

1 **Genomic sequencing should extend to diverse priority pathogens for effective study**  
2 **and surveillance of antimicrobial resistance: a systematic review of whole-genome**  
3 **sequencing studies from India**

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13 **Abstract**

14 **Background**

15 Antimicrobial resistance (AMR) is a public health emergency in many low and middle-  
16 income countries, including India. To effectively tackle AMR, we need rapid diagnostics,  
17 effective surveillance and new antimicrobial drugs. Whole-genome sequencing of pathogens  
18 is the first definite step towards achieving these goals.

19 **Methods**

20 In this work, we review all the studies published till date that report whole-genome  
21 sequences of select priority AMR pathogens from India. We searched PubMed and Web of  
22 Science databases for the studies that involved whole-genome sequencing of AMR priority  
23 pathogens from India. For the top two highly sequenced pathogens, *S. typhi* and *K.*  
24 *pneumoniae*, we performed phylogenetic analyses to understand the geo-climatic  
25 distribution of genetically diverse strains.

26 **Results**

27 Our search reveals 94 studies that report 2547 unique whole-genome sequences. We find  
28 that most sequences are limited to select priority pathogens isolated from a couple of geo-  
29 climatic zones of India. Our phylogenetic analyses show that available data does not indicate

30 systematic differences between the genomes of isolates from different geo-climatic  
31 zones. Our search also reveals complete absence of travel-related studies tracking possible  
32 movement of AMR pathogens within country. Lastly, we find very few studies that sequence  
33 AMR pathogens isolated from food, soil or other environments.

34 **Conclusion**

35 Together, these observations suggest that India should prioritize sequencing of diverse AMR  
36 pathogens from clinics as well as from environments and travellers rather than extending  
37 the geo-climatic range of already-sequenced pathogens. Our recommendations can be  
38 potentially valuable for other low and middle-income countries with limited resources, high  
39 prevalence of AMR and diverse geo-climatic conditions.

40

41 **Introduction**

42 Antimicrobial resistance (AMR) is a global crisis and has been ranked amongst the top ten  
43 health concerns by WHO.<sup>1</sup> In the year 2019, 1.27 million people died of infections by drug  
44 resistant pathogens.<sup>2</sup> Antibiotic resistance is more prevalent in low and middle-income  
45 countries owing to lack of hygiene, resource limitation and other socio-economic factors.<sup>3-5</sup>  
46 South Asia has the second largest burden of AMR, surpassed only by the sub-Saharan  
47 African region.<sup>2</sup> Within South Asia, India is one of the hotspots for resistance. By the year  
48 2050, global annual deaths due to infections by drug resistant pathogens are predicted to  
49 rise to ~10 million with ~2 million deaths in India alone.<sup>4,6</sup> India also has a drug resistance  
50 index of 71, highest for any country in the world, indicating very poor efficacy for the  
51 existing drugs.<sup>7</sup> AMR is thus a public health emergency for countries like India.

52

53 The diverse and dynamic nature of AMR demands continuous surveillance, rapid diagnostics  
54 and robust drug development programs, amongst other things. Traditionally, the first step  
55 of tackling resistant pathogen is antimicrobial susceptibility testing (AST). AST is a gold  
56 standard for determining resistance and is relatively economic to perform. However, AST has  
57 large turnaround times, requires customized workflow for every pathogenic group and

58 cannot reveal the molecular mechanisms of resistance. As a result, the outcomes of ASTs are  
59 of little use for drug design or rapid diagnostics or extensive surveillance.

60

61 In the recent years, whole-genome sequencing (WGS) has been successfully used for  
62 understanding the molecular mechanisms of AMR,<sup>8,9</sup> epidemiology of AMR<sup>10,11</sup> as well as for  
63 drug discovery.<sup>12</sup> WGS-based studies of antimicrobial resistant pathogens offer several  
64 advantages over other traditional approaches. First, WGS gives us a comprehensive picture  
65 of genomic changes in contrast to gene-based studies that are restricted to a few well-  
66 characterized genes.<sup>13</sup> Second, WGS data can help establish the trajectories and timelines of  
67 resistance evolution.<sup>14–17</sup> Third, WGS based approaches can potentially supplement or  
68 replace the traditional methods of AST.<sup>13,18–22</sup> Fourth, current WGS technologies promise  
69 shorter turnaround times than traditional approaches.<sup>23</sup> Short turnaround times are  
70 especially useful for slow-growing pathogens like *Mycobacterium*<sup>24,25</sup> as well as in the  
71 treatment of conditions like septicemia where faster diagnosis can increase the patient  
72 survival rates significantly. Lastly, WGS-based approaches can also accurately identify  
73 pathogens that may have been misidentified by traditional methods. For instance, it is  
74 challenging to distinguish between *E. coli* and *Shigella* by methods other than whole-

75 genome sequencing.<sup>26</sup> These advantages in terms of diagnostics, therapeutics and  
76 surveillance automatically make genomic sequencing the first step in the fight against AMR  
77 and countries with high prevalence of AMR need a greater focus on genomic sequencing.<sup>27</sup>

78

79 To establish effective genomic sequencing for AMR in India, it is important to have  
80 information on the available whole-genome sequences from India. A comprehensive review  
81 of whole genome sequences of AMR pathogens from India is lacking. Sequence data  
82 available in most databases suggest that genomic sequencing from India is patchy at best.

83 For example, according to the Bacterial and Viral Bioinformatics Resource Centre (BV-BRC),<sup>28</sup>  
84 India is the third major contributor towards *S. typhi* sequences worldwide with the  
85 contribution of nearly 14%. But similar levels of sequencing efforts are lacking for almost all  
86 the other AMR pathogens. For instance, BV-BRC lists 8482 *E. coli* sequences from the USA as  
87 opposed to 827 sequences from India, which is four times as populated as the USA.  
88 Similarly, BV-BRC lists eight times as many of *K. pneumoniae* sequences from China than  
89 India.

