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9 **Social memory in female mice is rapidly modulated by 17 $\beta$ -estradiol through ERK and  
10 Akt modulation of synapse formation**

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## Abstract

42 **Background:** Social memory is essential to the functioning of a social animal within a group.  
43 Estrogens can affect social memory too quickly for classical genomic mechanisms. Previously, 17 $\beta$ -  
44 estradiol (E2) rapidly facilitated short-term social memory and increased nascent synapse formation,  
45 these synapses being potentiated following neuronal activity. However, what mechanisms underlie and  
46 co-ordinate the rapid facilitation of social memory and synaptogenesis are unclear. Here, the necessity  
47 of extracellular signal-regulated kinase (ERK) and phosphoinositide 3-kinase (PI3K) signaling for  
48 rapid facilitation of short-term social memory and synaptogenesis was tested.  
49

50 **Methods:** Mice performed a short-term social memory task or were used as task-naïve controls. ERK  
51 and PI3K pathway inhibitors were infused intra-dorsal hippocampally 5 minutes before E2 infusion.  
52 Forty minutes following intrahippocampal E2 or vehicle administration, tissues were collected for  
53 quantification of glutamatergic synapse number in the CA1.  
54

55 **Results:** Dorsal hippocampal E2 rapid facilitation of short-term social memory depended upon ERK  
56 and PI3K pathways. E2 increased glutamatergic synapse number (GluA1/bassoon colocalization) in  
57 task-performing mice but decreased synapse number in task-naïve mice. Critically, ERK signaling was  
58 required for synapse formation/elimination in task-performing and task-naïve mice, whereas PI3K  
59 inhibition blocked synapse formation only in task-performing mice.  
60

61 **Conclusions:** Whilst ERK and PI3K are both required for E2 facilitation of short-term social memory  
62 and synapse formation, only ERK is required for synapse elimination. This demonstrates previously  
63 unknown, bidirectional, rapid actions of E2 on brain and behaviour and underscores the importance of  
64 estrogen signaling in the brain to social behaviour.

65 **Introduction**

66 To behave appropriately within their social groups, social species require specialized cognitive  
67 abilities. Perhaps paramount of these is social recognition – the ability to recognize a conspecific or  
68 distinguish between conspecifics(1,2). Without social recognition, an animal can display maladaptive  
69 social behaviours due to inability to distinguish, for example, groupmates from intruders(3). Social  
70 behaviours, including social recognition, are processed through a “social brain network” including the  
71 medial extended amygdala, lateral septum, and certain hypothalamic nuclei(4,5). Importantly, while not  
72 thought of as a classically “social” brain region, the dorsal hippocampus is essential to the formation of  
73 social memories via inputs from social brain regions, and its disruption results in impairments in social  
74 memory(6–9). While much is understood with regards to hippocampal contributions to non-social  
75 memory (particularly spatial memory)(10), the integration of social information that occurs within the  
76 hippocampus requires further investigation.

77

78 Being reproductively active has been linked with social memory. Female mice show improved  
79 performance on a social recognition task during proestrus, the high estrogen and progesterone phase of  
80 the estrous cycle when females are sexually receptive(11). Ovariectomy results in impairments in social  
81 memory that can be rescued through administration of estrogens(12,13). Estrogens have long been  
82 known to elicit their effects by binding to intracellular estrogen receptors (ERs) that then dimerize,  
83 translocate to the nucleus, and directly affect gene transcription and protein expression(14). In addition  
84 to these delayed “classical” effects, estrogens affect molecular(15,16), cellular(17–19), systems(20,21),  
85 and behavioural(22–26) processes very rapidly (minutes) through intracellular mechanisms including  
86 activation of cell signaling cascades, such as the extracellular signal-regulated kinase (ERK) and  
87 phosphoinositide 3-kinase (PI3K) cascades.

88

89 Previous research discovered and richly characterized rapid effects of estrogens on short-term(27–29)  
90 and long-term memory in various tasks, such as spontaneous object recognition and object placement  
91 spatial memory tasks(25), as well as social memory(7,27–30). Given pre-acquisition in a 40-minute  
92 social recognition task, systemic(27), intra-dorsal hippocampal(7,28), and intra-medial amygdalar(30)  
93 administration of 17 $\beta$ -estradiol (E2), the most abundant and bioactive estrogen in adult mammals,  
94 facilitates short-term social memory in ovariectomized (OVX) female mice. The same doses of E2  
95 increase hippocampal dendritic spine density in task-naïve mice(7,27) and in *ex vivo* hippocampal  
96 slices(28) within the same timeframe, consistent with other findings(19,31,32). However, the necessity  
97 of these spine increases to behaviour has been questioned(33). While an increase in dendritic spine  
98 number suggests an increase in synapse number, evidence indicates the relationship is more complex.  
99 For instance, frequency of AMPA miniature post-synaptic currents in *ex vivo* CA1 is reduced following  
100 the same treatment of E2 which increases CA1 dendritic spine density(28). Importantly, neuronal  
101 activity is needed for the formation of stable synapses from “silent” or nascent synapses(34,35). Ergo,  
102 synapse formation by estrogens, and not dendritic spine formation alone, may be a more salient and  
103 parsimonious mechanism through which estrogens affect behaviour. Synapse formation via priming by  
104 E2 and subsequent activation during learning may underlie the previously observed effects of E2 on  
105 short-term social memory.

