

# 1 Effect of eye globe and optic nerve morphologies 2 on gaze-induced optic nerve head deformations

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4 Tingting Liu<sup>1</sup>, Pham Tan Hung<sup>2</sup>, Xiaofei Wang<sup>1, 3\*</sup>, Michaël J. A. Girard<sup>2, 4, 5, 6\*</sup>  
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6

7 1. Key Laboratory for Biomechanics and Mechanobiology of Ministry of Education,  
8 Beijing Advanced Innovation Center for Biomedical Engineering, School of  
9 Biological Science and Medical Engineering, Beihang University, Beijing, China  
10 2. Singapore Eye Research Institute, Singapore National Eye Centre, Singapore  
11 3. School of Ophthalmology and Optometry and School of Biomedical Engineering,  
12 Wenzhou Medical University, Wenzhou, China  
13 4. Duke-NUS Medical School, Singapore  
14 5. Institute for Molecular and Clinical Ophthalmology, Basel, Switzerland  
15 6. Department of Biomedical Engineering, College of Design and Engineering,  
16 National University of Singapore, Singapore

17  
18 \*These authors contributed equally to this work and are both corresponding authors

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30 **Corresponding Author:** Xiaofei Wang  
31 School of Biological Science and Medical Engineering  
32 Beihang University  
33 Room 424, Building 5  
34 37 Xueyuan Road  
35 Beijing 10083, China  
36 [xiaofei.wang@buaa.edu.cn](mailto:xiaofei.wang@buaa.edu.cn)  
37

38 Michaël J.A. Girard  
39 Ophthalmic Engineering & Innovation Laboratory (OEIL)  
40 Singapore Eye Research Institute (SERI)  
41 The Academia, 20 College Road  
42 Discovery Tower Level 6,  
43 Singapore 169856  
44 [mgirard@duke-nus.edu.sg](mailto:mgirard@duke-nus.edu.sg)  
45  
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## 53 ABSTRACT

54 **Purpose:** To investigate the effect of globe and optic nerve (ON) morphologies  
55 and tissue stiffnesses on gaze-induced optic nerve head deformations using  
56 parametric finite element modeling and a Design of Experiment (DOE)  
57 approach.

58 **Methods:** A custom software was developed to generate finite element models  
59 of the eye using 10 morphological parameters: dural radius, scleral, choroidal,  
60 retinal, pial, peripapillary border tissue thicknesses, prelaminar tissue depth,  
61 lamina cribrosa (LC) depth, ON radius, and ON tortuosity. A 10-factor 2-level  
62 full-factorial analysis (1,024 models) was used to predict the effects of each  
63 morphological factor and their interactions on LC strains induced by 13°  
64 adduction. Subsequently, a further DOE analysis (1,024 models) was  
65 conducted to study the effects and potential interactions between the top 5  
66 morphological parameters identified from the initial DOE study and 5 critical  
67 tissue stiffnesses.

68 **Results:** In the DOE analysis of 10 morphological parameters, the five most  
69 significant factors were ON tortuosity, dural radius, ON radius, scleral thickness  
70 and LC depth. Further DOE analysis incorporating biomechanical parameters  
71 highlighted the importance of dural and LC stiffness. A larger dural radius and  
72 stiffer dura increased LC strains but the other main factors had the opposite  
73 effects. Notably, a significant interaction was found between dural radius and  
74 dural stiffness.

75 **Conclusions:** This study highlights the significant impact of morphological  
76 factors on LC deformations during eye movements, with key morphological  
77 effects being more pronounced than tissue stiffnesses.

## 78 INTRODUCTION

79 Glaucoma is one of the most common causes of blindness worldwide.<sup>1</sup>  
80 The biomechanical theory of glaucoma suggests that the deformations of the  
81 optic nerve (ON) head (ONH) tissues, especially the lamina cribrosa (LC), may  
82 lead to the apoptosis of retinal ganglion cells and visual field defects, either  
83 directly or indirectly.<sup>2</sup> Intraocular pressure (IOP) and cerebrospinal fluid  
84 pressure (CSFP) are the two main mechanical loads acting on the ONH that  
85 have been shown to be correlated to glaucoma pathogenesis<sup>3–5</sup>. Recent  
86 studies using finite element (FE) modeling,<sup>6–8</sup> optical coherence tomography<sup>9–</sup>  
87 <sup>13</sup> and magnetic resonance imaging (MRI)<sup>14–16</sup> have highlighted that ON traction  
88 during eye movements can yield large ONH deformations, which may be as  
89 large as or significantly larger than those caused by a substantial IOP elevation  
90 to 40 or 50 mmHg.

91 In vivo studies have shown that gaze-induced ONH deformations vary  
92 widely across individuals<sup>17,18</sup>. The differences are likely due to variations in the  
93 biomechanical properties and morphologies of the eye globe, ON and ONH.  
94 For instance, ON tortuosity varies across individuals, as shown in **Figure 1**. A  
95 less tortuous ON has been hypothesized to generate a larger traction force,  
96 and thus potentially larger ONH deformations.<sup>15</sup> Therefore, to identify those  
97 who are vulnerable to ON traction during eye movements, it would be critical to  
98 identify the biomechanical and morphological factors (and their interactions)  
99 that significantly affect ONH deformations. Using FE modeling, we have  
100 previously investigated the effects of biomechanical properties on gaze-  
101 induced ONH deformations and predicted that a stiffer dura would generate a  
102 larger ONH deformation.<sup>6</sup> However, the effects of eye-globe and ON

103 morphologies on gaze-induced ONH deformations and their potential  
104 interactions with biomechanical properties remain unexplored.

105 The aim of this study was to explore the effects of eye-globe and ON  
106 morphologies on gaze-induced ONH deformations, and to examine any  
107 potential interactions between morphological parameters and biomechanical  
108 parameters of tissues, using parametric FE modeling and design of experiment  
109 (DOE).

110 **METHODS**

111 In this study, we developed a methodology to automatically generate  
112 thousands of 3D eye models to study the effects of eye-globe and ON  
113 morphologies, as well as tissue biomechanical properties, on gaze-induced  
114 ONH deformations. Specifically, a custom-written software (C++) was designed  
115 to automatically generate FE models of the eye, each with a set of pre-  
116 determined morphological and material parameters. These models were then  
117 fed into the FE solver FEBio (Musculoskeletal Research Laboratories,  
118 University of Utah, UT, US) to predict gaze-induced ONH deformations.  
119 Configurations of all key factors were generated by a DOE approach. The initial  
120 DOE analysis evaluated 10 morphological parameters to determine the top 5,  
121 which were then combined with the 5 key tissue stiffnesses from previous  
122 studies. This resulted in a refined set of 10 parameters, covering both  
123 morphology and tissue stiffness, for a follow-up DOE study. Since adduction is  
124 known to induce significant ONH deformations compared to abduction,<sup>6,7,9</sup> an  
125 adduction of 13° was chosen for each model, as employed in our previous work.  
126 The response of each model was characterized by the magnitude of the  
127 effective strain within the LC. Below is a detailed description of the methodology.