90

91 Here we systematically review the studies that report whole-genome sequences of AMR  
92 pathogens from India. We focus on the pathogens that demand immediate attention  
93 according to the Indian Council of Medical Research.<sup>29</sup> We find that the use of whole-  
94 genome sequencing in this context is very limited in India. Majority of the data are confined  
95 to a couple of geo-climatic zones within the country and is restricted to a few select  
96 pathogens. We then perform a phylogenetic analysis for the two pathogenic species that  
97 have been sequenced across most geo-climatic zones of India. Our results show that there  
98 are no systematic differences between the genomes of pathogens isolated from different  
99 geo-climatic zones (but see discussion for the limitations of the available data). This finding  
100 suggests that the immediate focus of sequencing efforts should be a number of diverse  
101 priority pathogens rather than already-sequenced pathogens from new geo-climatic zones  
102 of the country. We also find that the spread of resistance due to human travel remains  
103 severely understudied in the country. Lastly, our review shows that genomic studies of  
104 pathogens isolated from domestic animals, food and environment are rare in India.  
105 Increasing the sequencing efforts in this direction of 'One health'<sup>30</sup> can help gain a holistic  
106 understanding of resistance spread and evolution in India.

107 **Methods**

108 **Choice of pathogenic species**

109 We selected ten pathogenic species and one pathogenic genus for which immediate action  
110 is recommended.<sup>29</sup> Specifically, this included eight species (*Escherichia coli*, *Enterobacter*  
111 *cloacae*, *Morganella morganii*, *Citrobacter koseri*, *Proteus mirabilis*, *Providencia rettgeri*,  
112 *Salmonella typhi*, *Serratia marcescens*) and one genus (*Klebsiella*) from the order  
113 *Enterobacterales*, and two other species from diverse taxa (*Acinetobacter baumannii* and  
114 *Candida auris*). For the selected pathogens we included the genomic sequence of every  
115 isolate from AMR studies conducted in India, irrespective of the resistance profile of the  
116 pathogen. We reasoned that every sequence of a priority pathogen, resistant or sensitive to  
117 any specific antibiotic, is important for understanding the molecular mechanisms and  
118 evolution of resistance as well as for applications like surveillance and diagnostics.

119

120 **Literature Search**

121 We searched the databases of PubMed and Web of Science on the 5 May, 2023 for studies  
122 that reported whole-genome sequences of selected AMR pathogens. We included only  
123 those studies that provided the data for pathogens isolated from Indian patients or from

124 patients with a history of travel to India or environmental/food/veterinary samples collected  
125 in India. We followed PRISMA guidelines<sup>31</sup> while performing the literature search. Our  
126 detailed search strategy is outlined in the supplementary material (Supplementary material  
127 1).

128

129 **Checking for the uniform availability of whole-genome sequences for selected  
130 pathogens across different geo-climatic zones**

131 To examine whether the number of available whole-genome sequences are uniform across  
132 different geo-climatic zones, we performed the Pearson's chi square test of homogeneity  
133 using RStudio (v2022.07.0). To this end, we considered the pooled number of sequences of  
134 all the selected pathogens for each geo-climatic zone. We only used data for those isolates  
135 for which geo-climatic zone was known. To ascertain the geo-climatic zone of every isolate,  
136 we used the information reported in the corresponding study or the NCBI metadata  
137 associated with the genomic sequence. In case of a discrepancy in location mentioned in  
138 the study and NCBI metadata, we gave preference to the information provided in the  
139 relevant study.

140

141 **Phylogenetic Analysis of *S. typhi* and *K. pneumoniae*.**

142 To determine whether different lineages of a given organism are prevalent in different  
143 zones of India, we conducted a phylogenetic analysis. We chose *S. typhi* and *K. pneumoniae*  
144 for the phylogenetic analysis, as their genomic sequences were available from most geo-  
145 climatic zones of India. We excluded the sequences that lacked the information on the geo-  
146 climatic zone (Supplementary material 6), as well as the sequences where the accession  
147 numbers were not available.

148

149 We performed two separate phylogenetic analyses, one for 503 *S. typhi* genomic sequences  
150 and other for 231 *K. pneumoniae* genomic sequences. We first downloaded the genomic  
151 sequences from NCBI as complete genomes, raw reads or contigs. Using a custom script,  
152 we re-named all the downloaded sequences to denote their geo-climatic zone and the year  
153 of the study. We pre-processed all the raw reads, both single and paired-end, and checked  
154 the quality with FastQC v0.12.1.<sup>32</sup> We then filtered the low-quality reads and adapters using  
155 Trimmomatic, v0.39.<sup>33</sup> We used PhaME (Phylogenetics and Molecular evolution analysis tool,  
156 v1.0.2)<sup>34</sup> for phylogenetic tree building. For the phylogenetic analysis of *S. typhi* sequences,  
157 we used *S. typhi* CT18 as the outgroup reference.<sup>35-38</sup> Similarly, we used *K. pneumoniae*

158 *MGH78578* as an outgroup reference strain for the phylogenetic analysis of *K. pneumoniae*

159 *sequences* and SPAdes genome assembler v3.15.5,<sup>39</sup> for getting the draft genomes. We also

