

# 1 Pairing Metagenomics and Metaproteomics to Pinpoint 2 Ecological Niches and Metabolic Essentiality of Microbial 3 Communities

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## 16 **Abstract**

17 Microbial genomes encode functional repertoire of microbes. However, microbes rely on  
18 various proteins to be expressed to carry out specific functions, and the expression of those  
19 proteins can be affected by the environment. It remains elusive how the selective expression  
20 of a protein depends on whether it is metabolically essential to the microbe's growth, or it can  
21 claim resources as an ecological niche. Here we show that by pairing metagenomics and  
22 metaproteomics data we can reveal whether a protein is relevant for occupying ecological  
23 niches or is essential for microbial metabolism. In particular, we developed a computational  
24 pipeline based on the quantification of the gene-level (or protein-level) functional redundancy  
25 of each protein, which measures the degree to which phylogenetically unrelated taxa can  
26 express (or have already expressed) the same protein, respectively. We validated this pipeline  
27 using both simulated data of a consumer-resource model and real data of human gut  
28 microbiome samples. Furthermore, for the real data, we showed that the metabolic and  
29 ecological roles of ABC-type transporters and ribosomal proteins predicted by our pipeline  
30 agree well with prior knowledge. Finally, we performed *in vitro* culture of a human gut  
31 microbiome sample and investigated how oversupplying various sugars involved in ecological  
32 niches influences the community structure and protein expression. The presented results help  
33 us identify metabolic and ecological roles of proteins, which will inform the design of nutrient  
34 interventions to modulate the human microbiome.

35

## 36 **Introduction**

37 The advance in metagenomic sequencing technology has enabled us to measure the genomic  
38 contents and functional potentials of microbial communities at an unprecedented rate, helping  
39 us understand how the functionality of microbes influences host health<sup>1–3</sup> and how microbial  
40 metabolism in natural environments enables biogeochemical cycling<sup>4–6</sup>. Based on metabolic  
41 models inferred from genomes, various computational approaches have been proposed to  
42 better quantify inter-species interactions and ecological concepts in microbial communities<sup>7–12</sup>.  
43 For example, metabolic networks of microbes have been employed to quantify  
44 complementarity and competition indices as a proxy for potential interactions<sup>7</sup>. Also, a  
45 nonlinear dimensionality reduction technique has been used to map bacterial metabolic niche  
46 space<sup>9</sup>. In addition, functional redundancy and functional stability for microbial communities  
47 were analyzed in the past<sup>10–12</sup>.

48 A major limitation of those approaches is that they only rely on metagenomic data,  
49 which does not reflect true functional activities but only encodes functional capacity (or  
50 potential functions). In reality, at any given time and under any environmental condition,  
51 microbes only express a subset of their potential functions as proteins to carry out particular  
52 functions<sup>13</sup>. Recently, an ultra-deep metaproteomics approach has been developed to quantify  
53 expressed proteins in complex microbial communities, e.g., the human gut microbiome<sup>14</sup>.  
54 Pairing metagenomic and metaproteomic data offers the possibility to investigate how each  
55 protein is selectively expressed under different environmental conditions.

56 From the metabolic perspective, it is well known that some genes and their expressed  
57 proteins are indispensable for cell metabolism under any conditions, and microbes will not  
58 survive or reproduce if those genes are lost or those proteins are not expressed. Indeed,  
59 lacking proteins essential to microbial metabolism will cease microbial growth, regardless of  
60 ecological competition. For example, the growth of microbes relies on aminoacyl-tRNA<sup>15,16</sup>.  
61 Consequently, microbes have to express proteins involved in the aminoacyl-tRNA synthesis  
62 due to their metabolic essentiality to microbial growth<sup>15,16</sup>.

63 From the ecological perspective, some proteins are expressed under ecological  
64 selection, and the presence of such proteins directly indicates which resources a microbe can  
65 utilize so as to thrive, i.e., the ecological niche of this microbe in the microbial community.  
66 Different proteins might enable a microbe to utilize different resources or adapt to varying  
67 environments. If the function of a protein can simply be performed by another protein, it may

68 be not necessary to express both proteins at the same time. This is evident in the case of *E.*  
69 *coli*, which prefers glucose over lactose due to the repressed expression of lactose-utilizing  
70 enzymes, even though it can use both sugars<sup>17,18</sup>. Such specialization of consuming one  
71 resource caused by the selective protein expression may reduce the niche overlap with other  
72 species. Another example is Acetyl-coenzyme synthetase (Acs) --- a protein that catalyzes the  
73 conversion of acetate into Acetyl-CoA, an essential intermediate in the metabolism<sup>19,20</sup>. The  
74 overexpression of Acs in *E. coli* can significantly switch glucose consumption to acetate  
75 consumption<sup>21-24</sup>. The glucose specialist (CV103) and acetate specialist (CV101) are two *E.*  
76 *coli* mutants with different metabolic strategies; CV103 does not express Acs while CV101  
77 overexpresses it<sup>21-24</sup>. It has been shown that CV101 can consume acetate produced by CV103,  
78 and thus they achieve a coexistence due to the niche partitioning<sup>21,22</sup>.

79 How to understand the selective expression of microbial proteins is an outstanding  
80 question in microbiology. Does the behavior of selective expression of microbial proteins differ  
81 between metabolic function (e.g., essential for microbial growth metabolism) and ecological  
82 function (e.g., claiming resources as a niche)? To address this question, in this work we  
83 developed a computational method to perform paired metagenomic and metaproteomic<sup>25-28,14</sup>  
84 data analysis and revealed whether a protein is essential for microbial metabolism or relevant  
85 for occupying ecological niches. In particular, we used the metagenomic data to construct the  
86 Gene Content Network (GCN) --- a bipartite graph that connects microbial taxa to their genes  
87 (Fig. 1a), and used the metaproteomic data to construct the Protein Content Network (PCN) -  
88 -- a bipartite graph that connects microbial taxa to their truly expressed protein functions (Fig.  
89 1b). For each protein, we quantified its gene-level (or protein-level) functional redundancy (FR),  
90 which is defined as the degree to which unrelated taxa can express (or have already expressed)  
91 this protein, respectively. Using synthetic data generated by a consumer-resource model of  
92 microbial communities, we found that either the comparison of network degree of a protein (i.e.,  
93 the number of taxa that own/express the protein) between the GCN and PCN or the  
94 comparison between the gene-level and protein-level FR of a protein can reveal its role in  
95 metabolic essentiality and ecological niches. Then we applied the same computational pipeline  
96 to analyze the real data of human gut microbiome samples to predict metabolic and ecological  
97 functions for proteins. We found that the metabolic and ecological roles of ABC-type  
98 transporters and ribosomal proteins predicted by our method agree well with prior knowledge.  
99 Finally, we performed *in vitro* culture experiments using human gut microbiome samples with  
100 and without sugars added to investigate how oversupplying various sugars involved in  
101 ecological niches influences the community structure and protein expression.

102 **Results**

103 **Quantifying gene- and protein-level functional redundancy of each protein**

104 Consider a microbiome sample with taxonomic profile  $\mathbf{p} = (p_1, \dots, p_N)$ , where  $p_i$  is the relative  
105 abundance of taxon- $i$  and  $\sum_{i=1}^N p_i = 1$ . For a given protein, we can define its gene-level FR  
106 ( $FR_g$ ) within this sample as

107 
$$FR_g = \sum_{i=1}^N \sum_{j \neq i}^N (1 - d_{ij}^{GCN}) p_i p_j, \quad (1)$$

108 where  $d_{ij}^{GCN}$  is the distance between taxon- $i$  and taxon- $j$  based on their genomic capacity to  
109 express this protein. For simplicity, we assume  $d_{ij}^{GCN}$  is binary, i.e.,  $d_{ij}^{GCN} = 0$  if and only if both  
110 taxa share the potential to express the protein, and  $d_{ij}^{GCN} = 1$  otherwise. For the same protein,  
111 we can also define its protein-level FR ( $FR_p$ ) within this sample as

112 
$$FR_p = \sum_{i=1}^N \sum_{j \neq i}^N (1 - d_{ij}^{PCN}) p_i p_j, \quad (2)$$

113 where  $d_{ij}^{PCN}$  is the distance between taxon- $i$  and taxon- $j$  based on their expression of the  
114 protein. Again, we assume  $d_{ij}^{PCN}$  is binary, i.e.,  $d_{ij}^{PCN} = 0$  if and only if both taxa have expressed  
115 the protein, and  $d_{ij}^{PCN} = 1$  otherwise. Note that here we define  $FR_g$  and  $FR_p$  for each protein.  
116 This is different from our previous studies<sup>12,14</sup>, where FR was calculated by including all genes  
117 or proteins in the entire microbial community.

118 To demonstrate the definitions of  $FR_g$  and  $FR_p$ , let's consider a simple community  
119 consisting of two coexisting *E. coli* strains CV101 and CV103 with relative abundance  $p_1$  and  
120  $p_2$ , respectively<sup>21,22</sup>. For the protein Acs that is required for the acetate consumption, since  
121 both CV101 and CV103 own this functional capacity, we have  $d_{12}^{GCN} = d_{21}^{GCN} = 0$ , and  $FR_g =$   
122  $2p_1 p_2$ . However, because CV103 does not express Acs and CV101 overexpresses it<sup>21-24</sup>, we  
123 have  $d_{12}^{PCN} = d_{21}^{PCN} = 1$ , and  $FR_p = 0$ . Furthermore, we can compare the network degree of Acs  
124 in the GCN and PCN. The network degree of a protein in the GCN (denoted as  $k_{GCN}$ ) is the  
125 number of taxa owning the capacity to express the protein, while the network degree of a  
126 protein in the PCN ( $k_{PCN}$ ) is the number of taxa that have truly expressed the protein. Here,  
127  $k_{GCN} = 2$  and  $k_{PCN} = 1$ . Of course, not every protein is ecologically selected. For example,  
128 proteins involved in the aminoacyl-tRNA synthesis, critical for the growth of microbes, are not  
129 ecologically selected because the loss of ability to synthesize aminoacyl-tRNA inevitably stops  
130 the growth of microbes<sup>15,16</sup>. Hence, for each of the proteins involved in aminoacyl-tRNA  
131 synthesis, we expect  $k_{GCN} = k_{PCN}$  and  $FR_g = FR_p$ .