128 **Geometry and Biomechanical Properties of the Baseline FE  
129 Model**

130 A whole-eye FE model was established, including the sclera, choroid,  
131 prelaminar neural tissue, LC, ON, pia mater, dura mater, orbital fat-muscle  
132 complex (OFC) and orbital bone. The baseline geometric parameters of eye  
133 global tissues were set to averaged values reported in the literature, shown in  
134 **Table 1**. To maintain simplicity, we opted to combine and simulate the  
135 extraocular muscles and the orbital fat as a unified entity referred to as OFC.  
136 Only half of the eye was reconstructed because the FE model was assumed to  
137 be symmetric about a transverse plane passing through the center of the eye  
138 globe (**Figure 2**).

139 The baseline biomechanical properties were the same as those used in  
140 our previous studies.<sup>6,7</sup> Briefly, both the sclera and LC were modeled as soft  
141 tissues reinforced with collagen fibers. Those fibers can exhibit stretch-induced  
142 stiffening and they are typically distributed within a 2D plane (following a von-  
143 Mises probability distribution). The collagen fibers in the peripapillary sclera  
144 surrounding the disc were organized into a ring, while those in the peripheral  
145 sclera were organized randomly (as specified by the kf parameter in **Table 2**)  
146 and parallel to the anterior scleral surface. The collagen fibers in the LC  
147 exhibited lower anisotropy than that in the peripapillary sclera and were aligned  
148 radially, extending from the central vessel trunk to the LC insertion sites.<sup>20</sup> All  
149 other tissues were considered either hyperelastic or linear elastic as shown in  
150 **Table 2**. Among them, peripapillary border tissue (PBT) is the border tissue of  
151 the choroid and sclera<sup>21</sup>. The peripapillary choroid is separated from the  
152 prelaminar neural tissue by a collagenous layer, which constitutes the border

153 tissue of the choroid. Likewise, the scleral flange is separated from the LC by  
154 the border tissue of the sclera. Since the biomechanical behavior of the PBT  
155 has not yet been reported, we assumed that the PBT shared the same  
156 biomechanical properties as those of the pia<sup>22</sup>. All soft tissues were assumed  
157 to be incompressible. The orbital wall was considered a rigid body.

158 ***Parameterization of Morphological and Biomechanical  
159 Properties***

160 The morphology of the FE model was parameterized using 10 factors  
161 representing the geometry of the eye globe, ONH, and that of the ON. These  
162 factors were: dural radius, scleral thickness, choroidal thickness, retinal  
163 thickness, ON radius (excluding the pia and dura), pial thickness, the thickness  
164 of PBT,<sup>23</sup> prelaminar tissue depth, central LC depth, and ON tortuosity (**Figure  
165 3**). Specifically, the thicknesses of the eye globe tissues (sclera, choroid, and  
166 retina) were modified by adjusting the distance between each tissue's  
167 boundaries and the fixed sclera-choroid interface, while maintaining the  
168 thickness of other tissue unchanged. For the ON tissues (pia and PBT), a  
169 similar approach was used where the inner surface of each specific tissue was  
170 fixed and the outer surface were altered to vary its thickness. The radii of the  
171 dura and ON were adjusted by changing their distance from the central axis of  
172 the ON and ONH. ON tortuosity was altered by adjusting the positions of three  
173 control points along its central path. Refer to **Supplementary Material A-1** for  
174 more details on how the morphological parameters of the eye globe and ONH  
175 were varied.

176 The biomechanical properties of each tissue were directly modified in  
177 the input file of the FE model.

178 ***Contact Definitions, Boundary and Loading Conditions***

179 Contacts between tissues were the same as those defined in our  
180 previous study.<sup>6,7</sup> Briefly, the OFM and the dura were tied together; the OFM  
181 was able to slide over the bony orbital margin with a friction factor of 0.5; the  
182 cornea-scleral shell and the OFM had a sliding contact with no friction to mimic  
183 the Tenon's capsule enveloping the eyeball. Rigid contact was assigned  
184 between the horizontal rectus muscle insertions and a 'non-physiological' rigid  
185 body. This latter had a center of mass at the center of the eyeball, which was  
186 constrained with a prescribed rotation to simulate an adduction of 13°. For  
187 boundary conditions, the OFM and ON were fixed at the orbital apex to mimic  
188 the fibrous adhesion of those tissues to the optic canal. The orbital bone was  
189 also held in place by fixing its outer margin. In addition to an adduction of 13°,  
190 an IOP of 15 mm Hg was applied to the surface of the retinal and prelaminar  
191 tissues and a CSFP of 12.9 mm Hg was applied within the subarachnoid space  
192 of the ON. Loading was applied in two steps: first, IOP and CSFP were applied  
193 and maintained, then an adduction of 13° was applied. All contact patterns,  
194 boundaries and loading conditions are illustrated in **Figure 2**.

195 ***FE Simulations, Post-processing and Output Measurement***

196 All FE models were consistently meshed with 73,922 nodes and 62,821  
197 elements, including 62,521 8-node hexahedra and 300 6-node pentahedra  
198 elements. All tissues were bonded by shared nodes at tissue boundaries  
199 (**Figure 2**). The mesh density was numerically validated through a convergence  
200 test as described in our previous study.<sup>6</sup> A single model required about 20  
201 minutes to solve on a desktop workstation (Intel Xeon Silver 4114 CPU @  
202 2.20GHz, 32GB of memory).

203 The preprocessing Matlab script executed FEBio to solve the generated  
204 models and outputted the Lagrange strain tensors for each step and the  
205 volumes of all LC elements into a text file. Effective strains were calculated from  
206 the principal components of the Green Lagrange strain tensor. To isolate the  
207 specific effect of eye movement, effective strains of each LC element after the  
208 first load step (with only IOP and CSFP) and those after the second load step  
209 (following eye movement) were extracted. The differential, termed "delta  
210 effective strain", was then calculated for each element. This delta effective  
211 strain for each LC element was multiplied by its volume, and these values were  
212 summed and divided by the total LC volume, yielding the volume-weighted  
213 mean LC delta effective strain. For simplicity, this will be referred to as the LC  
214 effective strain in the subsequent manuscript.

