

1 **Polyethylene glycol (PEG)-associated immune responses triggered by clinically  
2 relevant lipid nanoparticles**

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22 **Abstract**

23 Polyethylene glycol (PEG)-conjugated lipid significantly contributed to the success of three  
24 approved lipid nanoparticles (LNP)-delivered therapeutics, including two COVID-19 mRNA  
25 vaccines. With the large-scale vaccination of mRNA vaccines, it has become an imminent task to  
26 elucidate the possible PEG-associated immune responses induced by clinically relevant LNP. Up  
27 to date there are only four small-scale population-based studies emphasizing the changes of PEG-  
28 specific antibodies upon injection of mRNA vaccines. However, inconsistent data were obtained  
29 due to significant person-to-person and study-to-study variabilities. To clarify the PEG-associated  
30 immune responses triggered by clinically relevant LNP in a model system with least "noise", we  
31 initiated an animal study using the PEGylated LNP of BNT162b2 (with the largest number of  
32 recipients) as a representative LNP and simulated the clinical practice. Through designing a series  
33 of time points and three doses correlated with the PEG exposure amount contained in three  
34 approved LNP-based drugs, we demonstrated for the first time that generation and changes of  
35 anti-PEG IgM and IgG were time- and dose-dependent. Unexpectedly, we found that unlike other  
36 thymus-independent antigens (TI-Ag), PEGylated LNP not only induced isotype switch and  
37 production of anti-PEG IgG, but caused immune memory, leading to rapid enhancement and  
38 longer lasting time of both anti-PEG IgM and IgG upon repeated injection. Importantly,  
39 pharmacokinetic studies discovered that initial injection of PEGylated LNP accelerated the blood  
40 clearance of subsequently injected LNP. These findings refine our understandings on PEGylated  
41 LNP and possibly other PEG derivatives, and may lead to optimization of premarket guidelines  
42 and clinical practice of PEGylated LNP-delivered therapeutics.

43

## 44 Introduction

45 Development of nucleic acid therapeutics has been restricted by the intrinsic defects of  
46 nucleic acids such as poor stability, immunogenicity and low penetration capability through cell  
47 membranes. Therefore, delivery platform possessing high stability, good targeting affinity and  
48 strong cellular internalization are urgently needed for therapeutic nucleic acids (1). Among  
49 various delivery systems for nucleic acids, lipid nanoparticles (LNP), which have four  
50 components including ionizable cationic lipid, cholesterol, 1,2-distearoyl-sn-glycero-3-  
51 phosphocholine (DSPC) and polyethylene glycol (PEG)-conjugated lipid (2), are of greatest  
52 attention due to unique advantages such as simple formulation, good biocompatibility, large  
53 payload and low toxic side effects (3). So far, there are three FDA-approved nucleic acid drugs  
54 using LNP as delivery vectors, namely Onpattro® (Patisiran, approved on August 10, 2018, an  
55 siRNA drug), the first COVID-19 mRNA vaccine Comirnaty® (BNT162b2, emergency use  
56 authorization approved on December 2, 2020) and the second COVID-19 mRNA vaccine  
57 Spikevax® (mRNA-1273, emergency use authorization approved on December 18, 2020) (4).

58 It has been demonstrated that modification of therapeutics with PEG, so called "PEGylation",  
59 has multiple advantages such as increasing drug solubility and stability, reducing unfavorable  
60 immunogenicity and extending drug half-life (5). Indeed, as the first approved vaccine using PEG  
61 as an excipient, its PEG-conjugated lipid (ALC-0159) plays critical roles in improving the  
62 stability and prolonging blood circulation of LNP, which has significantly contributed to the  
63 overwhelming success of BNT162b2 in clinical trials (6). There used to be a general  
64 perception that PEG and its derivatives were nonimmunogenic. However, since anti-PEG IgM  
65 was first detected in rabbits immunized with PEGylated ovalbumin in 1983 (7), an expanding  
66 body of evidence has revealed that some PEG derivatives could elicit PEG-specific antibodies (8-  
67 10). Subsequently, anti-PEG antibodies may form "antigen-antibody" complexes with newly  
68 injected PEGylated agents. As a result, the immune complexes may be cleared by macrophage Fc  
69 receptor-mediated and complement receptor-mediated phagocytosis, leading to changes in the  
70 pharmacokinetics of newly injected PEGylated therapeutics and reduction of the drug efficacy (8-  
71 11). For instance, Dr. Roffler first demonstrated that anti-PEG IgM quickly cleared PEG-modified  
72 proteins from the blood in mice in 1999 (12). Later, Ishida T *et al.* proved that anti-PEG IgM  
73 elicited by an initial exposure to PEGylated liposomes triggered the accelerated blood clearance  
74 (ABC phenomenon) of subsequently administrated liposomes in rats *via* activation of the  
75 complement system (13). There are even clinical investigations demonstrating accelerated  
76 clearance of drugs triggered by anti-PEG antibodies and reduction of therapeutic efficacy (14, 15).

77 With the large-scale vaccination of mRNA vaccine and the development of therapeutics  
78 using LNP as carriers, it has become an imminent task to elucidate the potential PEG-associated  
79 immunological effects induced by clinically relevant LNP. However, up to date there are only  
80 four related literatures, all of which are recent clinical observations including three reports based  
81 on mRNA vaccines: Alnylam Pharmaceuticals Inc. reported that anti-PEG IgM and IgG were  
82 induced in 3.4% of subjects (5 out of 145 patients) who received Patisiran in 2019 (16); Kent *et al.*

83 reported on June 27, 2022 that COVID-19 mRNA vaccines boosted the serum anti-PEG antibody  
84 levels in Australian recipients, with anti-PEG IgM boosted a mean of 2.64 folds and anti-PEG  
85 IgG boosted a mean of 1.78 folds following BNT162b2 vaccination (n=55), as well as anti-PEG  
86 IgM boosted a mean of 68.5 folds and anti-PEG IgG boosted a mean of 13.1 folds following  
87 mRNA-1273 vaccination (n=20) (17); Calzolai *et al.* from Joint Research Centre in Italy reported  
88 a significant increase in anti-PEG IgM level after the first injection of BNT162b2 and the third  
89 injection of BNT162b2 or mRNA-1273, while no boosting effect was observed on anti-PEG IgG  
90 after injection with either vaccine on August 9, 2022 (18); Krammer *et al* reported different  
91 response on induction of PEG-specific antibodies with a very small size of recipients in USA  
92 received either BNT162b2 or mRNA-1273 vaccination (n=10) on September 14, 2022 (19). It is  
93 noteworthy that as stated by the authors, pre-existing antibodies, small population sizes and  
94 inevitable interference due to exposure to PEG-containing substances other than vaccines after  
95 immunization may compromise the accuracy of clinical data, which may be responsible for the  
96 inconsistent results (17, 19). Moreover, the amount of mPEG2000 contained in each single  
97 injection varies significantly among the three FDA-approved LNP-delivered therapeutics (20-22).  
98 For instance, mPEG2000 contained in each injection of ONPATTRO is as high as 262 times of  
99 that in BNT162b2 (20), which has raised our concern on the potential impact of exposure amount  
100 over PEG-associated immunological effect induced by LNP. Another important aspect is that as  
101 the first vaccine using PEG as an excipient and LNP as a carrier, the *in vivo* pharmacokinetics of  
102 COVID-19 mRNA vaccine might differ from all other vaccines previously approved for clinical  
103 use, considering that the *in vivo* process of mRNA vaccine is mainly determined by its LNP  
104 vector (21). Unfortunately, until now there is still a lack of pharmacokinetic data of both  
105 BNT162b2 and mRNA-1273.

106 Motivated by these questions, we herein successfully synthesized the PEGylated LNP of  
107 BNT162b2, the most widely used LNP-delivered therapeutic, as a model LNP. DiR-labeled LNP  
108 (DiR@LNP) and DiR-labeled LNP encapsulating mRNA encoding the firefly luciferase (DiR-  
109 LU@LNP) were also prepared for visualization and *in vivo* quantitative studies. A Wistar rat  
110 model was selected, in order to take the advantage of well controlled animal studies to eliminate  
111 undesired exposure to PEG and its derivatives other than PEGylated LNP. Through simulating the  
112 clinical application of BNT162b2, *e.g.* two intramuscular injections with an interval of 21 days,  
113 we carefully characterized the model LNP in inducing PEG-associated immunological effects, *e.g.*  
114 dynamic changes in the subtypes and levels of anti-PEG antibodies. Importantly, three clinically  
115 relevant doses covering the whole range of PEG contained in a single injection of three FDA-  
116 approved LNP-delivered therapeutics, were delicately designed and studied, in order to assess the  
117 impact of PEG exposure amount on induction of anti-PEG antibodies. Moreover, potential  
118 pharmacokinetic changes caused by anti-PEG antibodies following repeated injection of  
119 PEGylated LNP were explored for the first time.

120

## 121 **Results**

122 **Successful synthesis and physiochemical characterization of PEGylated LNP, DiR-LNP and**  
123 **DiR-LU@LNP**

124 As shown in Fig. 1A and Fig. 1B, as well as described in “Methods”, LNP, DiR-LNP and  
125 DiR-LU@LNP were prepared by mixing of the ethanol phase (ALC-0315, DSPC, cholesterol and  
126 ALC-0159 in ethanol, with or without DiR) and the aqueous phase (citrate buffer with or without  
127 firefly luciferase mRNA) through a microfluidic mixing device. The obtained LNP formulations  
128 were first examined with Cryo-TEM, in which both LNP and DiR-LNP were hollow spheres,  
129 while DiR-LU@LNP had a typical electron-dense core structure containing mRNA (Fig. 1C).  
130 Next, LNP formulations were characterized with their Z-average (in neutral PBS), PDI (in neutral  
131 PBS) and surface Zeta potential (in ultrapure water) using DLS. As shown in Fig. 2A-2B and  
132 table S1, the Z-average/PDI/Zeta potential of LNP, DiR-LNP and DiR-LU@LNP were  $110.400 \pm$   
133  $3.466 \text{ nm}/0.203 \pm 0.012/16.733 \pm 0.451 \text{ mV}$ ,  $113.067 \pm 2.139 \text{ nm}/0.183 \pm 0.013/7.257 \pm 0.168$   
134  $\text{mV}$  and  $101.367 \pm 2.593 \text{ nm}/0.197 \pm 0.015/-5.943 \pm 0.129 \text{ mV}$ , respectively. These data  
135 demonstrate that all three types of LNP formulations have favorable particle diameter (around  
136 100-110 nm), highly monodisperse particle-size distribution (PDI<0.3), and weak surface charge  
137 (-5.943 mV~+ 16.733 mV) (23, 24).

138 As depicted in Fig. 2C and fig. S1, the Z-average/PDI of LNP formulations at four time  
139 points were as follows: LNP,  $140.533 \pm 2.768 \text{ nm}/0.264 \pm 0.012$ ,  $138.600 \pm 0.100 \text{ nm}/0.274 \pm$   
140  $0.005$ ,  $138.200 \pm 0.954 \text{ nm}/0.287 \pm 0.013$  and  $141.867 \pm 2.631 \text{ nm}/0.287 \pm 0.016$  (Fig. 2C and fig.  
141 S1); DiR-LNP,  $104.300 \pm 0.458 \text{ nm}/0.285 \pm 0.014$ ,  $105.733 \pm 0.503 \text{ nm}/0.282 \pm 0.010$ ,  $107.267 \pm$   
142  $1.940 \text{ nm}/0.291 \pm 0.013$  and  $117.200 \pm 1.277 \text{ nm}/0.392 \pm 0.020$  (Fig. 2D and fig. S2); DiR-  
143 LU@LNP,  $135.067 \pm 1.550 \text{ nm}/0.240 \pm 0.003$ ,  $133.867 \pm 0.058 \text{ nm}/0.251 \pm 0.001$ ,  $132.667 \pm$   
144  $2.023 \text{ nm}/0.246 \pm 0.006$  and  $134.133 \pm 1.222 \text{ nm}/0.252 \pm 0.006$  (Fig. 2E and fig. S3). These data  
145 suggest that LNP, DiR-LNP and DiR-LU@LNP nanoparticles have relatively stable particle sizes  
146 and stay monodisperse *in vivo*.

147 Moreover, as the phospholipid component in LNP is commonly used for determining the  
148 amount of whole nanoparticles (25, 26), the standard curves of phospholipid (DSPC) in LNP,  
149 DiR-LNP and DiR-LU@LNP were respectively drawn and the following equations were obtained,  
150 in which y represents absorbance measured at 470 nm and x represents phospholipid  
151 concentration:  $y=0.0077x+0.0098$  ( $R^2=0.9914$ ; Fig. 2F);  $y=0.0076x+0.0244$  ( $R^2=0.9909$ ; Fig. 2G);  
152  $y=0.0071x+0.0284$  ( $R^2=0.9841$ ; Fig. 2H). These equations were used for subsequent calculation  
153 of three clinically relevant doses of LNP including low dose (L-LNP, 0.009 mg phospholipids/kg),  
154 middle dose (M-LNP, 0.342 mg phospholipids/kg) and high dose (H-LNP, 2.358 mg  
155 phospholipids/kg) (see “Methods”).

156  
157 **Time- and dose-dependent induction of anti-PEG IgM antibody by PEGylated LNP**

158 As shown in the schematic illustration (Fig. 3A), Wistar rats were respectively administered  
159 with two intramuscular injections of LNP at above-mentioned three doses on Day 0 and Day 21  
160 (simulating the clinical schedule of BNT162b2). Subsequently, serum samples were collected at

161 12 designated time points (Day 0, 3, 5, 7, 14, 21, 24, 26, 28, 35, 42 and 49) and examined for the  
162 presence and level of anti-PEG IgM with ELISA. The obtained data were summarized in Fig. 3B,  
163 with statistical analysis conducted among control, L-LNP, M-LNP and H-LNP groups for each  
164 time point.

165 Our data indicated that anti-PEG IgM was initially detected in L-LNP group on Day 3 after  
166 the first injection. Impressively, although the serum anti-PEG IgM was not detectable until Day 5  
167 after the first injection of M-LNP and H-LNP, the initial antibody levels induced by these two  
168 LNP doses were significantly higher than that induced by L-LNP ( $P<0.001$ , L-LNP vs M-LNP;  
169  $P<0.0001$ , L-LNP vs H-LNP). Another finding is that during the first injection cycle (Day 0~21),  
170 L-LNP transiently induced anti-PEG IgM only detectable on Day 3 and Day 5, while M-LNP and  
171 H-LNP induced more persistent and higher levels of anti-PEG IgM detectable on Day 5, 7, 14 and  
172 21. These data suggest that an initial single injection of PEGylated LNP induced both time- and  
173 dose-dependent induction of anti-PEG IgM. Interestingly, anti-PEG IgM was detected at more  
174 time points for all LNP doses after the second injection. For instance, there were 4 anti-PEG IgM-  
175 detectable time points (Day 24, 26, 28 and 35) in LNP group after the second injection, while  
176 there were only 2 anti-PEG IgM-detectable time points (Day 3 and Day 5) during the first  
177 injection cycle. M-LNP and H-LNP even constantly induced anti-PEG IgM throughout the whole  
178 second injection cycle (Day 21~42) and the extension period (Day 42~49). In addition, there was  
179 statistical significance among different groups/doses on the level of anti-PEG IgM, which was  
180 ranked as follows: H-LNP>M-LNP>L-LNP at all detectable time points including Day 24, 26, 28  
181 and 35. These data provided additional evidence for the dose- and time-dependency of anti-PEG  
182 IgM induced by PEGylated LNP. Further calculation and comparison showed that the peak levels  
183 of anti-PEG IgM induced by the second injection of LNP were higher than those induced by the  
184 first injection at the same dose: L-LNP, 2.374 on Day 28 vs 1.996 on Day 5; M-LNP, 3.692 on  
185 Day 26 vs 2.704 on Day 5; H-LNP, 4.262 on Day 26 vs 2.492 on Day 5. Herein,  
186 we would like to emphasize that the high ELISA assay precision, as indicated by the very low  
187 variation of standards ( $CV\% = 3.365 \pm 2.934\%$ ) and samples ( $CV\% = 4.342 \pm 5.510\%$ ), as well as  
188 the high average linear regression coefficient of determination of standard curves ( $R^2 = 0.985 \pm$   
189 0.005), demonstrated that our ELISA assays for anti-PEG IgM had good quality control and the  
190 obtained data were reliable (Fig. 3C).

