

1 Probabilistic ecological risk assessment for deep-sea mining: 2 a Bayesian Network for Chatham Rise, SW Pacific Ocean

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11

12 **Abstract**

13 Increasing interest in seabed resource use in the ocean is introducing new pressures on deep-sea
14 environments, the ecological impacts of which need to be evaluated carefully. The complexity of
15 these ecosystems and the dearth of comprehensive data pose significant challenges to predicting
16 potential impacts. In this study, we demonstrate the use of Bayesian Networks (BNs) as a
17 modelling framework to address these challenges and enhance the development of robust
18 quantitative predictions concerning the effects of human activities on deep-seafloor ecosystems.
19 The approach consists of iterative model building with experts, and quantitative probability
20 estimates of the relative decrease in abundance of different functional groups of benthos
21 following seabed mining. The model is then used to evaluate two alternative seabed mining
22 scenarios to identify the major sources of uncertainty associated with the mining impacts. By
23 establishing causal connections between the pressures associated with potential mining activities
24 and various components of the benthic ecosystem, our model offers an improved comprehension
25 of potential impacts on the seafloor environment. We illustrate this approach using the example
26 of potential phosphorite nodule mining on the Chatham Rise, offshore Aotearoa/New Zealand,
27 SW Pacific Ocean, and examine ways to incorporate knowledge from both empirical data and
28 expert assessments into quantitative risk assessments. We further discuss how ecological risk
29 assessments can be constructed to better inform decision-making, using metrics relevant to both
30 ecology and policy. The findings from this study highlight the valuable insights that BNs can
31 provide in evaluating the potential impacts of human activities. However, continued research and
32 data collection are crucial for refining and ground truthing these models and improving our
33 understanding of the long-term consequences of deep-sea mining and other anthropogenic
34 activities on marine ecosystems. By leveraging such tools, policymakers, researchers, and
35 stakeholders can work together towards human activities in the deep sea that minimise ecological
36 harm and ensure the conservation of these environments.

37 **Key words:** Bayesian networks, benthic fauna, deep-sea mining, participatory modelling,
38 quantitative risk assessment, seabed disturbance

39

40 1 Introduction

41 Interest in seabed mining, deep-sea fishing, and oil and gas exploration is increasing in the deep
42 sea (Jouffray et al., 2020; Ramirez-Llodra et al., 2011). In order to effectively manage these
43 activities, predicting their impacts on deep-sea environments and ecosystems prior to resource
44 consent approval is crucial. However, the complexity of deep-sea ecosystems and the lack of
45 comprehensive data often make predicting impacts challenging (Smith et al., 2020). It is
46 therefore important to conduct robust environmental risk assessments (ERAs) that take into
47 account the potential risks and uncertainties associated with activities that can impact deep-sea
48 habitats and communities.

49 Significant mineral resources have been identified in various parts of the global ocean, including
50 areas of the Pacific, Atlantic, and Indian oceans (Ellis et al., 2017; Miller et al., 2018). Seabed
51 mining activities are still in the exploration and development phase and no commercial mining
52 operations have yet taken place, but concerns have been raised over their potential to harm deep-
53 sea ecosystems (van Dover et al., 2017). Deep-sea mining is expected to have an impact on all
54 levels of marine ecosystems, from the water column to the seafloor (Drazen et al., 2020; Miljutin
55 et al., 2011; Orcutt et al., 2020). Many studies have examined the potential environmental
56 consequences of mining by using field studies, laboratory experiments, and modelling (reviewed
57 by Jones et al., (2017). However, despite the valuable insights provided by these studies, there is
58 only a partial understanding of the environmental impacts of deep-sea mining. Current
59 knowledge gaps include uncertainties about the scale and duration of the effects, the potential for
60 cumulative impacts over time, and the extent and speed of ecosystem recovery following
61 disturbance (Amon et al., 2022). Furthermore, it is unclear to what extent the disturbance studies
62 conducted in a small area or in a laboratory can be scaled up to industrial mining operations

63 (Clark, Durden, and Christiansen 2020). Limited baseline data and the difficulty of access to
64 some of the remote areas where deep-sea mining is proposed make it challenging to accurately
65 assess the environmental risks associated with such mining activities (Smith et al., 2020).

66 ERAs are an important tool to help environmental managers evaluate the risks associated with
67 mining operations. In the deep sea, ERAs can be particularly useful to support decision-making,
68 due to limitations of baseline data and of information on ecosystem responses to external
69 disturbances. However, most current ERAs estimate risk based upon the vulnerability of the
70 environment through semi-quantitative scoring (Boschen-Rose et al., 2021; Washburn et al.,
71 2019), offering an overview of the risks without quantitative estimates of the actual ecosystem
72 impacts. To account for the uncertainties related to such lack of data, probabilistic modelling has
73 been increasingly used in ERAs (Kaikkonen et al., 2021).

74 Bayesian networks (BNs) are graphical probabilistic models that provide an alternative to
75 commonly used scoring procedures in ERAs (Kelly et al., 2013; Pearl 2010). In a risk assessment
76 context, BNs illustrate the modelled system as a network of causal influences. BNs are composed
77 of a directed acyclic graph (the structure of the network) with quantitative connections between
78 the variables (or nodes). The strength of each connection between variables is described through
79 conditional probabilities (Pearl 1986), thus representing a joint probability distribution over a set
80 of variables. The dependencies between variables propagate through the network and influence
81 the probabilities of other nodes and may be updated as new information about the nodes becomes
82 available. This facet of the model enables the integration of new data or evidence in the model,
83 and the network can be queried under different scenarios to calculate the posterior probability of
84 all other nodes within the BN (Kelly et al., 2013; Pearl 2010).

85 Unlike traditional scoring procedures, BNs allow for the estimation of not only the most likely
86 outcome but also the uncertainty associated with the estimates by providing a probability
87 distribution over all the possible values of each variable (Fenton and Neil 2012; Nielsen and
88 Jensen 2009). BNs can synthesise outcomes of multiple scenarios and accommodate inputs from
89 multiple sources, including simulations, empirical data, and expert knowledge (e.g., Bulmer et
90 al., 2022; Wade et al., 2021), making them well-suited for data-poor cases. Additionally, given
91 their modular structure, BNs can support integrative modelling combining different submodels,
92 such as management decision networks (Marcot and Penman 2019).

93 In this paper, we apply BNs in a case study focused on potential phosphate nodule mining on the
94 Chatham Rise, offshore Aotearoa/New Zealand, SW Pacific Ocean. Drawing on a combination
95 of field observations, laboratory experiments, and expert knowledge, we estimate the likelihoods
96 of impacts on benthic fauna under a high disturbance and an intermediate disturbance seabed
97 mining scenarios.

98 **2 Material and methods**

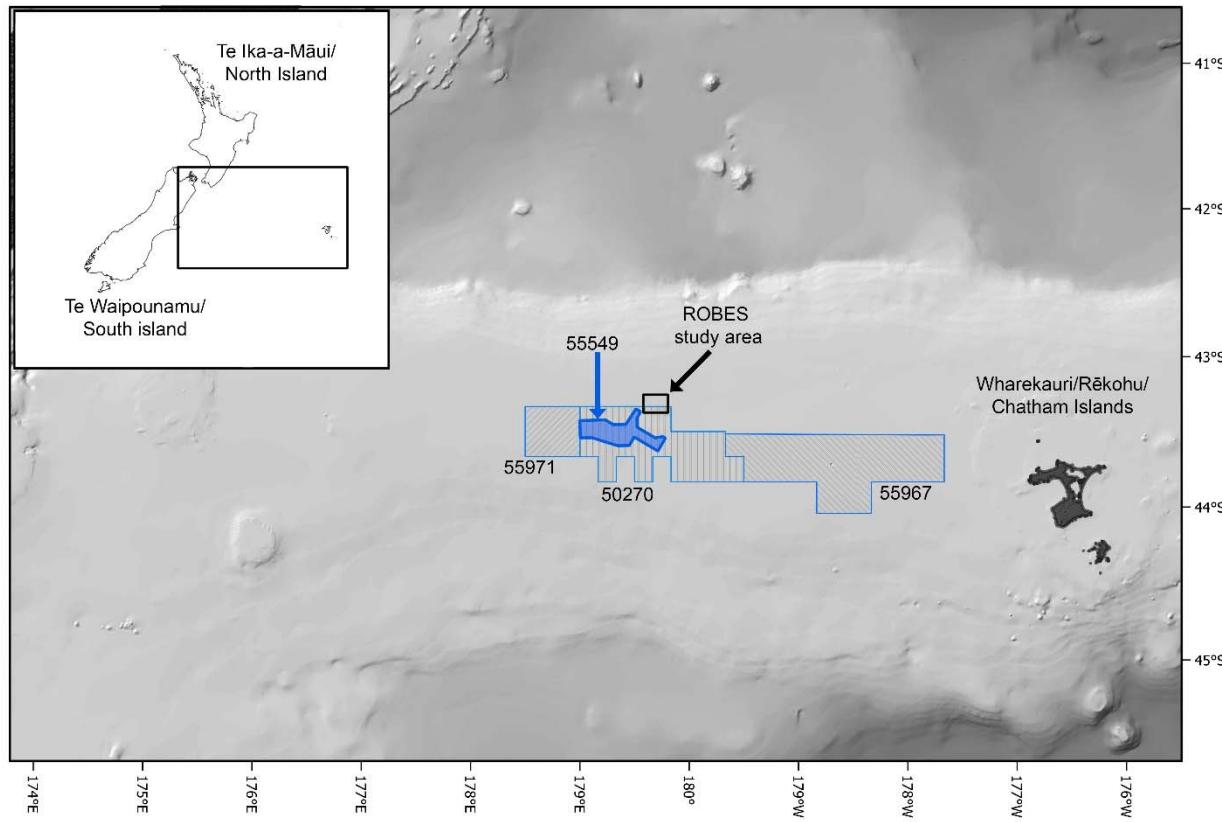
99 **2.1 Chatham Rise phosphate nodule mining case study**

100 **2.1.1 Background**

101 In 2013, a New Zealand company, Chatham Rock Phosphate (CRP), applied for and was granted
102 a Minerals Mining Permit by the New Zealand Government for phosphate nodule extraction
103 from the seafloor on the Chatham Rise, located in the central eastern region of New Zealand's
104 200 nautical mile Exclusive Economic Zone (EEZ) (Fig.1). The depth of the crest of the
105 Chatham Rise is 200 to 500 m and its flanks deepen to more than 2000 m to the north and south
106 (Nodder et al., 2012). The area is characterised by high primary productivity, with dynamic
107 oceanographic conditions characterised by variable currents and interweaving water masses