215 ***DOE of Morphological Factors***

216 For the 10 morphological parameters (**Figure 3**), a two-level full factorial  
217 design was used, resulting in a total of 1,024 models. This comprehensive  
218 design allowed us to assess the effects of the main factors and any potential  
219 interactions among them. The low and high levels of all morphological  
220 parameters (excluding ON tortuosity) were set by varying them by 20% around  
221 their baseline values (**Table 1**). For simplicity, we varied ON tortuosity within a  
222 narrower range, from 1.013 (low level) to 1.1 (high level), as these values have  
223 typically been observed in MRI studies. Detailed information on the  
224 morphological parameters for each model is available in the **Supplementary**  
225 **Material B-Sheet1.**

226 ***DOE of Both Morphological and Biomechanical Factors***

227 We conducted another DOE analysis to examine the potential  
228 interactions between morphological parameters and tissue stiffnesses. This  
229 analysis included five key morphological parameters identified from the initial  
230 DOE analysis and the stiffnesses of five tissues (LC, ON, sclera, pia and dura)  
231 informed by our previous study.<sup>6,7</sup>

232 In this DOE analysis, high and low levels of the biomechanical properties  
233 of LC, ON, sclera, pia and dura were set by varying the material constants by  
234 20% around their baseline values (see **Table 3** for the exact values). The  
235 variation in morphological parameters was consistent with the initial DOE  
236 analysis. A two-level full factorial design was employed, resulting in 1,024  
237 models. Detailed information on the morphological parameters and tissue  
238 stiffnesses for each model is available in the **Supplementary Material B-**  
239 **Sheet2.**

240 ***Statistical Analysis***

241 All statistical analyses were carried out in Minitab (release 20, Minitab,  
242 LLC, Pennsylvania, USA). A P-value of less than 0.05 was considered  
243 statistically significant.

244 For each model, we reported gaze-induced LC effective strain as  
245 responses. Main effects and interaction effects were reported, and the  
246 significance of factors was tested and ranked as detailed below. The main effect  
247 indicates the average change in the response when a factor's level shifts from  
248 low (512 models) to high (512 models), in which other factors vary between  
249 both levels. Interaction effects refer to how the influence of one factor on the  
250 response changes depending on the level of another factor. Essentially, it

251 examines whether the combined impact of two factors differs from the sum of  
252 their individual effects.

253 Analysis of Variance (ANOVA) was used to assess the significance of  
254 individual factors or interactions when they vary from low to high levels. It is  
255 important to note that in DOE, the use of ANOVA is not limited by the number  
256 of levels a factor has. Even when a factor is set at just two levels, as in this  
257 study, ANOVA remains well-suited for assessing the factor's significance on  
258 the response variable and continues to be the standard method in Minitab. This  
259 is because ANOVA focuses on partitioning the total variability in the data into  
260 components attributable to different sources, including the main effects of  
261 factors and their interactions. As ANOVA was performed individually for all  
262 factors and their possible interactions, we applied the Bonferroni correction to  
263 our p-values to account for the increased risk of Type I errors due to multiple  
264 comparisons.

265 In the DOE analysis, a linear relationship between the factors (and their  
266 interactions, if included) and the response is assumed and liner models were  
267 fitted with these factors as independent variables. In this context, the  $R^2$  value  
268 indicates how well the linear model fits the experimental data, essentially  
269 assessing how effectively the factors explain the variation in the response.  
270 Statistically significant factors were further ranked based on the magnitude of  
271 change they induced in the response variable (equals to the absolute value of  
272 the regression coefficients), facilitating the identification of the most influential  
273 factors and interactions.

274 **RESULTS**

275 ***The Effects of 10 Morphological Parameters***

276 The average LC effective strain (i.e., delta strain after removing the  
277 effects of IOP and CSFP) across all models was 0.031. In the DOE analysis,  
278 we examined 55 factors, comprising 10 main factors and 45 pairwise  
279 interactions. Out of these, 25 factors were statistically significant ( $p < 0.05$ ).  
280 Among the significant factors, only five factors contribute to more than 1% of  
281 the total effects: ON tortuosity, dural radius, ON radius, scleral thickness and  
282 LC depth. A linear regression model showed that these five factors accounted  
283 for 96.69% of the total effects in the responses. Details on the statistically  
284 significant factors with less than 1% impact on the total effects are available in  
285 **Supplementary Material B-Sheet3.**

286 Larger ON tortuosity, scleral thickness, ON radius and LC depth  
287 decreased LC strains following eye movements, while a larger dural radius  
288 increased LC strains. **Figure 4** illustrates the magnitude and trend of the effects.

289 **Figure 5** shows the morphology of the undeformed and deformed FE  
290 models and color-coded strains (ONH and LC) in models with low and high  
291 levels of these five factors. In the baseline model, the mean LC effective strain  
292 caused by 13° adduction was 0.042. The mean LC effective strains for models  
293 with larger ON tortuosity, dural radius, ON radius, scleral thickness and LC  
294 depth were 0.026, 0.054, 0.026, 0.035, and 0.037, respectively.

295 ***The Effects of 5 Morphological Parameters and 5 Tissue  
296 Stiffnesses***

297 A total of 55 factors, including main factors and their interactions, were  
298 examined; 39 of these were statistically significant ( $p < 0.05$ ). The factors that  
299 individually contributed to more than 1% of the total effects, in descending order,  
300 are ON tortuosity, dural radius, ON radius, dural stiffness, scleral thickness, LC

301 stiffness, LC depth, the interaction between the dural radius and the dural  
302 stiffness, together accounting for 95.52% of the total effects in the responses.  
303 For detailed information on factors that were statistically significant but had an  
304 effect of less than 1% of the total effects, refer to **Supplementary Material B-**  
305 **Sheet4.**

306 **Figure 6** illustrates the magnitude and trend of the effects. The trend for  
307 the 5 morphological parameters are consistent with the results from the above  
308 morphological DOE analysis. For biomechanical properties, a stiffer dura  
309 increased LC strains, whereas a stiffer LC reduced strains. The most  
310 pronounced interaction occurred between dural radius and dural stiffness.  
311 Specifically, an increase in LC strain associated with a larger dural radius was  
312 found to be amplified when combined with a stiffer dura.

## 313 **DISCUSSION**

314 In this study, we developed a parametric FE model and studied the  
315 effects of eye-globe and ON morphologies, as well as tissue stiffnesses on  
316 ONH deformations during eye movements. Our models demonstrated that ON  
317 tortuosity, dural radius, ON radius, scleral thickness and LC depth were the five  
318 main morphological factors that significantly affect gaze-induced ONH  
319 deformations. These parameters retained their significance in a combined  
320 analysis with tissue stiffnesses. We also observed a significant interaction  
321 between the dural radius and dural stiffness, proving to be a considerable factor  
322 in the ONH's response to eye movement.

