

## Fast evolution of SARS-CoV-2 BA.2.86 to JN.1 under heavy immune pressure

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## Abstract

20 While the BA.2.86 variant demonstrated significant antigenic drift and enhanced ACE2 binding affinity, its ability to evade humoral immunity was relatively moderate compared to dominant strains like EG.5 and HK.3. However, the emergence of a new subvariant, JN.1 (BA.2.86.1.1), which possesses an additional spike mutation, L455S, compared to BA.2.86, showed a markedly increased prevalence in Europe and North America, especially in France. Here, we found that

25 L455S of JN.1 significantly enhances immune evasion capabilities at the expense of reduced ACE2 binding affinity. This mutation enables JN.1 to effectively evade Class 1 neutralizing antibodies, offsetting BA.2.86's susceptibility and thus allowing it to outcompete both its precursor BA.2.86 and the prevailing variants HV.1 (XBB.1.5+L452R+F456L) and JD.1.1 (XBB.1.5+L455F+F456L+A475V) in terms of humoral immune evasion. The rapid evolution

30 from BA.2.86 to JN.1, similar to the earlier transition from BA.2.75 to CH.1.1, highlights the importance of closely monitoring strains with high ACE2 binding affinity and distinct antigenicity, despite their unremarkable immune evasion capabilities. Such strains have the potential to quickly accumulate mutations that enhance their immune escape during transmission, often at the cost of receptor binding.

35 The saltation variant BA.2.86, which was quickly designated as a variant under monitoring (VUM) after its emergence, has garnered global attention. Although BA.2.86 did not exhibit significant immune escape compared to dominant variants, it demonstrated remarkable ACE2 binding affinity<sup>1-5</sup>. This increased binding affinity, coupled with its distinct antigenicity, enabled BA.2.86 to accumulate mutations during transmission, potentially enhancing immune evasion while  
40 possibly compromising receptor binding, akin to the previous evolution from BA.2.75 to CH.1.1<sup>6-9</sup>. Notably, with just one additional receptor binding domain (RBD) mutation, L455S, compared to its predecessor BA.2.86, the JN.1 variant rapidly became predominant in France ([Figure A and Figure S1](#)), surpassing both BA.2.86 and the "FLip" (L455F+F456L) strains, currently its most significant competitors. Consequently, a thorough investigation into the immune evasion  
45 capability and mechanism of JN.1, particularly given its few additional mutations, is imperative.

We first study the humoral immune evasion of JN.1 and other circulating strains using pseudovirus-based neutralization assays with plasma from convalescent individuals from XBB infection. These individuals, having received three doses of inactivated vaccines, subsequently experienced XBB (XBB subvariants with S486P substitution) breakthrough infections (BTIs). Our  
50 study included two cohorts, one with 27 participants who had post-vaccination XBB BTI and another with patients reinfected with XBB after BA.5 or BF.7 BTI. JN.1 displayed significantly enhanced immune escape compared to the ancestral variant BA.2.86. This was evidenced by a 2.1-fold decrease in 50% neutralization titers (NT50) among XBB reinfected individuals post-BA.5/BF.7 infection and a 1.1-fold decrease in NT50 in the XBB BTI convalescents ([Figure B and Figure S2](#)). Additionally, JN.1's plasma evasion surpassed that of competitive variants HV.1  
55 (EG.5+L452R) and JD.1.1 (FLip+A475V). Notably, these strains exhibited significantly lower plasma neutralization titers after acquiring L452R and A475V mutations, respectively, and were comparable to BA.2.86. This suggests that the antigenically distinct JN.1 strain significantly increased its immune evasion after acquiring the spike mutation L455S in its short-term evolution.

60 Subsequently, we measured the binding affinity between human ACE2 (hACE2) and the RBD of various Omicron subvariants using surface plasmon resonance (SPR). A notable reduction in ACE2 binding affinity was observed in JN.1, indicating that its enhanced immune escape capabilities come at the expense of reduced ACE2 binding ([Figure C](#)). A475V mutation in JD.1.1

(XBB.1.5 + FLip + A475V) also resulted in decreased binding affinity, enhancing immune evasion compared to HK.3 (XBB.1.5 + FLip). However, the L452R mutation did not significantly affect binding affinity. These findings prompt further investigation into how specific mutations influence immune evasion patterns, leading to a trade-off between ACE2 affinity and viral growth advantage.