108 associated with the Subtropical Frontal Zone (Collins et al., 2023; Safi et al., 2023). The
109 sediments covering the crest are predominantly organic-rich, glauconitic muddy sands and sandy
110 muds, with phosphorite nodules and hardgrounds on top and within the sediment (Cullen 1987;
111 Nelson et al., 2022; Norris 1964). The seafloor communities in the area are characterised by a
112 wide range of invertebrate species, many of which are infaunal, although some species occupy
113 habitat niches either on top of the sediment (epifauna) or just above the seafloor within the
114 hyperbenthos (Compton et al., 2013). Corals and other sessile epifaunal organisms, such as
115 sponges, also live attached to hard substrates such as phosphorite nodules or rock outcrops
116 (Dawson 1984; Leduc et al., 2015; Nodder et al., 2012; Rowden et al., 2014).
117 The proposed mining operation was to extract phosphorite nodules from the seafloor using a
118 trailing suction drag-head and to mechanically process the nodules on board the mining support
119 vessel. Nodules larger than 2 mm in diameter would be separated from other sediment material
120 and the waste would then be discharged close to the seafloor via a discharge pipe (Chatham Rock
121 Phosphate 2014). The mining would be carried out over separate mining blocks, each covering
122 an area of 5 km by 2 km and taking approximately 14 weeks to complete mining operations.
123 However, in 2015, the marine consent application to carry out the mining of phosphorite nodules
124 was denied, due in part to uncertainty surrounding the potentially adverse effects on biological
125 communities, including impacts caused by suspended and deposited sediment (NZ EPA 2015).
126 In order to address the scientific uncertainties related to impacts from seabed sediment
127 disturbance, the “Resilience of deep-sea benthic communities to the effects of sedimentation”
128 (ROBES) programme gathered information on various environmental factors and benthic fauna.
129 The programme consisted of both field and laboratory simulations to characterise the benthic
130 effects of an artificial physical seabed disturbance and associated sediment plume on the

131 Chatham Rise crest in the vicinity of the proposed CRP phosphorite mining area (Fig. 1). The
132 experimental seabed disturbances, although not equivalent to actual seabed mining, were
133 anticipated to provide important insights into the impacts of deep-sea mining and other
134 significant benthic disturbances such as bottom trawling (Clark et al., 2018).



135
136 **Figure 1.** Map of study area on the Chatham Rise, offshore of New Zealand. The numbered
137 polygons denote the CRP Minerals Mining Permit (55549) and previous Mineral Prospecting
138 Permit areas.

139 2.1.2 Data

140 The data used in this study originate from the field and laboratory measurements collected
141 through the ROBES programme in 2018–2020. The fieldwork took place on the northern edge of
142 the Chatham Rise crest at depths of 400–500 m (Fig. 1). The study area was surveyed in 2018

143 and 2019, then artificially disturbed using a mechanical disturber and sampled immediately after
144 the disturbance in 2019 and one year later in June 2020 (Clark et al., 2021). A diverse range of
145 data was collected to characterise the site, encompassing oceanographic (Acoustic Doppler
146 current profiler (ADCP), ocean glider, moorings, conductivity, temperature, and depth (CTD),
147 acoustics) and nearbed sediment conditions (benthic landers, sediment trap moorings, multicorer,
148 onboard sediment experiments), and benthic communities. Environmental baseline conditions
149 were determined using year-long ADCP moorings and glider and CTD deployments during the
150 ROBES voyages, followed by modelling and analysis of satellite remote-sensing data (Collins et
151 al., 2023). Information on baseline nearbed particle and organic carbon fluxes were derived from
152 bi-weekly and daily sampling using moored and lander sediment traps, respectively.

153 Macrofauna and meiofauna samples were collected before and after the disturbance using a
154 multicorer with replicate samples from sites that were directly impacted by the mechanical
155 disturber and from near-field areas that were expected to be subject to sedimentation (see Clark
156 et al., 2019; Clark et al., 2021 for details of the sampling protocol). In addition to the field
157 sampling, live sponges and corals were transported back to the laboratory to assess their response
158 to different concentrations and frequencies of suspended sediment over time (for details, see
159 Mobilia et al., 2021, 2023).

160 **2.2 BN modelling**

161 **2.2.1 Model development and variable selection**

162 A conceptual influence diagram synthesising the impacts of deep-sea mining on the Chatham
163 Rise was developed in a series of workshops with experts (Appendix 1, Table S2). Given the
164 complexity of the Chatham Rise ecosystem we focus only on benthic ecosystem impacts
165 associated with seabed mining. Due to lack of empirical data, we did not consider impacts from

166 noise and vibrations associated with the mining activities in our assessment nor the
167 environmental effects on certain components of the marine foodweb, such as bacteria, marine
168 mammals, and seabirds.

169 The causal network resulting from the expert elicitation was developed into a BN model in an
170 iterative manner by selecting key variables to evaluate and defining relevant variable states. To
171 facilitate model quantification and ensure model parsimony, the number of parent nodes was
172 limited whenever possible (Chen and Pollino, 2012).

173 Discrete variable states were defined based on data, literature, and expert views (Table 1, full
174 references in Appendix 1, Table S3). Variable states were chosen to represent likely variations in
175 the variables of interest in the context of seabed disturbance on the Chatham Rise. For variables
176 that describe the implementation of the potential mining activity (hereafter ‘operational
177 variables’), variable states were drawn from the environmental consent application prepared by
178 CRP (Chatham Rock Phosphate, 2014). The states of the physicochemical variables, describing
179 the environmental conditions and associated changes from mining, were defined by experts
180 based on field observations, expert knowledge, and primary literature. For variables that directly
181 affect benthic fauna, such as suspended sediment concentration, the states were set to reflect
182 biologically relevant thresholds whenever possible (e.g., Hewitt and Lohrer, 2013; Mobilia et al.,
183 2021, 2023). As a result, the states do not always follow a continuous scale nor cover all possible
184 values of a variable but were selected to represent likely outcomes from different disturbance
185 events (see Appendix1 Table S3 for rationale for variable discretisation).

186 **Table 1.** Physical and environmental model variables and the methods used to discretise and
187 parameterise the variables used in the Bayesian Network modelling. Random variables refer to
188 variables with an associated probability distribution, whereas decision variables describe

189 processes that are assumed to be controlled by the party responsible for the extraction activity
190 and are thus non-random. Full rationale supporting the variable states and parameterisation with
191 references are contained in Appendix 1.

Variable name	Description	Variable type	Variable states	Discretisation based on	Parameterisation based on
Depth of extracted sediment	Depth of sediment extracted by the mining tool	Decision variable	<10cm / 10-30 cm / >30cm	Literature, expert opinion	Not applicable
Processing return technique	Depth of processing return water and sediment	Decision variable	10m from seafloor / at the seafloor	Literature	Not applicable
Mining intensity	Proportion of area mined within a discrete mining block	Decision variable	50% / 75% / 100%	Expert opinion	Not applicable
Distance from the mining block	Distance from the mining block	Decision variable	Inside mining block / Near-field / Far-field	Literature, expert opinion	Not applicable (decision variable)
Volume of extracted sediment	Volume of sediment removed by a mining operation tool (as the initial removal)	Random variable	Low/ Medium /High	Literature, expert opinion	Literature, expert assessment
Particle size composition of the extracted sediment	Proportion of fine and coarser particles of the extracted substrate and in the composite sediment plume	Random variable	Mostly silt and clay (fine) / mix of fine and coarse particles / mostly coarse (sand and gravel)	Data, expert opinion	Field surveys

Sediment contaminants	Concentration of potentially harmful substances in the sediment and the mineral material to be extracted	Random variable	Low/ Medium /High	Literature, expert opinion	Literature, expert assessment
Nodule removal	Proportion of phosphorite nodules removed from a discrete mining block.	Decision variable	Yes / No	Not applicable	Not applicable (decision variable)
Suspended sediment	Total suspended solids concentration near the seafloor resulting both from the processing return and mining tool operation	Random variable	Low: <10 mg L ⁻¹ / Moderate: 11-50 mg L ⁻¹ / High:>100 mg L ⁻¹	Data, literature	Expert assessment informed by literature
Sediment deposition	Depth of sediment deposited from the composite suspended sediment plume	Random variable	Low: <1-2 cm / Moderate: 2-5 cm / High:10-25cm	Data, literature	Field measurements and expert assessment
Contaminant release	Release of contaminants from the sediment plume to the seabed water column	Random variable	Nonsignificant / Significant release of contaminants	Data, literature	Expert assessment

Changes in sediment characteristics	Measure of changes in the sediment environment affecting habitat quality for benthic organisms.	Random variable	Minor to no changes / Significant changes	Data, expert opinion	Field measurements and expert assessment
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192 As the number of species found in the study area is too high to assess the impacts on each
193 species or taxon separately, we reduced this complexity by grouping organisms into functional
194 groups (Bremner 2008). The functional groups were assigned based on traits that have been
195 shown to influence the organisms' response to seafloor disturbance and recovery potential (e.g.,
196 body size, feeding habit, position in sediment, and mobility; Hewitt et al., 2018), using
197 previously created groupings for the Chatham Rise as a starting point (Lundquist et al., 2018).
198 These trait-based groups encompassed a range of faunal groups across meiofauna, macroinfauna,
199 epibenthos and hyperbenthos (Table 2).

200 **Table 2.** Biological model variables describing the benthic faunal functional groups and the
201 methods used to discretise and parameterise the variables used in the Bayesian Network
202 modelling. Random variables refer to variables with an associated probability distribution. Full
203 rationale supporting the variable states and parameterisation with references are contained in the
204 conditional probability tables in Appendix 1.