### 323 ***A Larger Dural Radius Increased LC Strains During Eye Movements***

324 Our study found that a larger dural radius (i.e., the optic nerve sheath  
325 inner radius) leads to higher LC strains, similar to the effect of a stiffer dura.

326 During eye movements, peripapillary tissues are sheared in the transverse  
327 plane by the optic nerve sheath, resulting in significant deformations.<sup>7</sup> An  
328 increased dural radius would tend to restrict eye movements by exerting a  
329 larger pulling force onto the ONH, as evidenced by the calculated traction force  
330 from the FE models (**Supplementary Material A-2**). The potential for such  
331 forces to cause axonal death in glaucoma requires further investigation.

332 In this study, it is important to acknowledge that variations in the dural  
333 radius were accompanied by changes in the length of the scleral flange, as the  
334 insertion point of the dura into the sclera was altered. Consequently, an  
335 increase in dural radius resulted in an enlargement of the scleral flange in the  
336 model. Although this relationship aligns with anatomical observations, where  
337 the dural radius and scleral flange size are positively correlated,<sup>4,24</sup> this  
338 confounding factor complicates the interpretation of the effect of a larger dura  
339 radius. Specifically, a larger scleral flange could potentially indicate a weaker  
340 ONH, which is more susceptible to deformation. To dissect the potential  
341 confounding impacts of an increased scleral flange and dural radius, we  
342 conducted additional simulations (**Supplementary Material A-3**). In these  
343 models, we modified the dural and ON radius without varying the scleral flange  
344 size. Keeping the scleral flange size constant, we observed that an increase in  
345 dural radius (along with a concurrent increase in ON radius, which can actually  
346 reduce LC strain) led to an increase in LC strains. This approach allowed us to  
347 confirm that an increased dural radius indeed contributes to higher LC strains.

348 Computed tomography (CT) studies have shown that the optic nerve  
349 sheath diameter (ONSD) is significantly larger in patients with normal tension  
350 glaucoma (NTG) compared to healthy controls<sup>25,26</sup>, suggesting that NTG eyes

351 may exhibited more ONH deformation due to eye movement. However, other  
352 studies have found no significant difference in ONSD between NTG and healthy  
353 controls,<sup>27</sup> and that NTG subjects may have a smaller ONSD owing to a lower  
354 CSFP.<sup>28,29</sup> These conflicting findings could be due to ethnic differences or  
355 underlying differences in the pathogenesis of various NTG subtypes. Our  
356 previous studies demonstrated a negative correlation between IOP-induced  
357 ONH strain and retinal sensitivity in high tension glaucoma subjects<sup>17</sup>, whereas  
358 NTG subjects showed a stronger correlation with gaze-induced ONH  
359 deformation<sup>30</sup>. Given these results, it is quite possible that NTG itself may have  
360 different subtypes, with some subjects being sensitive to IOP and others  
361 sensitive to gaze. The role of morphological differences in the dura in these  
362 variations remains unexplored, and further studies are warranted.

363 ***A Large ON Tortuosity Decreased LC Strains During Eye Movements***

364 Our study suggested that increased ON tortuosity may lead to lower LC  
365 strains during eye movements. A relatively taut ON has the potential to exhibit  
366 rapid straightening during eye movements and thus exert more force on the  
367 ONH tissue, a phenomenon observed in our FE models (**Supplementary**  
368 **Material A-2**). In a previous study involving a small Chinese population, we  
369 found that ONs in glaucoma subjects (mean IOP:  $26.4 \pm 4.6$  mmHg) were tauter  
370 than in normal controls (mean IOP:  $15.3 \pm 3.6$  mmHg). This smaller ON  
371 tortuosity in glaucoma subjects may exert more force on the ONH tissues during  
372 eye movements, indicating a potential risk factor for glaucoma.<sup>15</sup> However,  
373 another study<sup>31</sup> reported that ON path redundancy was greater in NTG than in  
374 normal controls in primary gaze and abduction. The discrepancy between those  
375 two studies may be attributed to: 1) differences in subjects (high-tension

376 glaucoma subjects of Chinese ethnicity versus NTG subjects of unknown  
377 ethnicity); 2) small sample sizes; or simply; 3) differences in methods to assess  
378 ON tortuosity.

379 A recent study reported that ON tortuosity in highly myopic subjects was  
380 significantly larger than that in emmetropic controls.<sup>16</sup> It would seem that, in  
381 high myopia, the 'slack' ON (i.e., increased ON tortuosity) might act as a  
382 protective mechanism against ONH deformations. However, it is crucial to  
383 acknowledge that high myopic subjects with more tortuous ONs might still be  
384 susceptible to greater ONH deformations during eye movements due to other  
385 influencing factors. For instance, in high myopic eyes, the ON-globe junction  
386 must travel a longer distance for the same amount of eye movement compared  
387 to normal eyes. This is due to the extreme elongation of high myopic eyes,  
388 which can exhaust the redundancy in ON tortuosity. Additionally, the weakened  
389 structural stiffness of the sclera and other ONH structures in high myopia could  
390 make them more susceptible to ONH deformations.

391 ***A Thicker Sclera Decreased LC Strains During Eye Movements***

392 Our study showed that scleral thickness significantly affects LC strains,  
393 with a thicker sclera associated with lower LC strains during eye movements.  
394 This finding aligns with other studies examining the effects of factors on IOP-  
395 <sup>32,33</sup> and CSFP-<sup>5</sup> induced LC strains. Since other ONH tissues are relatively  
396 compliant compared to the sclera, scleral deformation induced by eye  
397 movement can be directly transmitted to surrounding tissues, suggesting that  
398 eyes with a thinner sclera may be more sensitive to eye movements. In case of  
399 high myopia, scleral thickness decreases significantly with increasing axial  
400 length.<sup>34</sup> In severe cases, scleral thickness can be as low as 31% of that in

401 normal subjects<sup>35</sup>, potentially leading to the development of staphylomas. The  
402 reduced scleral thickness in high myopia could result in large LC deformations  
403 and increasing susceptibility to ONH damage during eye movements. However,  
404 as discussed above, ONH deformations in high myopic eyes are also affected  
405 by axial length and ON tortuosity. Further studies are warranted to investigate  
406 the interactions of these factors in high myopia.

