

# SecDATA: Secure Data Access and de novo Transcript Assembly protocol - To meet the challenge of reliable NGS data analysis

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## Abstract

Recent developments in sequencing technologies have created new opportunities to generate high-throughput biological data at an affordable price. Such high-throughput data needs immense computational resources for performing transcript assembly. Further, a high-end storage facility is needed to store the analyzed data and raw data. Here comes the need for centralized repositories to store such mountains of raw and analyzed data. Hence, it is of utmost importance to ensure data privacy for storing the data while performing transcript assembly. In this paper, we have developed a protocol named *SecDATA* which performs de novo transcript assembly ensuring data security. It consists of two modules. The first module deals with a framework for secured access and storage of data. The novelty of the first module lies in the employment of distributed ledger technology for data storage that ensures the privacy of the data. The second module deals with the development of an optimized graph-based method for de novo transcript assembly. We have compared our results with the state-of-art method de Bruijn graph and the popular pipeline Trinity, for transcript reconstruction, and our protocol outperforms them.

**Keywords:** RNA-seq data, de novo transcript assembly, data privacy, distributed ledger technology, big data.

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## 1. Introduction

NGS technology has facilitated transcriptomic studies through RNA-seq data analysis [1]. To get the complete picture of a biological system, it is important to analyze such high-throughput data efficiently. Such a process includes assembling short reads which helps in the reconstruction of the full-length transcripts. It helps in detecting novel transcripts and identifying alternatively spliced genes as well. Various transcript assembly algorithms [2] have facilitated such analysis, to recreate the entire transcriptome even without a reference genome. Nevertheless, the presence of sequencing errors, polymorphism, sequence repeats, etc makes the task of the transcript assembly process often more challenging. Further, identifying the full set of transcripts which includes novel transcripts corresponding to unannotated genes, splicing isoforms, and gene-fusion transcripts [3] makes it more critical.

A significant amount of work has been done regarding the development of various reference-based and de novo transcriptome assemblers [4]. The reference-based method involves transcriptome analysis, primarily by mapping onto a reference genome [5]. On the other hand, the de novo assembly method is primarily used because many non-model species lack a reference genome for transcriptome analysis [6]. Examples of widely used de novo assemblers are SOAPdenovo-Trans, rnaSPAdes, BinPacker, Bridger, Trinity, IDBA-Tran, ABySS, and Oases [7]. De novo transcriptome reconstruction from short reads remains an open problem, due to the lack of comprehensive knowledge needed to reconstruct the transcriptome as completely as possible. It gets even more complicated as the transcriptome varies with variations in cell type, environmental conditions, etc. Ideally, a transcriptome assembler should be capable of detecting full-length transcripts even for lowly expressed transcripts. Two basic algorithms that are generally used for short read de novo assemblers are overlap graphs and de Bruijn graphs [8]. Mira, Phusion, and Newbler are a few of the widely used overlap graph-based assemblers [9]. Overlap graphs compute the overlaps between each pair of reads making this algorithm computationally more intensive than de Bruijn graphs [10]. Hence, most of the de novo assemblers for RNAseq data analysis use de Bruijn graphs generated [11] from the overlap between k-mer decompositions of the reads in the RNAseq data and not between the actual reads. ABySS, Velvet, Oases, and Trinity are popular de Bruijn graph-based assemblers [8].

In the de Bruijn graph [12], a node is represented by a sequence of k nucleotides of a specific length ('k-mer,' with k significantly shorter than the

read length) and nodes are linked by edges if they overlap perfectly with  $k-1$  nucleotides. This representation enables all possible solutions to be enumerated which comprises linear sequences with  $k-1$  overlap. Each path in the graph represents a potential transcript for the transcript assembly process. The representation of the de Bruijn graph in memory is a computational bottleneck for many assemblers [12, 13]. One of the present-day challenges that remain is the use of de Bruijn graphs for the de novo assembly process. This is because a massive computational resource is needed for implementing such a procedure for de novo assembly (i) by creating a graph from the raw sequence data and (ii) subsequent generation of millions of possible paths from these graphs. Along with transcript assembly, secure data handling is an important area of concern for RNAseq data analysis. It is essential to ensure data security before (securing raw data) and after (securing analyzed data) transcript assembly step in NGS data analysis. Hence, the initial input data should remain unchanged and should not be modified by others without permission. Blockchain technology has achieved notable attention for maintaining data security from the business and corporate sector to the healthcare industry and genomic research [14]. The Blockchain system, also known as distributed ledger technology (DLT) [15] as well as distributed or shared ledger, is a consensus of replicated, shared, and synchronized digital data, geographically scattered over multiple places [16]. The main advantage of DLT lies in the fact that it can be used without requiring a central administrator and also centralized data storage. It is like a distributed database on a peer-to-peer network, that is spread across several nodes (devices) where each node replicates and saves an identical copy of the ledger and updates itself [17]. Cryptographic keys and signatures are used for the security of the nodes [18]. DLT handles and shares information across a network of verified users. To support a wide range of decentralized applications, Hyperledger and Ethereum focus on extended processing capability for building distributed ledger networks [19, 20]. However, DLT was not designed to handle large omics-sized data sets [21].

