and are  
326 highly conserved, therefore might suffer from competition in presence of both human and  
327 mouse transcripts. Additionally, the processing of a sample into two different species  
328 hybridizations can be exploited to increase the recovery of a particular species population in  
329 cases where it is expected to be underrepresented.

330 In conclusion, we demonstrate the feasibility of profiling PDX and CDX models with the  
331 Gene Expression Flex kit by mixing the species' probes sets and without any appreciable loss  
332 in sequencing quality. We anticipate that in future fixation protocols which minimize the  
333 handling of single-cells from *in vivo* models will greatly simplify sample collection as well as  
334 the ability to interrogate simultaneously the human and mouse transcriptome from such  
335 samples.

## 336 MATERIALS AND METHODS

### 337 ***Biological materials***

338 This study was carried out in strict accordance with the recommendations in the Guide for  
339 the Care and Use of Laboratory Animals of the Society of Laboratory Animals (GV SOLAS) in  
340 an AAALAC accredited animal facility. All animal experiments were approved by the  
341 Committee on the Ethics of Animal Experiments of the regional council (Permit Numbers: I-  
342 19/02). Non-small cell lung cancer (NSCLC) PDX models were implanted subcutaneously in 4–  
343 6-week-old female NMRI nude mice (Charles River, Germany) under isoflurane anesthesia.  
344 Tumor growth was determined by a two-dimensional measurement with calipers twice a  
345 week. Different analyses of the PDX models were performed when tumor size reached 400 –  
346 500 mm<sup>3</sup>. Animals were sacrificed and tumors were sampled for subsequent analysis.

347 PC9 CDX animal studies were conducted in accordance with U.K. Home Office legislation,  
348 the Animal Scientific Procedures Act 1986, and AstraZeneca Global Bioethics policy. All  
349 experimental work is outlined in project license PP3292652, which has gone through the  
350 AstraZeneca Ethical Review Process. Female severe combined immunodeficiency disease

351 (SCID) mice were purchased from Envigo UK. All mice were older than 6 weeks at the time  
352 of implant. Cells were implanted under isoflurane anaesthesia with microchipping  
353 performed at same time. Approximately 5 million PC9 cells in a total volume of 0.1 mL  
354 containing 50% Matrigel were injected subcutaneously in the right flank of female mice. PC9  
355 xenografts were established in female SCID mice. Mice were marked with a subcutaneous  
356 microchip to follow tumour growth and tumours were measured with an electronic calliper  
357 twice weekly. Tumour volume was calculated as 0.5 x tumour length x tumour width x 2.  
358 Tumour volume was monitored twice weekly by bilateral calliper measurements until the  
359 end of study or until tumours reached the ethical limit of 10% of the mouse's bodyweight.

360 ***Sample preparation: fixation and storage***

361 PC9 cells were grown in RPMI 1640 with Glutamax (Gibco) and 10% FBS, while NIH-3T3 cells  
362 were grown in DMEM (Gibco) with L-glutamine and 10% FBS. Cells were dissociated using  
363 Tryple Express (no phenol red) (Gibco) and made into single-cell suspension, followed by  
364 filtration through 30uM Miltenyi pre-separation filters and counting using AO/PI double  
365 stain (Nexcelom). Cells were processed according to the 10x protocol for the fixation of cells  
366 and nuclei. Briefly, suspensions of up to 10 million cells were spun-down at 350 rcf for 5 min  
367 at 4C and cells were resuspended in 1 mL of Fixation Buffer (4% Formaldehyde, 1X Conc. Fix  
368 and Perm Buffer – 10x Genomics PN-2000517). Cells were fixed for 16-24h at 4C. To stop the  
369 fixation, cells were spun-down at 850 rcf for 5 min at room temperature and quenched with  
370 1 mL of Quenching Buffer (1X Conc. Quench Buffer – 10x Genomics PN-2000516).  
371 Preparations were then processed by adding 0.1 volumes of Enhancer (10x Genomics PN-  
372 2000482) and 10% glycerol for long-term storage and shipment to Single-cell Discoveries  
373 B.V.. Samples were then thawed at room temperature, centrifuged at 850 rcf for 5 min and  
374 resuspended in 1 mL 0.5X PBS - 0,02% BSA. Cell concentration and viability was then  
375 assessed by AO-PI staining with a LUNA-FX7™ Automated Cell Counter (Logos Biosystems).

376 Mice were randomized into vehicle or treatment groups with approximate mean tumour  
377 volume of 0.2 to 0.4 cm3. Randomization for animal studies was based on initial tumour  
378 volumes to ensure equal distribution across groups. Mice were dosed once in the morning  
379 daily by oral gavage for the duration of the treatment period. All compounds were  
380 synthesised by AstraZeneca. TKI (osimertinib) was prepared fresh every 7 days in 0.5%

381 HPMC. Mice were sacrificed at indicated time point and the collected tumours were passed  
382 through a McIlwain tissue chopper and stored in 1mL RPMI1640 until subsequent  
383 processing. Minced PC9 CDX tissue samples were dissociated using the Miltenyi human  
384 tumour dissociation kit (130-095-929) (40 minutes, 37C), filtered through 30uM Miltenyi  
385 pre-separation filters, counted using AO/PI double stain (Nexcelom) and processed as per  
386 10x Flex protocol for fixation of dissociated tumour cells.

387 ***Sample hybridization***

388 Up to 2 million cells were processed per hybridization following 10x recommendations.  
389 Hybridizations were set up in 80 uL of hybridization mix with 20 uL of Human or Mouse WTA  
390 probes (10x Genomics PN-2000510 or PN-2000718). For experiments with probe mixing 10  
391 uL of each probe set were used per hybridization. Hybridizations were performed at 42C for  
392 16-24h. After hybridization samples were diluted in Post-Hyb Wash Buffer and measured by  
393 AO-PI staining in a LUNA-FX7™ Automated Cell Counter (Logos Biosystems). For each  
394 experiment, we pooled an equal number of cells from each hybridization to have an equal  
395 contribution per sample. For the experiments using sub-pools and differential loading, cell  
396 numbers were adjusted to the desired contribution in the pool as indicated. Cell pools were  
397 then washed 3 times in Post-Hyb Wash Buffer for 10 min at 42C. After the washes cells were  
398 resuspended in Post-Hyb Resuspension Buffer, filtered through a Miltenyi Biotec 30 um  
399 filter and measured with the cell counter to determine the amount needed for the  
400 Chromium X run.