132

133 **Illustration of our computational pipeline using a hypothetical community**

134 To illustrate our computational pipeline, let's consider a simple hypothetical example with two  
135 species (pink oval vs yellow indented oval in Fig. 1a, b). For the pink species to grow, it can  
136 either use the red resource (red pentagon in Fig. 1a) or the blue resource (blue triangle in Fig.  
137 1a) and convert either of them to the green metabolite (green circle in Fig. 1a), which can then  
138 be assimilated into the cell biomass. For the yellow species, its growth will only occur by  
139 transforming the red resource into the green one to fuel the biomass synthesis (Fig. 1a). If the  
140 two species are co-cultured in the same environment to compete for externally supplied red  
141 and blue resources, an ideal scenario for them to coexist is that the pink species would choose  
142 to consume the blue resource, preventing resource competition with the yellow species (Fig.  
143 1b), similar to the niche partitioning observed in the community of two coexisting *E. coli* strains:  
144 CV101 and CV103<sup>21,22</sup>.

145 We can capture this hypothetical scenario of selective expression mathematically using  
146 the GCN and PCN of this community. The bipartite graph and incidence matrix representations  
147 of the GCN (or PCN) are shown in Fig. 1a (or Fig. 1b), respectively. Simply comparing the  
148 structure of the GCN and the PCN already offers us some insights into ecological niches and  
149 metabolic essentiality. For example, let's consider the protein responsible for converting red  
150 resource to green metabolite (this protein is represented as the red broken circle in Fig. 1a, b),  
151 its degree in the GCN is  $k_{GCN} = 2$ , while its degree in the PCN is  $k_{PCN} = 1$ . This degree  
152 reduction is due to distinct ecological niches being occupied by two species when they are  
153 cocultured. By contrast, the protein responsible for the assimilation of critical green metabolites  
154 (green broken circle in Fig. 1a, b) into biomass does not show a degree reduction from the  
155 GCN to the PCN, because it is essential for microbial growth.

156 An ecologically meaningful approach to understanding the selective expression of  
157 different proteins would be to systematically compare their respective  $k_{GCN}$  and  $k_{PCN}$  (Fig. 1c),  
158 which are independent of microbial compositions; or their respective  $FR_g$  and  $FR_p$  (Fig. 1d),  
159 which naturally involve microbial compositions in the calculation. Consider three distinct protein  
160 function types: (1) “niche functions” that are under strong ecological competition (e.g., red  
161 broken circle in Fig. 1c, d); (2) “specialist functions” that are specialized by a few taxa (e.g.,  
162 blue broken circle in Fig. 1c, d); and (3) “essential functions” that are metabolically  
163 indispensable for many taxa (e.g., green broken circle in Fig. 1c, d). We anticipate that the  
164 three function types will occupy different regions in the  $k_{GCN}$  vs.  $k_{PCN}$  plot (or the  $FR_g$  vs.  $FR_p$   
165 plot). Specifically, for essential functions, both their  $k_{GCN}$  and  $k_{PCN}$  (or  $FR_g$  and  $FR_p$ ) are high.

166 For specialist functions, both their  $k_{GCN}$  and  $k_{PCN}$  (or  $FR_g$  and  $FR_p$ ) are low. Niche functions  
167 have high  $k_{GCN}$  but low  $k_{PCN}$  (or high  $FR_g$  but low  $FR_p$ ).

168

## 169 **Validate our computational pipeline using a consumer-resource model**

170 Note that previously developed Consumer-Resource models (CRMs) only focus on  
171 physiologies of microbes (i.e. phenotypes)<sup>29-31</sup>. Simply put, those models ignored genomic  
172 capacity or potential functions, but only considered expressed functions (e.g., how species  
173 consume different resources). There was no attempt of building a consumer-resource model  
174 of microbial communities that integrates both potential and expressed functions. As a first step  
175 toward this direction, we constructed such a model.

176 We assumed three types of protein functions: niche functions (colored red), specialist  
177 functions (colored blue), and essential functions (colored green) in a functional pool. For  
178 simplicity, each of the niche (or specialist) functions is modeled as the consumption of a unique  
179 and externally supplied resource (Fig. 2a1). To model the difference between niche and  
180 specialist functions, we assume they are associated with different numbers of species (i.e.,  
181 “consumers” in the consumer-resource modeling framework). The former should be associated  
182 with much more species than the latter. The loss of a niche or specialist function would make  
183 a species unable to consume the corresponding externally supplied resource (Fig. 2a2, a3).  
184 The loss of an essential function is simply modeled as the reduction of a species’ growth rate  
185 (Fig. 2a4). Mathematically, we multiply the intrinsic growth rate of a species by a diminishing  
186 factor  $\gamma = 0.95$  for each missing essential function.

187 The key issue in this genome-aware consumer-resource modelling framework is to  
188 decide how microbes select a subset of their potential functions to express. To tackle this issue,  
189 we first assigned potential functions to each species (Fig. 2b, left). In particular, for each  
190 species, each niche (specialist, or essential) function was assigned to the species’ genome  
191 with probability  $p_n$  ( $p_s$ , or  $p_e$ ), respectively. In our simulations, we set  $p_n = p_e = 0.7$  to ensure  
192 that we cannot distinguish niche functions from essential functions only based on GCN and  
193 thus would like to see if they show different patterns after the community assembly. We set  
194  $p_s = 0.2 < p_n = p_e$  so that specialist functions were assigned to fewer species than niche and  
195 essential functions. Then for each species, we determined its truly expressed functions by  
196 randomly sub-sampling a subset of its potential functions (Fig. 2b, middle). For function type-  
197  $\alpha$  ( $\alpha = 1,2,3$ ), this was achieved by expressing each potential function with a species-specific  
198 and function-type-specific probability  $p_{i,\alpha}$  randomly drawn from a uniform distribution  $\mathcal{U}(0,1)$ .

199 Since different species have different sub-sampling probabilities, some species will tend to be  
200 generalists (or specialists). Similar to all consumer-resource models<sup>29-31</sup>, we assume a fixed  
201 expression pattern for each species and all resources being supplied so that we don't have to  
202 consider the complexity of adaptive expression (such as different expression patterns when  
203 different resources are supplied). In the end, we assembled all species in the same community  
204 and ran consumer-resource dynamics until the system reached a steady state, for which we  
205 constructed the PCN of the survived species (Fig. 2b, right).

206 We assumed the species pool consists of  $N = 10,000$  species, and the function pool  
207 consists of 20 functions for each of the three function types. We introduced 10,000 species to  
208 ensure the number of initial species in the assembly simulation is much larger than the number  
209 of functions so that we can assemble a high-diversity community in the end. The GCN of the  
210 initial species pool is shown in Fig. 2c (left). For each species, we randomly sub-sampled a  
211 subset of potential functions to express (middle panel, Fig. 2c). For each species, its true  
212 consumption rates are its maximal consumption rates divided by the number of resources the  
213 species can use (see Methods) to prevent the selection of generalist species that consume all  
214 resources without a penalty<sup>32,33</sup>. Due to the competitive exclusion principle<sup>34</sup>, the maximal  
215 number of species survived in the final steady state is 40, because there are 40 unique  
216 externally supplied resources ("nutrients") in our model.

217 In Fig. 2c (right), we show a simulation example with 35 species survived in the final  
218 steady state. For this assembled steady-state microbial community, we found that the three  
219 modeled protein functions types were correctly revealed as three clusters by the Gaussian  
220 mixture model in both the comparison of network degree (Fig. 2d) and FR (Fig. 2e). In particular,  
221 for niche functions (red cluster in Fig. 2d, e), their mean degree in PCN (2.1) is much lower  
222 than that in GCN (24.45), and their mean  $FR_p$  (0.005) is also much lower than their mean  $FR_g$   
223 (0.48). For essential functions (green cluster in Fig. 2d, e), their mean degree in PCN (23.7) is  
224 close to that in GCN (26.7), and their mean  $FR_p$  (0.47) is also similar to their mean  $FR_g$  (0.57).  
225 For specialist functions (blue cluster in Fig. 2d, e), both their  $k_{GCN}$  and  $k_{PCN}$  (or  $FR_g$  and  $FR_p$ )  
226 are low.

227 The three functional clusters revealed by the classification of network degrees and  
228 functional redundancies for all modeled protein functions exactly match the three function types  
229 in our model. Moreover, the relative positioning of the three functional clusters based on our  
230 simulation data agrees well with our hypothesis shown in Fig. 1. This clearly validates our  
231 hypothesis that niche-occupying proteins have a larger difference in FR and network degree  
232 than metabolically essential proteins.

233 We emphasize that the three functional clusters observed in the  $k_{GCN}$  vs.  $k_{PCN}$  (or the  
234  $FR_g$  vs.  $FR_p$ ) plot is highly nontrivial. It is a result of the community assembly. To demonstrate  
235 the importance of community assembly, we randomly picked 35 species (same as the number  
236 of survived species) from the initial pool with equal abundances (i.e., the relative abundance is  
237 1/35 for each species) without natural selection and found that it is impossible to distinguish  
238 niche functions from essential functions (Fig. 2f, g). Interestingly, for essential functions, we  
239 noticed that those species survived after the community assembly tend to have much larger  
240  $FR_p$  (with mean 0.478) than randomly selected species (with mean 0.132). By contrast, for  
241 niche functions, survived species tend to have a smaller  $FR_p$  (with mean 0.005) than randomly  
242 selected species (with mean 0.133). Similarly, we also computed FR for the same randomly  
243 picked 35 species that share the abundances as survived species in the simulation. Again, we  
244 cannot differentiate niche functions from essential functions (Supplementary Fig. 1).

245 We also simulated another community with 100 niche functions, 100 specialist  
246 functions, and 100 essential functions. The species pool still consists of  $N = 10,000$  species.  
247 As shown in Supplementary Fig. 2), the results are similar to that for the community with fewer  
248 functions (Fig. 2).

249

## 250 **Three protein functional clusters observed in human gut microbiomes**

251 After the validation of our computational pipeline using simulated data, we further validated it  
252 on real data of human mucosal-luminal interface samples collected from the ascending colon  
253 of four children<sup>14,28</sup>. Here we focused on the genus level and annotated the identified proteins  
254 from metagenomics and metaproteomics data via the COGs (Clusters of Orthologous genes)  
255 database<sup>35,36</sup>. We constructed the GCN and PCN for all the samples following the same  
256 procedure as reported in a previous study<sup>14</sup>, and took the intersected COGs between the two  
257 networks. In the main text, we focus on the analysis and discussion of subject HM454, and  
258 similar findings from the other three subjects are shown in Supplementary Figs. 4-6. For  
259 HM454, we used MetaPhlAn2<sup>37</sup> to obtain the taxonomic profile, which includes 85 genera with  
260 assigned relative abundances. Raw metagenomic reads and unique peptide sequences  
261 detected in metaproteomics were searched against an integrated gene catalog (IGC) database  
262 of the human gut microbiome<sup>38</sup> to generate the GCN and PCN respectively. Taxonomic  
263 assignment was performed using the 'protein-peptide bridge' method as described previously<sup>14</sup>.  
264 More details about data processing can be found in Methods. And the number of intersected  
265 COGs for the GCN and PCN associated with HM454 is 1,542. The genus- and COG-level GCN

266 and PCN of this microbiome sample are shown in Fig. 3a, b. The connectance (i.e., the number  
267 of edges divided by the maximal number of possible edges) of the GCN (or PCN) is 0.220 (or  
268 0.049), respectively. The GCN is nested with the nestedness value of 0.667 based on the  
269 classical NODF (Nestedness based on Overlap and Decreasing Fill) measure<sup>39</sup> (Fig. 3a; see  
270 Methods for details). The PCN has a lower nestedness value of 0.453 for the NODF measure  
271 (Fig. 3b).