In this paper, we have designed an optimized pipeline *SecDATA* for de novo transcript assembly that adopts a Blockchain-based strategy. The major focus here lies towards implementing (a) a pipeline that accesses secured data with the help of DLT and (b) performs de novo transcript sequence reconstruction from RNA-seq data.

## 2. Materials and Methods

We have two major focuses in this paper: (i) data security and (ii) de novo Transcript assembly. The computational framework of *SecDATA* is shown in figure 1.

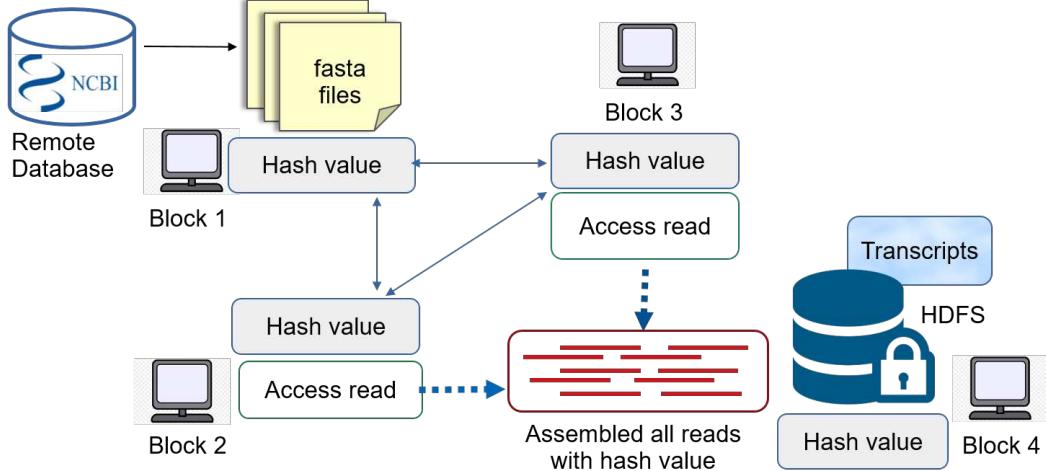


Figure 1: Computational framework for SecDATA

### 2.1. Input dataset and it's pre-processing

**Real and Simulated Datasets:** To compare the performance of our transcript assembly protocol, *SecDATA* we have used simulated datasets and publicly available RNA-Seq datasets (dataset provided in Table 1) with different read lengths, number of reads, and depth of coverage. The publicly available data is downloaded from the NCBI (<https://www.ncbi.nlm.nih.gov/>) SRA database using the streaming technique, ParStream-Seq [22]. We have used Trimmomatic [23] and FastQC [24] for adapter trimming and filtering poor-quality reads and poor-quality bases respectively from the downloaded datasets. We have used a simulation method [25] to generate simulated Illumina reads from a reference genome (human(hg19) chromosome 1 and 2 (Gencode version 18) as reference). The simulated datasets were assembled without any additional preprocessing. Our dataset read length is 100 bp and insert lengths range between 200bp to 350 bp to simulate sequencing reads. The simulated dataset is available at <https://github.com/sudipmondalcse/SecDATA>

Organism	Accession ID	Run ID	Number of Reads	Read length
Homo sapiens	Simulated	Simu_50000	50000	100 bp
	Simulated	Simu_100000	100000	100 bp
	Simulated	Simu_150000	150000	100 bp
Mus musculus	SRX8325063	SRR11771595	148301	~50 bp
	SRX8325065	SRR11771597	188174	~50 bp
	SRX8325067	SRR11771599	213180	~51 bp

Table 1: Input dataset

### 3. Computational architecture of SecDATA

#### 3.1. Proposed Model for data security

In this paper, we have used public blockchain technology for secure data storage. A public blockchain is a type of blockchain that is designed to be fully decentralized [26]. Once a record has been added to the chain it is very difficult to change it without permission [27, 28]. Unlike traditional databases, the data stored using this technology is not centralized at one location; instead, the record of the ledger is shared across a network of computers or computing nodes. The network uses an encrypted code to perform constant checking to ensure that all the copies of the database are properly duplicated in all nodes and ensure the privacy of their data [29]. A consensus algorithm [30] achieves data reliability in the Blockchain network and establishes trust between unknown peers by allowing them to reach a mutual agreement about the current state of the distributed ledger. Through a consensus mechanism, all active network nodes ensure that modifications in the database in the form of digital records, follow a set of rules, making the DLT tamper-proof. Many forms of DLTs are available, such as Blockchain like Bitcoin, Ethereum, etc. [16] Here, we have used one of the most popular consensus algorithms - Proof of Work (PoW) [31]. This ensures the security of the network in the form of block mining and the validity of the newly mined block [32]. In our experiment, each block in the network consists of a block header of key parameters, including block creation time, data from the input fasta file, associated header information, and reference to the previous block. Every time we want to create a new block in a network there is a need to check the level of difficulties measured by the PoW algorithm. It uses a block reference which must not exceed a certain threshold for the block to be considered valid. The computational power (or hash power) on the network

is defined such that all possible variables in the block header are repeatedly iterated to find the value of B satisfying

$$\text{hash}(B) \leq M/D \quad (1)$$

where  $D \in [1, M]$  is the target difficulty. All possible variables in the block header are repeatedly iterated to find the value of B satisfying equation 1. The value of D is directly proportional to the number of iterations needed to find a valid block. The expected number of operations is exactly D [33].