401 ***Gene Expression Flex run***

402 For the GEM encapsulation we followed the 10x Genomics protocol and their guidelines on  
403 the volume of cells and reagents required per well according to the targeted cell recovery.  
404 For the PC9 only, spiked samples and PDXs we targeted 8,000 cells per sample. For the  
405 experiment testing probe mixing strategies, we targeted 40,000 cells per pool, with equal  
406 contribution per sample except for the differential pooling strategy where each sample  
407 contributed differently. After loading the Chip Q and running it on the Chromium X, GEMs  
408 were recovered and processed as indicated by 10x Genomics. After processing the GEMs,  
409 the product is pre-amplified and indexed to construct the sequencing library.

410 ***Sequencing***

411 Libraries were sent for sequencing to the Harwig Medical Foundation with the Illumina  
412 Nova-Seq 6000 or internally at Single-cell Discoveries with the Illumina Nova-Seq X  
413 sequencing platform following 10x recommended settings. For the PC9 spiked samples we  
414 sequenced at a depth of 15,000 reads. For the experiments with the probe mixing strategies  
415 and PDXs, we sequenced at a depth of 30,000 reads per cell.

416 ***Cellranger multi analysis***

417 10x Genomics cellranger v7.1.0 was used for all analyses. A combined human and mouse  
418 genome reference was constructed by concatenating the human and mouse FASTA and GTF  
419 files provided by 10x Genomics, respectively. The human reference used was GRCh38-2020-  
420 A (GRCh38, GENCODE version 32 (Ensembl 98), with 10x Genomics modifications, see  
421 [https://support.10xgenomics.com/single-cell-gene-expression/software/release-  
422 notes/build#GRCh38\\_2020A](https://support.10xgenomics.com/single-cell-gene-expression/software/release-notes/build#GRCh38_2020A)). The mouse reference used was mm10-2020-A (GRCm38,  
423 version GENCODE M23 (Ensembl 98), with 10x Genomics modifications, see  
424 [https://support.10xgenomics.com/single-cell-gene-expression/software/release-  
notes/build#mm10\\_2020A](https://support.10xgenomics.com/single-cell-gene-expression/software/release-<br/>425 notes/build#mm10_2020A)). A cellranger reference was then created using the combined  
426 FASTA and GTF files using the 'cellranger mkref' command with default parameters. Raw  
427 10x Flex data (FASTQ) was mapped to the combined human+mouse reference using  
428 'cellranger multi' command.

429 ***Single-cell data analysis***

430 Count matrices were used to create a Seurat (v4.3) object excluding cells with less than 200  
431 genes and genes present in less than 3 cells. Data was log-normalized and the top 2,000  
432 variable genes were selected to guide the dimensionality reduction by PCA. Data was scaled  
433 and clustering was performed following the Seurat package with a cluster resolution of 0.5.  
434 The manifold was then visualized with a UMAP representation. Clusters suspected to be  
435 human and mouse were subset and differential expression analysis was performed using a  
436 Wilcoxon Rank Sum test.

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483 **LIMITATIONS OF THE STUDY**

484 The use of the probe sets limits the analysis to roughly 18,000–19,000 protein coding genes,

485 excluding TCR, Ig, ribosomal protein, mitochondrial ribosomal genes, KIR, HLA and non-

486 coding RNA. The cross-reactive signal will likely be sample-specific, and it is difficult to  
487 quantify the impact on the gene expression levels captured by the specific probe binding.  
488 From the results obtained so far it seems that most of the differences are mainly observed  
489 in genes covered by a single probe and other few genes with high homology between the  
490 two species. In any case, most studies will include a set of control and test samples that  
491 should suffer from the same cross-reactivity, which will not impact the hypothesis testing  
492 when comparing control and tests. From our results we would advise to always include both  
493 human and mouse probe sets to profile PDX samples, which would simplify the classification  
494 of true signal and cross-reactive signal.

## 495 **CONTRIBUTIONS**

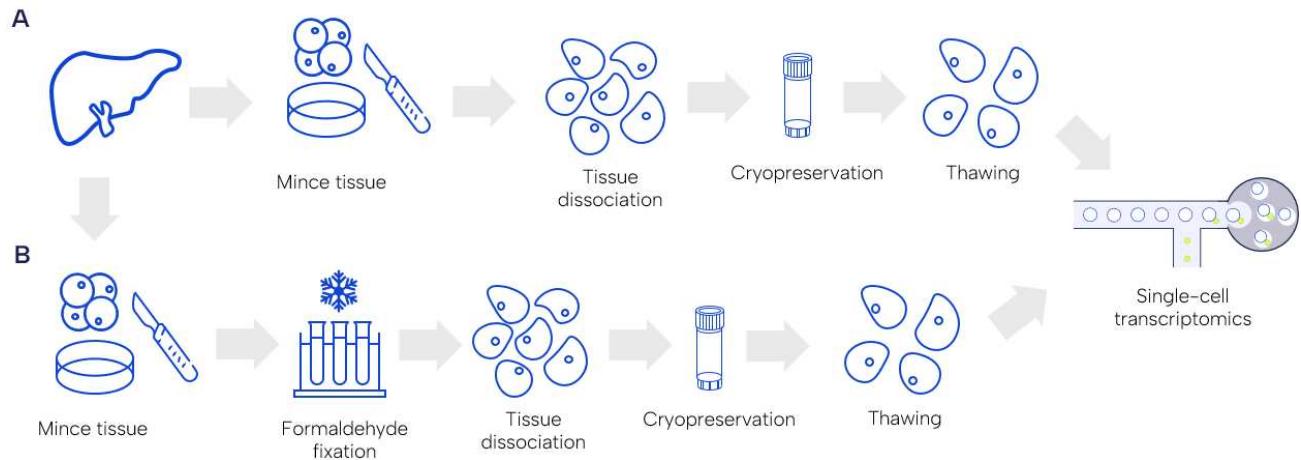
496 O. Llorà-Batlle, A. Farcas, K. Lashuk, J. Schueler, D. Mooijman and U. McDermott designed  
497 the experiments. O. Llorà-Batlle, A. Farcas and K. Lashuk performed the experiments. A.  
498 Farcas, N. Floc'h, S. Talbot, A. Schwiening, L. Bojko and J. Calver were in charge of the AZ  
499 mouse models and prepared the corresponding samples. O. Llorà-Batlle and A. Prodan  
500 performed data analysis. O. Llorà-Batlle, A. Farcas, D. Mooijman and U. McDermott wrote  
501 the manuscript. The PERSIST-SEQ project has received funding from the Innovative  
502 Medicines Initiative 2 [www.imi.europa.eu](http://www.imi.europa.eu) Joint Undertaking under grant agreement No  
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504 2020 research and innovation program and EFPIA.