272 By comparing the network degree and functional redundancy of one COG in the GCN  
273 (one column in Fig. 3a) with those for the same COG in the PCN, we can look into how the  
274 COG impacts and is influenced by their metabolic essentiality and connection to occupy  
275 ecological niches. For example, COG0539 is the ribosomal protein S1, which has been shown  
276 to be essential for some microbes<sup>40-44</sup>. For subject HM454, 20 genera have COG0539 in the  
277 GCN, while 15 genera have this COG in the PCN, hence  $k_{GCN} = 20$  and  $k_{PCN} = 15$ .  
278 Additionally, COG0539 has a similar level of functional redundancy in GCN and PCN:  $FR_g =$   
279 0.476 and  $FR_p = 0.461$ . These results suggest that COG0539 is crucial for microbial  
280 metabolism, and not ecologically selected. Another example that falls into a different category  
281 (i.e., niche functions) is COG1116, which is the ABC-type nitrate/sulfonate/bicarbonate  
282 transport system<sup>45</sup>. For COG1116, we have  $k_{GCN} = 22 \gg k_{PCN} = 2$ ; and  $FR_g = 0.388 \gg FR_p =$   
283 0.004, which is evidence for the further specification in transporting nitrate, sulfonate, or  
284 bicarbonate across community members on the protein level. Different from the previous  
285 examples, some functions are specialized by a few genera on the gene level and thus are still  
286 specialized by those genera on the protein level. For example, COG1018 (Ferredoxin-NADP  
287 reductase), which has  $k_{GCN} = k_{PCN} = 1$  and  $FR_g = FR_p = 0.0$ , is classified as a specialist  
288 function.

289 To systematically explore the difference between GCN and PCN, we visualized the  
290 difference in the network degree (Fig. 3c) and functional redundancy (Fig. 3d) for all COGs. As  
291 can be seen in Fig. 3c for comparing network degrees, nearly all COGs are below the black  
292 dashed line of  $k_{GCN} = k_{PCN}$  because the map from the genomic capacity to protein function is  
293 a sub-sampling process. The network degrees in PCN for almost all points are less than 10  
294 (1,365 out of 1,542) and much less than their corresponding network degrees in GCN (349 out  
295 of 1,542 COGs have network degrees less than 10). 804 of 1,542 COGs have a reduction in  
296 network degree by more than 80%. Eventually, the major difference in network degree will lead  
297 to a significant difference in functional redundancy, although the reduction in network degree  
298 from GCN to PCN cannot fully explain why many COGs have  $FR_p \sim 0$  (744 out of 1,542 have

299  $FR_p < 0.01$  in Fig. 3d). Indeed, the network degrees for COGs in the PCN positively correlate  
300 with  $FR_p$ , but there is no simple relationship between  $k_{PCN}$  and  $FR_p$  (Fig. 3e). For example, for  
301 L-arabinose isomerase (COG2160), its network degree in GCN ( $k_{GCN} = 8$ ) is fairly close to the  
302 network degree in PCN ( $k_{PCN} = 7$ ), but its  $FR_p$  (0.04) is much lower than  $FR_g$  (0.23) since the  
303 genus Blautia (which makes up 22% of the subject HM454's total microbial abundance) didn't  
304 express L-arabinose isomerase, even if it has this capacity encoded in its genome.

305 We applied the Gaussian mixture model fitted on simulated data to classify all protein  
306 functions in the real data and obtained 3 clusters from both the  $k_{GCN}$  vs.  $k_{PCN}$  plot (Fig. 3c) and  
307 the  $FR_g$  vs.  $FR_p$  plot (Fig. 3d). Despite that the clustering of protein functions in real data looks  
308 weaker than that in simulated data, the relative positioning of the three clusters (shaded areas  
309 in Fig. 3c, d) agree well with our hypothesis shown in Fig. 1, as well our simulation results  
310 shown in Fig. 2. We suspect that the weakened clustering might be due to (1) the variation of  
311  $k_{GCN}$  (or  $FR_g$ ) in real data (Fig. 3c, d) is much larger than that in simulated data (Fig. 2d, e); the  
312 low resolution of the GCN and PCN in the real data (both were constructed at the genus level).

313 Note that some points in Fig. 3c, d are above the diagonal line, contradicting the sub-  
314 sampling argument for the gene expression. For instance, we noticed that for the subject  
315 HM454, 12 genera have COG0094 in the GCN, while 25 genera have this COG in the PCN.  
316 Additionally, COG0094 is even less redundant in the GCN ( $FR_g = 0.166$ ) than it is in the PCN  
317 ( $FR_p = 0.641$ ).  $FR_g$  should be always larger than  $FR_p$  if the PCN was a proper subgraph of the  
318 GCN for COG0094. We believe this contradiction is largely due to the metagenomic  
319 sequencing depth and the metaproteomic identification depth. We know that both  
320 metagenomics and metaproteomics have depth limitations and require sufficient depth to  
321 detect genes or proteins, respectively. More specifically, some proteins detected by the ultra-  
322 deep metaproteomics are not found in putative protein sequences annotated from  
323 metagenomes. For example, if more proteins were assigned to one COG by the  
324 metaproteomics than annotated metagenomes, it indicates the number of taxa that express  
325 proteins belonging to the COG is higher than the number of taxa that own the COG. As a result,  
326 the network degree of the COG in the GCN is even higher than its network degree in the PCN,  
327 making  $FR_p$  of the COG larger than its  $FR_g$  (evidenced by COG0094).

328

### 329 **Comparing $FR_g$ with $FR_p$ pinpoints ecological niches and metabolic essentiality**

330 In order to justify whether or not the FR comparison for many COGs is ecologically or  
331 metabolically meaningful, we focus on two types of proteins: ABC-type transporters (under

332 strong ecological selection because they directly influence the ecological interactions and are  
333 influenced by resource availability)<sup>45–47</sup> and ribosomal proteins (under weak ecological  
334 selection because of their essentiality)<sup>42–44</sup>.

335 ABC-type transporters are energy-requiring transporter proteins responsible for  
336 obtaining and releasing resources in the environment<sup>45–47</sup>. For example, if we consider a  
337 particular transporter responsible for the uptake of glucose from the environment, theoretically  
338 only top consumers of glucose would have the chance to claim this niche (consumption of  
339 glucose) from the ecological standpoint. Consequently, we should expect a specification in  
340 glucose consumption on the level of protein functions, even though many species have the  
341 capacity to utilize it. For the gut microbiota sample we investigated, we indeed found that  $k_{GCN}$   
342 for all ABC-type transporters are much larger than their  $k_{PCN}$  (Fig. 4a). Similarly, we also found  
343 that  $FR_g$  for all ABC-type transporters are much larger than their  $FR_p$  (Fig. 4b). Many  
344 transporter proteins were classified to the red cluster (i.e., the cluster of niche functions) in Fig.  
345 4b. Some transporter proteins were classified to the blue cluster (i.e., the cluster for specialist  
346 functions) due to the specialization on the gene level. As a result, such specialization would be  
347 carried to the protein level. Some transporter proteins were classified to the green cluster (i.e.,  
348 the cluster for essential functions) because they have been proven essential for microbes. One  
349 example is the ABC-type Fe3+/spermidine/putrescine transporter (COG3842) which has  
350  $FR_g = 0.339$  and  $FR_p = 0.285$ . It has been shown that iron is essential for bacteria as it  
351 functions as a co-factor in iron-containing proteins in redox reactions, metabolic pathways, and  
352 electron transport chain mechanisms<sup>48,49</sup>.

353 Ribosomal proteins are necessary for the growth of all living organisms because, as  
354 we know, the ribosome is the place where other proteins are synthesized<sup>50,51</sup>. Since ribosomal  
355 proteins are an indispensable part of microbial survival, all abilities of synthesizing such  
356 proteins are expected to be expressed. In our data, many ribosomal proteins were classified  
357 to the green cluster (i.e. the cluster for essential functions). Moreover, we found that their  $k_{GCN}$   
358 were very close to their  $k_{PCN}$  (Fig. 4e). In Fig. 4f, we compared  $FR_g$  with  $FR_p$  and found many  
359 ribosomal proteins were classified to the green cluster (i.e. the cluster for essential functions),  
360 agreeing with our expectation that proteins with high  $FR_g$  and  $FR_p$  are more likely to be  
361 essential functions. Interestingly, two ribosomal proteins (L28 and L34) colored red in Fig. 4e  
362 have been shown to be non-essential<sup>41,42,52</sup> to microbes such as *E. coli*. Some specialized  
363 ribosomal proteins in microbial genomes continue to be specialized on the protein level and  
364 thus were classified to the blue cluster (i.e., the cluster for specialist functions).

365        Alternatively, we looked at the distribution of network degrees (Fig. 4c, g) and the  
366 distribution of functional redundancy ( $FR_g$  or  $FR_p$  in Fig. 4d, h) for the two protein types to  
367 observe their difference. For ABC-type transporters, the distribution of network degrees in PCN  
368 is close to 0 (having a median of 2), while the median of network degrees in GCN is 25. For  
369 ribosomal proteins, the distribution of network degrees in PCN (median is 12) is similar to that  
370 in GCN (median is 14). For ABC-type transporters, the distribution of  $FR_p$  in PCN is close to 0  
371 (with a median  $\sim 0.01$ ), while the median of  $FR_g$  in GCN is around 0.30. For ribosomal proteins,  
372 the distribution of  $FR_p$  in PCN (median  $\sim 0.20$ ) is similar to the distribution of  $FR_g$  in GCN  
373 (median  $\sim 0.21$ ). The same patterns of ABC transporters showing a big reduction (in functional  
374 redundancy and network degree) and ribosomal proteins showing little difference are also true  
375 for the other 3 individuals (Supplementary Figs. 9-11).