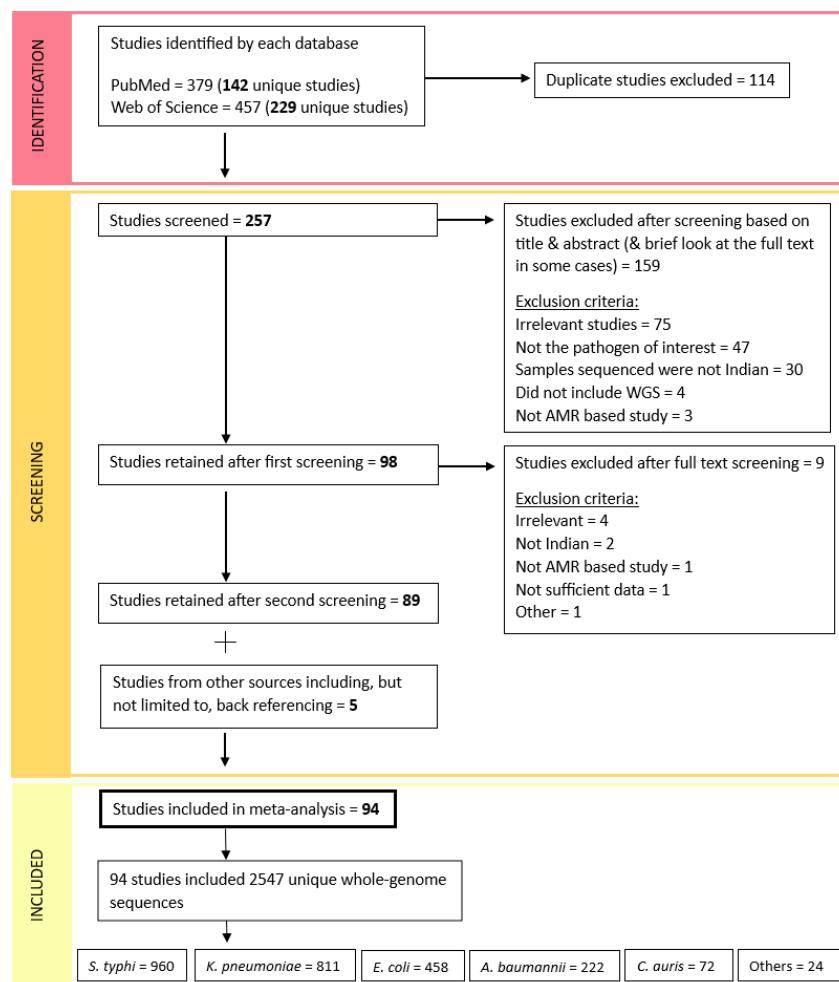
160 performed a genotype analysis for *S. typhi* sequences using Genotyphi v2 scheme,<sup>40</sup> and

161 Kleborate v2.3.2<sup>41</sup> for the genotype analysis of *K. pneumoniae* sequences. We used Iroki<sup>42</sup>

162 for visualization of the phylogenetic trees.

163 **Results**

164 **Literature Search**



165

166 **Figure 1. PRISMA flowchart describing the literature search strategy and outcomes for AMR related studies**  
167 **reporting whole-genome sequences of the selected pathogens.** We searched PubMed and Web of Science  
168 databases for the relevant studies (see Supplementary material 1 for the search phrases). We screened 257  
169 unique studies after removing duplicates within and between the search results from the two databases. We  
170 excluded 159 studies after screening the title and abstract. We further excluded 9 studies after the scrutiny of the  
171 full text. This resulted in 89 studies matching our inclusion criteria. We added 5 studies found by back-  
172 referencing or other sources. Together, we retained 94 studies for this systematic review. After removing the  
173 overlapping sequences across studies, the search yielded 2547 unique whole-genome sequences of the selected  
174 pathogens. The boxes in the bottom row show the number of genomic sequences for pathogenic species *S.*  
175 *typhi*, *K. pneumoniae*, *E. coli*, *A. baumannii* and *C. auris*. We found very few genomic sequences of *K.*  
176 *quasipneumoniae*, *S. marcescens*, *K. aerogenes*, *E. cloacae*, *M. morganii* that are clubbed together as 'Others'.

177 The preliminary literature search for the selected pathogens yielded a total of 836 studies.

178 We did not find any studies from India with WGS information on three species from our list,

179 namely *Citrobacter koseri*, *Proteus mirabilis* and *Providencia rettgeri*. After exclusion of

180 duplicates, we obtained 257 unique studies. From this subset, we further excluded 159

181 studies during the first screening based on the title, abstract and a brief view of full text

182 wherever needed. Nine more studies were excluded during the second screening where we

183 scrutinized the full text for the relevance to our review. This resulted in a total of 89 studies

184 matching our inclusion criteria. We found 5 additional studies via back-referencing. In all we

185 included 94 studies (that spanned over 9 years, from 2014 to 2023) in this systematic review

186 that provided sequences and other data for 2547 isolates.

Organism	Number of studies	Number of genome sequences	Sample categories	References
<i>Salmonella typhi</i>	14	960	Clinical isolates, travel-associated clinical isolates	43–56
<i>Klebsiella pneumoniae</i>	35	811	Clinical isolates, travel-associated clinical isolates	57–91
<i>Escherichia coli</i>	31	458	Clinical isolates, travel-associated clinical isolates, food-associated isolates, environmental (hospital sewage water) isolates, veterinary clinical (milk from cows suffering from mastitis) isolates	59,62,65,77,79,91–116
<i>Acinetobacter baumannii</i>	9	222	Clinical isolates	59,62,65,77,117–121
<i>Candida auris</i>	10	72	Clinical isolates, travel-associated clinical isolates, environmental isolates, food-associated isolates	14,79,122–129
<i>Serratia marcescens</i>	1	17	Clinical and hospital environmental isolates	130
<i>Klebsiella</i> spp (other than <i>K. pneumoniae</i> )	4	4	Clinical isolates, environmental isolates	80,81,131,132
<i>Enterobacter cloacae</i>	2	2	Clinical isolates, environmental isolates	133,134
<i>Morganella morganii</i>	1	1	Clinical isolates	135