## 505 **CONFLICTS OF INTEREST**

506 O. Llorà-Batlle, A. Prodan and D. Mooijman are employees of Single-cell Discoveries B.V.  
507 that derives profit from 10x Genomics services. This communication reflects the views of the  
508 PERSIST-SEQ consortium and neither IMI nor the European Union and EFPIA are liable for  
509 any use that may be made of the information contained herein.

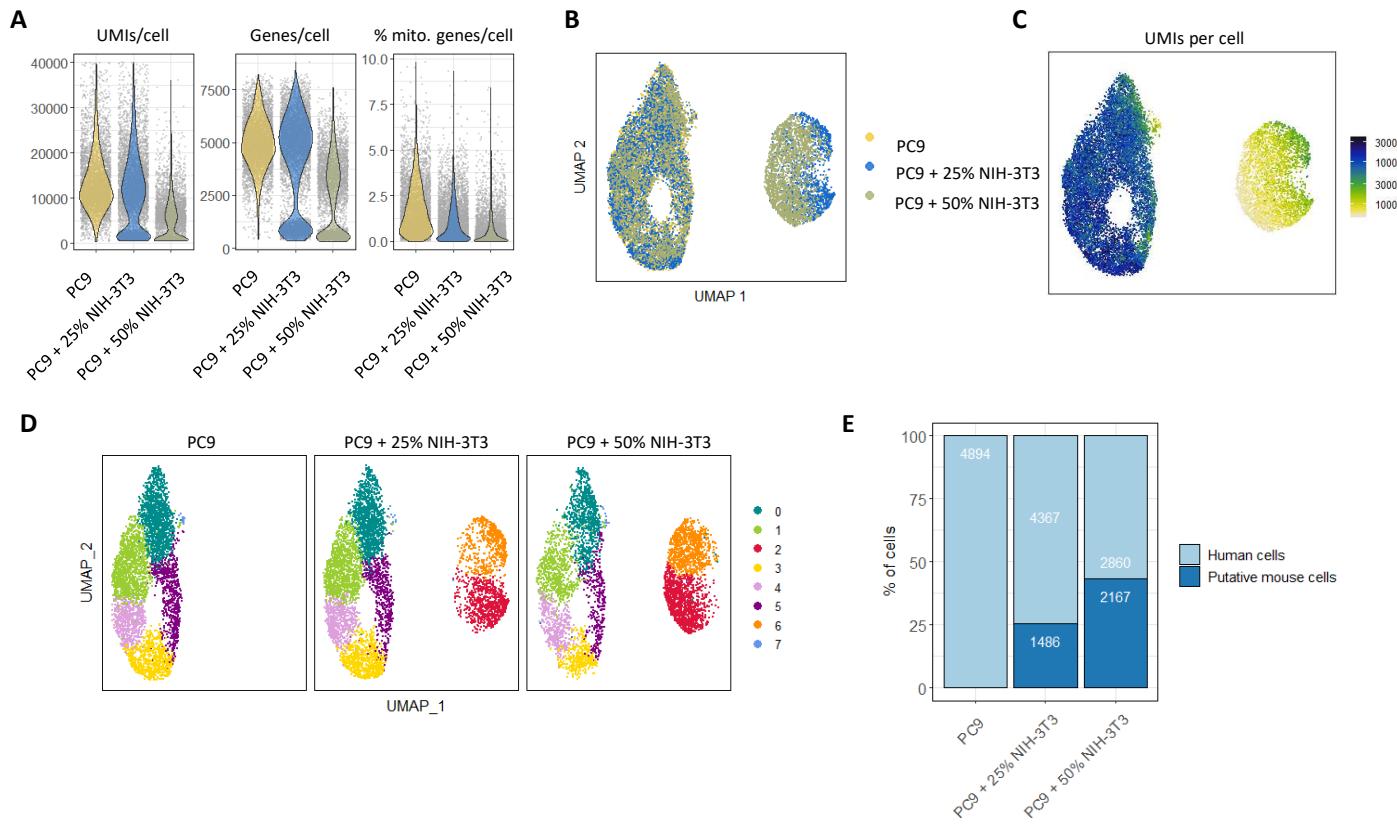
## FIGURES

**Figure 1**

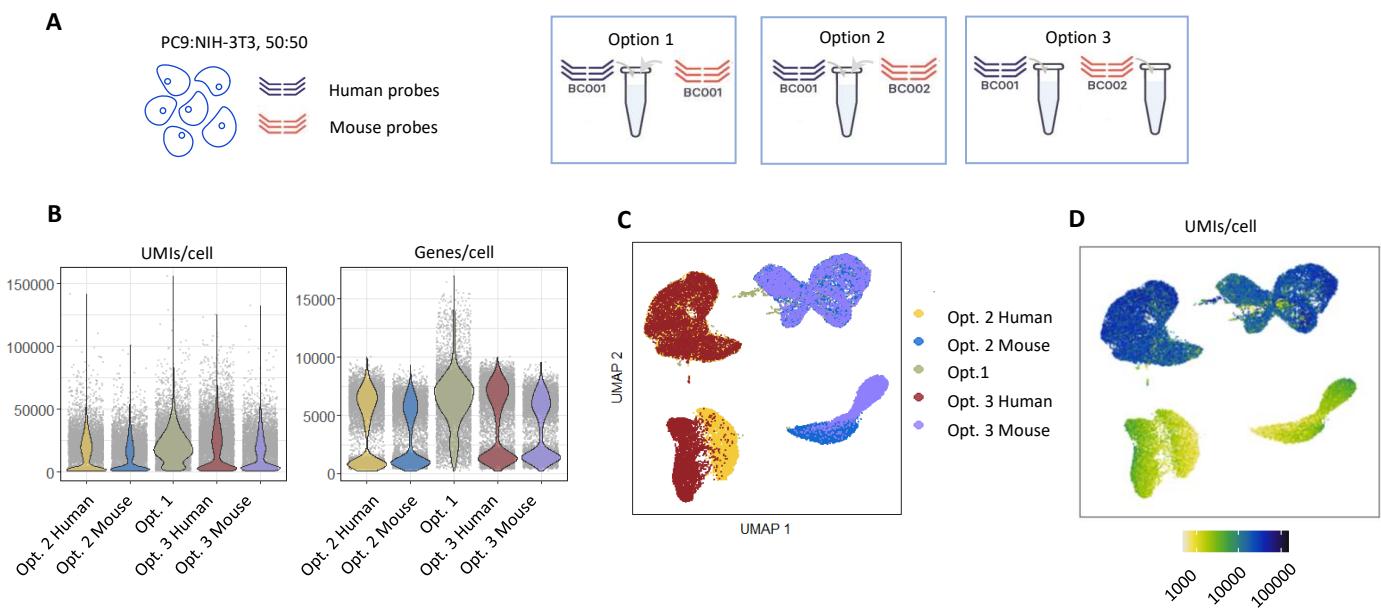


**Workflow for processing tissue samples with the 10x Genomics Gene Expression Flex kit compared to the standard pipelines.** **A.** Stepwise processing of PDX samples for single-cell transcriptomics. After sampling the tissue from the mouse, the sample is minced into fine pieces to facilitate the enzymatic dissociation with a cocktail of enzymes and obtain a single-cell suspension. If the suspension is of good quality, the cells can be cryopreserved to be shipped. Before processing, cells are thawed and evaluated before proceeding to the single-cell encapsulation and profiling. **B.** When using the Flex kit, minced tissue can be fixed directly, preserving the sample straight away and preventing further cell stress during dissociation, cryopreservation, and thawing.

**Figure 2**



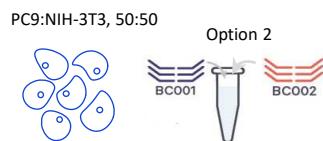
**Gene Expression Flex data on mixed *in vitro* samples.** **A.** Violin plots showing the distribution of genes, UMI counts and percentage of mitochondrial genes per cell in PC9 (yellow), PC9 spiked with 25% mouse cells (blue) and PC9 spiked with 50% mouse cells (olive). **B.