407 ***Other Factors Affecting LC Strains During Eye Movements***

408 Our study showed that a larger ON radius (i.e., the ON parenchyma,  
409 excluding the dural and pial sheaths) had a protective effect, resulting in smaller  
410 LC strains. There is a typical shear deformation due to ON traction, with clear  
411 temporal pulling from the dura in adduction. We speculated that a larger ON  
412 radius might possibly provide more mechanical support to the LC during ON  
413 traction, which could potentially lead to smaller LC strains. ON radius is  
414 associated with disc size. Previous studies have reported conflicting results  
415 regarding the relationship between disc size and glaucoma. Some studies<sup>2,36,37</sup>  
416 demonstrated that a larger optic disc size is associated with higher glaucoma  
417 susceptibility, while other studies suggested that smaller discs with less space  
418 for nerve fibers to travel through increase glaucoma susceptibility<sup>38,39</sup>. There  
419 are also studies<sup>40,41</sup> found no significant correlation between the degree of ON  
420 atrophy in glaucoma and disc size. Note that disc size measured in these  
421 studies were not equal to the ON size posterior to LC. Direct measurement of  
422 ON size with MRI imaging<sup>42,43</sup> have shown that the ON radius of glaucoma  
423 subjects was smaller than that of normal controls, which was significantly  
424 correlated with retinal nerve fiber layer thickness thinning and perimetric loss.  
425 A recent study suggested that myopes also tended to have smaller ONs.<sup>44</sup> It is

426 worth noting that histologic studies showed that optic atrophy led to a smaller  
427 retrobulbar ON<sup>45</sup>, suggesting that ON diameter may correlate with the extent of  
428 optic atrophy and a smaller ON diameter in glaucoma subjects might be the  
429 consequence of retinal ganglion cells (RGC) apoptosis<sup>31</sup>. The link between ON  
430 size and glaucoma needs further exploration.

431 Our study also revealed that a larger LC depth resulted in smaller gaze-  
432 induced LC strains. In this study, a greater LC depth corresponds to a more  
433 curved LC. Previous studies<sup>46–49</sup> showed that the LC depth and LC curvature  
434 were significantly larger in POAG eyes than in healthy eyes. These differences  
435 could be the consequences of glaucoma.<sup>50</sup> However, it remains unclear  
436 whether an initial larger LC depth/curvature is protective or detrimental in the  
437 development of glaucoma. Since LC morphology varies with race, sex, age and  
438 axial length<sup>51–54</sup>, the relationship between the LC morphology and gaze-  
439 induced LC deformations needs to be further studied.

440 Lastly, our study reconfirmed the significance of tissue stiffness on gaze-  
441 induced LC deformations. A stiffer dura increases LC strains, which is  
442 consistent with our previous study.<sup>6</sup> In addition, LC stiffness also had a strong  
443 influence on gazed-induced LC strains, where a stiffer LC resulted in a  
444 reduction of LC strains. This is straightforward as a stiffer material will deform  
445 less under the same loading condition. This observation is consistent with other  
446 studies investigating LC strains induced by IOP<sup>32,33</sup> and CSFP<sup>55</sup>. However, the  
447 effect of tissue stiffness on gazed-induced LC strains is smaller than that of the  
448 three main morphologies (ON tortuosity, dural radius, and ON radius; **Figure 6**  
449 and **Supplementary Material B-Sheet4**).

450 ***The Interactions Affecting LC Strains During Eye Movements***

451 This study revealed significant interactions among various factors, with  
452 the most notable being between dural radius and dural stiffness. A larger dural  
453 radius tends to increase LC strains, an effect that is amplified with stiffer dura  
454 and diminished when the dura is more compliant. These findings underscore  
455 the importance of considering individual-specific characteristics such as eye  
456 globe and ON morphologies, as well as their biomechanical properties, in  
457 assessing the susceptibility of LC deformation during eye movements. Given  
458 the complex and multifaceted nature of morphological and biomechanical  
459 properties of the ONH, our parametric FE models provided an ideal platform for  
460 studying and quantifying the main factors and their interactions in a systematic  
461 manner to inform future experimental study design and analysis.

462 **Limitations.** In this study, several limitations warrant further discussion.  
463 First, our models only predicted acute ONH deformations during eye  
464 movements and could not account for the long-term growth & remodeling  
465 processes that are known to take place in ocular tissues.

466 Second, there are some inherent limitations in a two-level full factorial  
467 design. As each factor has only two levels, it cannot account for the nonlinear  
468 effect between the factor and the response. Additionally, in a full factorial design,  
469 all possible combinations of the factors are tested, resulting in a high number  
470 of experiments. This would increase the time and cost to conduct the study.  
471 Considering more advanced experimental designs that account for nonlinear  
472 effects and minimize the number of experiments can enhance the efficiency  
473 and precision of future studies in this field.

474 Third, the morphological factors in our study included dural radius, but  
475 not dural thickness. Previous computational studies and this study have shown

476 that a stiffer dura could significantly increase LC strains during eye  
477 movements.<sup>6</sup> To enhance our understandings, future studies should examine  
478 the effect of an increased dural thickness on LC deformation during eye  
479 movements.

480 Fourth, to rank the effects of all morphological factors, we varied these  
481 parameters by 20% from their baseline values, as proper physiologic ranges  
482 for each parameter are not known. As a result, morphological size variations  
483 from larger tissues were higher. A more precise understanding of the  
484 physiological ranges for these parameters would be valuable for more accurate  
485 assessments in future studies.

486 Finally, the simplified morphological properties of our models provided a  
487 reasonable approximation, allowing us to improve our understanding of ONH  
488 biomechanics during eye movements. It will be necessary to update this work  
489 as more biomechanical information on eye and orbital tissues becomes  
490 available.

491 **Conclusion.** Our parametric finite element models demonstrated that  
492 ON tortuosity, dural radius, ON radius, scleral thickness and LC depth were the  
493 five most important morphological factors influencing gaze-induced ONH  
494 deformations. Additionally, the stiffnesses of dura and LC were the most  
495 important biomechanical factors influencing gaze-induced ONH deformations,  
496 and the interactions between dural radius and the dural stiffness was significant.  
497 Our study provides an ideal platform for studying and quantifying the main  
498 factors and interactions between factors to inform experimental design and  
499 analysis. Further experimental and clinical studies are needed to explore the

500 role of effect of individual-specific characteristics on gaze-induced ONH  
501 deformations in ocular diseases, such as myopia and glaucoma.