191 As indicated by plotted curves (Fig. 3D), profile analysis found that time-courses of anti-  
192 PEG IgM production between every two groups showed different profiles. Furthermore,  
193 changes over time (12 time points) and differences across groups (3 doses) regarding LNP-  
194 induced anti-PEG IgM were evaluated for statistical significance using linear mixed model  
195 analysis. As indicated by corresponding statistical analysis (Table 1),  $\beta$  for “Group”, which  
196 represented differences on antibody level among various groups at all time points, exhibited  
197 statistical significance between Control vs M-LNP ( $P<0.0001$ ), Control vs H-LNP ( $P=0.0035$ ) and  
198 L-LNP vs M-LNP ( $P=0.0011$ ). Significant differences were also detected with  $\beta$  for “Time”  
199 ( $P=0.0116$ ) and “Time<sup>2</sup>” ( $P<0.0001$ ), both of which represent rate of change in antibody level

200 over time (12 time points). Regarding  $\beta$  for “Group\*Time”, which represented mean differences  
201 in the rate of change in antibody level over time among various groups, we found that compared  
202 with the Control group, both M-LNP and H-LNP groups had faster rates of anti-PEG IgM  
203 production ( $\beta$  for M-LNP\*Time: 0.0238 [0.0167, 0.0308] higher per day,  $\beta$  for H-LNP\*Time: 0.0458 [0.0387, 0.0528] higher per day, both  $P<0.0001$  vs Control\*Time), while the change rate  
204 of L-LNP group was similar to the Control group ( $\beta$  for L-LNP\*Time: 0.0034 [-0.0036, 0.0105]  
205 higher per day,  $P=0.3408$  vs Control\*Time). Particularly, H-LNP group exhibited the fastest rate  
206 in anti-PEG IgM production among the four groups (H-LNP\*Time vs L-LNP\*Time, H-  
207 LNP\*Time vs M-LNP\*Time, M-LNP\*Time vs L-LNP\*Time, all  $P<0.0001$ ). These data have  
208 provided additional evidence for dose- and time- dependent induction of anti-PEG IgM by  
209 PEGylated LNP. Another interesting finding discovered by linear mixed model analysis was the  
210 significant difference on antibody level between the first and second injections ( $\beta$  for “Second  
211 Injection”: 0.9166 [0.7852, 1.0479],  $P<0.0001$  vs First Injection), which coincides with the longer  
212 lasting period and higher level of anti-PEG IgM induced by repeated injection of LNP compared  
213 with the initial injection (Fig.3, B and D).

215

## 216 Time- and dose-dependent induction of anti-PEG IgG antibody by PEGylated LNP

217 Serum samples collected at above-mentioned 12 time points were further examined for the  
218 presence and level of anti-PEG IgG with ELISA. The obtained data were summarized in Fig. 4A,  
219 with a high ELISA assay precision demonstrated by the very low variation of standards  
220 ( $CV\% = 3.472 \pm 3.634\%$ ) and samples ( $CV\% = 4.545 \pm 7.867$ ), as well as the high average linear  
221 regression coefficient of determination of standard curves ( $R^2 = 0.999 \pm 0.001$ ) (Fig. 4B). Different  
222 from the characteristics of LNP in inducing anti-PEG IgM (Fig. 3), no anti-PEG IgG was detected  
223 throughout the first injection cycle in all experimental groups (Day 0~21). These data demonstrate  
224 that an initial single injection of PEGylated LNP, at a broad range of doses tested in this study, did  
225 not induce anti-PEG IgG antibody in Wistar rats. Interestingly, although anti-PEG IgG was still  
226 not detectable after the second injection of L-LNP (Day 21~49), it was clearly induced by a  
227 repeated injection of M-LNP and H-LNP, and constantly existed at all later time points tested  
228 (Day 24~49). Similar to the findings with anti-PEG IgM, anti-PEG IgG levels induced by H-LNP  
229 were significantly higher than those induced by M-LNP at all detectable time points,  
230 demonstrating a dose-dependency on anti-PEG IgG induced by PEGylated LNP. In particular, the  
231 anti-PEG IgG levels increased to the peaks on Day 26 in both M-LNP and H-LNP groups (2.083  
232  $\pm 0.306$  and  $2.547 \pm 0.247$ , respectively, Fig. 4, A and C). Another point worthy of note is that  
233 anti-PEG IgM levels induced by LNP were generally higher than the corresponding values of  
234 anti-PEG IgG.

235 As indicated by plotted curves (Fig. 3C), profile analysis found that time-courses of anti-  
236 PEG IgG production in the control and L-LNP groups had equal levels whereas other  
237 comparisons of time-courses between every two groups showed different profiles. Linear mixed  
238 model analysis was further conducted to evaluate the statistical significance on changes of LNP-

239 induced anti-PEG IgG over time (12 time points) and differences across groups (3 doses). As  
240 indicated by corresponding statistical analysis (Table 2),  $\beta$  for “Group”, which represented  
241 differences on antibody level among various groups at all time points, exhibited statistical  
242 significance between M-LNP vs L-LNP ( $P=0.0195$ ), H-LNP vs L-LNP ( $P=0.0054$ ). Significant  
243 differences were also detected with  $\beta$  for “Time” ( $P=0.0077$ ) and “Time<sup>2</sup>” ( $P=0.0197$ ), both of  
244 which represent rate of change in antibody level over time (12 time points). Regarding  $\beta$  for  
245 “Group\*Time”, which represented mean differences in the rate of change in antibody level over  
246 time among various groups, we found that compared with the Control group, both M-LNP and H-  
247 LNP groups had faster rates of anti-PEG IgG production ( $\beta$  for M-LNP\*Time: 0.0149 [0.0105,  
248 0.0193] higher per day,  $\beta$  for H-LNP\*Time: 0.0244 [0.0200, 0.0288] higher per day, both  
249  $P<0.0001$  vs Control\*Time), while the change rate of L-LNP group was similar to the Control  
250 group ( $\beta$  for L-LNP\*Time: 0.0011 [-0.0033, 0.0054] higher per day,  $P=0.6339$  vs Control\*Time).  
251 Particularly, H-LNP group exhibited the fastest rate in anti-PEG IgG production among the four  
252 groups (H-LNP\*Time vs L-LNP\*Time, H-LNP\*Time vs M-LNP\*Time, M-LNP\*Time vs L-  
253 LNP\*Time, all  $P<0.0001$ ). These data have provided additional evidence for dose- and time-  
254 dependent induction of anti-PEG IgG by PEGylated LNP. Another interesting finding discovered  
255 by linear mixed model analysis was the significant difference on antibody level between the first  
256 and second injections ( $\beta$  for “Second Injection”: 0.6549 [0.5734, 0.7364],  $P<0.0001$  vs First  
257 Injection) (Fig.4, A and C).

258

## 259 Enhanced production of anti-PEG antibodies by previous exposure to PEGylated LNP

260 To evaluate the potential influence of previous exposure to PEGylated LNP on the  
261 production of anti-PEG IgM and IgG antibodies after subsequent exposure to same LNP,  
262 increased anti-PEG IgM ( $\blacktriangle$ Anti-PEG IgM ( $\log_{10}$  CONC)) and increased anti-PEG IgG  
263 production ( $\blacktriangle$ Anti-PEG IgG ( $\log_{10}$  CONC)) were respectively calculated by subtracting  $\log_{10}$ -  
264 transformed anti-PEG antibody concentration determined by quantitative ELISA assay after first  
265 injection (Anti-PEG IgM ( $\log_{10}$  CONC<sub>1st injection</sub>) or Anti-PEG IgG ( $\log_{10}$  CONC<sub>1st injection</sub>)) from  
266  $\log_{10}$ -transformed antibody concentration determined after the second injection (Anti-PEG IgM  
267 ( $\log_{10}$  CONC<sub>2nd injection</sub>) or Anti-PEG IgG ( $\log_{10}$  CONC<sub>2nd injection</sub>)) at corresponding 3 doses and 6  
268 time points (Day 0, 3, 5, 7, 14 and 21). The obtained data were summarized in Fig. 5 (A and B for  
269  $\blacktriangle$ Anti-PEG IgM ( $\log_{10}$  CONC), C and D for  $\blacktriangle$ Anti-PEG IgG ( $\log_{10}$  CONC)). As introduced in  
270 Fig. 5B, profile analysis found that time-courses of enhanced anti-PEG IgM production ( $\blacktriangle$ Anti-  
271 PEG IgM ( $\log_{10}$  CONC)) between every two groups showed different profiles.

272 As indicated in Fig. 5A, although  $\blacktriangle$ Anti-PEG IgM ( $\log_{10}$  CONC) was not detectable until  
273 Day 5 in L-LNP group, increased anti-PEG IgM production was observed at all the time points in  
274 both M-LNP and H-LNP groups. Moreover, two sequential injections of L-LNP only induced  
275 transient  $\blacktriangle$ Anti-PEG IgM ( $\log_{10}$  CONC) detectable on Day 5, 7 and 14, while those of M-LNP  
276 and L-LNP induced more persistent and higher level of  $\blacktriangle$ Anti-PEG IgM ( $\log_{10}$  CONC)

277 detectable on Day 3, 5, 7, 14 and 21. In particular, the peak levels of  $\Delta$ Anti-PEG IgM ( $\text{Log}_{10}$  CONC) induced by different doses of PEGylated LNP were  $0.654 \pm 0.471$  (L-LNP, Day 7),  $1.574 \pm 0.399$  (M-LNP, Day 3) and  $2.277 \pm 0.410$  (H-LNP, Day 3), respectively (Fig. 5, A and B).  
278 Importantly, by using linear mixed model analysis, we further demonstrated the statistical  
279 significance on changes over 6 time points and differences across groups (3 doses) regarding  
280 LNP-induced  $\Delta$ Anti-PEG IgM ( $\text{Log}_{10}$  CONC) (Fig. 5, A and B; Table 3). For instance, the dose  
281 dependency of enhanced production of anti-PEG IgM was confirmed, as  $\Delta$ Anti-PEG IgM ( $\text{Log}_{10}$  CONC) ranking from high to low was that respectively induced by H-LNP, M-LNP and L-LNP at  
282 all detectable time points, with significant difference among these three groups ( $P < 0.0001$  for M-  
283 LNP vs L-LNP and H-LNP vs L-LNP;  $P = 0.0003$  for H-LNP vs M-LNP). Moreover, rate of  
284 change in  $\Delta$ Anti-PEG IgM ( $\text{Log}_{10}$  CONC) over 6 time points also exhibited significant  
285 differences, with  $P < 0.0001$  for both “Time” and “Time<sup>2</sup>”. Regarding  $\beta$  for “Group\*Time”, which  
286 represented mean differences in the rate of change in  $\Delta$ Anti-PEG IgM ( $\text{Log}_{10}$  CONC) over time  
287 among various groups, we found that compared with the L-LNP group, both M-LNP and H-LNP  
288 groups had faster rates of  $\Delta$ Anti-PEG IgM ( $\text{Log}_{10}$  CONC) ( $P = 0.0138$ , M-LNP\*Time vs L-  
289 LNP\*Time;  $P = 0.0149$ , H-LNP\*Time vs L-LNP\*Time). These data have provided additional  
290 evidence for dose- and time- dependency of  $\Delta$ Anti-PEG IgM ( $\text{Log}_{10}$  CONC) induced by two  
291 sequential injections of PEGylated LNP.  
292

293 Furthermore, quantification of increased anti-PEG IgG production ( $\Delta$ Anti-PEG IgG ( $\text{Log}_{10}$  CONC)) was summarized in Fig. 5C, with time-course profiles of  $\Delta$ Anti-PEG IgG ( $\text{Log}_{10}$  CONC) across four groups plotted in Fig. 5D and linear mixed model analysis summarized in Table 4. As  
294 introduced in Fig. 5D, profile analysis found that time-courses of enhanced anti-PEG IgG  
295 production ( $\Delta$ Anti-PEG IgG ( $\text{Log}_{10}$  CONC)) in the control and L-LNP groups were also  
296 equivalent whereas other comparisons of time-courses between every two groups showed  
297 different profiles. Our data showed that  $\Delta$ Anti-PEG IgG ( $\text{Log}_{10}$  CONC) was not detectable in L-  
298 LNP group at all time points. Nor was it detectable on Day 0 of L-LNP, M-LNP or H-LNP groups.  
299 However,  $\Delta$ Anti-PEG IgG ( $\text{Log}_{10}$  CONC) was detected at all later time points (Day 3, 5, 7, 14  
300 and 21) in both M-LNP and H-LNP groups, with peak levels induced on Day 5 ( $0.888 \pm 0.459$ )  
301 and Day 7 ( $1.354 \pm 0.308$ ), respectively (Fig. 5, A and B). Moreover, statistical significance was  
302 observed between various groups including Control vs M-LNP, Control vs H-LNP, L-LNP vs M-  
303 LNP, L-LNP vs H-LNP and M-LNP vs H-LNP. Consistent with these data, Control and L-LNP  
304 groups exhibited quite similar time-course profile of  $\Delta$ Anti-PEG IgG ( $\text{Log}_{10}$  CONC), whereas  
305 there was significant difference among profiles of Control/L-LNP, M-LNP and H-LNP groups  
306 (Fig. 5B). Indeed, data introduced in Table 4 further confirmed these findings, as  $\Delta$ Anti-PEG IgG  
307 ( $\text{Log}_{10}$  CONC) ranking from high to low was that respectively induced by H-LNP, M-LNP and L-  
308 LNP at all detectable time points, with significant difference among these three groups ( $\beta$  for L-  
309 LNP:  $0.0149$  [-0.1866, 0.2164],  $\beta$  for M-LNP:  $0.5180$  [0.3165, 0.7195];  $\beta$  for H-LNP:  $0.8861$   
310 [0.6846, 1.0876];  $P < 0.0001$ , comparisons between any two groups). Together with the significant  
311

315 differences on rate of change in ▲Anti-PEG IgG ( $\text{Log}_{10}$  CONC) over 6 time points ( $P<0.0001$  for  
316 both “Time” and “Time<sup>2</sup>”), our data clearly demonstrated that initial injection of PEGylated LNP  
317 dose- and time-dependently boosted the generation of anti-PEG IgM and IgG after the second  
318 injection.