Variable name and abbreviation used in the paper	Example taxa	Variable type	Variable states	Discretisation method	Parameterisation method
Sessile encrusting suspension feeders (SCSF)	Encrusting bryozoans, corals	Random variable	% decrease in abundance	Expert opinion	Expert assessment
Sessile encrusting filter-feeders (SCFF)	Encrusting sponges	Random variable	% decrease in abundance	Expert opinion	Expert assessment

Variable name and abbreviation used in the paper	Example taxa	Variable type	Variable states	Discretisation method	Parameterisation method
Sessile erect suspension feeders (SESF)	Branching bryozoans, crinoids and corals (stony and octocorals)	Random variable	% decrease in abundance	Expert opinion	Laboratory experiment and expert assessment
Sessile erect filter-feeders (SEFF)	Erect sponges	Random variable	% decrease in abundance	Expert opinion	Laboratory experiment and expert assessment
Soft-bodied erect suspension and filter feeders (SSBM)	Small soft bodied hydroids, ascidians, small bryozoans	Random variable	% decrease in abundance	Expert opinion	Expert assessment
Deep meiofauna (DM)	Mainly nematodes	Random variable	% decrease in abundance	Expert opinion	Field measurements and expert assessment
Surface meiofauna (SM)	Mixed community of meiofauna	Random variable	% decrease in abundance	Expert opinion	Field measurements and expert assessment
Small sessile infauna (SSI)	Paraonid and capitellid polychaetes, small bivalves	Random variable	% decrease in abundance	Expert opinion	Field measurements and expert assessment
Small mobile infauna (SMI)	Mobile deposit feeders and small scavengers (amphipods, small crustaceans)	Random variable	% decrease in abundance	Expert opinion	Field measurements and expert assessment
Large sessile infauna (LSI)	Tube-forming polychaetes, tube-building amphipods, large bivalves	Random variable	% decrease in abundance	Expert opinion	Field measurements and expert assessment

Variable name and abbreviation used in the paper	Example taxa	Variable type	Variable states	Discretisation method	Parameterisation method
Large mobile macrofauna (LMM)	Large burrowing polychaetes, some arthropods, large bivalves, asteroids	Random variable	% decrease in abundance	Expert opinion	Field measurements and expert assessment
Mobile deposit feeding or grazing epibenthos (MGE)	Mobile deposit feeders, surface dwelling species, e.g., spatangoid echinoids, holothurians, ophiuroids, gastropods	Random variable	% decrease in abundance	Expert opinion	Expert assessment
Mobile predatory or scavenging epibenthos (MPE)	Mobile surface crawling predators & scavengers, e.g., squat lobsters, crab, scampi, gastropods	Random variable	% decrease in abundance	Expert opinion	Expert assessment
Predatory or Scavenging hyperbenthos (PH)	Small swimming crustaceans (mysids, amphipods)	Random variable	% decrease in abundance	Expert opinion	Expert assessment
Grazing or deposit-feeding hyperbenthos (GH)	Holothurians, gastropods, others	Random variable	% decrease in abundance	Expert opinion	Expert assessment

205 2.2.2 Model parameterisation

206 Within a BN, the magnitudes of impacts are illustrated through conditional dependencies. The
 207 probabilities of each value of the ‘child’ node, conditioned on every possible combination of
 208 values of the ‘parent’ nodes, can be drawn from data, expert opinion, or a combination of these
 209 two inputs (Barber 2012). Conditional probabilities (summarised in conditional probability

210 tables, CPTs) were derived from a combination of data and expert assessment (Tables 1-2).
211 Where data on the impacts were available, we used experimental and field data to inform the
212 probability distributions and complemented these with expert assessment. As BNs require
213 probability estimates for all the combinations of variable states, the configurations of parent
214 variables that were not applied in the field study were estimated by experts through the following
215 procedure.

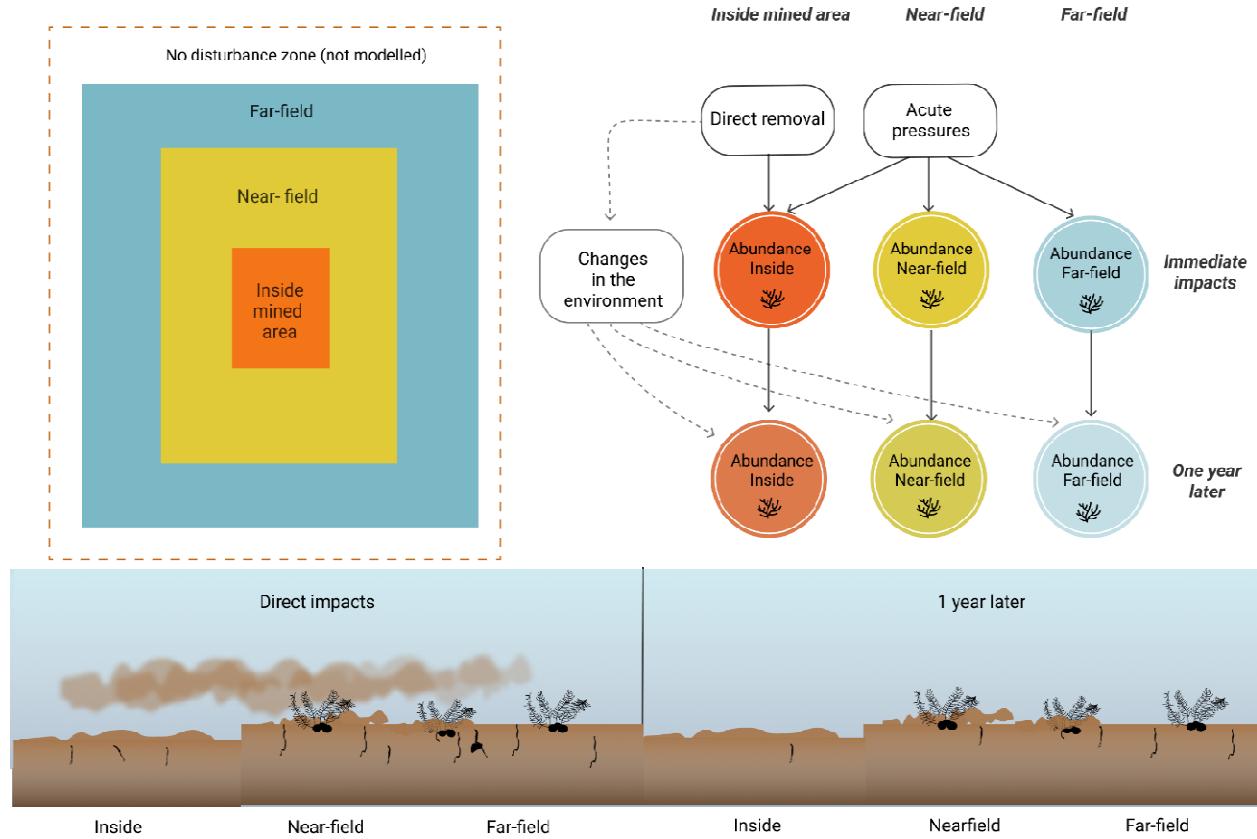
216 In order to generalise the impacts of stressors on the different functional groups and to reduce the
217 elicitation burden on experts, we applied an interpolation method (Barons et al., 2022) to derive
218 missing probability distributions. The method assumes that the child node can be estimated
219 through a beta distribution and requires the user to identify both a "best case" and a "worst case"
220 distribution for the child node. In this context, "best" and "worst" pertain to distributions where
221 the parents affecting the node are at their highest and lowest points for the child, respectively.
222 The probability distributions for all other combinations of parent variables are then inferred by
223 interpolating between these extremes. This procedure is accomplished using a series of weights
224 assigned to the parent states, which are employed to interpolate between the parameters of a beta
225 distribution.

226 Where data on the impacts were available, empirical data were used as the starting point which
227 corresponded to the best case scenario, and experts were then asked to estimate: 1) the relative
228 importance of each of the stressors on each functional group, and 2) the probability distribution
229 for the relative decrease in abundance (compared to pre-mining abundance) of each functional
230 group under the worst case scenario (i.e., each stressor at the maximum state). The interpolation
231 method can be applied to variables with ranked ordinal states (e.g., low to high). For nodes
232 where such ordinal ranking was not appropriate, we used the Application for Conditional

233 probability Elicitation (ACE; Hassall et al., 2019) to initialise the CPTs, which were then
234 reviewed with experts. All CPTs, as well as a more detailed description of the elicitation
235 protocol, are available at <https://github.com/lkaikkonen/CR-ERA>, including comments on the
236 rationale underlying the probability distributions for each response variable.
237 All CPTs were reviewed with the experts and adjusted when deemed necessary to ensure
238 consistency in the estimated impacts. In addition to the probability estimates, experts evaluated
239 their confidence in the estimates for each of the conditional probability tables. The resulting
240 CPTs were incorporated in the BN model created in R software. The modelling was conducted
241 using R 3.6.3, with the R package *bnlearn* (Scutari 2009). Full details of the model with the R
242 scripts and the conditional probability tables are available at <https://github.com/lkaikkonen/CR->
243 ERA.

244 **2.2.3 Modelling framework and model structure**

245 We consider three spatial domains in the model: the area inside the mining block that is mined
246 (inside), areas immediately adjacent to the mining block that are not mined (near-field), and
247 areas further from the mining area that are still expected to be within the zone impacted by the
248 mining activities (far-field) (Fig. 2). Unimpacted areas beyond far-field are not included in the
249 model. In the CRP mining proposal, the mining block covers an area of 5 km by 2 km. For the
250 purposes of this study, we conceptualise the near-field area to extend approximately 0.5 km from
251 the mined area and the far-field to extend to 5 km from the mined area. However, it is important
252 to note that the size of the near-field and far-field are relative to the scale of disturbance (usually
253 defined by the extent of detectable impacts) and will therefore vary for other types of
254 disturbances and areas. As our model is not spatially explicit, we assume homogeneous impacts
255 inside all spatial domains.



256

257 **Figure 2.** General modelling framework for impacts of seabed mining-related disturbance in
258 time and space for any given functional group in the BN model. By defining the effects for the
259 separate time steps, the impacts may be assessed jointly or separately for each discrete area
260 (depicted by the squares, upper left panel). The lower panel illustrates the spatial and temporal
261 distribution of the pressures. Immediate impacts consist of direct extraction of sediment and
262 nodules within the mining block, and elevated suspended sediment concentrations and
263 redeposition of sediment in all areas. Impacts after one year are a result of the altered
264 sedimentary environment which affects the recovery potential of benthic organisms. The
265 magnitude and likelihood of all these changes are variable and included in the probability
266 assessments (see text for details).