502

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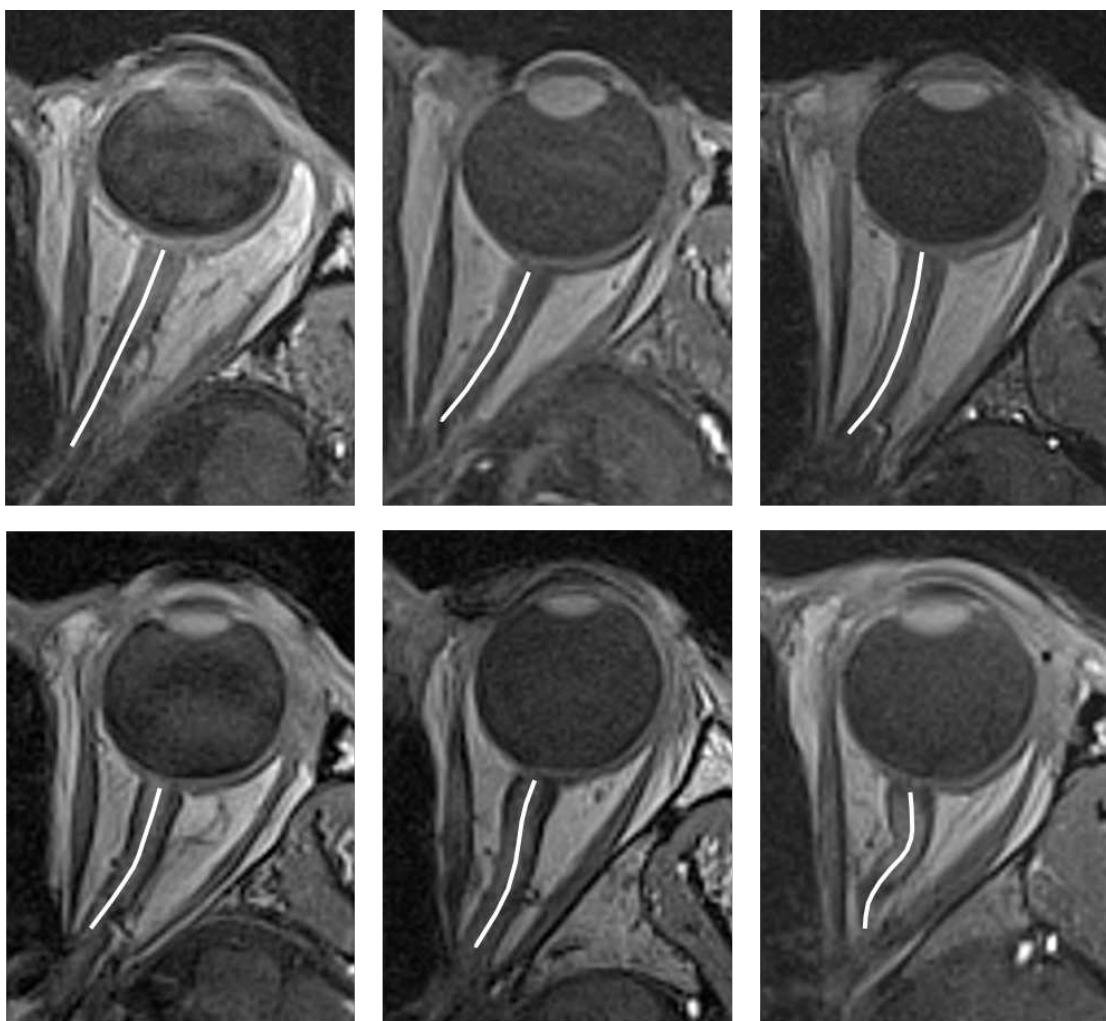
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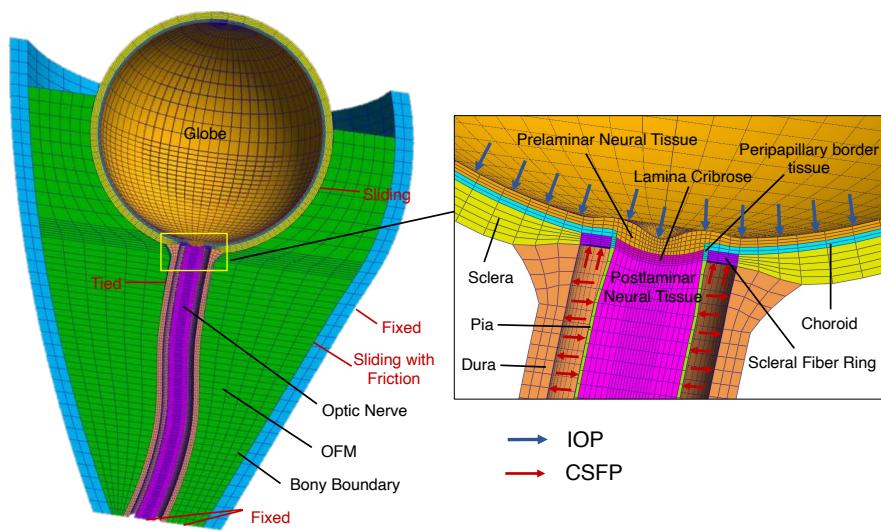
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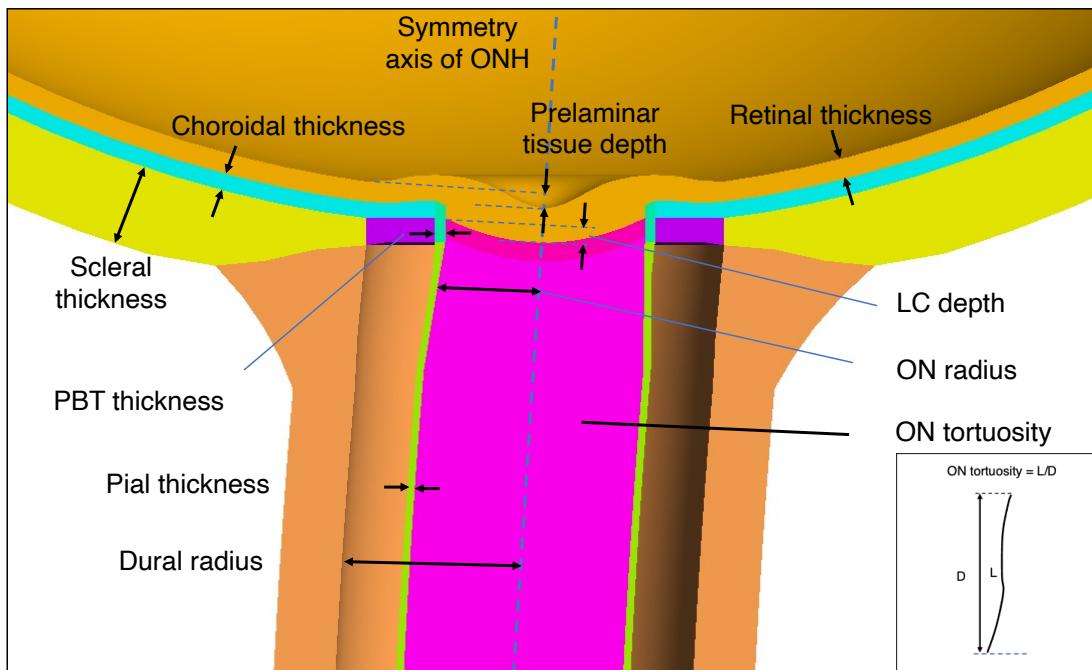
## FIGURES