319

320 **Dose-dependent biodistribution of PEGylated LNP administered at clinically relevant doses**

321 By using a fluorescence and bioluminescence double-labeling strategy, the biodistribution of  
322 LNP was determined in rats treated with DiR-LU@LNP simulating clinical practice (Fig. 6A).  
323 Consistent with the preclinical biodistribution data published in Assessment Report of  
324 Comirnaty®/BNT162b2 issued by the European Medicines Agency, weak bioluminescence signal  
325 of luciferase was detected in muscle at injection site and liver (fig. S4), demonstrating that DiR-  
326 LU@LNP drained into the liver and delivered active luciferase mRNA. As DiR fluorescence  
327 exhibited significantly higher sensitivity than luciferase bioluminescence (Fig. 6B and fig. S4),  
328 LNP biodistribution was further analyzed based on DiR fluorescence. Our data showed that 6  
329 hours after both the first and second injections, DiR fluorescence was only detectable in muscle at  
330 the injection site in L-LNP group. Upon increase of LNP dose, the fluorescent signal was  
331 significantly enhanced and detected in more organs/tissues (muscle at the injection site, liver and  
332 lung in M-LNP group; muscle at the injection site, liver, lung, spleen and draining lymph node in  
333 H-LNP group). Further analysis indicated that the total radiant efficiency from liver, lung, spleen  
334 and heart exhibited statistical significance between Control vs M-LNP, Control vs H-LNP, L-LNP  
335 vs M-LNP, L-LNP vs H-LNP, and M-LNP vs H-LNP after both the first and second injections.  
336 These findings demonstrate a dose-dependent biodistribution of LNP, with preferential  
337 accumulation in reticuloendothelial system after entering the blood circulation *via* intramuscular  
338 injection (Fig. 6, B and C).

339

340 **Blood clearance of PEGylated LNP administered at three clinically relevant doses  
341 simulating clinical schedule**

342 To explore whether previous exposure to PEGylated LNP would alter the pharmacokinetic of  
343 newly or repeatedly injected LNP, Wistar rats were administered with two intramuscular  
344 injections with DiR-labeled LNP (DiR-LNP) at above-mentioned doses and schedule (Fig. 7A and  
345 “Methods”). After each injection, serum samples were respectively collected at a series of  
346 designated time points including 5 minutes, 30 minutes, 1 hour, 3 hours, 6 hours, 10 hours, 24  
347 hours and 48 hours for further measurement of DiR fluorescence intensity with a SpectraMax®  
348 iD5 multi-mode microplate reader. As shown in Fig. 7B, LNP-associated DiR fluorescence was  
349 not detectable in serums collected from L-LNP group at all time points after both injections,  
350 indicating the extremely low level of LNP in blood circulation of rats administered with low dose  
351 of DiR-LNP. However, significantly increased DiR fluorescence was observed in serums isolated  
352 from M-LNP (at 6 hours and 10 hours) and H-LNP (at 7 sequential time points ranging from 30

353 minutes till 48 hours) groups after an initial exposure to DiR-LNP. These data coincide with the  
354 above-mentioned dose-dependent induction of anti-PEG antibodies by LNP administered at same  
355 clinically relevant doses simulating clinical schedule. Interestingly, compared with the first  
356 injection, DiR fluorescence was detected at less time points in M-LNP (only 6 hours) and H-LNP  
357 (4 sequential time points ranging from 6 hours till 48 hours) groups after the second injection of  
358 DiR-LNP, suggesting faster blood clearance and/or reduced serum level of PEGylated LNP upon  
359 repeated exposures. Indeed, as depicted in Fig. 7C and Fig. 7D, although no statistical difference  
360 on LNP-associated fluorescence intensity was observed between two separate injections in  
361 Control, L-LNP and M-LNP groups, DiR fluorescence was significantly decreased at 30 minutes  
362 ( $P<0.01$ ), 1 hour ( $P<0.05$ ) and 48 hours ( $P<0.05$ ) after repeated injection of high-dose DiR-LNP  
363 in comparison with that after the initial injection. For the first time, these data demonstrate an  
364 accelerated blood clearance phenomenon of clinically relevant PEGylated LNP triggered by  
365 previous exposure to the same LNP.

366

## 367 Discussion

368 PEG is a versatile polymer commonly used as a surfactant, solvent and emulsifying agent in  
369 household chemicals, as an additive in foods, and as either an active composition or an inactive  
370 excipient in medicine (27). Taking the multiple advantages of modifying therapeutics with PEG  
371 (PEGylation), FDA has approved 33 PEGylated agents for a variety of clinical indications such as  
372 metabolic disease, immunological disease, degenerative disease, cancer and infectious diseases  
373 (<https://www.drugs.com>). Although free PEG is poorly immunogenic and doesn't effectively elicit  
374 anti-PEG antibody response, it may acquire immunogenic properties, e.g. inducing anti-PEG  
375 antibodies, upon conjugation with other materials such as proteins and nanocarriers (27, 28).  
376 Therefore, PEG is considered to be a polyvalent hapten (28). Interestingly, a proportion of  
377 individuals who never received PEGylated drugs have anti-PEG antibodies due to environmental  
378 exposure (8). For instance, an epidemiological study based on 1504 healthy Han Chinese donors  
379 residing in Taiwan area of China found that a total of 666 individuals (44.3%) had positive anti-  
380 PEG IgG or IgM, with 25.7%, 27.1%, and 8.4% of the total population having anti-PEG IgG only,  
381 anti-PEG IgM only, and both anti-PEG IgG and IgM, respectively (29). This study also showed  
382 that PEG-specific antibodies were more common in females than in males (32.0% vs 22.2% for  
383 IgM and 28.3% vs 23.0% for IgG), and in young people (up to 60% for 20 years old) as compared  
384 to old people (20% for >50 years old). Another epidemiological study based on 377 healthy human  
385 blood donors in USA found that anti-PEG antibodies were detectable in ~72% of individuals,  
386 with 18%, 25% and 30% of all samples having anti-PEG IgG only, anti-PEG IgM only, and both  
387 anti-PEG IgG and IgM, respectively (30). Importantly, anti-PEG antibodies could form "antigen-  
388 antibody" complexes with newly administered PEGylated nanocarriers/proteins, leading to  
389 biodistribution/pharmacokinetic changes of PEGylated drugs and reduced therapeutic efficacy (8,  
390 27). Moreover, they may induce severe side effects such as hypersensitivity reactions of  
391 PEGylated therapeutics, although the underlying mechanisms have not been fully clarified (27,

392 31).

393 In the past five years, three PEGylated LNP-delivered drugs have been marketed, including  
394 Patisiran, BNT162b2 and mRNA-1273. As the first two vaccines using PEG as an excipient  
395 and/or using LNP as a carrier, BNT162b2 and mRNA-1273 essentially represent a new class of  
396 vaccines, because their biodistribution and pharmacokinetics are mainly determined by the  
397 characteristics of their nanocarrier, e.g. the PEGylated LNP prepared in this study. Unfortunately,  
398 in spite of these significant differences from traditional vaccines, no pharmacokinetic data are  
399 available for either PEGylated LNP or two LNP-delivered mRNA vaccines, as currently these  
400 data are not regularly required by WHO for market approval of intramuscular vaccines (32).  
401 Considering that the worldwide sales volume of BNT162b2 and mRNA-1273 has respectively  
402 reached as huge as >5,341,276,760 and >3,229,743,423 doses (public information from WHO), it  
403 is urgent to reveal the properties of PEGylated LNP in inducing PEG-related immunological  
404 effects, e.g. production of PEG-specific antibodies and subsequent influence on the  
405 pharmacokinetic of newly/repeatedly injected LNP, as these characteristics may directly affect the  
406 immune protective efficacy of both vaccines. Furthermore, clarification of these issues will  
407 provide valuable information for the research and development of a number of vaccine candidates  
408 using PEGylated LNP as a carrier (public information from WHO).

409 Up to date there are four published clinical investigations in total that evaluated the induction  
410 of PEG-specific antibodies by LNP-delivered drugs, including three related with BNT162b2,  
411 mRNA-1273 and mixed use of these two vaccines (16-19). Unfortunately, it is extremely and  
412 practically difficult to obtain reliable data with clinical studies. One major reason is the significant  
413 variability of pre-existing PEG-specific antibodies, leading to unfavorable intervention when  
414 identifying and analyzing antibodies induced by PEGylated LNP. Alnylam Pharmaceuticals Inc.  
415 reported that only two of 224 patients (0.89%) with hereditary transthyretin-mediated (hATTR)  
416 amyloidosis were positive for anti-PEG antibodies at baseline (16), while Kent et al from the  
417 University of Melbourne stated that anti-PEG IgG was commonly detectable (71%) before  
418 vaccination in BNT162b2 and mRNA-1273 cohorts (17). Calzolai et al from Joint Research  
419 Centre in Italy described that anti-PEG IgG was positive before the first vaccine injection in their  
420 cohorts receiving two LNP-based COVID-19 vaccines, with a large person-to-person variability  
421 (18). Another big concern is that additional exposure to PEG derivatives other than PEGylated  
422 LNP may exist during clinical observation period, which may interfere with the immunological  
423 effects induced by injected LNP. In agreement with this concern, Kent et al showed that 5  
424 unvaccinated control donors had increased level of anti-PEG IgG, and 8 control donors had  
425 elevated anti-PEG IgM (17). Moreover, insufficient/very small population size, broad age range,  
426 gender-related influence, significant deviation of time points even within the same cohort, and  
427 crosstalk between BNT162b2 and mRNA-1273 upon mixed use, were additional shortcomings  
428 commonly existed in related literatures. As a result, till now no consistent evidence has been  
429 obtained regarding any characteristic of initial and/or repeated injections of either BNT162b2 or  
430 mRNA-1273 in inducing PEG-specific antibodies. Besides, the fold changes of both anti-PEG

431 IgM and IgG induced by either mRNA vaccine had a very broad range, further demonstrating the  
432 remarkable person-to-person variability in clinical studies.

433 In order to clarify the cause-and-effect relationships of clinically relevant PEGylated LNP on  
434 the induction of PEG-associated immunological effects, we herein initiated the first animal study  
435 by using the PEGylated LNP of BNT162b2, which has the largest number of recipients all over  
436 the world, and simulating its clinical practice as a representative model system. As expected,  
437 neither anti-PEG IgM (Fig. 3, B and D) nor anti-PEG IgG (Fig. 4, A and C) was detected in all  
438 experimental groups on Day 0 before initial injection of PEGylated LNP. Nor did any type of anti-  
439 PEG antibodies exist in control group throughout the whole study period (Day 0-49) (Fig. 3, B  
440 and D; Fig. 4, A and C). These data demonstrate a “clean” background and no additional “cause”  
441 other than injected LNP in our model system. Meanwhile, the shortcomings existed in clinical  
442 studies, e.g. insufficient group size, deviations on time points, as well as age- and gender-  
443 associated interferences, were easily resolved in this study. Encouragingly, through designing a  
444 series of time points and three doses respectively correlated with the amount of PEG contained in  
445 three LNP-based drugs in market (Fig. 3A), we carefully investigated the potential time- and  
446 dose-dependency of clinically relevant LNP in inducing anti-PEG antibodies. Our data clearly  
447 demonstrated that generation and changes of both anti-PEG IgM (Fig. 3, B and D; Table 1) and  
448 anti-PEG IgG (Fig. 4, A and C; Table 2) were time-dependent. In brief, anti-PEG IgM emerged on  
449 Day 3, reached the peak level on Day 5 and then gradually reduced during the first injection cycle  
450 (Day 0~21), followed by a further boosted peak on Day 26-28 after the second injection of LNP  
451 on Day 21 (Fig. 3, B and D; Fig. 5, A and B). Despite of the absence throughout the first injection  
452 cycle (Day 0~21), anti-PEG IgG emerged on Day 24 after the second injection of LNP on Day 21,  
453 and reached its peak on Day 26 (Fig. 4, A and C; Fig. 5, C and D). Meanwhile, utilization of three  
454 doses (1:38:262) essentially simulating the corresponding amount of PEG contained in a single  
455 injection of BNT162b2, mRNA-1273 and Patisiran revealed the dose dependency of LNP in  
456 inducing anti-PEG antibodies. Specifically, the amount of PEGylated LNP injected was positively  
457 correlated with the generation and serum level of anti-PEG antibodies (Fig. 3D and Fig. 4C).

458 Further investigation on the biodistribution of PEGylated LNP demonstrated that in addition  
459 to muscle at the injection site, LNP mainly accumulated in reticuloendothelial system such as  
460 liver, lung, spleen and draining lymph node (Fig. 6, B and C), which is essentially consistent with  
461 the biodistribution data described in the Public Assessment Report of BNT162b2 and mRNA-  
462 1273 (33,34). Importantly, we discovered that initial injection of LNP promoted the blood  
463 clearance of subsequently administered LNP (Fig. 7, C and D). To our best knowledge, this is the  
464 first study on the pharmacokinetics of two LNP-based COVID-19 vaccines or their PEGylated  
465 LNP carriers. It is noteworthy that although previously Alnylam Pharmaceuticals Inc. reported  
466 that ABC phenomenon was absent after repeated injection of Onpattro, all patients with hATTR  
467 amyloidosis in their study received corticosteroid premedication prior to each Onpattro injection  
468 to reduce the risk of infusion-related reactions (16). However, corticosteroid is generally  
469 considered as an immunosuppressive drug and may repress PEG-associated immunological

470 effects including “antigen-antibody” immune complex-mediated ABC phenomenon. Our findings  
471 on the ABC phenomenon of PEGylated LNP, together with further in-depth pharmacokinetic  
472 studies on LNP or LNP-based therapeutics, may lead to optimization of the guidelines/premarket  
473 requirements for research and development of biomedical products using PEGylated LNP as  
474 delivery vectors. For instance, preclinical pharmacokinetic studies might be necessary and  
475 important before market approval of vaccines or other drugs delivered intramuscularly using LNP.

476 Finally, our model system has provided an opportunity to explore the mechanisms mediating  
477 the generation of anti-PEG antibodies induced by clinically relevant PEGylated LNP (fig. S5). It  
478 is well known that non-protein antigens, such as lipids, polysaccharides, and naturally occurring  
479 non-proteinaceous and synthetic polymers, can stimulate antibody response in the absence of T  
480 helper cell and is therefore called thymus-independent antigens or T cell-independent antigens  
481 (TI-Ag) (8, 31). In contrast, T-dependent antigens (TD-Ag) mainly include proteins/peptides that  
482 are taken up by the antigen-presenting cells and presented in the context with major histo-  
483 compatibility complex type 2 (MHC II) to the T helper lymphocytes (8, 31). According to its  
484 chemical nature, PEGylated LNP is similar to PEGylated liposome and belongs to TI-Ag, as it  
485 doesn't contain any proteinaceous composition. Traditionally, there has been a perception that TI-  
486 Ag could not induce isotype switch from IgM to long-lasting IgG, resulting in the production of  
487 IgM only (no or very low level of IgG) after administration of TI-Ag. Moreover, it is generally  
488 believed that TI-Ag is not able to induce a typical recall antibody response, which is also called  
489 immunological memory or B cell memory characterized by an amplified, accelerated and affinity-  
490 matured antibody production after successive exposure to certain antigens such as TD-Ag (35-36).  
491 Consistent with these theories, even six repeated injections of PEGylated liposome (with a seven-  
492 day interval) did not enhance the anti-PEG IgM production in mice, and the anti-PEG IgG level  
493 remained extremely low throughout the study (37). Interestingly, after a thorough literature search,  
494 we found that although three types of TI-Ag, including *B. hermsii* (*Borrelia hermsii*, a relapsing  
495 fever bacterium), NP-Ficoll (4-hydroxy-3-nitrophenylacetyl-Ficoll, a model TI-Ag) and  
496 pneumococcal capsular PS3 (serotype 3 capsular polysaccharide), could induce immune memory  
497 (38-40), previously there is no report on either inducing immune memory or isotype switching  
498 from IgM to IgG by any PEG derivatives belonging to TI-Ag. Herein, unexpectedly we  
499 discovered that different from other PEG derivatives which belong to TI-Ag such as PEGylated  
500 liposome, PEGylated LNP could not only induce isotype switch and subsequent production of  
501 anti-PEG IgG (Fig. 4, A and C), but cause immune memory/B cell memory, leading to rapid  
502 enhancement and longer lasting time of both anti-PEG IgM and IgG upon repeated injection (Fig.  
503 5, Table 3 and Table 4). These findings will refresh our understandings and break our traditional  
504 expectations on PEGylated LNP, and possible other PEG derivatives belonging to TI-Ag.