267 We estimated the impact on benthic fauna as a decrease in abundance relative to the pre-
268 disturbed state. For most faunal groups this decrease in abundance translates to mortality, but as

269 our model also includes mobile fauna that may leave the area but are not killed by the
270 disturbance, we use the term ‘decrease in abundance’ throughout the paper. As a simplification,
271 we only assess decrease in abundance, although some faunal groups may temporarily increase in
272 abundance after seabed disturbance (e.g., Bigham et al., 2023; Pranovi et al., 2000). We divided
273 the abundance variables into two time-steps: immediately after disturbance and one year after
274 disturbance based on the available data from the ROBES experiments (Fig. 2). We separated the
275 decrease in abundance immediately after mining into direct and indirect decrease in abundance
276 (see Appendix 1 for full description of the modelling framework). Within this framework, some
277 of the organisms will be removed during the direct extraction process (direct impact), depending
278 on the mining efficiency and depth. The remaining fauna will be exposed to indirect impacts (in
279 our model these are sedimentation and impacts from toxic substance release) that will describe
280 the acute impacts on them (details in Appendix 1, following Kaikkonen et al., 2021). Recovery is
281 assessed separately, and any changes in the seafloor environment (e.g., sediment composition,
282 nodule removal) only affect the recovery of organisms, not immediate changes in abundance.
283 Any subsequent time steps will depend on the faunal abundance at the previous time step,
284 recovery potential of the functional group, and changes in the habitat quality (such as changes in
285 sediment characteristics and food availability). The division of direct and indirect pressures that
286 affect the decrease in abundance also allows us to evaluate the impacts of mining in both the
287 mined and unmined areas within the same model.

288 **2.3 Application: Disturbance scenarios and use of the BN model**

289 BNs enable evaluation of various scenarios and computation of posterior probabilities based on
290 new knowledge (Pearl 1986). Through BNs, operational parameters can be modified to analyse
291 the effects of different types of seabed mining (or other types of seabed disturbance) and their

292 impact on benthos. The joint probability distribution in the BN can be used to query the effects
293 of multiple pressures on specific ecosystem components, assess associated risks, and identify the
294 variables that should be monitored for an improved understanding of the impacts (Carriger et al.,
295 2016).

296 In order to assess how changes in the magnitude of disturbance affect benthic fauna, we queried
297 the network on two alternative mining scenarios. These scenarios, which we define as a
298 combination of specific states of the decision variables that describe the overall mining process,
299 are assumed to be controlled by the mining operator (Table 3). In the first scenario, hereafter
300 ‘High disturbance’, the entire mined area (Fig. 2) was disturbed, and sediments were disrupted to
301 deeper than 30 cm. For the second scenario, hereafter ‘Intermediate disturbance’, 50% of the
302 mined area was disturbed, and sediments were disrupted to less than 10 cm depth. The High
303 disturbance scenario was defined by experts based on the description of a proposed mining
304 operation for phosphorite nodules on the Chatham Rise (Chatham Rock Phosphate 2014), while
305 the Intermediate disturbance scenario was based on the anticipated disturbance from other types
306 of mining operations (e.g., surface nodule extraction as proposed for polymetallic nodule mining,
307 e.g., Muñoz-Royo et al., 2022) and could also be applied to describe low-penetration bottom
308 trawling (Eigaard et al., 2016). All the other variables in the model are further affected by these
309 decision variables. Note that the model can be queried for any combination of variables, we have
310 presented only a limited number of possible outcomes.

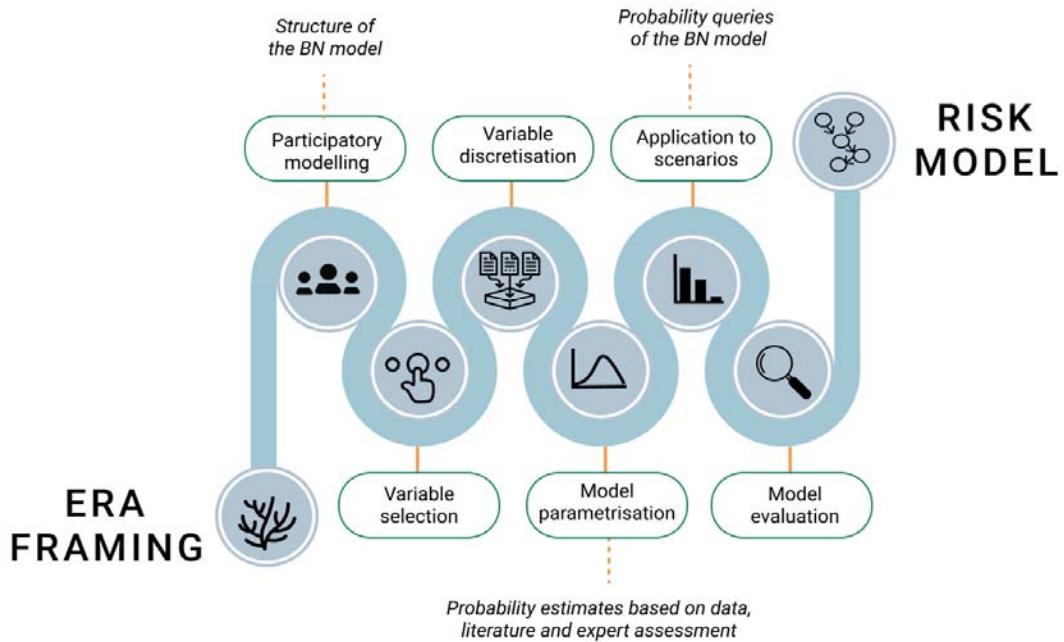
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312

313 **Table 3.** States of the operational variables associated with the two seabed mining disturbance
314 scenarios.

Scenario	Operational variables			
	Area disturbed inside the mining block	Depth of sediment disturbance	Plume release technique	Description
High disturbance	100%	>30 cm	At the seafloor	High impact seabed mining operations
Intermediate disturbance	50%	<10 cm	At the seafloor	Surface collector operations

315 Developing models in data-limited settings presents a challenge for validating these models
316 using conventional statistical methods. This difficulty arises from the impossibility of testing the
317 model against an independent dataset that was not employed during the model's development and
318 quantification process. In addition, conventional sensitivity analyses do not provide much insight
319 as the model structure has been defined by experts. Therefore, the BN was qualitatively
320 evaluated in a series of meetings attended by experts, during which the model and its outcomes
321 were presented, discussed, and agreed upon. A full overview of the model building, and
322 application is given in Figure 3.

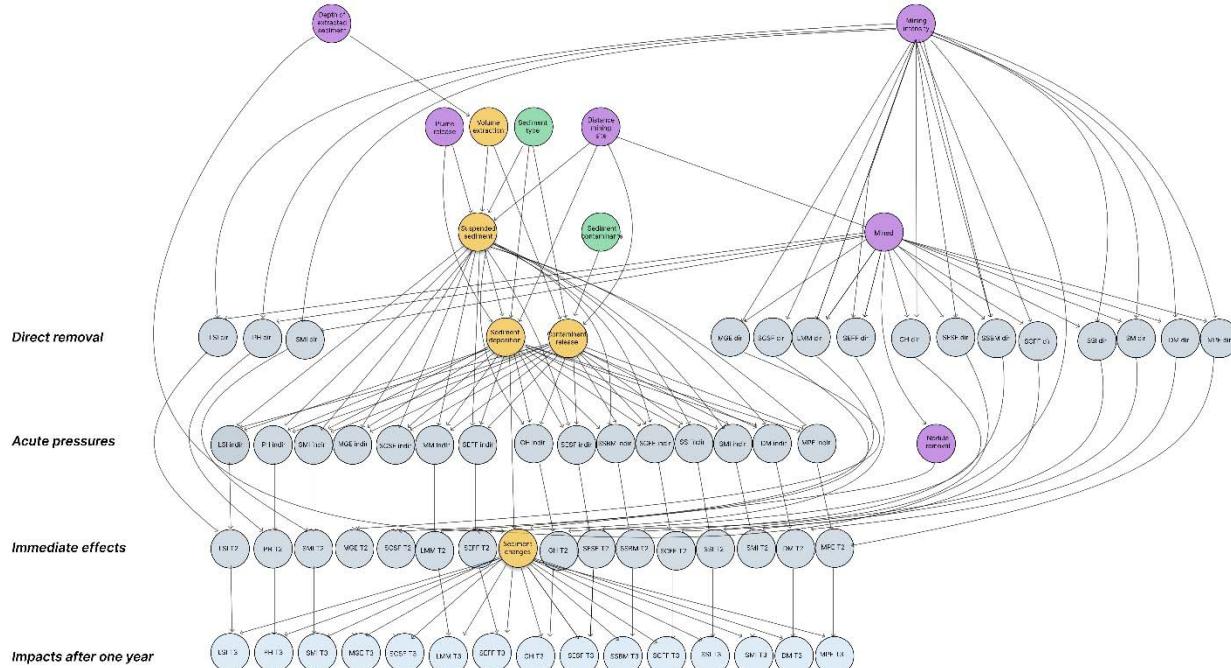


323

324 **Figure 3.** Overview of modelling process. ERA = environmental risk assessment.

325 **3 Results**

326 The causal mapping and model building process resulted in a BN model for the Chatham Rise
327 with 73 variables and 154 connections (Fig. 4). The model has seven independent variables
328 describing the two disturbance scenarios and environmental conditions/pressures caused by
329 mining that further cascade down to responses in benthic fauna. In this section we present results
330 on the joint probabilities queried on the two disturbance scenarios for the Chatham Rise
331 environment.



332
333 **Figure 4.** Bayesian Network model for risks of seabed mining on benthic fauna, showing the
334 separate variables for the time steps and all functional groups. Purple circles denote operational
335 variables from the two mining scenarios, yellow circles are pressures arising from mining, green
336 circles environmental conditions (independent of the mining operation), and light blue circles the
337 abundance of the benthic fauna in the different functional groups across the four time-steps in the
338 BN model. For abbreviations of the functional group names, see Table 2.