Considering that the L455 is predominantly targeted RBD Class 1 antibodies, as indicated by prior research, our study further examined the evasion capabilities of JN.1 in response to eight XBB.1.5-neutralizing Class 1 monoclonal antibodies (mAbs) <sup>7</sup>. Pseudovirus neutralization assays demonstrated that the addition of the L455S mutation notably enhanced JN.1's ability to evade Class 1 antibodies. This mutation effectively compensated for BA.2.86's susceptibility to this antibody group, resulting in a 46-fold increase in 50% inhibitory concentration (IC50) values (Figure D). Similarly, the FLip + A475V variant (JD.1.1) exhibited increased resistance to Class 1 antibodies compared to the FLip variant (HK.3). However, JN.1's superior evasion of both class 2/3 and SD1-targeting antibodies enabled it to outperform JD.1.1 overall. In terms of therapeutic antibodies, SA55 retained its neutralizing efficacy against all examined variants (Figure E). Omi-42 remained effective against JN.1, although HK.3 showed slight evasion and JD.1.1 demonstrated significant evasion. These findings suggest that L455S enhances JN.1's resistance to humoral immunity, particularly mediated by the evasion of class 1 antibodies, relative to BA.2.86. Furthermore, these results offer insights into the recent trend of convergent A475V mutations among FLip variants, indicating substantially high immune pressure posed by Class 1 public neutralizing antibodies. The emergence of A475V in JD.1.1 and L455S in JN.1 may represent two distinct evolutionary paths converging toward evasion of such antibodies.

To sum up, JN.1, at the expense of reduced hACE2 binding, demonstrated enhanced immune evasion compared to BA.2.86 and other resistant strains like HV.1 and JD.1.1. Specifically, JN.1's spike mutation L455S effectively escapes Class 1 neutralizing antibodies. For BA.2.86, E554K specifically evades SD1-targeting antibodies, A484K and V483del enabled evasion of class 2 antibodies, and K356T, L452W, and P445H facilitated evasion of class 3 antibodies<sup>1</sup>. JN.1, by inheriting BA.2.86's antigenic diversity and adding L455S, achieves extensive resistance across RBD Class1/2/3 and SD1 antibodies. Additionally, BA.2.86's high ACE2 binding affinity enables

the emergence and prevalence of evasive mutations like L445S that weaken hACE2-RBD interaction, maintaining the ACE2 binding affinity above a critical threshold for efficient cell entry. This evolutionary pattern, similar to the previous transition from BA.2.75 to CH.1.1<sup>2,3,9</sup>, illustrates a shift from high ACE2 binding to increased evasion capacity, leading to successful prevalence. Overall, these findings underscore the complex interplay between ACE2 affinity and immune evasion. The potential of variants with high ACE2 binding affinity and distinct antigenicity to refine their evasion mechanisms by sacrificing ACE2 affinity warrants attention. Therefore, vigilant monitoring of BA.2.86 and JN.1 is essential, given the possibility of accumulating additional evasive mutations like FLip or A475V.

### Acknowledgments

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### Author Contributions

Y.C. designed and supervised the study. S.Y. and Y.C. wrote the manuscript with inputs from all authors. Y.X. and R.J. recruited the SARS-CoV-2 convalescents. S.Y., F.J., W.S. and J.L. performed sequence analysis and illustration. Y.Y. and Youchun W. constructed pseudoviruses. P.W., L.Y., Yao W., J.W. (BIOPIC), J.W. (Changping Laboratory) and F.S. processed the plasma samples and performed the pseudovirus neutralization assays. F.J., W.S., A.Y., X.N., and Y.C. analyzed the neutralization data.

### Declaration of interests

Y.C. is the inventor of the provisional patent applications for BD series antibodies, which includes BD55-5514 (SA55). Y.C. is the founder of Singlomics Biopharmaceuticals. Other authors declare no competing interests.

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## **Methods Details**

### **Patient recruitment and plasma isolation**

As previously described, blood samples from convalescent patients who had recovered from SARS-CoV-2 Omicron BTI or reinfection were obtained following approved study protocols (Ethics Committee archiving No. LL-2021-024-02 and No. 2022N045KY)<sup>1,2</sup>. Patients in the reinfection cohorts experienced first infections in December 2022 in Beijing and Tianjin and the second infection between May and June 2023<sup>3</sup>. The time of infections for individuals in the XBB BTI were between May and June 2023. The infections were confirmed by PCR test or antigen test while the viral strains of them were determined by sequencing.