376        We also validated the above results using a different functional annotation method,  
377 KEGG Orthology (KO)<sup>53-56</sup>. The annotation rate of proteins involved in PCN of the four  
378 individual microbiomes is 78% (much lower than 92% which we had for the COG annotation).  
379 The contrasting difference between ABC-type transporters and ribosomal proteins is well  
380 preserved (see Supplementary Fig. 7). Additionally, the distribution of  $FR_p$  shows a dramatic  
381 difference across KO groups (Supplementary Fig. 8). Some ecologically strongly selected KO  
382 groups have small  $FR_p$ , while other metabolically essential KO groups show fairly large  $FR_p$   
383 and big variations (see Supplementary Fig. 8). For example, almost all proteins in ABC  
384 transporters and PTS systems have  $FR_p$  close to zero (Fig. Supplementary Fig. 8), and  
385 transporters and PTS systems are well-known as the ecologically selected groups<sup>45-47,57</sup>. As a  
386 comparison, proteins from Aminoacyl-tRNA biosynthesis, glycolysis, and ribosomes all have  
387 big  $FR_p$  and huge variations across different proteins within the group (Supplementary Fig. 8).  
388 In the past, the metabolic essentiality has been demonstrated for Aminoacyl-tRNA  
389 biosynthesis<sup>15,16</sup>, glycolysis<sup>58,59</sup>, and ribosomes<sup>42-44</sup>.

390

### 391 **The response of community and protein expression to the introduction of sugars**

392        In ecology, a niche is often defined as an abiotic and biotic factor that supports the survival of  
393 species<sup>9,60-62</sup>. Therefore, niche functions are associated with corresponding limiting resources  
394 involved in those functions. For example, COG1879 (ABC-type sugar transport system,  
395 periplasmic component, contains N-terminal xre family HTH domain) which is categorized as  
396 a niche function owing to its high  $FR_g$  of 0.486 and low  $FR_p$  of 0.041 for the subject HM454, is

397 associated with widely competed sugars in microbial communities. After inferring niche  
398 functions such as ABC-type transporters by our computational pipeline, we wonder if it is  
399 possible to influence the community structure by externally supplying more limiting resources  
400 involved in the niche functions. To demonstrate this, we resort to the *in vitro* community and  
401 are interested in how the community structure and expression of proteins involved in niche  
402 functions respond to supplied limiting sugars. Specifically, we would like to see how proteins  
403 relevant to ecological niche functions within one taxon change their expressions to achieve a  
404 better living strategy for the taxon.

405 We used rapid assay for individual microbiome (RapidAIM)<sup>63</sup>, which maintains the  
406 functional profiles of individual gut microbiomes *in vitro*<sup>64</sup>, to culture three different individual  
407 human gut microbiota samples, and used metaproteomics to observe how taxon-specific  
408 expression of proteins in the niche functional cluster respond to the presence of glucose,  
409 fructose and kestose (Fig. 5a). Samples were cultured in technical triplicates, and were taken  
410 at 0, 1, 5, 12, and 24 hours of culturing for optical density and metaproteomic analyses. 11-  
411 plex tandem mass tag (TMT11plex) was used for metaproteomic quantification<sup>65</sup> for a total of  
412 189 samples. To reflect the effect of introduced sugars on protein expression levels, we used  
413 log2 of the ratio between normalized protein abundances/intensities (see Methods for details)  
414 in the treatment and that in the control group (i.e. log2 of fold change in Fig. 5). We  
415 hypothesized that the excessive supply of sugars renders carbon resources no longer limited  
416 and instead microbes start to compete for other resources in relatively short supplies compared  
417 to carbon resources such as nitrogen resources or amino acids because microbes need all  
418 those resources proportionally (Fig. 5a). Therefore, microbes might have to over-express  
419 proteins to uptake more non-carbon limiting resources to achieve better growth.

420 To understand how each taxon interacts with the environment and how introduced  
421 sugars modulate the interaction, we focused on log2 fold changes of ABC-type transporters 5  
422 hours later whose expression levels reveal rates for transporting nutrients (Fig. 5b-d). When  
423 glucose is supplied in an excessive amount, log2 fold changes of most COGs are close to  
424 zeros except for COG1126 (ABC-type polar amino acid transport system, ATPase component),  
425 COG1653 (ABC-type glycerol-3-phosphate transport system, periplasmic component),  
426 COG1879 (ABC-type sugar transport system, periplasmic component, contains N-terminal xre  
427 family HTH domain), and COG4166 (ABC-type oligopeptide transport system, periplasmic  
428 component). Many pronounced changes happen to the genus *Holdemanella* and it is  
429 interesting to note that *Holdemanella* reduces the expression of transporters for importing  
430 sugars (COG1879) and an intermediate in the glycolysis glycerol-3-phosphate (COG1653)

431 when glucose is added. Instead, it increases the expression of COG1126 which transports  
432 polar amino acids. This strategy benefits *Holdemanella* because the fraction of proteins from  
433 *Holdemanella* over all proteins in the community increases from 13.5% in the control to 15.8%  
434 with the added glucose. We also measured log2 fold changes of ABC-type transporters when  
435 fructose, glucose and fructose, or ketose is added and their overall patterns (Fig. 5c-e) are  
436 similar to the pattern when glucose is added (Fig. 5b). The correlation in log2 fold changes of  
437 ABC-type transporters between different added sugars is significant (Supplementary Fig. 12).  
438 Similar fold changes of ABC-type transporters were observed for metaproteomic  
439 measurements 12 hours, and 24 hours later (Supplemental Figs. 14-15), while the fold changes  
440 1 hour later are still fairly small (Supplemental Fig. 13). We also attempted to look at how  
441 ribosomal proteins respond to sugar supplies (Supplementary Fig. 16). Overall, log2 fold  
442 changes of ribosomal proteins are overwhelmingly positive, which probably implies a faster  
443 growth for microbes when simple sugars are supplied<sup>32,33</sup>. Therefore, we demonstrated that  
444 the sugars associated with the niche function (i.e., the sugar transport system) can be  
445 introduced to influence gene expression and modulate the community structure.  
446

## 447 **Discussion**

448 Understanding the functions of proteins in the metabolism and how they are influenced by  
449 various ecological interactions is important to fully characterize ecological niches in a given  
450 microbial community. Typically, to check if a protein is metabolically essential, one has to knock  
451 out the gene in one microbial species that codes for the protein to check how the growth rate  
452 of the species reduces<sup>42-44</sup>. A usual way to determine a limiting resource often that is utilized  
453 by a protein follows: modify resource supplies and see how the total biomass changes<sup>66-69</sup>.  
454 Here, to complement those traditional experimental methods, we proposed a simpler  
455 computational method that can identify metabolic and ecological functions of proteins via the  
456 comparison of their  $FR_g$  and  $FR_p$ , as well as their  $k_{GCN}$  and  $k_{PCN}$ . We validated this  
457 computational method using both model-generated synthetic data and real data for human gut  
458 microbiomes. Also, when we selected two types of proteins (ABC-type transporters and  
459 ribosomal proteins in the real data representing niche functions and essential functions,  
460 respectively), most predicted protein functional clusters of the two types of proteins fell into the  
461 niche function cluster and the essential function cluster, respectively. Besides these two protein  
462 types, we were able to generate a list of  $FR_p$  and  $FR_g$  for all COGs (see Supplemental Data 1-  
463 4), which is useful for understanding the metabolic and ecological functions of proteins.

464 The presented results help us reconcile the conflict between the niche theory in  
465 ecology<sup>62,70,71</sup> and the observed functional redundancy<sup>11,12</sup>. The traditional niche theory is  
466 grounded in the competitive exclusion principle, stating that a resource (or niche) cannot be  
467 occupied by two species (or more than two species) for the steady-state conditions<sup>62,70,71</sup>. As  
468 a result of competition, organisms within the same community develop different surviving  
469 strategies to minimize their competition. One interesting example is the repetitive established  
470 coexistence between two evolved *E. coli* strains, even though a single clone of *E. coli* is initiated  
471 and maintained in a glucose-limited continuous or serial culture<sup>21,72,73</sup>. Cross-feeding between  
472 two evolved *E. coli* strains can be established when one bacterial strain consumes overflow  
473 metabolites like acetate excreted by the other bacterial strain<sup>21</sup>. Hence, the two strains avoid  
474 competition by specification on different resources (glucose and acetate). However, the picture  
475 from the niche theory clashes with the observed functional redundancy in microbial  
476 communities because the functional redundancy implies that many species own the same  
477 functions in their genomes<sup>11,12</sup>. We solved this dilemma by pointing out that proteins related to  
478 occupying ecological niches usually have very low  $FR_p$  and large  $FR_g$ . Therefore, if we apply  
479 this concept in reverse, then large  $FR_g$  and small  $FR_p$  could help us to pinpoint niche functions.

480 There is a long-standing gap between the ecological model which considers the protein  
481 functions of organisms and the data analysis of genomic data to give ecological insights. Ever  
482 since Robert MacArthur proposed a community model in 1970 to consider how different  
483 consumers compete exclusively for renewing resources<sup>74</sup>, many extensions of this model were  
484 proposed to include more complex ecological factors such as cross-feeding interactions<sup>75–78</sup>  
485 and multiple essential nutrients<sup>79</sup>. Almost all of them focus on the phenotype of microbes  
486 because only functions of expressed proteins are relevant for the consumption and production  
487 of nutrients in the ecosystem. Due to the lack of metaproteomic data, many computational  
488 approaches attempting to generate ecological implications rely on the over-complete inferred  
489 protein capacity derived from genomes<sup>7,9–12</sup>. To reconcile this gap, we built an ecological  
490 framework with the genomic capacity and protein functions together by introducing species  
491 with sub-sampled functions. The model framework is useful for explaining the difference  
492 between genomic capacity and protein functions. The selective expression can be considered  
493 as the same microbe with different expressions under different environments<sup>80–82</sup> or evolved  
494 strains from the same species that have distinct metabolic niches observed in evolutionary  
495 experiments of microbes<sup>83,21,22</sup>.

496 It is worth noting that the assumption of the trade-off between generalists and  
497 specialists (represented by assuming that the total proteome is relatively constant) is very

498 important. In our model, this assumption is achieved by considering true consumption rates in  
499 PCN as maximal consumption rates in GCN divided by the number of resources. The  
500 importance of this trade-off lies in the fact that it forces the niche partitioning among species.  
501 In the absence of this assumption, there is no pattern of redundancy difference since  
502 generalists can always out-compete specialists. This trade-off makes sense because typically  
503 the total proteome budgets for microbes have been observed to be relatively fixed<sup>32,33</sup>.