### 3.2. Implementing Blockchain Model

Here we have proposed a general workflow diagram (figure 2) for implementing the Block Chain Model while accessing data for NGS data analysis. It encompasses blocks or nodes, which are connected through a network. The nodes communicate through a secure channel and use the hash value as a key. In this figure, Block 1 serves as the online resource for the sequencing data needed for further analysis. Block 2 and 3 access reads from fasta files stored in block 1. As the fasta file contains sequence ID and sequences, we need to collect all the sequence reads only from the fasta file, which is done by these two blocks. Block 4 is responsible for assembling and storing the final set of transcripts in HDFS. Here, the transcripts are assembled from reads coming from blocks 2 and 3 (i.e. building the transcripts from the reads coming from blocks 2 and 3 and storing the output in HDFS). We have used Ethereum techniques [34] for building blockchain networks. Ethereum is a global, public, and blockchain-based open-source platform for decentralized applications [35]. A block in an Ethereum blockchain is identified by a hash value of its content and references to the hash of the parent block. Hashes of blocks are created using cryptographic hash functions, which are mathematical algorithms that map data of arbitrary size to a bit string of a fixed size (called hash or digest). We have used R package *digest* [36, 37] for creating block and hash functions. Hash alone is not enough to prevent tampering. Here PoW algorithm has been used to solve this problem. It prevents denial of service attacks (DDoS) on a network and maintains privacy. We have assigned a hash value to each data packet (downloaded from NCBI) using the above-mentioned technique to maintain its privacy in the network. Subsequently, each data packet has been used further for transcript assembly.

### 3.3. *De novo transcript assembly*

It consists of several steps including kmer generation, construction of graphs, and then assembly. These steps are described below and shown in figure 2.

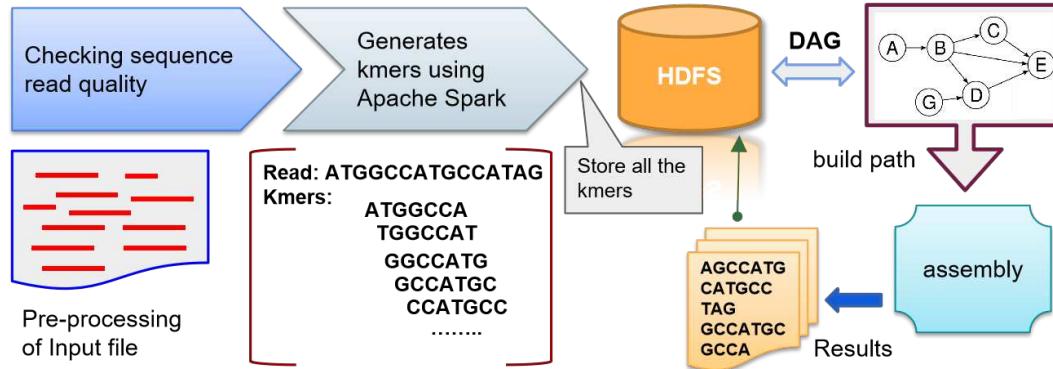


Figure 2: Workflow diagram for de novo transcript assembly

#### 3.3.1. *Creating k-mers and Construction of transcripts using de Bruijn graph*

Most of the de novo assembly algorithms for short-read RNA sequences rely on the concept of the de Bruijn graph. In this paper, we have implemented de Bruijn graphs in two steps. In the first step, we have generated k-mers and in the second step, we have constructed the graph. The generation of all possible k-mers from the input sequences requires huge computation which makes it very time-consuming. Several existing software is available which generate k-mer but very few are distributed in nature. Here, we have used a Hadoop-based k-mer algorithm [38], developed in our lab, to reduce the execution time as it runs parallel on a distributed platform. We have further reduced the time using Apache Spark which allows in-memory computation. In algorithm 1, we have discussed the steps to generate a list of large amounts of k-mers and store it in HDFS or disk. In algorithm 1 step 2, we have also discussed the steps to construct the graph using all k-mer's i.e. list of all k-mer stored or generated from step 1 and also generate all possible maximal simple paths.

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**Algorithm 1:** Creating k-mers and Construction of transcripts

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**Input:** S: Read sequences i.e  $\Sigma = \{A, C, G, T\}$  and K: length of K-mer.

**Output:**  $Kmer.list$ , the list of k-mer and frequency.

**Step 1:** *// construction of kmer*  
**begin**

- (i)  $ctx \leftarrow \text{new } SparkContext()$  *// create a Spark context*
- (ii)  $seqRDD \leftarrow ctx.parallelize(S)$  *// create RDD of given sequences*
- (iii)  $mapKmer \leftarrow seqRDD.map(\_.sliding(K), 1)$  *// generate K-mers using mapper function*
- (iv)  $countKmer \leftarrow mapKmer.reduceByKey((x, y) \geq x + y)$  *// combine & reduce frequent kmers using reducer function*
- (v)  $Kmer.list \leftarrow countKmer.collect()$  *// collect & store kmer into a list*

**end**

**Step 2:** *// construction of de Bruijn graph*  
**begin**

Given the list of kmers obtained from step 1, we construct a de Bruijn graph as follows:

- (i) Create a vertex for each k-mer
- (ii) Find all possible paths from that vertex by adding edges from one vertex to the other vertices if their overlap has length k-1
- (iii) Continue this step until we find overlapping kmers