106

107 Previous investigations into the mechanisms underlying the rapid effects of estrogens on memory have  
108 explored consolidation and long-term memory of non-social memories(25). However, short-term  
109 memory is necessary for the dynamic modulation of ongoing behaviours and needs to be processed in  
110 short periods of time(36). While some short-term memories will be consolidated into long-term  
111 memories, most information is not needed long-term, and the brain is selective in what memories are  
112 stored long-term. For instance, social information obtained during a party that adaptively modulates  
113 social responses for the duration of the party may become irrelevant in the days and weeks following.

114 In view of their differing behavioral significance, it is perhaps unsurprising that the molecular  
115 underpinnings of short-term and long-term memory do not fully overlap (e.g. (37,38) but see (39,40)  
116 for discussion). The rapid and transient creation by estrogens of neuronal substrate for memory  
117 encoding provides a highly dynamic mechanism for short-term memory processing, especially in  
118 information rich, dynamic environments such as social interactions.

119

120 Estrogen-induced increases in synapse density observed both *in vivo*(19) and *in vitro*(17,34) suggest  
121 that pre-synaptic input to estrogen-treated neurons may be required for estrogen-mediated memory  
122 enhancements, as depicted in the concept of “two-step wiring plasticity”. This model posits that  
123 estrogens first transiently increase dendritic spine density, creating the neuronal substrate for learning  
124 to occur(34). Following activation (for instance, through memory task performance), these spines  
125 mature into persisting synapses(35). These estrogen-driven neurophysiological changes depend upon  
126 activity of cell signaling cascades, including ERK(16,17,34) and PI3K(17) pathways, which are also  
127 required for enhancement of object and spatial long-term memory consolidation by estrogens in female  
128 mice(32,41–45). While ERK is known to be necessary for the rapid increase in CA1 dendritic spine  
129 density following E2(32), the contributions of this kinase and the PI3K pathway to synapse formation  
130 *in vivo* are unknown. Additionally, two-step wiring plasticity suggests that the effects of estrogens on  
131 memory may depend upon activity (i.e. experience), leading to the hypothesis that learning events are  
132 drivers or modifiers of estrogen-mediated synaptic plasticity. However, whereas rapid effects of  
133 estrogens in dorsal hippocampus spine and synapse formation have been frequently reported(33), the  
134 underlying mechanisms remain unknown, especially in relation to their established beneficial roles in  
135 short-term social memory(7,28).

136

137 The present investigation explores the cellular mechanisms involved in E2 rapid facilitation of short-  
138 term social memory. We investigate whether the facilitating role of E2 in the dorsal hippocampus on

139 short-term social memory requires activation of the ERK or PI3K cell signaling cascades. Additionally,  
140 we investigate interplay between the rapid effects of E2 and short-term social memory task  
141 performance on CA1 glutamatergic synapse formation.

142 **Methods**

143 Detailed methods can be found in Supplementary Information.

144

145 **Subjects**

146 Young adult (2-month-old), experimentally naïve female CD1 mice (*Mus musculus*) were used

147 (Charles River, Kingston, NY, USA). Following surgeries, experimental mice were single-housed for

148 10-15 days prior to experiments. Stimulus mice were single-housed for 7 days post-surgery then pair-

149 housed for a minimum of 7 days prior to participating in behavioural testing. All behavioural tests were

150 run during the dark cycle (between 09:00h and 19:00h) in the experimental animals' home cages under

151 red light. All procedures were approved by the University of Guelph Animal Care and Use Committee

152 and followed the guidelines of the Canadian Council on Animal Care.

153

154 **Surgeries**

155 All mice were ovariectomized (OVX) to minimize gonadal hormone levels and fluctuations. Within the

156 same surgical session, experimental mice were further implanted with bilateral guide cannulae directed

157 at the dorsal hippocampus. Stimulus mice were OVX to ensure that their hormone status would not

158 affect investigative behaviours by experimental mice.