3.1 Likelihood of pressures from mining

340 The probability of different levels of sediment deposition varied as a function of the distance
341 from the mined area and between the two disturbance scenarios. The most likely outcome under
342 both scenarios was high deposition inside the mining block and low deposition in the far-field
343 (Fig. 5). Under the High disturbance scenario (100% mining intensity inside the mined area;
344 Figure 2, Table 3), the probability of high sediment deposition was estimated to be 0.80 inside
345 the mined area. In the near-field the most likely outcome was moderate sediment deposition with

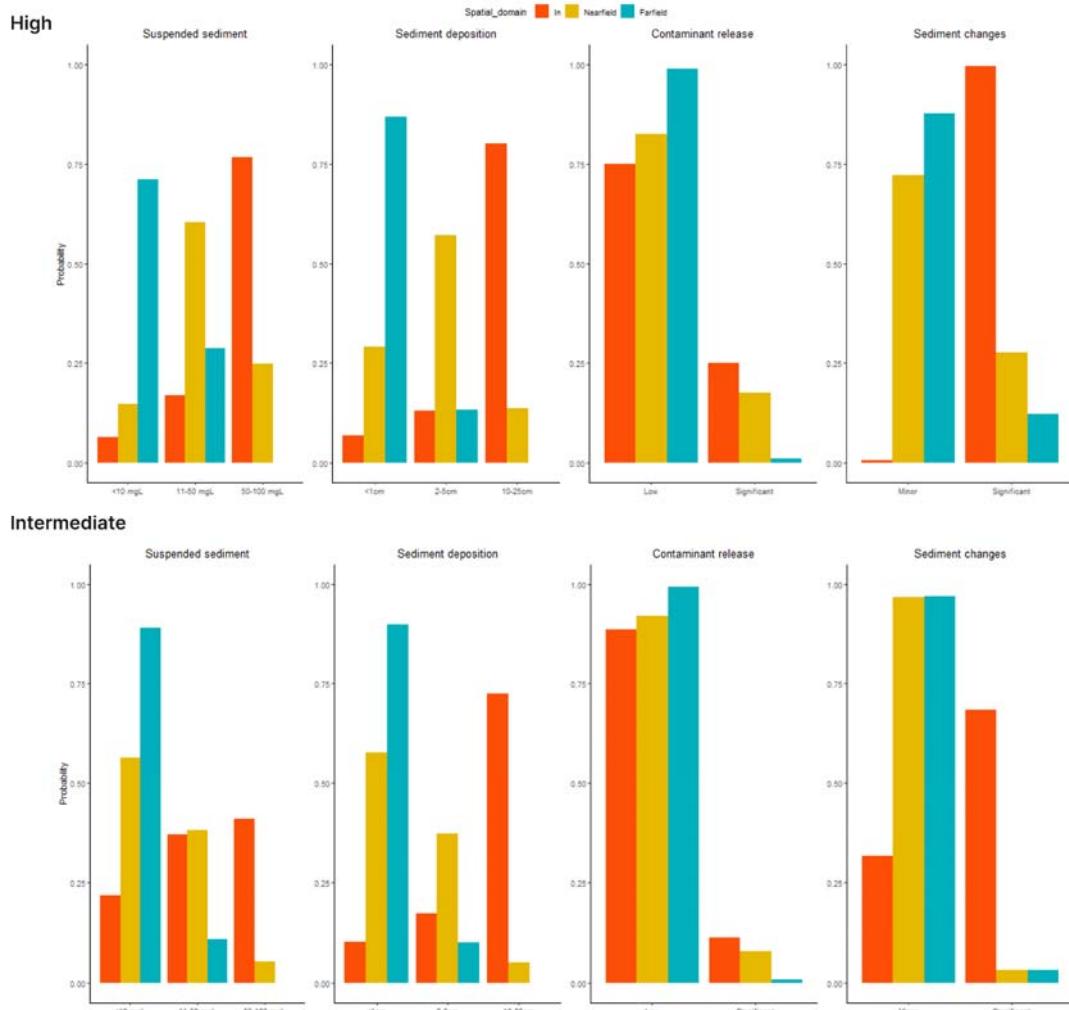
346 a 0.57 probability, and in the far-field the most likely outcome was low sediment deposition
347 (0.87 probability). For suspended sediment, moderate suspended sediment concentrations (SSC)
348 were the most likely outcome inside the mined area and in the near-field area. In the far-field,
349 low SSC levels were the most likely (0.71 probability). The probability of high SSC was 0.08
350 inside the mined area, 0.07 in the near-field, and 0 in the far-field.

351 The magnitudes of SSCs and sediment deposition were estimated to be lower under the
352 Intermediate disturbance scenario (50% mining intensity to the mined area; Figure 2, Table 3), in
353 which the depth of extracted sediment would be lower than in the High disturbance scenario
354 (Table 3). Under Intermediate disturbance, the near-field area was expected to receive only a low
355 sediment deposition (0.57 probability). Similarly, low levels of suspended sediment were the
356 likeliest outside the mined area, with 0.56 probability of low SSC in the near-field and 0.89
357 outside in the far-field area. Inside the mining block high levels of SSC and sediment deposition
358 were the likeliest outcomes, with 0.41 and 0.72 probabilities, respectively.

359 The largest difference between the two evaluated scenarios was the probability of significant
360 sediment changes. Under the High disturbance scenario, significant sediment changes were
361 expected not only inside the mined area (0.99 probability), but also in the near-field (0.23
362 probability) and in the far-field (0.19 probability). Under the Intermediate disturbance scenario,
363 the probability of significant changes outside the mining block in the far-field was only 0.03.

364 As the release of harmful substances was set to be mostly driven by potential concentration of
365 toxic substances in sediment and the sediment substrate type in the model, there was only a small
366 difference between the two scenarios for toxin release. The probability of significant
367 contaminant release from the sediment was low under both scenarios, with a 0.25 probability
368 inside the mined area and 0.01–0.17 in the near-field and in the far-field in the High disturbance

369 scenario, and 0.11 probability inside and zero to 0.08 probability in the near- and far-field areas
370 under the Intermediate disturbance scenario.



371
372 **Figure 5.** Probability of the different levels of suspended sediment concentration, sediment
373 deposition, contaminant release, and sediment changes resulting from mining inside the mined
374 area, and the near-field and the far-field outside of the mining block under the two disturbance
375 scenarios (High and Intermediate).

376 **3.2 Impacts on benthic fauna**

377 The various functional groups were assigned differential responses to the direct impacts to, and
378 subsequent recovery from mining, based on data and expert assessments (Table 4). Mobile

379 epifauna and hyperbenthic species are to an extent able to escape the physical disturbance and
380 thus experience lower decreases in abundance from the direct impacts of mining, whereas sessile
381 fauna inside the mining area will be removed by the sediment extraction. Aside from
382 hyperbenthos, meiofauna were estimated to be most tolerant to indirect impacts of mining. Small
383 infaunal species were estimated to experience moderate to high decreases in abundance from
384 indirect impacts even under intermediate disturbance but had moderate recovery potential after
385 one year. Sessile epifauna, such as stony corals, were estimated to experience high decreases in
386 abundance from direct disturbance, and moderate decreases in abundance from indirect
387 disturbance, but recovery will be limited. However, small soft-bodied sessile taxa may have the
388 potential to recolonise the area within one year. In the following section we present a selection of
389 results of the impacts on benthic fauna, conditional on the mining scenarios and the probability
390 of the magnitude of the ecosystem pressures as presented above. Full results on the impacts on
391 all functional groups are contained in the Appendix 2.

392 **3.2.1 Immediate impacts under the High and Intermediate disturbance scenarios**

393 The decrease in abundance immediately after the mining disturbance varied between the
394 functional groups (Figs. 6–7) and with proximity to the mined area. Under the High disturbance
395 scenario, all sessile and infaunal organisms were estimated to experience high relative decrease
396 in abundance inside the mined area (81–100% compared to the pre-disturbance community) (Fig.
397 6).

398 In the near-field, the most likely outcome under the High disturbance scenario was 41–60%
399 reduction in abundance for all infaunal groups. In the far-field, for most infaunal groups the most
400 likely outcome was 21–40% decrease in abundance. Meiofauna were expected to experience a

401 41–60% relative decrease in abundance with a 0.5 probability for both deep and surface
402 meiofauna.

403 Sessile epifauna were estimated to decrease in abundance by 0–60% in the near-field and 0–40%
404 in the far-field, with varying probabilities depending on the functional group. Sessile soft-bodied
405 organisms were estimated to show 21–40% decrease in abundance with a 0.32 probability in the
406 near-field under the High disturbance scenario. In the far-field the most likely outcomes were
407 20–40% decrease in abundance (0.45 probability), and 0–20% decrease (0.42 probability).

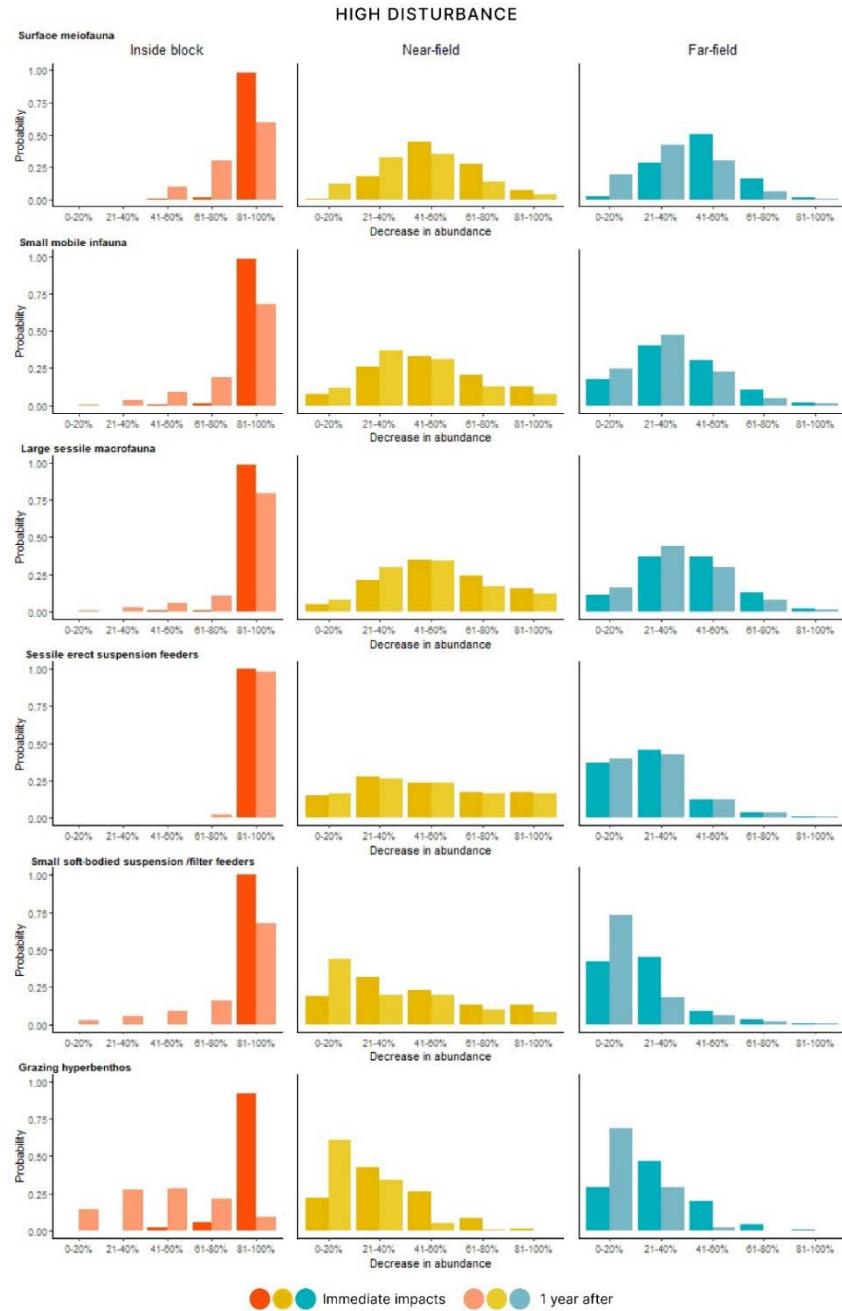
408 Sessile, encrusting organisms were estimated to have higher relative changes in abundance in the
409 far-field and in the near-field than erect forms for both suspension and filter feeders. For
410 example, for sessile encrusting suspension feeders, the most likely outcome in the near-field was
411 41–60% decrease in abundance with 0.29 probability, whereas for erect suspension feeders the
412 likeliest outcome was 21–40% decrease with a 0.28 probability (full results for all groups in
413 Appendix 2 Figs. S1–S6).