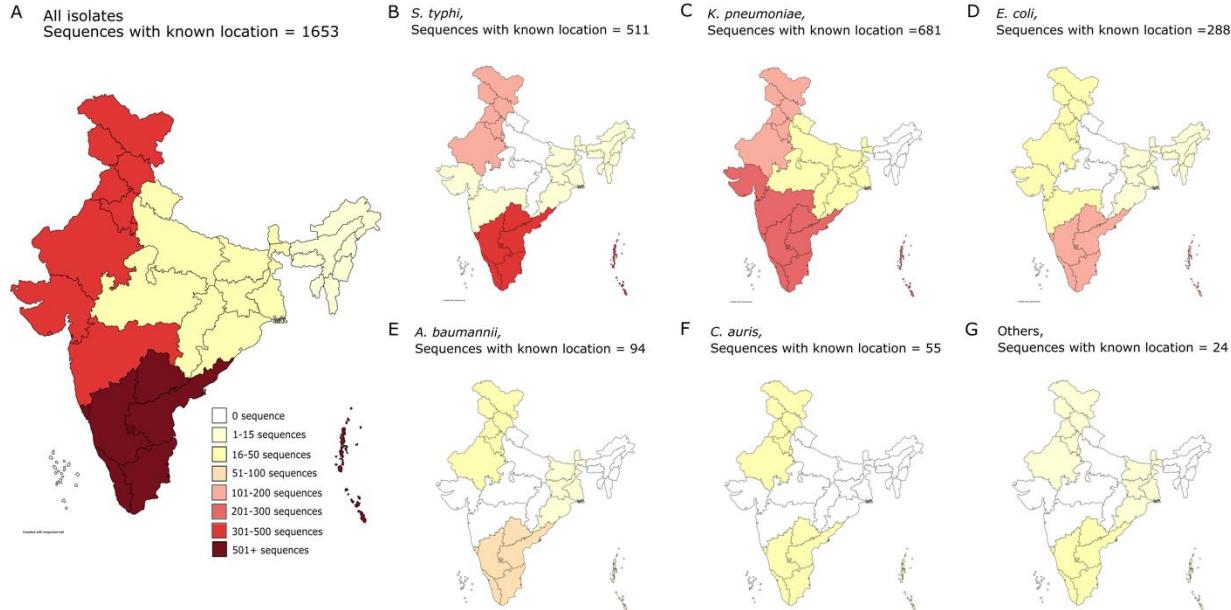
187

188 **Table 1:** Number of studies and number of unique whole-genome sequences therein for the selected pathogenic  
189 groups. The column 'Sample category' indicates whether the isolates were from patients from India (clinical) or  
190 from patients with a travel history to India (travel-associated clinical) or from environmental/ food/ veterinary  
191 samples collected in India.

192

193 **Number of whole-genome sequences for any selected pathogen vary widely across**  
194 **different geo-climatic zones of India**

195



196

197 **Figure 2: Number of whole-genome sequences of selected pathogens from every geo-climatic zone of**  
198 **India. A.** The geo-climatic distribution of the all the 1653 whole-genome sequences with known locations. Panels  
199 **B-G** depict the distributions of number of isolates for individual pathogenic groups of *S. typhi*, *K. pneumoniae*, *E.*  
200 *coli*, *A. baumannii*, *C. auris* and others respectively. The group 'others' includes the species that have very few  
201 whole genome sequences, namely, *S. marcescens*, *K. quasipneumoniae*, *K. aerogenes*, *E. cloacae* and *M. morganii*.  
202 Only the isolates with known location are included. The colour of each geo-climatic zone in every panel (A-G)  
203 represents the range for the number of sequences as per the legend in the panel A. Exact number of sequences  
204 and their locations for each pathogenic group are in the supplementary materials 2 and 6 as well as in  
205 supplementary dataset. We used [mapchart.net](http://mapchart.net) for the design and colour of the map, and [mapsofindia.com](http://mapsofindia.com) as a  
206 reference for determining the geo-climatic zones of India.

207

208 The 94 studies that we shortlisted provide WGS data and related information for a total of  
209 2547 distinct isolates. With 960 isolates, *S. typhi* represents a majority (37.69%) of these  
210 isolates (Figure 2). This is closely followed by the *Klebsiella* species which together form  
211 31.99% of the isolates for which WGS data are available. For one of the *Klebsiella* species, *K.*

212 *pneumoniae*, 811 sequences (31.84% of total sequences in our study) are available (Figure  
213 2). We also obtained sequence data for 458 *E. coli* isolates, 222 *A. baumannii* isolates and 72  
214 *C. auris* isolates. We pooled the sequence data for 17 *S. marcescens* isolates, 2 *E. cloacae*  
215 isolates and 1 isolate of *M. morganii*, 3 *K. quasipneumoniae* isolates and 1 *K. aerogenes*  
216 isolate during our analysis, (category 'others' in Figure 2) due to very low numbers of  
217 isolates from each group. Overall, these numbers show that the extent of whole-genome  
218 sequencing is highly variable for different pathogenic groups. *S. typhi* and *K. pneumoniae*  
219 are the most sequenced species among the selected pathogens, together comprising  
220 69.53% of the total sequences. In contrast, we could not find even a single whole-genome  
221 sequence from India for three priority species from *Enterobacteriales* - *P. rettgeri*, *P. mirabilis*  
222 and *C. koseri*.