## 505 506 Materials and Methods

### 507 508 Materials

Cholesterol and DSPC were purchased from Lipoid GMBH (Ludwigshafen, Germany).

509 ALC-0315 and ALC-0159 were acquired from SINOPEG (Xiamen, China). Ferric chloride  
510 hexahydrate, ammonium thiocyanate and polyethylene glycols (PEG<sub>10000</sub>) were obtained from  
511 Sigma-Aldrich (St. Louis, MO, USA). 3-[(3-cholamidopropyl)dimethylammonio]-1-  
512 propanesulfonate (CHAPS), 3,3',5,5'-Tetramethylbenzidine dihydrochloride hydrate (TMB 2HCl)  
513 and nonfat powdered milk were purchased from Beyotime Biotechnology (Shanghai, China).  
514 Maxisorp 96-well microplates were acquired from Nalge-Nunc International (Rochester, NY,  
515 USA). D-Luciferin were purchased from Thermo Fisher Scientific (Waltham, MA, USA). Firefly  
516 luciferase mRNA was obtained from Trilink Biotechnologies (San Diego, CA, USA). Rat anti-  
517 PEG IgM (rAGP6-PABM-A) and rat anti-PEG IgG (r33G-PABG-A) were acquired from  
518 Academia Sinica (Taipei, China). Peroxidase-conjugated affinipure rabbit anti-rat IgM  $\mu$ -chain  
519 specific and peroxidase-conjugated affinipure donkey anti-rat IgG (H+L) were obtained from  
520 Jackson ImmunoResearch Laboratories Inc (West Grove, PA, USA).  
521

## 522 Preparation of LNP, DiR-LNP and DiR-LU@LNP

523 LNP, DiR-LNP and DiR-LU@LNP were formulated according to a previously reported  
524 protocol (27). First, the ethanol phase was prepared by dissolving ALC-0315, DSPC, cholesterol  
525 and ALC-0159 at a molar ratio of 46.3: 9.4: 42.7: 1.6. Specifically, DiR was added into the  
526 ethanol phase at 0.4% mol for preparation of DiR-LNP and DiR-LU@LNP. Regarding the  
527 aqueous phase, it was prepared using 20 mM citrate buffer (pH4.0) for LNP and DiR-LNP  
528 formulations, with additional firefly luciferase mRNA added for DiR-LU@LNP formulation.  
529 Subsequently, the ethanol phase was mixed with the aqueous phase at a flow rate ratio of 1: 3  
530 (ethanol: aqueous) through a microfluidic mixer (Precision Nanosystems Inc., Canada).  
531 Afterwards, the obtained nanoparticle solutions were dialyzed against 10 $\times$ volume of PBS (pH7.4)  
532 through a tangential-flow filtration (TFF) membrane with 100 kD molecular weight cut-off  
533 (Sartorius Stedim Biotech, Germany) for at least 18 hours. Finally, nanoparticle solutions were  
534 concentrated using Amicon ultra-centrifugal filters (EMD Millipore, Billerica, MA, USA), passed  
535 through a 0.22  $\mu$ m filter and stored at 2~8 $^{\circ}$ C until use.  
536

## 537 Characterization of LNP, DiR-LNP and DiR-LU@LNP

538 LNP, DiR-LNP and DiR-LU@LNP were examined for their hydrodynamic size (Z-average),  
539 polydispersity index (PDI) and zeta potential with DLS (Zetasizer Nano ZS, Malvern Instruments  
540 Ltd, Malvern, UK) equipped with a solid state HeNe laser ( $\lambda$ =633 nm) at a scattering angle of  
541 173 $^{\circ}$ . Nanoparticles were either added into PBS (pH7.4) for Z-average and PDI measurements, or  
542 added into ultrapure water for determination of zeta potential. Three independent experiments  
543 were conducted, with each type of LNP examined at 25 $^{\circ}$ C for 10 seconds (pre-equilibration for 2  
544 minutes) and repeated at least 10 times in disposable cuvettes (for Z-average and PDI) or zeta  
545 cuvettes (for zeta potential). The obtained data were presented as “mean  $\pm$  standard deviation”. To  
546 further assess their stability in serum (simulating in vivo environment in this study), LNP, DiR-  
547 LNP and DiR-LU@LNP were diluted to 1:100 with PBS containing 10% rat serum and then

548 incubated at 37°C for 24 hours. Subsequently, 1 mL of diluted LNP, DiR-LNP and DiR-LU@LNP  
549 were respectively collected at designated time points (1 hour, 6 hours, 12 hours and 24 hours post-  
550 incubation), followed by characterization of Z-average and PDI with DLS. Three independent  
551 experiments were conducted, with each type of LNP examined at 37°C for 10 seconds (pre-  
552 equilibration for 2 minutes) and repeated at least 10 times in disposable cuvettes. The obtained  
553 data were presented as “mean  $\pm$  standard deviation”. Furthermore, the morphological  
554 characteristics of LNP, DiR-LNP and DiR-LU@LNP were observed with Cryo-TEM. In brief, 3  
555  $\mu$ L of each LNP sample was deposited onto a holey carbon grid that was glow-discharged  
556 (Quantifoil R1.2/1.3) and vitrified using a Vitrobot Mark IV System (FEI/Thermo Scientific,  
557 Waltham, MA, USA). Cryo-TEM imaging was performed on a Talos F200C device (FEI/Thermo  
558 Scientific, Waltham, MA, USA) equipped with a 4k  $\times$  4k Ceta camera at 200 kV accelerating  
559 voltage in the Center of Cryo-Electron Microscopy, Zhejiang University.

560 In addition, the phospholipid (DSPC) concentrations of LNP, DiR-LNP and DiR-LU@LNP  
561 solutions were quantified via Steward's assay for further calculation of LNP doses (42). Briefly,  
562 ammonium ferrothiocyanate was prepared by dissolving 27.03 mg ferric chloride hexahydrate and  
563 30.4 mg ammonium thiocyanate in 1 mL of distilled water. 10  $\mu$ L of the lipid sample was added to  
564 990  $\mu$ L of chloroform, followed by addition of 1 mL of ammonium ferrothiocyanate. The obtained  
565 mixture was vortexed for 60 seconds and then centrifuged at 1000 rpm for 15 minutes at room  
566 temperature. The bottom chloroform layer was transferred to a glass cuvette and the absorbance  
567 was measured at 470 nm using a Unicam UV500 Spectrophotometer (Thermo electron  
568 corporation, USA). Standard curves for DSPC lipid were obtained and used for calculation of the  
569 phospholipid concentrations of LNP, DiR-LNP and DiR-LU@LNP solutions. Eventually, the  
570 various doses of LNP tested in the animal experiments were calculated based on the phospholipid  
571 (DSPC) exposure amount per dose of related drug (see below for details).

572

### 573 **Determination of LNP dosing protocols**

574 **1) Calculation of mPEG<sub>2000</sub> and phospholipid (DSPC) exposure amount of three FDA-  
575 approved LNP-delivered therapeutics (using 60 kg as the reference body weight of an adult)**

576 *I. BNT162b2:* According to its published formulation and clinical protocols (21), the  
577 mPEG<sub>2000</sub> contained in each dose of BNT162b2 in adults is approximately 0.0406 mg.  
578 Correspondingly, the exposure amount of phospholipid (DSPC) is 0.09 mg per dose of this  
579 mRNA vaccine.

580 *II. mRNA-1273:* According to its published formulation and clinical protocols (22), the  
581 maximum exposure amount of mPEG<sub>2000</sub> is 1.5385 mg per dose of mRNA-1273 in adults, which  
582 is around 38 times that of BNT162b2.

583 *III. Patisiran:* According to its published formulation and clinical protocols (20), the  
584 mPEG<sub>2000</sub> exposure amount is approximately 10.6434 mg per injection of Patisiran in adults,  
585 which is 262 times that of BNT162b2.

586 **2) Conversion of human dosage to equivalent dosage in rat and determination of three**

587 ***clinically relevant LNP doses***

588 According to the animal-human dose exchange algorithm: animal equivalent dose=human  
589 dose  $\times K_m$  ratio (6.2 for rat) (43), three clinically relevant LNP doses for rats were as follows: low  
590 dose (L-LNP), 0.009 mg phospholipid/kg (0.09 mg/60 kg  $\times$  6.2), related with mPEG<sub>2000</sub> exposure  
591 amount in each BNT162b2 injection; middle dose (M-LNP), 0.342 mg phospholipids/kg (0.009  $\times$   
592 38), related with mPEG<sub>2000</sub> exposure amount in each mRNA-1273 injection; high dose (H-LNP),  
593 2.358 mg phospholipids/kg (0.009  $\times$  262), related with mPEG<sub>2000</sub> exposure amount in each  
594 Patisiran injection.

595 ***3) Determination of LNP administration route, frequency and interval***

596 The clinical protocols of BNT162b2 were essentially simulated in this study. That is, LNP was  
597 administrated through intramuscular injection for two separate injections, with a 21-day interval  
598 (same as routine vaccination).

599 **500 Animals**

501 10-12-week-old female Wistar rats were purchased from Hangzhou Medical College  
502 (Hangzhou, China), and maintained in the Laboratory Animal Center of Zhejiang University  
503 under controlled environmental conditions at constant temperature, humidity, and a 12-hour  
504 dark/light cycle. Rats were given ad libitum access to a standard rat chow and water, and were  
505 acclimated for at least 7 days. All animal experiments were approved by the Laboratory Animal  
506 Welfare and Ethnics Committee of Zhejiang University and carried out in accordance with the  
507 guidelines of the committee (approval No. ZJU20210071).

508 **509 Administration of LNP simulating clinical protocols and collection of serum samples for  
510 ELISA**

511 Wistar rats were randomly divided into a Control group (n=8) and three LNP-treated groups  
512 (n=15). At Day 0, LNP-treated groups were intramuscularly injected with 0.009 mg  
513 phospholipids/kg LNP (L-LNP group), 0.342 mg phospholipids/kg LNP (M-LNP group) and  
514 2.358 mg phospholipids/kg LNP (H-LNP group), respectively, while the Control group only  
515 received PBS. At Day 21, rats in each experimental group received same treatment as the initial  
516 injection. Peripheral blood samples of each rat were collected successively via the retro-orbital  
517 venous plexus at Day 0, 3, 5, 7, 14, 21, 24, 26, 28, 35, 42 and 49. All blood samples were  
518 centrifuged at 2000  $\times$  g for 15 minutes at 4 °C, and the serums were immediately stored at -80 °C  
519 for further quantification of anti-PEG antibody.

520 **521 Quantification of anti-PEG IgM and anti-PEG IgG antibodies with ELISA**

522 Maxisorp 96-well microplates were coated with 5  $\mu$ g/well PEG<sub>10000</sub> in 100  $\mu$ L of PBS  
523 overnight at 4 °C. Subsequently, plates were gently washed with 350  $\mu$ L of washing buffer (0.05%  
524 (w/v) CHAPS in DPBS) for three times, followed by incubation with blocking buffer (5% (w/v)  
525 skim milk powder in DPBS, 200  $\mu$ L/well) at room temperature for 1.5 hours. Afterwards, plates

were washed with washing buffer for three times again. Then 100  $\mu$ L of rat serum samples diluted 1: 150 with dilution buffer (2% (w/v) skim milk powder in DPBS), together with serial dilutions of rat anti-PEG IgM and rat anti-PEG IgG standards, were added into anti-PEG IgM and anti-PEG IgG detection plates in duplicate and further incubated for 1 hour at room temperature. After five successive washes, 50  $\mu$ L of diluted peroxidase-conjugated affinipure rabbit anti-rat IgM  $\mu$ -chain specific and peroxidase-conjugated affinipure donkey anti-rat IgG (H+L) antibodies were respectively added to the corresponding plates and incubated for 1 hour at room temperature. Again, unbounded antibodies were removed by five washes, followed by incubation with 100  $\mu$ L of TMB for 30 minutes at room temperature. Finally, HRP-TMB reaction was stopped with 100  $\mu$ L of 2 N H<sub>2</sub>SO<sub>4</sub>, and the absorbance was measured at 450 nm with a microplate reader (Thermo Fisher Scientific, Waltham, MA, USA), using 570 nm as a reference wavelength. Anti-PEG IgM and anti-PEG IgG standard curves were constructed by plotting the average corrected absorbance values (OD<sub>450 nm</sub>-OD<sub>570 nm</sub>) and corresponding antibody concentrations with Origin 2021 software. Concentrations of anti-PEG IgG and IgM antibodies in serum samples were calculated based on the standard curves. In addition, assay precision was determined by calculating the mean Coefficient of Variation (CV%=(Standard deviation/Mean)  $\times$  100%) for all detectable standards and samples in all batches of ELISA.

#### **Biodistribution of PEGylated LNP in major organs of Wistar rats**

Wistar rats were randomly divided into a Control group and three DiR-LU@LNP-treated groups (n=6). At Day 0, LNP-treated groups were intramuscularly injected with 0.009 mg phospholipids/kg DiR-LU@LNP (L-LNP group), 0.342 mg phospholipids/kg DiR-LU@LNP (M-LNP group) and 2.358 mg phospholipids/kg DiR-LU@LNP (H-LNP group), respectively, while the Control group only received PBS. At Day 21, rats in each experimental group received same treatment as the initial injection. Six hours after the first and second injections, three rats in each group were administered intraperitoneally with D-luciferin at a dose of 150 mg/kg. Rats were sacrificed 15 minutes after D-luciferin administration and immediately dissected for collection of several primary organs, including heart, liver, spleen, lung, kidneys, draining lymph node and muscle at the injection site. Whole-organ/tissue imaginings for DiR fluorescence (Excitation/Emission: 748 nm/780 nm) and firefly luciferase bioluminescence were performed with IVIS Spectrum imaging system and analyzed with Living Image software (Caliper Life Sciences, Waltham, Massachusetts, USA). Meanwhile, all organs or tissues were weighed for normalization of the total organ/tissue fluorescence by the organ mass.

#### **Blood clearance of PEGylated LNP in Wistar rats**

Wistar rats were randomly divided into a Control group and three DiR-LNP-treated groups (n=3). At Day 0, LNP-treated groups were intramuscularly injected with 0.009 mg phospholipids/kg DiR-LNP (L-LNP group), 0.342 mg phospholipids/kg DiR-LNP (M-LNP group) and 2.358 mg phospholipids/kg DiR- LNP (H-LNP group), respectively, while the Control group

565 only received PBS. At Day 21, rats in each experimental group received same treatment as the  
566 initial injection. Peripheral blood samples were respectively collected from the retro-orbital  
567 venous plexus at 5 minutes, 30 minutes, 1 hour, 3 hours, 6 hours, 10 hours, 24 hours and 48 hours  
568 after the first and second injections. Then blood samples were centrifuged at 2000×g at 4 °C for 15  
569 minutes, and serum samples were isolated and immediately stored in dark at -80 °C. DiR  
570 fluorescence associated with LNP in serum samples was detected by fluorescent spectroscopy on  
571 a Spectramax ID5 (Molecular Devices, San Jose, California, USA) at excitation/emission  
572 wavelengths of 748/780 nm.