**end**

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In algorithm 1, we have taken the Fasta sequence file that contains reads and the length of k-mer i.e. K as an input. We have used Apache Spark and created SparkContext [39] as a master Spark application. The sparkContext object allows the application to access the cluster for creating a resilient distributed dataset (RDD) using the parallelize() function. Each dataset (i.e. reads) in RDD is divided into many logical parts and it is computed on different nodes of the cluster. The flatMap() function is used to extract information from RDD. It operates on each row and returns multiple new

rows for a given row. It parses the sequences from RDD to generate k-mer using a sliding function with fixed window-size K and sliding interval 1. It will create subsequences that overlap in k-1 positions. After processing, the mapper emits a `<key,value>` pair to the reducer where the key is a k-mer and the value is 1. The `reduceByKey` function collects each key and merges all the values emitted against the same key, i.e., `<key, list(value)>` pairs emitted by `flatMap()` function. In this function,  $(x, y) \geq (x + y)$  initializes the x variable with default integer value 0 and adds up an element for each key. It combines the value of each identical k-mer and returns the final RDD with total counts paired with the key. i.e. if two keys are the same k-mer string then the value will be added until the list is empty. The reducer emits a final `<key,value>` pair where the key is a unique k-mer and the value is its frequency. The `collect` function is used to return the elements of the RDD generated by the reducer back to the driver program.

### 3.3.2. Construction and optimization of transcripts length by SecDATA

Here, we have determined the optimal length of each transcript. The “Optimized length” represents the minimum number of nodes traversed for building all transcripts i.e. minimum path length for transcript construction. To do so, we have developed a directed acyclic graph (DAG) (described in algorithm 2) based method - GTraversal (described in algorithm 3).

In this paper, we have used DAG for de novo transcript reconstruction and quantification. We have used overlaps in k-mers to determine which k-mer pairs are adjacent in the read sequences. For example, if two k-mers **TAGCAT** and **AGCATA** overlap then they are adjacent nodes in the graph and there is a directed edge from **TAGCAT** to **AGCATA**. One k-mer may overlap over multiple other k-mers. Here we require computationally  $(N-1)(N)/2$  steps for traversing the edges in the graph to find the possible directed paths (i.e. transcripts). A naive approach is to calculate the longest path from every node using the Depth First Search (DFS) algorithm. In our algorithm, we have used an adaptive DFS to traverse the DAG and also optimized the parameters for edge selection and path construction.

In algorithm 2, we have generated the DAG using an Adjacency List representation. This is a dictionary of `<key,value>` pairs, whose keys are the nodes of the graph. For each key, the corresponding value is a list containing the nodes that are adjacent to this key node. We have taken the k-mer generated from the previous step (discuss section 3.3.1) as input and stored it into a list called `kmer_list`. Algorithm 2 returns a dictionary that

maps each k-mer in the *kmer\_list* onto the set of all other k-mers in the list to which it overlaps with a minimal length min-overlap. Let  $kmer_1 = xy$  and  $kmer_2 = yz$  be two k-mers and  $min-overlap = m$  where  $m \in \text{integers}$ , then  $suffix = y$  with respect to  $kmer_1$  and  $prefix = y$  with respect to  $kmer_2$ . One  $\langle \text{key}, \text{value} \rangle$  pair of the dictionary,  $\langle kmer_1, kmer_2 \rangle$ , thus represents a directed edge that starts at a particular k-mer (here  $kmer_1$ , used as the key in the dictionary) and lead to all other k-mers (here  $kmer_2$ , used as the value in the dictionary) that overlap with it with of a minimal length  $m$  i.e.  $|y| \geq m$ . By definition of DAG, a k-mer, say  $kmer_1$ , never points to itself, i.e.  $\langle \text{key}, \text{value} \rangle \neq \langle kmer_1, kmer_1 \rangle$ , even though the k-mer might overlap itself and  $\langle kmer_1, kmer_2 \rangle$  and  $\langle kmer_2, kmer_1 \rangle$  cannot co-exist in the dictionary as the graph is acyclic.

In **algorithm 3**, we have traversed the graph obtained in algorithm 2 to reassemble the kmers to build transcripts using adaptive depth-first search (DFS). DFS can be used to find all possible combinations of paths from a start vertex to all reachable vertices in the graph such that the ordering respects reachability. That is, if a vertex  $u$  is reachable from  $v$ , then  $v$  must be lower in the ordering, thus  $u$  must overlap on  $v$ .

We have used a recursive function, *find\_transcript()*, to determine the path from a source kmer. It returns a list of nodes comprising the path that satisfies a minimum path length *minlen*. It takes the graph, start vertex, and path as arguments. Initially, the path is an empty list i.e. no nodes have been traversed yet. If the graph has the start vertex then we store it in the path. We recursively call *find\_transcript()* with the next node along with the current path that has already been traversed till it encounters untraversed reachable nodes. The same node will not occur more than once on the path returned i.e. it won't contain cycles. We repeat this step for each kmer from the kmer-list.