After collection, whole blood samples were diluted 1:1 with PBS+2% FBS and then gradiently centrifugated with Ficoll (Cytiva, 17-1440-03). These plasma samples were then collected, aliquoted, stored at temperatures of -20 ° C or lower, and subjected to heat inactivation before other experimental procedures.

#### **Pseudovirus neutralization assay**

Based on the vesicular stomatitis virus (VSV) pseudovirus packaging system, pseudovirus of SARS-CoV-2 variant spike was generated<sup>4</sup>. G\* Δ G-VSV virus (VSV G pseudotyped virus, Kerafast) was added to the cell culture supernatant. The pcDNA3.1 vector incorporating spike gene which was optimized using a mammalian codon was transfected into 293T cells (American Type Culture Collection [ATCC], CRL-3216). After culture, the pseudovirus in the supernatant was harvested, filtered, aliquoted, and frozen at -80 ° C for subsequent use. Plasma samples or antibodies were serially diluted in culture media, then were with pseudovirus followed by a 1-hour incubation at 37 ° C in a 5% CO<sub>2</sub> incubator. Digested Huh-7 cells (Japanese Collection of Research Bioresources [JCRB], 0403) were introduced into the antibody-virus mixture. After a day of incubation, the supernatant was removed. D-luciferin reagent (PerkinElmer, 6066769) was applied, left to incubate in darkness for 2 minutes. Then cells were lysed and transferred to the detection plates. A microplate spectrophotometer (PerkinElmer, HH3400) was used to detect the luminescence value and a four-parameter logistic regression model was employed to determine the IC<sub>50</sub> values.

#### **Antigenic cartography construction**

The serum obtained from mice that received two doses (10 μg) of spike mRNA from the WT, BA.5, BQ.1.1, or XBB strains was collected one week after the final immunization. Then the

pseudovirus assays were to conducted to generate the neutralization titer data. The preprocessed  
 175 and normalized data were transformed using multidimensional scaling (MDS) to arrange the  
 antigen and plasma points within the antigenic cartography. Following that, antigenic cartography  
 was computed by the R package Racmacs (v1.1.35), and visualized by the R package ggplot2  
 (v3.4.1)<sup>5</sup>.

### Surface Plasmon Resonance

180 SPR measurements were performed on the constructed RBD of BA.2.86, JN.1 and XBB  
 subvariants including HK.3,HV.1, EG.5 and JD.1.1 based on Biacore 8K (Cytiva). Human ACE2  
 tagged with Fc tag was immobilized onto Protein A sensor chips (Cytiva). After serial dilution  
 (6.25, 12.5, 25, 50, and 100 nM), the purified RBD samples of SARS-CoV-2 variants were  
 injected on sensor chips. Responses were captured by Biacore 8K Evaluation Software 3.0 (Cytiva)  
 185 at room temperature, and the raw data were fitted to 1:1 binding model using Biacore 8K  
 Evaluation Software 3.0 (Cytiva). Each strain underwent two or three independent replicates for  
 validation.

### Methods References

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## Figure Legends

### Figure | JN.1 exhibits profound immune evasion and decreased ACE2 binding affinity

(A) Sequence percentages of prevalent variants since August 2023 including JN.1, BA.2.86 (the original BA.2.86 and its subvariants except JN.1), HV.1, FLip + A475V and HK.3. The growth advantages relative to HK.3 in past 2 month of these strains are denoted in the legend within parentheses. Data are collected from covSPECTRUM.

(B) The 50% neutralizing titer (NT50) of convalescent plasma against SARS-CoV-2 variants measured in individuals who received three CoronaVac doses and had breakthrough infection with BA.5 or BF.7 followed by XBB reinfection (n = 54). Labels for geometric mean titers (GMT) are located above each group, with the fold changes and statistical significances indicated above the GMT labels. Below the dashed line are labels specifying the numbers of negative samples which are related to the limit of detection (NT50=20). Two-tailed Wilcoxon signed-rank tests of paired samples were used. \*p<0.05, \*\*p<0.01, \*\*\*p<0.001, and \*\*\*\*p<0.0001.