573

## 574 Data presentation and statistical analysis

575 All data were presented as “mean ± standard deviation”. Concentrations of anti-PEG IgM  
576 and anti-PEG IgG were analyzed after  $\log_{10}$  transformation, and their differences among various  
577 groups at each time point were analyzed with Mann-Whitney U test using R 4.0.5 (R Software,  
578 Boston, MA, USA), with *P* values adjusted for FDR (false discovery rate). Changing curves of  
579 average level of anti-PEG antibody over time for various doses were fitted by the R package  
580 called “ggalt”. Profile analysis was performed to examine whether the overall trends of changing  
581 curves of average level of anti-PEG antibody over time between every two groups were equal.  
582 The analysis included two parts: parallel test and coincidence test. Only when the two changing  
583 curves of average level of anti-PEG antibody met both parallel and coincidence test, the overall  
584 trend of the two changing curves of average level of anti-PEG antibody was considered to be no  
585 difference. According to factorial design (group × time) and repeated measures of antibody level,  
586 linear mixed models (LMM) were conducted to compare the change rates and average levels of  
587 anti-PEG antibody across groups, with all time points included. Several variables, including group  
588 (indicating mean differences in the average levels of anti-PEG antibody), time, time<sup>2</sup>, number of  
589 injections, and interaction term of group and time (indicating mean differences in the change rates  
590 of anti-PEG antibody) as fixed effect and subject as random effect were considered in LMM.

591 In addition, ▲Anti-PEG IgM ( $\log_{10}$  CONC) was defined as Anti-PEG IgM ( $\log_{10}$  CONC<sub>2nd</sub>  
592 injection) ( $\log_{10}$ -transformed concentration of anti-PEG IgM induced during the second injection  
593 cycle) subtracting corresponding Anti-PEG IgM ( $\log_{10}$  CONC<sub>1st</sub> injection) ( $\log_{10}$ -transformed  
594 concentrations of anti-PEG IgM induced during the first injection cycle). Similarly, ▲Anti-PEG  
595 IgG ( $\log_{10}$  CONC) was calculated by subtracting Anti-PEG IgG ( $\log_{10}$  CONC<sub>1st</sub> injection) ( $\log_{10}$ -transformed  
596 concentrations of anti-PEG IgG induced during the first injection cycle) from the  
597 corresponding Anti-PEG IgG ( $\log_{10}$  CONC<sub>2nd</sub> injection) ( $\log_{10}$ -transformed concentration of anti-  
598 PEG IgG induced during the second injection cycle). Differences in ▲Anti-PEG IgM ( $\log_{10}$   
599 CONC) or ▲Anti-PEG IgG ( $\log_{10}$  CONC) among various groups at each time point were  
600 analyzed with Mann-Whitney U test using R 4.0.5, with *P* values adjusted for FDR (false  
601 discovery rate). Changing curves of average level of ▲Anti-PEG IgM ( $\log_{10}$  CONC) or ▲Anti-  
602 PEG IgG ( $\log_{10}$  CONC) over time for various doses were fitted by the R package called “ggalt”.  
603 Profile analysis was performed to examine whether the overall trends of changing curves of

704 average level of ▲Anti-PEG IgM ( $\text{Log}_{10}$  CONC) or ▲Anti-PEG IgG ( $\text{Log}_{10}$  CONC) over time  
705 between every two groups were equal. The analysis included two parts: parallel test and  
706 coincidence test. Only when the two changing curves of average level of ▲Anti-PEG IgM ( $\text{Log}_{10}$   
707 CONC) or ▲Anti-PEG IgG ( $\text{Log}_{10}$  CONC) met both parallel and coincidence test, the overall  
708 trend of the two changing curves of average level of ▲Anti-PEG IgM ( $\text{Log}_{10}$  CONC) or ▲Anti-  
709 PEG IgG ( $\text{Log}_{10}$  CONC) was considered to be no difference. According to factorial design (group  
710  $\times$  time) and repeated measures of antibody level, LMM were conducted to compare the change  
711 rates and average levels of ▲Anti-PEG IgM ( $\text{Log}_{10}$  CONC) or ▲Anti-PEG IgG ( $\text{Log}_{10}$  CONC)  
712 across groups, with all time points included. Several variables, including group (indicating mean  
713 differences in the average levels of ▲Anti-PEG IgM ( $\text{Log}_{10}$  CONC) or ▲Anti-PEG IgG ( $\text{Log}_{10}$   
714 CONC)), time, time<sup>2</sup>, and interaction term of group and time (indicating mean differences in the  
715 change rates of ▲Anti-PEG IgM ( $\text{Log}_{10}$  CONC) or ▲Anti-PEG IgG ( $\text{Log}_{10}$  CONC) levels) as  
716 fixed effect and subject as random effect were considered in LMM. Data obtained in the  
717 biodistribution and blood clearance study were analyzed using multiple unpaired *t* tests with  
718 correction for multiple comparisons using Prism 9.2.0 (GraphPad Software, San Diego, USA).  
719 *P*<0.05 was considered statistically significant.

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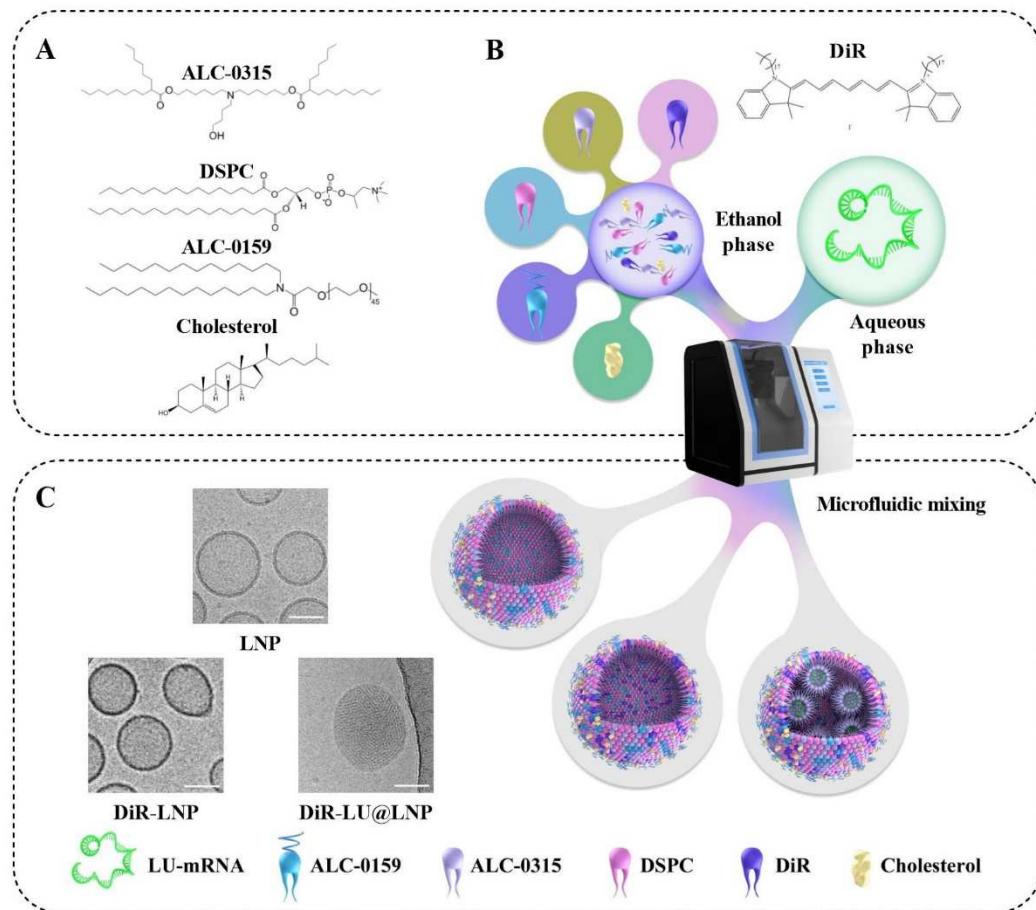
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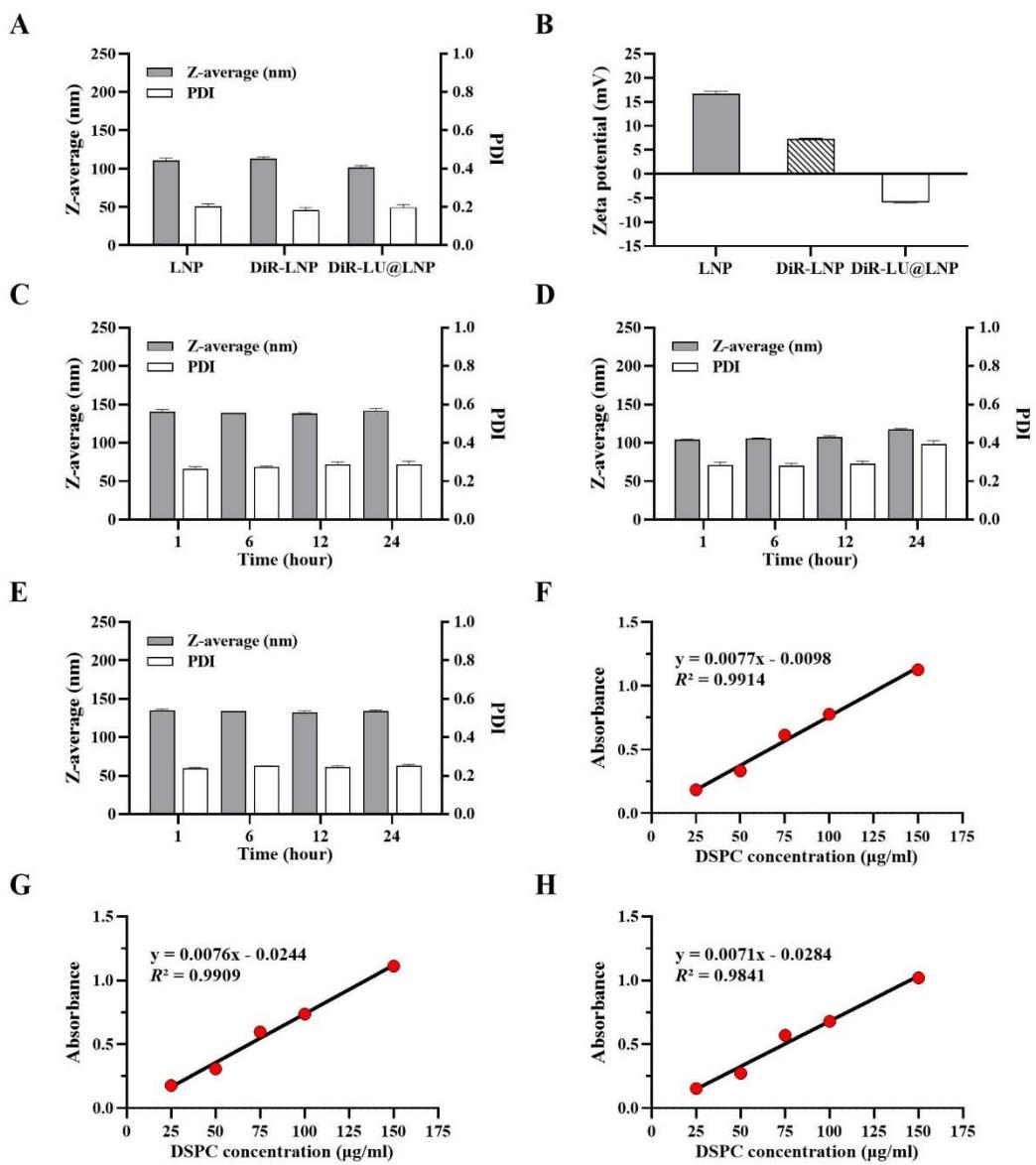
## Figures and Tables



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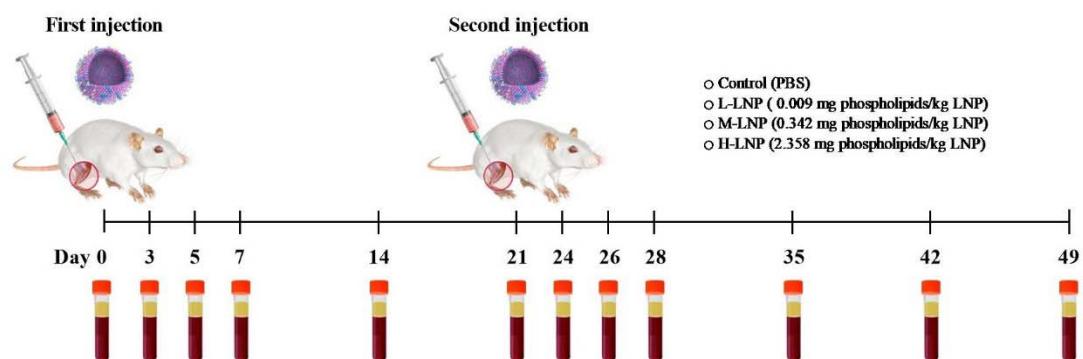
355 **Fig. 1. Preparation of LNP, DiR-LNP and DiR-LU@LNP.** (A) Chemical structures of lipid  
356 compositions in LNP carrier of COVID-19 vaccine BNT162b2. (B) Schematic illustration of the  
357 synthesis of LNP, DiR-LNP and DiR-LU@LNP. Briefly, the ethanol phase was combined with the  
358 aqueous phase at a flow rate ratio of 1: 3 (ethanol: aqueous) through a microfluidic mixing device.  
359 (C) Representative cryogenic transmission electron microscopy (Cryo-TEM) images of LNP,  
360 DiR-LNP and DiR-LU@LNP. Scale bar: 50 nm. LNP, lipid nanoparticles; LU-mRNA: luciferase  
361 mRNA.