504

505

506

## 507 Methods

508 **In-vitro culture of single gut bacterial strains with added sugars.** Five gut commensal  
509 bacterial strains, *Bacteroides vulgatus* ATCC 8482, *Bacteroides ovatus* ATCC 8483,  
510 *Bacteroides uniformis* ATCC 8492, *Blautia hydrogenotrophica* DSM 10507, *Escherichia coli*  
511 DSM 101114 were cultured with or without added sugars (glucose, sucrose and kestose). The  
512 base culture medium without sugar added were modified based on the Yeast Casitone Fatty  
513 Acids (YCFA) broth, containing 10.0 g/L casitone, 2.5 g/L yeast extract, 45 mg/L MgSO<sub>4</sub>·7H<sub>2</sub>O,  
514 90 mg/L CaCl<sub>2</sub>·2H<sub>2</sub>O, 450 mg/L K<sub>2</sub>HPO<sub>4</sub>, 450 mg/L KH<sub>2</sub>PO<sub>4</sub>, 900 mg/L NaCl, 1.0 mg/L  
515 resazurin, 4.0 g/L NaHCO<sub>3</sub>, 1.0 g/L L-Cysteine-HCl, 10 mg/L Hemin, 1.90 mL/L acetic acid,  
516 0.7 mL/L propionic acid, 90 μL/L iso-butyric acid, 100 μL/L n-valeric acid, 100 μL/L iso-valeric  
517 acid, 0.02 mg/L biotin, 0.02 mg/L folic acid, 0.05 mg/L thiamine-HCl, 0.05 mg/L riboflavin,  
518 0.001 mg/L vitamin B12, 0.05 mg/L aminobenzoic acid. The pH was adjusted to between 6.7-  
519 6.8, and autoclaved media were pre-reduced in an anaerobic chamber overnight. 5 g/L of  
520 different sugars (glucose, sucrose, and kestose) were added to the base medium as treatment  
521 groups. Master tubes of single bacterial strains were first cultured on Tryptic Soya Agar (TSA)  
522 containing 5% sheep blood using the streak plate method. A single colony was picked from  
523 each agar plate and inoculated into the base culture medium to culture for 24 hours, before  
524 inoculating 100 μL of each culture into 10 mL of four different media: base medium without  
525 sugar added, with glucose added, with sucrose added and with kestose added. After culturing  
526 for 24 hours, optical density at 600 nm was tested in technical triplicates for each sample.  
527 Cultured microbial cells were purified by washing with phosphate buffered saline (PBS) buffer  
528 three times, and the resulting microbial pellets were stored at -80 °C for proteomics analysis.  
529

530 **In-vitro human gut microbiota culture with added sugars.** Three healthy individual  
531 microbiota samples were collected and biobanked using our live microbiota biobanking  
532 protocol<sup>84</sup>. The study was approved by the Ottawa Health Science Network Research Ethics  
533 Board at the Ottawa Hospital, Ottawa, Canada (# 20160585–01 H). The frozen microbiome  
534 samples were thawed at 37 °C and cultured in our optimized culture medium<sup>64</sup> with or without  
535 the presence of different sugars (10 mM glucose, 20 mM fructose, 10 mM glucose + 20 mM  
536 fructose, or 10 mM ketose). Samples were cultured in technical triplicates, and were taken at  
537 0 hr, 1hr, 5 hr, 12 hr and 24 hr of culturing for optical density and metaproteomic analyses.  
538 After culturing, 96-well deep well plates were first centrifuged at 3,000 g for 45 min under 4 °C.  
539 Then the pellets were washed in 4 °C phosphate buffered saline (PBS) buffer and centrifuged  
540 at 3,000 g for 45 min again, before pelletting and removing culture debris three times using 300  
541 g, 4 °C , 5 min centrifugation. Microbial suspensions were then centrifuged at 3,000 g, 4 °C  
542 for another 45 min. The purified cell pellets were stored at -80 °C before protein extraction.  
543

544 **Protein extraction, digestion and LC-MS/MS analysis.** For single strain samples, proteins  
545 were extracted with 4% SDS 8M urea buffer in 100 mM Tris-HCl buffer and precipitated  
546 overnight at -20 °C, before being purified by washing with ice-cold acetone three times.  
547 Quantified proteins were then reduced and alkylated before being digested using trypsin (50:1  
548 protein-to-trypsin ratio) for 24 hours at 37 °C and were desalting using reverse phase beads<sup>85</sup>.  
549 Proteomic samples were analyzed using an Orbitrap Exploris 480 mass spectrometer  
550 (ThermoFisher Scientific Inc.) coupled with an UltiMate 3000 RSLCnano liquid  
551 chromatography system following a 1-hour gradient of 5 to 35% (v/v) acetonitrile (v/v) at the  
552 flow rate of 300 L/min. MS full scan was performed from 350 - 1400 m/z with a resolution of  
553 60,000, followed by an MS/MS scan of 12 most intense ions, a dynamic exclusion repeat count  
554 of one, exclusion duration of 30 s, and resolution of 15,000. Metaproteomics samples of the  
555 cultured individual microbiomes were prepared using a semi-automated approach. Briefly,  
556 samples were lysed in a buffer containing 8 M urea, 4% SDS in 100 mM Tris-HCl (pH = 8.0) to  
557 extract microbial total proteins. The proteins were purified by a double-precipitation procedure  
558 in 50%:50%:0.1% (v/v/v) acetone: ethanol: acetic acid solution. Protein digestion and desalting  
559 steps were performed using an automated liquid handler (Hamilton Nimbus-96). Briefly, 100  
560 µg proteins were dissolved in 100 µL 6 M urea in 100 mM Tris-HCl (pH 8) buffer, before being  
561 reduced by 10 µL 0.1 M dithiothreitol (DTT) solution under 56 °C for 30 minutes and alkylated  
562 by 10 µL 0.2 M iodoacetamide (IAA) solution in dark, 25 °C for 40 minutes. Samples were each  
563 added 1000 µL 100 mM Tris-HCl buffer containing 2 µg/mL trypsin (trypsin:proteins = 1:50) for

564 a 24-hour digestion under 37 °C, before being desalted using an automated pipeline based on  
565 reverse-phase (RP) desalting columns. 11-plex tandem mass tag (TMT11plex) was used for  
566 metaproteomic quantification for a total of 189 samples. An even mixture of all samples was  
567 used as the reference channel in each 11-plex. Samples were scrambled before labeling with  
568 TMT11plex, so that each labeled sample contains samples from different individuals, different  
569 time points and different treatments to avoid any bias that may be induced between analyses.  
570 TMT-labelled samples were analyzed using an Orbitrap Exploris 480 mass spectrometer  
571 (ThermoFisher Scientific Inc.) coupled with an UltiMate 3000 RSLCnano liquid  
572 chromatography system following a 2-hour gradient of 5% to 35% solvent B (80% acetone  
573 nitrile, 0.1% formic acid, v/v).

574

575 **Datasets.** Metagenomics data corresponding to the ultra-deep metaproteomic analysis of the  
576 four individual microbiomes were obtained from the previous MetaPro-IQ study<sup>14,28</sup> (accessible  
577 from the NCBI sequence read archive (SRA) under the accession of SRP068619) and the  
578 same samples were reanalyzed by an ultra-deep metaproteomics approach<sup>14</sup> (accessible  
579 through the ProteomeXchange Consortium (<http://www.proteomexchange.org>) via the PRIDE  
580 partner repository<sup>86</sup>). Proteomics dataset of the cultured singles strain samples has been  
581 deposited to ProteomeXchange Consortium via the PRIDE partner repository. Metaproteomic  
582 dataset of the RapidAIM-cultured microbiome samples has been deposited to  
583 ProteomeXchange Consortium via the PRIDE partner repository.

584

585 **Database search and data processing.** Proteomics database searches were performed by  
586 combining FASTA databases of the individual strains downloaded from NCBI. The databases  
587 were combined for performing database search using MaxQuant<sup>87</sup> 1.6.17.0, with the label-free  
588 quantification option turned off. Metaproteomic database searches of cultured microbiome  
589 samples were performed using MetaLab V2.2<sup>88</sup>, MaxQuant option was used to search the TMT  
590 dataset against the IGC database of the human gut microbiome. The resulting data table was  
591 normalized using R package MSstatsTMT<sup>89</sup>, and missing values were imputed using R  
592 package DreamAI<sup>90</sup>. The "fraction" of each taxon-specific protein is computed by dividing the  
593 protein intensity by the sum of intensities of all proteins assigned to the same taxon. The log2  
594 fold change of each protein is obtained by taking log2 of the ratio between its fraction in the  
595 treatment group (with added sugars) and its fraction in the control group (without added sugars).

596

597 **Generation of GCN and PCN.** For the ultra-deep metaproteomic dataset, the genus-COG  
598 version of GCN and PCN tables were directly obtained from the previous work<sup>14</sup>. In addition,  
599 here we generated a genus-KEGG version of GCN and PCN for each individual microbiome  
600 using a similar method. Briefly, for the genus-KEGG GCN, by searching raw metagenomic  
601 reads against an integrated gene catalog (IGC) database of the human gut microbiome<sup>38</sup>, we  
602 obtained a list of proteins quantified by read counts. FASTA sequences of these proteins were  
603 searched against the KEGG database using GhostKOALA<sup>91</sup>. Taxonomic origination of the  
604 proteins was obtained by searching against an in-house database generated with the NCBI  
605 non-redundant (nr) database (downloaded 2/3/2016). To generate genus-KEGG PCN, the  
606 taxonomic table of the metaproteomics dataset was directly obtained from MetaLab, and  
607 KEGG annotation was also performed by querying protein FASTA sequences with  
608 GhostKOALA. Protein group intensity was used as the quantification information in PCNs. For  
609 the proteomic dataset of single strains, the whole proteomic FASTA database was submitted  
610 to EggNOG mapper (<http://eggnog-mapper.embl.de/>, submitted Oct-30-2021, ran emapper.py  
611 2.1.6) to obtain functional annotations. To generate GCN, protein coding sequence (CDS) files  
612 were downloaded from NCBI, and the count of each protein id in the CDS files was considered  
613 as the copy number of each gene in the GCN. For PCN generation, intensities of identified  
614 proteins matched to each strain were used. Note that protein ids in the CDS file were 100%  
615 matched with those in the proteomic FASTA database in each strain. For the metaproteomics  
616 dataset of the cultured microbiome samples, functional information for the generation of PCN  
617 was obtained from the resulting functional table automatically generated by the MetaLab  
618 software. Taxonomic assignment was performed using the ‘protein-peptide bridge’ method as  
619 described previously<sup>14</sup>. The PCNs for this dataset were then generated based on intensities of  
620 COG-genus pairs.