223  
224 We found only one study with *S. marcescens* where 17 isolates from patients and hospital  
225 environment had been sequenced.<sup>130</sup> Similarly, for *E. cloacae* we found only two studies that  
226 reported the total of two whole genome sequences, while for *M. morganii* and *K. aerogenes*,  
227 we found one study with a single sequence each.<sup>132-135</sup>

228 We also looked at the geographic distribution of the whole-genome sequences across the  
229 six different geo-climatic zones of India (Figure 2). Out of the 2547 sequences, we could  
230 locate the geo-climatic zones of 1653 sequences (64.90% of the sequences) based on the  
231 information in the reported study or from the NCBI metadata associated with the genomic  
232 sequence. The number of sequenced genomes were highly variable across different geo-  
233 climatic zones for every selected pathogen (Figure 2). From the 1653 sequences with known  
234 locations, 893 (i.e. 54.02%) sequences were from the Southern zone, indicating that almost  
235 half the genomic sequences were from a single geo-climatic zone of India. Furthermore, out  
236 of these 893 genomic sequences from the southern zone, 581 sequences (i.e. more than  
237 65%) belonged to *S. typhi* and *K. pneumoniae* (330 and 251 sequences respectively). These  
238 numbers indicate that the majority of the sequenced genomes from India were of two  
239 pathogenic species isolated from a single geo-climatic region.

240  
241 Out of the remaining 760 genomic sequences from other geo-climatic zones, 362 were from  
242 the northern, 308 from western, 50 from eastern, 30 from central and only 10 were from the  
243 northeastern regions of India (Supplementary materials 2 and 6 and supplementary dataset).  
244 Formal statistical analysis validated our observation of large variation in the number of

245 available whole-genome sequences across different geo-climatic zones for all the selected

246 pathogens combined (Pearson's chi square test for homogeneity,  $\chi^2 = 502.25$ ,  $df = 25$ ,

247  $p < 2.2 \times 10^{-16}$ ). There is no evidence to indicate that the variation in extent of genomic

248 sequencing reflects the population numbers or the disease burden in the respective geo-

249 climatic zone.

250

251 The disparity in number of sequences is most likely the reflection of the disparity in the

252 number of studies that report genomic sequences from each zone. There were only 2

253 studies which report genomic sequences from the northeastern zone as opposed to 43

254 studies that report WGS data from the southern zone. Similarly, we found only 2 studies

255 from the central zone of India and both reported genomic sequences of *K. pneumoniae*.

256 These numbers along with the other observations from our literature search suggest that

257 often a particular research group focuses on a specific pathogen. A string of studies

258 reporting WGS data of that pathogen then follows. Sequenced pathogenic isolates then

259 typically belong to the same geo-climatic zone and often collected from the same locality.