(C) The human ACE2 (angiotensin-converting enzyme 2) binding affinities of HK.3 (XBB.1.5+L455F+F456L), BA.2.86, HV.1 (XBB.1.5+L452R+F456L), EG.5 (XBB.1.5+F456L), JD.1.1 (XBB.1.5+L455F+F456L+A475V), and JN.1 (BA.2.86+L455S) RBD determined by SPR sensorgrams. KD values (nM) are displayed above the bars, and all replicates are represented as points.

(D) Class 1 neutralizing antibodies (NAbs) resistance against pseudovirus of XBB.1.5, EG.5, HV.1, HK.3, JD.1.1, BA.2.86, and JN.1 strains indicated by the IC50 values (n=8). The values and related fold changes when compared to D614G or other strains are labelled.

(E) The IC50 (ug/mL) of approved or candidate monoclonal neutralising antibody drugs targeting spike are assessed against XBB.1.5, EG.5, HV.1, HK.3, JD.1.1, BA.2.86, and JN.1 pseudovirus.

## Supplementary Tables and Figures

### Figure S1 | Sequence mutations of prevailing variants

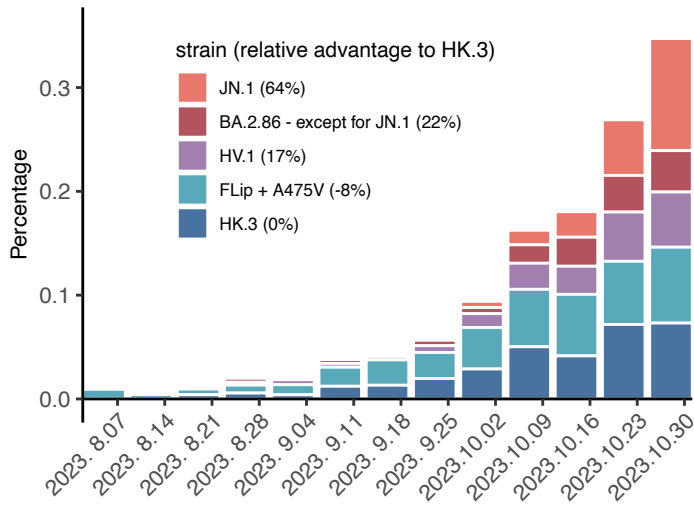
Mutations of XBB.1.5, HV.1, HK.3, JD.1.1, BA.2.86 and JN.1 on the spike glycoprotein. The existence of mutations for each variant are indicated in purple. The sky-blue color denotes relatively absent mutations. The spike locations of listed mutations are labeled on the right.

# **Figure S2 | Neutralization of BA.2.86 against plasma from XBB BTI patients**

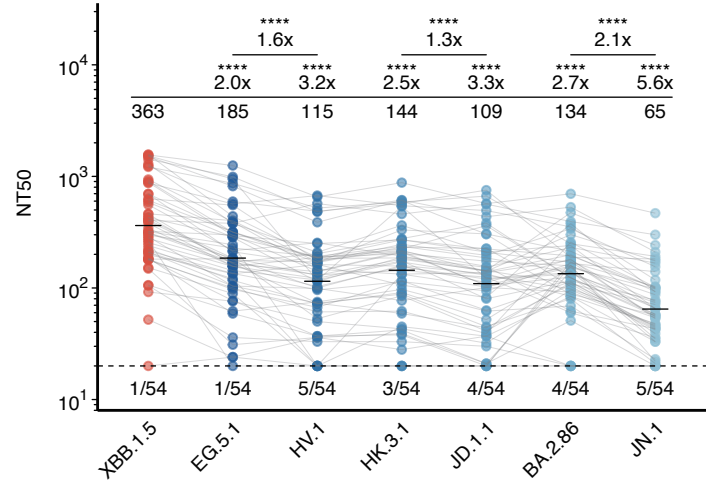
Related to Figure B. NT50 against SARS-CoV-2 variants of convalescent plasma who received three CoronaVac doses and experienced XBB breakthrough infection (n = 27). The GMT values together with the relative fold changes and statistical significances are labelled above each group, and labels for the numbers of negative samples are below the dashed line which are related to the limit of detection (NT50=20). Two-tailed Wilcoxon signed-rank tests of paired samples were used. \*p<0.05, \*\*p<0.01, \*\*\*p<0.001, and \*\*\*\*p<0.0001.