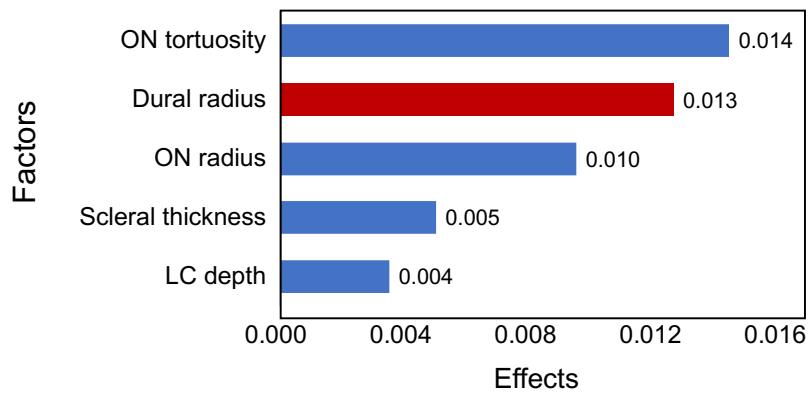
**Figure 1.** MRI images of the orbital region demonstrate the morphological diversity of the optic nerve (ON). These six figures show examples of ONs displaying varying degrees of curvature, ranging from straight to highly tortuous. The white lines represent the ON middle curve.



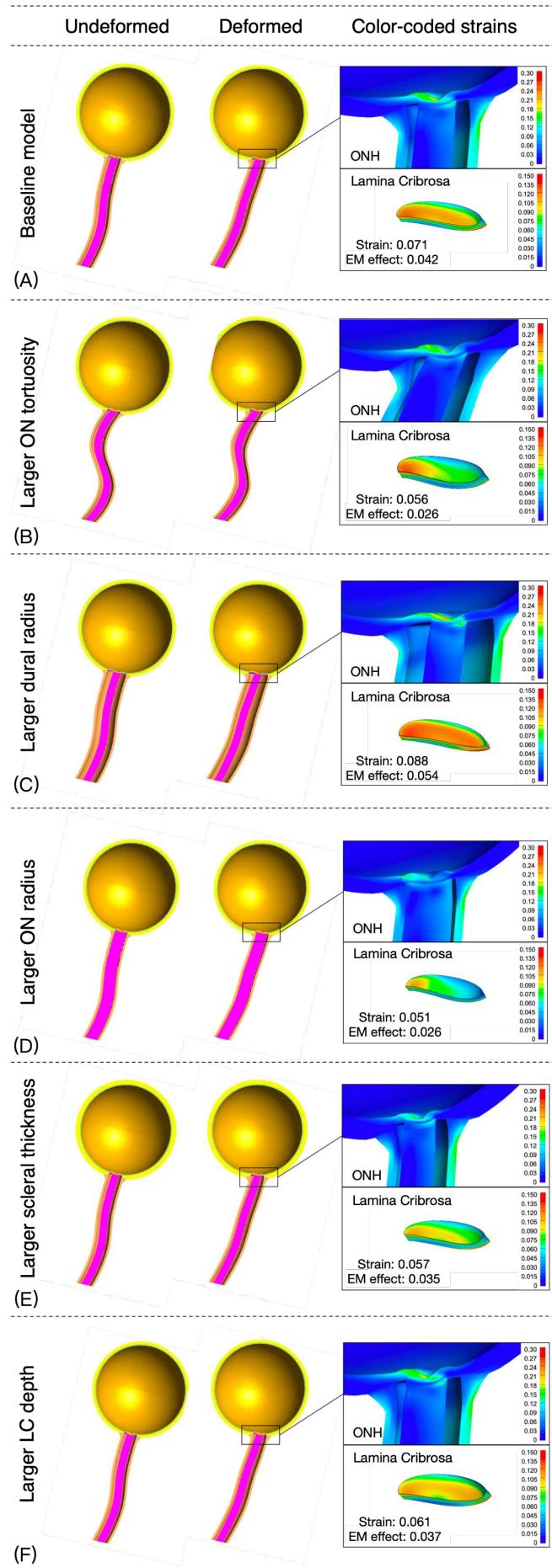
**Figure 2.** Left panel shows the reconstructed geometry and FE mesh of the eye movement model with boundary conditions and tissue connections. Right panel shows an enlarged view of the detailed ONH region (sclera, scleral fiber ring, the peripapillary border tissue, choroid, Bruch's membrane, lamina cribrosa, neural tissues, pia and dura) illustrating the IOP and CSFP applied to each model in the primary gaze position.



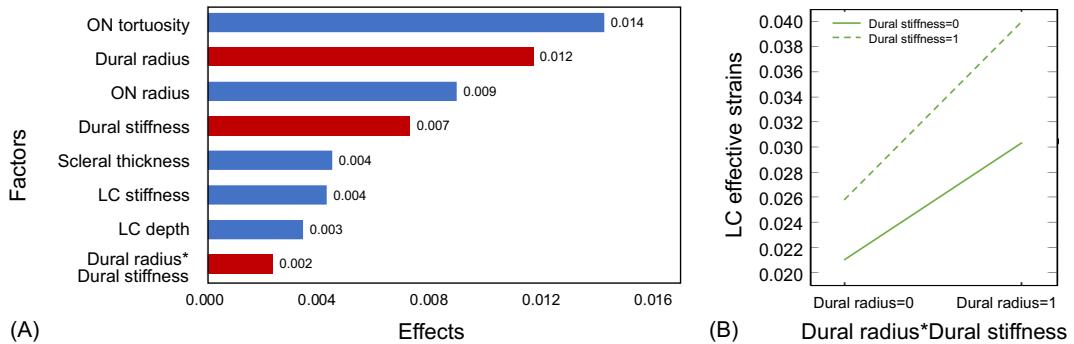
**Figure 3.** Input factors defining the parametric FE model geometry (only the ONH region of the entire eye is shown). See **Table 1** for the ranges of input factors. The blue dashed line represents the symmetry axis of ONH.