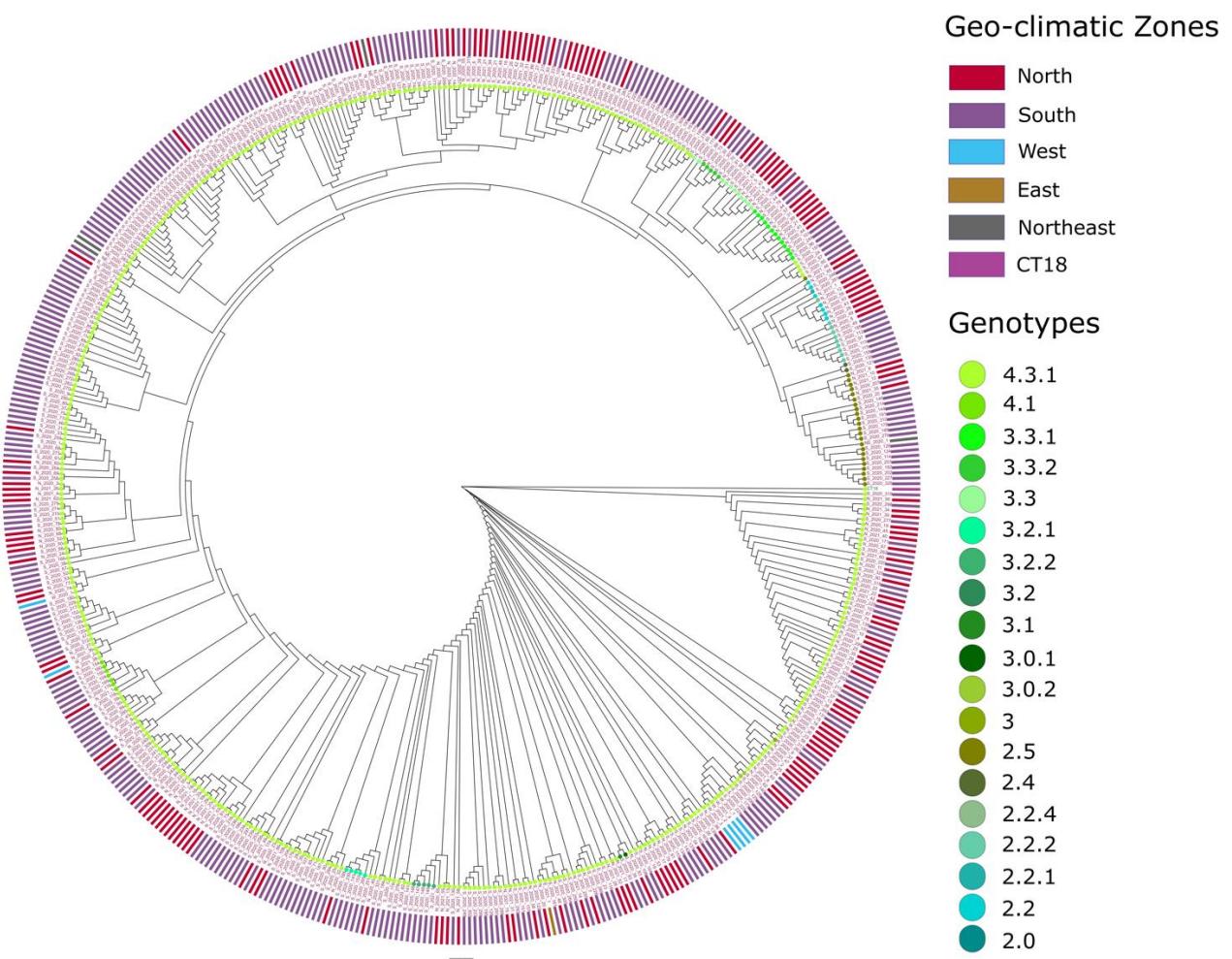
260

261 In sum, genomic sequencing of pathogenic species is patchy in India and the current  
262 sequencing effort is focused on only a couple of pathogens mostly isolated from a couple  
263 of geo-climatic zones.

264 Sequences of *S. typhi* from different geo-climatic zones of India do not form

265 phylogenetically distinct clusters

266



267

268 **Figure 3: Phylogenetic analysis of *S. typhi* isolates.** The geo-climatic zone wise distribution (outer circle) along  
269 with the genotype distribution (dots at end of leaf tip) and phylogenetic clustering of the 503 *S. typhi* isolates.  
270 The genotyping was done using Genotyphi v2, the phylogenetic tree was built using PhaMe v1.0.2, and  
271 visualization was created using Iroki. The name of the isolate indicates its geo-climatic zone and the year of  
272 study. Similar genotypes predominantly cluster together but not as per the geo-climatic zone of isolation (outer  
273 circle).

274

275 Our literature review highlights that whole-genome sequencing of AMR pathogens in India  
276 needs to extend to all priority pathogens and cover more geo-climatic zones. But how  
277 different are the genomic sequences of isolates from different geo-climatic zones? The  
278 literature is equivocal on the sequence-level differences amongst isolates from different  
279 geo-climatic conditions with majority of the studies using geographic regions as a proxy for  
280 geo-climatic differences.<sup>47,48,136</sup> Moreover, these studies tend to look at larger geo-climatic  
281 differences, often considering data across different countries and continents. Some studies  
282 suggest that different geographic regions may harbour genetically distant pathogens<sup>47,48,136</sup>  
283 while others show genetic homogeneity in isolates from different geographic regions.<sup>136</sup>  
284 Understandably, conclusions also vary for different pathogenic species.<sup>136</sup> But little is known  
285 about the diversity of whole-genome sequences across different geo-climatic zones within a  
286 single country, like India.

287  
288 To check whether the isolates from different geo-climatic zones of India form distinct  
289 sequence clusters we resorted to phylogenetic analysis of the available sequences. We chose  
290 the two priority pathogenic species, *S. typhi* and *K. pneumoniae*, which had isolates  
291 sequenced from most of the geo-climatic zones. Phylogenetic analysis of the 503 genomic

292 sequences of *S. typhi* showed that the genomic sequences of isolates from the same geo-

293 climatic zone do not cluster together (Figure 3). The conclusion is also supported by the

294 phylogenetic analysis of 231 genomic sequences of *K. pneumoniae* (Supplementary material

295 3).

296

297 One possible reason for such homogeneity could be the spread of pathogens due to human

298 dispersal across geo-climatic zones. The other reason could be the spread through

299 environmental factors such as soil or water as well as food items. Therefore, we next

300 checked for evidence for both these possibilities in the literature.

301 **Genomic sequencing of the pathogens from travel-associated infections is not common**

302 **in India**

303 Infected individuals traveling from one location to another can spread resistant strains of

304 pathogens and tracking this movement is important to understand the spread of AMR.<sup>137–</sup>

305 <sup>139</sup> Most of the travel-related studies have a few limitations though. First, it is difficult to

306 track the movement within the country, though some novel approaches may allow this.<sup>140–</sup>

307 <sup>142</sup> Second, majority of the travel-related studies do not take into account the acquisition of

308 a pathogen prior to or during the travel.<sup>94</sup> This may lead to incorrect identification of the

309 source of infection as the travel destination. Third, most of these studies consider only

310 symptomatic cases of the disease and asymptomatic carriers might be easily

311 overlooked.<sup>47,48,52,79,94,115,123</sup> Despite these limitations however, routine sequencing of isolates

312 from the infected travellers can give a better picture of disease dispersal.<sup>94,115</sup>

313

314 Unfortunately, we found only one study that sequenced the genome of a pathogen

315 possibly acquired during the travel between two geo-climatic zones of India.<sup>44</sup> The patient,

316 resident of the eastern zone, had a history of travel to the northern zone, but no clear link

317 between the infection and travel could be established in the study. We found another

318 handful of studies that sequenced isolates from the travellers that were visiting India/South  
319 Asia (Table 1 and Supplementary material 4). These studies identified the strain of the  
320 pathogen and established an association between the strain and specific geographic region.  
321 For example, Ingle et al. observed that *S. typhi* sub-lineage 4.3.1.2. was mainly associated  
322 with travellers returning from India and carried mutations in the quinolone resistance-  
323 determining genomic regions.<sup>47,48</sup> Similarly, Yaita et al. studied the acquisition of ESBL-  
324 producing *E. coli* by travellers returning to Japan. The study found that the majority of the  
325 people returning from India (10 out of 14) tested positive for ESBL-producing *E. coli*.<sup>94</sup> Yet  
326 another study found that an individual returning to Switzerland from India was colonised  
327 with a carbapenamase-producing *E. coli* harbouring a blaOXA-484, a variant of  
328 carbapenemase new to Switzerland.<sup>115</sup>  
329  
330 These examples illustrate the effective tracking of the resistance spread from India to other  
331 countries. We did not find similar studies for individuals returning to India from other  
332 regions of the world or for individuals traveling across different geo-climatic zones of  
333 India. These observations demand increased genomic sequencing of pathogens isolated  
334 from travellers to better understand the resistance dynamics within the country.