** UMAP visualization of the clustering of the 3 samples. **C.** UMAP visualization with the UMI counts per cell. **D.** UMAP visualization split by sample of origin. **E.** Percentage of human (light blue) and putative mouse (dark blue) cells present in each of the samples when assigning the cells present in the low UMI count clusters as mouse cells. Absolute numbers are shown on top.

**Figure 3**

**Testing of alternative strategies to profile both human and mouse cells within a mixed sample.** **A.** Schematic of the options to profile both human and mouse cells from a mixed sample. Option 1 mixes species probes with the same probe barcode. Option 2 mixes species probes with different probe barcodes. Option 3 splits the sample in two and uses a single species probe set for each hybridization. **B.** Violin plots showing the distribution of genes, UMI counts per cell in option 2 human probes (yellow), option 2 mouse probes (blue), option 1 probes (olive), option 3 human probes (red) and option 3 mouse probes (purple). **C.** UMAP visualization of the clustering obtained from the alternative strategies. **D.** UMAP visualization with the UMI counts per cell of all options.

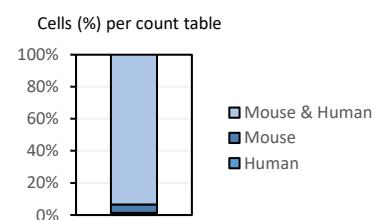
**Figure 4**

**A**

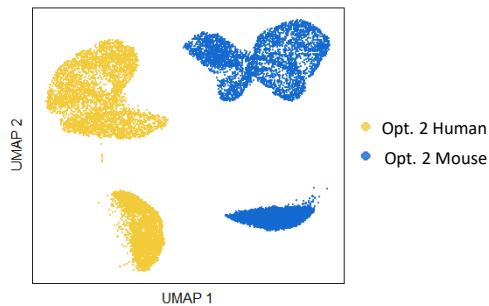


AAACAAGCAAACAAGAATGTTGAC-1  
GEM barcode      Probe barcode

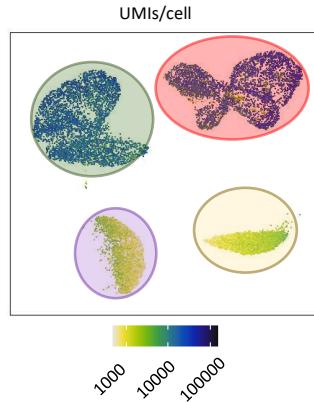
**B**



**C**



**D**



Human cells

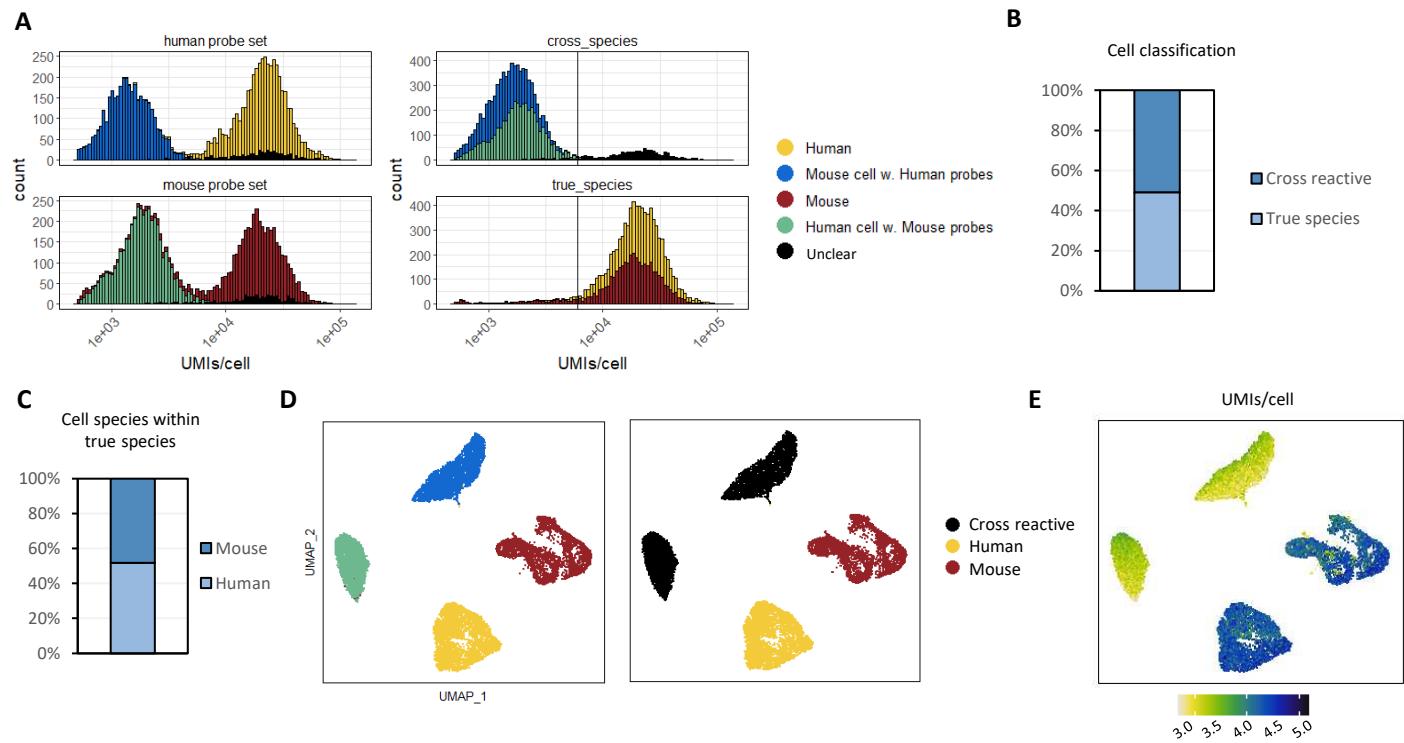
Human cells with cross-reactive mouse probes