**Figure 4.** Ranking of the effects of morphological factors (only the five most significant factors contributing to more than 1% of the total effects were shown) on the mean effective strain of LC. A longer bar indicates a more significant effect when varying parameters from a low to a high level. Blue bars indicate positive effects (strain reduction) and red bars indicate negative effects (strain increase).



**Figure 5.** ONH deformations induced by an adduction of 13° with the five main factors (ON tortuosity, dural radius, ON radius, scleral thickness and LC depth) at their low and high levels, respectively. The enlarged views of the ONH and LC show the color-coded effective strain. In the enlarged views, "Strain" represents the total LC effective strain induced by IOP, CSFP and eye movement, and "EM effect" represents the mean LC delta effective strains after removing the effects of IOP and CSFP. Note that the LC deformations were exaggerated 5 times for illustration purposes.



**Figure 6.** (A) Ranking of the effects of morphological factors, tissue stiffnesses and their interactions (factors contributing to more than 1% of the total effects were shown) on the mean effective strain of LC. A longer bar indicates a more significant effect when varying parameter from a low to a high level. Blue bars indicate positive effects (strain reduction) and red bars indicate negative effects (strain increase). (B) The interactions between dural radius and dural stiffness. When dural stiffness is at the low level, LC effective strain increased by 0.0093 (from 0.0210 to 0.0303) with an increase in dural radius. At the high level of dural stiffness, LC effective strain increased by 0.0140 (from 0.0259 to 0.0399) with the increase of dural radius. 0, low level; 1, high level.

## TABLES

**Table 1.** Morphological factors and their ranges

Morphological factors	Baseline	Low	High	References
Dural radius, mm	2.17	1.74	2.61	Vaiman et al. <sup>56</sup>
Scleral thickness, mm	0.996	0.7968	1.19	Norman et al. <sup>57</sup>
Choroidal thickness, mm	0.134	0.1072	0.1608	Jiang et al. <sup>58</sup>
Retinal thickness, mm	0.249	0.1992	0.29	Alamouti <sup>59</sup>
ON radius, mm	1.333	1.0664	1.5996	Sigal et al. <sup>60</sup>
Pial thickness, mm	0.06	0.048	0.072	Sigal et al. <sup>60</sup>
Prelaminar tissue depth, mm	0.33	0.264	0.396	Bowd et al. <sup>61</sup>
LC depth, mm	0.3	0.24	0.36	Tun et al. <sup>51</sup>
PBT thickness, mm	0.083	0.0664	0.0996	Jonas et al. <sup>62</sup>
ON tortuosity	1.013	1.013	1.1	Wang et al. <sup>15</sup>

**Table 2.** Tissue biomechanical properties

Tissue	Constitutive Model	Biomechanical Properties	References
Sclera	Mooney-Rivlin Von Mises Distributed Fibers	$c_1 = 0.805 \text{ MPa}$ $c_3 = 0.0127 \text{ MPa}$ $c_4 = 1102.25$ $k_f = 2$ (scleral ring) $k_f = 0$ (other region of sclera) $\theta_p$ : preferred fiber orientations*	Girard et al. <sup>63</sup>
PBT	Yeoh model	$c_1 = 0.1707 \text{ MPa}$ $c_2 = 4.2109 \text{ MPa}$ $c_3 = -4.9742 \text{ MPa}$	Wang et al. <sup>6</sup>
Choroid	Isotropic Elastic	Elastic modulus = 0.6 MPa Poisson's ratio = 0.49	Friberg et al. <sup>64</sup>
Retina	Isotropic Elastic	Elastic modulus = 0.03MPa Poisson's ratio = 0.49	Miller <sup>65</sup>
Lamina Cribrosa	Mooney-Rivlin Von Mises Distributed Fibers	$c_1 = 0.05 \text{ MPa}$ $c_3 = 0.0025 \text{ MPa}$ $c_4 = 100$ $k_f = 1$ $\theta_p$ : preferred fiber orientation§	Zhang et al. <sup>20</sup>
Optic nerve	Isotropic Elastic	Elastic modulus = 0.03MPa Poisson's ratio = 0.49	Miller <sup>65</sup>
Pia	Yeoh model	$c_1 = 0.1707 \text{ MPa}$ $c_2 = 4.2109 \text{ MPa}$ $c_3 = -4.9742 \text{ MPa}$	Wang et al. <sup>6</sup>
Dura	Yeoh model	$c_1 = 0.1707 \text{ MPa}$ $c_2 = 4.2109 \text{ MPa}$ $c_3 = -4.9742 \text{ MPa}$	Wang et al. <sup>6</sup>
Fat	Isotropic Elastic	Elastic modulus = 0.027MPa Poisson's ratio = 0.49	Schoemaker et al. <sup>66</sup>
Orbit	Isotropic Elastic	Elastic modulus = 300 MPa Poisson's ratio = 0.49	Schoemaker et al. <sup>66</sup>

\*Collagen fibers orientations in the scleral fiber ring were aligned circumferentially around the scleral canal. Fibers in other parts of the sclera were organized randomly. Fiber orientations were assigned to these elements using a custom-written MATLAB code.

§ Collagen fibers orientations in the LC were along the radial direction, extending from the central vessel trunk to the scleral canal.

**Table 3.** Biomechanical properties of five tissues and their ranges

Tissue	Constitutive Model	Material Constants (Baseline)	Material Constants (Low level)	Material Constants (High level)
Sclera	Mooney-Rivlin Von Mises Distributed Fibers	c1 = 0.805 MPa c3 = 0.0127 MPa c4 = 1102.25	c1 = 0.644 MPa c3 = 0.01016 MPa c4 = 881.8	c1 = 0.966 MPa c3 = 0.0152 MPa c4 = 1322.7
Dura	Yeoh model	c1 = 0.1707 MPa c2 = 4.2109 MPa c3 = -4.9742 MPa	c1 = 0.13656 MPa c2 = 3.36872 MPa c3 = -5.96904 MPa	c1 = 0.20484 MPa c2 = 5.05308 MPa c3 = -3.97936 MPa
Pia	Yeoh model	c1 = 0.1707 MPa c2 = 4.2109 MPa c3 = -4.9742 MPa	c1 = 0.13656 MPa c2 = 3.36872 MPa c3 = -5.96904 MPa	c1 = 0.20484 MPa c2 = 5.05308 MPa c3 = -3.97936 MPa
ON	Isotropic Elastic	0.03 MPa	0.024 MPa	0.036 MPa
Lamina Cribrosa	Mooney-Rivlin Von Mises Distributed Fibers	c1 = 0.05 MPa c3 = 0.0025 MPa c4 = 100	c1 = 0.04 MPa c3 = 0.002 MPa c4 = 80	c1 = 0.06 MPa c3 = 0.003 MPa c4 = 120