In our experiment, we have considered the different combinations of overlap and minlength in algorithm 1 and algorithm 2 respectively. For example, let us consider five kmers generated from input reads (i.e. fasta file) ( $k1$ : TCGGA), ( $k2$ : GATTA), ( $k3$ : TTACA), ( $k4$ : ACAGA) and ( $k5$ : GGAAT). If we consider  $overlap = 2$  and  $minlen = 2$ , the possible Adjacency list is  $\{k1 \rightarrow [k2, k5], k2 \rightarrow [k3], k3 \rightarrow [k4], k4 \rightarrow [k2]\}$  the path is  $[k1 - k2 - k3 - k4]$  and the transcript is “**TCGGATTACAGA**”. But if we consider  $overlap = 3$  and  $minlen = 2$ , the possible Adjacency list is  $\{k1 \rightarrow [k5], k2 \rightarrow [k3], k3 \rightarrow [k4]\}$  and the path is  $[k2 - k3 - k4]$  and the transcript is “**GATTACAGA**”.

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### Algorithm 2: CreateDAG()

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**Input** :  $kmer\_list$  : List of unique K-mers ( $k_1, k_2, k_3, \dots, k_n$ ) each of length  $K$  and  $min-overlap$  : length of minimum overlap between two K-mer sequence where  $overlap \leftarrow \text{len}(k_i \cap k_j)$

**Output**:  $graph(V, E)$  : Adjacency List where  $V \in kmer\_list$  and  $E \in \{e | \text{len}(k_i \cap k_j) \geq min-overlap\}$  where  $e$  represents an edge between two overlapping K-mers.

**begin**

```

n ← count(kmer_list)           // count total number of K-mers in
                                kmer_list
for each  $k_i \in kmer\_list$  do
    kmer_arr[i] ←  $(i, k_i)$ , where  $i \in (1, 2, 3 \dots n)$            // store index
    along with K-mer in an array kmer
end
graph = {}                     // create Adjacency List for overlapping K-mers
for each  $k_p \in kmer\_arry$  do
    index, kmer ←  $(p, k_p)$            // store the  $p^{th}$  index and  $p^{th}$  kmer in
    index and kmer
    for all  $k_q \in kmer\_arry$  do
        next_index, next_kmer ←  $(q, k_q)$  // store the  $q^{th}$  index and
         $q^{th}$  kmer in next_index and next_kmer
         $K \leftarrow \text{len}(kmer)$ 
        for all  $m \in kmer\_list$  do
            suffix ← kmer.substring( $K - m, K$ )
            // substring(pos1, pos2) returns a sliced string from
            pos1 to pos2.
            prefix ← next_kmer.substring(1, m)
            if (prefix == suffix) and ( $index \notin graph[next\_index]$ )
                then
                    // second condition imply no cycle is formed
                    graph[index] ← next_index
                end
            end
        end
    end
return graph
end

```

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**Algorithm 3: GTraversal()**

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**Input** :  $graph(V, E)$  : Adjacency List where  $V \in kmer\_list$  and  $E \in \{e | \text{len}(k_i \cap k_j) \geq \text{min-overlap}\}$  where  $e$  represents an edge between two overlapping K-mers and  $\text{minlen}$  : minimum path length

**Output:** transcript  $T\{t_1, t_2, \dots, t_n\}$  : List of transcripts where  $t_i \in T$  is obtained by joining overlapping K-mers in the path i.e.  $k_1 \cap k_2 \cap \dots \cap k_r$  by using  $\text{merge}()$  function.

**begin**

```
for each key ∈ kmer_list do
    path ← null
    transcript ← find_transcript(graph, key, path) {           // function
        find_transcript() returns transcript.
    if key ∈ graph then
        path ← key
        for each node in graph[key] do
            if node ∉ path then
                if node ∈ graph then
                    | find_transcript(graph, node, path)
                end
                else
                    | newpath ← path + node
                end
            end
        end
    end
    if len(newpath) > minlen then
        | return newpath
    end
}
end
for each tr ∈ transcript do
    transcript_list = merge(tr)
end
return transcript_list
end
```

---

We have summarized the final optimized length of each input dataset including simulated reads. Figure 3 summarizes the optimized length thus obtained, where the y-axis represents the total number of reads in input files, the x-axis represents the input files, and the final optimized transcript lengths for each input file are demarcated in the figure.