Mouse cells

Mouse cells with cross-reactive human probes

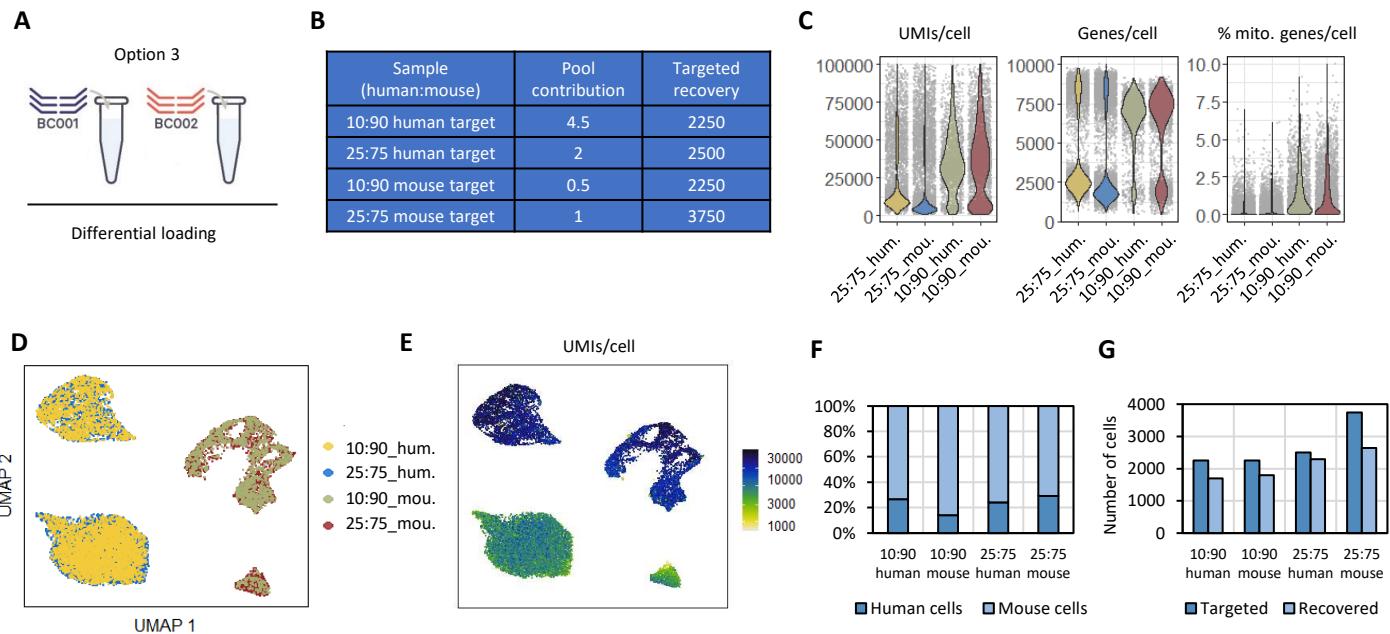
**The encapsulation of single cells hybridized to both species probes leads to the presence of the same GEM barcode in each species count table. A. Schematic describing the use of a mixed sample with option 2 strategy and the illustration of how the same GEM barcode can end up being present in both count tables. B. Bar plot showing the % cells (GEM barcodes) that are present in both Mouse & Human count tables, Mouse count table or Human count table. C. UMAP visualization of the clustering of the cells profiled with option 2. Cells profiled with human probes are shown in yellow and the ones profiled with mouse probes are shown in blue. D. UMAP visualization with the UMI counts per cell of option 2 sample. Green: human cells. Yellow: human cells profiled by cross-reactive mouse-probes. Red: mouse cells. Purple: mouse cells profiled by cross-reactive human probes.**