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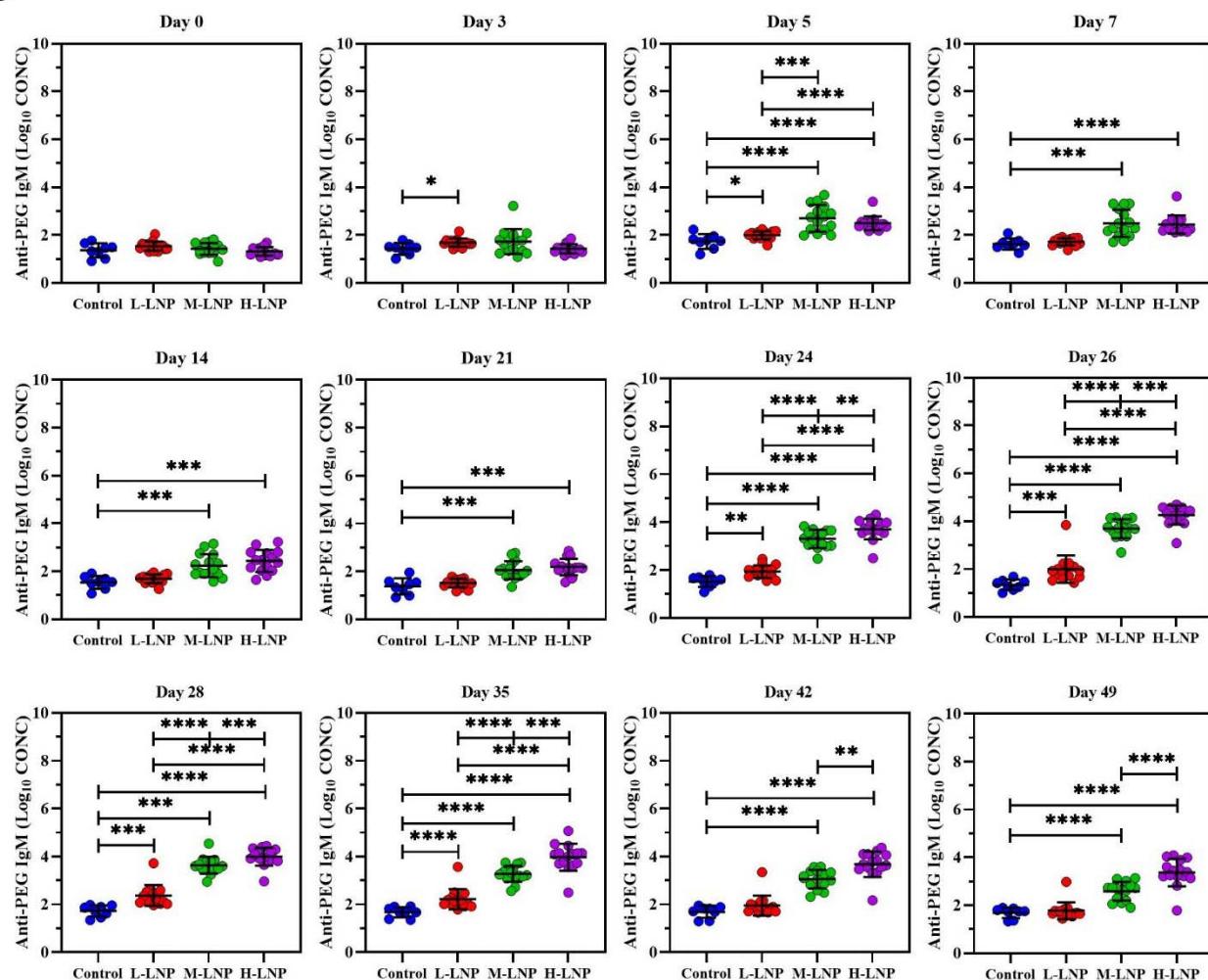


363  
364 **Fig. 2. Characterization of LNP, DiR-LNP and DiR-LU@LNP.** (A) Hydrodynamic size (Z-  
365 average) and polydispersity index (PDI) of LNP, DiR-LNP and DiR-LU@LNP measured by DLS.  
366 (B) Zeta potential of LNP, DiR-LNP and DiR-LU@LNP measured by DLS. (C-E) Stability of (C)  
367 LNP, (D) DiR-LNP and (E) DiR-LU@LNP in serum. LNP, DiR-LNP and DiR-LU@LNP were  
368 diluted to 1:100 with PBS containing 10% rat serum and incubated at 37°C for 24 hours.  
369 Subsequently, 1 mL of diluted LNP, DiR-LNP and DiR-LU@LNP were respectively collected at  
370 designated time points (1 hour, 6 hours, 12 hours and 24 hours), followed by characterization of  
371 Z-average and PDI with dynamic light scattering. (F-H) Standard curves for determining  
372 phospholipid (DSPC) concentration in (F) LNP, (G) DiR-LNP and (H) DiR-LU@LNP solutions.  
373 Data were presented as “mean ± standard deviation” of three independent experiments.  
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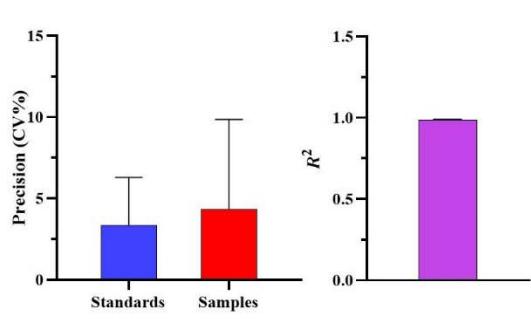
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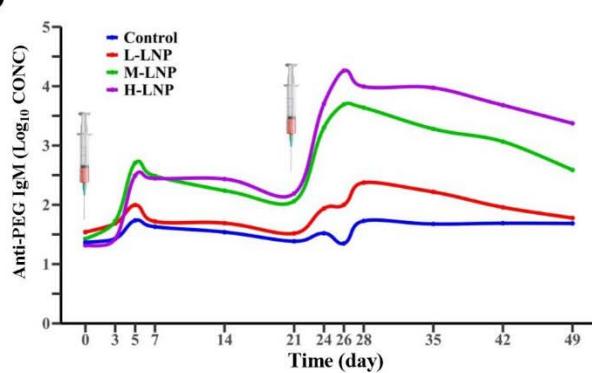
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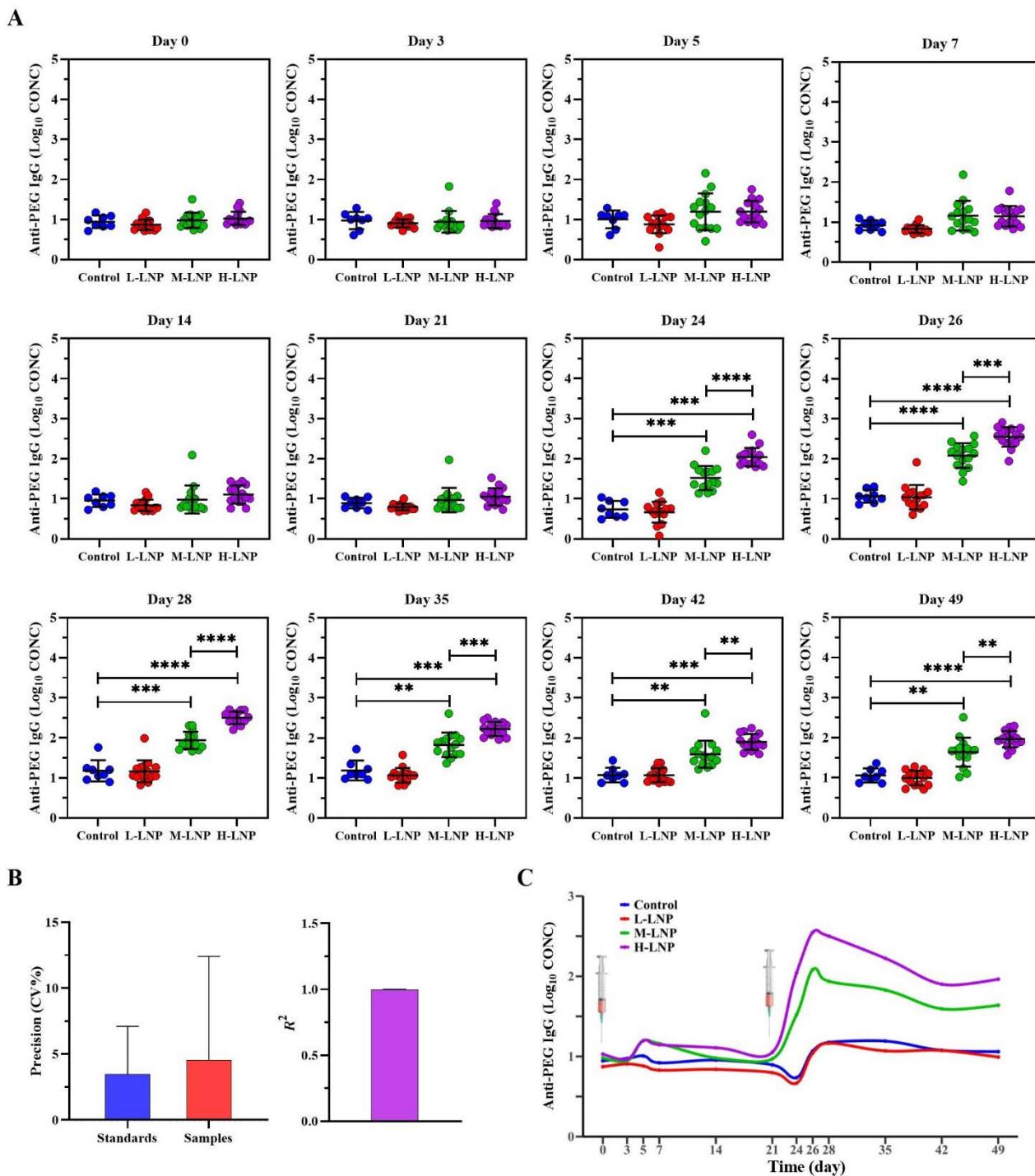


377 **Fig. 3. Experimental design and evaluation of anti-PEG IgM production in rat. (A)**  
378 Schematic illustration of the experimental protocols. Wistar rats were injected intramuscularly  
379 with 0.009 (L-LNP group), 0.342 (M-LNP group) or 2.358 (H-LNP) mg phospholipids/kg LNP on  
380 Day 0 and Day 21, respectively. Rats in the Control group were injected with PBS. Serum  
381 samples were collected at the indicated time points (Day 0, 3, 5, 7, 14, 21, 24, 26, 28, 35, 42 and  
382 49) for further evaluation of the presence and level of anti-PEG antibodies with ELISA. **(B)**  
383 Quantitative analysis of anti-PEG IgM ( $\text{Log}_{10}$  CONC) ( $\text{log}_{10}$ -transformed concentration of anti-  
384 PEG IgM) induced by LNP in rat serum. Data were presented as “mean  $\pm$  standard deviation”,  
385 with  $n=8$  for Control group and  $n=15$  for all LNP-treated groups. Differences in anti-PEG IgM  
386 ( $\text{Log}_{10}$  CONC) among various groups were analyzed using Mann-Whitney U test, with  $P$  values  
387 adjusted for FDR (false discovery rate). \*,  $P<0.05$ ; \*\*,  $P<0.01$ ; \*\*\*,  $P<0.001$ ; \*\*\*\*,  $P<0.0001$ .  
388 **(C)** Excellent quality control of ELISA for determination of anti-PEG IgM. The left image shows  
389 the average precision/CV (coefficient of variation) of standards and samples in ELISA, and the  
390 right image shows the mean linear regression coefficient of determination of the standard curve  
391 for ELISA. **(D)** Time-course of anti-PEG IgM induced by PEGylated LNP. The changing curves  
392 of mean anti-PEG IgM ( $\text{Log}_{10}$  CONC) levels over time were fitted by the R package called  
393 “ggalt”.  
394

**Table 1. Linear mixed model analysis of change in the anti-PEG IgM level after injection of PEGylated LNP over time across groups.**

Variable	Anti-PEG IgM					
	$\beta$ (95% CI)	P	$\beta$ (95% CI)	P	$\beta$ (95% CI)	P
<b>Group</b>						
Control	0 (ref.)	-	-	-	-	-
L-LNP	0.2337 (-0.0351, 0.5025)	0.0915	0 (ref.)	-	-	-
M-LNP	0.6198 (0.3509, 0.8886)	<0.0001	0.3861 (0.1618, 0.6103)	0.0011	0 (ref.)	-
H-LNP	0.4103 (0.1415, 0.6792)	0.0035	0.1767 (-0.0476, 0.4009)	0.1257	-0.2094 (-0.4336, 0.0148)	0.0701
<b>Time</b>	0.0140 (0.0032, 0.0249)	0.0116	-	-	-	-
<b>Time<sup>2</sup></b>	-0.0008 (-0.0009, -0.0006)	<0.0001	-	-	-	-
<b>Group*Time</b>						
Control*Time	0 (ref.)	-	-	-	-	-
L-LNP*Time	0.0034 (-0.0036, 0.0105)	0.3408	0 (ref.)	-	-	-
M-LNP*Time	0.0238 (0.0167, 0.0308)	<0.0001	0.0203 (0.0145, 0.0262)	<0.0001	0 (ref.)	-
H-LNP*Time	0.0458 (0.0387, 0.0528)	<0.0001	0.0424 (0.0365, 0.0482)	<0.0001	0.0220 (0.0161, 0.0279)	<0.0001
<b>Injection</b>						
First	0 (ref.)	-	-	-	-	-
Second	0.9166 (0.7852, 1.0479)	<0.0001	-	-	-	-

Models considered variables including group, time, time<sup>2</sup>, number of injections, and interaction term of group and time as fixed effect and subject as random effect.  $\beta$  for group represents mean differences in antibody levels between groups at all time points.  $\beta$  for time and time<sup>2</sup> represents rate of change in antibody levels over time for the four groups at all time points.  $\beta$  for injection represents mean difference in antibody levels for the four groups at all time points between the first injection and second injection.  $\beta$  for group\*time represents mean differences in the rate of change of antibody levels over time between groups. ref: reference.



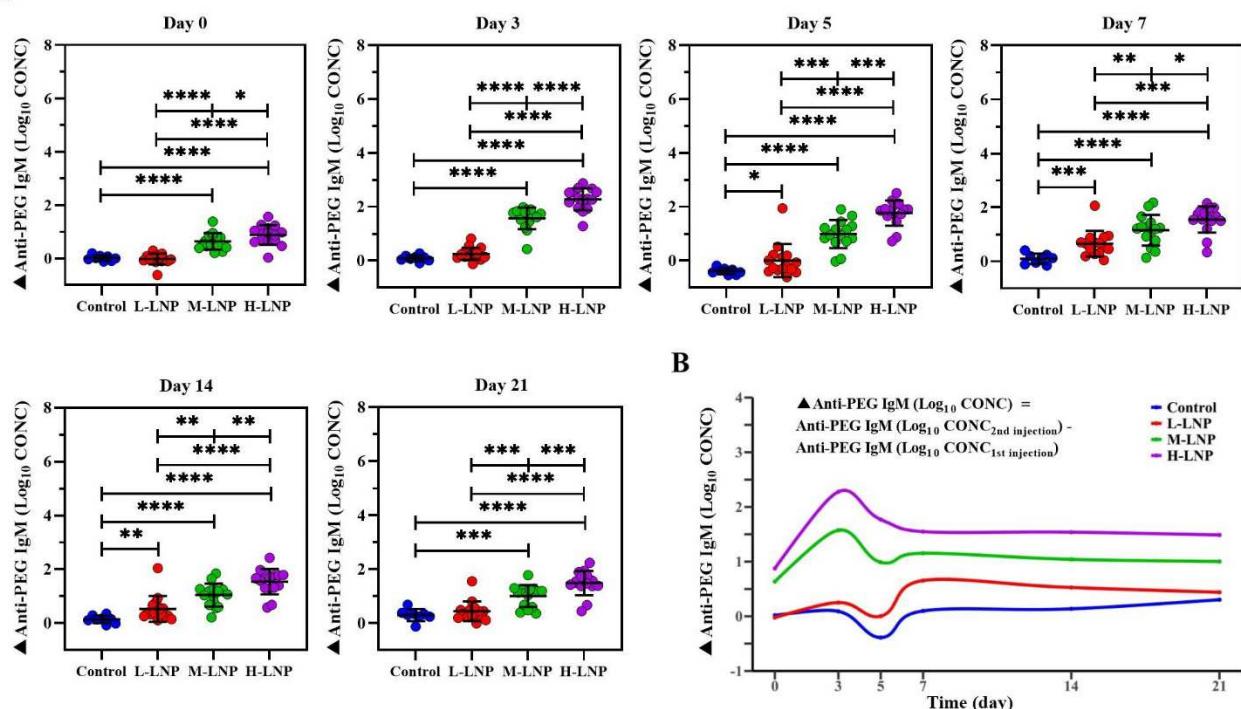
**Fig. 4. Evaluation of anti-PEG IgG production in rat.** (A) Quantitative analysis of anti-PEG IgG ( $\text{Log}_{10}$  CONC) ( $\text{log}_{10}$ -transformed concentration of anti-PEG IgG) induced by LNP in rat serum. Data were presented as “mean  $\pm$  standard deviation”, with  $n=8$  for Control group and  $n=15$  for all LNP-treated groups. Differences in anti-PEG IgG ( $\text{Log}_{10}$  CONC) among various groups were analyzed using Mann-Whitney U test, with  $P$  values adjusted for FDR (false discovery rate). \*,  $P<0.05$ ; \*\*,  $P<0.01$ ; \*\*\*,  $P<0.001$ ; \*\*\*\*,  $P<0.0001$ . (B) Excellent quality control of ELISA for determination of anti-PEG IgG. The left image shows the average precision/CV (coefficient of variation) of standards and samples in ELISA, and the right image shows the mean linear regression coefficient of determination of the standard curve for ELISA. (C) Time-course of anti-PEG IgG induced by PEGylated LNP. The changing curves of mean anti-PEG IgG ( $\text{Log}_{10}$  CONC) levels over time were fitted by the R package called “ggalt”.