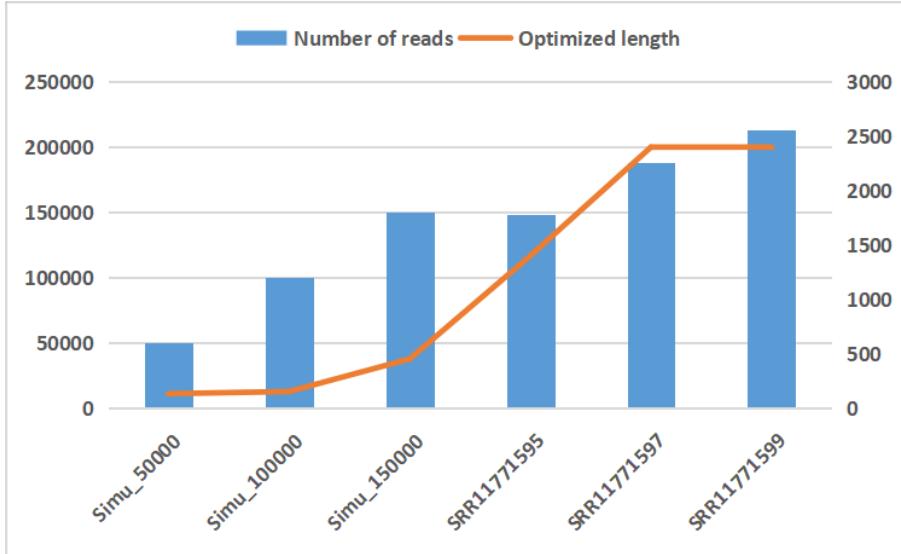


Figure 3: Computational framework for SecDATA

## 4. Results and Discussion

In this experiment, we have taken both simulated (discussed in section 2.1) and real online human datasets (as shown in Table 1) for our analysis. We have evaluated the performance on machines with an i7 processor CPU 3.6 GHz x 8 with 8GB of RAM.

### 4.1. Mining difficulty

The difficulty[40] indicates how difficult it is to find the hash of a new block. We have ensured the security of the accessed sequence data by measuring the difficulty level of creating a new block (discussed in section 3.2). Here, the ‘difficulty’ level explains, how hard it is to add a fake block to the blockchain network involving some attempts for a particular period. Figure 4 shows the difficulty level along the X-axis concerning the number of

iterations, along the Y-axis using the Pow algorithm. Iterated Logarithm or  $\text{Log}^*(n)$ , representing the number of attempts to add a new block, is the number of times the logarithm function must be iteratively applied till the result  $\leq 1$ . A high difficulty indicates that mining the same number of blocks will need more computational power, making the network more secure against threats. Figure 4 shows that increasing the difficulty level causes the iteration to rise on a specific part before converging.

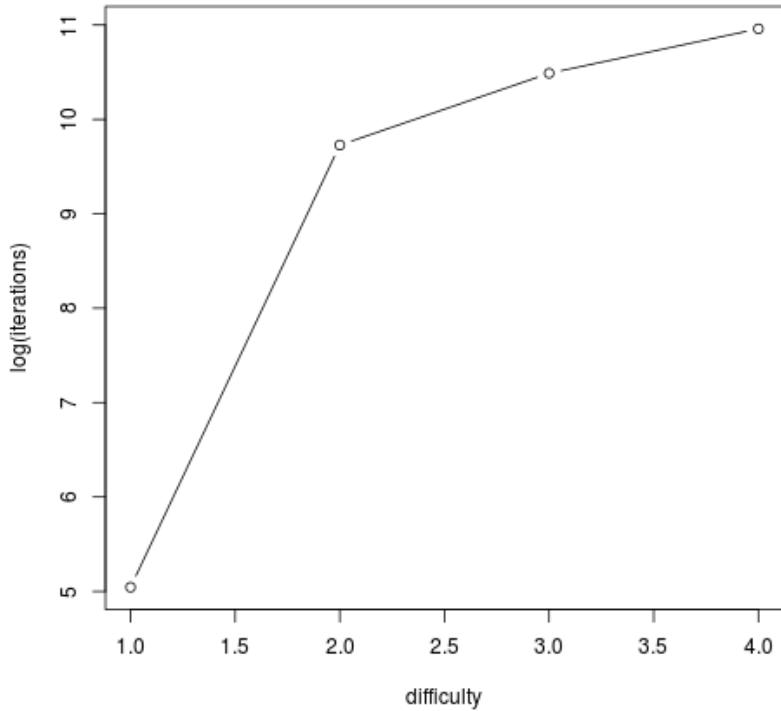


Figure 4: The difficulty level of creating new block with respect to iteration using Pow algorithm

#### 4.2. Creating $k$ -mers using different computational platforms

As discussed in section 3.3.1,  $k$ -mer is an important but time-consuming process for de novo transcript assembly. Further, this is the initial step for transcript assembly. Hence, we have compared the execution time for

generating k-mer in different computational platforms including stand-alone and distributed framework (which includes Spark and Hadoop). In the case of Hadoop, we observed the requirement of much less execution time for generating k-mers(as shown in figure 5). To reduce the time further, we have used the Spark-based method and have compared this result with that from Hadoop shown in figure 5.