## Figure 5



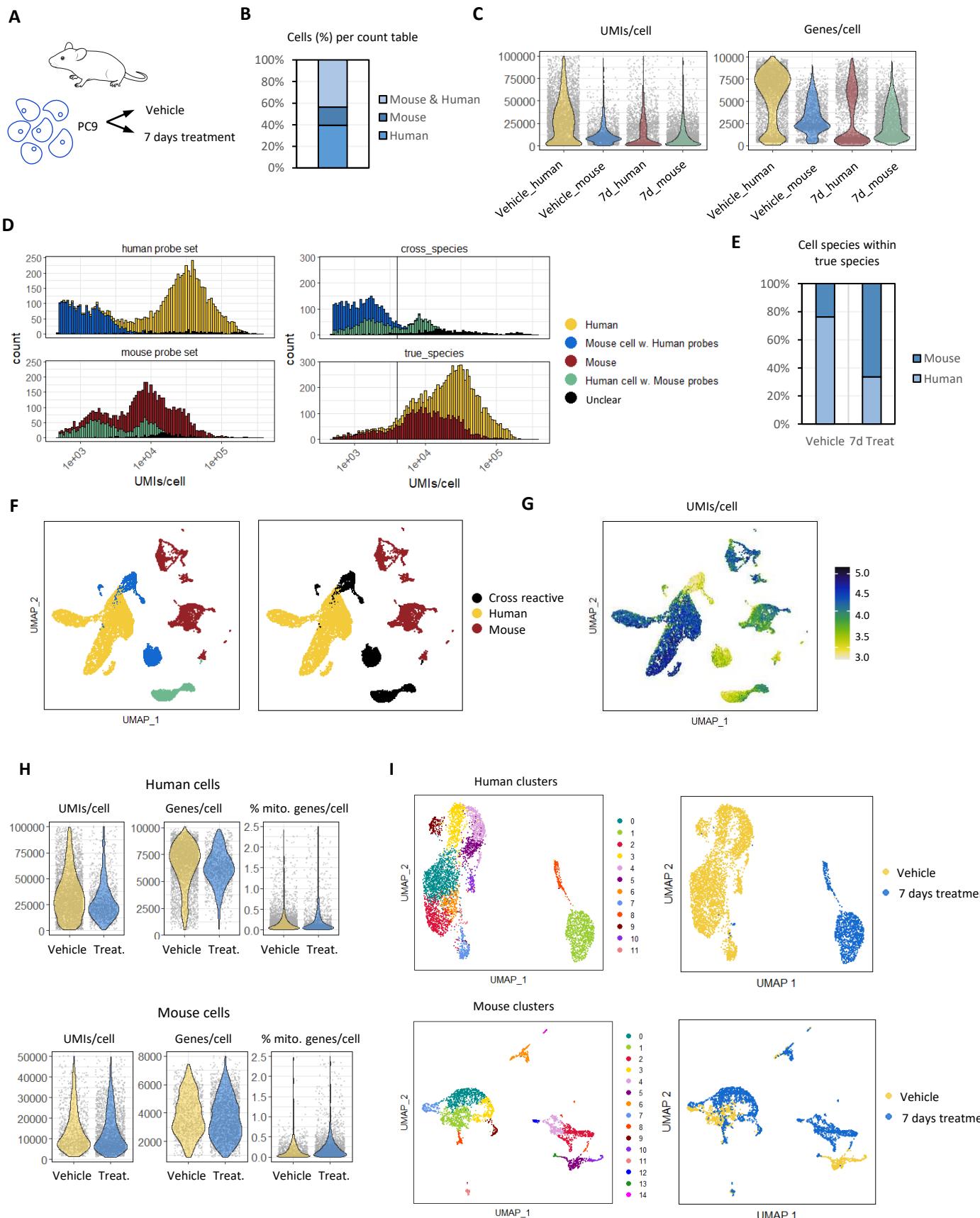
**Classification of each GEM barcode into the right species for each of the count tables.** **A.** Cumulative cell count histograms showing the distribution of UMIs/cell in the human and mouse count tables after classifying the GEM barcodes into Human (yellow), Mouse cell with Human probes (blue), Mouse (red), Human cell with Mouse probes (olive) or unclear (black). **B.** Bar plot of the % of cells present in both count tables classified as profiled by the cross-reactive probe or the true species probes. **C.** Bar plot of the % of cells classified as Mouse or Human after removing the cross-reactive signal. **D.** UMAP visualizations after clustering of the data within the human and mouse count tables and classifying based on the UMI and unique genes recovered per GEM barcode. **E.** UMAP visualization with the UMI counts per cell.

**Figure 6**



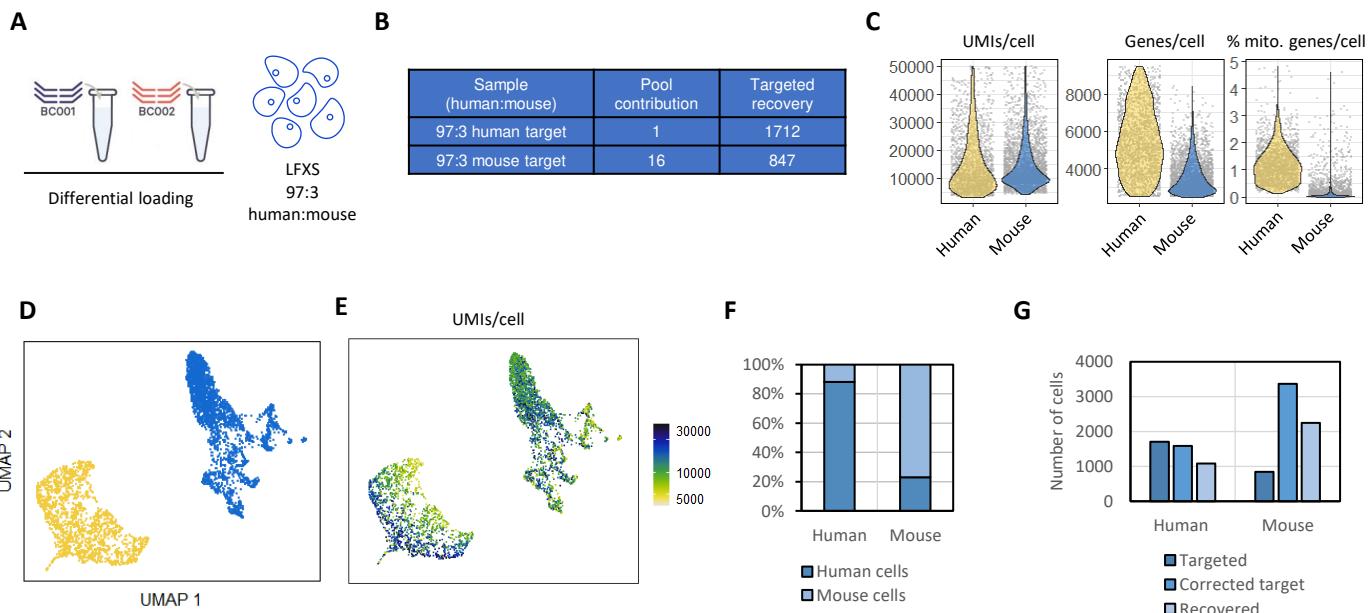
**Sub-pooling and differential loading of samples with various percentages of human cells.** **A.** Schematic of the sub-pooling strategy for this type of sample: the sample is split into two and hybridized separately to human and mouse probe sets. Then each hybridization can be used into a pool using different amounts of cells to compensate for the low human cell numbers. **B.** Table summarizing the contribution of each sample to the pool and the expected number of cells recovered. **C.** Violin plots showing the distribution of genes, UMI counts and percentage of mitochondrial genes per cell. Yellow: 10:90 sample hybridized to human probes. Blue: 25:75 sample hybridized to human probes. Olive: 10:90 sample hybridized to mouse probes. Red: 25:75 sample hybridized to mouse probes. **D.** UMAP visualization of the clustering obtained from each of the samples. **E.** UMAP visualization with the UMI counts per cell. **F.** Percentage of human and mouse cells per sample when assigning cells present in the low-UMI count clusters as the counterpart species. **G.** Dark blue: number of cells targeted based on the loading of the sub-pool. Light blue: number of cells recovered from the data after filtering out cells within the low UMI count clusters.