159

160 **Rapid Social Recognition Paradigms**

161 Two rapid social-recognition paradigms of short-term social memory were used [Figure 1A]. The first,

162 “easy” paradigm was designed such that vehicle-treated OVX mice show social recognition by

163 preferentially investigating a novel over a previously encountered social stimulus at test(29). OVX

164 mice receiving treatment that impairs social recognition will show no preference between the 2 stimuli.

165 In this “easy” paradigm, experimental mice were exposed to 2 novel OVX stimulus mice for three 4-

166 minute sample phases [Figure 1B]. Sample phases were separated by 3-minute rest periods in which no

167 stimuli were present in the cage. After the final sample phase and 3-minute memory retention period, 2  
168 stimuli were reintroduced into the cage for a 4-minute test phase: one novel stimulus and one  
169 previously encountered stimulus from the sample phases [Figure 1B].

170

171 To show facilitating effects of treatment, a “difficult” rapid social recognition paradigm, similar to the  
172 “easy” version except that there are two 5-minute sample phases and one 5-minute test each separated  
173 by 5-minute rests [Figure 1E], was used(29). The decreased number of exposures to the stimulus mice  
174 makes this task more difficult than the “easy” paradigm and vehicle-treated OVX CD1 mice do not  
175 exhibit short-term social memory, whereas those who receive treatments that facilitate memory for the  
176 social stimulus (e.g. E2) do(7,27–30,46,47).

177

## 178 **Treatment administration**

179 *Effects of ERK or PI3K pathway inhibition on social recognition*

180 OVX mice were bilaterally microinfused (0.5 $\mu$ L/side at 0.2 $\mu$ L/minute) with 0.1, 0.5, or 1.0  $\mu$ g/side of  
181 MEK inhibitor 1,4-diamino-2,3-dicyano-1,4-bis[2-aminophenylthio]butadiene (U0126; Promega,  
182 Madison, WI); 0.5, 1.0, 5.0, or 10ng/side of PI3K inhibitor 2-(4-morpholinyl)-8-phenyl-4H-1-  
183 benzopyran-4-one (LY294002; Santa Cruz Biotechnology, Dallas, TX); or vehicle (50% dimethyl  
184 sulfoxide [DMSO] in 0.9% NaCl solution) and then tested on the “easy” social recognition paradigm  
185 15 minutes following the beginning of the infusion [Figure 1B]. In all experiments, infusers were left  
186 in place for an additional minute following each infusion to ensure the full dose was administered and  
187 to prevent back-flow.

188

189 *Effects of ERK or PI3K pathway inhibition on estradiol-facilitated social recognition*

190 OVX mice were bilaterally microinfused first with 0.5 $\mu$ g/side U0126, 5.0ng/side LY294002, or vehicle  
191 (0.25 $\mu$ L/side at 0.2 $\mu$ L/minute) 5 minutes before 6.81pg/side 17 $\beta$ -estradiol (E2; Sigma-Aldrich,

192 Oakville, ON, Canada) or vehicle (0.25 $\mu$ L/side at 0.2 $\mu$ L/minute) and then tested on the “difficult”  
193 social recognition paradigm 15 minutes following the beginning of the infusion **[Figure 1E]**. This dose  
194 of E2 previously facilitated social recognition in OVX female mice in the “difficult” paradigm(7,28).  
195 These doses of U0126 and LY294002 did not impair social recognition in OVX female mice in the  
196 “easy” paradigm **[Figure 1C and D]**.

197

198 *Effects of estradiol and cell signaling inhibition in task-naïve OVX mice*

199 OVX mice were bilaterally microinfused with the same treatments as in estradiol-facilitated social  
200 recognition experiments above (vehicle, 0.5 $\mu$ g/side U0126, or 5.0ng/side LY294002 followed by  
201 vehicle or 6.81pg/side E2) and left undisturbed for 40 minutes before tissue collection to determine the  
202 effects of treatment on synapse number in the dorsal CA1 of task-naïve mice.