**Figure 1**

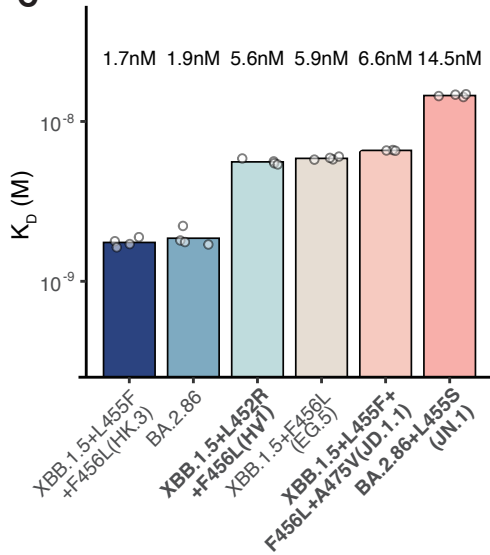
**A France**



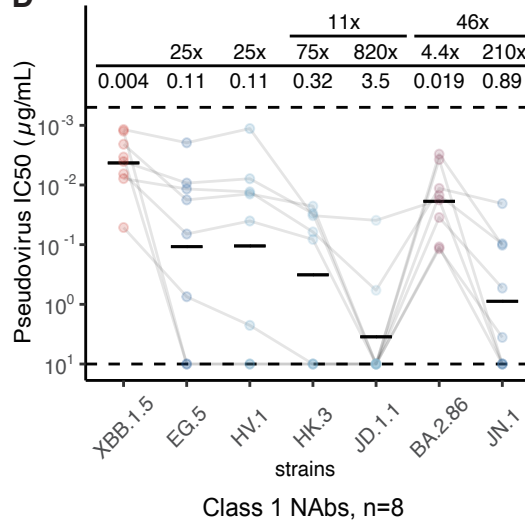
**B BA.5/BF.7 BTI + XBB infection (n=54)**



**C**



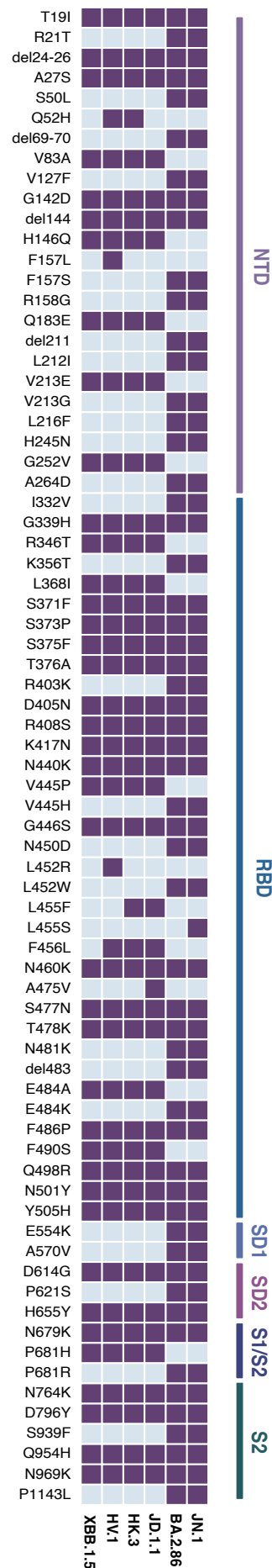
**D**



**E**

	SA55	S3H3	Omi-42	S309	
<b>XBB.1.5</b>	0.008	0.036	0.004	0.97	
<b>EG.5</b>	0.008	0.067	0.038	0.88	456L
<b>HV.1</b>	0.007	0.051	0.042	1.19	452R+456L
<b>HK.3</b>	0.010	0.039	0.13	1.22	455F+456L
<b>JD.1.1</b>	0.010	0.049	9.0	1.03	455F+456L +475V
<b>BA.2.86</b>	0.006	>10	0.004	1.89	
<b>JN.1</b>	0.009	>10	0.029	2.3	L455S

**Figure S1**



**Figure S2**