**Figure 7**



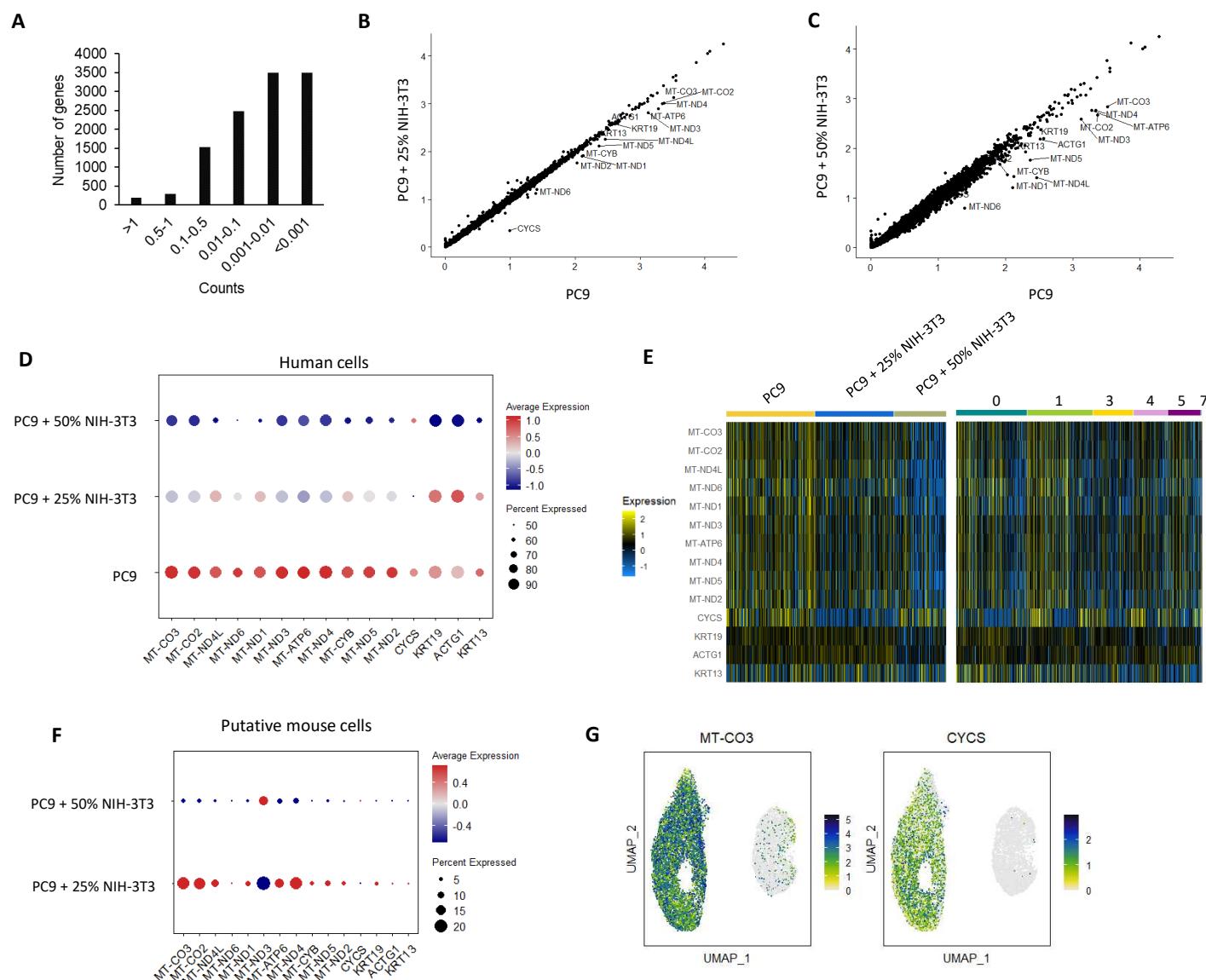
**Classifying GEM barcodes into the right species based on UMI and genes per cell in both species count tables allows to perform species specific analysis on CDX samples.** **A.** Schematic of the PC9 CDX samples used. **B.** Bar plot showing the % cells (GEM barcodes) that are present in both Mouse & Human count tables, Mouse count table or Human count table. **C.** Violin plots showing the distribution of genes, UMI counts and percentage of mitochondrial genes per cell. Yellow: vehicle sample human count table. Blue: vehicle sample mouse count table. Red: 7 days treated sample human count table. Olive: 7 days treated sample mouse count table. **D.** Cumulative cell count histograms showing the distribution of UMIs/cell after classifying the GEM barcodes into Human (yellow), Mouse cell with Human probes (blue), Mouse (red), Human cell with Mouse probes (olive) or unclear (black). **E.** Bar plot of the % of cells classified as Mouse (dark blue) or Human (light blue) after removing the cross-reactive signal. **F.** UMAP visualizations after clustering of the data within the human and mouse count tables and classifying based on the UMI and unique genes recovered per GEM barcode. **G.** UMAP visualization with the UMI counts per cell. **H.** Violin plots showing the distribution of genes, UMI counts and percentage of mitochondrial genes per cell in the human cells (top) and mouse cells (bottom). **I.** UMAP visualization of the clustering obtained from each of the species (human top, mouse bottom). Yellow: vehicle samples. Blue: 7 days treated samples.