203

204 **Immunohistochemistry, confocal imaging, and analysis**

205 Coronally sectioned hippocampus from task-performing and task-naïve groups (4-6 mice/treatment)  
206 were used for immunohistochemistry, Briefly, sections were permeabilized in PBS supplemented with  
207 0.05% Triton-X100; blocked in 10% Normal Goat Serum, 1.5% BSA, 0.3% Triton-X100 in PBS. for 3-  
208 4 hours> Primary antibodies: Rabbit- $\alpha$ -GluA1 (Sigma-Aldrich AB1504, 1:300) and Mouse- $\alpha$ -bassoon  
209 (Abcam ab82958, 1:200) were incubated overnight in blocking solution. Sections were then incubated  
210 in secondary antibody diluted in blocking solution (1:1000 Goat- $\alpha$ -rabbit AlexaFluor488; 1:1000 Goat-  
211  $\alpha$ -mouse AlexaFluor568) for 2 hours, before coverslipping with mounting medium  
212 containing DAPI (Prolong Gold; ThermoFisher). For antigen retrieval, sections were incubated for 10-  
213 15 minutes in 10mM sodium citrate (pH 6.2) at RT, followed by a 15-minute incubation with 10mM  
214 sodium citrate (pH 6.2) at 78°C before permeabilization.

215

216 Confocal images of the CA1 region using an Inverted Spinning Disk confocal microscope (Nikon,  
217 Japan) and 60x oil immersion lens objective (NA 1.4). Exposure time was kept constant for the entire  
218 dataset. Images were acquired as a stack spanning 6-10 $\mu$ m, at an interval of 0.3 $\mu$ m. Three 3 non-  
219 continuous slices were imaged and analysed from each animal, with data averaged to a single datum for  
220 each animal. Synaptic puncta were analysed in ImageJ (<https://imagej.net/Welcome>), using a  
221 previously published pipeline(48). Analysis of synaptic puncta was performed in the strata oriens and  
222 radiatum – corresponding to the basal and apical dendritic regions respectively of CA1 pyramidal  
223 neurons [**Figure 2A**] and limited to 50x100 $\mu$ m Region of interest (ROI) 20 $\mu$ m either side of the  
224 stratum pyramidale to limit synaptic analysis to secondary and higher dendritic branching [**Figure 2A**].  
225 following parameters described in (48). In all sections, GluA1 or bassoon expression was first  
226 determined. Synaptic puncta were determined by assessing the number of GluA1 puncta that  
227 overlapped with bassoon puncta.

228

## 229 **Behaviour data analysis**

230 Videos were collected from all sample and test phases and analyzed (The Observer, Noldus  
231 Information Technology, Wageningen, The Netherlands) for both social (sniffing stimuli,  
232 digging/burying near stimuli, etc.) and non-social (horizontal movement, vertical non-investigative  
233 behaviour, grooming, etc.) behaviours(7,27–30,46,47). Active sniffing within 1-2mm of a stimulus  
234 mouse-containing cylinder was considered social investigative behaviour. An investigation ratio was  
235 calculated;  $IR = N/(N+F)$ , in which N is the time spent investigating the novel (in sample phases, N is  
236 the stimulus that will be replaced) and F is the time spent investigating the familiar stimulus.  
237 Investigation ratios for sample phases were averaged for analysis.

238

## 239 **Statistical analysis**

240 The arcsin transformed investigation ratios were analyzed with a mixed-design repeated measures  
241 ANOVA with treatment as the main factor and paradigm session (average sample and test) as the  
242 repeated-measure dependent variable. To reduce type I errors, specific mean comparisons were planned  
243 *a priori* to assess differences between  $IR_{Sam}$  and  $IR_{Test}$  within each treatment group. One-way ANOVAs  
244 were used to assess treatment effects on  $IR_{Test}$ , followed by Tukey *post hoc* tests. The durations of the  
245 other behaviours (Supplementary Table 1) were analyzed using a mixed-design repeated measures  
246 ANOVA with treatment as the main factor and paradigm session (each of the sample phases and test)  
247 as the repeated-measures dependent variable, followed by Tukey *post hoc* tests. When normality failed,  
248 Kruskal-Wallis ANOVAs were performed followed by Dunn's *post hoc* tests. One-way ANOVAs with  
249 Tukey *post hoc* tests were used to determine effects of treatment and task performance on GluA1 and  
250 bassoon puncta and GluA1/bassoon colocalization. SPSS and GraphPad Prism (v9.3.0) were used for  
251 all statistical analyses. Cohen's d and eta-squared effect sizes are provided where appropriate. Two-  
252 tailed statistical significance was set at  $p < 0.05$ .