621

622 **Normalized gene-level functional redundancy (nFR<sub>g</sub>) and normalized protein-level  
623 functional redundancy (nFR<sub>p</sub>).** Across multiple samples, it is pointless to compare the FR<sub>g</sub>  
624 or FR<sub>p</sub> directly because of the difference in microbial taxonomic diversities. In fact, it has been  
625 shown in the past that the normalized functional redundancy, which is the functional  
626 redundancy divided by the taxonomic diversity, can be compared across samples<sup>12</sup>. In our  
627 study, the definition for nFR<sub>g</sub> is

$$628 \text{nFR}_g = \frac{\sum_{i=1}^N \sum_{j \neq i}^N (1 - d_{ij}^{\text{GCN}}) p_i p_j}{\sum_{i=1}^N \sum_{j \neq i}^N p_i p_j}, \quad (1)$$

629 and the definition for  $nFR_p$  is

$$630 \quad nFR_p = \frac{\sum_{i=1}^N \sum_{j \neq i}^N (1-d_{ij}^{PCN}) p_i p_j}{\sum_{i=1}^N \sum_{j \neq i}^N p_i p_j}. \quad (2)$$

631

### 632 **The community assembly model.**

633 *Step 1: Assignment of species' genomic capacity.* Three types of protein functions are modeled:  
634 niche function, specialist function, and essential function. Both specialist function and niche  
635 function are considered as the capacity to consume a unique and externally supplied resource.  
636 The probability of a random consumer being assigned the ability to have a niche function is  
637 0.7. To make fewer species own specialist functions in their genomes, the probability of a  
638 random consumer being assigned the ability to have a specialist function is 0.2, much lower  
639 than the probability of owning a niche function. The maximal consumption rate of a resource  
640 by one species represents the consumption rate that the species would have if it allocates the  
641 entire proteome (100%) to the consumption of the resource. If many resources are consumed,  
642 the total proteome has to be divided into several parts and the consumption rates would be a  
643 fraction of the corresponding maximal consumption rates. The essential function is not  
644 modeled as the consumption of alternative resources due to its metabolic essentiality. Instead,  
645 the essential function is modeled as multiplying the growth rate by a factor of 0.95 for each  
646 missing essential function.

647 *Step 2: Assignment of species' protein functions based on their genomic capacity.* Each  
648 species sub-samples its genomic potential functions with a sub-sampling probability  $p$  (which  
649 is a random number uniformly distributed between 0 and 1) to obtain its protein functions (i.e.  
650 which resource it can truly consume). As a result, all protein functions of species form the basis  
651 for PCN. The true consumption rate of one species on a resource is its maximal consumption  
652 rate on the resource divided by the number of resources that can be utilized by the species.  
653 This process can be thought of as the proteome allocation to consume several resources  
654 simultaneously<sup>32,33</sup>. This assumption imposes a trade-off between a generalist and a specialist  
655 species: a generalist species utilizes more resources but has lower consumption rates for all  
656 resources, while a specialist species consumes fewer resources but has higher consumption  
657 rates for consumed resources.

658 *Step 3: Community assembly.* We assumed a chemostat environment, similar to the setting  
659 considered by many Consumer-Resource models<sup>75,77</sup>. The dilution rate  $D$  is considered as 0.1  
660 per hour. A fixed number of resources is considered and the pool concentrations (or supply  
661 rates) for all resources are assumed to be the same for simplicity. For each species, the growth

662 rate is treated as the sum of consumption rates for different resources divided by the yield. For  
663 simplicity, all yields are assumed to be equal ( $Y = 1$ ). Overall, the dynamics for the  
664 concentrations of resource  $i$  (denoted as  $C_i$ ) and the abundance of the species  $\alpha$  (written as  
665  $B_\alpha$ ):

$$666 \quad \frac{dC_i}{dt} = h_i - DC_i - \frac{\sum_\beta a_{\beta i} \gamma^{N_m} B_\beta C_i}{Y}, \quad (3)$$

$$667 \quad \frac{dB_\alpha}{dt} = -DB_\alpha + \sum_j a_{\alpha j} \gamma^{N_m} B_\alpha C_j, \quad (4)$$

668 where  $a_{\alpha i}$  is the consumption rate of species  $\alpha$  on resource  $i$ ,  $h_i$  is the supply rate of  
669 resource  $i$ ,  $Y$  is the same yield assumed for all resources,  $\gamma (= 0.95)$  is the diminishing rate for  
670 the overall consumption rate that is multiplied for each missing essential function, and  $N_m$  is  
671 the number of missing essential functions. The consumption rate of one species of a resource  
672 is randomly drawn from the uniform distribution between 0 and 1. Eventually, for each species,  
673 its true consumption rates are its randomly drawn consumption rates divided by the number of  
674 resources the species can use to constrain the total proteome budget<sup>32,33</sup>. The incidence matrix  
675 of the consumption abilities establishes part of PCN for niche functions and specialist functions  
676 of the species. The entire PCN is completed by including the presence/absence information of  
677 all essential functions.

678 *Step 4: Generate GCN and PCN for survived species.* When we simulated the above  
679 community assembly process to reach a steady-state in the chemostat environment, survived  
680 species can be found as species existing with non-negative abundances at the end of the  
681 simulation. For survived species, we can reconstruct the GCN and PCN for them. Within  
682 equipped GCN and PCN, we would be able to compute  $FR_g$ ,  $FR_p$ , and network degrees ( $k_{GCN}$   
683 and  $k_{PCN}$ ).

684

685 **Calculation of nestedness.** To reveal the nested structure of an incidence matrix, we first  
686 need to use the Nestedness Temperature Calculator (NTC)<sup>92</sup> to organize the matrix. Then we  
687 adopted the NODF (Nestedness based on Overlap and Decreasing Fill) measure previously  
688 defined<sup>39</sup>. The measure can only be computed for binary incidence matrices. As with any  
689 perfectly nested matrix, two properties must be present: (1) decreasing fill, which means that  
690 the columns below and to the right should have fewer entries than the columns above and to  
691 the left; and (2) paired overlap, which implies that when an entry appears in the columns  
692 below and to the right, it should also appear in the columns above and to the left. The NODF  
693 measure is calculated by averaging these two properties across all pairs of an upper and  
694 lower row and a left and right column. For the comparison of each pair, if decreasing fill is not

695 satisfied, the pair will contribute 0 to the total nestedness. Otherwise, the pair's contribution is  
696 the percentage overlap in non-zero entries between the two rows or two columns.

697

698 **Statistics.** To calculate correlation throughout the study, we used Pearson's correlation  
699 coefficient. Wherever we used  $P$  values, we explained in the Methods how we calculated them,  
700 since for all such measurements in the study, we calculated the associated null distributions  
701 from scratch. All statistical tests were performed using standard numerical and scientific  
702 computing libraries in the Python programming language (version 3.7.1) and Jupyter Notebook  
703 (version 6.1).

704

705 **Data and code availability.** All code for simulations used in this manuscript can be found at  
706 XXX.

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715 project. All authors designed the research. L. L. prepared and curated the empirical data as  
716 well as performed all wet-lab experiments. T.W. analyzed all data and developed the ecological  
717 model. T.W. wrote the initial manuscript. All authors edited and approved the manuscript.