**Table 2. Linear mixed model analysis of change in the anti-PEG IgG level after injection of PEGylated LNP over time across groups.**

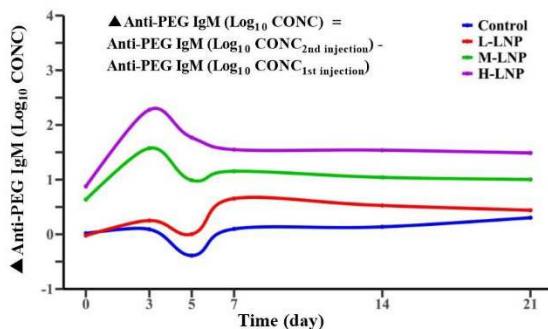
Variable	Anti-PEG IgG					
	$\beta$ (95% CI)	P	$\beta$ (95% CI)	P	$\beta$ (95% CI)	P
<b>Group</b>						
Control	0 (ref.)	-	-	-	-	-
L-LNP	-0.0950 (-0.2748, 0.0848)	0.3033	0 (ref.)	-	-	-
M-LNP	0.0871 (-0.0927, 0.2669)	0.3449	0.1821 (0.0321, 0.332)	0.0195	0 (ref.)	-
H-LNP	0.1230 (-0.0568, 0.3028)	0.1835	0.2179 (0.068, 0.3679)	0.0054	0.0359 (-0.1141, 0.1858)	0.6404
<b>Time</b>	-0.0092 (-0.0159, -0.0024)	0.0077	-	-	-	-
<b>Time<sup>2</sup></b>	-0.0001 (-0.0002, -0.00002)	0.0197	-	-	-	-
<b>Group*Time</b>						
Control*Time	0 (ref.)	-	-	-	-	-
L-LNP*Time	0.0011 (-0.0033, 0.0054)	0.6339	0 (ref.)	-	-	-
M-LNP*Time	0.0149 (0.0105, 0.0193)	< 0.0001	0.0138 (0.0102, 0.0175)	< 0.0001	0 (ref.)	-
H-LNP*Time	0.0244 (0.0200, 0.0288)	< 0.0001	0.0233 (0.0197, 0.027)	< 0.0001	0.0095 (0.0059, 0.0131)	< 0.0001
<b>Injection</b>						
First	0 (ref.)	-	-	-	-	-
Second	0.6549 (0.5734, 0.7364)	< 0.0001	-	-	-	-

Models considered variables including group, time, time<sup>2</sup>, number of injections, and interaction term of group and time as fixed effect and subject as random effect.  $\beta$  for group represents mean differences in antibody levels between groups at all time points.  $\beta$  for time and time<sup>2</sup> represents rate of change in antibody levels over time for the four groups at all time points.  $\beta$  for injection represents mean difference in antibody levels for the four groups at all time points between the first injection and second injection.  $\beta$  for group\*time represents mean differences in the rate of change of antibody levels over time between groups. ref: reference.

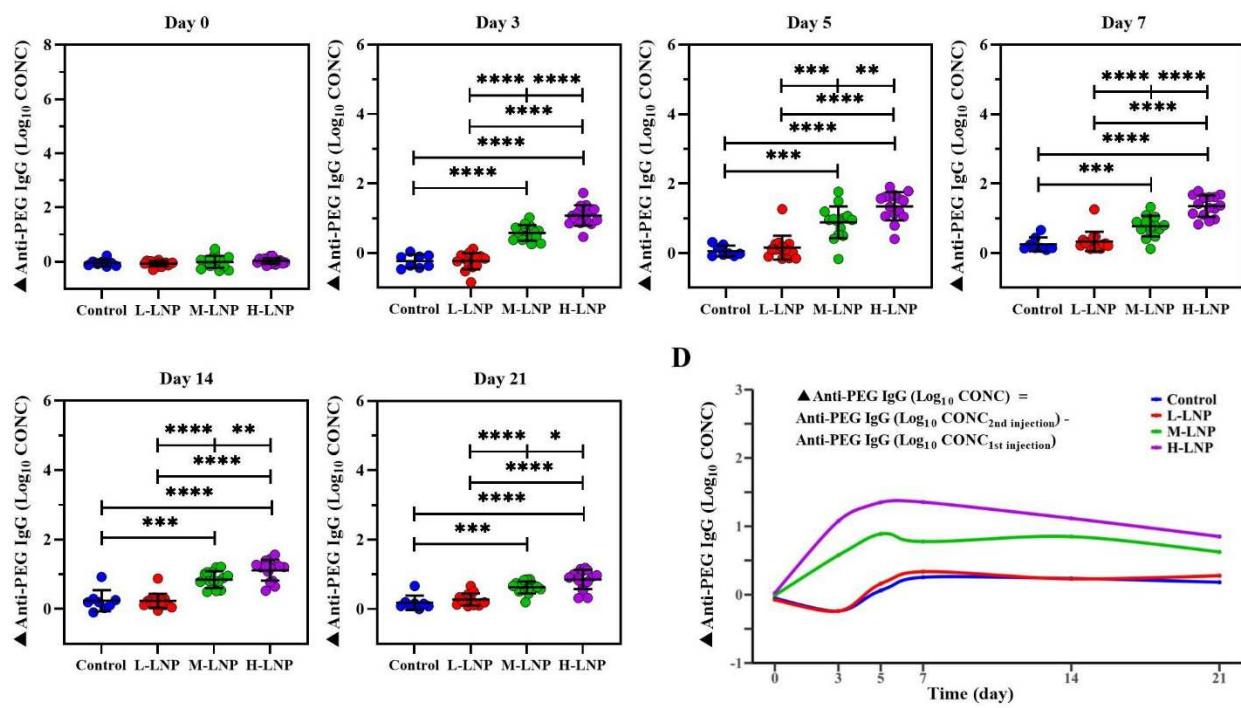
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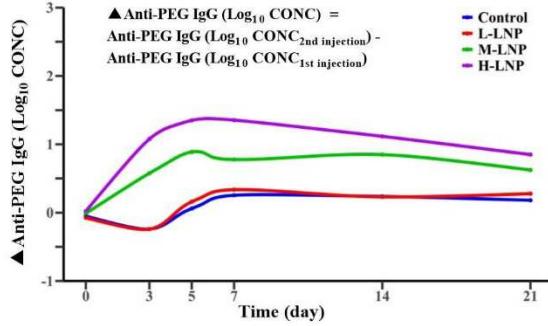
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C



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909 **Fig. 5. Enhanced production of anti-PEG antibodies in rat by repeated administration with**  
 910 **PEGylated LNP. (A)** Enhanced anti-PEG IgM production induced by repeated LNP injection.  
 911 ▲Anti-PEG IgM ( $\text{Log}_{10}$  CONC) means Anti-PEG IgM ( $\text{Log}_{10}$  CONC<sub>2nd injection</sub>) ( $\text{log}_{10}$ -transformed concentration of anti-PEG IgM induced during the second injection cycle) subtracted corresponding Anti-PEG IgM ( $\text{Log}_{10}$  CONC<sub>1st injection</sub>) ( $\text{log}_{10}$ -transformed concentrations of anti-PEG IgM induced during the first injection cycle). **(B)** Time-course of enhanced anti-PEG IgM induced by repeated injection of LNP. **(C)** Enhanced anti-PEG IgG production induced by repeated injection of LNP. ▲Anti-PEG IgG ( $\text{Log}_{10}$  CONC) means Anti-PEG IgG ( $\text{Log}_{10}$  CONC<sub>2nd injection</sub>) ( $\text{log}_{10}$ -transformed concentration of anti-PEG IgG induced during the second injection cycle) subtracted corresponding Anti-PEG IgG ( $\text{Log}_{10}$  CONC<sub>1st injection</sub>) ( $\text{log}_{10}$ -transformed concentrations of anti-PEG IgG induced during the first injection cycle).

919 transformed concentrations of anti-PEG IgG induced during the first injection cycle). **(D)** Time-  
920 course of enhanced anti-PEG IgG induced by repeated injection of LNP. In figure **A** and **C**, data  
921 were presented as “mean  $\pm$  standard deviation”, with n=8 for Control group and n=15 for all LNP-  
922 treated groups. Differences in  $\blacktriangle$ Anti-PEG IgM ( $\text{Log}_{10}$  CONC) or  $\blacktriangle$ Anti-PEG IgG ( $\text{Log}_{10}$  CONC)  
923 among various groups were analyzed using Mann-Whitney U test, with *P* values adjusted for  
924 FDR (false discovery rate). \*, *P*<0.05; \*\*, *P*<0.01; \*\*\*, *P*<0.001; \*\*\*\*, *P*<0.0001. In figure **C**  
925 and **D**, changing curves of average level of  $\blacktriangle$ Anti-PEG IgM ( $\text{Log}_{10}$  CONC) or  $\blacktriangle$ Anti-PEG IgG  
926 ( $\text{Log}_{10}$  CONC) over time for various doses were fitted by the R package called “ggalt”.  
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**Table 3. Linear mixed model analysis of change in the difference of anti-PEG IgM level ( $\Delta$ Anti-PEG IgM (Log<sub>10</sub> CONC)) between two injections of PEGylated LNP over time across groups.**

Variable	$\Delta$ Anti-PEG IgM (Log <sub>10</sub> CONC)					
	$\beta$ (95% CI)	P	$\beta$ (95% CI)	P	$\beta$ (95% CI)	P
<b>Group</b>						
Control	0 (ref.)	-	-	-	-	-
L-LNP	0.2281 (-0.0915, 0.5477)	0.1653	0 (ref.)	-	-	-
M-LNP	1.1623 (0.8427, 1.4819)	< 0.0001	0.9343 (0.6677, 1.2008)	< 0.0001	0 (ref.)	-
H-LNP	1.6775 (1.3579, 1.9971)	< 0.0001	1.4494 (1.1828, 1.716)	< 0.0001	0.5152 (0.2486, 0.7817)	0.0003
<b>Time</b>	0.0725 (0.0441, 0.1009)	< 0.0001	-	-	-	-
<b>Time<sup>2</sup></b>	-0.0026 (-0.0037, -0.0015)	< 0.0001	-	-	-	-
<b>Group*Time</b>						
Control*Time	0 (ref.)	-	-	-	-	-
L-LNP*Time	0.0045 (-0.0156, 0.0247)	0.6596	0 (ref.)	-	-	-
M-LNP*Time	-0.0167 (-0.0369, 0.0034)	0.1048	-0.0213 (-0.0381, -0.0045)	0.0138	0 (ref.)	-
H-LNP*Time	-0.0165 (-0.0367, 0.0037)	0.1099	-0.021 (-0.0379, -0.0042)	0.0149	0.0002 (-0.0166, 0.0171)	0.9775

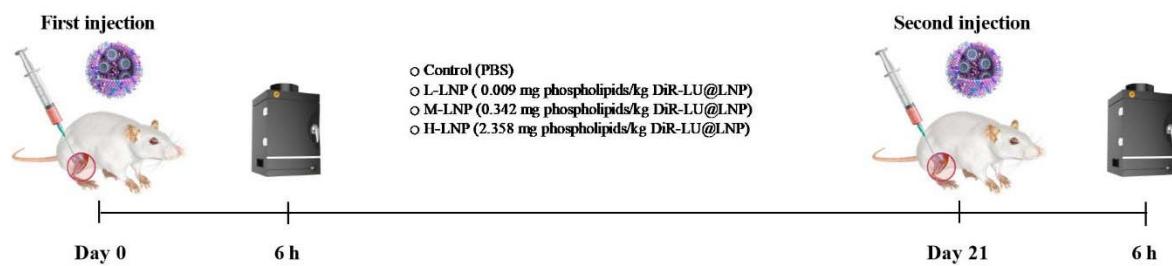
Models considered variables including group, time, time<sup>2</sup>, and interaction term of group and time as fixed effect and subject as random effect.  $\beta$  for group represents mean differences in the average levels of  $\Delta$ Anti-PEG IgM (Log<sub>10</sub> CONC) among groups at all time points.  $\beta$  for time and time<sup>2</sup> represents change rate in  $\Delta$ Anti-PEG IgM (Log<sub>10</sub> CONC) over time for the four groups at all time points.  $\beta$  for group\*time represents mean differences in the change rates of  $\Delta$ Anti-PEG IgM (Log<sub>10</sub> CONC) over time between groups.  $\Delta$ Anti-PEG IgM (Log<sub>10</sub> CONC) was defined as Anti-PEG IgM (Log<sub>10</sub> CONC<sub>2nd injection</sub>) (log<sub>10</sub>-transformed concentration of anti-PEG IgM induced during the second injection cycle) subtracting corresponding Anti-PEG IgM (Log<sub>10</sub> CONC<sub>1st injection</sub>) (log<sub>10</sub>-transformed concentrations of anti-PEG IgM induced during the first injection cycle). ref: reference.