253 **Results**

254 *ERK and PI3K inhibition in the dorsal hippocampus blocked short-term social memory in a dose-  
255 dependent manner*

256 To evaluate the necessity of the cell signaling cascades to E2-facilitated short-term social memory, we  
257 first determined whether and at what doses intra-dorsal hippocampal ERK or PI3K inhibition blocked  
258 short-term social memory in an “easy” task where control animals can discriminate familiar from novel  
259 conspecifics. As indicated by a significant difference between sample and test investigation ratios,  
260 vehicle-treated control mice ( $t=2.56$ ,  $df=9$ , Cohen’s  $d=0.991$ ,  $p=0.031$ ), as well as  $0.1\mu\text{g}/\text{side}$  ( $t=4.72$ ,  
261  $df=14$ , Cohen’s  $d=1.66$ ,  $p=0.0004$ ) and  $0.5\mu\text{g}/\text{side}$  ( $t=3.49$ ,  $df=12$ , Cohen’s  $d=0.937$ ,  $p=0.0051$ ) U0126-  
262 treated mice had significant short-term social memory, whereas  $1.0\mu\text{g}/\text{side}$  U0126 impaired short-term  
263 social memory ( $p=0.0932$ ; main effect of session:  $F_{(1,42)}=37.41$ ,  $\eta^2=0.201$ ,  $p<0.0001$ )[**Figure 1C**].  
264 Similarly vehicle- ( $t=4.64$ ,  $df=10$ , Cohen’s  $d=1.40$ ,  $p=0.0012$ ),  $1.0\text{ng}/\text{side}$  ( $t=6.72$ ,  $df=10$ , Cohen’s  
265  $d=3.21$ ,  $p<0.0001$ ), and  $5.0\text{ng}/\text{side}$  ( $t=4.57$ ,  $df=10$ , Cohen’s  $d=1.89$ ,  $p=0.001$ ) LY294002-treated mice  
266 had significant short-term social memory, whereas  $10\text{ng}/\text{side}$  LY294002-treated mice did not ( $p=0.118$ ;  
267 main effect of session:  $F_{(1,39)}=82.68$ ,  $\eta^2=0.375$ ,  $p<0.0001$ ; session by treatment interaction:  
268  $F_{(3,39)}=6.79$ ,  $\eta^2=0.0922$ ,  $p=0.0009$ )[**Figure 1D**]. Additionally, there was a main effect of LY294002  
269 treatment on IR<sub>Test</sub> ( $F_{(3,39)}=5.21$ ,  $\eta^2=0.286$ ,  $p=0.004$ ), with  $10\text{ng}/\text{side}$  significantly lower than  $1.0\text{ng}/\text{side}$   
270 LY294002 ( $p=0.002$ )[**Figure 1D**]. These effects are consistent with and comparable to effects seen on  
271 long-term object recognition memory(41,42). Total social investigation time was unaffected between  
272 groups [**Figures S1-2**]. Therefore, short-term social memory is impaired by either ERK or PI3K  
273 pathway inhibition, implicating these pathways as necessary for short-term social memory.

274

275 *Dorsal hippocampal ERK or PI3K inhibition block E2-facilitated short-term social memory*

276 Having determined the doses at which ERK and PI3K pathway inhibitors block short-term social  
277 memory, we determined whether intra-dorsal hippocampal E2 requires ERK and/or PI3K signaling to

278 facilitate short-term social memory. Therefore, the highest doses of U0126 (0.5 $\mu$ g/side) and LY294002  
279 (5ng/side) that did *not* block social memory in the “easy” social recognition task were used in  
280 conjunction with E2 (6.81pg/side), a dose known to facilitated short-term social memory in the  
281 ‘difficult’ task(7,28). E2-treated mice exhibited significant short-term social memory ( $t=4.20$ ,  $df=15$ ,  
282 Cohen’s  $d=1.01$ ,  $p=0.0009$  **[Figure 1F]**;  $t=3.31$ ,  $df=11$ , Cohen’s  $d=0.858$ ,  $p=0.0079$  **[Figure 1G]**),  
283 replicating previous findings(7,28), whereas vehicle and inhibitor-only controls did not ( $ps>0.261$ ,  
284 main effect of session [ $F_{(1,46)}=8.43$ ,  $\eta^2=0.0592$ ,  $p=0.0056$ ]**[Figure 1F]**;  $ps>0.512$ , main effect of session  
285 [ $F_{(1,43)}=5.85$ ,  $\eta^2=0.0294$ ,  $p=0.020$ ]**[Figure 1G]**). Critically, the facilitating effects of E2 were blocked  
286 by ERK ( $p=0.258$ ) or PI3K inhibitor infusion ( $p=0.42$ )**[Figures 1F-G]**. E2-treated mice in the ERK-  
287 pathway experiment showed greater social investigation than vehicle controls ( $p=0.0363$ ;  $F_{(3,46)}=3.05$ ,  
288  $\eta^2=0.111$ ,  $p=0.0379$ )**[Figure S2]**. However, we do not see a similar effect in the PI3K-pathway  
289 experiment **[Figure S4]** nor in previous investigations(7,28). Furthermore, neither the E2- nor vehicle-  
290 treated groups differed from either U0126-treated group ( $ps>0.102$ ), suggesting that this increase in  
291 social investigation by E2 does not explain treatment effects on short-term social recognition memory.  
292 Overall, these data show that signaling through both the ERK and PI3K pathways is required for the  
293 rapid facilitation of short-term social memory by E2.