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720

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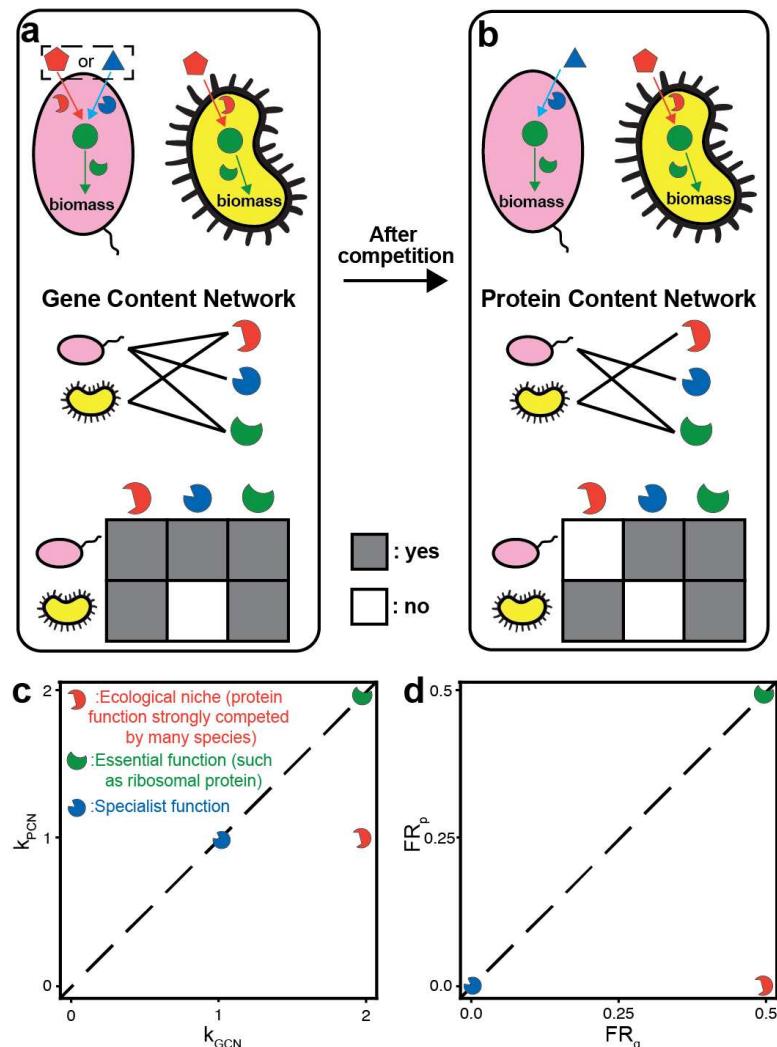
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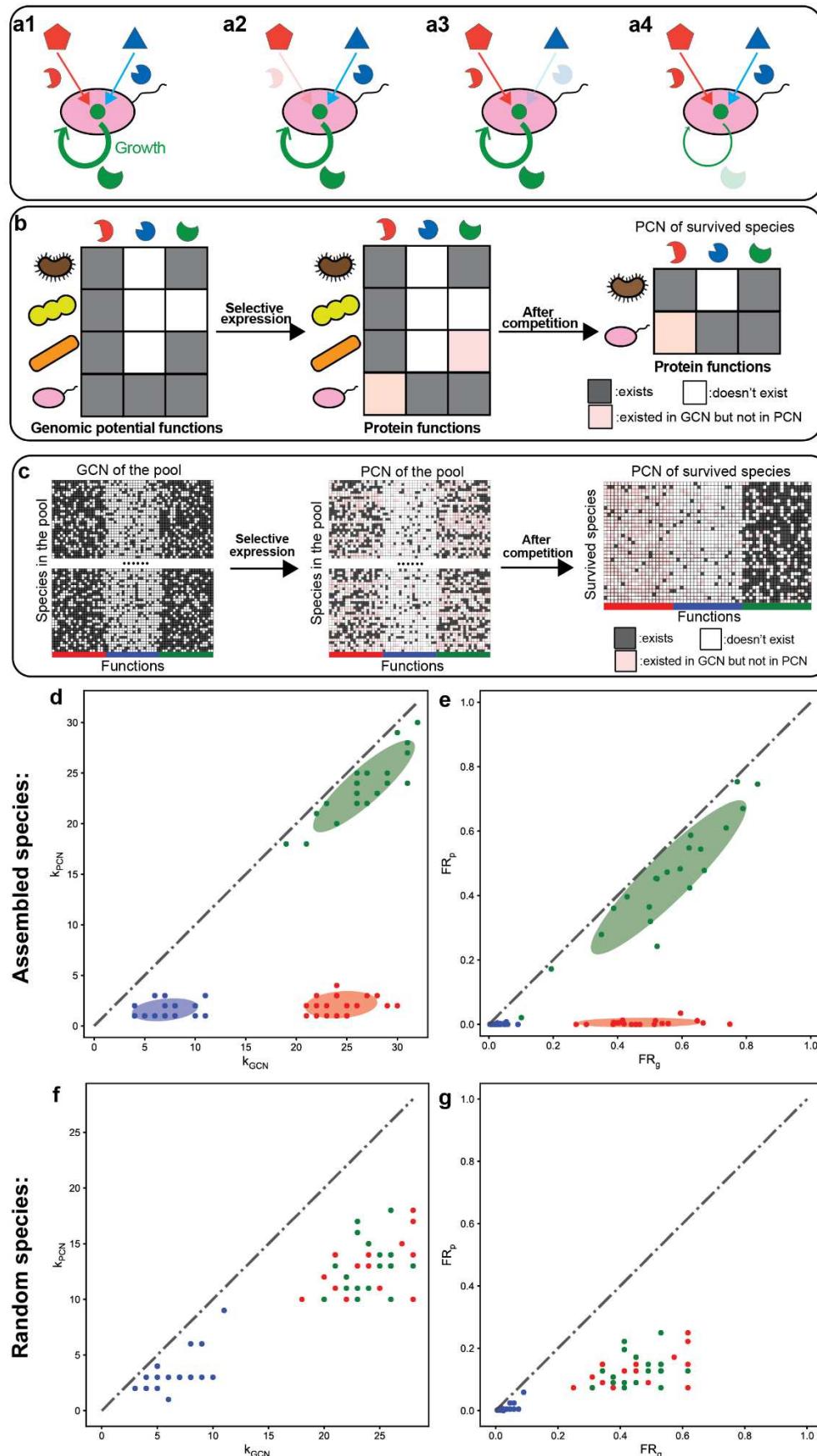
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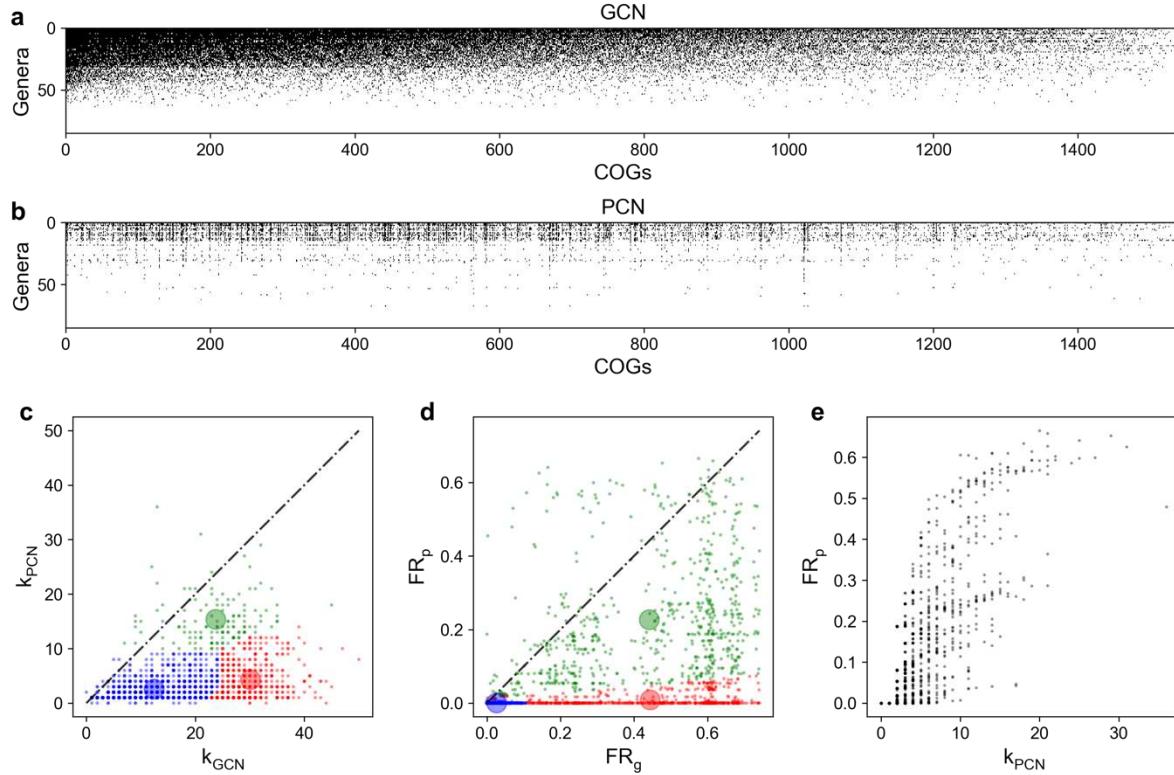


927

928 **Figure 1: Protein functions involved in determining ecological niches are postulated to**  
 929 **have larger discrepancies between the gene-level functional redundancy  $FR_g$  and**  
 930 **protein-level functional redundancy  $FR_p$ . Here we use a hypothetical example with three**  
 931 **representative proteins (3 broken circles with complementary shapes to their substrates) to**  
 932 **demonstrate this point. **a**, Schematic of genomic capacity of two microbial taxa (pink oval vs**  
 933 **yellow indented oval). Two resources (red pentagon and blue triangle) are externally supplied**  
 934 **to the community. The green metabolite can be transformed from the red or blue resource and**  
 935 **further utilized in biomass synthesis. The pink taxon has the capacity of converting either**  
 936 **supplied resource into the green metabolite (red and blue arrows), while the yellow taxon can**  
 937 **only convert the red resource (red arrow). **b**, Schematic of expressed proteins for two microbial**  
 938 **taxa after their competition in the same community. After the competition, the reduced resource**  
 939 **conflict (represented by the pink taxon choosing the blue resource as the sole one to consume)**  
 940 **can promote their coexistence. Gene content network (GCN) and protein content network**  
 941 **(PCN) can be used to capture genomic capacity and expressed protein functions for all taxa.**  
 942 **Alternatively, this network can be represented as incidence matrices on the bottom (grey areas**  
 943 **imply the existence of edges connecting taxa to proteins). **c-d**, The comparison between  $k_{GCN}$**   
 944 **and  $k_{PCN}$  or between  $FR_g$  and  $FR_p$  helps to classify proteins into three protein functional clusters:**  
 945 **specialist function, essential function, and niche function. In the calculation of  $FR_g$  and  $FR_p$ , we**  
 946 **we assume equal abundances of the two species, i.e.,  $p_1 = p_2 = 0.5$ .**



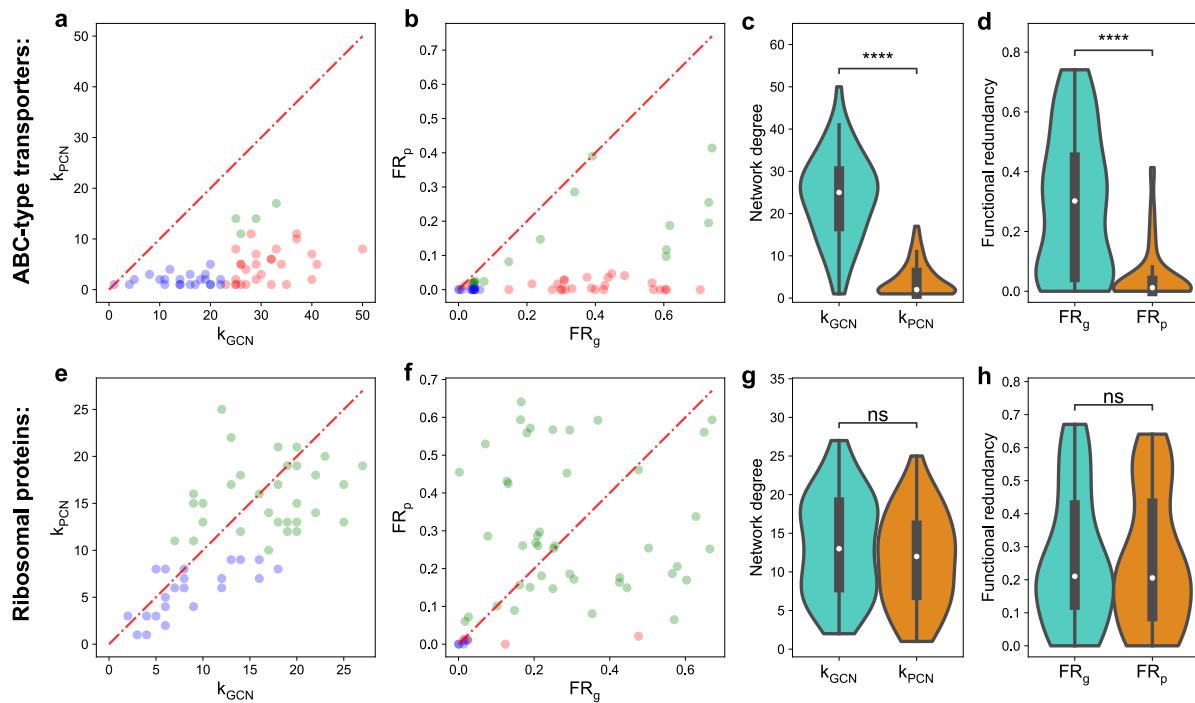
948     **Figure 2: Three protein functional clusters (specialist function, essential function, and**  
949     **niche function) considered in the community assembly model form three distinct**  
950     **clusters when the network degree and functional redundancy are compared between**  
951     **the GCN and PCN in model-generated synthetic data. a1-a4**, Three types of functions  
952     modeled have different ecological and metabolic roles. The niche function (red proteins) and  
953     specialist function (blue proteins) are modeled as abilities to consume externally supplied  
954     resources. The role of essential functions (green proteins) is considered as a reduction in the  
955     overall growth rate for each missing essential function. **b**, A schematic diagram of the  
956     community assembly. Species (ovals and indented ovals) with expressed protein functions  
957     selected via the sub-sampling of their genomic capacity. Then all species are co-cultured  
958     together to simulate their ecological competition. **c**, A simulation example of the community  
959     assembly, and the construction of GCN and PCN for the survived species. **d-e**, The  
960     comparison of network degree and functional redundancy respectively based on the GCN and  
961     PCN of survived species in the simulation example in panel-c. A Gaussian mixture model with  
962     3 clusters is used to identify 3 protein functional clusters. Ellipses around clusters cover areas  
963     one standard deviation away from their means. **f-g**, The comparison of network degree and  
964     functional redundancy respectively based on the GCN and PCN of 35 species randomly  
965     selected from the 10,000 species in the initial pool. All points/functions are colored red (niche  
966     functions), green (essential functions), and blue (specialist functions) according to their types  
967     of functions in the model.  $k_{GCN}$  (or  $k_{PCN}$ ) is the network degree of each function in the GCN (or  
968     PCN).  $FR_g$  (or  $FR_p$ ) is the functional redundancy of each function on the gene level (or protein  
969     level), respectively.