**Figure 8**



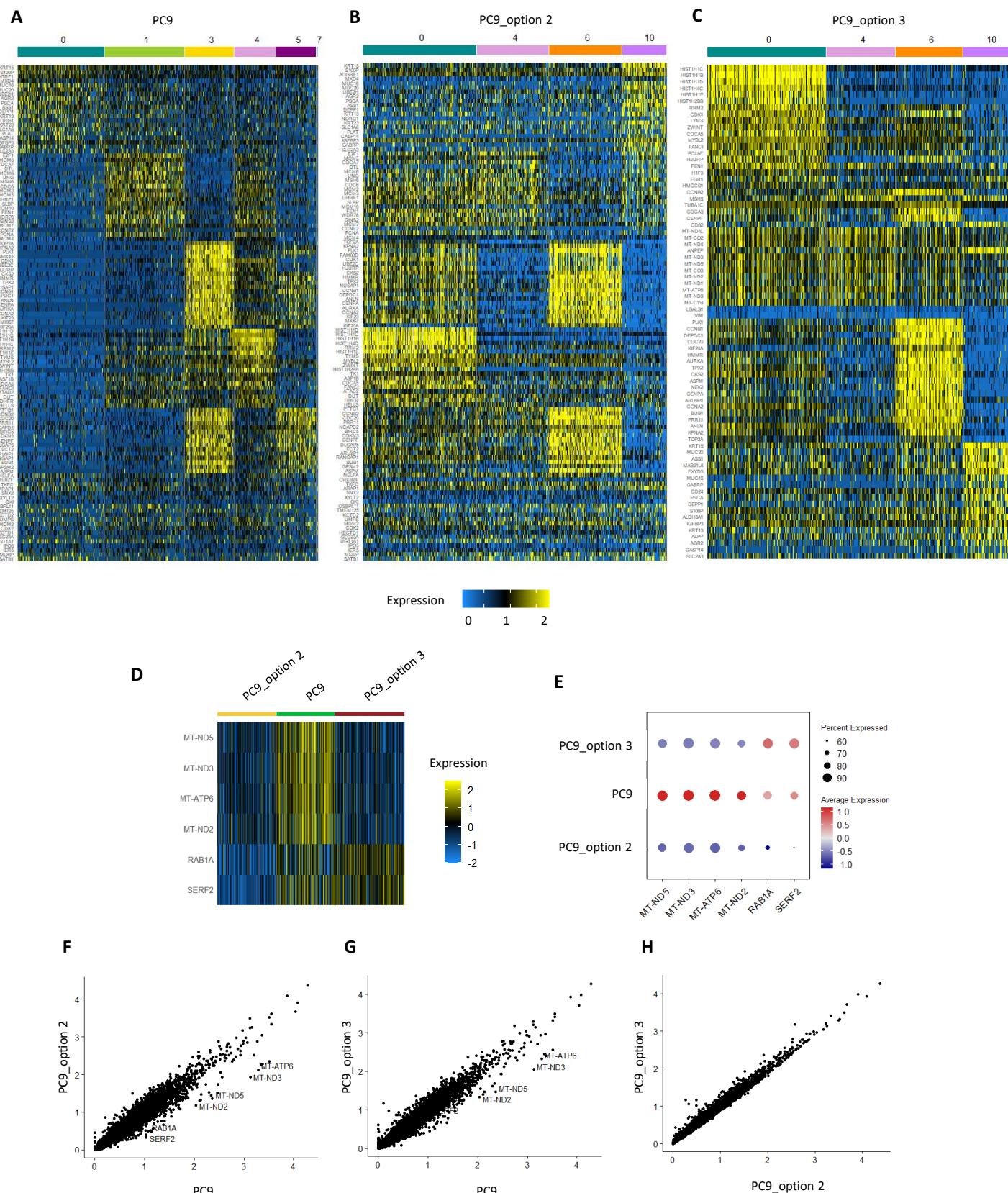
**Differential loading of a PDX sample with 3% mouse cells allows to recover enough murine cells.** **A.** Schematic of the strategy used to recover a minor murine cell population in a PDX sample. **B.** Table summarizing the contribution of each sample to the pool and the expected number of cells recovered. **C.** Violin plots showing the distribution of genes, UMI counts and percentage of mitochondrial genes per cell. Yellow: human hybridization. Blue: mouse hybridization. **D.** UMAP visualization of the clustering obtained from each of the samples. **E.** UMAP visualization with the UMI counts per cell. **F.** Percentage of human and mouse cells per sample when assigning cells with low-UMI count as the counterpart species. **G.** Bar plot showing the number of cells targeted per hybridization, the number of cells expected to be recovered based on the correction of % of mouse cells observed in the sample and the number of cells recovered.

## Supplementary Figure 1



**QC on the difference between the PC9 cells recovered from the un-spiked and spiked samples.** **A.** Distribution of average UMI counts per gene in the cells within the cross-reactive clusters. **B.** Correlation between the average gene counts of the PC9 cells against the PC9 cells in the 25% spiked sample. **C.** Correlation between the average gene counts of the PC9 cells against the PC9 cells in the 50% spiked sample. **D.** Dot plot showing the average expression level of the genes differentially expressed between the human cells in the un-spiked and spiked samples. Expression levels are shown by the blue to red scale and the percentage of cells expressing the gene is shown by the radius of the dot. **E.** Heatmap displaying the differentially expressed genes between human cells in the un-spiked and spiked samples plotted by sample (left) and by cluster (right). **F.** Dot plot showing the average expression level of the genes differentially expressed between human cells in the putative mouse clusters. **G.** UMAP visualizations of the normalized expression levels of MT-CO3 and CYCS.

## Supplementary Figure 2



**PC9 cells profiled with the different strategies are very similar.** **A.** Heatmap showing the top 10 marker genes per cluster in PC9 cells. Minimum expression in 50% of cells and  $\log_{2}FC > 0.25$ . **B.** Heatmap showing the top 10 marker genes per cluster in PC9 cells profiled by mixing probes with different barcodes (option 2). **C.** Heatmap showing the top 10 marker genes per cluster in PC9 cells profiled by sub-pooling and hybridizing to human probes (option 3). **D.** Heatmap showing the differentially expressed genes between the PC9 cells for each of the samples. Minimum expression in 25% of cells and  $\log_{2}FC > 0.5$ . **E.** Dot plot showing the average expression level of the genes differentially expressed between PC9 cells in each of the samples. Expression levels are shown by the blue to red scale and the percentage of cells expressing the gene is shown by the radius of the dot. **F.** Correlation between the average gene counts of PC9 cells against the PC9 cells from the mixed sample profiled with option 2 strategy. **G.** Correlation between the average gene counts of PC9 cells against the PC9 cells from the mixed sample profiled with option 3 strategy. **H.** Correlation between the average gene counts of PC9 cells profiled with option 2 and option 3 strategies.