294

295 *E2 increased glutamatergic synapses in task-performing mice in an ERK- and PI3K-dependent manner*  
296 As E2 required both the ERK and PI3K pathways to facilitate social memory, and knowing that E2  
297 rapidly inhibits glutamatergic transmission in CA1 dorsal hippocampal pyramidal neurons of task-  
298 naïve mice(28) we investigated the effect of dorsal hippocampal E2 with or without pre-treatment with  
299 ERK or PI3K inhibitors on glutamatergic synapse formation following social recognition training.  
300 Treatment did not affect GluA1 or bassoon expression in either apical or basal sub-fields in task-  
301 performing mice (no main effects of treatment,  $ps>0.238$  and  $ps>0.0525$ , respectively **[Figures 2C-F,**  
302 **3A-D]**. However, E2-treated mice had a higher density of synaptic puncta (colocalization of GluA1 and

303 bassoon puncta) than vehicle treated mice (apical – Cohen’s  $d=1.76$ ,  $p=0.0365$ , main effect of  
304 treatment [ $F_{(3,19)}=5.10$ ,  $\eta^2=0.446$ ,  $p=0.0093$ ]; basal – Cohen’s  $d=2.08$ ,  $p=0.0476$ , main effect of  
305 treatment [ $F_{(3,19)}=5.42$ ,  $\eta^2=0.461$ ,  $p=0.0076$ ]**[Figure 2G]**; apical – Cohen’s  $d=2.10$ ,  $p=0.0086$ , main  
306 effect of treatment [ $F_{(3,19)}=8.13$ ,  $\eta^2=0.562$ ,  $p=0.0011$ ]; basal – Cohen’s  $d=3.02$ ,  $p=0.0412$ , main effect  
307 of treatment [ $F_{(3,19)}=4.36$ ,  $\eta^2=0.408$ ,  $p=0.0170$ ]**[Figure 2H]**). The effect of E2 on synaptic puncta in  
308 trained animals was blocked by pre-treatment with either U0126 ( $ps<0.0123$ ) or LY294002  
309 ( $ps<0.0441$ )**[Figures 2G-H]**. Conversely, treatment with these inhibitors alone had no effect on  
310 synaptic puncta (U0126:  $ps>0.993$ ; LY294002:  $ps>0.995$ )**[Figure 2G-H]**. Together, these data show  
311 that E2 administration in task-performing mice increases glutamatergic synapse number and that this  
312 effect requires ERK and PI3K signaling.

313

314 *E2 decreased glutamatergic synapse number in task-naïve mice in an ERK-, but not PI3K-, dependent*  
315 *manner*

316 To evaluate whether task-performance affects the effects of E2 on synapse formation, task-naïve mice  
317 receiving the same treatments as task-performing mice were sacrificed following the same delay but  
318 without performing the social recognition task. Analysis of GluA1 and bassoon expression in the CA1  
319 of these mice revealed that E2 decreased synaptic puncta in both basal and apical dendrites of  
320 pyramidal neurons (apical – Cohen’s  $d=4.67$ ,  $p=0.0121$ , main effect of treatment [ $F_{(3,12)}=6.40$ ,  
321  $\eta^2=0.616$ ,  $p=0.0078$ ]; basal – Cohen’s  $d=2.70$ ,  $p=0.0233$ , main effect of treatment [ $F_{(3,12)}=8.98$ ,  
322  $\eta^2=0.692$ ,  $p=0.0022$ ]**[Figure 3E]**; apical – Cohen’s  $d=2.42$ ,  $p=0.0397$ , main effect of treatment  
323 [ $F_{(3,15)}=7.40$ ,  $\eta^2=0.597$ ,  $p=0.0029$ ]; basal; Cohen’s  $d=1.92$ ,  $p=0.0250$ , main effect of treatment  
324 [ $F_{(3,15)}=5.70$ ,  $\eta^2=0.533$ ,  $p=0.0083$ ]**[Figure 3F]**), without an effect on the total expression of either  
325 synaptic protein (apical –  $ps>0.237$ , basal –  $ps>0.208$ )**[Figures 3A-D]**. This decrease was blocked by  
326 U0126 microinfusion prior to E2 (Cohen’s  $ds>2.92$ ,  $ps<0.0183$ ), but not by LY294002  
327 ( $ps>0.746$ )**[Figures 3E-F]**. Synaptic puncta in mice that received LY294002 and E2 did not differ from

328 the E2-only group, but was significantly reduced compared to vehicle controls (Cohen's  $ds > 2.23$ ,  
329  $ps < 0.035$ )[**Figure 3F**]. This suggests that E2 decreases glutamatergic synapse number in task-naïve  
330 mice via ERK, but not PI3K, signaling.