970

971 **Figure 3: Real data of the human gut microbiome showing three clusters on the plot that**  
972 **compares  $FR_g$  with  $FR_p$ .** Metagenome and metaproteome of subject HM454 mucosal-luminal  
973 interface samples<sup>28</sup> were used to construct GCN and PCN, respectively. **a**, The GCN shows if  
974 a genus owns (or doesn't own) a COG as its genomic capacity, which is colored in black (or  
975 white). The GCN matrix is ordered to have decreasing network degrees for both genera and  
976 COGs. **b**, The PCN shows if a genus expresses (or doesn't express) a COG as its protein  
977 function, which is colored in black (or white). The PCN matrix follows the same order as the  
978 GCN. **c**, Differences in network degree for most COGs are large.  $k_{GCN}$  is the network degree  
979 of each COG in the GCN (i.e. the number of genera owning each COG in the GCN).  $k_{PCN}$  is  
980 the network degree of each COG in the PCN (i.e. the number of genera owning each COG in  
981 the PCN). **d**,  $FR_g$  is larger than  $FR_p$  for most COGs. Three clusters with three distinct colors  
982 (blue, red, and green) are predicted by the Gaussian mixture model with 3 clusters fitted on  
983 synthetic data. The transparent large circles represent centroids of three clusters. **e**, The  
984 relationship between  $FR_p$  and network degree of PCN for COGs is not monotonic.

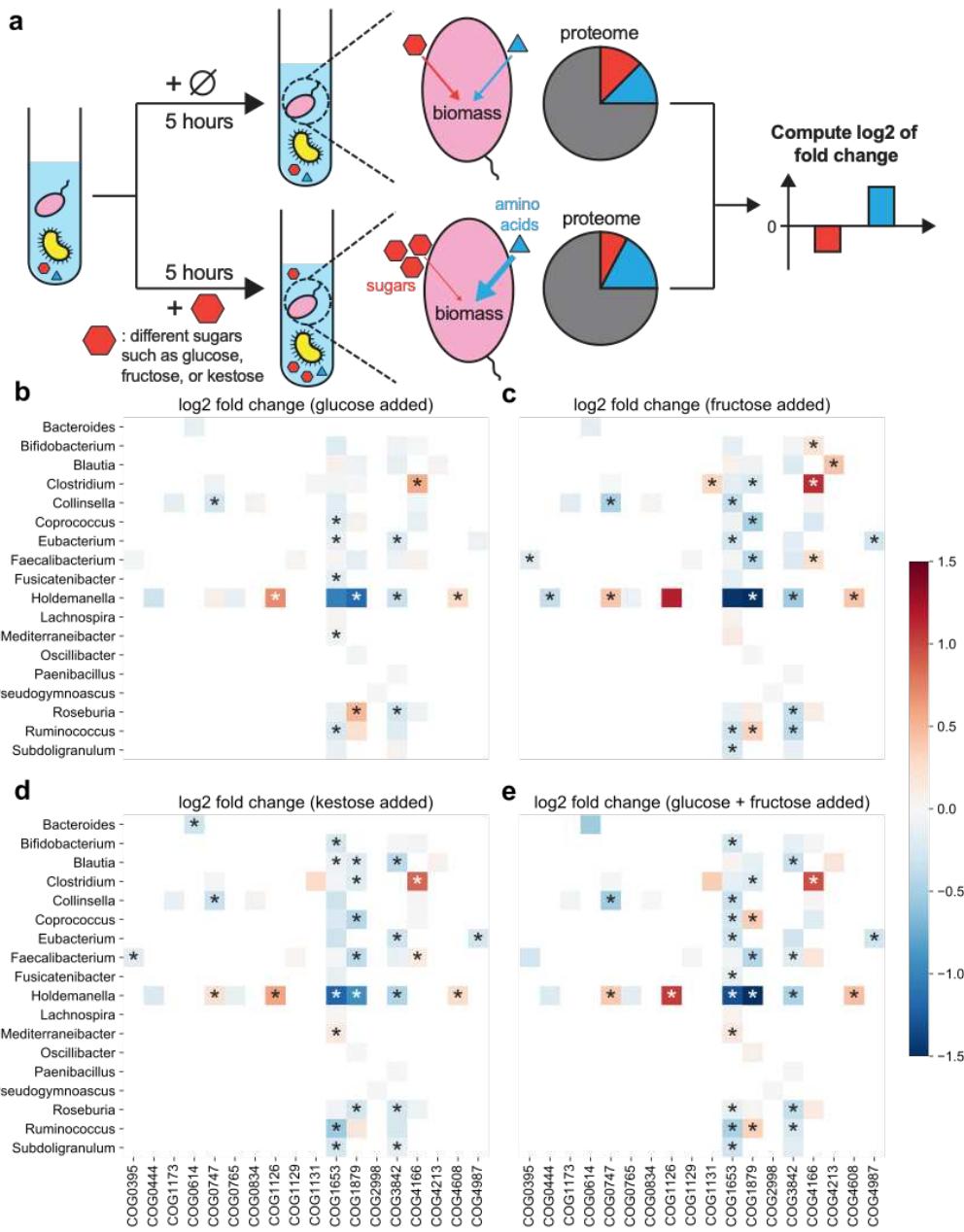
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987 **Figure 4: Comparison of network degree and functional redundancy between the gene**  
 988 **and protein level for ABC-type transporters and ribosomal proteins.** **a**, Network degrees  
 989 in GCN are larger than network degrees in PCN for most ABC-type transporter COGs.  $k_{GCN}$  (or  
 990  $k_{PCN}$ ) is the network degree of each COG in the GCN (or PCN). **b**,  $FR_g$  is larger than  $FR_p$  for  
 991 most ABC-type transporter COGs. **c-d**, The distribution of network degrees and functional  
 992 redundancies (violin plots and boxplots) for ABC-type transporter COGs show a significantly  
 993 huge reduction from  $k_{GCN}$  to  $k_{PCN}$  or from  $FR_g$  to  $FR_p$ . **e**, Network degrees in GCN are  
 994 comparable with that in PCN for most ribosomal protein COGs. **f**,  $FR_g$  is comparable with  $FR_p$   
 995 for most ribosomal protein COGs. Points in scatter plots are colored by the same colors used  
 996 in Fig. 3d. **g-h**, The distribution of network degrees and functional redundancies (violin plots  
 997 and boxplots) for ribosomal protein COGs show no significant reduction from  $k_{GCN}$  to  $k_{PCN}$  or  
 998 from  $FR_g$  to  $FR_p$ . In all boxplots, the middle white dot is the median, the lower and upper hinges  
 999 correspond to the first and third quartiles, and the black line ranges from the  $1.5 \times IQR$  (where  
 1000  $IQR$  is the interquartile range) below the lower hinge to  $1.5 \times IQR$  above the upper hinge. All  
 1001 violin plots are smoothed by a kernel density estimator and 0 is set as the lower bound. All  
 1002 statistical analyses were performed using the two-sided Mann-Whitney-Wilcoxon U Test with  
 1003 Bonferroni correction between genomic capacity (GCN) and protein functions (PCN). P values  
 1004 obtained from the test is divided into 5 groups: (1)  $p > 0.05$  (ns), (2)  $0.01 < p \leq 0.05$  (\*), (3)  
 1005  $10^{-3} < p \leq 0.01$  (\*\*), (4)  $10^{-4} < p \leq 10^{-3}$  (\*\*\*), and (5)  $p \leq 10^{-4}$  (\*\*\*\*). Network degree  
 1006 comparison of ABC transporters:  $p = 7.11 \times 10^{-16}$ . Network degree comparison of ribosomal  
 1007 proteins:  $p = 0.10$ . Redundancy comparison of ABC transporters:  $p = 2.19 \times 10^{-11}$ .  
 1008 Redundancy comparison of ribosomal proteins:  $p = 1.00$ .

1009



1010

1011 **Figure 5: Microbes modify their expression for ABC-type transporters to adapt to added**  
 1012 **sugars.** All heatmaps share the same color bar on the right. **a**, Schematic of in-vitro cultures  
 1013 of a collected human gut microbiome. In the treatment group, one sugar is added to the  
 1014 community. Metaproteomic measurements 5 hours later enable us to compare the intensity of  
 1015 each taxon-specific protein using the log<sub>2</sub> fold change of each protein's fraction (i.e. normalized  
 1016 intensity over each genus) from the treatment group divided by that from the control group.  
 1017 Log<sub>2</sub> fold changes of ABC-type transporters 5 hours after **(b)** glucose, **(c)** fructose, **(d)** kestose,  
 1018 or **(e)** glucose and fructose is added.