414 Mobile epibenthos and hyperbenthic organisms had the lowest decrease in abundance in both the
415 far- and near-field areas under both disturbance scenarios (Figs. 6–7), with the smallest decrease
416 in abundance predicted for mobile organisms in the far-field area. For example, for grazing
417 hyperbenthos, the most likely outcome in the near-field and far-field under the High disturbance
418 scenario was 21–40% decrease in abundance with 0.42 and 0.47 probabilities, respectively.

419 Under the Intermediate disturbance, the most likely outcome for hyperbenthos was also 21–40%
420 decrease in abundance with a probability of 0.45 in the near-field and 0.47 in the far-field.

421 Similar to the High disturbance scenario, an 81–100% decrease in abundance was the most likely
422 outcome inside the mined area for infauna and sessile organisms under the Intermediate

423 disturbance scenario. However, a large variation in the impacts inside the mined area was
424 observed under this scenario, with impact estimates for infauna ranging from 40–100% (Fig. 7).
425 In the near-field, for most groups of infauna the most likely outcome under the Intermediate
426 disturbance scenario was 21–40% decrease in abundance. For sessile epifauna, the most likely
427 outcome for all groups was 21–40% decrease in abundance in the near-field and between 0–40%
428 in the far-field with over 0.4 probabilities for each group. Hyperbenthos were estimated to
429 experience a 21–40% decline in abundance in both the near-field and the far-field areas.



430

431 **Figure 6.** Probability of relative decrease in abundance of six selected epifaunal functional
432 groups inside the mining block (left panel), in the near-field area directly adjacent to the mined
433 area (middle), and in the far-field area outside the mining block (right panel) under the High
434 disturbance scenario. Immediate impacts are noted in a dark shade and impacts after one year in
435 a lighter shade. Full results for all functional groups are contained in Appendix 2.

436 **3.2.2 Impacts after one year**

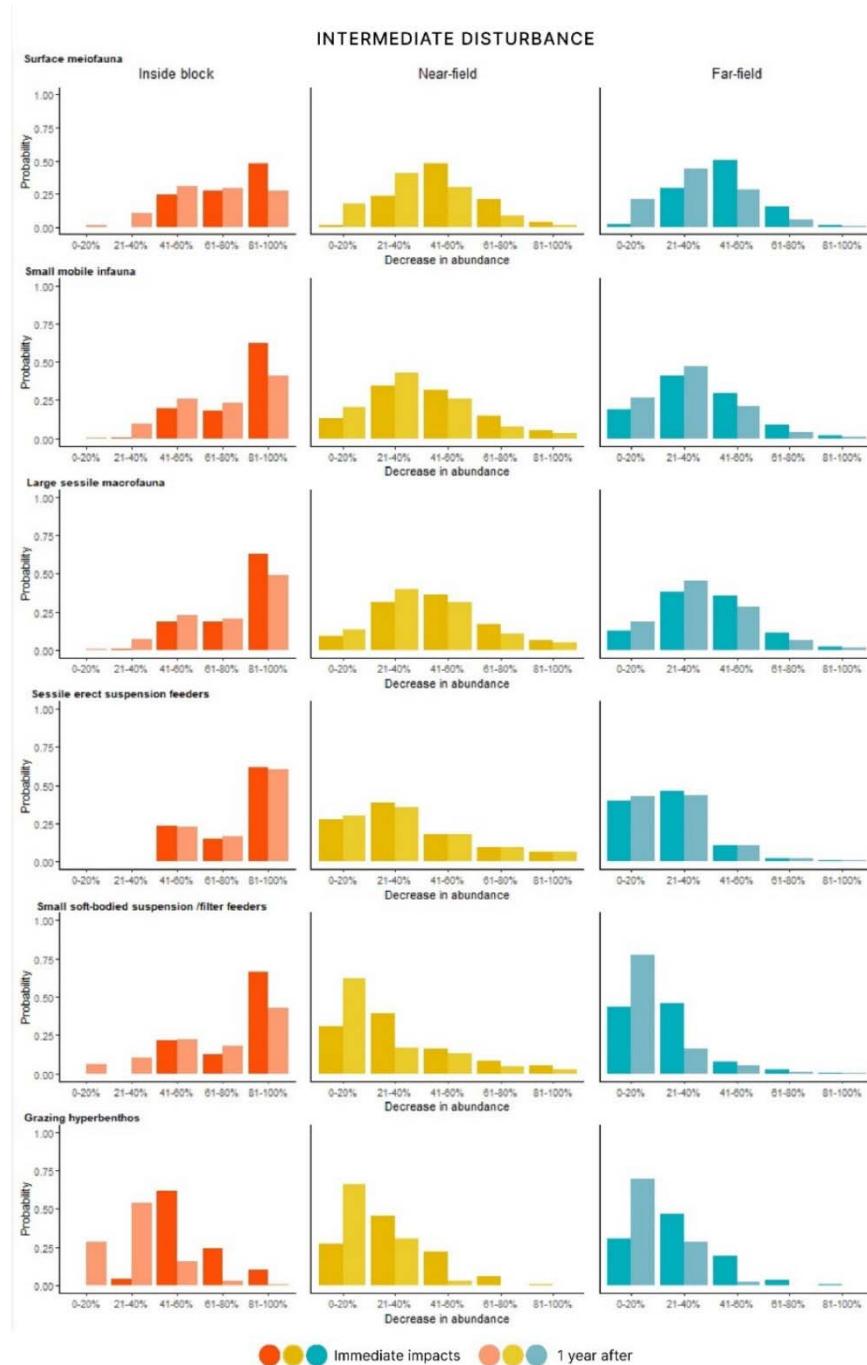
437 In our model, the probability of decrease in abundance one year following seabed disturbance is
438 conditional on the initial disturbance-related decrease in abundance and changes in the
439 environment. The estimates of relative decrease in abundance one year after disturbance
440 therefore incorporate both a metric of recovery and any additional decrease due to sub-lethal
441 effects (see Table 4). Recovery was estimated to be more likely across all faunal groups under
442 the Intermediate disturbance scenario, which resulted in overall lower changes in abundance and
443 a lower likelihood of significant sediment changes (Fig. 7). Recovery was more likely to occur in
444 the near-field and the far-field, compared to inside the mined area, as there was less likelihood of
445 significant sediment changes. Similarly, recovery was more likely under the Intermediate
446 disturbance scenario when a larger proportion of the original abundance remained and the
447 sediment changes were smaller, compared to the High disturbance example.

448 Sessile epifauna had the greatest probability of high relative decrease in abundance (immediate
449 impacts) and were the least likely to show recovery after one year. Under the High disturbance
450 scenario, the probability that 80–100% of their original abundance would still be absent after one
451 year was 0.92–0.97 in the mined area, 0.65 in the near-field, and 0.53 in the far-field for most
452 sessile organisms (Fig. 6). Under the Intermediate disturbance scenario, the most likely outcome
453 inside the mined area for most sessile megafauna was also 80–100% decrease in abundance (Fig.
454 7), but with smaller probabilities than under the High disturbance scenario (0.50–0.68) (Fig. 6).
455 One exception within this group were the soft-bodied megafauna, which were deemed to
456 experience a 80–100% decrease in abundance inside the mined area after one year with a 0.67
457 probability under the High disturbance scenario (Fig. 6) and 0.47 under the Intermediate
458 disturbance scenario (Fig. 7). In the near-field, this group was deemed to recover nearly fully (0–

459 20% decrease in abundance) with a 0.43 probability under the Intermediate scenario, whereas
460 under the High disturbance scenario the corresponding probability was 0.26. In the far-field there
461 was 0.56–0.60 probability that there would be no observable change in the abundance of soft-
462 bodied megafauna under either disturbance scenario.

463 Hyperbenthos were estimated to experience a 20–60% abundance change with a 0.77 cumulative
464 probability inside the mined area after one year. In the near-field the change was estimated to be
465 smaller, with a 0.95 joint probability for 0–40% decrease in abundance. In the far-field the most
466 likely outcome was small or no decrease in abundance (0–20%) with a 0.98 probability. Under
467 Intermediate disturbance scenario changes were much smaller: inside the mined area the most
468 likely outcome for grazing hyperbenthos was 21–40% decrease in abundance with a 0.54
469 probability. In the near-field and far-field small to no or little decrease in abundances (0–20%
470 change) of hyperbenthos were expected after one year with 0.66 and 0.69 probabilities,
471 respectively.

472 For small sessile infauna, the probability of 80–100% of the original abundance being lost inside
473 the mining block was 0.74 under the High disturbance (Fig. 6), compared to 0.47 under the
474 Intermediate disturbance scenarios (Fig. 7). In the near-field and far-field, the most likely
475 outcome under both scenarios was a 21–40% decrease in abundance (0.32–0.45 probability
476 under High and 0.40–0.45 under Intermediate disturbance), with a wider distribution with an
477 increasing distance from the mined area and probability distributions converging towards the
478 higher decreases in abundance under the High disturbance scenario. Large mobile macrofauna
479 showed a similar pattern to small infauna, yet with higher recovery rates: in the far-field the most
480 likely outcome was 20–40% negative decrease in abundance with a 0.52 probability under both
481 scenarios.