331 **Discussion**

332 Social cognition is essential for adaptive behaviours in social species for which rapid modification of  
333 behaviour is integral to responding to dynamic changes in the social environment. Critical to this is the  
334 ability to recognize and distinguish between conspecifics. It is now recognized that estrogens act as  
335 potent modulators of brain and behaviour, including social memory(7,27,28,30), within  
336 minutes(22,25). Recent evidence provides potential neuronal substrate for these effects: 17 $\beta$ -estradiol  
337 rapidly increases dendritic spine density(7,27,28), suggesting an increase in synapse formation, and  
338 modulates glutamatergic signaling(17,28,34), an effect that appears dependent upon synaptic plasticity.  
339 A causal link between E2 rapid effects on brain and behaviour has yet to be established. As such, we  
340 asked the questions 1) what intracellular processes are required for E2 facilitation of social memory?;  
341 2) does E2 modulate synapse number in both social memory task-performing and task-naïve animals?;  
342 and 3) do the same intracellular processes required for E2's modulation of social memory also co-  
343 ordinate E2-mediated synapse formation? As predicted by the two-step wiring plasticity model, the  
344 present results demonstrate rapid and dynamic modulation of short-term social memory and  
345 hippocampal glutamatergic synapse number by 17 $\beta$ -estradiol is dependent upon ERK and PI3K  
346 signaling and task-performance.

347

348 ERK and PI3K pathways in the dorsal hippocampus were both found to be required for short-term  
349 social memory per se and its facilitation by E2, similarly to long-term object and spatial  
350 memory(32,41–44) . This novel finding suggests that similar intracellular mechanisms may be at play  
351 in short- and long-term memory, the parsimonious explanation for which being that the dorsal  
352 hippocampus, in both regards, is functioning as a crucial memory processing centre. While often  
353 regarded as a hub of spatial memory formation(10), accumulating evidence implicates the dorsal  
354 hippocampus in the processing of social information in interplay with upstream and downstream

355 regions of the social brain(6–9,28), and here we show that the ERK and PI3K pathways are critical to  
356 social memory in this region. Estrogens modulate social cognition(2,49), including social memory. We  
357 have previously shown that systemic or intra-dorsal hippocampal administration of E2 rapidly  
358 facilitates short-term social memory and that these same treatments also increase dendritic spine  
359 density in CA1 pyramidal neurons of task-naïve mice(7,27,28). Here, we have elucidated key  
360 intracellular mechanisms underlying the rapid facilitation of short-term social memory by E2. ERK and  
361 PI3K were previously found to be rapidly activated by estrogens(16,41,42) and necessary for long-term  
362 memory enhancements by E2(32,41–43,45). Our results suggest that these pathways are also necessary  
363 for the rapid enhancement of short-term social memory, thus underlying the adaptive dynamic  
364 modulation of social interactions by estrogens at times of changing social milieu.

365

366 While the rapid effects of E2 on short-term social memory and synapse formation occur within the  
367 same timeframe, the causal link previously remained elusive. Here, E2 in the dorsal hippocampus  
368 rapidly facilitated short-term social memory and increased glutamatergic synapse number in the same  
369 task-performing animals. Furthermore, when facilitation of social memory by E2 was blocked by ERK  
370 or PI3K pathway inhibition, so too was synapse formation. This suggests that glutamatergic synapse  
371 formation drives the rapid facilitating effects of E2 on social memory and does so in an ERK- and  
372 PI3K-dependent manner. Remarkably, in task-naïve animals, E2 decreased synaptic puncta – that is, in  
373 the absence of task-performance, E2 decreases glutamatergic synapse number. Consistent with these  
374 findings, we have previously shown that E2 induced the formation of silent synapses(28) that can be  
375 potentiated by synaptic stimulation(34). There is a high degree of functional relevance to these novel  
376 findings. Memory formation requires formation of novel synapses, but unchecked synapse formation is  
377 likely maladaptive and would lead to interference between memory traces (50,51). Here, we have  
378 shown E2 to eliminate existing synapses in a paucity of stimulation (i.e. lack of task performance) and  
379 increase synapse number when a learning event occurs (i.e. performance of social recognition task),