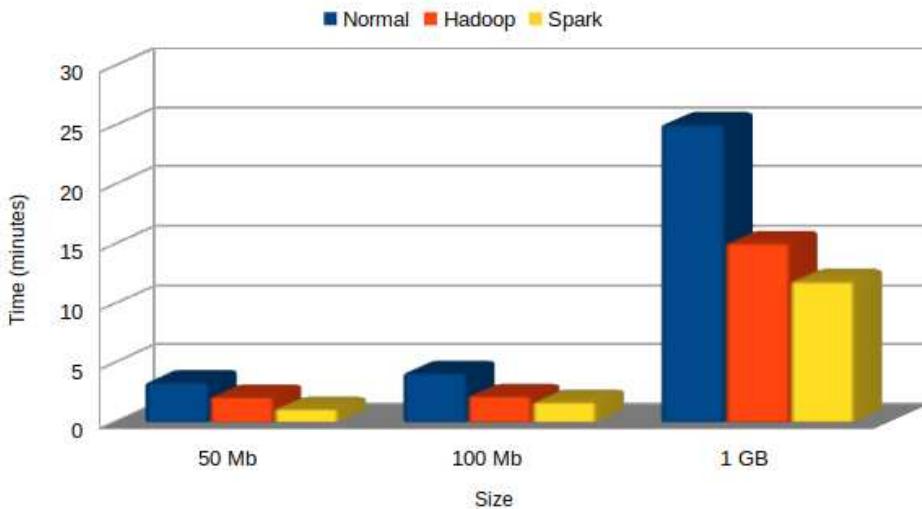
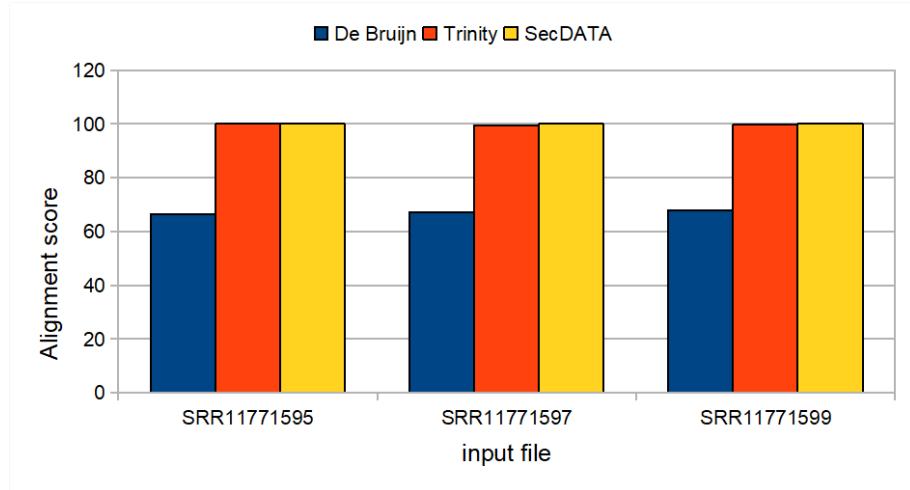


Figure 5: Time comparison of generating kmer using standalone, Hadoop, and spark framework

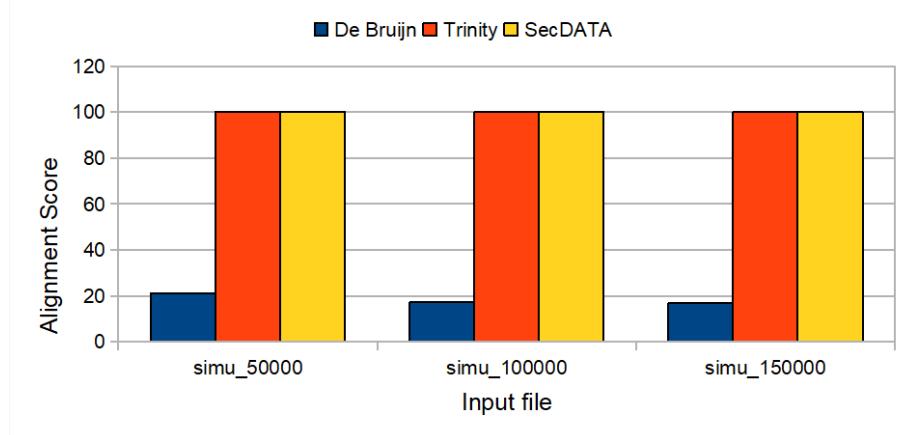
Here, the x-axis represents the size of the data, and the y-axis represents the execution time in minutes. Three differently sized datasets have been used as input for checking the execution time in various computational platforms. We have considered the kmer length of 21 i.e.  $k=21$  for all the experiments in this work. In comparison to standalone and Hadoop, Apache Spark outperforms with respect to execution time.

#### 4.3. Evaluating the performance of SecDATA

**Transcript Assembly:** To evaluate the performance of SecDATA, we have compared its efficiency with that of Debruijn and Trinity. The efficiency has been calculated (in terms of alignment percentage) based on the difference between expected and actual output. To generate the expected output for all these protocols, we have performed the following:



(a) BWA alignment applied on SRA datasets



(b) BWA alignment applied on simulated datasets

Figure 6: BWA alignment percentage obtained on outputs from de Bruijn, Trinity, and SecDATA

#### 4.3.1. Sequence Alignment using BWA

We aligned the resultant transcripts from Debruijn, Trinity, and SecDATA using the local alignment tool BWA [41] with human (hg18) and mouse (mm18) reference genome. Here we have performed a comparison of the alignment score for both sets of input (table 1). In the case of all the simulated datasets, we observed (in figure 6b) the maximum alignment score for the transcripts obtained by using SecDATA and Trinity. On the