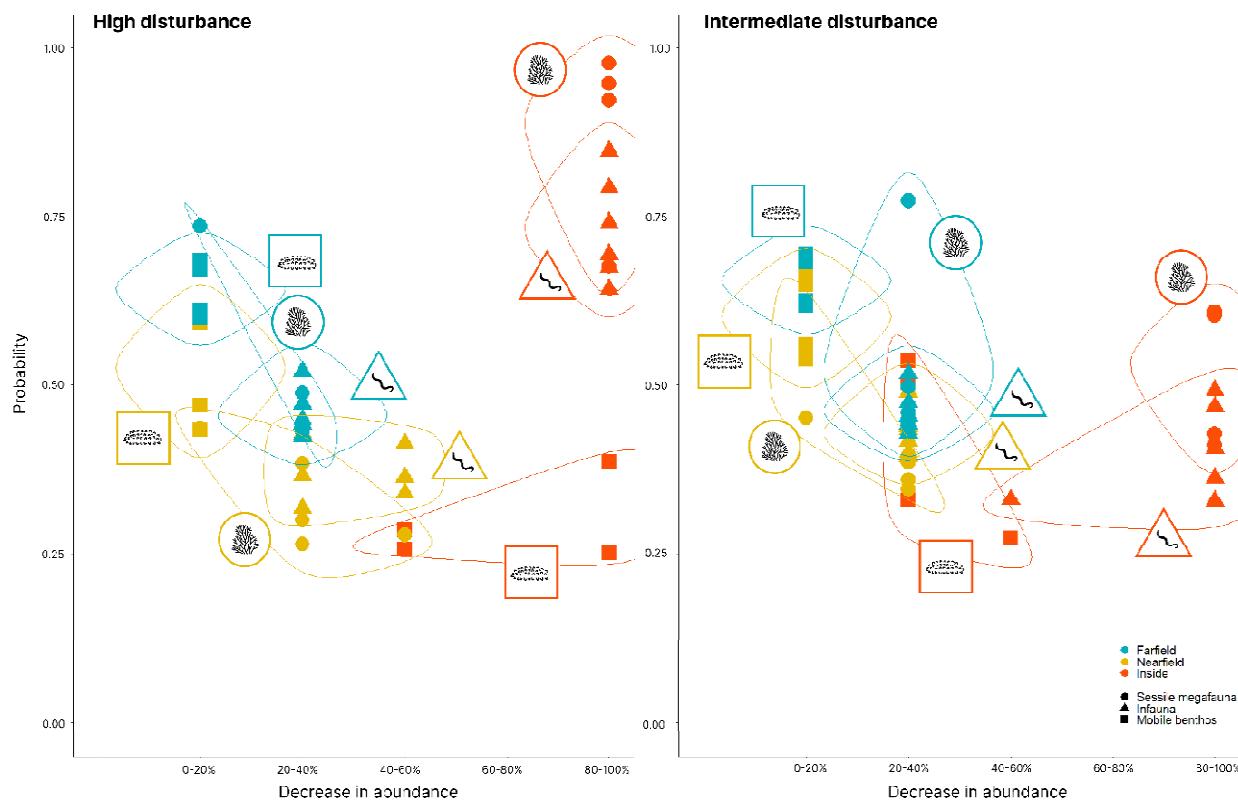
482

483 **Figure 7.** Probability of relative decrease in abundance of six selected epifaunal functional
484 groups inside the mining block (left panel), in the near-field area directly adjacent to the mined
485 area (middle), and the far-field area outside the mining block (right panel) under the Intermediate
486 disturbance scenario. Immediate impacts are noted in a dark shade and impacts after one year in
487 a lighter shade. Full results of all functional groups are contained in Appendix 2.

488 3.2.3 Comparison of scenarios

489 Inside the mined area, differences between the two disturbance scenarios were particularly
490 evident for mobile benthos (Fig. 8). In the far-field the differences between the two scenarios
491 were very small for most functional groups, particularly the sessile megafauna. As the
492 probabilities of high impact in the far-field were lower under the Intermediate disturbance
493 scenario, there is less difference between these two spatial domains compared to the differences
494 observed under the High disturbance scenario.

495 Under the High disturbance scenario, the probability estimates for the near- and far-field were
496 more variable. Overall, for both scenarios highest certainties were given to impacts inside the
497 mined area.



499 **Figure 8.** Summary of the most likely outcome for the functional groups in each spatial domain
500 after one year under the High (left panel) and Intermediate disturbance (right panel) scenarios.

501 The points in the scatterplot represent the most probable outcome for each functional group as a
502 function of its associated probability. The colours depict the three spatial domains (inside minds
503 area, near-field, far-field), and the broad faunal groups (Functional group: sessile megafauna,
504 infauna and mobile) are shown in different shapes and icons.

505 **3.3 Model validation and sensitivity**

506 The expert group were satisfied with the BN model's ability to capture the variation in the
507 impacts across the different seabed mining disturbance scenarios and spatial domains. Reviewing
508 the model results showed that mining intensity had the highest impact on the magnitude of
509 physicochemical pressures. As both the model structure and the parameters are largely expert
510 informed, there was no need to perform a numerical sensitivity analysis to evaluate which
511 variables had the highest impact on the final outcome (as reflected in a decrease in abundance of
512 benthic fauna).

513 The use of probability distributions in BNs allow the uncertainty regarding the variation in a
514 variable of interest to be directly embedded in the impact estimates. To account for the
515 uncertainty resulting from the lack of information on the process being evaluated we also
516 recorded the experts' certainty in the probability estimates (Table 5).

517 **Table 5.** Overview of the confidence in the variable parameterisation. Full details of information
518 used is included in Appendix 1.

Variable group	<i>In situ</i> data	Experimental data	Literature	Confidence
Sedimentation (SSC and sediment deposition)	Yes	No	Yes (Lescinski et al., 2014)	Moderate
Contaminant release	No	No	Yes (Frontin-Rollet 2017; Hauton et al., 2017; Simon et al., 2022)	Low
Mobile megabenthos and hyperbenthos	No	No	None (baseline information in Lörz, 2011).	Low
Meiofauna	Yes	No	None	Moderate
Macrofauna	Yes	No	None	Moderate
Sessile megafauna	No	Yes	Yes (Bell et al., 2015; Brooke, Holmes, and Young 2009; Leys 2013; Mobilia et al., 2021; 2023; Pineda et al., 2017; Wurz et al., 2021)	Moderate

519
520 For the physicochemical parameters, highest uncertainties were assigned to potential release of
521 harmful substances from the sediment and extracted phosphatic mineral material (Table 5).
522 Similarly, the impacts of toxin release on all groups of benthic fauna were ranked as highly
523 uncertain, as few studies have been published on the topic and the number of potentially harmful
524 substances is unknown. For this reason, the importance of toxin release received a low weight in
525 the impact estimates for all benthic functional groups, but this should be considered in future
526 ERAs as a potentially significant stressor.

527 The highest uncertainties within functional groups were assigned to mobile epibenthic organisms
528 and hyperbenthos (Table 5). This uncertainty is also reflected in the probability estimates, where
529 hyperbenthos estimates are the most varied (broadest distribution). In turn, meiofauna and small
530 mobile infauna received highest certainty, as for these taxa both new data and previous studies
531 could be used to assess the impacts (Tables 2 and 5).

532 **4 Discussion**

533 Uncertainty regarding biological responses of marine organisms to seabed disturbance is a major
534 concern for estimating the impacts of human activities in the deep sea, which subsequently
535 impacts upon decision- and policy-making (Kung et al., 2021). To quantify the uncertainties in
536 biological responses, we developed a probabilistic ecological risk assessment model to describe
537 the pressures caused by deep seabed mining and the responses of affected benthic ecosystem
538 components. We estimated the magnitude of the ecosystem responses and probability of
539 recovery by combining field and experimental data, information from published literature, and
540 expert knowledge. This type of model can be used to identify the likelihood of ecosystem losses
541 from seabed disturbance to guide the regulation and management of such activities.

542 The BN model successfully captured the variation in the likelihood of disturbance from the two
543 different disturbance scenarios assessed. As the highest pressures were confined to inside the
544 mined area, largest differences between the scenarios were seen inside the mined area and in the
545 near-field adjacent to the mining block. Mining intensity and depth of sediment extraction were
546 the key drivers of the pressures arising from mining, and there was a logical spatial gradient
547 within the two mining scenarios. In the High disturbance scenario, the model predicted high
548 levels of suspended sediment and sediment deposition to be unlikely outside the immediate area
549 of disturbance. Despite the moderate to low levels of physicochemical disturbance, most benthic

550 organisms, regardless of their functional group, were predicted to decrease in abundance by 60–
551 100% inside the mined area and by 20–60% in the unmined near-field. The highest levels of
552 relative changes in abundance in the far-field were for encrusting sessile suspension and filter
553 feeders. Under the Intermediate disturbance scenario, changes in abundance were lower and
554 there was more variation in the potential responses of fauna inside the mined area. In the near-
555 field under this scenario, 20–40% decrease in abundance was the most likely outcome for most
556 groups. In the far-field area the differences between the two scenarios were smaller; overall, after
557 one year, mobile organisms were expected to experience a 0–20% loss in abundance, whereas for
558 all other organisms 21–40% decreases were expected. Importantly, we noted increasing levels of
559 uncertainty in the estimates for biological responses with increasing distance from the mined
560 area and relatively lower levels of pressures from mining.

561 Most functional groups evaluated in this study were anticipated to tolerate low levels of
562 sedimentation relatively well. As high levels of sediment deposition were estimated to be
563 confined to inside the mining area, most organisms were predicted to experience a decrease of
564 less than 60% of the original community in the near-field and far-field under both scenarios.
565 However, it is important to note that the estimates here reflect the characteristics of seafloor
566 communities in our case study area, and are not necessarily directly applicable to other areas,
567 such as in regions where abyssal manganese nodules (e.g., Clarion-Clipperton Fracture Zone) or
568 placer or dredged deposits may be extracted from the seafloor (e.g., offshore Namibia).