335 **Whole-genome sequencing of AMR isolates from food and environment is limited in**

336 **India**

337 We next looked for the genomic sequences of priority pathogens isolated from

338 environmental and food samples. AMR studies from all over the world have reported

339 widespread presence of resistance genes in water, soil as well as in food items.<sup>143–145</sup> This

340 'One health' approach of study underlines the importance of tracking resistance across

341 abiotic environments alongside the clinics.<sup>30</sup> We thus looked for studies that reported

342 whole-genome sequences of priority pathogens isolated from environments or food items.

343

344 Our search uncovered 3 such studies of environmental samples from India. It was striking

345 that even such handful of studies (Supplementary material 5) reported isolates that harbour

346 antibiotic resistant markers.<sup>124,132,134</sup> For example, an isolate of *K. aerogenes* from agricultural

347 soil was found to contain ~30 AMR genes.<sup>132</sup> Another sequencing study demonstrated that

348 23 (out of 24) *C. auris* isolates from marshy wetlands and sandy beach areas of Andaman

349 and Nicobar Islands were resistant to a variety of antifungals.<sup>124</sup>

350 We also found 5 studies from India that reported sequences of AMR pathogens isolated

351 from food sources (Supplementary material 5).<sup>93,101,104,109,122</sup> For instance, a study reported

352 resistance patterns in the yeast species found on the surface of apples.<sup>122</sup> Authors found  
353 that 73% of the total isolates belonged to *Candida spp.* and 11% of those isolates were of  
354 the priority species *C. auris*. Alarmingly, most of these isolates (15 out of 16) had heightened  
355 resistance against fluconazole.<sup>122</sup> Similarly, multiple sequencing studies found ESBL  
356 producing *E. coli* from poultry samples and one group demonstrated that 78.5% of their  
357 poultry isolates were multi-drug resistant.<sup>101,104</sup> Another sequencing study from the  
358 Banaskantha district of Gujarat reported that 90% of their samples procured from ~30  
359 different farms contained *E. coli*. Nearly 80% of these *E. coli* were ESBL producers.<sup>109</sup> There  
360 were also a few studies reporting drug-resistant *E. coli* from bovine mastitis raising a  
361 concern of pathogens getting into the milk and milk products.<sup>111,113,114</sup>  
362 Overall the results indicate a high prevalence of AMR priority pathogens in the  
363 environments and food items but extensive and systematic sequencing studies are needed  
364 to obtain a truly comprehensive picture.

365 **Discussion**

366 Our literature search revealed that whole-genome sequencing of AMR priority pathogens

367 from India is patchy, both in terms of species that were sequenced and geo-climatic zones

368 where the pathogens were isolated. For three of the priority pathogens *Citrobacter koseri*,

369 *Proteus mirabilis*, *Providencia rettgeri* we did not find even a single genomic sequence

370 reported from India. Recent evidence suggests that all the three species are clinically

371 important.<sup>146–152</sup> For example, multi-drug and pan-drug resistant varieties of *P. rettgeri*

372 caused nosocomial infections in India.<sup>148</sup> Similarly, a longitudinal study from a tertiary

373 healthcare centre in western India reported *C. koseri* with beta-lactam and carbapenem

374 resistance over a period of 3 years.<sup>149</sup> Another study found a nosocomial NICU outbreak of

375 multi-drug resistant *P. mirabilis* that resulted in 80% mortality.<sup>150</sup> Yet another study found

376 ESBL positive *P. mirabilis* in food and livestock samples.<sup>153</sup> Apart from these three pathogens

377 that lack any WGS data from India, we also found other important pathogenic groups that

378 were severely underrepresented. For instance, carbapenem-resistant *Acinetobacter* is a

379 serious threat and leading cause of nosocomial infections in India<sup>29</sup> and yet we found only

380 222 whole-genome sequences of *Acinetobacter baumannii* from the whole country.

381

382 We performed phylogenetic analyses on the sequence data of two highly-sequenced priority  
383 pathogens *S. typhi* and *K. pneumoniae*. Results showed that genomic sequences of isolates  
384 from different geo-climatic zones do not form distinct clusters (Fig 3, Supplementary  
385 material 3). In the absence of evidence for distinct sequence diversity across different geo-  
386 climatic zones, it might be beneficial to prioritize the sequencing of diverse pathogenic  
387 species over the increased coverage of already-sequenced species across different geo-  
388 climatic zones of India. However, there are some limitations of the datasets that we used for  
389 our phylogenetic analysis, as discussed below.

390  
391 Our conclusions are drawn from the limited sequence data that are available from India. The  
392 sequences used in the phylogenetic analysis were collected over a period of nine years.  
393 Insufficient data for individual years prevented us from performing the analysis separately  
394 for each year. Additionally, in many cases there was no clear indication of the month and  
395 year of isolation. Adding this information to the phylogenetic analysis may change the  
396 inferences drawn. It is reasonable to assume that a particular resistant variant of a pathogen  
397 is not likely to arise (or arrive, with an infected individual/food item/environmental agent) in  
398 multiple geo-climatic zones at the same time. But how rapidly any resistant variant spreads

399 can be dependent on several factors such as mode of transmission, fitness advantage over  
400 the prevalent variants and climatic conditions. Certain resistant variants of any pathogen  
401 might already be widespread across all geo-climatic zones. Systematic and continued  
402 genome sequencing across all geo-climatic zones is needed to resolve these possibilities for  
403 every priority pathogen. It will also help to have a clearer indication of time of isolation and  
404 geo-climatic zone for all the reported sequences. For example, from the existing literature,  
405 we could not find the geo-climatic zone for 449 sequences of *S. typhi* and adding this  
406 information may change the inferences of the phylogenetic analysis.