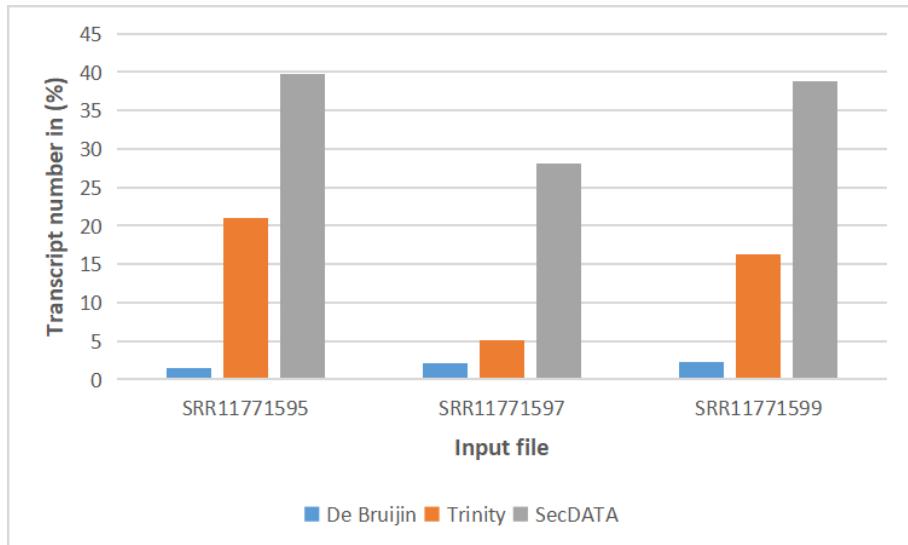
contrary, for transcripts obtained by using de Bruijn, the alignment percentage is 21.22%, 17.13%, and 16.7% respectively for simu\_50000, simu\_100000, and simu\_150000. Figure 6a reveals a similar take-home message from the alignment results obtained by applying the 3 protocols on SRA datasets viz. SRR11771595, SRR11771595, SRR11771595 (figure 6a).

#### 4.3.2. Sequence Alignment using Blat

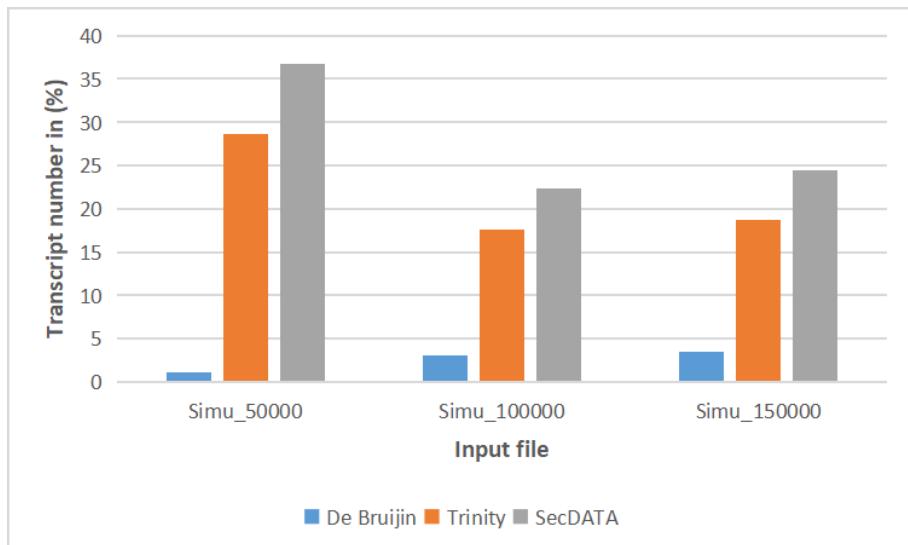
To check the efficiency of these three protocols for the number of true positive outputs, we have compared our results with the output of the reference-based transcript assembly tool using the Blat similarity search tool [42]. In short, we have obtained Human hg38 and Mouse mm10 reference genome and annotation (GTF) files from Gencode ([www.gencodegenes.org](http://www.gencodegenes.org)). This was followed by genome alignment and transcript assembly of the corresponding Human and Mouse SRA and simulated files with Hisat2[43] and Stringtie[43] tools respectively. Considering these detected transcript sequences as a reference, BLAT was run with the output of de novo assembled sequences. Figure 7 shows the Blat results (expressed in terms of alignment percentage based on the difference between expected and actual output) obtained on outputs from de Bruijn, Trinity and SecDATA applied on both the SRA (Figure 7a) and simulated datasets (Figure 7b). We have considered no gap here i.e. each transcript matches without any gap to the reference transcript file. At this stage, a significant difference is observed in the alignment percentage obtained on outputs from all the 3 protocols. SecDATA outperforms both Trinity and de Bruijn.

## Conclusion

In this paper, we presented a novel protocol *SecDATA* for de novo transcript assembly from RNA-seq data. The novelty of this work relies on (i) secured input data access and storage of the output and (ii) efficient de novo transcript assembly using optimized DAG. Here, we have introduced a blockchain-based secure data access and storage technique that mitigates the reliability issue of the input dataset to be used for transcript assembly. For data security, we have used a POW algorithm to verify the creation of a false block in a network. For de novo transcript assembly, we generated the k-mers using spark and then created as well as traversed the DAG to build the transcripts. Here, we have used an adaptive DFS to traverse the DAG. Further, we have determined the optimal length of each transcript.



(a) Blat alignment applied on SRA datasets



(b) Blat alignment applied on simulated datasets

Figure 7: Blat alignment result obtained on outputs of de Bruijn, Trinity, and SecDATA

Our protocol outperforms other state-of-the-art transcript assembly protocols -Trinity and de Bruijn in terms of the output i.e. number of true positive transcripts. We plan to implement *SecDATA* in a cloud environment so that it can be accessed as a service in the future.

*SecDATA* is available at <https://github.com/sudipmondalcse/SecDATA>.

## Conflicts of Interest

The authors declare that they have no conflicts to disclose.

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