380 consistent with two-step wiring plasticity mechanism of estrogen action(35). This fine-tuning of  
381 estrogens' rapid synaptic effects by task performance may sharpen the signal of relevant memory traces  
382 by increasing task relevant synapses and decreasing irrelevant synapses, thereby increasing the signal-  
383 to-noise-ratio. Furthermore, the data in this study demonstrate that the bi-directional effects of synapse  
384 density are driven by signalling through the ERK pathway – ERK inhibition blocked both E2-induced  
385 increases and decreases in GluA1/bassoon puncta in task-performing and task-naïve mice, respectively.  
386 Conversely, PI3K appears to have a more specific role – inhibition of this pathway blocked E2-  
387 mediated increases in synaptic puncta in task-performing mice but did not reverse E2's ability to  
388 reduce synaptic density in task-naïve mice. Through these previously unknown bidirectional effects, E2  
389 is capable of both providing plasticity and modifying its use.

390

391 Estrogens are potent neuromodulators in a variety of behaviours(23,26,52) and in learning and  
392 memory(22,25,53–55). Throughout the lifespan, estrogens allow for adaptive responses to the  
393 challenges brought on by a dynamic world(49), including dynamic social environments. Foundational  
394 to this are the rapid effects of E2 on short-term social memory and modulation of hippocampal  
395 glutamatergic synapse number described here. That there are bidirectional effects of E2 on synapse  
396 number dependent upon task-performance introduces a new dynamic neuromodulation of brain and  
397 behaviour by estrogens which warrants further future investigation.

398

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413

414 The authors have nothing further to disclose.

415

416 Data are available upon reasonable request to the corresponding author.

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559

560

561 **Figure 1: Rapid effects of 17 $\beta$ -estradiol on short-term social recognition are blocked by ERK and**  
562 **PI3K pathway inhibition**

563 A) Schematic of overall short-term social recognition testing. B) Timeline of treatment and “easy”  
564 short-term social recognition testing. C) Intra-dorsal hippocampal U0126 (ERK pathway inhibitor,  
565 1.0 $\mu$ g/side) blocks short-term social recognition memory. D) Intra-dorsal hippocampal LY294002  
566 (PI3K pathway inhibitor, 10ng/side) blocks short-term social recognition memory. E) Timeline of  
567 treatment and “difficult” short-term social recognition testing. F) Intra-dorsal hippocampal U0126  
568 blocks the facilitating effects of E2 on short-term social recognition memory. G) Intra-dorsal  
569 hippocampal LY294002 blocks the facilitating effects of E2 on short-term social recognition memory.  
570 \* p<0.05, \*\* p<0.01, \*\*\* p<0.001, \*\*\*\* p<0.0001. Data presented as mean  $\pm$  SEM.

571

572 **Figure 2: 17 $\beta$ -estradiol rapidly increases glutamatergic synapse number in CA1 pyramidal**  
573 **neurons in short-term social recognition task-performing mice**

574 A) Schematic depicting apical and basal ROIs in dorsal CA1 slices. B) Representative images of  
575 GluA1 puncta, bassoon puncta, and GluA1/bassoon colocalization. C-D) GluA1 puncta density is  
576 unaffected by intra-dorsal hippocampal E2 or inhibitor (U0126 [C] and LY294002 [D]) treatment. E-F)  
577 Bassoon puncta density is unaffected by intra-dorsal hippocampal E2 or inhibitor (U0126 [E] and  
578 LY294002 [F]) treatment. G) E2 increases glutamatergic synapse number in task-performing mice.  
579 ERK pathway inhibition blocks this effect. H) E2 increases glutamatergic synapse number in task-  
580 performing mice. LY294002 pathway inhibition blocks this effect. \* p<0.05, \*\* p<0.01. Data presented  
581 as mean  $\pm$  SEM.

582

583 **Figure 3: 17 $\beta$ -estradiol rapidly decreases glutamatergic synapse number in CA1 pyramidal**  
584 **neurons in task-naive mice**

585 A-B) GluA1 puncta density is unaffected by intra-dorsal hippocampal E2 or inhibitor (U0126 [A] and  
586 LY294002 [B]) treatment. C-D) Bassoon puncta density is unaffected by intra-dorsal hippocampal E2  
587 or inhibitor (U0126 [E] and LY294002 [F]) treatment. G) E2 increases glutamatergic synapse number  
588 in task-performing mice. ERK pathway inhibition blocks this effect. H) E2 increases glutamatergic  
589 synapse number in task-performing mice. LY294002 pathway inhibition blocks this effect. \* p<0.05,  
590 \*\* p<0.01. Data presented as mean  $\pm$  SEM.

591