407  
408 We also note that for the phylogenetic analyses we used two gram-negative enteric  
409 pathogens, *S. typhi* (Figure 3) and *K. pneumoniae* (Supplementary material 3). Genomic  
410 sequences of other non-enteric or gram-positive pathogenic species may cluster according  
411 to the geo-climatic zones. It is also known that the ratio of core to accessory (or  
412 dispensable) genes varies across different pathogenic species.<sup>154</sup> Such variation can affect  
413 the conclusions drawn from phylogenetic and MLST analysis.<sup>155</sup> The predominant nature of  
414 the infections, nosocomial vs community-based, may also affect the spread across geo-

415 climatic zones. For instance, *Acinetobacter baumannii* is primarily a nosocomial

416 pathogen<sup>156,157</sup> and specific strains may dominate species geo-climatic regions.

417

418 At the time of our literature search, only two geo-climatic zones had reasonable

419 representation for *S. typhi* (330 and 170 sequences each from Southern and Northern zones)

420 while remaining zones of West, Northeast, East had reported 6, 4 and 1 genomic sequence

421 respectively. But genomic sequences from even Southern and Northern zones did not

422 cluster separately. A possible reason for this could be that most of the reported genomic

423 sequences (408 out of 503) belonged to the *S. typhi* lineage 4.3.1, suggesting one dominant

424 infectious strain throughout the country. This may not be the case with other important

425 pathogens and may lead to different observations. However, another study<sup>85</sup>, as well as our

426 own analysis with *K. pneumoniae* (Supplementary material 3) genomes, demonstrates lack of

427 distinct clusters for genomic sequences from different geo-climatic regions.

428

429 We reasoned that homogeneity in genomic sequences across different geo-climatic zones

430 might be due to the spread of infectious pathogens/resistance genes through human

431 travellers or food items or other environments. Documentation and sequencing of such

432 instances is important as it can allow effective mapping of the isolates' ancestry and help  
433 understand their dispersal patterns. Sequencing the isolates from infected travellers can also  
434 uncover the original incidences of certain infections. For example, a recent study discovered  
435 that the first incidence of *C. auris* infection was a 54-year-old male travelling to India in  
436 2007, which was two years before the supposed first case of *C. auris* infection was reported  
437 from Japan.<sup>123</sup> This discovery has altered the timeline of emergence of *C. auris* infections.  
438 Our literature search revealed very few studies with genomic sequences of pathogens from  
439 travel-related infections. Majority of the studies were travellers who acquired the infection in  
440 India and travelled abroad. There were almost no studies reporting sequences of pathogens  
441 acquired during the incoming travel to India or more importantly, travel within the country.  
442 This observation underlines the necessity of tracking travellers for possible infections and  
443 extending these investigations to include whole-genome sequencing of the pathogen.

444  
445 Whole-genome sequences of isolates from soil, water, other environments and foods were  
446 also modest in number. Alarmingly, even these few reports uncovered important resistance  
447 markers. For example, we found studies that reported colistin-resistant pathogens from food  
448 samples. Colistin is one of the final-resort antibiotics and the presence of colistin resistant

449 *Enterobacteriaceae* (group3 pathogens as per ICMR)<sup>29</sup> in food items is concerning. Colistin-  
450 resistant *Enterobacteriaceae* (like *Klebsiella*, *Enterobacter*, *Citrobacter*, *E. coli*) and  
451 *Pseudomonas* were found in a range of food samples collected from shops and households  
452 <sup>93</sup>. Moreover, few of these isolates contained the *mcr-1* gene which is responsible for  
453 plasmid-mediated spread of colistin resistance. Extrinsic resistance elements, such as  
454 plasmids, can spread the resistance rapidly across pathogens.<sup>158</sup> Our  
455 results underline the need for comprehensive 'One health' approach in WGS studies with  
456 extensive sequencing of isolates from environments.

457 **Conclusion**

458 Our review collates the studies that sequence the genomes of priority AMR pathogens from  
459 India. We find that many priority pathogens are not routinely sequenced in India while some  
460 have not been sequenced at all. Additionally, most genomic sequences are available from  
461 only a couple of geo-climatic zones. With the limited sequence data that is available, we  
462 infer that genomic sequence diversity is homogeneous across the geo-climatic zones. To  
463 assert or refute this conclusion however, we need systematic genomic sequencing for a few  
464 more priority pathogens across all geo-climatic zones. This task is resource-intensive and  
465 implementation may take a few years at least. While such comprehensive sequencing data is  
466 being generated across the country we need to urgently begin sequencing diverse priority  
467 pathogens such as *A. baumannii*, *C. koseri*, *P. mirabilis* and *P. rettgeri*. This should be  
468 accompanied by genomic sequencing of isolates from travel-related infections and  
469 environment. Our recommendations can be valuable for other low and middle-income  
470 countries with diverse geo-climatic conditions, high prevalence of AMR and limited  
471 resources.

472

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481 **Author contributions**

482 S.K and N.G. conceptualised the study. N.G. carried out the literature review and data  
483 screening. N.G and S.K were involved in the analysis, wrote and edited the paper. J.J  
484 performed the phylogenetic analysis and commented on the draft of the manuscript. R.K  
485 helped with the statistical analysis and phylogenetic analysis and commented on the draft of  
486 the manuscript.

487

488 **Conflict of interests**

489 The authors declare no conflict of interests.

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