**Table 4. Linear mixed model analysis of change in the difference of anti-PEG IgG level ( $\Delta$ Anti-PEG IgG ( $\log_{10}$  CONC)) between two injections of PEGylated LNP over time across groups.**

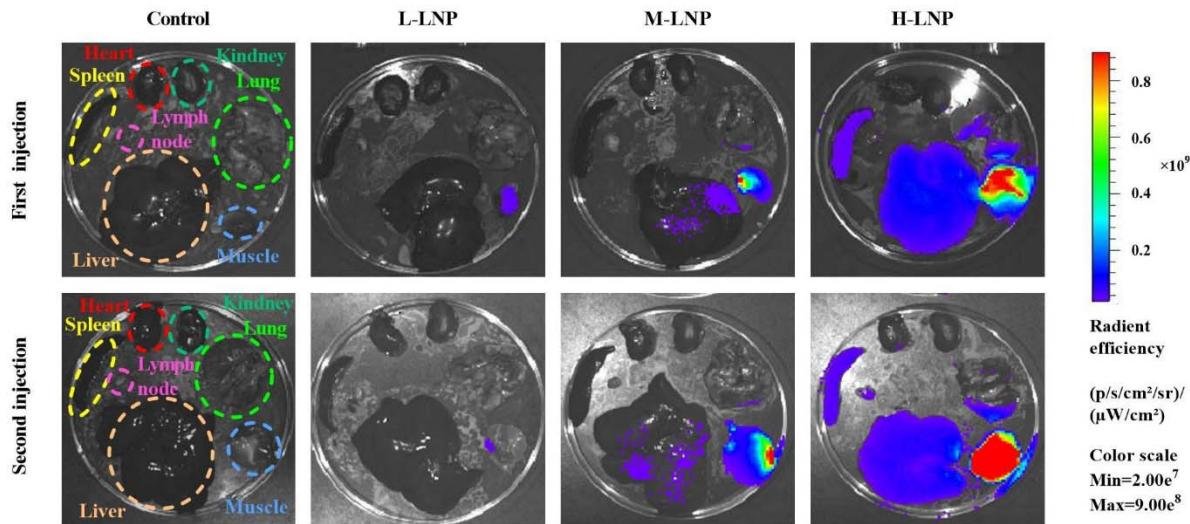
Variable	$\Delta$ Anti-PEG IgG ( $\log_{10}$ CONC)					
	$\beta$ (95% CI)	P	$\beta$ (95% CI)	P	$\beta$ (95% CI)	P
<b>Group</b>						
Control	0 (ref.)	-	-	-	-	-
L-LNP	0.0149 (-0.1866, 0.2164)	0.8847	0 (ref.)	-	-	-
M-LNP	0.5180 (0.3165, 0.7195)	< 0.0001	0.503 (0.335, 0.6711)	< 0.0001	0 (ref.)	-
H-LNP	0.8861 (0.6846, 1.0876)	< 0.0001	0.8711 (0.7031, 1.0392)	< 0.0001	0.3681 (0.2, 0.5362)	< 0.0001
<b>Time</b>	0.1232 (0.1022, 0.1442)	< 0.0001	-	-	-	-
<b>Time<sup>2</sup></b>	-0.0050 (-0.0058, -0.0042)	< 0.0001	-	-	-	-
<b>Group*Time</b>						
Control*Time	0 (ref.)	-	-	-	-	-
L-LNP*Time	0.0031 (-0.0118, 0.0180)	0.6848	0 (ref.)	-	-	-
M-LNP*Time	0.0030 (-0.0119, 0.0179)	0.6899	-0.0001 (-0.0125, 0.0124)	0.9933	0 (ref.)	-
H-LNP*Time	0.0002 (-0.0147, 0.0151)	0.9832	-0.0029 (-0.0154, 0.0095)	0.6445	-0.0029 (-0.0153, 0.0096)	0.6505

Models considered variables including group, time, time<sup>2</sup>, and interaction term of group and time as fixed effect and subject as random effect.  $\beta$  for group represents mean differences in the average levels of  $\Delta$ Anti-PEG IgG ( $\log_{10}$  CONC) among groups at all time points.  $\beta$  for time and time<sup>2</sup> represents change rate in  $\Delta$ Anti-PEG IgG ( $\log_{10}$  CONC) over time for the four groups at all time points.  $\beta$  for group\*time represents mean differences in the change rates of  $\Delta$ Anti-PEG IgG ( $\log_{10}$  CONC) over time between groups.  $\Delta$ Anti-PEG IgG ( $\log_{10}$  CONC) was defined as Anti-PEG IgG ( $\log_{10}$  CONC<sub>2nd injection</sub>) ( $\log_{10}$ -transformed concentration of anti-PEG IgG induced during the second injection cycle) subtracting corresponding Anti-PEG IgG ( $\log_{10}$  CONC<sub>1st injection</sub>) ( $\log_{10}$ -transformed concentrations of anti-PEG IgG induced during the first injection cycle). ref: reference.

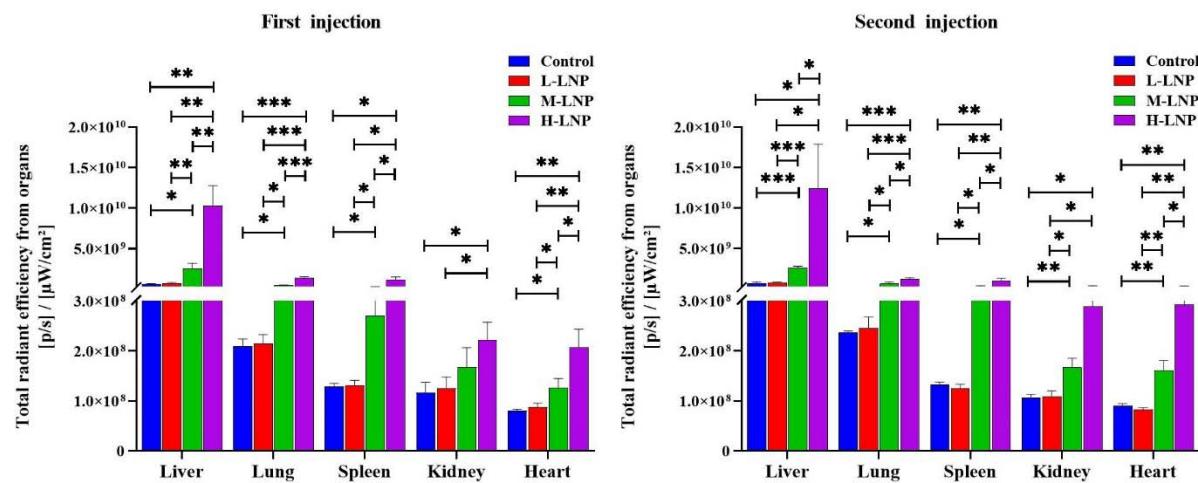
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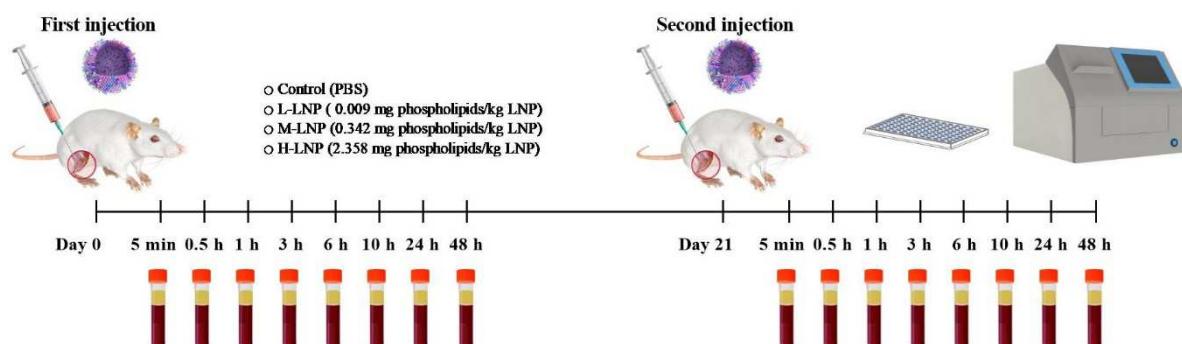
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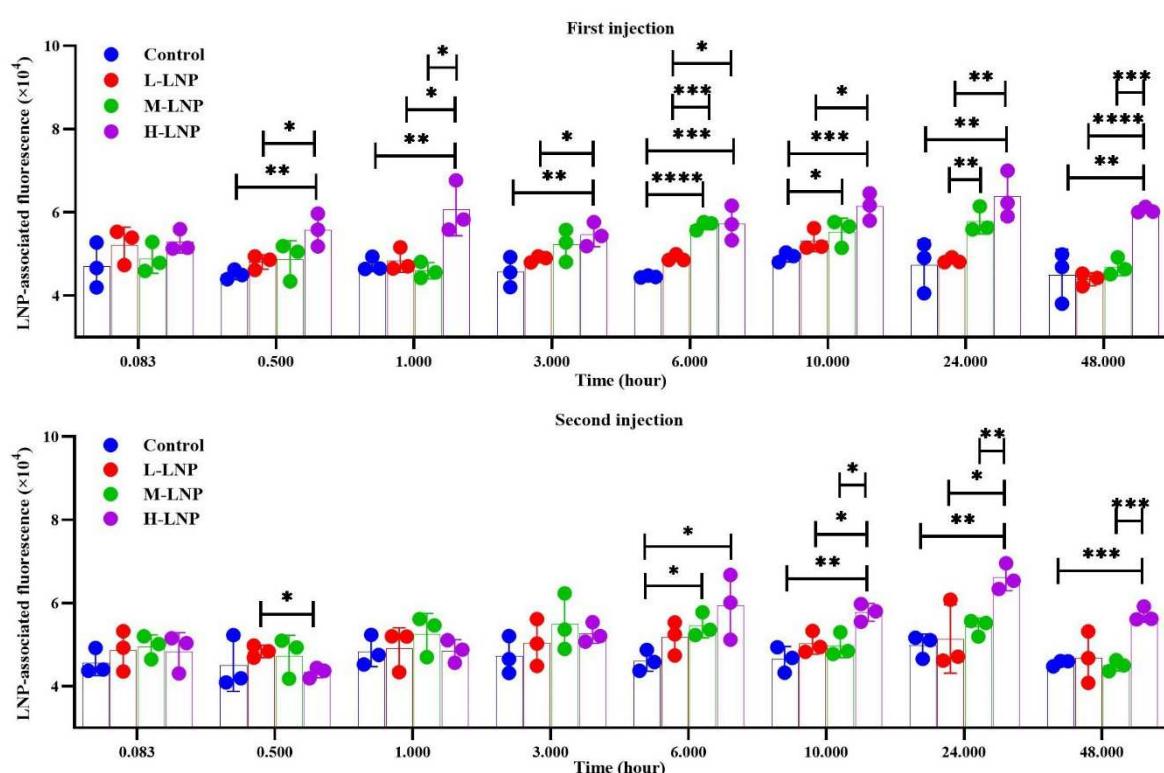
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933 **Fig. 6. Experimental design and biodistribution of PEGylated LNP in representative organs**  
934 **of rat.** (A) Schematic illustration of the experimental protocols. Wistar rats were injected  
935 intramuscularly with 0.009 (L-LNP group), 0.342 (M-LNP group) or 2.358 (H-LNP) mg  
936 phospholipids/kg DiR-LU@LNP on Day 0 and Day 21, respectively. Rats in the Control group  
937 were injected with PBS. Six hours after each injection, three rats from each experimental group  
938 were sacrificed and immediately dissected. Major organs including heart, liver, spleen, lung,  
939 kidneys and draining lymph node, and muscle at the injection site were collected for fluorescence  
940 imaging with IVIS Spectrum imaging system. (B) Representative fluorescence images of major  
941 organs and muscle tissues isolated from rats 6 hours after the first and second injection of DiR-  
942 LU@LNP. (C) Total radiant efficiency of major organs determined 6 hours after the first and  
943 second injection of DiR-LU@LNP. Data were presented as “mean ± standard deviation” (n=3).  
944 Differences in total radiation efficiency induced by three doses were analyzed using multiple  
945 unpaired *t* tests with correction for multiple testing. \*, P<0.05; \*\*, P<0.01; \*\*\*, P<0.001; \*\*\*\*,

346  $P < 0.0001$ .

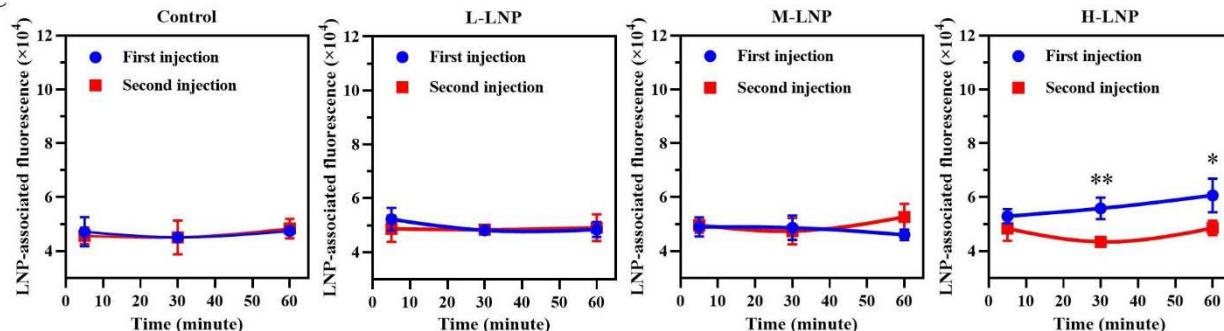
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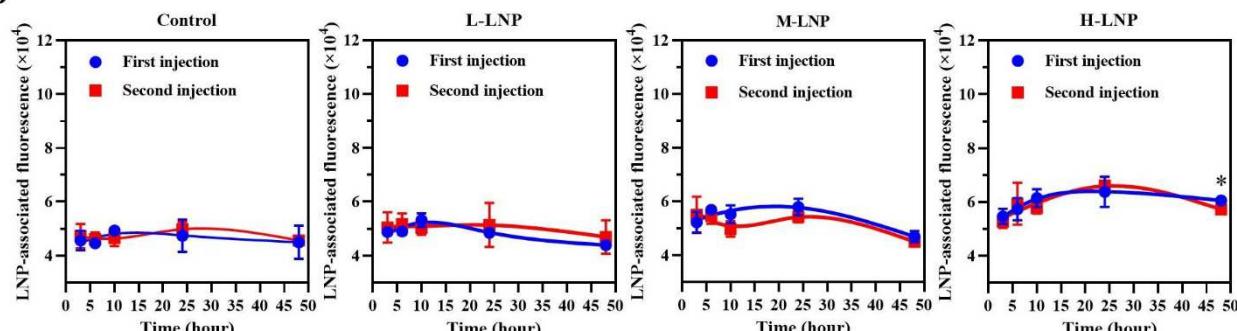
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D



948 **Fig. 7. Experimental design and blood clearance of PEGylated LNP in rats.** **(A)** Schematic  
949 illustration of the experimental protocols. Wistar rats were injected intramuscularly with 0.009 (L-  
950 LNP group), 0.342 (M-LNP group) or 2.358 (H-LNP) mg phospholipids/kg DiR-LNP on Day 0  
951 and Day 21, respectively. Rats in the Control group were injected with PBS. Serum samples were  
952 collected at the indicated 8 time points (5 minutes, 30 minutes, 1 hour, 3 hours, 6 hours, 10 hours,  
953 24 hours and 48 hours) after each injection of DiR-LNP, followed by determination of LNP-  
954 associated fluorescence with Spectramax ID5 fluorescent spectrometry. **(B)** LNP-associated  
955 fluorescence was presented as “mean  $\pm$  standard deviation” (n=3) for each group, with differences  
956 among various groups after each injection analyzed using the multiple unpaired *t* test, with *P*  
957 values adjusted for FDR (false discovery rate). \*, *P*<0.05; \*\*, *P*<0.01; \*\*\*, *P*<0.001; \*\*\*\*,  
958 *P*<0.0001. **(C)** Blood clearance profile of DiR-LNP in rats based on LNP-associated fluorescence  
959 obtained at 5 minutes, 30 minutes and 1 hour, with fitted curves created by Prism 9.2.0 (GraphPad  
960 Software). **(D)** Blood clearance profile of DiR-LNP in rats based on LNP-associated fluorescence  
961 obtained at 3 hours, 6 hours, 10 hours, 24 hours and 48 hours, with fitted curves created by Prism  
962 9.2.0 (GraphPad Software). As the earliest three time points presented in **C** would become  
963 invisible if combined with 5 later time points, blood clearance profile of DiR-LNP based on all 8  
964 time points was presented as two parts (**C** and **D**). Data in **C** and **D** were presented as “mean  $\pm$   
965 standard deviation” (n=3) for each group, with differences between two injections analyzed using  
966 the multiple unpaired *t* test, with *P* values adjusted for FDR (false discovery rate). \*, *P*<0.05; \*\*,  
967 *P*<0.01; \*\*\*, *P*<0.001; \*\*\*\*, *P*<0.0001.

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