569 The use of separate time steps allowed us to quantify how different organisms react to changes in
570 their environment directly after mining and one year later, incorporating a simplified metric of
571 recovery and sub-lethal effects, which are potentially important for the mortality of larger
572 organisms (e.g., Martins et al., 2022). While some groups of organisms had similar responses to

573 the immediate effects inside the mined area (i.e., small infauna and sessile organisms), the
574 impact estimates after one year showed that mobile fauna and small-sized fauna experienced
575 fewer negative impacts than large infauna and long-lived sessile megafauna. Sessile organisms
576 showed little to no recovery within this one-year timeframe (also found in the review by Jones et
577 al., 2017).

578 A key underpinning factor leading to the rejection of the CRP mining consent application was
579 that it did not quantify the scale of effects on benthic communities away from the mining blocks
580 (NZ EPA 2015). In this model, the inclusion of discrete spatial domains allows the impact of
581 disturbance on the benthic community to be assessed quantitatively under different disturbance
582 regimes inside the mined area, in the near-field (adjacent to the mining block), and in the far-
583 field (further away but still within the impact zone). However, the assumption was made that all
584 mining would be completed within the mining block. The detailed pattern of mining (e.g.,
585 blocks, strips) was not factored into the analysis and we assumed homogeneous impacts inside
586 each spatial domain. An important addition to this approach that would make it more directly
587 relevant to a proposed operation would be combining this model with spatially explicit data, such
588 as sediment plume modelling, to estimate the spatial extent of the suspended sediment
589 concentrations and sediment deposition from the mining activities as a function of distance from
590 the mined area (Lescinski et al., 2014; Spearman et al., 2020). A similar approach can be used if
591 detailed spatial information on the benthic community is available (e.g., Helle et al., 2020),
592 enabling a more precise spatial representation of potential impacts. It is important to note that
593 high uncertainties remain regarding effects on mobile taxa. An improved understanding of
594 impacts on epibenthos, hyperbenthos, and fishes in future research is essential to fully assess the
595 extent and magnitude of mining or sediment disturbance (Washburn et al., 2023).

596 Incorporating ecological data into ERAs

597 Despite an increase in research regarding the impacts of seabed disturbance to seafloor
598 ecosystems (e.g., Gollner et al., 2017; Jones et al., 2017), some experts consider there are few
599 categories of scientific knowledge comprehensive enough for all the relevant ecosystem
600 components to enable evidence-based decision-making and robust environmental management of
601 such activities (Amon et al., 2022). To overcome the inherent data paucity in many deep-sea
602 environments, it is necessary to use all possible scientific evidence, from other industries (e.g.,
603 Kaikkonen et al., 2018) as well as analogies to shallow-water systems and communities (Van
604 Der Grient and Drazen 2021). Our approach in combining empirical data and expert assessment
605 demonstrates that, despite the considerable body of literature on the different aspects of physical
606 and sedimentation impacts, formulating conclusions on the impacts is not an easy task. In light of
607 these challenges, the probabilistic approach as employed here proved useful, as the uncertainties
608 related to the impacts were directly incorporated in the impact estimates. We found that experts
609 were more comfortable giving uncertain judgements when this aspect was embedded in the
610 process. Furthermore, the approach provides a method to synthesise information from multiple
611 sources and move from qualitative risk statements to more quantitative impact estimates. As the
612 conditional probabilities may be drawn from multiple sources, the model can be continuously
613 updated as new information becomes available (see Table 5 for used information sources and
614 evidence gaps).

615 A major issue with determining the sensitivity of species or groupings of functionally similar
616 organisms to environmental disturbance is that most deep-sea organisms are poorly studied, thus
617 detailed information on the ecological responses is limited outside some specific habitats such as
618 hydrothermal vents (Chapman et al., 2019). Nevertheless, trait-based approaches are useful in

619 identifying species responses to direct anthropogenic impacts and other environmental changes
620 (Baird, Rubach, and Brinkt 2008; Boschen-Rose et al., 2021; Krumhansl et al., 2016; Lundquist
621 et al., 2018). We found that generalising biological responses using broad functional groups
622 allows for a pragmatic understanding of how organisms may respond to external stressors, which
623 could improve development of options for effective management and conservation strategies
624 (Miatta, Bates, and Snelgrove 2021). The use of broad functional groups facilitates application of
625 data collected from one area to another, although in such cases, the variation in the trait
626 expressions associated with biological responses across regions must be carefully evaluated (de
627 Juan et al., 2022).

628 **Improving probabilistic risk assessments**

629 Human activities may result in multiple complicated changes in the environment with different
630 spatial and temporal scales, and as a result, modelling such complex systems with any method
631 comes with drawbacks and often requires simplification to enable a satisfactory result (e.g.,
632 Uusitalo 2007). Handling a large number of variable connections, impact pathways, and
633 associated evidence is a demanding task, and ensuring that all experts participating in the
634 assessment understand the study background is a challenge. The increasing complexity of
635 integrating different types of data and knowledge (biological, technical, geological, geotechnical,
636 oceanographic) into the chosen model not only poses communication challenges for the
637 assessment team and between experts, but handling and organising the collected information
638 requires considerable effort by the experts and the modellers. Model complexity also adds to the
639 workload for parameterisation of the model, and using an elicitation tool for filling the CPTs is
640 often the only feasible solution when there are many variables to quantify (Pollino et al., 2007).
641 However, the use of a parameter-based estimation method (such as the beta interpolation used in

642 this study), while easy to carry out, may produce estimates that do not fully reflect the expert's
643 complex views on a topic.

644 To avoid producing incorrect estimates in this BN modelling, all CPTs generated with the
645 interpolation tool were reviewed both by the expert team and the modeller coordinating the
646 effort. When these caveats are considered in the quantification process, the use of interpolation
647 tools provides a useful option for evaluating the effects of multiple stressors, which would
648 otherwise be impossible to quantify due to the high number of possible probability entries
649 required. Therefore, while the workload involved in quantifying a large BN model may seem
650 overwhelming, a similar (or larger) workload applies for any kind of impact assessment where
651 the complex connections between components are being assessed. Another drawback of using
652 BNs is their acyclic nature, preventing the inclusion of feedback loops between parent and child
653 nodes (e.g., for ecosystem interactions; Uusitalo, 2007). This issue can be partially overcome
654 with the use of splitting nodes, or through Dynamic BN approaches with multiple time steps
655 (Trifonova et al., 2015).

656 There are several ways the BN model developed here can be augmented to better estimate the
657 potential impacts from seafloor disturbance. First, improving recovery estimates of the
658 geochemical and biological components in the model would be beneficial to account for the
659 magnitude of the disturbance in the recovery estimates. Even if the pelagic realm remains more
660 poorly studied than seafloor ecosystems (Bisson et al., 2023; Robison 2004), broadening impact
661 estimates to account for water column impacts and including other groups of organisms, such as
662 micro-organisms (Herndl and Reinhäler 2013) and fishes (Drazen et al., 2020), would allow for
663 more holistic estimation of impacts. Similarly, although our method expands the time horizon of
664 seabed mining impacts from acute to longer-term impacts by including recovery potential over

665 one year, the approach does not include detailed information on the full interactions within the
666 ecosystem. For example, long-term (multi-decadal) deleterious impacts on ecosystem
667 functioning have been demonstrated in disturbance experiments in abyssal nodule fields (e.g.,
668 Peru Basin, Vonnahme et al., 2020). As a result, to improve our method, it will be useful to more
669 carefully examine the interplay between different ecosystem components, such as competitive
670 food web connections or biogeochemical linkages. The approach further allows the integration of
671 cumulative impacts in the risk assessment, e.g., to account for climate change effects (Furlan et
672 al., 2020). Finally, to overcome the simplification required when using discrete variables,
673 moving to hybrid networks that allow mixing continuous and discrete variables would provide
674 opportunities to describe the impacts more precisely (e.g., Moe et al., 2020).

675 **Further applications**

676 Environmental management often requires decision-making under uncertainty regarding the
677 potential outcomes of activities and the most effective ways to mitigate them. Despite
678 recommendations for the use of probabilistic methods in risk assessments (Van den Brink et al.,
679 2016), their comprehensive integration into regulatory risk frameworks is still limited.
680 Deterministic approaches, such as calculating single risk values based on a predicted exposure to
681 a stressor remain more prevalent (Fairbrother et al., 2016). By utilising a probabilistic model
682 capable of generating estimates for various scenarios, it would be feasible to identify
683 management actions that are most likely to minimise stressor inputs in the case of deep-sea
684 mining, leading to improved chances for the maintenance of the ecological functions of impacted
685 deep-sea faunal communities.
686 The probabilistic model described in this study was developed, from a scientific perspective, to
687 provide a framework for further applications. For a real-world application for management

688 purposes, it is important to engage with the relevant regulatory bodies and stakeholders to ensure
689 that the model framing and metrics align with societal and management needs (e.g., specific
690 species and habitats or maximum thresholds for allowed impacts). For management purposes, a
691 useful quality of BN models is that they may be further augmented to incorporate socioeconomic
692 data (Uusitalo et al., 2022). To ensure the optimal use of the models, such risk assessments
693 should involve interdisciplinary collaboration between a diverse group of scientists,
694 policymakers, and stakeholders to ensure that the best available knowledge is integrated into the
695 decision-making process.

696 There are important considerations when applying the approach and the results presented here to
697 other deep-sea ecosystems and forms of disturbance. While the results give some insights to the
698 broad patterns of how different functional groups of deep-sea organisms may respond to seabed
699 disturbance, the magnitude of pressures and the responses of biological communities is likely to
700 vary considerably from one area to another depending on the prevailing environmental
701 conditions, connectivity of seafloor communities, and the types of disturbance (e.g., Boschen et
702 al., 2013; Haffert et al., 2020; Jones et al., 2017). Based on the combined laboratory experiments
703 (Mobilia et al., 2021; 2023) and field data, the biological communities on the Chatham Rise may
704 be considered to be better adapted to temporary increases in suspended sediment that might
705 typically be experienced under the Intermediate disturbance scenario than those in more stable
706 systems (such as abyssal plains). However, the results of the model indicated that most benthic
707 functional groups of the Chatham Rise were expected to decrease in abundance and were not
708 expected to recover even from Intermediate disturbance outside the mined area after one year.
709 The results suggest high uncertainties regarding the impacts, especially outside mined seafloor
710 areas, and stress the importance of further studies on the recovery dynamics at broader spatial

711 and temporal scales. Applying similar quantitative risk assessment models in other areas where
712 deep-sea mining is considered, such as the Clarion-Clipperton Zone, is therefore important, as it
713 enables a systematic and data-driven evaluation of potential risks, environmental impacts, and
714 uncertainties across multiple habitats associated with this emerging industry.

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